

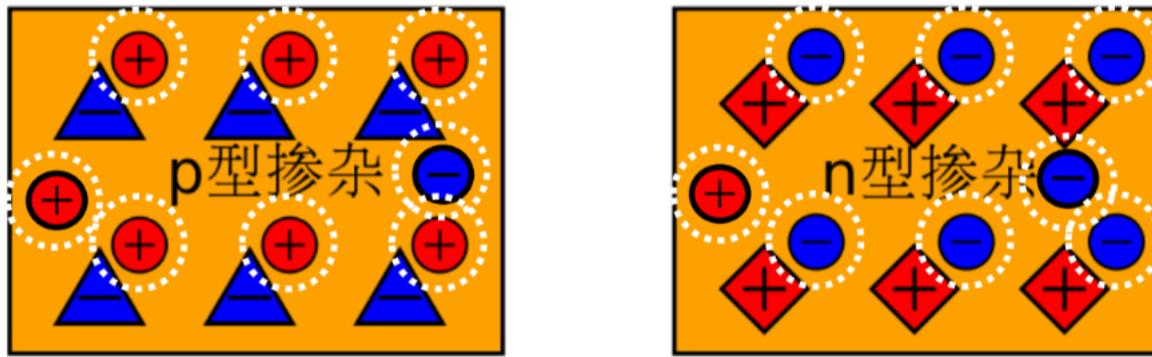
PN结

PN结

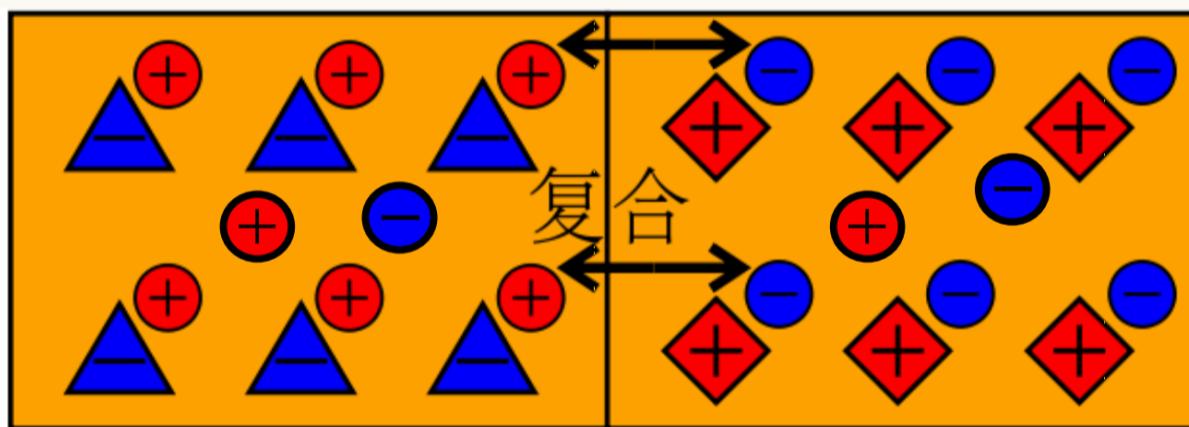
Definition: A P-N junction is formed when an n-type material is fused together with a p-type material creating a semiconductor diode.

定义：当N型材料与P型材料融合在一起形成半导体二极管时，形成P-N结。

图示



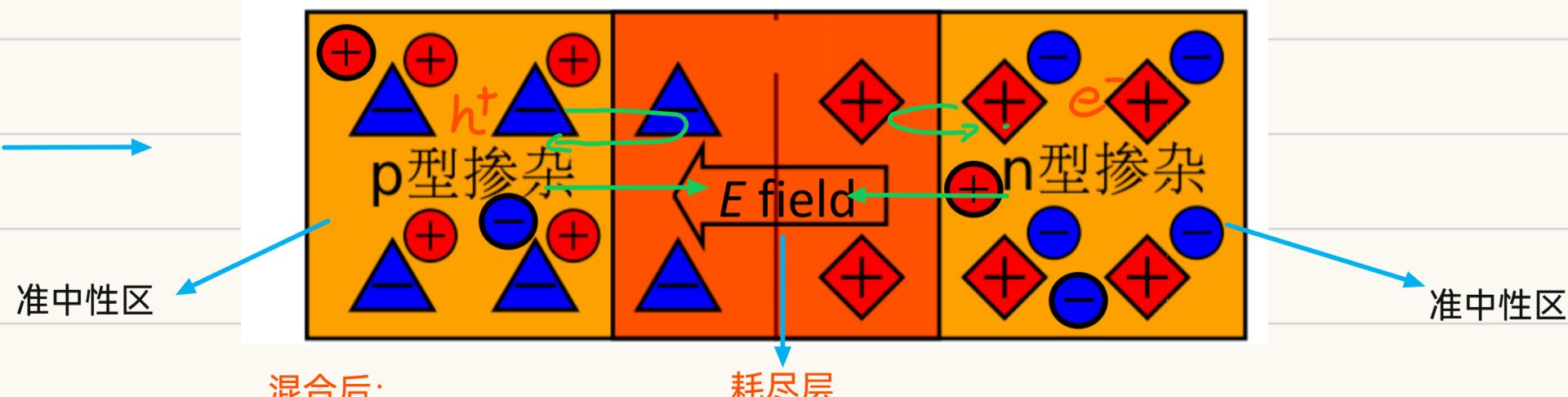
混合前：， P型材料：阴离子+多数空穴+少数电子， N型材料：阳离子+少数空穴+多数电子



混合过程中：P端：空穴向右扩散，N端：电子向左扩散

结： $e^- h^+$ 复合

Diffusion current → ← Drift current



混合后：

耗尽层

(1) 结：内建电场

(2) 热平衡时：扩散电流与漂移电流平衡

关于PN结，我们应该了解什么

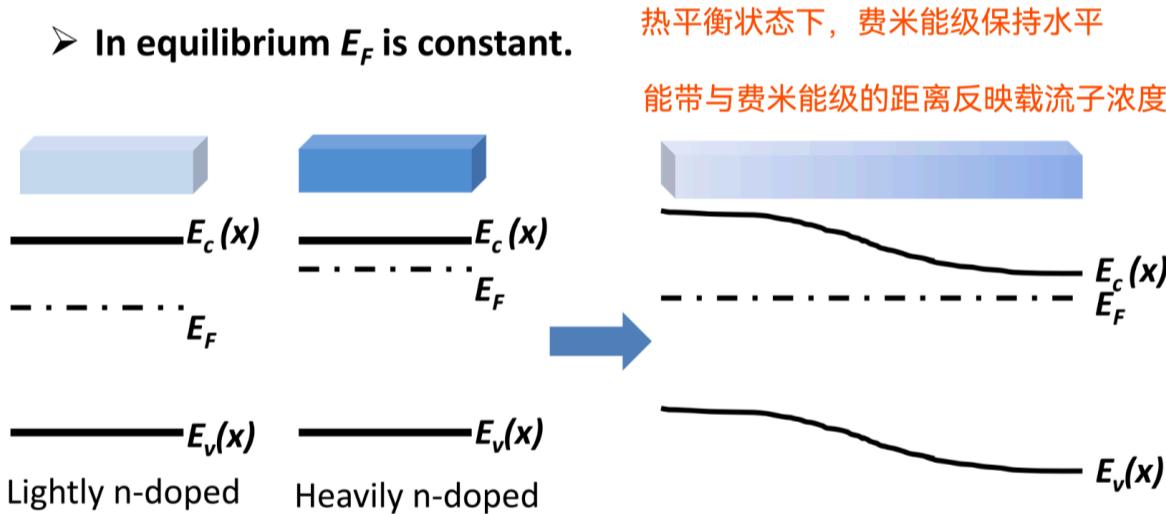
- What happens when we join p-type and n-type semiconductors?
当我们连接p型和n型半导体时会发生什么?
- What is the width of the depletion region? How does it relate to the dopant concentration?
耗尽区的宽度是多少? 它与掺杂剂浓度有何关系?
- What is the built-in voltage? How to calculate it based on dopant concentrations?
内建电压是多少? 如何根据掺杂浓度计算内建电压?
- What happens when we apply voltage to the P-N junction?
当我们对P-N结施加电压时会发生什么?
- What is the current-voltage characteristic for the P-N junction diode?
Why is it different from a resistor?
P-N结二极管的电流-电压特性是什么? 为什么它与电阻不同?



PN结的能带模型

回顾非均匀N型掺杂半导体的能带模型

- The position of E_F relative to the band edges is determined by the carrier concentrations.

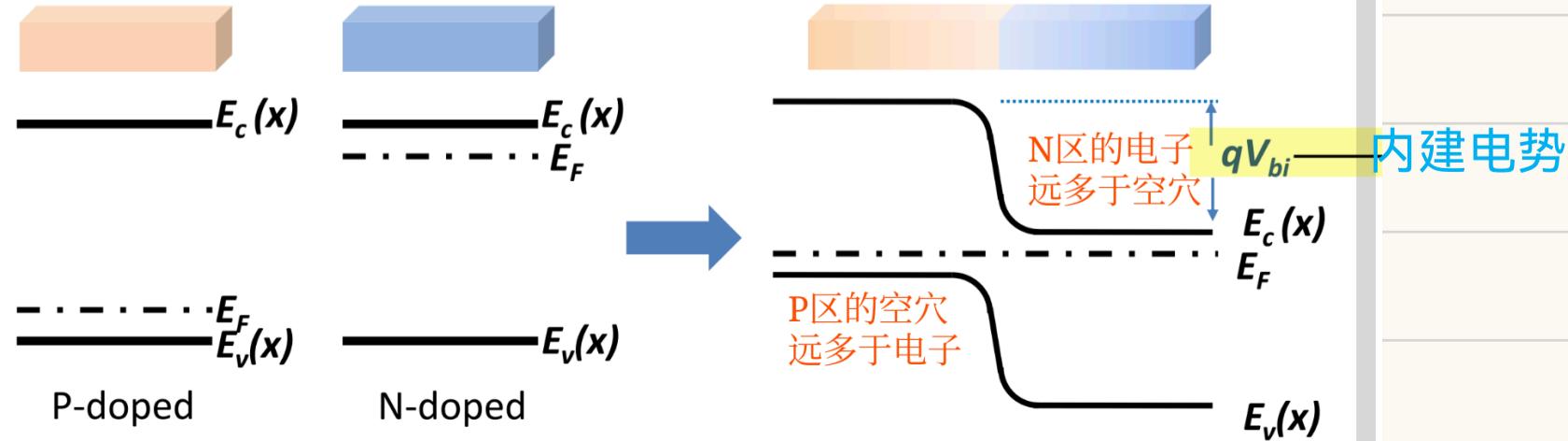


PN结的能带模型

- The position of E_F relative to the band edges is determined by the carrier concentrations.

- In equilibrium E_F is constant.

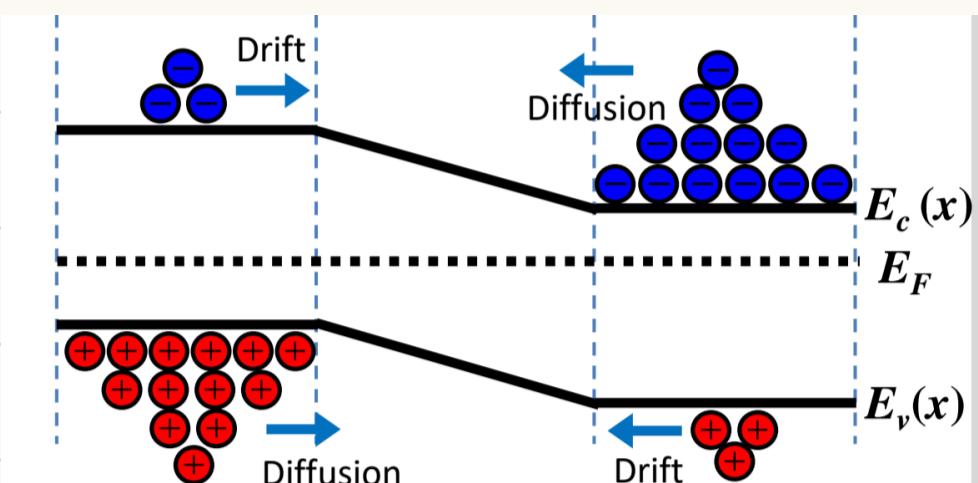
远离结区的位置：P型材料和N型材料的载流子浓度基本维持原样



(1) 扩散: Doped charge carriers diffuse along the carrier concentration gradient.

(2) 漂移: Donor/Acceptor ions stay fixed to form a built-in electric field

(3) 热平衡时: At equilibrium, the diffusion current is compensated by the drift current.



在平衡状态下，扩散电流和漂移电流相互抵消。

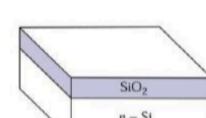
Fabrication of the P-N Junction

a). A bare n-type Si Wafer



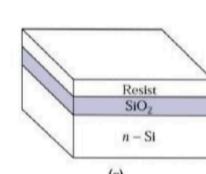
(a)

b). Coat thin film SiO_2 on top by dry or wet oxidation



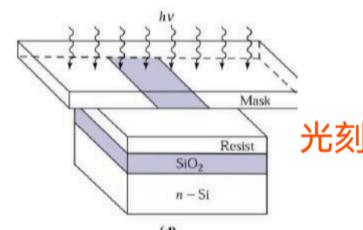
氧化

c). Coat photoresist/E-beam resist on SiO_2 在 SiO_2 上涂覆光致抗蚀剂/E束抗蚀剂



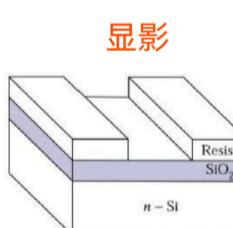
匀胶

使用荫罩进行光刻或直接进行电子束光刻
d). Use shadow mask to do photolithography or directly do E-beam lithography (光刻)



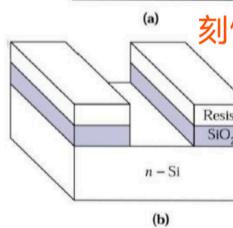
(d)

a). Remove the resist by development. 显影



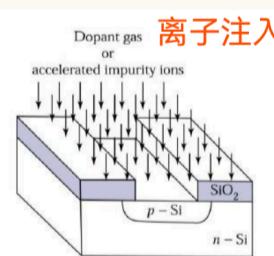
显影

b). Etch SiO_2



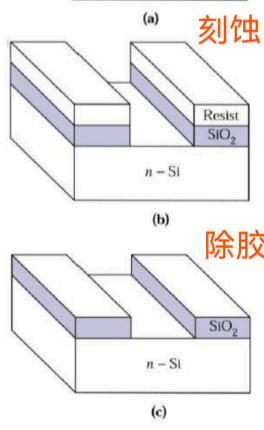
刻蚀

c). Lift-off the rest part of resist



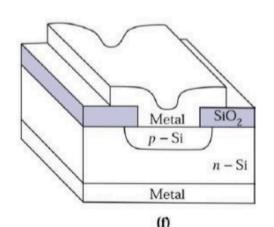
离子注入

d). Inject p-doping through diffusion or implantation.



除胶

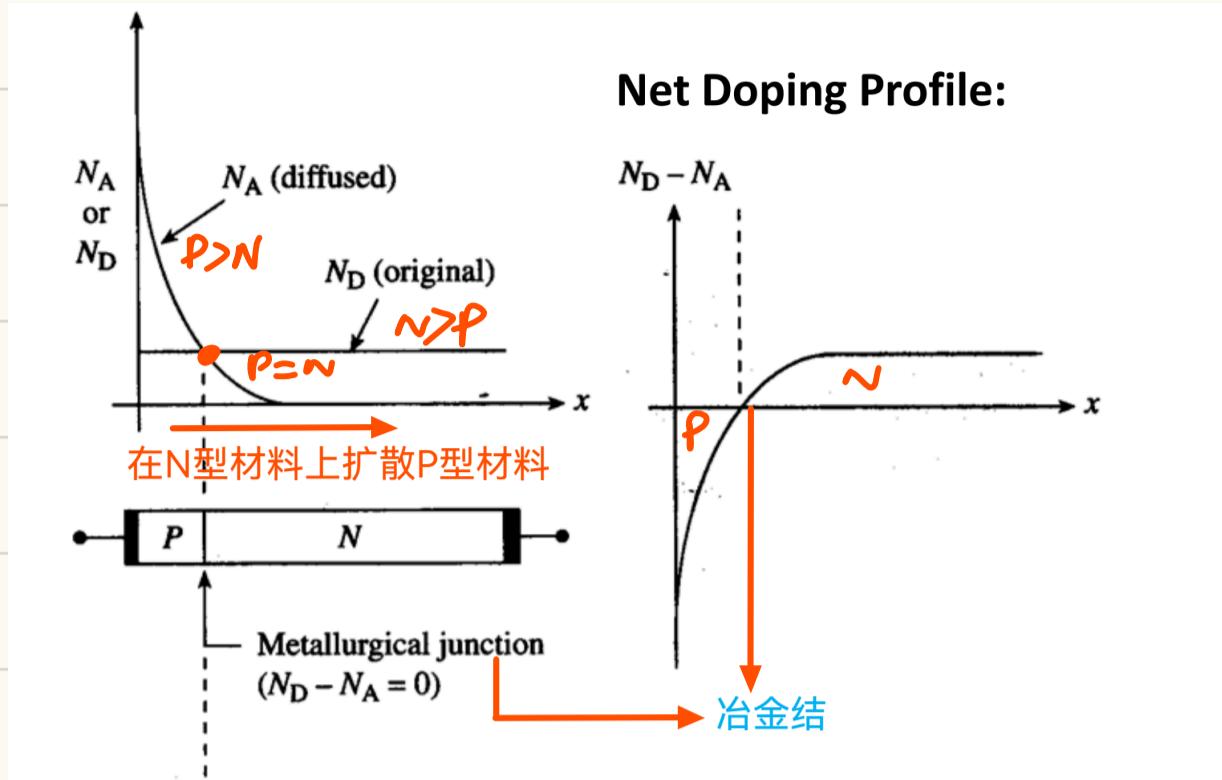
e). Metallization



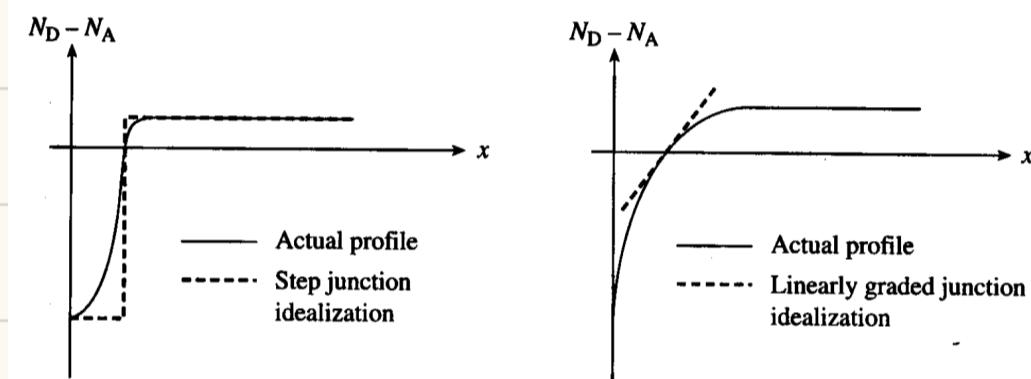
(f)

f). A complete P-N junction device

数学描述



两种不同类型的结



Step/Abrupt junction

突变结
轻掺杂的原始晶片
上进行浅结扩散

Linearly graded junction

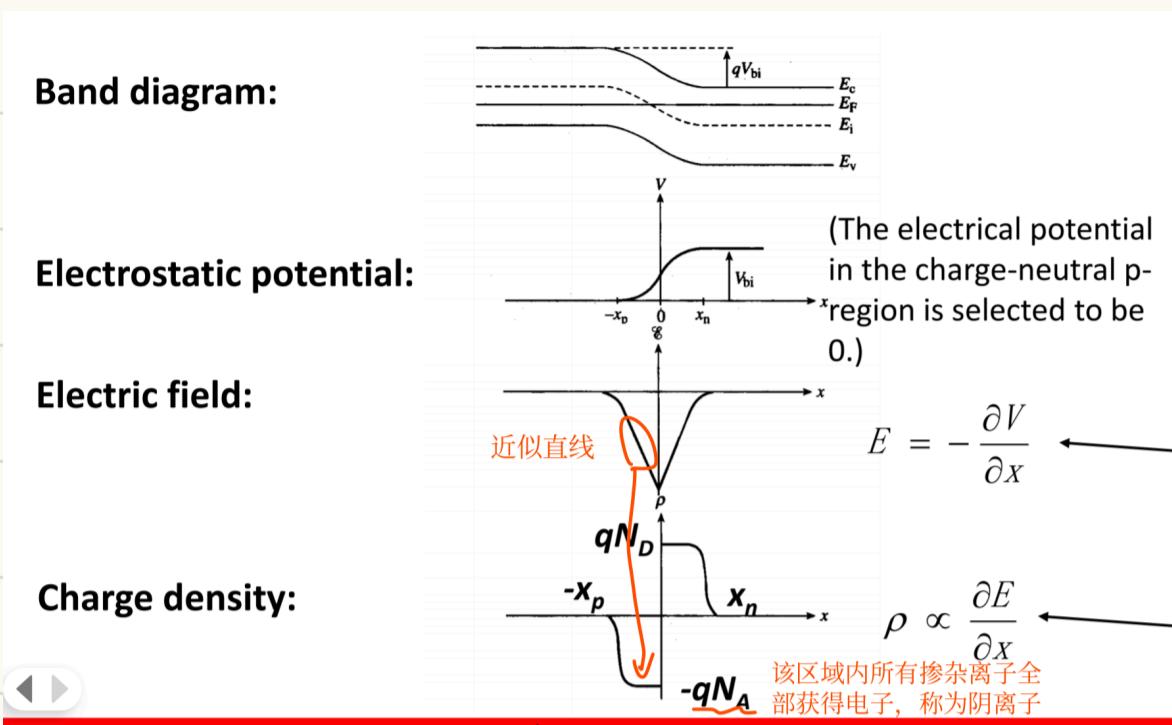
线性缓变结
中、重掺杂的原始晶片
上进行深结扩散



本章主要突变结为例介绍

PN结的内建电场

PN结的内建电场——模型图



在接下来的内容中，我们将从载流子的输运理论以及基本电磁学知识出发，逐步推演出上述模型

内建电势V_{bi}

$$V_{bi} = \frac{kT}{q} \ln \frac{N_D N_A}{n_i^2}$$

推导方法1.

热平衡时，无净电流： $J_N = q n_i \mu_n E + q D_n \frac{dn}{dx} = 0$

解得电场强度： $E = -\frac{D_n}{\mu n} \cdot \frac{1}{n} \cdot \frac{dn}{dx}$

爱因斯坦关系式： $\frac{D_n}{\mu n} = \frac{kT}{q}$

对任何PN结都成立

解得电场强度： $E = -\frac{kT}{q} \frac{1}{n} \cdot \frac{dn}{dx}$

积分得电势差： $V_{bi} = \int_{x_N}^{-x_P} E dx = \int_{n(-x_P)}^{n(x_N)} \frac{kT}{q} \cdot \frac{1}{n} dn = \frac{kT}{q} \ln \frac{n(x_N)}{n(-x_P)}$

边界条件： $n(x_N) = N_D$ $n(-x_P) = n_i^2 / p(-x_P) = \frac{n_i^2}{N_A}$

——对于非简并掺杂半导体

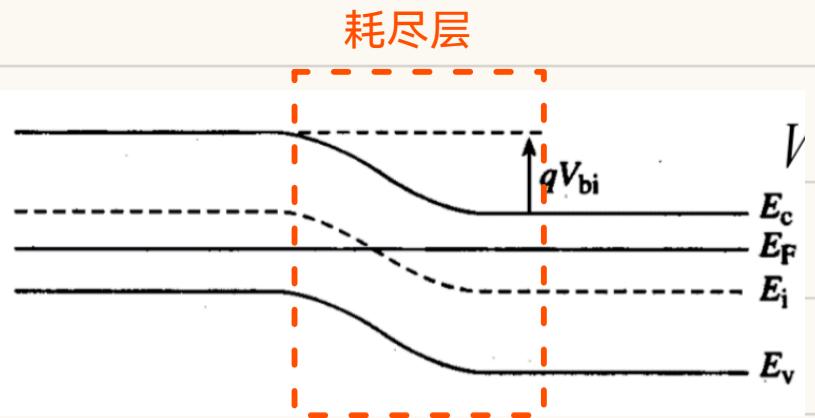
得电势差： $V_{bi} = \frac{kT}{q} \ln \frac{N_D N_A}{n_i^2}$

推导方法2.

$$\text{电势能定义: } V_{bi} = V(x_n) - V(-x_p)$$

$$= -\frac{1}{q} E_c(x_n) - (-\frac{1}{q} E_c(-x_p))$$

$$= \frac{1}{q} [E_c(-x_p) - E_c(x_n)]$$



$$\text{本征费米能级弯折与能带相同: } V_{bi} = \frac{1}{q} [E_i(-x_p) - E_i(x_n)]$$

$$\text{费米能级恒定: } V_{bi} = \frac{1}{q} [(E_i - E_F)_{p-side} + (E_F - E_i)_{n-side}]$$

费米能级与载流子浓度的关系:

$$(E_i - E_F)_{p-side} = kT \ln \frac{\rho}{n_i} \Big|_{p-side} = kT \ln \frac{N_A}{n_i}$$

$$(E_F - E_i)_{n-side} = kT \ln \frac{n}{n_i} \Big|_{n-side} = kT \ln \frac{N_D}{n_i}$$

$$\text{代换: } V_{bi} = \frac{kT}{q} \ln \frac{N_D N_A}{n_i^2}$$

$$\text{当一侧(例如p-side)是重掺杂时, 有: } V_{bi} = \frac{E_g}{2q} + \frac{kT}{q} \ln \frac{N_D}{n_i}$$

两种方法的内在联系——爱因斯坦关系式推导过程分析

$$\begin{cases} J_n = qn\mu_n \mathcal{E} + qD_n \frac{dn}{dx} = 0 \\ J_p = qp\mu_p \mathcal{E} - qD_p \frac{dp}{dx} = 0 \end{cases} \xrightarrow{\begin{array}{l} \mathcal{E} = -\frac{kT}{q} \frac{1}{n} \frac{dn}{dx} \\ \mathcal{E} = \frac{kT}{q} \frac{1}{p} \frac{dp}{dx} \end{array}} \text{方法1.}$$

$$\begin{cases} \frac{kT}{q} = \frac{D_n}{\mu_n} \\ \frac{kT}{q} = \frac{D_p}{\mu_p} \end{cases}$$

$$\mathcal{E} = -\frac{kT}{q} \frac{1}{n} \frac{dn}{dx} \text{ 何来?}$$

$$E = \frac{1}{q} \frac{dE_c}{dx}$$

$$n = N_C e^{-(E_c - E_F)/kT}$$

$$\rightarrow \frac{dn}{dx} = -\frac{N_C}{kT} e^{-(E_c - E_F)/kT} \cdot \frac{dE_c}{dx}$$

$$\rightarrow \frac{dn}{dx} = -\frac{n}{kT} \frac{dE_c}{dx}$$

$$\rightarrow \frac{dn}{dx} = -\frac{n}{kT} q \mathcal{E}$$

$$\rightarrow \mathcal{E} = -\frac{1}{q} \cdot \frac{kT}{n} \cdot \frac{dn}{dx}$$

可见, 方法1中使用的爱因斯坦关系式, 由借助电场与导带的微分关系推出, 这一关系直接在方法2中被应用

Tips: 以上考虑的都是轻掺杂，在轻掺杂情况下：

(1) 内建电势差必然小于带隙与元电荷之商(Eg/q)

Justification:

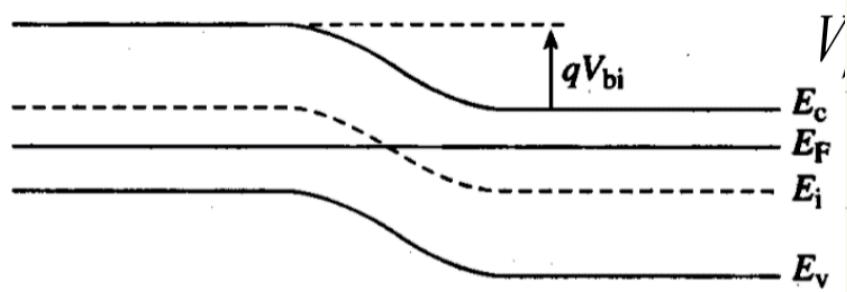
$$V_{bi} = \frac{1}{q} [(E_i - E_F)_p + (E_F - E_i)_n]$$

$$E_F > E_v(p) \quad E_F < E_c(n)$$

$$\text{so: } V_{bi} < \frac{1}{q} [\frac{1}{2} E_g + \frac{1}{2} E_g] = \frac{E_g}{q}$$

$$V_{bi}(\text{Si}) < 1.12 \text{ V}, V_{bi}(\text{Ge}) < 0.66 \text{ V}, V_{bi}(\text{GaAs}) < 1.42 \text{ V}.$$

(2) For Si at 300K, if NA=ND=10^15/cm^-3, Vbi=0.6 V.



内建电场场强与宽度

泊松方程

$$\text{电场高斯定理—积分形式: } \iint_{\partial V} \vec{E} \cdot d\vec{s} = \frac{1}{\epsilon} \iiint_V \rho dV = \frac{1}{k_s \epsilon_0} \iiint_V \rho dV$$

其中: Ks为相对介电常数, ϵ_0 为真空介电常数

$$\text{电场高斯定理—微分形式: } \nabla \cdot E = \frac{\rho}{k_s \epsilon_0}$$

$$\text{电场—电势微分关系: } E = - \nabla V$$

$$\text{泊松方程: } \nabla^2 V = - \frac{\rho}{k_s \epsilon_0}$$

ϵ_0 : free space permittivity = $8.85 \times 10^{-14} \text{ F/cm}$

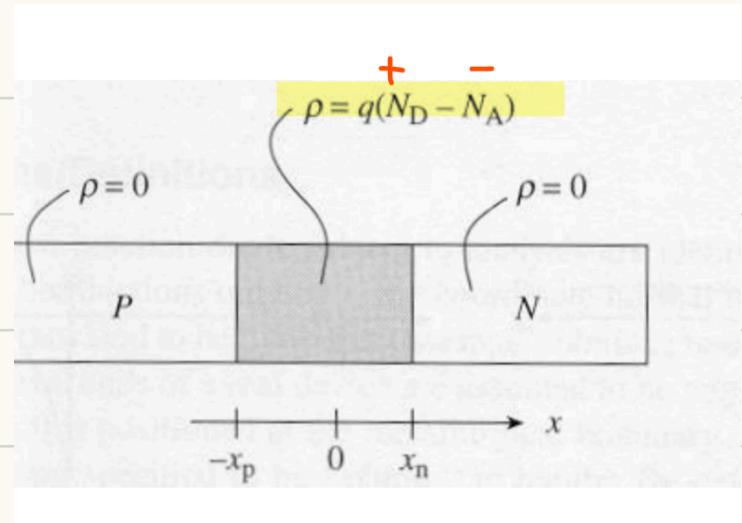
Ks: dielectric constant = 11.9 for silicon, 3.9 for SiO₂

ρ : charge density (C/cm³)

耗尽近似

Depletion Approximation states that approximately no free carriers exist in the space charge region and no net charge exists outside of the depletion region (known as the quasi-neutral region)

耗尽近似表明，空间电荷区中几乎不存在自由载流子，耗尽区外(准中性区)也不存在净电荷。



突变结的内建电场场强与宽度

高斯定理微分形式一维形式：

$$\frac{dE}{dx} = \frac{\rho}{k_s \epsilon_0}$$

考虑准中性区：

$$\rho = \begin{cases} N_D + p - n = 0 \\ N_A + n - p = 0 \end{cases}$$

$$\text{故 } \frac{dE}{dx} = 0 \longrightarrow E = C \text{ (常数) 且 } E = 0$$

考虑Depletion region p-side

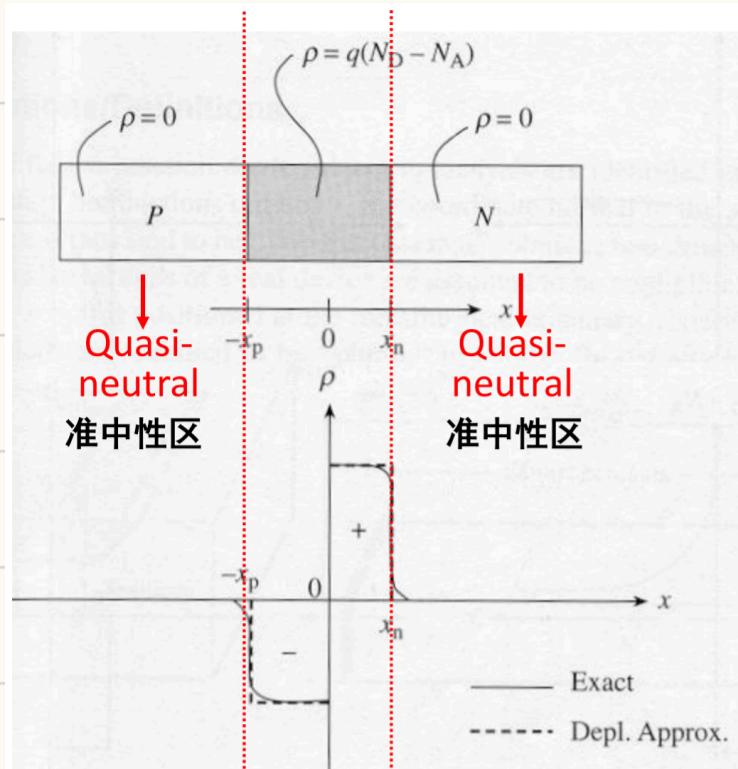
$$\frac{dE}{dx} = \frac{-qN_A}{k_s \epsilon_0} \longrightarrow E = -\frac{qN_A}{k_s \epsilon_0} x + C \longrightarrow -\frac{qN_A}{k_s \epsilon_0} (x + x_p)$$

考虑Depletion region n-side

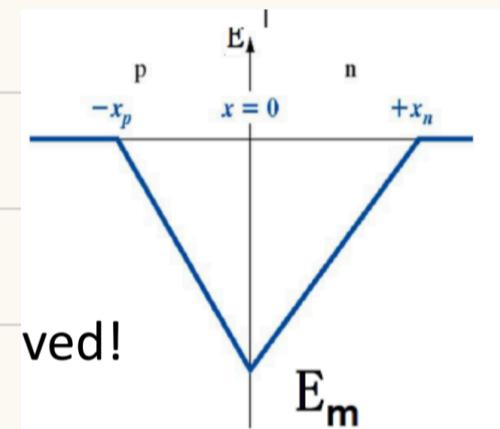
$$\frac{dE}{dx} = \frac{qN_D}{k_s \epsilon_0} \longrightarrow E = \frac{qN_D}{k_s \epsilon_0} x + C \longrightarrow \frac{qN_D}{k_s \epsilon_0} (x - x_n)$$

So:

$$E = \begin{cases} -\frac{qN_A}{k_s \epsilon_0} (x + x_p) & -x_p \leq x < 0 \\ \frac{qN_D}{k_s \epsilon_0} (x - x_n) & 0 \leq x \leq x_n \\ 0 & \text{else} \end{cases}$$



边界(准中性区)条件：电场与连续



还有一个边界条件在上述推导过程中我们并未使用，即耗尽层内部的电场必然也是连续的，即：

$$E(0-) = E(0+) = E_m \longrightarrow N_A x_p = N_D x_n \quad ①$$

此时，我们便得到了关于耗尽层宽度的一个方程，只需要再有的一个关于x_P和x_N的方程，即可求出耗尽层宽度

从哪里获得这个方程呢？

在上述推导过程中，我们仅使用了基本电磁学中，场强与电势、电荷密度的关系，以及稍微一点点有关PN结的知识(准中性区载流子浓度等于掺杂浓度)，并未充分利用PN结的信息。

那有关PN结的哪个信息能够完全反映其内建电场特性呢？

一能带模型！

在“内建电势V_{bi}”一节中，我们利用PN结的能带模型推导出了内建电势差V_{bi}，所以，这里我们可以利用V_{bi}这个已知信息，导出有关x_P和x_N的另一个方程。

考虑耗尽层P端：

$$E = -\frac{qN_A}{k_s \epsilon_0} (x + x_p)$$

$$\rightarrow \Delta V = - \int_{-x_p}^x -\frac{qN_A}{k_s \epsilon_0} (l + x_p) dl$$

$$\rightarrow \Delta V = \frac{qN_A}{2k_s \epsilon_0} (x + x_p)^2 + C$$

考虑边界条件：我们规定准中性区P区为零电势点，则： $C=0$

$$V(x) = \Delta V = \frac{qN_A}{2k_s \epsilon_0} (x + x_p)^2$$

考虑耗尽层N端：

$$E = \frac{qN_D}{k_s \epsilon_0} (x - x_N)$$

$$\Delta V = - \int_0^x \frac{qN_D}{k_s \epsilon_0} (l - x_N) dl = \frac{qN_D}{2k_s \epsilon_0} x_N^2 - \frac{qN_D}{2k_s \epsilon_0} (x - x_N)^2$$

考虑第二个边界条件：空间电荷区连续，内建电场连续，电场强度和电势也连续

故： $x > 0$ 时： $V(x) = V(0) + \Delta V = V(0-) + \Delta V = \frac{qN_A}{2k_s \epsilon_0} x_p^2 + \frac{qN_D}{2k_s \epsilon_0} x_N^2 - \frac{qN_D}{2k_s \epsilon_0} (x - x_N)^2$

考虑第三个边界条件：空间电荷区N端点与准中性区N区电势连续

故： $x > 0$ 时： $V(x)|_{x=x_N} = V(-x_p) + V_{bi} = 0 + V_{bi} = V_{bi}$

即：

$$V_{bi} = \frac{qN_A x_p^2}{2k_s \epsilon_0} + \frac{qN_D x_N^2}{2k_s \epsilon_0} \quad ②$$

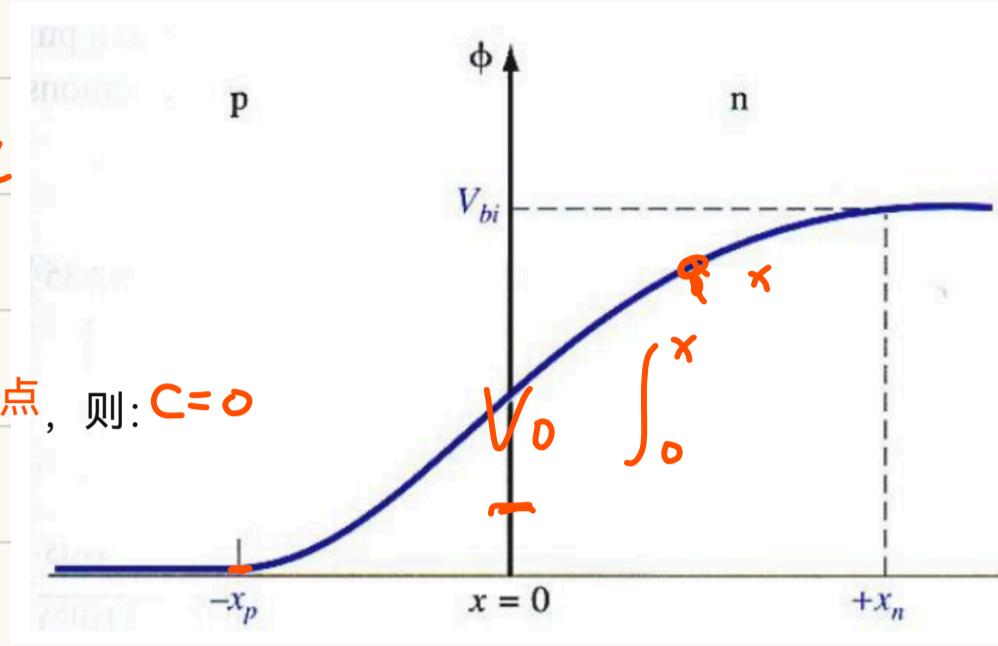
这便是有关PN结耗尽层宽度的另一个方程。

联立①②，我们可以解得：

$$x_n = \sqrt{\frac{2k_s \epsilon_0 V_{bi}}{q} \frac{N_A}{N_A(N_A + N_D)}}$$

$$x_p = \sqrt{\frac{2k_s \epsilon_0 V_{bi}}{q} \frac{N_D}{N_A(N_A + N_D)}}$$

$$W = x_n + x_p = \sqrt{\frac{2k_s \epsilon_0 V_{bi}}{q} \left(\frac{1}{N_A} + \frac{1}{N_D}\right)}$$



总结：内建电场

内建电势

$$V_{bi} = \frac{kT}{q} \ln \frac{N_D N_A}{n_i^2}$$

电场强度

$$E = \begin{cases} -\frac{qN_A}{k_s \epsilon_0} (x+x_p) & -x_p \leq x < 0 \\ \frac{qN_D}{k_s \epsilon_0} (x-x_N) & 0 \leq x \leq x_N \\ 0 & \text{else} \end{cases}$$

宽度

$$x_n = \sqrt{\frac{2k_s \epsilon_0 V_{bi}}{q} \frac{N_A}{N_D(N_A + N_D)}}$$

$$x_p = \sqrt{\frac{2k_s \epsilon_0 V_{bi}}{q} \frac{N_D}{N_A(N_A + N_D)}}$$

$$W = x_n + x_p = \sqrt{\frac{2k_s \epsilon_0 V_{bi}}{q} \left(\frac{1}{N_A} + \frac{1}{N_D} \right)}$$

电势

$$V(x) = \begin{cases} 0 & (-\infty, -x_p) \\ \frac{qN_A}{2k_s \epsilon_0} (x+x_p)^2 & (-x_p, 0) \\ V_{bi} - \frac{qN_D}{2k_s \epsilon_0} (x-x_N)^2 & (0, x_N) \\ V_{bi} & (x_N, +\infty) \end{cases}$$

两个重要关系

$$N_A x_p = N_D x_0 \quad ①$$

$$V_{bi} = \frac{qN_A x_p^2}{2k_s \epsilon_0} + \frac{qN_D x_0^2}{2k_s \epsilon_0} \quad ②$$

单边突变结

p+n junction $N_A \gg N_D$

$$\textcircled{1} N_A x_p = N_D x_N \rightarrow x_p = \frac{N_D x_N}{N_A} \approx 0$$

$$W = x_p + x_N \approx x_N$$

$$\textcircled{2} \frac{1}{N_A} + \frac{1}{N_D} \approx \frac{1}{N_D}$$

$$W \approx \sqrt{\frac{2k_s \epsilon_0 V_{bi}}{q N_D}}$$

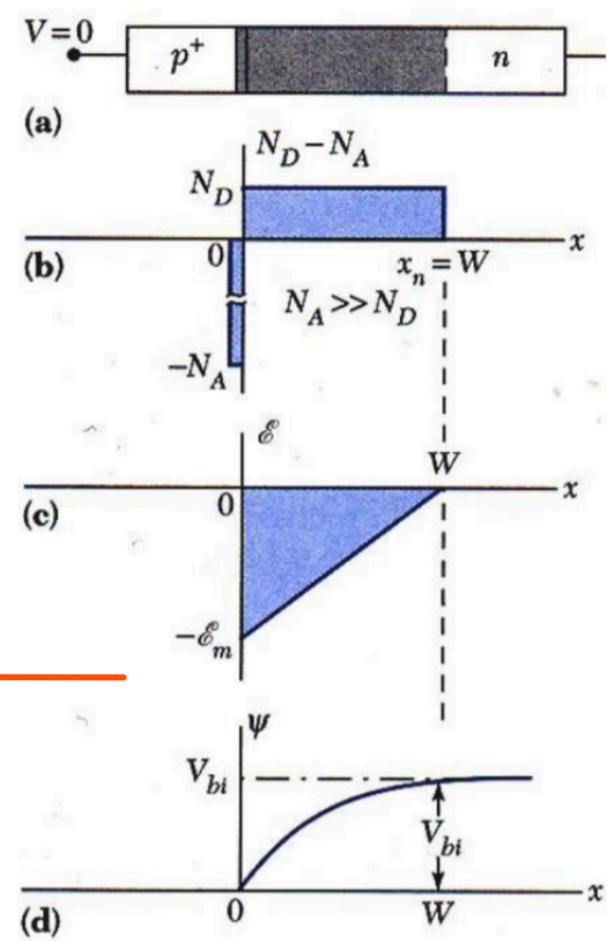
$$\textcircled{3} E(x) \approx \frac{q N_D}{k_s \epsilon_0} (x - W) \quad \text{轻掺杂一侧}$$

$$V(x) \approx \frac{V_{bi}}{W} (2x - \frac{x^2}{W}) \quad \text{轻掺杂一侧}$$

$\textcircled{4} E(0) \quad V(0)$

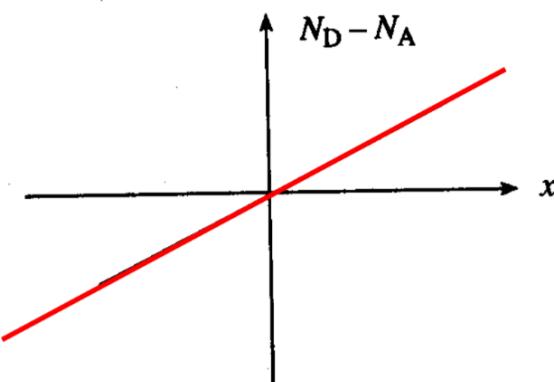
$$E(0) = \frac{2V_{bi}}{W} \approx \sqrt{\frac{2q N_D V_{bi}}{k_s \epsilon_0}}$$

$$V(0) = V_{bi} - \frac{q N_D}{2k_s \epsilon_0} (x - x_N)^2 \Big|_{x=0} = \frac{N_D}{N_A + N_D} V_{bi} \quad \text{pn结合单边突变结都适用}$$

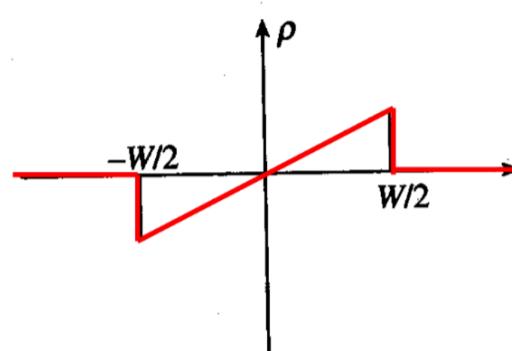


Most of V is dropped across the more lightly doped side.

线性缓变结



$$N_D - N_A = ax$$



$$\rho(x) = \begin{cases} qax & -W/2 \leq x \leq W/2 \\ 0 & x \leq -W/2, x \geq W/2 \end{cases}$$

电场强度

$$\nabla \cdot E = \frac{1}{k_s \epsilon_0} \rho(x)$$

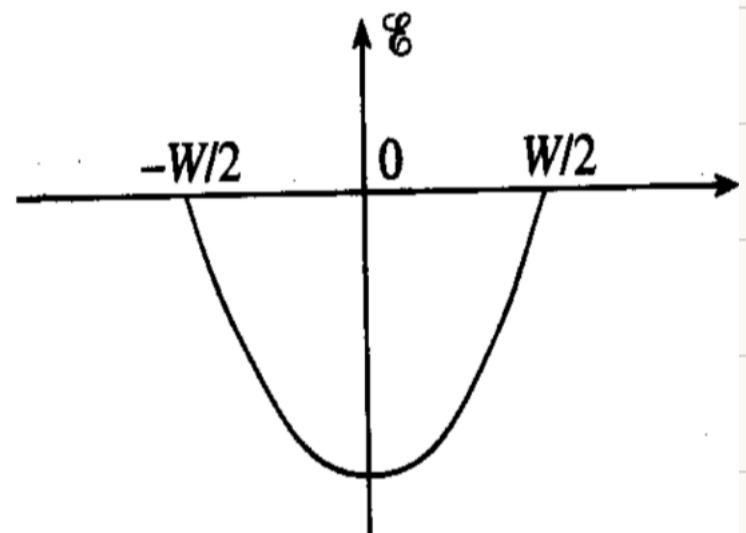
$$\frac{dE}{dx} = \frac{1}{k_s \epsilon_0} \rho(x)$$

$$E(x) = \frac{q\alpha}{2k_s \epsilon_0} x^2 + C$$

由 $E(\frac{W}{2}) = E(-\frac{W}{2}) = 0$:

$$C = -\frac{q\alpha}{2k_s \epsilon_0} \cdot (\frac{W}{2})^2$$

$$\text{故: } E(x) = \frac{q\alpha}{2k_s \epsilon_0} [x^2 - (\frac{W}{2})^2]$$



电势

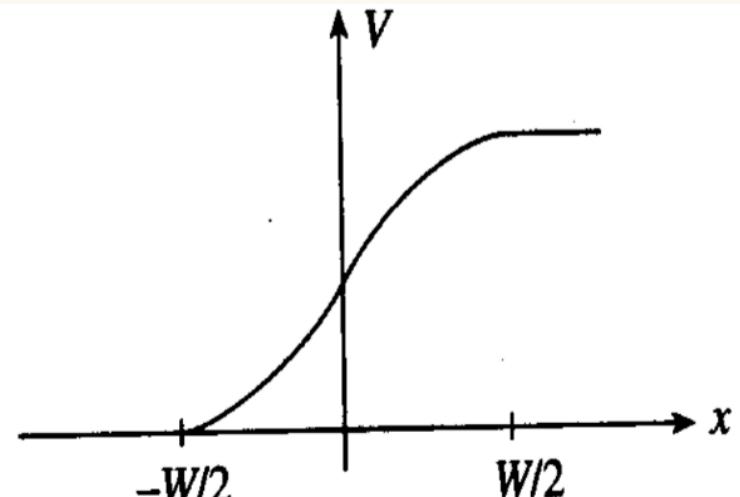
$$E = -\nabla V \rightarrow V(x) = - \int_0^x E(l) dl$$

$$\Delta V = - \int_{-\frac{W}{2}}^x \frac{q\alpha}{2k_s \epsilon_0} \left[l^2 - \frac{W^2}{4} \right] dl$$

$$= - \frac{q\alpha}{6k_s \epsilon_0} l^3 + \frac{q\alpha}{2k_s \epsilon_0} (\frac{W}{2})^2 l \Big|_{-\frac{W}{2}}^x$$

$$= - \frac{q\alpha}{6k_s \epsilon_0} x^3 + \frac{q\alpha}{2k_s \epsilon_0} (\frac{W}{2})^2 x + \frac{q\alpha}{3k_s \epsilon_0} (\frac{W}{2})^3$$

$$\xrightarrow{V(-\frac{W}{2})=0} V(x) = \frac{q\alpha}{6k_s \epsilon_0} \left[-x^3 + 3(\frac{W}{2})^2 x + 2(\frac{W}{2})^3 \right]$$



当我们知道内建电势差为 V_{bi} 时：

$$V\left(\frac{w}{2}\right) = V_{bi} \longrightarrow \frac{qa}{6k_s\epsilon_0} \left(\frac{w}{2}\right)^3 \left[-1 + 3 + 2 \right] = \frac{qa}{12k_s\epsilon_0} w^3 = V_{bi}$$
$$\longrightarrow w = \left(\frac{12k_s\epsilon_0 V_{bi}}{qa} \right)^{\frac{1}{3}}$$

内建电势差

$$N_A = N_D = ax \Big|_{x=\frac{w}{2}} = \frac{wa}{2}$$
$$V_{bi} = \frac{kT}{q} \ln \frac{n(x_w)}{n(-x_p)} = \frac{kT}{q} \ln \frac{(wa)^2}{(2n_i)^2}$$
$$= \frac{2kT}{q} \ln \frac{wa}{2n_i}$$

~~⊗~~ $n(x_w) = N_D$ $n(-x_p) = N_A$ 对线性缓变结不适用