

# 15 Dynamic Programming

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**Richard Bellman. Dynamic Programming.  
Princeton University Press, 1957.**

Dynamic programming

R Bellman - Science, 1966 - [science.sciencemag.org](http://science.sciencemag.org)

Little has been done in the study of these intriguing questions, and I do not wish to give the impression that any extensive set of ideas exists that could be called a "theory." What is quite surprising, as far as the histories of science and philosophy are concerned, is that the major ...

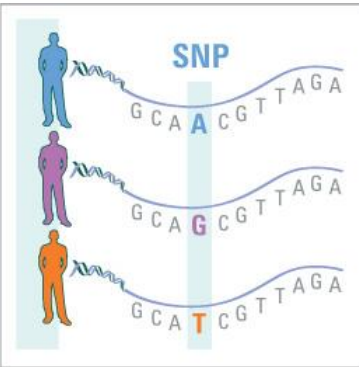
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# 15 Dynamic Programming

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- Scheduling two automobile assembly lines
- Steel rod cutting
- Matrix-chain multiplication
- Characteristics of dynamic programming
- **Longest common subsequence**  
最长相同子序列
- Optimal binary search trees

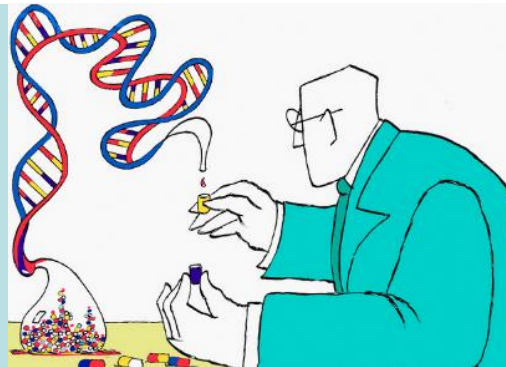
# 15.4 Longest common subsequence



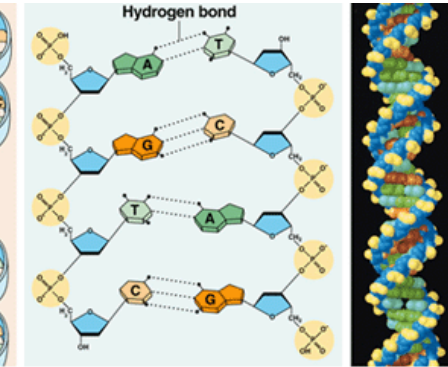
亲缘关系



转基因食物



制药



是否病变

- Compare the DNA of two (or more) different organisms.  
(比较两个不同生物体的 DNA)
- A strand of DNA: a string of molecules, *bases* (Adenine, Guanine, Cytosine, Thymine) (DNA的碱基对: A腺嘌呤, T胸腺嘧啶, C胞嘧啶, G鸟嘌呤)  
(多个分子bases以不同的组合方式构成一个 DNA 串)
  - ◆ a strand of DNA  $\in$  finite set {A, C, G, T}
  - ◆ for example, two organism's DNA
 
$$S_1 = \text{ACCGGTCGAGTGC GCGGAAGCCGGCCGAA}$$

$$S_2 = \text{GTCGTTCGGAATGCCGTTGCTCTGTAAA}$$
- Goal: how “similar” the two strands are? (研究目标: 确定两个DNA序列的相似程度)

## 15.4 Longest common subsequence

- How to define the similarity of  $S_1$  and  $S_2$  ?

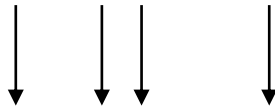
(1)  $S_1$  and  $S_2$  are similar if one is a substring of the other.

(如果一个串是另一个串的子串, 则 $S_1$ 和 $S_2$ 相似)

$S_1 = \text{GTCGTCGGAAGAA}$        $S_2 = \text{GTCGTCG}$

(2) If the number of changes needed to turn one into the other is small. (将一个串变换为另一个串, 变换数最少)

$S_1 = \text{GACTAACG}$



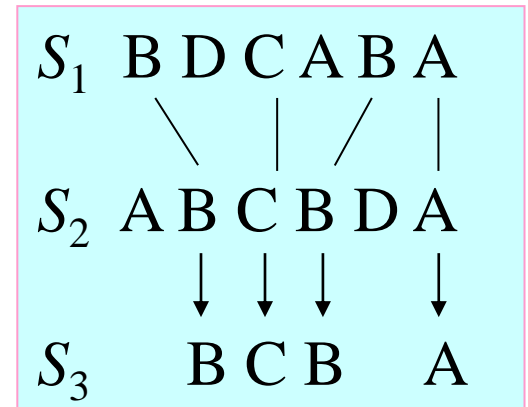
$S_2 = \text{GTCGTACT}$

## 15.4 Longest common subsequence

- How to define the similarity of  $S_1$  and  $S_2$  ?

(3) By finding a third strand  $S_3$ , in which the bases in  $S_3$  appear in each of  $S_1$  and  $S_2$ ; these bases appear in the same order, but not necessarily consecutively. The longer the strand  $S_3$  we can find, the more similar  $S_1$  and  $S_2$  are.

(寻找第3个串 $S_3$ ,  $S_3$ 中的所有bases都包含在 $S_1$ 和 $S_2$ 中, 这些bases在 $S_1$ 和 $S_2$ 中不一定连续排列, 但必须是按顺序排列的。 $S_3$ 越长, 则 $S_1$ 和 $S_2$ 的相似度就越大。)



- Formalize this notion of similarity as the longest-common-subsequence problem. (以这种相似性意义作为最长相同子序列问题的形式化定义)

## 15.4 Longest common subsequence

- **Subsequence:** given a sequence  $X = \langle x_1, x_2, \dots, x_m \rangle$ , another sequence  $Z = \langle z_1, z_2, \dots, z_k \rangle$  is a *subsequence* of  $X$  if there exists a strictly increasing sequence  $\langle i_1, i_2, \dots, i_k \rangle$  of indices of  $X$  such that for all  $j = 1, 2, \dots, k$ , we have  $x[i_j] = z_j$ . (给定序列  $X$ , 如果存在  $X$  的索引的一个严格增序列  $\langle i_1, i_2, \dots, i_k \rangle$ , 使得对所有的  $j = 1, 2, \dots, k$ , 其中  $i_j \in \{1, 2, \dots, m\}$ , 且都有  $x[i_j] = z_j$ , 则称  $Z = \langle z_1, z_2, \dots, z_k \rangle$  是  $X$  的子序列。)

For example,  $Z = B, C, D, B$   
 $Z$  is a subsequence of  $X = A, B, C, B, D, A, B$   
with corresponding index sequence

$$\langle i_1, i_2, i_3, i_4 \rangle = 2, 3, 5, 7$$

从  $X$  中以增序任意抽取一系列元素组成的新序列成为  $X$  的子序列

## 15.4 Longest common subsequence

- **Common subsequence:** Given two sequences  $X$  and  $Y$ , a sequence  $Z$  is a *common subsequence* of  $X$  and  $Y$  if  $Z$  is a subsequence of both  $X$  and  $Y$ .

(给定两个序列  $X$  和  $Y$ ，如果序列  $Z$  是  $X$  的子序列同时也是  $Y$  的子序列，则称  $Z$  为  $X$  和  $Y$  的相同子序列)

For example,

$X = A, \textcolor{red}{B}, \textcolor{red}{C}, B, D, \textcolor{red}{A}, B$

$Z_1 = B, C, A$

$Y = \textcolor{red}{B}, D, \textcolor{red}{C}, \textcolor{red}{A}, B, A$

$X = A, \textcolor{red}{B}, \textcolor{red}{C}, \textcolor{red}{B}, D, \textcolor{red}{A}, B$

$Z_2 = B, C, B, A$

$Y = \textcolor{red}{B}, D, \textcolor{red}{C}, A, B, \textcolor{red}{A}$

$Z_1$  is a common subsequence, but not a LCS of  $X$  and  $Y$ .

$Z_2$  is an LCS of  $X$  and  $Y$  (  $\langle B, D, A, B \rangle$  is also an LCS ).

## 15.4 Longest common subsequence

### □ LCS Problem

Given two sequences  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$ , how to find a maximum-length common subsequence of  $X$  and  $Y$ .

(给定两个子序列  $X$  和  $Y$ ，如何寻找  $X$  和  $Y$  的长度最大的相同子序列)

- LCS problem can be solved efficiently using dynamic programming.



## Step 1: Characterizing an LCS

$$X = \langle x_1, x_2, \dots, x_m \rangle \text{ and } Y = \langle y_1, y_2, \dots, y_n \rangle$$

- A brute-force approach
  - ◆ Enumerate all subsequences of  $X$  and check each subsequence to see if it is also a subsequence of  $Y$ . (列举出  $X$  的所有子序列, 逐项核查这些子序列是否为  $Y$  的子序列)
  - ◆ Each subsequence of  $X$  corresponds to a subset of the indices  $\{1, 2, \dots, m\}$  of  $X$ . There are  $2^m$  subsequences of  $X$ . Exponential time, impractical for long sequences. ( $X$  的子序列对应  $X$  的索引  $\{1, 2, \dots, m\}$  的某个子集。有  $2^m$  个  $X$  的子序列。需要指数运算时间, 当序列较大时实际不可行)
    - 1个元素:  $C(1, m), \langle x_1 \rangle, \langle x_2 \rangle, \dots, \langle x_m \rangle$  ;
    - 2个元素:  $C(2, m), \langle x_1, x_2 \rangle, \langle x_1, x_3 \rangle, \dots, \langle x_{m-1}, x_m \rangle$  ;
    - ..... ;
    - $m$ 个元素:  $C(m, m), \langle x_1, x_2, \dots, x_m \rangle$

$$\sum_{i=1}^m C(i, m) = 2^m$$

## Step 1: Characterizing an LCS

$$X = \langle x_1, x_2, \dots, x_m \rangle \text{ and } Y = \langle y_1, y_2, \dots, y_n \rangle$$

- The LCS problem has an **optimal-substructure** property.  
(LCS问题具有最优子结构属性)

- Natural classes of subproblems: prefixes  
(子问题的自然分类: 前缀)

$X_i = \langle x_1, \dots, x_i \rangle$ , the  $i$ th prefix of  $X$ , for  $i = 0, 1, \dots, m$

$Y_j = \langle y_1, \dots, y_j \rangle$ , the  $j$ th prefix of  $Y$ , for  $j = 0, 1, \dots, n$

For example, if  $X = \langle A, B, C, B, D, A, B \rangle$ , then

$X_4 = \langle A, B, C, B \rangle$ , and  $X_0$  is the empty sequence.

## Step 1: Characterizing an LCS

□ **Theorem 15.1: (Optimal substructure of an LCS)**

Let  $Z = \langle z_1, \dots, z_{k-1}, z_k \rangle$  be any LCS of

$$X = \langle x_1, \dots, x_{m-1}, x_m \rangle$$

and  $Y = \langle y_1, \dots, y_{n-1}, y_n \rangle$ .

(设  $Z$  是  $X$  和  $Y$  的任意 LCS)

1. If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is an LCS of  $X_{m-1}$  and  $Y_{n-1}$ .
2. If  $x_m \neq y_n$ , then  $z_k \neq x_m \Rightarrow Z$  is an LCS of  $X_{m-1}$  and  $Y$ .
3. If  $x_m \neq y_n$ , then  $z_k \neq y_n \Rightarrow Z$  is an LCS of  $X$  and  $Y_{n-1}$ .

## Step 1: Characterizing an LCS

$$\begin{aligned}X &= \langle x_1, \dots, x_{m-1}, x_m \rangle \\Z &= \langle z_1, \dots, z_{k-1}, z_k \rangle \\Y &= \langle y_1, \dots, y_{n-1}, y_n \rangle\end{aligned}$$

### □ Theorem 15.1: (Optimal substructure of an LCS)

Let  $Z = \langle z_1, \dots, z_k \rangle$  be any LCS of  $X = \langle x_1, \dots, x_m \rangle$  and  $Y = \langle y_1, \dots, y_n \rangle$ .

**1. If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is an LCS of  $X_{m-1}$  and  $Y_{n-1}$ .**

**Proof:** First show that  $z_k = x_m = y_n$ . Suppose  $z_k \neq x_m$ , Then  $Z' = \langle z_1, \dots, z_k, x_m \rangle$  is a common subsequence (CS) of  $X$  and  $Y$ , and has length  $k+1 \Rightarrow Z'$  is a longer CS than  $Z \Rightarrow$  contradicts  $Z$  being an LCS.

(设  $z_k \neq x_m$ , 令  $Z' = \langle z_1, \dots, z_k, x_m \rangle$ , 则  $Z'$  是  $X$  和  $Y$  的相同子序列, 且  $\text{length}(Z') = k+1 \Rightarrow Z'$  是比  $Z$  更长的子序列  $\Rightarrow$  与题设  $Z$  是 LCS 矛盾)

Now show  $Z_{k-1}$  is an LCS of  $X_{m-1}$  and  $Y_{n-1}$ . Clearly, it's a CS. Now suppose there exists a CS  $W$  of  $X_{m-1}$  and  $Y_{n-1}$  that's longer than  $Z_{k-1} \Rightarrow \text{length}(W) \geq k$ . Make subsequence  $W'$  by appending  $x_m$  to  $W$ .  $W'$  is CS of  $X$  and  $Y$ ,  $\text{length}(W') \geq k+1 \Rightarrow$  contradicts  $Z$  being an LCS.

(显然,  $Z_{k-1}$  是  $X_{m-1}$  和  $Y_{n-1}$  的 a CS, 设  $W$  是  $X_{m-1}$  和  $Y_{n-1}$  的 a CS, 且  $\text{length}(W) \geq k$ , 将  $x_m$  附加到  $W$  后面得到  $W'$ , 则  $W'$  是  $X_m$  和  $Y_n$  的 a CS, 且  $\text{length}(W') \geq k+1 \Rightarrow$  与题设  $Z$  是 LCS 矛盾)

## Step 1: Characterizing an LCS

$$\begin{aligned} X &= \langle x_1, \dots, x_i, \dots, x_m \rangle \\ Z &= \langle z_1, \dots, z_k \rangle \\ Y &= \langle y_1, \dots, y_j, \dots, y_n \rangle \end{aligned}$$

### □ Theorem 15.1: (Optimal substructure of an LCS)

Let  $Z = \langle z_1, \dots, z_k \rangle$  be any LCS of  $X = \langle x_1, \dots, x_m \rangle$  and  $Y = \langle y_1, \dots, y_n \rangle$ .

2. If  $x_m \neq y_n$ , then  $z_k \neq x_m \Rightarrow Z$  is an LCS of  $X_{m-1}$  and  $Y$ .

3. If  $x_m \neq y_n$ , then  $z_k \neq y_n \Rightarrow Z$  is an LCS of  $X$  and  $Y_{n-1}$ .

### Proof:

2. If  $z_k \neq x_m$ , then  $Z$  is a CS of  $X_{m-1}$  and  $Y$ . Suppose there exists a subsequence  $W$  of  $X_{m-1}$  and  $Y$  with  $\text{length} > k$ .

Then  $W$  is a CS of  $X$  and  $Y \Rightarrow$  contradicts  $Z$  being an LCS.

(若  $z_k \neq x_m$ , 则  $Z$  是  $X_{m-1}$  和  $Y$  的 a CS. 设存在一个  $X_{m-1}$  和  $Y$  的子序列  $W$ , 其  $\text{length}(W) > k$ , 那么,  $W$  是  $X$  和  $Y$  的 a CS  $\Rightarrow$  与题设  $Z$  是 an LCS 矛盾)

3. Symmetric to 2.

## Step 1: Characterizing an LCS

### □ Theorem 15.1: (Optimal substructure of an LCS)

Let  $Z = \langle z_1, \dots, z_{k-1}, z_k \rangle$  be any LCS of  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$ .

1. If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is an LCS of  $X_{m-1}$  and  $Y_{n-1}$ .
2. If  $x_m \neq y_n$ , then  $z_k \neq x_m \Rightarrow Z$  is an LCS of  $X_{m-1}$  and  $Y$ .
3. If  $x_m \neq y_n$ , then  $z_k \neq y_n \Rightarrow Z$  is an LCS of  $X$  and  $Y_{n-1}$ .

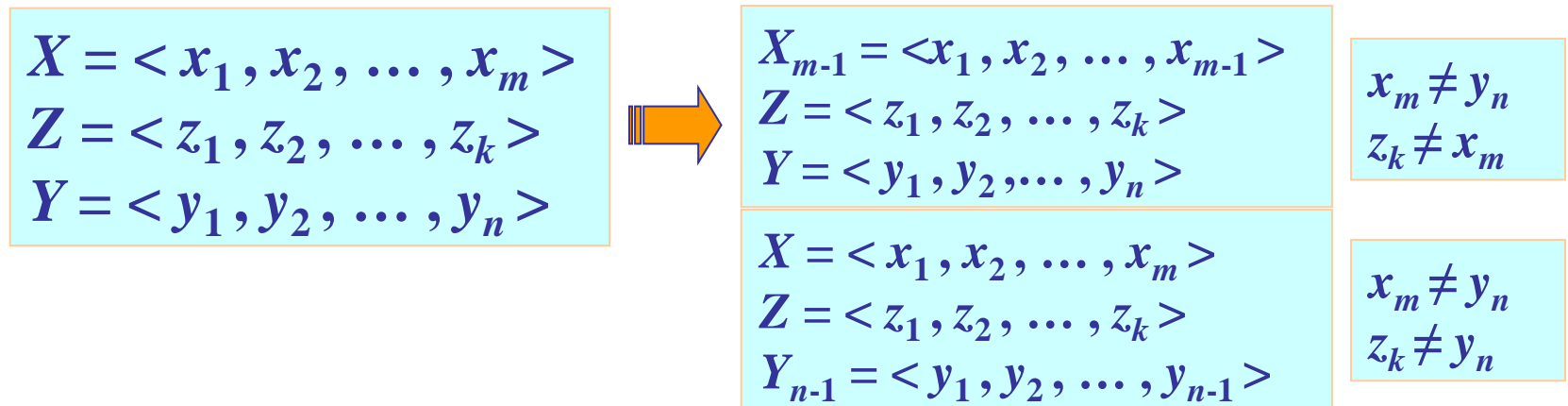
- Theorem 15.1 shows that **an LCS of two sequences contains within it an LCS of prefixes of the two sequences.**  
两个序列的 an LCS 包含了这两个序列的前缀（子问题）的 an LCS
- the LCS problem has an optimal-substructure property.

## Step 1: Characterizing an LCS

- **Optimal-substructure property:** Theorem 15.1 shows that an LCS of two sequences contains within it an LCS of prefixes of the two sequences.

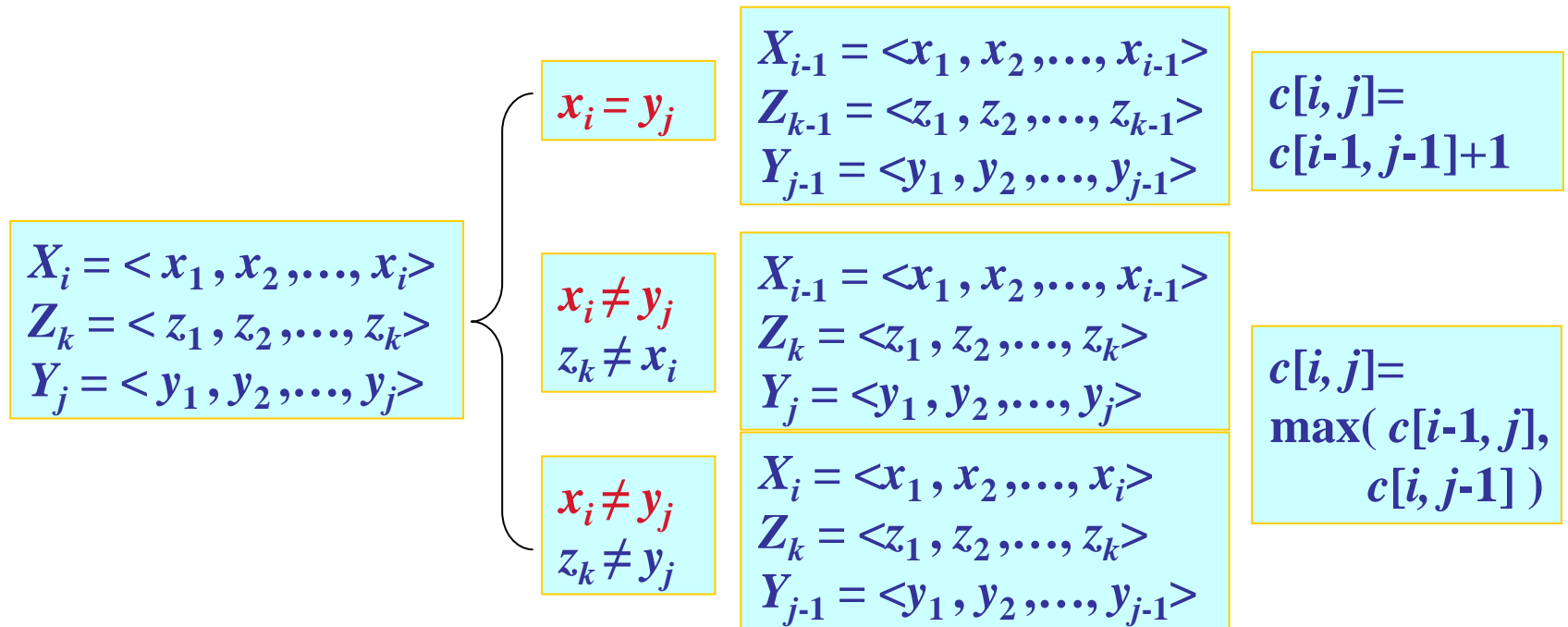
两个序列的 an LCS 包含了这两个序列的前缀（子问题）的 an LCS

- **For example**



## Step 2: A recursive solution

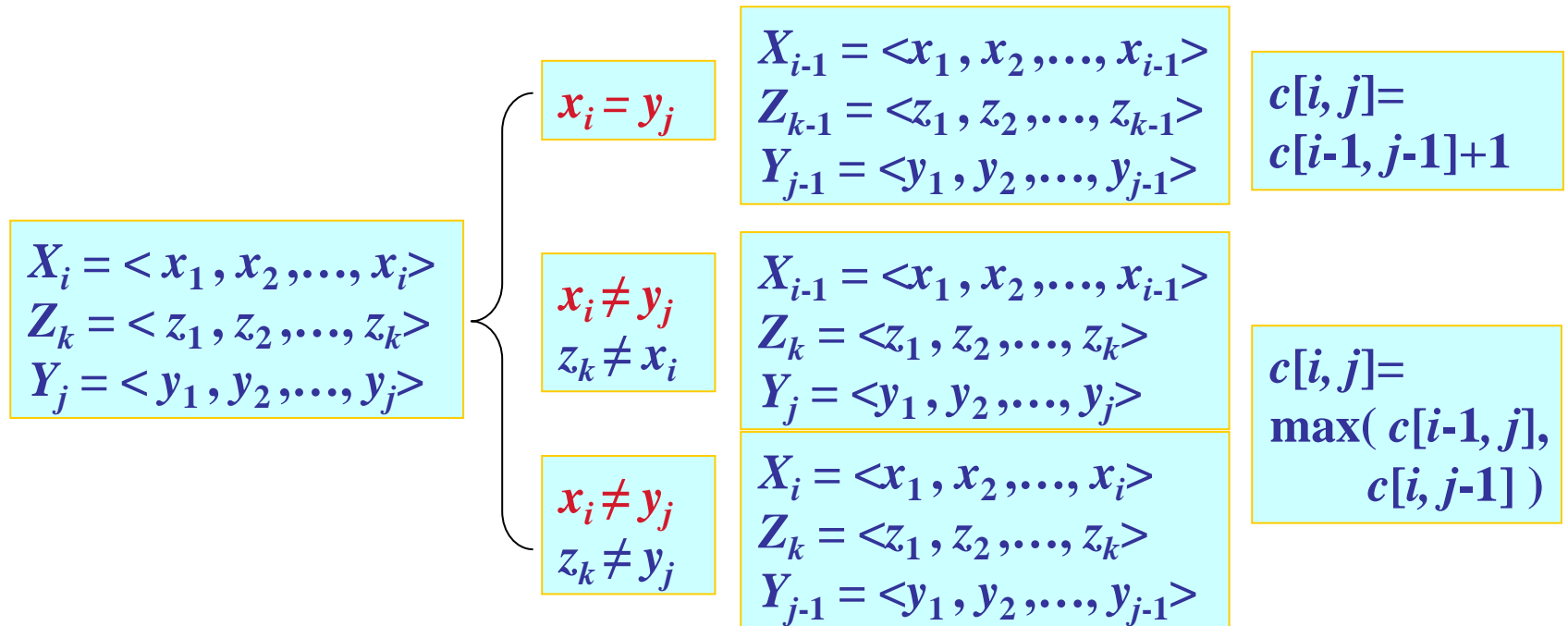
- Theorem 15.1 implies that there are either one or two subproblems to examine when finding an LCS of  $X$  and  $Y$ .  
(当求 an LCS 时, 定理表明了有一个或两个子问题需要考虑)
- Let  $c[i, j]$  be the length of an LCS of  $X_i$  and  $Y_j$  ( $c[i, j] = 0, i \cdot j = 0$ ).





## Step 2: A recursive solution

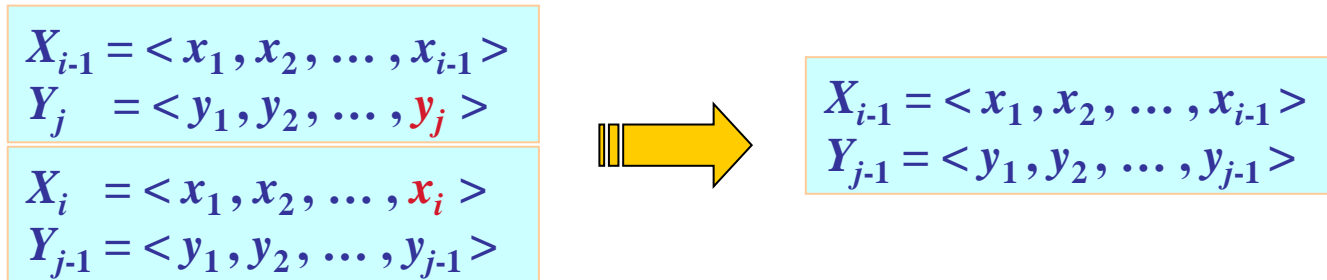
- The optimal substructure of the LCS problem gives the **recursive formula**. (由LCS问题的最优子结构可导出递归公式)



$$c[i, j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0, \\ c[i-1, j-1] + 1, & \text{if } i, j > 0 \text{ and } x_i = y_j, \\ \max(c[i-1, j], c[i, j-1]) & \text{if } i, j > 0 \text{ and } x_i \neq y_j. \end{cases} \quad (15.14)$$

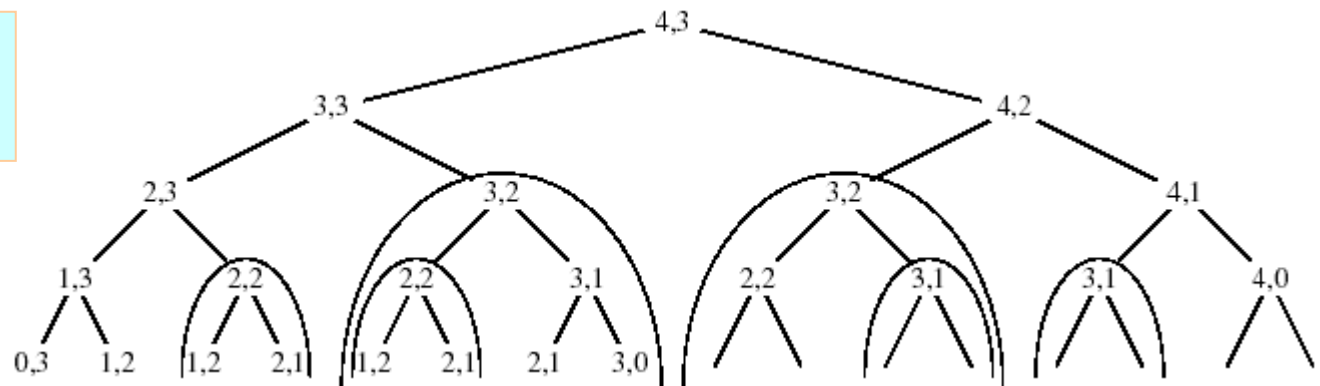
## Step 2: A recursive solution

- The **overlapping-subproblems** property of LCS (重叠子问题)



$$c[i, j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0, \\ c[i-1, j-1] + 1, & \text{if } i, j > 0 \text{ and } x_i = y_j, \\ \max(c[i-1, j], c[i, j-1]) & \text{if } i, j > 0 \text{ and } x_i \neq y_j. \end{cases} \quad (15.14)$$

For example:  
 $X_4, Y_3$



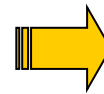
### Step 3: Computing the length of an LCS

$$c[i, j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0, \\ c[i-1, j-1] + 1, & \text{if } i, j > 0 \text{ and } x_i = y_j, \\ \max(c[i-1, j], c[i, j-1]) & \text{if } i, j > 0 \text{ and } x_i \neq y_j. \end{cases} \quad (15.14)$$

- Based on equation (15.14), we could easily write an **recursive algorithm**.

$X_m = \langle x_1, x_2, \dots, x_m \rangle$   
 $Y_n = \langle y_1, y_2, \dots, y_n \rangle$

$X_{i-1} = \langle x_1, \dots, x_{i-1} \rangle$   
 $Y_j = \langle y_1, \dots, y_j \rangle$   
 $X_i = \langle x_1, \dots, x_i \rangle$   
 $Y_{j-1} = \langle y_1, \dots, y_{j-1} \rangle$



$X_{i-1} = \langle x_1, \dots, x_{i-1} \rangle$   
 $Y_{j-1} = \langle y_1, \dots, y_{j-1} \rangle$

- Recursive algorithm?**
- Running time?**

**exponential-time**

$$T(m, n) = T(m-1, n) + T(m, n-1) + 1$$

$$T(m, n) = \Omega(2^m + 2^n)$$

**guess, then prove**

## Step 3: Computing the length of an LCS

- Based on equation (15.14), we could easily write an **exponential-time recursive algorithm**.

$$c[i, j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0, \\ c[i-1, j-1] + 1, & \text{if } i, j > 0 \text{ and } x_i = y_j, \\ \max(c[i-1, j], c[i, j-1]) & \text{if } i, j > 0 \text{ and } x_i \neq y_j. \end{cases} \quad (15.14)$$

$$\begin{aligned} X_m &= \langle x_1, x_2, \dots, x_m \rangle \\ Y_n &= \langle y_1, y_2, \dots, y_n \rangle \end{aligned}$$

**Recursive algorithm:**  
**exponential-time**

- Use **dynamic programming** to compute the solutions bottom up (使用动态规划法按自底向上方法进行求解)

## Step 3: Computing the length of an LCS

$$X_m = \langle x_1, x_2, \dots, x_m \rangle$$
$$Y_n = \langle y_1, y_2, \dots, y_n \rangle$$
$$c[i, j] =$$

$$\begin{cases} 0 & (\text{if } i = 0 \text{ or } j = 0), \\ c[i-1, j-1] + 1, & (\text{if } i, j > 0 \text{ and } x_i = y_j), \\ \max(c[i-1, j], c[i, j-1]), & (\text{if } i, j > 0 \text{ and } x_i \neq y_j). \end{cases}$$

Use table  $b[1..m, 1..n]$   
to simplify construction  
of an optimal solution.  
(使用  $b$  表构造最优解)

```
LCS-LENGTH( $X, Y$ )           //  $X$  and  $Y$  as inputs
1   $m \leftarrow \text{length}[X]$ 
2   $n \leftarrow \text{length}[Y]$ 
3  for  $i \leftarrow 1$  to  $m$     // Table  $c[0..m, 0..n]$  stores  $c[i, j]$ ,
4      do  $c[i, 0] \leftarrow 0$  // computed in row-major order.
5  for  $j \leftarrow 0$  to  $n$ 
6      do  $c[0, j] \leftarrow 0$ 
7  for  $i \leftarrow 1$  to  $m$ 
8      for  $j \leftarrow 1$  to  $n$ 
9          if  $x_i = y_j$ 
10              $c[i, j] \leftarrow c[i-1, j-1] + 1$ 
11              $b[i, j] \leftarrow \nwarrow$ 
12         else if  $c[i-1, j] \geq c[i, j-1]$  //use  $X_{i-1}, Y_j$ 
13              $c[i, j] \leftarrow c[i-1, j]$ 
14              $b[i, j] \leftarrow \uparrow$ 
15         else  $c[i, j] \leftarrow c[i, j-1]$ 
16              $b[i, j] \leftarrow \leftarrow$ 
17  return  $c$  and  $b$ 
```

## Step 3: Computing the length of an LCS

$X_i = \langle x_1, x_2, \dots, x_i \rangle$   
 $Y_j = \langle y_1, y_2, \dots, y_j \rangle$

$c[i, j] =$

$\begin{cases} 0 & (\text{if } i = 0 \text{ or } j = 0), \\ c[i-1, j-1] + 1, & (\text{if } i, j > 0 \text{ and } x_i = y_j), \\ \max(c[i-1, j], c[i, j-1]), & (\text{if } i, j > 0 \text{ and } x_i \neq y_j). \end{cases}$

$j$		0	1	2	3	4	5	6
$i$		$y_j$	$B$	$D$	$C$	$A$	$B$	$A$
0	$x_i$	0	0	0	0	0	0	0
1	$A$	0	↑	↑	↑	↖	↖	↖
2	$B$	0	↖	↖	↖	↑	↖	↖
3	$C$	0	↑	↑	↖	↖	↑	↑
4	$B$	0	↖	↑	↑	↑	↖	↖
5	$D$	0	↑	↖	↑	↑	↑	↑
6	$A$	0	↑	↑	↑	↖	↑	↖
7	$B$	0	↖	↑	↑	↑	↖	↑

LCS-LENGTH( $X, Y$ )

//  $X$  and  $Y$  as inputs

```

1   $m \leftarrow \text{length}[X]$ 
2   $n \leftarrow \text{length}[Y]$ 
3  for  $i \leftarrow 1$  to  $m$     // Table  $c[0..m, 0..n]$  stores  $c[i, j]$ ,
4      do  $c[i, 0] \leftarrow 0$  // computed in row-major order.
5  for  $j \leftarrow 0$  to  $n$ 
6      do  $c[0, j] \leftarrow 0$ 
7  for  $i \leftarrow 1$  to  $m$ 
8      for  $j \leftarrow 1$  to  $n$ 
9          if  $x_i = y_j$ 
10              $c[i, j] \leftarrow c[i-1, j-1] + 1$ 
11              $b[i, j] \leftarrow \nwarrow$ 
12         else if  $c[i-1, j] \geq c[i, j-1]$     //use  $X_{i-1}, Y_j$ 
13              $c[i, j] \leftarrow c[i-1, j]$ 
14              $b[i, j] \leftarrow \uparrow$ 
15         else  $c[i, j] \leftarrow c[i, j-1]$     //use  $X_i, Y_{j-1}$ 
16              $b[i, j] \leftarrow \leftarrow$ 
17  return  $c$  and  $b$ 
    
```

Running time?

$T(m, n) = O(mn)$

## Step 3: Computing the length of an LCS

- The running time of the procedure is  $O(mn)$ , since each table entry takes  $O(1)$  time to compute.
- Use  $b[i, j]$  to reconstruct the elements of an LCS, the path is shaded or linked. (使用b表来重构 an LCS 的元素, 路径用阴影或连线标注)

		j	0	1	2	3	4	5	6
				B	D	C	A	B	A
i	$x_i$	$y_j$							
0	$x_0$		0	0	0	0	0	0	0
1	A		0	0	0	0	1	1	1
2	B		0	1	1	1	1	2	2
3	C		0	1	1	2	2	2	2
4	B		0	1	1	2	2	3	3
5	D		0	1	2	2	2	3	3
6	A		0	1	2	2	3	3	4
7	B		0	1	2	2	3	4	4

	a	m	p	u	t	a	t	i	o	n
s	0	0	0	0	0	0	0	0	0	0
p	0	0	0	1	1	1	1	1	1	1
a	0	1	1	1	1	1	2	2	2	2
n	0	1	1	1	1	1	2	2	2	3
k	0	1	1	1	1	1	2	2	2	3
i	0	1	1	1	1	1	2	2	3	3
n	0	1	1	1	1	1	2	2	3	3
g	0	1	1	1	1	1	2	2	3	3

p                      a                      i                      n

## Step 4: Constructing an LCS

- Initial call is  $\text{PRINT-LCS}(b, X, m, n)$ .
- Whenever we encounter a “↖” in entry  $b[i, j]$ , it implies that  $x_i = y_j$  is an element of the LCS.
- Procedure takes time  $O(m+n)$ , since at least one of  $i$  and  $j$  is decremented in each stage of the recursion. (每次递归时,  $i$  和  $j$  至少有一个减值, 算法的运行时间为  $O(m+n)$ )

$j$		0	1	2	3	4	5	6
$i$	$y_j$		$B$	$D$	$C$	$A$	$B$	$A$
	$x_i$							
0	$x_i$	0	0	0	0	0	0	0
1	$A$	0	↑ 0	↑ 0	↑ 0	↖ 1	← 1	↖ 1
2	$B$	0	↖ 1	← 1	← 1	↑ 1	↖ 2	← 2
3	$C$	0	↑ 1	↑ 1	↖ 2	← 2	↑ 2	↑ 2
4	$B$	0	↖ 1	↑ 1	↑ 2	↑ 2	↖ 3	← 3
5	$D$	0	↑ 1	↖ 2	↑ 2	↑ 2	↑ 3	↑ 3
6	$A$	0	↑ 1	↑ 2	↑ 2	↖ 3	↑ 3	↖ 4
7	$B$	0	↖ 1	↑ 2	↑ 2	↑ 3	↖ 4	↑ 4

$\text{PRINT-LCS}(b, X, i, j)$

```

1  if  $i=0$  or  $j=0$ 
2      return
3  if  $b[i, j] = \text{“}\swarrow\text{”}$ 
4       $\text{PRINT-LCS}(b, X, i-1, j-1)$ 
5      print  $x_i$ 
6  else if  $b[i, j] = \text{“}\uparrow\text{”}$ 
7       $\text{PRINT-LCS}(b, X, i-1, j)$ 
8  else  $\text{PRINT-LCS}(b, X, i, j-1)$ 
    
```



# Improving the code

- Given an algorithm, you can improve on the time or space it uses.
- Some changes can **simplify** the code and improve constant factors but yield **no asymptotic improvement** in performance.  
(能简化代码, 改进常数, 但不能改进渐近性能)
- For example, we can eliminate the  $b$  table when constructing an LCS. Each  $c[i, j]$  entry depends on only:  $c[i-1, j-1]$ ,  $c[i-1, j]$ , and  $c[i, j-1]$ . Given the value of  $c[i, j]$ , we can determine in  $O(1)$  time which of these three values was used to compute  $c[i, j]$ , without inspecting table  $b$ . (Exercise 15.4-2) (例如, 重构an LCS 可以仅使用表  $c$ , 而不用表  $b$  .....)
- Save  $\Theta(mn)$  space by this method, the space requirement does not asymptotically decrease, since we need  $\Theta(mn)$  space for the  $c$  table anyway. (不使用表  $b$  可以节省  $\Theta(mn)$  的空间开销, 但算法的空间开销不会渐近减少, 因为表  $c$  需要  $\Theta(mn)$  的存储空间)

		$j$	0	1	2	3	4	5	6
$i$	$y_j$	$B$	$D$	$C$	$A$	$B$	$A$		
	$x_i$								
0	$x_0$	0	0	0	0	0	0	0	
1	$A$	0	↑	↑	↑	↖	1	←	1
2	$B$	0	↖	1	←	1	↑	↖	2
3	$C$	0	↑	↑	↑	↖	2	↑	2
4	$B$	0	↖	1	↑	↑	↑	↖	3
5	$D$	0	↑	↖	2	↑	↑	↑	3
6	$A$	0	↑	↑	↑	↖	3	↑	4
7	$B$	0	↖	1	↑	↑	↑	↖	4

## Improving the code

- Others can yield **substantial asymptotic savings** in time and space.  
(一些算法能产生实质性的、在时间和空间上的渐近性能的提高)
- Reduce the asymptotic space requirements for LCS-LENGTH, since it **needs only two rows of table  $c$  at a time**: the row being computed and the previous row. (In fact, we can use only slightly more than the space for one row of  $c$  to compute the length of an LCS. Exercise 15.4-4.)  
(可以减少LCS-LENGTH的空间的渐近开销。因为每次计算 $c[i,j]$ 时仅需要表 $c$ 的两行，正在计算的行和上一行。事实上，甚至可以仅使用比表 $c$ 的一行稍多一点的空间来计算 $c$ )
- This improvement works if we need only the length of an LCS; if we need to reconstruct the elements of an LCS, the smaller table does not keep enough information.  
(如果我们仅需要求 an LCS 的长度时（最优**值**），上述改进的算法有效。若需要重构 an LCS 的每个元素（最优**解**），上述改进算法不能保留足够的信息)

# Exercises

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## Problem 15-8

### Image compression by seam carving

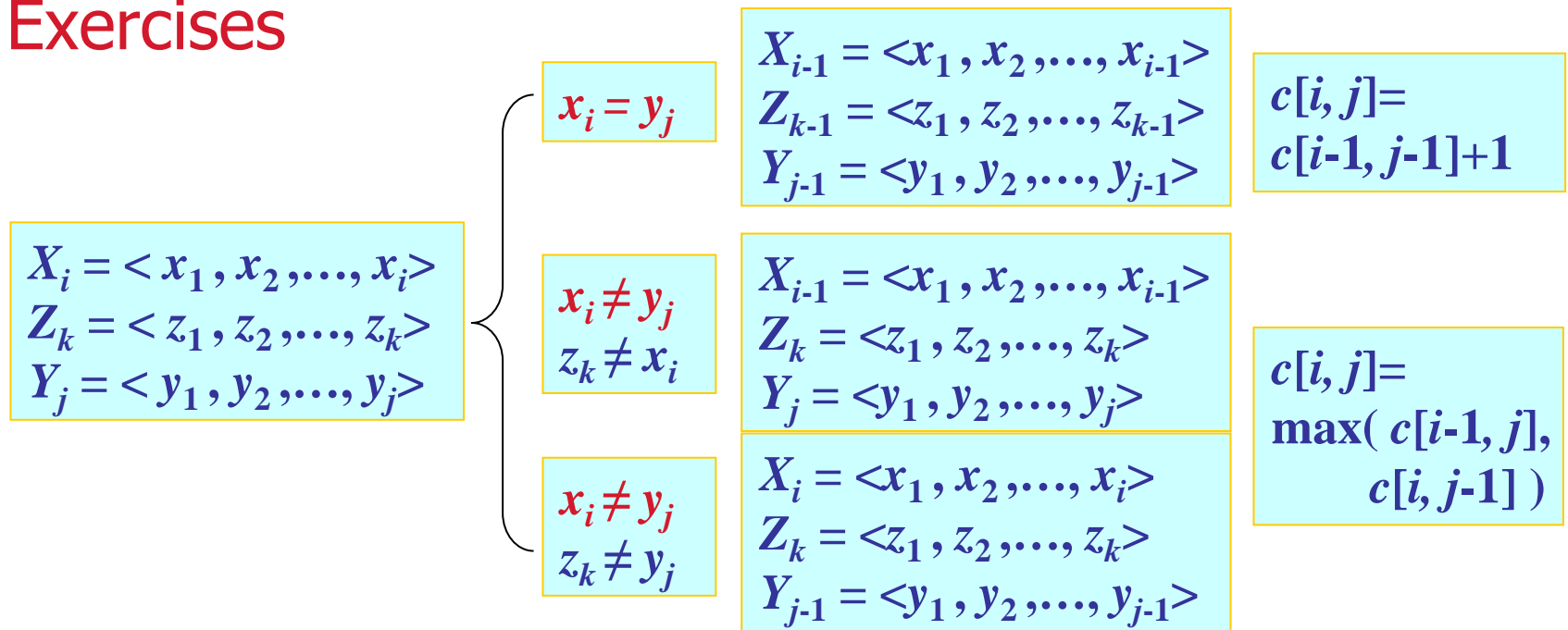
Seam carving for content-aware image resizing

[S Avidan](#), [A Shamir](#) - ACM Transactions on graphics (TOG), 2007 - [dl.acm.org](#)

Abstract Effective resizing of images should not only use geometric constraints, but consider the image content as well. We present a simple image operator called seam carving that supports content-aware image resizing for both reduction and expansion. A seam is an ...

☆ 被引用次数: 1663 相关文章 所有 41 个版本

# Exercises



$$c[i, j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0, \\ c[i-1, j-1] + 1, & \text{if } i, j > 0 \text{ and } x_i = y_j, \\ \max(c[i-1, j], c[i, j-1]) & \text{if } i, j > 0 \text{ and } x_i \neq y_j. \end{cases} \quad (15.14)$$

Based on equation (15.14) from the LCS, what is the

**Recursive algorithm? Running time?**

# Exercises

## 15.4-2

仅使用表  $c$  , 如何重构 an LCS? ( similar to PRINT-LCS )

## 15.4-5

对一个数序列, 给出一个  $O(n^2)$  算法, 求该序列的最长单调增子序列。

Sort in increasing order first, then find an LCS.

## 15.4-1

Determine an LCS of  $\langle 1,0,0,1,0,1,0,1,0,0,1,1,0 \rangle$  and  $\langle 0,1,0,1,1,0,1,1,0,1,0,1,0,1,1 \rangle$ . Implement by programming.

## 15.4-4

Implement by programming

Analyse the running time of the algorithm

# 15 Dynamic Programming

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- **Why is DP effective ?**
- **When does DP apply ?**
- **Why do Time and Space conflict ?**