# Lab Session 4. Vision-Language Model (VLM) Prompt Tuning

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## References

- Learning to Prompt for Vision-Language Models (CoOp): https://github.com/KaiyangZhou/CoOp
- Prompt Learning via Meta-Regularization (ProMetaR): <a href="https://github.com/mlvlab/ProMetaR">https://github.com/mlvlab/ProMetaR</a>

## [1] Preparation

## ✓ 1. Clone github repository

```
1 !git clone https://github.com/mlvlab/ProMetaR.git
```

fatal: destination path 'ProMetaR' already exists and is not an empty directory.

• It will make ProMetaR folder on left side.

## ✓ 2. Install Requirements

```
1 %cd ProMetaR/
2
3 !git clone https://github.com/KaiyangZhou/Dassl.pytorch.git
4 %cd Dassl.pytorch/
5
6 # Install dependencies
7 !pip install -r requirements.txt
8 !cp -r dassl ../
9 # Install this library (no need to re-build if the source code is modified)
10 # !python setup.py develop
11 %cd ..
12
13 !pip install -r requirements.txt
```



Requirement already satisfied: certifi>=2017.4.17 in /usr/local/lib/python3.10/dist-packages (from requests->learn2learn==0.2.0->-r requirements.tx Requirement already satisfied: osqp>=0.6.2 in /usr/local/lib/python3.10/dist-packages (from cvxpy>=1.1.0->qpth>=0.0.15->learn2learn==0.2.0->-r requirement already satisfied: osqp>=0.0.15->learn2learn==0.2.0->-r requirement already satisfied: osqp>=0.0.15->learn2learn==0.2.0->-r requirement already satisfied: osqp>=0.0.15->learn2learn==0.2.0->-r requirement already satisfied: osqp>=0.0.15->learn2learn==0.2.0->-r requirement already satisfied: osqp>=0.0.15->-r requi Requirement already satisfied: ecos>=2 in /usr/local/lib/python3.10/dist-packages (from cvxpy>=1.1.0->qpth>=0.0.15->learn2learn==0.2.0->-r requirem Requirement already satisfied: clarabel>=0.5.0 in /usr/local/lib/python3.10/dist-packages (from cvxpy>=1.1.0->qpth>=0.0.15->learn2learn==0.2.0->-r Requirement already satisfied: scs>=3.2.4.post1 in /usr/local/lib/python3.10/dist-packages (from cvxpy>=1.1.0->qpth>=0.0.15->learn2learn==0.2.0->-r Requirement already satisfied: boto>=2.29.1 in /usr/local/lib/python3.10/dist-packages (from gcs-oauth2-boto-plugin>=3.2->gsutil->learn2learn==0.2. Requirement already satisfied: oauth2client>=2.2.0 in /usr/local/lib/python3.10/dist-packages (from gcs-oauth2-boto-plugin>=3.2->gsutil->learn2lear Requirement already satisfied: pyasn1>=0.1.3 in /usr/local/lib/python3.10/dist-packages (from rsa<5,>=3.1.4->google-auth==2.17.0->google-auth[aioht] Requirement already satisfied: pyu2f in /usr/local/lib/python3.10/dist-packages (from google-reauth>=0.1.0->gsutil->learn2learn==0.2.0->-r requirem Requirement already satisfied: cryptography<44,>=41.0.5 in /usr/local/lib/python3.10/dist-packages (from py0penSSL>=0.13->gsutil->learn2learn==0.2. Requirement already satisfied: MarkupSafe>=2.0 in /usr/local/lib/python3.10/dist-packages (from jinja2->torch>=1.1.0->learn2learn==0.2.0->-r requir Requirement already satisfied: aiohappyeyeballs>=2.3.0 in /usr/local/lib/python3.10/dist-packages (from aiohttp<4.0.0dev,>=3.6.2->google-auth[aioht Requirement already satisfied: aiosignal>=1.1.2 in /usr/local/lib/python3.10/dist-packages (from aiohttp<4.0.0dev,>=3.6.2->google-auth[aiohttp]==2. Requirement already satisfied: attrs>=17.3.0 in /usr/local/lib/python3.10/dist-packages (from aiohttp<4.0.0dev,>=3.6.2->google-auth[aiohttp]==2.17. Requirement already satisfied: frozenlist>=1.1.1 in /usr/local/lib/python3.10/dist-packages (from aiohttp<4.0.0dev,>=3.6.2->google-auth[aiohttp]==2  $Requirement \ already \ satisfied: \ multidict<7.0,>=4.5 \ in \ /usr/local/lib/python3.10/dist-packages \ (from \ aiohttp<4.0.0 dev,>=3.6.2-yoogle-auth[aiohttp]=1.0 dev,>=3.6.2 d$ Requirement already satisfied: proposche>=0.2.0 in /usr/local/lib/python3.10/dist-packages (from aiohttp<4.0.0dev,>=3.6.2->google-auth[aiohttp]==2. Requirement already satisfied: yarl<2.0,>=1.17.0 in /usr/local/lib/python3.10/dist-packages (from aiohttp<4.0.0dev,>=3.6.2->google-auth[aiohttp]==2  $Requirement \ already \ satisfied: \ async-timeout < 6.0, >= 4.0 \ in \ /usr/local/lib/python \\ 3.10/dist-packages \ (from \ aiohttp < 4.0.0 \\ dev, >= 3.6.2- \\ >google-auth[aiohttp < 4.0.0 \\ >extra timeout < 6.0, >= 4.0 \\ >extra timeout < 6.0 \\ >extra ti$ Requirement already satisfied: cffi>=1.12 in /usr/local/lib/python3.10/dist-packages (from cryptography<44,>=41.0.5->py0penSSL>=0.13->gsutil->learn Requirement already satisfied: qdldl in /usr/local/lib/python3.10/dist-packages (from osqp>=0.6.2->cvxpy>=1.1.0->qpth>=0.0.15->learn2learn==0.2.0-> Requirement already satisfied: pycparser in /usr/local/lib/python3.10/dist-packages (from cffi>=1.12->cryptography<44,>=41.0.5->py0penSSL>=0.13->gs

If an error occurs, click 'Run Session Again' and then restart the runtime from the beginning.

#### 3. Load Requirements and functions

```
1 import os.path as osp
2 from collections import OrderedDict
3 import math
4 import torch
5 import torch.nn as nn
6 from torch.nn import functional as F
 7 from torch.cuda.amp import GradScaler, autocast
8 from PIL import Image
9 import torchvision.transforms as transforms
10 import torch
11 from clip import clip
12 from clip.simple_tokenizer import SimpleTokenizer as _Tokenizer
13 import time
14 from tadm import tadm
15 import datetime
16 import argparse
17 from dassl.utils import setup_logger, set_random_seed, collect_env_info
18 from dassl.config import get_cfg_default
19 from dassl.engine import build trainer
20 from dassl.engine import TRAINER_REGISTRY, TrainerX
21 from dassl.metrics import compute_accuracy
22 from dassl.utils import load_pretrained_weights, load_checkpoint
23 from dassl.optim import build optimizer, build Ir scheduler
24
25 # custom
26 import datasets.oxford_pets
27 import datasets.oxford flowers
28 import datasets.fgvc_aircraft
29 import datasets.dtd
30 import datasets.eurosat
31 import datasets.stanford_cars
32 import datasets.food101
33 import datasets.sun397
34 import datasets.caltech101
35 import datasets.ucf101
36 import datasets.imagenet
37 import datasets.imagenet_sketch
38 import datasets.imagenetv2
39 import datasets.imagenet_a
40 import datasets.imagenet r
1 def print_args(args, cfg):
      print("************
      print("** Arguments **")
3
      print("***********")
4
5
      optkeys = list(args.__dict__.keys())
6
      optkeys.sort()
       for key in optkeys:
7
8
          print("{}: {}".format(key, args.__dict__[key]))
9
      print("********")
10
      print("** Config **")
      print("********")
11
12
      print(cfg)
13
14 def reset_cfg(cfg, args):
```

```
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```

```
15
      if args.root:
16
          cfg.DATASET.ROOT = args.root
17
      if args.output_dir:
18
          cfg.OUTPUT_DIR = args.output_dir
19
20
          cfg.SEED = args.seed
21
      if args.trainer:
22
          cfg.TRAINER.NAME = args.trainer
23
      cfg.DATASET.NUM_SHOTS = 16
24
      cfg.DATASET.SUBSAMPLE_CLASSES = args.subsample_classes
      cfg.DATALOADER.TRAIN_X.BATCH_SIZE = args.train_batch_size
25
26
      cfg.OPTIM.MAX_EPOCH = args.epoch
27
28 def extend_cfg(cfg):
29
30
      Add new config variables.
31
32
      from yacs.config import CfgNode as CN
33
      cfg.TRAINER.COOP = CN()
34
       cfg.TRAINER.COOP.N_CTX = 16 # number of context vectors
35
      cfg.TRAINER.COOP.CSC = False # class-specific context
      cfg.TRAINER.COOP.CTX_INIT = "" # initialization words
36
       cfg.TRAINER.COOP.PREC = "fp16" # fp16, fp32, amp
37
38
      cfg.TRAINER.COOP.CLASS_TOKEN_POSITION = "end" # 'middle' or 'end' or 'front'
39
      cfg.TRAINER.COCOOP = CN()
      cfg.TRAINER.COCOOP.N_CTX = 4 # number of context vectors
40
       cfg.TRAINER.COCOOP.CTX_INIT = "a photo of a" # initialization words
41
42
      cfg.TRAINER.COCOOP.PREC = "fp16" # fp16, fp32, amp
      cfa.TRAINER.PROMETAR = CN()
43
44
      \verb|cfg.TRAINER.PROMETAR.N_CTX_VISION| = 4 \\ \# \ number \ of \ context \ vectors \ at \ the \ vision \ branch \\
       cfg.TRAINER.PROMETAR.N_CTX_TEXT = 4 # number of context vectors at the language branch
45
      cfg.TRAINER.PROMETAR.CTX_INIT = "a photo of a" # initialization words
46
      cfg.TRAINER.PROMETAR.PREC = "fp16" # fp16, fp32, amp
47
48
       cfg.TRAINER.PROMETAR.PROMPT_DEPTH_VISION = 9 # Max 12, minimum 0, for 0 it will be using shallow IVLP prompting (J=1)
      cfg.TRAINER.PROMETAR.PROMPT_DEPTH_TEXT = 9 # Max 12, minimum 0, for 0 it will be using shallow IVLP prompting (J=1)
49
      cfg.DATASET.SUBSAMPLE_CLASSES = "all" # all, base or new
50
51
      cfg.TRAINER.PROMETAR.ADAPT_LR = 0.0005
52
      cfg.TRAINER.PROMETAR.LR_RATIO = 0.0005
53
      cfg.TRAINER.PROMETAR.FAST_ADAPTATION = False
      cfg.TRAINER.PROMETAR.MIXUP_ALPHA = 0.5
54
55
      cfg.TRAINER.PROMETAR.MIXUP_BETA = 0.5
56
      cfg.TRAINER.PROMETAR.DIM_RATE=8
      cfg.OPTIM_VNET = CN()
57
      cfg.OPTIM_VNET.NAME = "adam"
58
59
      cfg.OPTIM_VNET.LR = 0.0003
      cfg.OPTIM_VNET.WEIGHT_DECAY = 5e-4
60
61
      cfg.OPTIM_VNET.MOMENTUM = 0.9
      cfg.OPTIM_VNET.SGD_DAMPNING = 0
62
63
      cfg.OPTIM_VNET.SGD_NESTEROV = False
      cfg.OPTIM_VNET.RMSPROP_ALPHA = 0.99
      cfg.OPTIM_VNET.ADAM_BETA1 = 0.9
65
66
      cfg.OPTIM_VNET.ADAM_BETA2 = 0.999
67
      cfg.OPTIM_VNET.STAGED_LR = False
68
      cfg.OPTIM_VNET.NEW_LAYERS = ()
69
      cfg.OPTIM_VNET.BASE_LR_MULT = 0.1
70
      # Learning rate scheduler
      cfg.OPTIM_VNET.LR_SCHEDULER = "single_step"
71
72
       # -1 or 0 means the stepsize is equal to max_epoch
73
      cfg.OPTIM_VNET.STEPSIZE = (-1, )
74
      cfg.OPTIM_VNET.GAMMA = 0.1
      cfg.OPTIM_VNET.MAX_EPOCH = 10
75
      # Set WARMUP_EPOCH larger than 0 to activate warmup training
76
77
      cfg.OPTIM_VNET.WARMUP_EPOCH = -1
78
      # Either linear or constant
      cfg.OPTIM_VNET.WARMUP_TYPE = "linear"
79
80
      # Constant learning rate when type=constant
81
      cfg.OPTIM_VNET.WARMUP_CONS_LR = 1e-5
82
       # Minimum learning rate when type=linear
83
      cfg.OPTIM_VNET.WARMUP_MIN_LR = 1e-5
      # Recount epoch for the next scheduler (last_epoch=-1)
84
85
      # Otherwise last_epoch=warmup_epoch
86
      cfg.OPTIM_VNET.WARMUP_RECOUNT = True
87
88 def setup_cfg(args):
89
      cfg = get_cfg_default()
90
      extend_cfg(cfg)
91
      # 1. From the dataset config file
92
      if args.dataset_config_file:
          cfg.merge_from_file(args.dataset_config_file)
93
       # 2. From the method config file
95
      if args.config_file:
96
          cfg.merge_from_file(args.config_file)
97
       # 3. From input arguments
      reset_cfg(cfg, args)
```

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99 cfg.freeze() 100 return cfg

1 %mkdir outputs

#### 

```
2 %mkdir data
4 %cd data
 5 %mkdir eurosat
6 !wget http://madm.dfki.de/files/sentinel/EuroSAT.zip EuroSAT.zip
8 !unzip -o EuroSAT.zip -d eurosat/
9 %cd eurosat
10 !gdown 1lp7yaCWFi0ea0FUGga0IUdVi_DDQth1o
12 %cd ../../
13
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_615.jpg
\overline{2}
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_1398.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_163.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_970.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_502.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_2472.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_1567.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_1915.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_2013.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_828.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_1106.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_1670.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_1211.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_2304.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_273.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_1088.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_612.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_1438.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_164.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_1059.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_505.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_977.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_2475.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_1912.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_1560.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_2014.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_1101.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_1677.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_19.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_1216.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_2303.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_1753.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_1332.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_1495.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_2227.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_118.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_1444.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_1836.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_2130.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_1782.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_579.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_1025.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_2409.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_853.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_421.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_386.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_2068.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_882.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_357.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_1.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_65.jpg
       inflating: eurosat/2750/PermanentCrop/PermanentCrop_736.jpg
     /content/ProMetaR/data/eurosat
     Downloading..
     From: https://drive.google.com/uc?id=11p7yaCWFi0ea0FUGga01UdVi_DDQth1o
     To: /content/ProMetaR/data/eurosat/split_zhou_EuroSAT.json
     100% 3.01M/3.01M [00:00<00:00, 23.4MB/s]
     /content/ProMetaB
```

The structure of the data folder inside the ProMetaR folder should be as follows:

- eurosat/2750
- eurosat/split\_zhou\_EuroSAT.jsor

## [2] Load pre-trained CLIP Model

```
1 _tokenizer = _Tokenizer()
 3 def load_clip_to_cpu(cfg): # Load CLIP
      backbone_name = cfg.MODEL.BACKBONE.NAME
      url = clip._MODELS[backbone_name]
      model_path = clip._download(url)
 6
 8
 9
          # loading JIT archive
          model = torch.jit.load(model_path, map_location="cpu").eval()
10
11
          state_dict = None
12
13
      except RuntimeError:
          state_dict = torch.load(model_path, map_location="cpu")
14
15
16
      if cfg.TRAINER.NAME == "":
       design_trainer = "CoOp"
17
18
19
        design_trainer = cfg.TRAINER.NAME
20
      design_details = {"trainer": design_trainer,
21
                        "vision_depth": 0,
22
                        "language_depth": 0, "vision_ctx": 0,
23
                        "language_ctx": 0}
24
      model = clip.build_model(state_dict or model.state_dict(), design_details)
25
26
      return model
 1 from dassl.config import get_cfg_default
 2 cfg = get_cfg_default()
3 cfg.MODEL.BACKBONE.NAME = "ViT-B/16" # Set the vision encoder backbone of CLIP to ViT.
4 clip_model = load_clip_to_cpu(cfg)
                   351M/351M [00:07<00:00, 44.4MiB/s]
→ 100%|
```

## Check CLIP model

1 print(clip\_model)

₹

## [3] CoOpCLIP Implementation

CoOpCLIP is composed of pre-trained CLIP Text encoder, pre-trained CLIP Image encoder, and learnable prompt.

### Make Module 1: CLIP Text Encoder

#### Input

• token prefix (SOS token) + learnable prompt + class label + token suffix (CLS token)

## Output

· text feature of input prompts

```
1 class TextEncoder(nn.Module):
      def __init__(self, clip_model):
3
          super().__init__()
          self.transformer = clip_model.transformer
          self.positional_embedding = clip_model.positional_embedding
6
          self.ln_final = clip_model.ln_final
7
          self.text_projection = clip_model.text_projection
          self.dtype = clip_model.dtype
9
      def forward(self, prompts, tokenized_prompts): # Call model forward
10
11
          x = prompts + self.positional_embedding.type(self.dtype)
12
          x = x.permute(1, 0, 2) # NLD -> LND
13
          x = self.transformer(x)
14
          x = x.permute(1, 0, 2) # LND \rightarrow NLD
15
          x = self.ln_final(x).type(self.dtype)
          x = x[torch.arange(x.shape[0]), tokenized_prompts.argmax(dim=-1)] @ self.text_projection
16
17
          return x
```

## Make Module 2: CLIP Image Encoder

#### Input

• image

## Output

· image feature

```
1 print(clip_model.visual)
```

 $\overline{\Rightarrow}$ 

```
(mip). Sequential(
        (c_fc): Linear(in_features=768, out_features=3072, bias=True)
        (gelu): QuickGFLU()
        (c_proj): Linear(in_features=3072, out_features=768, bias=True)
      (In_2): LayerNorm((768,), eps=1e-05, elementwise_affine=True)
    (10): ResidualAttentionBlock(
      (attn): MultiheadAttention(
        (out_proj): NonDynamicallyQuantizableLinear(in_features=768, out_features=768, bias=True)
      (In_1): LayerNorm((768,), eps=1e-05, elementwise_affine=True)
      (mlp): Sequential(
        (c_fc): Linear(in_features=768, out_features=3072, bias=True)
        (gelu): QuickGELU()
        (c_proj): Linear(in_features=3072, out_features=768, bias=True)
      (In_2): LayerNorm((768,), eps=1e-05, elementwise_affine=True)
    (11): ResidualAttentionBlock(
      (attn): MultiheadAttention(
        (out_proj): NonDynamicallyQuantizableLinear(in_features=768, out_features=768, bias=True)
      (In_1): LayerNorm((768,), eps=1e-05, elementwise_affine=True)
      (mlp): Sequential(
        (c_fc): Linear(in_features=768, out_features=3072, bias=True)
        (gelu): QuickGELU()
        (c_proj): Linear(in_features=3072, out_features=768, bias=True)
      (In_2): LayerNorm((768,), eps=1e-05, elementwise_affine=True)
 )
(In_post): LayerNorm((768,), eps=1e-05, elementwise_affine=True)
```

### Make Module 3: Learnable Prompt

#### Output

Learnable prompt

```
1 class CoOpPromptLearner(nn Module):
2
      def __init__(self, cfg, classnames, clip_model):
3
          super().__init__()
          n_cls = len(classnames)
          n_ctx = cfg.TRAINER.COOP.N_CTX
5
6
           ctx_init = cfg.TRAINER.COOP.CTX_INIT
          dtype = clip_model.dtype
8
          ctx_dim = clip_model.ln_final.weight.shape[0]
9
          clip_imsize = clip_model.visual.input_resolution
10
          cfg_imsize = cfg.INPUT.SIZE[0]
          assert cfg_imsize == clip_imsize, f"cfg_imsize ({cfg_imsize}) must equal to clip_imsize ({clip_imsize})"
11
12
13
           ### Learnable Prompts Initialization ###
14
15
               # use given words to initialize context vectors
              ctx_init = ctx_init.replace("_",
n_ctx = len(ctx_init.split(" "))
16
17
               prompt = clip.tokenize(ctx_init)
18
19
               with torch.no_grad():
                   embedding = clip_model.token_embedding(prompt).type(dtype)
20
21
               ctx\_vectors = embedding[0, 1 : 1 + n\_ctx, :]
22
              prompt_prefix = ctx_init
23
           else:
24
               # random initialization
25
               if cfa.TRAINER.COOP.CSC:
                   print("Initializing class-specific contexts")
26
27
                   ctx_vectors = torch.empty(n_cls, n_ctx, ctx_dim, dtype=dtype)
28
               else:
29
                   print("Initializing a generic context")
                   ctx_vectors = torch.empty(n_ctx, ctx_dim, dtype=dtype)
30
31
               nn.init.normal_(ctx_vectors, std=0.02)
32
               prompt_prefix = " ".join(["X"] * n_ctx)
33
           print(f'Initial context: "{prompt_prefix}"')
          print(f"Number of context words (tokens): {n_ctx}")
34
35
           self.ctx = nn.Parameter(ctx_vectors) # Wrap the initialized prompts above as parameters to make them trainable.
36
37
           ### Tokenize ###
           classnames = [name.replace("_", " ") for name in classnames] # 예) "Forest"
38
39
           name_lens = [len(_tokenizer.encode(name)) for name in classnames]
40
           prompts = [prompt_prefix + " " + name + "." for name in classnames] # 여) "A photo of Forest."
41
           tokenized_prompts = torch.cat([clip.tokenize(p) for p in prompts]) # 여) [49406, 320, 1125, 539...]
           ##################
42
43
           with torch.no_grad():
45
               embedding = clip_model.token_embedding(tokenized_prompts).type(dtype)
```

```
# These token vectors will be saved when in save_model(),
          # but they should be ignored in load_model() as we want to use
48
          # those computed using the current class names
          self.register_buffer("token_prefix", embedding[:, :1, :]) # SOS (문장의 시작을 알려주는 토큰)
49
50
          self.register_buffer("token_suffix", embedding[:, 1 + n_ctx :, :]) # CLS, EOS (문장의 끝을 알려주는 토큰)
51
          self.n_cls = n_cls
52
          self.nctx = nctx
53
          self.tokenized_prompts = tokenized_prompts # torch.Tensor
54
          self.name lens = name lens
55
56
      def construct_prompts(self, ctx, prefix, suffix, label=None):
57
          # dimO is either batch_size (during training) or n_cls (during testing)
          # ctx: context tokens, with shape of (dim0, n_ctx, ctx_dim)
59
          # prefix: the sos token, with shape of (n_cls, 1, ctx_dim)
60
          # suffix: remaining tokens, with shape of (n_cls, *, ctx_dim)
61
          if label is not None:
62
              prefix = prefix[label]
63
              suffix = suffix[label]
64
          prompts = torch.cat(
65
66
                  prefix, # (dimO, 1, dim)
                  ctx, # (dim0, n_ctx, dim)
67
68
                  suffix, # (dim0, *, dim)
69
70
              dim=1.
71
72
          return prompts
73
74
      def forward(self):
75
          ctx = self.ctx
76
          if ctx.dim() == 2
77
             ctx = ctx.unsqueeze(0).expand(self.n_cls, -1, -1)
78
          prefix = self.token_prefix
79
          suffix = self.token_suffix
          prompts = self.construct_prompts(ctx, prefix, suffix) #[시작토큰, Input prompts,끝 토큰]
81
          return prompts
```

## Make CoOpCLIP (Module1 + Module2 + Module3)

#### Input

Image

### Output

Logit

## How to compute logit?

```
ullet image_features : Image representation f
```

• text\_features : Text representation  $g\left(\mathbf{t}_{i}\right)$ 

• Logit: 
$$p\left(y=i|\mathbf{x}
ight)=rac{\exp(\cos(g(\mathbf{t}_i),\mathbf{f})/ au)}{\sum_{j=1}^K\exp(\cos(g(\mathbf{t}_j),\mathbf{f})/ au)}$$

```
1 class CoOpCustomCLIP(nn.Module):
      def __init__(self, cfg, classnames, clip_model):
3
           super().__init__()
           self.prompt_learner = CoOpPromptLearner(cfg, classnames, clip_model)
          self.tokenized_prompts = self.prompt_learner.tokenized_prompts
6
          self.image encoder = clip model.visual
          self.text_encoder = TextEncoder(clip_model)
          self.logit_scale = clip_model.logit_scale
8
9
           self.dtype = clip_model.dtype
10
11
      def forward(self, image):
           image_features = self.image_encoder(image.type(self.dtype))
12
13
14
          prompts = self.prompt_learner()
15
           tokenized_prompts = self.tokenized_prompts
16
           text_features = self.text_encoder(prompts, tokenized_prompts)
17
           image_features = image_features / image_features.norm(dim=-1, keepdim=True)
18
19
           text_features = text_features / text_features.norm(dim=-1, keepdim=True)
20
21
           logit_scale = self.logit_scale.exp()
22
           logits = logit_scale * image_features @ text_features.t()
23
           return logits
```

## [4] CoOpCLIP Training

#### Training configurations

```
1 parser = argparse.ArgumentParser()
 2 parser.add_argument("--root", type=str, default="data/", help="path to dataset")
 3 parser.add_argument("--output-dir", type=str, default="outputs/cocoop3", help="output directory")
 4 parser.add argument(
 5
       "--seed", type=int, default=1, help="only positive value enables a fixed seed"
 6)
 7 parser.add_argument(
        --config-file", type=str, default="configs/trainers/ProMetaR/vit_b16_c2_ep10_batch4_4+4ctx.yaml", help="path to config file"
 8
 9)
10 parser.add_argument(
       "--dataset-config-file",
11
12
      type=str.
13
      default="configs/datasets/eurosat.yaml",
      help="path to config file for dataset setup",
15 )
16 parser.add_argument("--trainer", type=str, default="CoOp", help="name of trainer")
17 parser.add_argument("--eval-only", action="store_true", help="evaluation only")
18 parser.add_argument(
       "--model-dir",
19
20
      type=str,
21
      default=""
22
      help="load model from this directory for eval-only mode",
23 )
24 parser.add_argument("--train-batch-size", type=int, default=4)
25 parser.add_argument("--epoch", type=int, default=10)
26 parser.add_argument("--subsample-classes", type=str, default="base")
27 parser.add_argument(
28
       "--load-epoch", type=int, default=0, help="load model weights at this epoch for evaluation"
29 )
30 args = parser.parse_args([])
```

## ✓ Trainer Class

```
1 @TRAINER_REGISTRY.register(force=True)
2 class CoOp(TrainerX):
3
        ""Context Optimization (CoOp).
5
      Learning to Prompt for Vision-Language Models
6
      https://arxiv.org/abs/2109.01134
8
      def check cfa(self. cfa):
9
10
          assert cfg.TRAINER.COOP.PREC in ["fp16", "fp32", "amp"]
11
      def build_model(self):
12
13
          cfg = self.cfg
14
           classnames = self.dm.dataset.classnames
15
          print(f"Loading CLIP (backbone: {cfg.MODEL.BACKBONE.NAME})")
16
17
          clip_model = load_clip_to_cpu(cfg)
18
19
           if cfg.TRAINER.COOP.PREC == "fp32" or cfg.TRAINER.COOP.PREC == "amp":
20
              # CLIP's default precision is fp16
21
              clip_model.float()
22
23
          print("Building custom CLIP")
           self.model = CoOpCustomCLIP(cfg, classnames, clip_model)
24
25
           print("Turning off gradients in both the image and the text encoder")
26
27
           for name, param in self.model.named parameters():
28
               if "prompt learner" not in name:
29
                  param.requires_grad_(False)
30
31
           if cfa.MODEL.INIT WEIGHTS:
32
               load_pretrained_weights(self.model.prompt_learner, cfg.MODEL.INIT_WEIGHTS)
33
34
           self.model.to(self.device)
35
           # NOTE: only give prompt_learner to the optimizer
36
           self.optim = build_optimizer(self.model.prompt_learner, cfg.OPTIM)
37
           self.sched = build_Ir_scheduler(self.optim, cfg.OPTIM)
38
           self.register_model("prompt_learner", self.model.prompt_learner, self.optim, self.sched)
39
40
           self.scaler = GradScaler() if cfg.TRAINER.COOP.PREC == "amp" else None
41
42
           # Note that multi-gpu training could be slow because CLIP's size is
43
           # big, which slows down the copy operation in DataParallel
44
           device_count = torch.cuda.device_count()
           if device_count > 1:
45
               print(f"Multiple GPUs detected (n_gpus={device_count}), use all of them!")
46
```

```
47
               self.model = nn.DataParallel(self.model)
49
       def before_train(self):
           directory = self.cfg.OUTPUT_DIR
50
51
           if self.cfg.RESUME:
52
               directory = self.cfg.RESUME
53
           self.start_epoch = self.resume_model_if_exist(directory)
54
55
           # Remember the starting time (for computing the elapsed time)
56
           self.time_start = time.time()
57
58
       def forward_backward(self, batch):
           image, label = self.parse_batch_train(batch)
59
60
           prec = self.cfg.TRAINER.COOP.PREC
61
62
           output = self.model(image)
                                          # Input image 모델 통과
63
           loss = F.cross_entropy(output, label) # Loss 선언
           self.model_backward_and_update(loss) # Backward 및 모델 parameter 업데이트
64
65
66
            loss_summary = {
67
                "loss": loss.item(),
                "acc": compute_accuracy(output, label)[0].item(),
68
69
70
71
           if (self.batch_idx + 1) == self.num_batches:
72
                self.update Ir()
73
74
           return loss_summary
75
76
       def parse_batch_train(self, batch):
77
            input = batch["img"]
78
            label = batch["label"]
79
            input = input.to(self.device)
80
           label = label.to(self.device)
81
           return input, label
82
       def load_model(self, directory, epoch=None):
83
84
            if not directory:
85
               print("Note that load_model() is skipped as no pretrained model is given")
86
                return
87
88
           names = self.get_model_names()
89
           # By default, the best model is loaded
90
91
           model_file = "model-best.pth.tar'
92
93
            if epoch is not None:
               model_file = "model.pth.tar-" + str(epoch)
94
95
96
            for name in names:
97
               model_path = osp.join(directory, name, model_file)
98
99
                if not osp.exists(model_path):
                   raise FileNotFoundError('Model not found at "{}"'.format(model_path))
100
101
102
               checkpoint = load_checkpoint(model_path)
103
               state_dict = checkpoint["state_dict"]
104
               epoch = checkpoint["epoch"]
105
106
                # Ignore fixed token vectors
107
                if "token_prefix" in state_dict:
                   del state_dict["token_prefix"]
108
109
110
                if "token_suffix" in state_dict:
111
                   del state_dict["token_suffix"]
112
               print("Loading weights to \{\} " 'from "\{\}" (epoch = \{\})'.format(name, model\_path, epoch))
113
114
                # set strict=False
115
               self._models[name].load_state_dict(state_dict, strict=False)
116
117
       def after train(self):
         print("Finish training")
118
119
120
         do_test = not self.cfg.TEST.NO_TEST
121
             if self.cfg.TEST.FINAL_MODEL == "best_val":
122
                 print("Deploy the model with the best val performance")
123
124
                 self.load_model(self.output_dir)
125
126
                print("Deploy the last-epoch model")
             acc = self.test()
127
128
129
         # Show elapsed time
130
         elapsed = round(time.time() - self.time_start)
         elapsed = str(datetime.timedelta(seconds=elapsed))
131
```

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print(f"Elapsed: {elapsed}")

132

 $\overline{\Rightarrow}$ 

```
134
         # Close writer
135
         self.close_writer()
136
         return acc
137
       def train(self):
    """Generic training loops."""
138
139
140
           self.before_train()
           for self.epoch in range(self.start_epoch, self.max_epoch):
141
142
              self.before_epoch()
143
               self.run_epoch()
               self.after_epoch()
145
           acc = self.after_train()
146
           return acc
147
 1 def main(args):
 2
       cfg = setup_cfg(args)
 3
       if cfg.SEED >= 0:
           set_random_seed(cfg.SEED)
 4
 5
 6
        if torch.cuda.is_available() and cfg.USE_CUDA:
           torch.backends.cudnn.benchmark = True
 8
 9
        trainer = build_trainer(cfg)
 10
        if args.eval_only:
           trainer.load_model(args.model_dir, epoch=args.load_epoch)
11
           acc = trainer.test()
12
 13
           return acc
 14
       acc = trainer.train()
15
16
       return acc
```

## → Training CoOpCLIP on Base Class

- The Base class refers to classes that were seen during training.
- In contrast, the New class refers to classes that were not seen during training.

```
1 # Train on the Base Classes Train split and evaluate accuracy on the Base Classes Test split.
2 args.trainer = "CoOp"
3 args.train_batch_size = 4
4 args.epoch = 100
5 args.output_dir = "outputs/coop"
6
7 args.subsample_classes = "base"
8 coop_base_acc = main(args)
```

```
epoch [94/100] batch [20/20] time 0.030 (0.060) data 0.000 (0.018) loss 0.0746 (0.0593) acc 100.0000 (100.0000) Ir 3.0104e-05 eta 0:00:07
epoch [95/100] batch [20/20] time 0.031 (0.059) data 0.000 (0.016) loss 0.0079 (0.0987) acc 100.0000 (97.5000) Ir 2.2141e-05 eta 0:00:05
epoch [96/100] batch [20/20] time 0.030 (0.060) data 0.000 (0.018) loss 0.0408 (0.0621) acc 100.0000 (100.0000) Ir 1.5390e-05 eta 0:00:04
epoch [97/100] batch [20/20] time 0.032 (0.059) data 0.000 (0.016) loss 0.0678 (0.0630) acc 100.0000 (100.0000) Ir 9.8566e-06 eta 0:00:03
epoch [98/100] batch [20/20] time 0.030 (0.059) data 0.000 (0.018) loss 0.0595 (0.0781) acc 100.0000 (98.7500) Ir 5.5475e-06 eta 0:00:02
epoch [99/100] batch [20/20] time 0.032 (0.059) data 0.000 (0.019) loss 0.0272 (0.0819) acc 100.0000 (98.7500) Ir 2.4666e-06 eta 0:00:01
epoch [100/100] batch [20/20] time 0.030 (0.059) data 0.000 (0.016) loss 0.0029 (0.0881) acc 100.0000 (97.5000) Ir 6.1680e-07 eta 0:00:00
Checkpoint saved to outputs/coop/prompt_learner/model.pth.tar-100
Finish training
Deploy the last-epoch model
Evaluate on the *test* set
100%| 42/42 [00:19<00:00, 2.18it/s]=> result
* total: 4,200
* correct: 3,839
* accuracy: 91.4%
* error: 8.6%
* macro_f1: 91.5%
Elapsed: 0:02:53
```

## Evaluate CoOpCLIP on New Class

```
1 # Accuracy on the New Classes.
2 args.model_dir = "outputs/coop"
3 args.output_dir = "outputs/coop/new_classes"
4 args.subsample_classes = "new"
5 args.load_epoch = 100
6 args.eval_only = True
7 coop_novel_acc = main(args)
→ Loading trainer: CoOp
     Loading dataset: EuroSAT
     Reading split from /content/ProMetaR/data/eurosat/split_zhou_EuroSAT.json
     Loading preprocessed few-shot data from /content/ProMetaR/data/eurosat/split_fewshot/shot_16-seed_1.pkl
     SUBSAMPLE NEW CLASSES!
    Building transform_train
     + random resized crop (size=(224, 224), scale=(0.08, 1.0))
     + random flip
     + to torch tensor of range [0, 1]
     + normalization (mean=[0.48145466, 0.4578275, 0.40821073], std=[0.26862954, 0.26130258, 0.27577711])
    Building transform_test
     + resize the smaller edge to 224
     + 224x224 center crop
     + to torch tensor of range [0, 1]
    + normalization (mean=[0.48145466, 0.4578275, 0.40821073], std=[0.26862954, 0.26130258, 0.27577711])
    Dataset
               EuroSAT
    # classes 5
     # train_x
               80
     # val
               20
     # test
               3.900
    Loading CLIP (backbone: ViT-B/16)
     /usr/local/lib/python3.10/dist-packages/torch/utils/data/dataloader.py:617: UserWarning: This DataLoader will create 8 worker processes in total. Our
       warnings.warn(
     /usr/local/lib/python3.10/dist-packages/torch/optim/lr_scheduler.py:62: UserWarning: The verbose parameter is deprecated. Please use get_last_Ir() to
     /content/ProMetaR/dassI/utils/torchtools.py:102: FutureWarning: You are using `torch.load` with `weights_only=False` (the current default value), whic
       checkpoint = torch.load(fpath, map_location=map_location)
    Building custom CLIP
     Number of context words (tokens): 16
     Turning off gradients in both the image and the text encoder
    Loading evaluator: Classification
    Loading weights to prompt_learner from "outputs/coop/prompt_learner/model.pth.tar-100" (epoch = 100)
    Evaluate on the *test* set 100%| 39/39 [00:15<00:00, 2.59it/s]=> result
     * total: 3,900
     * correct: 2,007
     * accuracy: 51.5%
     * error: 48.5%
     * macro_f1: 45.6%
```

#### Visualization

```
1 import matplotlib.pyplot as plt
2 import numpy as np
3
4 metrics = ['Base', 'Novel']
5
6 coop_acc_list = [coop_base_acc, coop_novel_acc]
7
```

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```
o par_wruth = 0.35
9 index = np.arange(len(metrics))
10 fig, ax = plt.subplots()
11 bar1 = ax.bar(index, coop_acc_list, bar_width, label='CoOp')
13 ax.set_ylabel('Scores')
14 ax.set_title('Model Performance Comparison')
15 ax.set_xticks(index + bar_width / 2)
16 ax.set_xticklabels(metrics)
17 ax.legend()
18
19 def add_value_labels(bars):
20
      for bar in bars:
21
         height = bar.get_height()
         22
23
                   textcoords='offset points',
24
25
                   ha='center', va='bottom')
26
27 add_value_labels(bar1)
28 plt.tight_layout()
29 plt.show()
30
```



