

FOR INVESTORS AS INDIVIDUALS

- FUND DOCUMENT** • Signed Subscription Document with Physical Street Address of Investor
Submitted not later than effective date of transaction, or earlier if Fund Documents require.
- PAYMENT** • Payment by Wire
Received from bank account of Investor not later than effective date of transaction, or earlier if Fund Documents require.
- IDENTITY** • Copy of Driver's License or other Official Government-Issued Identification
Must show photo and street address. Any national or state ID card or voter's registration is acceptable if photo and street address are shown. Passport or US Permanent Resident Card (Green Card) or birth certificate are accepted alternate IDs.
- If the street address on the ID is incorrect: copy of an electric or gas bill verifying service street address is also required. Waiver of ID requirements above possible only if regulated bank or brokerage provides a satisfactory AML Certification.
- US TIN INFO** • For each US Investor, a complete, signed Form W-9
For each non-US Investor, a complete, signed Form W-8
- DATE OF BIRTH** • Date of Birth required for each Individual
Accepted when provided within signed subscription document or by separate email/fax/other written update.
- CITIZENSHIP** • Citizenship required for each Individual
Accepted when provided within signed subscription document or by separate email/fax/other written update.

FOR INVESTORS AS TRUSTS

- FUND DOCUMENT** • Signed Subscription Document with Physical Street Address of Investor
Submitted not later than effective date of transaction, or earlier if Fund Documents require.
- PAYMENT** • Payment by Wire
Received from bank account of Investor not later than effective date of transaction, or earlier if Fund Documents require.
- IDENTITY** • Copy of the complete Trust Document
We can alternatively accept copies of pages from the Trust with articles establishing Trust (showing name of the Trust) naming Trustees, and showing Trustee signatures. We can alternatively accept a Trust Certificate.
- Identification of Trustee(s)
Copy of Driver's License or other Official Government-Issued Identification. Must show photo and street national or state ID card or voter's registration is acceptable if photo and street address are shown. Passport or US Permanent Resident Card (Green Card) or birth certificate are accepted alternate IDs.
- If the street address on the ID is incorrect: copy of an electric or gas bill verifying service street address is required.
- If Trustee is an entity, we need a copy of:
- For LPs Certificate of official registration of LP and/or copy of signed Partnership Agreement naming General Partner
 - For LLC Certificate of official registration of LLC and copy of signed Operating Agreement naming Managing Member
 - For INC Certificate of official registration of Corporation and copy of Articles of Incorporation
- Identification of Beneficiary(s) of the trust
Copy of Driver's License or other Official Government-Issued Identification. Must show photo and street address. Any national or state ID card or voter's registration is acceptable if photo and street address are shown. Passport or birth certificate are accepted alternate IDs.
- If the street address on the ID is incorrect: copy of an electric or gas bill verifying service street address is required.
- If Beneficiary is an entity, we need a copy of:
- For LPs Certificate of official registration of LP and/or copy of signed Partnership Agreement naming General Partner
 - For LLC Certificate of official registration of LLC and copy of signed Operating Agreement naming Managing Member
 - For INC Certificate of official registration of Corporation and copy of Articles of Incorporation
 - For Trust Copy of the complete Trust Document or alternatively copies of pages with articles establishing Trust (showing name of the Trust) naming Trustees, and showing Trustee signatures. We can also accept a Trust Certificate.
- Also a list of names of persons or entities owning over 25% of the Trust.
- Waiver of ID requirements above possible only if regulated bank or brokerage provides a satisfactory AML Certification.
- US TIN INFO** • For US entities, a complete, signed Form W-9.
For non-US entities, a complete, signed Form W-8
- FORMATION DATE** • Date of Formation of the Trust
Accepted when provided within signed subscription document or by separate email/fax/other written update.
- CITIZENSHIP** • Citizenship of the Trustees and Beneficiary(s)
Accepted when provided within signed subscription document or by separate email/fax/other written update.

FOR INVESTORS AS IRAS

- FUND DOCUMENT** • Signed Subscription Document with Physical Street Address of IRA Beneficial Owner
Submitted not later than effective date of transaction, or earlier if Fund Documents require.
- PAYMENT** • Payment by Wire
Received from bank account of IRA Custodian not later than effective date of transaction, or earlier if Fund Documents require.
- IDENTITY** • Identification of IRA Beneficial Owner
Copy of Driver's License or other Official Government-Issued Identification. Must show photo and street address. Any national or state ID card or voter's registration is acceptable if photo and street address are shown. Passport or birth certificate are accepted alternate IDs.
If the street address on the ID is incorrect: copy of an electric or gas bill verifying service street address is required.
Waiver of ID requirements above possible only if regulated bank or brokerage provides a satisfactory AML Certification.
- Information regarding IRA Custodian
Name of IRA Custodian and evidence of Custodian consent with duplicate statements delivery instructions for Investor Statements and Subscription / Redemption Confirmations. Also Custodian's Authorized Signatory list.
- US TIN INFO** • For IRA Beneficial Owner, a complete, signed Form W-9 with IRA Beneficial Owner SSN
We also require a signed Form W-9 for the IRA custodian with IRA Custodian EIN
- DATE OF BIRTH** • Date of Birth of the IRA Beneficial Owner
Accepted when provided within signed subscription document or by separate email/fax/other written update.
- CITIZENSHIP** • Citizenship of the IRA Beneficial Owner
Accepted when provided within signed subscription document or by separate email/fax/other written update.

FOR INVESTORS AS LP, LLC, or INC.

- FUND DOCUMENT** • Signed Subscription Document with Street Address of Investor
Submitted not later than effective date of transaction, or earlier if Fund Documents require.
- PAYMENT** • Payment by Wire
Received from bank account of Investor not later than effective date of transaction, or earlier if Fund Documents require.
- IDENTITY** • Identification of Entity
We need a copy of:
For LPs Certificate of official registration of LP and/or copy of signed Partnership Agreement naming General Partner
For LLC Certificate of official registration of LLC and copy of signed Operating Agreement naming Managing Member
For INC Certificate of official registration of Corporation and copy of Articles of Incorporation
Also a list of names of persons or entities owning over 25% of the Entity.
Waiver of ID requirements above possible only if regulated bank or brokerage provides a satisfactory AML Certification.
- List of Authorized Signers with sample signatures
We will waive the signatory requirement if the signers are listed within the subscription document.
- US TIN INFO** • For US entities, a complete, signed Form W-9
For non-US entities, a complete, signed Form W-8
- FORMATION DATE** • Date of Formation of the Entity
Accepted when provided within signed subscription document or by separate email/fax/other written update.
- CITIZENSHIP** • City, State (Province) and Country of Formation of the Entity
Accepted when provided within signed subscription document or by separate email/fax/other written update.

FOR INVESTOR WHEN CAYMAN ISLANDS COMPANY (LTD, SPC, SP, LP)

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| FUND DOCUMENT | <ul style="list-style-type: none">• Signed Subscription Document with Street Address of Investor
Submitted not later than effective date of transaction, or earlier if Fund Documents require. |
| PAYMENT | <ul style="list-style-type: none">• Payment by Wire
Received from bank account of Investor not later than effective date of transaction, or earlier if Fund Documents require. |
| IDENTITY | <ul style="list-style-type: none">• Identification of Entity
We need a copy of Certificate of Registration of the LTD, SPC, or LP with the Cayman Registry of Companies in current good standing.
Also required is a copy of the Articles and Memorandum of the Entity.
Also a list of names of persons or entities owning over 25% of the Entity.
Waiver of ID requirements above possible only if regulated bank or brokerage provides a satisfactory AML Certification.• List of Authorized Signers with sample signatures
We will waive the signatory requirement if the signers are listed within the subscription document. |
| US TIN INFO | <ul style="list-style-type: none">• For US entities, a complete, signed Form W-9
For non-US entities, a complete, signed Form W-8 |
| DATE OF BIRTH | <ul style="list-style-type: none">• Date of Formation of the Entity
Accepted when provided within signed subscription document or by separate email/fax/other written update. |
| CITIZENSHIP | <ul style="list-style-type: none">• City, and Country of Formation of the Entity
Accepted when provided within subscription document or by separate email/fax/other written update. |

FOR INVESTOR WHEN CUSTODIAN

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| FUND DOCUMENT | <ul style="list-style-type: none">• Signed Subscription Document
Submitted not later than effective date of transaction, or earlier if Fund Documents require. |
| PAYMENT | <ul style="list-style-type: none">• Payment by Wire
From bank account of Custodian or Investor not later than effective date of transaction, or earlier if Fund Documents require. |
| IDENTITY | <ul style="list-style-type: none">• Identification of Beneficial Owner
Copy of Driver's License or other Official Government-Issued Identification. Must show photo and street address. Any national or state ID card or voter's registration is acceptable if photo and street address are shown. Passport or US Permanent Resident Card (Green Card) or birth certificate are accepted alternate IDs.
If the street address on the ID is incorrect: copy of an electric or gas bill verifying service street address is required.
Also a list of names of persons or entities owning over 25% of the Company.
Waiver of ID requirements above possible only if regulated bank or brokerage provides a satisfactory AML Certification.• Information regarding Custodian
Name of Custodian and evidence of Custodian consent with duplicate statements delivery instructions for Investor Statements and Subscription / Redemption Confirmations. Also Custodian's Authorized Signatory list. |
| US TIN INFO | <ul style="list-style-type: none">• For all US entities, a complete, signed Form W-9 (both Beneficial Owner and Custodian).
For all non-US entities, a complete, signed Form W-8 (both Beneficial Owner and Custodian). |
| CITIZENSHIP | <ul style="list-style-type: none">• Country of Citizenship of the Beneficial Owner is required (if an Individual)
Accepted when provided within subscription document or by separate email/fax/other written update.• State/Country of Formation of the Beneficial Owner is required (if an Entity)
Accepted when provided within subscription document or by separate email/fax/other written update. |