

# Plants aren't dumb

Using optimality theory to understand big  
questions in plant ecophysiology

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# Acknowledgements

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  - USGS
  - Schmidt Futures



# Why do people care about plants?

# Why do people care about plants?



# Why do people care about plants?



# Why do people care about plants?



# Why do people care about plants?



# Why do people care about plants?



# Why do people care about plants?



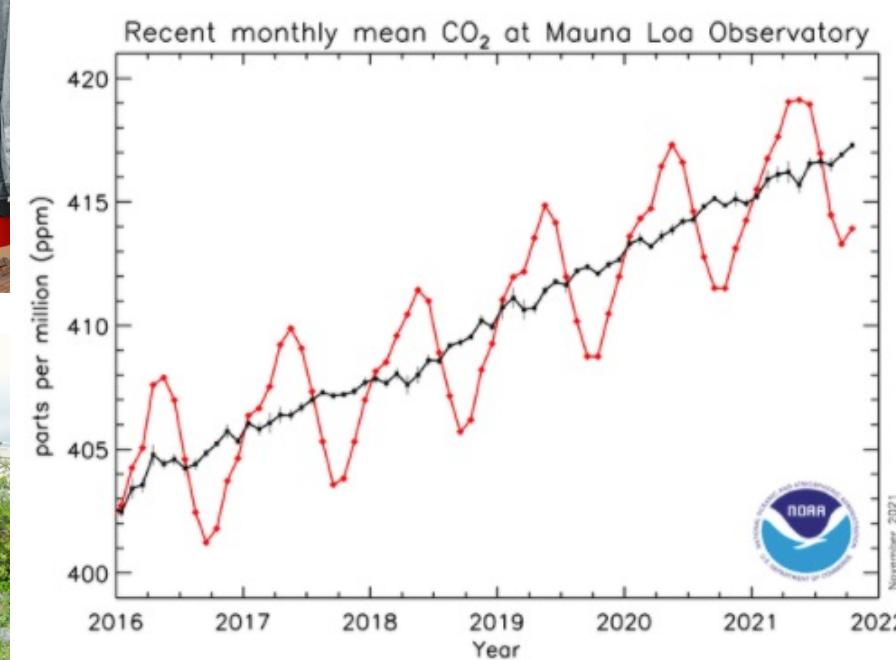
# Why do people care about plants?



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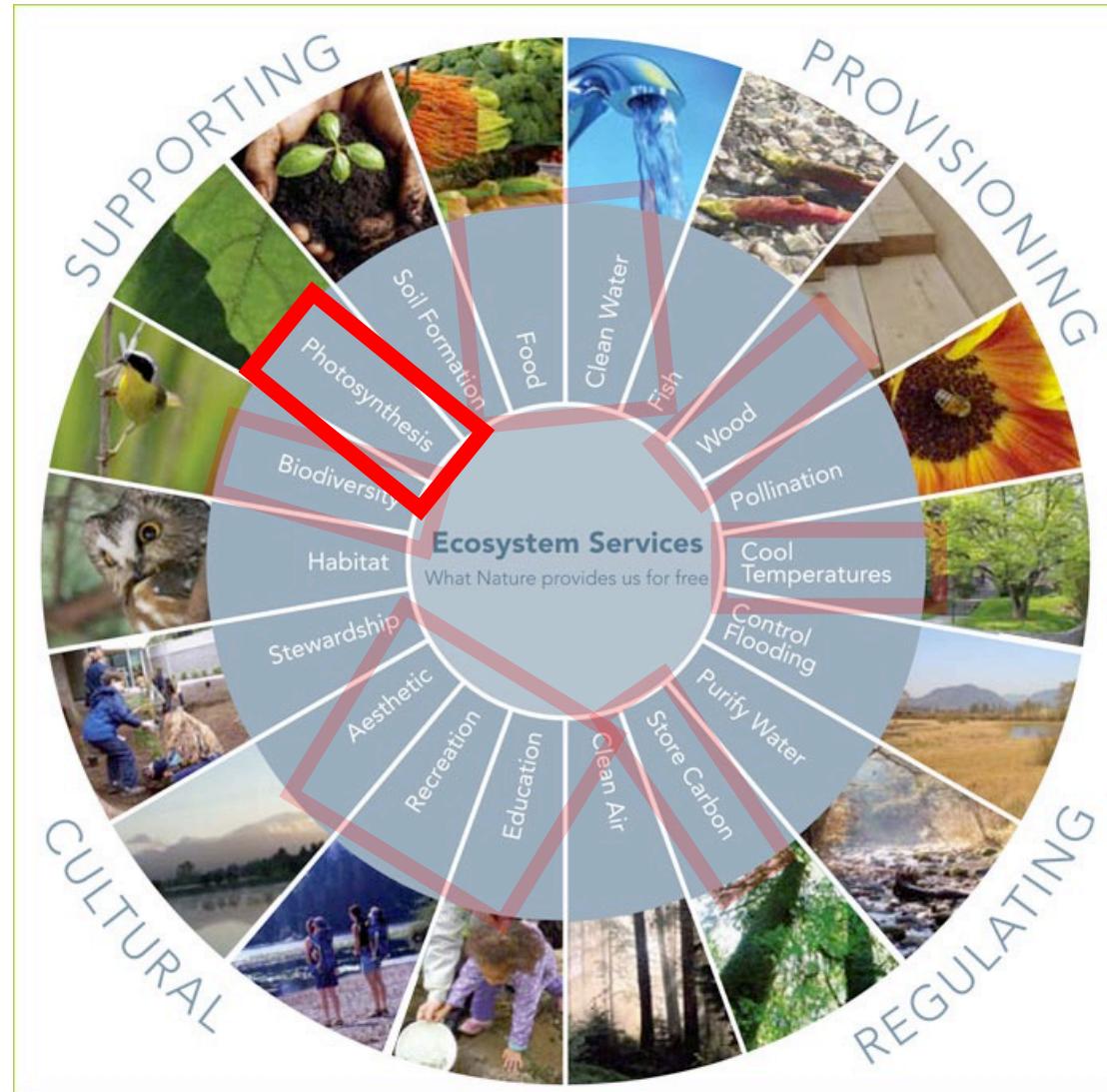
# Why do people care about plants?



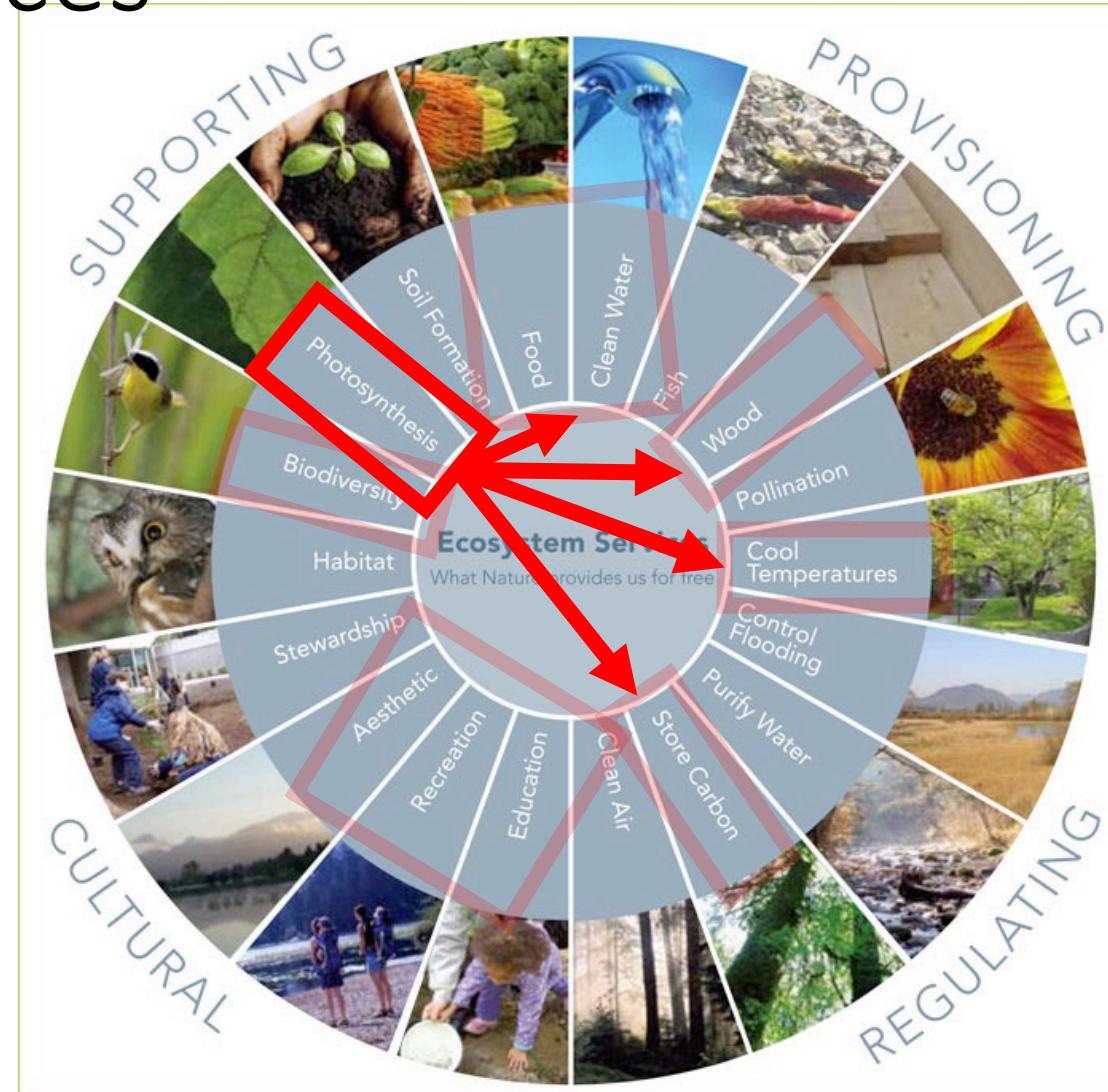
# In other words: plants provide ecosystem services



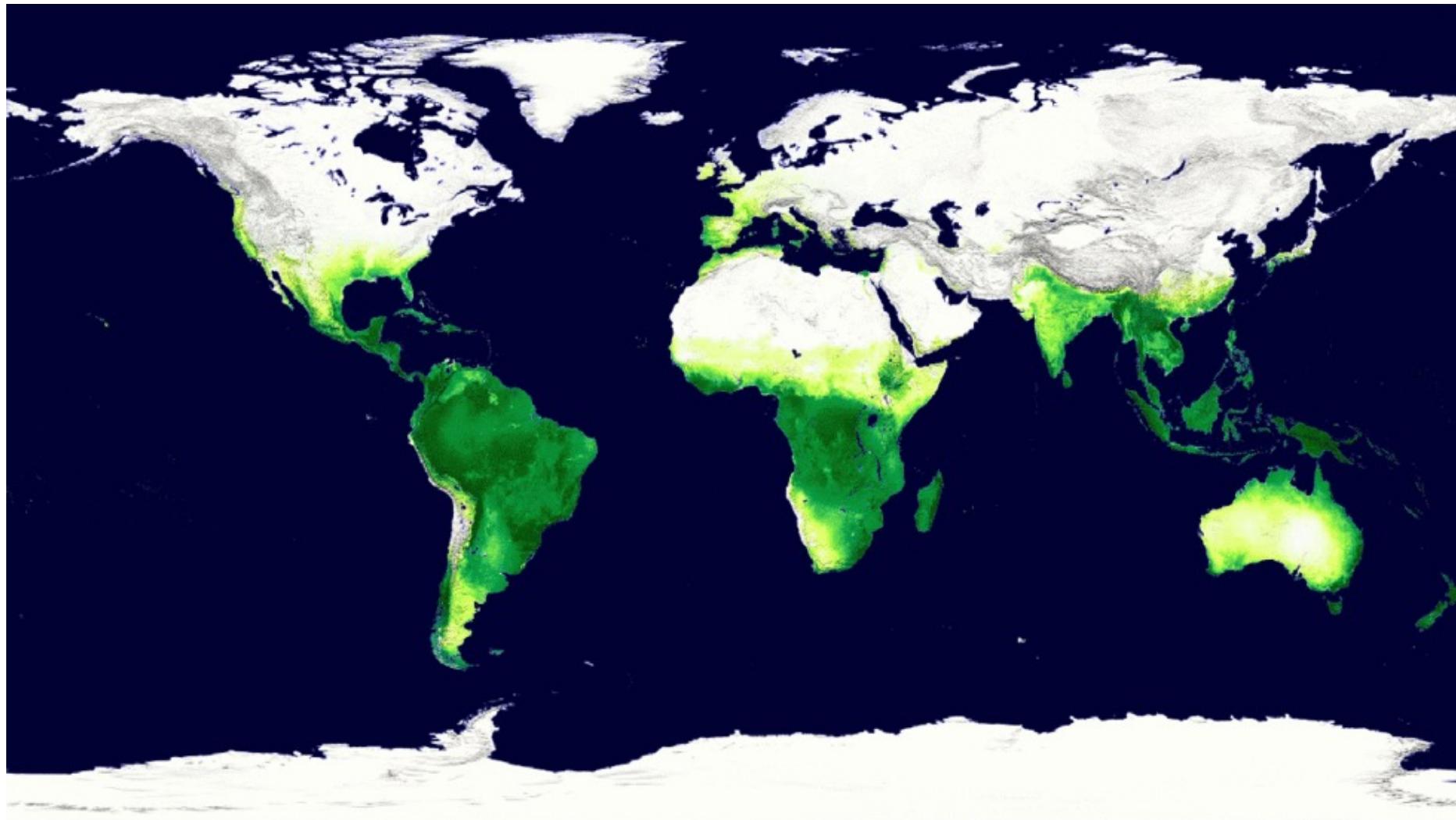
# Including photosynthesis



# Photosynthesis provides the foundation for other services

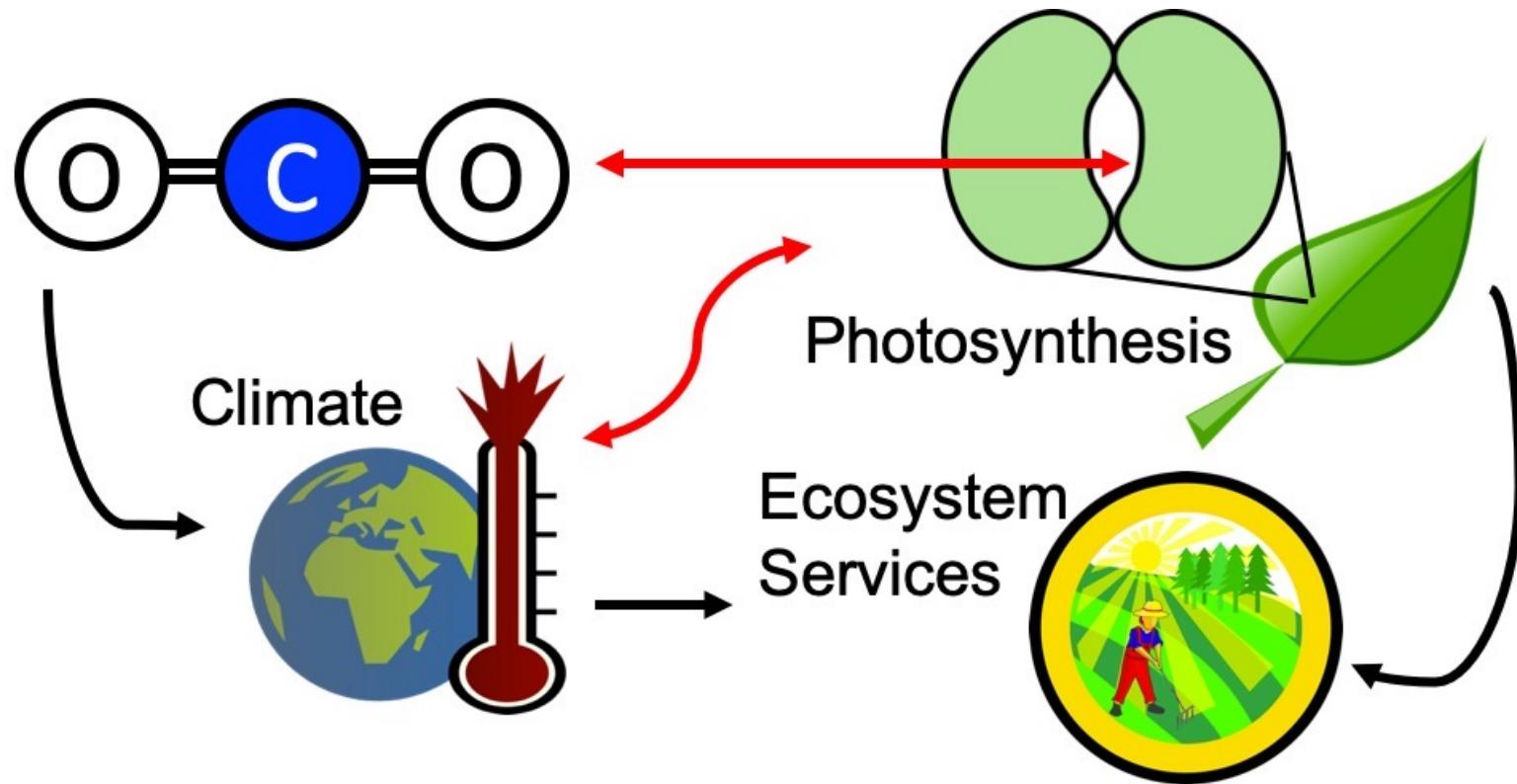


# Photosynthesis is a dynamic process



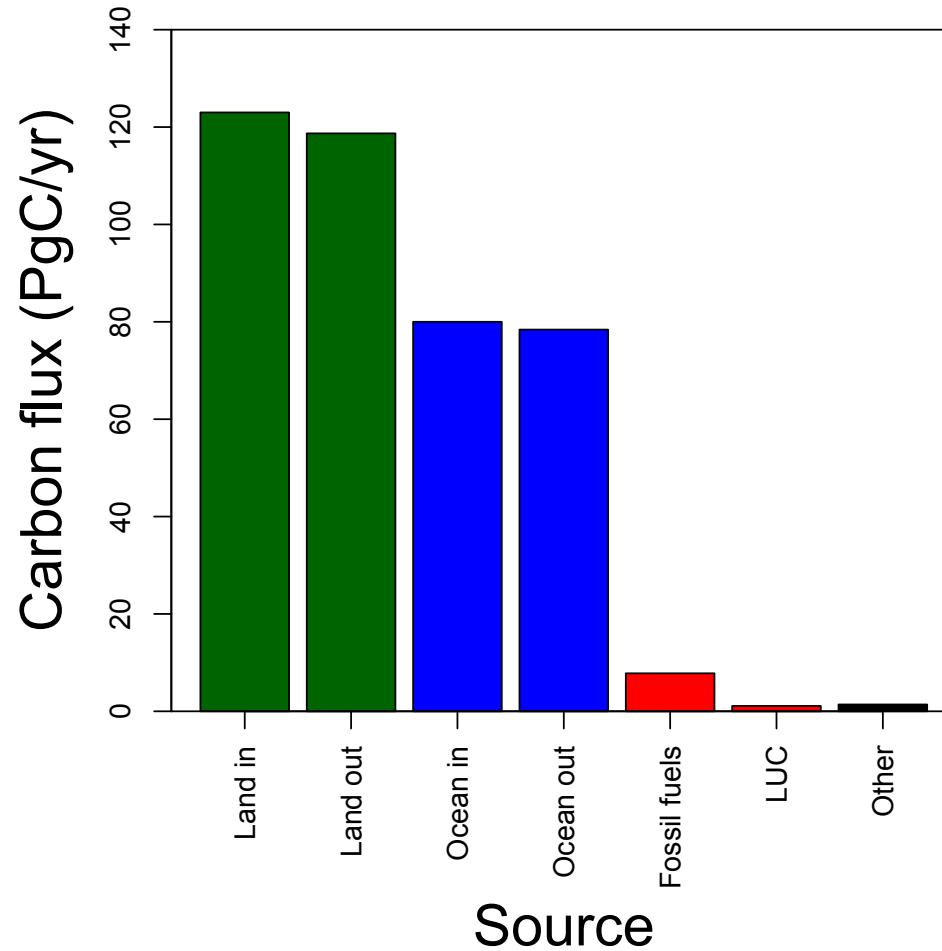
MODIS, NASA

Our lab examines **photosynthesis** as a regulator of global change impacts on ecosystem services

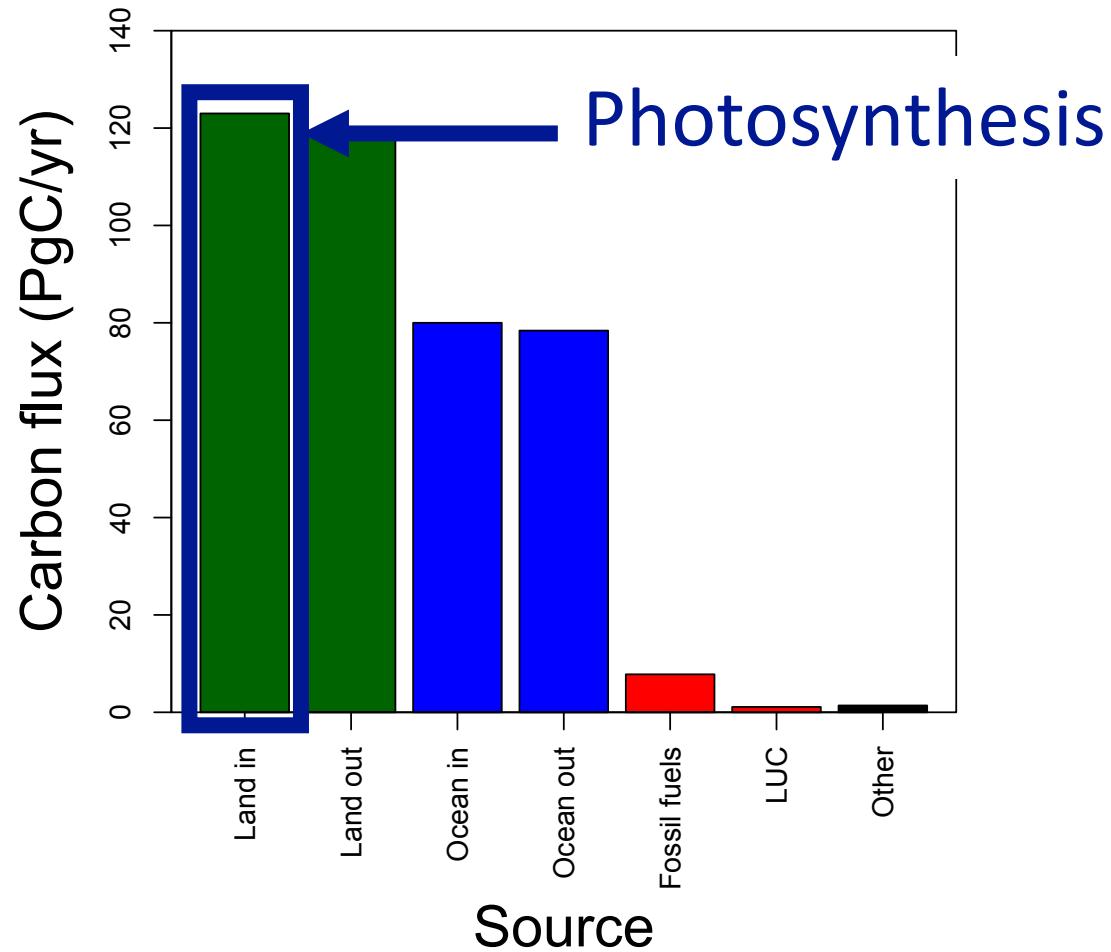


# Photosynthesis is important! An example...

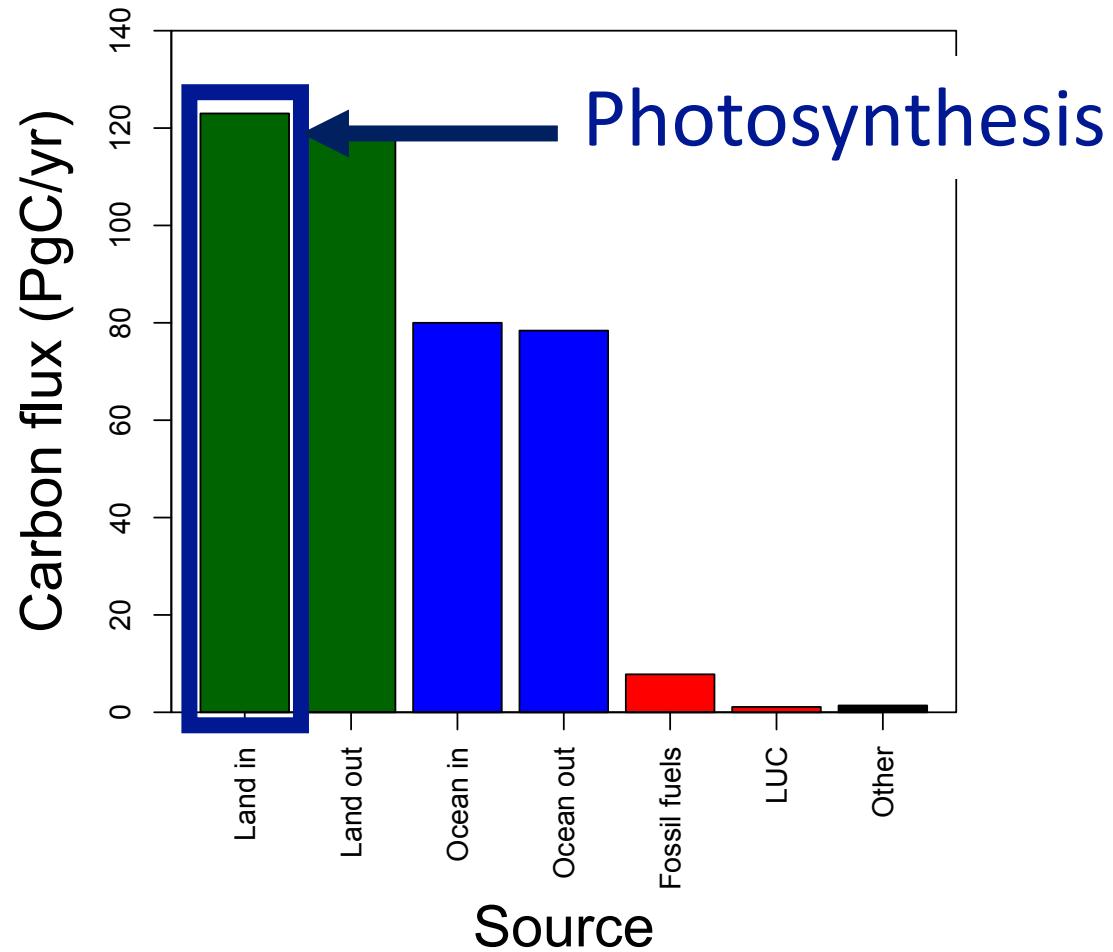
# Photosynthesis is important! An example...



# Photosynthesis is important! An example...

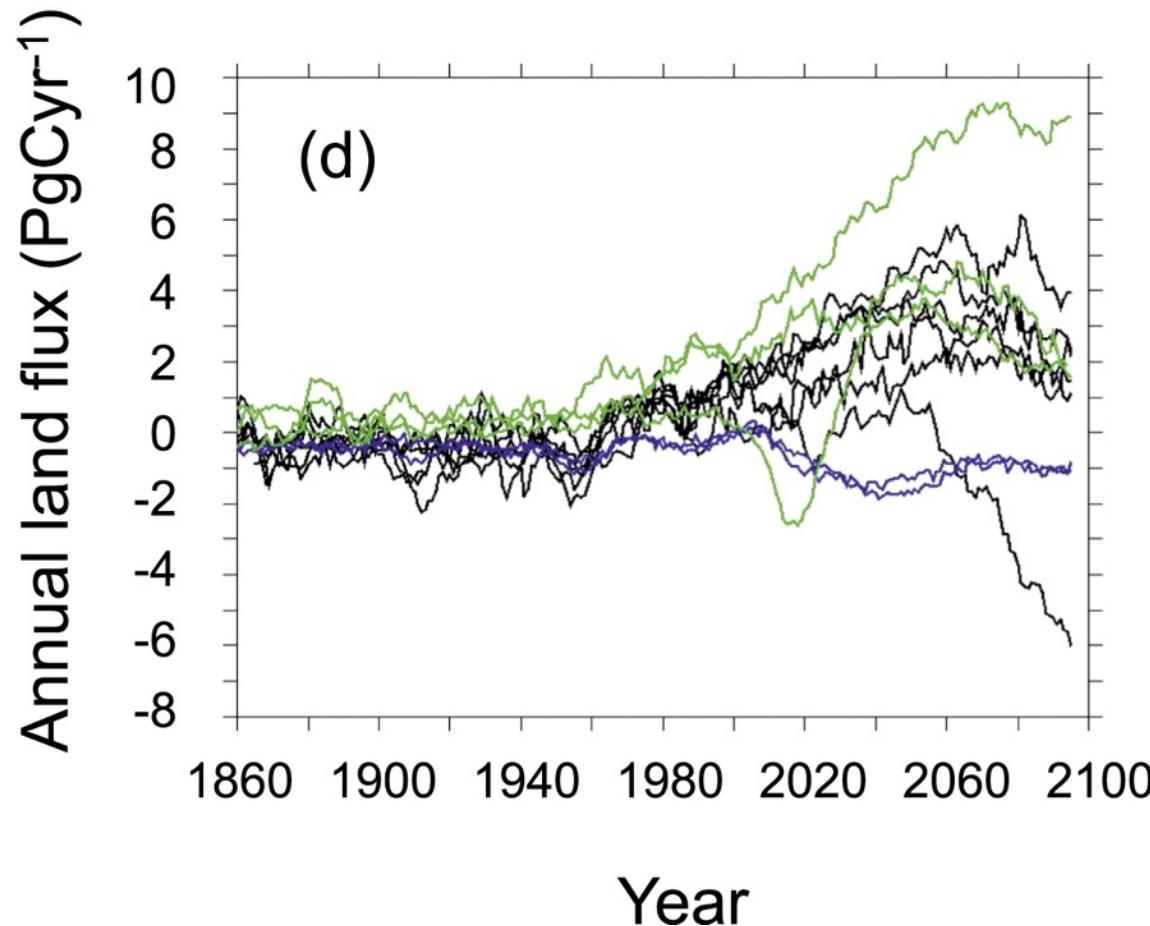


# Photosynthesis is important! An example...

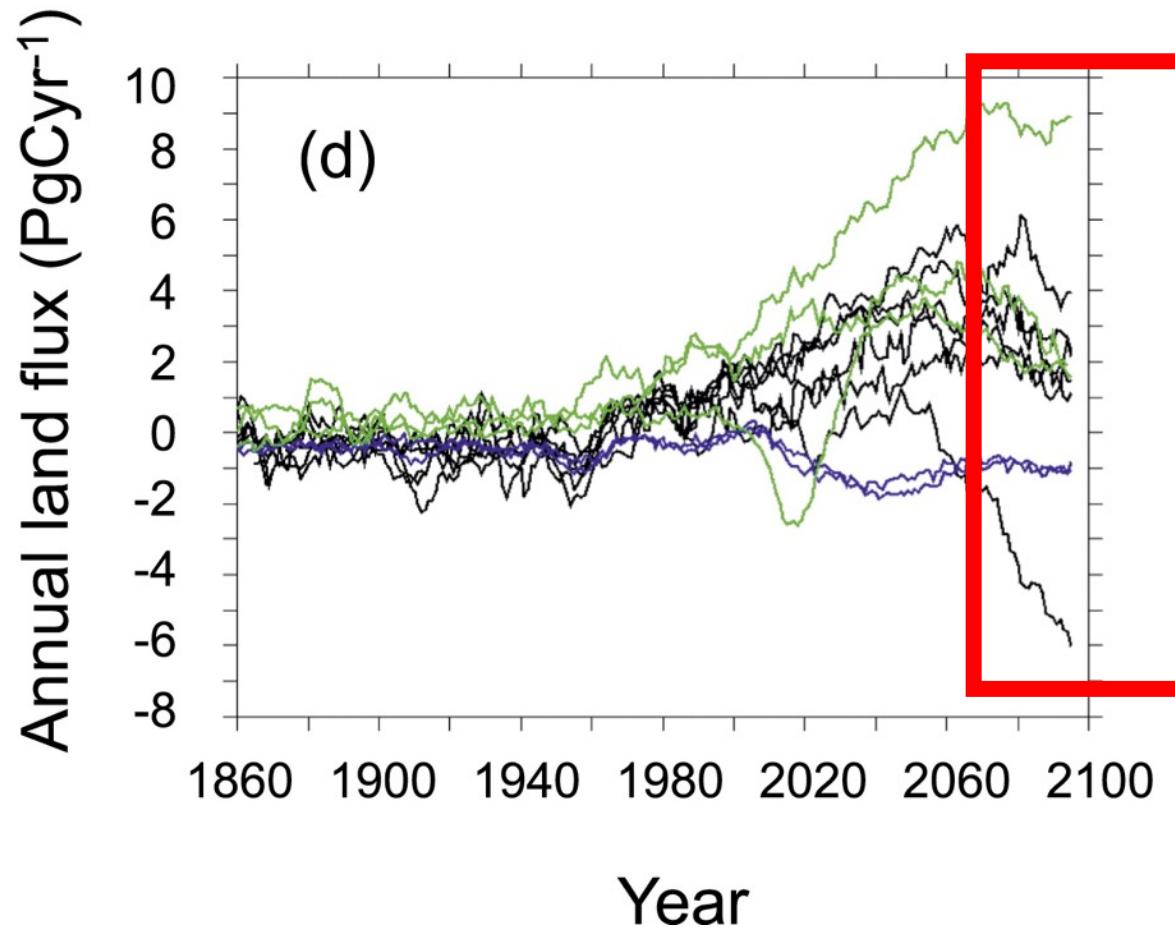


A small percentage change in photosynthesis can have large consequences for climate

# But predictions are uncertain!

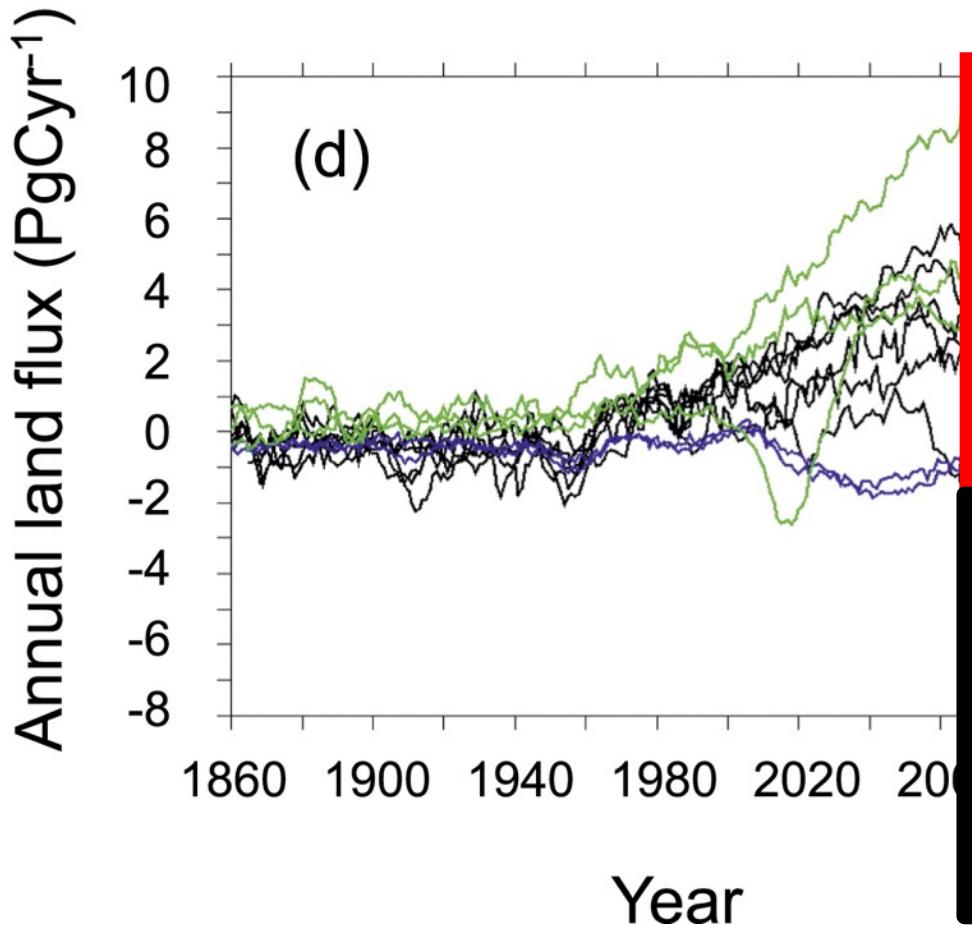


# But predictions are uncertain!



Future model uncertainty (14 Pg) > current fossil fuel emissions (9.5 Pg)

# This uncertainty is driven by uncertainty in photosynthesis

**Article**

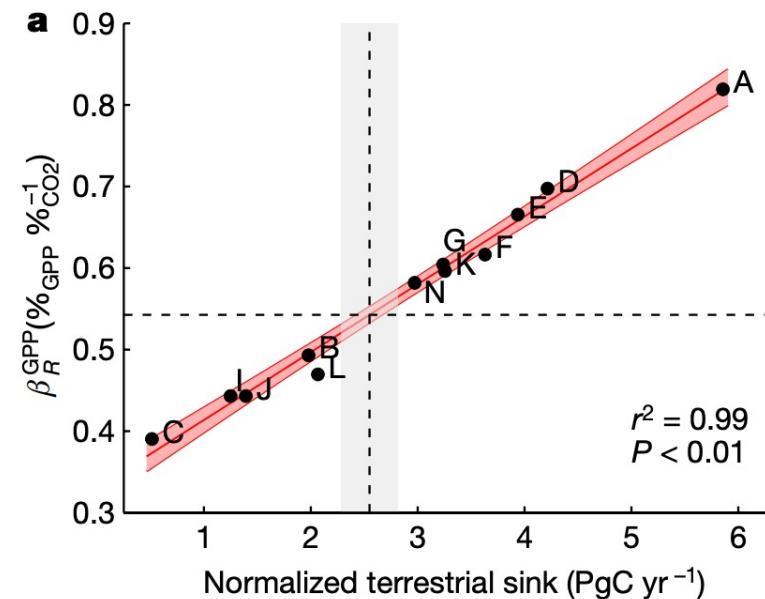
## A constraint on historic growth in global photosynthesis due to increasing $\text{CO}_2$

<https://doi.org/10.1038/s41586-021-04096-9>

Received: 9 July 2020

Accepted: 5 October 2021

T. F. Keenan<sup>1,2</sup>✉, X. Luo<sup>1,2,3</sup>, M. G. De Kauwe<sup>4,5,6</sup>, B. E. Medlyn<sup>7</sup>, I. C. Prentice<sup>8,9,10</sup>,  
B. D. Stocker<sup>11,12</sup>, N. G. Smith<sup>13</sup>, C. Terrer<sup>14</sup>, H. Wang<sup>10</sup>, Y. Zhang<sup>1,2,15</sup> & S. Zhou<sup>1,2,16,17,18,19</sup>



# Why the uncertainty? Theoretical models for photosynthesis exist

Planta 149, 78–90 (1980)

**Planta**  
© by Springer-Verlag 1980

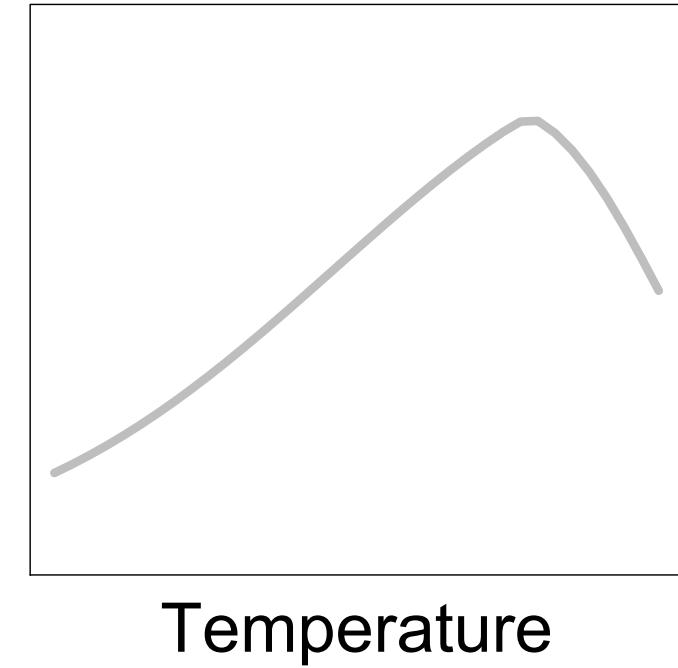
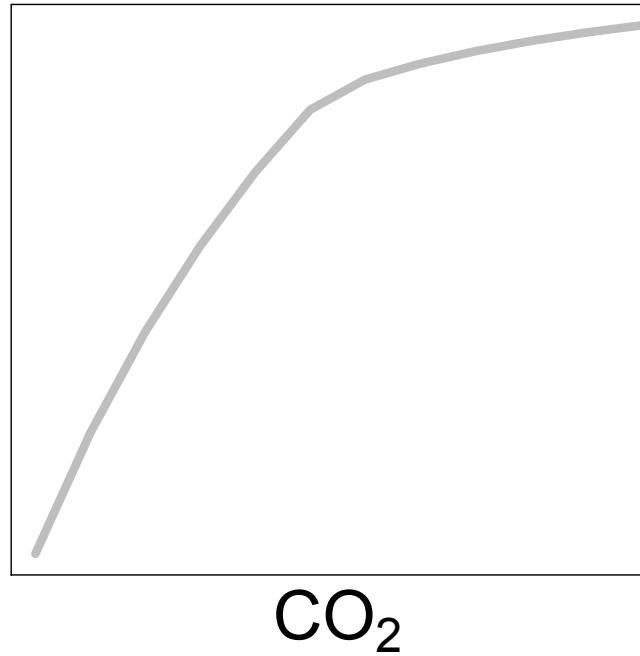
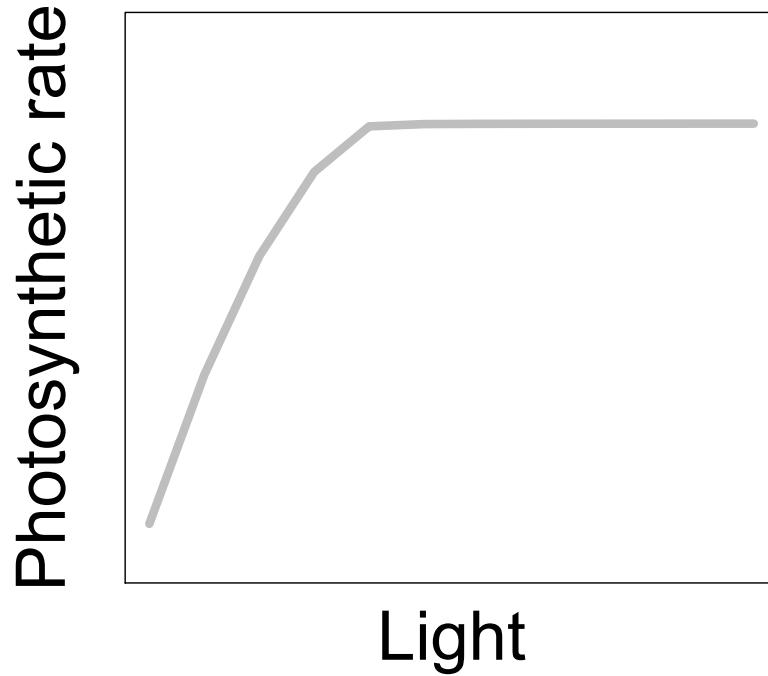
## A Biochemical Model of Photosynthetic CO<sub>2</sub> Assimilation in Leaves of C<sub>3</sub> Species

G.D. Farquhar<sup>1</sup>, S. von Caemmerer<sup>1</sup>, and J.A. Berry<sup>2</sup>

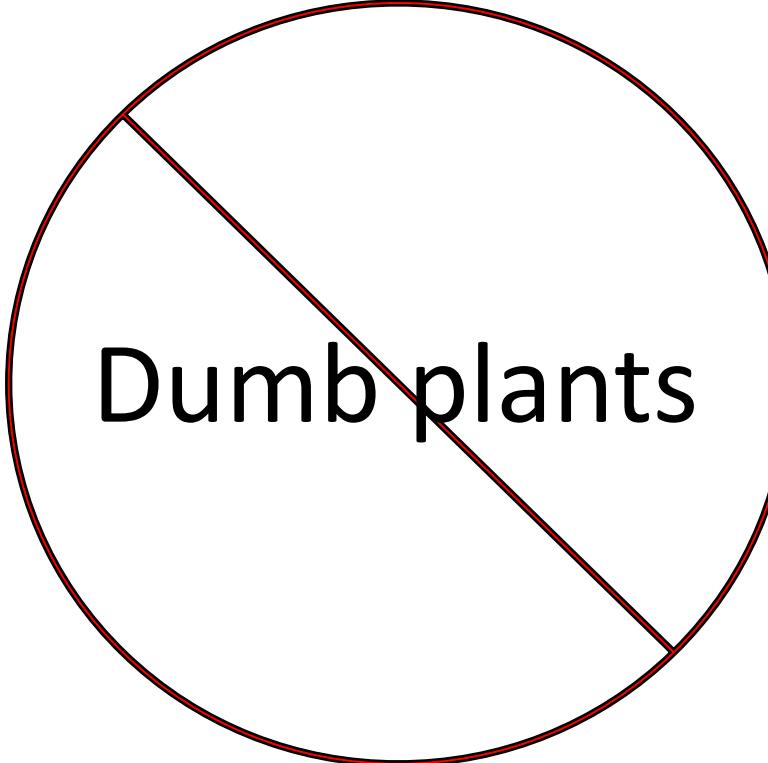
<sup>1</sup> Department of Environmental Biology, Research School of Biological Sciences, Australian National University, P.O. Box 475, Canberra City ACT 2601, Australia and

<sup>2</sup> Carnegie Institution of Washington, Department of Plant Biology, Stanford, Cal. 94305, USA

These produce short term responses that match data

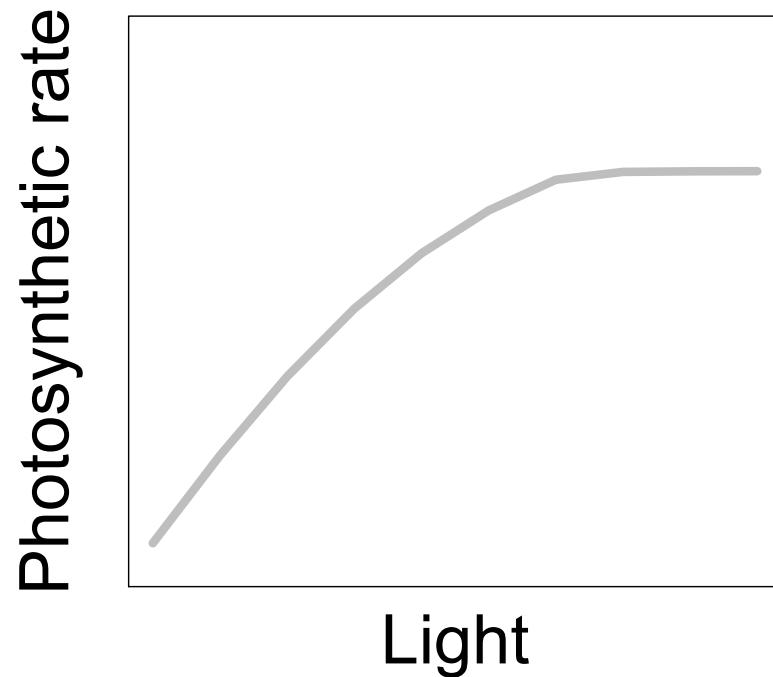


Why the uncertainty? Long-term responses differ from short-term responses due to acclimation

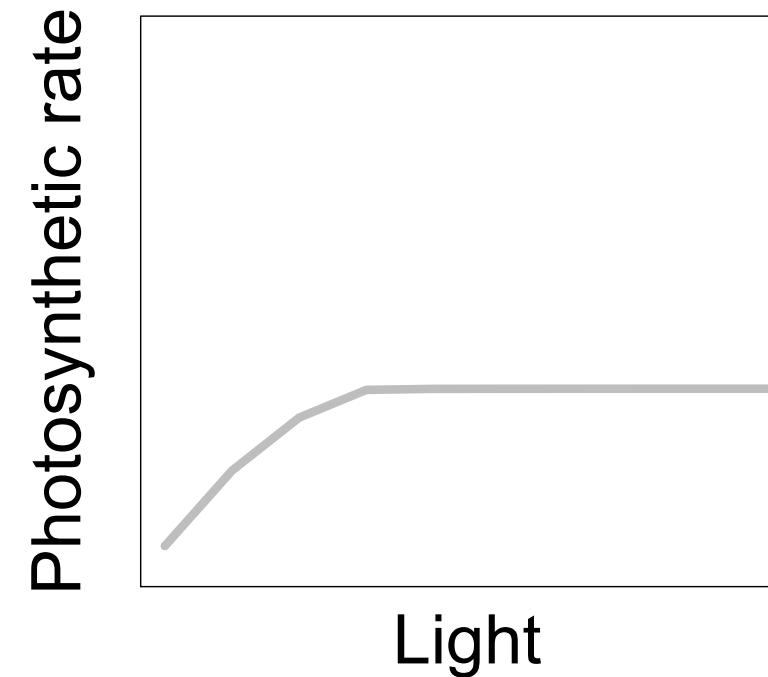


Dumb plants

Acclimated to high light



Acclimated to low light



# Acclimation is ubiquitous and well known...

CO<sub>2</sub>: Bazzaz (1990)

*Ann. Rev. Ecol. Syst.* 1990. 21:167–96  
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THE RESPONSE OF NATURAL ECOSYSTEMS TO THE RISING GLOBAL CO<sub>2</sub> LEVELS

F. A. Bazzaz

Light: Boardman (1977)

*Ann. Rev. Plant Physiol.* 1977. 28:355–77  
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COMPARATIVE PHOTOSYNTHESIS OF SUN AND SHADE PLANTS

N. K. Boardman  
Division of Plant Industry, CSIRO, Canberra City, A.C.T. 2601, Australia

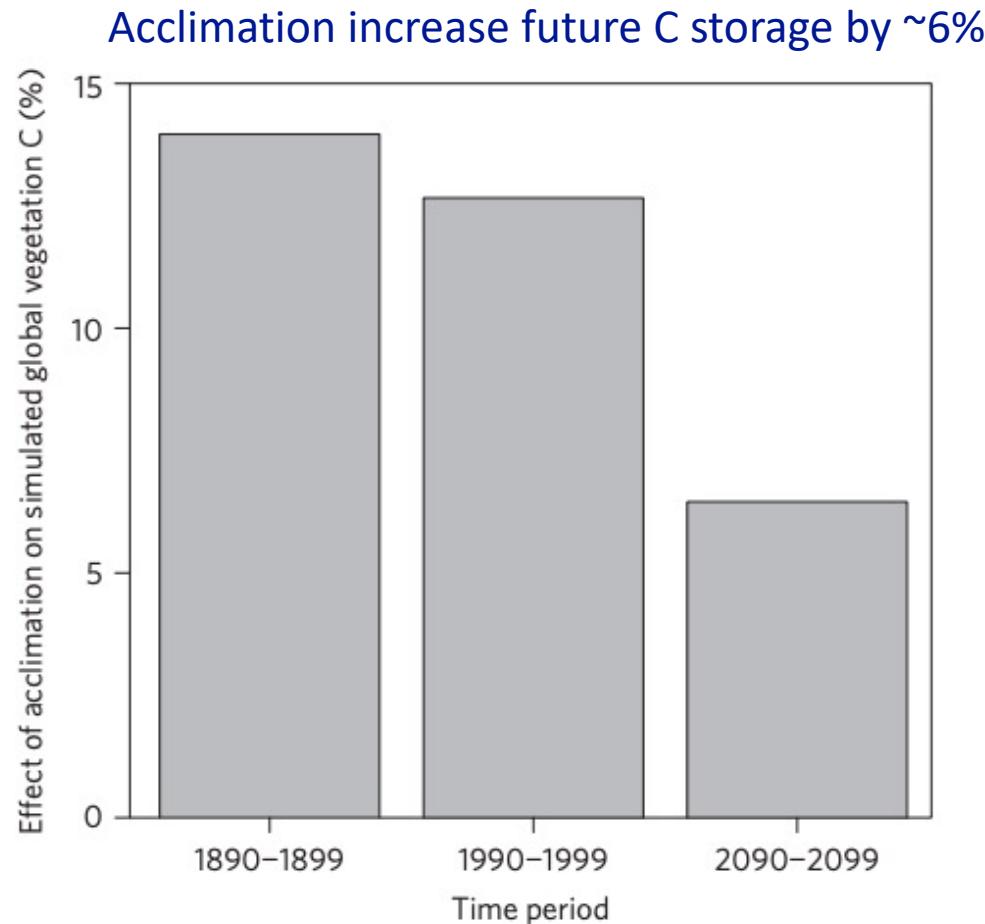
Temperature: Berry & Björkman (1980)

*Ann. Rev. Plant Physiol.* 1980. 31:491–543  
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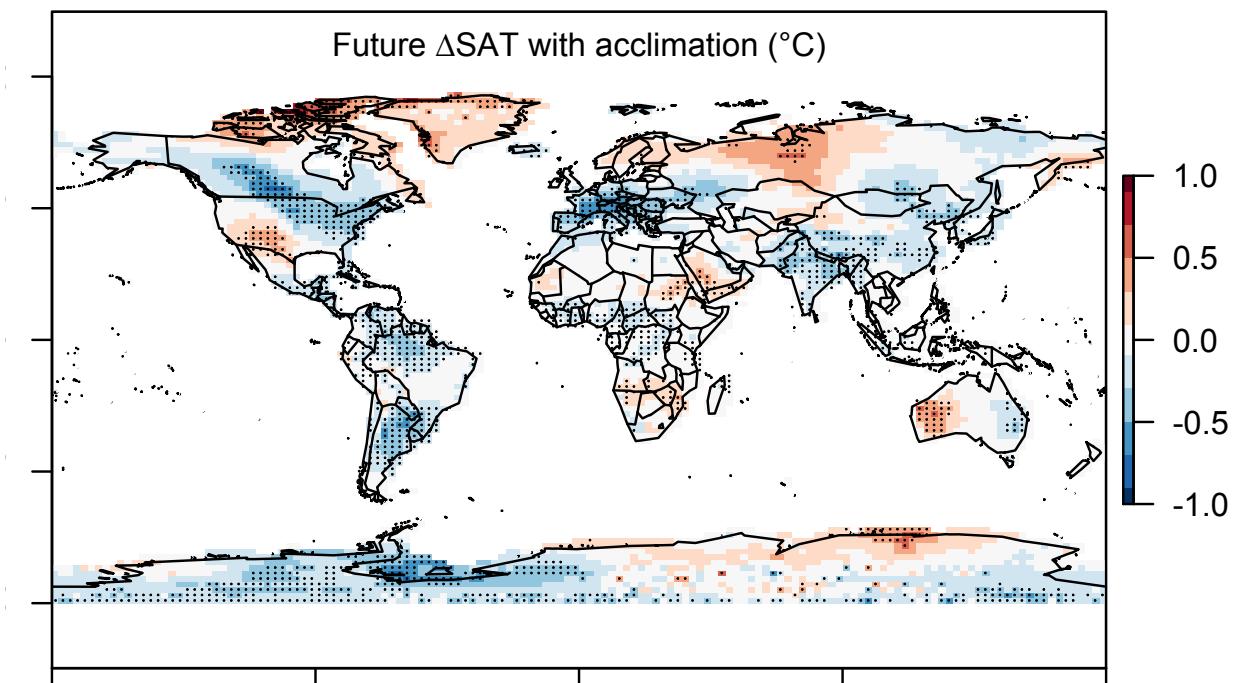
PHOTOSYNTHETIC RESPONSE AND ADAPTATION TO TEMPERATURE IN HIGHER PLANTS

Joseph Berry and Olle Björkman<sup>1</sup>

# ...and can impact carbon cycling and climate



Acclimation alters future temperature by >1°C

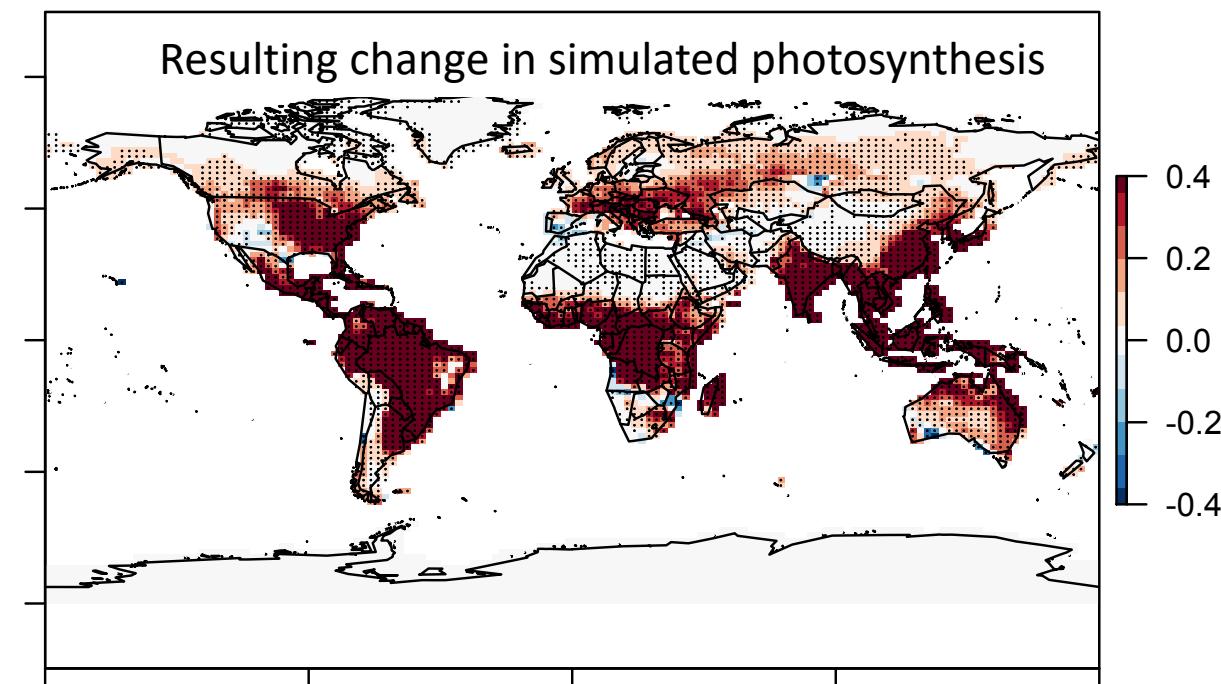
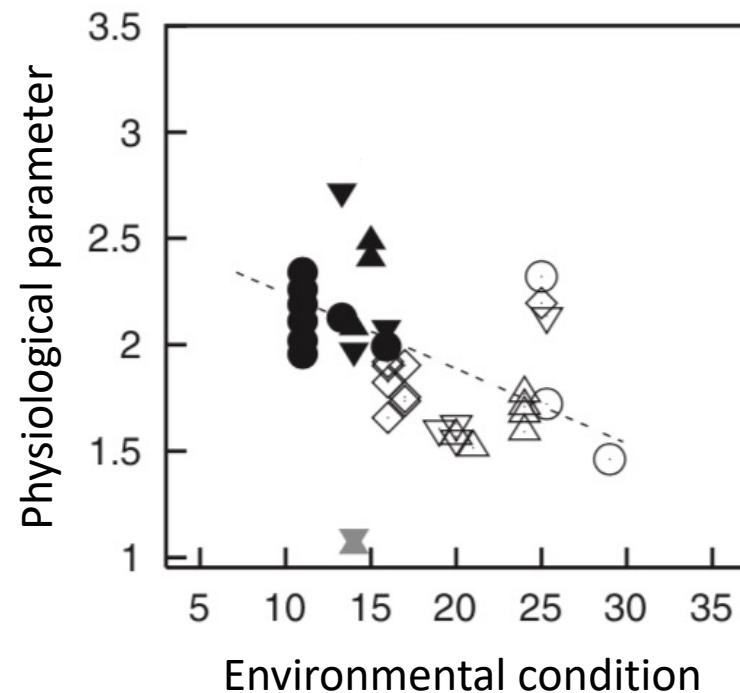


Unfortunately,  
no theoretical model for  
photosynthetic acclimation exists

Lack of theory results in...

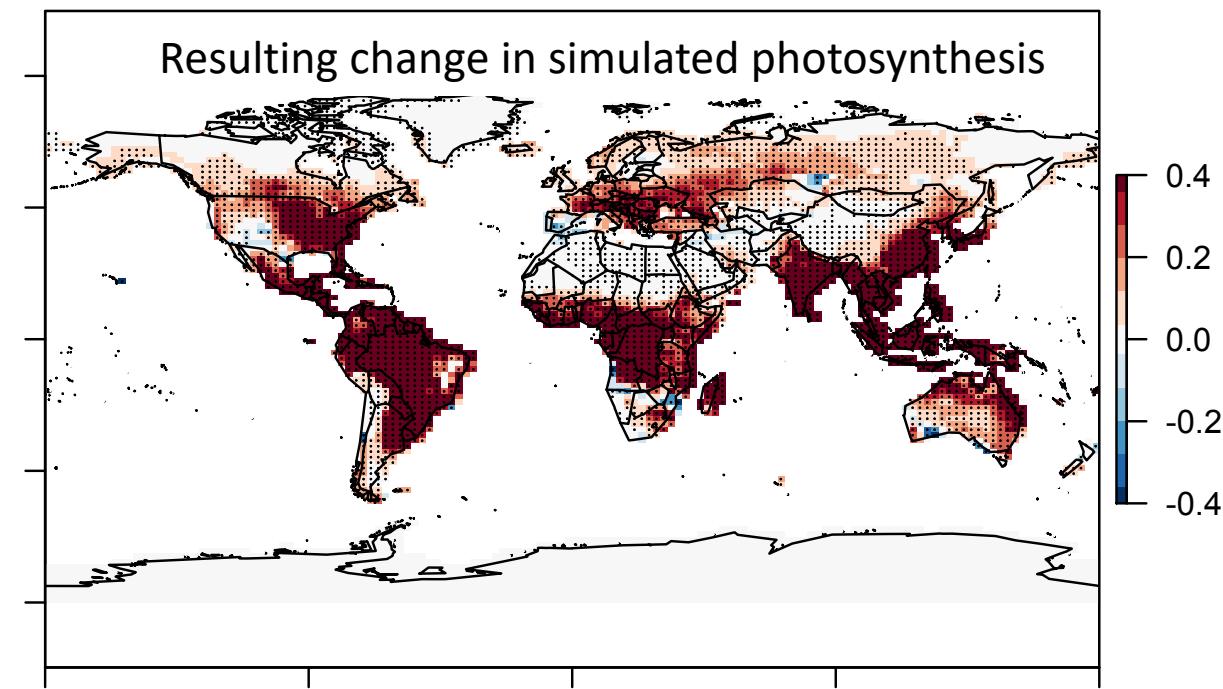
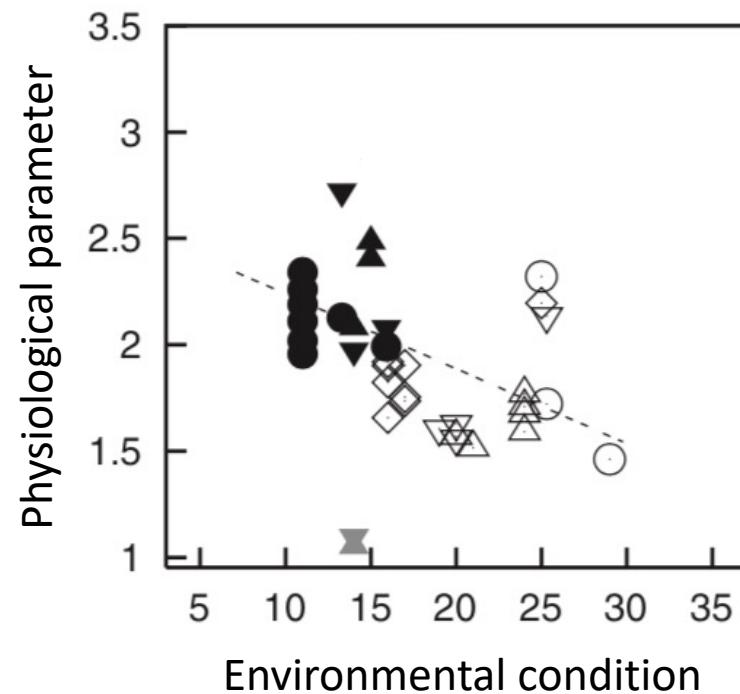
# Lack of theory results in...

- Unreliable future predictions (overparameterization, tuning)
  - Reliance on statistical models



# Lack of theory results in...

- Inability to test mechanisms



So, we developed a mechanistic model of photosynthetic acclimation

Based on **optimization** and the **first principles** of plant physiological theory

Tansley review |  [Free Access](#)

**Eco-evolutionary optimality as a means to improve vegetation and land-surface models**

Sandy P. Harrison , Wolfgang Cramer, Oskar Franklin, Iain Colin Prentice, Han Wang, Åke Brännström, Hugo de Boer, Ulf Dieckmann, Jaideep Joshi, Trevor F. Keenan, Aliénor Lavergne, Stefano Manzoni, Giulia Mengoli, Catherine Morfopoulos, Josep Peñuelas, Stephan Pietsch, Karin T. Rebel, Youngryel Ryu, Nicholas G. Smith, Benjamin D. Stocker, Ian J. Wright ... [See fewer authors](#) ^

# Optimization: Least cost theory

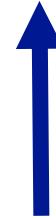
Optimally, plants will maintain  
fastest rate of photosynthesis at  
the lowest summed resource  
cost (water and nutrient use)

# Optimal photosynthesis

Photosynthesis =  $f\{\text{stomatal conductance,}$   
 $\text{photosynthetic biochemistry}\}$

# Optimal photosynthesis

Photosynthesis =  $f\{\text{stomatal conductance,}$   
 $\text{photosynthetic biochemistry}\}$



Must predict optimal rates of both

# Optimal photosynthesis

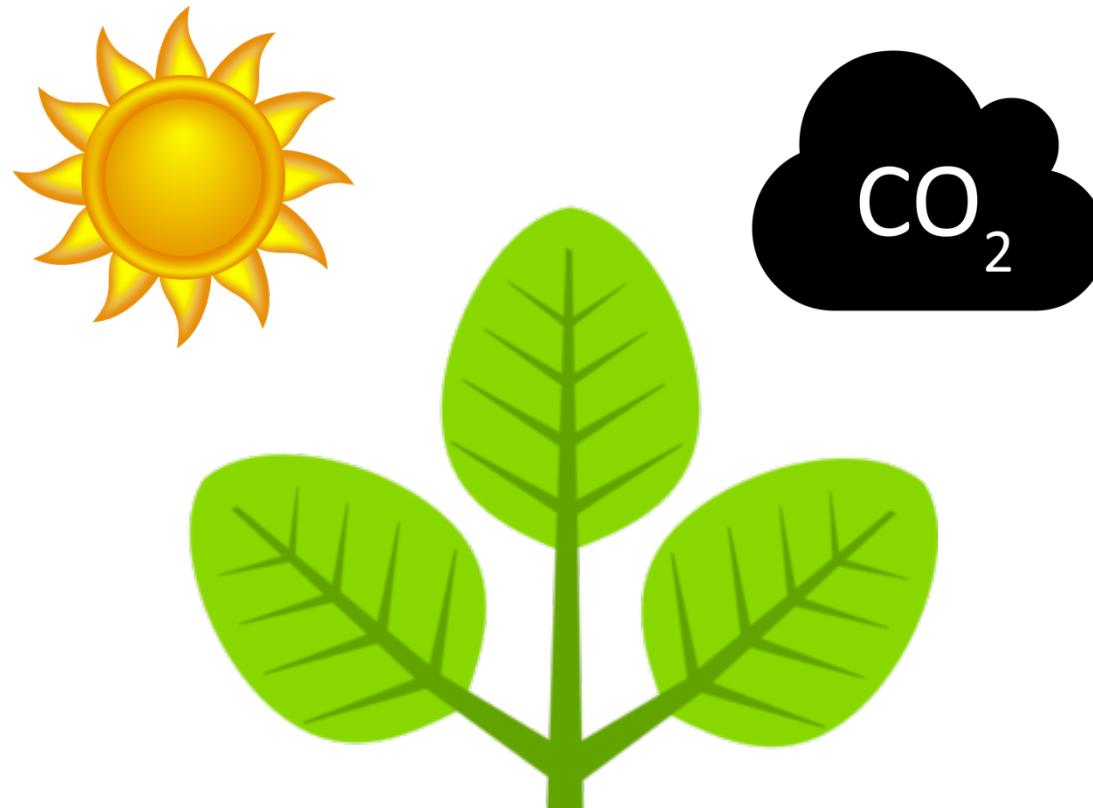
Photosynthesis =  $f\{\text{stomatal conductance,}$   
 $\text{photosynthetic biochemistry}\}$

[TALK TO ME LATER ABOUT THIS IF YOU ARE INTERESTED]

# Optimal photosynthesis

Photosynthesis =  $f\{\text{stomatal conductance,}$   
**photosynthetic biochemistry}**

# Biochemistry optimization

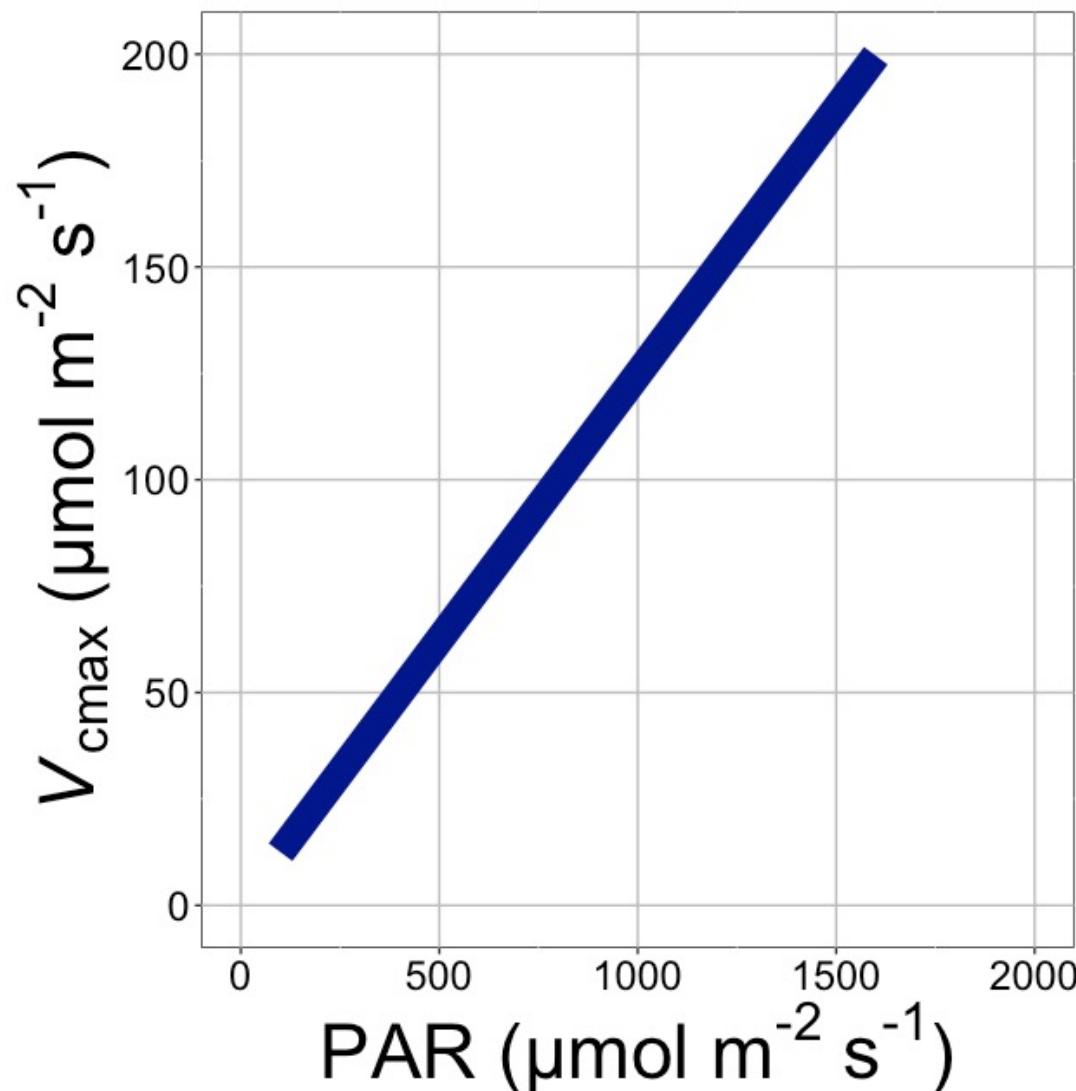


Plant  
biochemistry  
setup will aim for  
equal limitation  
by all factors

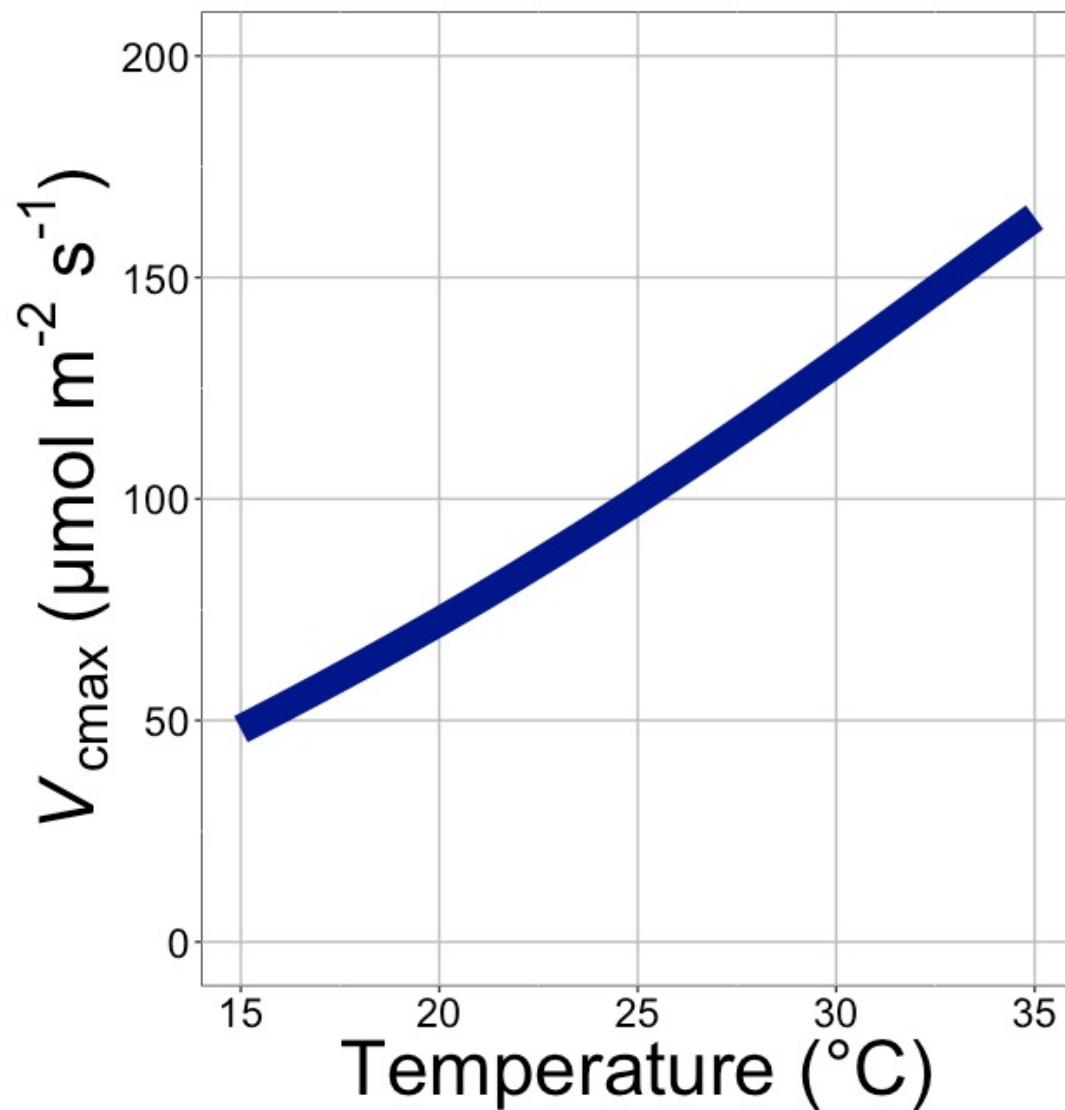
[TALK TO ME LATER ABOUT THE MATHS]

## Biochemistry trait

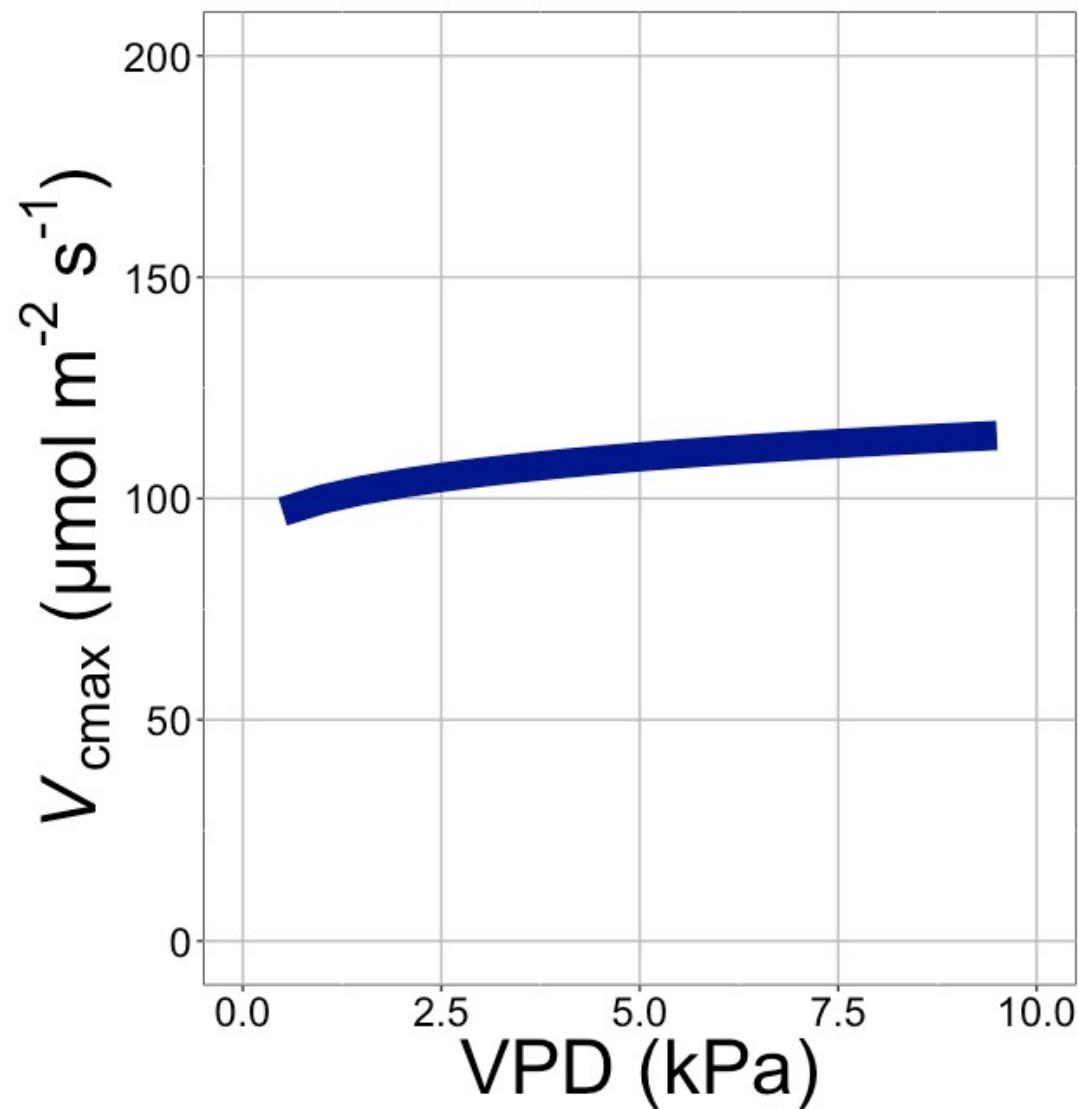
The maximum rate of Rubisco carboxylation ( $V_{cmax}$ )



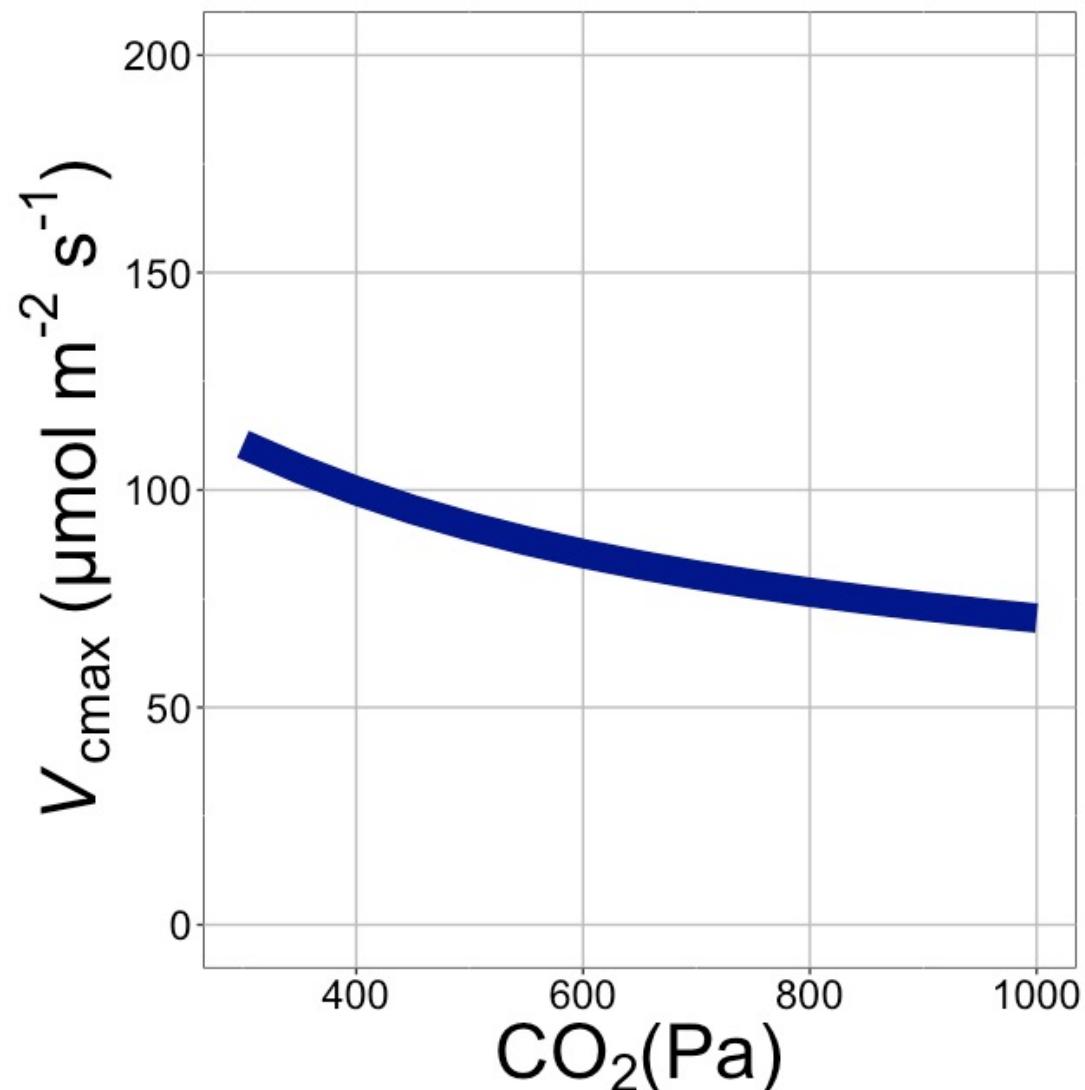
$V_{c\text{max}}$  increases  
with light because  
of greater electron  
transport



$V_{c\max}$  increases  
with temperature  
because of greater  
electron transport  
and  
photorespiration

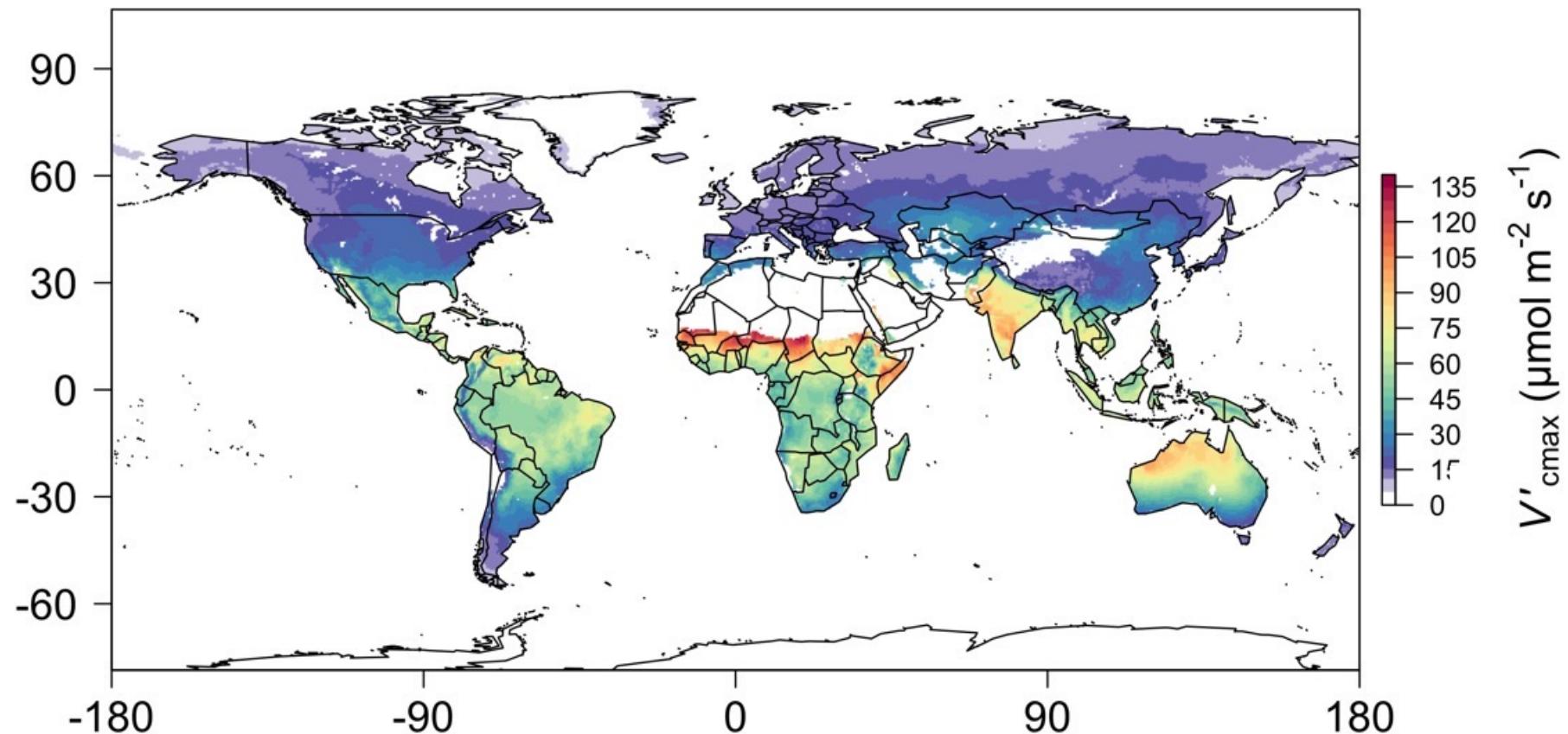


$V_{c\max}$  increases  
with VPD because  
of lower stomatal  
conductance



$V_{c\max}$  decreases  
with  $\text{CO}_2$  because  
of greater  $\text{CO}_2$  in  
the leaf

We can predict optimal traits in different environments



Ok, great, but now what?

We can use the theory as a null model to explore acclimation mechanisms

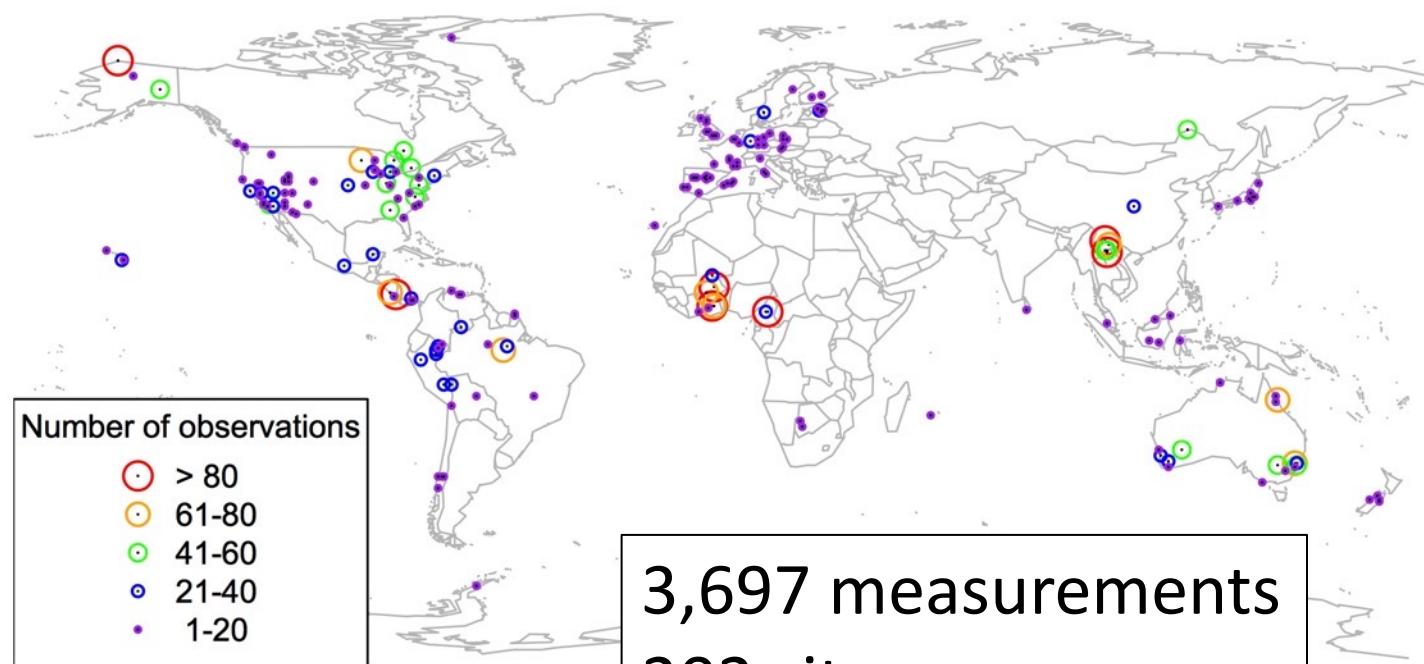
Let's tackle some big questions in  
plant ecophysiology!

# Big questions

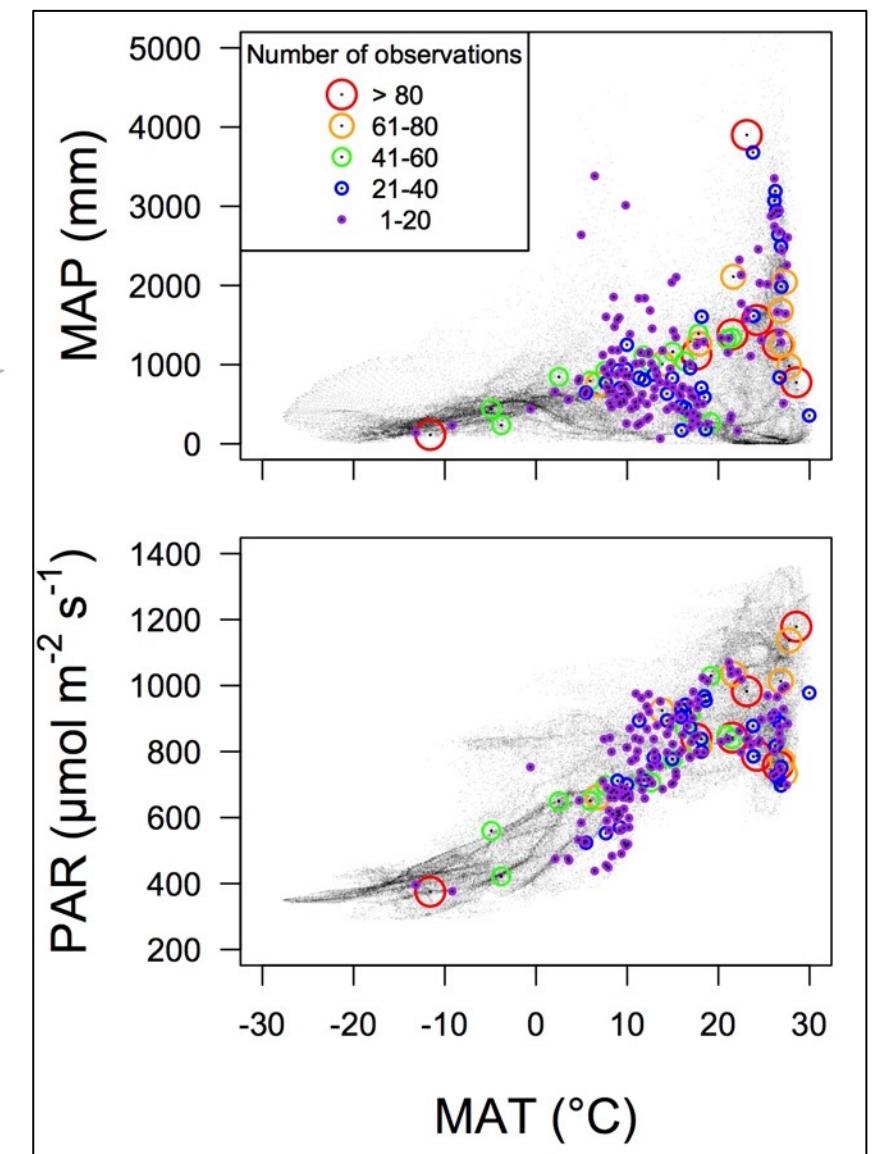
1. Is photosynthesis optimized to the environment?
2. Does photosynthesis acclimate to soil nutrients?
3. What does acclimation mean for future terrestrial biogeochemical cycling?
4. When is C<sub>4</sub> photosynthesis an advantage over C<sub>3</sub> photosynthesis?

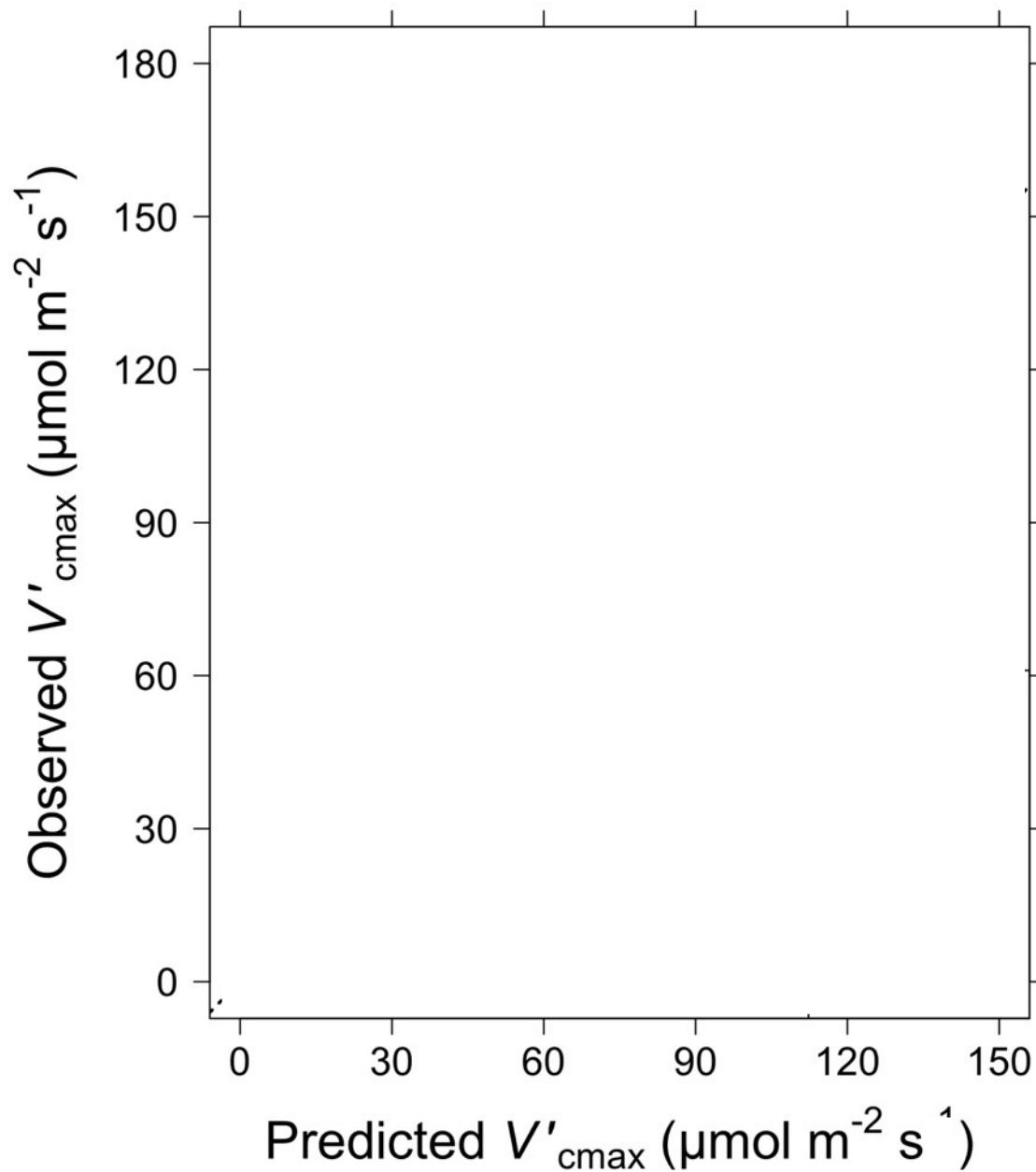
Question 1: Is photosynthesis  
optimized to the environment?

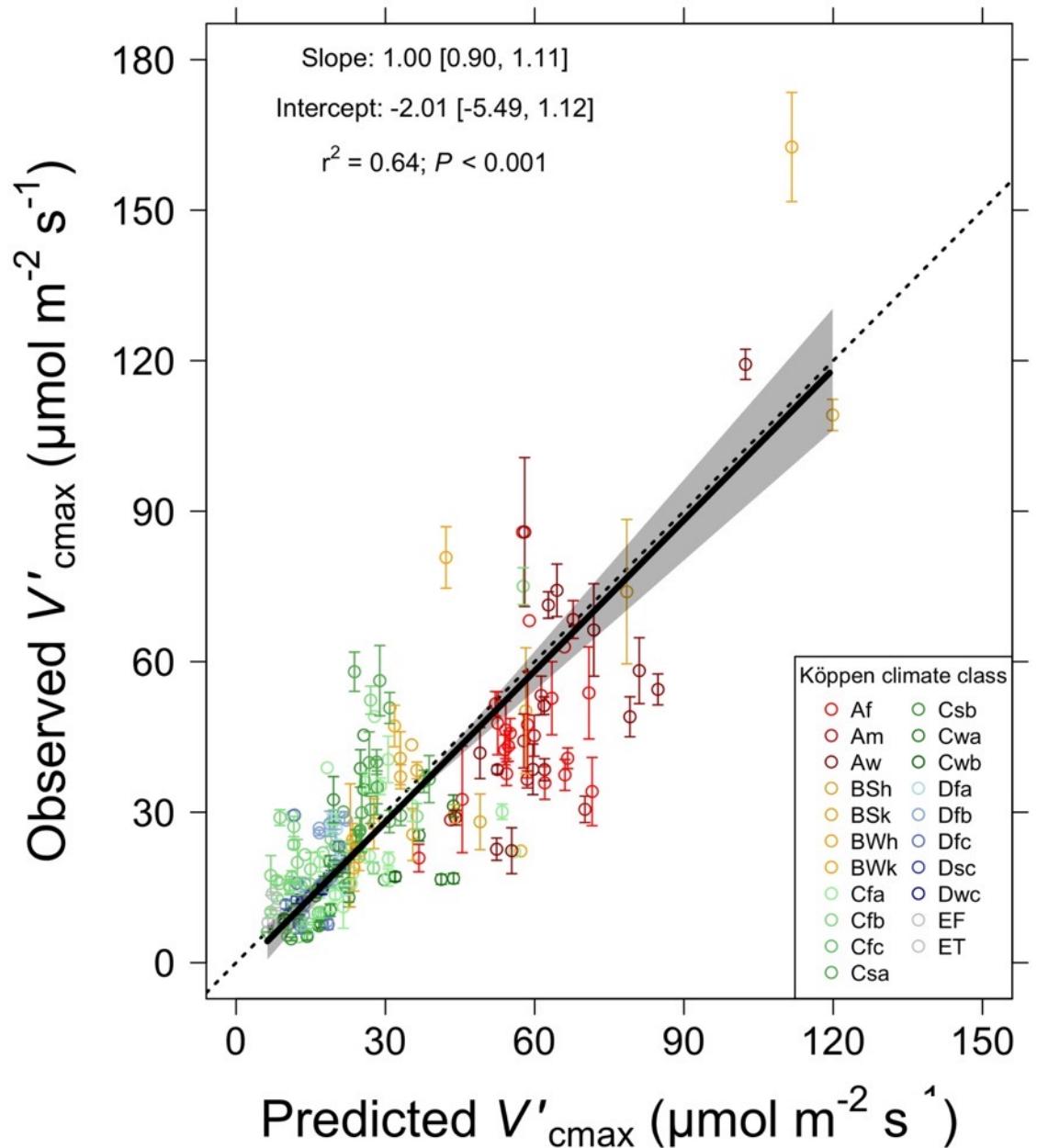
# Global $V_{cmax}$ dataset



3,697 measurements  
202 sites  
> 600 genera





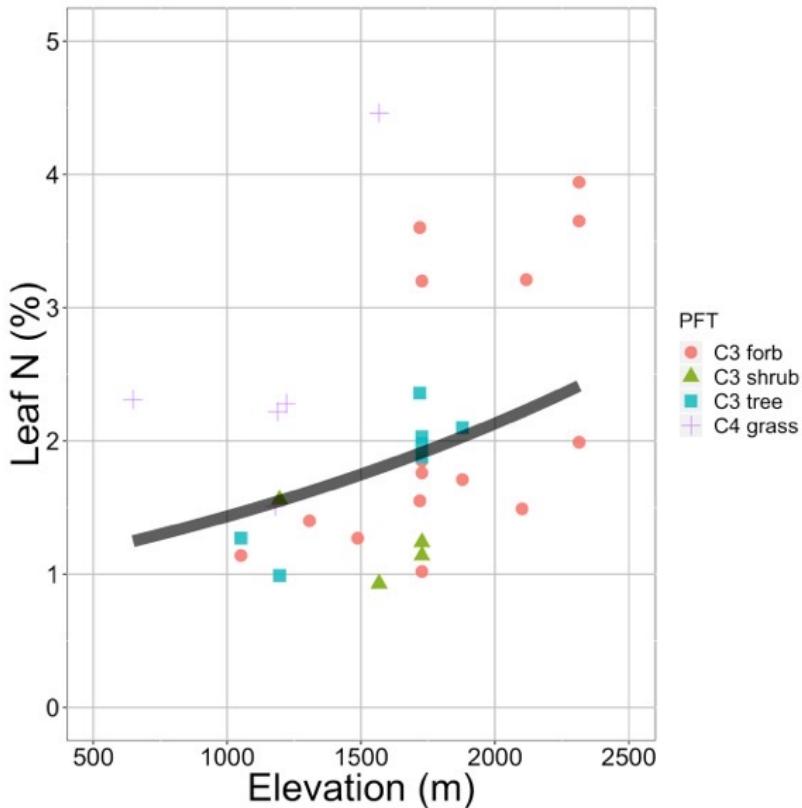
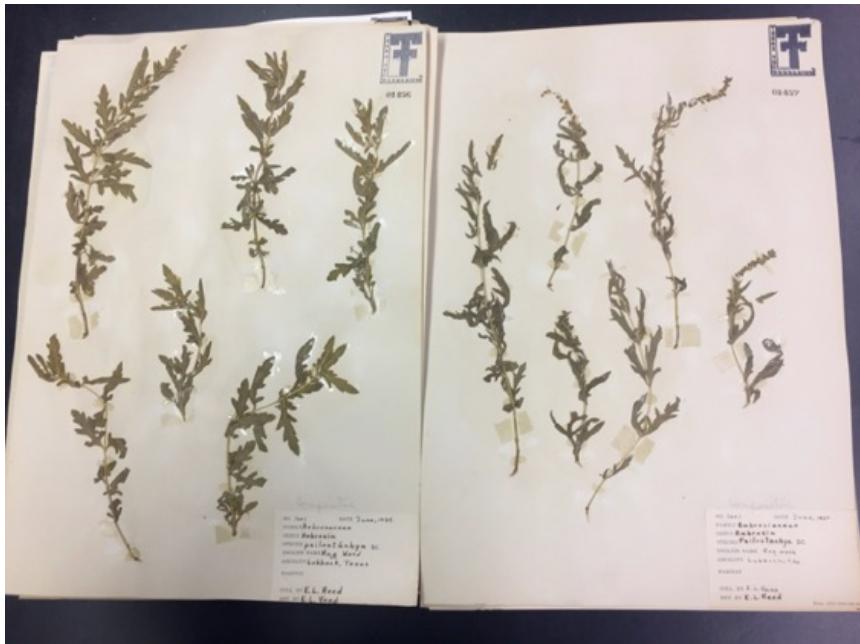


Optimal  $V'_{\text{cmax}}$  is  
similar to  
observed  
values

Question 1: Is photosynthesis optimized to the environment?

YES! Photosynthesis acclimates spatially as expected from optimization

# Looking forward: herbarium specimens to examine acclimation over space and time



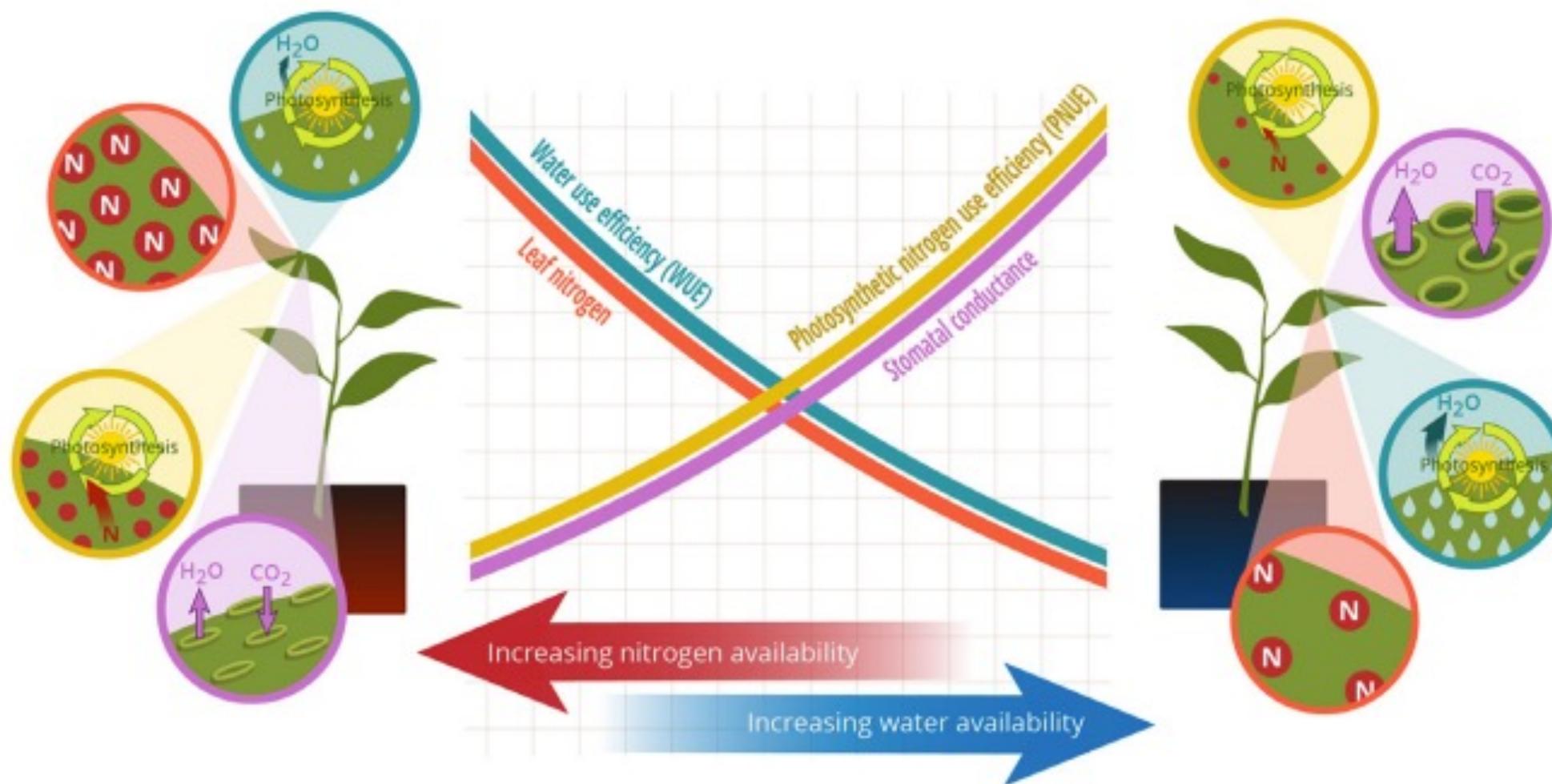
Plants increased leaf N investment with elevation. This response was predicted by acclimation theory.

Question 2: Does photosynthesis  
acclimate to soil nutrients?

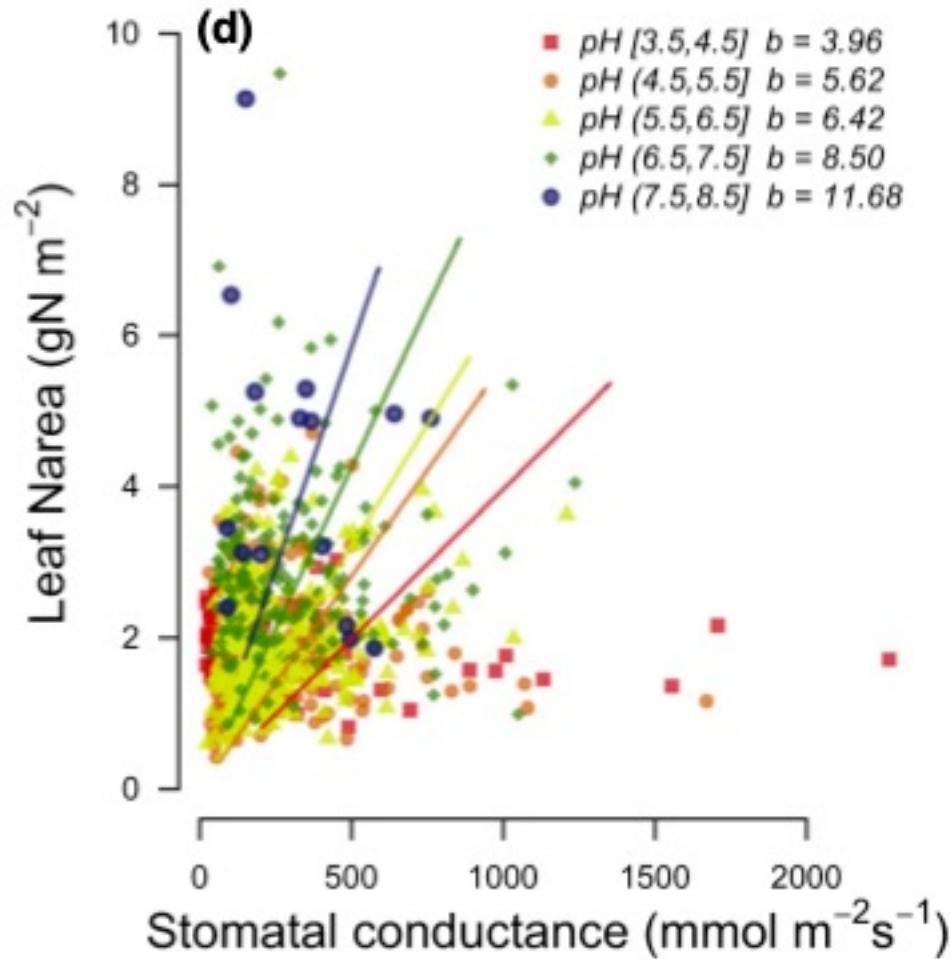
From the least cost hypothesis...

Added nutrients will not increase photosynthesis  
because light limitation will kick in

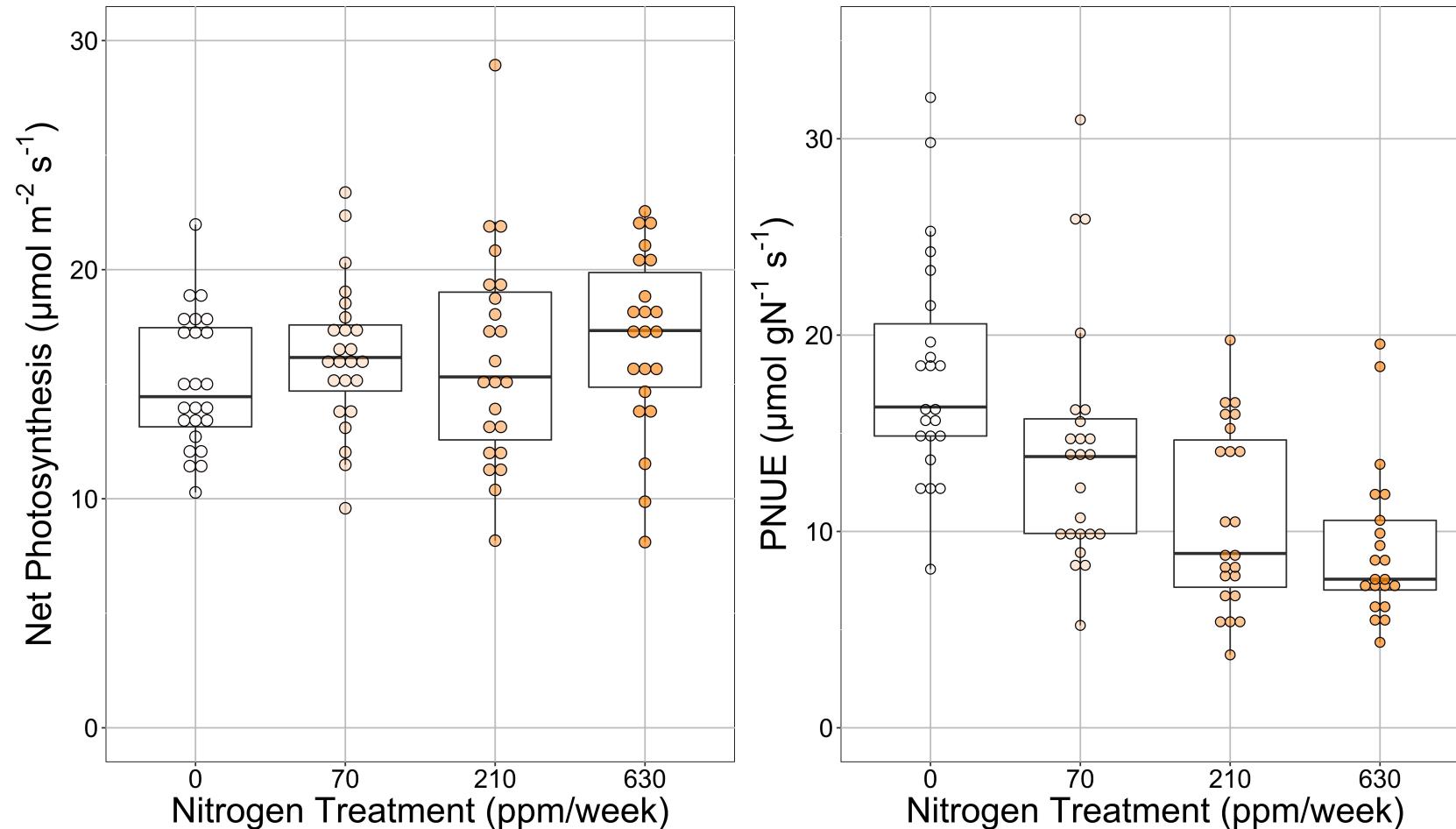
# From the least cost hypothesis...



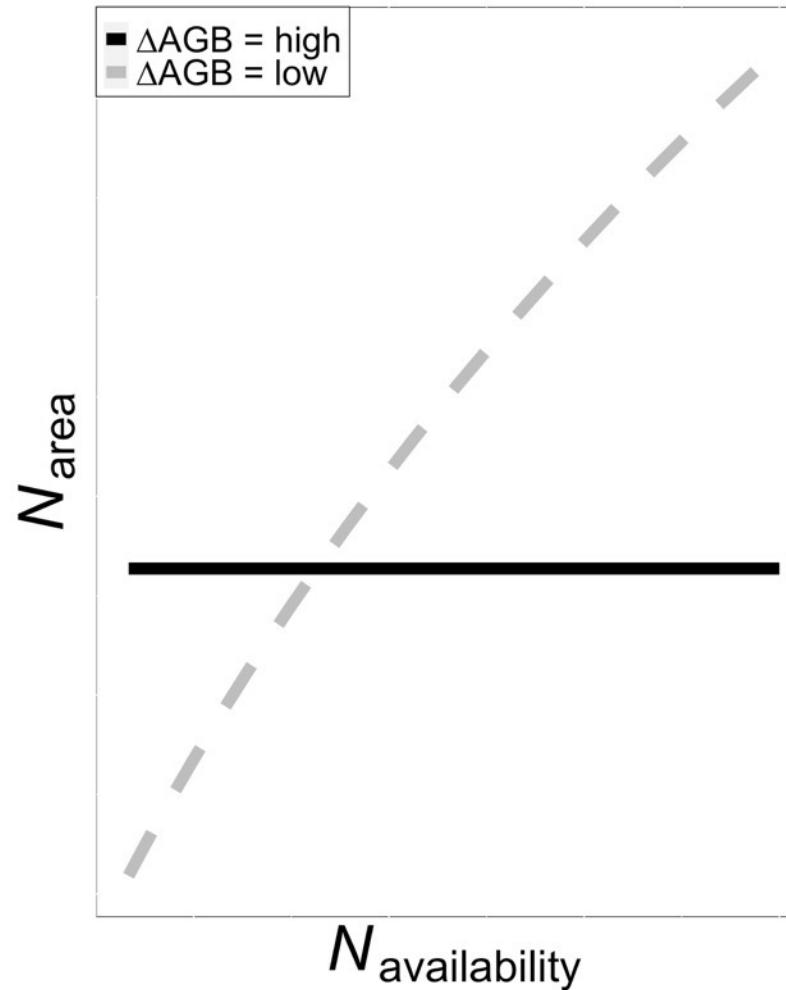
# Observations show support for least cost



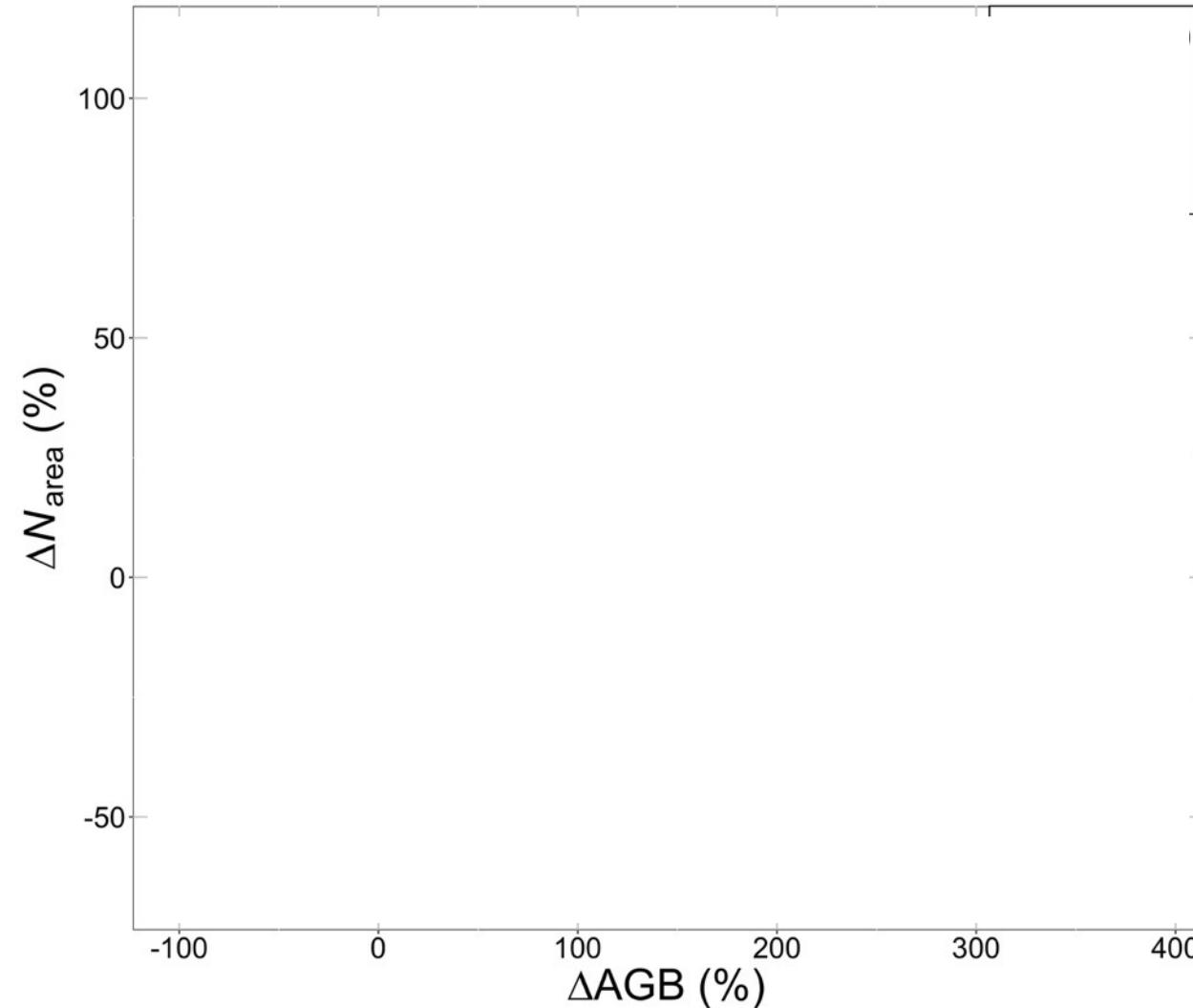
# As do greenhouse experiments



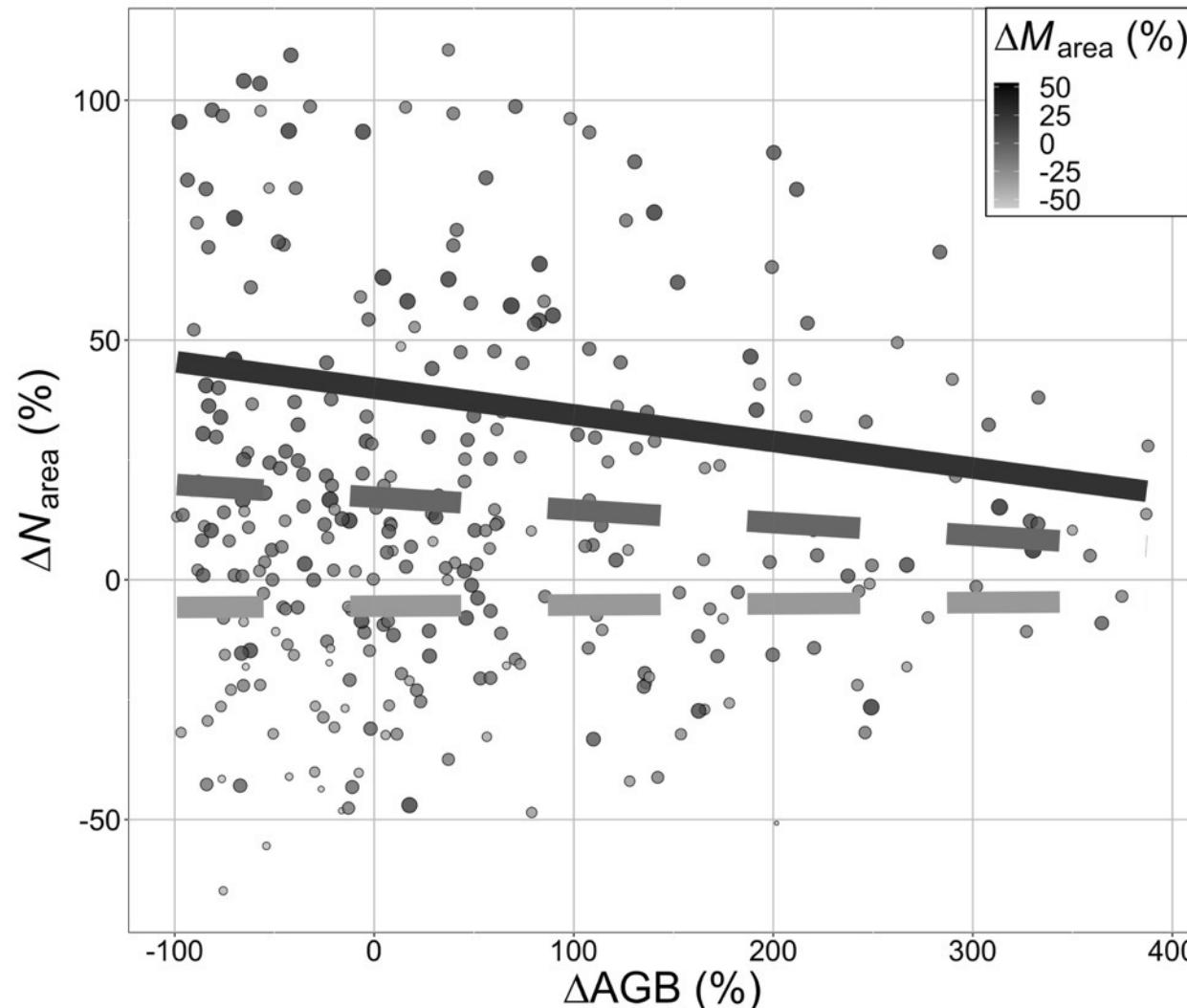
Is plant allocation to leaf N dependent on allocation to biomass?



# Is plant allocation to leaf N dependent on allocation to biomass?



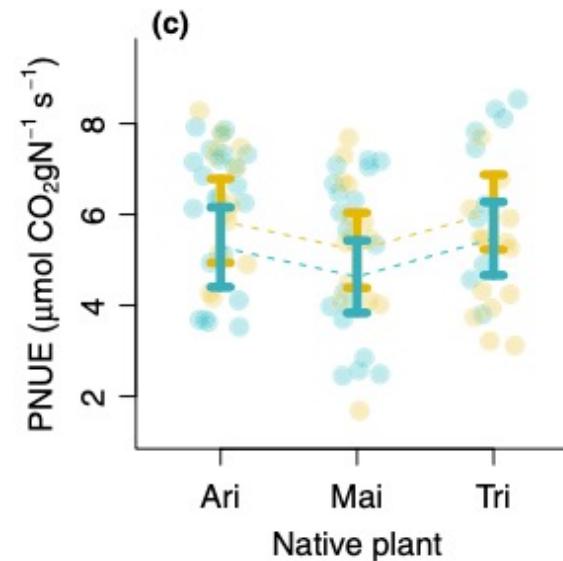
To see a leaf N response to soil N there must be (1) added investment to leaves and (2) small investment to biomass



Question 2: Does photosynthesis  
acclimate to soil nutrients?

Yes, although this is dependent on  
whole-plant allocation decisions

# Looking forward: impact of allelopathic invaders on leaf economics



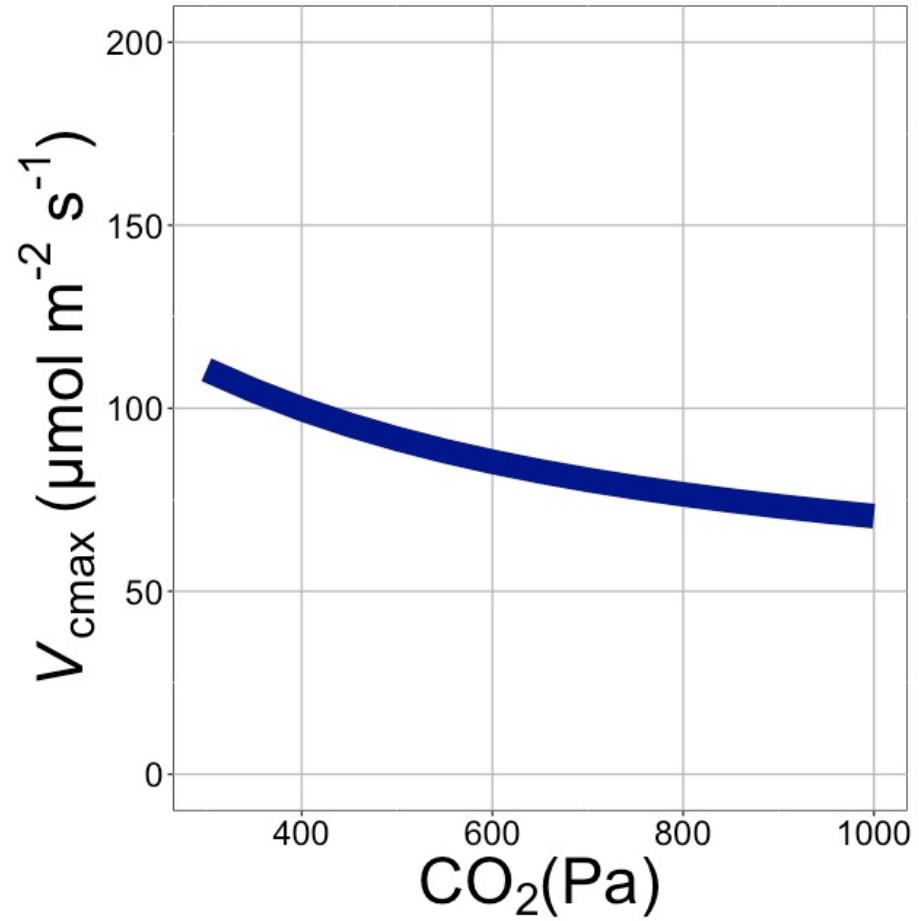
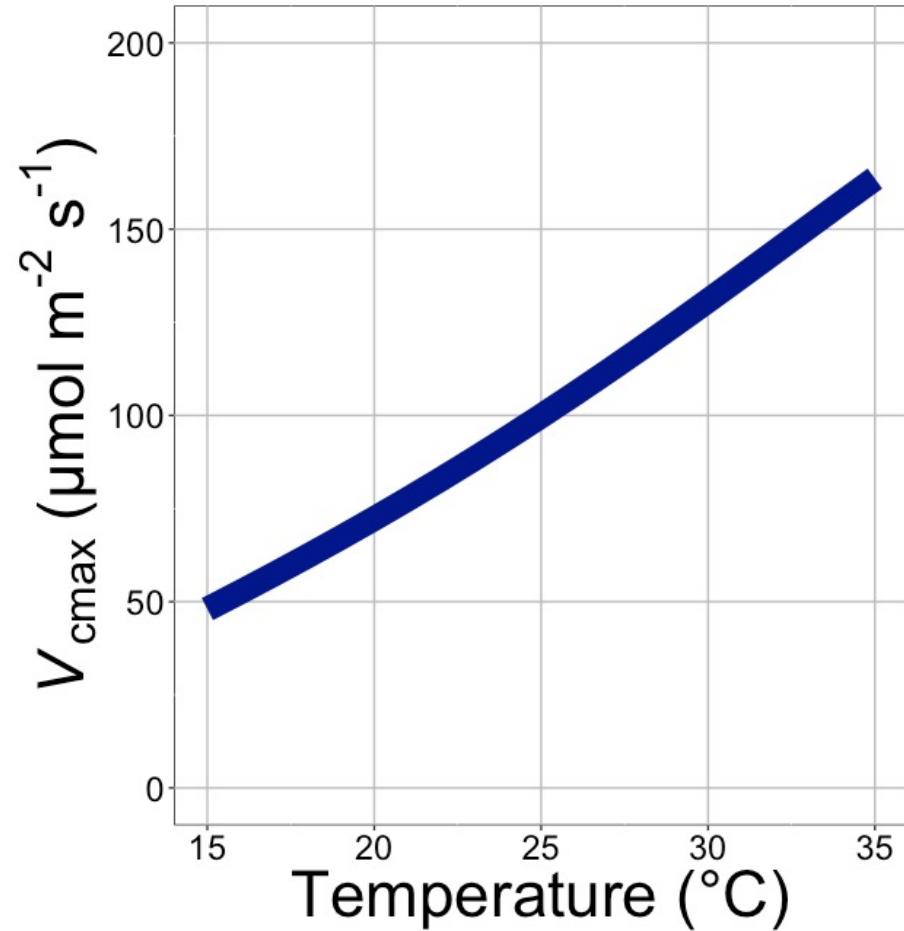
Allelopathic invasion (gold) increased photosynthetic N use efficiency of native plants. This response was predicted by acclimation theory.

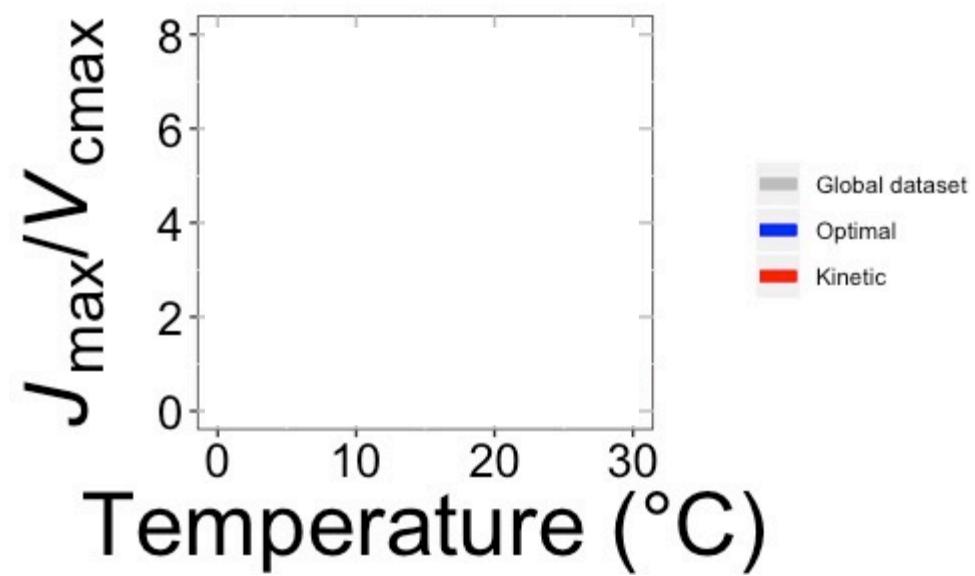
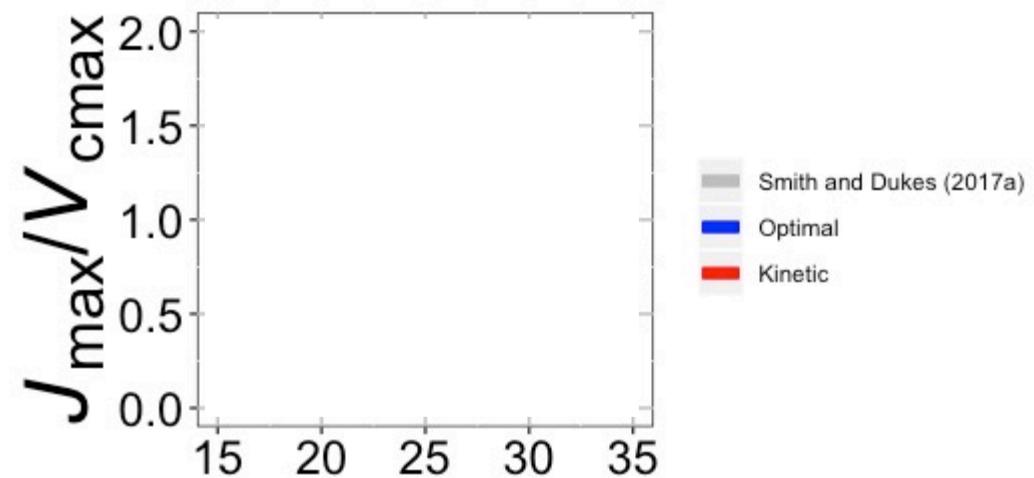
Question 3: What does acclimation mean for future terrestrial biogeochemical cycling?

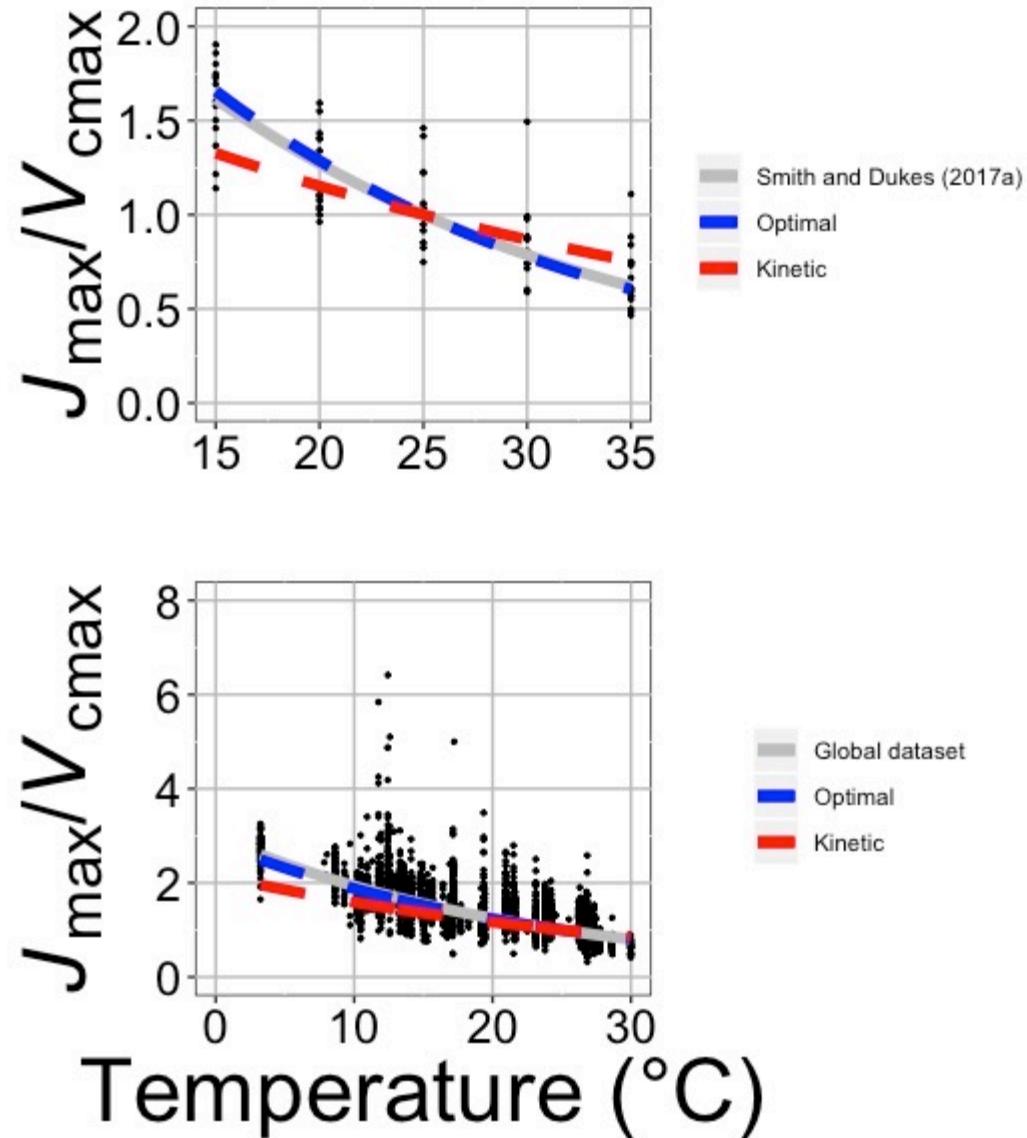


Energy Exascale  
Earth System Model

# Expected future responses



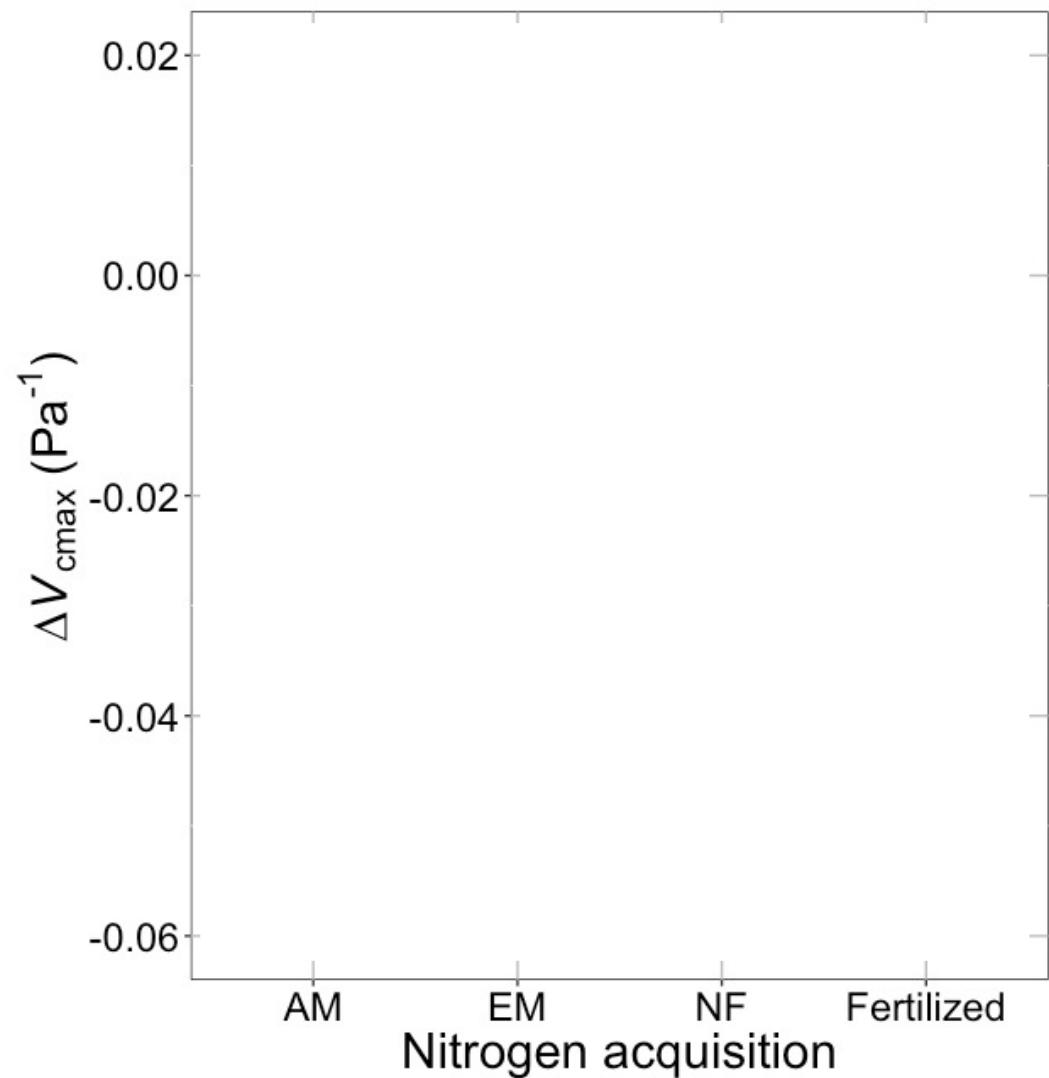


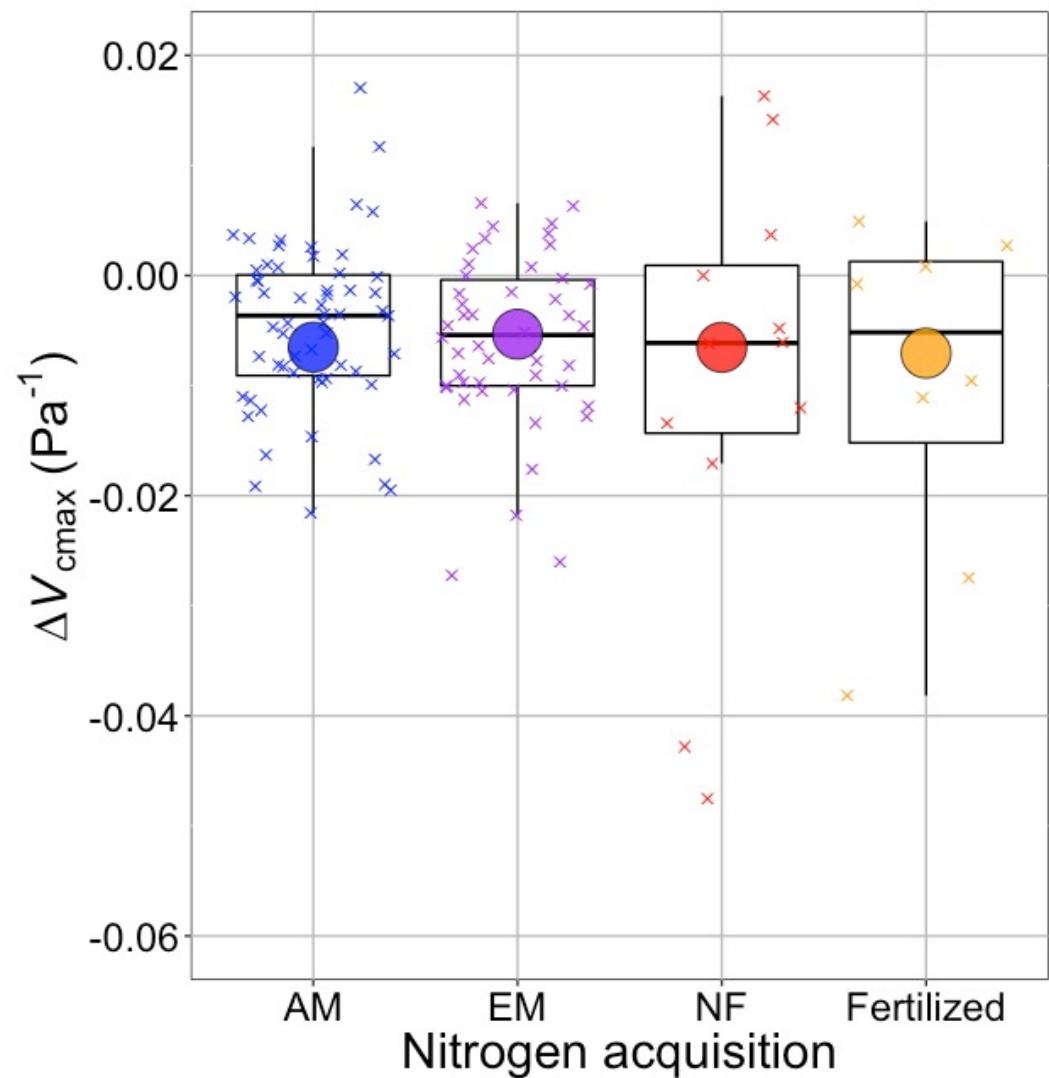


Photosynthetic traits change with temperature in ways expected from optimization

Data =  $-0.051 \text{ } ^{\circ}\text{C}^{-1}$

Predicted =  $-0.048 \text{ } ^{\circ}\text{C}^{-1}$

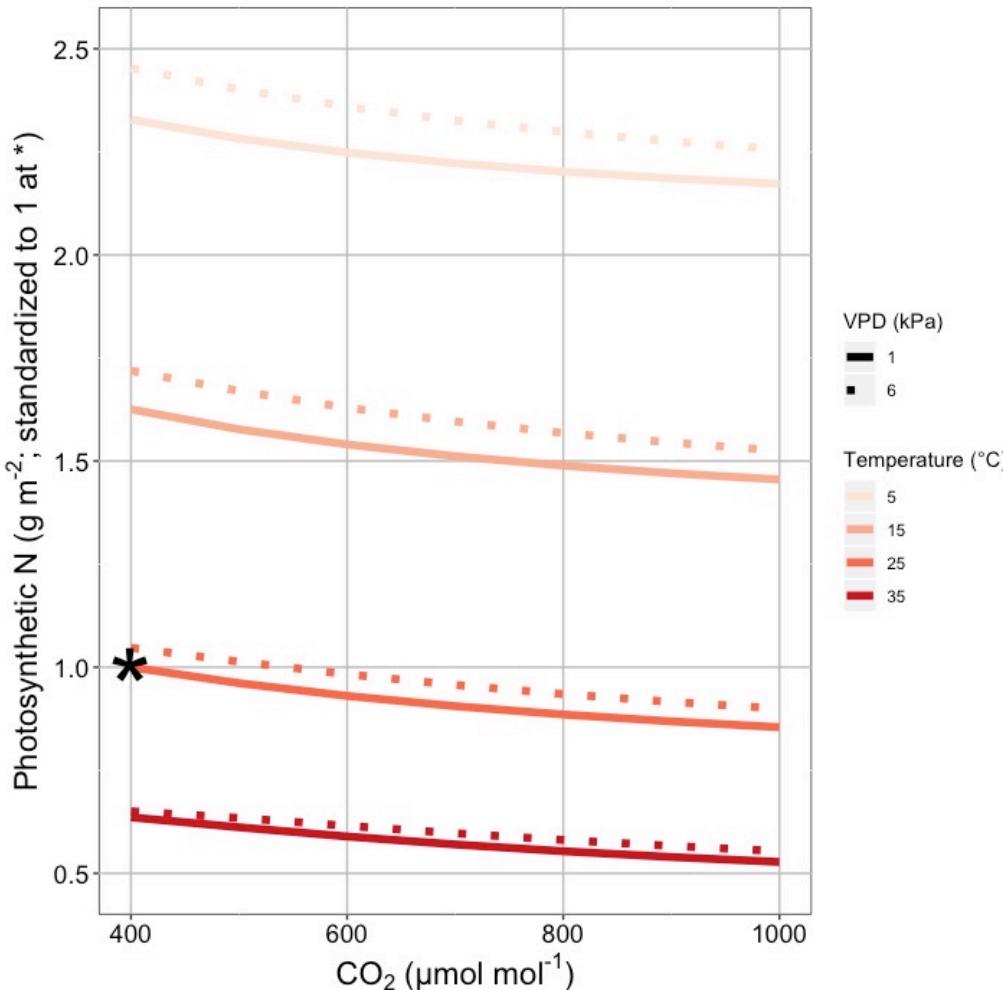




$V_{\text{cmax}}$  changes with  $\text{CO}_2$  in ways expected from optimization

Boxes = data =  $-0.0063 \text{ Pa}^{-1}$   
Circles = predicted =  $-0.0066 \text{ Pa}^{-1}$

This generally suggests lower nitrogen demand under warmer, high CO<sub>2</sub> conditions

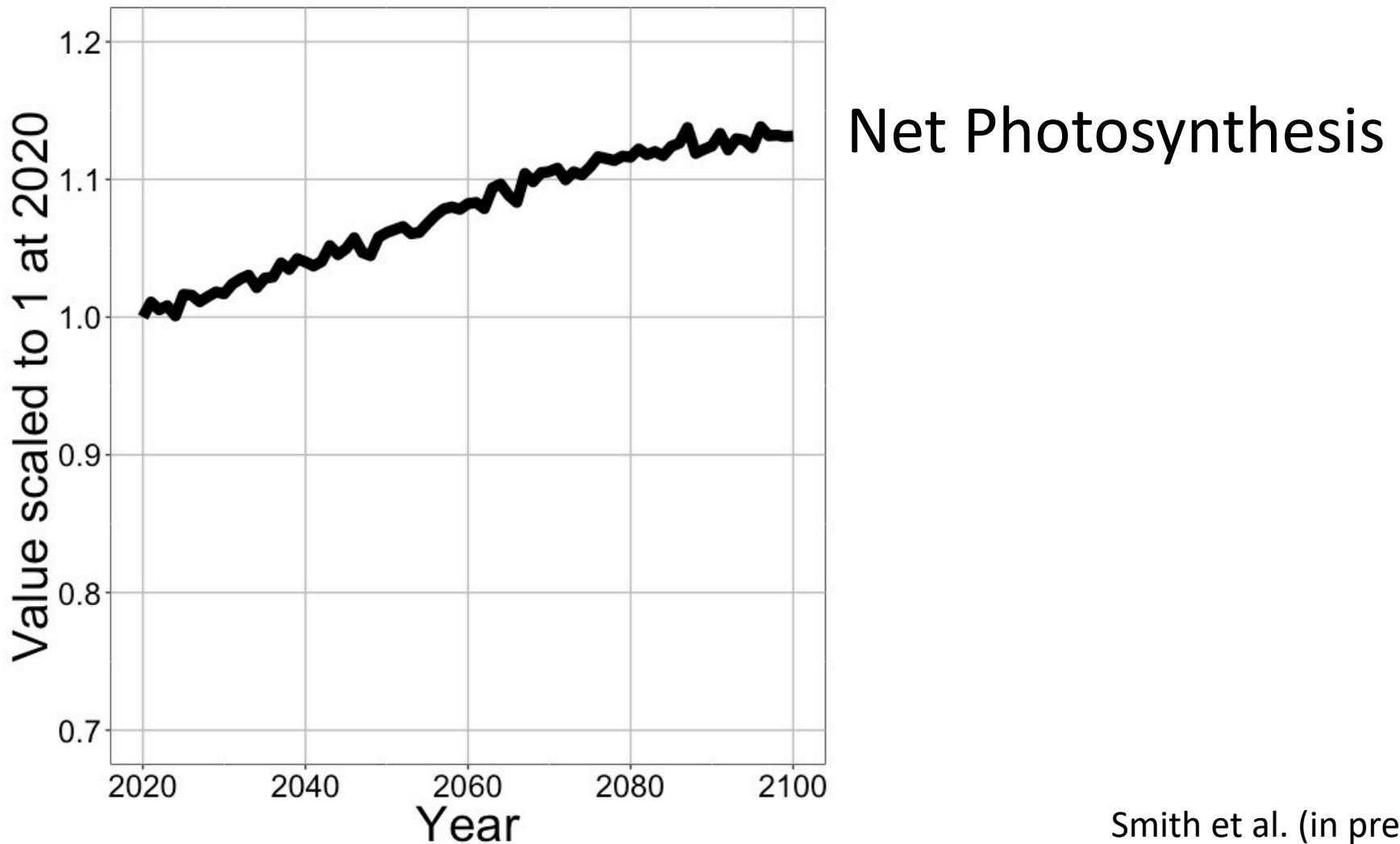


Let's run a model out into the future!

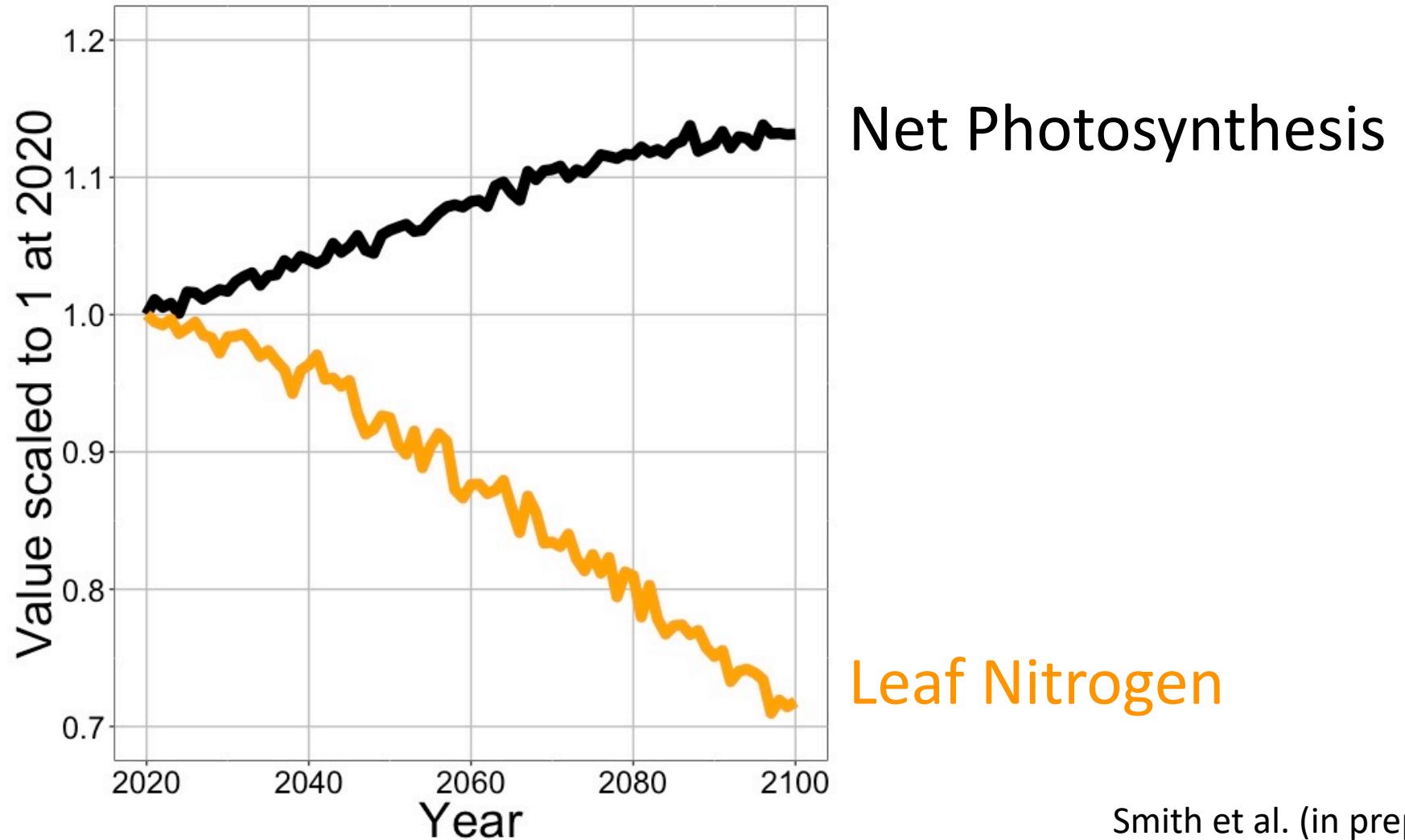


Energy Exascale  
Earth System Model

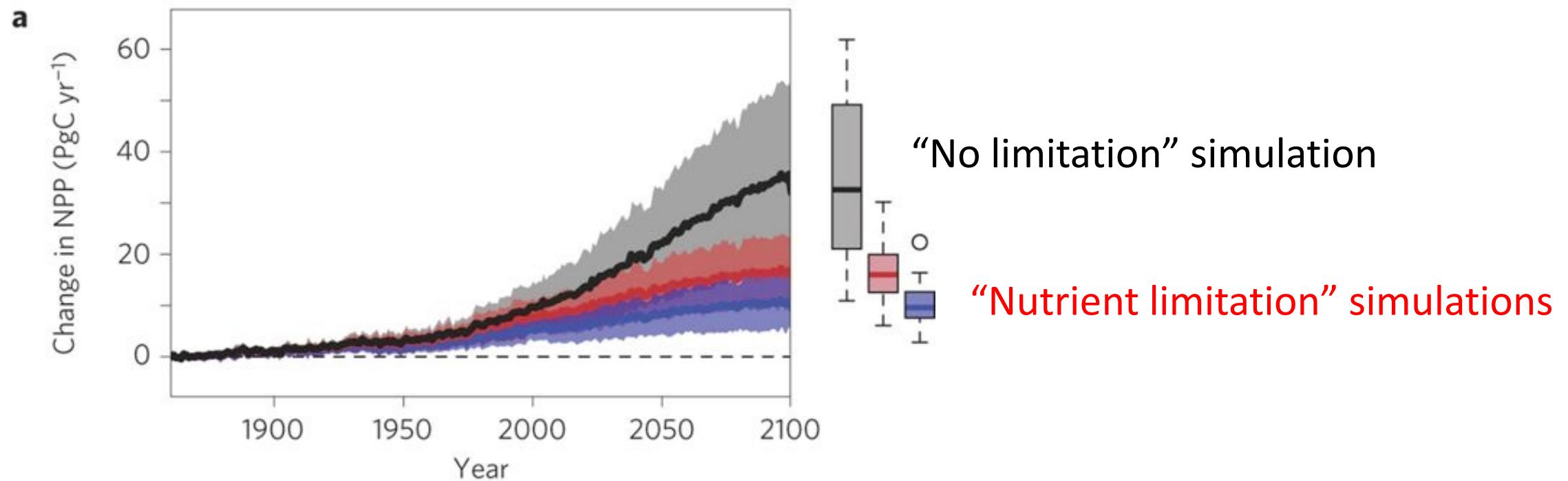
# Photosynthesis increases in future



# Photosynthesis increases in future (at lower nutrient use)



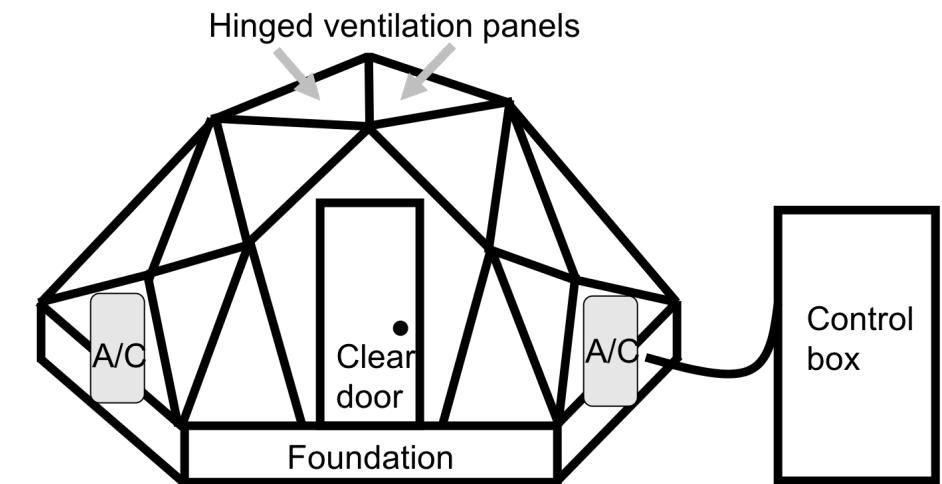
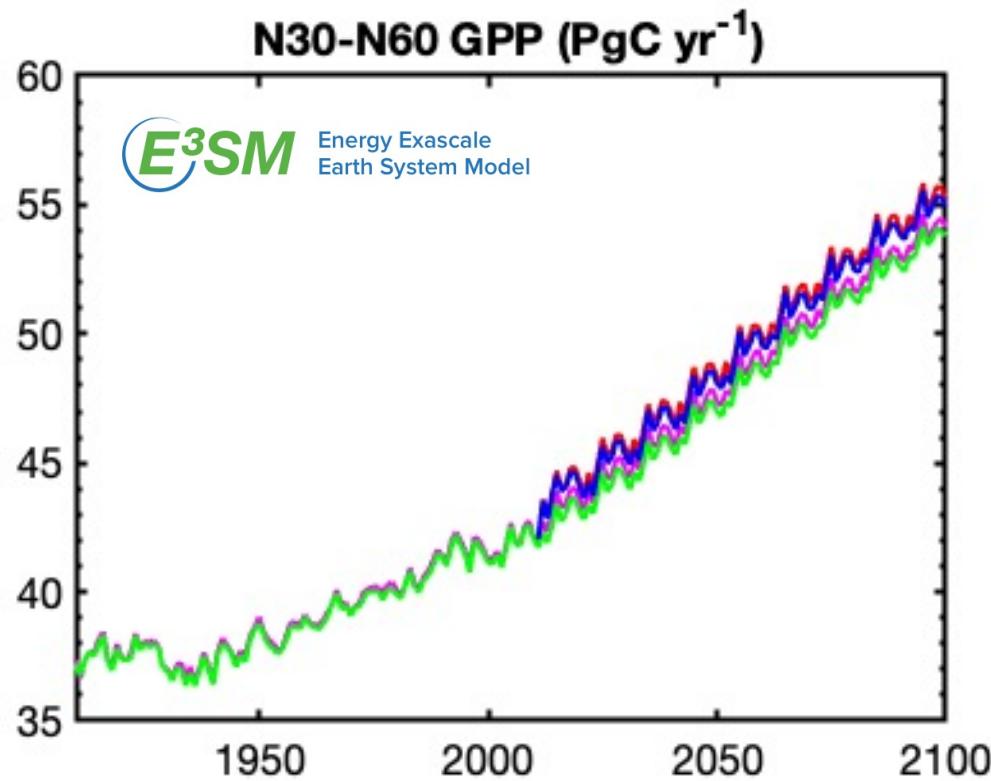
# Need to rethink nutrient limitation in models?



Question 3: What does acclimation mean for future terrestrial biogeochemical cycling?

Photosynthesis will increase and per-leaf-area nutrient use will decrease

# Looking forward: role of acclimation on future ecosystem feedbacks



Coupled model-data experiments

Question 4: When is C<sub>4</sub> photosynthesis an advantage over C<sub>3</sub> photosynthesis?

# $C_3$ versus $C_4$ optimization

# $C_4$ versus $C_3$ optimization

$C_4$  photosynthesis has...

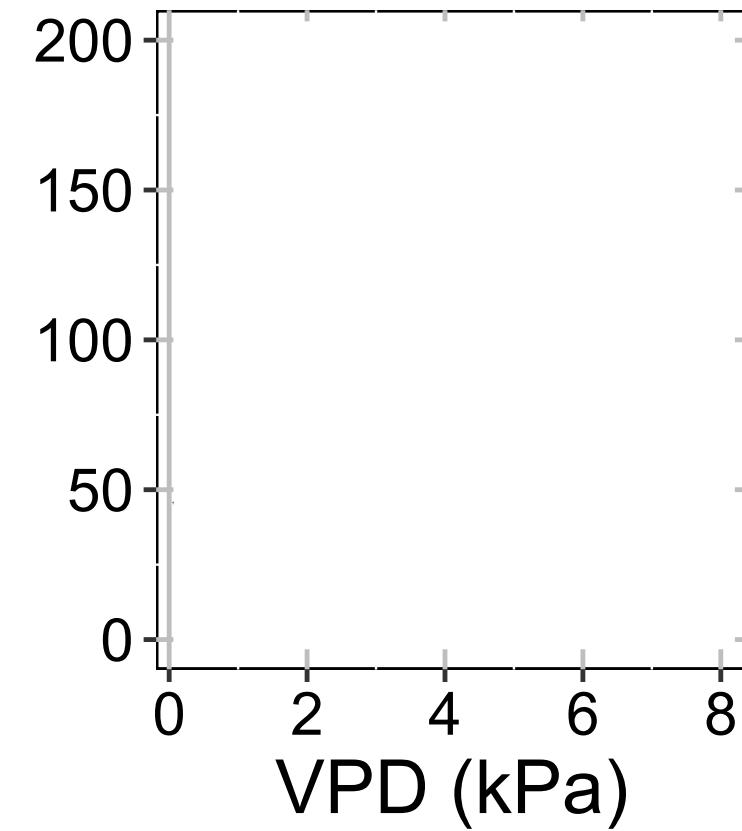
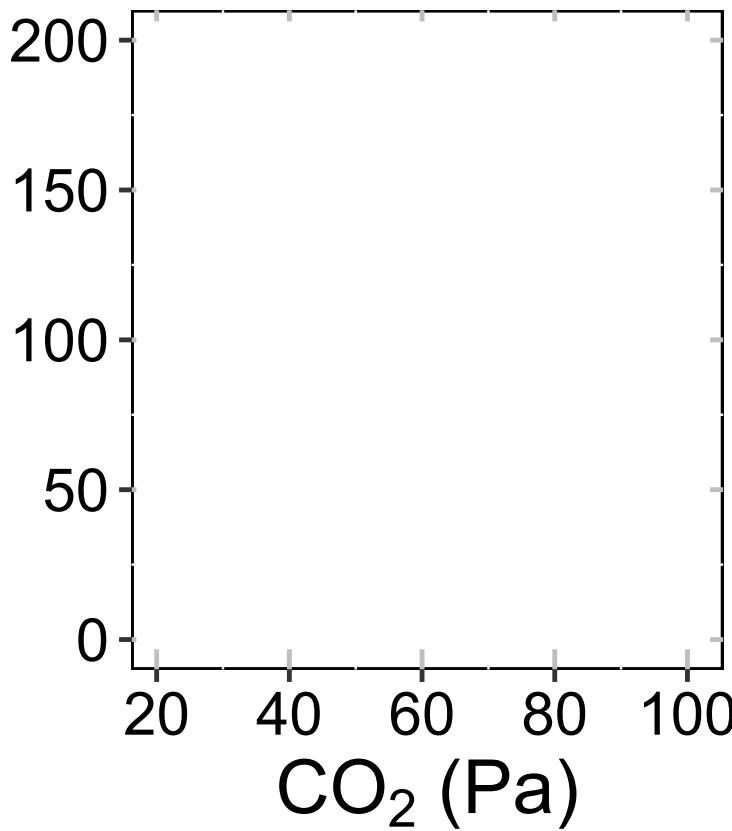
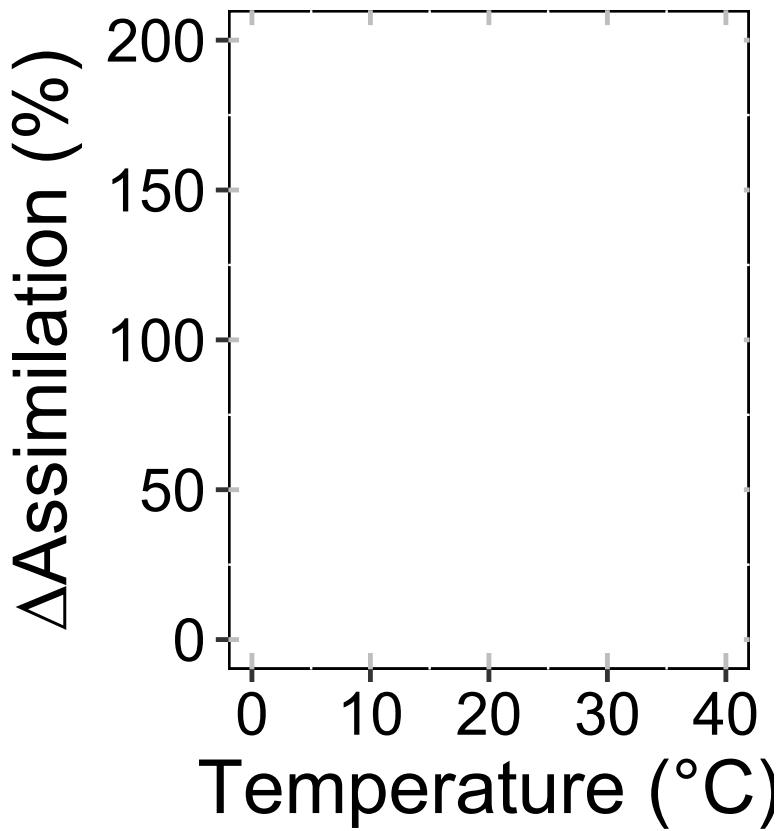
- No photorespiration
- An additional limitation (PEP carboxylation)

# $C_4$ versus $C_3$ optimization

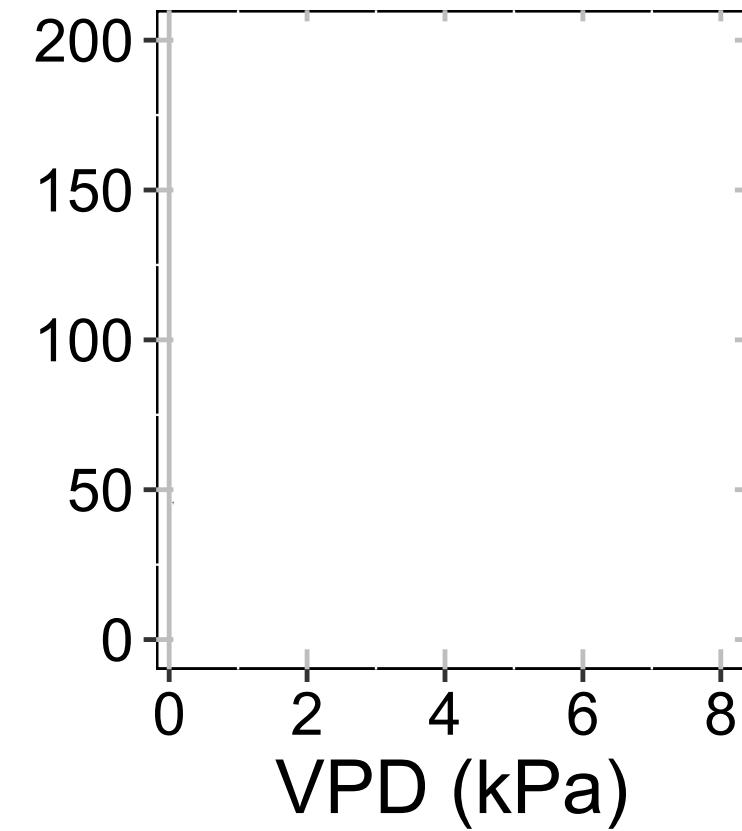
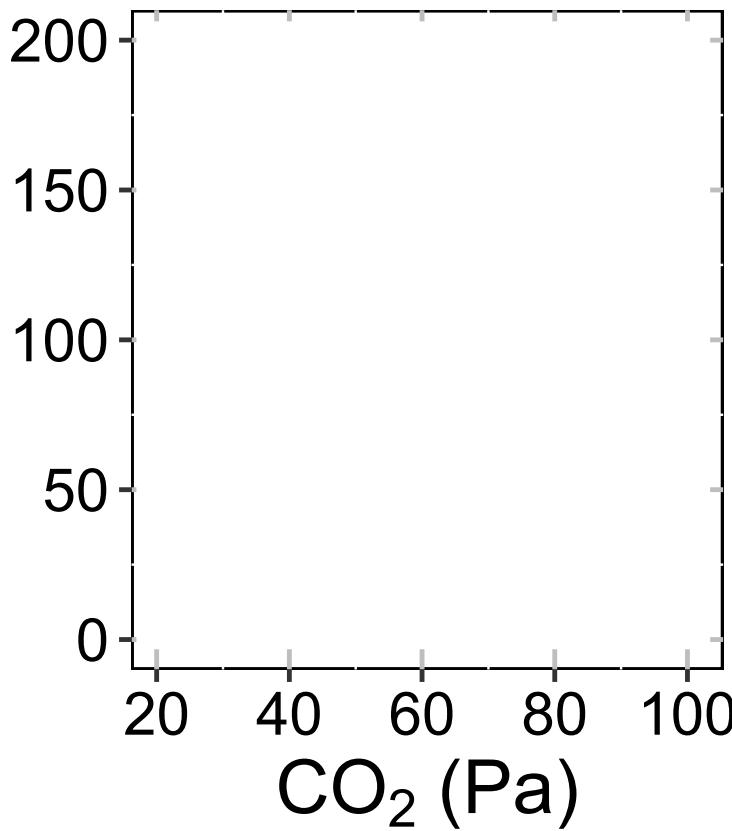
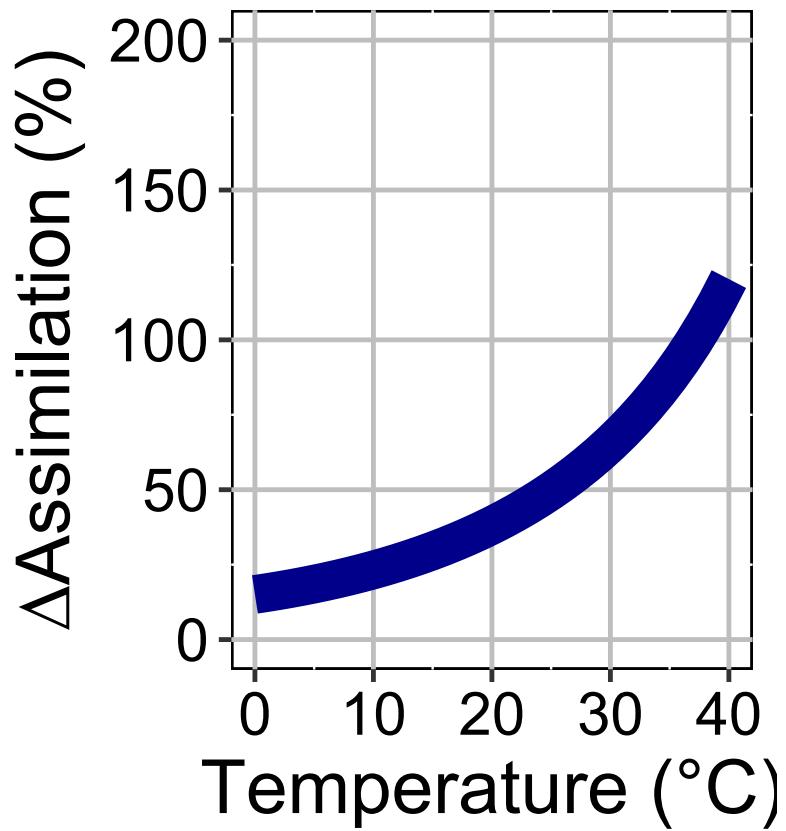
$C_4$  photosynthesis has...

- **No photorespiration**
- An additional limitation (**PEP carboxylation**)

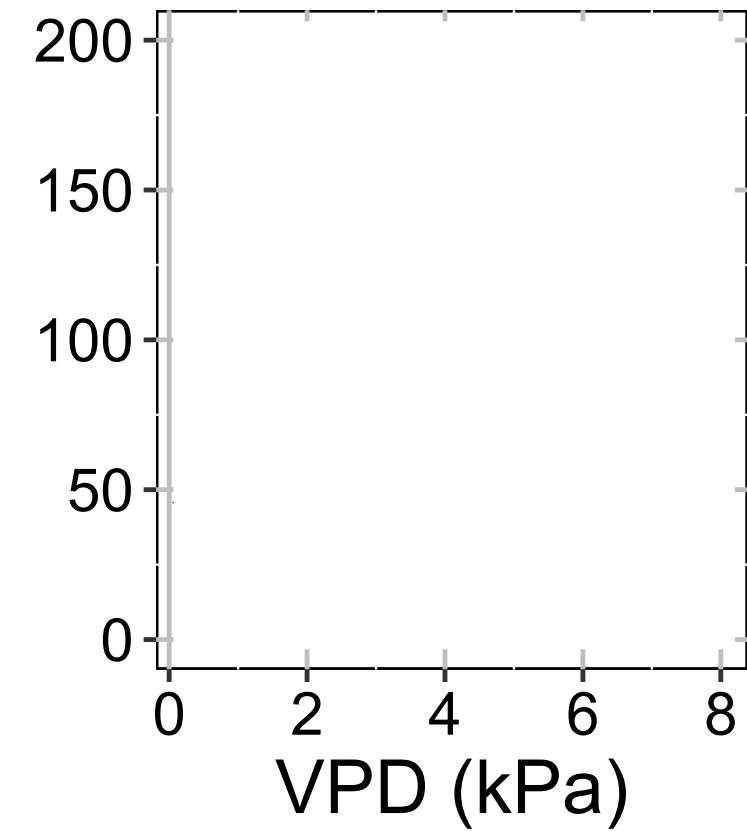
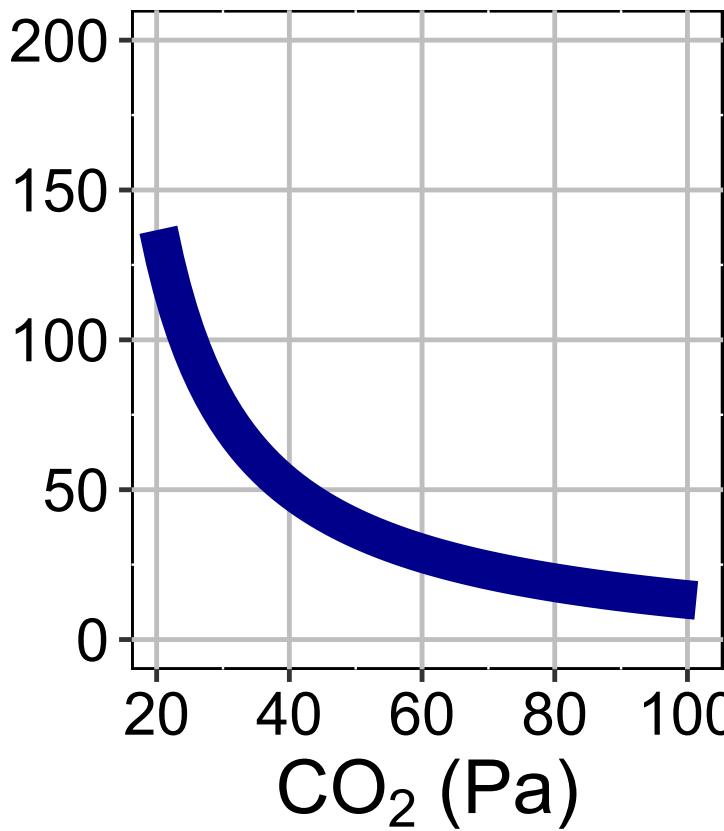
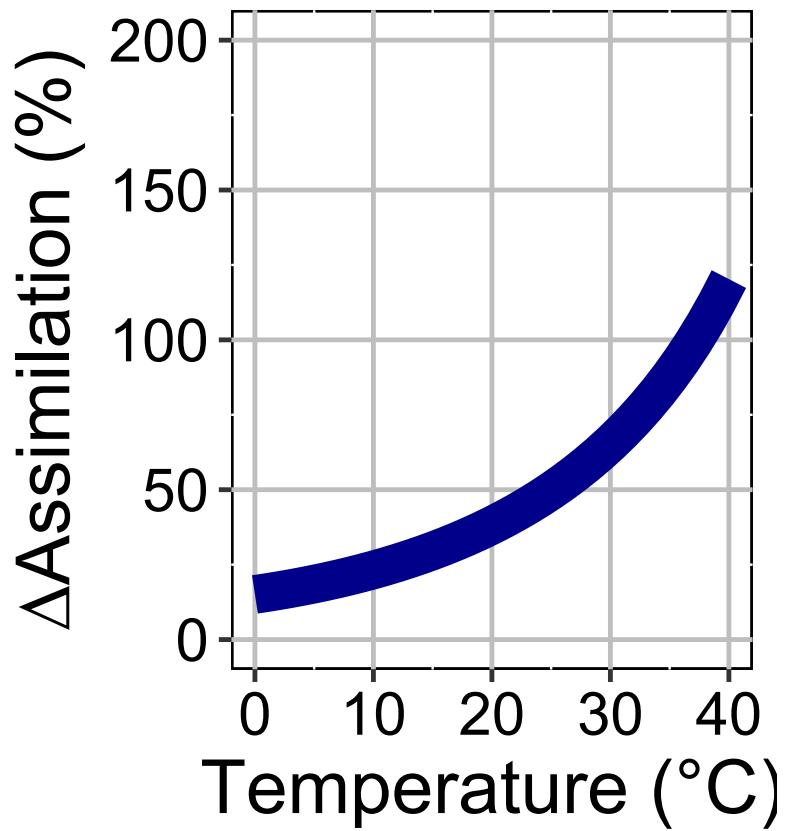
# Relative advantage of C<sub>4</sub> physiology



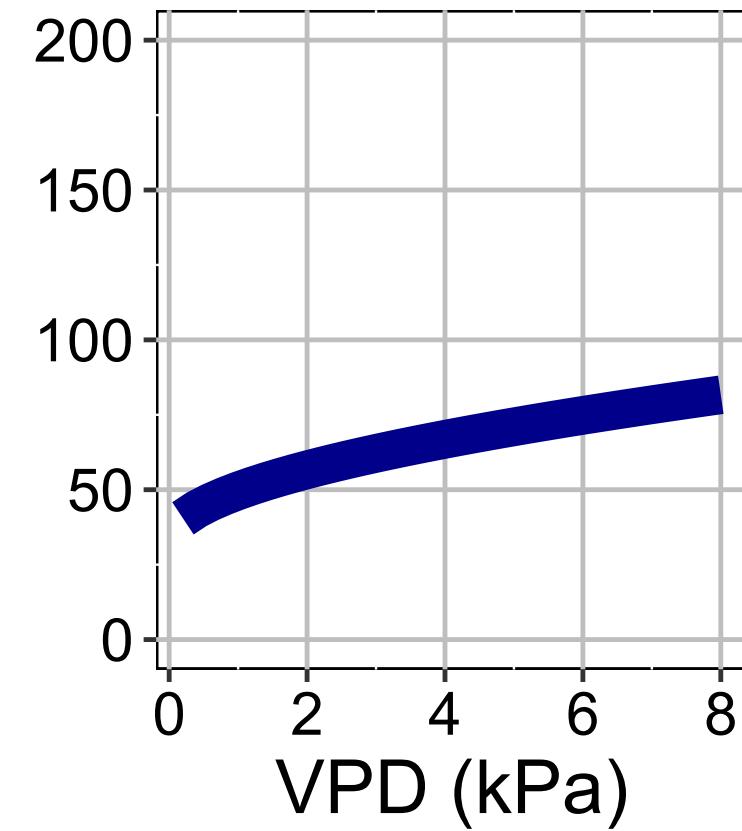
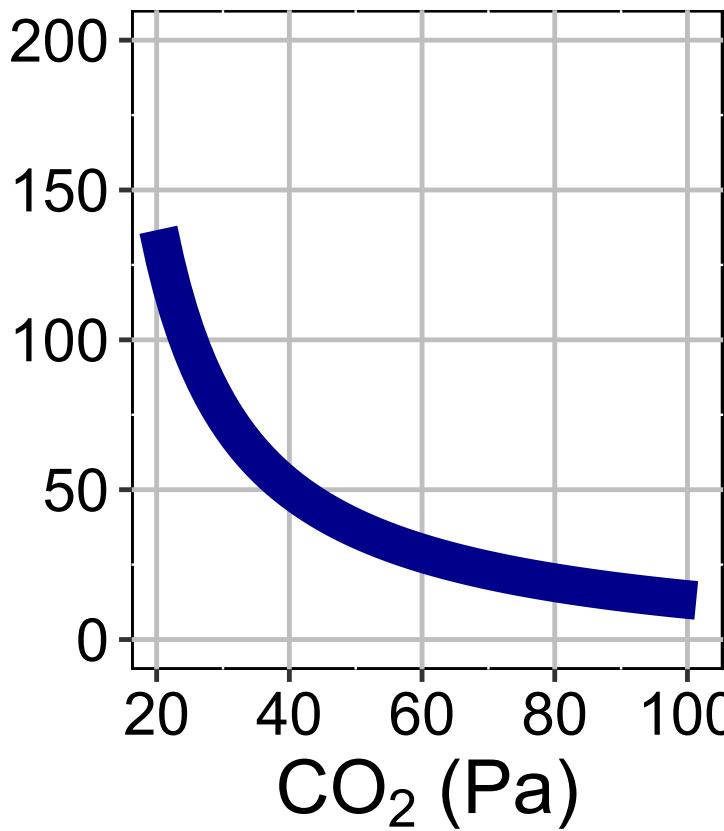
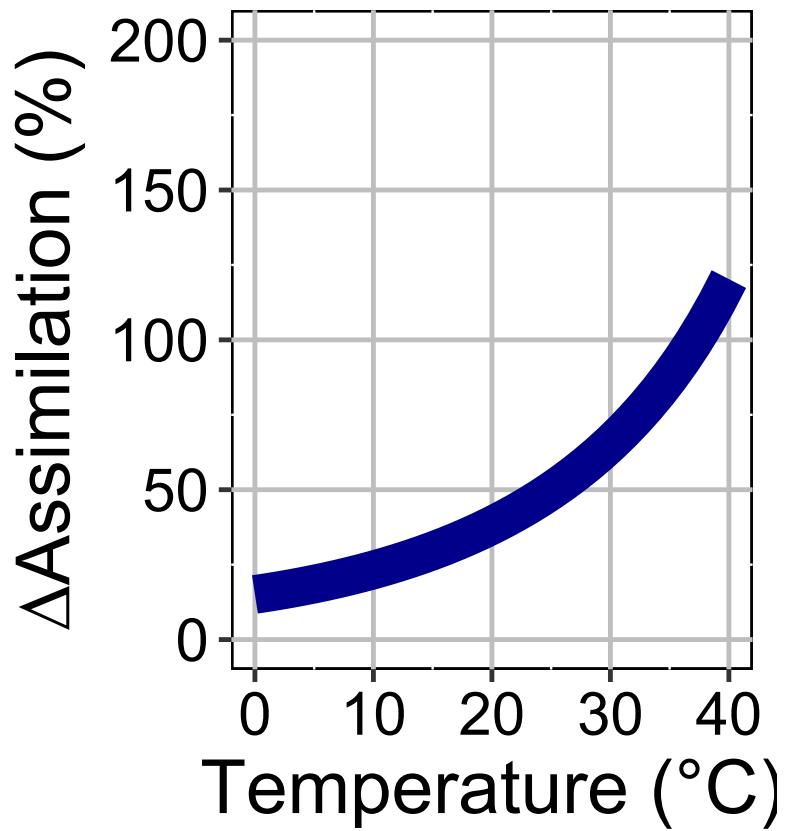
# Relative advantage of C<sub>4</sub> physiology

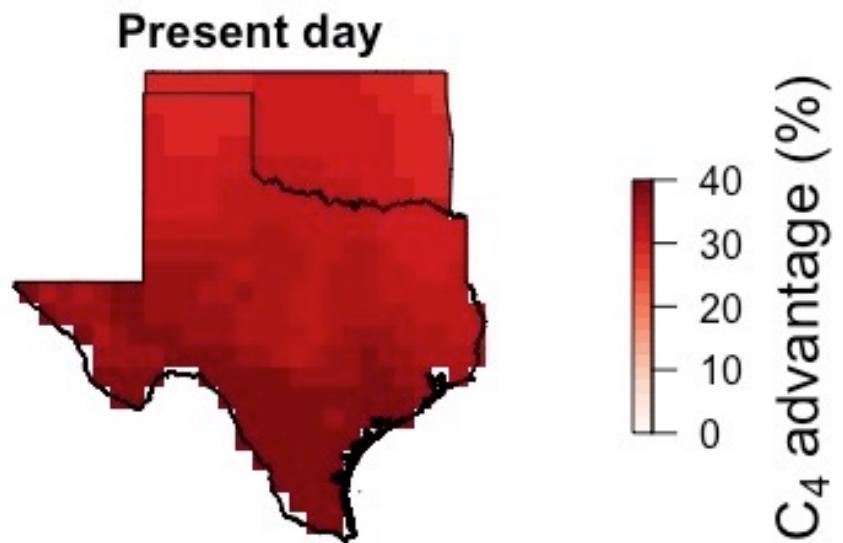


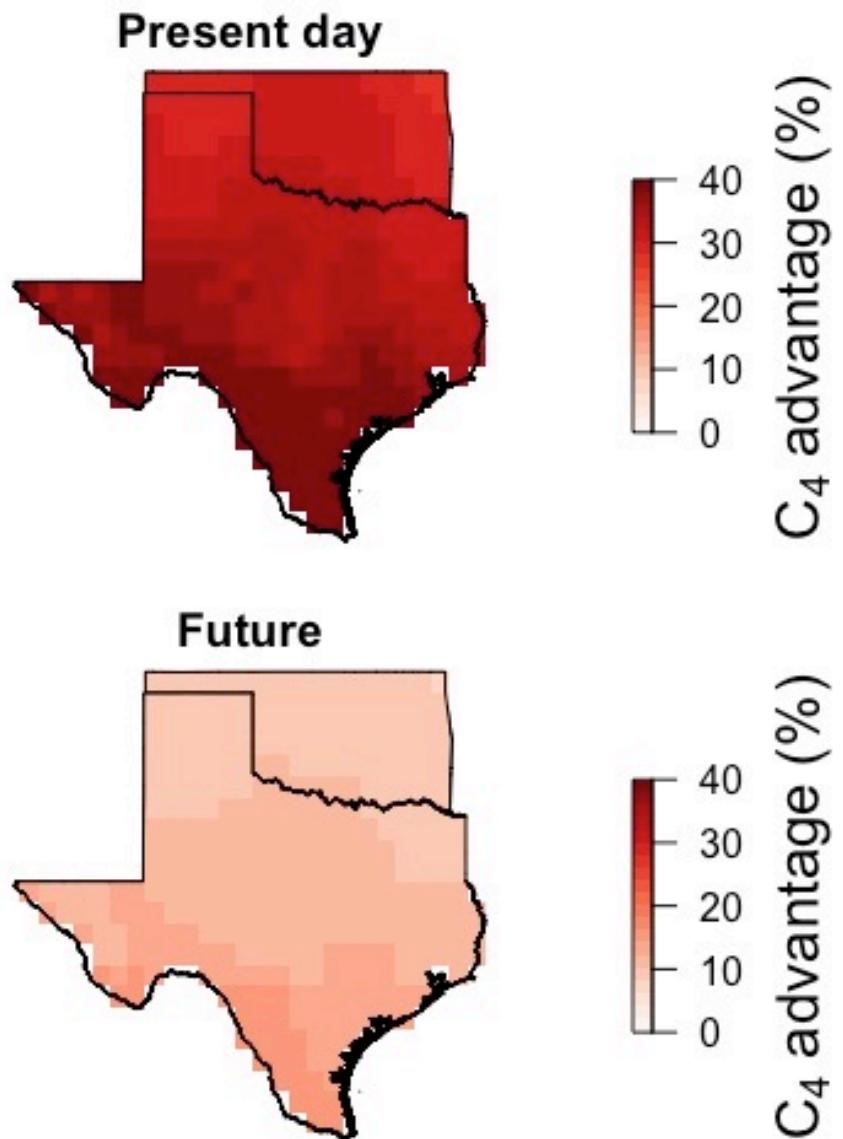
# Relative advantage of C<sub>4</sub> physiology



# Relative advantage of C<sub>4</sub> physiology







**Question 4:** When is C<sub>4</sub> photosynthesis an advantage over C<sub>3</sub> photosynthesis?

C<sub>4</sub> is better in hot, dry, low CO<sub>2</sub> environments

# Looking forward: model-data comparisons for $C_4$ plants



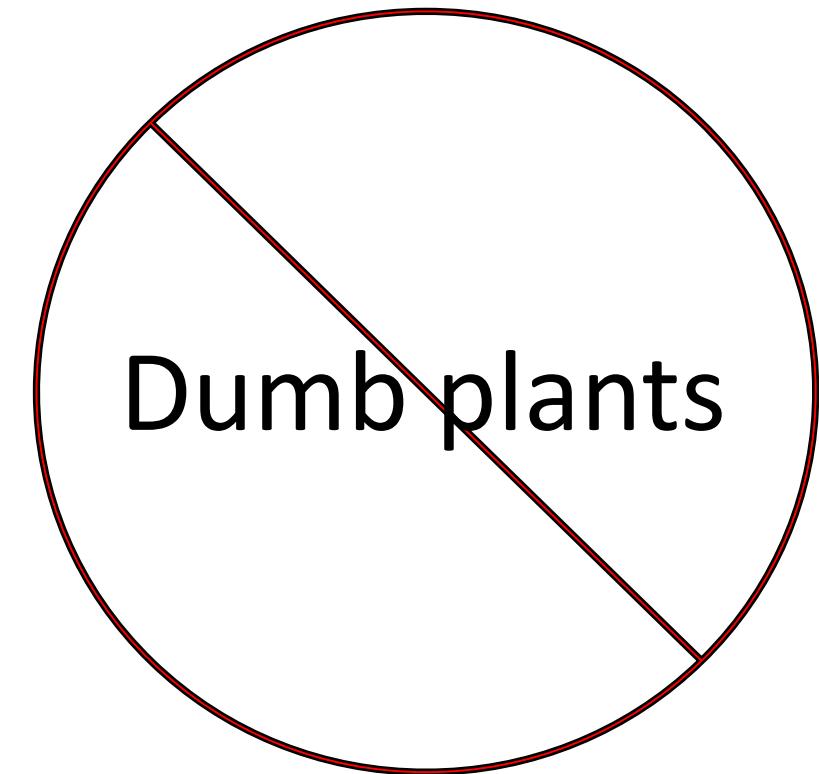
Powell Center



# Conclusions

# Conclusions

- Plants aren't dumb!
  - Assuming plants don't dynamically respond to their environment can lead to poor understanding of ecosystem functioning



# Conclusions

Quantified physiological theory can:

1. Improve mechanistic understanding of physiological processes that underlie higher level responses
2. Produce more reliable future projections



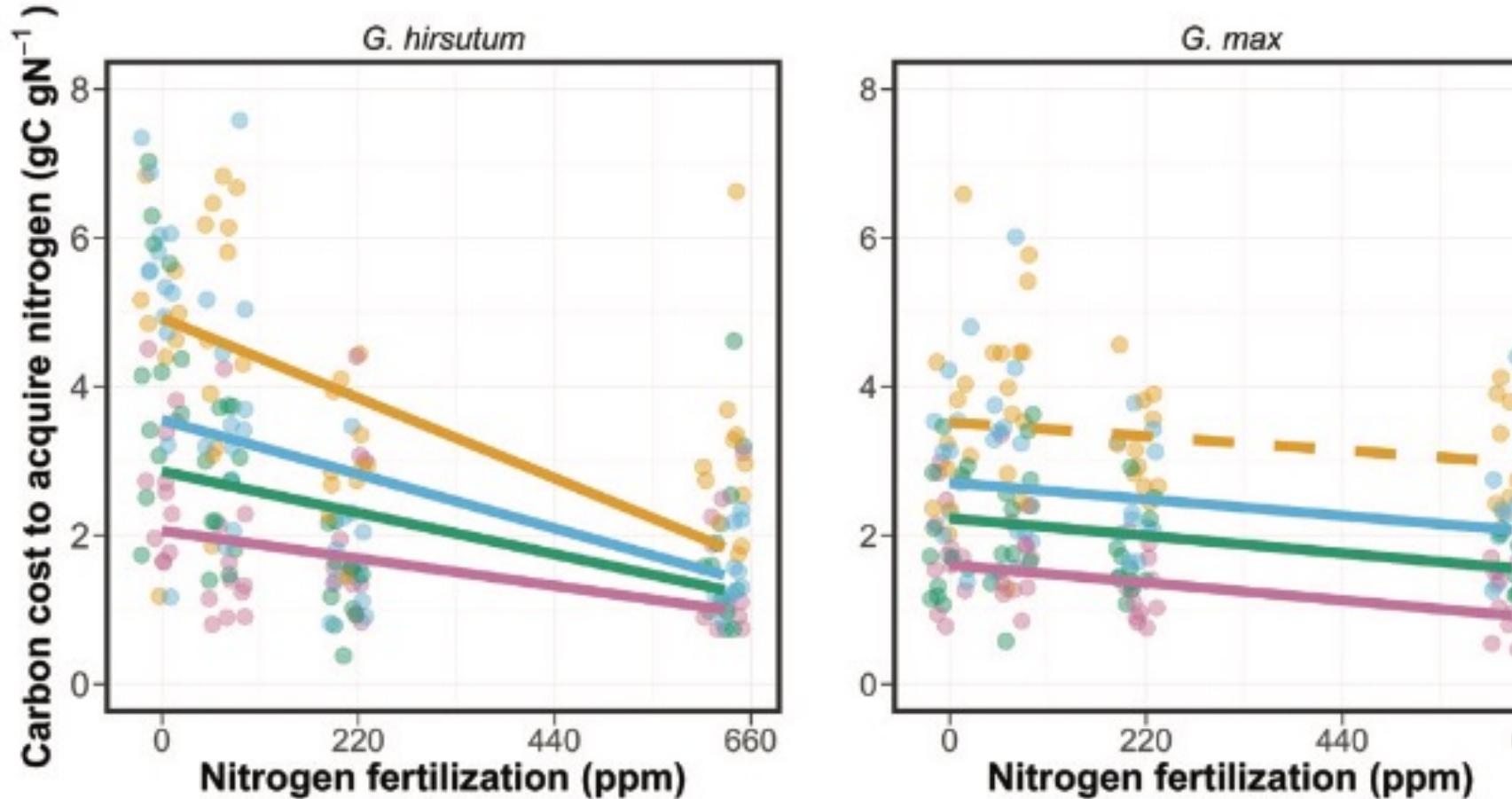
Presentation available at:

[www.github.com/SmithEcophysLab/seminar/2021\\_michigan](https://www.github.com/SmithEcophysLab/seminar/2021_michigan)



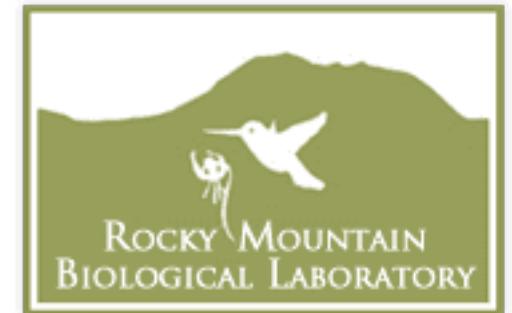
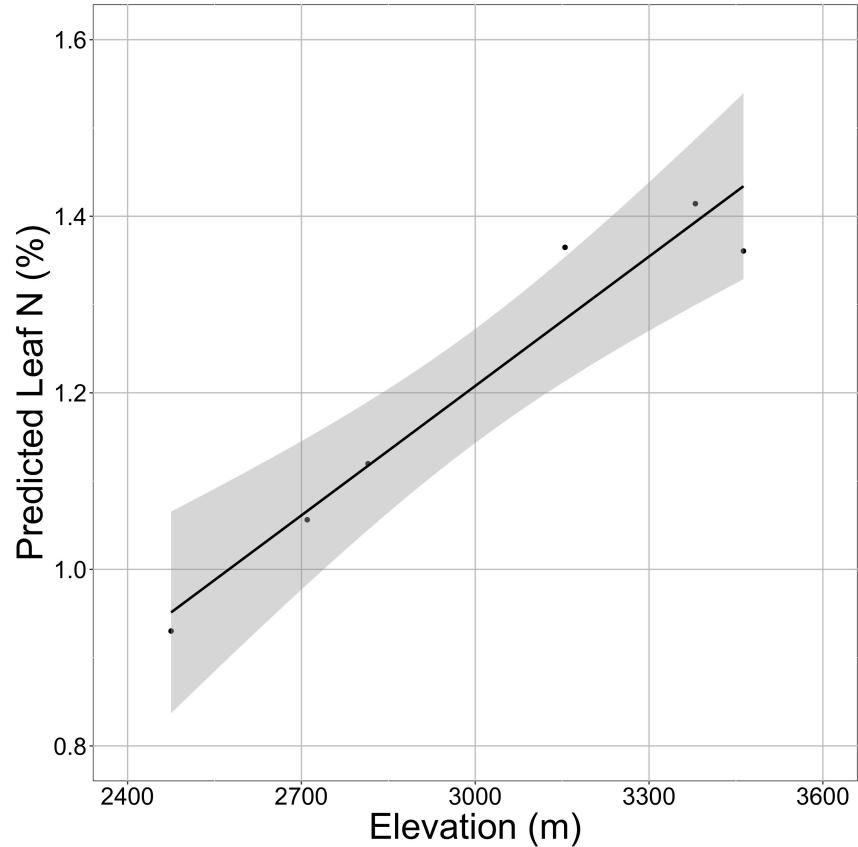
Thanks!

# Looking forward: symbioses as modifiers of plant economies under variable conditions



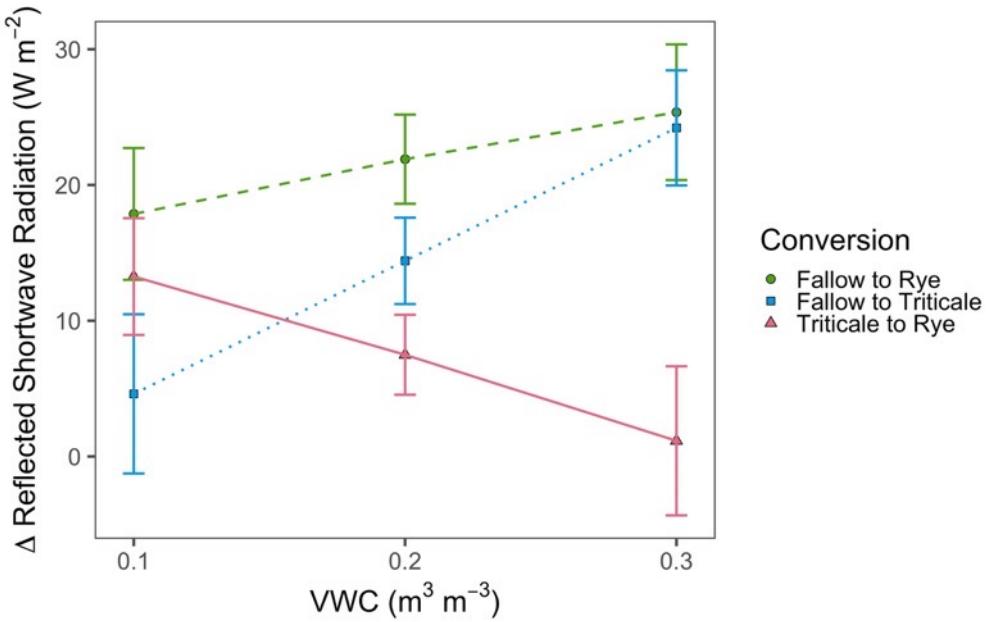
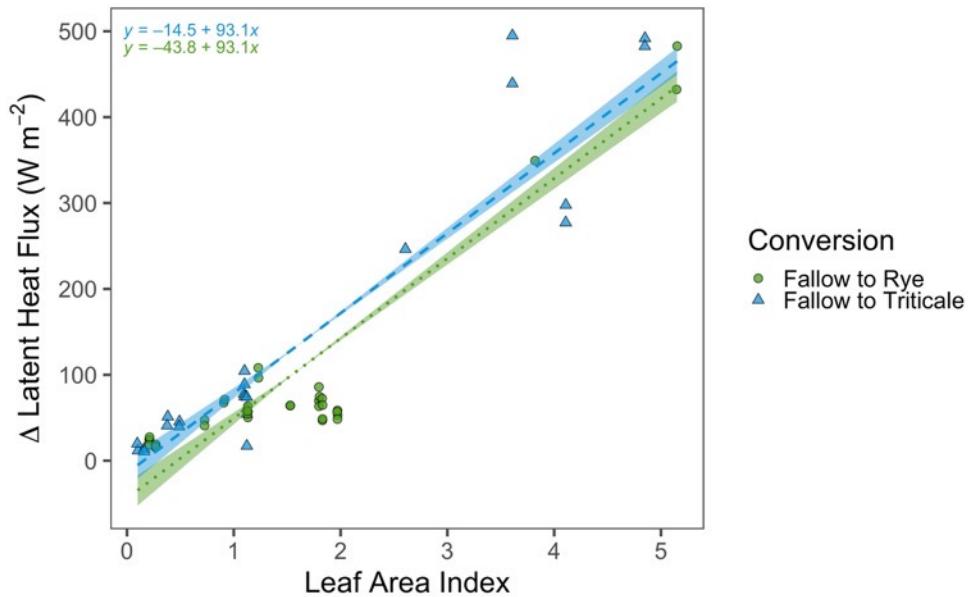
Soybean (right panel)  
economics are much  
less responsive to soil  
nitrogen than cotton  
(left panel)

Looking forward: more acclimation predictions in more places to examine larger scale impacts

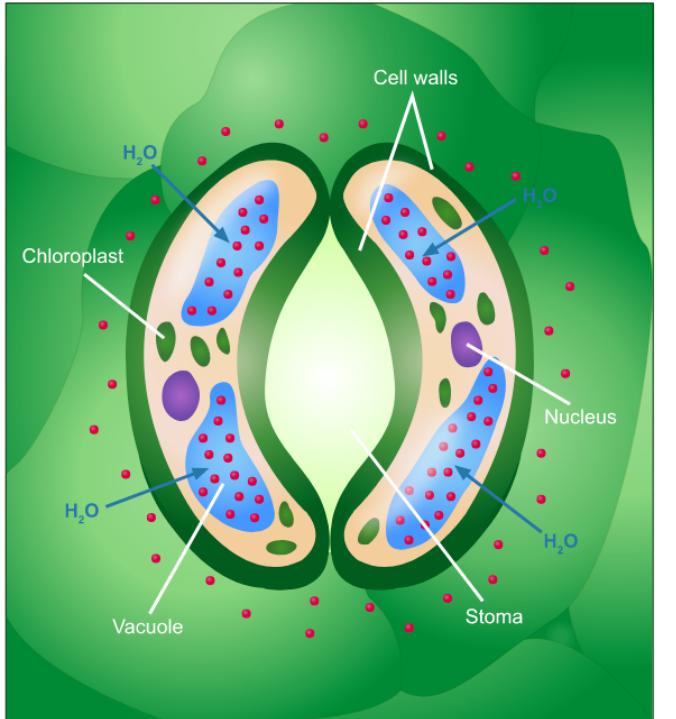


# Looking forward: other ongoing and future research

## Land management modifications of plant-atmosphere feedbacks



Cover crop implementation increases latent heat flux (left) and albedo (right) as compared to fallow fields.



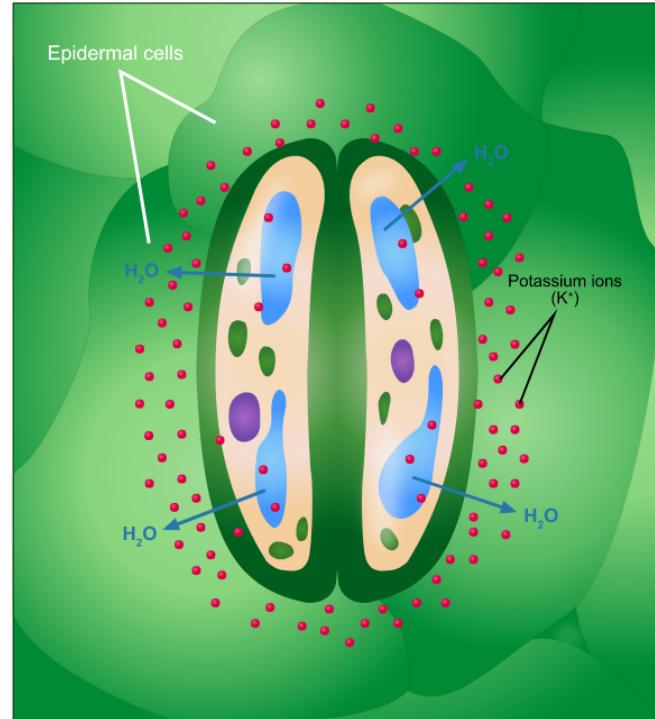
## Open stomata:

### Benefits

- High  $CO_2$  influx

### Costs

- High water outflux



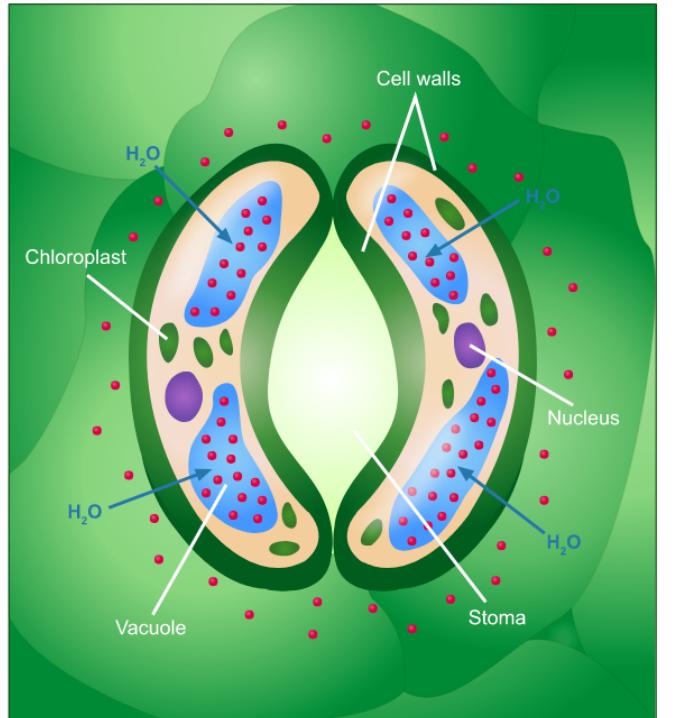
## Closed stomata:

### Benefits

- Low water outflux

### Costs

- Low  $CO_2$  influx



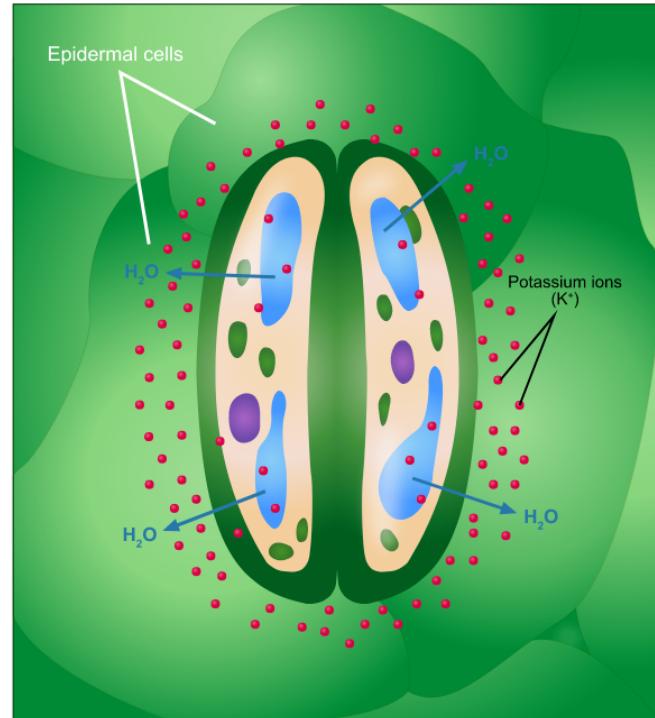
## Open stomata:

### Benefits

- High CO<sub>2</sub> influx

### Costs

- High water outflux



## Closed stomata:

### Benefits

- Low water outflux

### Costs

- Low CO<sub>2</sub> influx
- Must maintain high amount of Rubisco to do photosynthesis

# Optimal stomatal conductance

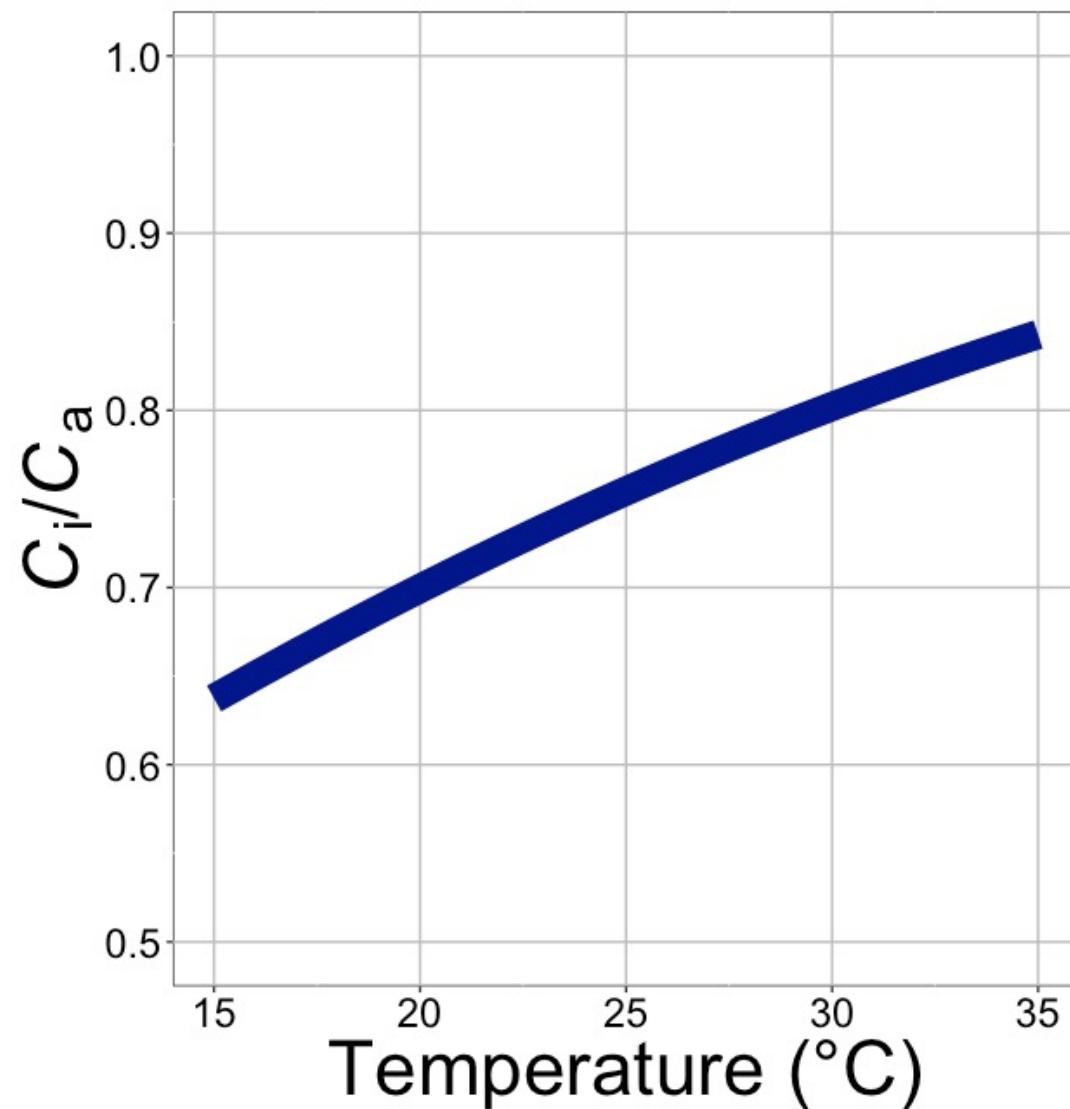
Optimal conductance maximizes photosynthesis  
at least water loss and nutrient use

- Water loss is from transpiration
- Nutrient use is nutrients used to maintain Rubisco

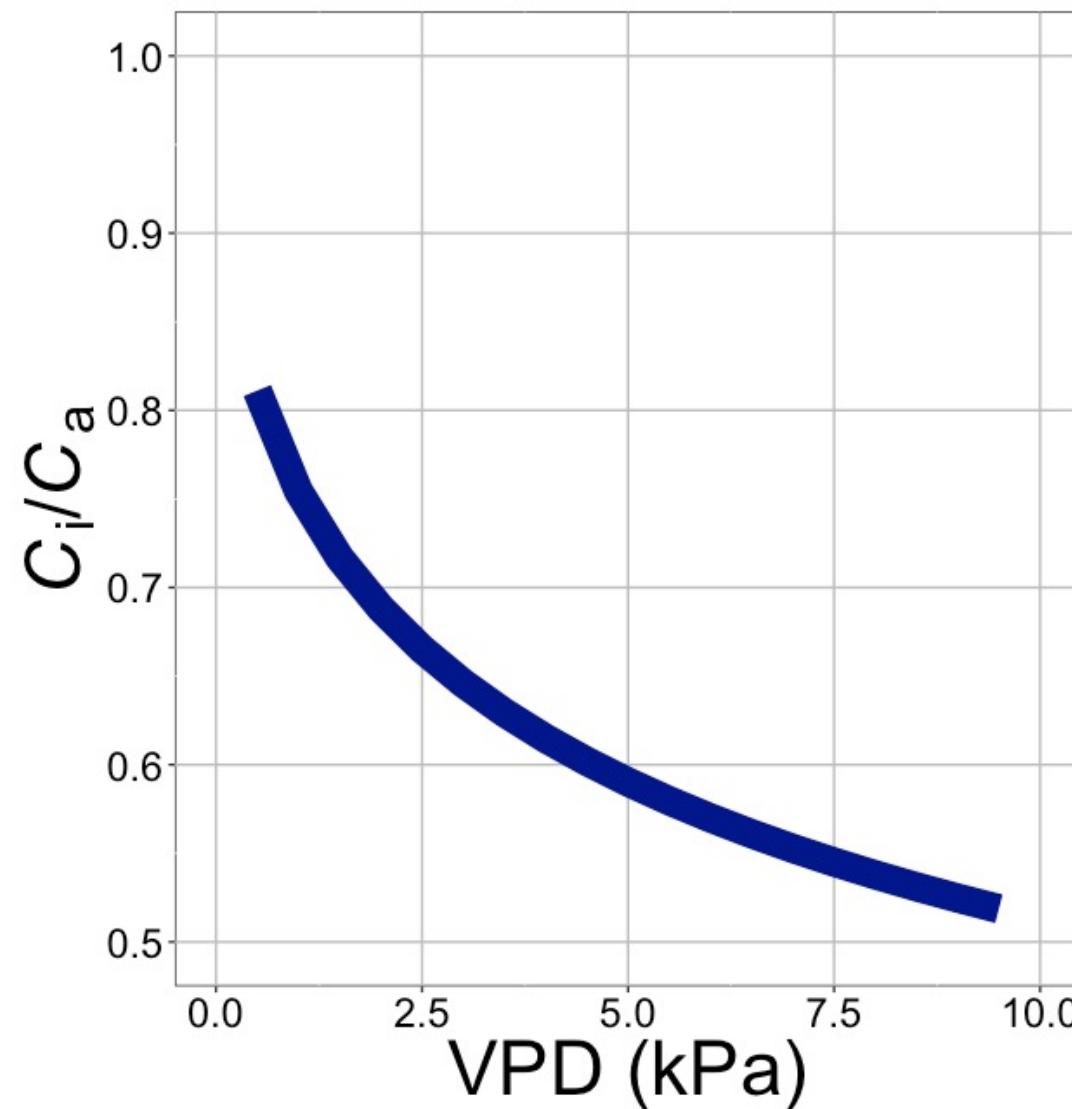
[TALK TO ME LATER ABOUT THE MATHS]

## Stomatal conductance trait

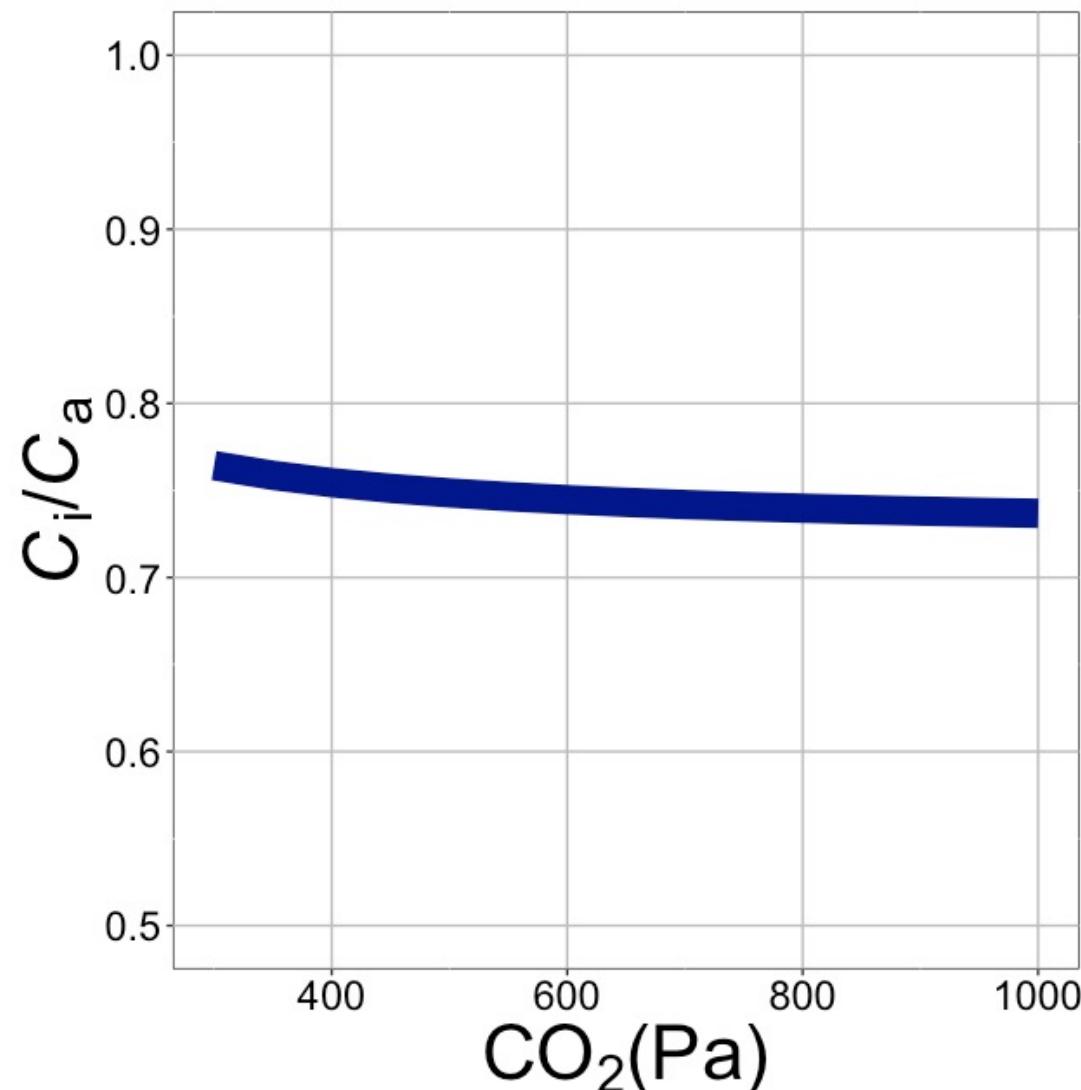
The ratio of CO<sub>2</sub> in the leaf to CO<sub>2</sub> outside of the leaf ( $C_i/C_a$ )



$C_i/C_a$  increases  
with temperature  
because of greater  
photorespiration



$C_i/C_a$  decreases  
with VPD to avoid  
water loss



$C_i/C_a$  decreases  
with  $\text{CO}_2$  because  
of lower openness  
needed to satisfy  
Rubisco