# INSTITUTO SUPERIOR TÉCNICO

DEPARTAMENTO DE ENGENHARIA INFORMÁTICA

## Organização de Computadores

**LEIC** 

## First Lab Assignment: System Modeling and Profiling

Version 1.2

#### 1 Introduction

The goal of this assignment is twofold: (i) to determine the characteristics of a computer's caches, and (ii) to leverage this knowledge to optimize the performance of a given program. For this task, students will use a performance analysis tool that provides direct access to hardware performance counters available on most modern microprocessors. The tool employed is the standard Application Programming Interface (API), PAPI [1].

In the rest of this section, we briefly introduce PAPI and describe the targeted computer platform and development environment. In Section 3, we present the procedure for modeling the L1 and L2 caches of the target platform (Subsection 3.1), and provide a guide for analyzing the performance of a matrix-multiply code segment and optimizing it based on the characteristics of the L2 cache of the target architecture (Subsection 3.2).

#### 1.1 Targeted Platform and Development Environment

**IMPORTANT:** This assignment <u>must</u> be performed on the computers of your lab classroom. **Consult your lab instructor if you have any doubts.** These computers share similar hardware characteristics, and any of them may be used as the target platform. Since this work is hardware-dependent, using a computer with different hardware could lead to unexpected results and thus invalidate your work. Therefore, always use the same lab environment.

To set up the development environment, you will need the PAPI library and a set of auxiliary program files. This material is provided in the package **lab1\_kit.zip**, available on the course website. After downloading and extracting the package on one of the lab computers, you must build PAPI. To do this, navigate to the source code directory **papi-X.X.X/src** and compile by running:

./configure

This process generates a set of helper tools in the **src/utils**/ directory and creates the PAPI library **papilib.a**. Among the tools, papi\_availis especially useful for checking which PAPI events are supported on the target platform. The library will later be linked to the auxiliary programs described in the following sections.

#### 2 First steps

To help determine the characteristics of the labs computer's caches, the following exercises will help you estimate cache parameters from small C applications.

The first step to get acquainted with the procedure is to determine only the size of the cache using a small C application on a (known) machine, such as the code you have analyzed on lab exercise VI.3. This C code is a simplified version of the following programs in this assignment. Basically, it iterates over an array to determine the cache size.

To guarantee that you measure the time accurately, please use the source code available in the lab kit (file spark.c).

In order to perform the evaluation you should go to your lab to access the cache size by running the application there. You may want to repeat the evaluation of the elapsed time a few times to achieve statistical significance. You should table the relevant results for different cache sizes on the response sheet and make a conclusion regarding the cache size. You can calculate more measures before the output, examine the final part of the source code file.

#### 1. What is the cache capacity of the computer you evaluated? Please justify.

To discover the other cache parameters, youre going to modify the C application, so that it generates different data access patterns. Please spend a few minutes analyzing the modifications to the source code.

```
for(size_t cache_size = CACHE_MIN; cache_size < CACHE_MAX; cache_size = 2*cache_size) {
   for(size t stride = 1; stride <= cache_size/2; stride = 2*stride) {
      limit = cache_size - stride + 1;
      for(ssize t repeat = 0; repeat < N REPETITIONS * stride; repeat++) {
         for(index = 0; index < limit; index += stride) {
            array[index] = array[index] + 1;
      }
    }
}</pre>
```

The meaning of each variable is the following:

array[] an arbitrary large array that will be repeatedly accessed to measure the cache miss pattern;

cache\_size value of the cache size under test; all cache sizes given by integer powers of 2, between CACHE\_MIN = 8kB and CACHE\_MAX = 64kB should be considered;

**stride** states how many entries are being skipped at each access; for example, if the stride is 4, entries 0, 4, 8, 12, ... in the array are being accessed, while entries 1, 2, 3, 5, 6, 7, 9, 10, 11, ... are skipped;

**limit** the largest address that will be accessed for the cache size and access pattern under test;

repeat denotes the number of times that each access pattern will be repeated in an array.

This code segment was executed on an arbitrary machine. By varying the stride parameter and testing across different array sizes (ranging from ARRAY\_MIN = 4kB to ARRAY\_MAX = 4MB), the results from Figure 1 were obtained. Using solely the **information from the graph** answer the following questions:

- 2. What is the cache capacity of the computer?
- 3. What is the size of each cache block?
- 4. What is the L1 cache miss penalty time?

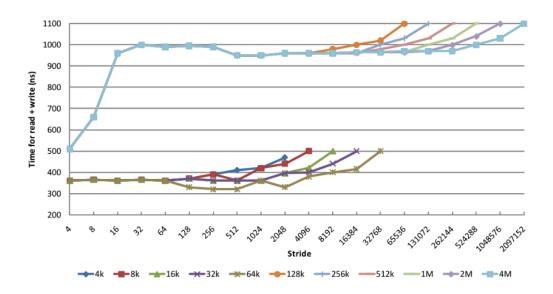


Figure 1: Variation of the cache access time with the adopted stride value for different array sizes.

#### 3 Assignment

#### 3.1 Modeling Computer Caches

In the first part of this assignment, the goal is to model the characteristics of the L1 data cache and L2 cache of the targeted computer platform. Next, we provide instructions for performing this analysis.

Use the forms at the end to answer the questions below.

#### 3.1.1 Modeling the L1 Data Cache

The methodology to experimentally model the L1 data cache consists in considering the total amount of data cache misses during the execution of the following code sequence of program cm1.c, similar to the program in Section 2. This program can be found in the package lab1\_kit.zip.

```
for(size_t cache_size = CACHE_MIN; cache_size < CACHE_MAX; cache_size = 2*cache_size) {
   for(size_t stride = 1; stride <= cache_size/2; stride = 2*stride) {
      limit = cache_size - stride + 1;
      for(ssize_t repeat = 0; repeat < N_REPETITIONS * stride; repeat++) {
         for(index = 0; index < limit; index += stride) {
            array[index] = array[index] + 1;
      }
    }
}</pre>
```

a) Change to directory cm1/, in the package lab1\_kit.zip, and analyze de code of the program cm1.c. Identify its source code with the program described above.

What are the processor events that will be analyzed during its execution? Explain their meaning.

b) Compile the program cml.c using the provided Makefile and execute cml. Plot the variation of the average number of misses (Avg Misses) with the stride size, for each considered dimension of the L1 data cache (8kB, 16kB, 32kB and 64kB).

NOTE: A fast sketch of these plots can be drawn in your computer by running the following commands:

```
./cm1 > cm1.out
./cm1 proc.sh
```

NOTE 2: You can draw these tables and plots on your computer, print, and attach to the report. You do not have to fill them by hand on the printed report.

NOTE 3: You may need to mark the script as executable before being able to run it.

- c) By analyzing the obtained results:
  - Determine the size of the L1 data cache. <u>Justify your answer</u>.
  - Determine the block size adopted in this cache. <u>Justify your answer</u>.
  - Characterize the associativity set size adopted in this cache. <u>Justify your answer.</u>

#### 3.1.2 Modeling the L2 Cache

In this part of the assignment, the goal is to experimentally model the characteristics of the L2 cache of the targeted computer platform. To analyze the computer's L2 cache, we will use the same methodology that was introduced in the previous section to model the L1 data cache.

- a) Modify the program cm1.c in order to analyze the characteristics of the L2 cache. (Hint: use the event PAPI L2 DCM.) Describe and justify the changes introduced in this program.
- b) Compile the program cml.c, execute cml, and plot the variation of the average number of misses (Avg Misses) with the stride size, for each considered dimension of the L2 cache.
- c) By analyzing the obtained results:
  - Determine the size of the L2 cache. <u>Justify your answer</u>.
  - Determine the block size adopted in this cache. <u>Justify your answer</u>.
  - Characterize the associativity set size adopted in this cache. Justify your answer.

#### 3.2 Profiling and Optimizing Data Cache Accesses

Often, programmers wishing to improve their programs' performance focus their attention on how the programs affect the computer's caches. In the following, it will be analyzed how simple code changes can help to improve that performance for a matrix multiplication application.

Consider a simple matrix multiplication application, operating on two square matrices of  $N \times N$  16-bit integer elements, with N = 1024. From a mathematical point of view, given two matrices **A** and **B**, with elements  $a_{ij}$  and  $b_{ij}$  such that  $0 \le i, j < N$ , the product matrix **C** is defined as:

$$c_{ij} = \sum_{k=0}^{N-1} a_{ik} b_{kj} = a_{i1} b_{1j} + a_{i2} b_{2j} + \ldots + a_{i(N-1)} b_{(N-1)j}$$

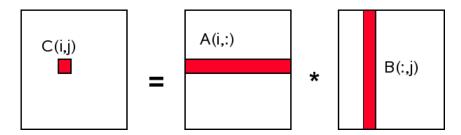


Figure 2: Straightforward matrix multiplication.

#### 3.2.1 Straightforward implementation

A straight-forward C implementation of Eq. 1 can look like this:

```
for (i = 0; i < N; ++i) {
   for (j = 0; j < N; ++j) {
      for (k = 0; k < N; ++k) {
          res[i][j] += mull[i][k] * mul2[k][j];
      }
}</pre>
```

The two input matrices are mull and mull. The result matrix res is assumed to be initialized to all zeroes.

The provided program mm1.c includes this code sequence and all the necessary initialization steps, as well as the set of statements that are required in order to profile its execution using the PAPI toolbox.

- a) Change to directory mm1/ and analyze the code of the program mm1.c. Identify its source code with the program described above.
  - What is the total amount of memory that is required to accommodate each of these matrices?
- b) Compile the source file mm1.c using the provided Makefile and execute it. Fill the table with the obtained data.
- c) Evaluate the resulting L1 data cache Hit-Rate.

#### 3.2.2 First Optimization: Matrix transpose before multiplication [2]

By analyzing the obtained results, it can be observed that such a straightforward implementation suffers from a severe penalty in what concerns the amount of L2 cache misses resulting from its access pattern. In fact, while the mul1 matrix is accessed sequentially, the inner loop advances the row number of mul2 (see Fig. 2), meaning successive accesses to far away memory positions.

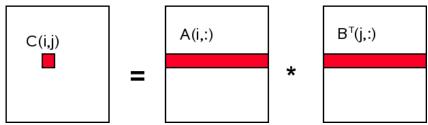


Figure 3: Transposed matrix multiplication.

One possible remedy to attenuate such a problem is based on matrix transposition. In fact, since each matrix element is accessed multiple times, it might be worthwhile to rearrange ("transpose," in mathematical terms) the second matrix mul2 before using it (see Fig. 3):

$$c_{ij} = \sum_{k=0}^{N-1} a_{ik} b_{jk}^T = a_{i1} b_{j1}^T + a_{i2} b_{j2}^T + \dots + a_{i(N-1)} b_{j(N-1)}^T$$

After the preliminary transposition step, both matrices may be iterated sequentially. As far as the C code is concerned, it now looks like this:

```
int16_t tmp[N][N];

// transposition
for (i = 0; i < N; ++i) {
    for (j = 0; j < N; ++j) {
        tmp[i][j] = mul2[j][i];
    }
}

// multiplication
for (i = 0; i < N; ++i) {
    for (j = 0; j < N; ++j) {
        for (k = 0; k < N; ++k) {
        res[i][j] += mul1[i][k] * tmp[j][k];
    }
}</pre>
```

Variable tmp is a temporary array to store the transposed matrix. One direct consequence of this optimization is that it now requires additional access to the data memory. Hopefully, this extra cost can be easily recovered, since the 1024 non-sequential accesses per column are usually much more expensive.

- a) Change to directory mm2/ and analyze the code of the program mm2.c. Identify its source code with the program described above. Compile this program using the provided Makefile and exe- cute it. Fill the table with the obtained data.
- b) Evaluate the resulting L1 data cache *Hit-Rate*.
- c) Change the code in the program mm2.c in order to include the matrix transposition in the execution time. Compile this program using the provided Makefile and execute it.
  - Fill the table with the obtained data. Comment on the obtained results when including the matrix transposition in the execution time.
- d) Compare the obtained results with those that were obtained for the straightforward implementation, by calculating the difference of the resulting hit-rates ( $\Delta$ HitRate) and the obtained speedups.

#### 3.2.3 Second Optimization: Blocked (tiled) matrix multiply [2]

Despite the good results that may be obtained with the matrix transposition method, in many applications this approach can not be applied, either because the matrix is too large or the available memory is too small. Hence, other alternatives, which do not require the extra copy procedure, should be studied.

The search for an alternative processing scheme should start with a close examination of the involved math and the operations performed by the original implementation. Trivial math knowledge shows that the order of the several additions to obtain each element of the result matrix is irrelevant, as long as each addend appears exactly once. This understanding will lead to solutions which reorder the additions performed in the inner loop of the original code.

According to the original algorithm, the adopted order to access the elements of matrix mul2 is: (0,0), (1,0), ..., (N-1,0), (0,1), (1,1), Although the elements (0,0) and (0,1) are in the same cache line, by the time the inner loop completes one round, this cache line has long been evicted. For this example, each round of the inner loop requires, for each of the three matrices, 1024 cache lines, which is much more than what is available in most processors' caches.

One possible solution is to simultaneously handle more than one iteration of the middle loop, while executing the inner loop. In this case, several values which are guaranteed to be in cache will be used, thus contributing to a reduction of the L2 cache miss-rate. Hence, to maximize the speedup provided by this technique, it is necessary to adapt the dimension of the sub-matrix under processing to the cache block size, by taking into account the size of each matrix element. As a hypothetical example, considering that a short operand occupies 2-Bytes, this means that a 64-Byte cache block will accommodate 32 matrix elements, thus defining the optimal size for the sub-matrix line to be 32 (see Fig. 4).

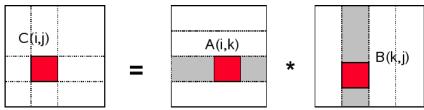


Figure 4: Blocked matrix multiplication.

As far as the C code is concerned, it now looks like this:

```
#define SUB_MATRIX_SIZE (CACHE_LINE_SIZE / sizeof (short))
for (size_t i = 0; i < N; i += SUB MATRIX SIZE) {
        for (size t j = 0; j < N; j += SUB MATRIX SIZE) {
            for (size_t k = 0; k < N; k += SUB_MATRIX_SIZE) {</pre>
                for (size_t inner_i = 0; inner_i < SUB_MATRIX_SIZE; ++inner_i) {
                    for (size t inner k = 0; inner k < SUB MATRIX SIZE;
                         ++inner k) {
                        for (size_t inner_j = 0; inner_j < SUB_MATRIX_SIZE;
                             ++inner j) {
                             res[i + inner_i][j + inner_j] +=
                                factor1[i + inner_i][k + inner_k] *
                                factor2[k + inner_k][j + inner_j];
                        }
                    }
               }
           }
        }
```

The most visible change is that the code has six nested loops now. The outer loops iterate with intervals of <code>SUB\_MATRIX\_SIZE</code> (the cache line size <code>CACHE\_LINE\_SIZE</code> divided by <code>sizeof(short)</code>). This divides the matrix multiplication into several smaller problems which can be handled with more cache locality. The inner loops iterate over the missing indexes of the outer loops. There are, once again, three loops. The <code>k2</code> and <code>j2</code> loops are in a different order. This is done because,

in the actual computation, only one expression depends on k2 but two depend on j2.

- a) Change to directory mm3/ and analyze the code of the program mm3.c. Identify its source code with the program described above.
  - Change the program source code in order to comply with the algorithm parameterization (sub-matrix line size) with the block size (CLS) that was determined in Section 3.1.
  - How many matrix elements can be accommodated in each cache line?
- b) Compile this program using the provided Makefile and execute it. Fill the table with the obtained data. Evaluate the resulting L1 data cache *Hit-Rate*.
- c) Compare the obtained results with those that were obtained for the straightforward implementation, by calculating the difference of the resulting hit-rates ( $\Delta$ HitRate) and the obtained speedup.
- d) Compare the obtained results with those that were obtained for the matrix transpose implementation by calculating the difference of the resulting hit-rates ( $\Delta$ HitRate) and the obtained speedup.
  - If the obtained speedup is positive, but the difference of the resulting hit-rates is negative, how do you explain the performance improvement?
  - (Hint: study the hit-rates of the L2 cache for both implementations; You may use the following PAPI events PAPI\_L2\_DCH (or PAPI\_L2\_DCM) and PAPI\_L2\_DCA. Run papi\_avail to check for available events and understand their meaning.)

### References

- [1] Performance Application Programming Interface (PAPI). Webpage. "http://icl.cs.utk.edu/papi", December 2008.
- [2] Ulrich Drepper. What every programmer should know about memory. Technical report, Red Hat, Inc., November 2007.
- [3] PAPI User's Guide.
- [4] PAPI Programmer's Reference.

# **Second Lab Assignment: System Modeling and Profiling**

-	Number:	Na	ime:					
F		_						
+		+						
L								
]	First steps							
eas	se justify all your a	answers	with value	es from the e	vneriments			
	What is the cach					write the w	orkstation na	me)?
1.	Array Size		ity of the ex		used (prease	Write the w		
	t2-t1							
	# accesses a	[i]						
	# mean access	<del></del>						
	sider the data prese		Figure 1. A	Answer the f	Collowing que	estions (2, 3,	4) about the	machine
sed	to generate that da	ata.		Answer the f	Collowing que	estions (2, 3,	4) about the	machine
sed		ata.		Answer the f	Collowing que	estions (2, 3,	4) about the	machine
sed	to generate that da	ata.		Answer the f	Collowing que	estions (2, 3,	4) about the	machine
sed	to generate that da	ata.		Answer the f	ollowing que	estions (2, 3,	4) about the	machine
sed	to generate that da	ata.		Answer the f	Collowing que	estions (2, 3,	4) about the	machine
2.	to generate that da What is the cache	ata. e capaci	ity?		Collowing que	estions (2, 3,	4) about the	machine
sed 2.	to generate that da	ata. e capaci	ity?		ollowing que	estions (2, 3,	4) about the	machine
sed 2.	to generate that da What is the cache	ata. e capaci	ity?		ollowing que	estions (2, 3,	4) about the	machine
sed 2.	to generate that da What is the cache	ata. e capaci	ity?		Collowing que	estions (2, 3,	4) about the	machine
sed 2.	to generate that da What is the cache	ata. e capaci	ity?		following que	estions (2, 3,	4) about the	machine
sed 2.	What is the cache	ata. e capaci	cache bloc	k?	following que	estions (2, 3,	4) about the	machine
2. 3.	to generate that da What is the cache	ata. e capaci	cache bloc	k?	Collowing que	estions (2, 3,	4) about the	machine

## 3 Assignment

#### 3.1.1 Modeling the L1 Data Cache

**b)** Plot the variation of the average number of misses (*Avg Misses*) with the stride size, for each considered dimension of the L1 data cache (8kB, 16kB, 32kB and 64kB).

Note that, you may fill these tables and graphics (as well as the following ones in this report) on your computer and submit the printed version.

Array Size	Stride	Avg Misses	Avg Cycl Time
	1		
	2		
	4		
	8		
	16		
	32		
8kBytes	64		
	128		
	256		
	512		
	1024		
	2048		
	4096		
	1		
	2		
	4		
	8		
	16		
	32		
16kBytes	64		
	128		
	256		
	512		
	1024		
	2048		
	4096		
	8192		

Array Size	Stride	Avg Misses	Avg Cycl Time
	1		
	2		
	4		
	8		
	16		
	32		
32kBytes	64		
	128		
	256		
	512		
	1024		
	2048		
	4096		
	8192		
	16384		
	1		
	2		
	4		
	8		
	16		
	32		
64kBytes	64		
	128		
	256		
	512		
	1024		
	2048		
	4096		
	8192		
	16384		
	32768		

1.0

0.8

0.6

0.4

0.2

 $\begin{smallmatrix}0\\2^0&2^1&2^2&2^3&2^4&2^5&2^6&2^7&2^8&2^9&2^{10}&2^{11}&2^{12}&2^{13}&2^{14}&2^{15}&2^{16}&2^{17}&2^{18}&2^{19}&2^{20}\end{smallmatrix}$ 

	e the <b>size</b> of the L1				
Datamain	e the <b>block size</b> add	antad in this as	aha Ivatify ya	ar ongvior	
Determin	the block size aud	spied in this cac	ine. <u>Justily yo</u>	<u>ar answer</u> .	
Character	ize the associativit	y set size adopt	ted in this cach	e. Justify your	answer.

## 3.1.2 Modeling the L2 Cache

	a)	Describe and justify the changes introduced in this program.
	b)	Plot the variation of the average number of misses ( <i>Avg Misses</i> ) with the stride size, for each considered dimension of the L2 cache.
0		
0.8		
.4		
.2		

 $\begin{smallmatrix}0\\2^0&2^1&2^2&2^3&2^4&2^5&2^6&2^7&2^8&2^9&2^{10}&2^{11}&2^{12}&2^{13}&2^{14}&2^{15}&2^{16}&2^{17}&2^{18}&2^{19}&2^{20}\end{smallmatrix}$ 

	the <b>size</b> of the L2				
Determine t	the <b>block size</b> add	opted in this cacl	he. <u>Justify you</u>	r answer.	
GI			1: 4: 1	T 4.0	
Characterize	e the associativity	y set size adopte	ed in this cache	e. <u>Justify your</u>	<u>answer</u> .

## 3.2 Profiling and Optimizing Data Cache Accesses

## 3.2.1 Straightforward implementation

	each of these matrices?
Fill the following table with the obtained data.	
Total number of L1 data cache misses	×1
Total number of load / store instructions completed	×1
Total number of clock cycles	×1
Elapsed time	secon
Evaluate the resulting L1 data cache <i>Hit-Rate</i> :	

## 3.2.2 First Optimization: Matrix transpose before multiplication [2]

a) Fill the following table with the obtained data.

Total number of L1 data cache misses	×10 <sup>6</sup>
Total number of load / store instructions completed	×10 <sup>6</sup>
Total number of clock cycles	×10 <sup>6</sup>
Elapsed time	seconds

	Elapsed time	seconds
b)	Evaluate the resulting L1 data cache <i>Hit-Rate</i> :	
c)	Fill the following table with the obtained data.	
	Total number of L1 data cache misses	×10 <sup>6</sup>
	Total number of load / store instructions completed	×10 <sup>6</sup>
	Total number of clock cycles	×10 <sup>6</sup>
	Elapsed time	seconds
	Comment on the obtained results when including the	matrix transposition in the execution time:
d)	Compare the obtained results with those that were obtained implementation, by calculating the difference of the reobtained speedups.	
	$\Delta$ HitRate = HitRate <sub>mm2</sub> - HitRate <sub>mm1</sub> :	
	Speedup(#Clocks) = #Clocks <sub>mm1</sub> /#Clocks <sub>mm2</sub> :	
	Speedup(Time) = Time <sub>mm1</sub> /Time <sub>mm2</sub> :	
	Comment:	

.3	Second Optimization: Blocked (tiled) matrix mult	upty [2]
) H	ow many matrix elements can be accommodated in	each cache line?
Γ		
) Fi	ill the following table with the obtained data.	
Γ	Total number of L1 data cache misses	×10
	Total number of load / store instructions completed	×10
	Total number of clock cycles	×10
	Elapsed time	second
C		
in	ompare the obtained results with those that applementation, by calculating the difference of totained speedup.	——————————————————————————————————————
in	nplementation, by calculating the difference of t	——————————————————————————————————————
in	nplementation, by calculating the difference of totalined speedup.	——————————————————————————————————————
in	inplementation, by calculating the difference of total speedup. $\Delta \text{HitRate} = \text{HitRate}_{\text{mm3}} - \text{HitRate}_{\text{mm1}}$ :	
in	nplementation, by calculating the difference of total speedup. $\Delta \text{HitRate} = \text{HitRate}_{\text{mm3}} - \text{HitRate}_{\text{mm1}}$ $\text{Speedup(\#Clocks)} = \#\text{Clocks}_{\text{mm1}} / \#\text{Clocks}_{\text{mm3}}$	
in	nplementation, by calculating the difference of total speedup. $\Delta \text{HitRate} = \text{HitRate}_{\text{mm3}} - \text{HitRate}_{\text{mm1}}$ $\text{Speedup(\#Clocks)} = \#\text{Clocks}_{\text{mm1}} / \#\text{Clocks}_{\text{mm3}}$	
in	nplementation, by calculating the difference of total speedup. $\Delta \text{HitRate} = \text{HitRate}_{\text{mm3}} - \text{HitRate}_{\text{mm1}}$ $\text{Speedup(\#Clocks)} = \#\text{Clocks}_{\text{mm1}} / \#\text{Clocks}_{\text{mm3}}$	——————————————————————————————————————
in	nplementation, by calculating the difference of total speedup. $\Delta \text{HitRate} = \text{HitRate}_{\text{mm3}} - \text{HitRate}_{\text{mm1}}$ $\text{Speedup(\#Clocks)} = \#\text{Clocks}_{\text{mm1}} / \#\text{Clocks}_{\text{mm3}}$	

e) Compare the obtained results with those that were obtained for the matrix transpose implementation by calculating the difference of the resulting hit-rates ( $\Delta$ HitRate) and the obtained speedup. If the obtained speedup is positive, but the difference of the resulting hit-rates is negative, how do you explain the performance improvement? (Hint: study the hit-rates of the L2 cache for both implementations;)

$\Delta$ HitRate = HitRate <sub>mm3</sub> - HitRate <sub>mm2</sub> :
Speedup(#Clocks) = #Clocks <sub>mm2</sub> /#Clocks <sub>mm3</sub> :
Comment:
Comparing results against the CPU specifications
that you have characterized the cache on your lab computer, you are going to compare it against anufacturer's specification. For this you can check the device's datasheet, or make use of the hand lscpu. Comment on the results.

#### **A PAPI - Performance Application Programming Interface**

The PAPI project [1] specifies a standard Application Programming Interface (API) for accessing hard- ware performance counters available in most modern microprocessors. These counters exist as a small set of registers that count *Events*, defined as occurrences of specific signals related to the processor's function (such as cache misses and floating point operations), while the program executes on the pro- cessor. Monitoring these events may have a variety of uses in the performance analysis and tuning of an application, since it facilitates the correlation between the source/object code structure and the efficiency of the actual mapping of such code to the underlying architecture. Besides performance analysis, and hand tuning, this information may also be used in compiler optimization, debugging, benchmarking, monitoring and performance modeling.

PAPI has been implemented on a number of different platforms, including: Alpha; MIPS R10K and R12K; AMD Athlon and Opteron; Intel Pentium II, Pentium III, Pentium M, Pentium IV, Itanium 1 and Itanium 2; IBM Power 3, 4 and 5; Cell; Sun UltraSparc I, II and II, etc.

Although each processor has a number of events that are native to that specific architecture, PAPI provides a software abstraction of these architecture-dependent *Native Events* into a collection of *Preset Events*, also known as *predefined events*, that define a common set of events deemed relevant and useful for application performance tuning. These events are typically found in many CPUs that provide performance counters. They give access to the memory hierarchy, cache coherence protocol events, cycle and instruction counts, functional unit, and pipeline status. Hence, preset events may be regarded as mappings from symbolic names (PAPI preset name) to machine specific definitions (native countable events) for a particular hardware resource. For example, Total Cycles (in user mode) is mapped into PAPI\_TOT\_CYC. Some presets are derived from the underlying hardware metrics. For example, Total L1 Cache Misses (PAPI\_L1\_TCM) is the sum of L1 Data Misses and L1 Instruction Misses on a given platform. The list of preset and native events that are available on a specific platform can be obtained by running the commands papi\_avail and papi\_native\_avail, both provided by the papi source distribution.

Besides the standard set of events for application performance tuning, the PAPI specification also includes both a high-level and a low-level sets of routines for accessing the counters. The high level interface consists of eight functions that make it easy to get started with PAPI, by simply providing the ability to start, stop, and read sets of events. This interface is intended for the acquisition of simple but accurate measurement by application engineers [3, 4]:

- PAPI num counters get the number of hardware counters available on the system;
- PAPI\_flops simplified call to get Mflops/s (floating point operation rate), real and processor time;
- PAPI ipc gets instructions per cycle, real and processor time;
- PAPI accum counters add current counts to array and reset counters;
- PAPI read counters copy current counts to array and reset counters;
- PAPI start counters start counting hardware events;
- PAPI\_stop\_counters stop counters and return current counts.

The following is a simple code example of using the high-level API [3, 4]:

```
#include <papi.h>
#define NUM FLOPS 10000
#define NUM EVENTS 1
int main(){
  int Events[NUM EVENTS] = {PAPI TOT INS};
  long_long values[NUM_EVENTS];
  /* Start counting events */
if (PAPI_start_counters(Events, NUM_EVENTS) != PAPI_OK)
    handle_error(1);
  do_some_work();
  /* Read the counters */
if (PAPI_read_counters(values, NUM_EVENTS) != PAPI_OK)
    handle_error(1);
  printf("After reading the counters: %lld\n", values[0]);
  do some work();
  /* Add the counters */
if (PAPI_accum_counters(values, NUM_EVENTS) != PAPI_OK)
  handle_error(1);
  printf("After adding the counters: %lld\n", values[0]);
  do_some_work();
  /* Stop counting events */
if (PAPI_stop_counters(values, NUM_EVENTS) != PAPI_OK)
   handle_error(1);
 printf("After stopping the counters: %lld\n", values[0]);
```

#### Possible output:

```
After reading the counters:
441027 After adding the counters:
891959 After stopping the
counters: 443994
```

The fully programmable low-level interface provides more sophisticated options for controlling the counters, such as setting thresholds for interrupt on overflow, as well as access to all native counting modes and events. Such an interface is intended for third-party tool writers or users with more sophisticated needs.

The PAPI specification also provides access to the most accurate timers available on the platform in use. These timers can be used to obtain both real and virtual time on each supported platform: the real time clock runs all the time (e.g., a wall clock), while the virtual time clock runs only when the processor is running in user mode.

In the following code example, PAPI get real cyc() and PAPI get real usec() are used to obtain the real time it takes to create an event set in clock cycles and in microseconds, respectively [3, 4]:

```
#include <papi.h>
int main(){
  long long start_cycles, end_cycles, start_usec, end_usec;
  int EventSet = PAPI NULL;
  if (PAPI_library_init(PAPI_VER_CURRENT) != PAPI_VER_CURRENT)
     exit(1);
  /*Create an EventSet */
if (PAPI_create_eventset(&EventSet) != PAPI_OK)
     exit(1);
  /* Gets the starting time in clock cycles */
start_cycles = PAPI_get_real_cyc();
  /* Gets the starting time in microseconds */
start_usec = PAPI_get_real_usec();
  do_some_work();
  /* Gets the ending time in clock cycles */
end_cycles = PAPI_get_real_cyc();
  /\star Gets the ending time in microseconds \star/
  end_usec = PAPI_get_real_usec();
  printf("Wall clock cycles: %lld\n", end_cycles - start_cycles);
prinf("Wall clock time in microseconds: %lld\n", end_usec -
  start_usec);
```

#### Possible output:

```
Wall clock cycles: 100173
Wall clock time in microseconds: 136
```