

# Chapter 3: Set Theory

## Fundamentals

### Definition 3.1.1 (Sets, " $\in$ ")

A set is any unordered collection of objects. If  $x$  is an object and  $A$  is a set,  $x \in A \vee x \notin A$ .

### Axiom 3.1 Sets are objects

If  $A$  is a set, then  $A$  is also an object;  $A$  can belong to  $B$ , i.e.  $\{3, \{3, 4\}, 4\}$

### Axiom 3.2 (Equality of Sets)

$$A = B \iff \forall x \in A x \in B \wedge \forall y \in B y \in A$$

### Axiom A.7 (Substitution)

If  $a$  and  $b$  are of the same type and  $a = b$ , then  $f(a) = f(b)$  for all functions and operations  $f$ . The same applies to any property  $P$ .

It is important to note that the axiom of substitution applies to " $\in$ ". Therefore, it also applies to all operations we define exclusively in terms of it.

### Axiom 3.3 (Empty Set)

There exists a set  $\emptyset$  (or  $\{\}$ ) s.t.  $\forall x, x \notin \emptyset$ .

### Lemma 3.1.5 (Single Choice)

Let  $A$  be a non-empty set.  $\Rightarrow \exists x$  s.t.  $x \in A$ .

**Proof:** (By contradiction)

Suppose  $\nexists x \in A. \rightarrow \forall x, x \notin A$ . Also by axiom 3.3,  $x \notin A$ .

"Thus,  $x \in A \iff x \in \emptyset$ ."

(Every element of  $A$  is in  $\emptyset$  and vice versa; axiom 3.2.)

Thus,  $A = \emptyset$ , a contradiction.  $\square$

### Axiom 3.4 (Singleton and Pair Sets)

$\forall a \exists \{a\}; \forall y, y \in \{a\} \iff y = a$ . We call  $\{a\}$  the singleton set whose element is  $a$ .

$$\forall a, b \exists \{a, b\}; \forall y, y \in \{a, b\} \iff (y = a \vee y = b)$$

Because by axiom 3.2,  $\{a, a\} = \{a\}$ , the singleton set axiom is redundant.

### Axiom 3.5 (Pairwise Union)

Let  $A$  and  $B$  be sets.  $\exists A \cup B$  s.t.  $x \in A \cup B \iff (x \in A \vee x \in B)$ .

### Lemma 3.1.12

$$\forall a, b \{a, b\} = \{a\} \cup \{b\}.$$

$$A \cup B = B \cup A.$$

$$(A \cup B) \cup C = A \cup (B \cup C).$$

**Proof:** I'm not going to write the proofs here, but the main idea seems to be to split the proof into two cases: for  $A \cup B$ , when  $x \in A$  and when  $x \in B$ .

### Definition 3.1.14 (Subsets)

Let  $A, B$  be sets.

$$A \subseteq B \iff \forall x \in A, x \in B. \text{ (} A \text{ is a subset of } B \text{)}$$

$$A \subsetneq B \iff (A \subseteq B \wedge A \neq B). \text{ (} A \text{ is a proper subset of } B \text{)}$$

Because all of the above is defined in terms of  $\in$ , it follows the axiom of substitution.

### Proposition 3.1.17 (Sets are partially ordered by set inclusion)

1.  $(A \subseteq B \wedge B \subseteq C) \Rightarrow A \subseteq C$ .
2.  $(A \subseteq B \wedge B \subseteq A) \Rightarrow A = B$ .
3.  $(A \subsetneq B \wedge B \subsetneq C) \Rightarrow A \subsetneq C$ .

**Proof (1):** We **choose** an arbitrary element of  $A$ ,  $x$ . Since  $A \subseteq B$ ,  $x \in B$ , and since  $B \subseteq C$ ,  $x \in C$ . Thus any element of  $A$  is an element of  $C$ .  $\square$

**Proof (3):** Since  $(X \subsetneq Y) \Rightarrow (X \subseteq Y)$ ,  $A \subseteq B \wedge B \subseteq C$ . Therefore, by Tao's proof of 1,  $A \subseteq C$ .

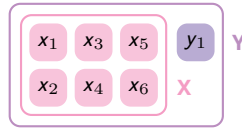
Thus, to show  $A \subsetneq C$ , we only need show  $A \neq C$ .

If  $(X \subseteq Y \wedge X \neq Y) \Rightarrow$

$$\left[ (\forall x \in X, x \in Y) \wedge \sim (\forall y \in Y, y \in X) \right]$$

If this were false,  $X \not\subseteq Y$ .

If this were true,  $X = Y$ .



$\therefore \exists$  some  $y \in Y$  s.t.  $y \notin X$ .

$\therefore (A \subsetneq B) \Rightarrow \exists b \in B$  s.t.  $b \notin A$

$\therefore (B \subseteq C) \Rightarrow b \in C \wedge \exists c \in C$  s.t.  $(c \notin B \wedge c \notin A$  because  $A \subseteq B)$

$\therefore b, c \notin A \wedge b, c \in C \therefore A \neq C \square$

### Axiom 3.6 (Specification)

Let  $A$  be a set, and  $P(x)$  s.t.  $\forall x \in A$ ,  $P(x)$  is true or false.

$\exists \{x \in A : P(\text{is true})\}$  s.t.

$$y \in \{x \in A : P(x)\} \iff (y \in A \wedge P(y))$$

### Definition 3.1.22 (Intersections)

$$S_1 \cap S_2 := \{x \in S_1 : x \in S_2\};$$

$$x \in S_1 \cap S_2 \iff x \in S_1 \wedge x \in S_2.$$

### Definition 3.1.26 (Difference sets)

$$A - B := \{x \in A : x \notin B\}$$

### Proposition 3.1.27 (Sets for a boolean algebra)

#### a) Minimal Element

$$A \cup \emptyset = A \text{ and } A \cap \emptyset = \emptyset$$

**b) Maximal Element**

$$A \cup X = X \text{ and } A \cap X = A$$

**c) Identity**

$$A \cap A = A \text{ and } A \cup A = A$$

**d) Commutativity**

$$A \cup B = B \cup A \text{ and } A \cap B = B \cap A$$

**e) Associativity**

$$(A \cup B) \cup C = A \cup (B \cup C) \text{ and } (A \cap B) \cap C = A \cap (B \cap C)$$

**f) Distributivity**

$$A \cap (B \cup C) = (A \cap B) \cup (A \cap C) \text{ and } \\ A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$$

**g) Partition**

$$A \cup (X - A) = X \text{ and } A \cap (X - A) = \emptyset$$

**h) De Morgan Laws**

$$X - (A \cup B) = (X - A) \cap (X - B) \text{ and } X - (A \cap B) = (X - A) \cup (X - B)$$

**Axiom 3.7 (Replacement)**

$$z \in \{y : P(x, y) \text{ is true for some } x \in A\}$$

$$\iff P(x, z) \text{ is true for some } x \in A.$$

**Example:**

Let  $P(x, y)$  be that  $y = x++$ . Then,  $\{y : P(x, y) \text{ for some } x \in \{1, 2, 3\}\} = \{2, 3, 4\}$ .

The above could be re-written in the forms:

$$f(x) = x++, \{y : y = f(x) \text{ for some } x \in \{1, 2, 3\}\} \\ \{f(x) : x \in \{1, 2, 3\}\}$$

**Axiom 3.8 (Infinity)**

There exists a set  $\mathbb{N}$ , whose elements are called *natural numbers*, as well as an object  $0$  in  $\mathbb{N}$ , and an object  $n++$  assigned to every natural number  $n \in \mathbb{N}$ , such that the *Peano axioms* hold.

## Functions

### Definition 3.3.1 (Functions)

Let  $X, Y$  be sets and  $P(x, y)$  be a property of an objects  $x \in X, y \in Y$  s.t.  $\forall x \exists! y$  s.t.  $P(x, y)$ . We now define the function  $f : X \rightarrow Y$ , defined by  $P$  on the domain  $X$  and codomain  $Y$  to be the object which for any input  $x \in X$ , assigns an output  $f(x) \in Y$ .

In short:

$$y = f(x) \iff P(x, y)$$

### Examples

One can simply derive a property from a function definition  $f(x) = x++$  as  $P(x, y) \iff y = x++$ .

For  $P(x, y) \iff y++ = x$ ,  $f$  must be defined  $f : \mathbb{N} - \{0\} \rightarrow \mathbb{N}$ , since  $0-1 \notin \mathbb{N}$ , leaving  $f(0)$  undefined.

Functions can be defined explicitly by defining an expression for  $f(x)$ , or implicitly by only defining  $P(x, y)$ .

*For explicitness' sake, Tao's definition **does** imply that unequal inputs do not necessarily mean unequal outputs.*

### Definition 3.3.8 (Equality of Functions)

Let  $f : X \rightarrow Y$  and  $g : X' \rightarrow Y'$ .

$$f = g \iff (X = X' \wedge Y = Y') \wedge (\forall x \in X, f(x) = g(x))$$

### Definition 3.3.13 (Composition)

Let  $f : W \rightarrow X$  and  $g : Y \rightarrow Z$ .

$$(g \circ f)(x) := g(f(x)) \iff X = Y$$

### Lemma 3.3.15 (Composition is Associative)

Let  $f, g, h$  be functions such that the following composition is possible.

$$\Rightarrow f \circ (g \circ h) = (f \circ g) \circ h$$

**Definition 3.3.17 (One-to-one Functions)**

If  $f$  is one-to-one (injective),

$$f(x) = f(x') \iff x = x'$$

**Definition 3.3.21 (Onto Functions)**

A function is onto (surjective) if

$$\forall y \in Y, \exists x \in X \text{ s.t. } f(x) = y$$

**Definition 3.3.21 (Bijective Functions)**

A function is bijective (invertible) if it is both injective and surjective.

**Definition 3.3.? (Inverse Functions)**

If  $f$  is bijective,  $\forall y \in Y, \exists! x \in X \text{ s.t. } f(x) = y$ . This  $x$  is given by the inverse function  $f^{-1}(y)$ , defined :  $Y \rightarrow X$ .