

# Plants aren't dumb

Using optimality theory to understand big  
questions in plant ecophysiology

**Nick Smith**

Department of Biological Sciences

Texas Tech University

[nick.smith@ttu.edu](mailto:nick.smith@ttu.edu)

# Acknowledgements

- Current and former lab members
  - Alissar Cheaib (postdoc)
  - Evan Perkowski (postdoc)
  - Snehanjana Chatterjee (PhD)
  - Monika Kelley (PhD)
  - Isabella Beltran (PhD)
  - Daniel Owusu Kwakye (PhD)
  - Garrison Garza (PhD)
  - Christine Vanginault (MS; graduating Thursday!)
  - Zinny Ezekannagha (MS)
  - Clara Drake (MS)
  - Gwen Wattt (MS)
  - Brad Posch (postdoc; now at DBG)
  - Jeff Chieppa (postdoc; now in industry)
  - Lizz Waring (postdoc; now Asst. Prof at Northeastern State U)
  - Kieran Carroll (lab manager; now at OU)
  - Eve Gray (MS; now at UT-Austin)
  - Risa McNellis (MS; now at Plum Island LTER)
  - Helen Scott (MS; at BU)
  - Too many (40+) undergrads to list!
- Collaborators around the world
- Funding
  - NSF
  - USDA
  - NPS
  - USGS
  - Schmidt Futures
  - Texas EcoLab



# Why do people care about plants?

# Why do people care about plants?



# Why do people care about plants?



# Why do people care about plants?



# Why do people care about plants?



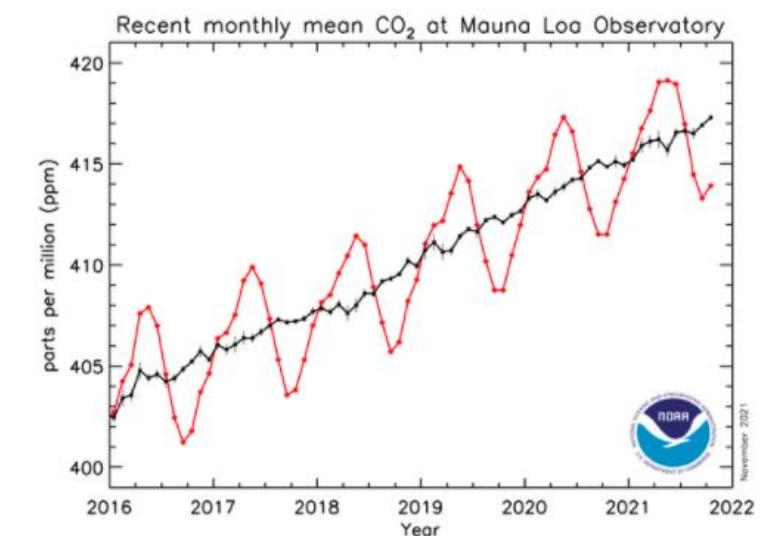
# Why do people care about plants?



# Why do people care about plants?



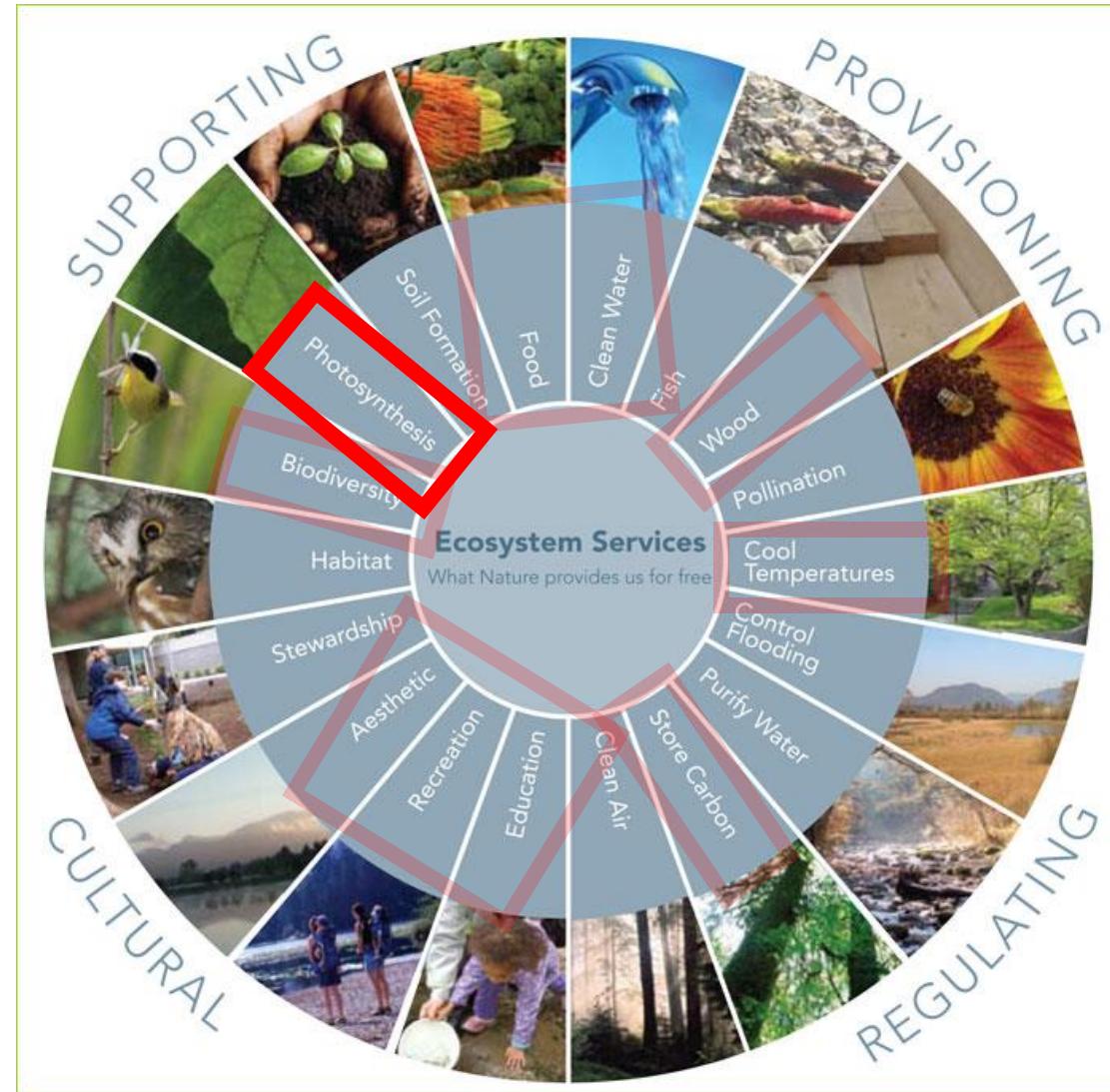
# Why do people care about plants?



# In other words: plants provide ecosystem services



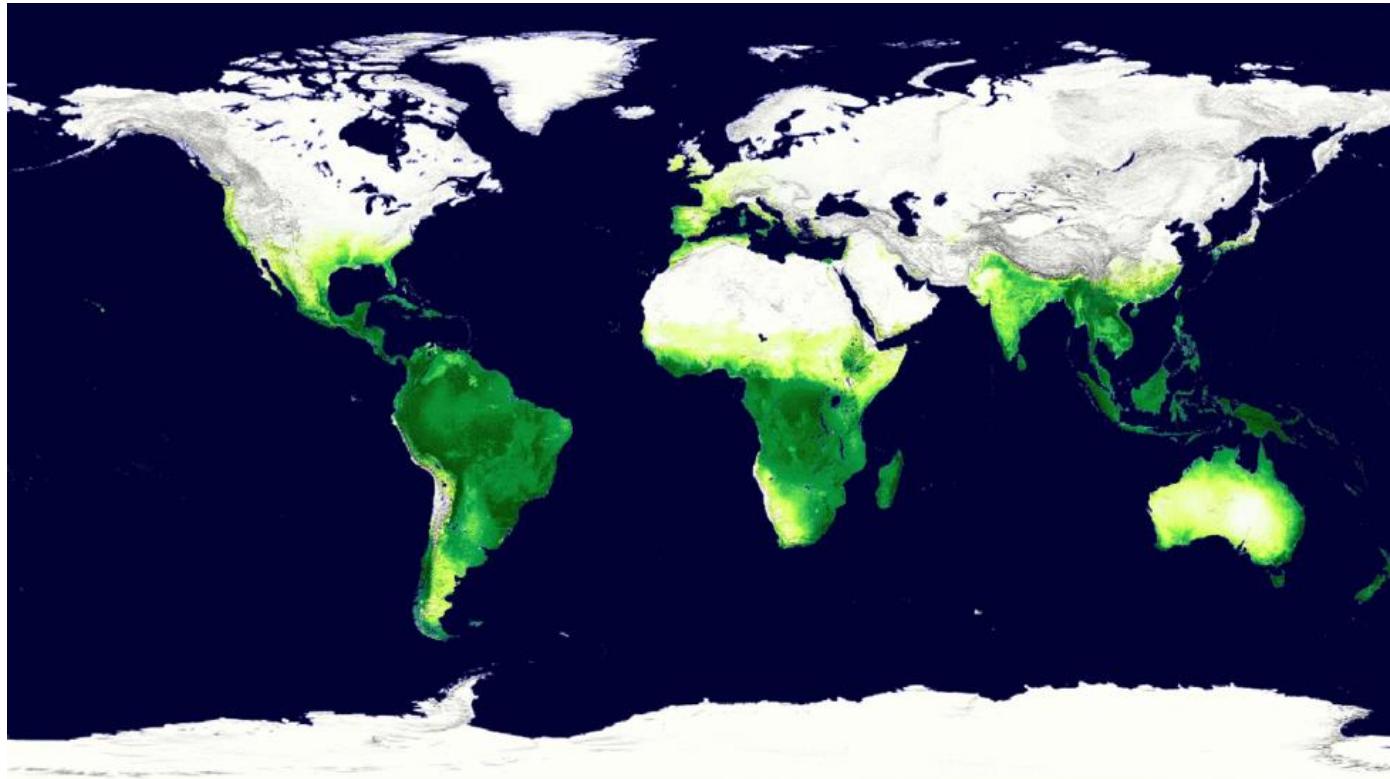
# Including photosynthesis



# Photosynthesis provides the foundation for other services

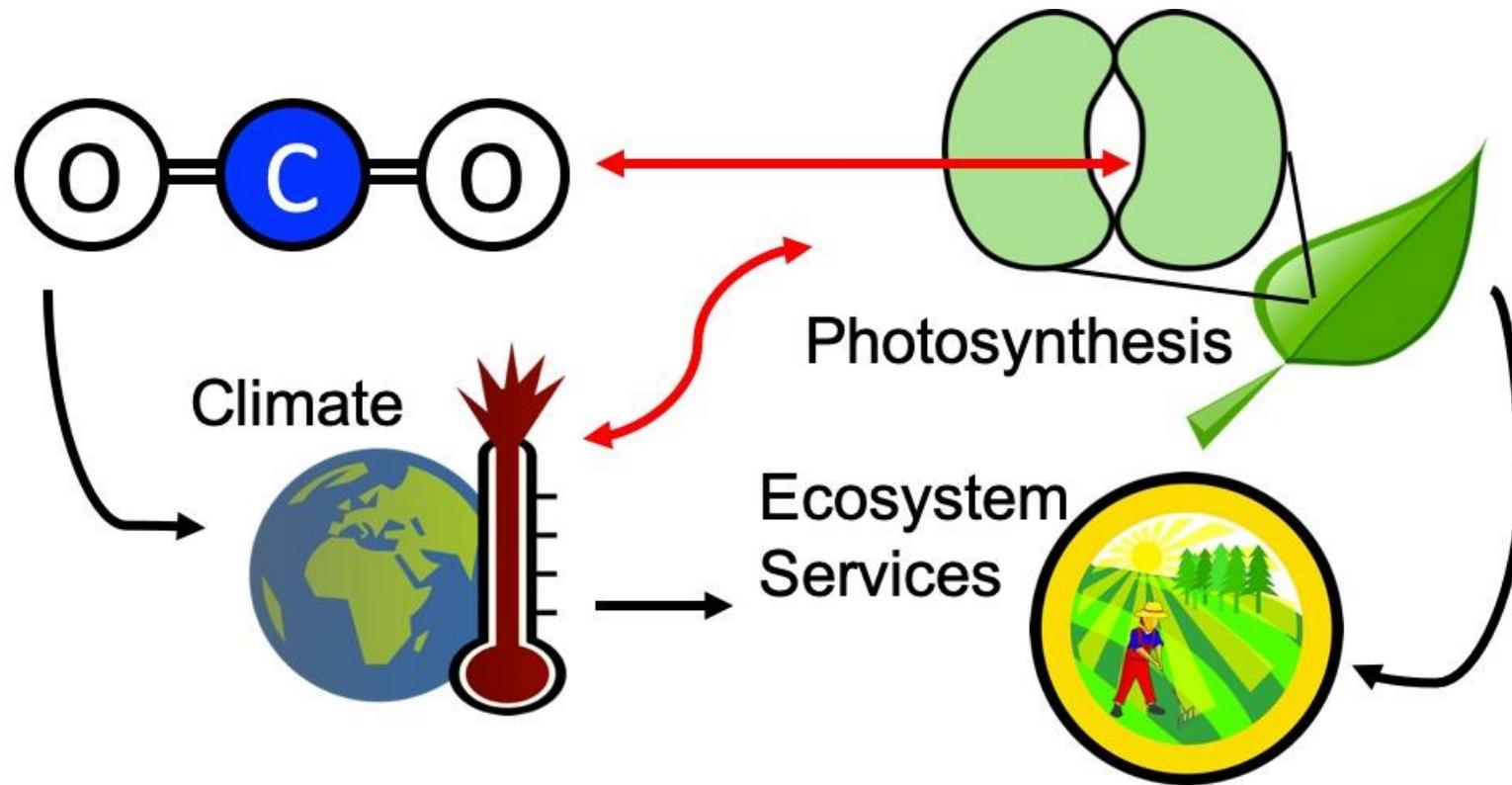


Photosynthesis is a dynamic process that is likely to be impacted by global change



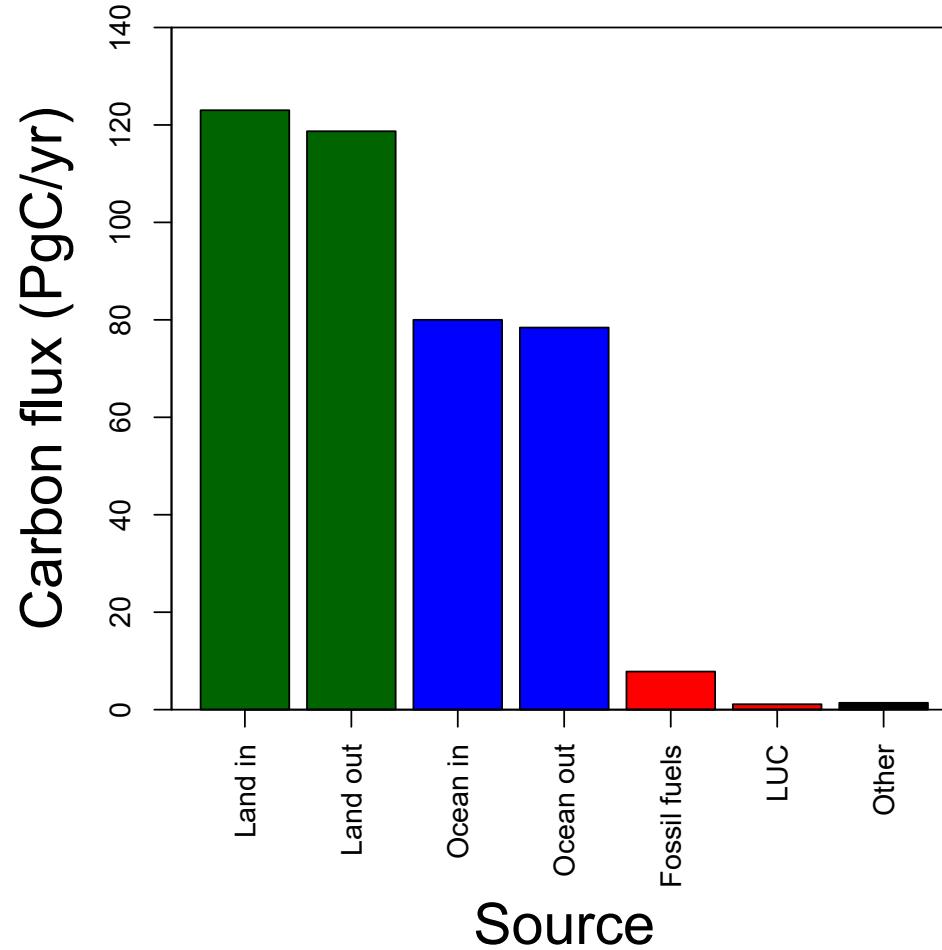
MODIS, NASA

Our lab examines **photosynthesis** as a regulator of global change impacts on ecosystem services



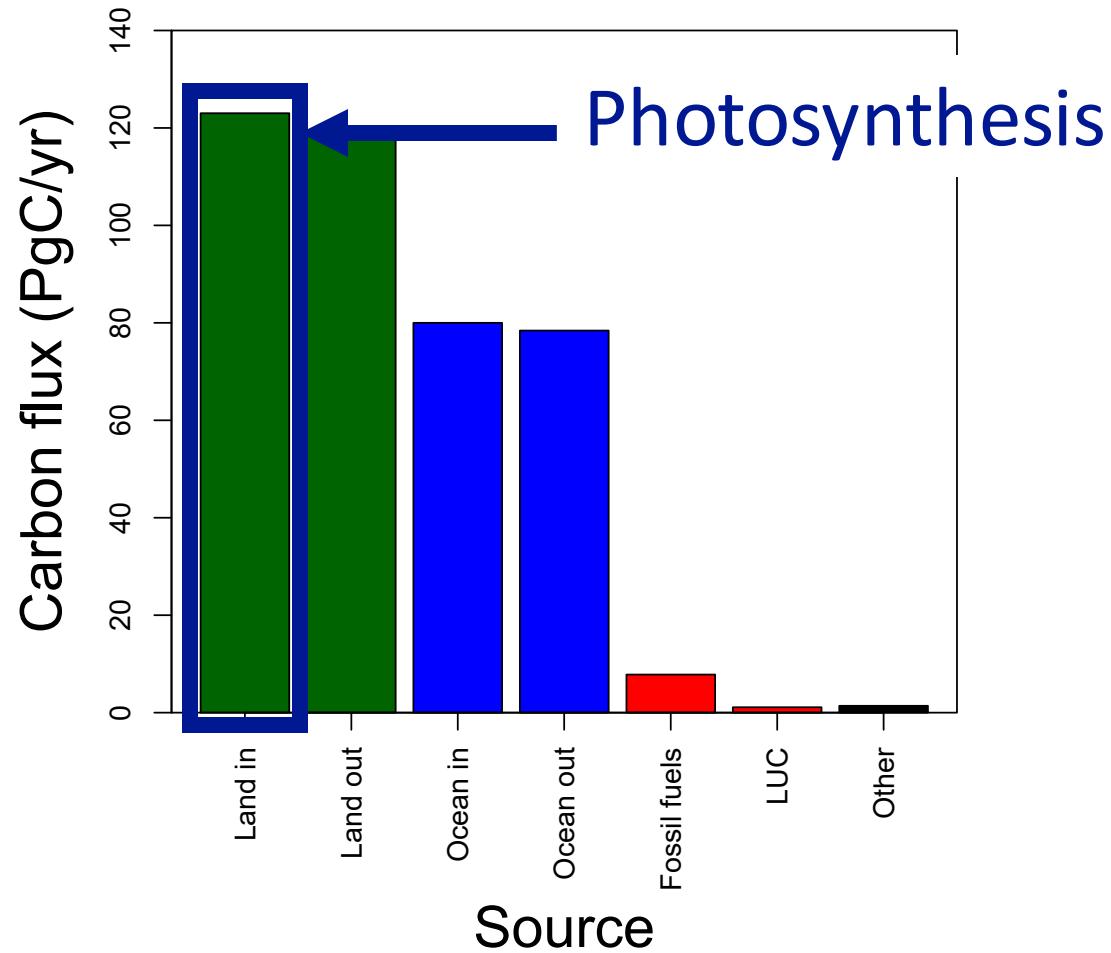
Photosynthesis is important! An example...

# Photosynthesis is important! An example...

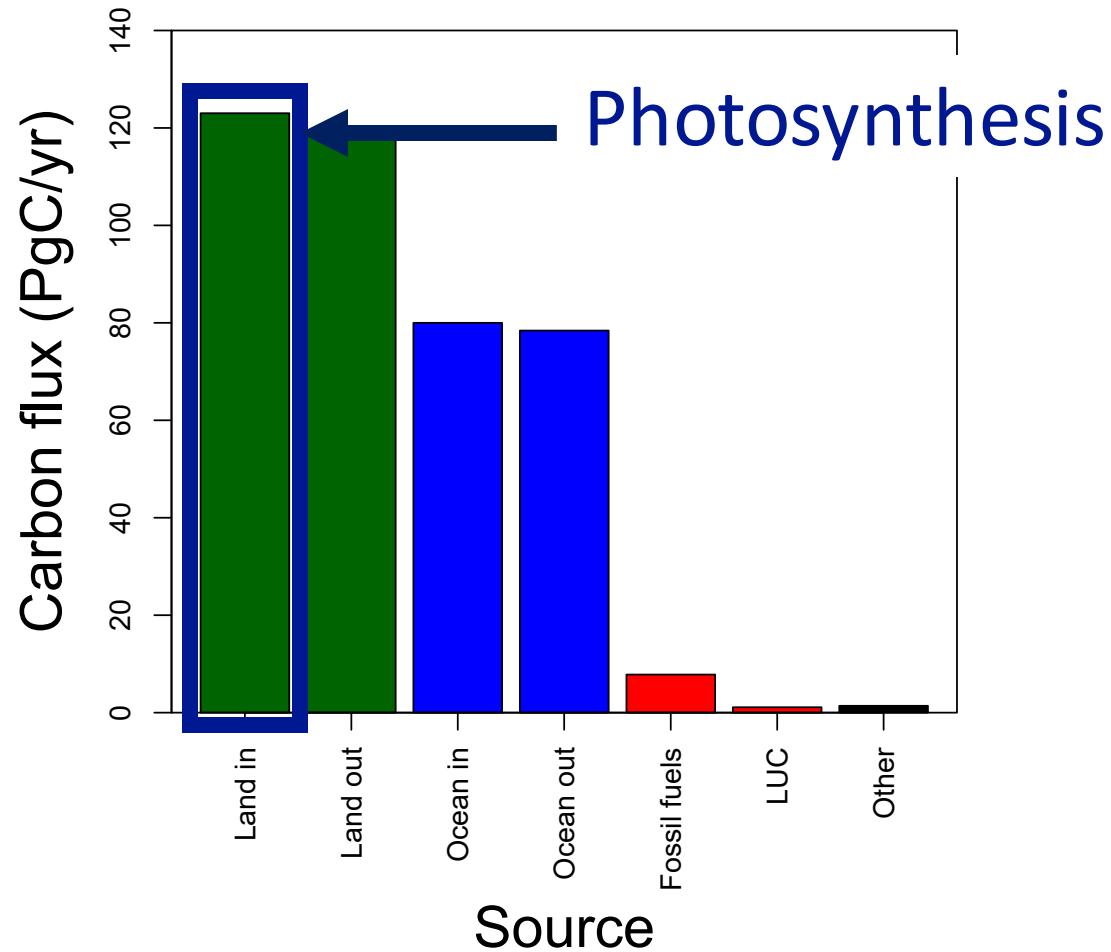


Data from IPCC (2013)

# Photosynthesis is important! An example...

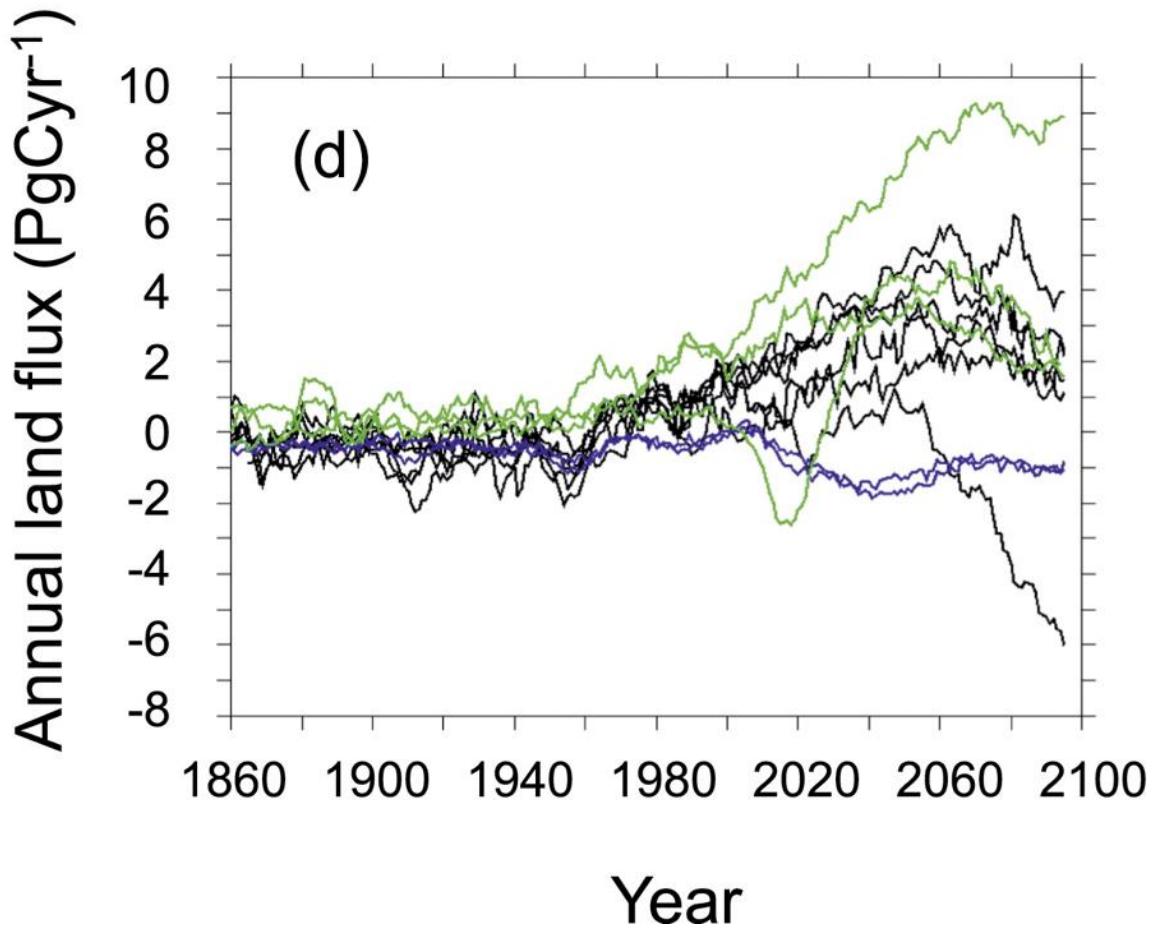


# Photosynthesis is important! An example...

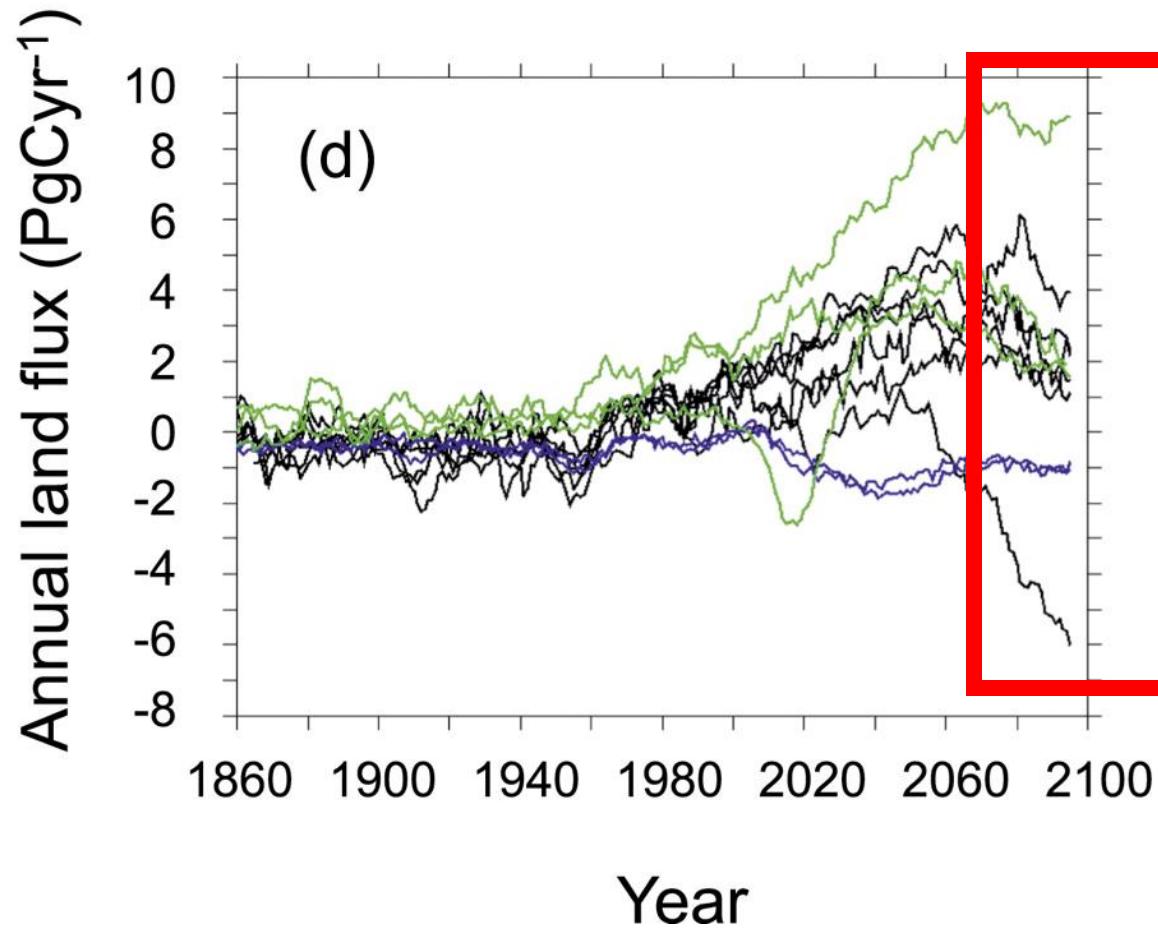


A small percentage change in photosynthesis can have large consequences for climate

# But predictions are uncertain!

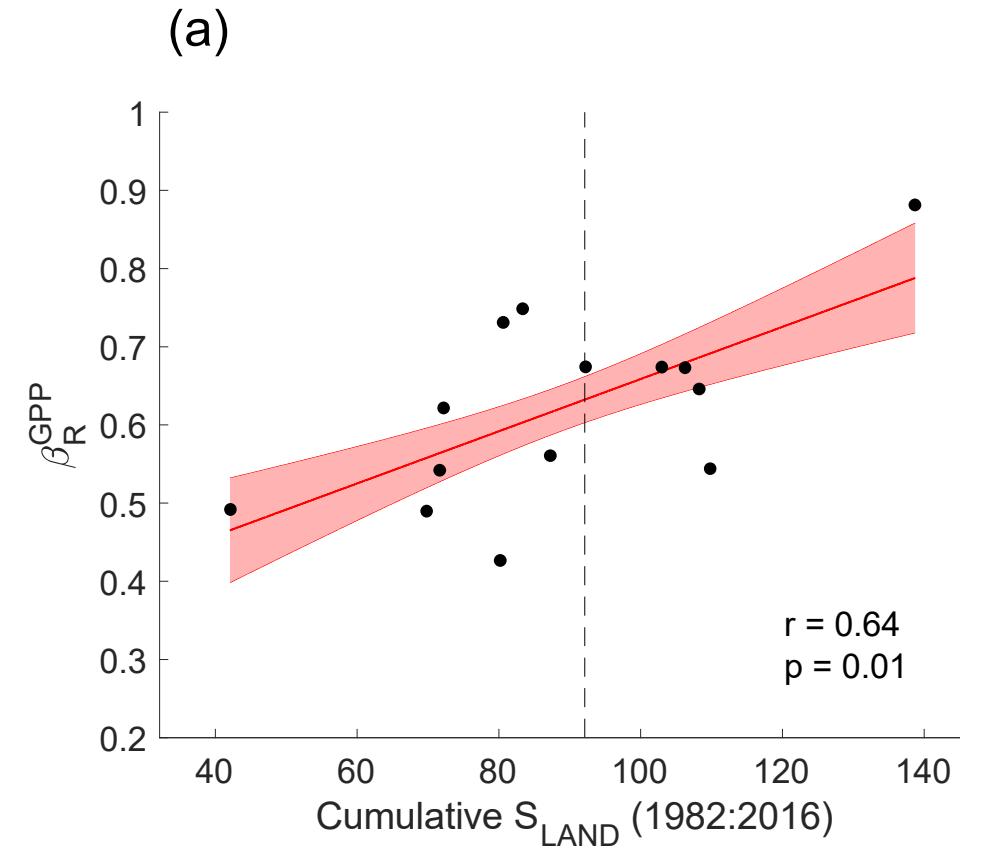
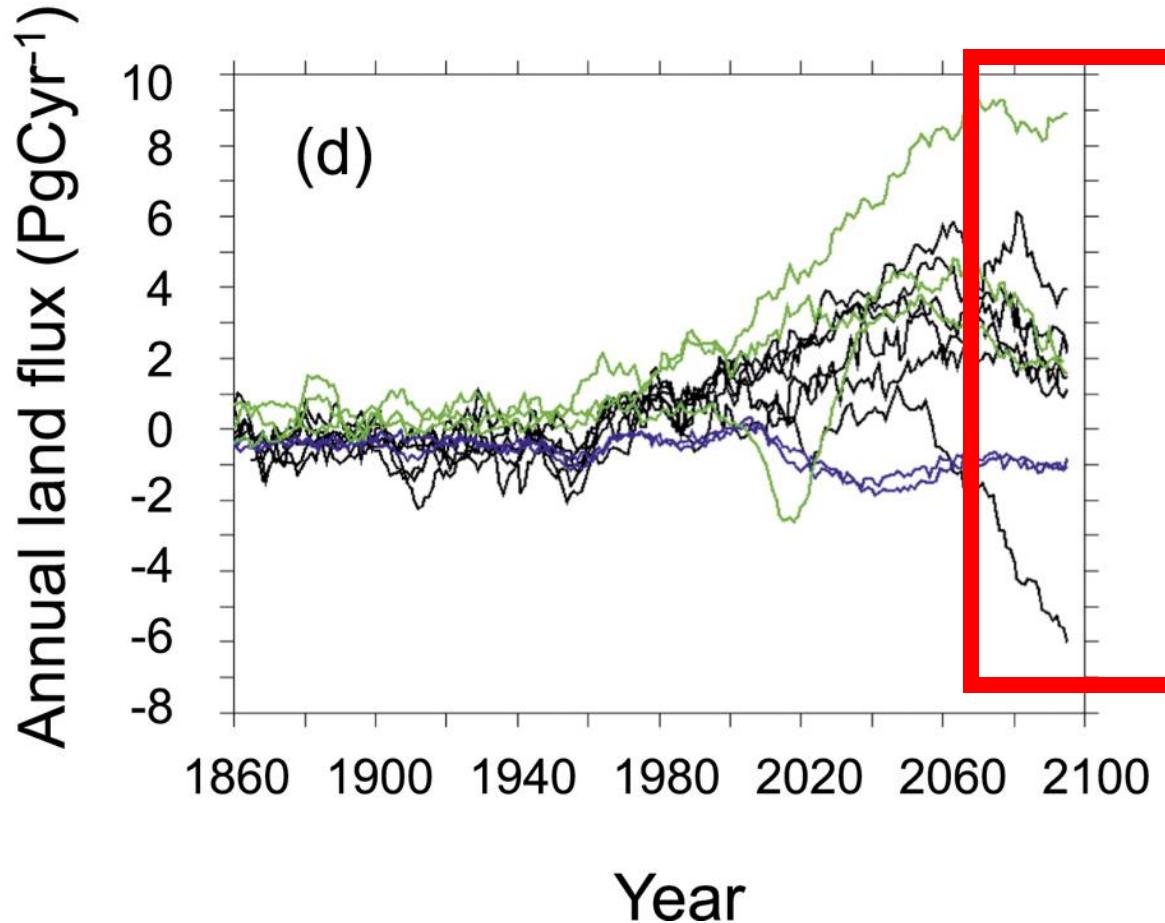


# But predictions are uncertain!



Future model uncertainty (14 Pg) > current fossil fuel emissions (9.5 Pg)

This uncertainty is driven by uncertainty in photosynthesis



# Why the uncertainty? Theoretical models for photosynthesis exist

Planta 149, 78–90 (1980)

**Planta**  
© by Springer-Verlag 1980

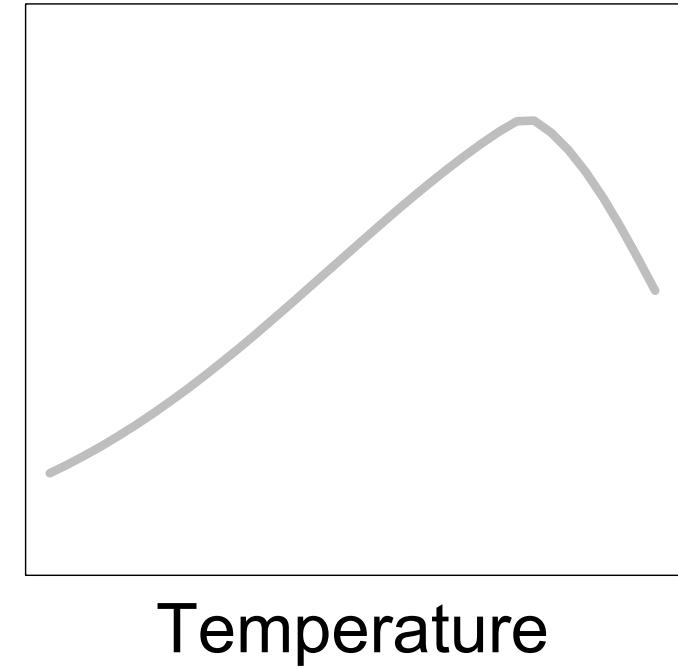
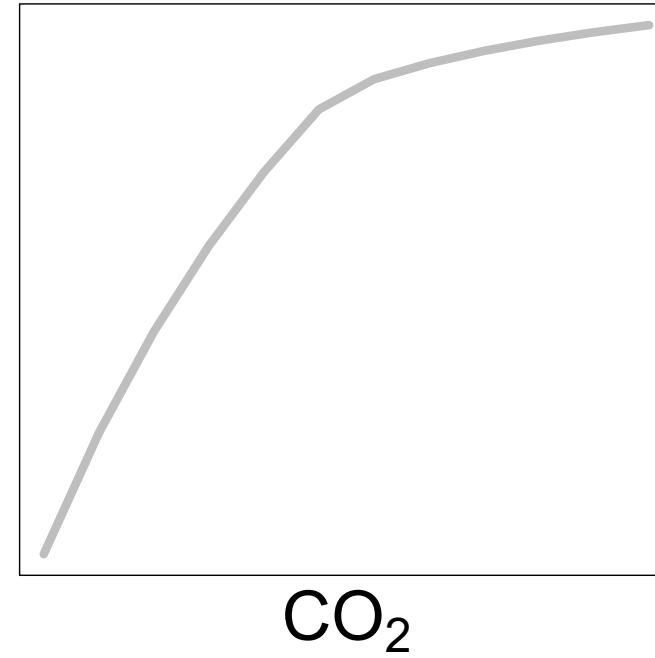
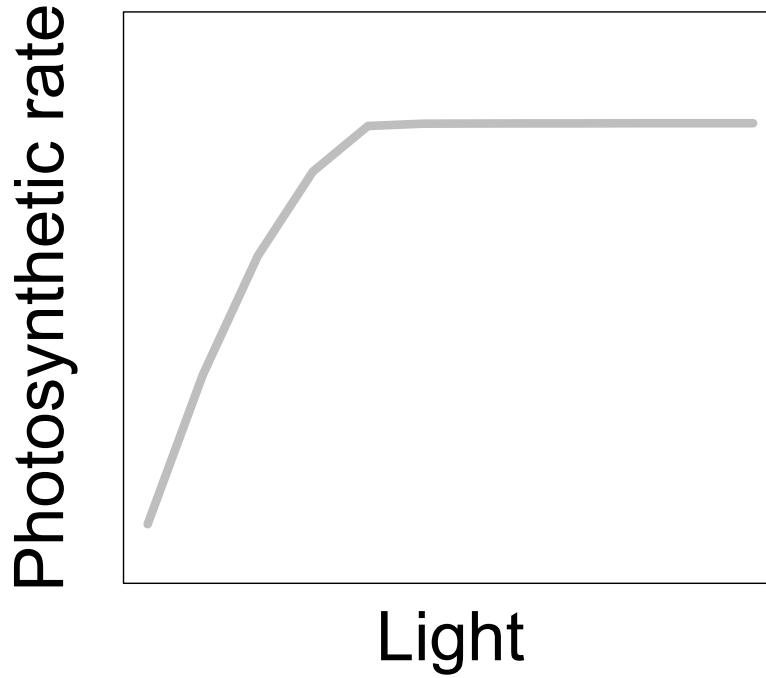
## A Biochemical Model of Photosynthetic CO<sub>2</sub> Assimilation in Leaves of C<sub>3</sub> Species

G.D. Farquhar<sup>1</sup>, S. von Caemmerer<sup>1</sup>, and J.A. Berry<sup>2</sup>

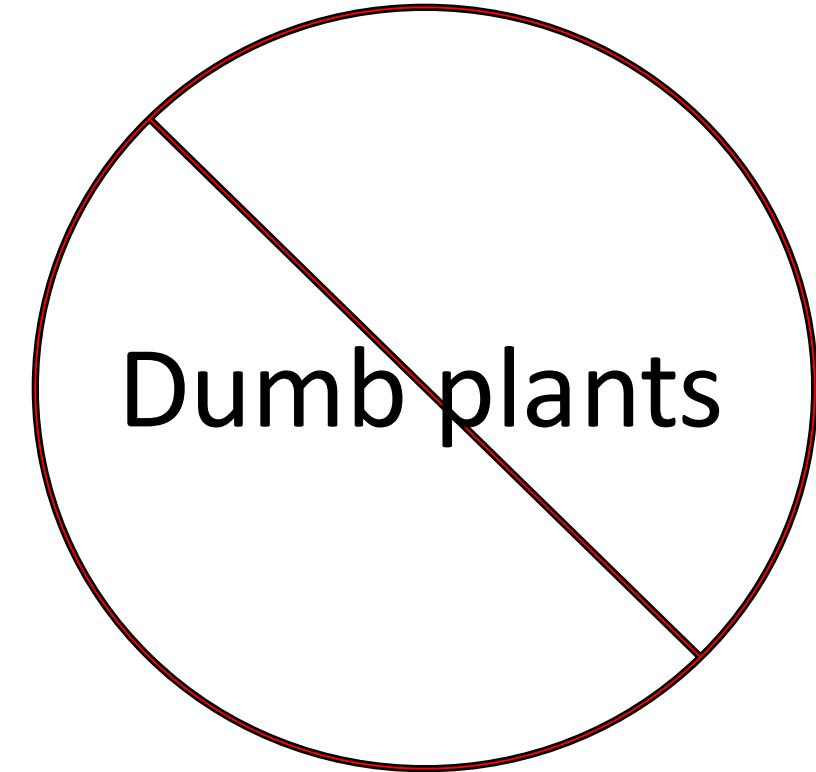
<sup>1</sup> Department of Environmental Biology, Research School of Biological Sciences, Australian National University, P.O. Box 475, Canberra City ACT 2601, Australia and

<sup>2</sup> Carnegie Institution of Washington, Department of Plant Biology, Stanford, Cal. 94305, USA

These produce short term responses that match data

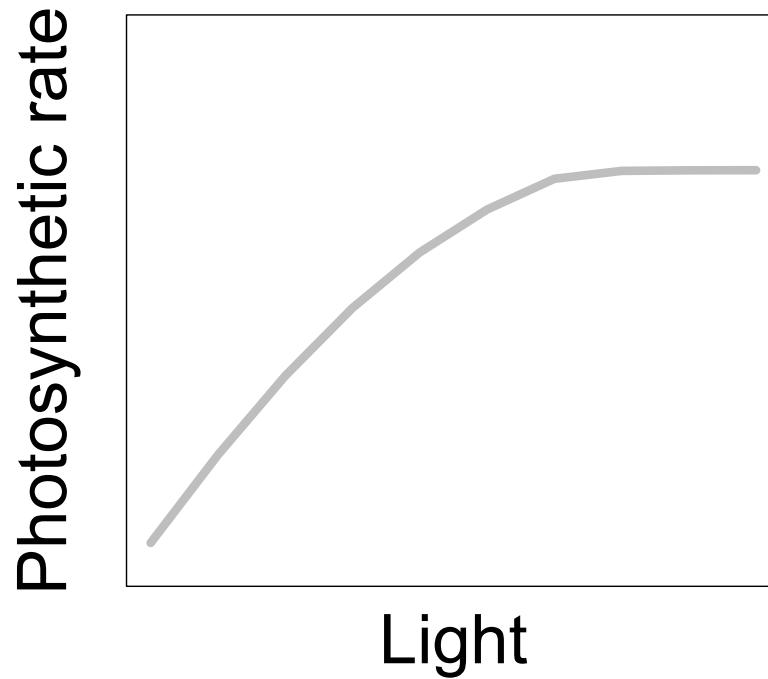


Why the uncertainty? Long-term responses differ from short-term responses due to acclimation

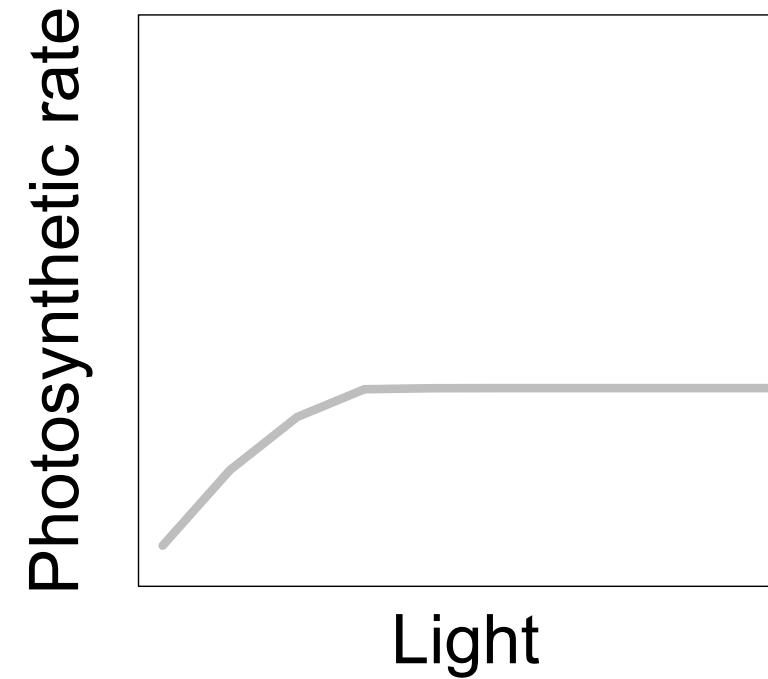


# Acclimation: an example

Acclimated to high light

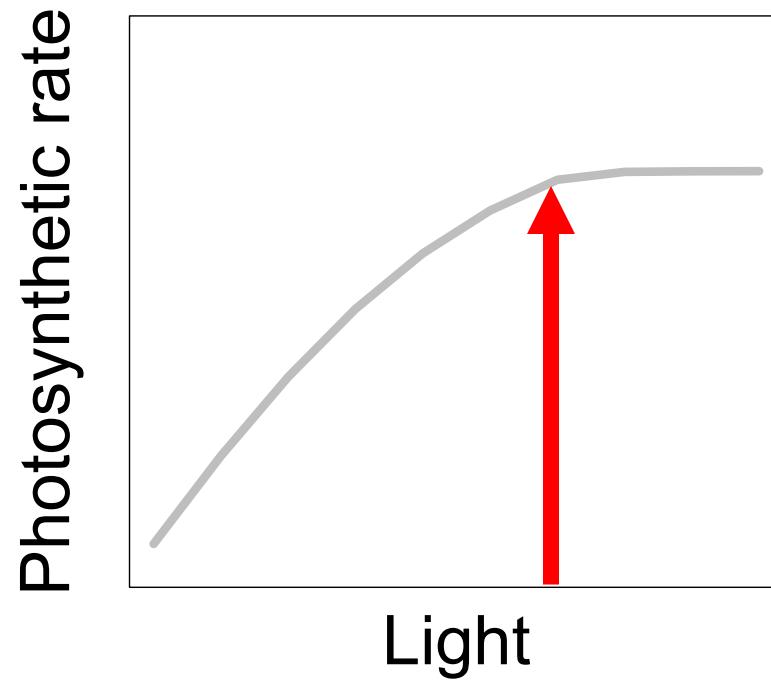


Acclimated to low light

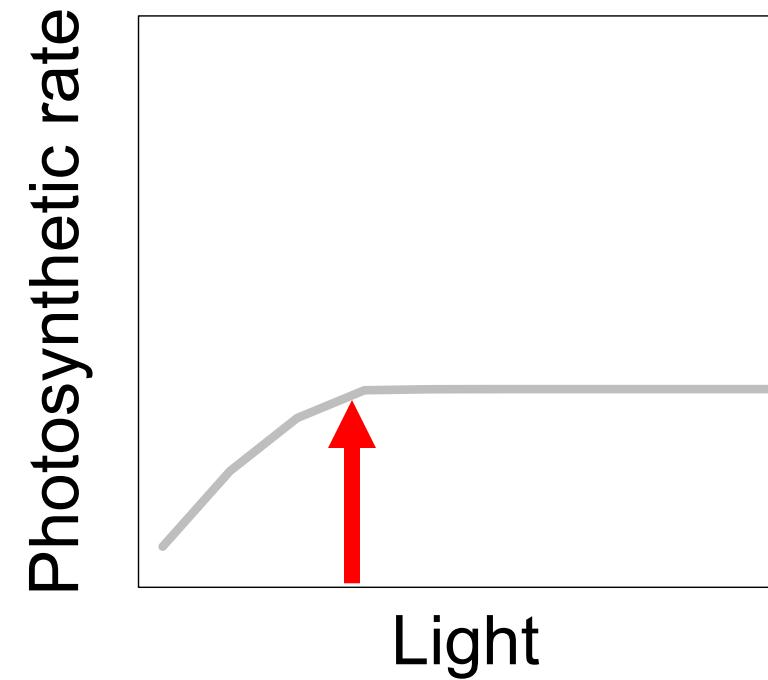


# Acclimation: an example

Acclimated to high light



Acclimated to low light



Photosynthesis peaks at a light level close to the level the leaf is acclimated to

# Acclimation is ubiquitous and well known...

CO<sub>2</sub>: Bazzaz (1990)

*Ann. Rev. Ecol. Syst.* 1990. 21:167–96  
Copyright © 1990 by Annual Reviews Inc. All rights reserved

THE RESPONSE OF NATURAL ECOSYSTEMS TO THE RISING GLOBAL CO<sub>2</sub> LEVELS

F. A. Bazzaz

Light: Boardman (1977)

*Ann. Rev. Plant Physiol.* 1977. 28:355–77  
Copyright © 1977 by Annual Reviews Inc. All rights reserved

COMPARATIVE PHOTOSYNTHESIS OF SUN AND SHADE PLANTS

N. K. Boardman  
Division of Plant Industry, CSIRO, Canberra City, A.C.T. 2601, Australia

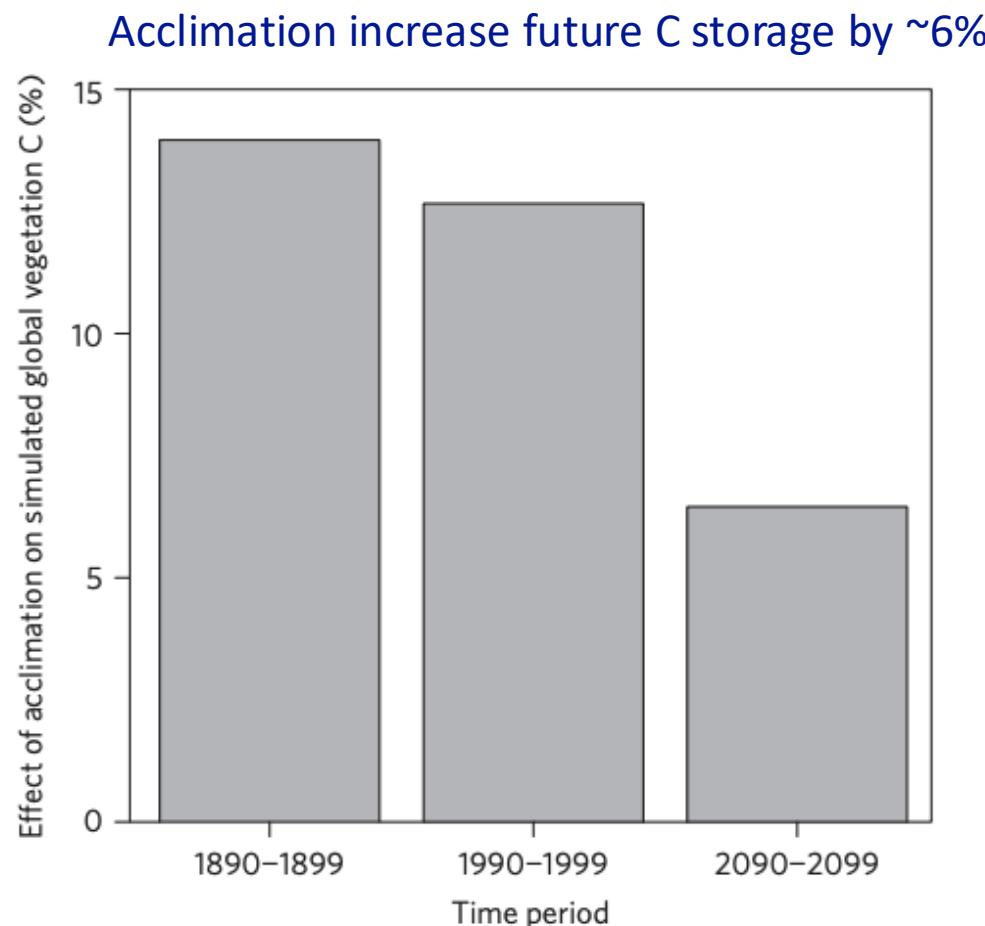
Temperature: Berry & Björkman (1980)

*Ann. Rev. Plant Physiol.* 1980. 31:491–543  
Copyright © 1980 by Annual Reviews Inc. All rights reserved

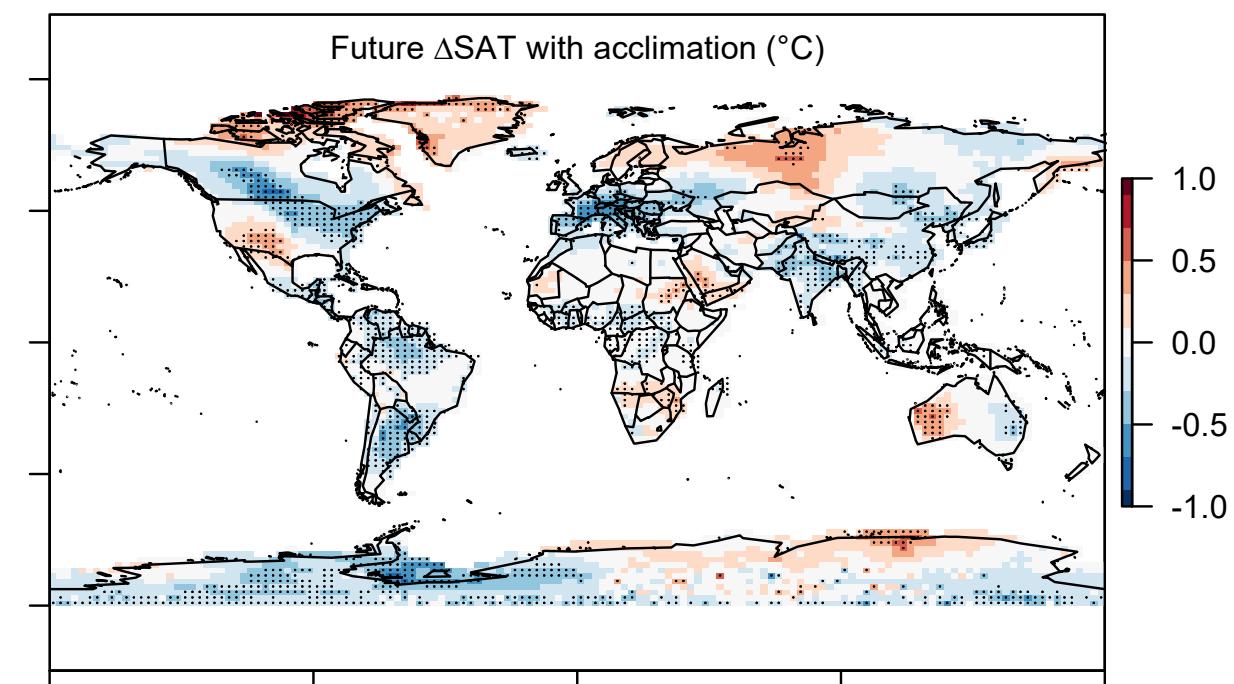
PHOTOSYNTHETIC RESPONSE AND ADAPTATION TO TEMPERATURE IN HIGHER PLANTS

Joseph Berry and Olle Björkman<sup>1</sup>

# ...and can impact carbon cycling and climate



Acclimation alters future temperature by >1°C

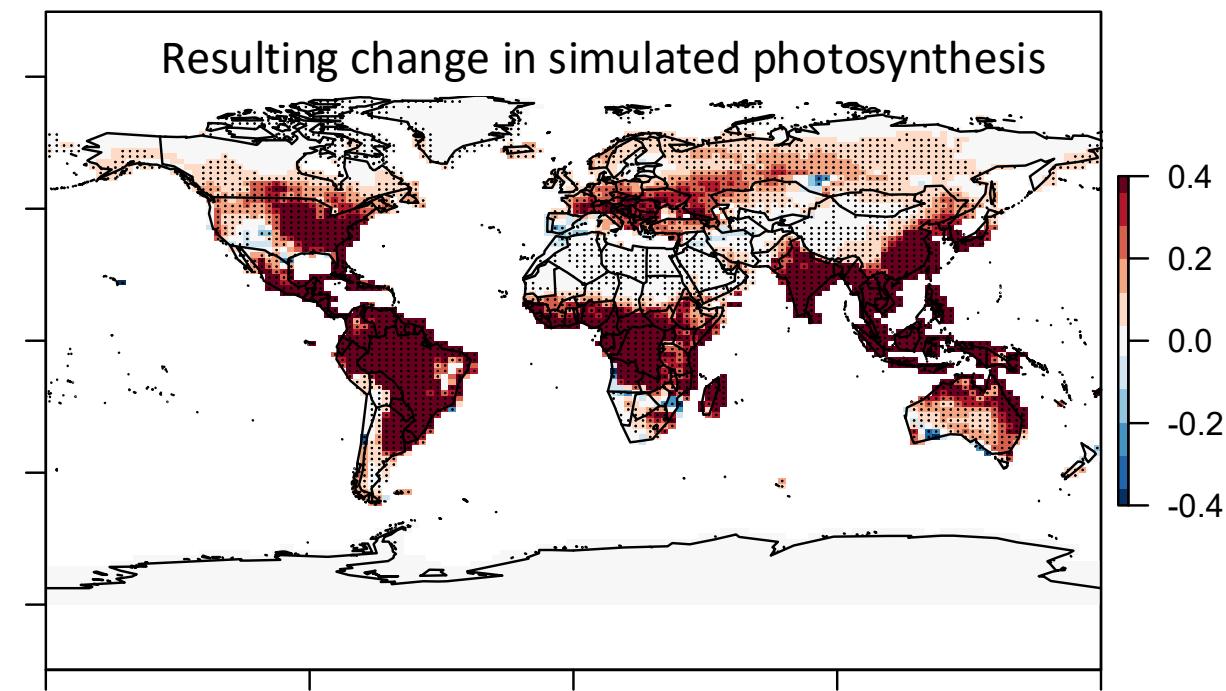
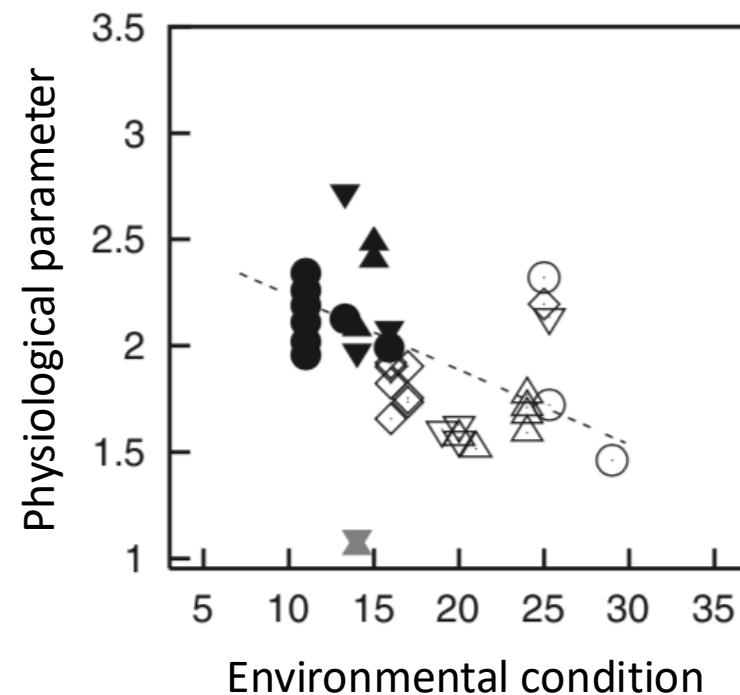


Unfortunately,  
no theoretical model for  
photosynthetic acclimation exists

Lack of theory results in...

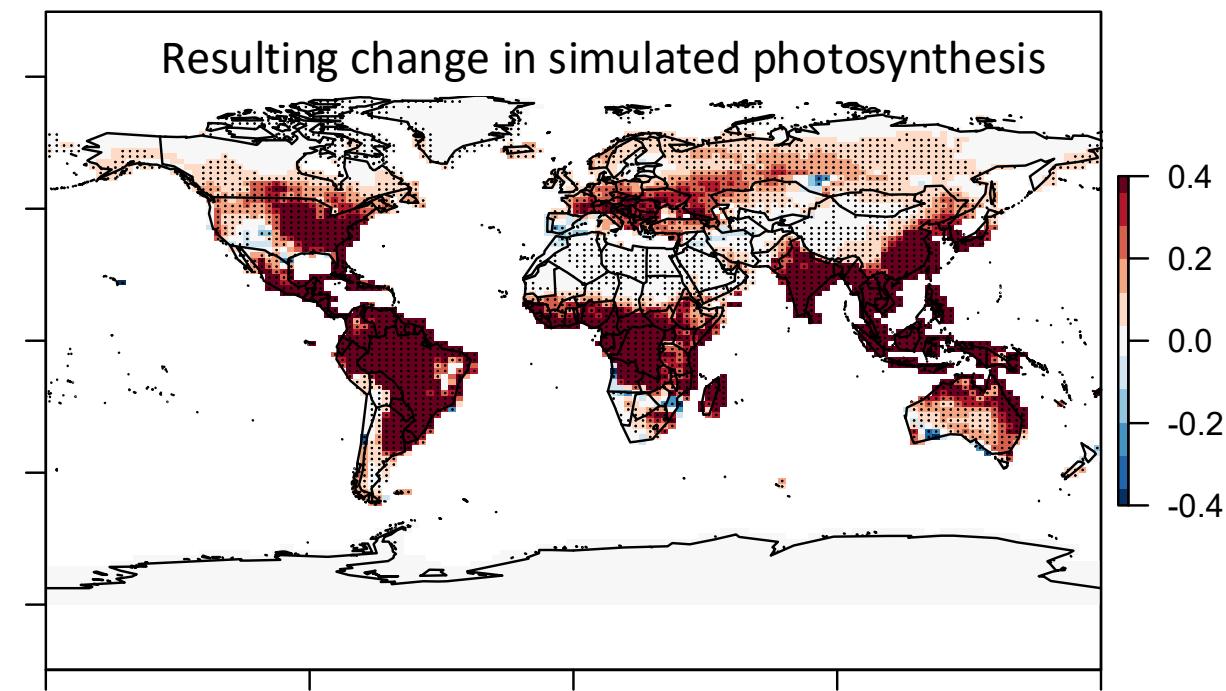
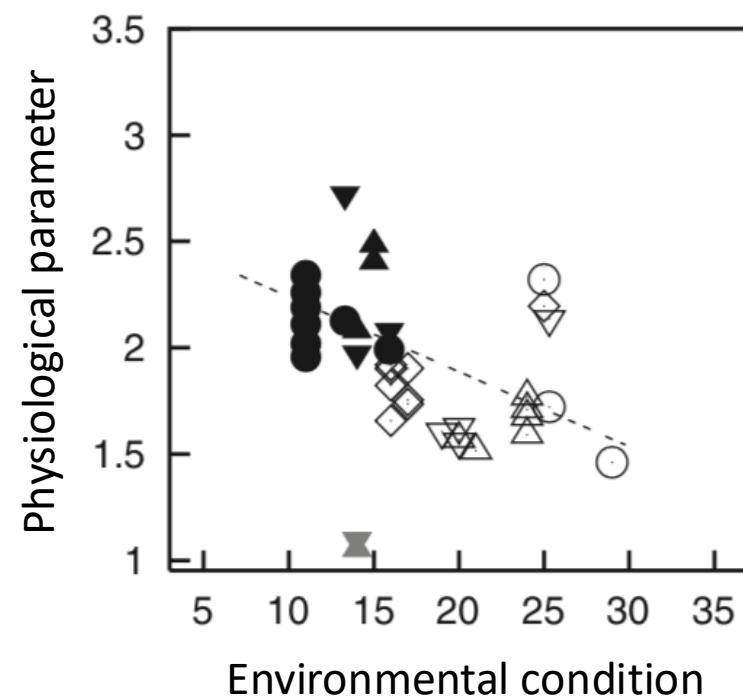
# Lack of theory results in...

- Unreliable future predictions (overparameterization, tuning)
  - Reliance on statistical models



# Lack of theory results in...

- Inability to test mechanisms



La



# Global Change Biology

In

REPORT

Open Access



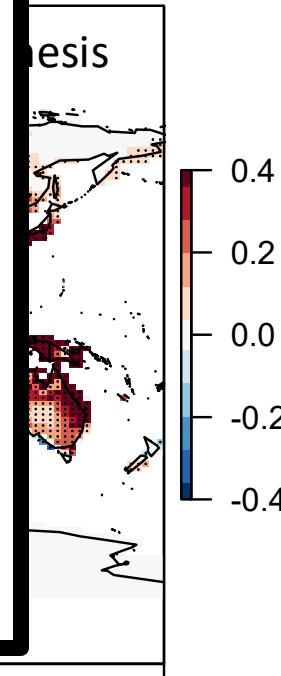
## Increasing the spatial and temporal impact of ecological research: A roadmap for integrating a novel terrestrial process into an Earth system model

Emily Kyker-Snowman ✉, Danica L. Lombardozzi, Gordon B. Bonan, Susan J. Cheng, Jeffrey S. Dukes, Serita D. Frey, Elin M. Jacobs, Risa McNellis, Joshua M. Rady, Nicholas G. Smith ... [See all authors](#)

First published: 20 September 2021 | <https://doi.org/10.1111/gcb.15894> | Citations: 27

See also commentary on this article by Moore, 28, 343–345

Environmental condition



So, we developed a mechanistic model of photosynthetic acclimation

Based on **optimization** and the **first principles** of plant physiological theory

Tansley review |  [Free Access](#)

### Eco-evolutionary optimality as a means to improve vegetation and land-surface models

Sandy P. Harrison  Wolfgang Cramer, Oskar Franklin, Iain Colin Prentice, Han Wang, Åke Bränström, Hugo de Boer, Ulf Dieckmann, Jaideep Joshi, Trevor F. Keenan, Aliénor Lavergne, Stefano Manzoni, Giulia Mengoli, Catherine Moropoulos, Josep Peñuelas, Stephan Pietsch, Karin T. Rebel, Youngryel Ryu, Nicholas G. Smith, Benjamin D. Stocker, Ian J. Wright ... [See fewer authors](#) ^

First published: 15 June 2021 | <https://doi.org/10.1111/nph.17558>

## Optimization: Least cost theory

Optimally, plants will maintain  
fastest rate of photosynthesis at  
the lowest summed resource  
cost (water and nutrient use)

# Optimal photosynthesis

Photosynthesis =  $f\{\text{stomatal conductance,}$   
 $\text{photosynthetic biochemistry}\}$

# Optimal photosynthesis

Photosynthesis =  $f\{\text{stomatal conductance,}$   
 $\text{photosynthetic biochemistry}\}$



Must predict optimal rates of both

# Optimal photosynthesis

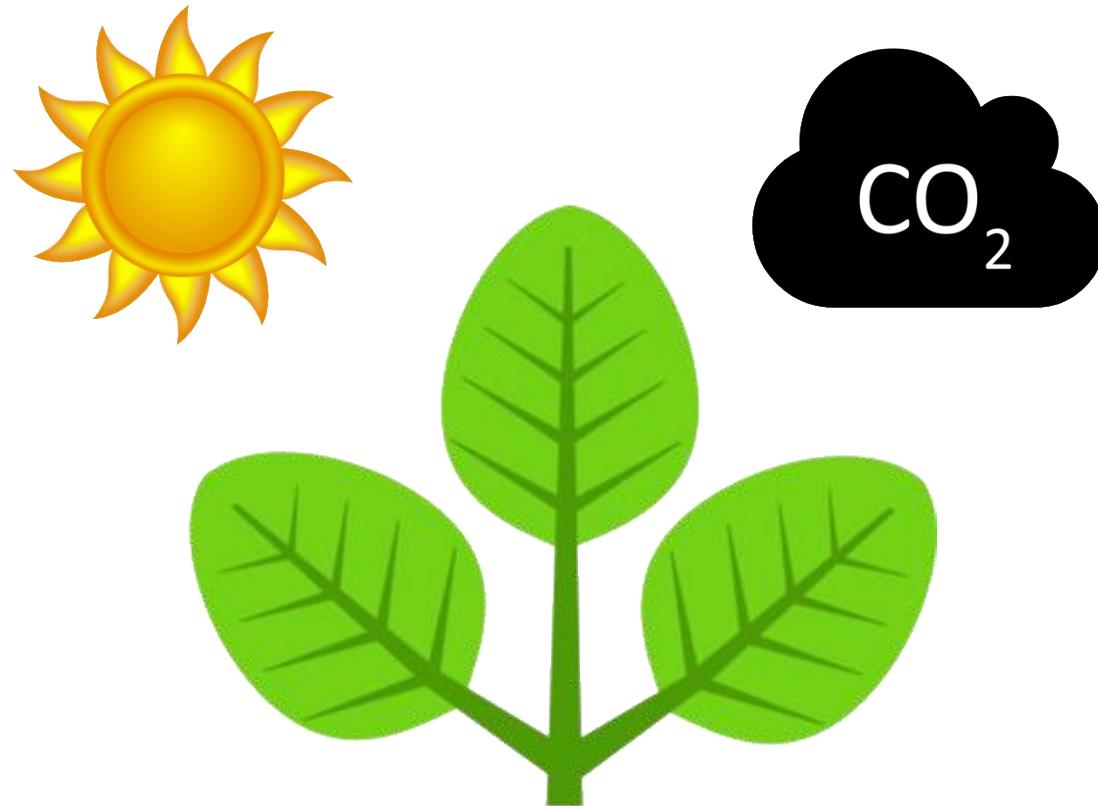
Photosynthesis =  $f\{\text{stomatal conductance,}$   
 $\text{photosynthetic biochemistry}\}$

[TALK TO ME LATER ABOUT THIS IF YOU ARE INTERESTED]

# Optimal photosynthesis

Photosynthesis =  $f\{\text{stomatal conductance,}$   
**photosynthetic biochemistry}**

# Biochemistry optimization

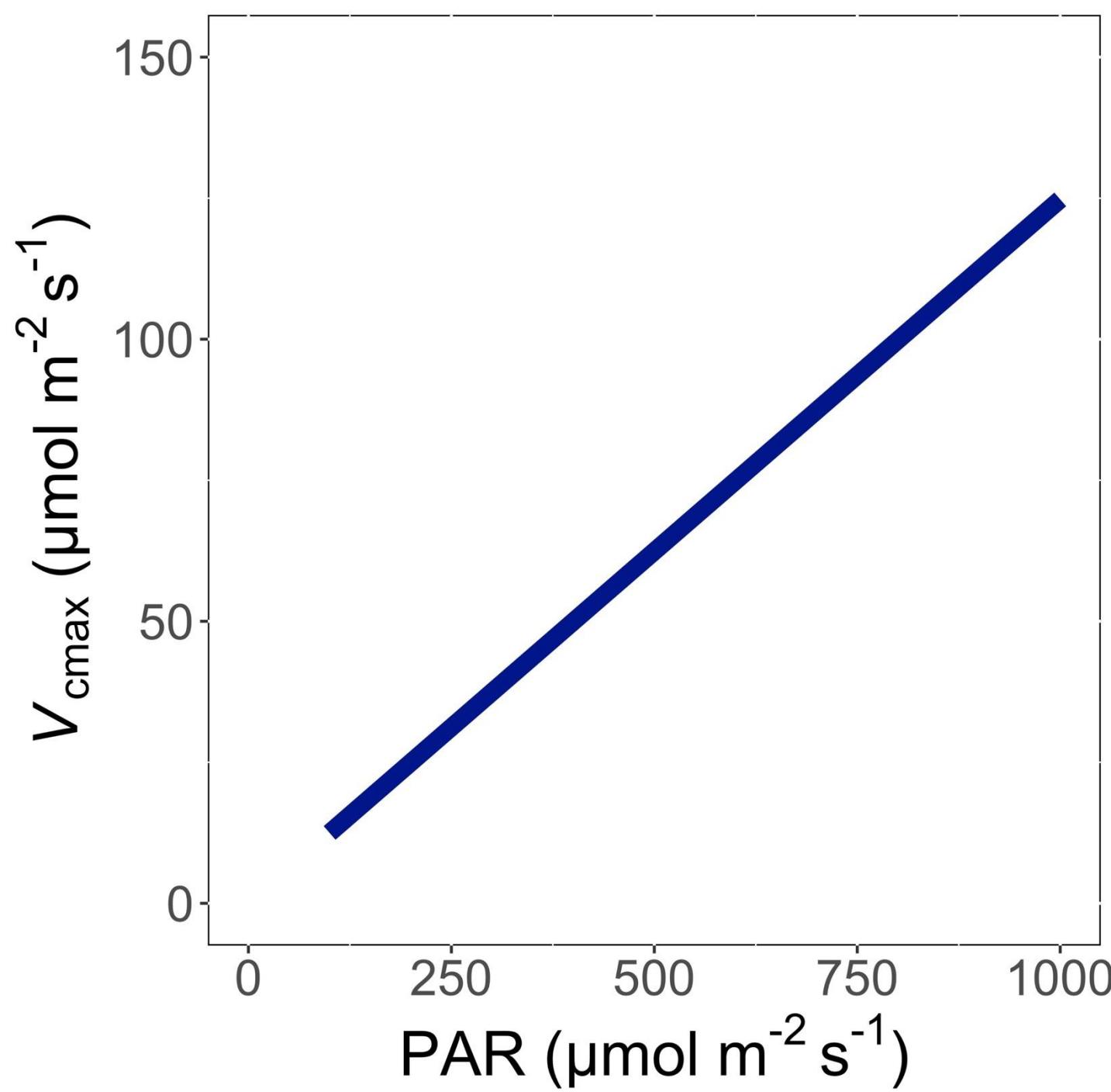


Plant  
biochemistry  
setup will aim for  
equal limitation  
by all factors

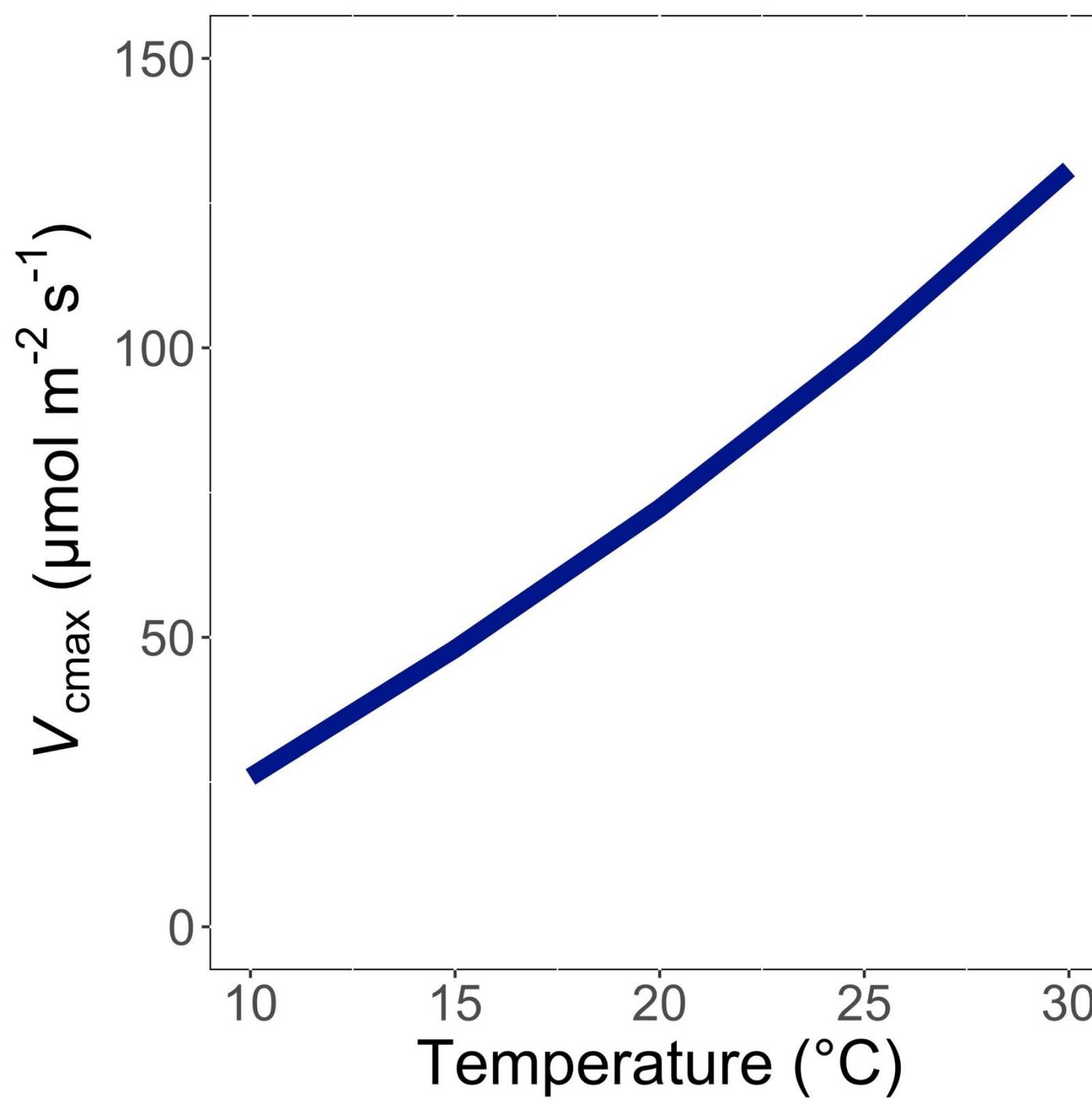
[TALK TO ME LATER ABOUT THE MATHS]

## Biochemistry trait

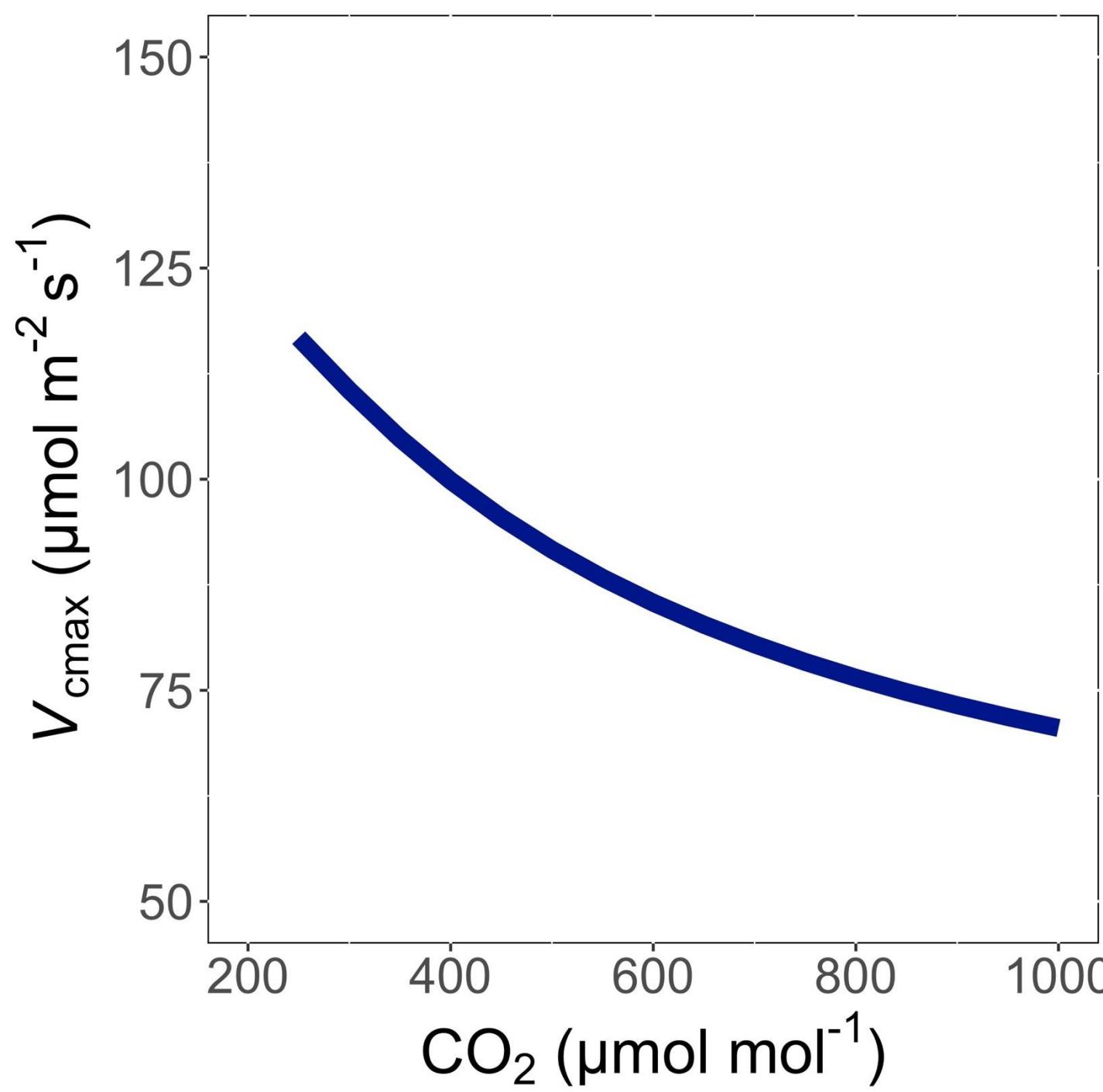
The maximum rate of Rubisco carboxylation ( $V_{cmax}$ )



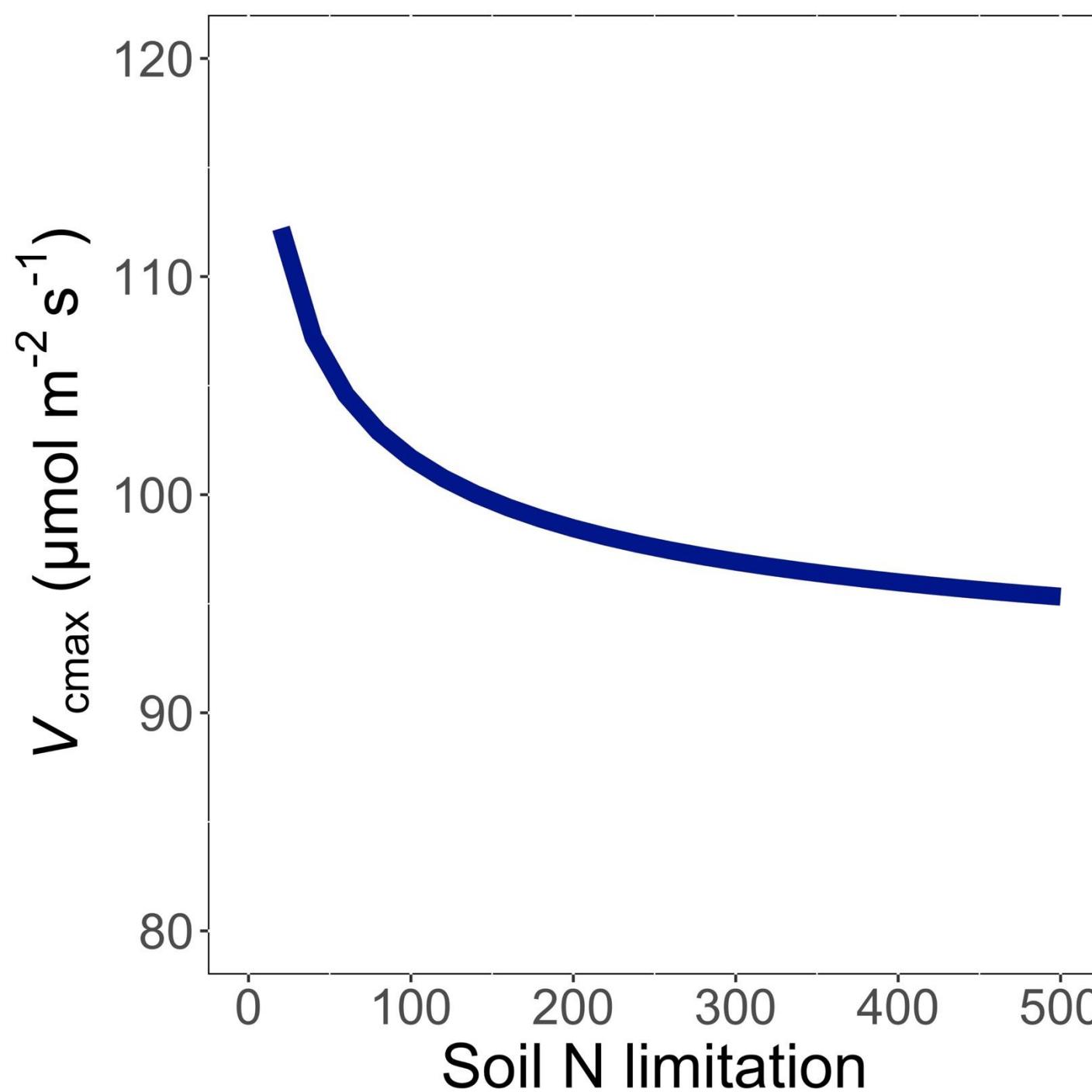
$V_{c\max}$  increases  
with light because  
of greater electron  
transport



$V_{c\max}$  increases  
with temperature  
because of greater  
electron transport  
and  
photorespiration

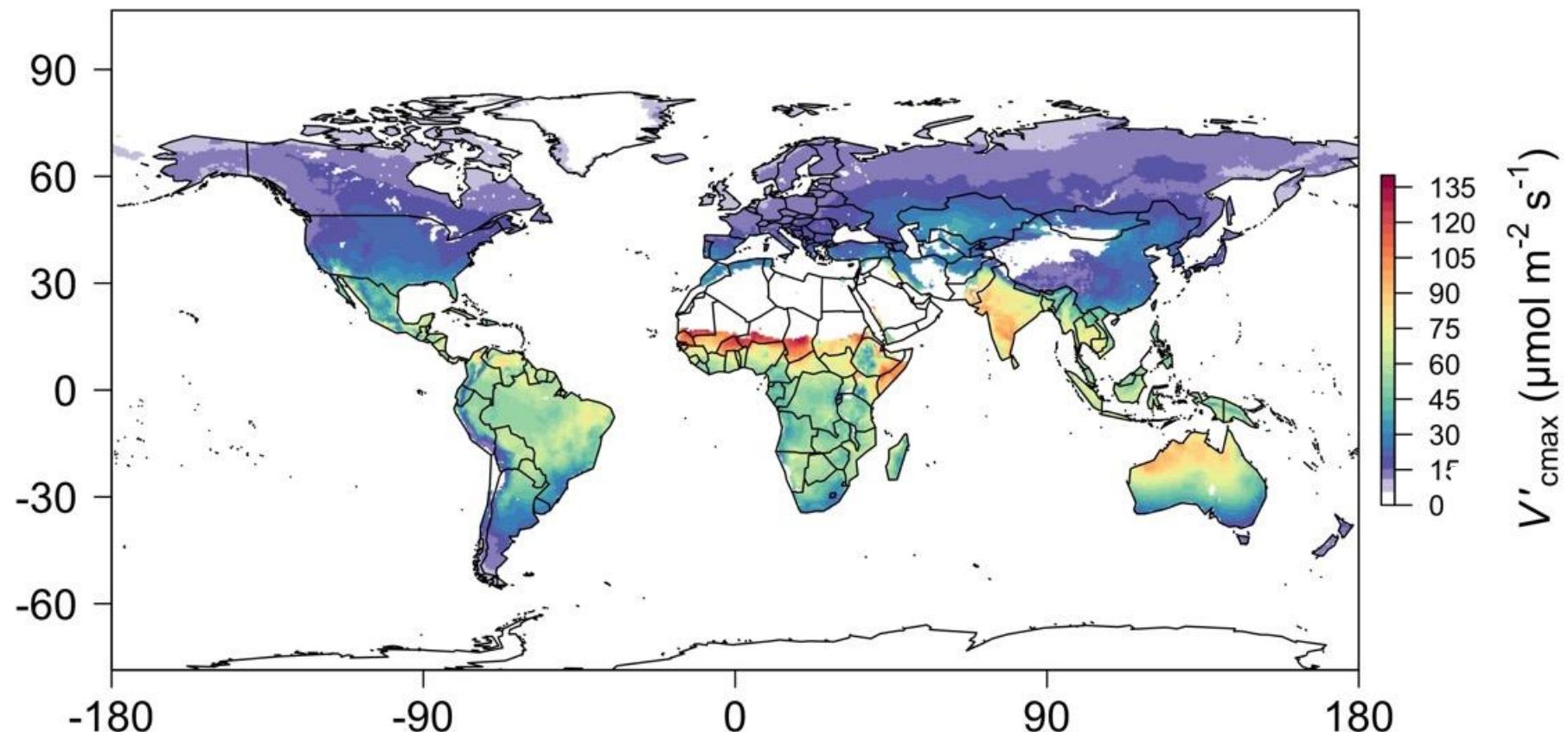


$V_{\text{cmax}}$  decreases with  $\text{CO}_2$  because of greater  $\text{CO}_2$  in the leaf and less photorespiration



$V_{c\max}$  decreases  
with soil N  
limitation because  
Rubisco requires a  
lot of N

We can predict optimal traits in different environments



Ok, great, but now what?

We can use the theory as a null model to explore acclimation mechanisms

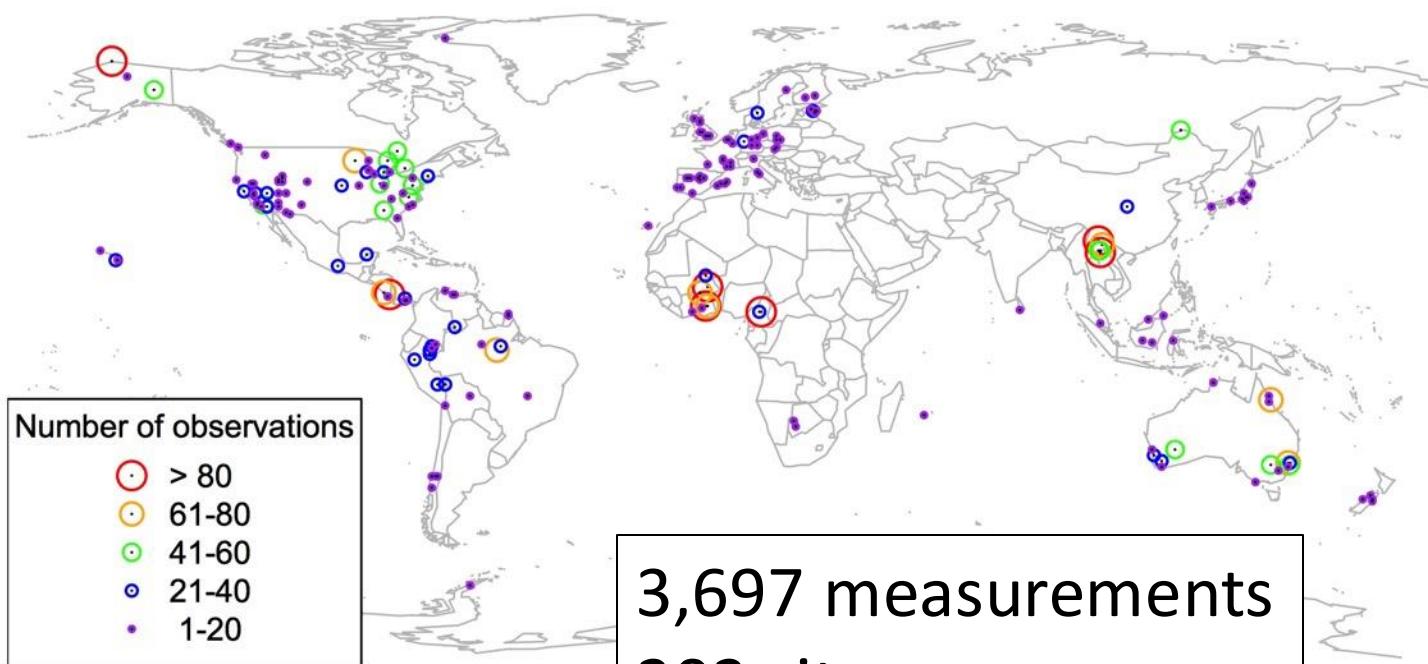
Let's tackle some big questions in  
plant ecophysiology!

# Big questions

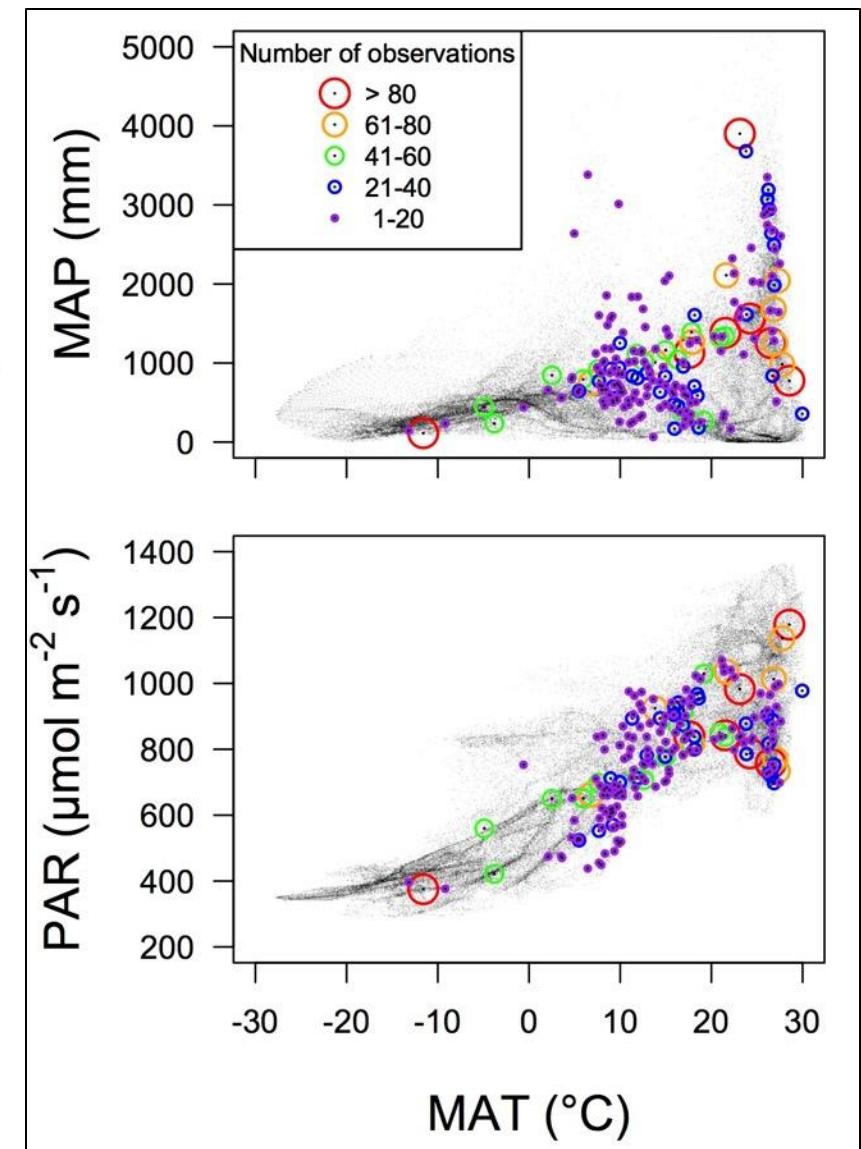
1. Is photosynthesis **optimized** to the environment?
2. How do plants acclimate to **soil nitrogen**?
3. What does acclimation mean for **future** terrestrial biogeochemical cycling?
4. When is **C<sub>4</sub>** photosynthesis an advantage over C<sub>3</sub> photosynthesis?

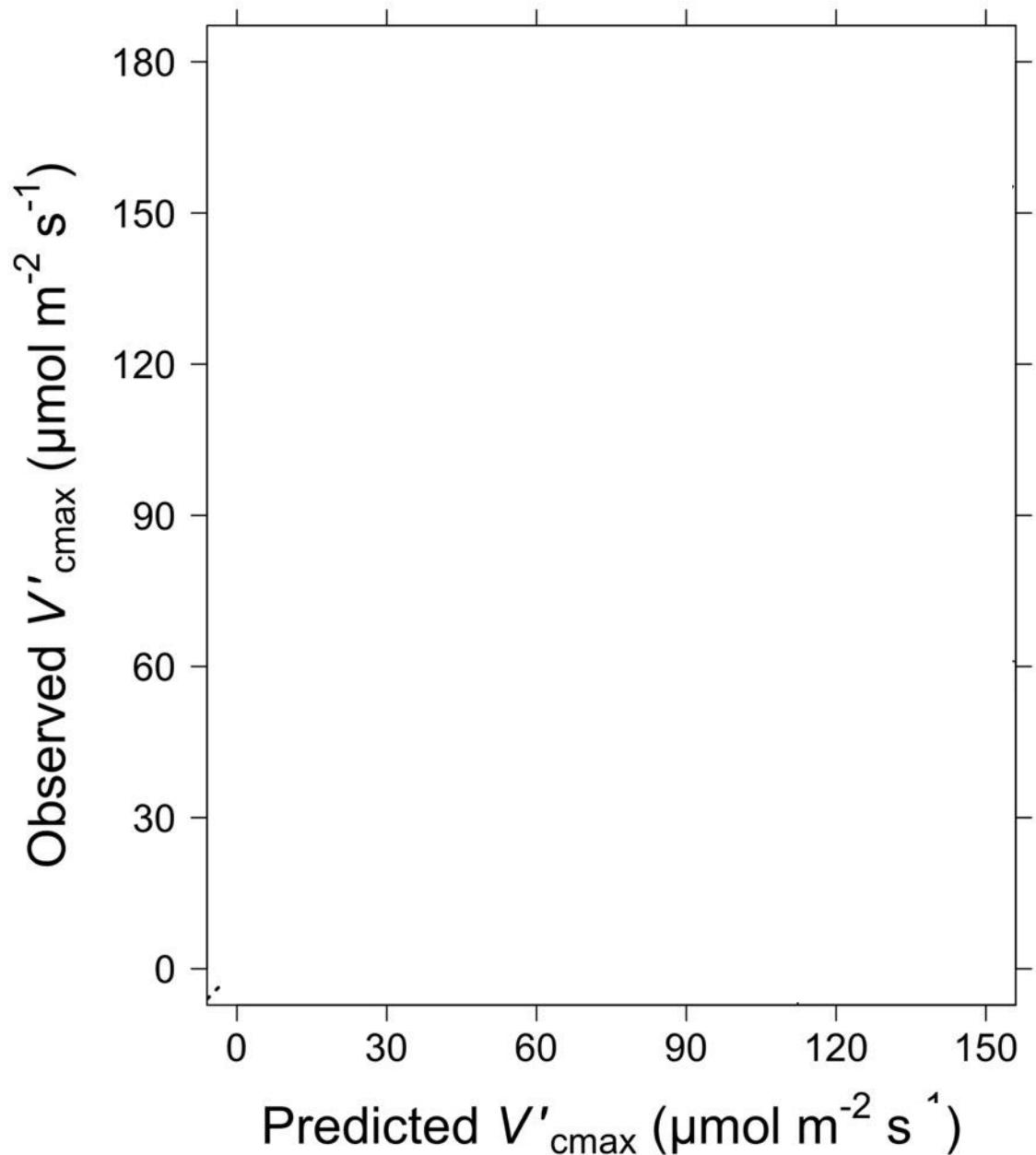
**Question 1:** Is photosynthesis  
optimized to the environment?

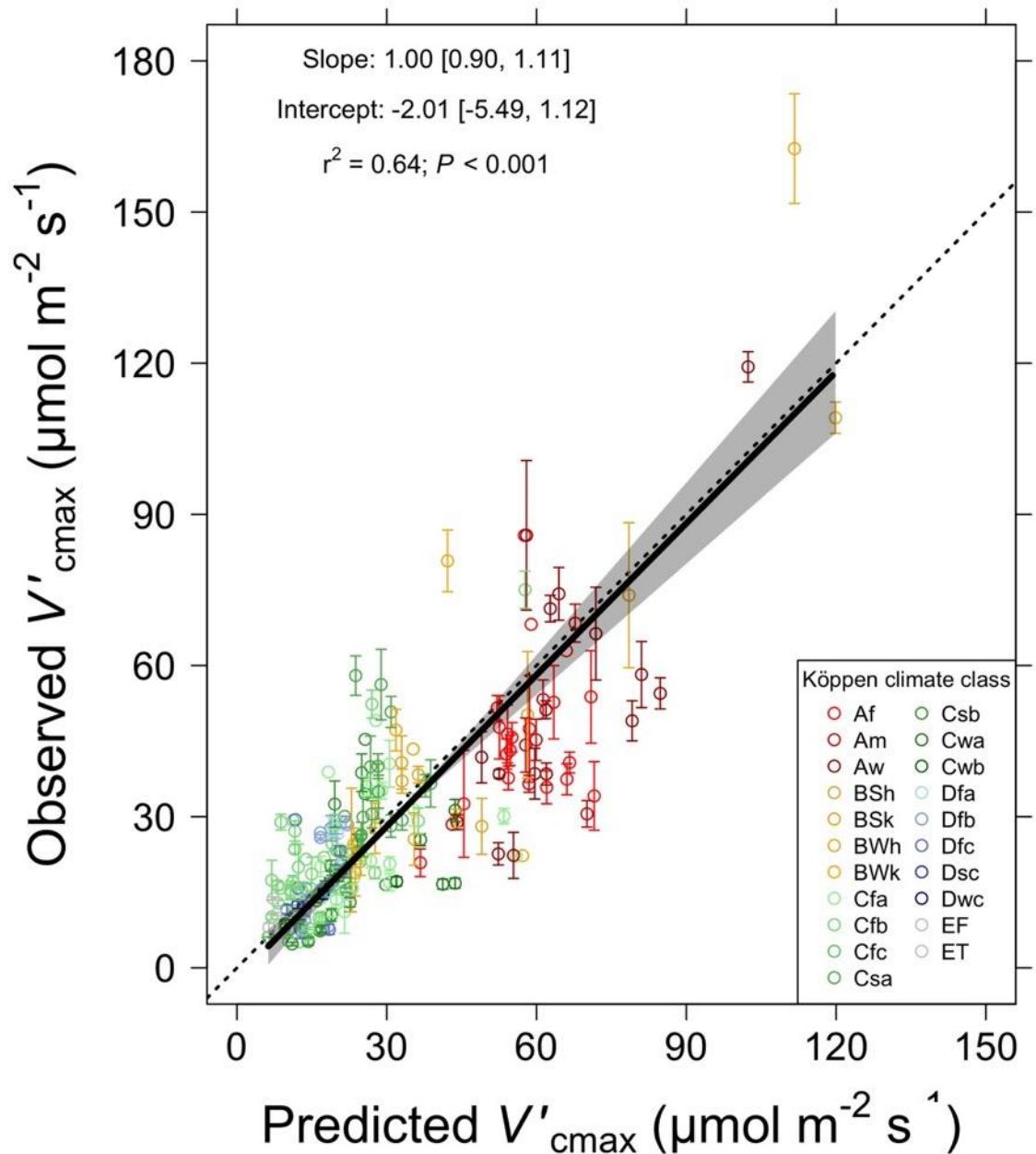
# Global $V_{cmax}$ dataset



3,697 measurements  
202 sites  
> 600 genera





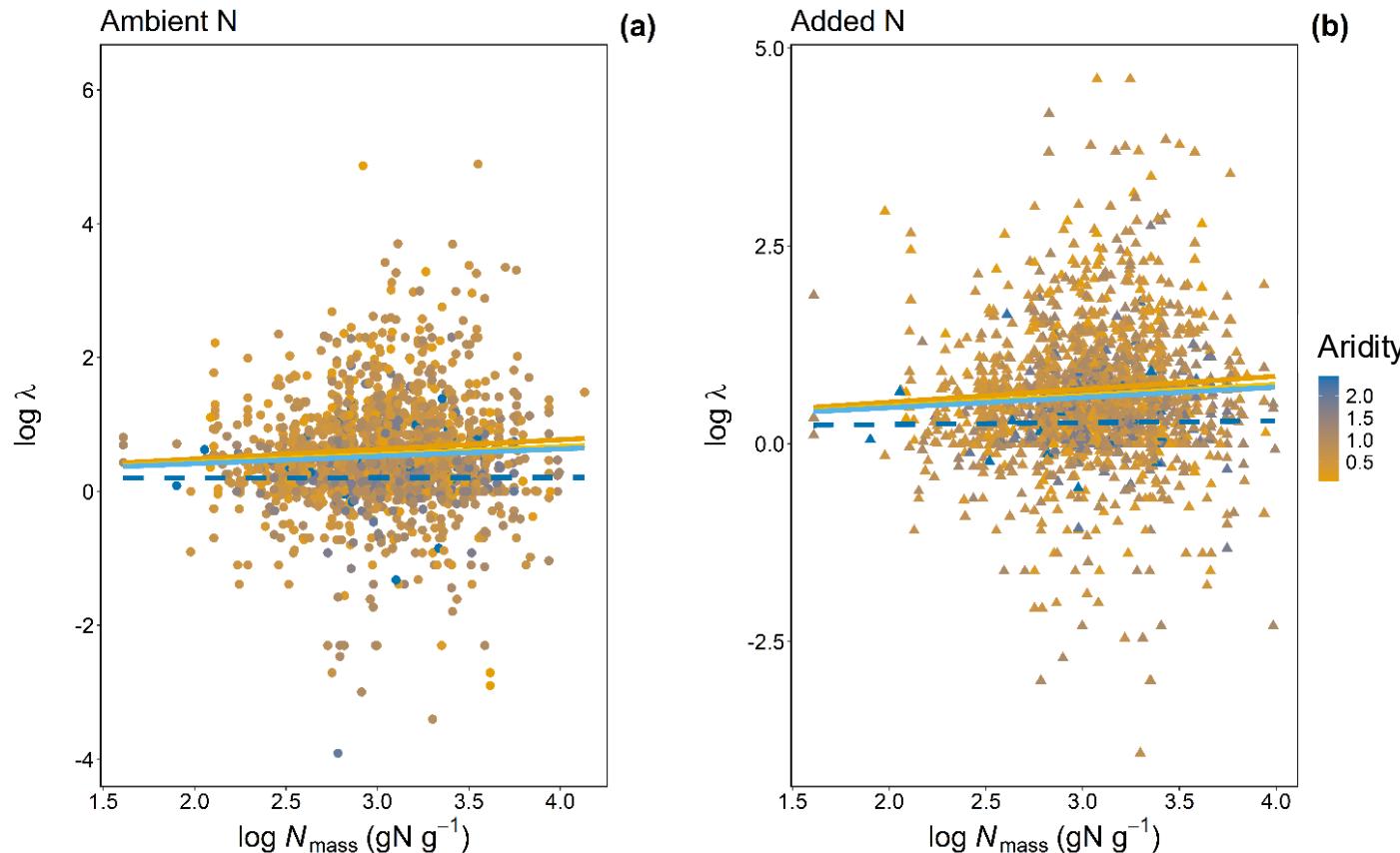


Optimal  $V'_{\text{cmax}}$  is  
similar to  
observed  
values

Question 1: Is photosynthesis optimized to the environment?

**YES! Photosynthesis acclimates spatially as expected from optimization**

# Looking forward: using theory to understand larger scale consequences of acclimation



As predicted from theory,  
increased leaf N confers greater  
species population growth in dry  
sites and this is enhanced by  
eutrophication.



Question 2: How do plants  
acclimate to soil nitrogen?

# The lab has examined soil nitrogen impacts in many contexts

- **Observational gradient studies**

- Paillassa et al. (2020) *New Phyt*, Cheaib et al. (2025) *New Phyt*, Perkowski and Smith (in review), Cheaib, et al. (in prep)



- **Greenhouse soil manipulation studies**

- Perkowski et al. (2021) *J Exp Botany*, Waring et al. (2023) *J Exp Botany*, Perkowski et al. (2025) *J Exp Botany*



- **Field soil manipulation studies**

- Cheaib et al. (2025) *Ecol Letters*, Perkowski et al. (in review), Beltran Triana et al. (in prep)



What have we learned?



# New Phytologist

Tansley review

Open Access



## Empirical evidence and theoretical understanding of ecosystem carbon and nitrogen cycle interactions

Benjamin D. Stocker , Ning Dong, Evan A. Perkowski, Pascal D. Schneider, Huiying Xu, Hugo J. de Boer, Karin T. Rebel, Nicholas G. Smith, Kevin Van Sundert, Han Wang, Sarah E. Jones ... [See all authors](#)

First published: 23 October 2024 | <https://doi.org/10.1111/nph.20178> | Citations: 1

SECTIONS



PDF

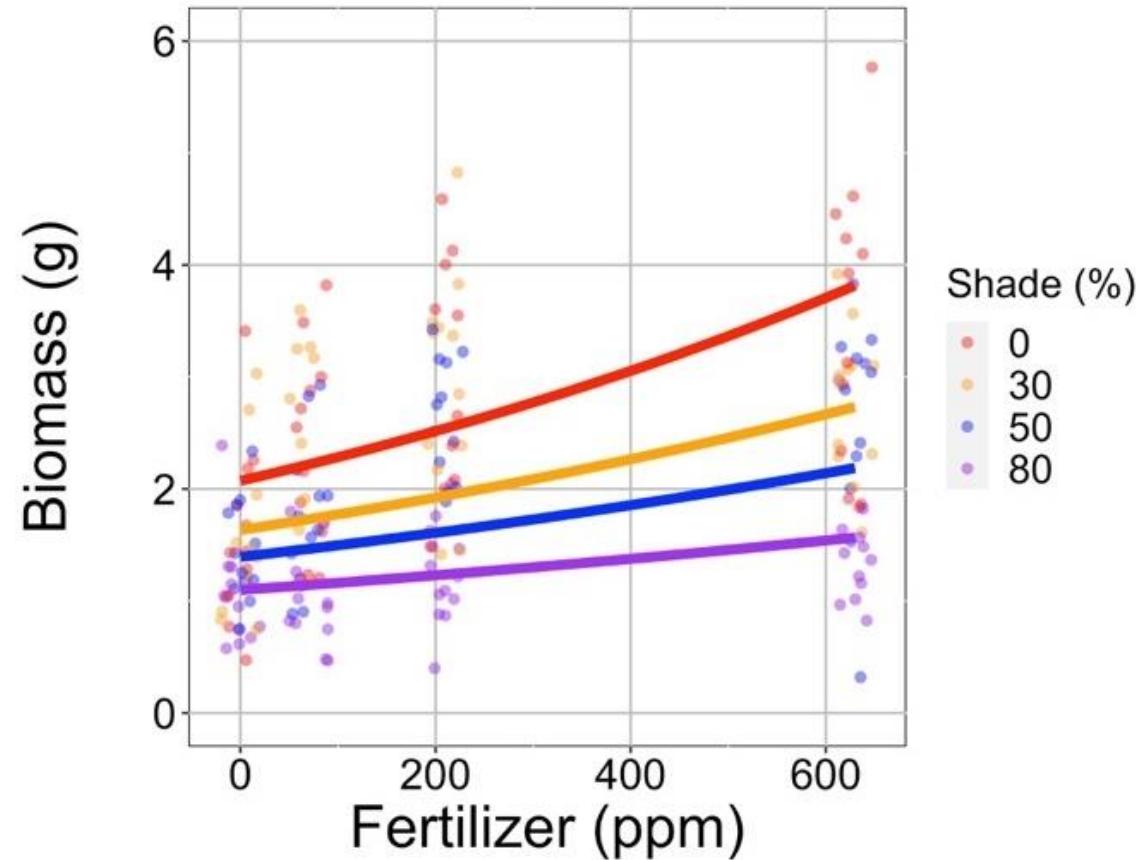


TOOLS

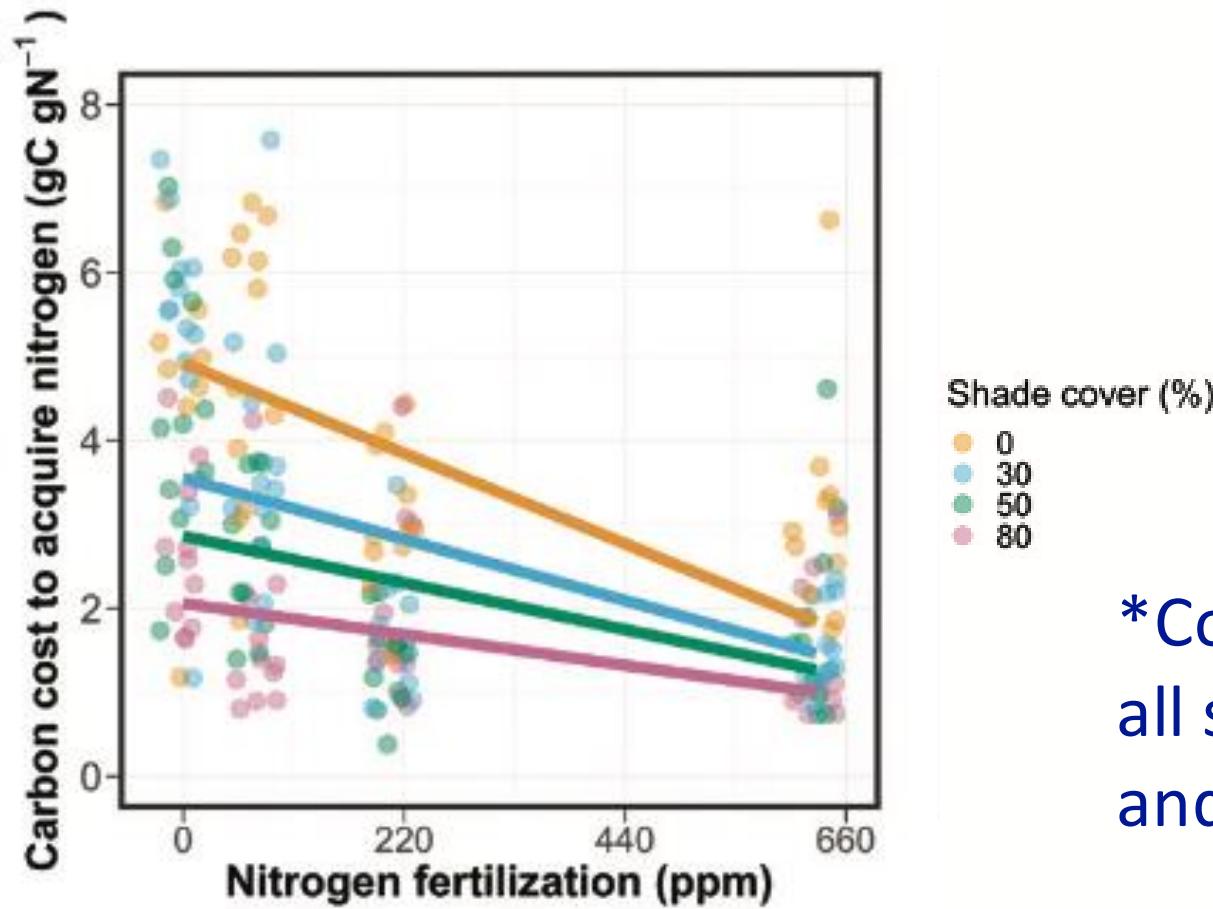


SHARE

# Result 1: Growth increases with increasing soil N

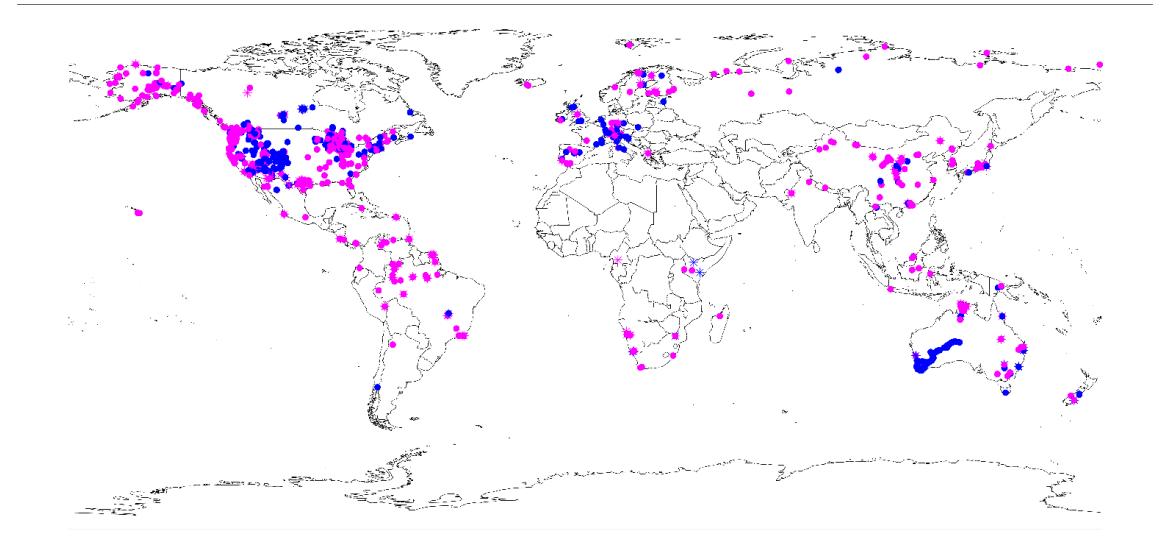
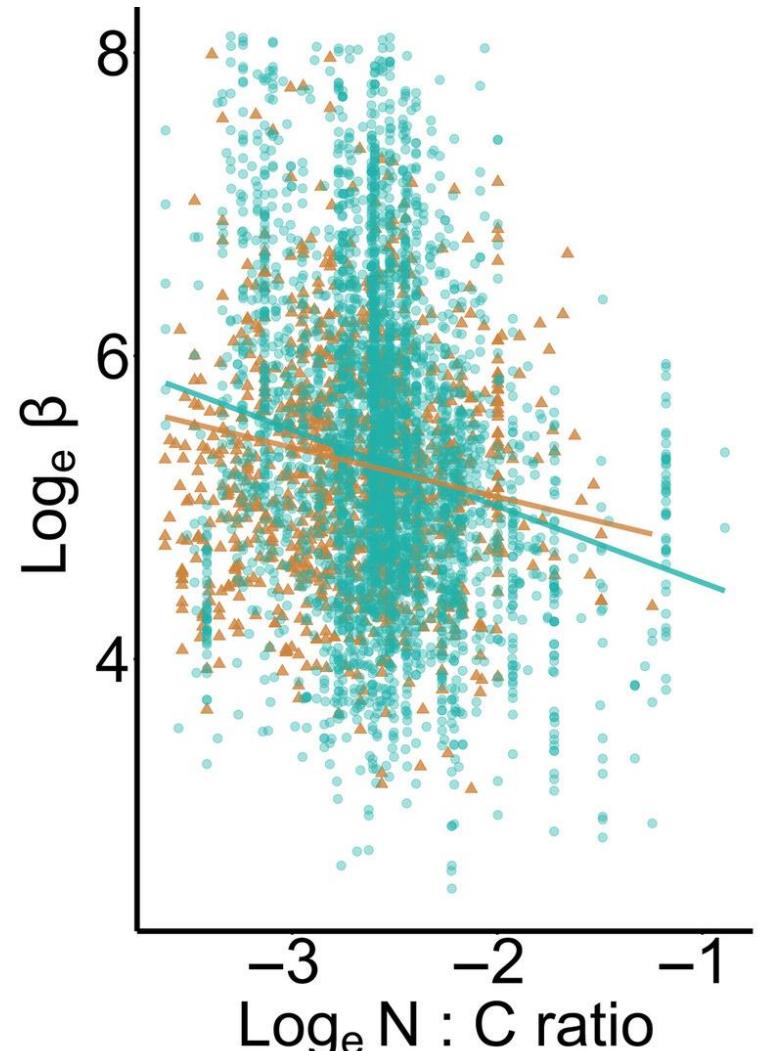


# Result 2: C cost to acquire N decreases with increasing soil N



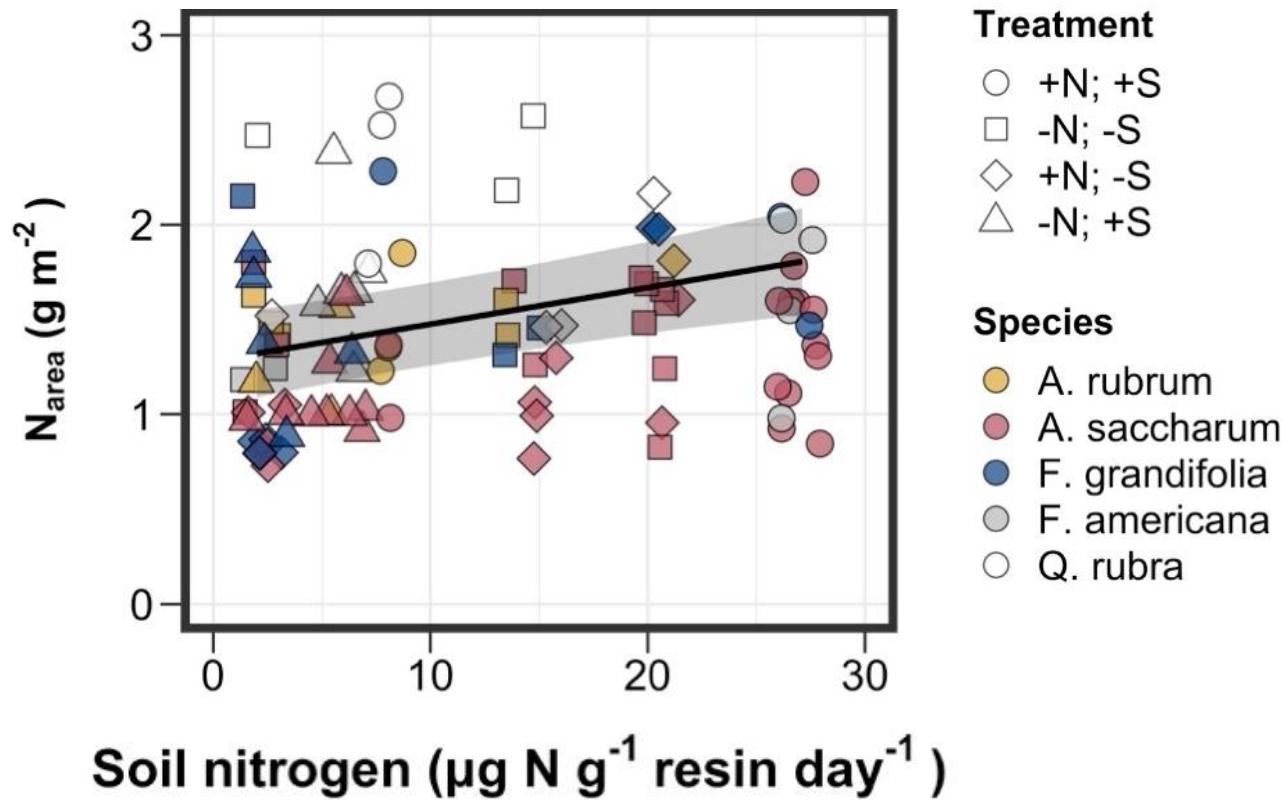
\*Consistent across all studies at leaf and plant level

# Result 2: C cost to acquire N decreases with increasing soil N



\*Consistent across  
all studies at leaf  
and plant level

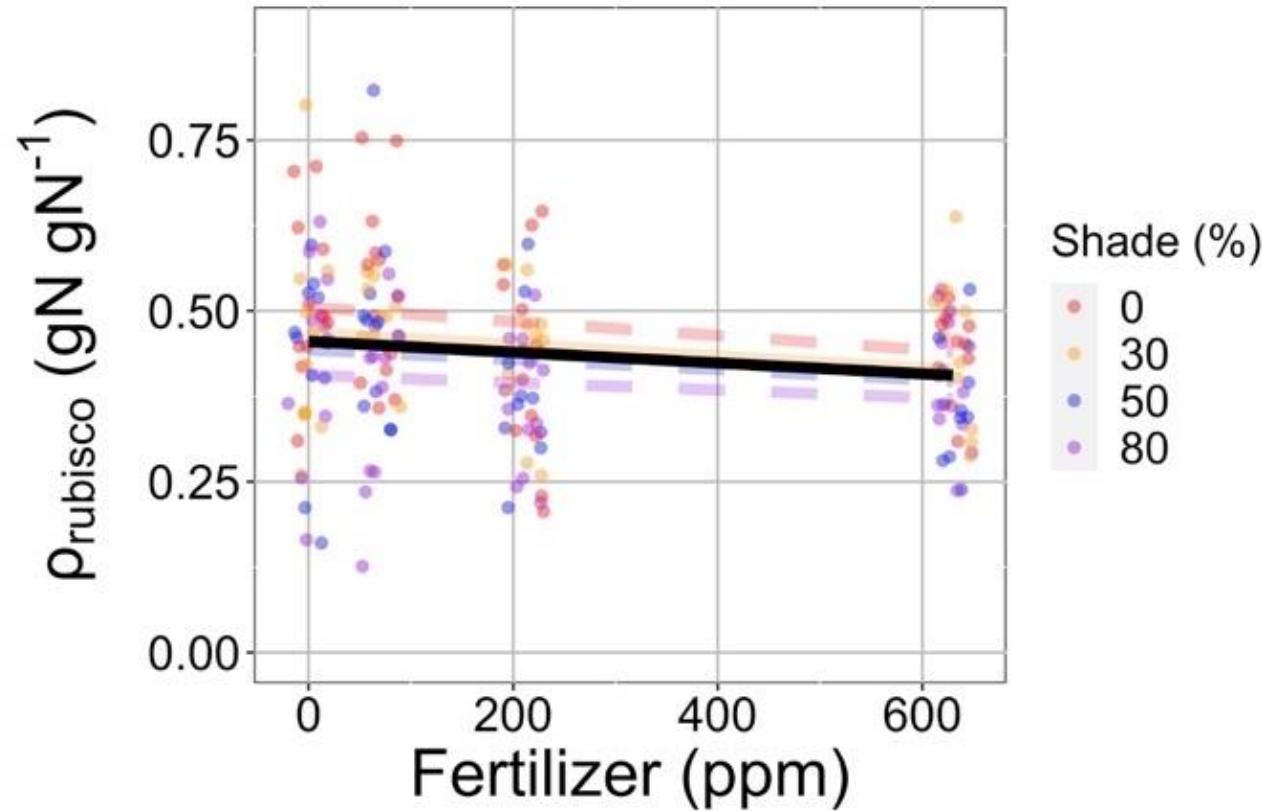
# Result 3: Tissue N increases with increasing soil N



\*Consistent across all studies

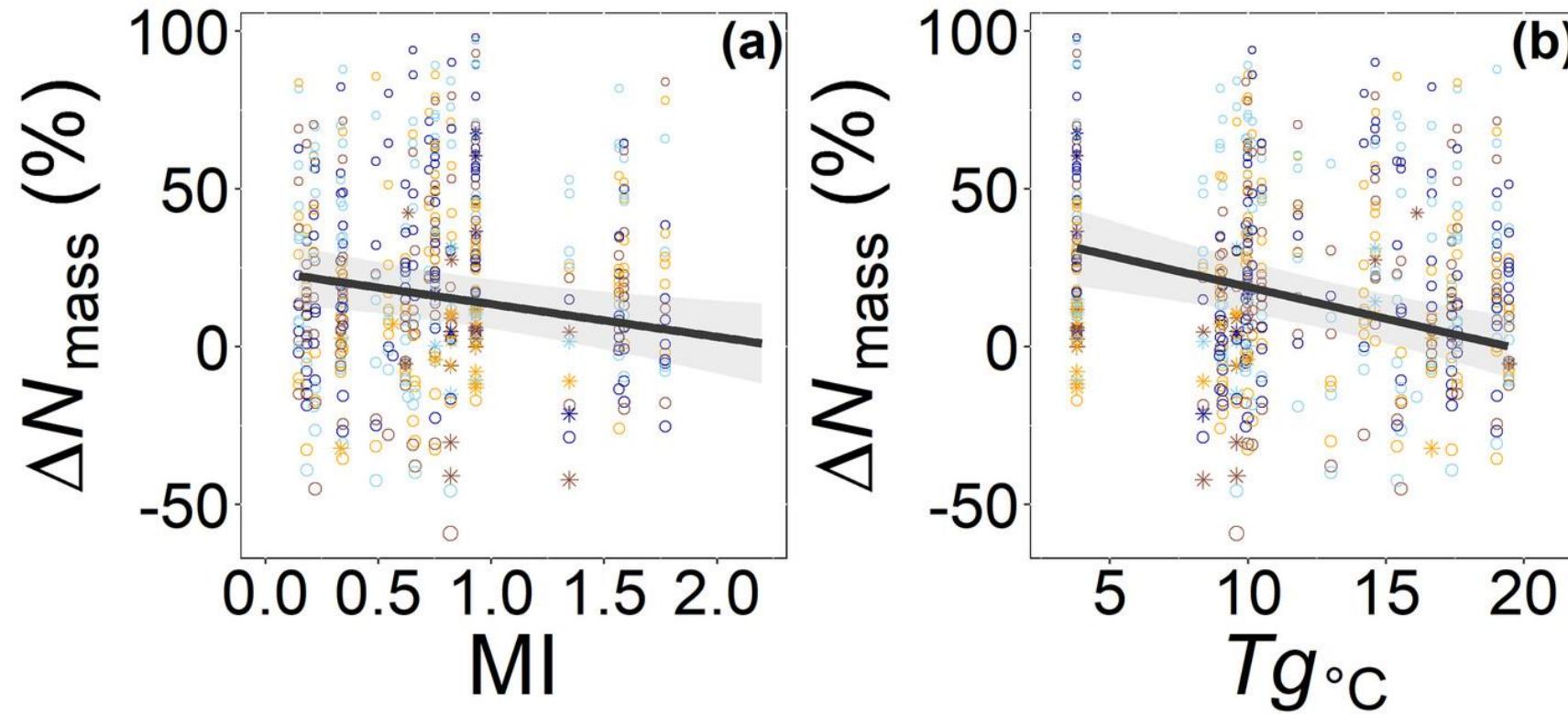


# Result 4: Relative leaf N allocation to photosynthesis decreases with increasing soil N



\*Consistent across multiple species, metrics, and growth conditions

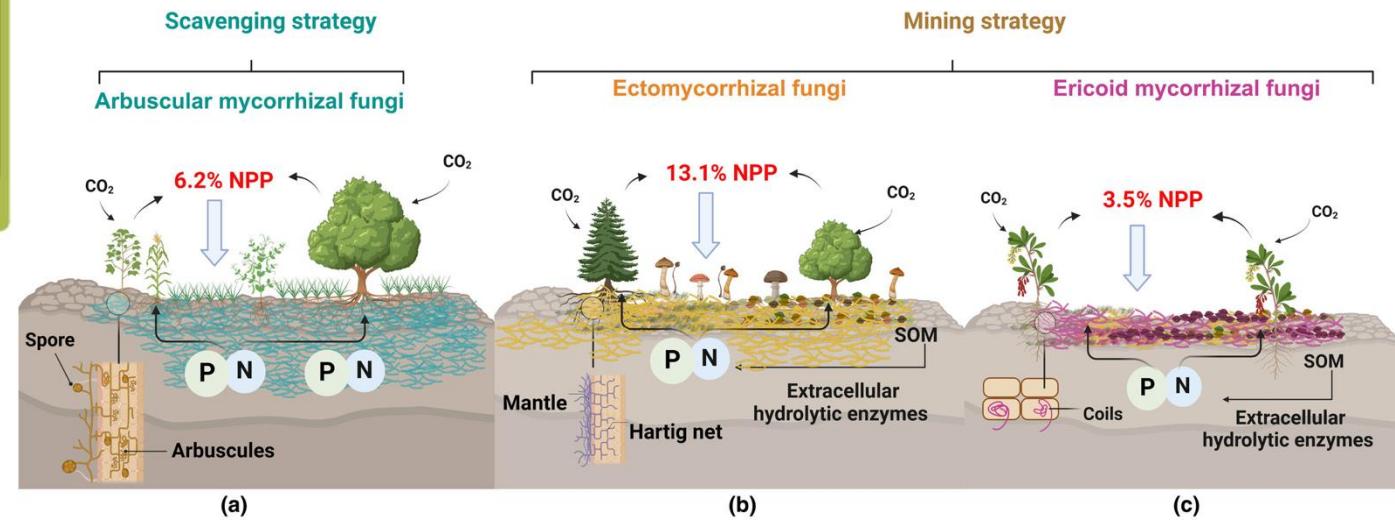
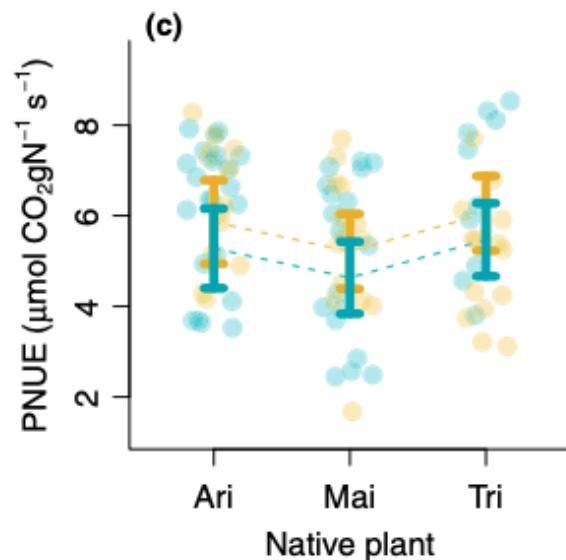
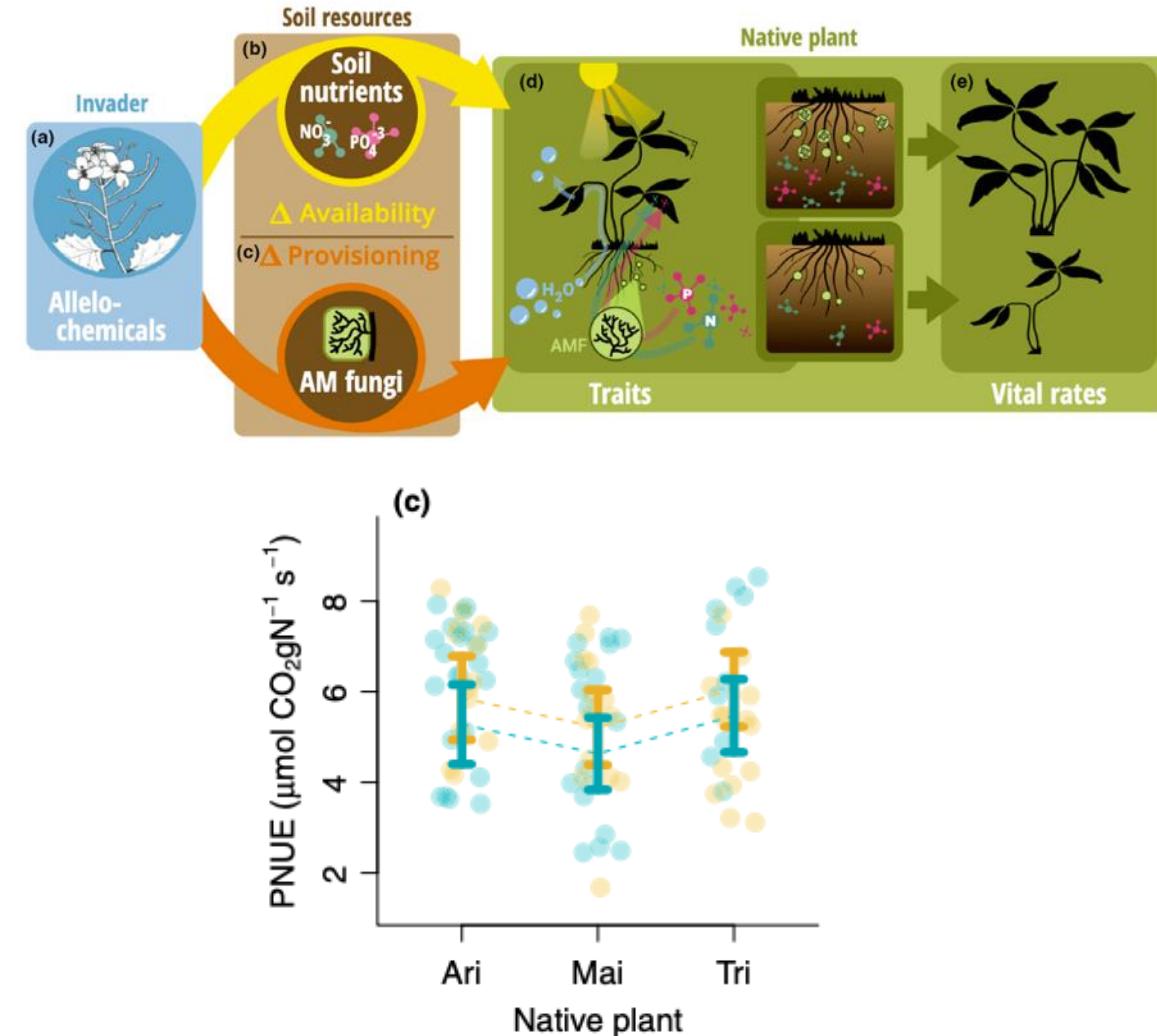
# Result 5: Response to soil N is dependent on N demand



## Question 2: How do plants acclimate to soil nitrogen?

Reduction in N uptake costs results in bigger plants with more leaf N, particularly where demand is high. However, more leaf N  $\neq$  more photosynthesis.

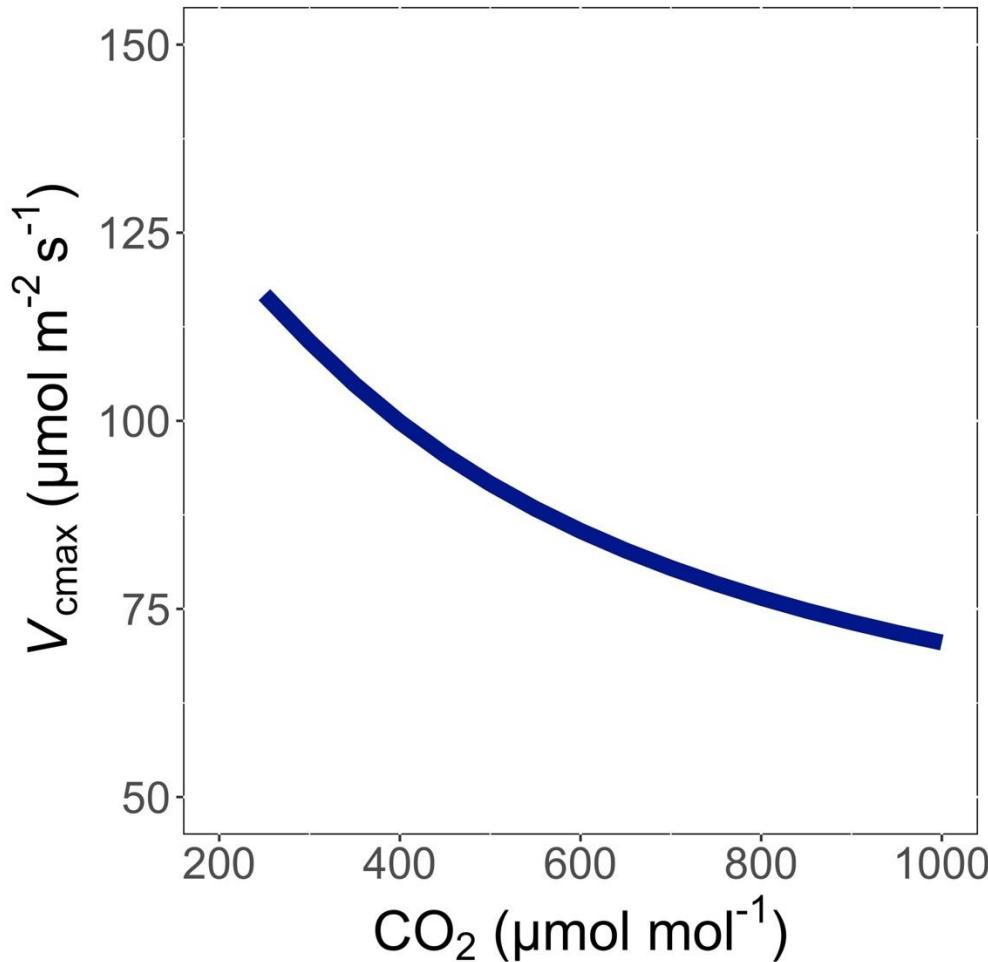
# Looking forward: impact of soil resource acquisition strategies on leaf economics



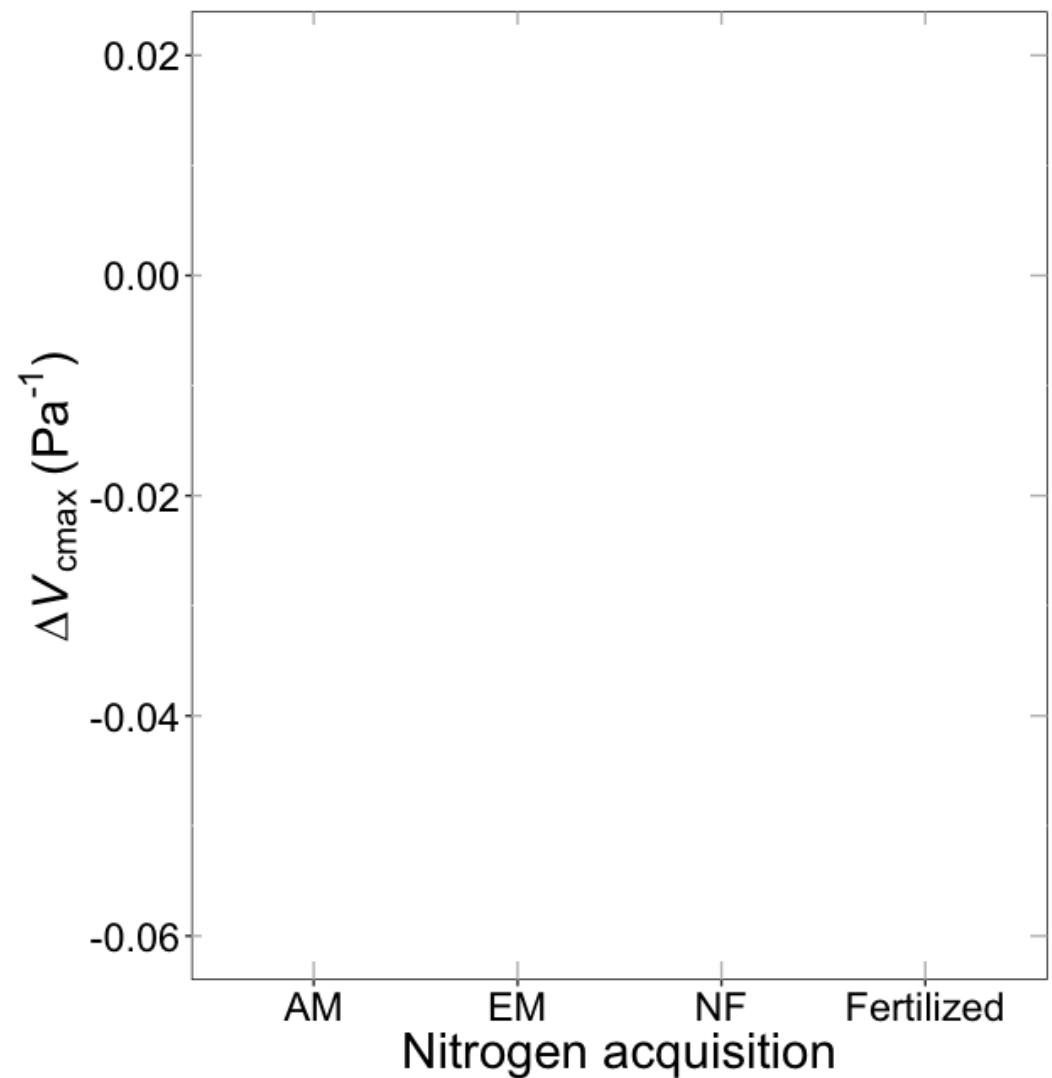
Bialic-Murphy, Smith et al. (2021) *Ecology Letters*  
Cheaib, Chieppa, Perkowski, and Smith (2025) *New Phytologist*

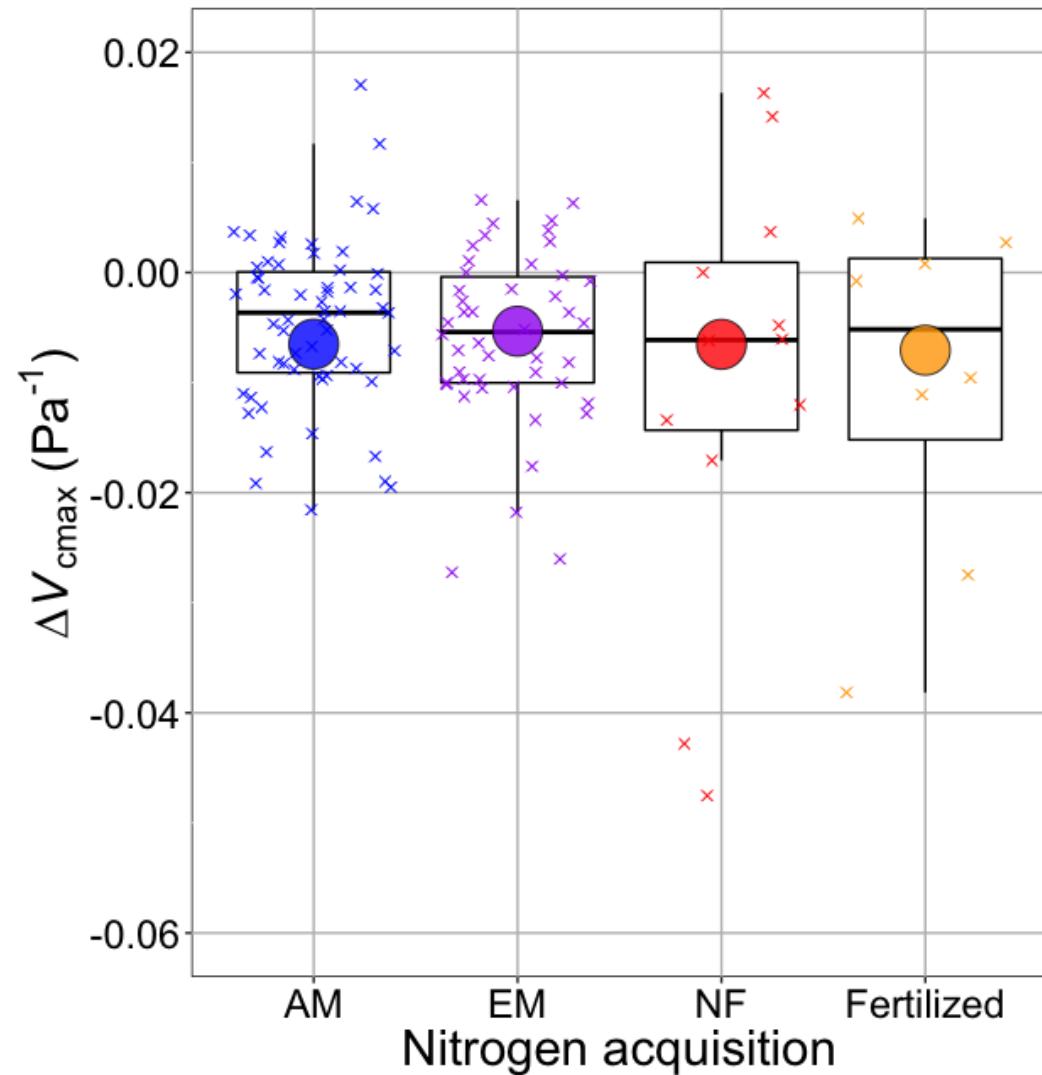
**Question 3:** What does acclimation mean for future terrestrial biogeochemical cycling?

# Expected future responses



$V_{\text{cmax}}$  decreases with  $\text{CO}_2$  because of greater  $\text{CO}_2$  in the leaf and less photorespiration

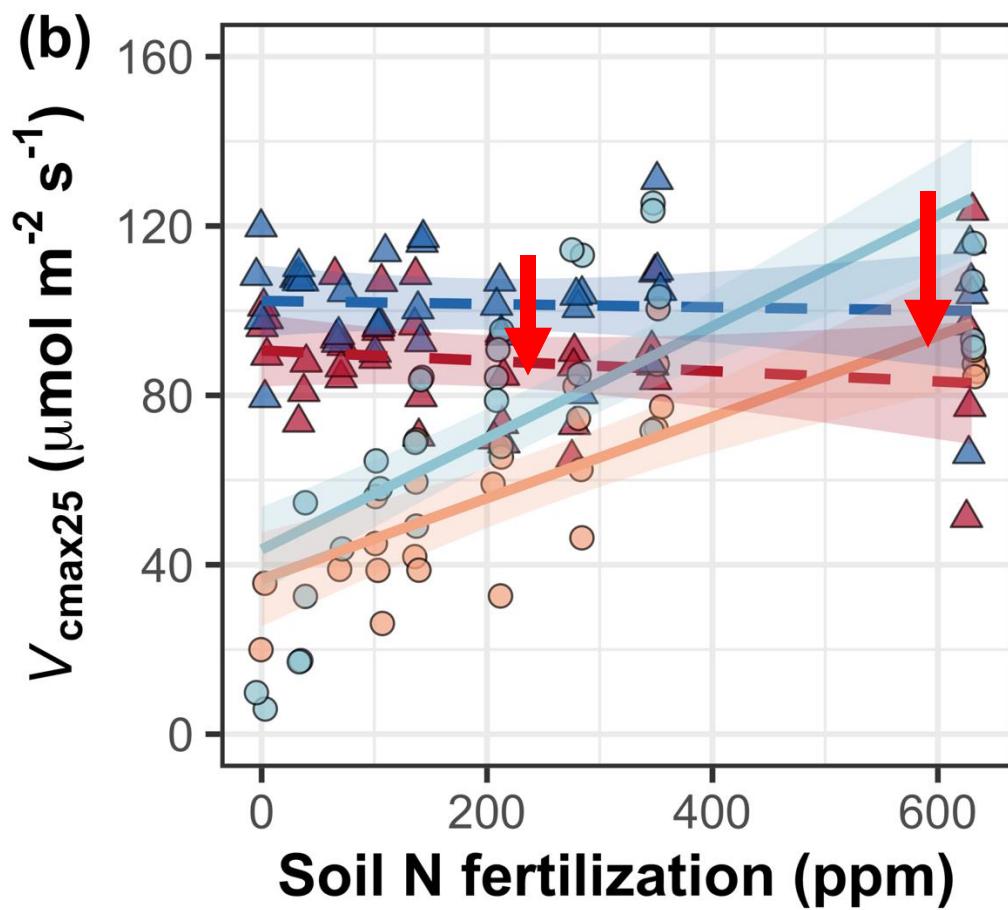




$V_{\text{cmax}}$  changes with  $\text{CO}_2$  in ways expected from optimization

Boxes = data =  $-0.0063 \text{ Pa}^{-1}$

Circles = predicted =  $-0.0066 \text{ Pa}^{-1}$



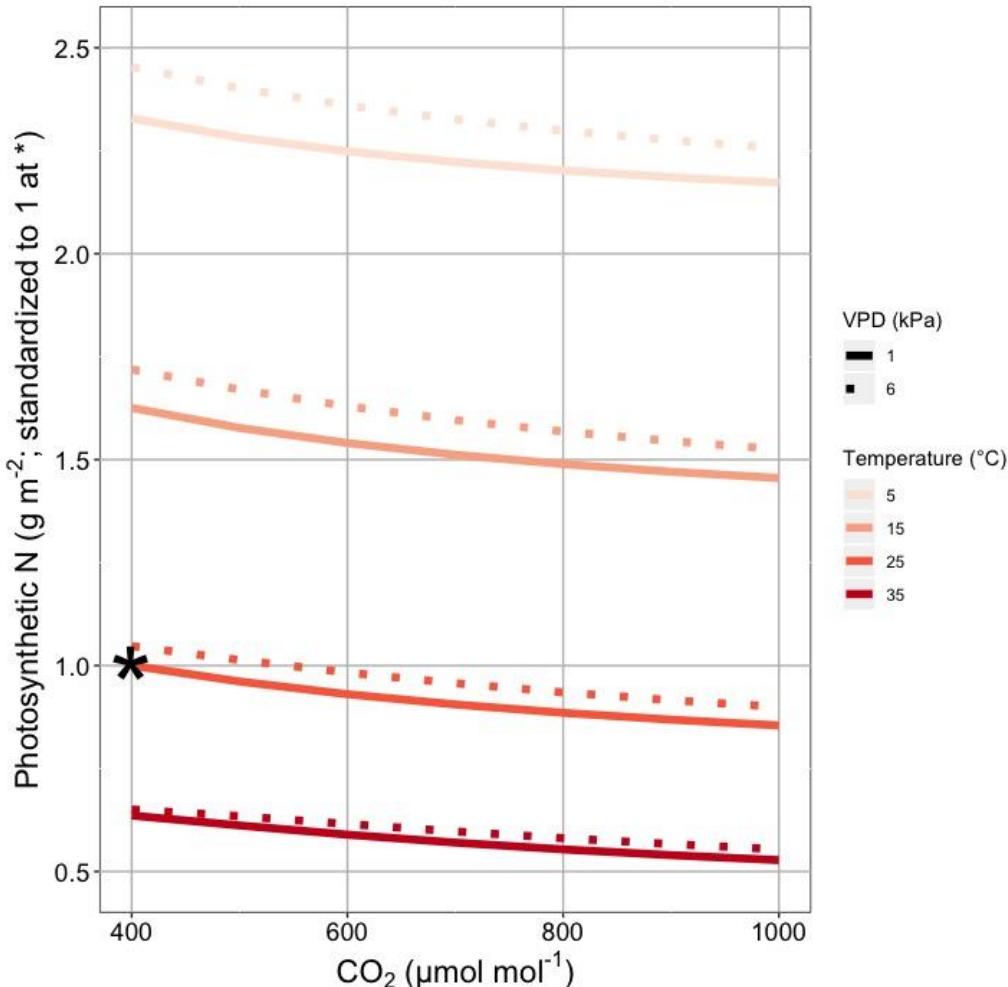
We further confirmed this universality of this response under 18 nitrogen by inoculation treatments

### Treatment

- ▲ Elevated  $\text{CO}_2$ , inoculated
- Elevated  $\text{CO}_2$ , uninoculated
- ▲ Ambient  $\text{CO}_2$ , inoculated
- Ambient  $\text{CO}_2$ , uninoculated



This generally suggests lower nitrogen demand under future conditions

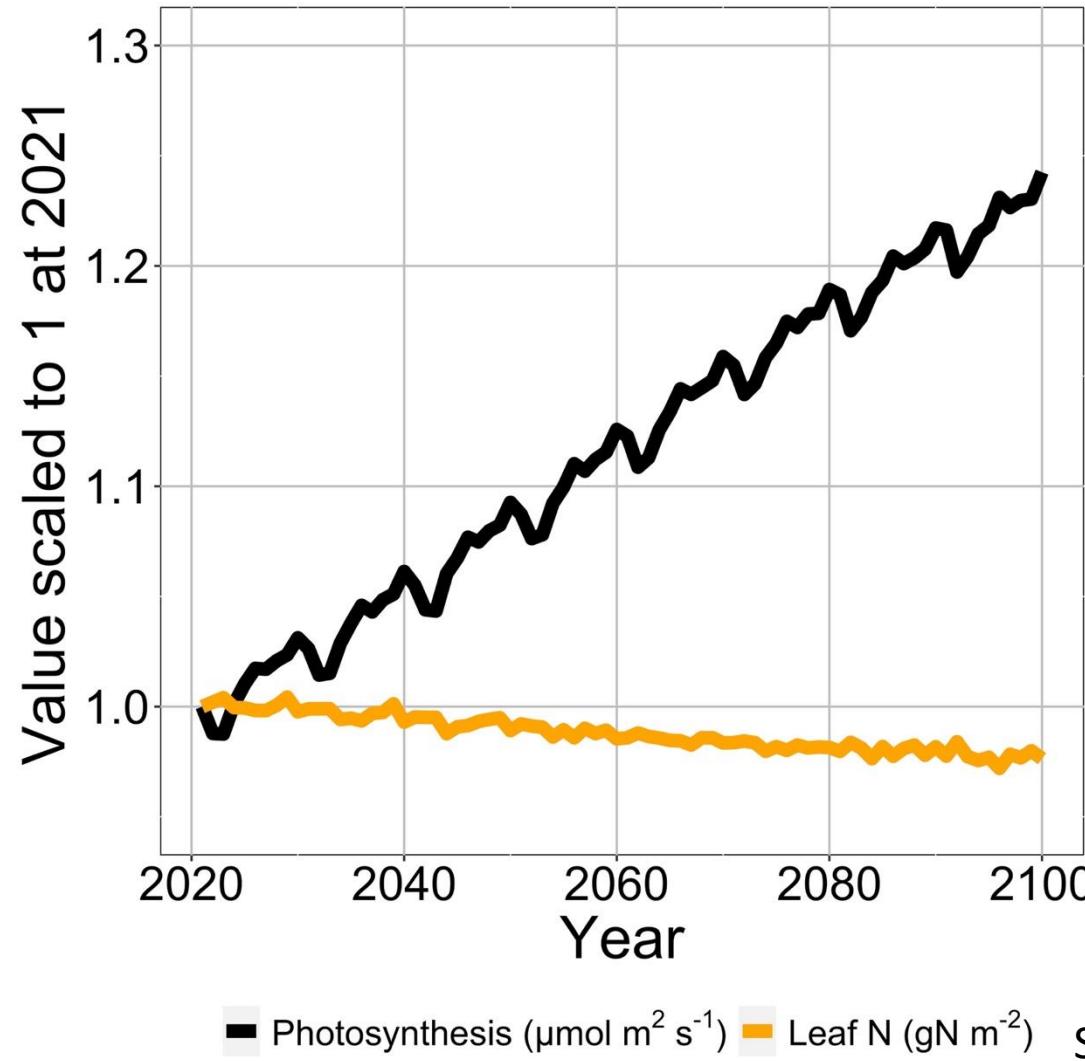


Let's run a model out into the  
future!

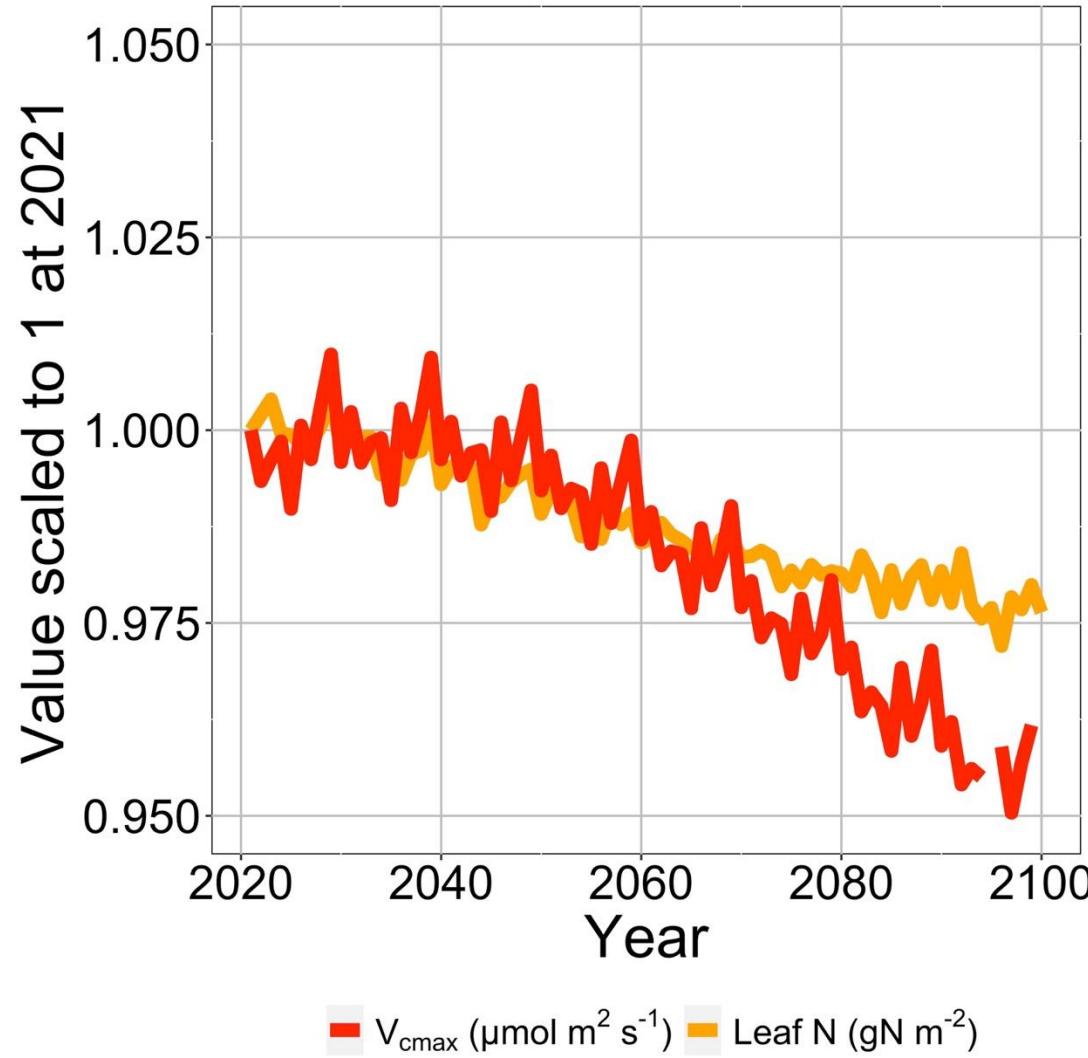


Energy Exascale  
Earth System Model

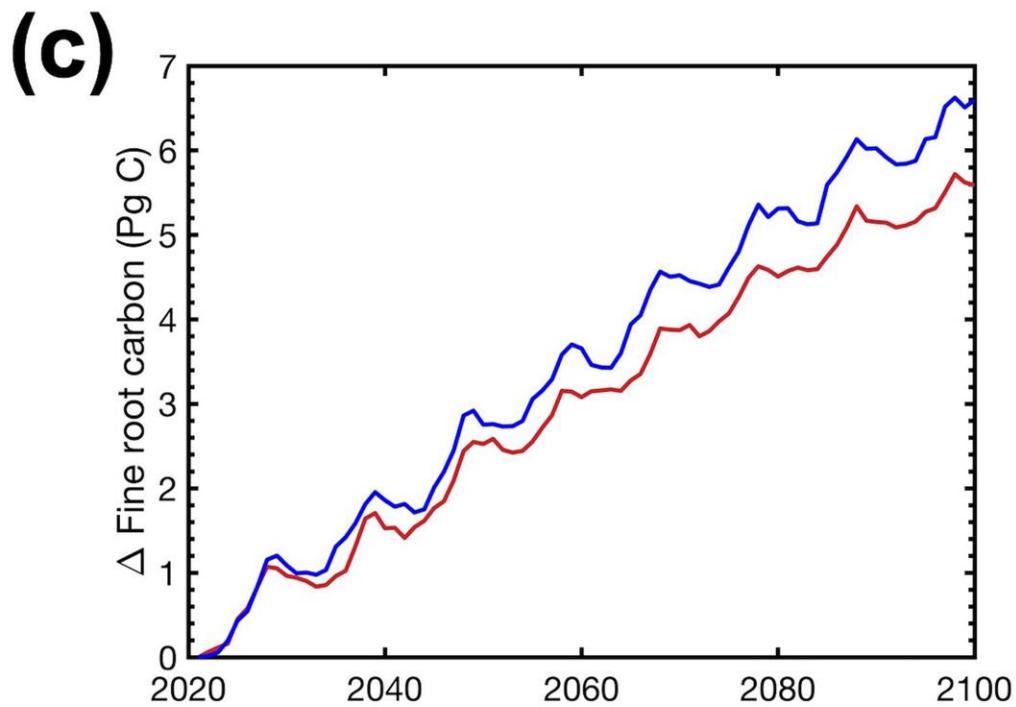
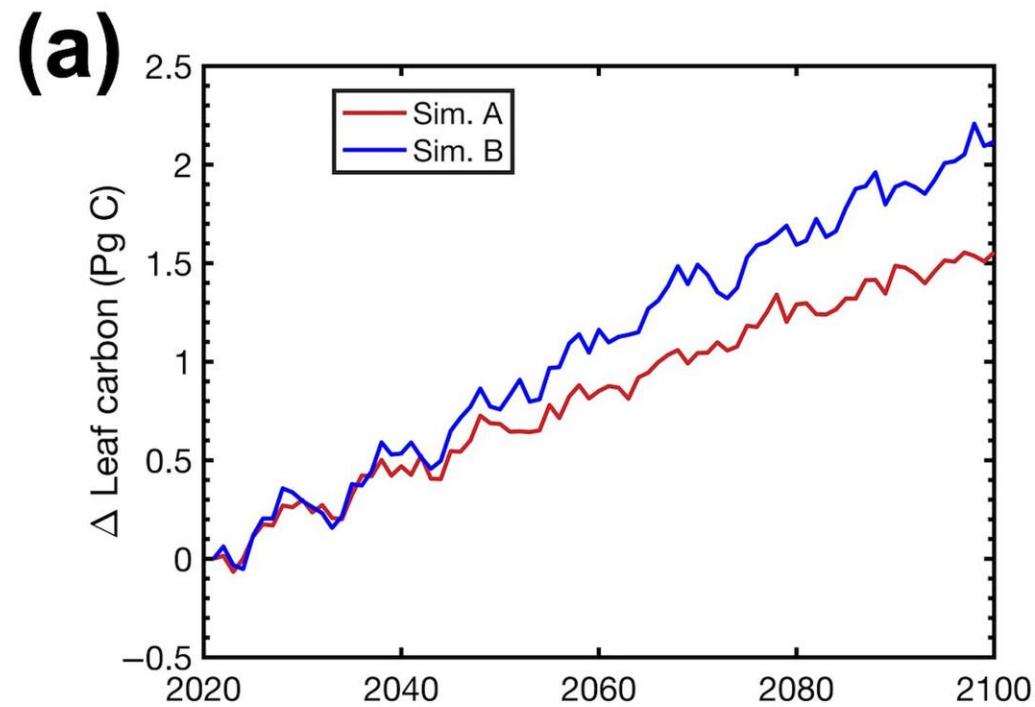
# Photosynthesis increases with elevated CO<sub>2</sub> at lower leaf N



# Leaf N reduction is due to a reduction in photosynthetic capacity

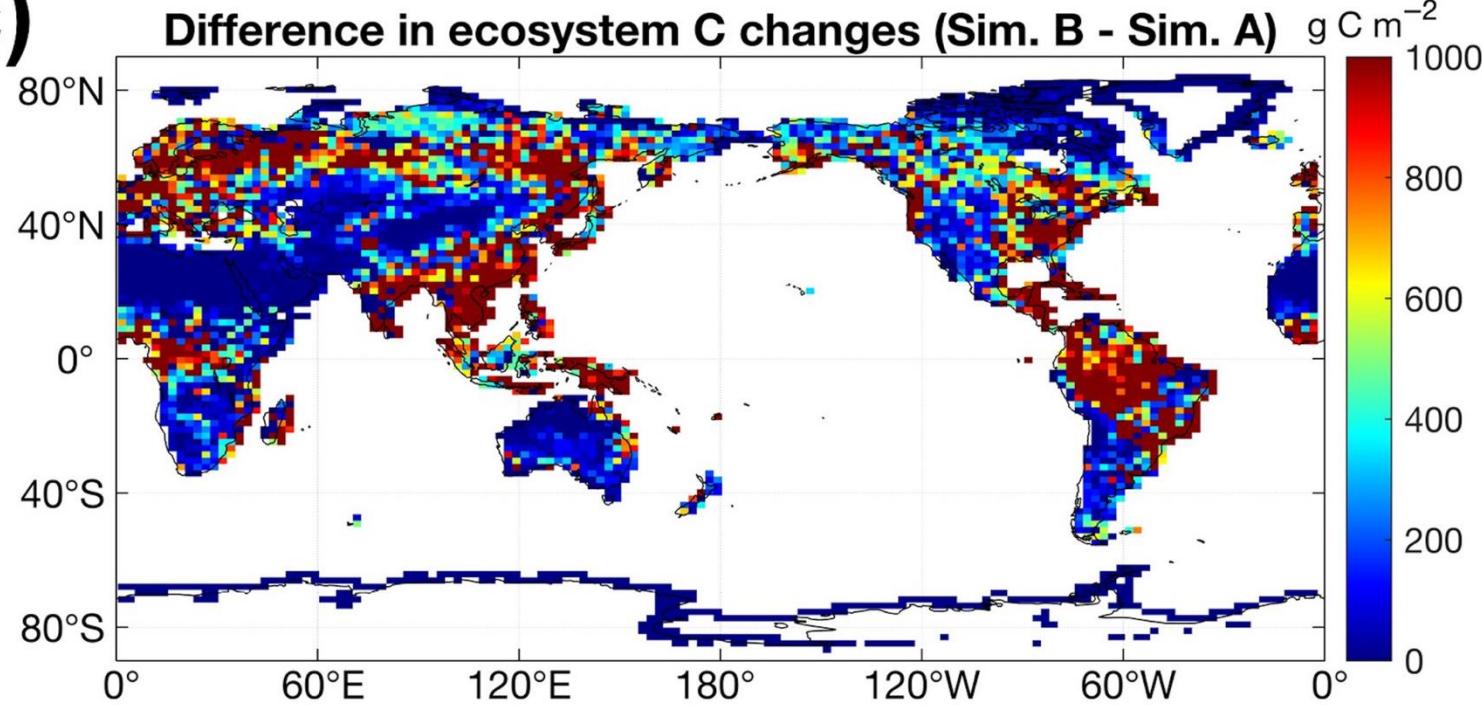


# Plants build more leaves and fine roots



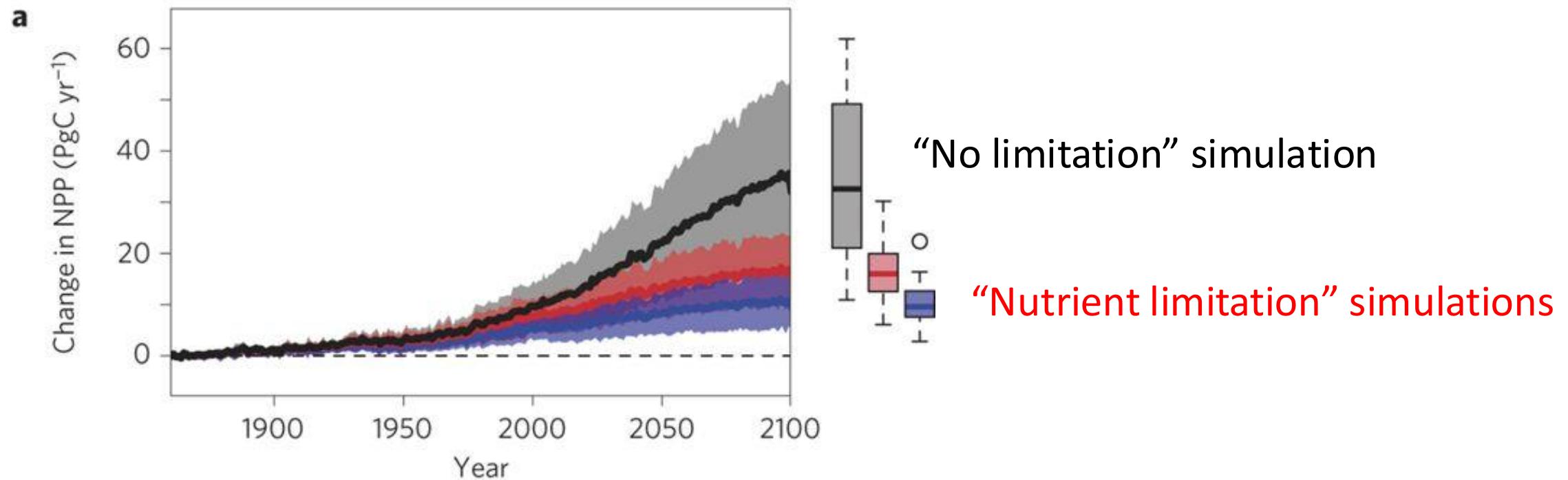
# Leaf N savings increases long-term ecosystem carbon stocks

(c)



50% increase in  
ecosystem carbon  
from  $\text{CO}_2$   
acclimation

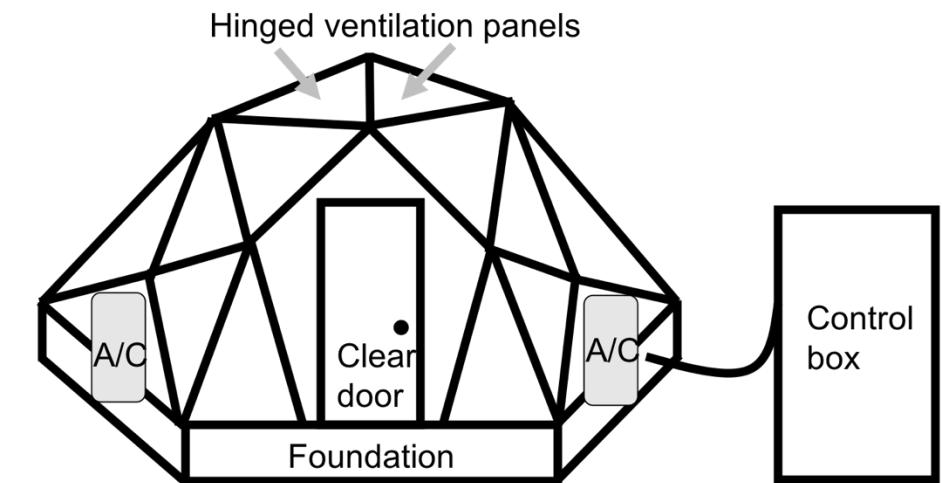
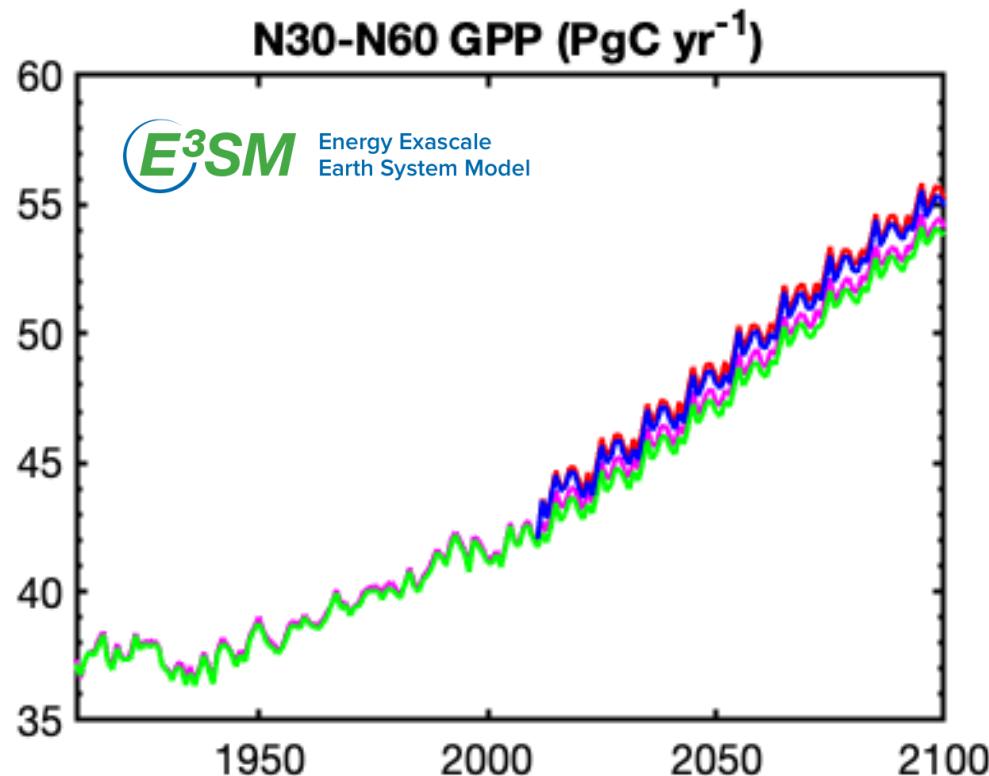
# Need to rethink nutrient limitation in models?



Question 3: What does acclimation mean for future terrestrial biogeochemical cycling?

Photosynthesis will increase and per-leaf-area nutrient use will decrease, reducing N limitation and increasing future ecosystem C

# Looking forward: role of acclimation on future ecosystem feedbacks to global change



Coupled model-data experiments

Question 4: When is C<sub>4</sub> photosynthesis an advantage over C<sub>3</sub> photosynthesis?

# $C_3$ versus $C_4$ optimization

# $C_4$ versus $C_3$ optimization

$C_4$  photosynthesis has...

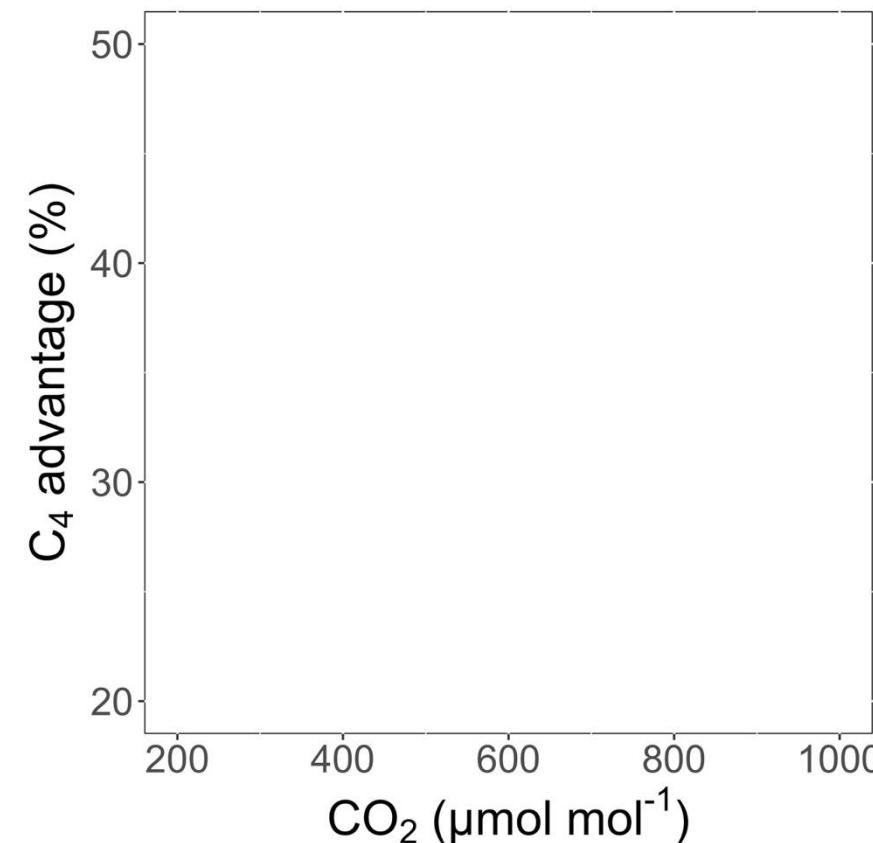
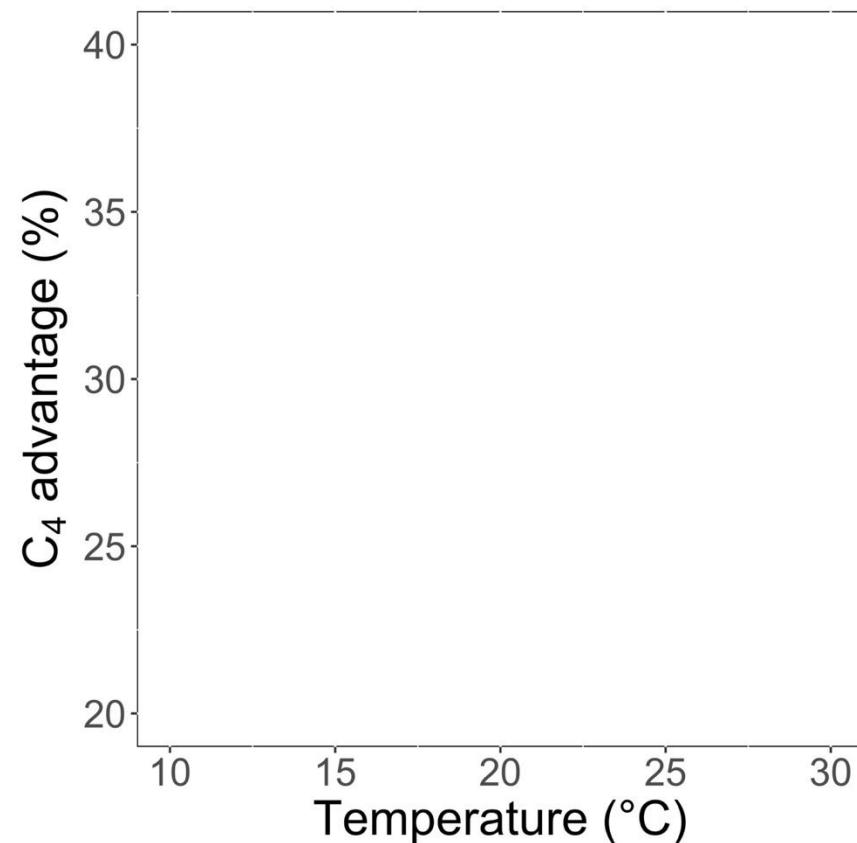
- Little photorespiration
- An additional limitation (PEP carboxylation)

# $C_4$ versus $C_3$ optimization

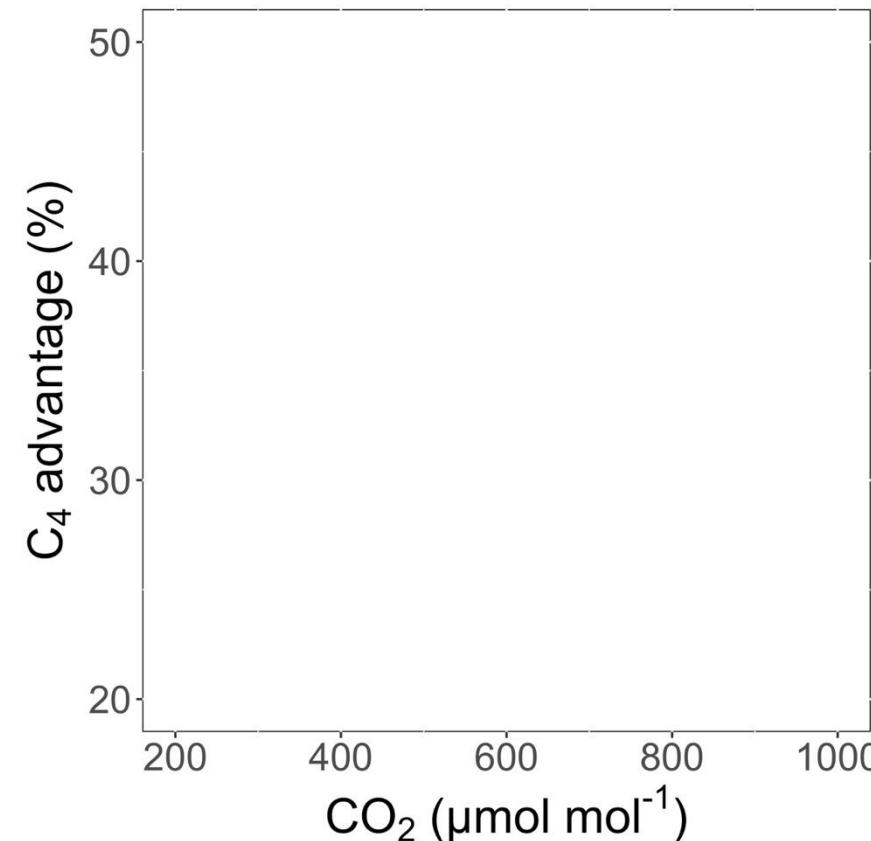
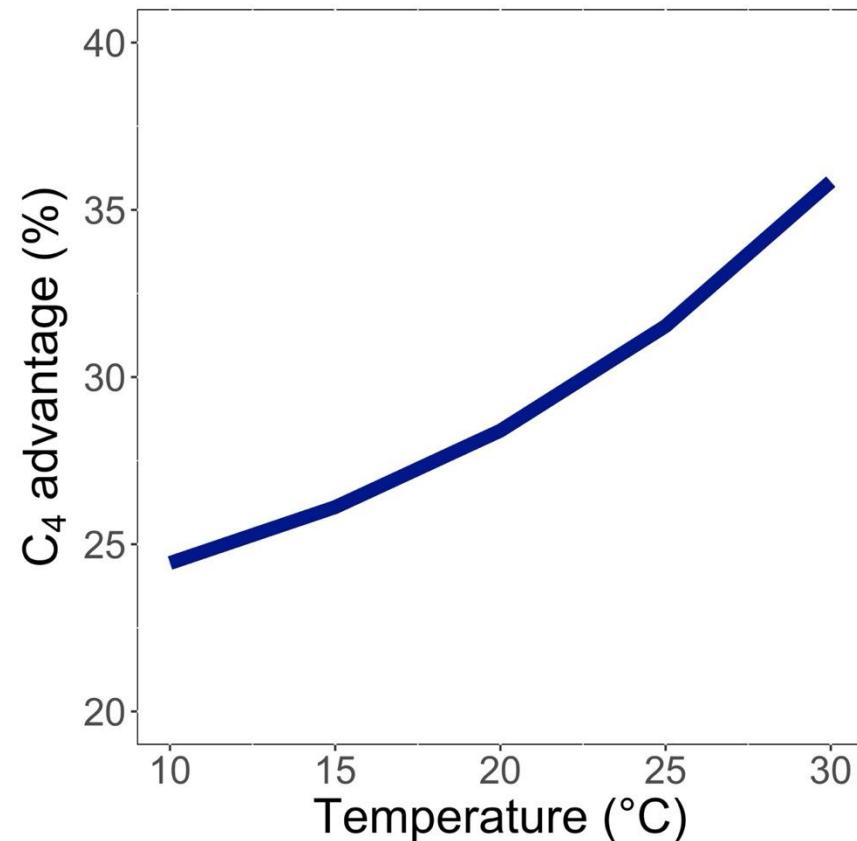
$C_4$  photosynthesis has...

- **Little photorespiration**
- An additional limitation (**PEP carboxylation**)

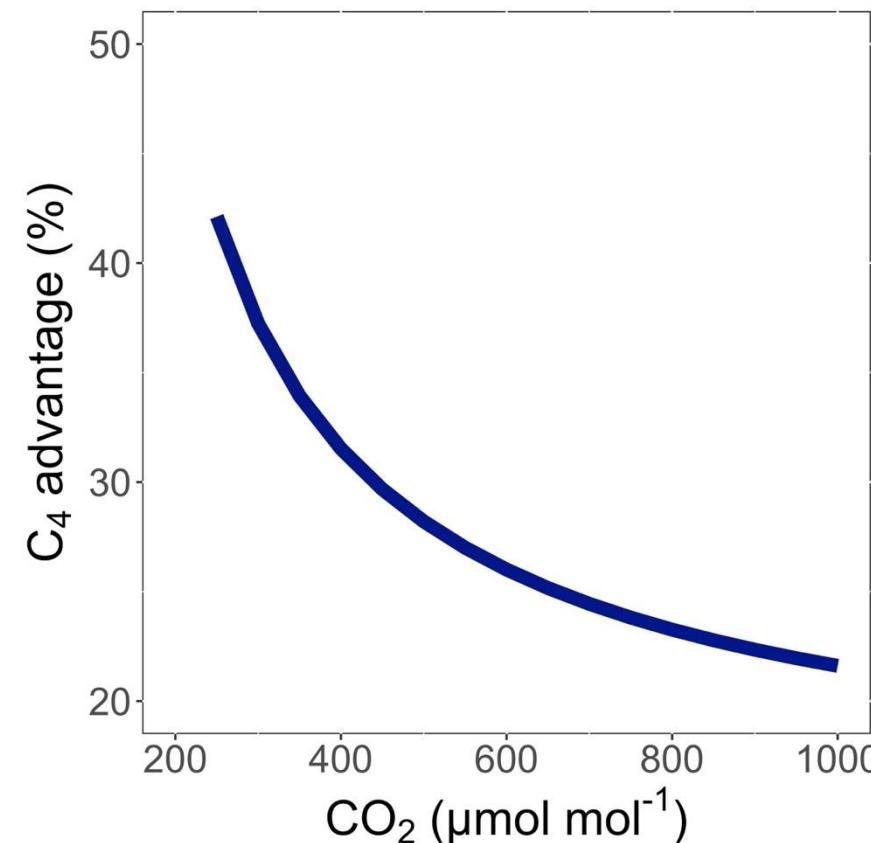
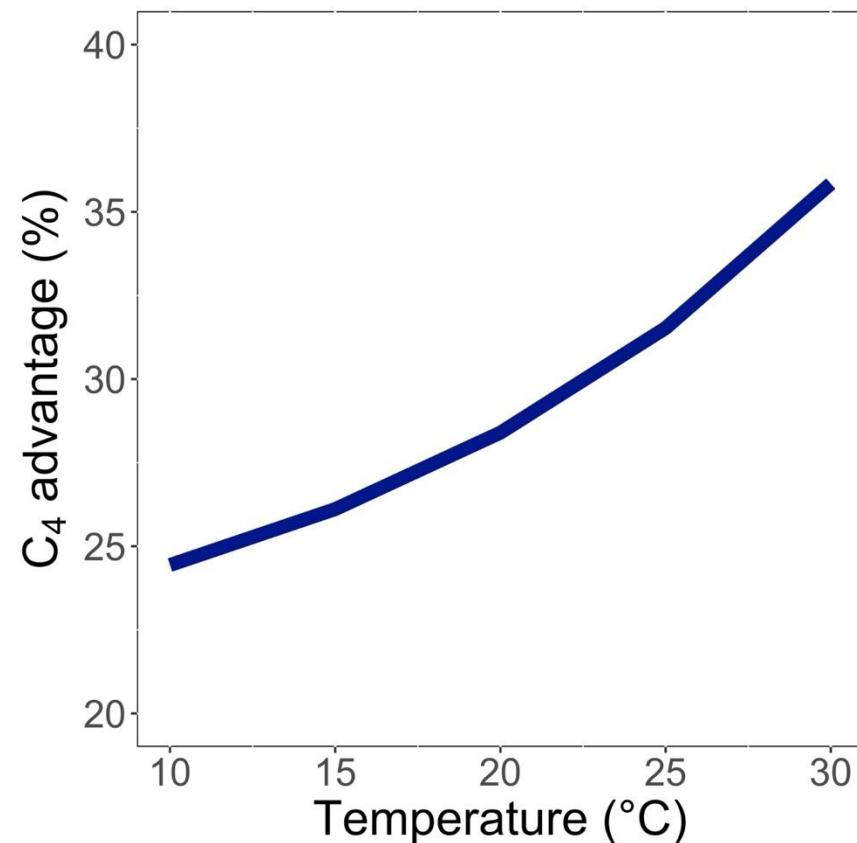
# Relative advantage of C<sub>4</sub> physiology



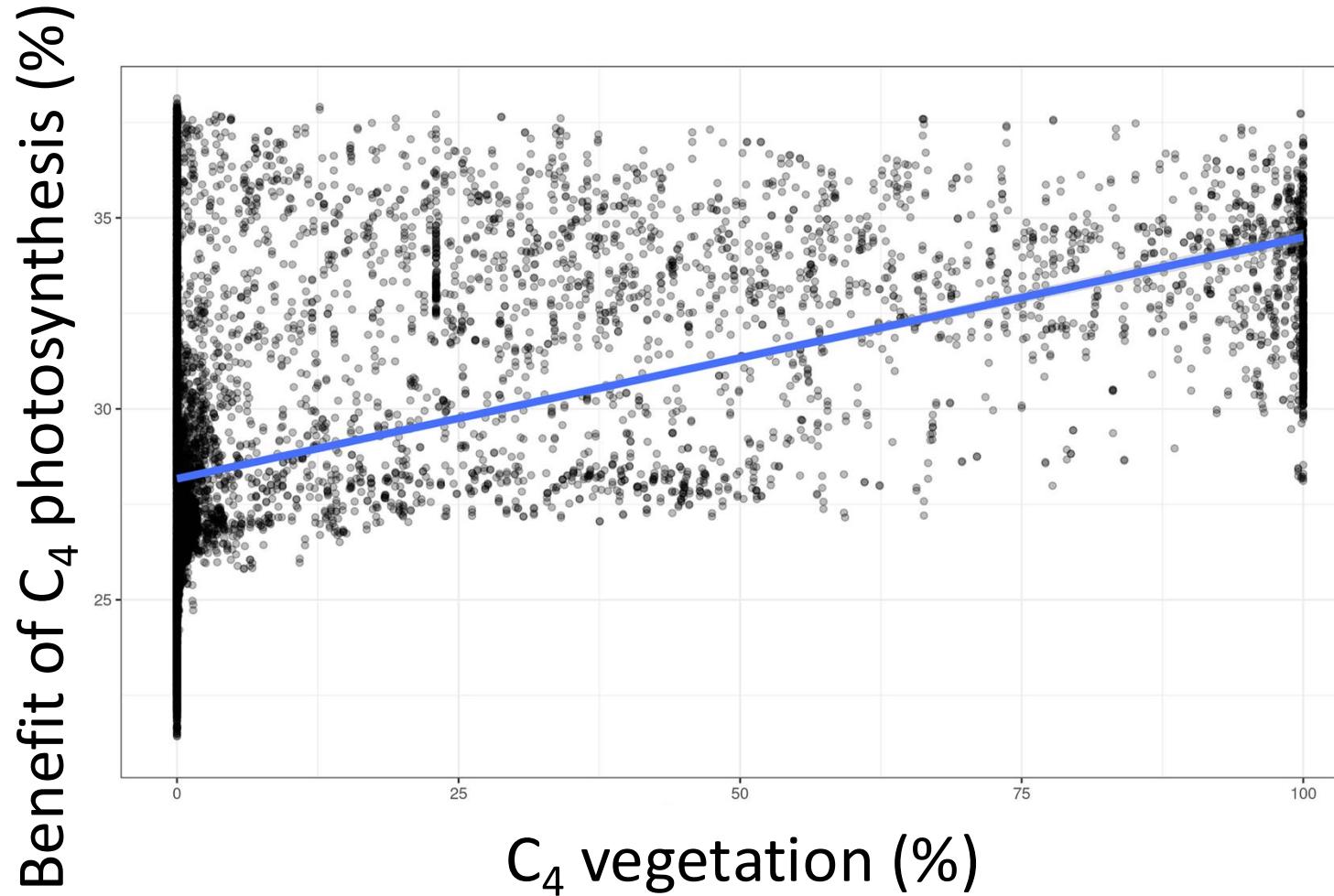
# Relative advantage of C<sub>4</sub> physiology

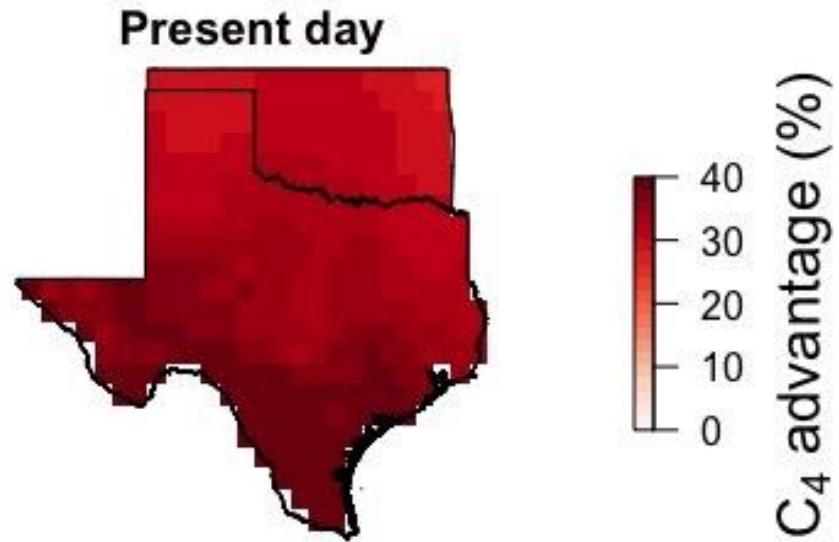


# Relative advantage of C<sub>4</sub> physiology



# The model seems to work!

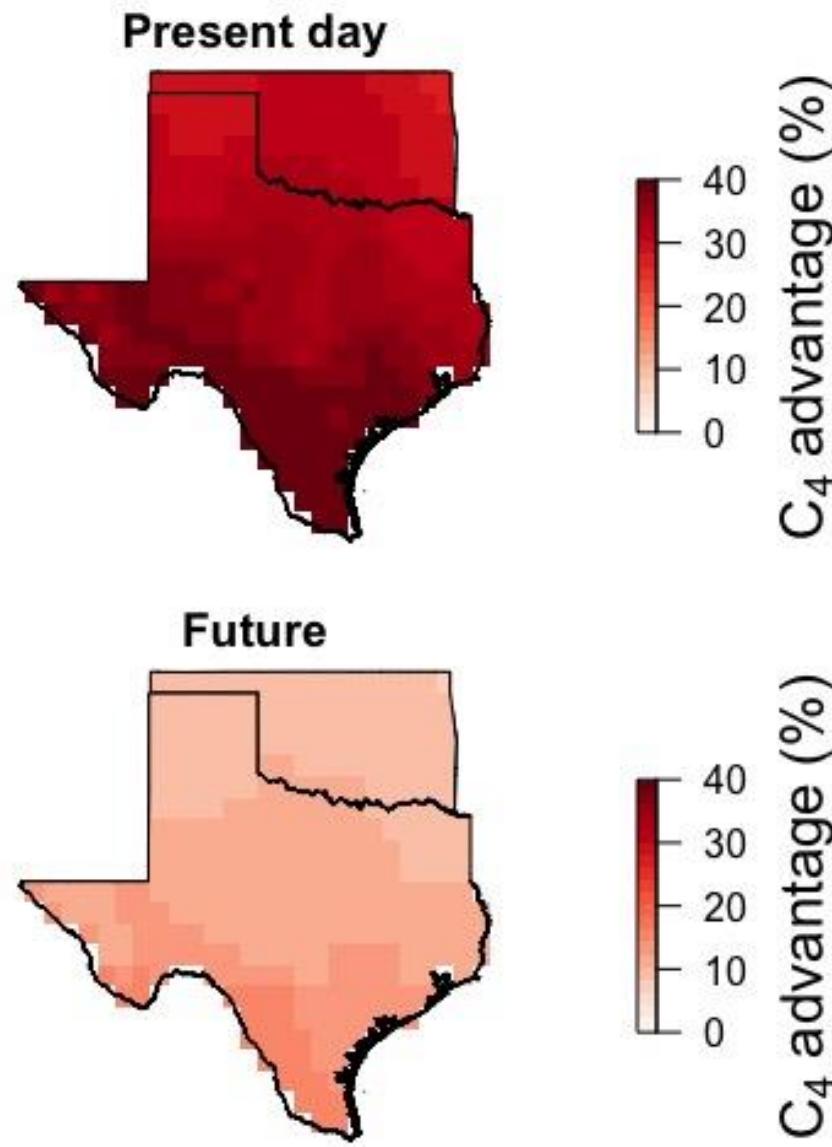




SOUTH CENTRAL  
CLIMATE ADAPTATION SCIENCE CENTER

Ansley,... Smith et al. (2023) *Ecosphere*

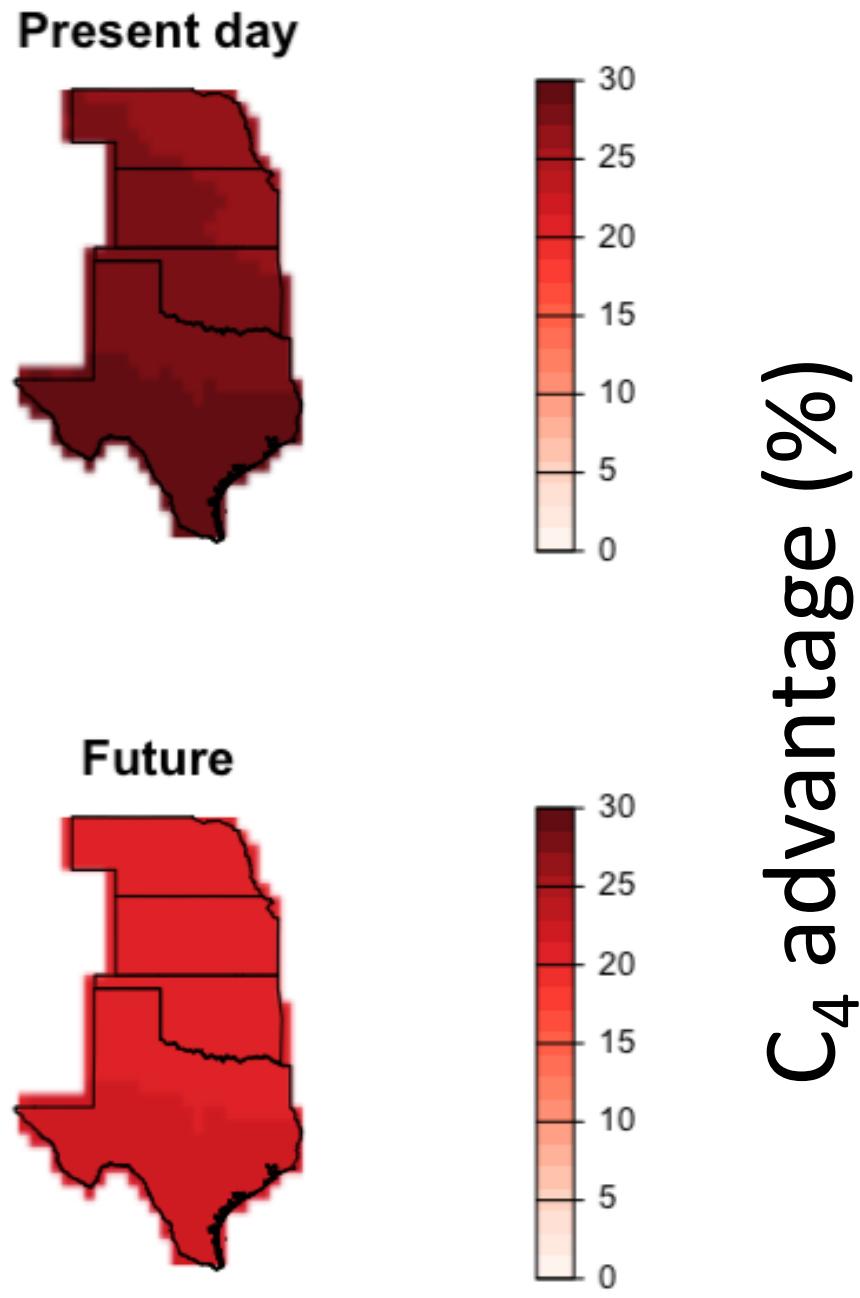
Relative advantage of C<sub>4</sub> physiology may decrease in the future in the Southern Great Plains



SOUTH CENTRAL  
CLIMATE ADAPTATION SCIENCE CENTER



Similar responses further  
North



SOUTH CENTRAL  
CLIMATE ADAPTATION SCIENCE CENTER

**Question 4:** When is C<sub>4</sub> photosynthesis an advantage over C<sub>3</sub> photosynthesis?

C<sub>4</sub> is better in hot, dry, low CO<sub>2</sub> environments

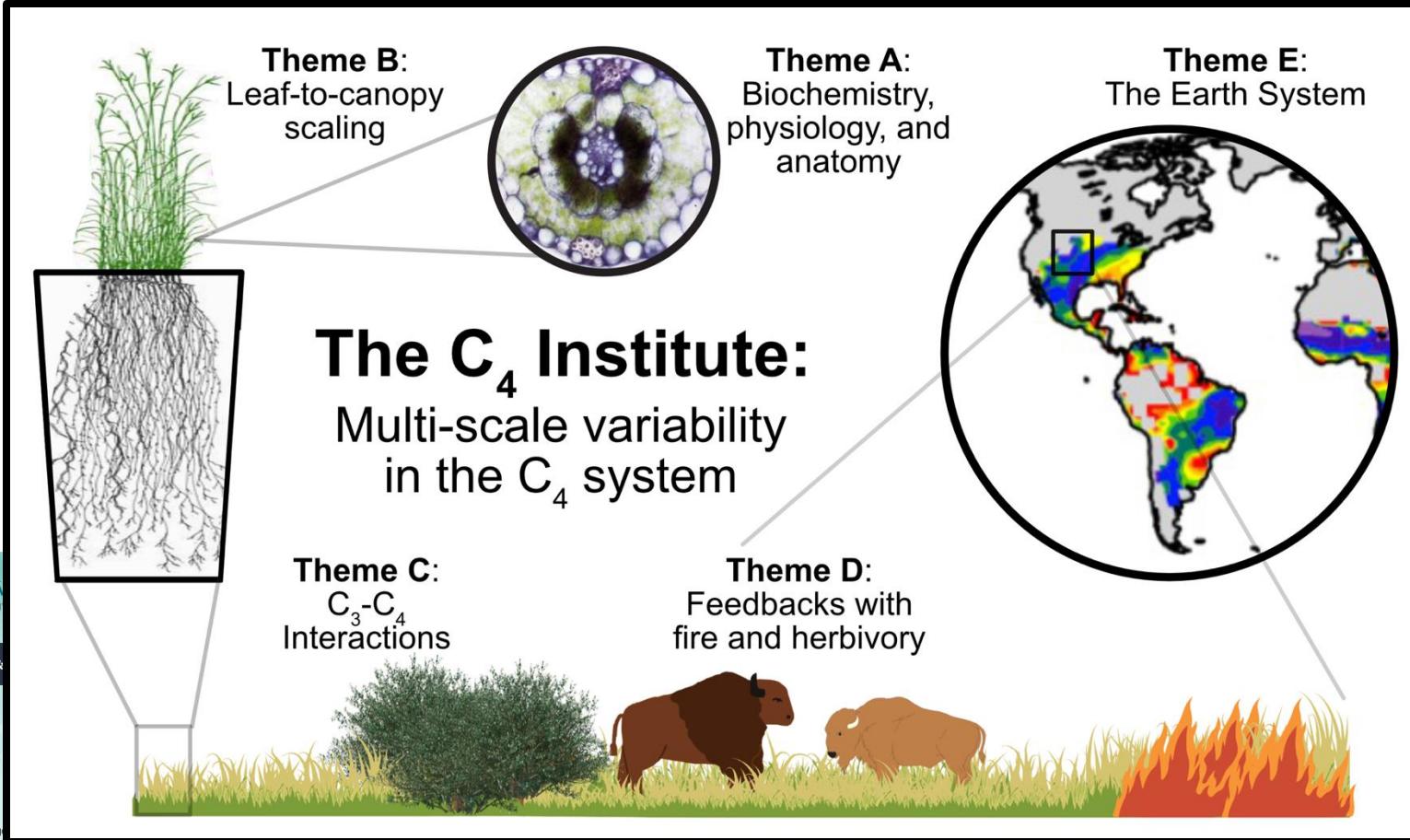
# Looking forward: scaling of C<sub>4</sub> biology from cells to biomes

NSF Research.GOV  
ONLINE GRANTS MANAGEMENT FOR THE NSF COMMUNITY

Proposals      Reviews &...

My Proposals Results  
11 Results Found :: View All  
Change Search  
Agency: NSF   Show 25 per page

PAGE: 1 of 1



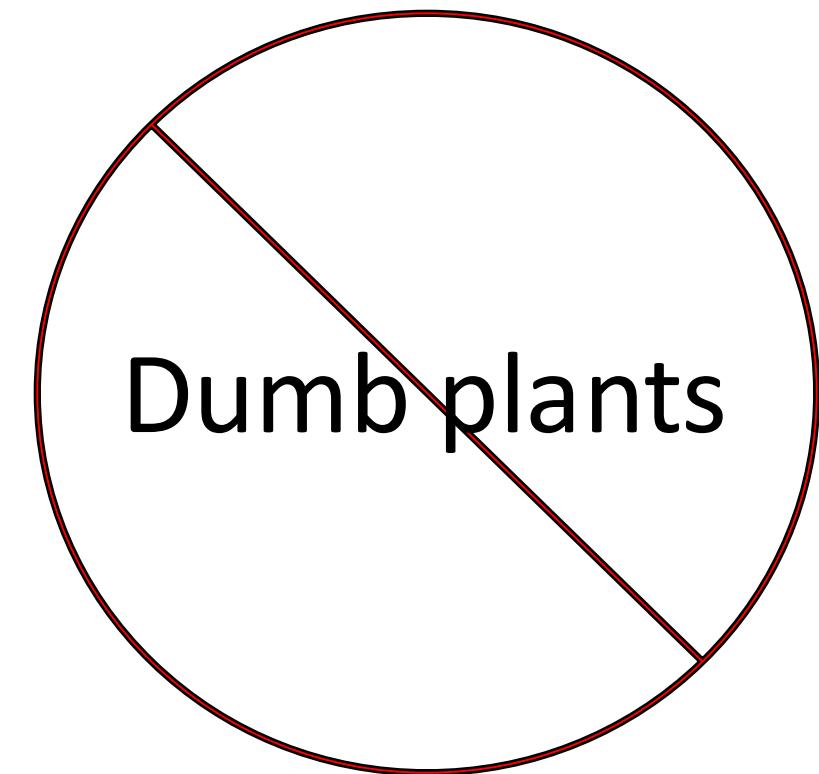
Agency	Agency Tracking Number	Grants.gov Tracking ID	Submitting Institution	Descriptive Title of Project	Status	Status Date	Received Date	Requested Amount
NSF	2525062		Texas Tech University <a href="#">View SAM Legal Business Name</a>	BII: The C4 Institute	Pending	02/19/2025	02/17/2025	\$14,981,629

Monson,...Smith et al. (2025) *New Phyt*

# Conclusions

# Conclusions

- Plants aren't dumb!
  - Assuming plants don't dynamically respond to their environment can lead to poor understanding of ecological functioning from individuals to the Earth system



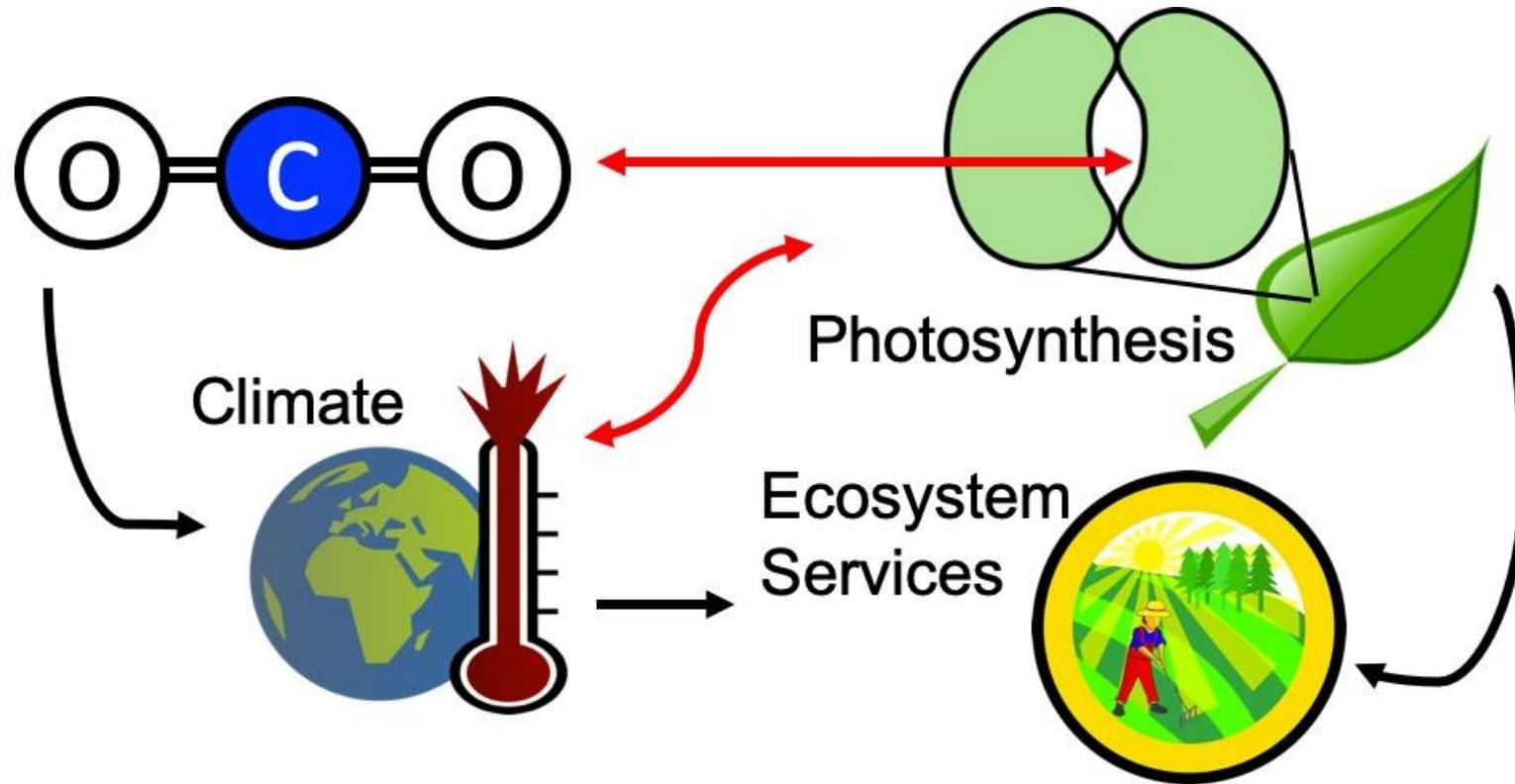
# Conclusions

Quantified physiological theory can:

1. Improve mechanistic understanding of physiological processes that underlie higher level responses
2. Produce more reliable future projections



And ultimately lead to a better understanding of how future ecosystem services will be impacts by global change



Presentation available at:

[www.github.com/SmithEcophysLab/seminar/2025\\_unl](https://www.github.com/SmithEcophysLab/seminar/2025_unl)

Data and code:

[www.github.com/SmithEcophysLab](https://www.github.com/SmithEcophysLab)

[www.smithecophyslab.com/data](http://www.smithecophyslab.com/data)

Contact: [nick.smith@ttu.edu](mailto:nick.smith@ttu.edu)



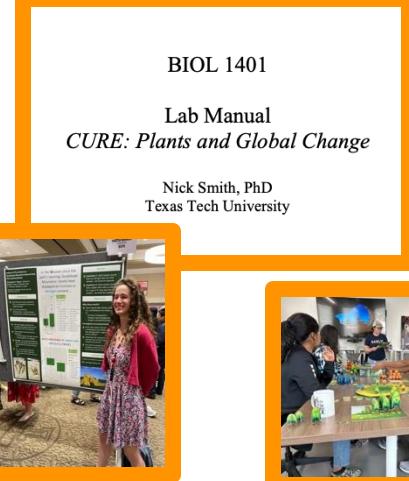
Thanks!

# Botanical Scaling

Core of ongoing and future projects



# Integration



# Teaching and Research

CUREs, Methods Courses, Field Experiences

# Interdisciplinary Science

Atmo., Physics, Chemistry, Media & Coms



# Service and Research

Department, University, Nation

# Botanical Scaling

Core of ongoing and future projects



# Integration

# Interdisciplinary Science

Atmo., Physics, Chemistry, Media & Coms



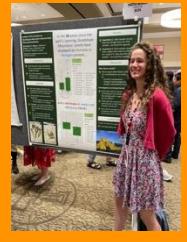
# Integration

# Integration

BIOL 1401

Lab Manual  
*CURE: Plants and Global Change*

Nick Smith, PhD  
Texas Tech University



CREATING LIVABLE FUTURES  
Sustainability Thought-Leaders

## Teaching and Research

CUREs, Methods Courses, Field Experiences

# Integration



U.S. Global Change Research Program  
**National Climate Assessment**



**Service and Research**  
Department, University, Nation