

# Plants aren't dumb

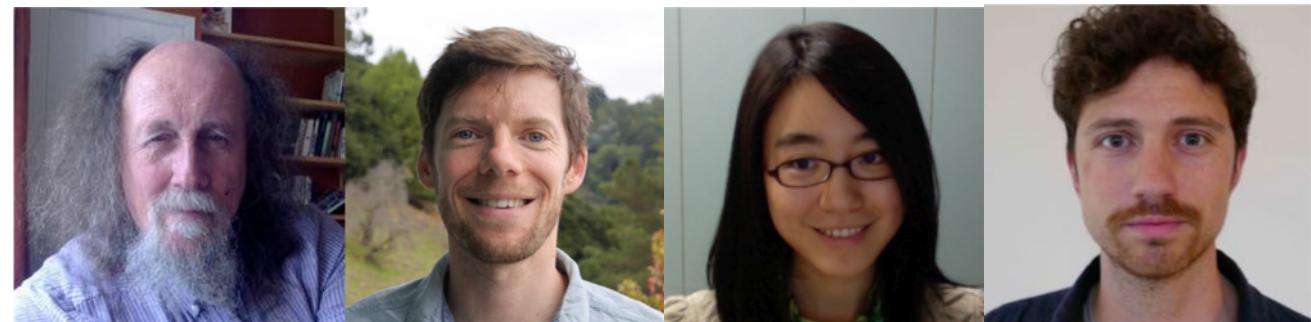
Using optimality theory to understand big  
questions in plant ecophysiology

**Nick Smith**

Department of Biological Sciences  
Texas Tech University

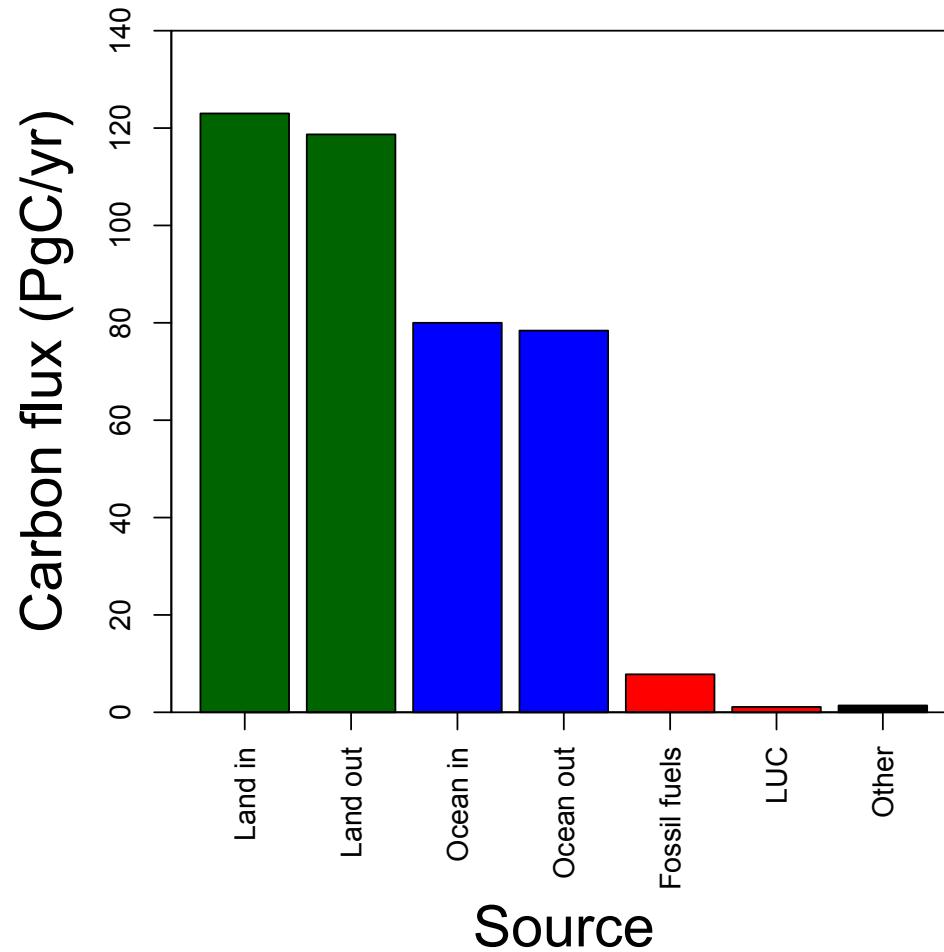
# Acknowledgements

- Smith Ecophysiology Lab
  - Lizz Waring (now AProf at Northeastern State U)
  - Helen Scott (now in industry)
  - Evan Perkowski (PhD student)
  - Risa McNellis (MS Student)
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  - Colin Prentice (Imperial College)
  - Trevor Keenan (UC Berkeley)
  - Wang Han (Tsinghua U)
  - Beni Stocker (ETH Zurich)

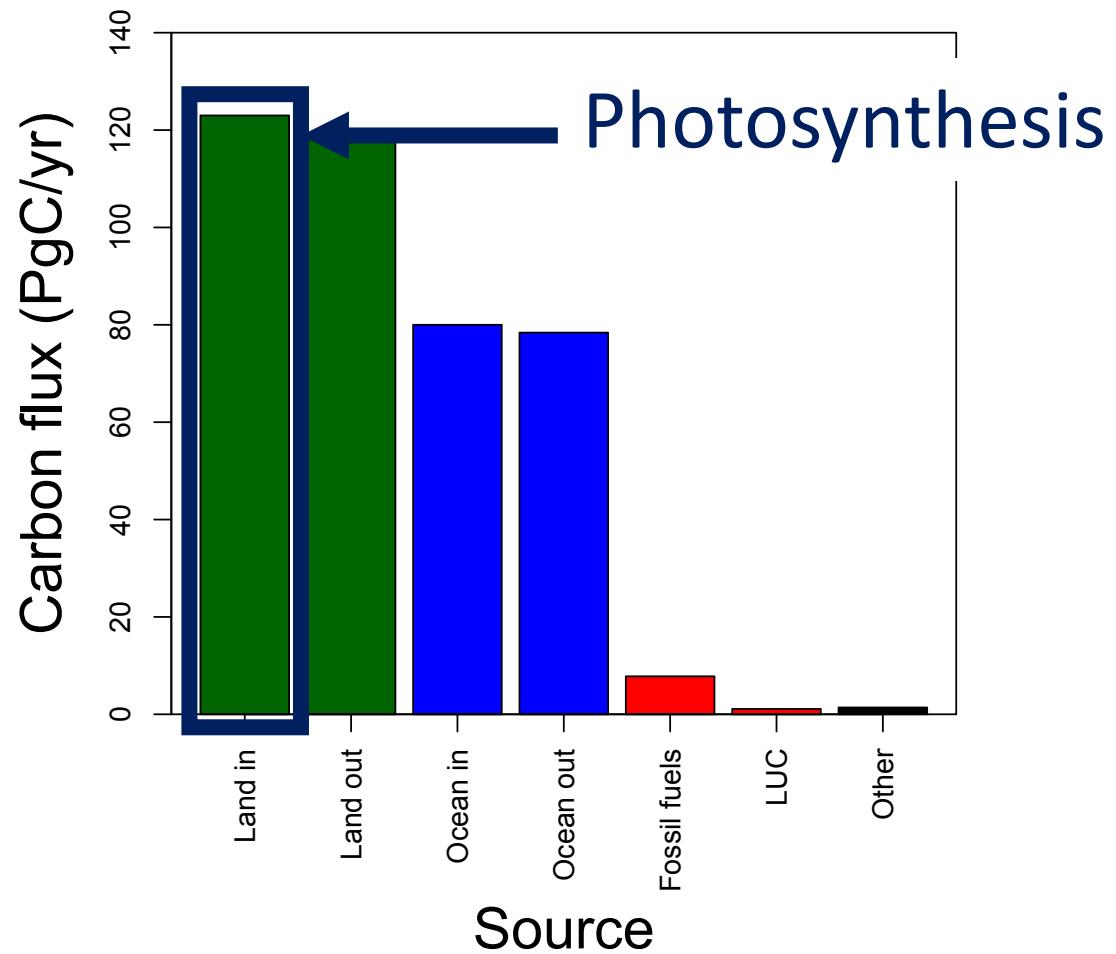


# Photosynthesis is important!

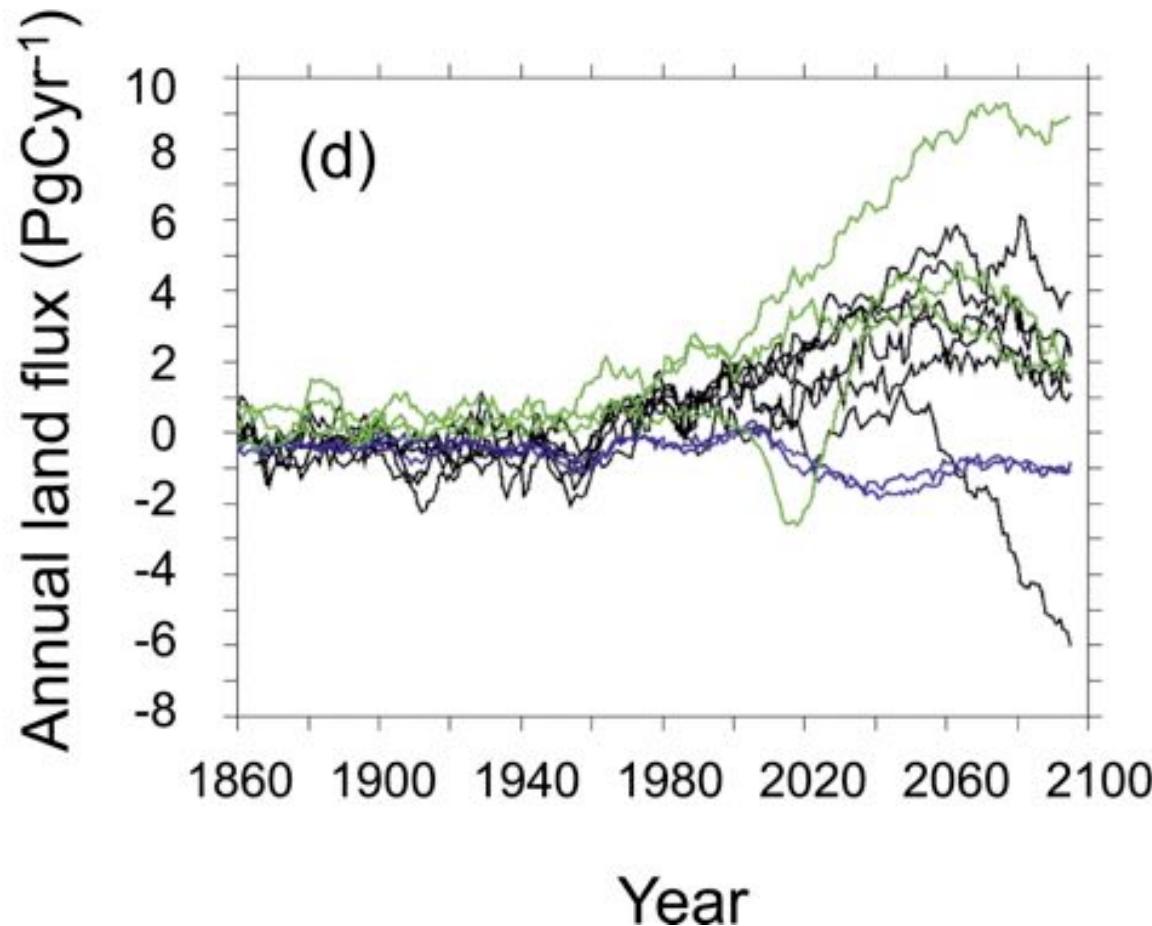
# Photosynthesis is important!



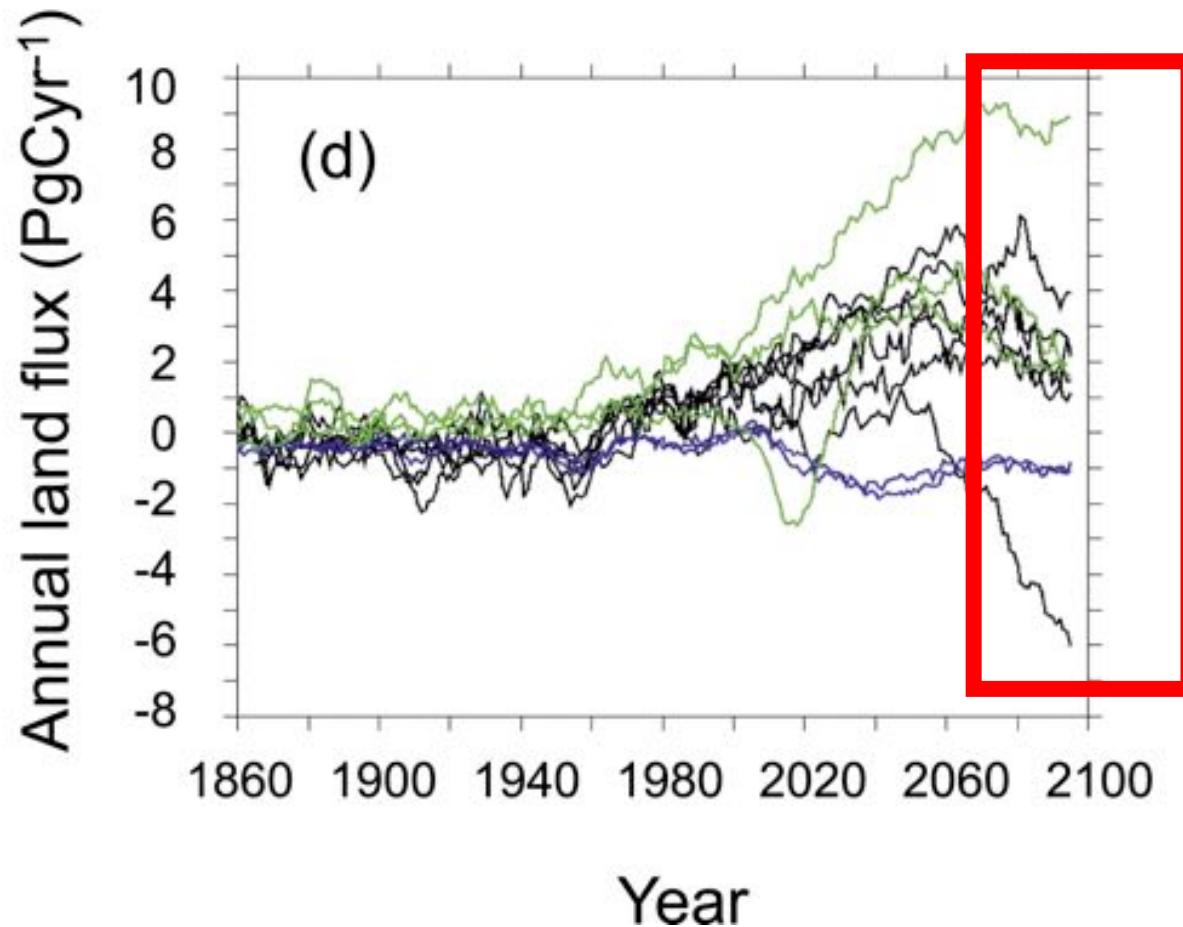
# Photosynthesis is important!



But predictions are uncertain!



# But predictions are uncertain!



Model uncertainty > fossil fuel emissions

# Theoretical models for photosynthesis exist

Planta 149, 78–90 (1980)

**Planta**  
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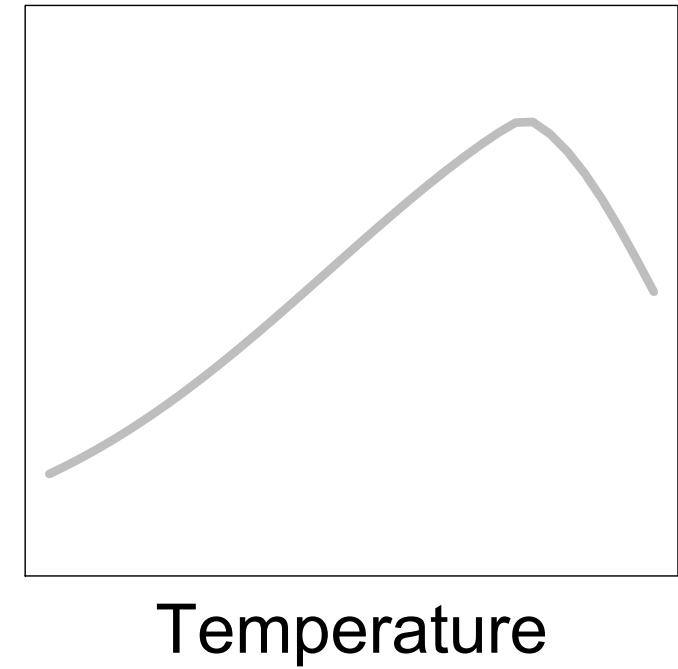
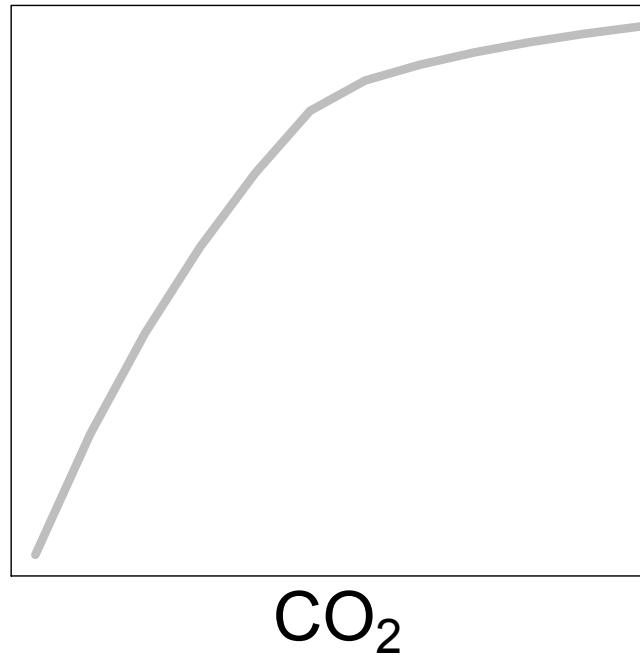
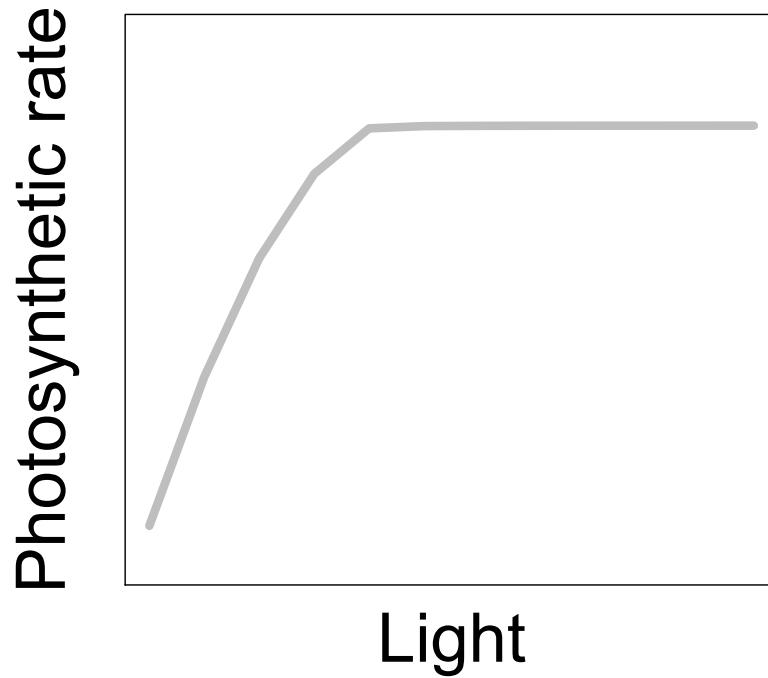
## A Biochemical Model of Photosynthetic CO<sub>2</sub> Assimilation in Leaves of C<sub>3</sub> Species

G.D. Farquhar<sup>1</sup>, S. von Caemmerer<sup>1</sup>, and J.A. Berry<sup>2</sup>

<sup>1</sup> Department of Environmental Biology, Research School of Biological Sciences, Australian National University, P.O. Box 475, Canberra City ACT 2601, Australia and

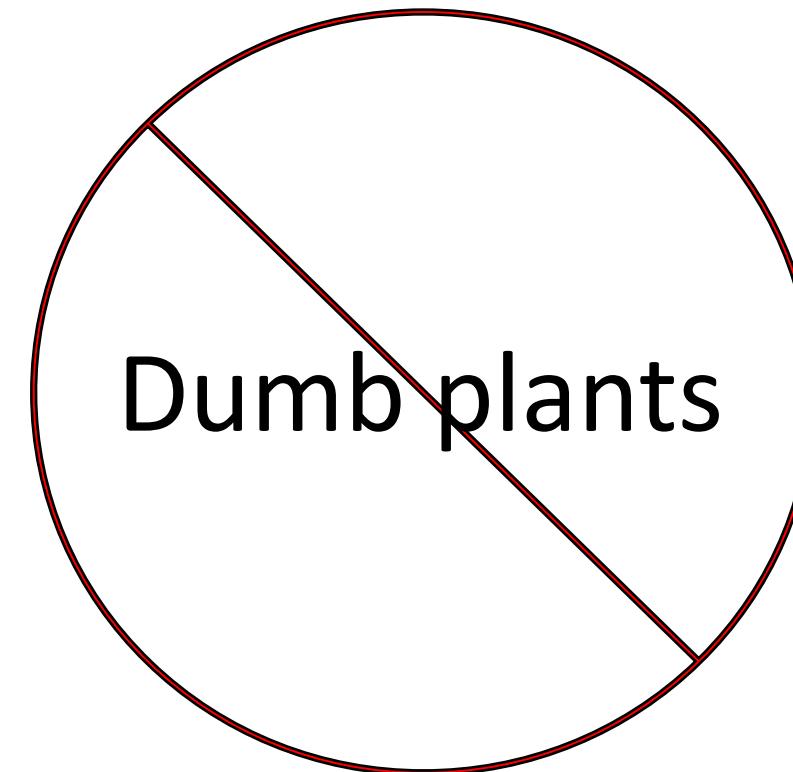
<sup>2</sup> Carnegie Institution of Washington, Department of Plant Biology, Stanford, Cal. 94305, USA

These produce short term responses that match data



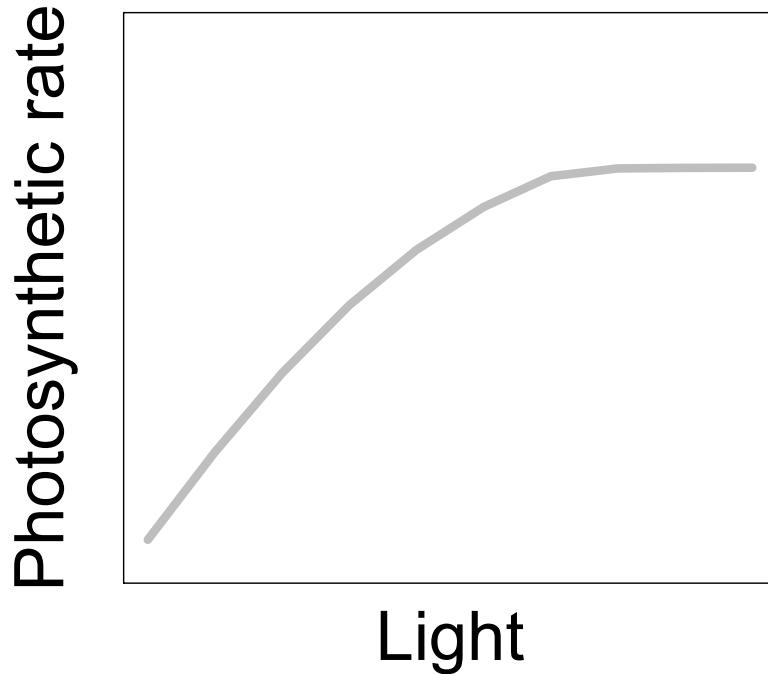
Long-term responses can differ from short-term responses due to acclimation

Long-term responses can differ from short-term responses due to acclimation

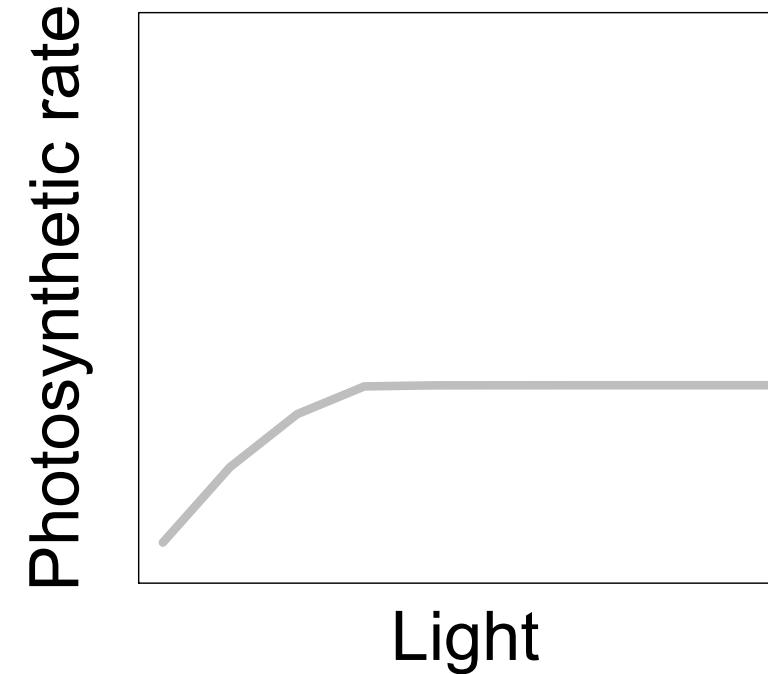


Long-term responses can differ from short-term responses due to acclimation

Acclimated to high light



Acclimated to low light



# Acclimation is ubiquitous and well known...

CO<sub>2</sub>: Bazzaz (1990)

*Ann. Rev. Ecol. Syst.* 1990, 21:167–96  
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THE RESPONSE OF NATURAL ECOSYSTEMS TO THE RISING GLOBAL CO<sub>2</sub> LEVELS

F. A. Bazzaz

Light: Boardman (1977)

*Ann. Rev. Plant Physiol.* 1977, 28:355–77  
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COMPARATIVE PHOTOSYNTHESIS OF SUN AND SHADE PLANTS

N. K. Boardman  
Division of Plant Industry, CSIRO, Canberra City, A.C.T. 2601, Australia

Temperature: Berry & Björkman (1980)

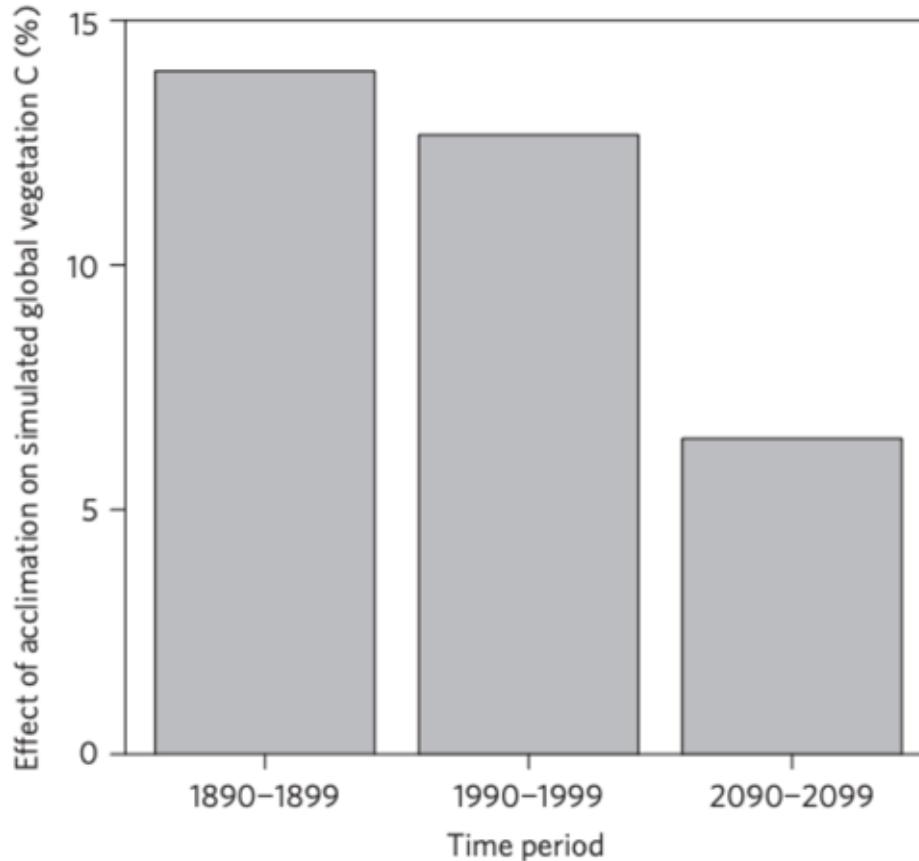
*Ann. Rev. Plant Physiol.* 1980, 31:491–543  
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PHOTOSYNTHETIC RESPONSE AND ADAPTATION TO TEMPERATURE IN HIGHER PLANTS

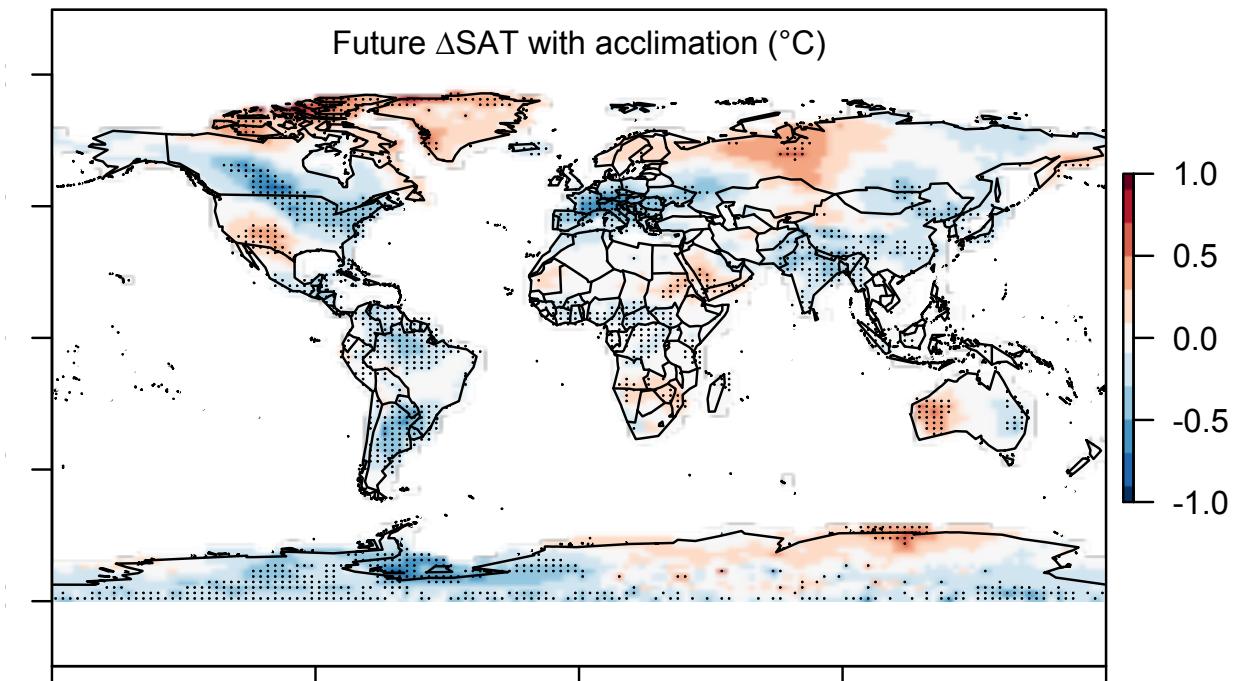
Joseph Berry and Olle Björkman<sup>1</sup>

...and can possibly impact carbon cycling and climate

Acclimation increase future C storage by ~6%



Acclimation alters future temperature by >1°C

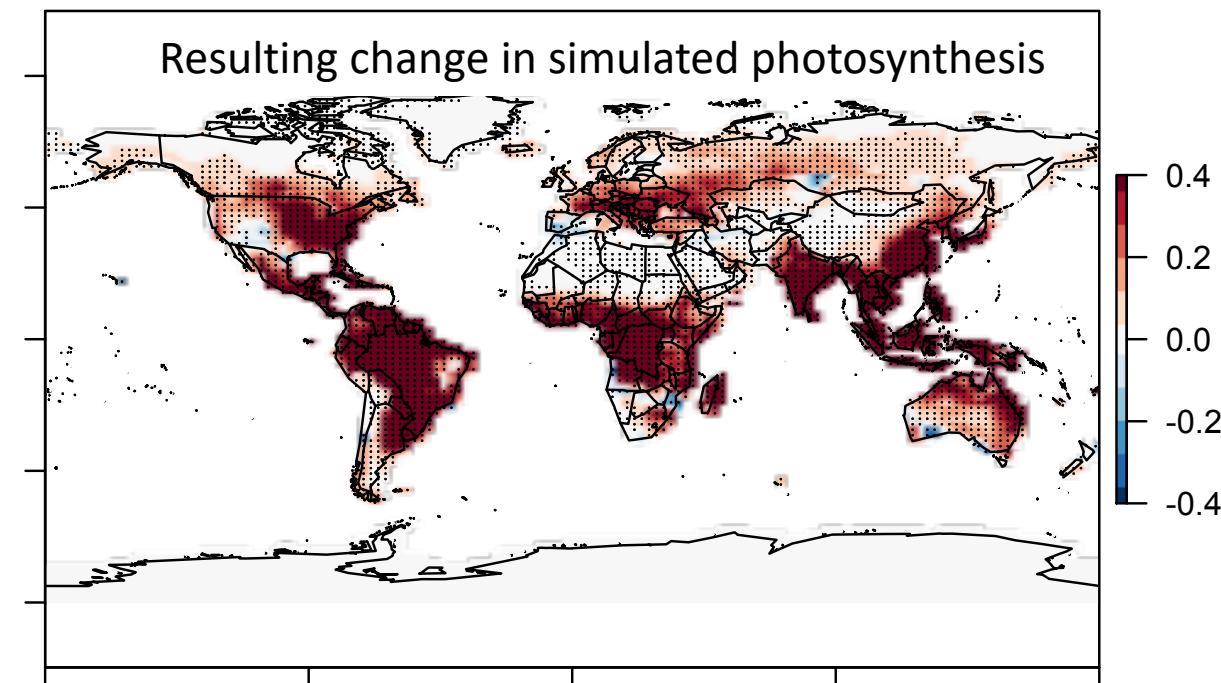
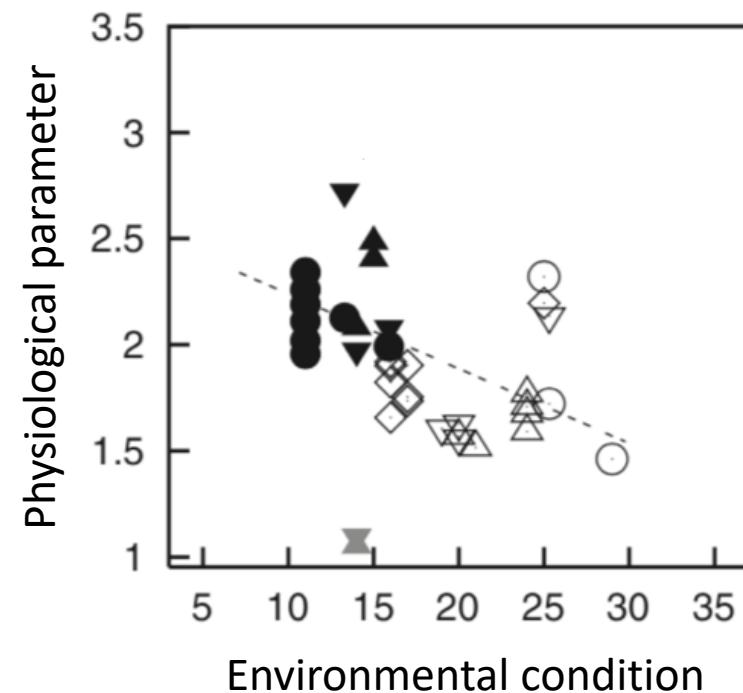


Here's the rub:  
No theoretical model for  
photosynthetic acclimation exists

Lack of theory results in...

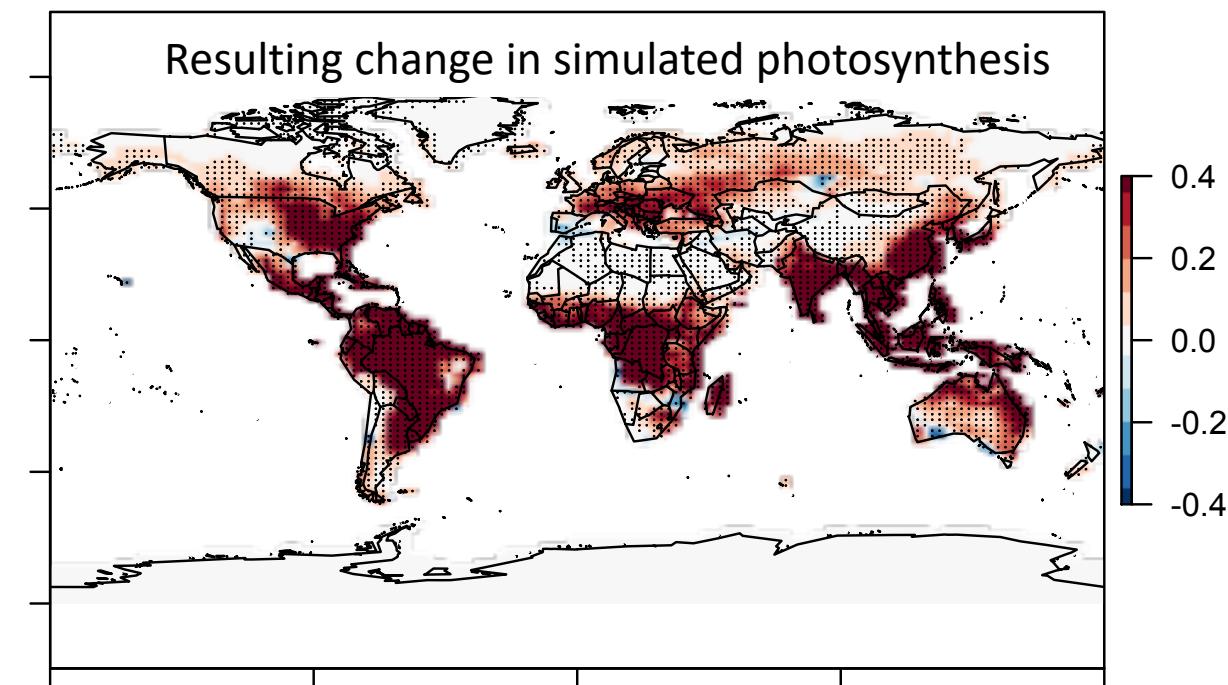
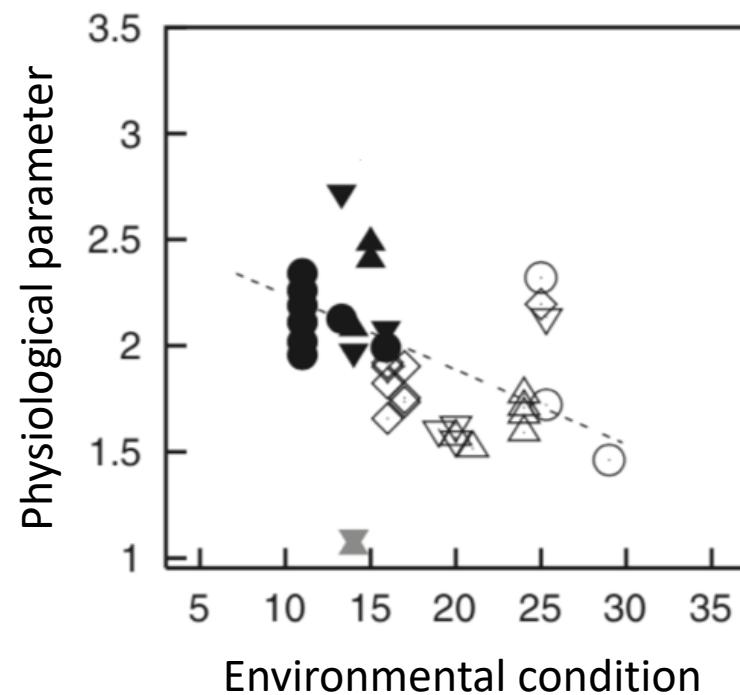
# Lack of theory results in...

- Unreliable future predictions (overparameterization, tuning)
  - Reliance on statistical models



# Lack of theory results in...

- Inability to test mechanisms



So, we developed a mechanistic model of photosynthetic acclimation

Based on **optimization** and the **first principles** of plant physiological theory

## Optimization: Least cost hypothesis

Maintain fastest rate of photosynthesis at the lowest cost (water and nutrient use)

# Optimal photosynthesis

Photosynthesis =  $f\{\text{stomatal conductance,}$   
 $\text{photosynthetic biochemistry}\}$

# Optimal photosynthesis

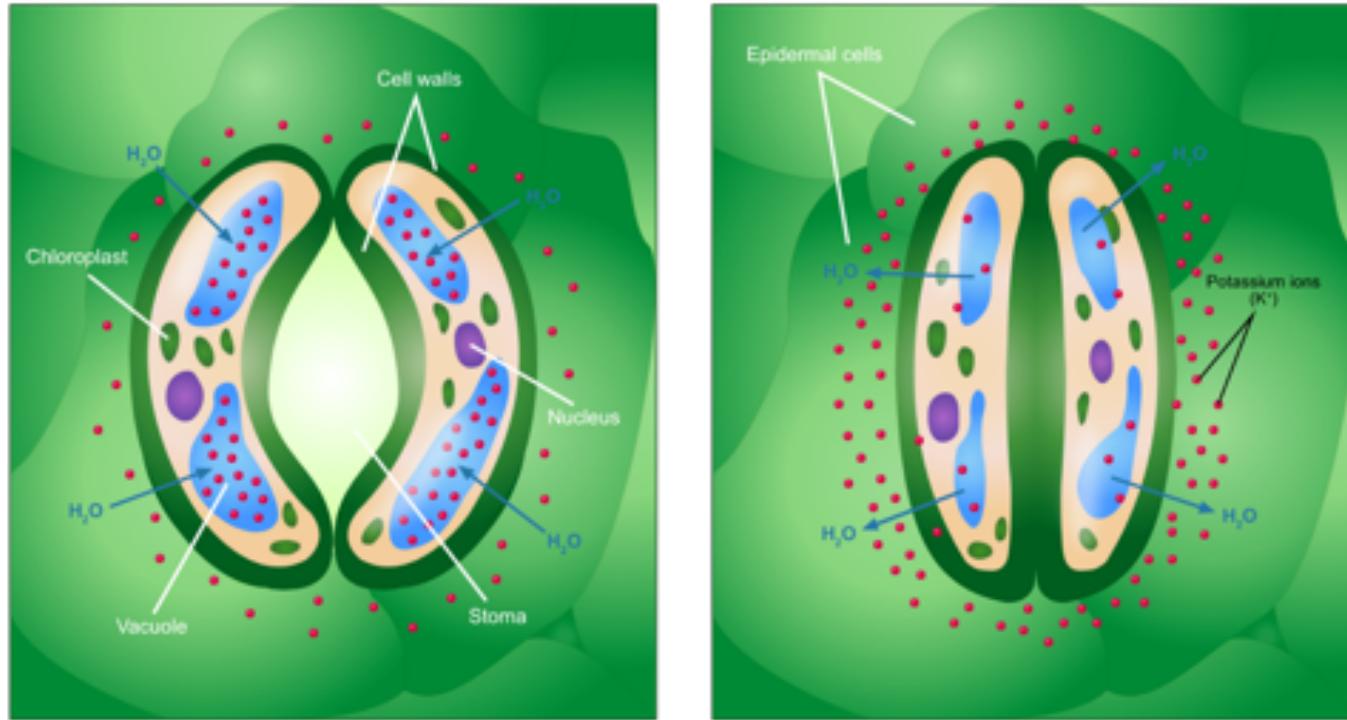
Photosynthesis =  $f\{\text{stomatal conductance,}$   
 $\text{photosynthetic biochemistry}\}$



Must predict optimal rates of both

# Optimal photosynthesis

Photosynthesis =  $f\{\text{stomatal conductance,}$   
 $\text{photosynthetic biochemistry}\}$



## Open stomata:

### Benefits

- High CO<sub>2</sub> influx

### Costs

- High water outflux

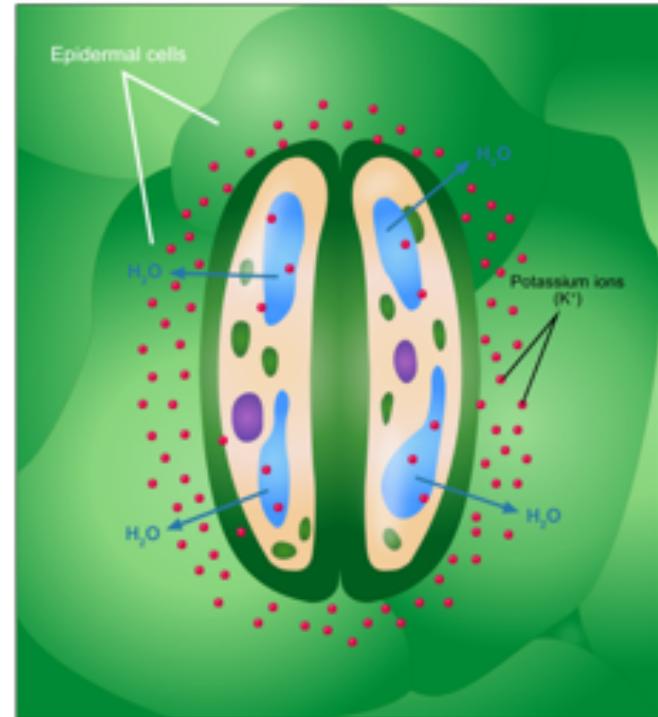
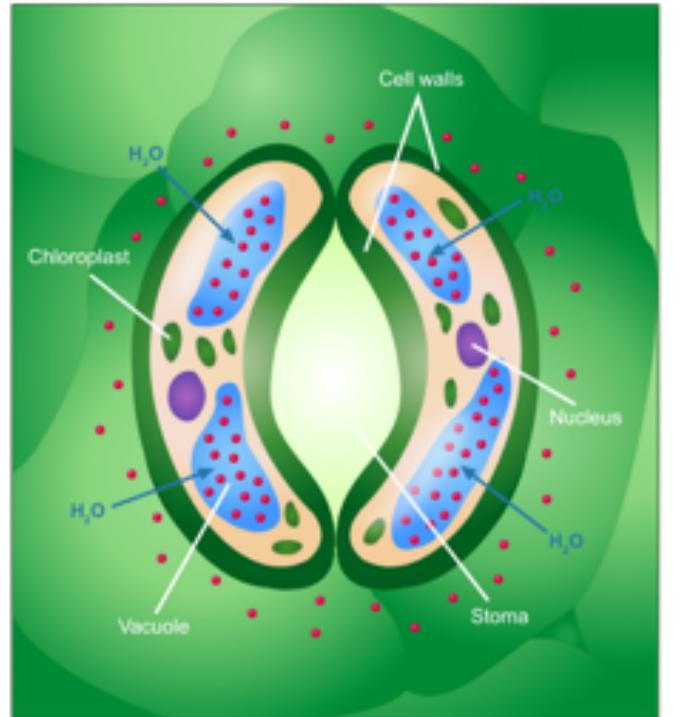
## Closed stomata:

### Benefits

- Low water outflux

### Costs

- Low CO<sub>2</sub> influx



## Open stomata:

### Benefits

- High CO<sub>2</sub> influx

### Costs

- High water outflux

## Closed stomata:

### Benefits

- Low water outflux

### Costs

- Low CO<sub>2</sub> influx
- Must maintain high amount of Rubisco to do photosynthesis

# Optimal stomatal conductance

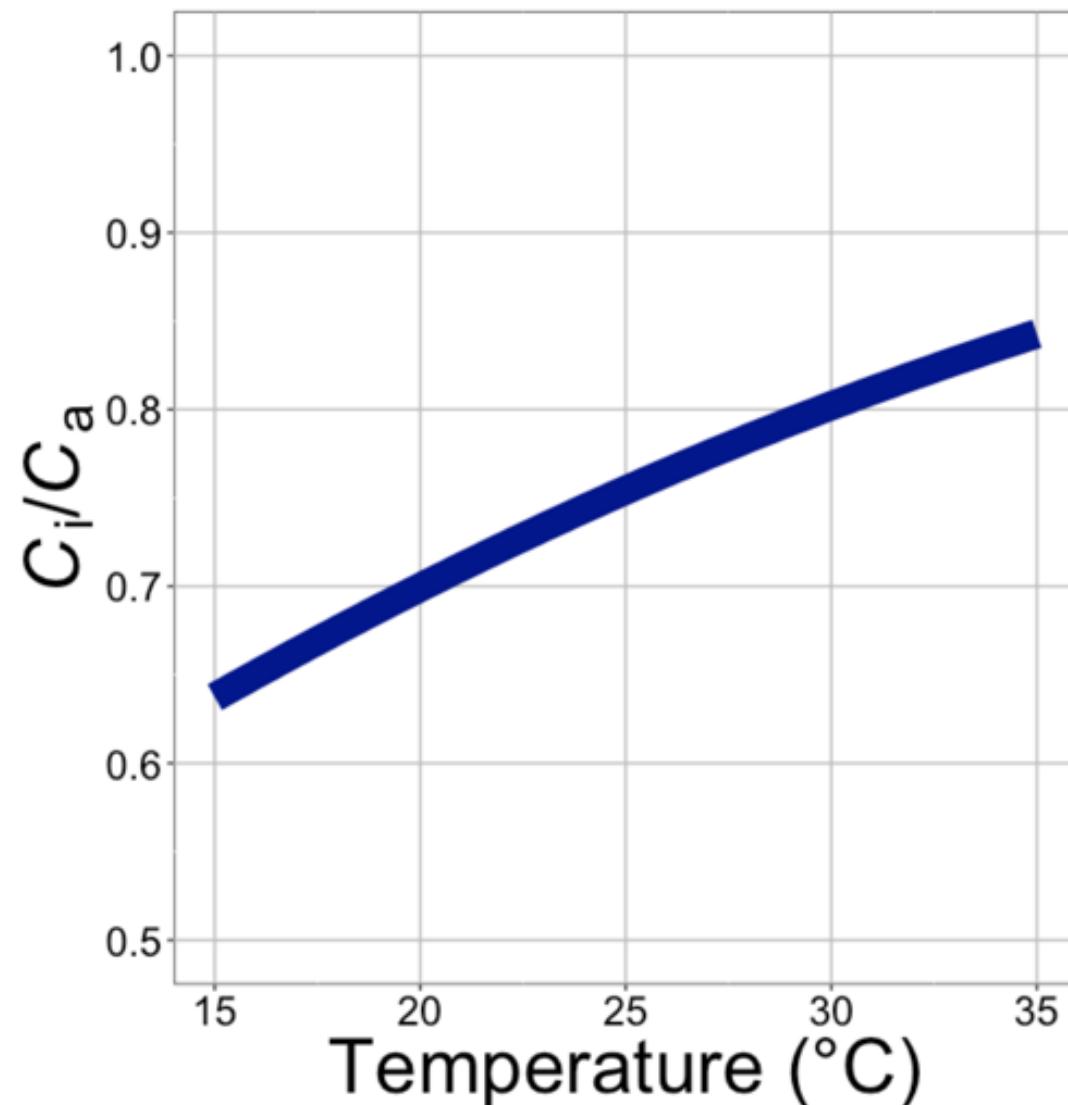
Optimal conductance maximizes photosynthesis  
at least water loss and nutrient use

- Water loss is from transpiration
- Nutrient use is nutrients used to maintain Rubisco
  - Rubisco proxy is  $V_{cmax}$

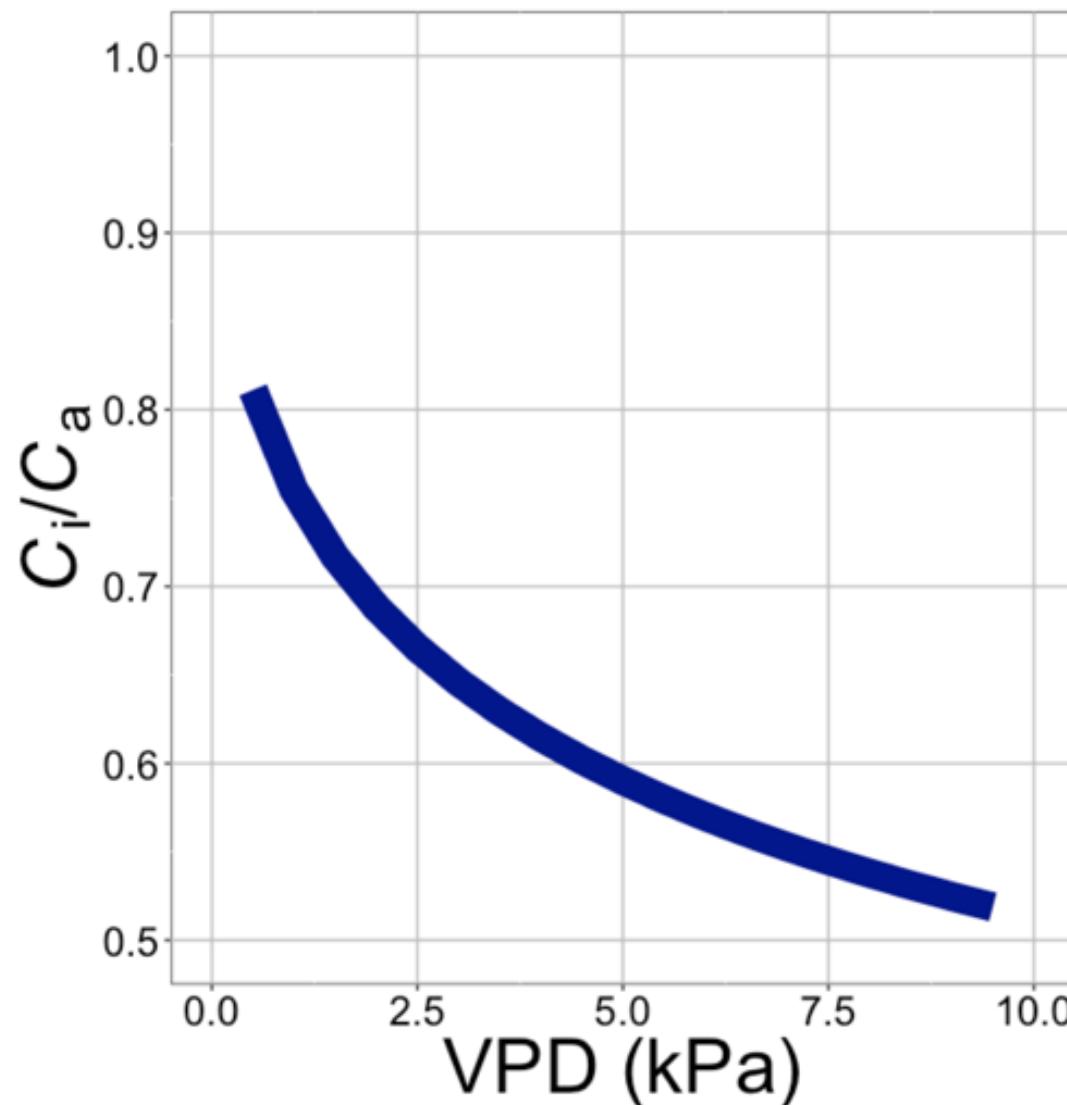
## Stomatal conductance trait

The ratio of CO<sub>2</sub> in the leaf to CO<sub>2</sub> outside of the leaf ( $C_i/C_a$ )

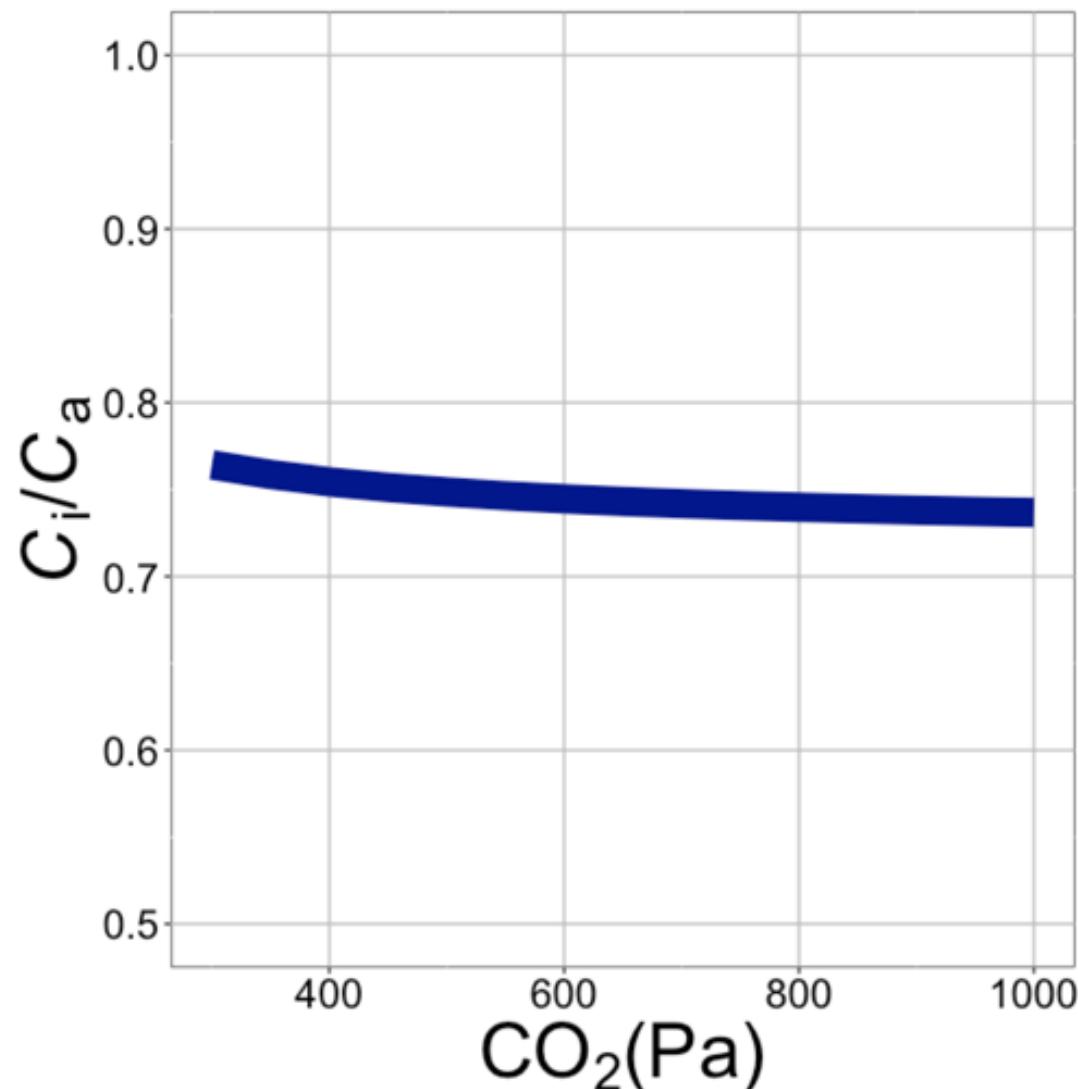
$C_i/C_a = f\{\text{temperature,}$   
 $\text{CO}_2,$   
 $\text{vapor pressure deficit}\}$



$C_i/C_a$  increases  
with temperature  
because of greater  
photorespiration



$C_i/C_a$  decreases  
with VPD because  
of greater water  
loss

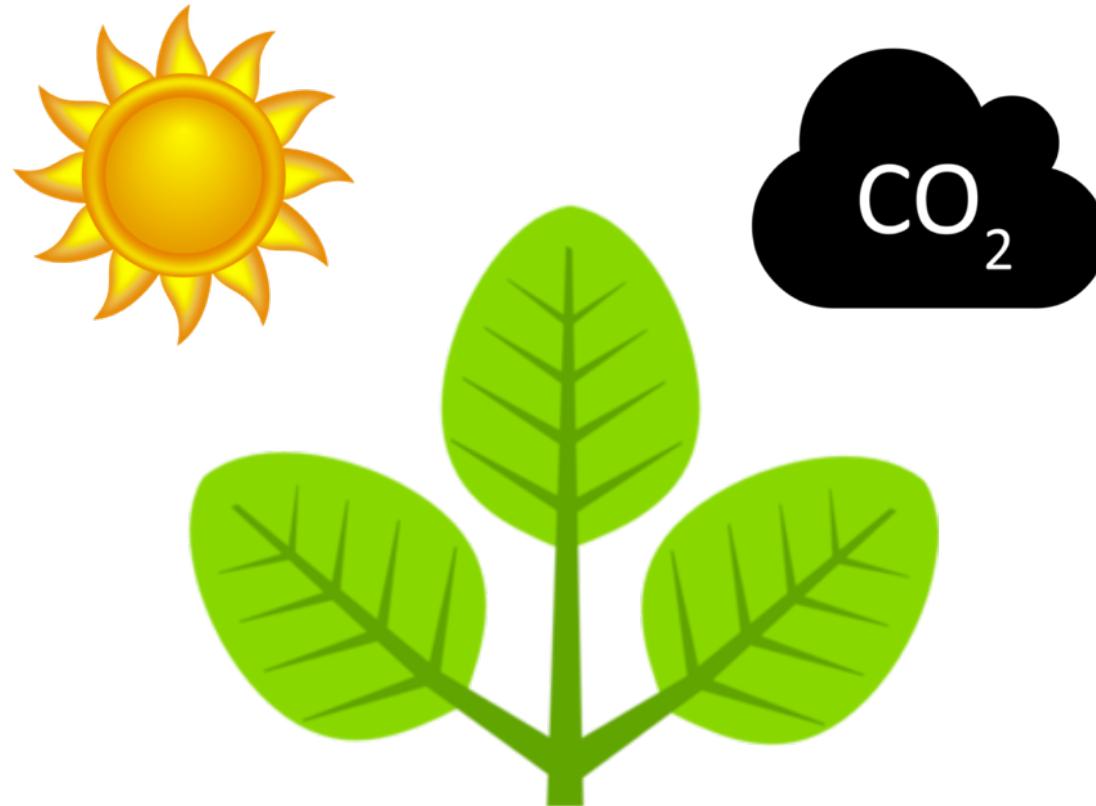


$C_i/C_a$  decreases  
with  $\text{CO}_2$  because  
of lower openness  
needed to satisfy  
Rubisco

# Optimal photosynthesis

Photosynthesis =  $f\{\text{stomatal conductance,}$   
**photosynthetic biochemistry}**

# Biochemistry optimization: Coordination hypothesis

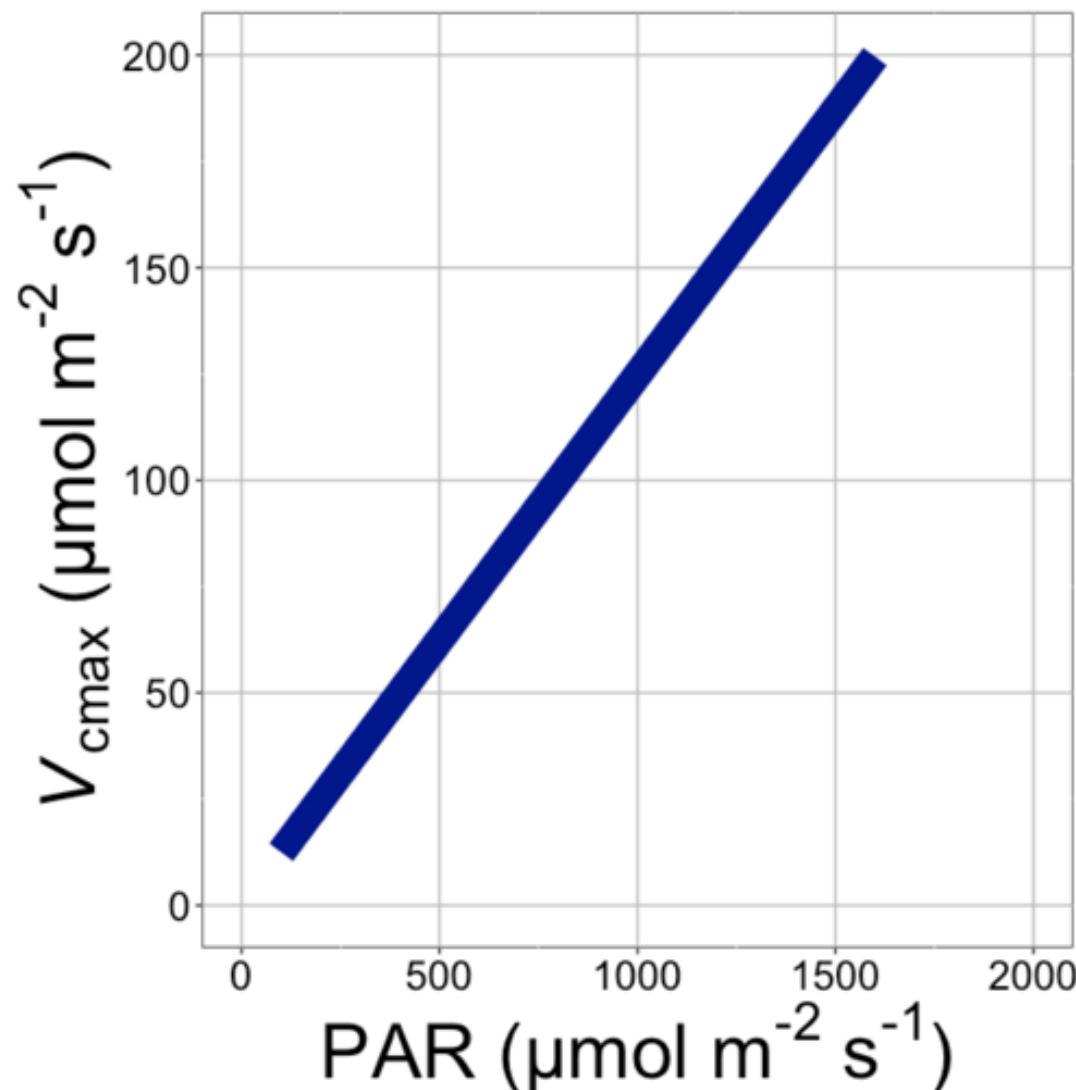


Plant  
biochemistry  
setup will aim for  
equal limitation  
by all factors

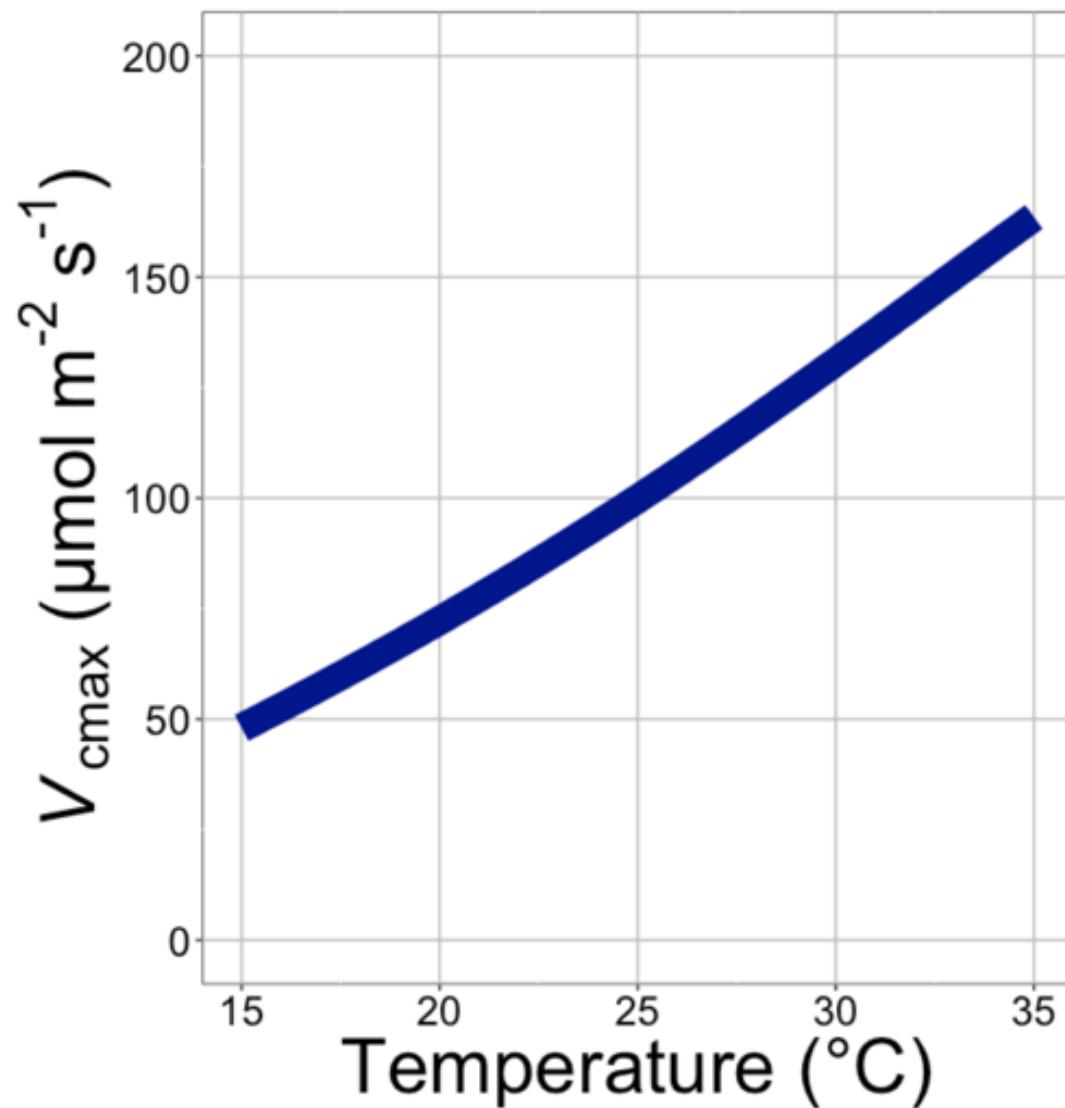
## Biochemistry trait

The maximum rate of Rubisco carboxylation ( $V_{cmax}$ )

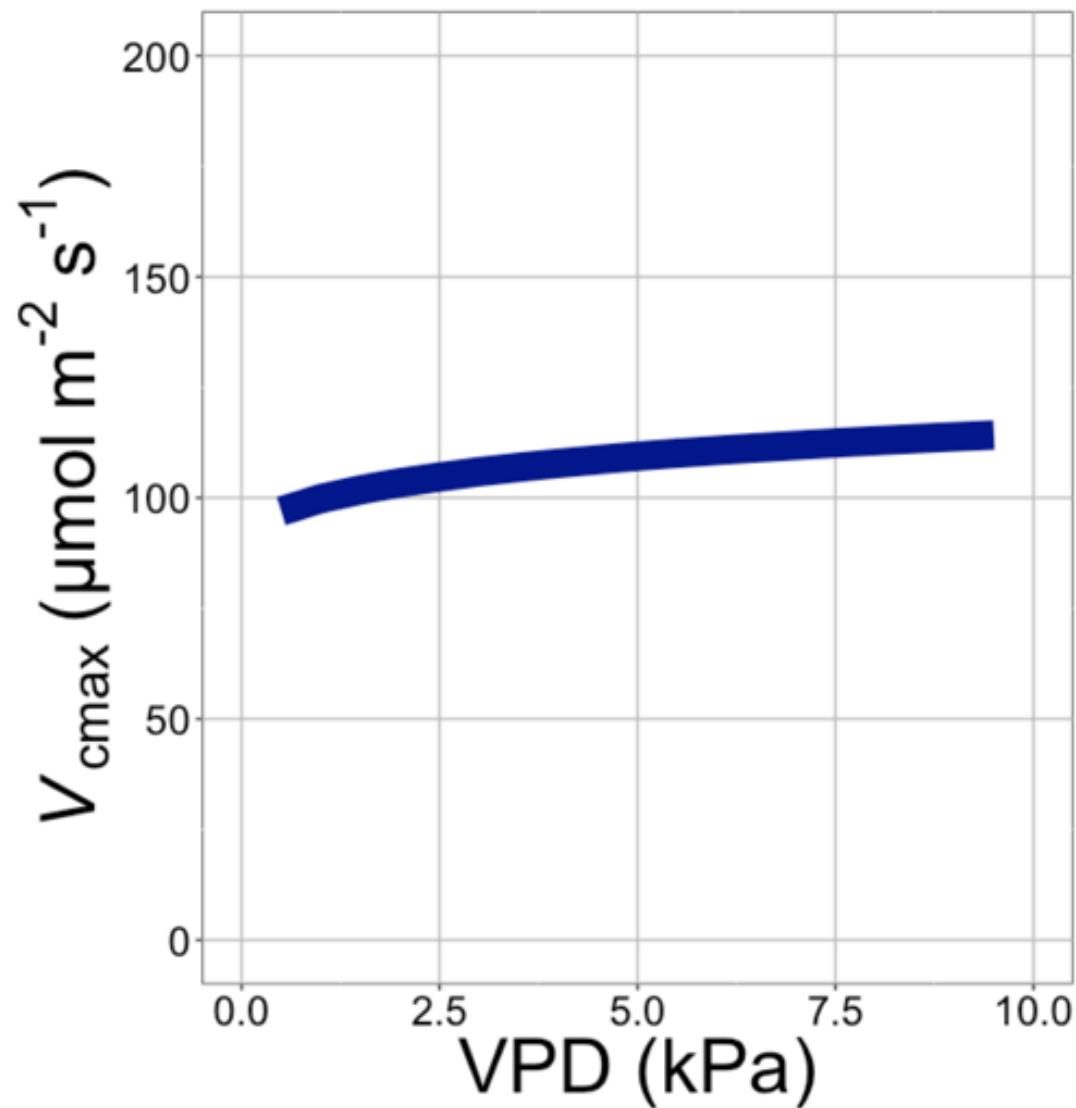
$$V_{\text{cmax}} = f \{\text{light}, T, CO_2\}$$



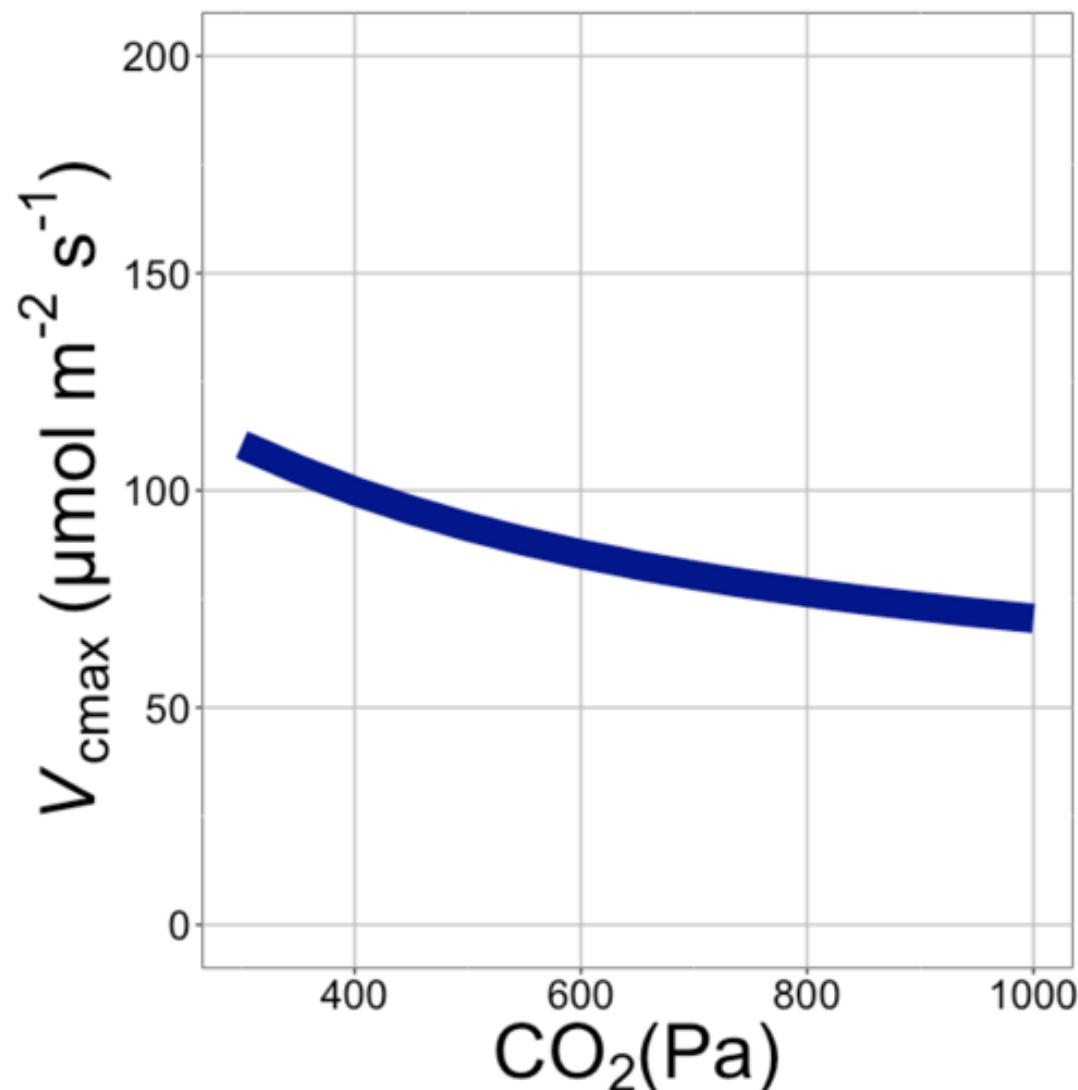
$V_{c\text{max}}$  increases  
with light because  
of greater electron  
transport



$V_{c\max}$  increases  
with temperature  
because of greater  
electron transport  
and  
photorespiration

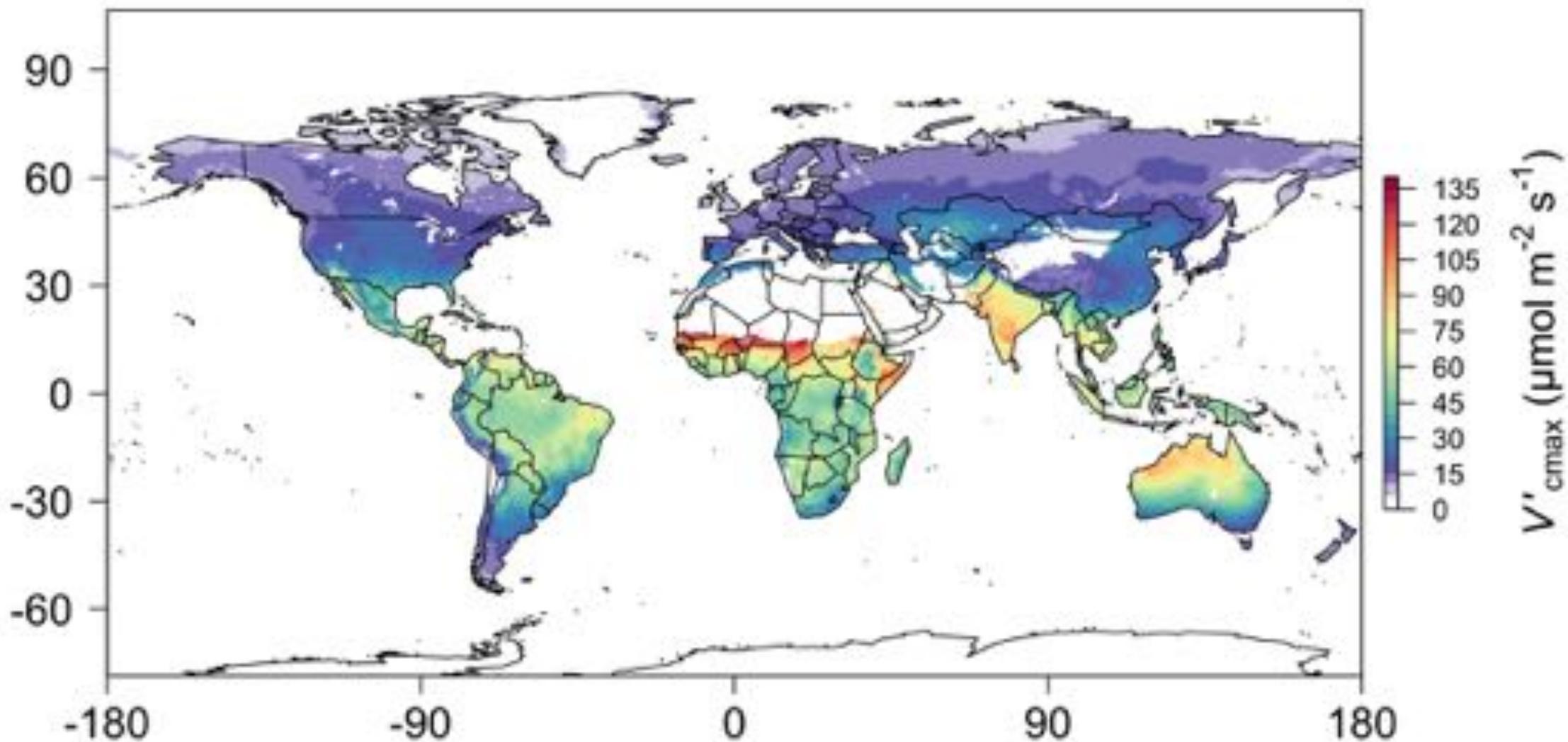


$V_{c\max}$  increases  
with VPD because  
of lower stomatal  
conductance



$V_{c\max}$  decreases with  $\text{CO}_2$  because of greater  $\text{CO}_2$  in the leaf

# Global, optimally acclimated traits!

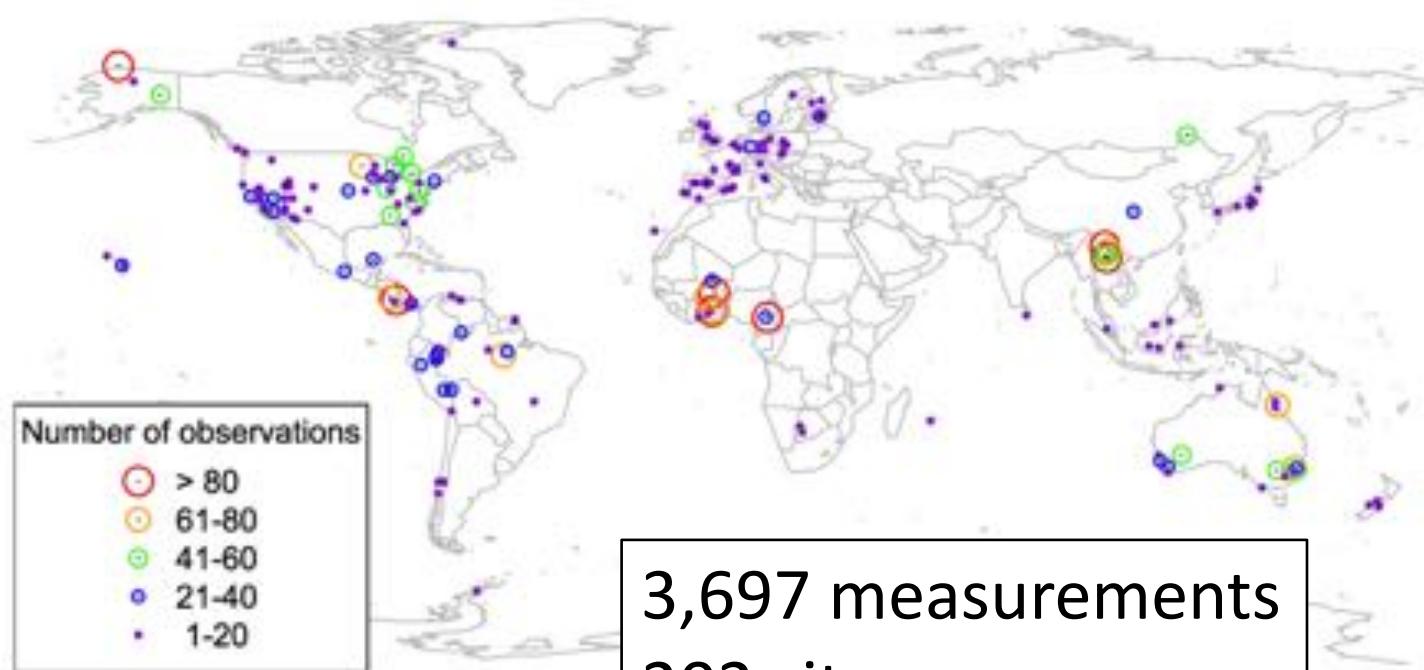


Ok, great, but now what?

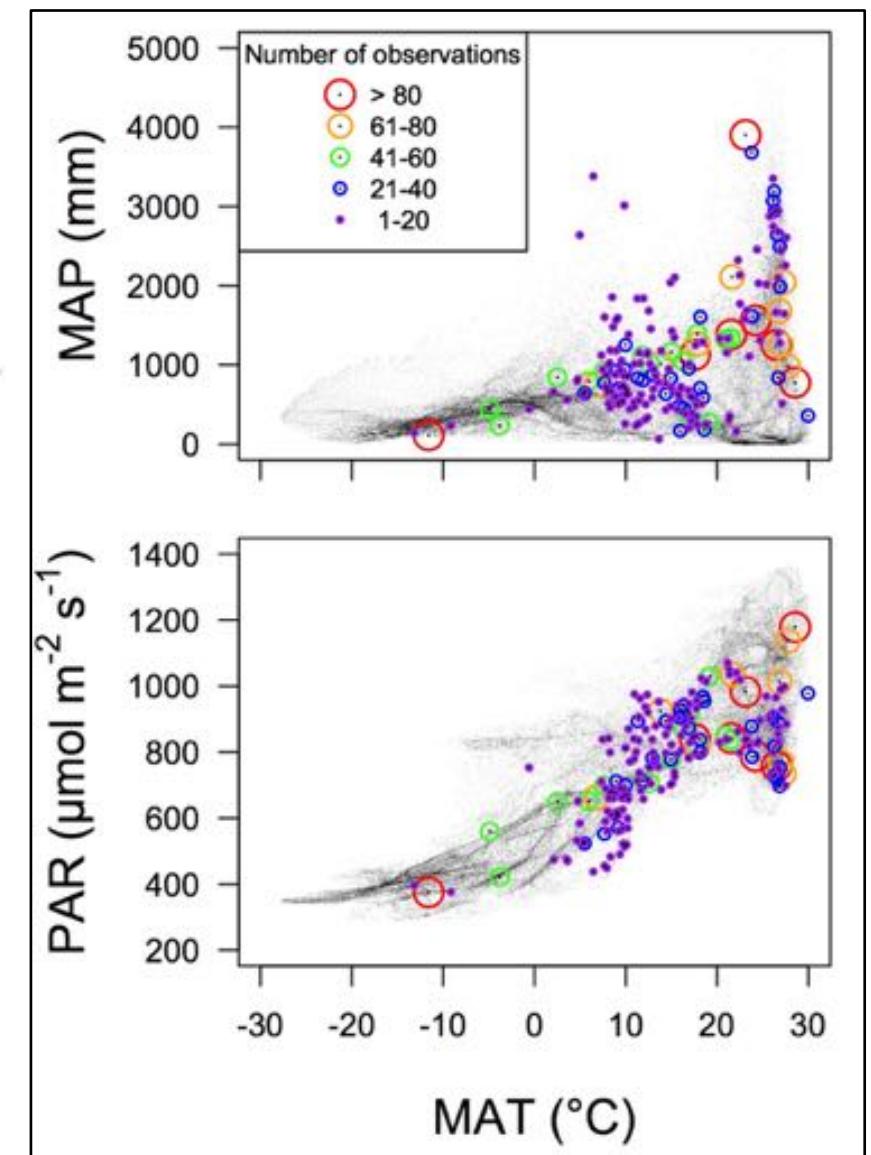
Let's tackle some big questions in  
plant ecophysiology!

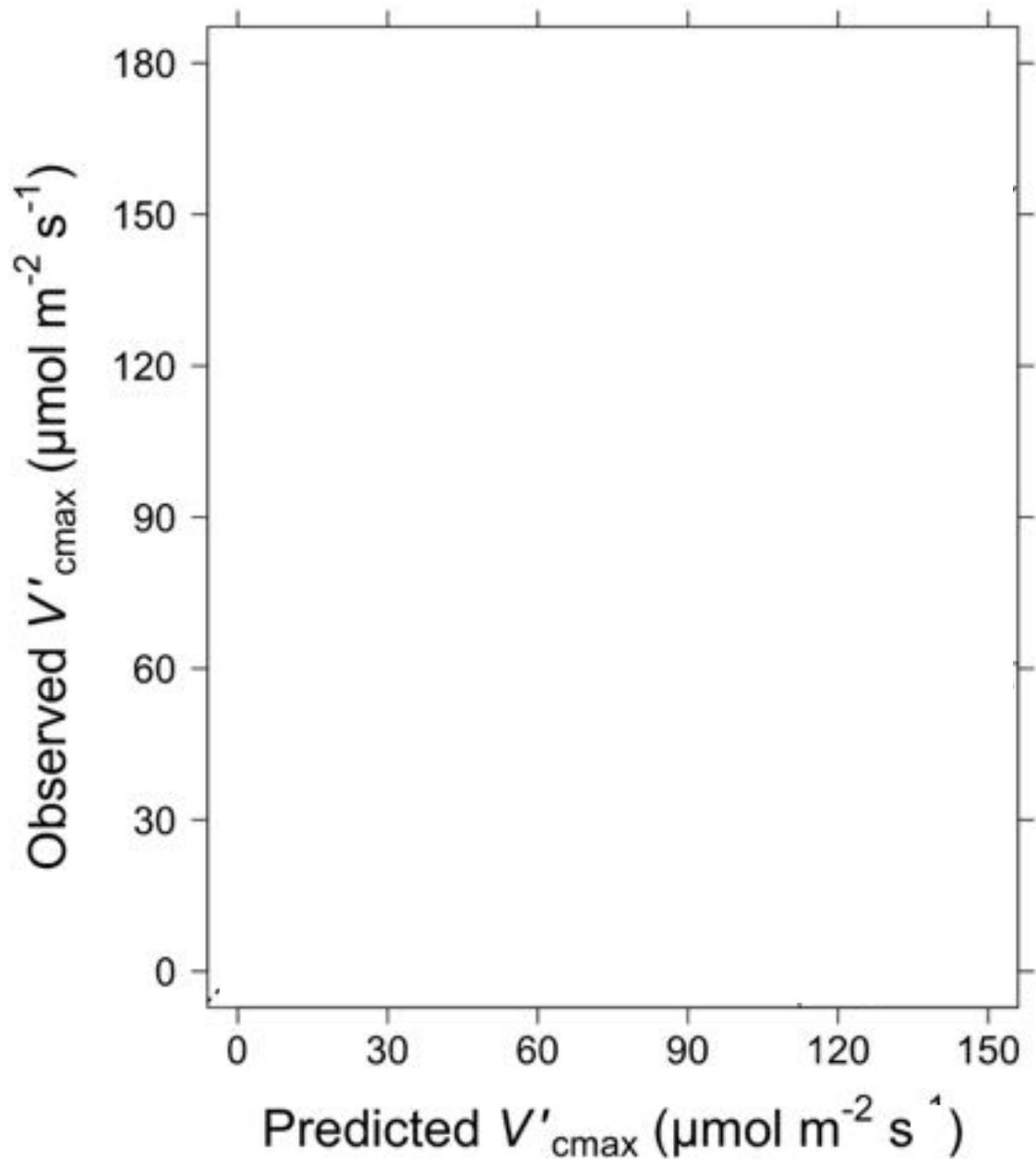
Question 1: Is photosynthesis  
optimized to the environment?

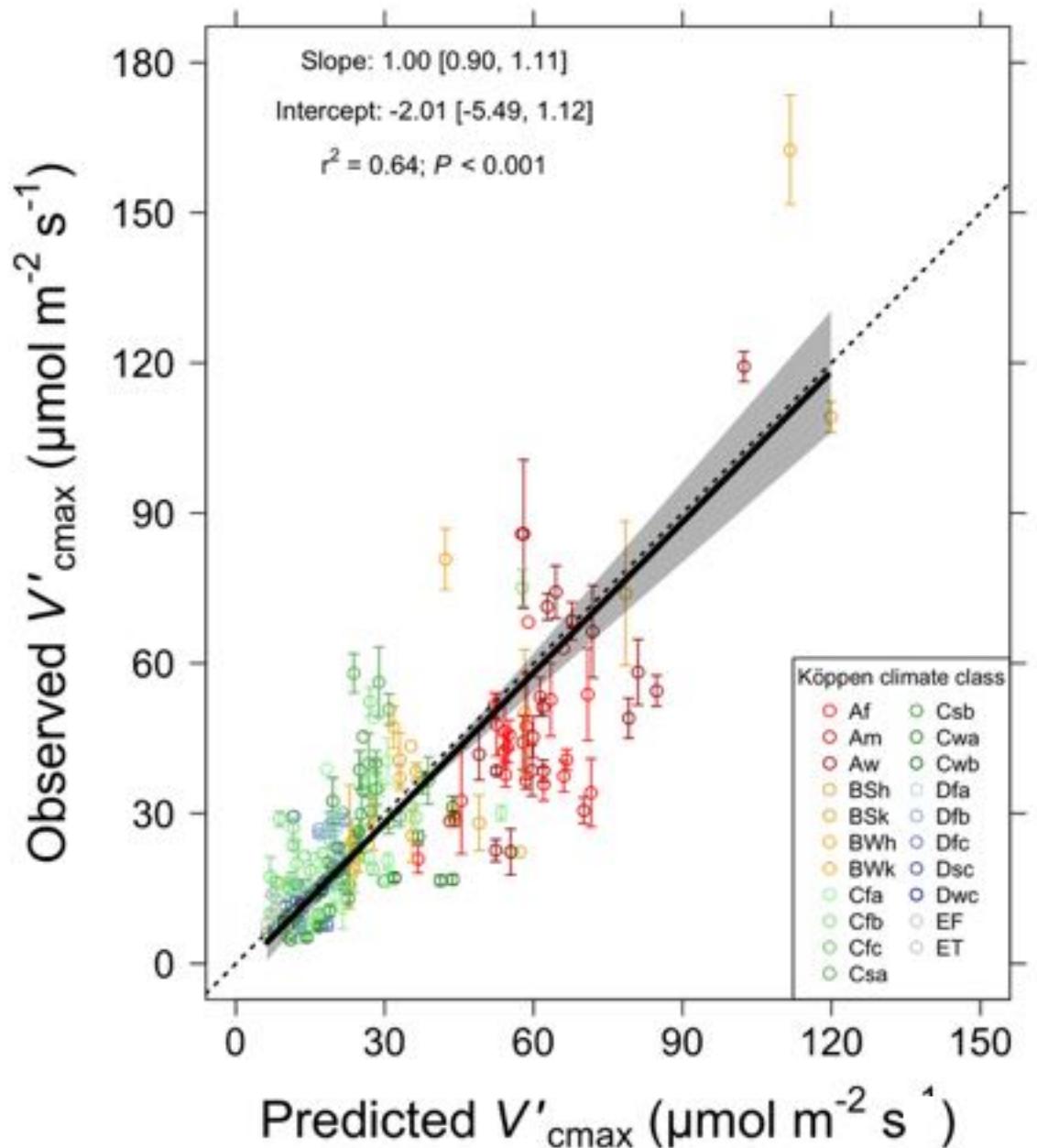
# Global $V_{cmax}$ dataset



3,697 measurements  
202 sites  
> 600 genera

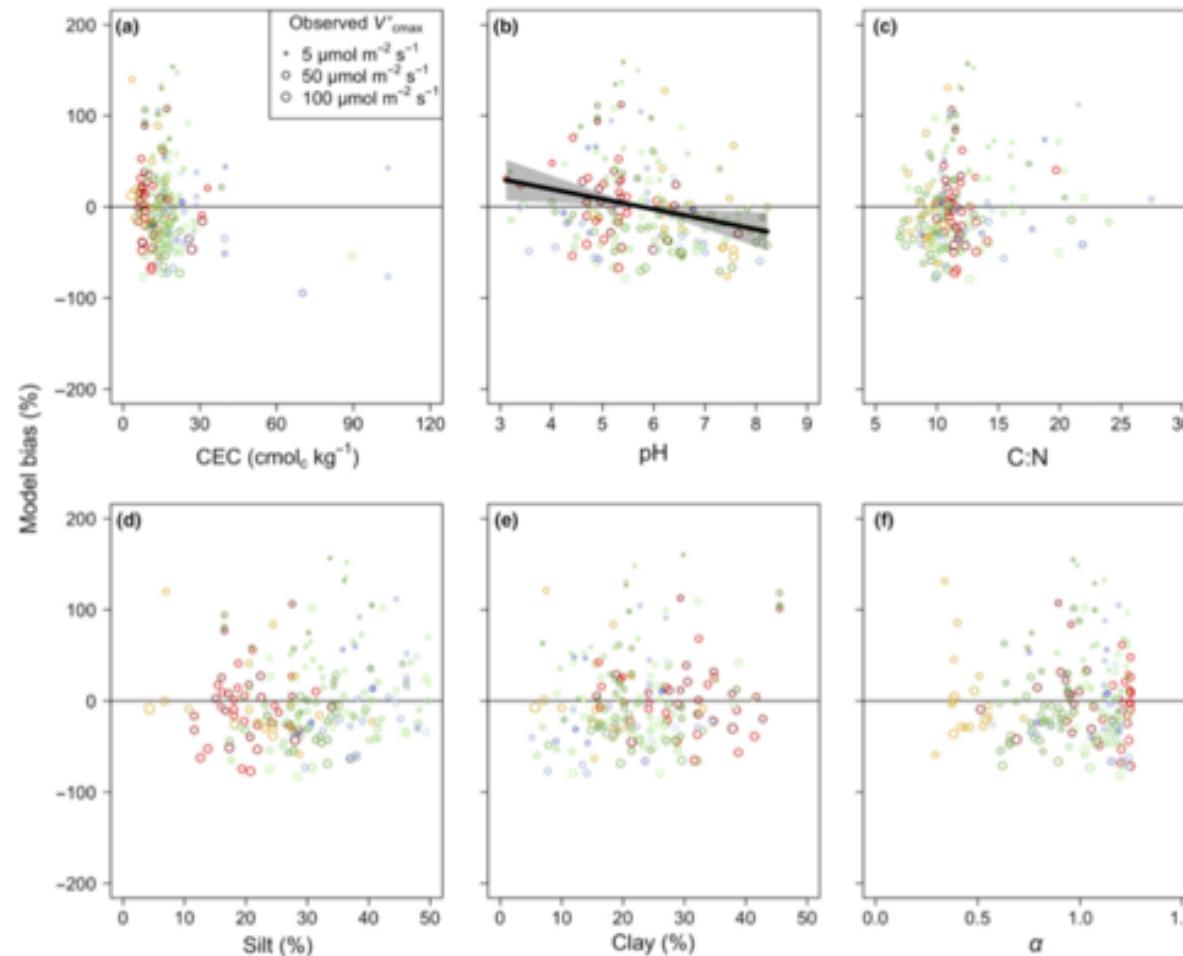






Optimal  $V'_{\text{cmax}}$  is  
similar to  
observed  
values

# There is little effect of soils on $V_{\text{cmax}}$



Soil increased explained variation from 64% to 68% compared to optimal response alone

Question 1: Is photosynthesis optimized to the environment?

YES! Photosynthesis acclimates spatially as expected from optimization

# Question 2: Does photosynthesis respond to soil nutrients?

Lizz Waring  
TTU



From the least cost hypothesis...

Added nutrients will not increase photosynthesis  
because light limitation will kick in

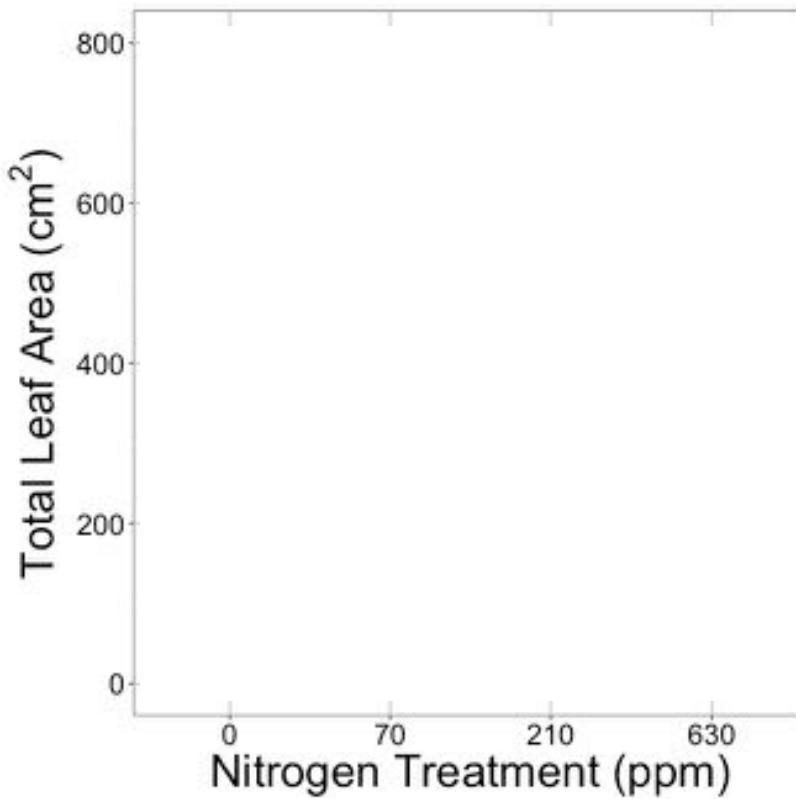
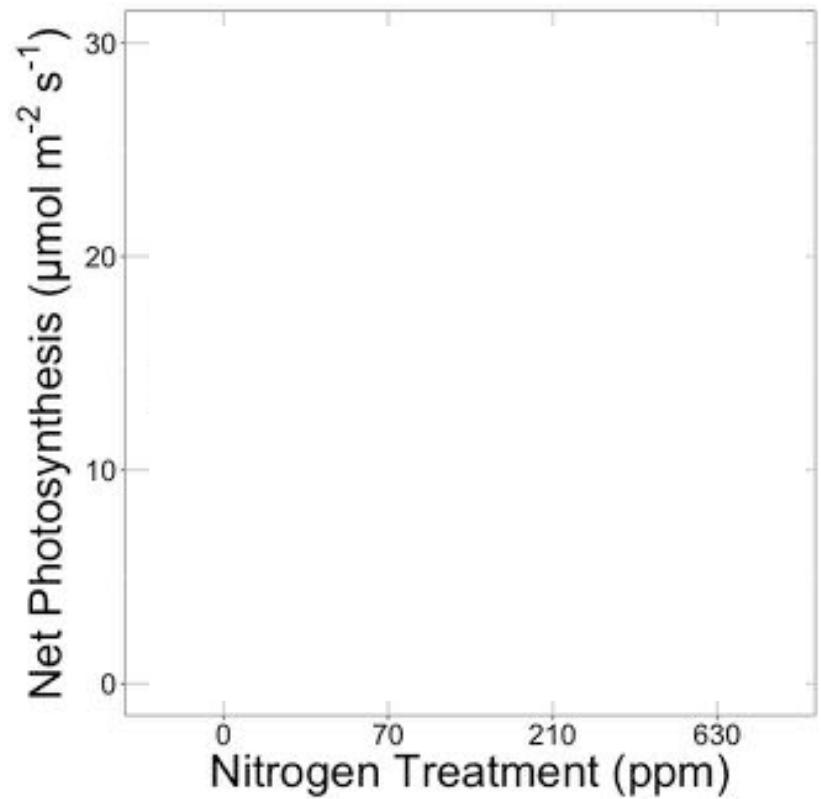
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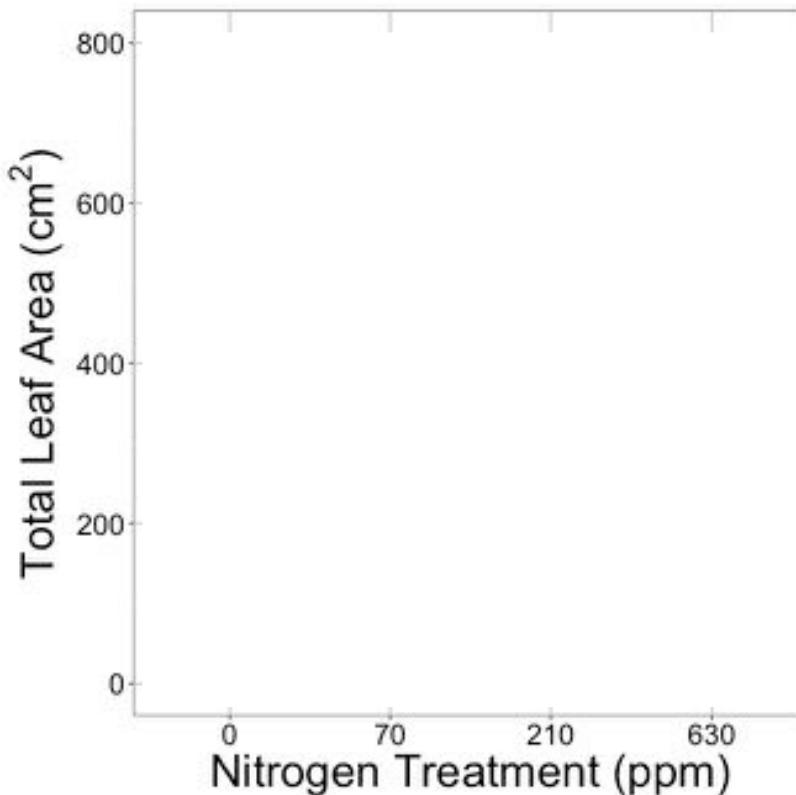
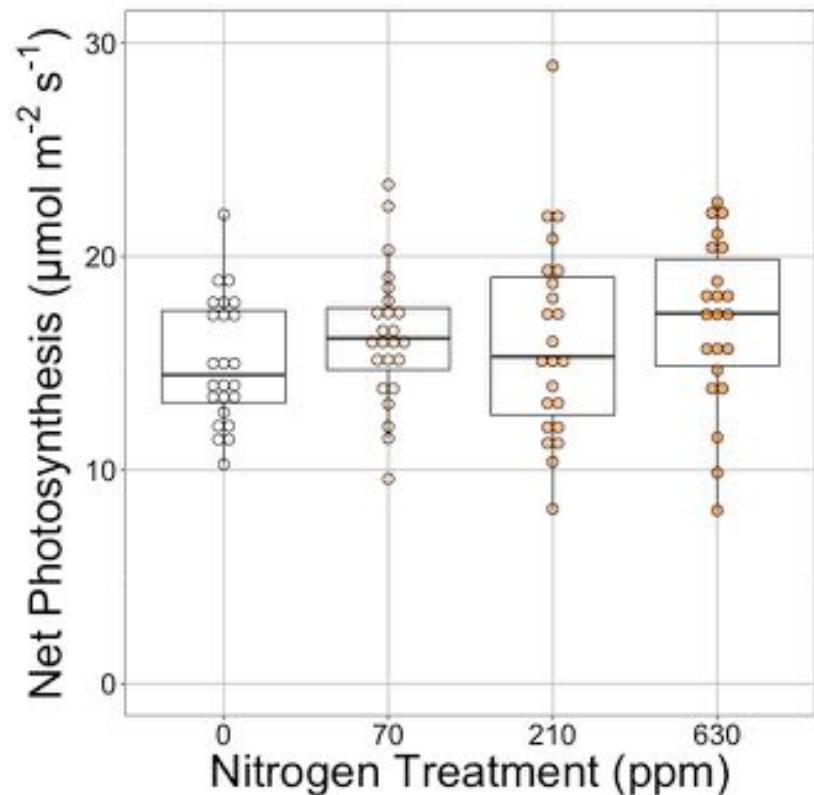
**Optimal response would be to  
increase leaf area**

# But let's test it experimentally



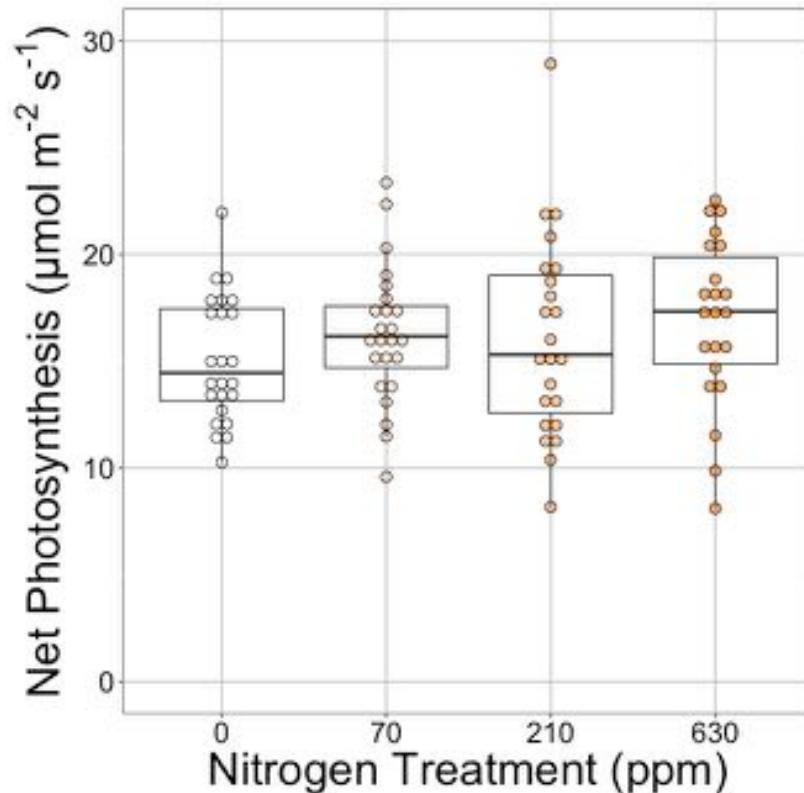


No change ( $P = 0.42$ )

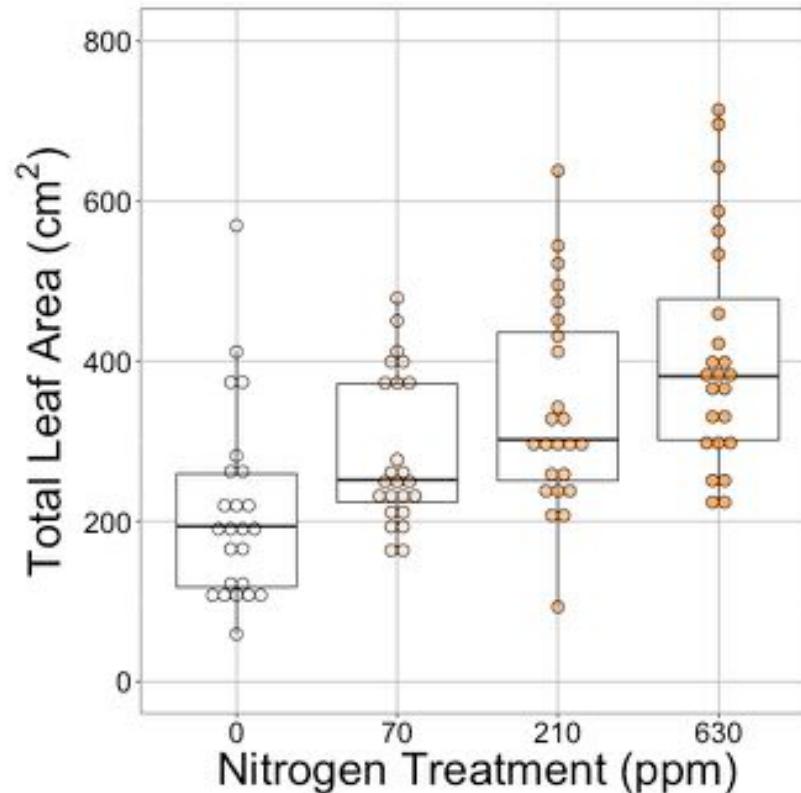


# Leaf area, but not photosynthesis increases with N addition

No change ( $P = 0.42$ )



91% increase ( $P < 0.05$ )

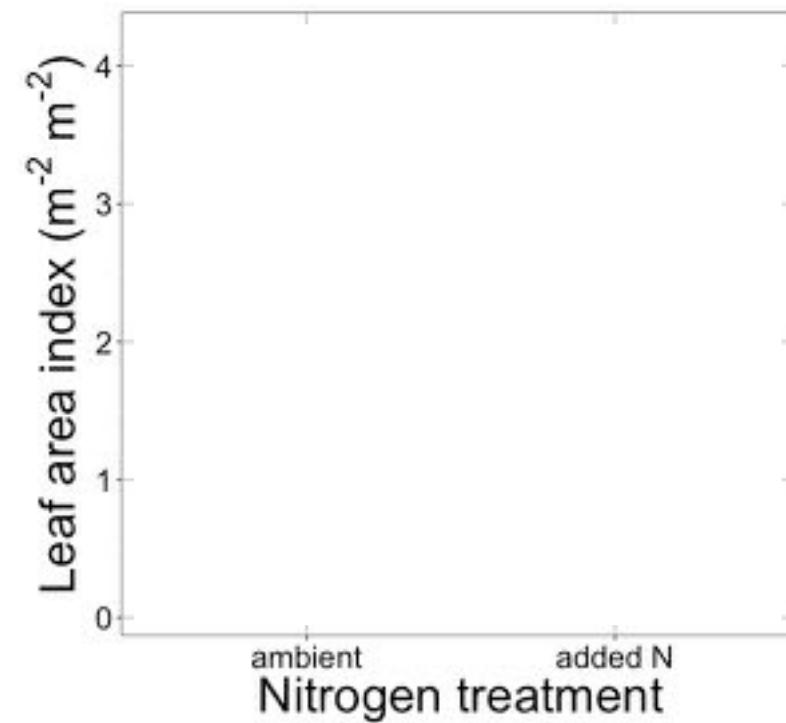
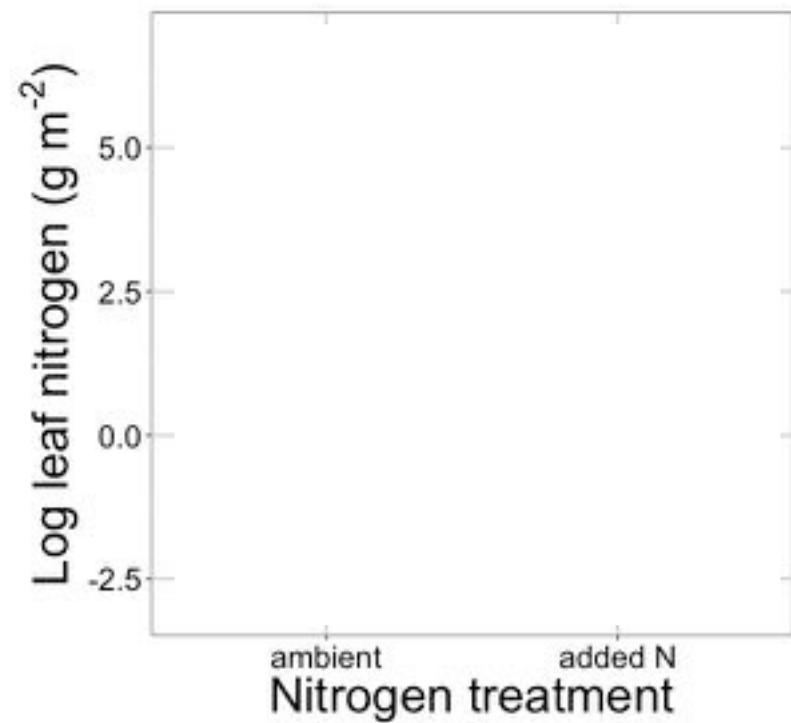


But do greenhouse experiments  
translate to the field?

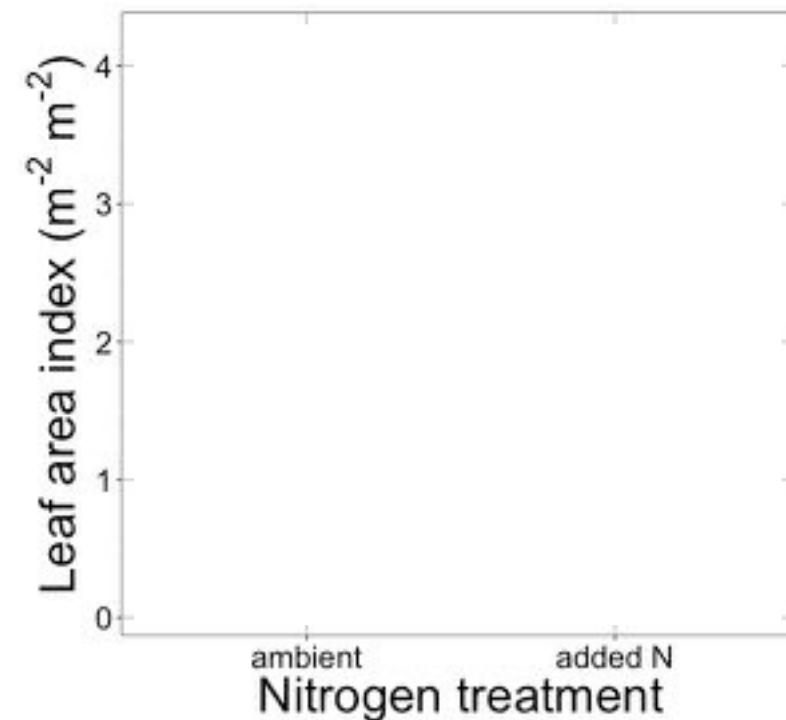
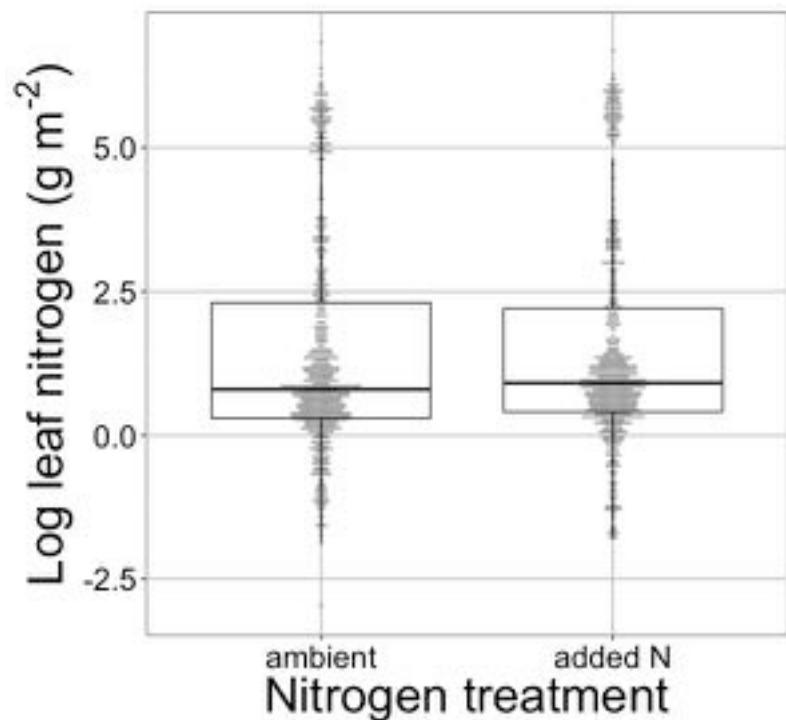


## Grassland soil nutrient addition network:

1. Leaf area index
2. Per-leaf-area nitrogen (photosynthetic proxy)

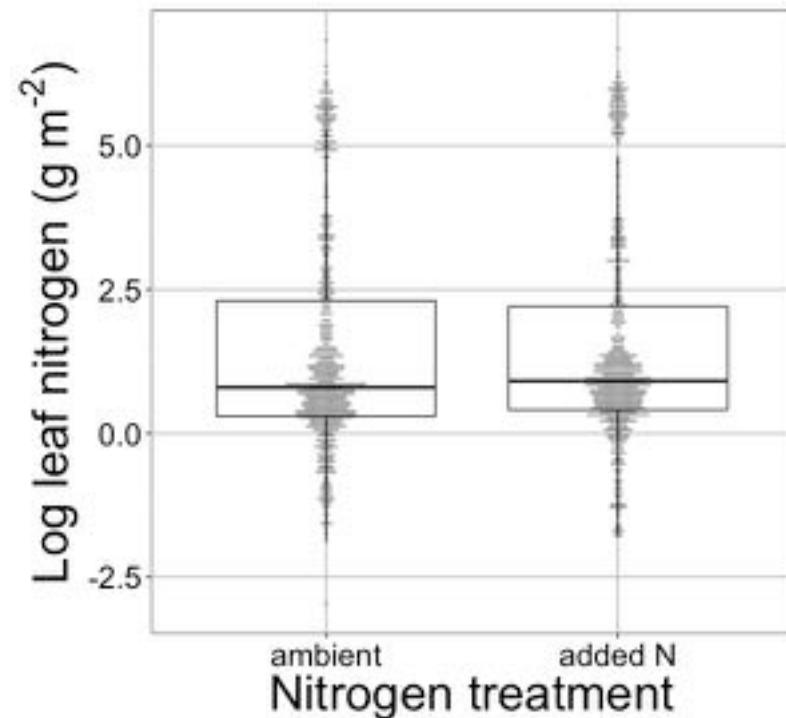


No change ( $P = 0.42$ )

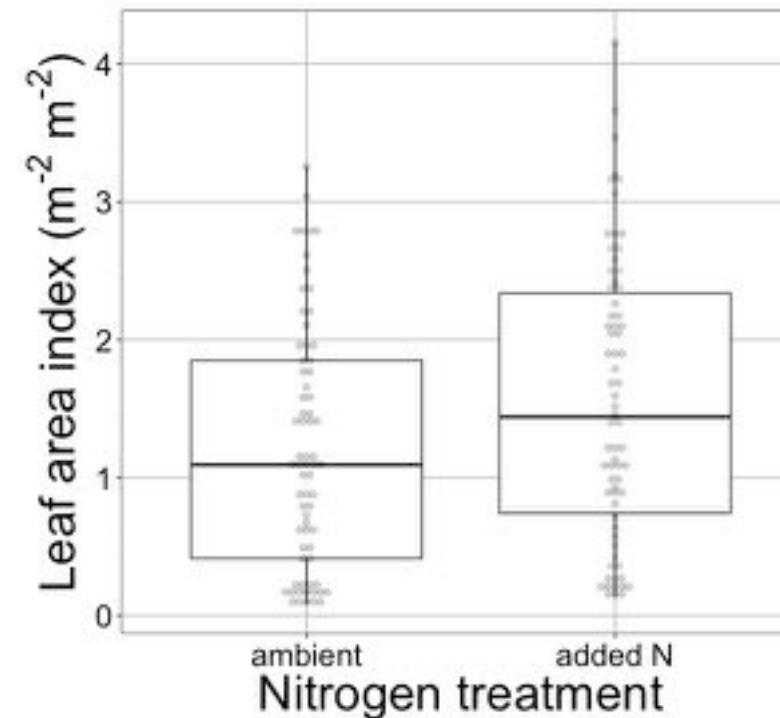


# Globally, N addition increases leaf area, not leaf N

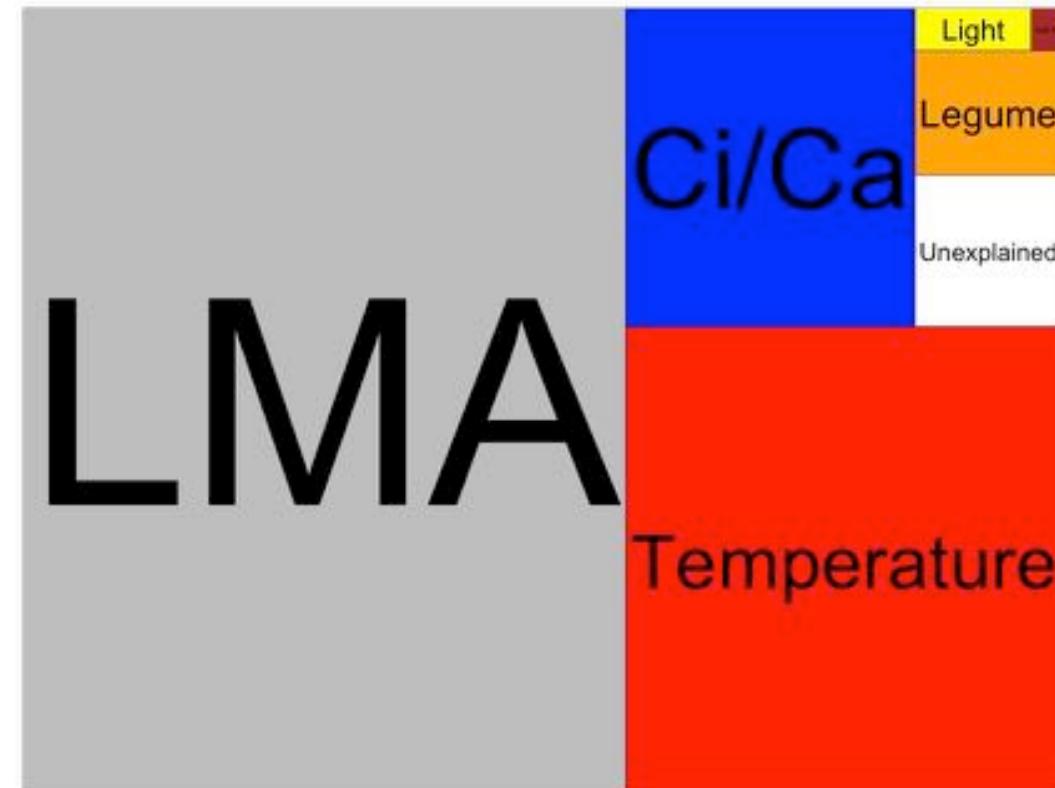
No change ( $P = 0.42$ )



41% increase ( $P < 0.05$ )



# Globally, N addition has no impact on leaf N



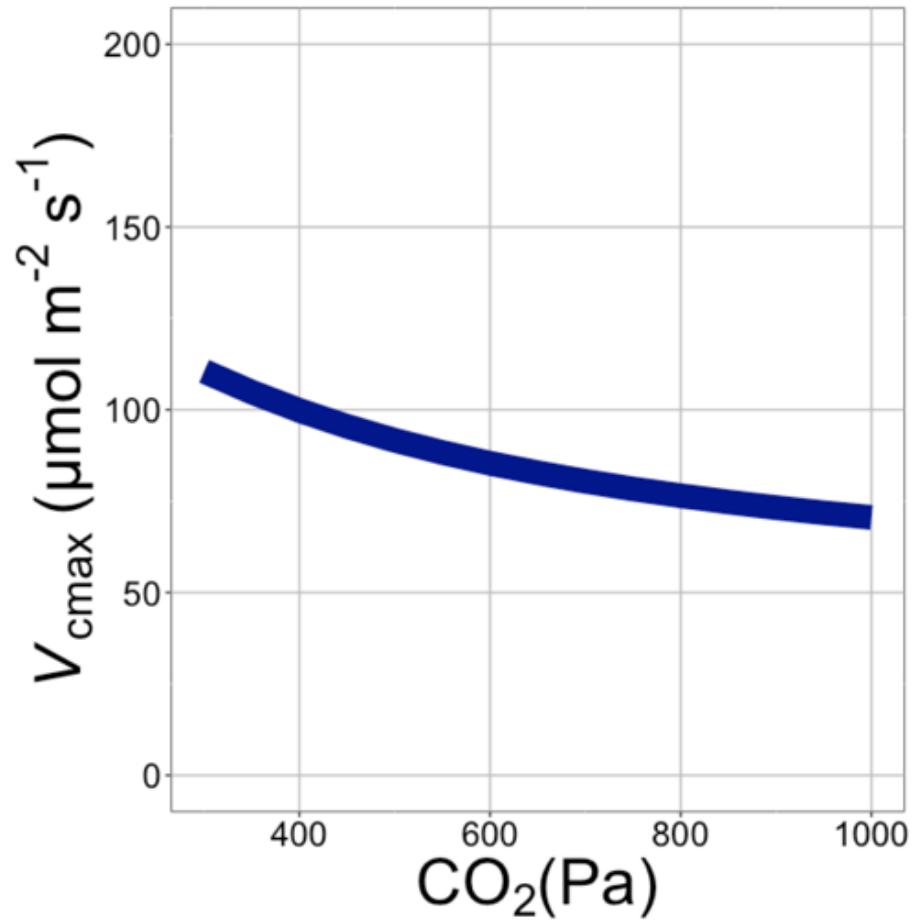
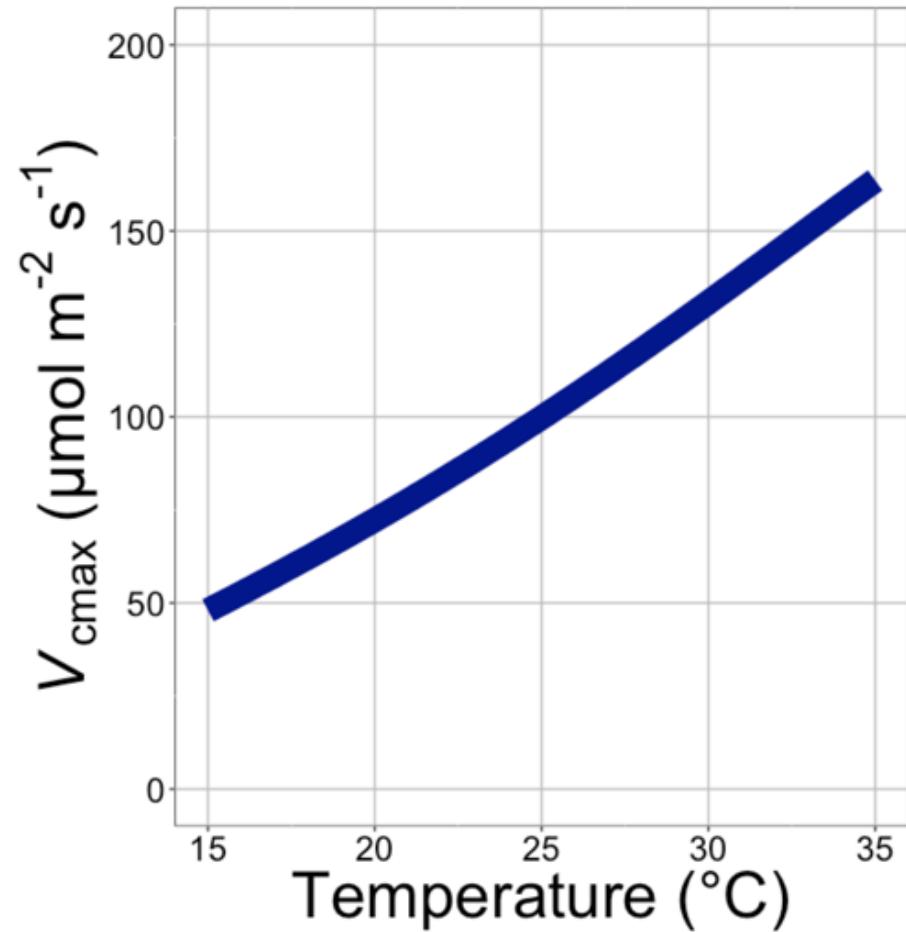
Each box is proportional to the variance in leaf N explained by each variable

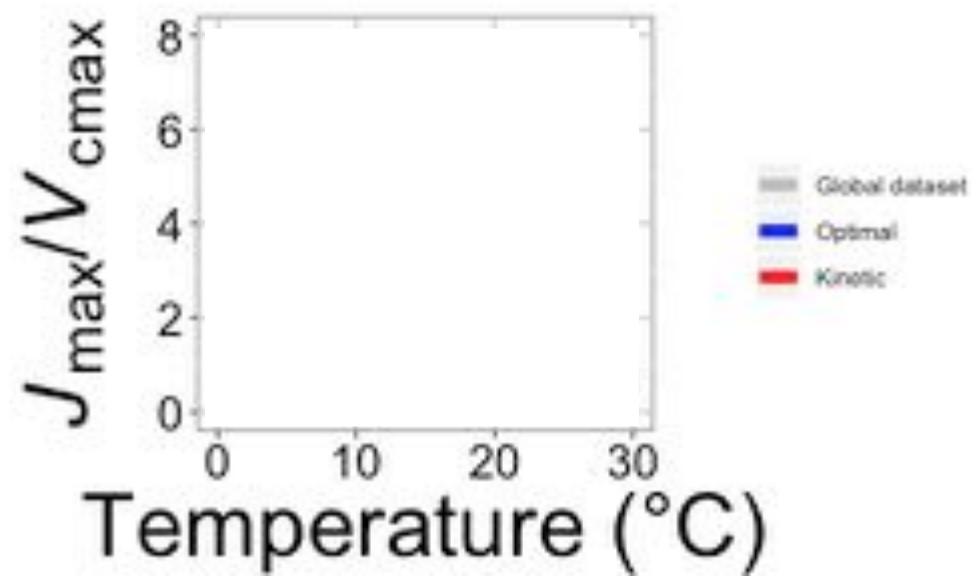
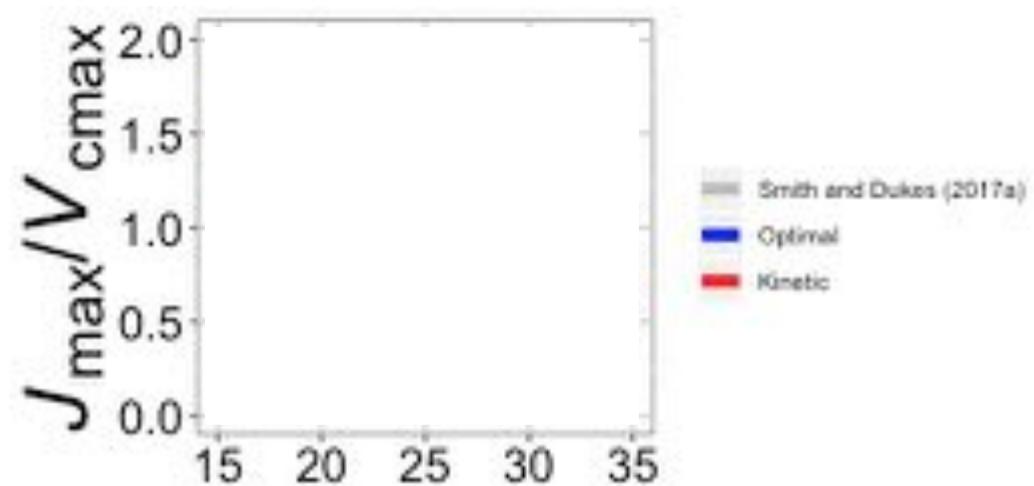
Question 2: Does photosynthesis respond to soil nutrients?

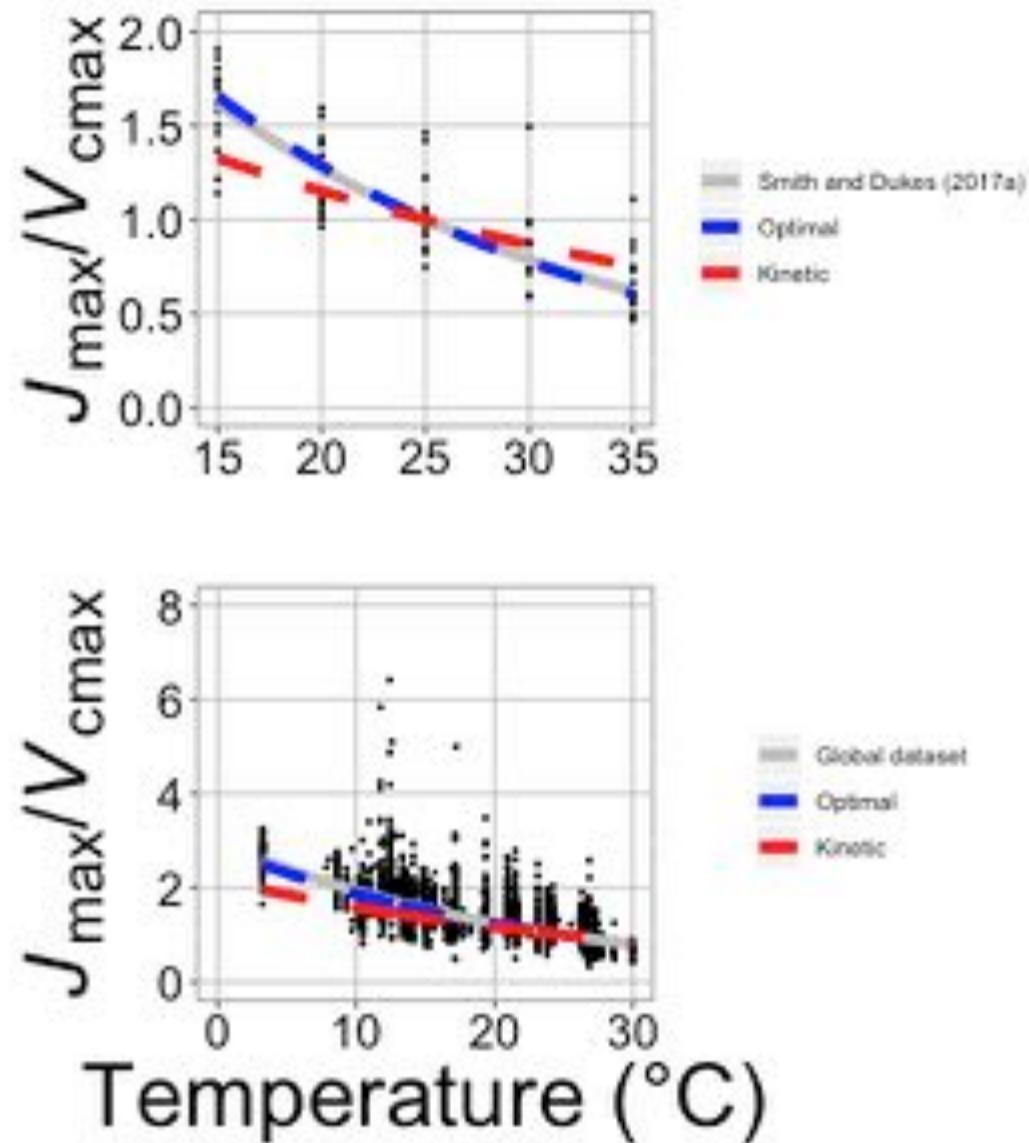
No, plants respond to added nutrients by increasing leaf area, not photosynthesis

Question 3: How will  
photosynthesis acclimate to  
future conditions?

# Expected future responses



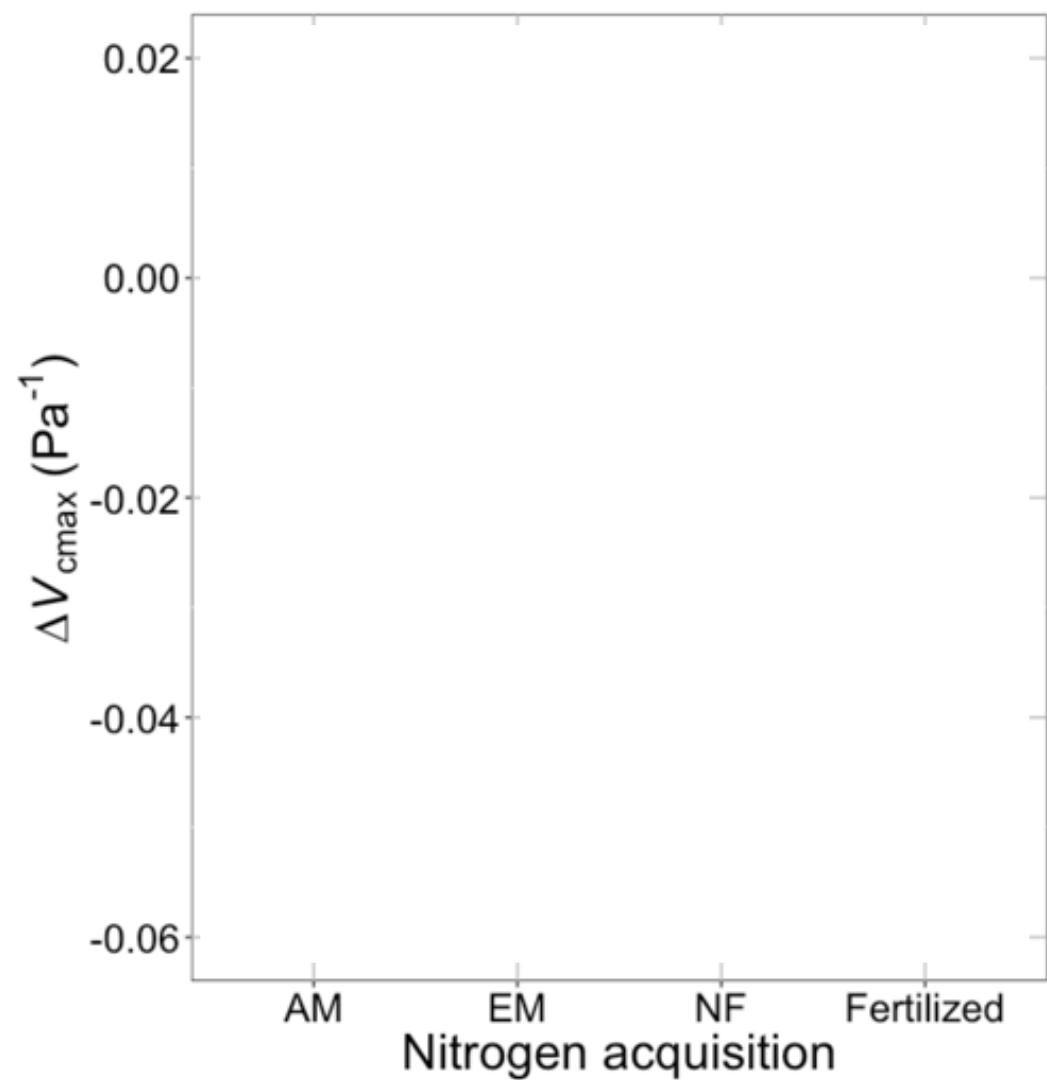


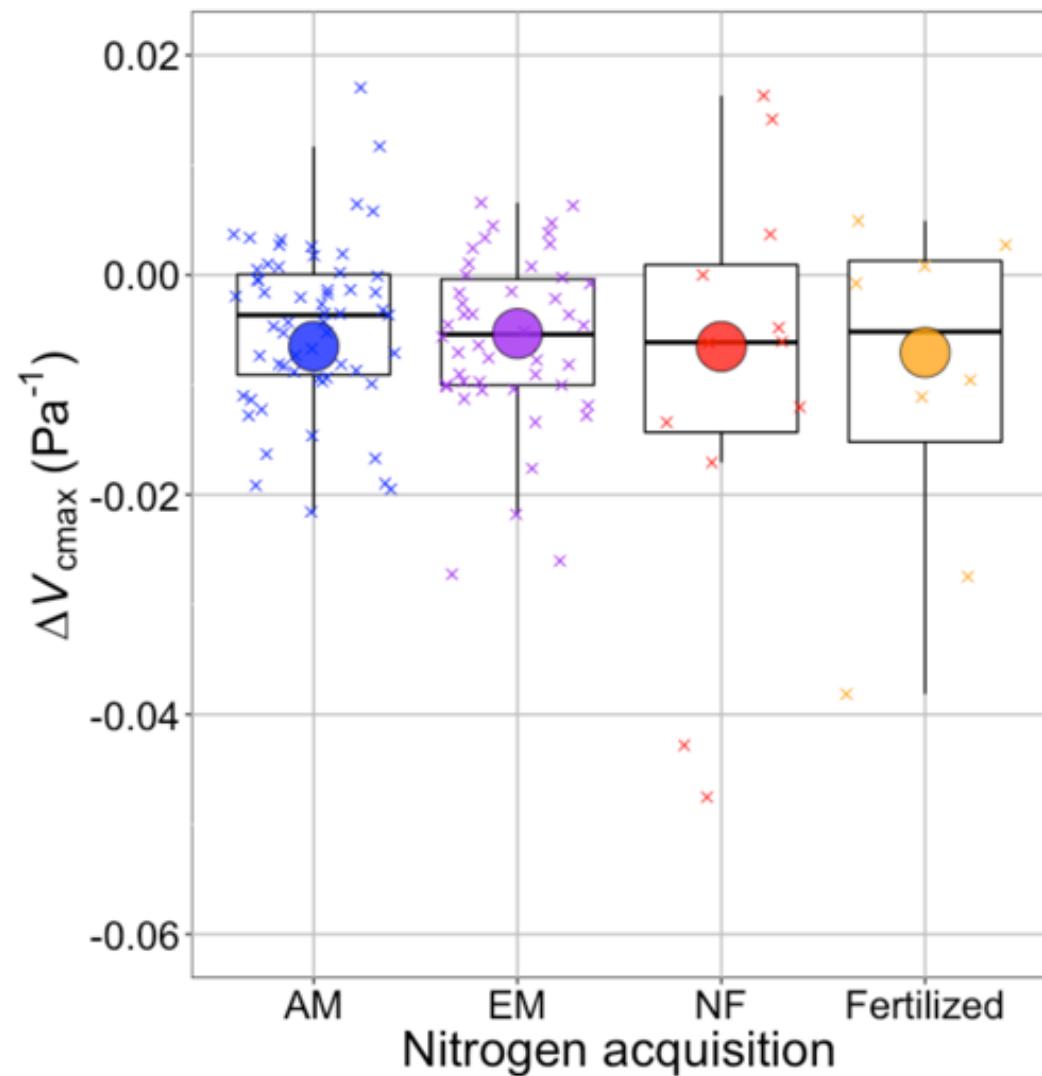


Photosynthetic traits change with temperature in ways expected from optimization

Data =  $-0.051 \text{ } ^{\circ}\text{C}^{-1}$

Predicted =  $-0.048 \text{ } ^{\circ}\text{C}^{-1}$





$V_{\text{cmax}}$  changes with CO<sub>2</sub> in ways expected from optimization

Boxes = data = -0.0063 Pa<sup>-1</sup>  
Circles = predicted = -0.0066 Pa<sup>-1</sup>

# What does that mean for future projections?



Energy Exascale  
Earth System Model

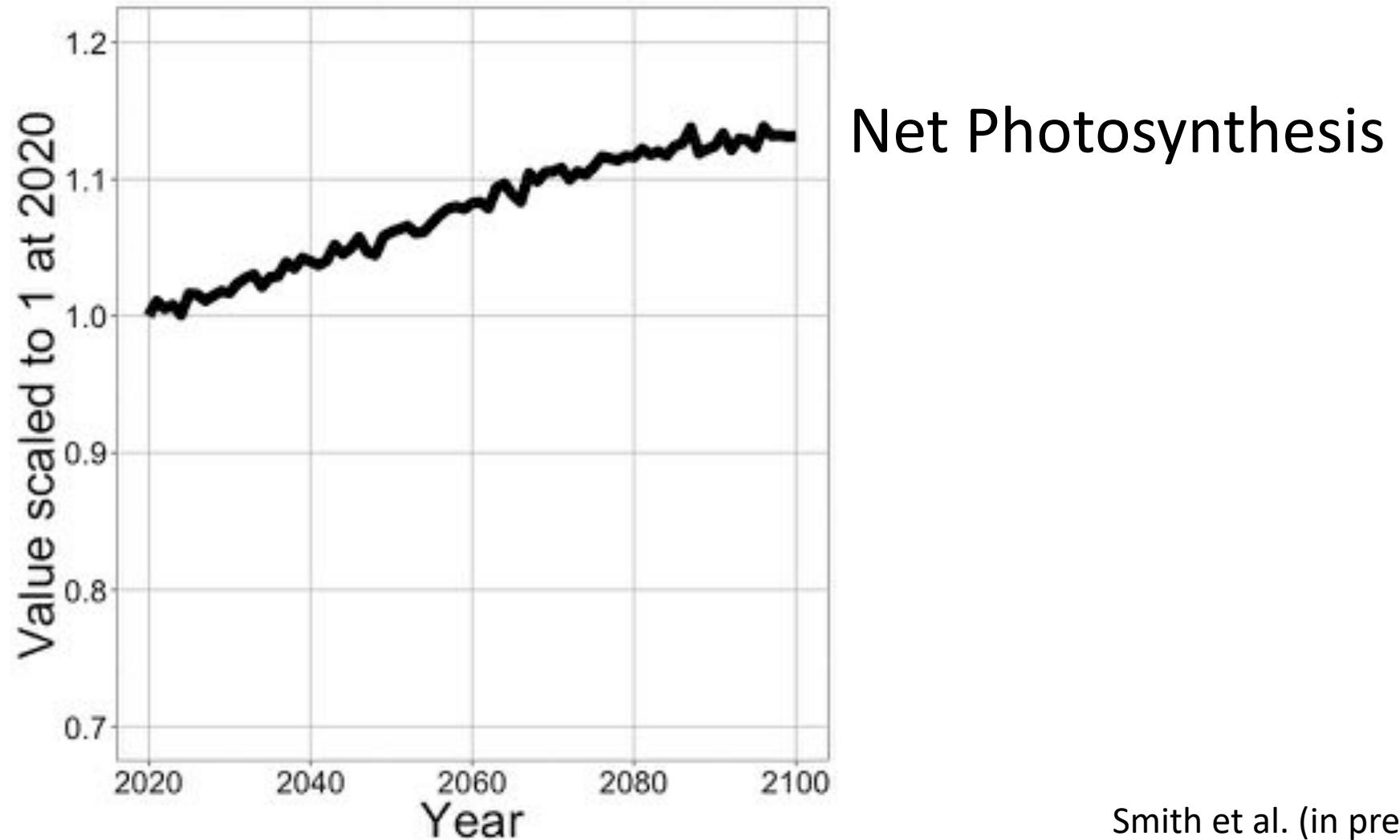


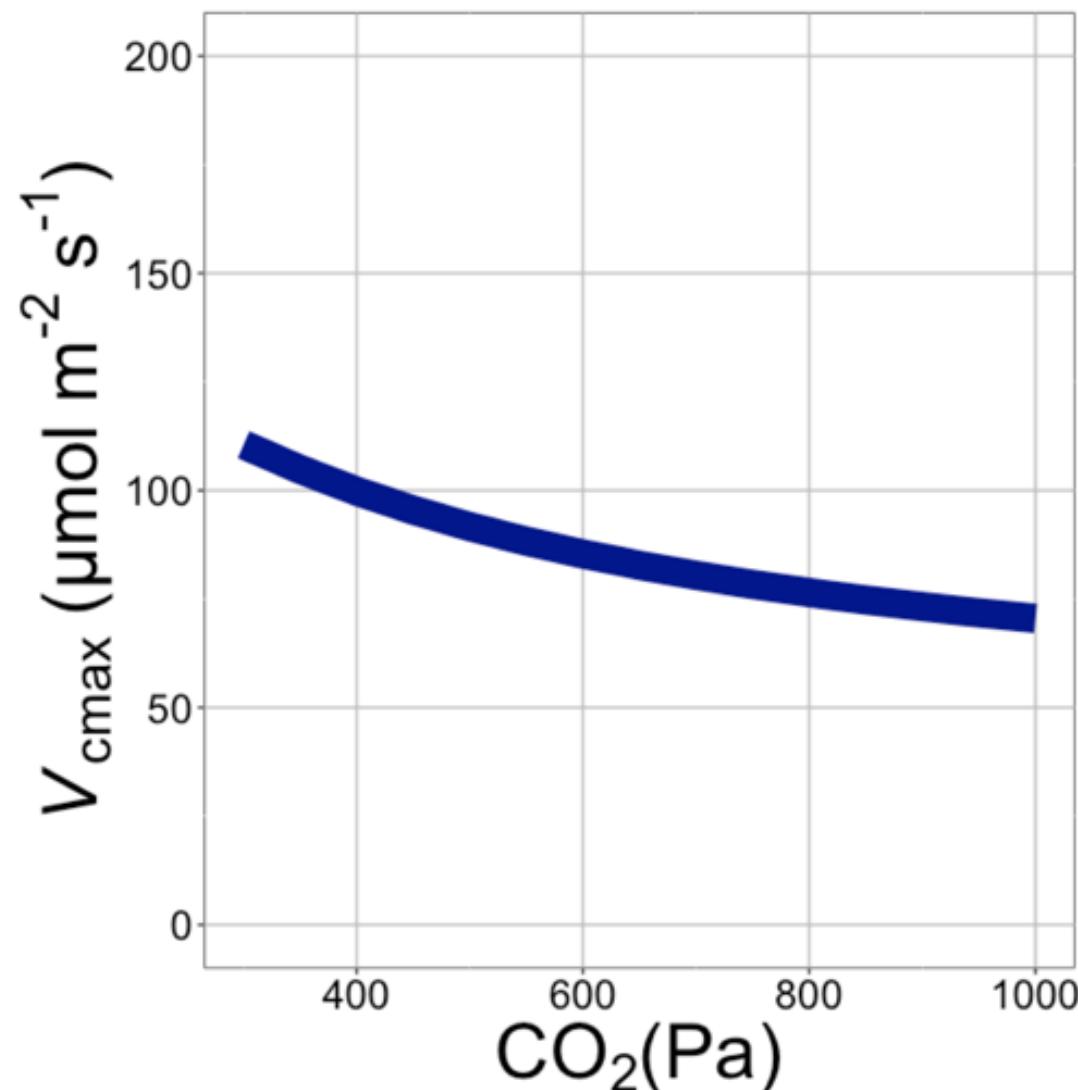
Qing Zhu (LBNL)



Bill Riley (LBNL)

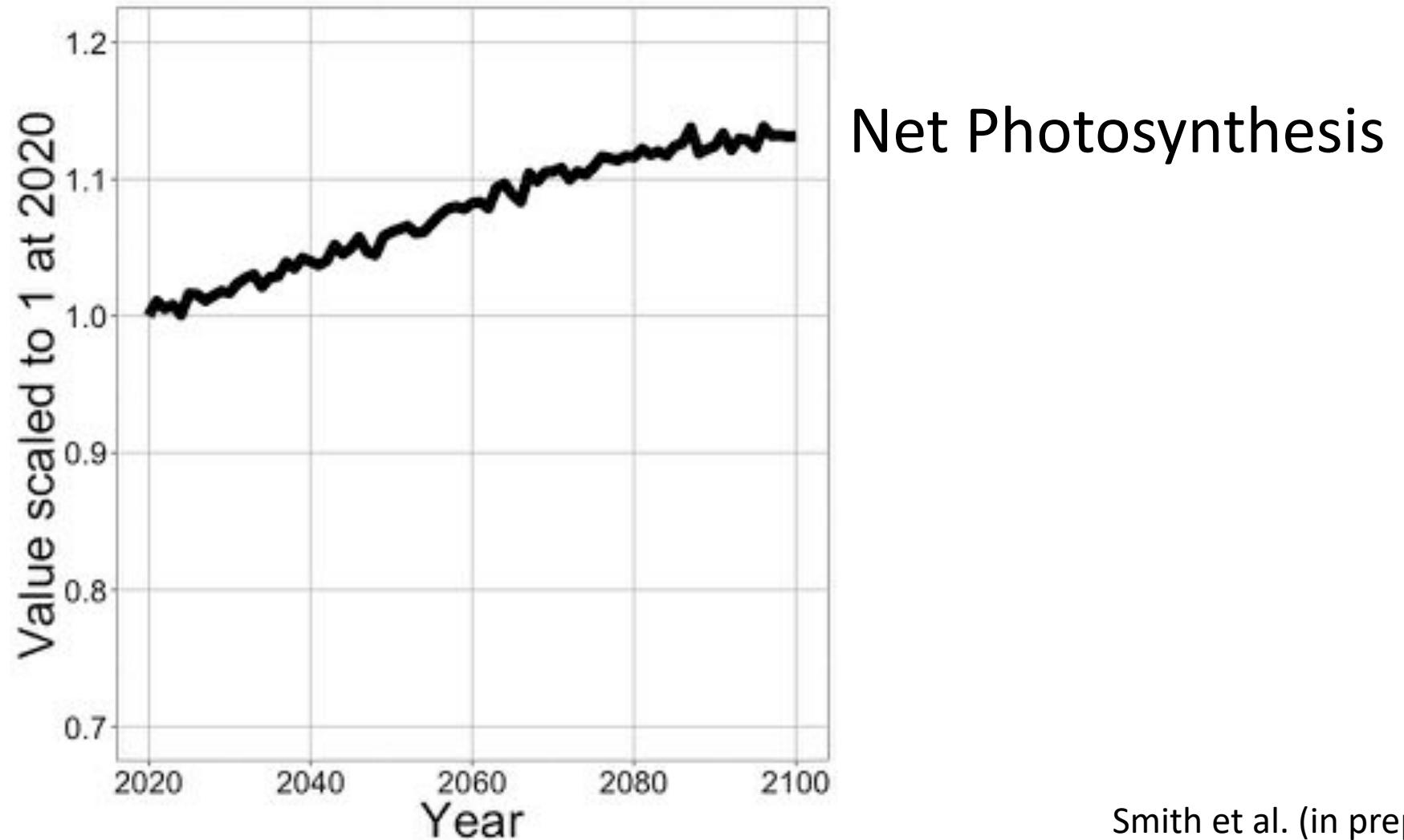
Higher CO<sub>2</sub> and increased temperatures  
increase future photosynthesis



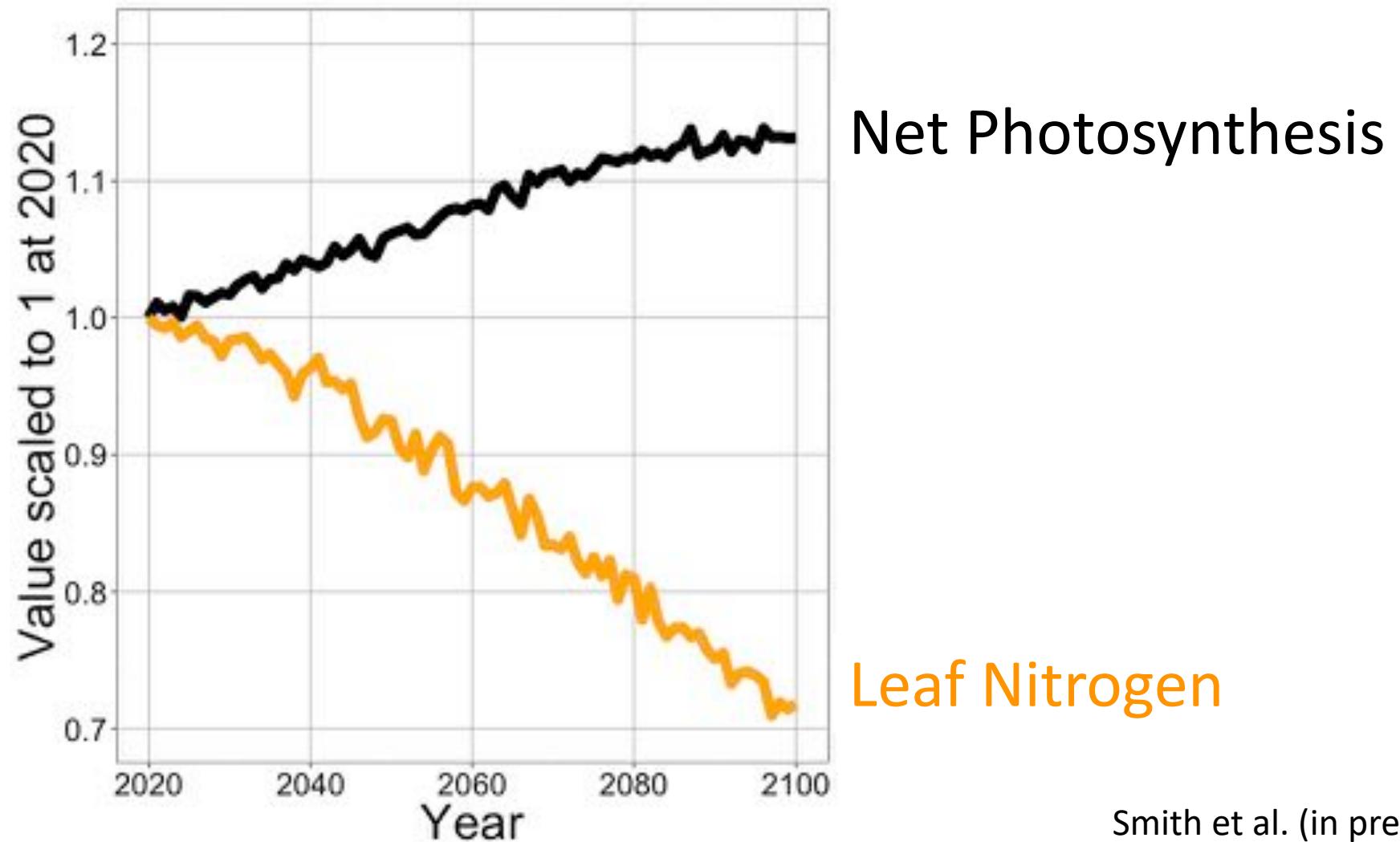


Downregulation  
under elevated  $\text{CO}_2$   
would reduce leaf  
nutrient demand!

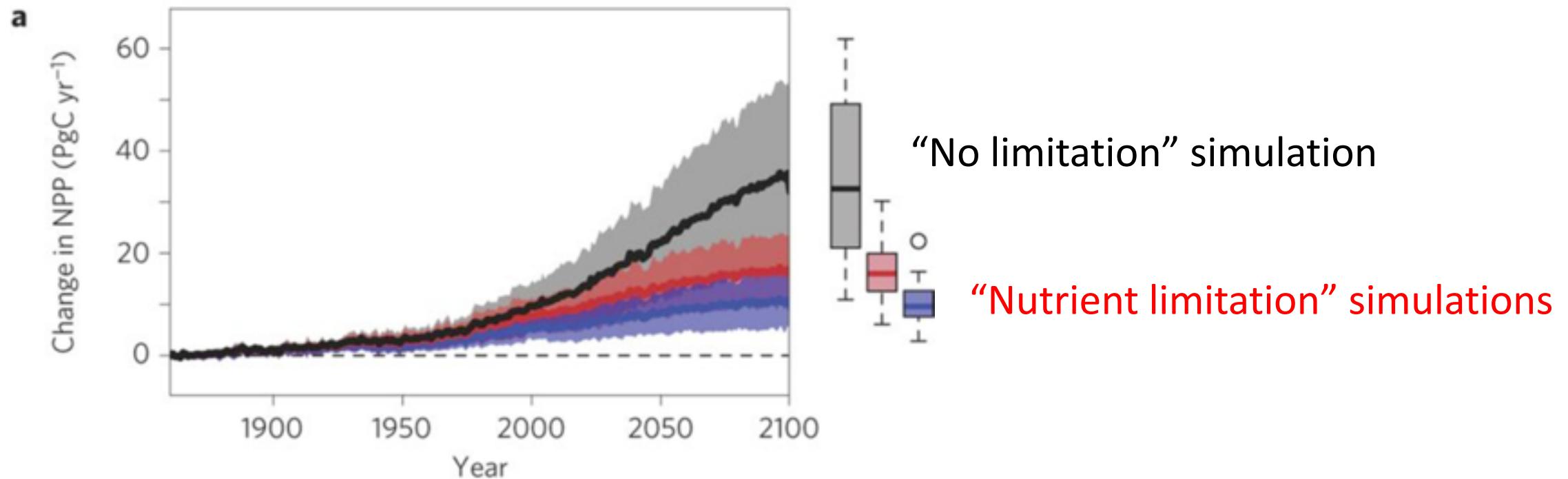
Higher CO<sub>2</sub> and increased temperatures  
increase future photosynthesis



Higher CO<sub>2</sub> and increased temperatures increase future photosynthesis (at lower nutrient use)



# Need to rethink nutrient limitation in models



Question 3: How will photosynthesis  
acclimate to future conditions?

Photosynthesis will increase and per-  
leaf-area nutrient use will decrease

Question 4: When is C<sub>4</sub> photosynthesis an advantage over C<sub>3</sub> photosynthesis?

Helen Scott  
TTU



# $C_3$ versus $C_4$ optimization

# $C_4$ versus $C_3$ optimization

$C_4$  photosynthesis has...

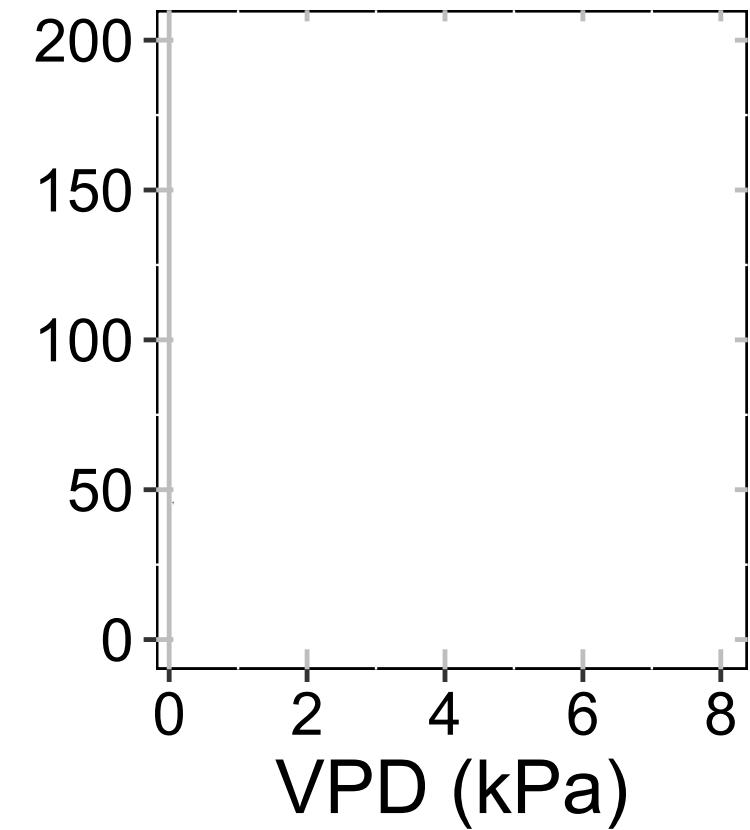
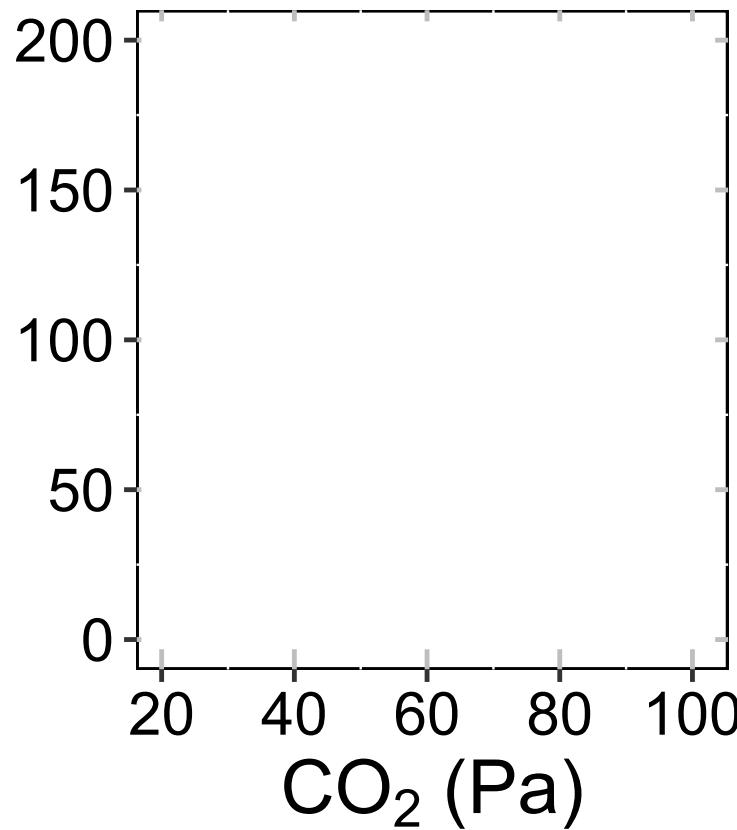
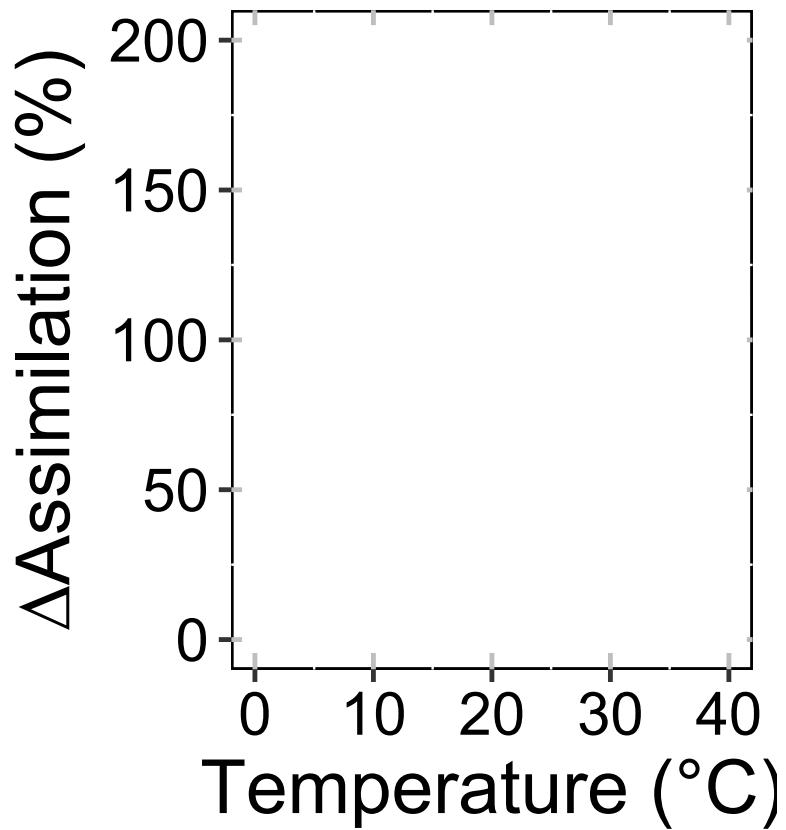
- No photorespiration
- An additional limitation (PEP carboxylation)

# $C_4$ versus $C_3$ optimization

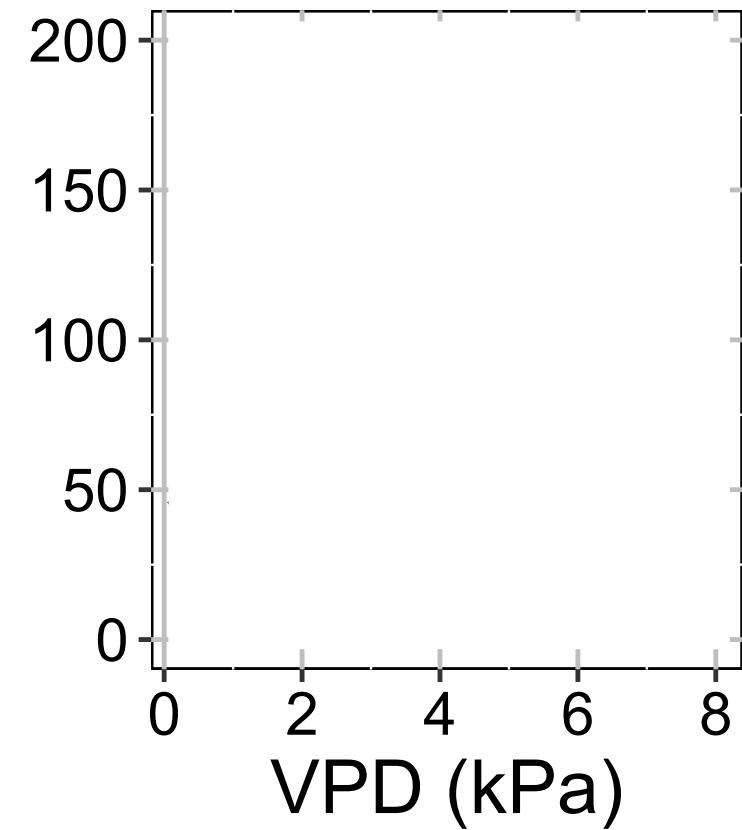
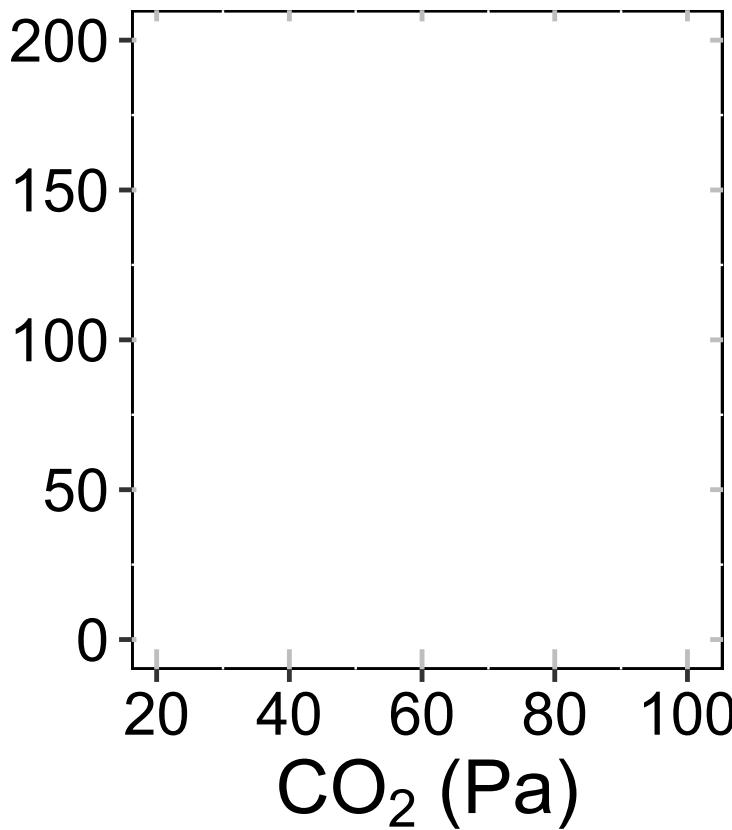
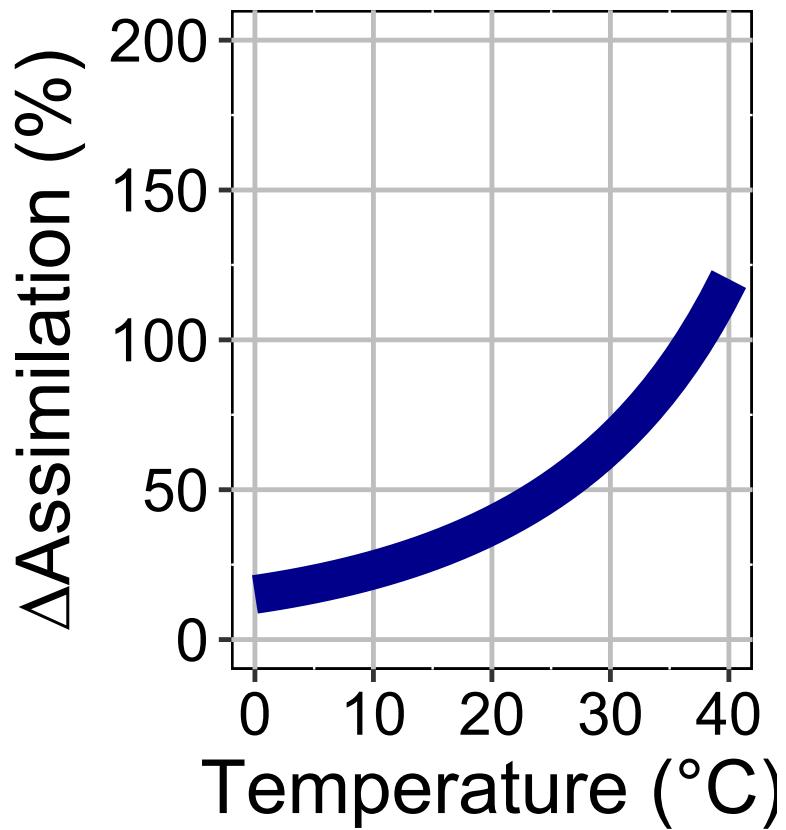
$C_4$  photosynthesis has...

- **No photorespiration**
- An additional limitation (**PEP carboxylation**)

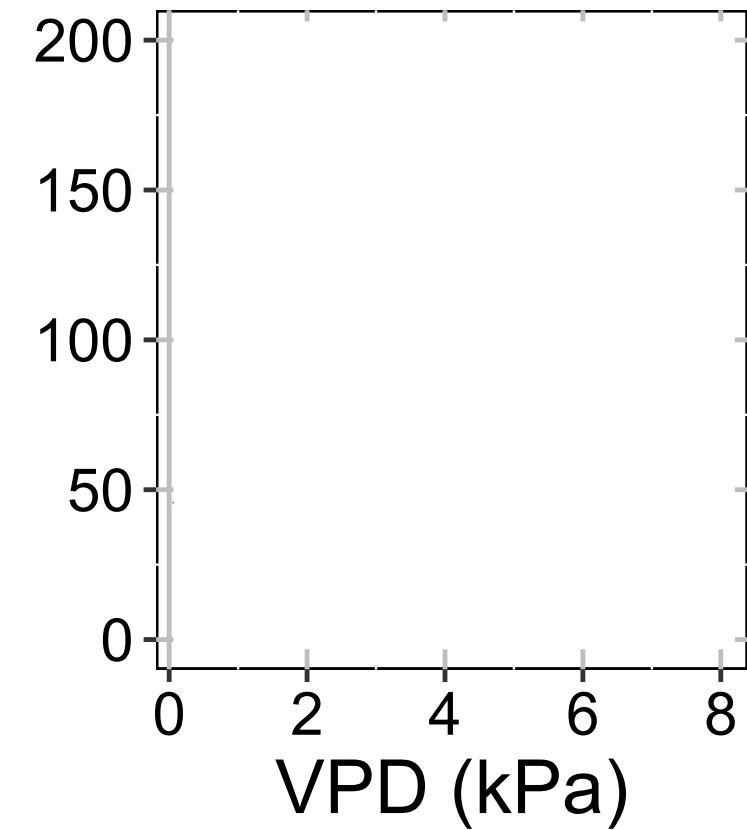
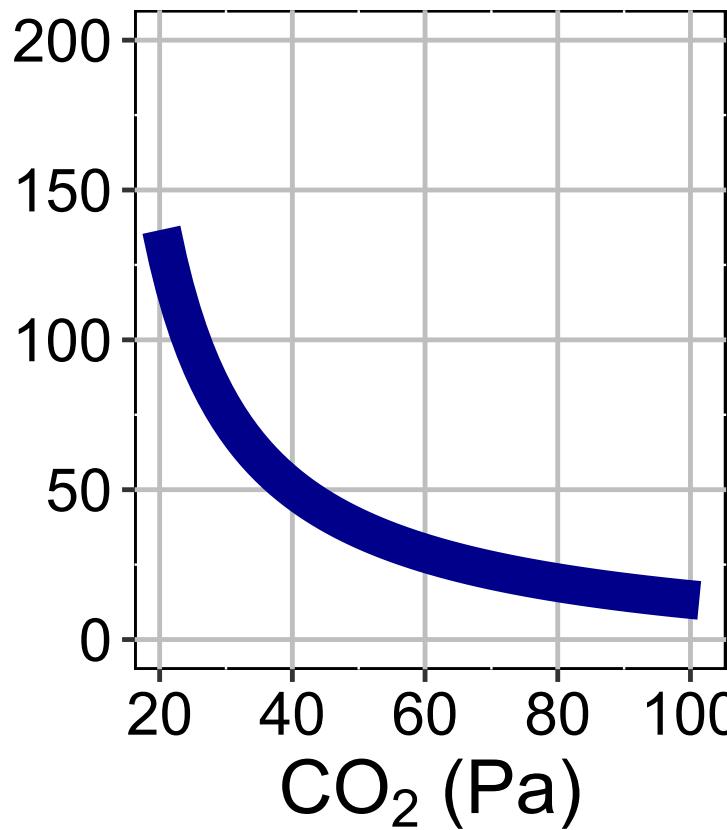
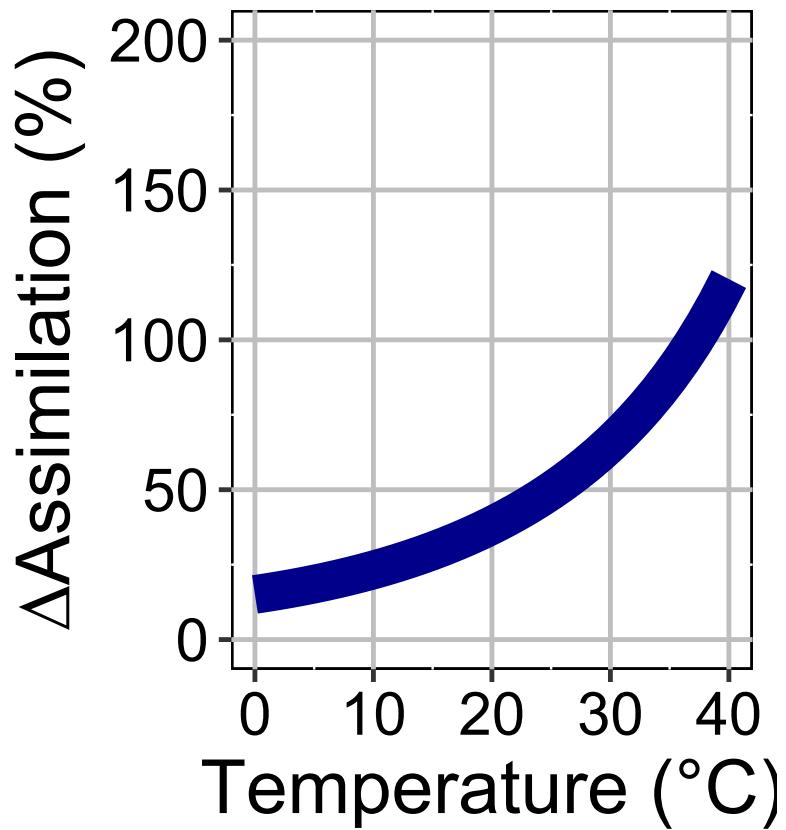
# Relative advantage of C<sub>4</sub> physiology



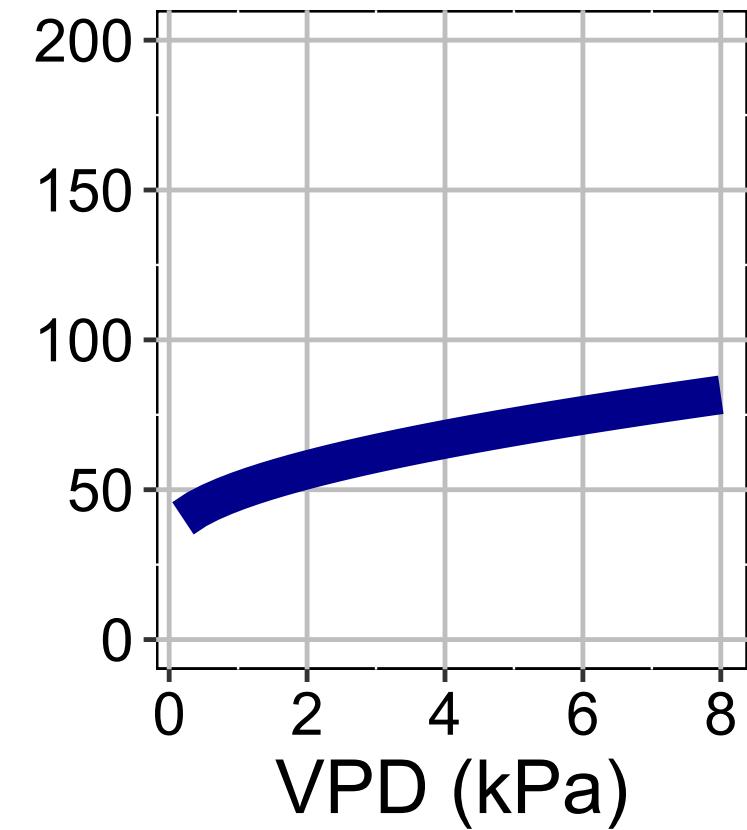
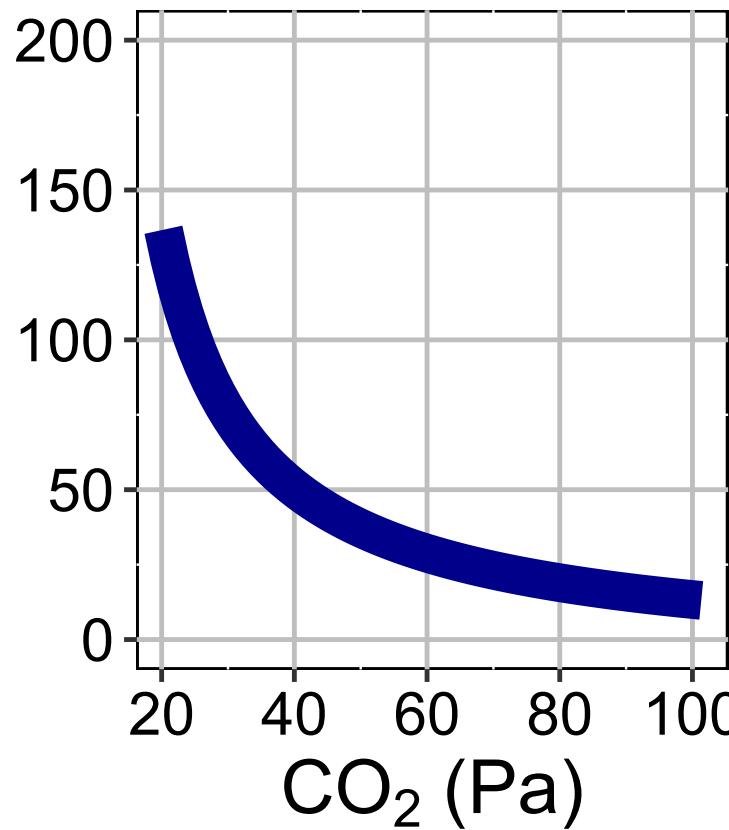
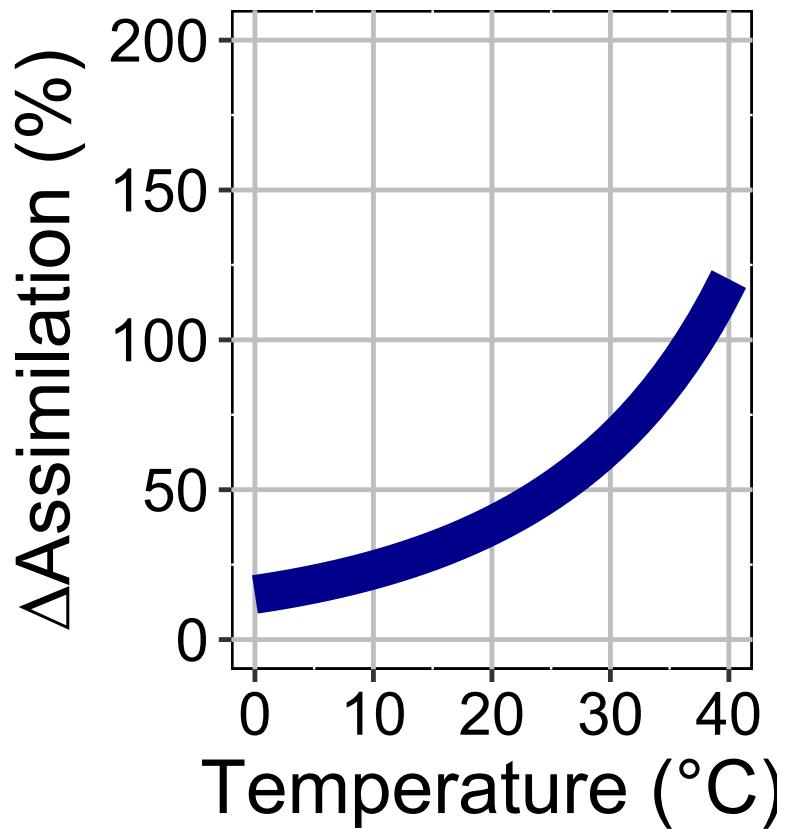
# Relative advantage of C<sub>4</sub> physiology



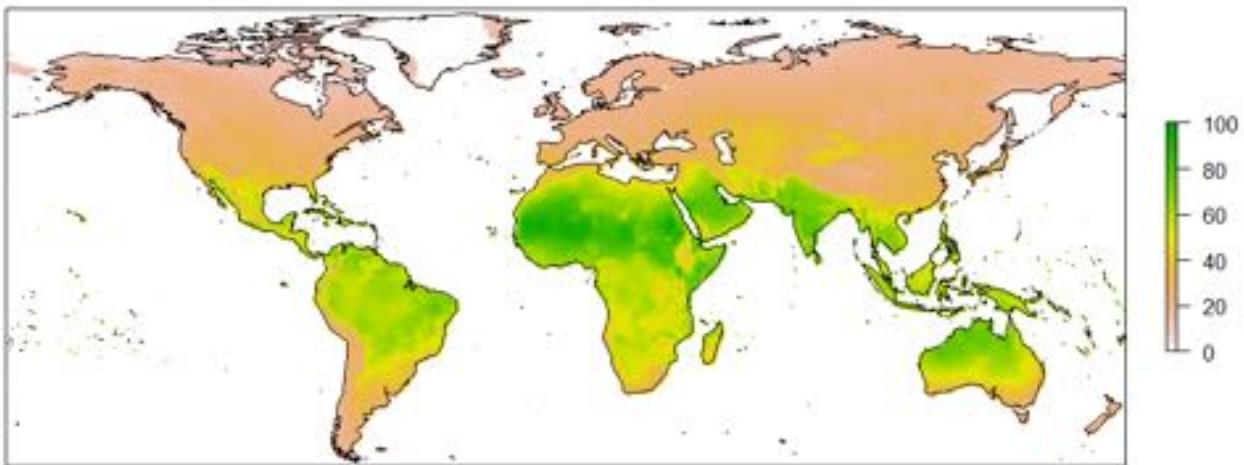
# Relative advantage of C<sub>4</sub> physiology



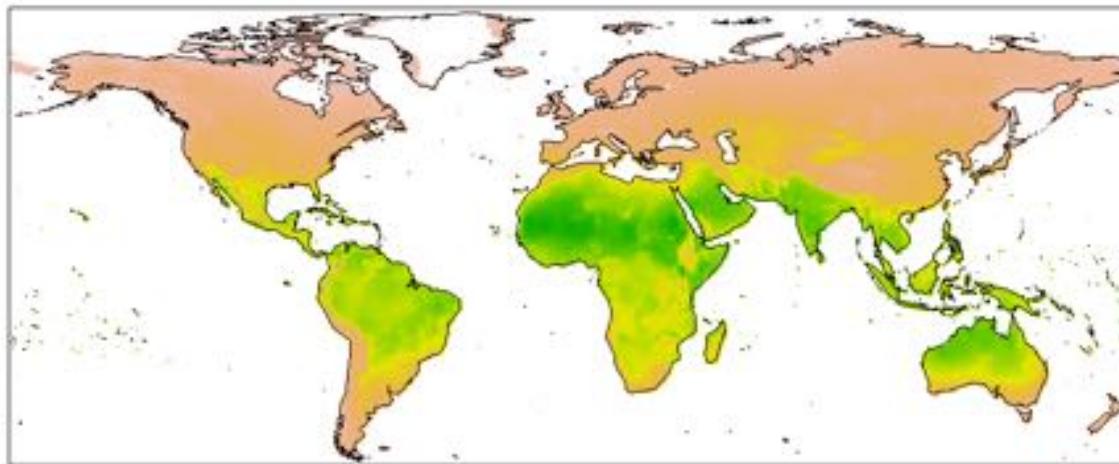
# Relative advantage of C<sub>4</sub> physiology



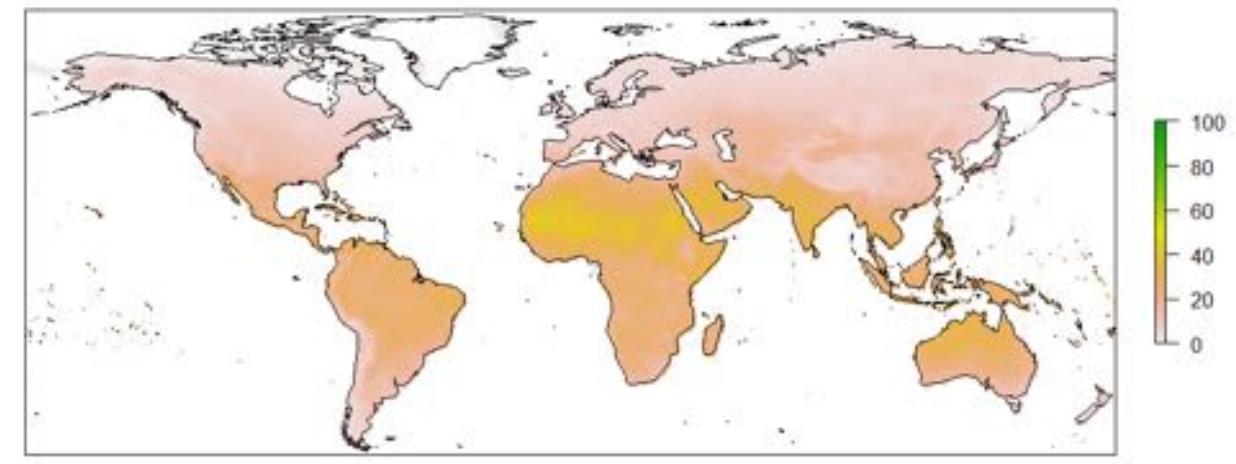
## Current relative advantage of C<sub>4</sub> (%)



Current relative advantage of C<sub>4</sub> (%)



Future relative advantage of C<sub>4</sub> (%)



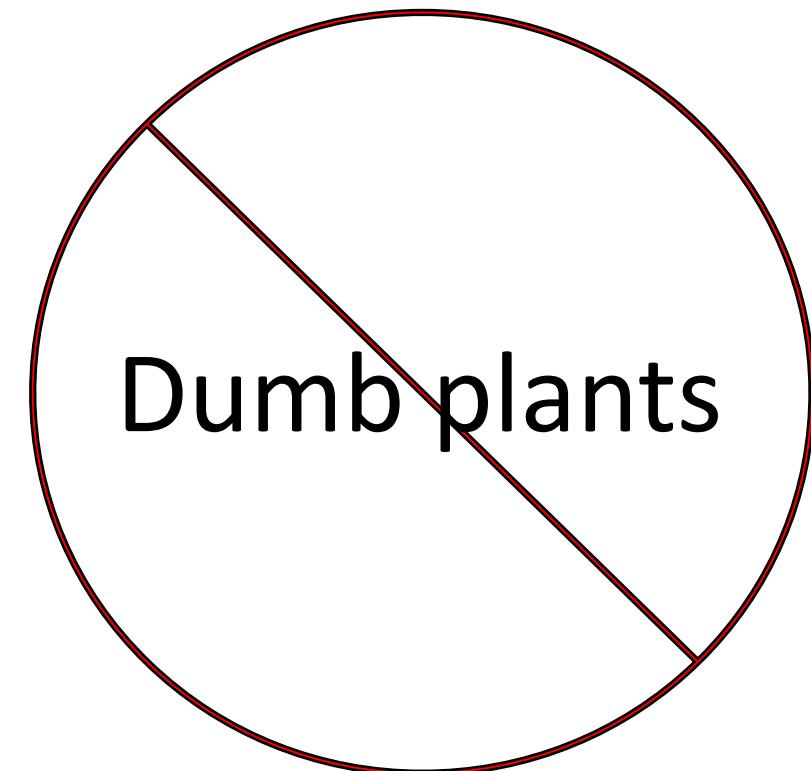
**Question 4:** When is C<sub>4</sub> photosynthesis an advantage over C<sub>3</sub> photosynthesis?

C<sub>4</sub> is better in hot, dry, low CO<sub>2</sub> environments

# Conclusions

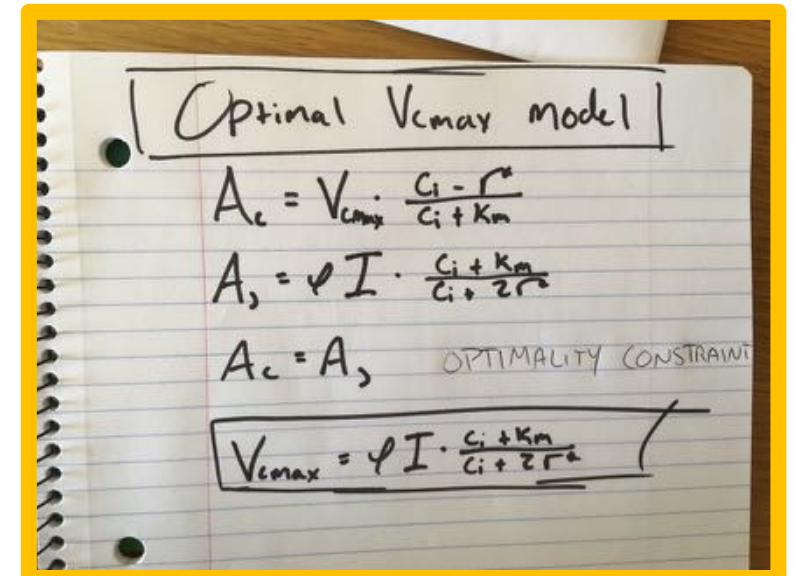
# Conclusions

- Plants aren't dumb!
  - Need to make sure our models allow for adaptive responses



# Conclusions

- Theory yields mechanistic insight about acclimation and associated feedbacks



Optimal  $V_{\text{max}}$  model

$$A_c = V_{\text{max}} \cdot \frac{C_i - r^*}{C_i + K_m}$$
$$A_s = \varphi I \cdot \frac{C_i + K_m}{C_i + 2r^*}$$
$$A_c = A_s \quad \text{OPTIMALITY CONSTRAINT}$$
$$V_{\text{max}} = \varphi I \cdot \frac{C_i + K_m}{C_i + 2r^*}$$

# Conclusions

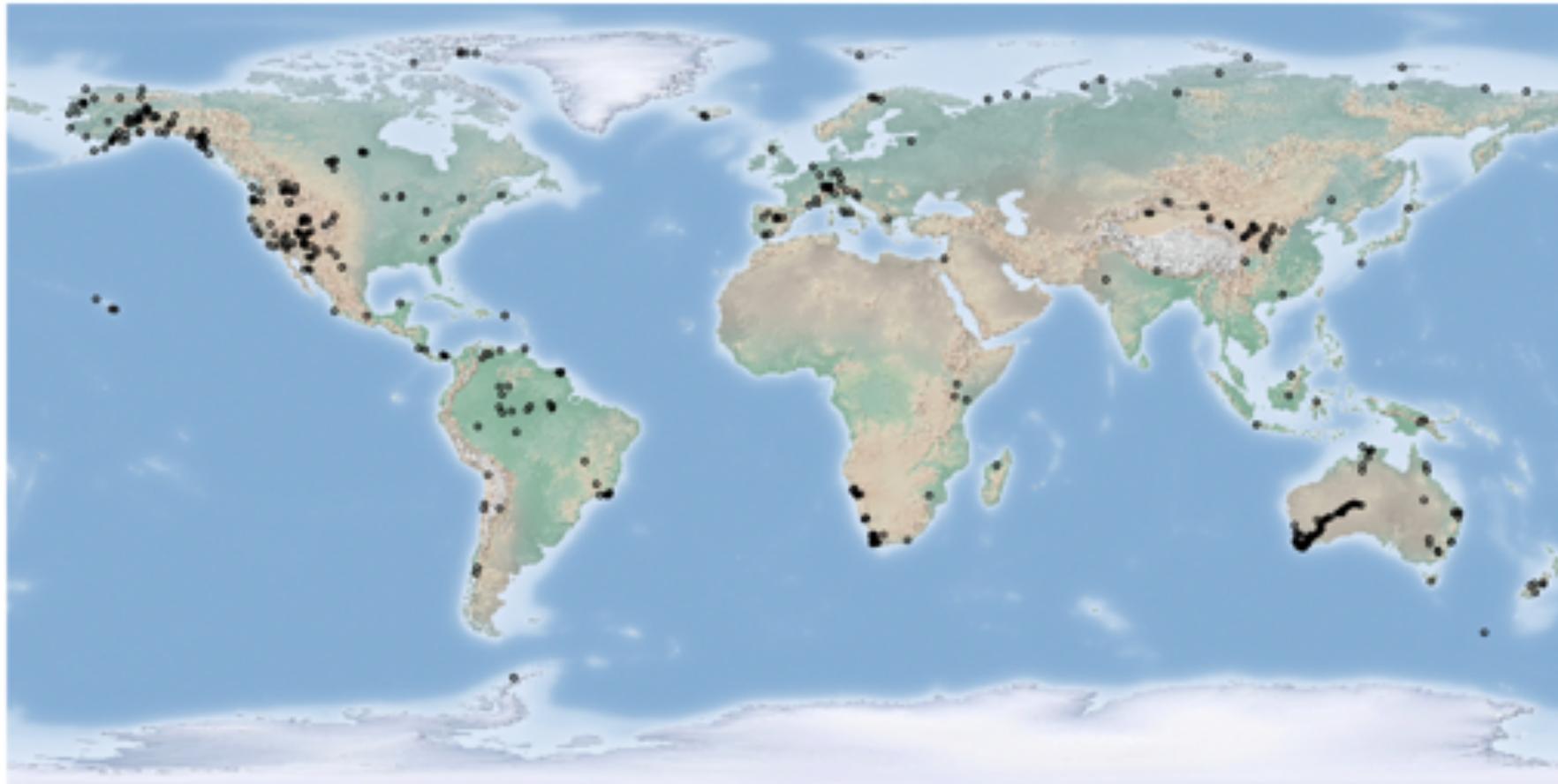
- Theory yields mechanistic insight about acclimation and associated feedbacks
- We using it to study
  - Plant invasion
  - Mycorrhizal symbioses
  - Agriculture
  - Herbarium specimens



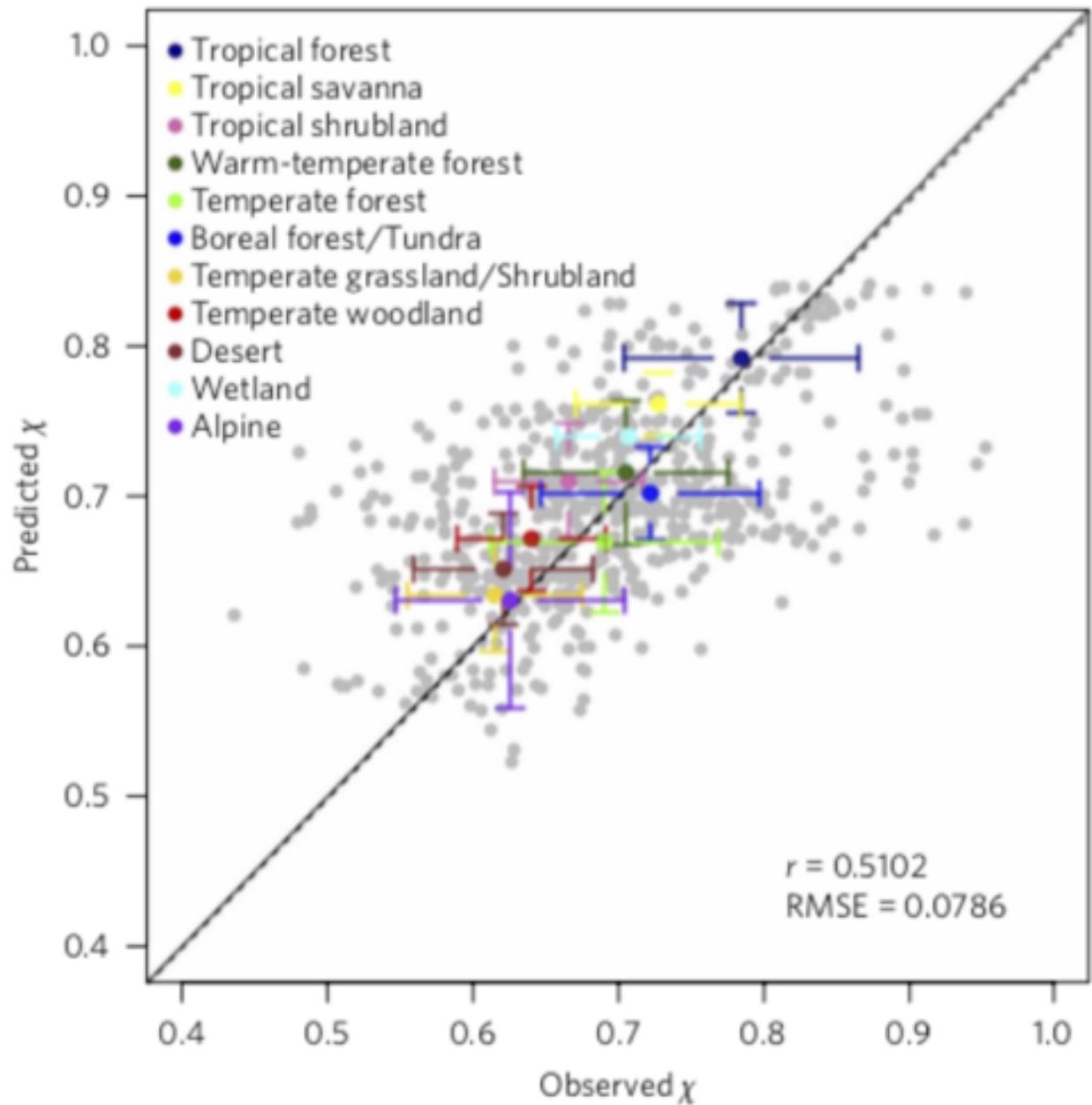
Presentation available at:  
[github.com/SmithEcophysLab/seminar/ut\\_fall2019](https://github.com/SmithEcophysLab/seminar/ut_fall2019)



# Global leaf isotope dataset



~4,000 observations  
594 sites



Stomatal conductance is optimized!