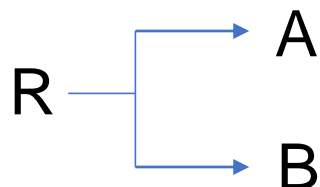
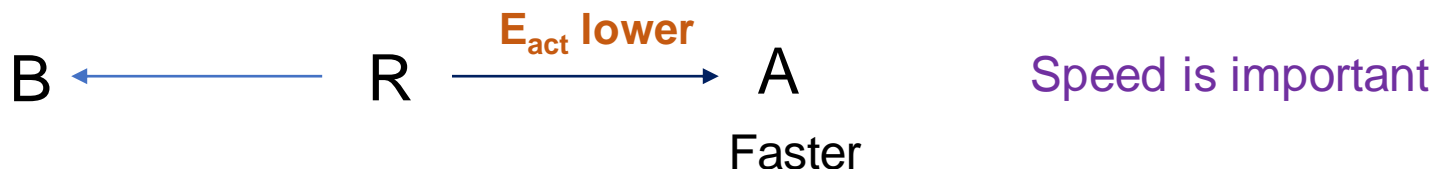


# Last lecture: Kinetic versus Thermodynamic Control

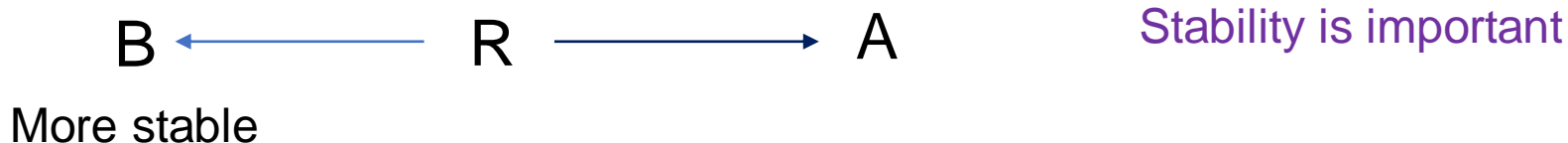


If multiple products are possible for a reaction, ratio of products can be dictated by

- Relative energy of transition states – Kinetic control (KC)



- Relative energy of products – thermodynamic control (TC)

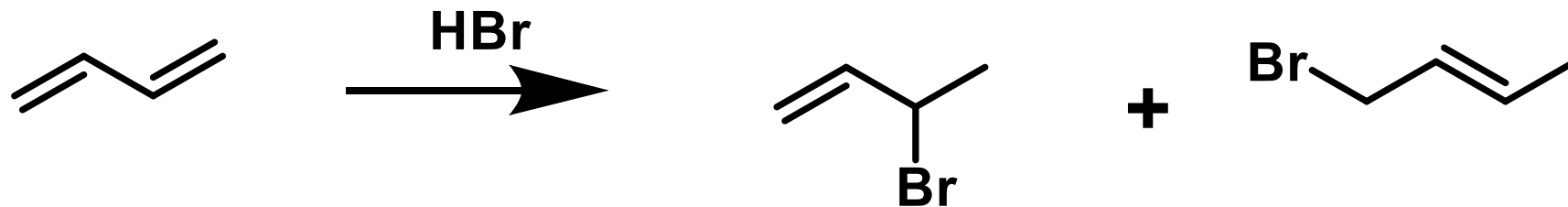


Write the plausible mechanism

Draw reaction coordinate diagram for both the products

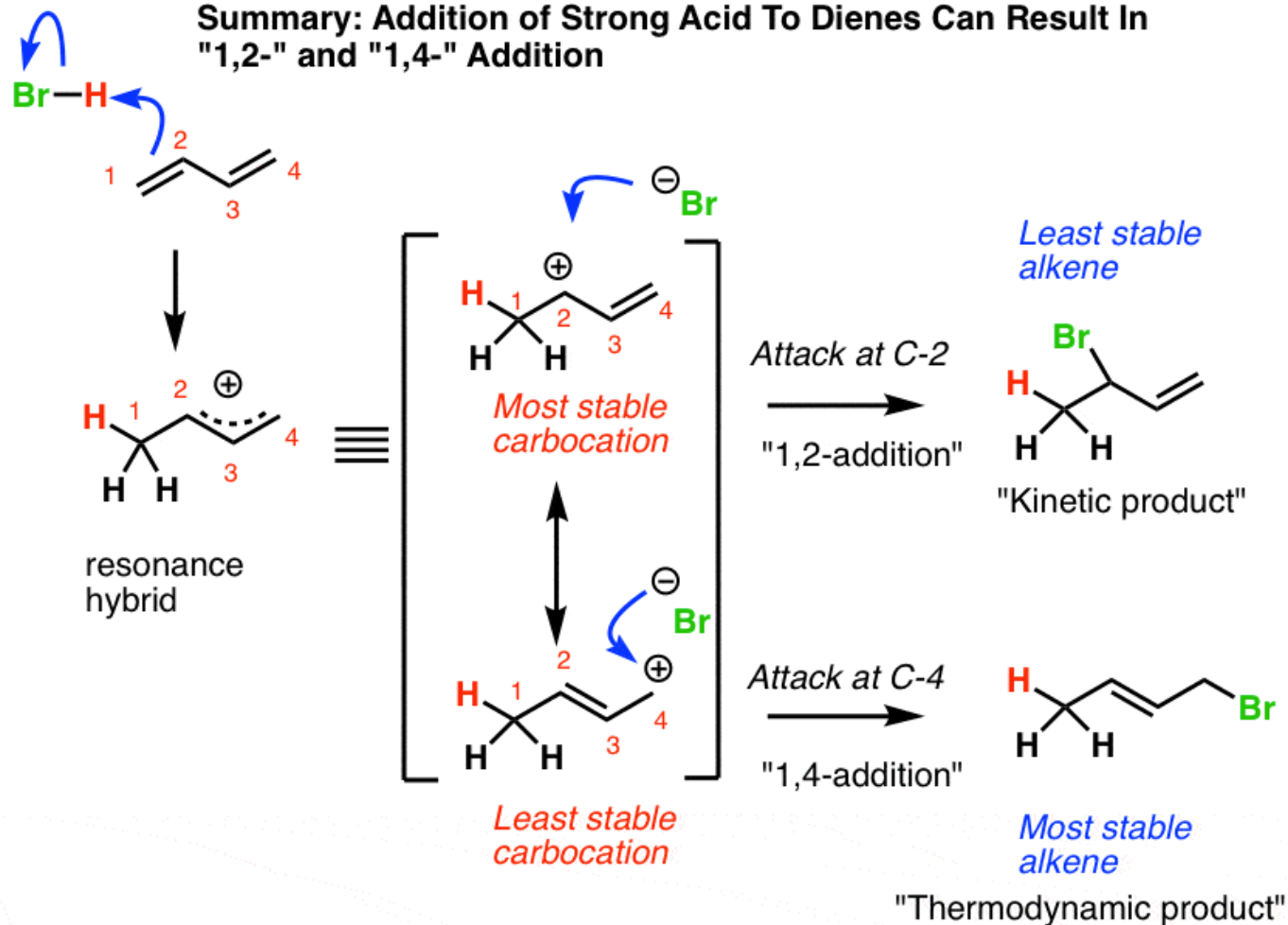
Identify thermodynamically controlled product and kinetically controlled product

What conditions should be used to get preferentially one over the other



$\Delta G$  is negative!!

**Summary: Addition of Strong Acid To Dienes Can Result In "1,2-" and "1,4-" Addition**



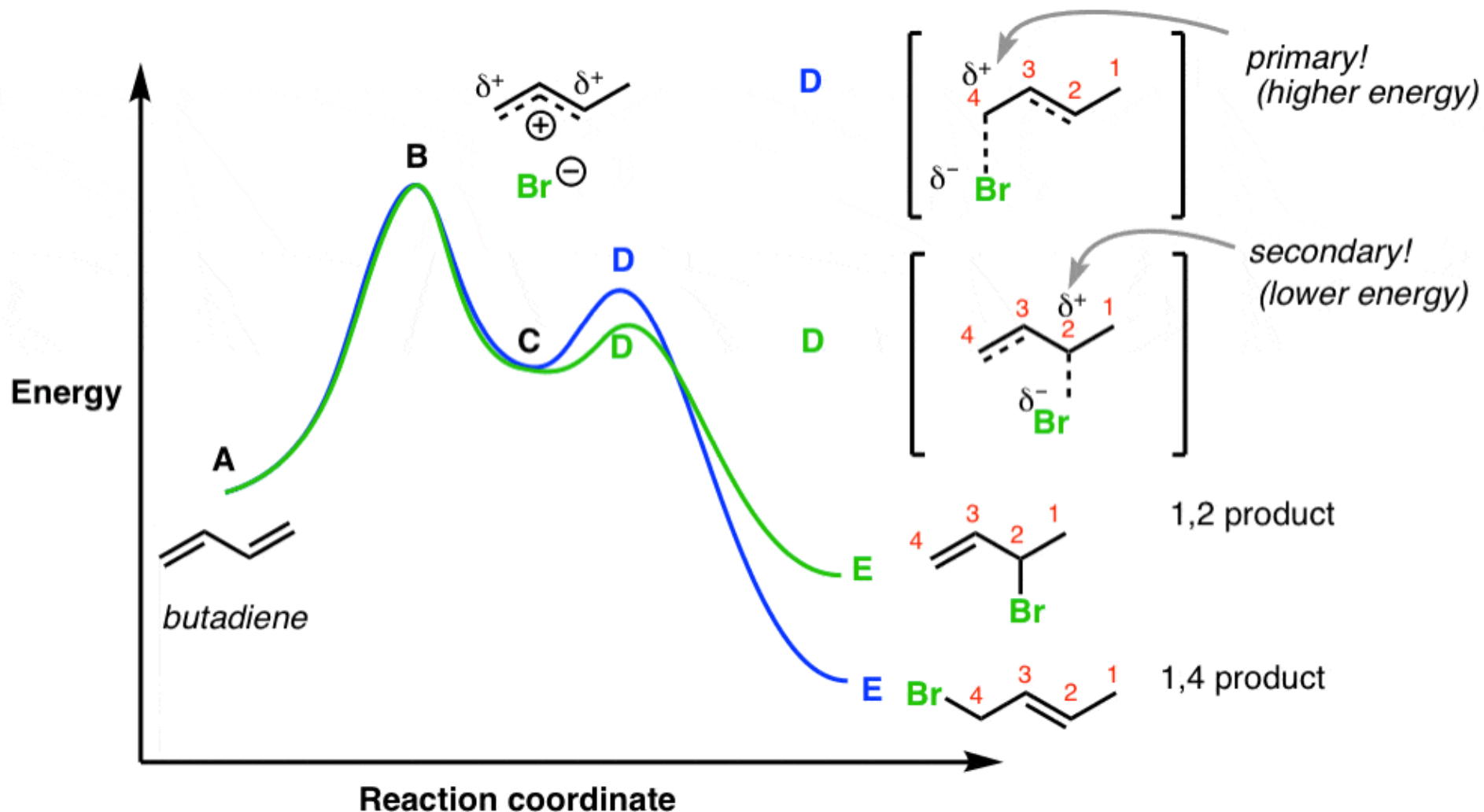
In this case, the major product can be controlled with temperature:

- At low temperatures, the reaction is irreversible and the lowest-energy transition state will determine the major product (i.e. addition to the carbon best able to stabilize positive charge). This is **kinetic control**.

- At higher temperatures, the reaction is reversible and the product distribution will favor the more stable product (the more substituted alkene, in this case). This is called **thermodynamic control**.

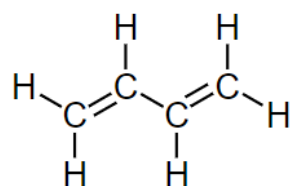
# Kinetic versus Thermodynamic Control

Energy Diagram for 1,2- versus 1,4- additions to butadiene

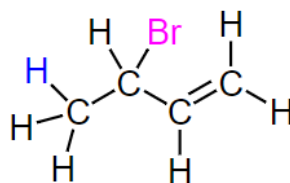


# Kinetic versus Thermodynamic Control

## Example: 2 step reaction

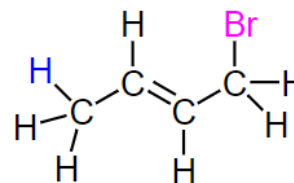


1,3-Butadiene



1,2-Addition Product

+



1,4-Addition Product

|            |     |     |                       |
|------------|-----|-----|-----------------------|
| At -80 °C: | 80% | 20% | Kinetic Control       |
| At 0 °C:   | 70% | 30% | Kinetic Control       |
| At 40 °C:  | 15% | 85% | Thermodynamic Control |
| At 60 °C:  | 10% | 90% | Thermodynamic Control |

# CH 209 – Basic Organic Chemistry

## Reaction mechanisms: Acids, bases and factors affecting $pK_a$ and nucleophilicity



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Why is it necessary to understand acids and bases in organic chemistry?

# Acids and Bases

---

- Many organic and biological reactions are catalyzed by acids or bases
- If the first step in a reaction is the protonation or deprotonation of one of the reactants, it is necessary to know where the compound would be protonated or deprotonated and what strength acid or base would be needed.

Can you name few reactions where the first step of the reaction is protonation or deprotonation?

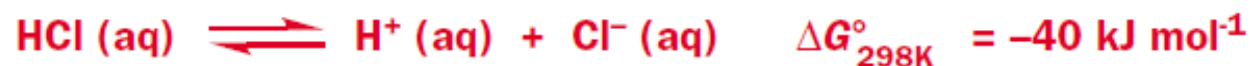
- Acid or base catalysed hydrolysis of proteins, peptides, sugars
- Aldol additions



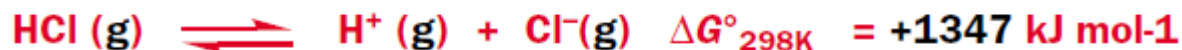
# Acids and Bases

## Bronsted definitions of acids and bases

- An acid is a species having a tendency to lose a proton
- A base is a species having a tendency to accept a proton



The equilibrium lies well over to the right



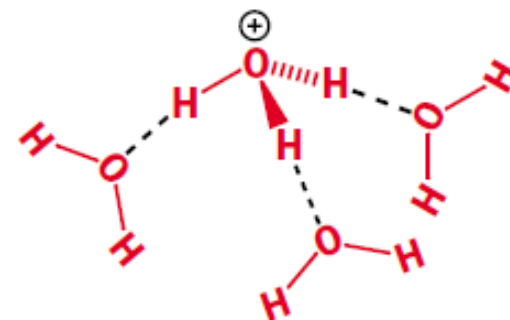
HCl does not ionize in the gas phase readily

Why then is HCl such a strong acid in water?

Why the equilibrium is well over to right in aqueous media?

# Acids

- In aqueous solution the proton is strongly attached to a water molecule to give the very stable **hydronium ion**,  $\text{H}_3\text{O}^+$ , and the ions are no longer isolated but solvated.
- Solvated hydronium ions are stabilized by hydrogen-bonding



a structure for a solvated hydronium ion in water  
the dashed bonds represent hydrogen bonds

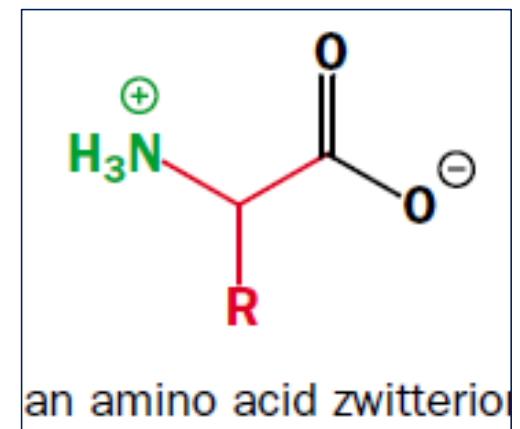
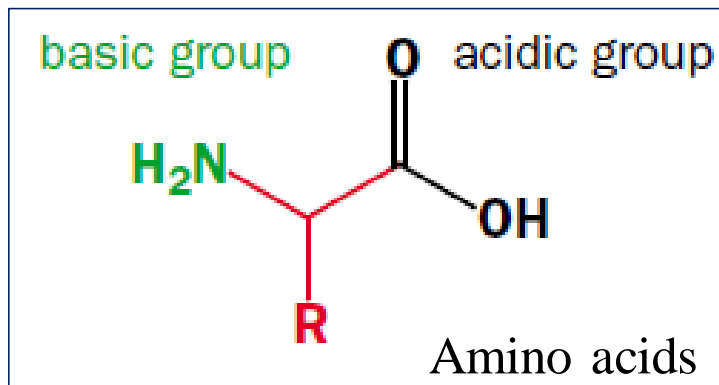
**Every acid has a conjugate base associated with it and every base has a conjugate acid associated with it!!**



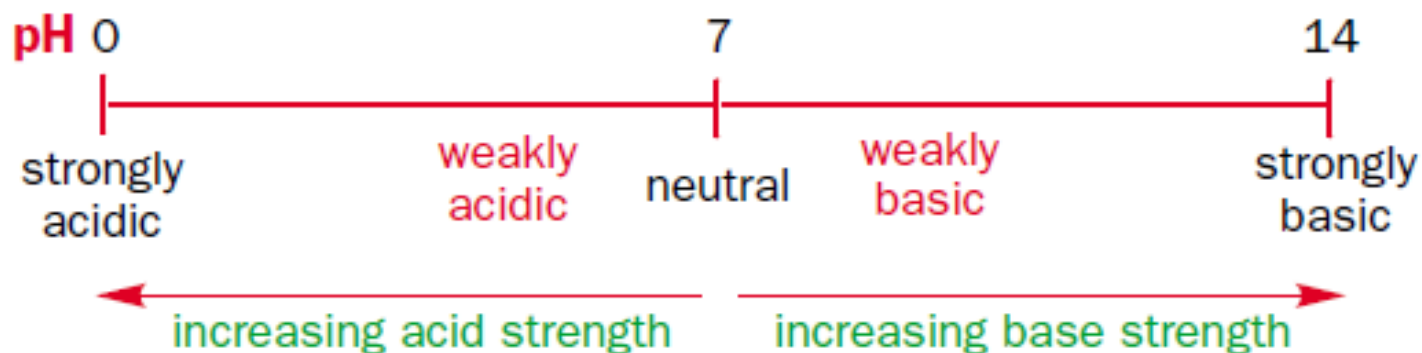
# Water can behave as an acid or as a base

- If a strong acid is added to water, the water acts as a base and is protonated by the acid to become  $\text{H}_3\text{O}^+$ .
- If we add a strong base to water, the base would deprotonate the water to give hydroxide ion,  $\text{OH}^-$ , and here the water would be acting as an acid.
- Compounds that can act as either an acid or a base are called **amphoteric**.

Do you know any other molecular species that act as amphoteric?



# Strength of an acid is not indicated by pH



- The pH of a solution is only a measure of the acidity of the solution
- pH does not tell about how strong one acid might be relative to another
- The pH of a solution of a given acid varies with its concentration: as we dilute the solution, the acidity falls and the pH increases
- As we decrease the concentration of HCl in an aqueous solution from 1 to 0.1 to 0.01 to 0.001 mol dm<sup>-3</sup>, the pH changes from 0 to 1 to 2 to 3.

$$\text{pH} = -\log[\text{H}_3\text{O}^+]$$

# pH of a solution depends on the acid

Do 0.1 M HCl and 0.1 M CH<sub>3</sub>COOH in an aqueous solution have the same pH?

- 0.1 M HCl has a pH of 1
- The same concentration of acetic acid has a pH of 3.7
- HCl is a strong acid and completely dissociates in water
- Acetic acid is not fully dissociated—the solution contains both acetic acid and acetate ions.



# Definition of $pK_a$

- To measure the strength of an acid relative to water and find out how effective a proton donor it is, we must look at the equilibrium constant for the reaction



$$K_{\text{eq}} = \frac{[\text{H}_3\text{O}^+][\text{A}^-]}{[\text{AH}][\text{H}_2\text{O}]}$$

- With dilute solutions of acids, a new equilibrium constant,  $K_a$ , is defined and called the **acidity constant**.

$$K_a = \frac{[\text{H}_3\text{O}^+][\text{A}^-]}{[\text{AH}]}$$

- Like pH,  $K_a$  is also expressed in a logarithmic form,  $pK_a$ .

$$pK_a = -\log K_a$$

# Definition of $pK_a$

$$pK_a = -\log K_a$$

- *The lower the  $pK_a$ , the larger the equilibrium constant,  $K_a$ , is and hence the stronger the acid.*
- The  $pK_a$  of the acid is the pH where it is exactly half dissociated.

$$K_a = \frac{[H_3O^+][A^-]}{[AH]}$$

$$[H_3O^+] = K_a \times \frac{[AH]}{[A^-]}$$

$$pH = pK_a + \log \left( \frac{[A^-]}{[AH]} \right)$$

When an acid is 50% ionised:

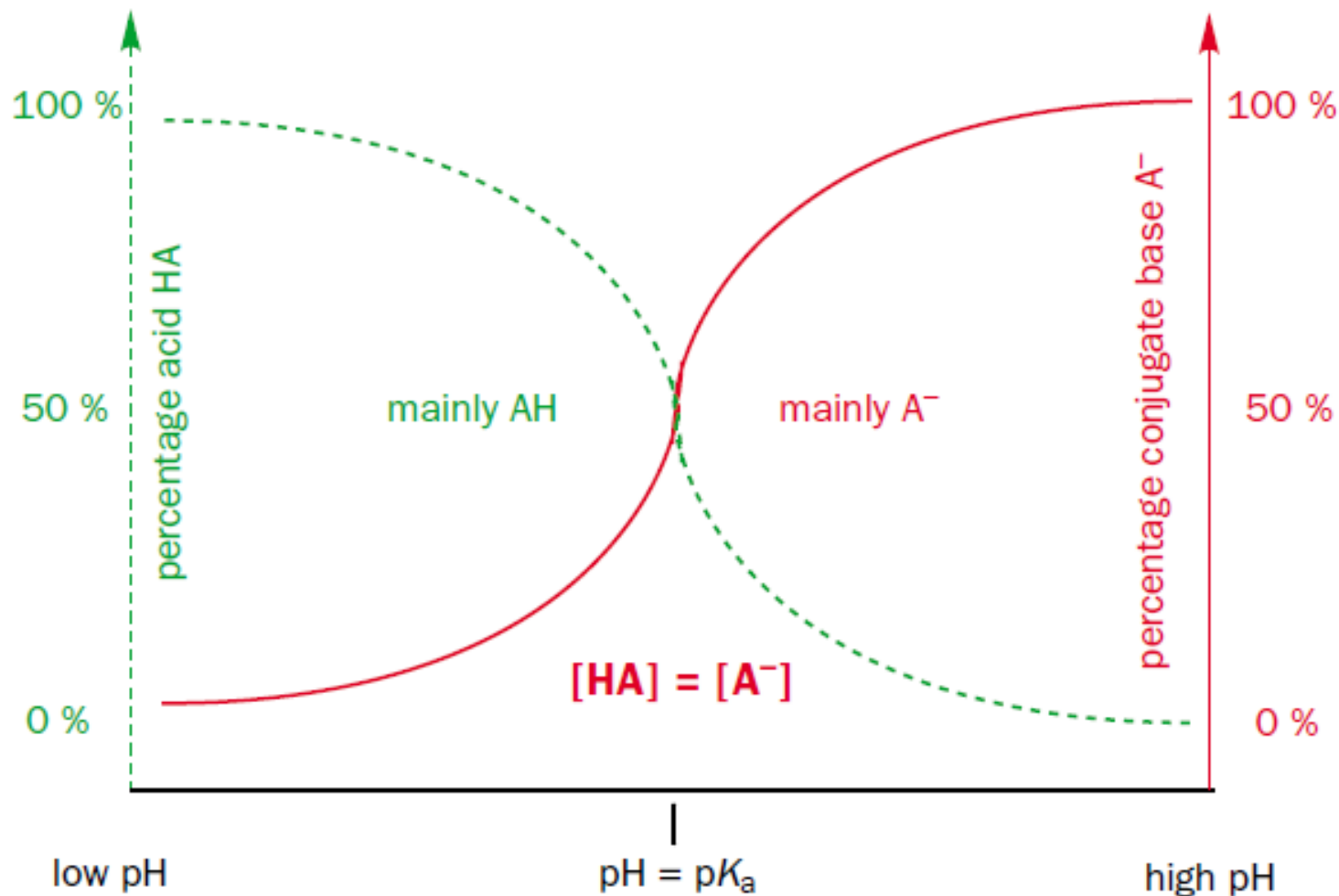
$$pH = pK_a$$

0.1 M  $CH_3COOH$  aqueous solution has a pH of 3.7

If we raise its pH to 4.76, the resultant solution would contain equal concentrations of acetic acid and acetate ion.

$pK_a$  of acetic acid = 4.76

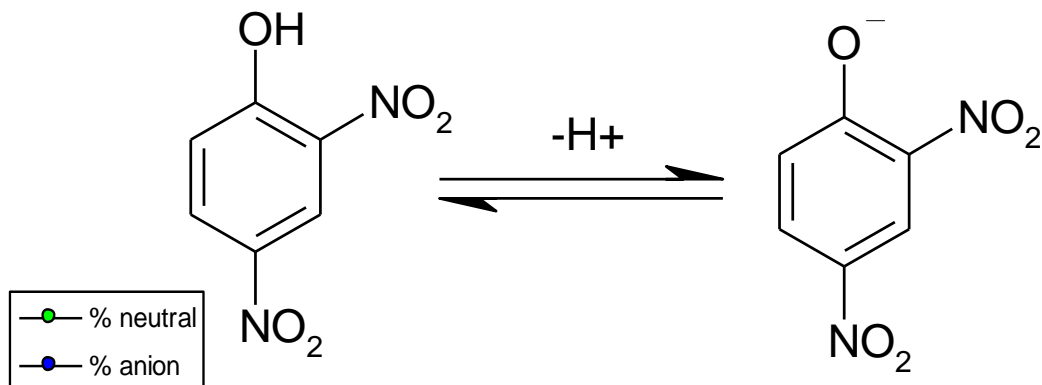
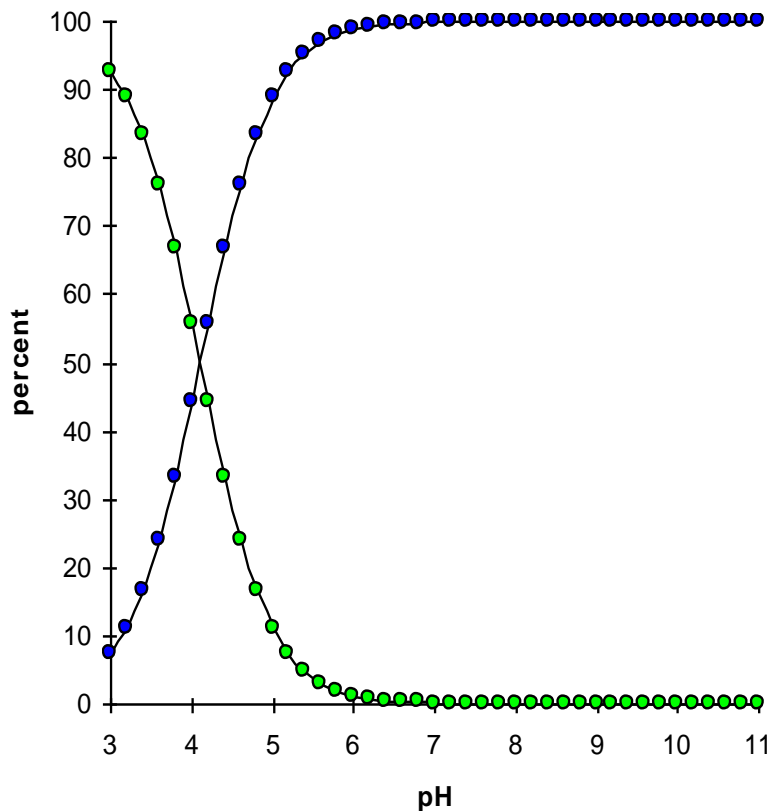
# Graphical description of the $pK_a$ of acids



At low pH the compound exists entirely as AH and at high pH entirely as  $A^-$ . At the  $pK_a$  the concentration of each species, AH and  $A^-$ , is the same. At pHs near the  $pK_a$  the compound exists as a mixture of the two forms.

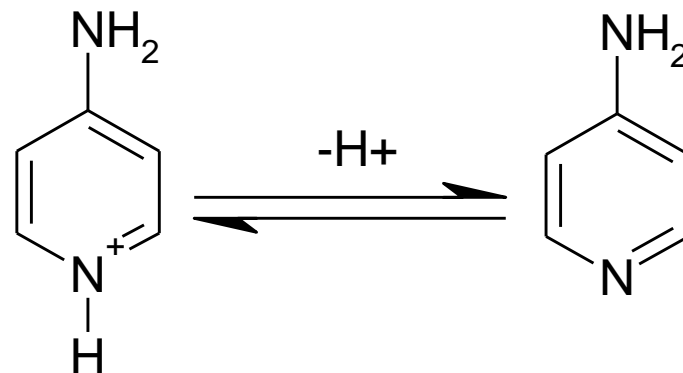
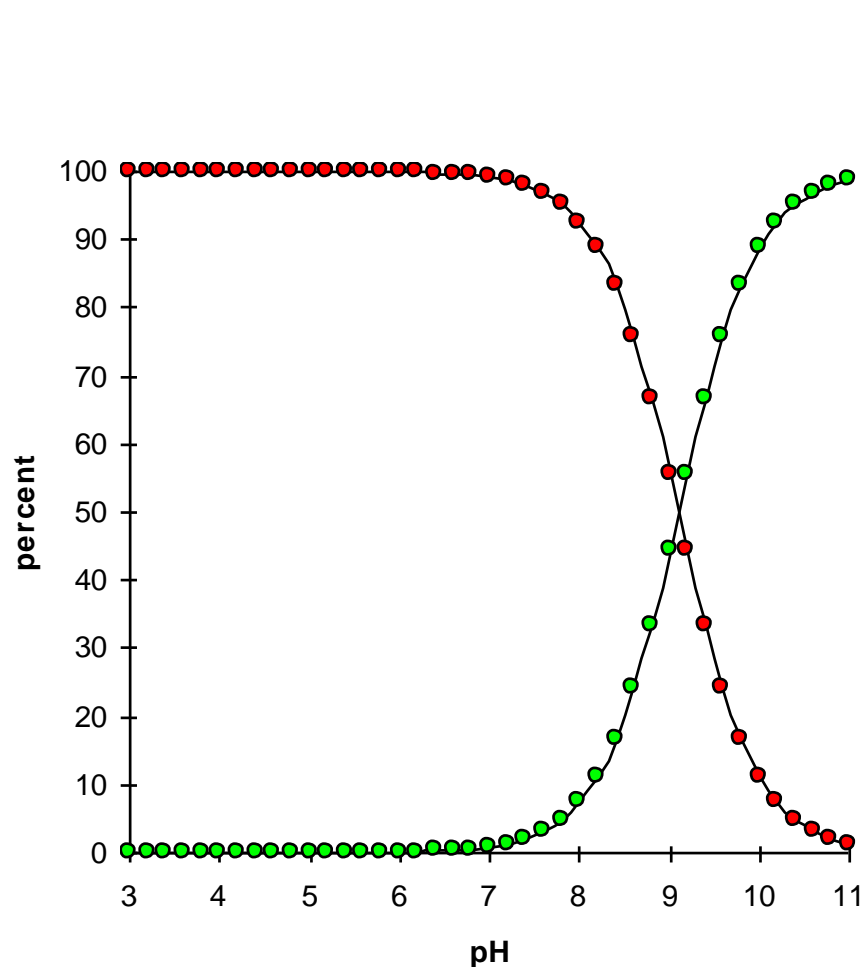


# Ionization of an acid – 2,4-dinitrophenol



**pK<sub>a</sub> = 4.1**

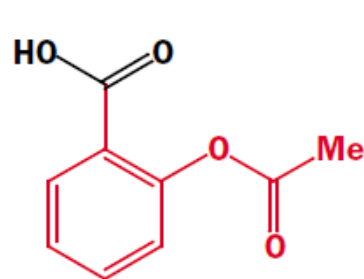
# Ionization of an base – 4-aminopyridine



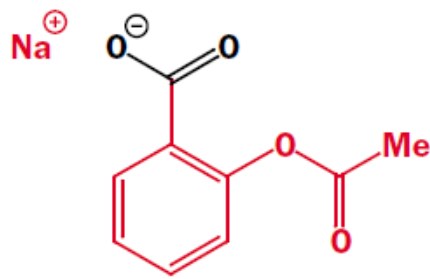
$pK_a = 9.1$

# Solubility, pH and $pK_a$

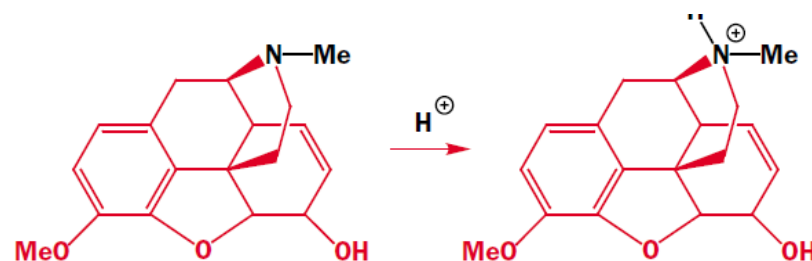
- At pHs above the  $pK_a$  of the acid, it will be more soluble in water.
- Ionic groups considerably increase a compound's solubility and so the ion  $A^-$  is much more soluble in water than the undissociated acid  $HA$ .
- Water can solvate both cations and anions
- We can increase the solubility of a neutral acid in water by increasing the proportion of its conjugate base present



aspirin  
not very soluble in water



the sodium (or calcium) salt of  
aspirin is more soluble in water



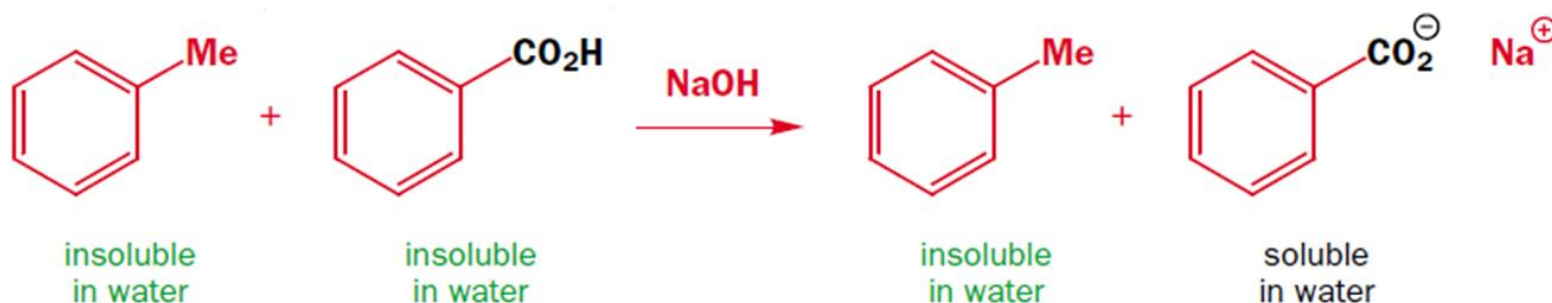
neutral codeine  
sparingly soluble in water

the conjugate acid is much  
more soluble in water

- **Tuning the drug's solubility is a critical field in the pharmaceutical industry.**

# Solubility, pH and $pK_a$ : Lab procedures

- Charged compounds can be separated by acid–base extraction



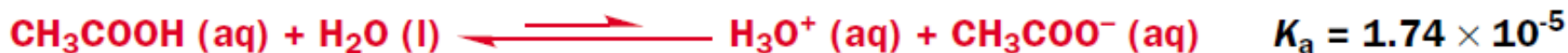
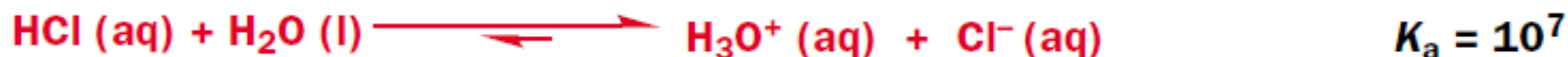
Adjust the pH to acidic to the  
below  $pK_a$  of benzoic acid

- Water is quite difficult to evaporate due to its high boiling point

Benzoic acid  
(water insoluble)

# Factors affecting the $pK_a$

- An acid's  $pK_a$  depends on the stability of its conjugate base
- The  $pK_a$  of HCl is around  $-7$  compared to  $4.76$  for acetic acid.



Why are the equilibria so different? Why does hydrogen chloride fully dissociate but acetic acid do so only partially?

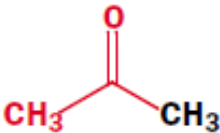
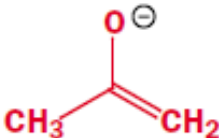
- The chloride ion is not a strong enough base to deprotonate the hydronium ion.
- Acetate, on the other hand, is easily protonated by  $\text{H}_3\text{O}^+$  to give neutral acetic acid

## ● Acid and conjugate base strength

- The stronger the acid HA, the weaker its conjugate base,  $\text{A}^-$
- The stronger the base  $\text{A}^-$ , the weaker its conjugate acid AH

# $pK_a$ values

**Table 8.1** The  $pK_a$  value of some compounds

| Acid  | $pK_a$  | Conjugate base   |
|---|---------|--|
| HI  | ca. -10 | $I^-$  |
| HCl   | ca. -7  | $Cl^-$   |
| $H_2SO_4$   | ca. -3  | $HSO_4^-$  |
| $HSO_4^-$   | 2.0     | $SO_4^{2-}$  |
| $CH_3COOH$  | 4.8     | $CH_3COO^-$  |
| $H_2S$  | 7.0     | $HS^-$   |
| $NH_4^+$  | 9.2     | $NH_3$   |
| $C_6H_5OH$  | 10.0    | $C_6H_5O^-$  |
| $CH_3OH$  | 15.5    | $CH_3O^-$  |
|  | 20.0    |  |
| $CH\equiv C-H$  | 25      | $CH\equiv C^-$   |
| $NH_3$  | 33      | $NH_2^-$   |
| $C_6H_6$  | ca. 43  | $C_6H_5^-$   |
| $CH_4$  | ca. 48  | $CH_3^-$   |

# Aqueous solutions and the $pK_a$

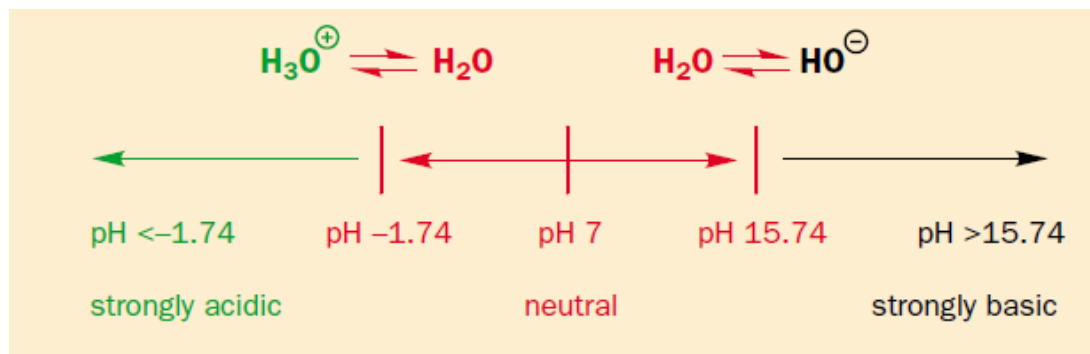
- The strongest base in aqueous solution is  $\text{OH}^-$  and the strongest acid in aqueous solution is  $\text{H}_3\text{O}^+$ . Remember that:
- Addition of stronger bases than  $\text{OH}^-$  just gives more  $\text{OH}^-$  by the deprotonation of water
- Addition of stronger acids than  $\text{H}_3\text{O}^+$  just gives more  $\text{H}_3\text{O}^+$  by protonation of water

Also remember that:

The pH of pure water at 25°C is 7.00 (not the  $pK_a$ )

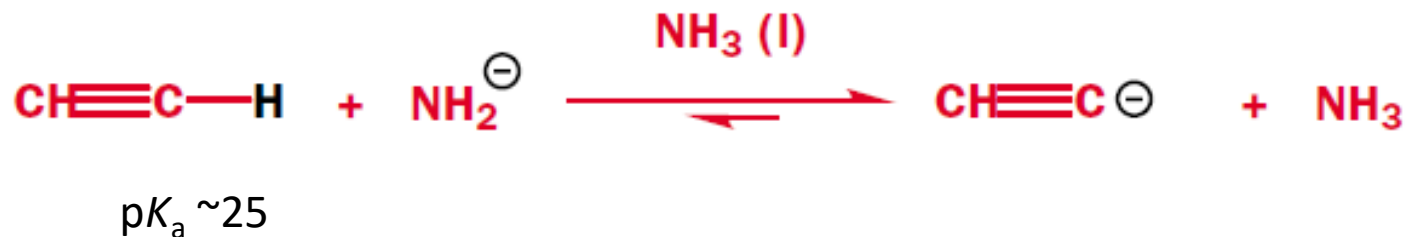
The  $pK_a$  of  $\text{H}_2\text{O}$  is 15.74

The  $pK_a$  of  $\text{H}_3\text{O}^+$  is -1.74



# The choice of solvent limits $pK_a$ range

- In water, effective  $pK_a$  range is only  $-1.74$  to  $15.74$ , that is, it is determined by the solvent. This is known as the **levelling effect** of the solvent.
- If we want to remove the proton from something with a high  $pK_a$ , say  $25-30$ , it would be impossible to do this in water since the strongest base we can use is hydroxide.
- If we do need a stronger base than  $OH^-$ , we must use a different solvent system.



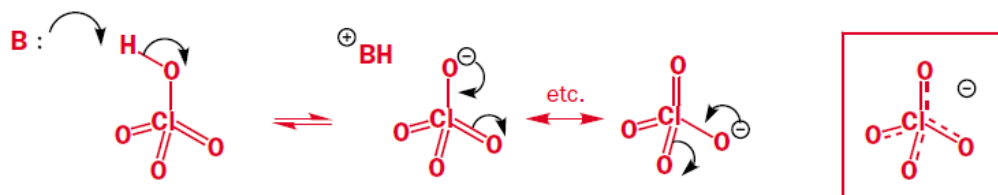
- We must use a different solvent that does not have a  $pK_a$  less than  $25$



# Constructing a $pK_a$ scale

- The negative charge on an electronegative element stabilizes the conjugate base
  - The  $pK_a$  values for second row hydrides  $CH_4$ ,  $NH_3$ ,  $H_2O$ , and  $HF$  are about 48, 33, 16, and 3, respectively.
  - Due to increased electronegativity
- Weak A–H bonds make stronger acids
  - Acid strength  $HI > HBr > HCl > HF$  ( $pK_a$ s: -10, -9, -7, 3 respectively)
  - Does not obey electronegativity rule here
  - Bond weakens down the group
- Delocalization of the negative charge stabilizes the conjugate base
  - The acids  $HClO$ ,  $HClO_2$ ,  $HClO_3$ , and  $HClO_4$  have  $pK_a$  values 7.5, 2, -1, and about -10, respectively
  - the acidic proton is on an oxygen attached to chlorine, that is, *we are removing a proton from the same environment in each case.*

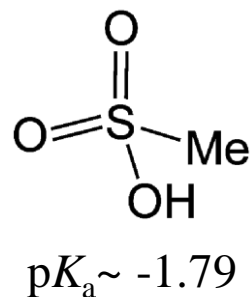
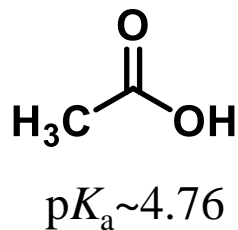
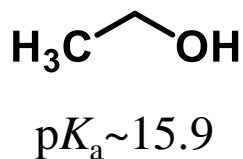
With each successive oxygen, the charge can be more delocalized, and this makes the anion more stable



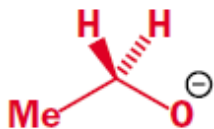
the negative charge on the perchlorate anion can be delocalized equally over all four oxygens

# Constructing a $pK_a$ scale

How do you explain the following observation of  $pK_a$  values?

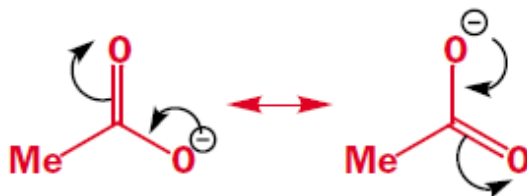


ethoxide

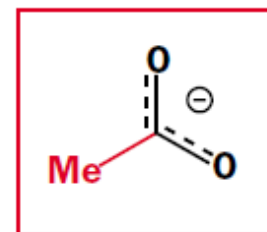


charge localized on one oxygen

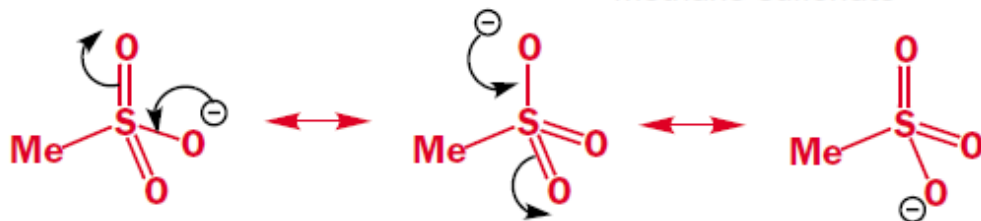
acetate



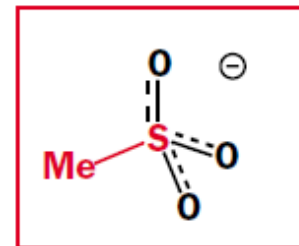
charge delocalized over two oxygens



methane sulfonate

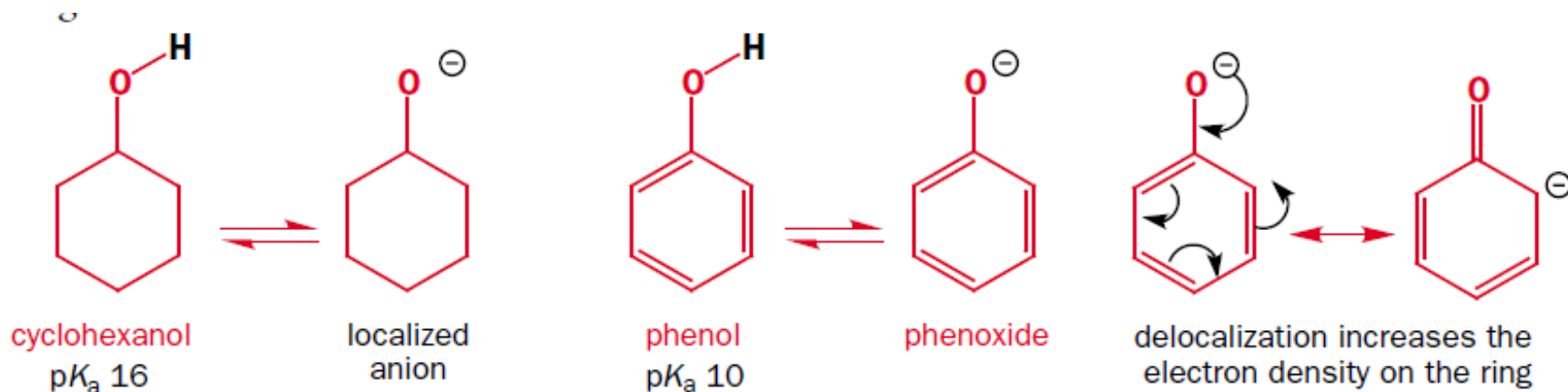


charge delocalized over three oxygens

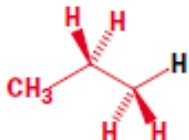
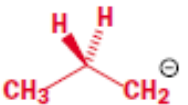
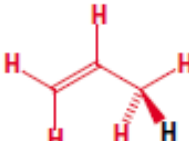
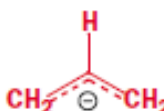
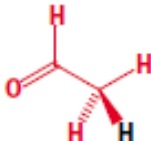
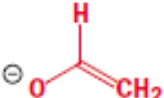
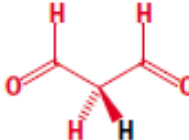
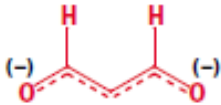
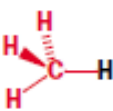
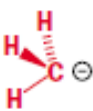
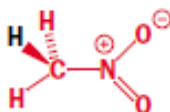
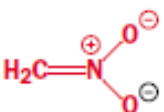
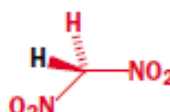
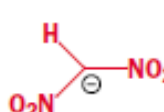
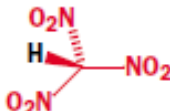
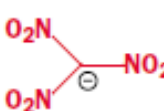


# Constructing a $pK_a$ scale

The  $pK_a$  of cyclohexanol is 16 and phenol is 10. How can you explain this difference?



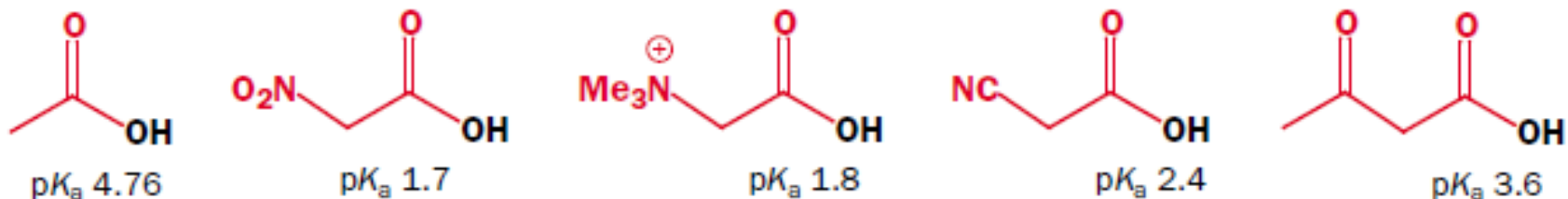
- In phenol,  $PhOH$ , the  $OH$  group is directly attached to a benzene ring.
- On deprotonation, the negative charge can be delocalized into the aromatic ring.

| Acid  | Conjugate base  | pK <sub>a</sub> | Comments  |
|---|---|-----------------|---|
|    |    | ~50             | charge is localized on one carbon—difficult since carbon is not very electronegative        |
|    |    | ~43             | charge is delocalized over π system—better but still not really good                        |
|    |    | 13.5            | charge is delocalized over π system but is mainly on the electronegative oxygen—much better |
|    |    | 5               | charge delocalized over π system but mainly over two oxygens—better still                   |
|    |    | ~48             | charge is localized on one carbon—again very unsatisfactory                                 |
|   |   | 10              | charge is delocalized but mainly on oxygens of nitro group                                  |
|  |  | 4               | charge can be delocalized over two nitro groups—more stable anion                           |
|  |  | 0               | charge can be delocalized over three nitro groups—very stable anion                         |

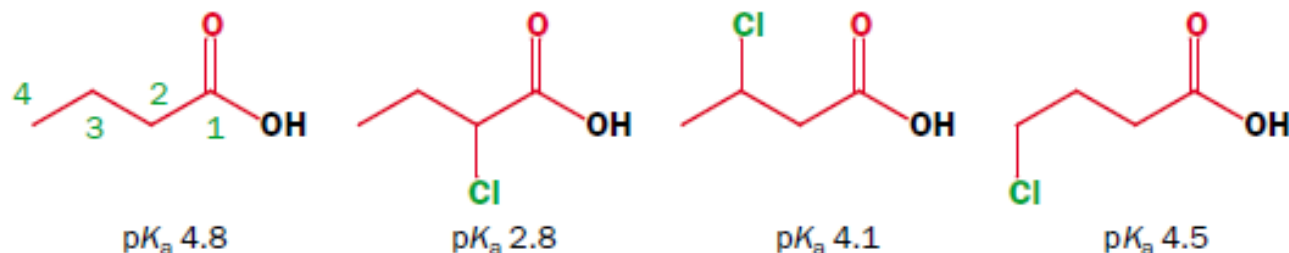
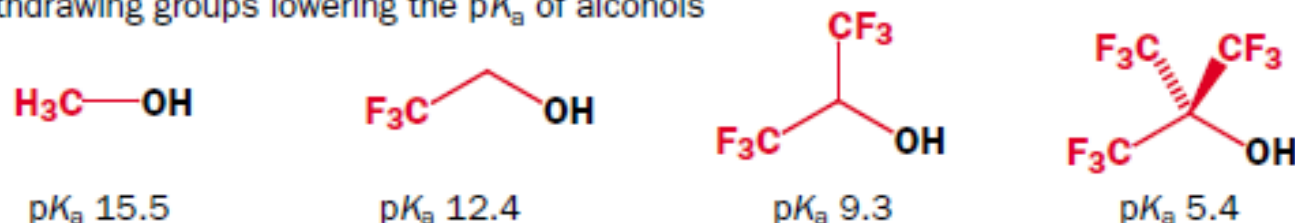
# Electron withdrawing groups increase acidity

- Any group that withdraws electrons will help to stabilize the conjugate base and therefore increase the strength of the acid.

electron-withdrawing groups lowering the  $pK_a$  of carboxylic acids



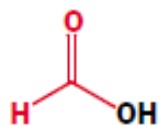
electron-withdrawing groups lowering the  $pK_a$  of alcohols



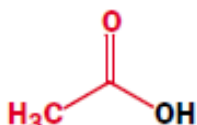
Inductive effects become less significant as the electron-withdrawing group gets further away

# Electron donating groups decrease acidity

- Electron donating groups destabilize the conjugate base because, instead of helping to spread out the negative charge, they actually put more in.



formic (methanoic) acid  
 $pK_a$  3.7



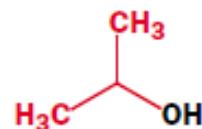
acetic (ethanoic) acid  
 $pK_a$  4.8



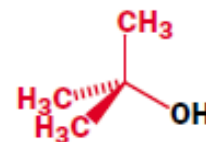
methanol  
 $pK_a$  15.5



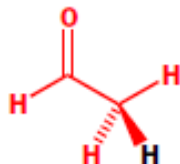
ethanol  
 $pK_a$  16.0



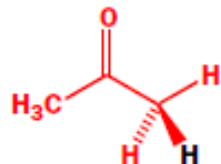
isopropyl alcohol  
 $pK_a$  17.1



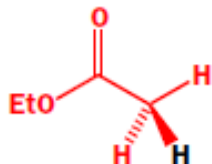
tert-butyl alcohol  
 $pK_a$  19.2



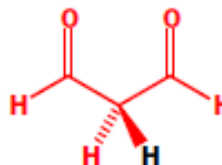
acetaldehyde  
(ethanal)  
 $pK_a$  13.5



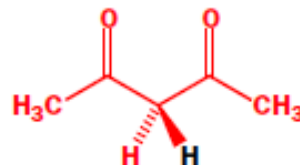
acetone  
(propanone)  
 $pK_a$  20



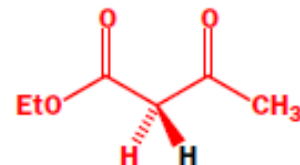
ethyl acetate  
(ethyl ethanoate)  
 $pK_a$  25



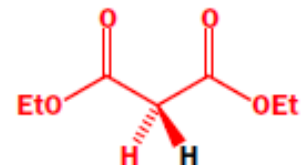
propandial  
 $pK_a$  ca. 5



acetylacetone  
(2,4-pentanedione)  
 $pK_a$  8.9



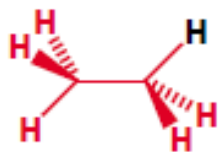
ethyl acetoacetate  
(ethyl 3-oxobutanoate)  
 $pK_a$  10.6



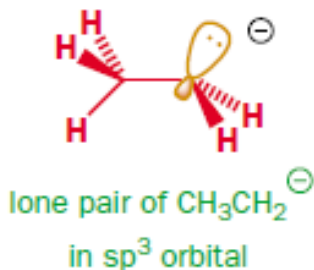
diethyl malonate  
(diethyl propanedioate)  
 $pK_a$  12.9

# Hybridization affects the $pK_a$

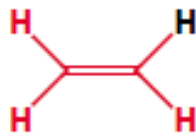
- S orbitals are held closer to the nucleus than P orbitals, the electrons in them are lower in energy, that is, more stable.
- Consequently, the more S character an orbital has, the more tightly held are the electrons in it.
- This means that electrons in an  $sp$  orbital (50% S character) are lower in energy than those in an  $sp^2$  orbital (33% s character), which are, in turn, lower in energy than those in an  $sp^3$  orbital (25% s character).



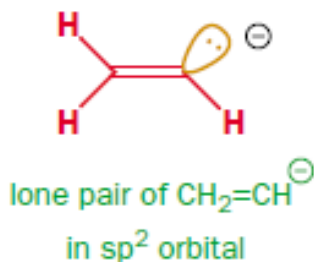
$pK_a$  ca. 50



lone pair of  $CH_3CH_2^-$   
in  $sp^3$  orbital



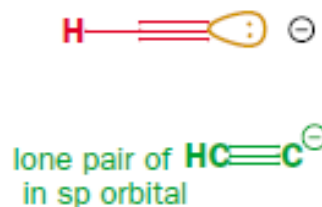
$pK_a$  ca. 44



lone pair of  $CH_2=CH^-$   
in  $sp^2$  orbital



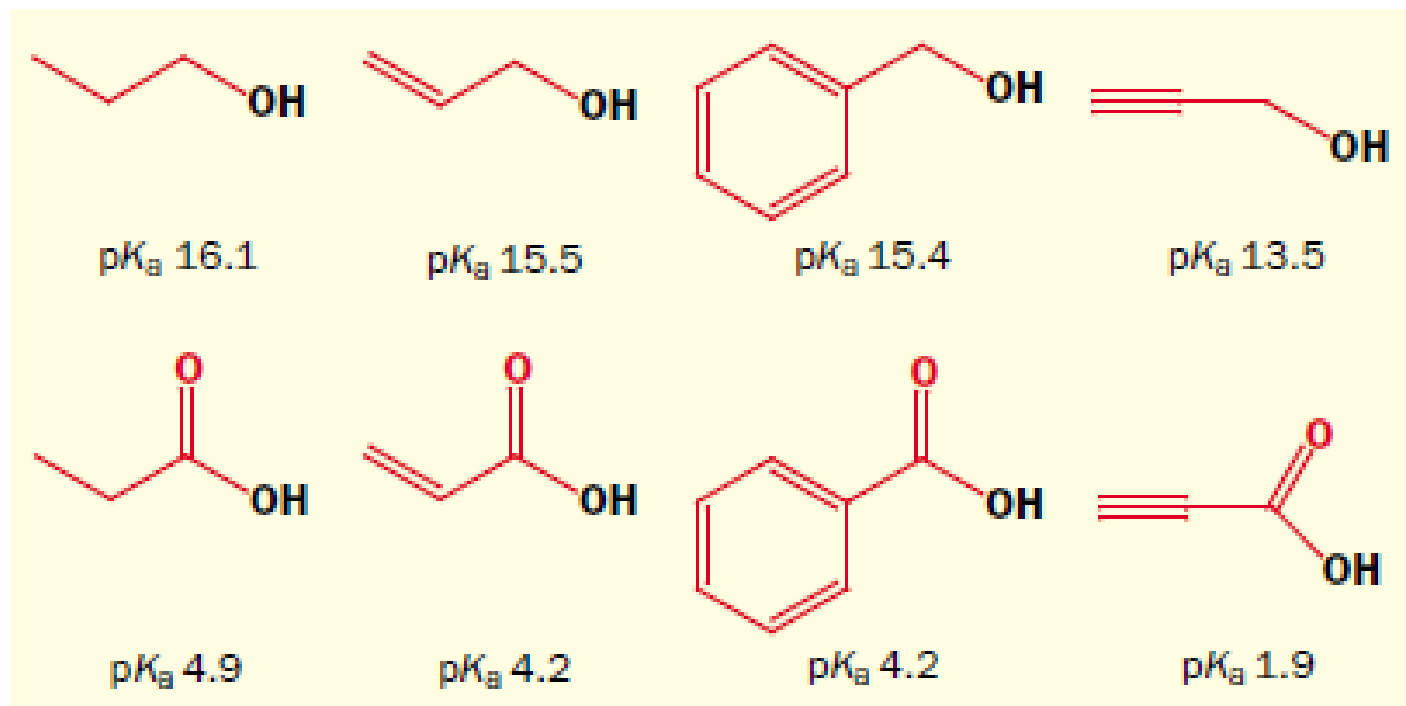
$pK_a$  ca. 26



lone pair of  $HC\equiv C^-$   
in  $sp$  orbital

# Hybridization affects the $pK_a$

More remote hybridization is also important

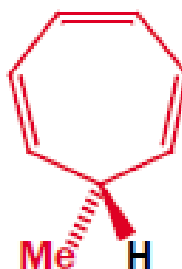




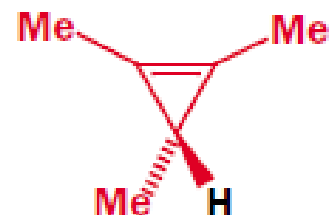
# Effect of Gaining aromaticity on $pK_a$



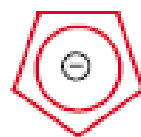
cyclopentadiene  
 $pK_a$  15.5



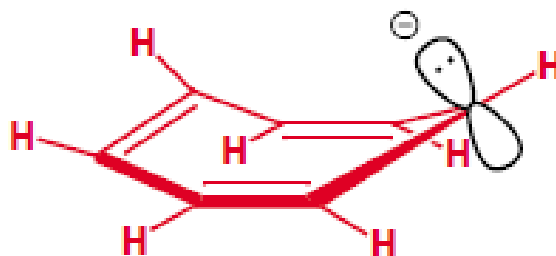
cycloheptatriene  
 $pK_a$  ca. 36



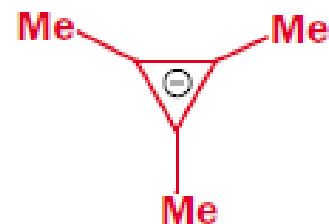
trimethylcyclopropene  
 $pK_a$  ca. 62



anion is planar  
6 $\pi$  electrons  
make it aromatic

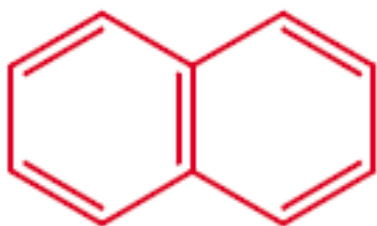


anion not planar  
neither aromatic or anti-aromatic

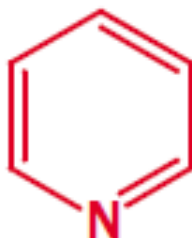


anion is planar  
4 $\pi$  electrons  
make it anti-aromatic

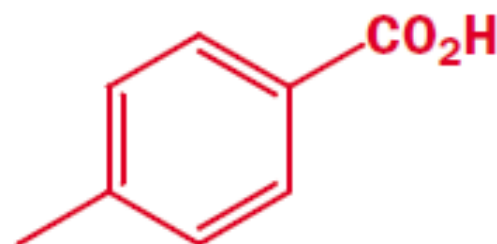
If you wanted to separate a mixture of naphthalene, pyridine, and *p*-toluic acid, how would you go about it?



naphthalene



pyridine



*para*-toluic acid

# Summary: Factors affecting $pK_a$ of acids

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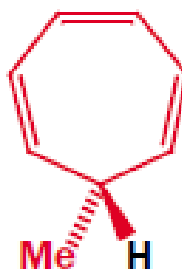
The more stabilized the conjugate base,  $A^-$ , the stronger is the acid, HA. Ways to stabilize  $A^-$  include:

- Having the charge on an electronegative element
- Delocalizing the negative charge over other carbon atoms, or even better, over more electronegative atoms
- Spreading out the charge over electron-withdrawing groups by the polarization of  $\sigma$  bonds (inductive)
- Electron donating groups decrease acidity
- Having the negative charge in an orbital with more s character
- Becoming aromatic

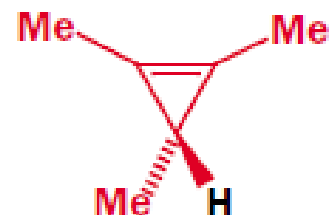
# Effect of Gaining aromaticity on $pK_a$



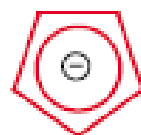
cyclopentadiene  
 $pK_a$  15.5



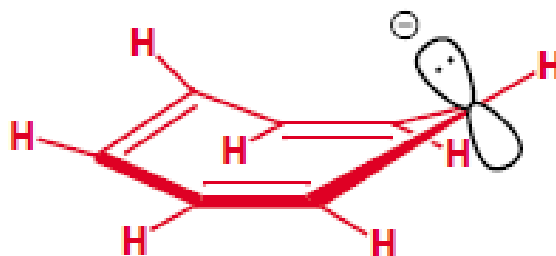
cycloheptatriene  
 $pK_a$  ca. 36



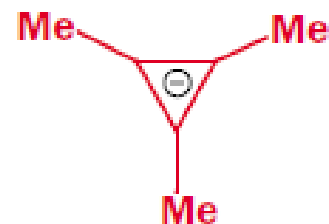
trimethylcyclopropene  
 $pK_a$  ca. 62



anion is planar  
6 $\pi$  electrons  
make it aromatic

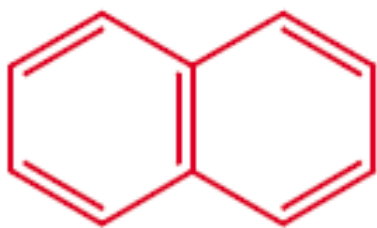


anion not planar  
neither aromatic or anti-aromatic

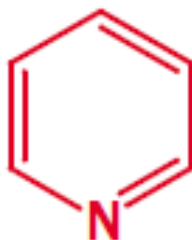


anion is planar  
4 $\pi$  electrons  
make it anti-aromatic

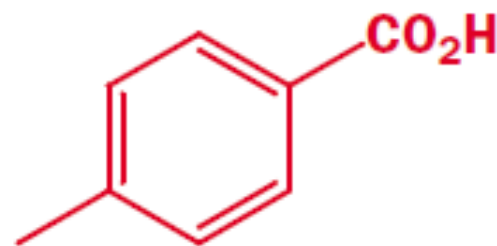
If you wanted to separate a mixture of naphthalene, pyridine, and *p*-toluic acid, how would you go about it?



naphthalene

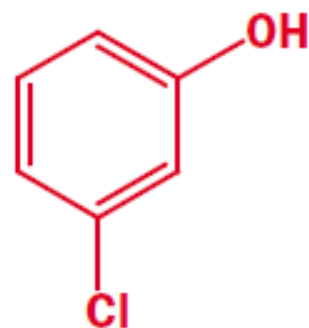
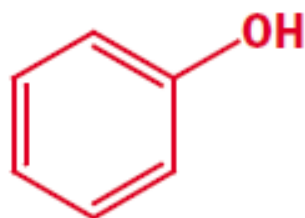
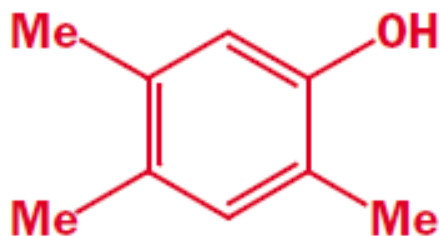
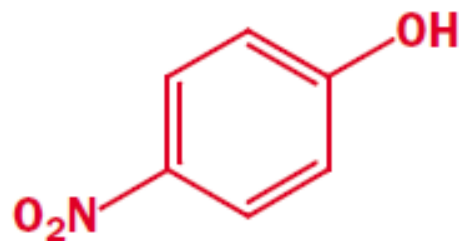
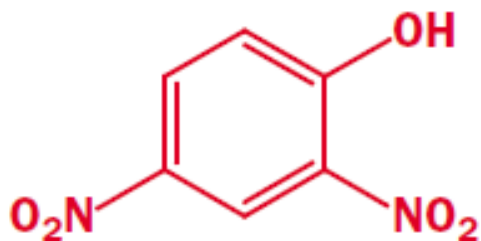


pyridine



*para*-toluic acid

The phenols shown here have approximate  $pK_a$  values of 4, 7, 9, 10, and 11. Suggest with explanations which  $pK_a$  value belongs to which phenol.



# Basicity

- A **base** is a substance that can accept a proton by donating a pair of electrons

Example: ammonia, water, the acetate anion, and the methyl anion

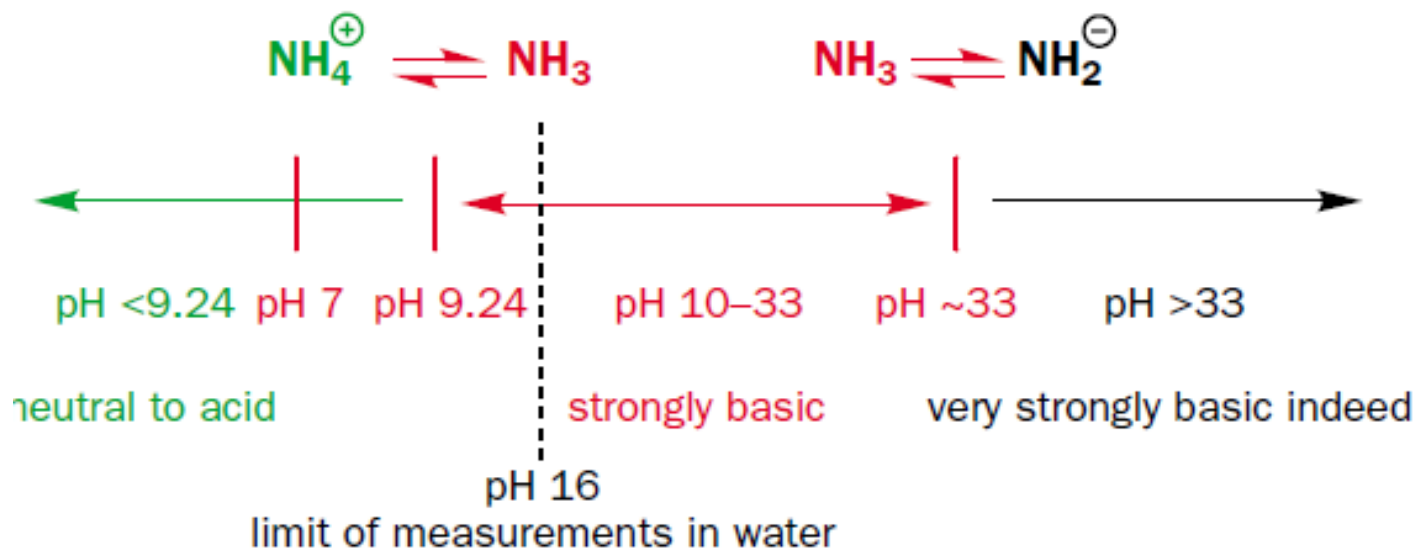
How can we measure a base's strength? To what extent does a base attract a proton?

- For example, if we want to know which is the stronger base—formate anion or acetylide anion—we look up the  $pK_a$ s for their conjugate acids
- the  $pK_a$  for formic acid ( $\text{HCO}_2\text{H}$ ) is 3.7, whilst the  $pK_a$  for ethyne (acetylene) is around 25.
- Ethyne is much more reluctant to part with its proton, that is, acetylide is much more basic than formate.

This is all very well for anions—we simply look up the  $pK_a$  value for the neutral conjugate acid, but what if we want to know the basicity of ammonia?

# Basicity

- If we want to know the *basicity* of ammonia, we must look up the  $pK_a$  of its conjugate acid, the ammonium cation,  $NH_4^+$ , protonated ammonia
- Its  $pK_a$  is 9.24 which means that ammonia is a weaker base than hydroxide—the  $pK_a$  for water (the conjugate acid of hydroxide) is 15.74.





# Factors affecting basicity

---

- The more accessible the electrons are, the stronger the base is.
- A negatively charged base is more likely to pick up a proton than a neutral one.
- A compound in which the negative charge is delocalized is going to be less basic than one with a more concentrated, localized charge, and so on.
- Carboxylic acids are stronger acids than simple alcohols because the negative charge formed is delocalized over two oxygens in the carboxylate but localized on just one oxygen for the alkoxide
- The alkoxide is a stronger base because its electrons are more available to be protonated.

**Let us look at strength of neutral base!!**

# Factors affecting basicity

- Accessibility of the lone pair and to what extent can the resultant positive charge formed be stabilized either by delocalization or by the solvent.
- The accessibility of the lone pair depends on its energy—it is usually the HOMO of the molecule and so, the higher its energy, the more reactive it is and hence the stronger the base.
- The lone pair is lowered in energy if it is on a very electronegative element or if it can be delocalized in some manner.

**Why do you think ammonia is more basic than water?**



- Ammonia is  $10^{10}$  times more basic than water: since oxygen is more electronegative than nitrogen, its lone pair is lower in energy.
- The  $\text{p}K_{\text{aH}}$  for ammonia is 9.24 whilst the  $\text{p}K_{\text{aH}}$  for water is  $-1.74$ .

$\text{p}K_{\text{aH}}$  simply means the  $\text{p}K_{\text{a}}$  of the conjugate acid

# Factors affecting basicity

- Ammonia is the simplest nitrogen base and has a  $pK_{aH}$  of 9.24.
- Any substituent that increases the electron density on the nitrogen therefore raises the energy of the lone pair thus making it more available for protonation and increasing the basicity of the amine
- Conversely, any substituent that withdraws electron density from the nitrogen makes it less basic

- Substituting one hydrogen of ammonia increases the basicity by more than a factor of ten, substituting two has less effect and in the trisubstituted amine the  $pK_{aH}$  is actually *lower*.

**Table 8.4**  $pK_{aH}$  values for primary, secondary, and tertiary amines

| R            | $pK_{aH}$ $RNH_2$ | $pK_{aH}$ $R_2NH$ | $pK_{aH}$ $R_3N$ |
|--------------|-------------------|-------------------|------------------|
| Me           | 10.6              | 10.8              | 9.8              |
| Et           | 10.7              | 11.0              | 10.8             |
| <i>n</i> -Pr | 10.7              | 11.0              | 10.3             |
| <i>n</i> -Bu | 10.7              | 11.3              | 9.9              |

Why the basicity is reduced?

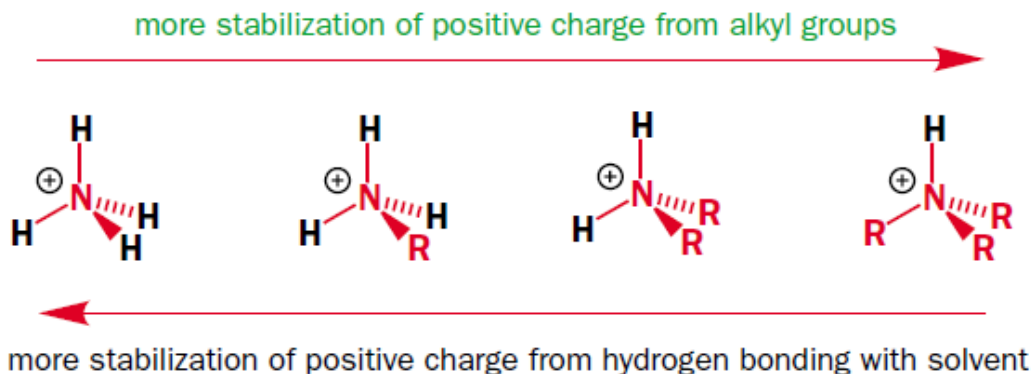
# Factors affecting basicity: Amines case

- Substituting one hydrogen of ammonia increases the basicity by more than a factor of ten, substituting two has less effect and in the trisubstituted amine the  $pK_{aH}$  is actually *lower*.

**Table 8.4**  $pK_{aH}$  values for primary, secondary, and tertiary amines

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|--------------|-------------------|-------------------|------------------|
| Me           | 10.6              | 10.8              | 9.8              |
| Et           | 10.7              | 11.0              | 10.8             |
| <i>n</i> -Pr | 10.7              | 11.0              | 10.3             |
| <i>n</i> -Bu | 10.7              | 11.3              | 9.9              |

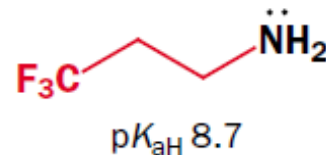
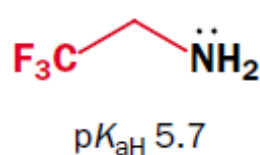
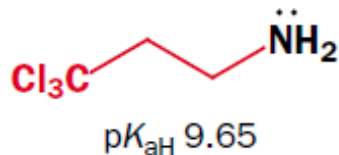
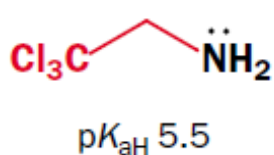
- (1) the increased availability of the lone pair and the stabilization of the resultant positive charge, which increases with successive replacement of hydrogen atoms by alkyl groups; and
- (2) the stabilization due to solvation, an important part of which is due to hydrogen bonding and this effect decreases with increasing numbers of alkyl groups.



# Factors affecting basicity

If the lone pair on nitrogen is *less* available for protonation, and that amine is *less* basic. If,

- the nitrogen atom is attached to an electron-withdrawing group
- the lone pair is in an SP or SP<sup>2</sup> hybridized orbital
- the lone pair is conjugated with an electron-withdrawing group
- the lone pair is involved in maintaining the aromaticity of the molecule



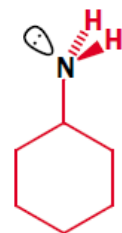
Inductive effects fall off rapidly with distance.

# Factors affecting basicity

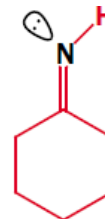
- The more S character an orbital has, the more tightly it holds on to its electrons and so the more electron-withdrawing it is.
- If the lone pair itself is in an  $sp^2$  or an  $sp$  orbital, it is more tightly held (the orbital is lower in energy) and therefore much harder to protonate.

**Table 8.5**  $pK_{aH}$ s of unsaturated primary, secondary, and tertiary amines

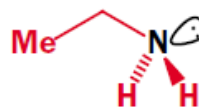
| R                  | $RNH_2$ | $R_2NH$ | $R_3N$ |
|--------------------|---------|---------|--------|
| $H_3C-CH_2-CH_2-$  | 10.7    | 11.0    | 10.3   |
| $H_2C=CH-CH_2-$    | 9.5     | 9.3     | 8.3    |
| $HC\equiv C-CH_2-$ | 8.2     | 6.1     | 3.1    |



lone pair in  $sp^3$  orbital  
 $pK_{aH}$  10.7



lone pair in  $sp^2$  orbital  
 $pK_{aH}$  9.2

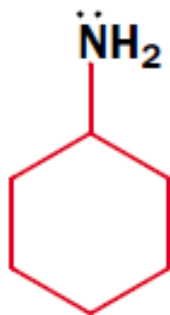


lone pair in  $sp^3$  orbital  
 $pK_{aH}$  10.8

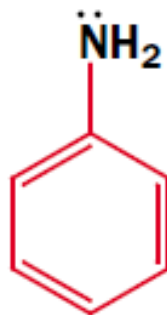


lone pair in  $sp$  orbital  
 $pK_{aH}$  ca. -10

# Why aniline is less basic?



cyclohexylamine  
 $\text{p}K_{\text{aH}}$  10.7



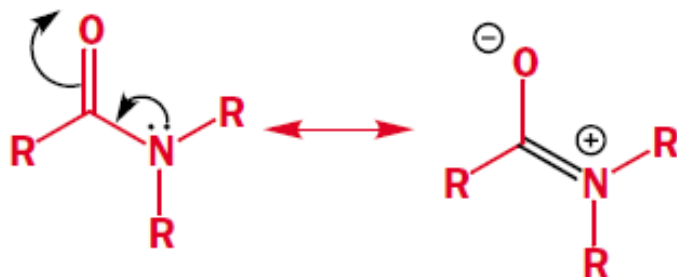
aniline  
 $\text{p}K_{\text{aH}}$  4.6

$\text{NH}_2$  is attached to  $\text{SP}^2$  carbon

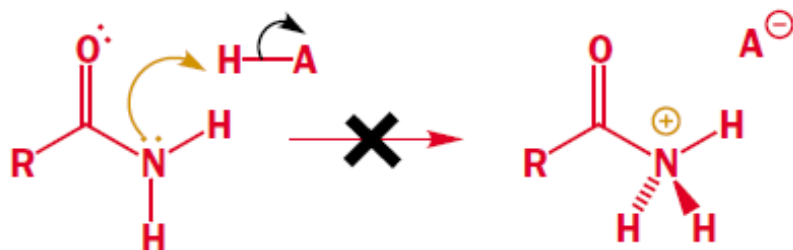
Conjugation effect

# Factors affecting basicity: Amide case

- Amides are weak bases protonated on oxygen



delocalization of nitrogen's lone pair into  $\pi$  system



no protonation occurs on the nitrogen atom

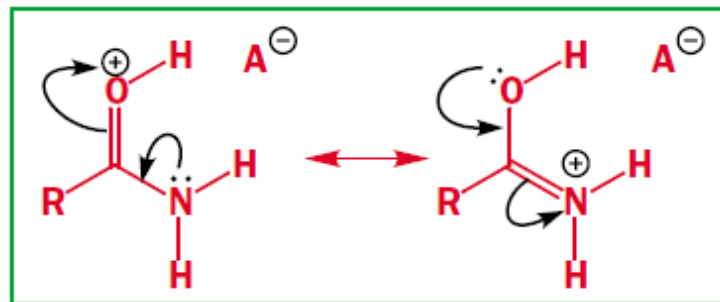
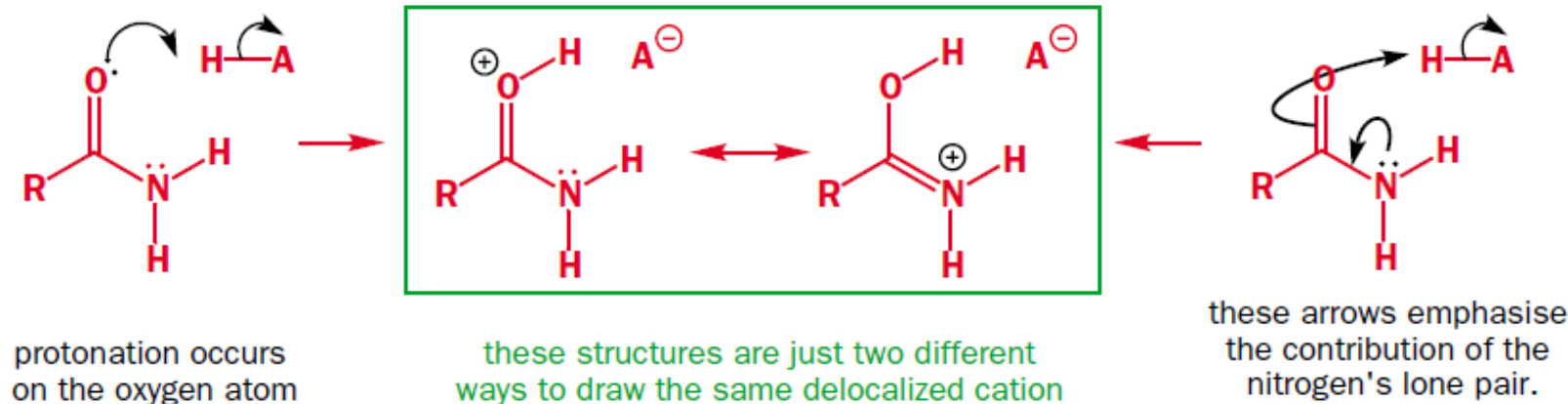
The  $\text{p}K_{\text{aH}}$  for an amide is typically between 0 and  $-1$ .

- Protonation at nitrogen would result in a positive charge on the nitrogen atom.
- Since this is adjacent to the carbonyl, whose carbon is also electron-deficient, this is energetically unfavourable.
- If the amide were protonated at nitrogen, the positive charge could not be delocalized on to the oxygen but would have to stay localized on the nitrogen



# Factors affecting basicity: Amide case

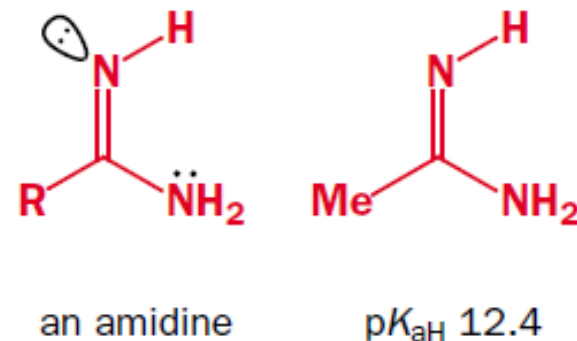
- Protonation occurs on the carbonyl oxygen atom.
- We can draw the mechanism for this using either a lone pair on oxygen or on nitrogen.



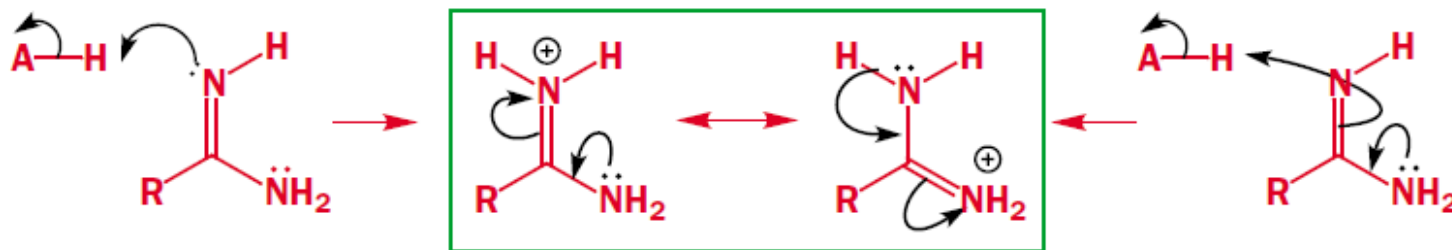
# Factors affecting basicity: Amidines

- Amidines are stronger bases than amides or amines

- An amidine has two nitrogen atoms that could be protonated—one is  $sp^3$  hybridized, the other  $sp^2$  hybridized.

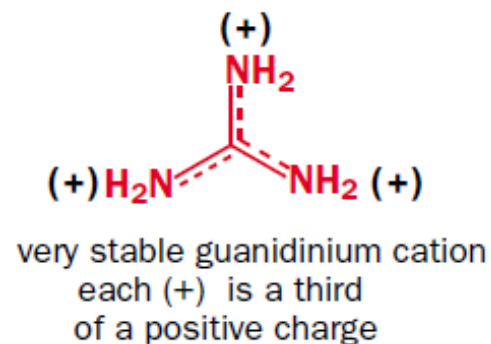
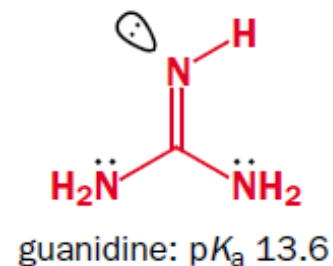
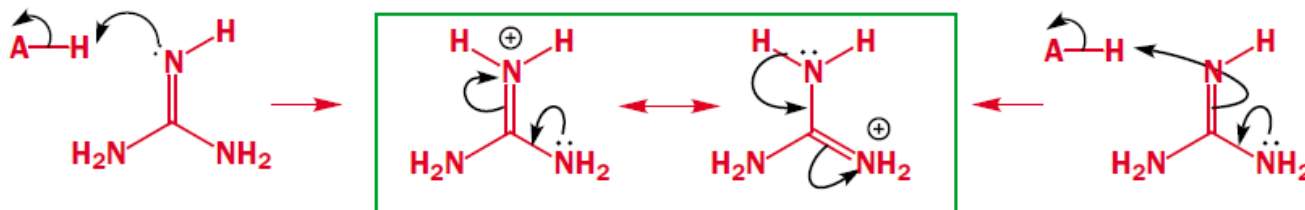


- We might expect the  $sp^3$  nitrogen to be more basic but protonation occurs at the  $sp^2$  nitrogen atom.
- Only if we protonate on the  $sp^2$  nitrogen can the positive charge be delocalized over both nitrogens.
- We are using *both* lone pairs when we protonate on the  $sp^2$  nitrogen.



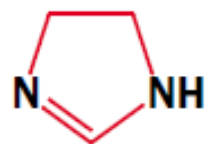
# Factors affecting basicity: Guanidines

- Guanidines are very strong bases
- All three nitrogen lone pairs cooperate to donate electrons but protonation occurs, as before, on the  $sp^2$  nitrogen atom.



- All three C–N bonds are the same length in the guanidinium ion and each nitrogen atom has the same charge (about one-third positive)

# How can you explain the following observations?

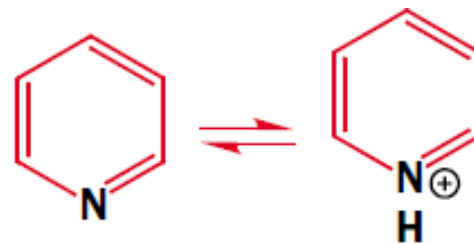


imidazoline  
 $pK_{aH}$  11



imidazole  
 $pK_{aH}$  7.1

imidazolium  
cation



pyridine  
 $pK_{aH}$  5.2

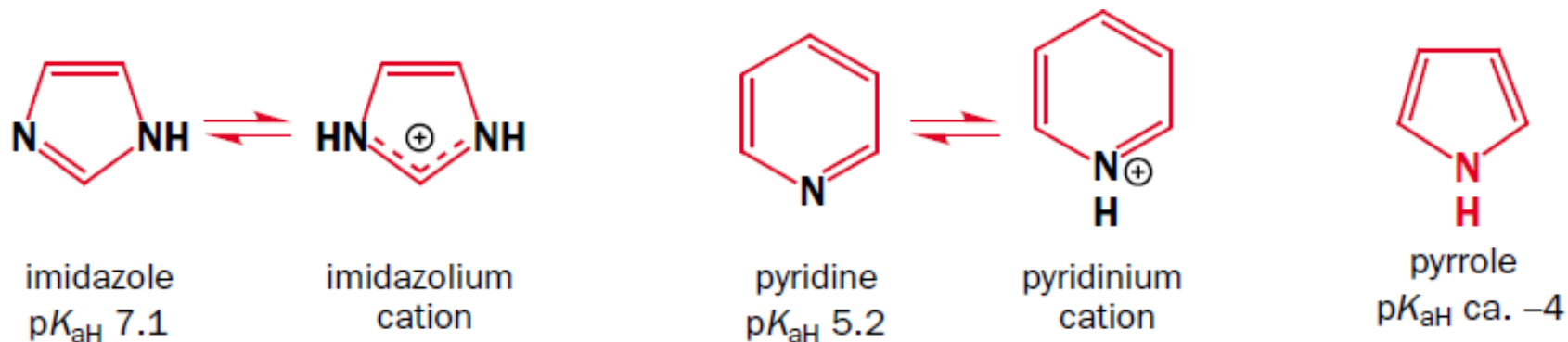
pyridinium  
cation

Imidazoline is a simple cyclic amidine and its  $pK_{aH}$  value is just what we expect, around 11

Imidazole, on the other hand, is less basic ( $pK_{aH}$  7.1) because both nitrogens are attached to an electron-withdrawing  $sp^2$  carbon

However, imidazole, with its two nitrogen atoms, is more basic than pyridine ( $pK_{aH}$  5.2) because pyridine only has one nitrogen on which to stabilize the positive charge.

# How can you explain the following observations?

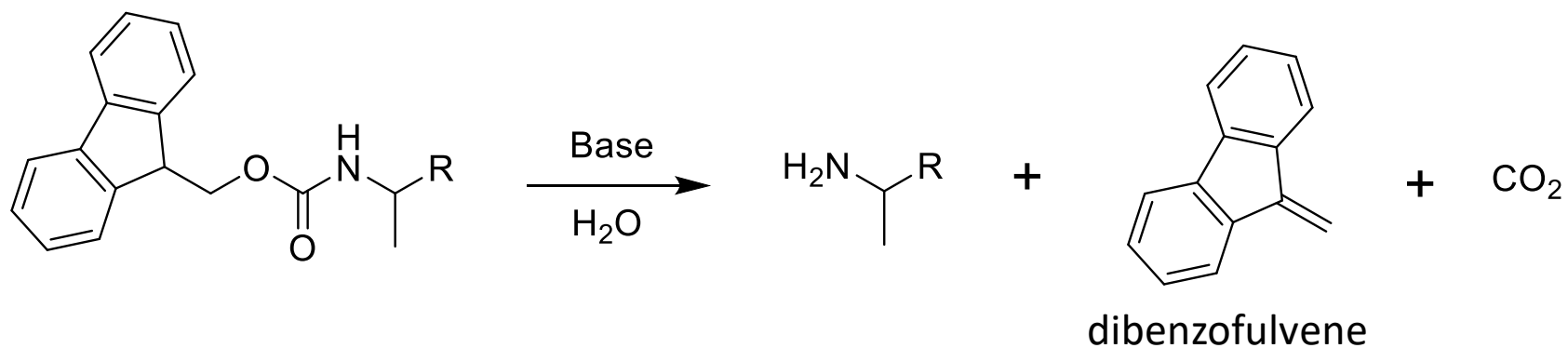


Both imidazole and pyridine are aromatic—they are flat, cyclic molecules with 6  $\pi$  electrons in the conjugated system. Imidazole has one lone pair that is and one that is not involved in the Aromaticity.

This contrasts to pyrrole in which the lone pair on the only nitrogen atom is needed to complete the six aromatic  $\pi$  electrons and is therefore delocalized around the ring.

Generally,  $pK_a$  values are determined in water. How would the  $pK_a$  values of carboxylic acids, alcohols, ammonium ions, phenol, and an anilinium ion change if they were determined in a solvent less polar than water?

**Suggest a plausible mechanism**



Aromaticity of the dibenzofulvene is the driving force for this reaction

# Nucleophilicity vs Basicity



# Nucleophilicity vs basicity

## Nucleophile?

Nucleophiles (nucleus-loving) are electron donor species

## Base?

Species that can accept a proton by donating a pair of electrons

## How do we measure basicity?



- Basicity, as expressed by  $\text{p}K_{\text{a}}$ , is measured by the position of an equilibrium involving an electron pair donor (base), a proton, the conjugate acid, and the conjugate base.

# Factors that affect nucleophilicity

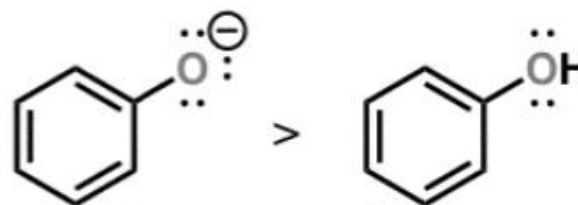
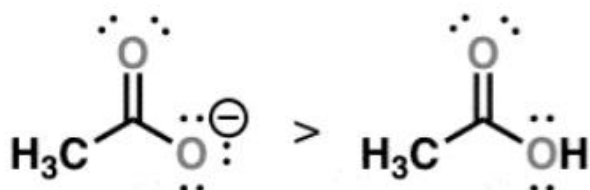
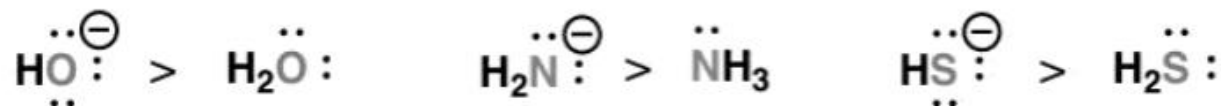
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- **Charge:** The higher the electron density on a species, the more nucleophilic it is.
- **Electronegativity:** A highly electronegative atom is less willing to donate a pair of electrons
- **Size or steric hindrance:** Sterically bulky nucleophiles react more slowly
- **Solvent:** Solvation weakens the nucleophile; that is, solvation decreases nucleophilicity
- **Resonance effect on nucleophilicity:** If the electron lone pair on a heteroatom is delocalized by resonance, it is inherently less reactive

# Factors that affect nucleophilicity

## Charge

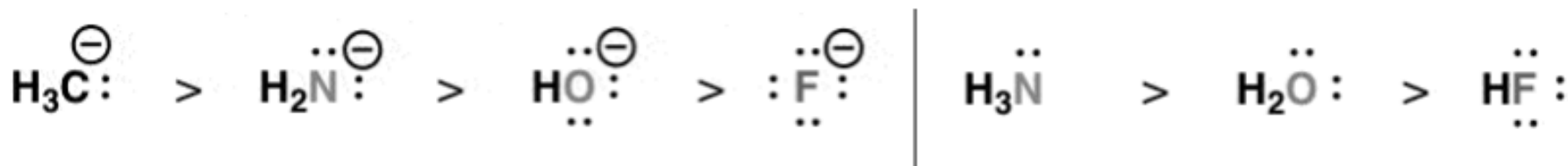
- A nucleophile reacts by donating electrons.
- This means, that the higher the electron density on a species, the more nucleophilic it is
- A negatively charged species will be more nucleophilic than its neutral counterpart
- The conjugate base is always a better nucleophile because nucleophilicity increases with increasing electron density on the atom



# Factors that affect nucleophilicity

## Electronegativity

- A nucleophile reacts by donating electrons.
- A highly electronegative atom is less willing to donate a pair of electrons
- a less electronegative atom is more nucleophilic
- Across the periodic table, nucleophilicity increases with decreasing electronegativity

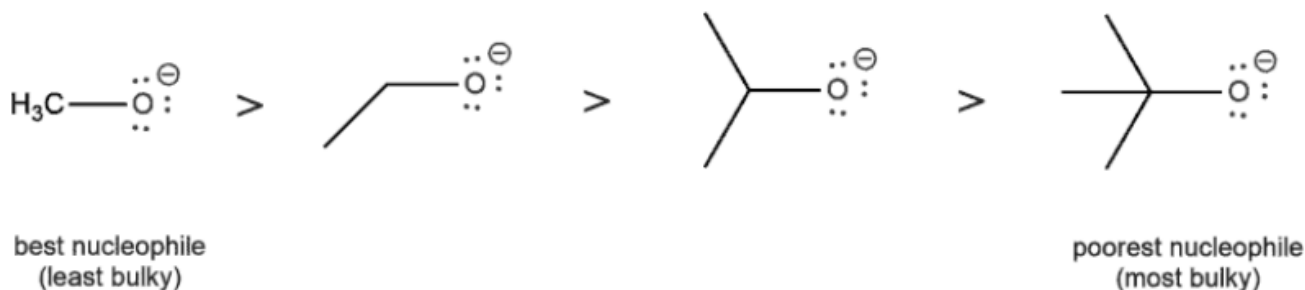
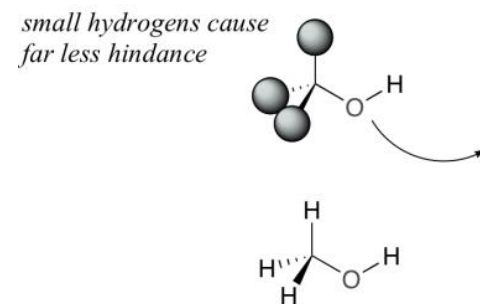
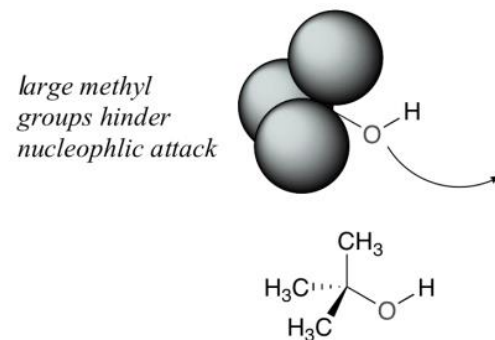


How about basicity?

# Factors that affect nucleophilicity

## Size or steric hindrance

- Steric hindrance is an important consideration when evaluating nucleophilicity
- Nucleophile needs to be in close proximity to the electrophile
- This can be hard if the nucleophile is a large and bulky molecule.
- In general, a smaller nucleophile is a stronger nucleophile.



How about basicity?

# Factors that affect nucleophilicity: Solvent

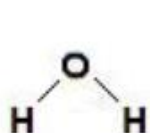
- Solvents as being either polar or nonpolar. Polar solvents can be further subdivided into protic and aprotic solvents

## Protic Solvents

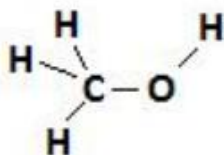
- A **protic solvent** is a solvent that has a hydrogen atom bound to an oxygen or nitrogen.

### Polar Protic Solvents

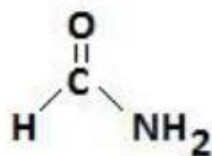
$\text{H}_2\text{O}$ ,  $\text{ROH}$ ,  $\text{RNH}_2$ ,  $\text{R}_2\text{NH}$



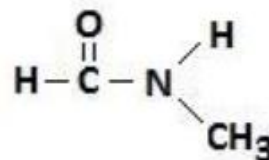
Water



Methanol



Formamide

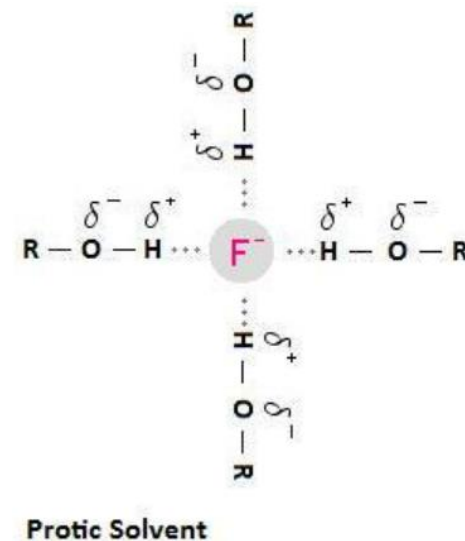
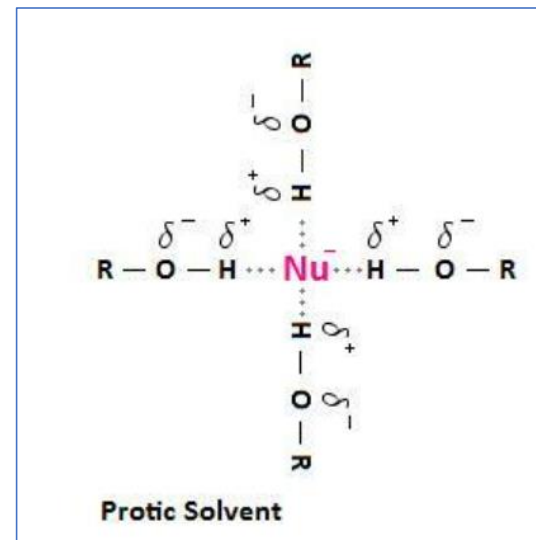


N-Methylformamide

- the O-H and N-H bonds that are present in protic solvents result in a hydrogen that is positively polarized.
- the positively polarized hydrogen of the solvent molecule can interact with the negatively charged nucleophile

# Factors that affect nucleophilicity: Solvent

- Hydrogen-bonding interactions can occur between a protic solvent and a negatively charged nucleophile
- Solvation weakens the nucleophile; that is, solvation decreases nucleophilicity.
- the solvent forms a "shell" around the nucleophile, impeding the nucleophile's ability to attack an electrophilic carbon.
- Because the charge on smaller anions is more concentrated, **small anions are more tightly solvated than large anions.**



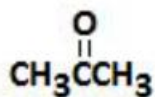
- Fluoride will not function as a nucleophile at all in protic solvents

# Factors that affect nucleophilicity: Solvent

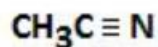
## Aprotic Solvents

An **aprotic solvent** is a solvent that lacks a positively polarized hydrogen.

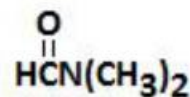
### Polar Aprotic Solvents



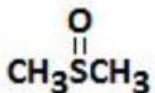
Acetone



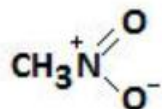
Ethanenitrile (Acetonitrile)



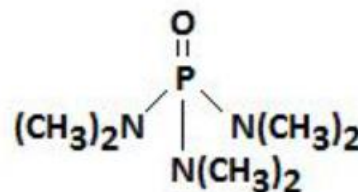
*N,N*-Dimethylformamide (DMF)



Dimethyl sulfoxide (DMSO)



Nitromethane



Hexamethylphosphoric triamide (HMPA)

- Nucleophilicity of halides can be enhanced significantly with the aid of aprotic polar solvents

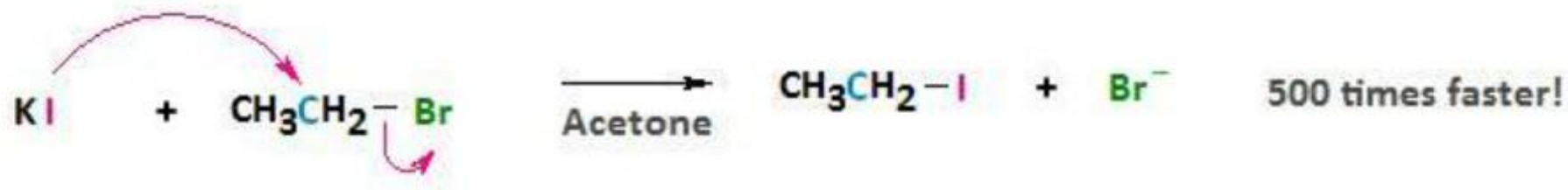


# Solvents and Nucleophilicity

## Example - Protic Solvent



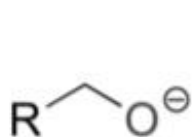
## Example - Aprotic Solvent



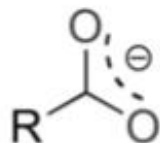
# Factors that affect nucleophilicity

## Resonance effect on nucleophilicity

- Resonance also affects the strength of the nucleophile.
- If the electron lone pair on a heteroatom is delocalized by resonance, it is inherently less reactive – meaning less nucleophilic, and also less basic.



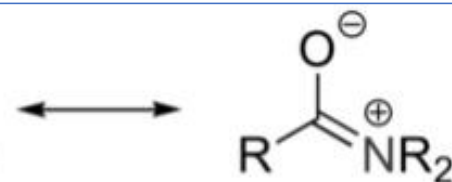
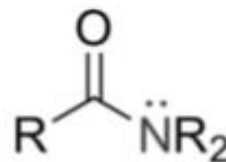
alkoxide ion:  
charge is localized  
*more nucleophilic*



carboxylate ion:  
charge is spread over both oxygens  
*less nucleophilic*



amine  
*more nucleophilic*



amide  
*less nucleophilic*

# Factors that affect nucleophilicity

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- **Charge:** The higher the electron density on a species, the more nucleophilic it is.
- **Electronegativity:** A highly electronegative atom is less willing to donate a pair of electrons
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- **Solvent:** Solvation weakens the nucleophile; that is, solvation decreases nucleophilicity
- **Resonance effect on nucleophilicity:** If the electron lone pair on a heteroatom is delocalized by resonance, it is inherently less reactive

Rank the following species from best nucleophile to poorest nucleophile in an aqueous solution:

