



# SDSC6004 PROCESS ENGINEERING AND SYSTEMS MODELING

By Zijun Zhang

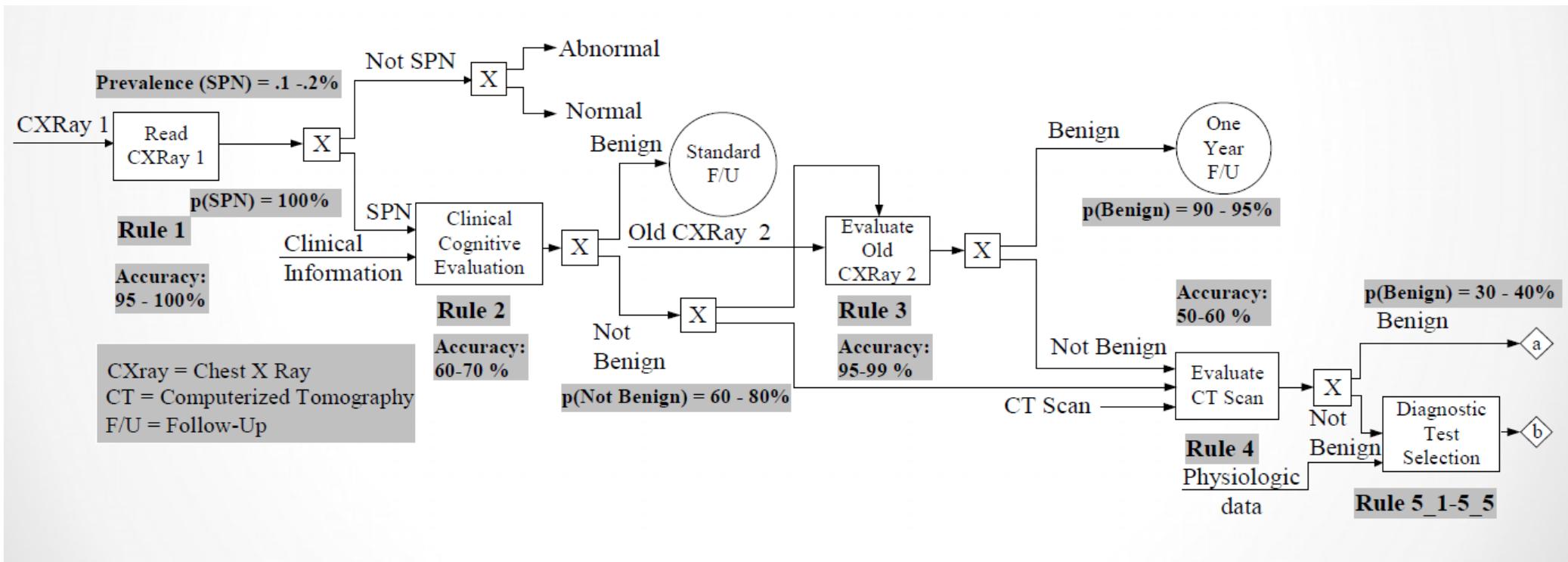
Parts of materials are from  
lecture notes of Prof. Andrew  
Kusiak

# PROCESS ENGINEERING

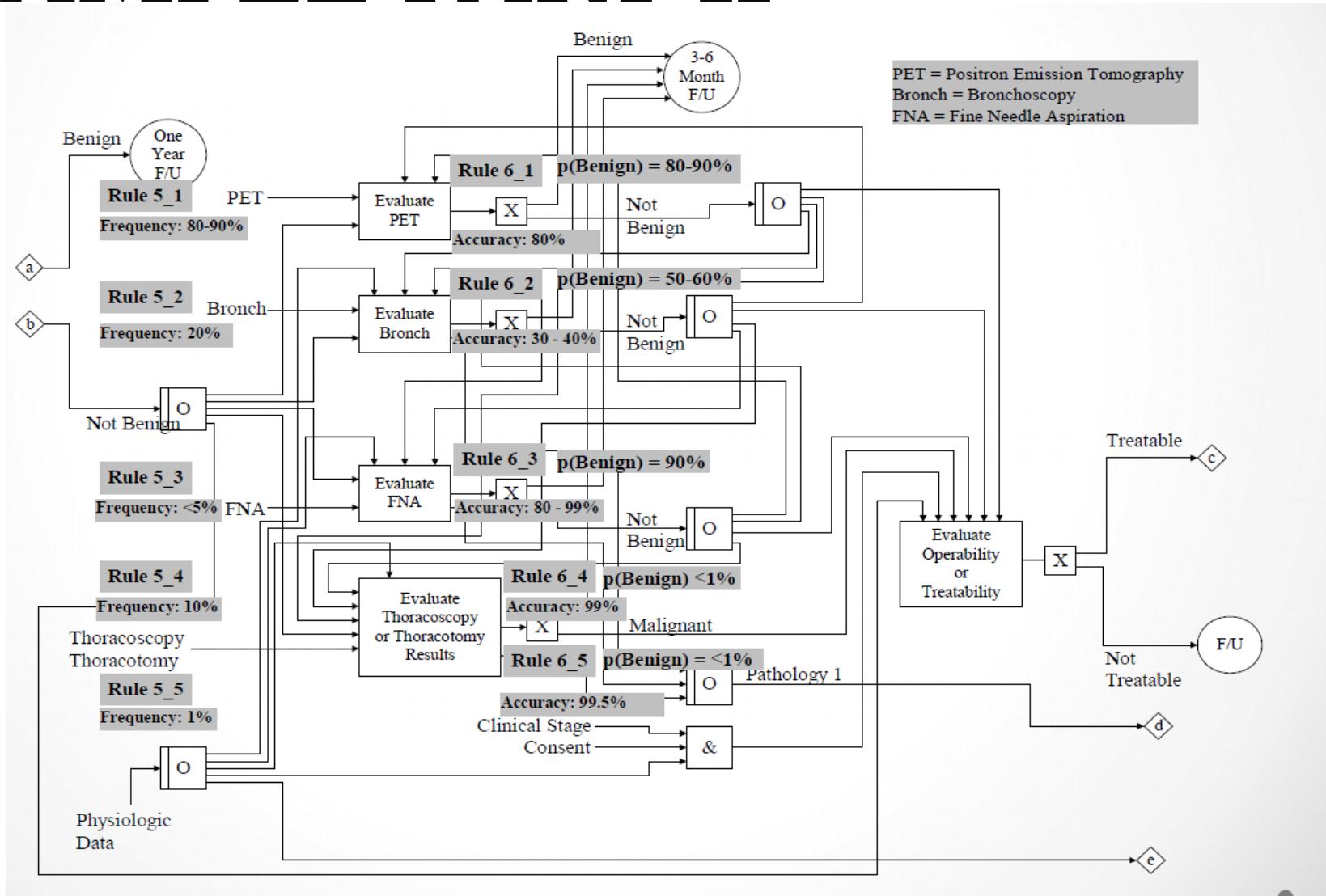
What is a process? Definition?

Using solitary pulmonary nodule (SPN) diagnosis process  
as an example

# EXAMPLE PART I



# EXAMPLE PART II



# PROCESS ENGINEERING

It is a collection of events, actions, factors, ...

More examples in real applications:

Manufacturing process

Design process

Business process

# PROCESS TYPES

Process type can be categorized via different ways, e.g.:

Way 1

Structured, e.g., manufacturing, v.s. unstructured, e.g., healthcare

Way 2

Deterministic v.s. stochastic

# PROCESS MODELING METHODOLOGY

Computer Integrated Manufacturing-Open Systems Architecture (CIM-OSA)

EXPRESS

GRAI Method

Integrated Enterprise Modeling (IEM)

Problem Statement Language/Problem Statement Analyzer (PSL/PSA)

Structured System Analysis and Design Method (SSADM)

Object-Oriented modeling methodology for Manufacturing Information Systems (OOMIS)

MOSYS (Modeling System)

Petri Nets

IDEF Methodology

# QUESTION

What is more important?

The modeling tool itself or the user familiarity with the tool?

# IDEF METHODOLOGY

IDEF = Integrated DEFinition

# IDEF METHODOLOGIES

**IDEF0:** modeling a wide variety of systems which use hardware, software, and people to perform activities

IDEF1x: to semantically model the relationships between various pieces of data

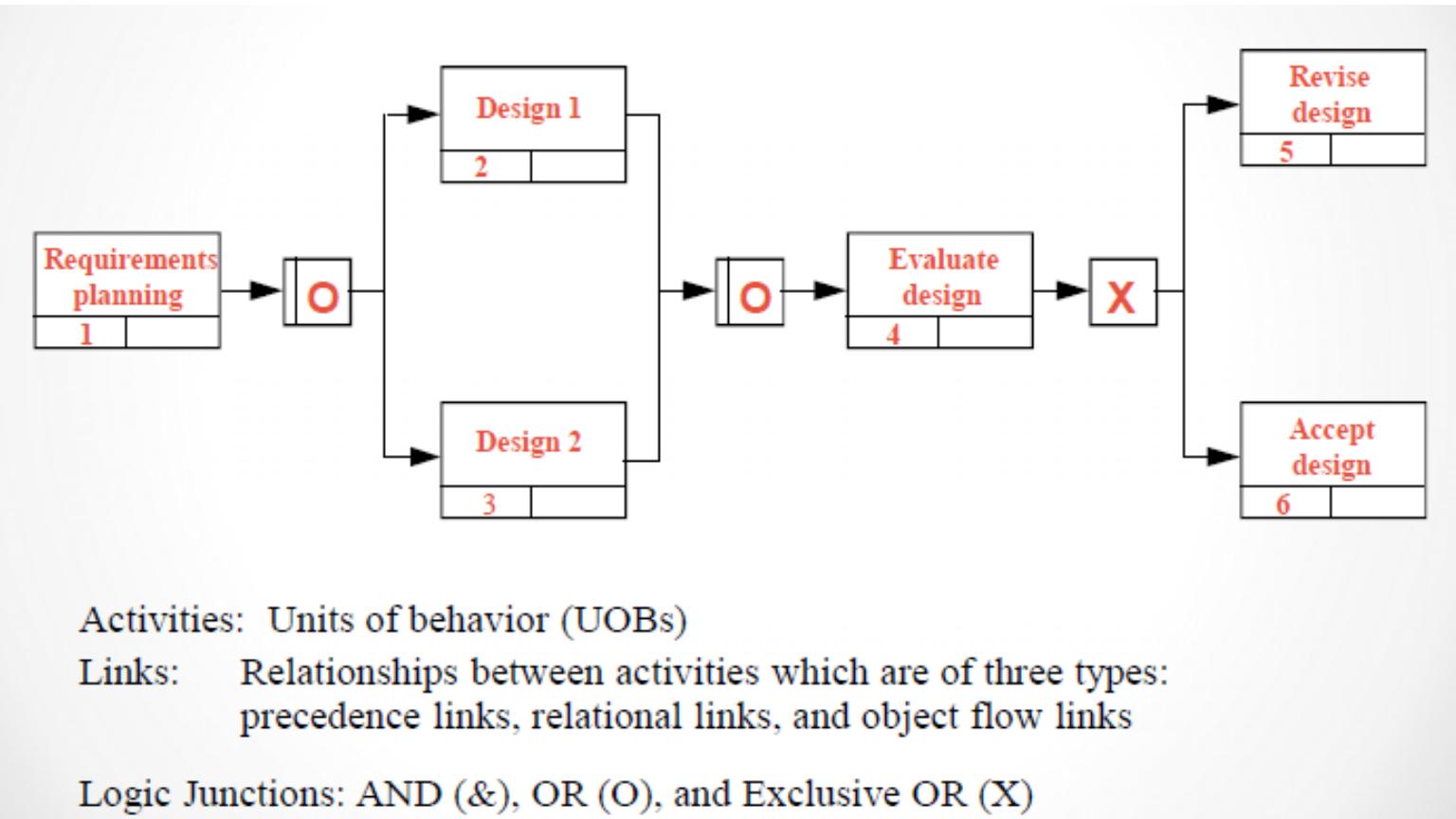
IDEF2: to capture the dynamic behavior of a system

**IDEF3:** created specifically to model the sequence of activities

IDEF5: to model domain ontologies

IDEF6: to define the motives that drive the decision making process

# IDEF3 PROCESS MODEL

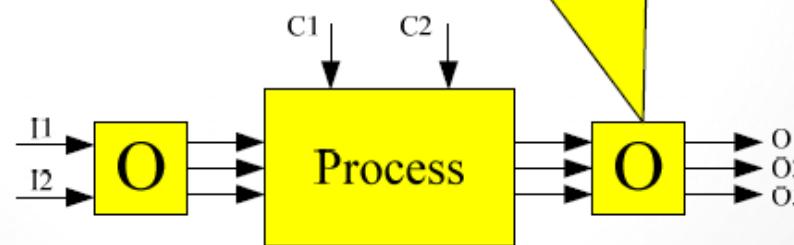


# ANNOTATED PROCESS MODEL

Decision-making logic: Method 1

Data-mining  
derived knowledge

If I1 Then O1 and O3  
If I2 Then O2  
If I1 And C1 Then O2 And O3



# PROCESS AND SYSTEMS

Interpretation can be made from multiple aspects:

If the system is very specific mechanical or electrical components utilized in some processes, we can say process includes some of systems

If the system means a high-level scope, it will be regarded as a collection of processes which transforms a set of inputs under certain conditions to a set of outputs

# SYSTEMS MODELING

Terms utilized in mechanical engineering, physics, electrical engineering, control, etc. majorly refer to system dynamics

Model types: ODE, PDE, State Machines, Hybrid, etc.

Modeling approaches:

Physics-based models (white box)

Input-output models (black box)

# SYSTEM MODELING

System dynamics models:

Model is a mathematical representations of a system

- Models allow simulating and analyzing the system
- Models are never exact

Modeling depends on your goal

- A single system may have many models
- Always understand what is the purpose of the model
- Large “libraries” of standard model templates exist
- A conceptually new model is a big deal

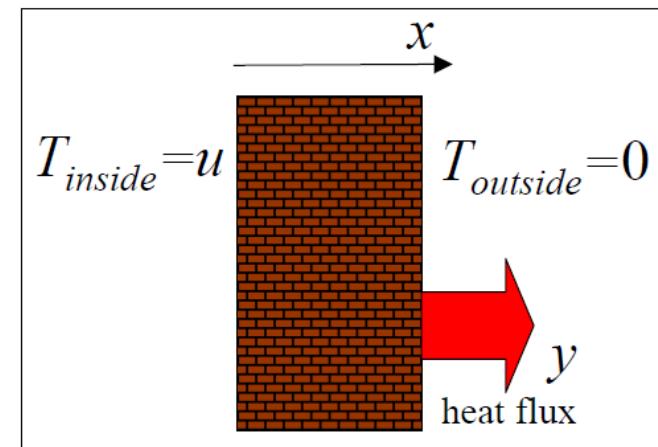
# PDE MODELS

- Include functions of spatial variables
  - electromagnetic fields
  - mass and heat transfer
  - fluid dynamics
  - structural deformations
- Example: sideways heat equation

$$\frac{\partial T}{\partial t} = k \frac{\partial^2 T}{\partial x^2}$$

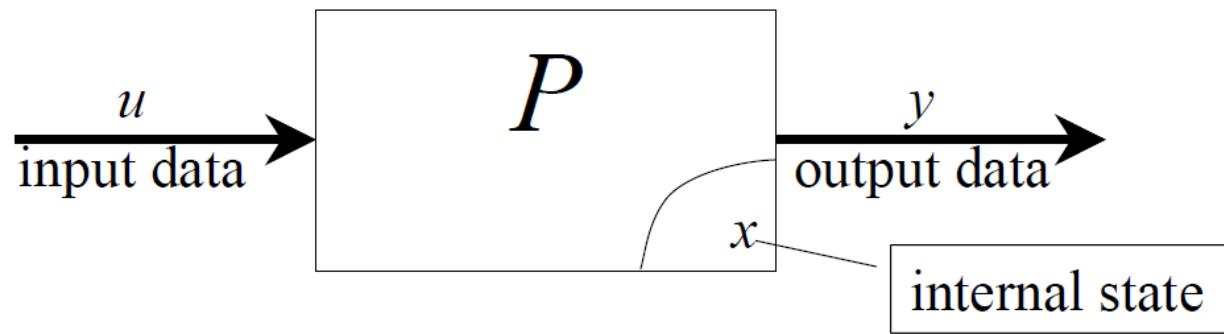
$$T(0) = u; \quad T(1) = 0$$

$$y = \left. \frac{\partial T}{\partial x} \right|_{x=1}$$



# BLACK-BOX MODELS

- Black-box models - describe  $P$  as an operator



- AA, ME, Physics - state space, ODE and PDE
- EE - black-box,
- ChE - use anything
- CS - state machines, probabilistic models, neural networks

# BLACK-BOX MODEL FROM DATA

In control, due to the system complexity, exact form of models or deterministic form of model parameters cannot be easily obtained.

Thus, according to system observations and responses, a new direction of determining the black-box was emerged, model identification from data

However, in control, a lot of times, the structure of model to be identified is typically assumed while the estimation focuses on model parameters or a number of assumptions is applied into the problem

# PROCESS MODELS

A process model created through a modeling effort, referred to as “as-is” model, needs to be transformed into “to-be model” that meets the goals of the re-engineering effort

Two types of analysis

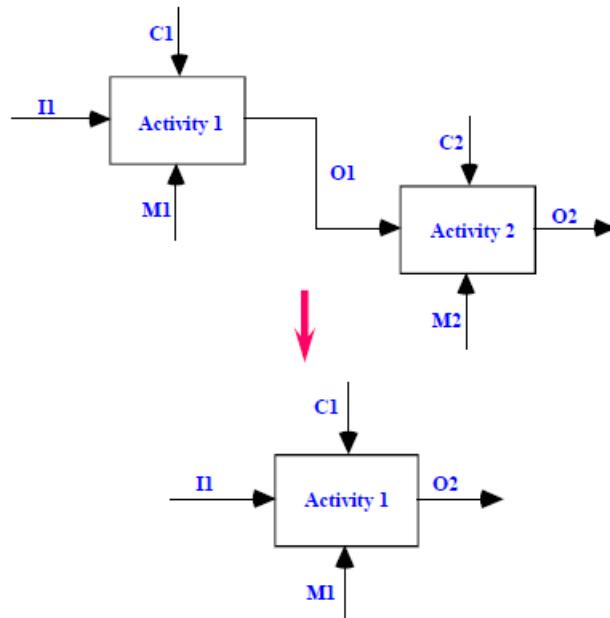
- Observational analysis
- Computational analysis

# PROCESS MODELS

## Observational analysis

### Elimination of Redundant Activities

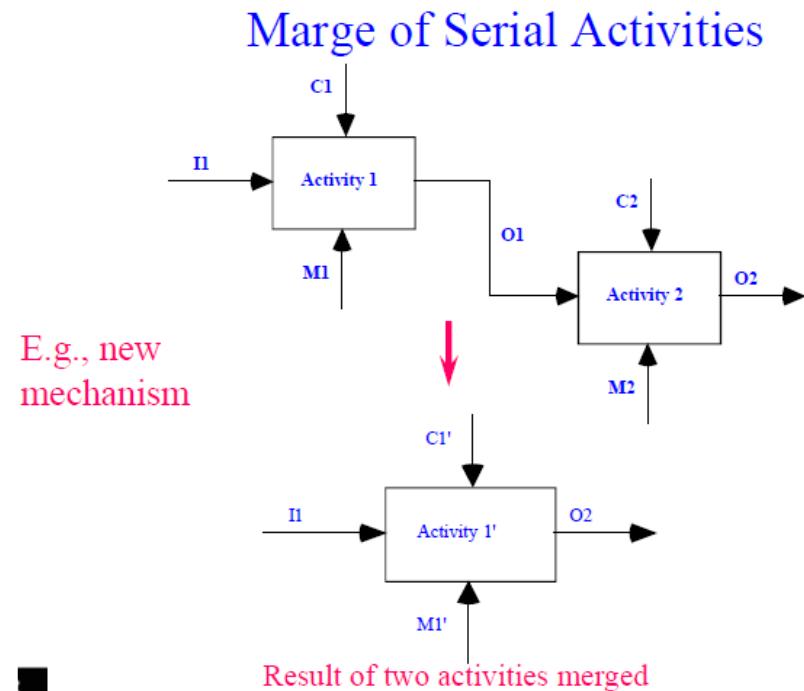
E.g., doing  
and undoing



Result of eliminating a redundant activity

# PROCESS MODELS

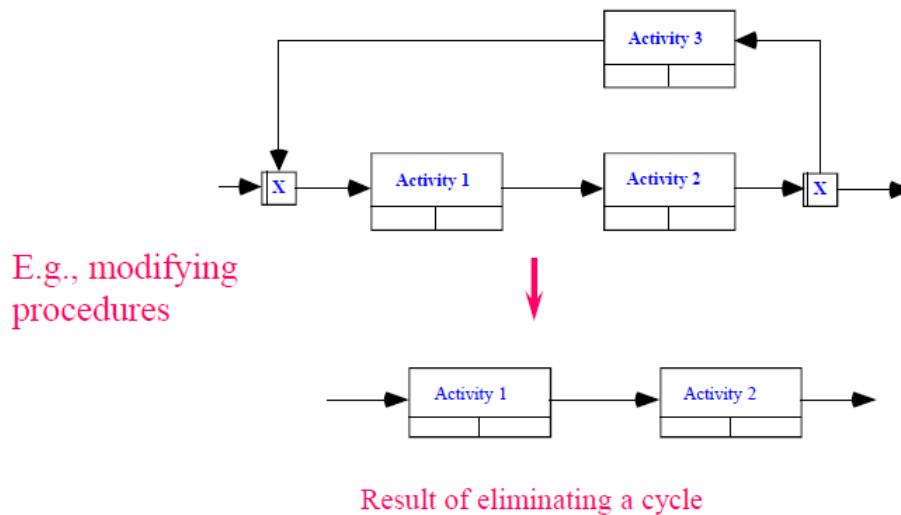
Observational analysis



# PROCESS MODELS

Observational analysis

## Elimination of Cycles



# PROCESS MODELS

Observational analysis – Question

What is the end result of observational analysis of a process model?

# PROCESS MODELS

Re-engineered “to-be” process model:

Contains normally less activities than “as-model”

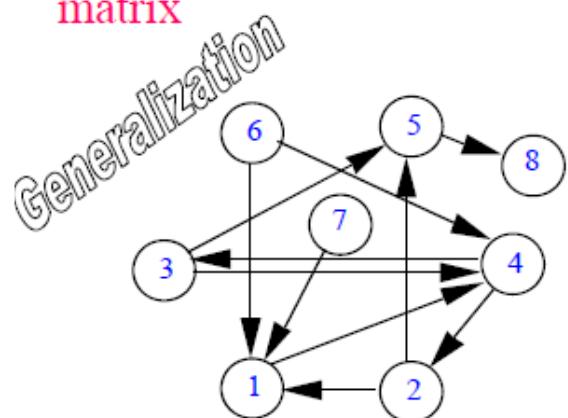
Has a better performance, e.g., shorter process cycle time

# PROCESS MODELS

Computational analysis

## Graph Representation of Dependencies in Process Models

Digraph of activities and the corresponding incidence matrix



Input

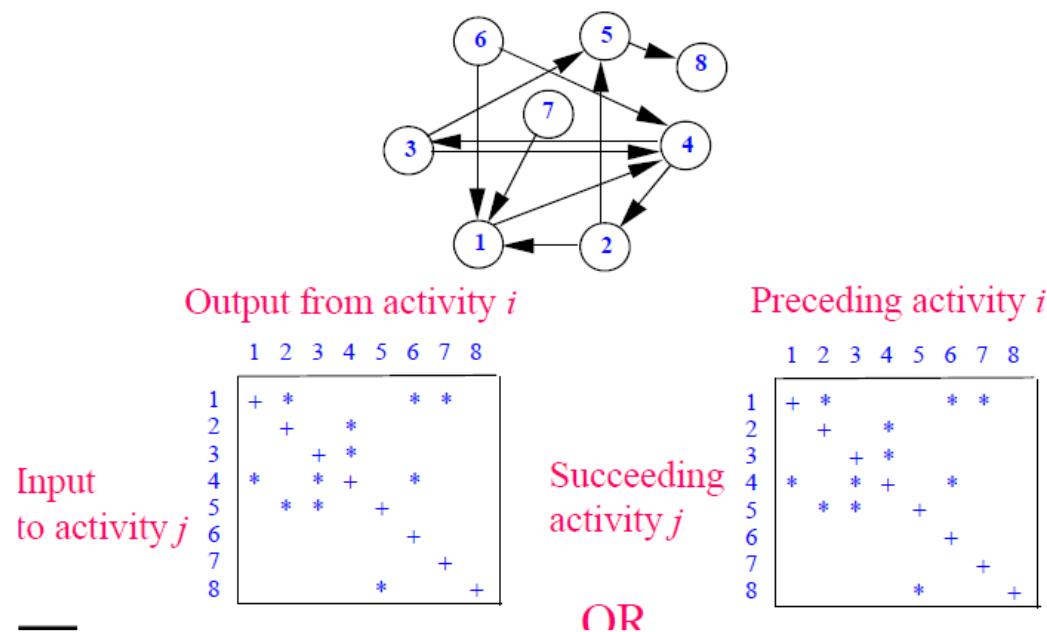
Output							
1	2	3	4	5	6	7	8
+	*				*	*	
	+			*			
		+	*				
*	*	+					
*	*		+				
				+			
					+		
						*	
							+

Extracted from an IDEF3 model

# PROCESS MODELS

Computational analysis

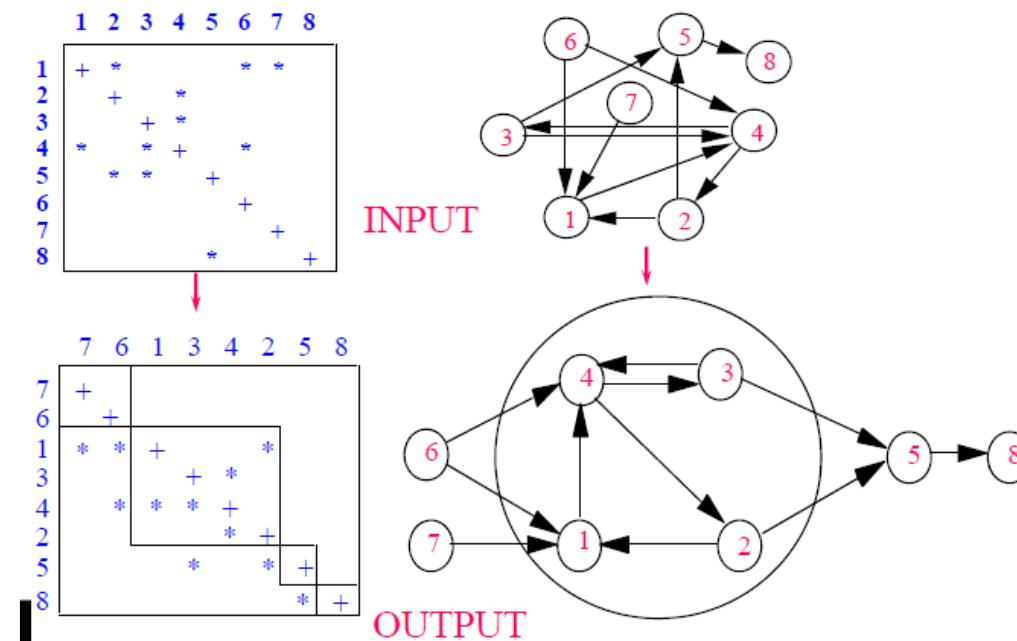
## Convention



# PROCESS MODELS

## Computational analysis

The ordered incidence matrix and the corresponding digraph



# PROCESS MODELS

Soft computing algorithms

## Case 1: Organizing Non-cyclic Graphs

### The Topological Sorting Algorithm

Step 1. Set  $i = 1$ .

Step 2. Draw a horizontal line through unlabeled row  $k$  of the incidence matrix with only one non-empty element (corresponding to a vertex with no predecessors).

Step 3. Draw a vertical line through column  $k$  (same column number  $k$  as the row number in Step 2) of the incidence matrix.

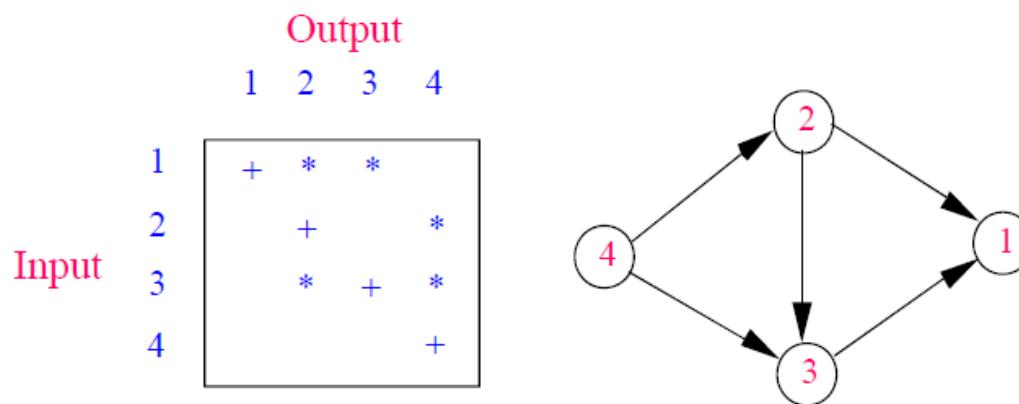
Step 4. Label  $i$  the cross out row  $k$  and column  $k$  of the matrix.

Step 5. If each row and column of incidence matrix has been labeled, stop; otherwise set  $i = i + 1$  and go to Step 2.

# PROCESS MODELS

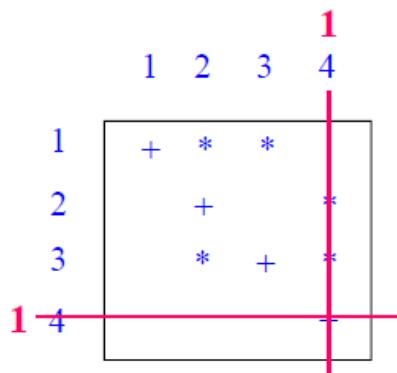
Computational analysis

Incidence matrix and the corresponding digraph with four vertices

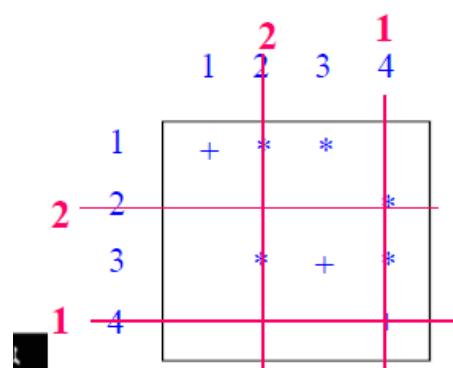
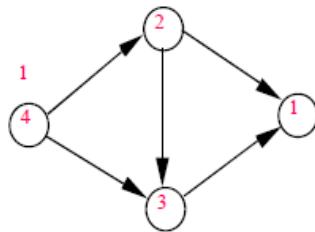


# PROCESS MODELS

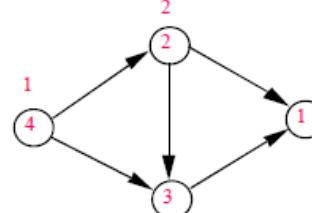
## Computational analysis



First iteration of the topological sorting algorithm

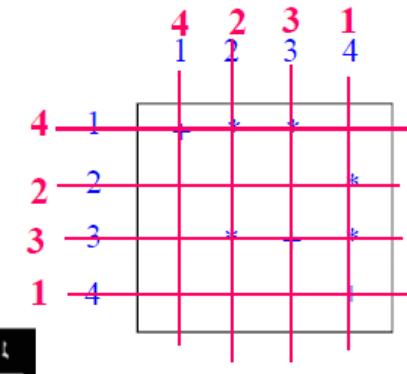
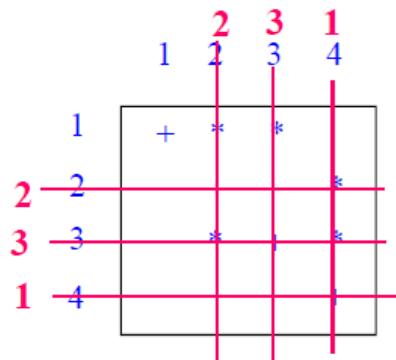


Second iteration of the topological sorting algorithm

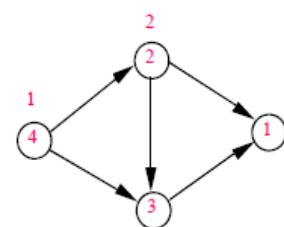


# PROCESS MODELS

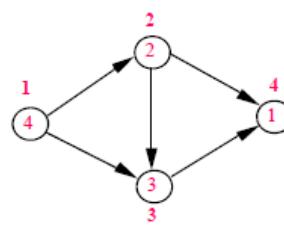
## Computational analysis



Third iteration of the  
topological sorting algorithm



Fourth iteration of the  
topological sorting algorithm



# PROCESS MODELS

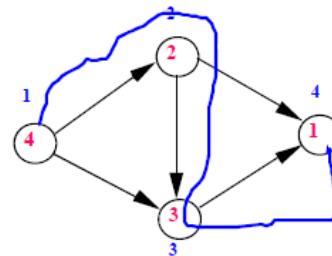
Computational analysis

The reorganized matrix

	1	2	3	4
1	+	*	*	
2		+		*
3	*	+	*	
4				+

→

	4	2	3	1
4	+			
2		*	+	
3	*	*	+	
1		*	*	+



# DATA-DRIVEN MODELING

Based on previous examples, it is clear that due to the increase of system or process complexity, the chance of obtaining an exact parametric description of the system or process is pretty slim.

To obtain an approximated presentation of a complex system or process, a data-driven approach can be applied.

# DATA-DRIVEN MODELING

Conceptual idea:

1. We believe that data is a replication of the physical world
2. Assume we don't have any clue of the mathematical presentation of a system or process, but we have observations of inputs and outputs of them
3. The data-driven modeling process aims to identify the mathematical relationships based on applying machine learning algorithms into dataset of inputs and outputs of a system or process

# DATA-DRIVEN MODELING

Real application examples

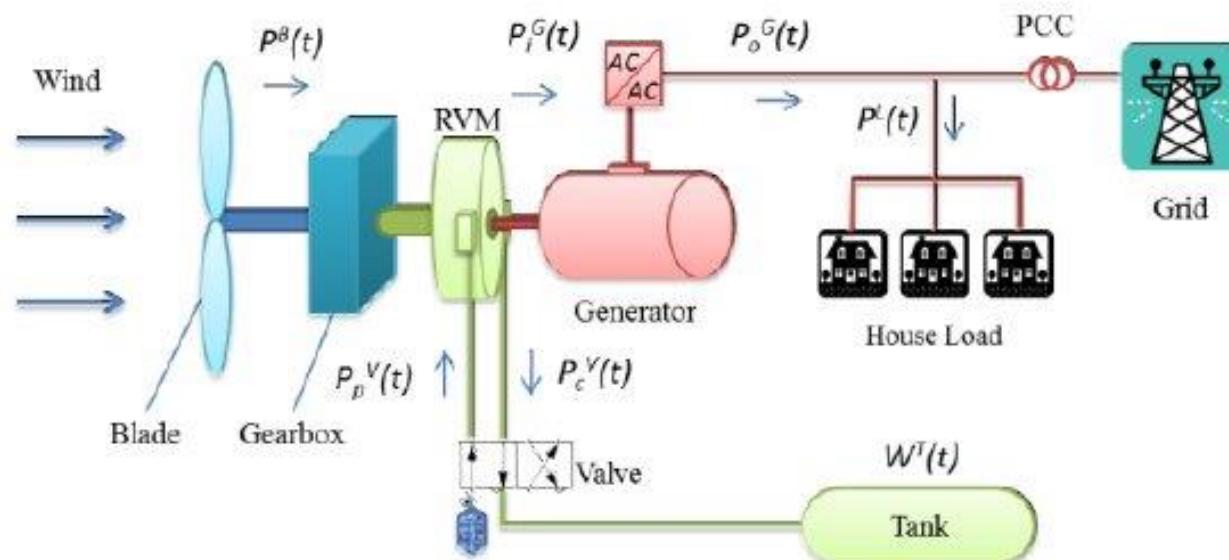
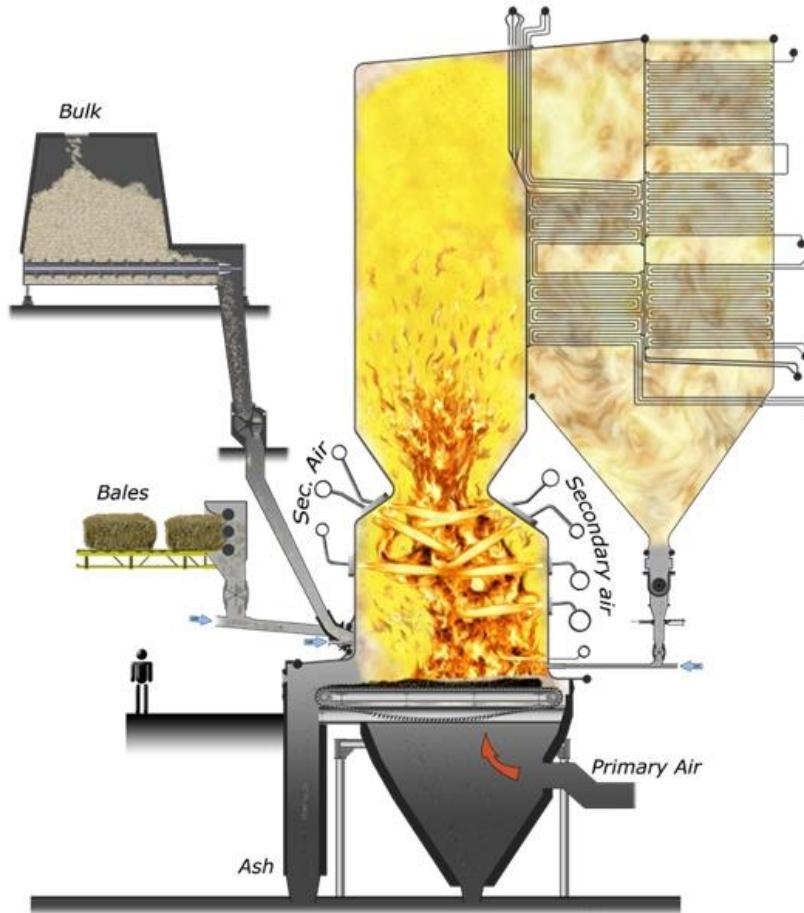


Figure 2. Proposed wind conversion system.

# DATA-DRIVEN MODELING

Real application examples



# DATA-DRIVEN MODELING

Real application examples



# DATA-DRIVEN MODELING

The complexity typically comes from

1. A high dimension of system parameters
2. Strong nonlinearity in process
3. Domain knowledge in systems is limited

# DATA-DRIVEN MODELING

Questions need to be answered before data-driven modeling

Domain knowledge level

Data availability

Attribute/Feature selection and data processing

Modeling problem formulation (supervised learning typically, discrete state or continuous)

Modeling algorithm selection

Modeling results validation