

# Problem list 1

April 18, 2022

## 1 Problem list 1

### 1.1 Lecture 2: Polynomials and Affine Space

1. Consider the polynomial  $g(x, y) = x^2y + y^2x \in \mathbb{F}_2[x, y]$ . Show that  $g(x, y) = 0$  for every  $(x, y) \in \mathbb{F}_2^2$ , and explain why this does not contradict Proposition 5.

◦ Let's just use Sage in order to check if this polynomial is zero-function:

```
[1]: R.<x,y> = PolynomialRing(GF(2))
poly = x**2 * y + y**2 * x
for el in AffineSpace(R):
    print(poly(*el))
```

0  
0  
0  
0

So,  $g(x, y) = 0$ . This doesn't contradict Prop. 5 though since  $\mathbb{F}_2$  is not an infinite field. •

2. Find a nonzero polynomial in  $\mathbb{F}_2[x, y, z]$  which vanishes at every point of  $\mathbb{F}_2^3$ . Try to find one involving all three variables.

◦ Seems,  $g(x, y, z) = x(y + z) + y(x + z) + z(x + y)$  does the job:

```
[2]: R.<x,y,z> = PolynomialRing(GF(2))
poly = x * (y + z) + y * (x + z) + z * (x + y)
for el in AffineSpace(R):
    print(poly(*el))
```

0  
0  
0  
0  
0  
0  
0  
0  
0

3. Consider  $f(x, y, z) = x^5y^2z - x^4y^3 + y^5 + x^2z - y^3z + xy + 2x - 5z + 3$ .

- (a) Write  $f$  as a polynomial in  $x$  with coefficients in  $\mathbb{K}[y, z]$ .
  - (b) Write  $f$  as a polynomial in  $y$  with coefficients in  $\mathbb{K}[x, z]$ .
  - (c) Write  $f$  as a polynomial in  $z$  with coefficients in  $\mathbb{K}[x, y]$ .
- (a):  $f(x) = (y^2z)x^5 - (y^3)x^4 + (z)x^2 + (y+2)x + (y^5 - y^3z - 5z + 3)$ ,
- (b):  $f(y) = y^5 - (x^4 + z)y^3 + (x^5z)y^2 + (x)y + (x^2z + 2x - 5z + 3)$ ,
- (c):  $f(z) = (x^5y^2 + x^2 - y^3 - 5)z - (x^4y^3 + y^5 + xy + 2x + 3)$ . •

## 1.2 Lecture 3: Affine Varieties

1. In the plane  $\mathbb{R}^2$ , draw a picture to illustrate

$$V(x^2 + y^2 - 4) \cap V(xy - 1) = V(x^2 + y^2 - 4, xy - 1),$$

and determine the points of intersection.

◦ Let's draw it:

```
[3]: x,y = var('x y')
poly1 = x**2 + y**2 - 4
p = [implicit_plot(poly1, xrange=(-5,5), yrange=(-5,5))]

poly2 = x * y - 1
p.append(implicit_plot(poly2, xrange=(-5,5), yrange=(-5,5)))

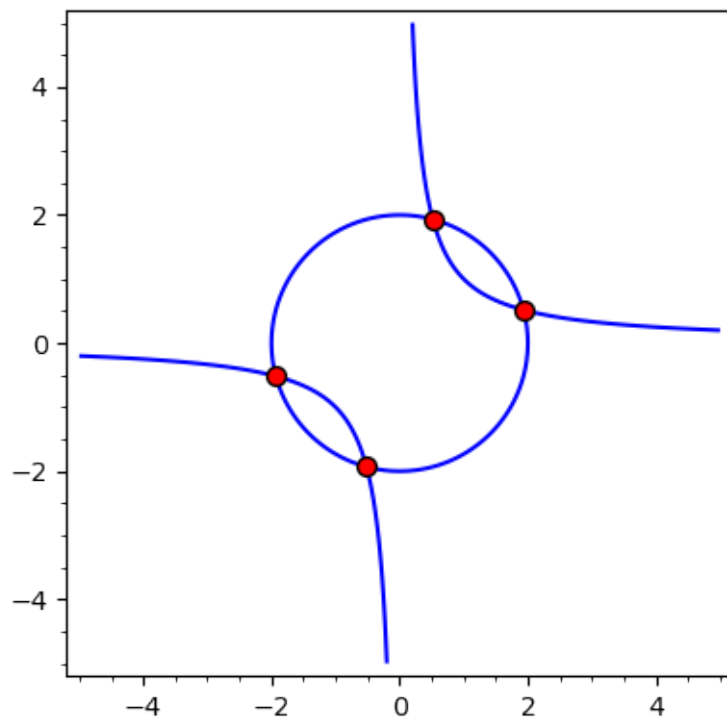
R.<x,y> = RR[]
J = R.ideal(x**2 + y**2 - 4, x * y - 1) #intersection = solve([poly1, poly2],
↪ [x,y])

int_x = [j[x] for j in J.variety()] #[point[0].rhs() for point in intersection]
int_y = [j[y] for j in J.variety()] #[point[1].rhs() for point in intersection]
p.append(scatter_plot(list(zip(int_x, int_y)), facecolor='red'))
sum(p)
```

verbose 0 (2285: multi\_polynomial\_ideal.py, variety) Warning: falling back to very slow toy implementation.

verbose 0 (2285: multi\_polynomial\_ideal.py, variety) Warning: falling back to very slow toy implementation.

[3]:



So, blue varieties – are exactly the varieties in left hand-side. Four red points are the variety on the right hand-side, and, of course, is the intersection of blue ones •

**2.** Sketch  $V((x-2)(x^2-y), y(x^2-y), (z+1)(x^2-y))$  in  $\mathbb{R}^3$ .

◦ From the previous problem,

$$V((x-2)(x^2-y), y(x^2-y), (z+1)(x^2-y)) = V((x-2)(x^2-y) \cap V(y(x^2-y)) \cap V((z+1)(x^2-y)))$$

So we can look at all these affine varieties, more concretely, at their intersections

```
[4]: x,y,z = var('x,y,z')

V = [(x-2)*(x^2-y), y*(x^2-y), (z+1)*(x^2-y)]
c=['red', 'blue', 'green']

p=add([implicit_plot3d(V[i],[x,-10,10],[y,-10,10],[z,-10,10], color=c[i]) for i in
      range(0..2)])

show(p)
```

Graphics3d Object

Let's solve the appropriate system and plot the variety itself using Sage:

```
[5]: soln = solve([(x-2)*(x^2-y), y*(x^2-y), (z+1)*(x^2-y)], [x,y,z])
print(soln)

plots = []

for sol in soln:
    plots.append(parametric_plot3d(list(map(lambda x: x.rhs(), sol)), (-5,5),
    ↪(-5, 5), color='orange'))

sum(plots)
```

```
[
[x == r1, y == r1^2, z == r2],
[x == 0, y == 0, z == -1],
[x == 2, y == 0, z == -1]
]
```

[5]: Graphics3d Object

3. Let us show that all finite subsets of  $\mathbb{k}^n$  are affine varieties

(a) Prove that a single point  $(a_1, \dots, a_n) \in \mathbb{k}^n$  is an affine variety.

(b) Prove that every finite subset of  $\mathbb{k}^n$  is an affine variety

◦ Let's start with (a):

(a): Recall that  $V$  is an affine variety if  $\exists f_1, \dots, f_s \in \mathbb{k}[x_1, \dots, x_n]$  such that  $\forall v \in V : f_i(v) = 0$ . Thus a single point  $(a_1, \dots, a_n)$  is surely an affine variety (or, to be correctly, *the set* that consists of that one point): we need just to show appropriate system of polynomial equations such that it has only one solutions – this point. The system

$$\begin{cases} x_1 - a_1 = 0, \\ x_2 - a_2 = 0, \\ \dots \\ x_n - a_n = 0 \end{cases}$$

is such one.

(b): We know, that if  $V$  and  $W$  are affine varieties, then so is  $V \cup W$ .

Consider a finite subset  $V$  of  $\mathbb{k}^n$ . Since  $V$  is finite,  $V = \{v_1, \dots, v_n\}$ . Each  $\{v_i\}$  is an affine variety by (a). Then so is  $V = \bigcup \{v_i\}$ . •

4. Let  $R = \{(x, y) \in \mathbb{R}^2 | y > 0\}$  be the upper half plane. Prove that  $R$  is not an affine variety.

◦ Suppose  $R$  is an affine variety. Let  $V$  be an affine variety  $V(y - x^2)$ , which is just a line that passes through  $(0, 0)$ , so it's just a variety defined by  $f(x, y) = y - x^2$ . Then by previous considerations,  $C = R \cap V$  is an affine variety as well. Now, consider  $g(t) = f(t, t^2)$ . Then  $g$  is zero-function on  $C$ , so it's a zero polynomial. Thus,  $g(0) = f(0, 0) = 0$ , and therefore  $R$  is not an affine variety. •

5. Let  $V \subset \mathbb{A}^n$  and  $W \subset \mathbb{A}^m$  be two affine varieties, and let

$$V \times W = \{(x_1, \dots, x_n, y_1, \dots, y_m) \in \mathbb{A}^{n+m} \mid (x_1, \dots, x_n) \in V, (y_1, \dots, y_m) \in W\}$$

be their Cartesian product. Prove that  $V \times W$  is an affine variety in  $\mathbb{A}^{n+m}$ .

◦ Since  $V, W$  are varieties, then let  $V = V(f_1, \dots, f_s)$  and  $W = V(g_1, \dots, g_r)$ , where  $f_i \in \mathbb{k}[x_1, \dots, x_n]$  and  $g_i \in \mathbb{k}[y_1, \dots, y_m]$ . Then we can regard  $f_i$  and  $g_i$  as polynomials in  $\mathbb{k}[x_1, \dots, x_n, y_1, \dots, y_m]$ .

Then consider  $V(f_1, \dots, f_s, g_1, \dots, g_r)$ . I claim that  $V \times W = V(f_1, \dots, f_s, g_1, \dots, g_r)$ .

The  $\subseteq$  part: let  $(a_1, \dots, a_n, b_1, \dots, b_m) \in V \times W$ . Clearly, both  $f_i$  and  $g_i$  vanish at this point.

The  $\supseteq$  part: let  $(a_1, \dots, a_n, b_1, \dots, b_m) \in V(f_1, \dots, f_s, g_1, \dots, g_r)$ . Then it's also clear that  $(a_1, \dots, a_n, b_1, \dots, b_m) \in V \times W$  since  $f_i$  vanishes on  $(a_1, \dots, a_n)$  (then  $(a_1, \dots, a_n) \in V$ ) and  $g_i$  vanishes on  $(b_1, \dots, b_m)$  (then  $(b_1, \dots, b_m) \in W$ ). •

### 1.3 Lecture 4: Parametrizations of Affine Varieties

1. Given  $f \in \mathbb{k}[x]$ , find a parametrization of  $V(y - f(x))$ .

◦ Parametrization of  $V(y - f(x))$  is a system

$$\begin{cases} x = r_1(t), \\ y = r_2(t) \end{cases}$$

Since  $V(y - f(x)) = \{(x, y) \in \mathbb{A}^2 : y - f(x) = 0\}$ , the next parametrization should work:

$$\begin{cases} x = t, \\ y = f(t) \end{cases}$$

2. Consider the curve defined by  $y^2 = cx^2 - x^3$ , where  $c$  is some constant.

- Show that a line will meet this curve at either 0, 1, 2, or 3 points. Illustrate your answer with a picture.
- Show that a nonvertical line through the origin meets the curve at exactly one other point when  $m^2 \neq c$ . Draw a picture to illustrate this, and see if you can come up with an intuitive explanation as to why this happens.
- Now draw the vertical line  $x = 1$ . Given a point  $(1, t)$  on this line, draw the line connecting  $(1, t)$  to the origin. This will intersect the curve in a point  $(x, y)$ . Draw a picture to illustrate this, and argue geometrically that this gives a parametrization of the entire curve.
- Show that the geometric description from part (c) leads to the parametrization

$$\begin{cases} x = c - t^2, \\ y = t(c - t^2). \end{cases}$$

◦ Let's start with (a):

Let's at first look at this curve with different values of  $c$ :

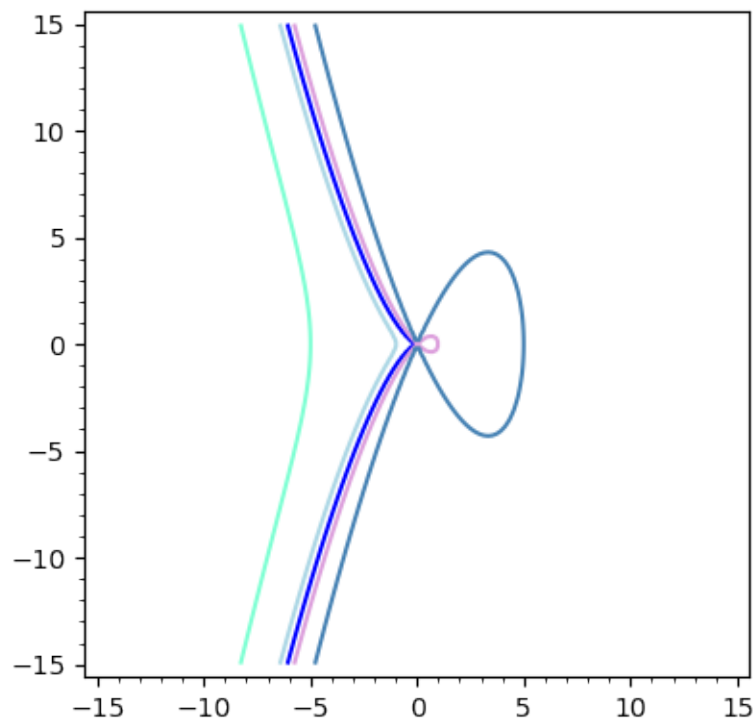
```
[6]: x,y = var('x,y')

cs = [-5, -1, 0, 1, 5]
colors = ['aquamarine', 'automatic', 'blue', 'plum', 'steelblue']
plots = []

for i in range(len(cs)):
    plots.append(
        implicit_plot(y^2 == cs[i]*x^2 - x^3, (x,-15,15), (y,-15,15), color =
        ↪ colors[i])
    )

sum(plots)
```

[6]:



Easy to see, that there exist lines, that meet the curve at 0, 1, 2 or 3 points.

Formally, let  $x = a$  for some  $a$ . Then  $y^2 = a^2(c - a)$ . Then there are 3 cases: \*  $a > 0$ : RHS is negative, and so line doesn't meet the curve \*  $a = 0$ : The only meeting point then is  $(0, 0)$ . \*  $a < 0$ : RHS is positive, so line meets the curve at 2 points

If  $y = mx + b$ , then  $(mx + b)^2 = cx^2 - x^3$ , which simplifies to

$$x^3 + (m^2 - c)x^2 + 2mbx + b^2 = 0.$$

And by fundamental theorem of algebra, it has at most 3 roots.

(b): Let's draw a picture first. For this, fix  $c = 1$  and draw several lines.

```
[29]: x,y = var('x,y')

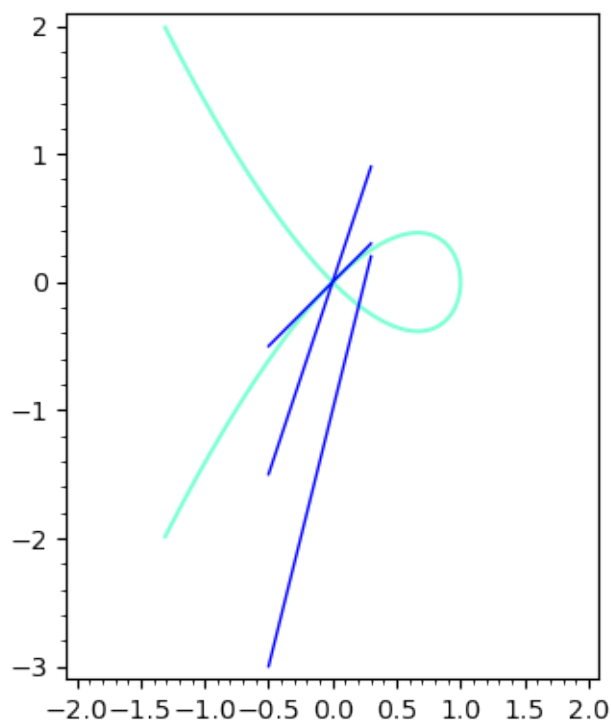
curve = y^2 == x^2 - x^3
lines = [x, 3*x, 4*x-1]

plots = [implicit_plot(curve, (x,-2,2), (y,-2,2), color = 'aquamarine')]

for line in lines:
    plots.append(plot(line, -0.5, 0.3))

sum(plots)
```

[29]:



Let's work it out formally: we have

$$x^2(x + (m^2 - c)) = 0$$

So the roots are only 0 and  $m^2 - c$

(c): Let's plot again:

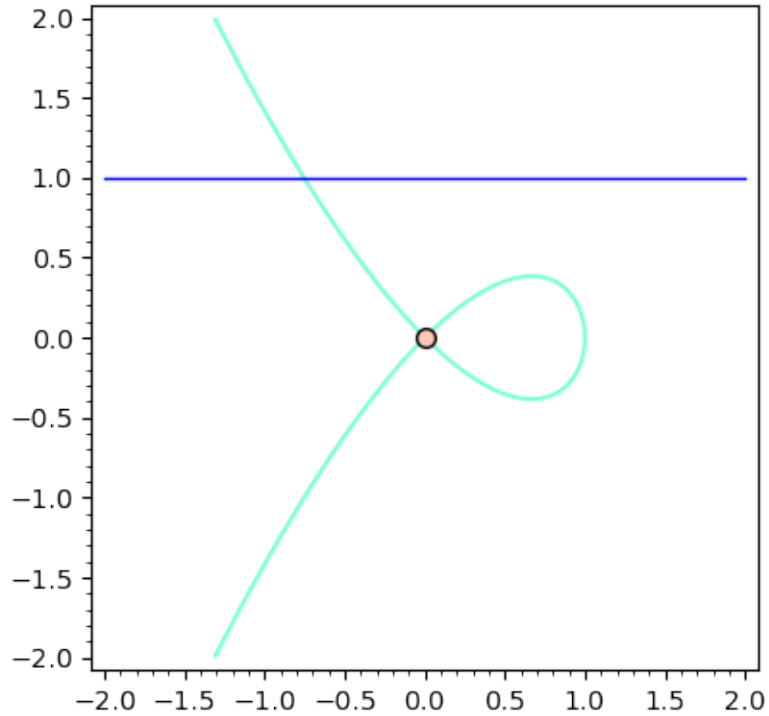
```
[35]: x,y = var('x,y')

curve = y^2 == x^2 - x^3
```

```
plots = [implicit_plot(curve, (x,-2,2), (y,-2,2), color = 'aquamarine'),
         plot(1, -2,2),
         scatter_plot([(0,0)])]

sum(plots)
```

[35]:



Let's consider  $(1, t)$  which lies on the line  $x = 1$  and connect it with  $(0, 0)$ . Then the line that passes through these 2 points has the equation

$$y = tx$$

Let's draw it:

```
[61]: x,y, t = var('x,y, t')

curve = y^2 == x^2 - x^3
connect_line = t*x

plots = [implicit_plot(curve, (x,-2,2), (y,-2,2), color = 'aquamarine',
    figsize=8),
         plot(1, -2,2),
         scatter_plot([(0,0)])]

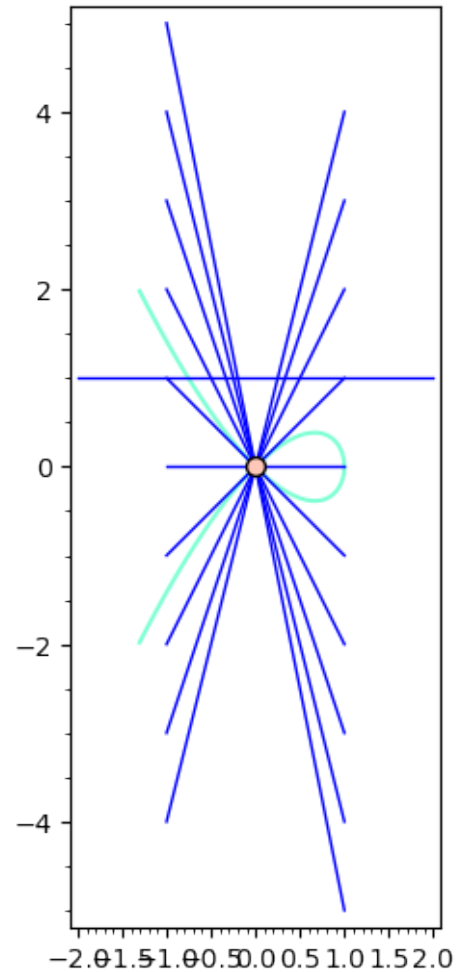
for p in range (-5,5):
```



```
plots.append(plot(connect_line.subs(t==p), -1,1))
```

```
sum(plots)
```

[61]:



as  $t$  ranges from  $-\infty$  to  $\infty$  the line meets the curve at all points.

(d): Let's parametrize the curve:

Since  $y = tx$ , we can put it in the equation:

$$t^2 x^2 = cx^2 - x^3,$$

cancelling out  $x^2$ , we get that  $x = c - t^2$ , thus  $y = t(c - t^2)$ .

## 1.4 Lecture 5: Ideals

1. Show that  $V(x + xy, y + xy, x^2, y^2) = V(x, y)$ .

◦ Observe that  $x + xy = x(1 + y)$ , and therefore  $x + xy \in \langle x \rangle$ . Similarly,  $y + xy = y(1 + x) \in \langle y \rangle$ ;  $x^2 \in \langle x \rangle$  and  $y^2 \in \langle y \rangle$ , which is obvious. Thus,  $\langle x, y \rangle = \langle x + xy, y + xy, x^2, y^2 \rangle$ , therefore varieties are equal. •

**2.** Show that  $I(V(x^n, y^m)) = \langle x, y \rangle$  for any positive integers  $n$  and  $m$

◦ From Hilbert's Nullstellensatz,  $I(V(\alpha)) = \sqrt{(\alpha)}$ , where  $\sqrt{(\alpha)}$  is the radical of the ideal. And  $\sqrt{(\langle x^n, y^m \rangle)} = \langle x, y \rangle$ .

Another way: Since  $V(x^n, y^m) = V(x^n) \cap V(y^m)$ , we can write down each variety:  $* V(x^n) = \{(0, y) : y \in \mathbb{k}\} = V(x)$   $* V(y^m) = \{(x, 0) : x \in \mathbb{k}\} = V(y)$

Thus,  $V(x^n, y^m) = V(x^n) \cap V(y^m) = V(x) \cap V(y) = V(x, y)$ , therefore  $\langle x, y \rangle \subseteq I(V(x, y)) = I(V(x^n, y^m))$

For converse inclusion, notice that  $(0, 0) \in V(x^n, y^m)$ , so any polynomial  $f \in I(V(x^n, y^m))$  can't have nonzero constant term, hence  $f \in \langle x, y \rangle$ . Thus,  $I(V(x^n, y^m)) = \langle x, y \rangle$  •

**3.** Let  $V \subset \mathbb{R}^3$  be the curve parametrized by  $(t^2, t^3, t^4)$ .

(a) Prove that  $V$  is an affine variety.

(b) Determine  $I(V)$ .

◦ Let's start with (a): In order to prove that  $V$  is an affine variety, I need to find the defining polynomials  $f_1, f_2, \dots, f_s$  in  $\mathbb{k}[x, y, z]$  such that  $V(f_1, \dots, f_s) = V$ .

So, since  $x = t^2$ ,  $y = xt$  and  $z = x^2$ , so  $f_1 = z - x^2$ . To find another polynomial, consider what we have now:

$$\begin{cases} x = t^2, \\ y = xt. \end{cases}$$

So  $t = \frac{y}{x}$ , and  $x = \frac{y^2}{z}$ , from which we can derive that  $f_2 = y^2 - xz$ . Thus,

$$V \subseteq V(y^2 - xz, z - x^2)$$

To prove the converse, let  $P = (a, b, c) \in V(y^2 - xz, z - x^2)$ , so  $ac = b^2$  and  $a^2 = c$ . If  $a = 0$ , then  $P = (0, 0, 0)$  and in this case,  $P \in V$  (corr. to  $t = 0$ ). If  $a \neq 0$ , then because of the relations, let  $r = \frac{b}{a}$ , and then

$$P = (a, b, c) = (r^2, r^3, r^4).$$

Hence,  $V = V(y^2 - xz, z - x^2)$ .

[73]: *""" something strange on the plot; red parametrized line doesn't match with the intersection of 2 surfaces """*

```
x,y,z,t = var("x,y,z,t")
plots = [implicit_plot3d(y^2-x*z,xrange=(-15,15), yrange=(-15,15),
    xrange=(-15,15)),
    implicit_plot3d(z-x^2,xrange=(-15,15), yrange=(-15,15),
    xrange=(-15,15)),
    parametric_plot3d((t^2,t^3,t^4), (-2,2), color='red', thickness=5)]

sum(plots)
```

**[73]: Graphics3d Object**

(b) Now, let's determine  $I(V)$ . Recall that

$$I(V) = \{f \in k[x_1, x_2, x_3] : \forall x \in V : f(x) = 0\}.$$

The considerations above doesn't depend on the ring, thus by Hilbert's Nullstellensatz,  $I(V(J)) = \sqrt{J}$ , so

$$I(V(y^2 - xz, z - x^2)) = \sqrt{\langle y^2 - xz, z - x^2 \rangle}.$$

But

$$\mathbb{k}[x, y, z] / \langle y^2 - xz, z - x^2 \rangle = \mathbb{k}[x, y] / \langle y^2 - x^3 \rangle.$$

But  $y^2 - x^3$  is irreducible: using Eisenstein's criterion, consider  $y^2 - x^3 \in \mathbb{k}[x][y]$  and prime ideal  $p = (x)$ . Then criterion implies that  $y^2 - x^3$  is irreducible, thus  $\langle y^2 - x^3 \rangle$  is prime, and therefore

$$I(V(y^2 - xz, z - x^2)) = \sqrt{\langle y^2 - xz, z - x^2 \rangle} = \langle y^2 - xz, z - x^2 \rangle. \bullet$$

**4.** The system of equations  $f_1 = \dots = f_s = 0$  gives the ideal  $I = \langle f_1, \dots, f_s \rangle$  of polynomial consequences. Now suppose that the system has a consequence of the form  $f = g$  and we take the  $m$ -th power of each side to obtain  $f^m = g^m$ . In terms of the ideal  $I$ , this means that  $f - g \in I$  should imply  $f^m - g^m \in I$ . Prove this by factoring  $f^m - g^m$ .

◦ Let's just divide  $f^m - g^m$  by  $f - g$ , we obtain:

$$f^m - g^m = (f - g)(f^{m-1} + f^{m-2}g + \dots + fg^{m-2} + g^{m-1}),$$

So, indeed,  $f - g \in I$  implies  $f^m - g^m \in I$ . •

**5.** (a) Prove that  $xy \notin \langle x^2, y^2 \rangle$

(b) Prove that  $1, x, y, xy$  are the only monomials not contained in  $\langle x^2, y^2 \rangle$ .

◦ (a): Suppose,  $xy \in \langle x^2, y^2 \rangle$ . Then  $xy = ax^2 + by^2$  for some  $a, b \in \mathbb{k}[x, y]$ . But degree in RHS in either  $x$  or  $y$  can't be less than 2, whereas the degree in LHS in either  $x$  or  $y$  is only 1. So  $xy \notin \langle x^2, y^2 \rangle$ , but in  $\text{rad } \langle x^2, y^2 \rangle = \langle x, y \rangle$ .

(b): Let  $a$  be the other monomial that is not contained in  $\langle x^2, y^2 \rangle$ . Since it's not  $1, x, y, xy$ , it has degree in either  $x$  or  $y$  at least 2. But then it factors by the generators, and thus lies inside the ideal. •