CS335: Bottom-up Parsing

Swarnendu Biswas

Semester 2019-2020-II CSE, IIT Kanpur

Rightmost Derivation of abbcde

$$S \rightarrow aABe$$

$$A \rightarrow Abc \mid b$$

$$B \rightarrow d$$

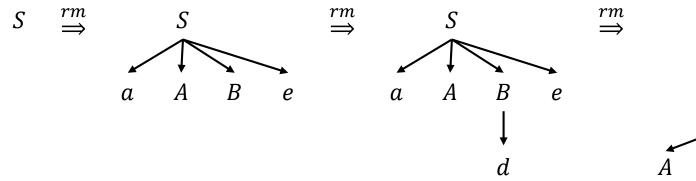
Input string: abbcde

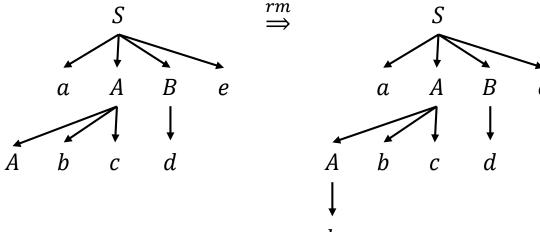
 $S \rightarrow aABe$

 $\rightarrow aAde$

 $\rightarrow aAbcde$

 $\rightarrow abbcde$





Bottom-up Parsing

Constructs the parse tree starting from the leaves and working up toward the root

$$S \rightarrow aABe$$

$$A \rightarrow Abc \mid b$$

$$B \rightarrow d$$

Input string: abbcde				
$S \rightarrow aABe$	abbcde			
$\rightarrow aAde$	$\rightarrow aAbcde$			
$\rightarrow aAbcde$	$\rightarrow aAde$			
$\rightarrow abbcde$	$\rightarrow aABe$			↓
	$\rightarrow S$		reverse of	1
Swarnendu Biswas			rightmost derivation	

Bottom-up Parsing

$$S \rightarrow aABe$$

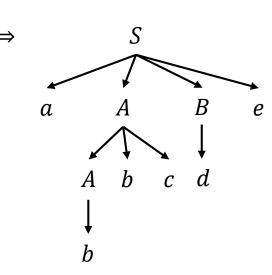
$$A \rightarrow Abc \mid b$$

$$B \rightarrow d$$

Input string: abbcde

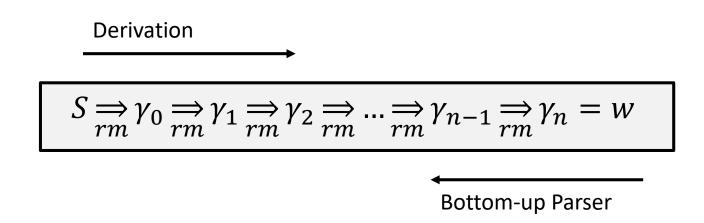
abbcde

- $\rightarrow aAbcde$
- $\rightarrow aAde$
- $\rightarrow aABe$
- $\rightarrow S$



Reduction

- Bottom-up parsing **reduces** a string w to the start symbol S
 - At each reduction step, a chosen substring that is the rhs (or body) of a production is replaced by the lhs (or head) nonterminal



- Handle is a substring that matches the body of a production
 - Reducing the handle is one step in the reverse of the rightmost derivation

$$E \rightarrow E + T \mid T$$

$$T \rightarrow T * F \mid F$$

$$F \rightarrow (E) \mid \mathbf{id}$$

Right Sentential Form	Handle	Reducing Production
$id_1 * id_2$	id_1	$F \rightarrow id$
$F*id_2$	F	$T \to F$
$T*id_2$	id_2	F o id
T * F	T * F	$T \to T * F$
T	T	$E \rightarrow T$

Although T is the body of the production $E \to T$, T is not a handle in the sentential form $T * \mathbf{id}_2$

$$E \to E + T \mid T$$

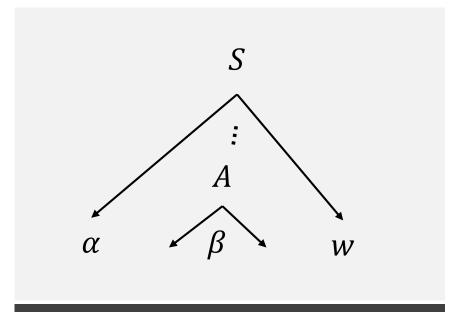
$$T \to T * F \mid F$$

$$F \to (E) \mid \mathbf{id}$$

Right Sentential Form	Handle	Reducing Production
$id_1 * id_2$	id_1	F o id
$F*id_2$	F	$T \to F$
$T*id_2$	id_2	F o id
T*F	T * F	$T \to T * F$
T	T	$E \rightarrow T$

• If $S \Longrightarrow_{rm}^{*} \alpha Aw \Longrightarrow_{rm} \alpha \beta w$, then $A \to \beta$ is a handle of $\alpha \beta w$

• String w right of a handle must contain only terminals



A handle $A \to \beta$ in the parse tree for $\alpha \beta w$

If grammar G is unambiguous, then every right sentential form has only one handle

If G is ambiguous, then there can be more than one rightmost derivation of $\alpha\beta w$

Shift-Reduce Parsing

Shift-Reduce Parsing

- Type of bottom-up parsing with two primary actions, shift and reduce
 - Other obvious actions are accept and error
- The input string (i.e., being parsed) consists of two parts
 - Left part is a string of terminals and nonterminals, and is stored in stack
 - Right part is a string of terminals read from an input buffer
 - Bottom of the stack and end of input are represented by \$

Shift-Reduce Actions

- Shift: shift the next input symbol from the right string onto the top of the stack
- Reduce: identify a string on top of the stack that is the body of a production, and replace the body with the head

Shift-Reduce Parsing

Initial

Stack	Input
\$	w\$



Final goal

Stack	Input
\$ <i>S</i>	\$

Shift-Reduce Parsing

$$E \rightarrow E + T \mid T$$

$$T \rightarrow T * F \mid F$$

$$F \rightarrow (E) \mid id$$

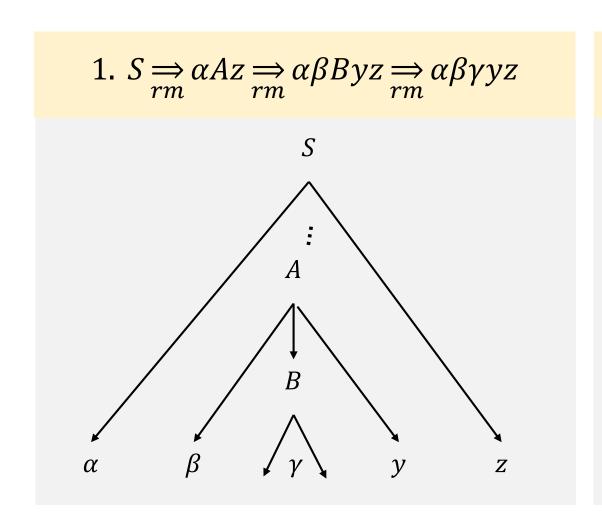
Stack	Input	Action
\$	$id_1 * id_2$ \$	Shift
$\mathbf{\$id}_1$	$*$ id $_2$ \$	Reduce by $F \rightarrow id$
\$F	* id ₂ \$	Reduce by $T \to F$
\$T	* id ₂ \$	Shift
\$ <i>T</i> *	id ₂ \$	Shift
$T * id_2$	\$	Reduce by $F \rightarrow id$
T * F	\$	Reduce by $T \to T * F$
\$T	\$	Reduce by $E \rightarrow T$
\$E	\$	Accept

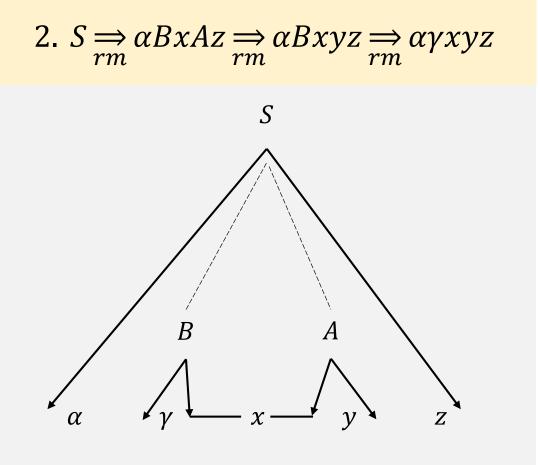
Handle on Top of the Stack

• Is the following scenario possible?

Stack	Input	Action
$\alpha \beta \gamma$	w\$	Reduce by $A \rightarrow \gamma$
$\alpha \beta \gamma$ $\alpha \beta A$ $\alpha \beta A$	w\$	Reduce by $B \to \beta$
αBA	w\$	

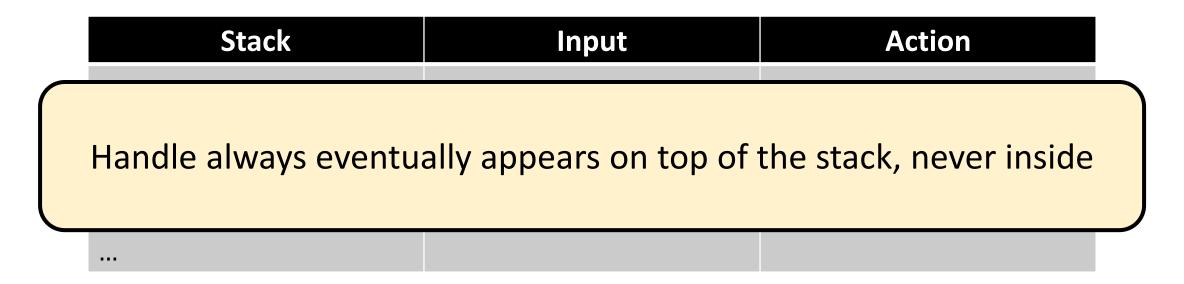
Possible Choices in Rightmost Derivation





Handle on Top of the Stack

Is the following scenario possible?



Shift-Reduce Actions

- Shift: shift the next input symbol from the right string onto the top of the stack
- Reduce: identify a string on top of the stack that is the body of a production, and replace the body with the head

How do you decide when to shift and when to reduce?

Steps in Shift-Reduce Parsers

General shift-reduce technique

If there is **no handle** on the stack, **then shift**If there is **a handle** on the stack, **then reduce**

- Bottom up parsing is essentially the process of detecting handles and reducing them
- Different bottom-up parsers differ in the way they detect handles

Challenges in Bottom-up Parsing

Which action do you pick when there is a choice?

 Both shift and reduce are valid, implies a shift-reduce conflict

Which rule to use if reduction is possible by more than one rule?

Reduce-reduce conflict

Shift-Reduce Conflict



$$id + id * id$$

Stack	Input	Action
\$	id + id * id\$	Shift

\$E + E	* id\$	Reduce by $E \rightarrow E + E$
\$ <i>E</i>	* id\$	Shift
\$ <i>E</i> *	id\$	Shift
E * id	\$	Reduce by $E \rightarrow id$
E * E	\$	Reduce by $E \rightarrow E * E$
\$ <i>E</i>	\$	

id + id * id

Stack	Input	Action
\$	id + id * id\$	Shift
•••		
\$E + E	* id\$	Shift
E + E *	id\$	Shift
E + E * id	\$	Reduce by $E \rightarrow id$
\$E + E * E	\$	Reduce by $E \to E * E$
\$E + E	\$	Reduce by $E \rightarrow E + E$
\$ <i>E</i>	\$	

Shift-Reduce Conflict

 $Stmt \rightarrow if Expr then Stmt$ | if Expr then Stmt else Stmt | other

Stack	Input	Action
if Expr then Stmt	else\$	

Shift-Reduce Conflict

 $Stmt \rightarrow if Expr then Stmt$ | if Expr then Stmt else Stmt | other

Stack	Input	Action
if $Expr$ then $Stmt$	else\$	

What is a correct thing to do for this grammar – shift or reduce?

Reduce-Reduce Conflict

$$M \to R + R \mid R + c \mid R$$

$$R \to c$$

$$c + c$$

Stack	Input	Action
\$	c + c\$	Shift
\$ <i>c</i>	+ <i>c</i> \$	Reduce by $R \rightarrow c$
\$R	+ <i>c</i> \$	Shift
\$R +	<i>c</i> \$	Shift
R + c	\$	Reduce by $R \rightarrow c$
R + R	\$	Reduce by $R \to R + R$
\$ <i>M</i>	\$	

$$c + c$$

Stack	Input	Action
\$	c + c\$	Shift
\$ <i>c</i>	+ <i>c</i> \$	Reduce by $R \rightarrow c$
\$ <i>R</i>	+ <i>c</i> \$	Shift
\$R +	<i>c</i> \$	Shift
R + c	\$	Reduce by $M \to R + c$
\$ <i>M</i>	\$	

LR Parsing

LR(k) Parsing

- Popular bottom-up parsing scheme
 - L is for left-to-right scan of input
 - R is for reverse of rightmost derivation
 - k is the number of lookahead symbols
- LR parsers are table-driven, like the nonrecursive LL parser
- LR grammar is one for which we can construct an LR parsing table

Popularity of LR Parsing

Can recognize all language constructs with CFGs

Most general nonbacktracking shift-reduce parsing method

Works for a superset of grammars parsed with predictive or LL parsers

Popularity of LR Parsing

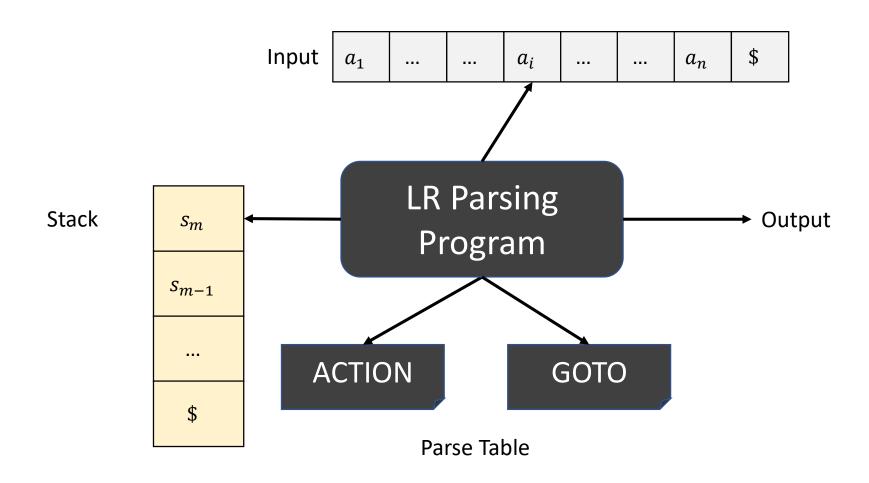
Can recognize all language constructs with CFGs

Most general nonbacktracking shift-reduce parsing method

Works for a superset of grammars parsed with predictive or LL parsers

- LL(k) parsing predicts which production to use having seen only the first k tokens of the right-hand side
- LR(k) parsing can decide after it has seen input tokens corresponding to the entire right-hand side of the production

Block Diagram of LR Parser



LR Parsing

- Remember the basic question: when to shift and when to reduce!
- Information is encoded in a DFA constructed using canonical LR(0) collection
 - I. Augmented grammar G' with new start symbol S' and rule $S' \rightarrow S$
 - II. Define helper functions Closure() and Goto()

LR(0) Item

An LR(0) item (also called item)
 of a grammar G is a production
 of G with a dot at some position
 in the body

- An item indicates how much of a production we have seen
 - Symbols on the left of "●" are already on the stack
 - Symbols on the right of "●" are expected in the input

Production	Items
	$A \rightarrow \bullet XYZ$
1 VV7	$A \to X \bullet YZ$
$A \rightarrow XYZ$	$A \to XY \bullet Z$
	$A \to XYZ \bullet$

 $A \rightarrow \bullet XYZ$ indicates that we expect a string derivable from XYZ next on the input

Closure Operation

- Let *I* be a set of items for a grammar *G*
- Closure(I) is constructed by
 - 1. Add every item in *I* to Closure(*I*)
 - 2. If $A \to \alpha \bullet B\beta$ is in Closure(I) and $B \to \gamma$ is a rule, then add $B \to \gamma$ to Closure(I) if not already added
 - 3. Repeat until no more new items can be added to Closure(I)

Example of Closure

$$E' \to E$$

$$E \to E + T \mid T$$

$$T \to T * F \mid F$$

$$F \to (E) \mid \mathbf{id}$$

Suppose
$$I = \{E' \rightarrow \bullet E\}$$
, compute Closure(I)

Example of Closure

```
E' \to E
E \to E + T \mid T
T \to T * F \mid F
F \to (E) \mid id
```

```
Suppose I = \{E' \rightarrow \bullet E\}
Closure(I) = \{
           E' \rightarrow \bullet E,
           E \rightarrow \bullet E + T.
            E \rightarrow \bullet T,
             T \to \bullet T * F
             T \to \bullet F
            F \to \bullet(E)
             F \rightarrow \bullet id
```

Kernel and Nonkernel Items

- If one *B*-production is added to Closure(*I*) with the dot at the left end, then all *B*-productions will be added to the closure
- Kernel items
 - Initial item $S' \to \bullet S$, and all items whose dots are not at the left end
- Nonkernel items
 - All items with their dots at the left end, except for $S' \to \bullet S$

Goto Operation

- Suppose I is a set of items and X is a grammar symbol
- Goto(I, X) is the closure of set all items [$A \to \alpha X \bullet \beta$] such that [$A \to \alpha \bullet X \beta$] is in I
 - If I is a set of items for some valid prefix α , then Goto(I,X) is set of valid items for prefix αX

- Intuitively, Goto(I, X) defines the transitions in the LR(0) automaton
 - Goto(I, X) gives the transition from state I under input X

Example of Goto

```
E' \to E
E \to E + T \mid T
T \to T * F \mid F
F \to (E) \mid \mathbf{id}
```

• Compute Goto(I, +)

```
Suppose I = \{
E' \to E \bullet,
E \to E \bullet + T
}
```

Example of Goto

```
E' \to E
E \to E + T \mid T
T \to T * F \mid F
F \to (E) \mid \mathbf{id}
```

```
Suppose I = \{
E' \to E \bullet,
E \to E \bullet + T
}
```

```
Goto(I, +) = {
          E \to E + \bullet T
         T \to \bullet T * F,
         T \to \bullet F
          F \to \bullet(E)
          F \rightarrow \bullet id
```

```
C = \text{Closure}(\{S' \to \bullet S\})
repeat
for each set of items I in C
for each grammar symbol X
if \text{Goto}(I, X) is not empty and not in C
add \text{Goto}(I, X) to C
until no new sets of items are added to C
```

$$E' \rightarrow E$$

$$E \rightarrow E + T \mid T$$

$$T \rightarrow T * F \mid F$$

$$F \rightarrow (E) \mid \mathbf{id}$$

 Compute the canonical collection for the expression grammar

```
I_0 = \mathsf{Closure}(E' \to E) = \{
                                                I_2 = \text{Goto}(I_0, T) = \{
                                                                    E \to T \bullet,
               E' \rightarrow \bullet E.
                                                                         T \to T \bullet * F
               E \rightarrow \bullet E + T,
               E \to \bullet T,
               T \to \bullet T * F,
               T \to \bullet F,
                                                         I_3 = \text{Goto}(I_0, F) = \{
               F \to \bullet(E),
                                                                         T \to F \bullet
               F \rightarrow \bullet id.
                                                         I_5 = \operatorname{Goto}(I_0, \operatorname{id}) = \{
                                                                         F \rightarrow id \bullet
I_1 = \text{Goto}(I_0, E) = \{
              E' \rightarrow E \bullet,
               E \rightarrow E \bullet + T
```

```
I_4 = \text{Goto}(I_0, "(") = \{
        F \to (\bullet E),
               E \rightarrow \bullet E + T
               E \rightarrow \bullet T,
               T \to \bullet T * F.
              T \to \bullet F,
              F \to \bullet(E),
               F \rightarrow \bullet id.
I_7 = \text{Goto}(I_2, *) = \{
               T \to T *_{\bullet} F
               F \to \bullet(E),
               F \rightarrow \bullet id
```

```
I_{6} = \operatorname{Goto}(I_{1}, +) = \{
E \rightarrow E + \bullet T,
T \rightarrow \bullet T * F,
T \rightarrow \bullet F,
F \rightarrow \bullet (E),
F \rightarrow \bullet \operatorname{id},
\}
I_{8} = \operatorname{Goto}(I_{4}, E) = \{
E \rightarrow E \bullet + T,
F \rightarrow (E \bullet)
\}
```

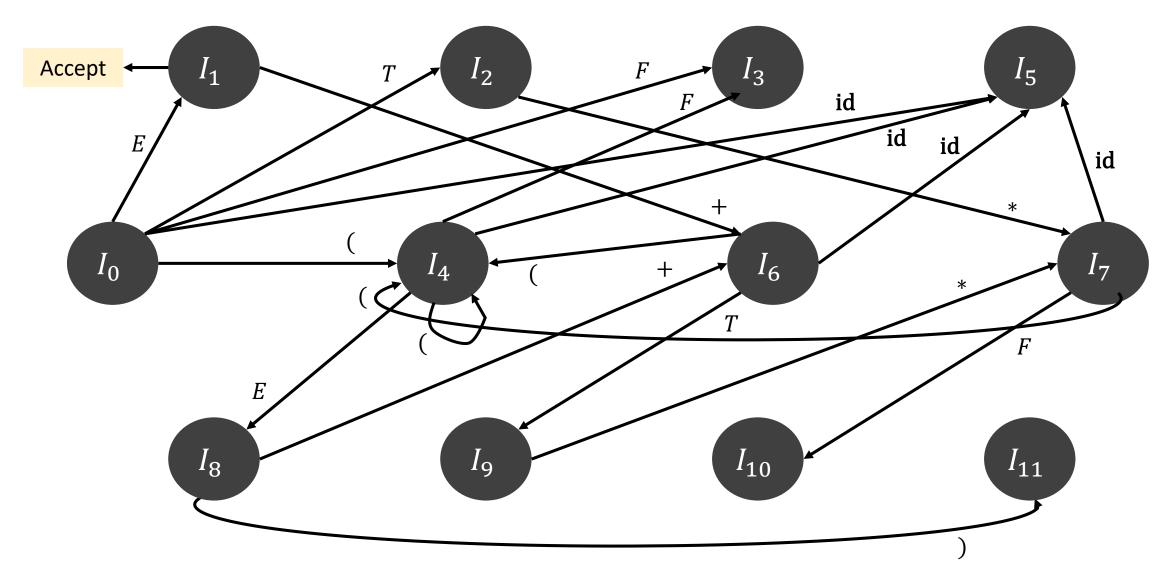
```
I_9 = \operatorname{Goto}(I_6, T) = \{
E \to E + T \bullet,
T \to T \bullet * F
\}
I_{10} = \operatorname{Goto}(I_7, F) = \{
T \to T * F \bullet,
\}
I_{11} = \operatorname{Goto}(I_8, ")") = \{
F \to (E) \bullet
\}
```

```
I_2 = \text{Goto}(I_4, T)
I_3 = \text{Goto}(I_4, F)
I_4 = \text{Goto}(I_4, "("))
I_5 = \text{Goto}(I_4, \text{id})
I_3 = \text{Goto}(I_6, F)
I_4 = \text{Goto}(I_6, "("))
I_5 = \text{Goto}(I_6, \text{id})
I_4 = \text{Goto}(I_7, "("))
I_5 = \text{Goto}(I_7, \text{id})
I_6 = \text{Goto}(I_8, +)
I_7 = \text{Goto}(I_9,*)
```

LR(0) Automaton

- An LR parser makes shift-reduce decisions by maintaining states
- Canonical LR(0) collection is used for constructing a DFA for parsing
- States represent sets of LR(0) items in the canonical LR(0) collection
 - Start state is Closure($\{S' \to \bullet S\}$), where S' is the start symbol of the augmented grammar
 - State j refers to the state corresponding to the set of items l_j

LR(0) Automaton



Use of LR(0) Automaton

- How can LR(0) automata help with shift-reduce decisions?
- Suppose string γ of grammar symbols takes the automaton from start state S_0 to state S_i
 - Shift on next input symbol a if S_i has a transition on a
 - Otherwise, reduce
 - Items in state S_i help decide which production to use

Shift-Reduce Parser with LR(0) Automaton

Stack	Symbols	Input	Action
0	\$	id * id\$	Shift to 5
0 5	\$id	* id\$	Reduce by $F \rightarrow id$
0 3	F	* id\$	Reduce by $T \to F$
0 2	T	* id\$	Shift to 7
0 2 7	\$T *	id\$	Shift to 5
0 2 7 5	T * id	\$	Reduce by $F \rightarrow id$
0 2 7 10	T * F	\$	Reduce by $T \to T * F$
0 2	\$ <i>T</i>	\$	Reduce by $E \rightarrow T$
0 1	\$ <i>E</i>	\$	Accept

Viable Prefix

- A viable prefix is a prefix of a right sentential form that can appear on the stack of a shift-reduce parser
 - α is a viable prefix if $\exists w$ such that αw is a right sentential form

$$E \rightarrow T \rightarrow T * F \rightarrow T * id \rightarrow F * id \rightarrow id * id$$

- id * is a prefix of a right sentential form, but it can never appear on the stack
 - Always reduce by $F \rightarrow id$ before shifting *
 - Not all prefixes of a right sentential form can appear on the stack
- There is no error as long as the parser has viable prefixes on the stack

Example of a Viable Prefix

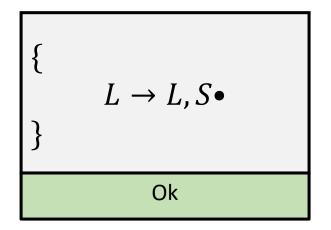
$S \to X_1 X_2 X_3 X_4$ $A \to X_1 X_2$
$Let w = X_1 X_2 X_3$

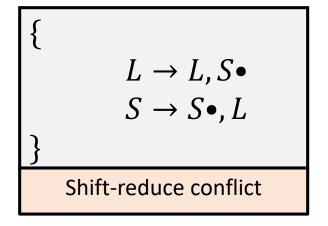
Stack	Input
\$	$X_1X_2X_3$ \$
X_1	X_2X_3 \$
X_1X_2	<i>X</i> ₃ \$
\$ <i>A</i>	<i>X</i> ₃ \$
AX_3	\$

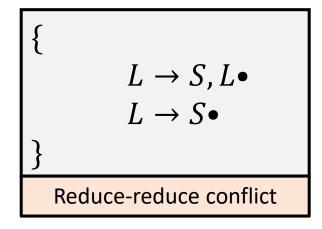
 $X_1X_2X_3$ can never appear on a stack

Challenges with LR(0) Parsing

 An LR(0) parser works only if each state with a reduce action has only one possible reduce action and no shift action







- Takes shift/reduce decisions without any lookahead token
 - Lacks the power to parse programming language grammars

Challenges with LR(0) Parsing

Consider the following grammar for adding numbers

$$S \to S + E \mid E$$

$$E \to \mathbf{num}$$

Left associative

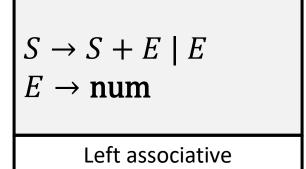
$$S \to E + S \mid E$$

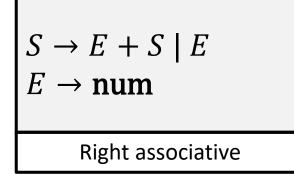
$$E \to \mathbf{num}$$

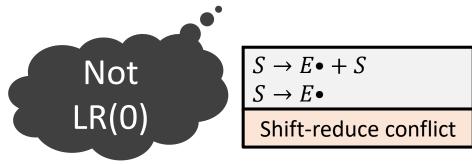
Right associative

Challenges with LR(0) Parsing

Consider the following grammar for adding numbers



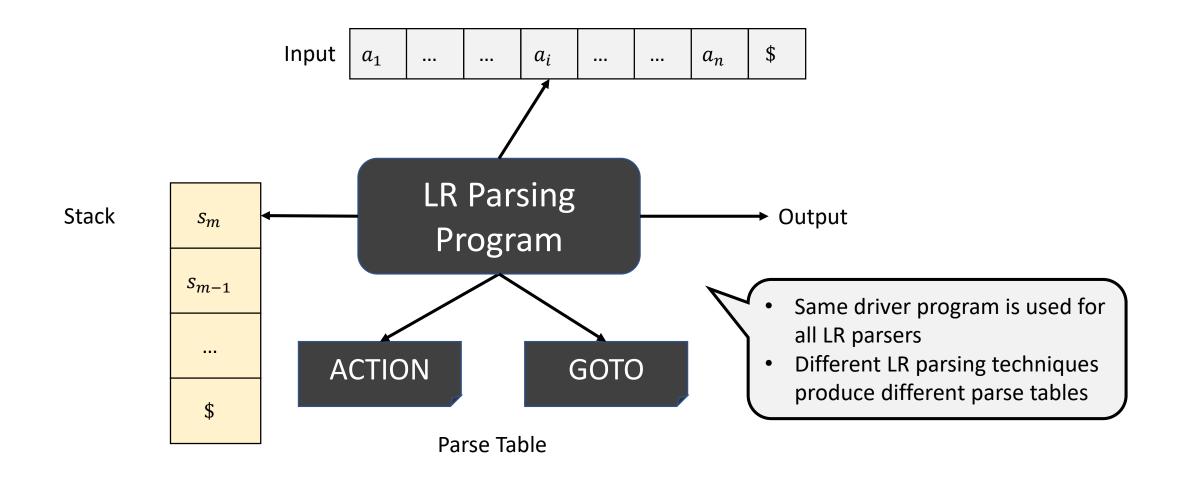




Simple LR Parsing

SLR(1)

Block Diagram of LR Parser



LR Parsing Algorithm

- The parser driver is same for all LR parsers
 - Only the parsing table changes across parsers
- A shift-reduce parser shifts a symbol, and an LR parser shifts a state

- By construction, all transitions to state *j* is for the same symbol *X*
 - Each state, except the start state, has a unique grammar symbol associated with it

SLR(1) Parsing

- Extends LR(0) parser to eliminate a few conflicts
 - Uses LR(0) items and LR(0) automaton
- For each reduction $A \to \beta$, look at the next symbol c
- Apply reduction only if $c \in \text{FOLLOW}(A)$ or $c = \epsilon$ and $S \stackrel{\hat{}}{\Rightarrow} \gamma A$

Structure of SLR Parsing Table

- Assume S_i is top of the stack and a_i is the current input symbol
- Parsing table consists of two parts: an Action and a Goto function
- Action table is indexed by state and terminal symbols
 - Action[S_i , a_i] can have four values
 - Shift a_i to the stack, goto state S_j
 - Reduce by rule k
 - Accept
 - Error (empty cell in the table)
- Goto table is indexed by state and nonterminal symbols

Constructing SLR Parsing Table

- 1) Construct LR(0) canonical collection $C = \{I_0, I_1, \dots, I_n\}$ for grammar G'
- 2) State i is constructed from I_i
 - a) If $[A \to \alpha \bullet \alpha \beta]$ is in I_i and Goto $(I_i, \alpha) = I_j$, then set Action $[i, \alpha] =$ "Shift j"
 - b) If $[A \to \alpha \bullet]$ is in I_i , then set Action [i, a] = "Reduce $A \to \alpha$ " for all a in FOLLOW(A)
 - c) If $[S' \to S \bullet]$ is in I_i , then set Action [i, \$] = ``Accept''
- 3) If $Goto(I_i, A) = I_j$, then Goto[i, A] = j
- 4) All entries left undefined are "errors"

SLR Parsing for Expression Grammar

Rule #	Rule
1	$E \rightarrow E + T$
2	$E \to T$
3	$T \to T * F$
4	$T \to F$
5	$F \to (E)$
6	$F o \mathrm{id}$

- sj means shift and stack state i
- rj means reduce by rule #j
- acc means accept
- blank means error

SLR Parsing Table

Ctata	Action						Goto		
State	id	+	*	()	\$	E	T	F
0	<i>s</i> 5			s4			1	2	3
1		<i>s</i> 6				асс			
2		r2	<i>s</i> 7		r2	r2			
3		r4	r4		r4	r4			
4	<i>s</i> 5			<i>s</i> 4			8	2	3
5		r6	r6		r6	r6			
6	<i>s</i> 5			<i>s</i> 4				9	3
7	<i>s</i> 5			s4					10
8		<i>s</i> 6			<i>s</i> 11				
9		r1	<i>s</i> 7		r1	r1			
10		r3	r3		r3	r3			
11		r5	r5		r5	<i>r</i> 5			

CS 335

LR Parser Configurations

- A LR parser configuration is a pair $\langle s_0, s_1, ..., s_m, a_i a_{i+1} ... a_n \rangle >$
 - Left half is stack content, and right half is the remaining input
- Configuration represents the right sentential form $X_1X_2 \dots X_ma_ia_{i+1}\dots$ a_n

LR Parsing Algorithm

- If Action $[s_m, a_i]$ = shift s, new configuration is $\langle s_0, s_1, ..., s_m s, a_{i+1} ...$ $a_n >$
- If Action $[s_m, a_i]$ = reduce $A \to \beta$, new configuration is $< s_0, s_1, ..., s_{m-r}, a_i a_{i+1} ... a_n$ \$>
 - Assume r is $|\beta|$ and $s = \text{Goto}[s_{m-r}, A]$
- If Action $[s_m, a_i]$ = accept, parsing is successful
- If Action $[s_m, a_i]$ = error, parsing has discovered an error

LR Parsing Program

```
Let a be the first symbol of input w$
while (1)
    let s be the top of the stack
    if Action[a] == shift t
         push t onto the stack
         let a be the next input symbol
    else if Action[s, a] == reduce A \rightarrow \beta
         pop |\beta| symbols off the stack
        push Goto[t, A] onto the stack
        output production A \rightarrow \beta
    else if Action[s, a] == accept
        break
    else
        invoke error recovery
```

Moves of an LR Parser on id * id + id

	Stack	Symbols	Input	Action
1	0		id * id + id\$	Shift
2	0 5	id	* id + id\$	Reduce by $F \rightarrow id$
3	0 3	F	* id + id\$	Reduce by $T \to F$
4	0 2	T	* id + id\$	Shift
5	0 2 7	T *	id + id\$	Shift
6	0 2 7 5	T*id	+id\$	Reduce by $F \rightarrow id$
7	0 2 7 10	T * F	+id\$	Reduce by $T \to T * F$
8	0 2	T	+id\$	Reduce by $E \rightarrow T$
9	0 1	E	+id\$	Shift
10	0 1 6	E +	id\$	Shift

Moves of an LR Parser on id * id + id

	Stack	Symbols	Input	Action
11	0 1 6 5	E + id	\$	Reduce by $F \rightarrow id$
12	0 1 6 3	E + F	\$	Reduce by $T \to F$
13	0 1 6 9	E + T	\$	Reduce by $E \rightarrow E + T$
14	0 1	E	\$	Accept

Limitations of SLR Parsing

 If an SLR parse table for a grammar does not have multiple entries in any cell then the grammar is unambiguous

• Every SLR(1) grammar is unambiguous, but there are unambiguous grammars that are not SLR(1)

Limitations of SLR Parsing

Unambiguous grammar

$$S \rightarrow L = R \mid R$$

 $L \rightarrow *R \mid id$
 $R \rightarrow L$

Example Derivation

$$S \Rightarrow L = R \Rightarrow *R = R$$

$$FIRST(S) = FIRST(L) = FIRST(R) = \{*, id\}$$

$$FOLLOW(S) = FOLLOW(L) = FOLLOW(R)$$

= {=, \$}

Canonical LR(0) Collection

```
I_0 = \mathsf{Closure}(S' \to S) = \{
               S' \rightarrow \bullet S,
                S \rightarrow \bullet L = R,
                S \to \bullet R.
               L \to \bullet *R,
                L \rightarrow \bullet id
                R \to \bullet L
I_1 = \operatorname{Goto}(I_0, S) = \{
               S' \to S \bullet
I_2 = \operatorname{Goto}(I_0, L) = \{
                S \to L \bullet = R.
                R \to L \bullet
```

```
I_3 = \text{Goto}(I_0, R) = \{
                S \to R \bullet
 I_4 = \mathsf{Goto}(I_0, R) = \{
               L \to * \bullet R
                R \to \bullet L
                L \to \bullet *R.
                L \rightarrow \bullet id
 I_6 = \text{Goto}(I_2, '=') = \{
                S \to L = \bullet R
                R \to \bullet L
               L \to \bullet *R.
                L \rightarrow \bullet id
```

```
I_5 = \operatorname{Goto}(I_0, \operatorname{id}) = \{
                 L \rightarrow \bullet id
I_7 = \mathsf{Goto}(I_4, R) = \{
                 L \to * R \bullet
I_8 = \text{Goto}(I_4, L) = \{
                R \to L \bullet
I_9 = \operatorname{Goto}(I_6, R) = \{
                S \to L = R \bullet
```

SLR Parsing Table

State		Act	ion			Goto	
State	=	*	id	\$	S	L	R
0		<i>s</i> 4	<i>s</i> 5		1	2	3
1				асс			
2	s6,r6			r6			
3							
4		<i>s</i> 4	<i>s</i> 5			8	7
5	<i>r</i> 5			r5			
6		<i>s</i> 4	<i>s</i> 5			8	9
7	r4			r4			
8	r6			r6			
9				r2			

Shift-Reduce Conflict with SLR Parsing

```
I_0 = \mathsf{Closure}(S' \to .S) = \{
                                                 I_3 = \text{Goto}(I_0, R) = \{
                                                                                                      I_5 = \text{Goto}(I_0, \text{id}) = \{
            S' \rightarrow \bullet S,
                                                                S \to R \bullet
                                                                                                                   L \to \bullet id
            S \rightarrow \bullet L = R,
                                                       -Goto(I_{\circ},R)-
                                                                                                          =Goto(L, R)=
          1. Action[2,=] = Shift 6
          2. Action[2,=] = Reduce R \rightarrow L since ' = ' \in FOLLOW(R)
            S' \xrightarrow{S} S \bullet
                                                    I_6 = \text{Goto}(I_2, '=') = \{
                                                                                                      I_9 = \operatorname{Goto}(I_6, R) = \{
                                                                S \to L = \bullet R,
                                                                                                                   S \to L = R \bullet
I_2 = \text{Goto}(I_0, L) = \{
                                                                R \to \bullet L,
                                                                L \to \bullet * R,
            S \to L \bullet = R.
            R \to L \bullet
                                                                L \rightarrow \bullet id
```

Moves of an LR Parser on id=id

Stack	Input	Action
0	id=id\$	Shift 5
0 id 5	=id\$	Reduce by $L \rightarrow id$
0 L 2	=id\$	Reduce by $R \to L$
0 R 3	=id\$	Error

Stack	Input	Action
0	id=id\$	Shift 5
0 id 5	=id \$	Reduce by $L \rightarrow \mathbf{id}$
0 L 2	=id\$	Shift 6
0 L 2 = 6	id\$	Shift 5
0 L 2 = 6 id 5	\$	Reduce by $L \rightarrow id$
0 L 2 = 6 L 8	\$	Reduce by $R \to L$
0L2 = 6R9	\$	Reduce by $S \to L = R$
0 S 1	\$	Accept

Moves of an LR Parser on id=id

- State i calls for a reduction by $A \to \alpha$ if the set of items I_i contains item $[A \to \alpha \bullet]$ and $a \in \text{FOLLOW}(A)$
- Suppose βA is a viable prefix on top of the stack
- There may be no right sentential form where a follows βA
 - No right sentential form begins with $R = \cdots$
 - \triangleright Parser should not reduce by $A \rightarrow \alpha$

0L2 = 6R9	\$ Reduce by $S \to L = R$
0 <i>S</i> 1	\$ Accept

Moves of an LR Parser on id=id

Stack	Input	Action	Stack	Input	Action
0	id=id\$	Shift 5	0	id=id\$	Shift 5

SLR parsers cannot remember the left context

 SLR(1) states only tell us about the sequence on top of the stack, not what is below on the stack

0 L 2 = 6 id 5	\$ Reduce by $L \rightarrow id$
0 L 2 = 6 L 8	\$ Reduce by $R \to L$
0L2 = 6R9	\$ Reduce by $S \to L = R$
0 S 1	\$ Accept

Canonical LR Parsing

LR(1) Item

- An LR(1) item of a CFG G is a string of the form $[A \to \alpha \bullet \beta, a]$
 - $A \rightarrow \alpha\beta$ is a production in G, and $a \in T \cup \{\$\}$
 - There is now one symbol lookahead
- Suppose $[A \to \alpha \bullet \beta, \alpha]$ where $\beta \neq \epsilon$, then the lookahead does not help
- If $[A \to \alpha \bullet, a]$, reduce only if next input symbol is a
 - Set of possible terminals will always be a subset of FOLLOW(A), but can be a proper subset

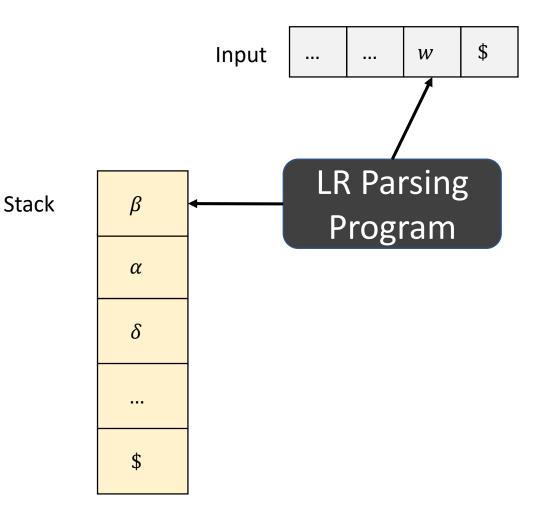
LR(1) Item

• An LR(1) item $[A \rightarrow \alpha \bullet \beta, a]$ is valid for a viable prefix γ if there is a derivation

$$S \underset{rm}{\Longrightarrow}^* \delta Aw \underset{rm}{\Longrightarrow} \delta \alpha \beta w$$

where

- i. $\gamma = \delta a$, and
- ii. a is first symbol of w or $w = \epsilon$ and a = \$



Constructing LR(1) Sets of Items

Closure(I)

```
repeat  \begin{array}{l} \text{for each item } [A \to \alpha \bullet B \beta, \alpha] \text{ in } I \\ \text{for each production } B \to \gamma \text{ in } G' \\ \text{for each terminal } b \text{ in FIRST}(\beta a) \\ \text{add } [B \to \bullet \gamma, b] \text{ to set } I \\ \text{until no more items are added to } I \\ \text{return } I \end{array}
```

Goto(I, X)

```
initialize J to be the empty set
for each item [A \to \alpha \bullet X\beta, a] in I
add item [A \to \alpha X \bullet \beta, a] to set J
return Closure(J)
```

Constructing LR(1) Sets of Items

```
Items(G')
  C = \text{Closure}(\{[S' \rightarrow \bullet S, \$]\})
  repeat
  for each set of items I in C
     for each grammar symbol X
        if Goto(I, X) \neq \phi and Goto(I, X) \notin C
          add Goto(I, X) to C
  until no new sets of items are added to C
```

Example Construction of LR(1) Items

Rule#	Production
0	$S' \to S$
1	$S \to CC$
2	$C \rightarrow cC$
3	$C \rightarrow d$

generates the regular language c^*dc^*d

```
I_{0} = \mathsf{Closure}([S' \rightarrow \bullet S, \$]) = \{
S' \rightarrow \bullet S, \$,
S \rightarrow \bullet CC, \$,
C \rightarrow \bullet cC, c/d,
C \rightarrow \bullet d, c/d
\}
I_{1} = \mathsf{Goto}(I_{0}, S) = \{
S' \rightarrow S \bullet, \$
\}
```

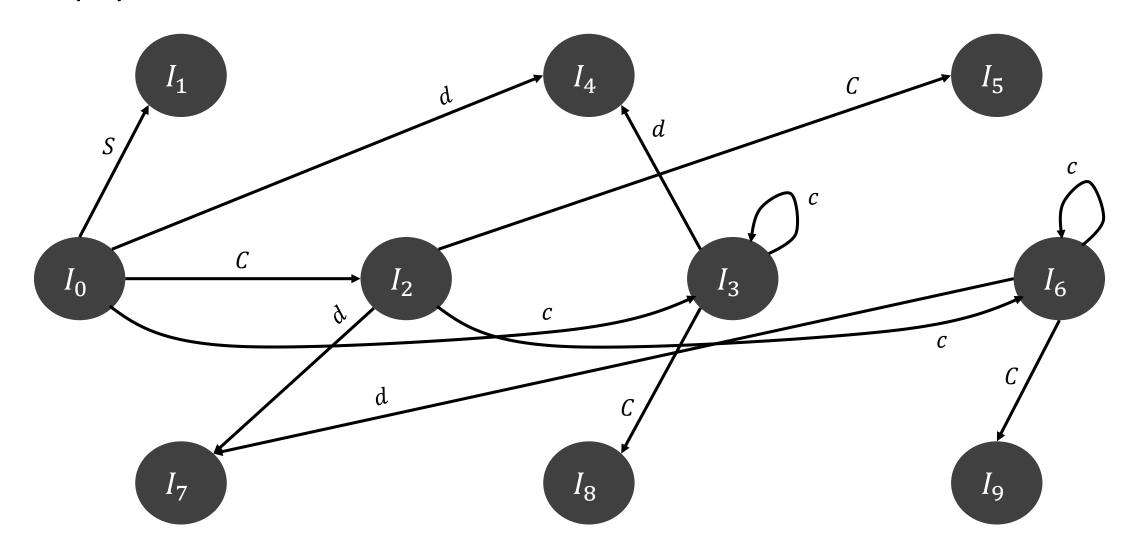
Example Construction of LR(1) Items

```
I_0 = \mathsf{Closure}([S' \to .S, \$]) = \{
                S' \rightarrow \bullet S, \$
                S \rightarrow \bullet CC.\$.
                C \to \bullet cC, c/d,
                C \rightarrow \bullet d, c/d
I_1 = \text{Goto}(I_0, S) = \{
                S' \to S \bullet . \$
I_2 = \text{Goto}(I_0, C) = \{
                S \to C \bullet C, \$,
                C \rightarrow \bullet cC, $,
                C \rightarrow \bullet d.$
```

```
I_3 = \text{Goto}(I_0, c) = \{
                 C \rightarrow c \bullet C, c/d,
                 C \rightarrow \bullet cC, c/d,
                 C \rightarrow \bullet d, c/d
 I_4 = \text{Goto}(I_0, d) = \{
                 C \to d \bullet, c/d
 I_5 = \text{Goto}(I_2, C) = \{
                 C \rightarrow CC \bullet . \$
```

```
I_6 = \text{Goto}(I_2, c) = \{
                C \to c \bullet C, $,
                C \rightarrow \bullet cC.\$.
                C \rightarrow \bullet d, $
I_7 = \mathsf{Goto}(I_2, d) = \{
                C \to d \bullet . \$
I_8 = \text{Goto}(I_3, C) = \{
                C \rightarrow cC \bullet, c/d
I_9 = \text{Goto}(I_6, C) = \{
                C \rightarrow cC \bullet . \$
```

LR(1) Automaton



Construction of Canonical LR(1) Parsing Tables

- Construct $C' = \{I_0, I_1, ..., I_n\}$
- State i of the parser is constructed from I_i
 - If $[A \to \alpha \bullet a\beta, b]$ is in I_i and $Goto(I_i, a) = I_j$, then set Action[i, a]="shift j"
 - If $[A \to \alpha \bullet, \alpha]$ is in I_i , $A \neq S'$, then set Action $[i, \alpha]$ ="reduce $A \to \alpha \bullet$ "
 - If $[S' \to S \bullet, \$]$ is in I_i , then set Action[i,\$]="accept"
- If $Goto(I_i, A) = I_j$, then Goto[i, A] = j
- Initial state of the parser is constructed from the set of items containing $[S' \rightarrow \bullet S, \$]$

Canonical LR(1) Parsing Table

Chaha		Action	Goto		
State	С	d	\$	S	$\boldsymbol{\mathcal{C}}$
0	<i>s</i> 3	<i>s</i> 4		1	2
1			асс		
2	<i>s</i> 6	s7			5
3	s3	<i>s</i> 4			8
4	r3	r3			
5			r1		
6	<i>s</i> 6	s7			9
7			r3		
8	r2	r2			
9			r2		

Canonical LR(1) Parsing

• If the parsing table has no multiply-defined cells, then the corresponding grammar G is LR(1)

- Every SLR(1) grammar is an LR(1) grammar
 - Canonical LR parser may have more states than SLR

LALR Parsing

CS 335

Swarnendu Biswas

Example Construction of LR(1) Items

```
I_0 = \mathsf{Closure}([S' \to .S, \$]) = \{
                                                                 I_3 = \text{Goto}(I_0, c) = \{
                                                                                                                                    I_6 = \text{Goto}(I_2, c) = \{
               S' \rightarrow \bullet S. \$.
                                                                                 C \to c \bullet C, c/d,
                                                                                                                                                   C \to c \bullet C, $,
               S \rightarrow \bullet CC, $,
                                                                                 C \to \bullet cC, c/d,
                                                                                                                                                   C \rightarrow \bullet cC.\$.
                                                                                 C \rightarrow \bullet d, c/d
                                                                                                                                                   C \rightarrow \bullet d.$
               C \to \bullet cC, c/d,
               C \rightarrow \bullet d, c/d
                                                                  I_4 = \text{Goto}(I_0, d) = \{
                                                                                                                                    I_7 = \text{Goto}(I_2, d) = \{
I_1 = \text{Goto}(I_0, S) = \{
                                                                                                                                                   C \to d \bullet . \$
                                                                                 C \to d \bullet, c/d
              S' \to S \bullet . \$
                                                                  I_5 = \text{Goto}(I_2, C) = \{
                                                                                                                                    I_8 = \text{Goto}(I_3, C) = \{
                                                                                 C \rightarrow CC \bullet . \$
I_2 = \text{Goto}(I_0, C) = \{
                                                                                                                                                   C \rightarrow cC \bullet, c/d
               S \to C \bullet C. \$.
               C \rightarrow \bullet cC, $,
               C \rightarrow \bullet d, $
                                                                                                                                    I_9 = \text{Goto}(I_6, C) = \{
                                    I_3 and I_6, I_4 and I_7, and I_8 and I_9
                                                                                                                                                   C \rightarrow cC \bullet . \$
```

only differ in the second components

Lookahead LR (LALR) Parsing

- CLR(1) parser has a large number of states
- Lookahead LR (LALR) parser
 - Merge sets of LR(1) items that have the same core, i.e., first component
 - A core is a set of LR(0) items
 - LALR parser is used in many parser generators (for e.g., Yacc and Bison)
 - Fewer number of states, same as SLR

Construction of LALR Parsing Table

- Construct $C = \{I_0, I_1, ..., I_n\}$, the collection of sets of LR(1) items
- For each core present in LR(1) items, find all sets having the same core and replace these sets by their union
- Let $C' = \{J_0, J_1, ..., J_n\}$ be the resulting sets of LR(1) items
 - Also called LALR collection
- Construct Action table as was done earlier, parsing actions for state i is constructed from J_i
- Let $J = I_1 \cup I_2 \cup \cdots \cup I_k$, where the cores of I_1, I_2, \ldots, I_k are same.
 - Cores of Goto (I_1, X) , Goto (I_2, X) , ..., Goto (I_k, X) will also be the same.
 - Let $K = \text{Goto}(I_1, X) \cup \text{Goto}(I_2, X) \cup ... \cup \text{Goto}(I_k, X)$, then Goto(J, X) = K

LALR Grammar

• If there are no parsing action conflicts, then the grammar is LALR(1)

Rule #	Production
0	$S' \to S$
1	$S \to CC$
2	$C \rightarrow cC$
3	$C \rightarrow d$

```
I_{36} = {\sf Goto}(I_0,c) = \{ \ C \to c {\circ} C, c/d/\$, \ C \to {\circ} cC, c/d/\$, \ C \to {\circ} d, c/d/\$ \} \} I_{47} = {\sf Goto}(I_0,d) = \{ \ C \to d {\circ}, c/d/\$ \}
```

$$I_{89} = \operatorname{Goto}(I_3, C) = \{$$
 $C \to cC \bullet, c/d/\$$
 $\}$

LALR Parsing Table

State		Action	Goto		
State	С	d	\$	S	С
0	s36	s47		1	2
1			acc		
2	s36	s47			5
36	s36	s47			89
47	r3	r3	r3		
5			r1		
89	r2	r2	r2		

Notes on LALR Parsing Table

- Modified parser behaves as original
- Merging items can never produce shift/reduce conflicts
 - Suppose there is a conflict on lookahead α
 - Shift due to item $[B \to \beta \bullet a\gamma, b]$ and reduce due to item $[A \to \alpha \bullet, a]$
 - But merged state was formed from states with same cores
- Merging items may produce reduce/reduce conflicts

Reduce-Reduce Conflicts due to Merging

LR(1) grammar

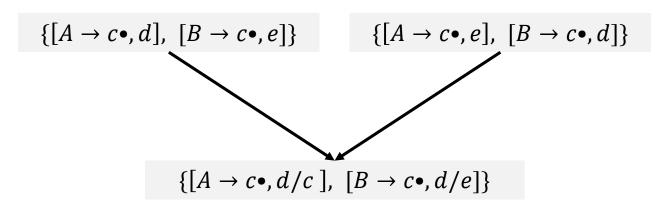
$$S' \to S$$

 $S \rightarrow aAd \mid bBd \mid aBe \mid bAe$

$$A \rightarrow c$$

 $B \rightarrow c$

acd, ace, bcd, bce



Dealing with Errors with LALR Parsing

Consider an erroneous input ccd

CLR Parsing Table							
State		Action		Goto			
State	С	d	\$	S	С		
0	<i>s</i> 3	<i>s</i> 4		1	2		
1			асс				
2	<i>s</i> 6	<i>s</i> 7			5		
3	<i>s</i> 3	<i>s</i> 4			8		
4	r3	r3					
5			r1				
6	<i>s</i> 6	<i>s</i> 7			9		
7			r3				
8	r2	r2					
9			r2				

LALR Parsing Table							
Ctata		Action		Goto			
State	С	d	\$	S	С		
0	<i>s</i> 36	s47		1	2		
1			асс				
2	<i>s</i> 36	s47			5		
36	s36	s47			89		
47	r3	r3	r3				
5			r1				
89	r2	r2	r2				

Dealing with Errors with LALR Parsing

Consider an erroneous input ccd

CLR Parsing Table						
State	Action			Goto		
State	С	d	\$	S	С	

LALR Parsing Table							
Chaha	Action			Goto			
State	С	d	\$	S	С		

- CLR parser will not even reduce before reporting an error
- SLR and LALR parsers may reduce several times before reporting an error
 - Will never shift an erroneous input symbol onto the stack

7			r3	
8	r2	r2		
9			r2	

Using Ambiguous Grammars

Dealing with Ambiguous Grammars

```
E' \rightarrow E

E \rightarrow E + E \mid E * E \mid (E) \mid id
```

```
I_{0} = \operatorname{Closure}(\{E' \rightarrow .E\}) = \{
E' \rightarrow \bullet E,
E \rightarrow \bullet E + E,
E \rightarrow \bullet (E),
E \rightarrow \bullet \operatorname{id}
\}
I_{1} = \operatorname{Goto}(I_{0}, E) = \{
E' \rightarrow E \bullet ,
E \rightarrow E \bullet + E,
E \rightarrow E \bullet + E,
E \rightarrow E \bullet * E
\}
```

```
I_2 = \text{Goto}(I_0, '(') = \{
                 E \to (\bullet E)
                 E \rightarrow \bullet E + E.
                E \rightarrow \bullet E * E,
                 E \to \bullet(E),
                 E \rightarrow \bullet id
I_3 = \text{Goto}(I_0, \text{id}) = \{
                 E \to id \bullet
I_4 = \text{Goto}(I_0, '+') = \{
                 E \to E + \bullet E,
                 E \rightarrow \bullet E + E.
                 E \to \bullet E * E
                 E \to \bullet(E),
                 E \rightarrow \bullet id
I_9 = \text{Goto}(I_6, ')') = \{
                 E \to (E) \bullet
```

```
I_5 = \text{Goto}(I_0, '*') = \{
                E \to E * \bullet E
               E \rightarrow \bullet E + E.
               E \to \bullet E * E,
                E \to \bullet(E)
                E \rightarrow \bullet id
I_6 = \text{Goto}(I_2, E) = \{
                E \to (E \bullet)
                E \rightarrow E \bullet + E,
                E \to E \bullet * E,
I_7 = \text{Goto}(I_4, E) = \{
                E \rightarrow E + E \bullet,
                E \rightarrow E \bullet + E,
                E \to E \bullet * E.
I_8 = \text{Goto}(I_5, E) = \{
                E \to E * E \bullet
                E \rightarrow E \bullet + E,
                E \to E \bullet * E
```

SLR(1) Parsing Table

Chaha			Goto				
State	id	+	*	()	\$	E
0	<i>s</i> 3			<i>s</i> 2			1
1		<i>s</i> 4	<i>s</i> 5			асс	
2	<i>s</i> 3			s2			6
3		r4	r4		r4	r4	
4	<i>s</i> 3			s2			7
5	<i>s</i> 3			s2			8
6		<i>s</i> 4	<i>s</i> 5		s9		
7		s4,r1	s5,r1		r1	r1	
8		s4, r2	s5,r2		r2	r2	
9		r3	r3		r3	r3	

Moves of an SLR Parser on id + id * id

	Stack	Symbols	Input	Action
1	0		id + id * id\$	Shift 3
2	0 3	id	+id * id\$	Reduce by $E \rightarrow id$
3	0 1	E	+id * id\$	Shift 4
4	0 1 4	E +	id * id\$	Shift 3
5	0 1 4 3	E + id	* id\$	Reduce by $E \rightarrow id$
6	0 1 4 7	E + E	* id\$	

SLR(1) Parsing Table

Ctoto			Goto				
State	id	+	*	()	\$	E
0	<i>s</i> 3			<i>s</i> 2			1
1		<i>s</i> 4	<i>s</i> 5			асс	
2	<i>s</i> 3			<i>s</i> 2			6
3		r4	r4		r4	r4	
4	<i>s</i> 3			<i>s</i> 2			7
5	<i>s</i> 3			<i>s</i> 2			8
6		<i>s</i> 4	<i>s</i> 5		<i>s</i> 9		
7		s4, r1	s5 , r1		r1	r1	
8		s4, r2	s5, r2		r2	r2	
9		r3	r3		r3	r3	

Summary

Comparisons across Techniques

- SLR(1) = LR(0) items + FOLLOW
 - SLR(1) parsers can parse a larger number of grammars than LR(0)
 - Any grammar that can be parsed by an LR(0) parser can be parsed by an SLR(1) parser
- $SLR(1) \leq LALR(1) \leq LR(1)$
- $SLR(k) \le LALR(k) \le LR(k)$
- $LL(k) \leq LR(k)$
- Ambiguous grammars are not LR

Summary

- Bottom-up parsing is a more powerful technique compared to topdown parsing
 - LR grammars can handle left recursion
 - Detects errors as soon as possible, and allows for better error recovery
- Automated parser generators such as Yacc and Bison

References

- A. Aho et al. Compilers: Principles, Techniques, and Tools, 2nd edition, Chapter 4.5-4.8.
- K. Cooper and L. Torczon. Engineering a Compiler, 2nd edition, Chapter 3.4.