

*Show, Attend and Tell:  
Neural Image Caption  
Generation with Visual Attention*

Encoder-Decoder Framework,  
RNN,  
LSTM,  
Soft Attention Model

# Travel Time Prediction Review

- Native
- Parametric models: Kalman filter/ARIMA
- Nearest neighborbased approach: k-NN
- Neural network methods: LSTM

# Discovering the Behavior Switching

Deep learning is an important concept in the learning theory at present.

Machine  
Translation

深度学习是目前学习理论中的一个重要概念。



Speech  
Recognition

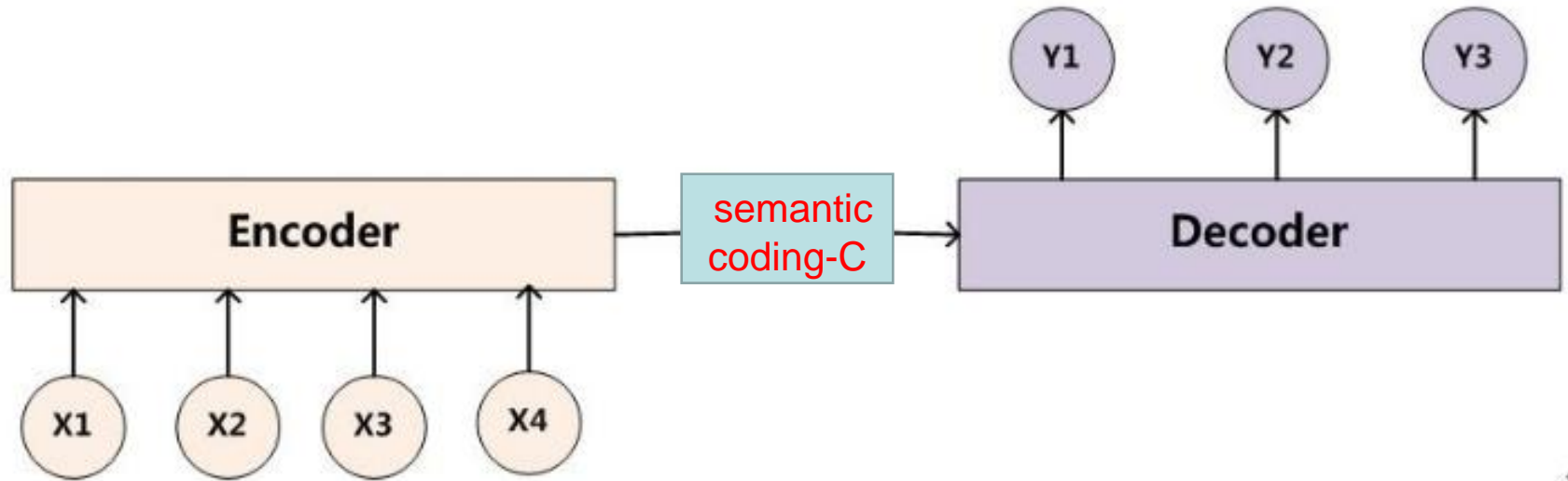
speech recognition



Image  
Captioning

A little boy is looking at you.

# Encoder-Decoder Framework



$$X = (x_1, x_2 \dots x_m)$$

$$Y = (y_1, y_2 \dots y_n)$$

$$C = \mathcal{F}(x_1, x_2 \dots x_m)$$

$$y_i = \mathcal{G}(C, y_1, y_2 \dots y_{i-1})$$

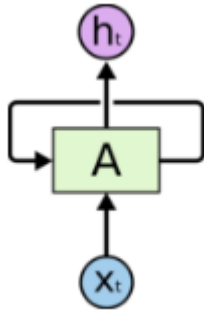
# Variant Combinations

- Encoder:CNN/RNN/BiRNN/GRU/LSTM/...
- Decoder:CNN/RNN/BiRNN/GRU/LSTM/...
- Different applications may have variant combinations:
  - LSTM+LSTM(Machine Translation)
  - CNN+LSTM(Image Captioning)

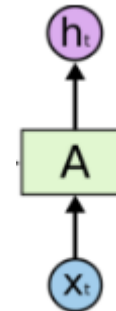
# Human's Thought

- As you read this ppt, you understand each page based on your understanding of **previous** pages.
- You don't throw everything away and start thinking from scratch again.
- **Your thoughts have persistence.**

# Recurrent VS. Traditional neural networks



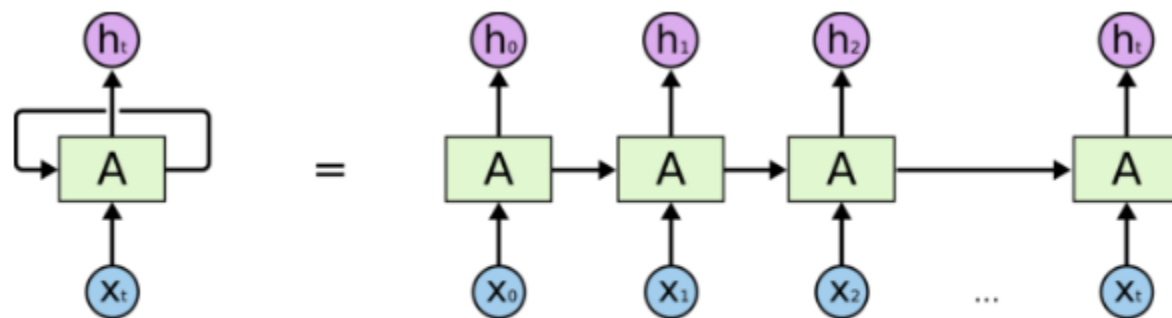
Recurrent  
Neural Networks



Traditional  
Neural Networks

- Traditional neural networks are transient when processing continuous data.
- RNN are networks with loops in them, allowing information to persist.

# Unfold RNNs

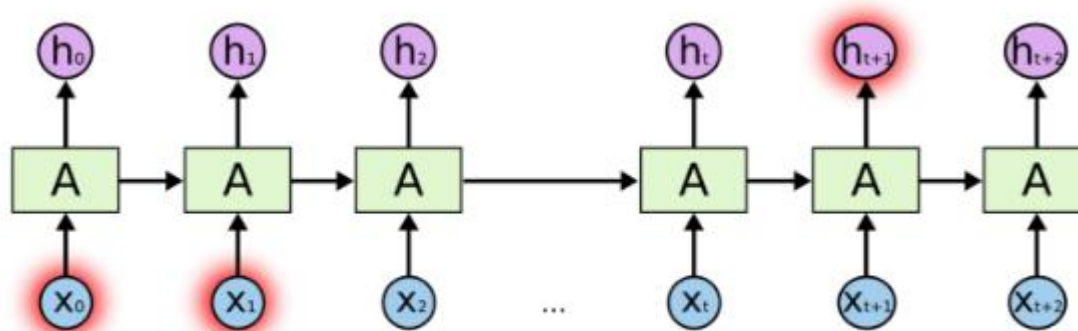


An unrolled recurrent neural network.

- This chain-like nature reveals that recurrent neural networks are intimately related to **sequences and lists**.
- They're the natural architecture of neural network to use for such data.

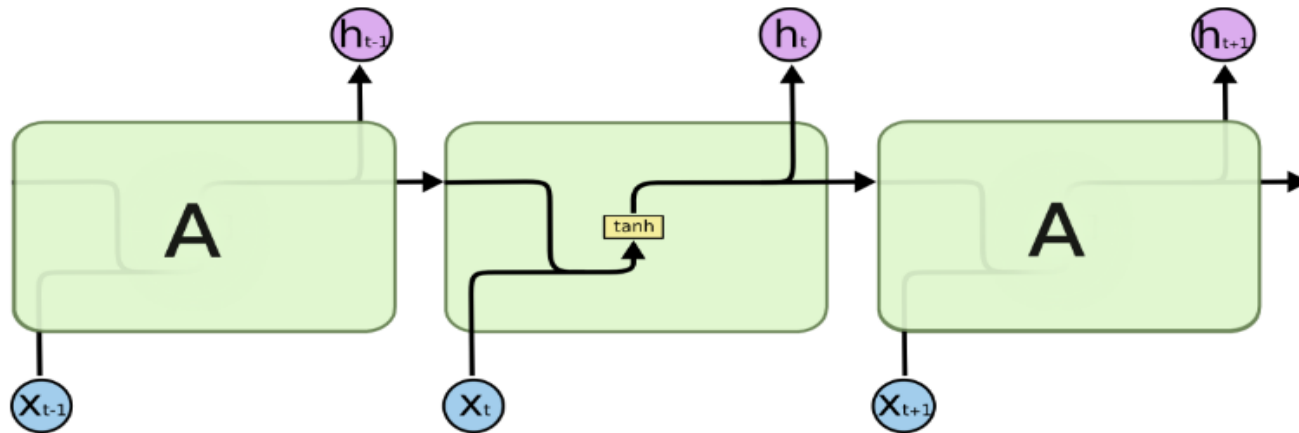


# The Problem of Long-Term Dependencies



- It's entirely possible for **the gap** between the relevant information and the point where it is needed to become very large.
- As that gap grows, RNNs become unable to learn to connect the information (**gradient exploding/vanishing**).

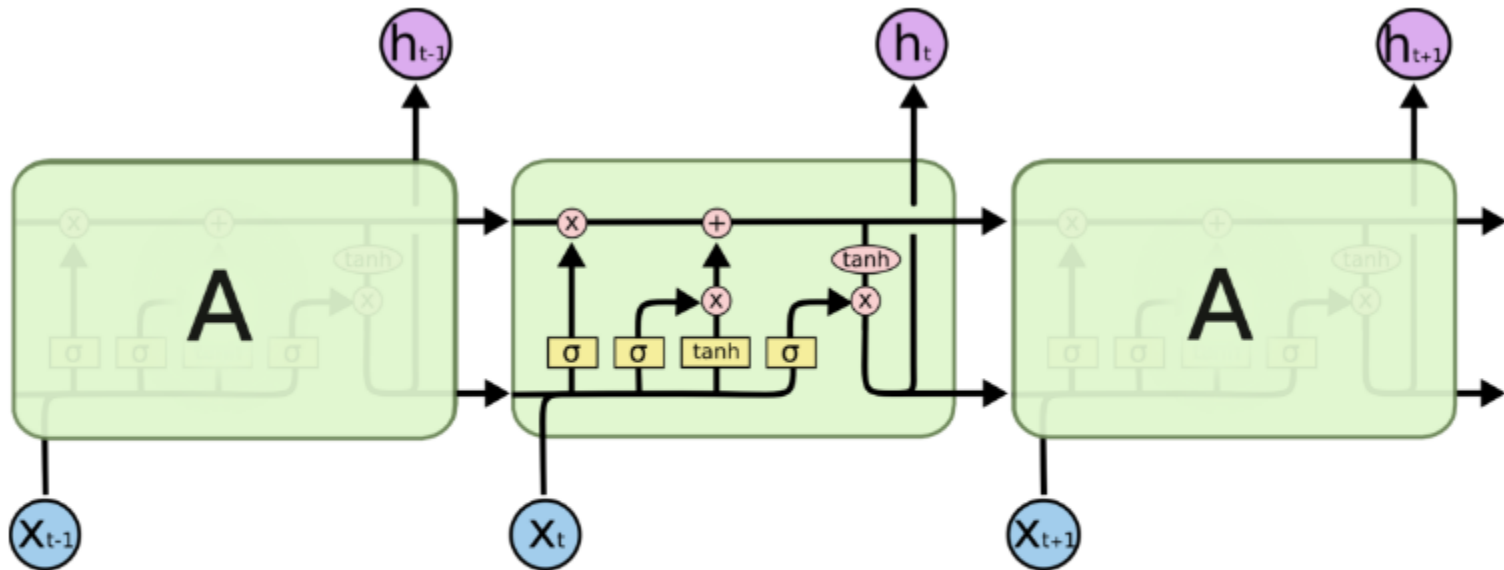
# Standard RNNs



The repeating module in a standard RNN contains a single layer.

- All recurrent neural networks have the form of a chain of repeating modules of neural network.
- In standard RNNs, this repeating module will have a very simple structure, such as a single  $\tanh$  layer.

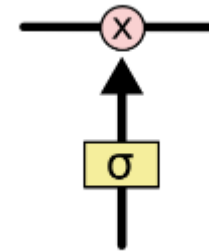
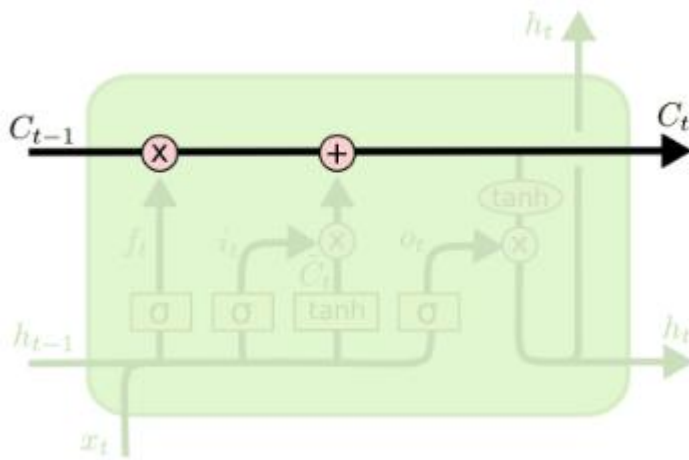
# The repeating module of LSTMs



The repeating module in an LSTM contains four interacting layers.

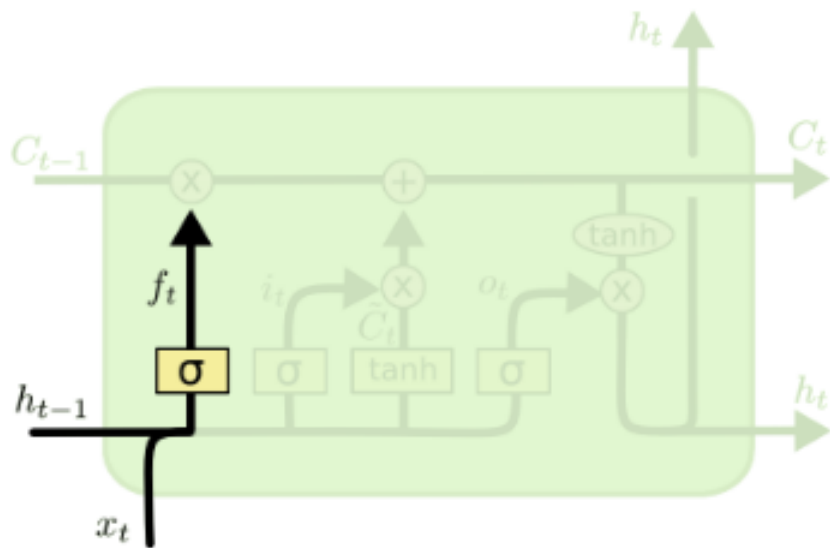
- Instead of having a single neural network layer, there are four, interacting in a very special way.

# Cell State and Gates



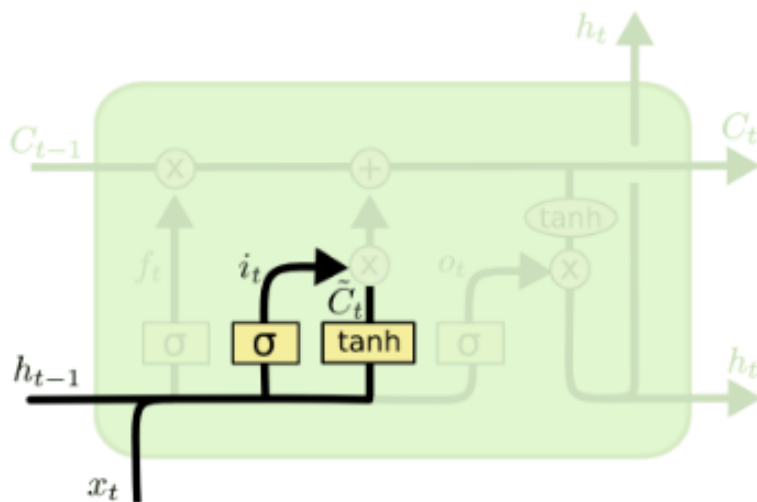
- The LSTM does have the ability to **remove or add** information to the cell state, carefully regulated by structures called gates.

# Throw:Forget Gate Layer



$$f_t = \sigma(W_f \cdot [h_{t-1}, x_t] + b_f)$$

# Store: Input Gate Layer and tanh Layer

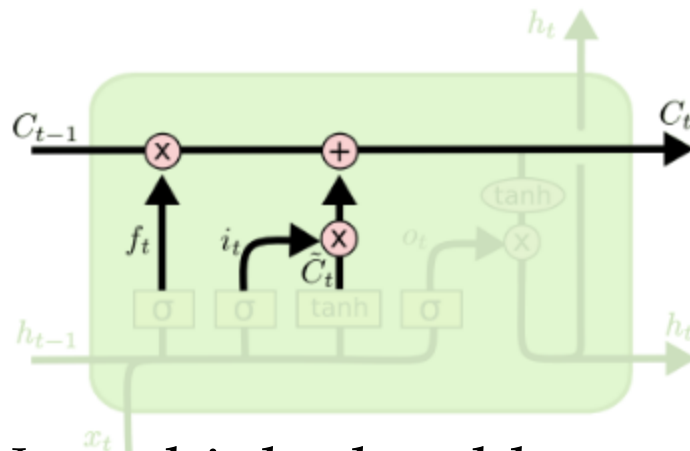


$$i_t = \sigma(W_i \cdot [h_{t-1}, x_t] + b_i)$$

$$\tilde{C}_t = \tanh(W_C \cdot [h_{t-1}, x_t] + b_C)$$

- First, a sigmoid layer called the “input gate layer” decides which values we’ll update.
- Next, a tanh layer creates a vector of new candidate values,  $C_t$ , that could be added to the state

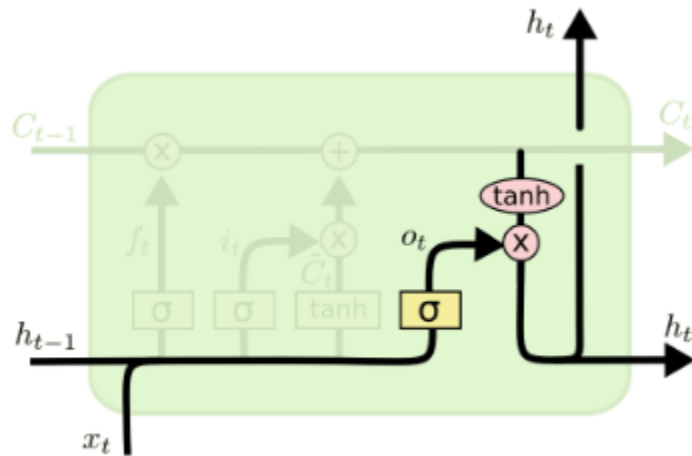
# Update Your Memory



$$C_t = f_t * C_{t-1} + i_t * \tilde{C}_t$$

- We multiply the old state by  $f_t$ , forgetting the things we decided to forget earlier.
- Then we add  $i_t * \tilde{C}_t$ . This is the new candidate values, scaled by how much we decided to update each state value.

# Output: Based On Cell State



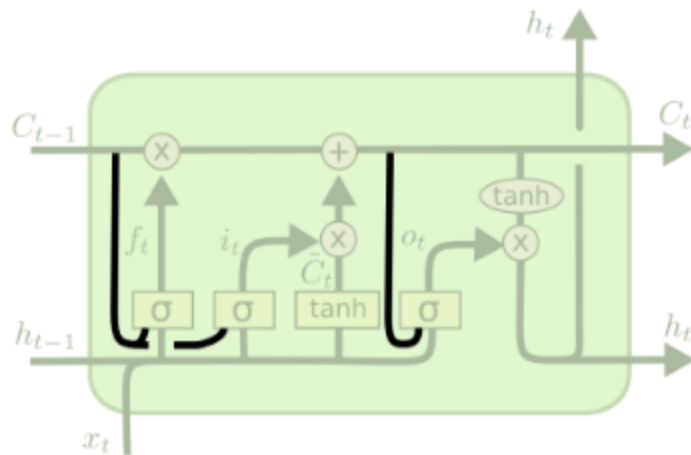
$$o_t = \sigma (W_o [h_{t-1}, x_t] + b_o)$$

$$h_t = o_t * \tanh (C_t)$$

- We multiply the old state by  $f_t$ , forgetting the things we decided to forget earlier.
- Then we add  $i_t * C_t$ . This is the new candidate values, scaled by how much we decided to update each state value.



# Peephole Connections

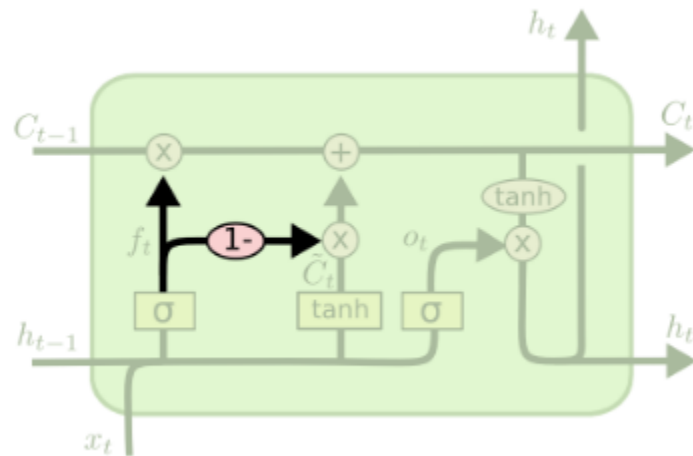


$$f_t = \sigma (W_f \cdot [C_{t-1}, h_{t-1}, x_t] + b_f)$$

$$i_t = \sigma (W_i \cdot [C_{t-1}, h_{t-1}, x_t] + b_i)$$

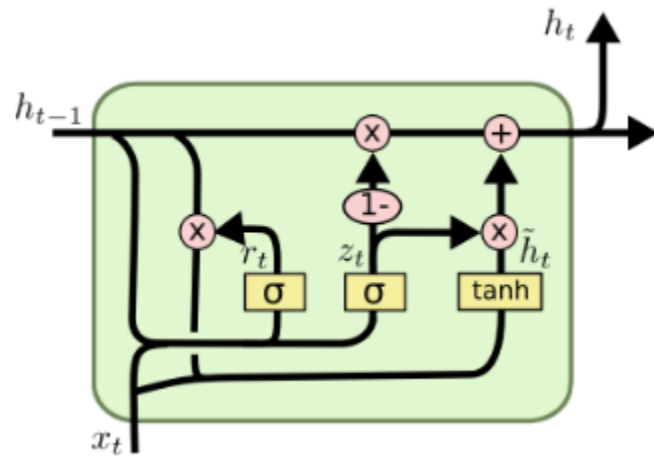
$$o_t = \sigma (W_o \cdot [C_t, h_{t-1}, x_t] + b_o)$$

# Coupled Forget and Input Gates



$$C_t = f_t * C_{t-1} + (1 - f_t) * \tilde{C}_t$$

# Gated Recurrent Unit



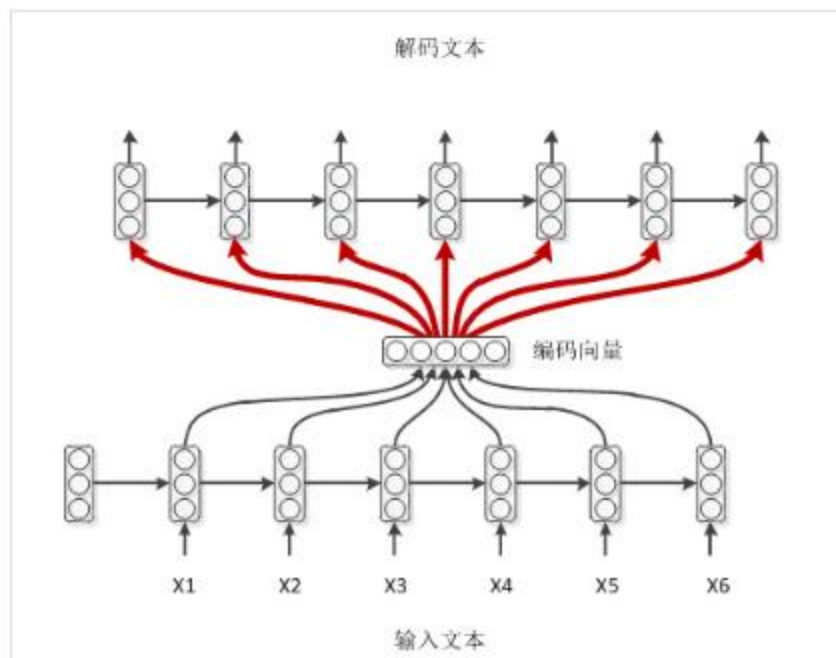
$$z_t = \sigma(W_z \cdot [h_{t-1}, x_t])$$

$$r_t = \sigma(W_r \cdot [h_{t-1}, x_t])$$

$$\tilde{h}_t = \tanh(W \cdot [r_t * h_{t-1}, x_t])$$

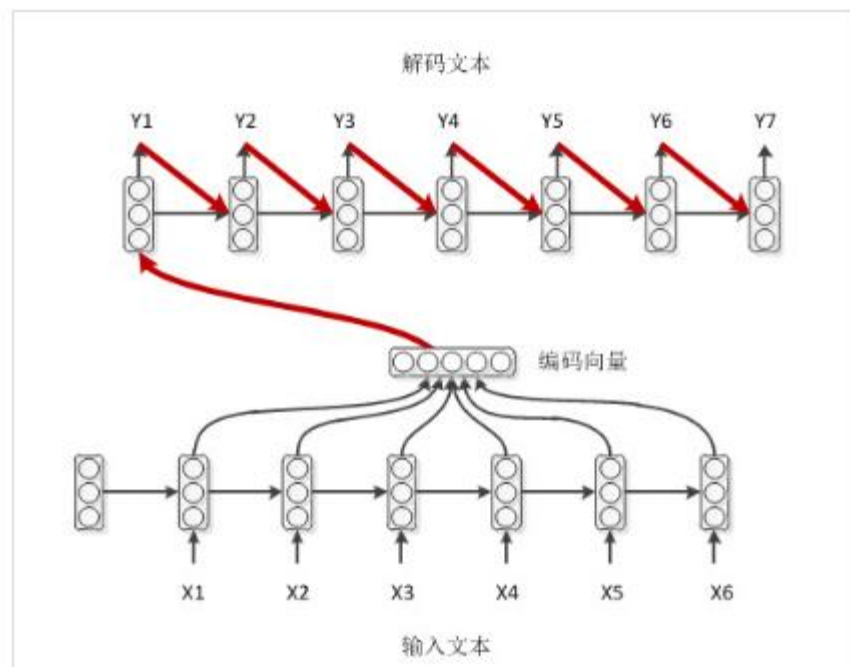
$$h_t = (1 - z_t) * h_{t-1} + z_t * \tilde{h}_t$$

# $E_n - D_n$ : 学霸型



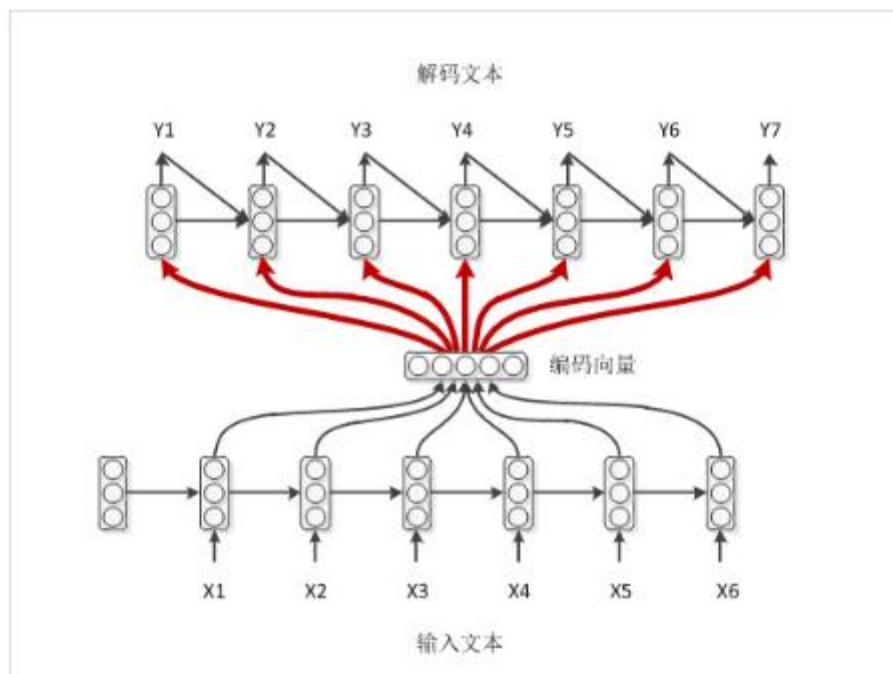
- 把编码端得到的编码向量做为解码模型每个时刻的输入特征

# $E_n - D_n$ : 学弱型



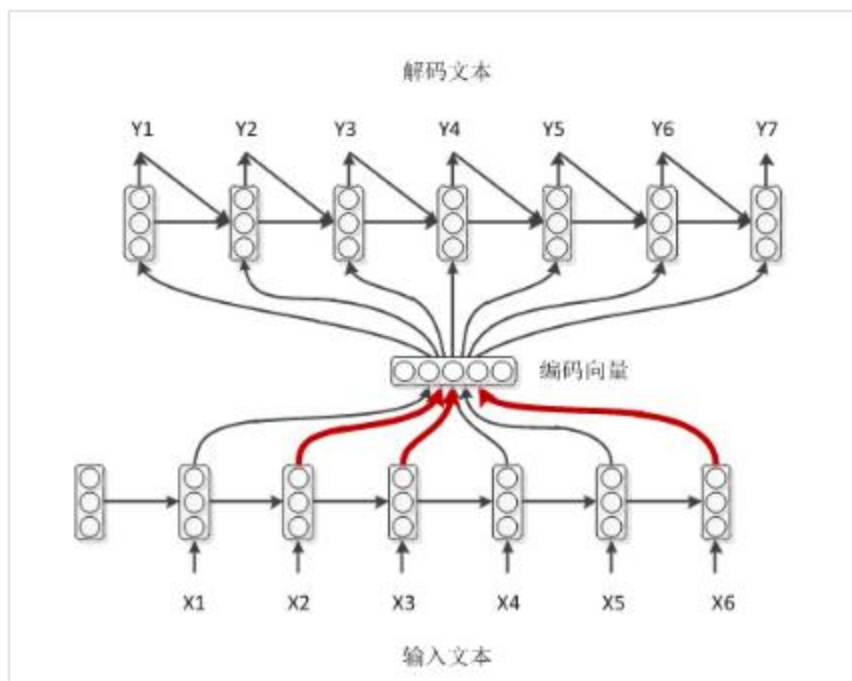
- 带输出回馈的解码方式，将当前时刻之前的输出也作为解码器的输入

# $E_n - D_n$ : 学弱型



➤ 编码向量参与到解码的各个时刻之中

# $E_n - D_n$ : 学渣型



- 知道对于当前时刻而言，各个输入的权重/影响力
- 注意力模型

# Machine Translation Example

- 举例：Students love science.——学生热爱科学。

- $y_1 = \text{students}$ ,  $y_2 = \text{love}$ ,  $y_3 = \text{science}$ .  
 $y_1 = f(C)$   
 $y_2 = f(C, y_1)$   
 $y_3 = f(C, y_1, y_2)$

- 再举例：Science is an art, and students love **it**.

- $\text{it?science:art}$ .

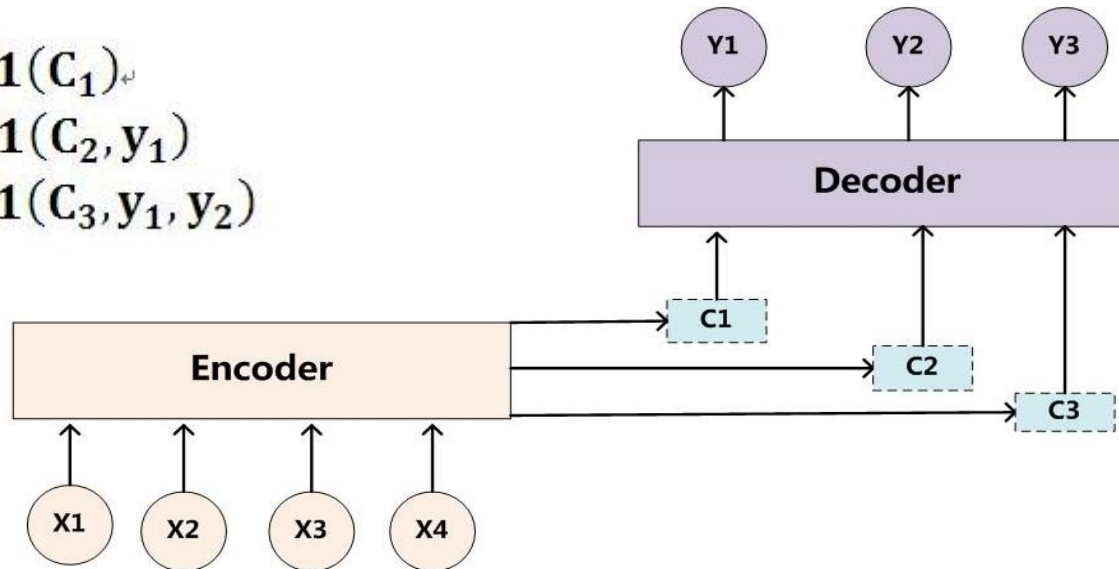
- 科学是一门艺术，学生热爱**科学**。

- $\text{science}(0.3), \text{is}(0.05), \text{an}(0.05), \text{art}(0.1), \text{and}(0.05), \text{students}(0.1), \text{love}(0.05), \text{it}(0.3)$



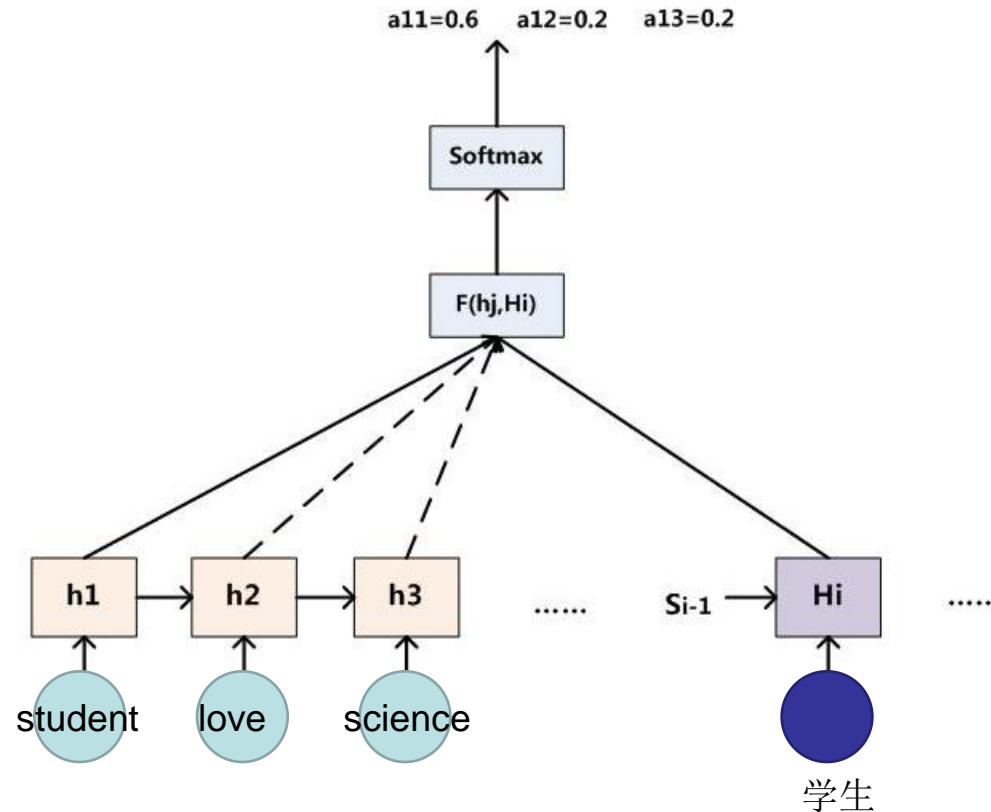
# En-Dn with Attention Model

$$\begin{aligned}y_1 &= f1(C_1) \\ y_2 &= f1(C_2, y_1) \\ y_3 &= f1(C_3, y_1, y_2)\end{aligned}$$

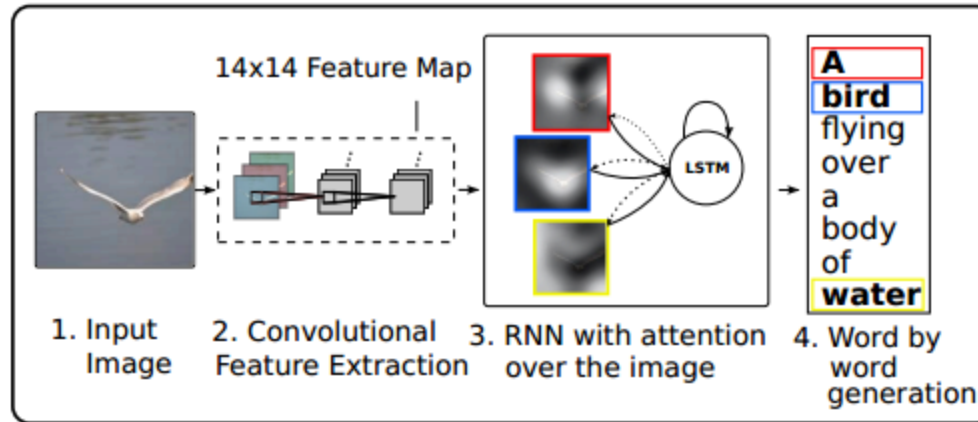


$$\begin{aligned}C_{\text{学生}} &= g(0.6 * f_2(\text{"student"}), 0.2 * f_2(\text{"love"}), 0.2 * f_2(\text{"science"})) \\ C_{\text{热爱}} &= g(0.2 * f_2(\text{"student"}), 0.7 * f_2(\text{"love"}), 0.1 * f_2(\text{"science"})) \\ C_{\text{科学}} &= g(0.3 * f_2(\text{"student"}), 0.2 * f_2(\text{"love"}), 0.5 * f_2(\text{"science"}))\end{aligned}$$

# How to calculate Attention?



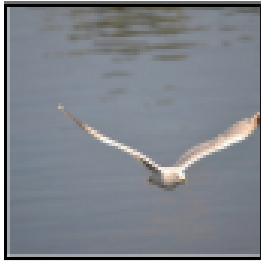
# Image Caption



➤ Automatically generating captions of an image

$$y = \{y_1, \dots, y_C\}, y_i \in \mathbb{R}^K$$

# Example



A



bird



flying



over



a



body



of



water



▪

# Encoder:CNN

- We use a **convolutional neural network** in order to extract a set of feature vectors which we refer to as **annotation vectors**.
- The extractor produces  $L$  vectors, each of which is a  $D$ -dimensional representation corresponding to **a part of the image**.

$$a = \{\mathbf{a}_1, \dots, \mathbf{a}_L\}, \mathbf{a}_i \in \mathbb{R}^D$$

# Decoder:LSTM

$$\begin{pmatrix} \mathbf{i}_t \\ \mathbf{f}_t \\ \mathbf{o}_t \\ \mathbf{g}_t \end{pmatrix} = \begin{pmatrix} \sigma \\ \sigma \\ \sigma \\ \tanh \end{pmatrix} T_{D+m+n,n} \begin{pmatrix} \mathbf{E} \mathbf{y}_{t-1} \\ \mathbf{h}_{t-1} \\ \hat{\mathbf{z}}_t \end{pmatrix} \quad (1)$$

$$\mathbf{c}_t = \mathbf{f}_t \odot \mathbf{c}_{t-1} + \mathbf{i}_t \odot \mathbf{g}_t \quad (2)$$

$$\mathbf{h}_t = \mathbf{o}_t \odot \tanh(\mathbf{c}_t). \quad (3)$$

- the context vector  $\mathbf{z}_t$  is a dynamic representation of the **relevant part** of the image input at time  $t$
- **the relative importance** to give to location  $i$  in blending the  $\mathbf{a}_i$ 's together

# Soft Attention Model

- The weight  $\alpha_i$  of each annotation vector  $\mathbf{a}_i$  is computed by an attention model  $f_{\text{att}}$
- $f_{\text{att}}$ : we use a multilayer perceptron conditioned on the previous hidden state  $\mathbf{h}_{t-1}$ .

$$e_{ti} = f_{\text{att}}(\mathbf{a}_i, \mathbf{h}_{t-1})$$
$$\alpha_{ti} = \frac{\exp(e_{ti})}{\sum_{k=1}^L \exp(e_{tk})}.$$

$$\hat{\mathbf{z}}_t = \phi(\{\mathbf{a}_i\}, \{\alpha_i\})$$

# Initialization and Output

- The initial memory state and hidden state of the LSTM are predicted by an average of the annotation vectors:

$$\mathbf{c}_0 = f_{\text{init},c}\left(\frac{1}{L} \sum_i^L \mathbf{a}_i\right)$$

$$\mathbf{h}_0 = f_{\text{init},h}\left(\frac{1}{L} \sum_i^L \mathbf{a}_i\right)$$

- Compute the output word probability given the LSTM state, the context vector and the previous word:

$$p(\mathbf{y}_t | \mathbf{a}, \mathbf{y}_1^{t-1}) \propto \exp(\mathbf{L}_o(\mathbf{E}\mathbf{y}_{t-1} + \mathbf{L}_h\mathbf{h}_t + \mathbf{L}_z\hat{\mathbf{z}}_t))$$



# 想法与计划

- 数据预处理：1) 过滤脏数据；2) 平滑或离散化数据；3) 道路权重信息
- 需要纳入考虑的：1) 将道路间的相互影响纳入考虑；2) 合适的Encoder选取；3) 拥堵的定义；4) 工作日与非工作日、高峰期与非高峰期、长期历史数据与最近短期数据的权衡
- 难点：1) 神经网络的设计（特别是加入道路权重等因素）；2) 动态的道路权重；3) 降低神经网络训练的难度