

## Week 3: Decision Trees (1)

### Step 1: Entropy for the Class

- Class label: {yes, no}
- 9 instances of yes and 5 instances of no

$$\begin{aligned}Ent(D) &= -\frac{9}{14} \log_2 \frac{9}{14} - \frac{5}{14} \log_2 \frac{5}{14} \\&= -0.642(-0.639) - 0.357(-1.486) \\&= 0.410 + 0.531 \\&= 0.941 \text{ bits}\end{aligned}$$

### Step 2: Entropy for the Attributes

#### Age:

- Youth: 2 yes; 3 no
- Middle\_aged: 4 yes; 0 no
- Senior: 3 yes; 2 no

$$\begin{aligned}Ent_{(age)} &= \frac{5}{14} \left( -\frac{2}{5} \log_2 \frac{2}{5} - \frac{3}{5} \log_2 \frac{3}{5} \right) + \frac{4}{14} \left( -\frac{4}{4} \log_2 \frac{4}{4} - \frac{0}{4} \log_2 \frac{0}{4} \right) + \frac{5}{14} \left( -\frac{3}{5} \log_2 \frac{3}{5} - \frac{2}{5} \log_2 \frac{2}{5} \right) \\&= 0.357 (-0.4(-1.322) - 0.6(-0.737)) + 0.286(0) + 0.357(-0.6(-0.737) - 0.4(-1.322)) \\&= 0.357 (0.971) + 0 + 0.357(0.971) = 0.694 \text{ bits}\end{aligned}$$

#### Income:

- Low: 3 yes; 1 no
- Medium: 4 yes; 2 no
- High: 2 yes; 2 no

$$\begin{aligned}Ent_{(income)} &= \frac{4}{14} \left( -\frac{3}{4} \log_2 \frac{3}{4} - \frac{1}{4} \log_2 \frac{1}{4} \right) + \frac{6}{14} \left( -\frac{4}{6} \log_2 \frac{4}{6} - \frac{2}{6} \log_2 \frac{2}{6} \right) + \frac{4}{14} \left( -\frac{2}{4} \log_2 \frac{2}{4} - \frac{2}{4} \log_2 \frac{2}{4} \right) \\&= 0.285(-0.75(-0.415) - 0.25(-2)) + 0.429(-0.667(-0.584) - 0.333(-1.586)) + 0.285(-0.5(-1) - 0.5(-1)) \\&= 0.285(0.81125) + 0.429(0.9177) + 0.285(1) = 0.9099 \text{ bits}\end{aligned}$$

#### Student:

- Yes: 6 yes; 1 no
- No: 3 yes; 4 no

$$\begin{aligned}Ent_{(student)} &= \frac{7}{14} \left( -\frac{6}{7} \log_2 \frac{6}{7} - \frac{1}{7} \log_2 \frac{1}{7} \right) + \frac{7}{14} \left( -\frac{3}{7} \log_2 \frac{3}{7} - \frac{4}{7} \log_2 \frac{4}{7} \right) \\&= 0.5(-0.857(-0.223) - 0.143(-2.806)) + 0.5(-0.429(-1.221) - 0.571(-0.808)) \\&= 0.5(0.5924) + 0.5(0.985) = 0.7887 \text{ bits}\end{aligned}$$

### Credit\_Rating:

- Fair: 6 yes; 2 no
- Excellent: 3 yes, 3 no

$$Ent_{(credit\_rating)} = \frac{8}{14} \left( -\frac{6}{8} \log_2 \frac{6}{8} - \frac{2}{8} \log_2 \frac{2}{8} \right) + \frac{6}{14} \left( -\frac{3}{6} \log_2 \frac{3}{6} - \frac{3}{6} \log_2 \frac{3}{6} \right)$$

$$= 0.571(-0.75(-0.415) - 0.25(-2)) + 0.429(-0.5(-1) - 0.5(-1))$$

$$= 0.571(0.8112) + 0.429(1) = 0.892 \text{ bits}$$

### Step 3: Calculate Gain

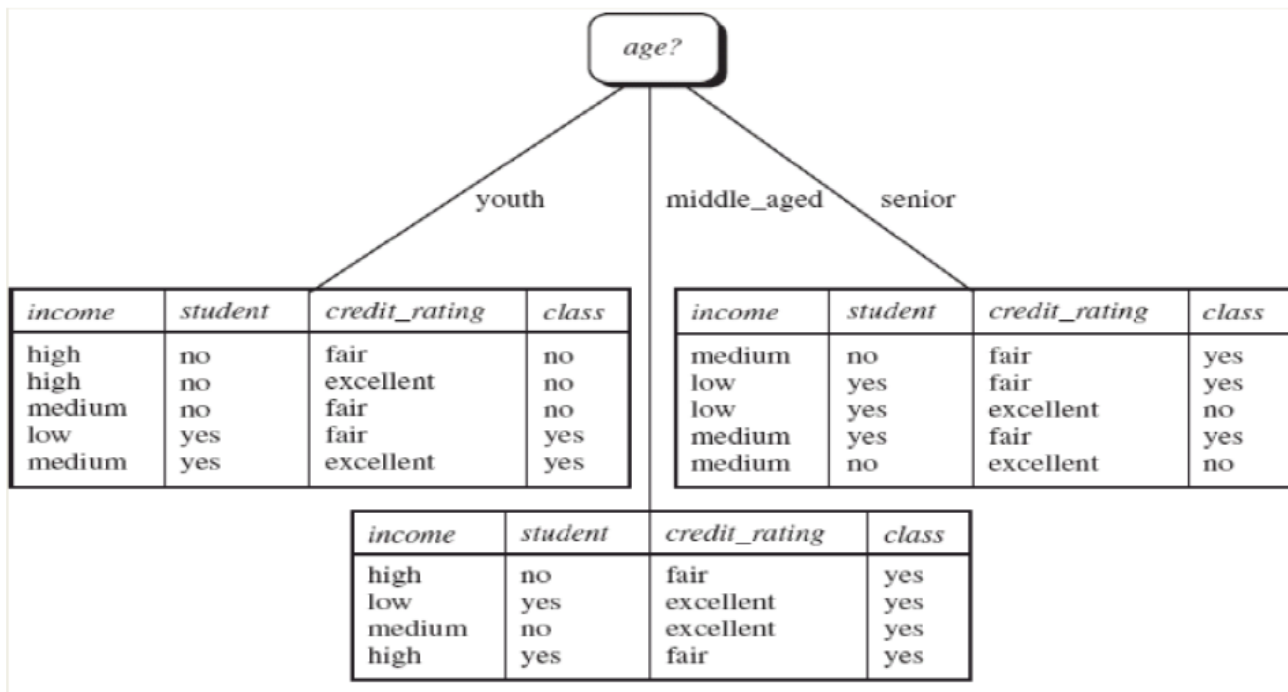
$$Gain(Age) = 0.940 - 0.694 = 0.246 \text{ bits}$$

$$Gain(income) = 0.940 - 0.9099 = 0.030 \text{ bits}$$

$$Gain(student) = 0.940 - 0.7887 = 0.151 \text{ bits}$$

$$Gain(credit\_rating) = 0.940 - 0.892 = 0.048 \text{ bits}$$

Because *age* has the highest information gain among the attributes, it is selected as the splitting attribute



Let's now consider the 2<sup>nd</sup> level attribute selection:

### Middle\_aged branch:

If we examine the table below, we can see that there are no possible splitting. Thus, this will be the final node.

<i>income</i>	<i>student</i>	<i>credit_rating</i>	<i>class</i>
high	no	fair	yes
low	yes	excellent	yes
medium	no	excellent	yes
high	yes	fair	yes

### Youth branch:

#### Step 1: Entropy for the Class

- Class label: {yes, no}
- 2 instances of yes and 3 instances of no

$$Ent(D) = -\frac{2}{5} \log_2 \frac{2}{5} - \frac{3}{5} \log_2 \frac{3}{5}$$

$$= -0.4(-1.322) - 0.6(-0.737) = 0.971 \text{ bits}$$

#### Step 2: Entropy for the Attributes

##### Income:

- Low: 1 yes; 0 no
- Medium: 1 yes; 1 no
- High: 0 yes, 2 no

$$Ent_{(income)} = \frac{1}{5} \left( -\frac{1}{1} \log_2 \frac{1}{1} - \frac{0}{1} \log_2 \frac{0}{1} \right) + \frac{2}{5} \left( -\frac{1}{2} \log_2 \frac{1}{2} - \frac{1}{2} \log_2 \frac{1}{2} \right) + \frac{2}{5} \left( -\frac{0}{2} \log_2 \frac{0}{2} - \frac{2}{2} \log_2 \frac{2}{2} \right)$$

$$= 0.2(-1(0) - 0(-\infty)) + 0.4(-0.5(-1) - 0.5(-1)) + 0.4(-0(-\infty) - 1(0))$$

$$= 0 + 0.4(1) + 0 = 0.40 \text{ bits}$$

##### Student:

- Yes: 2 yes; 0 no
- No: 0 yes; 3 no

$$Ent_{(student)} = \frac{2}{5} \left( -\frac{2}{2} \log_2 \frac{2}{2} - \frac{0}{2} \log_2 \frac{0}{2} \right) + \frac{3}{5} \left( -\frac{0}{3} \log_2 \frac{0}{3} - \frac{3}{3} \log_2 \frac{3}{3} \right)$$

$$= 0.4(-1(0) - 0(-\infty)) + 0.6(-0(-\infty) - 1(0)) = 0 \text{ bits}$$

### Credit\_rating

- Fair: 1 yes; 2 no
- Excellent: 1 yes, 1 no

$$\begin{aligned} Ent_{(credit\_rating)} &= \frac{8}{14} \left( -\frac{6}{8} \log_2 \frac{6}{8} - \frac{2}{8} \log_2 \frac{2}{8} \right) + \frac{6}{14} \left( -\frac{3}{6} \log_2 \frac{3}{6} - \frac{3}{6} \log_2 \frac{3}{6} \right) \\ &= 0.571(-0.75(-0.415) - 0.25(-2)) + 0.429(-0.5(-1) - 0.5(-1)) \\ &= 0.571(0.8113) + 0.429(1) = \mathbf{0.892 \text{ bits}} \end{aligned}$$

### Step 3: Calculate Gain

$$Gain(income) = 0.971 - 0.4 = \mathbf{0.571 \text{ bits}}$$

$$Gain(student) = 0.971 - 0 = \mathbf{0.971 \text{ bits}}$$

$$Gain(credit\_rating) = 0.971 - 0.892 = \mathbf{0.079 \text{ bits}}$$

Because *student* has the highest information gain among the attributes, it is selected as the splitting attribute

### Senior branch:

#### Step 1: Entropy for the Class

- Class label: {yes, no}
- 3 instances of yes and 2 instances of no

$$\begin{aligned} Ent(D) &= -\frac{3}{5} \log_2 \frac{3}{5} - \frac{2}{5} \log_2 \frac{2}{5} \\ &= -0.6(-0.737) - 0.4(-1.322) = \mathbf{0.971 \text{ bits}} \end{aligned}$$

#### Step 2: Entropy for the Attributes

##### Income:

- Low: 1 yes; 0 no
- Medium: 2 yes; 1 no
- High: 0 yes, 0 no

$$\begin{aligned} Ent_{(income)} &= \frac{1}{5} \left( -\frac{1}{1} \log_2 \frac{1}{1} - \frac{0}{1} \log_2 \frac{0}{1} \right) + \frac{3}{5} \left( -\frac{2}{3} \log_2 \frac{2}{3} - \frac{1}{3} \log_2 \frac{1}{3} \right) \\ &= 0.2(-1(0) - 0(-\infty)) + 0.6(-0.667(-0.584) - 0.333(-1.586)) \\ &= 0 + 0.6(0.917) = \mathbf{0.551 \text{ bits}} \end{aligned}$$

### Student:

- Yes: 2 yes; 1 no
- No: 1 yes; 1 no

$$\begin{aligned} Ent_{(student)} &= \frac{3}{5} \left( -\frac{2}{3} \log_2 \frac{2}{3} - \frac{1}{3} \log_2 \frac{1}{3} \right) + \frac{2}{5} \left( -\frac{1}{2} \log_2 \frac{1}{2} - \frac{1}{2} \log_2 \frac{1}{2} \right) \\ &= 0.6(-0.667(-0.584) - 0.333(-1.586)) + 0.4(-0.5(-1) - 0.5(-1)) \\ &= 0.6(0.918) + 0.4(1) = \mathbf{0.951 \text{ bits}} \end{aligned}$$

### Credit\_rating

- Fair: 3 yes; 0 no
- Excellent: 0 yes, 2 no

$$\begin{aligned} Ent_{(credit\_rating)} &= \frac{3}{5} \left( -\frac{3}{3} \log_2 \frac{3}{3} - \frac{0}{3} \log_2 \frac{0}{3} \right) + \frac{2}{5} \left( -\frac{0}{2} \log_2 \frac{0}{2} - \frac{2}{2} \log_2 \frac{2}{2} \right) \\ &= 0.6(-1(0) - 0(-\infty)) + 0.4(-0(-\infty) - 1(0)) = \mathbf{0 \text{ bits}} \end{aligned}$$

### Step 3: Calculate Gain

$$Gain(income) = 0.971 - 0.551 = \mathbf{0.42 \text{ bits}}$$

$$Gain(student) = 0.971 - 0.951 = \mathbf{0.02 \text{ bits}}$$

$$Gain(credit\_rating) = 0.971 - 0 = \mathbf{0.971 \text{ bits}}$$

Because *credit\_rating* has the highest information gain among the attributes, it is selected as the splitting attribute

The final Decision Tree:

