# 5

# Eigenvalue and Eigenvectors of operators

Control of Control

The ket 1x) is called the eigenvector or eigenfat of the operator A if

 $A | \alpha \rangle = \alpha | \alpha \rangle$ 

The number of is called the eigenvalue. Thus the effect of A one an eigenbet of A is morely multiplication by a number.

Eigenvalues and eigenvectors of a hermitian ofeletor We now take up The eigenvalue problem of a hermitian operators. Two Theorems are of vital importance in This context.

Theorem. The eigenvalues of a hermitian operator are

Theorem The eigenverlass eigenvectors of a her mitian operator belonging to different eigenvalues are orthogonal.

From 1 we have

$$\langle \alpha_2 | A | \alpha_1 \rangle = \alpha_1 \langle \alpha_2 | \alpha_1 \rangle - \cdots - \langle 3 \rangle$$

Next, we take the adjoint of eq. cs.  $\langle \alpha_2 | A^{\dagger} = \alpha_2^* \langle \alpha_2 |$ 

Since A is hermitian, i.e.,  $A^{\dagger} = A$ , we get  $\langle \alpha_2 | A = \alpha_2^{\times} \langle \alpha_2 |$ 

Hence

$$\langle \alpha_2 | A | \alpha_1 \rangle = \alpha_2^* \langle \alpha_1 | \alpha_1 \rangle$$
 - - - (4)

Combining egs. (3) and (4) we get

If we let  $d_2 = d_1$ , and recalling that  $\langle d_1 | d_1 \rangle \neq 0$ , it follows that

 $\alpha_1 - \alpha_1^* = 0$ 

i.e., d, is real. Since eigenvalues are proved to be real, we can write Eq.(5) as

 $(\alpha_1 - \alpha_2) \langle \alpha_2 | \alpha_1 \rangle = 0$ .

If L, Faz, we must have

 $\langle \alpha_2 | \alpha_1 \rangle = 0$ 

i.e., eigenvectors belonging to different eigenvalues are orthogonal. Owing to the linearity of the operator A we can normalize the eigenvectors. We shall therefore usually assume that

 $\langle \alpha_1 | \alpha_2 \rangle = \delta_{\alpha_1 \alpha_2}$ 

Thus, the eigenvectors of a hermitian operator form an orthonormal (and hence linearly independent set of vectors, i.e.,

(dild;) = 8

Determination of eigenvalues and eigenvectors of a hermitian operator.

Let A be a hermitian operator. Consider The eigenvalue equation

 $A|\lambda\rangle = \lambda|\lambda\rangle. - - - - (6)$ 

To find the eigenvalues and the corresponding eigenvectors, we have to choose a basis in the vector space and convert the operator equation (Eq. (6)), into a matrix equation. For simplicity, we will assume that the vector space in finite dimension of the dimension of the convert and with dimension of the convert and the vector space in finite dimension of the convert and with dimension of the convert and the corresponding to the converte space in finite dimension of the converte and the corresponding to the

Now, choosing an orthonormal basis set [14:)}, we can cast Eq. (6) as a matrix equation of the following form:

 $\begin{bmatrix} A_{11} & A_{12} & \cdots & A_{1n} \\ A_{21} & A_{22} & \cdots & A_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ A_{n1} & A_{n2} & \cdots & A_{nn} \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix} = \lambda \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix}. \quad (7)$ 

Here  $x_1, x_2, \dots, x_n$  are the components of the eigenvector  $|\lambda\rangle$  in "directions"  $|\mu_1\rangle, |\mu_2\rangle, \dots |\mu_n\rangle$ , respectively, i.e.,

 $x_i = \langle u_i | \lambda \rangle$ ;  $i = 1, 2, \dots, \infty$ .

Eq. (7) is a set of linear homogeneous equations which possess non-trivial solutions only if

$$\begin{vmatrix} (A_{11}-\lambda) & A_{12} & A_{1n} \\ A_{21} & (A_{22}-\lambda) & A_{2n} \\ \vdots & \vdots & \vdots \\ A_{n1} & A_{n2} & (A_{nn}-\lambda) \end{vmatrix} = 0, \dots (8)$$

 $a_i$  in short  $dit (A_{ij} - \lambda S_{ij}) = 0$ .

In matrix notation, we can write

$$\left|\begin{array}{c} A - \lambda & 1 \\ - & - \end{array}\right| = 0$$

This equation, which is a polynomial equation of degree n in the unknown  $\lambda$ , is called the secular equation of the matrix (Aij). Solving this equation, we get n roots which we label as

 $\lambda_1, \lambda_2, \lambda_3, \cdots, \lambda_m$ .

Now, we can distinguish two cases. If the we eigenvalues are all distinct, we say that the eigenvalues are non-degenerate. However, it may so happen that some of the eigenvalues are repeated. Those eigenvalues which are repeated are called degenerate eigenvalues and the number of times an eigenvalue is repeated is called the order of degeneracy of that eigenvalue.

### Non-degenerate roots

In this case all the roots  $\lambda_i$  are distinct and there are n of them if the vector space is n-dimensional. If A is hermitian, the roots are a real. For a non-hermitian operator some or all of the roots are all of the roots are all of the roots.

Now, for each eigenvalue (root of secular equation) we can solve the eigenvalue equation (Eq. (7)) to get a linearly independent eigenvectors (7).

Since the (1)'s are linearly independent, they span the n-dimensional vector space, i.e., they form a complete set of basis vectors.

If A is hermitian, the eigenvectors are gnaranteed to be orthogonal, i.e.,  $\langle \lambda_i | \lambda_j \rangle = 0$  if  $i \neq j$ . However, for a non-hermitian operator the eigenvectors may or may not be orthogonal.

Using the eigenvectors of A as the basis (This basis is called the eigenbasis of A), the matrix refresantation of A in

 $A'_{ij} \equiv \langle \lambda_i | A | \lambda_j \rangle = \lambda_j \langle \lambda_i | \lambda_j \rangle ... - \mathcal{E}$ 

For hermitian A, we always have  $\langle \lambda_i | \lambda_i \rangle = 0$  if  $i \neq j$ , and, further we can normalize each eigenvector  $|\lambda_i\rangle$ . Thus, for a hermitian operator, the eigenbasis is an arthonormal set, i.e.,

Shoufore, the matrix representation of the operator A in its eigenbahis assumes is diagonal, !! r.,

 $A_{ij} = \lambda_j \delta_{ij}$ 

Writing out The matrix (Aij) in full we have

$$A' = \begin{bmatrix} \lambda_1 & 0 & 0 & \cdots & 0 \\ 0 & \lambda_2 & 0 & \cdots & 0 \\ 0 & 0 & \lambda_3 & \cdots & 0 \\ \vdots & \vdots & \ddots & \ddots & \ddots \\ 0 & 0 & 0 & \cdots & \lambda_n \end{bmatrix}$$

An operator or a matrix A is said to be diagonalizable, if we can find a basis in which the matrix becomes diagonal. For a hermitian operator we can always find a basis, the eigenbasis of the operator, in which the matrix representation of the operator is diagonal with the regeneralies as the diagonal elements.

For a non-hermitian operator in an n-dimensional vector space, there is no gnarantee that the matrix representation  $A_{ij}$  in the eigenbasis of the operator is diagonal. This is because, in general, the eigenvectors are not orthogonal, i.e.,  $\langle \lambda_i | \lambda_j \rangle \neq \delta_{ij}$ .

#### Degenerati eigenvalues

The secular equation, (Eq. (8)), may have roots some or all of which are repeated. So, the number of distinct eigenvalues is now less than the dimension of the vector space.

As an example, Improse we have a six-dimensional vector space (n=6) with three distinct roots  $\lambda_1, \lambda_2, \lambda_3$ .

Suppose  $\lambda_1$  is repeated three times,  $\lambda_2$  is repeated two fines and  $\lambda_3$  occurs only mer. Thus the six soots of the secular equation are

 $\lambda_1, \lambda_1, \lambda_1, \lambda_2, \lambda_2, \lambda_3$ .

We say  $\lambda_i$  is three-fold degenerate,  $\lambda_2$  is two-fold degenerate and  $\lambda_3$  is non-degenerate. We represent the order of degeneracy of a distinct eigenvalue  $\lambda_i$  by  $g_{\lambda_i}$ . In the present example,  $g_{\lambda_i} = 3$ ,  $g_{\lambda_i} = 2$  and  $g_{\lambda_i} = 1$ . We have

9, + 9, + 9, = 6 (dimension of The vector space)

Now, it may be shown that, for a <u>hermitian operator</u> if a root  $\lambda$  is g-fold degenerate, there are always g linearly independent eigenvectors corresponding to  $\lambda$ . For a non-hermitian operator, there may not exist as many linearly independent eigenvectors as the order of degeneracy.

In the above example, if  $\lambda_1$ ,  $\lambda_1$  and  $\lambda_3$  are eigenvalues of a hermitian operator, there are three linearly independent eigenvectors with eigenvalue  $\lambda_1$ , two linearly independent eigenvectors with eigenvalue  $\lambda_2$  and one eigenvector with eigenvalue  $\lambda_3$ . Ihus, the total number of linearly independent eigenvectors is 8ix, the same as the dimension of the vector space. Hence these 8ix linearly independent eigenvectors 4x.

If, however,  $\lambda_1$ ,  $\lambda_2$  and  $\lambda_3$  are eigenvalues of a non-hermitian operator with the same water of degeneracy for the eigenvalues, there may not exist three linearly independent eigenvectors with eigenvalue  $\lambda_1$ , a two linearly independent independent

eigenvectors with eigenvalue  $\lambda_2$ . In such a situation, the number of linearly independent eigenvectors of the non-hermitian operator A is less than the dimension of the vector space. Hence, these eigenvectors do not form a basi's set for the n-dimensional vector space.

# Diagonalitation of a hermitian operator

Let A be a hermitian operator with distinct eigenvalues  $\lambda_1$ ,  $\lambda_2$ , ..... Some or all of the eigenvalues may be degenerate, with the order or degree of degeneracy of an eigenvalue  $\lambda_1$ ; being denoted by  $g_{\lambda_1}$ . If  $g_{\lambda_2}=1$  for some  $\lambda_1$ ; then  $\lambda_1$ ; is said to be non-degenerate.

Since A is hermitian There will always be

gri linearly independent eigenvectors, each

belonging to the same eigenvalue i. We will

now require austher index, s'i, to distinguish

between these linearly independent eigenvectors.

We write

 $A \mid \lambda_i, \delta^{(i)} \rangle = \lambda_i \mid \lambda_i, \delta^{(i)} \rangle ; \delta^{(i)} = 1, 2, \cdots, \mathcal{J}_{\lambda_i}$   $--- \mathcal{G} \mid$ 

A linear combination of the degenerate eigenvectors in also an eigenvector A with the same eigenvalue  $\lambda_i$ . They so we have

$$A\left(\frac{\int_{S^{(i)}=1}^{A_{i}}C_{S^{(i)}}|\lambda_{i},S^{(i)}\rangle\right)=\lambda_{i}\left(\frac{\int_{S^{(i)}=1}^{A_{i}}C_{S^{(i)}}|\lambda_{i},S^{(i)}\rangle\right)$$

.---(10)

Thus, the set of vectors

[[\lambda\_i, S^{(i)}]; \lambda\_i tixed, S^{(i)} = 1, 2, ... g\_{\lambda\_i}]

spans a subspace, called the eigen subspace

of \( \lambda\_i, \) of the original n-dimensional vectors

space. The eigenvectors belonging to a

degenerate eigenvalue need not be orthogonal

to each other even if they are linearly independent,

as the general theorem of hermitian operators

proves the orthogonality of eigenvectors belonging

to different eigenvalues.

However, using Schmidt orthonormalization frocedure, we can get a set of Jr. orthonormal eigenfuntions of the form a set of Jr. linearly independent set of eigenfuntions of eigenfuntions of eigenfuntions of eigenvalue 1.

Shus, all the eigenvectors of the herwiken operator, whether the belonging to same or different eigenvalues can be considered as osthogonal to each other. Further, they are also normalized.

Using the set of orthonormal eigenfuntins as the basis, the basis, the basis, the basis, the basis, the matrix representation of A is diagonal.

As a concrete example of diagnalization of a hornition operator, impose we have a finite seven dimensional linear vector space. It, all the eigenvectors are now housete non-legendate, then there are seven distinct eigenvalues  $\lambda_1, \lambda_1, \cdots \lambda_7$  and corresponding to each eigenvalue there will be one deigenvectors are sothermal and they are normalized. Using these eigenvectors as the basis, the matrix representation A is

But if some of the eigenvalues are degenerate, then The number of distinct eigenvalues will be less than seven. Suppose that There are Three distinct eigenvalues

 $\lambda_1, \lambda_2, \lambda_3$ 

Also suppose that  $\lambda$ , is three-fold degenerate and  $\lambda$ , and  $\lambda$ , are both 2-fold degenerate. Thus

$$g_{\lambda_1} = 3$$

$$g_{\lambda_2} = 2$$

$$g_{\lambda_3} = 2$$

and

There are three linearly independent (but not necessarily orthogonal) eigenvectors with eigenvalue  $\lambda$ , and two linearly independent eigenvectors for each eigenvalue  $\lambda$ , and  $\lambda$ .

The eigenvectors of eigenvalue 1, can be labelled as

 $|\lambda_{1}, \Delta^{(1)}\rangle, \Delta^{(1)}=1, 2, 3$ 

i'e

 $|\lambda_{i},i\rangle, |\lambda_{i},1\rangle, |\lambda_{i}3\rangle$ 

This thru eigenvertes span a subspace of the original seven-dimensional verter space H. The original is called the eigensubspace of 1, and is denoted by H, or simply H,

the eigenvectors belonging to  $\lambda_1$  and  $\lambda_3$  are labelled similarly. The two-linearly independent eigenvectors with eigenvalue  $\lambda_1$  span a two-linearly independent vectors but space  $H_2$  and the two linearly independent vectors belonging to  $\lambda_3$  span The eigenentspace  $H_3$ , These three subspaces make up The full vector space H. We write

H = H, + H2 + H3

The seven linearly independent eigenvectors

{ [1], 5(i), 5(i), 5(i) = 1, 2, ... 9], i = 1, 2, 3}

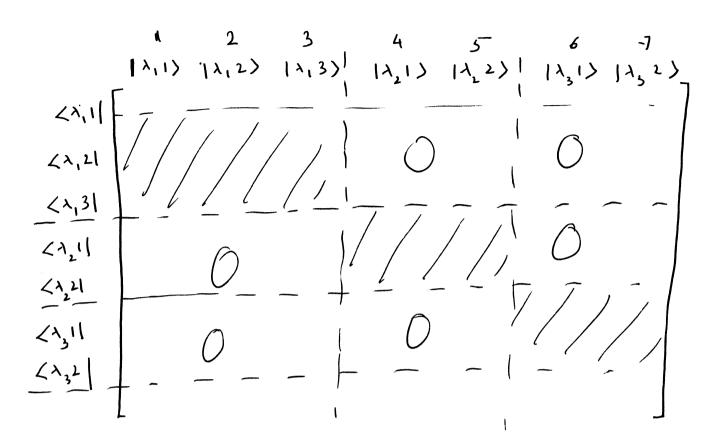
can now be used as a basis to find the matrix

representation of A. If the basis vectors within an

eigenvolupe are not made orthogonal, the

matrix representation of A is block-diagonal

as shown below.



Each shaled block is a square matrix. Recexase the first block is a 3×3 matrix, the 2nd me is a 2×2 matrix and the third me is a 2×2 matrix and the third me is a 2×2 matrix. These blocks themselves are not diagonal if the vectors of the three eigenembropaees are not extranormalite the basi's extranormalite the basi's vectors in each eigenembropaee, then each library will also be diagonal. The matrix representation of A will then be

Thus the matrix representation of a hermitian operator A is diagonalized.

We have proved that a hermitian operator

(or a hermitian matrix) is always diagonalizable in

a finite dimensional vector space. By diagonalizable

we mean that we can always find a basis in

which the matrix representation of A is diagonal.

This basis is simply the basis consisting of the athonormalized

eigenvectors of A, called the eigenbasis of A.

the egelskin of

The eigenvectors of a non-hermitian operator may be fewer in number than the dimension of the vector space if there is degeneracy, If an eigenvector  $\lambda$ ; in  $g_i$  - fold degenerate, then the number of linearly indefendant eigenvectors belonging to  $\lambda$ ; may be less than  $g_i$ . Shorefore, the eigenvectors of a non-hermitian operator cannot form a basis set for the vector space. Therefore, a non-hermitian operator is not diagonalizable.

Basis independence (i.e., representation independence) of the eigenvalues of an operator.

To find the eigenvalues of a hermitian specator  $\hat{A}$ , first we choose an arthonormal basis set {14; }} and form the matrix representation of the operator. Then we solve the secular equation to find the eigenvalues. Although we have to introduce a basis set to find the eigenvalues, it is easy to verify that the eigenvalues are independent of the choice of the basis.

Indeed, if we choose a new orthonormal set of basis vectors { | 4i'} which are related to the old set according to

 $|u_i'\rangle = \sum_j |u_j\rangle\langle u_j|u_i'\rangle$ 

then the new matrix representation of the operator

A is related to the old representation by a similarity

transformation with a unitary matrix. This is early to see:

$$A'_{\lambda j} = \langle u_i'| A|u_j' \rangle$$

$$= \sum_{jk} \langle u_i|u_j \rangle \langle u_j| A|u_k \rangle \langle u_k|u_j' \rangle$$

$$= \sum_{jk} S_{ij} A_{jk} S_{jk}^{\dagger}$$

$$= \sum_{jk} S_{ij} A_{jk} S_{kj}^{\dagger}$$

$$= \sum_{jk} S_{ij} A_{jk} S_{kj}^{\dagger}$$

$$= \sum_{jk} S_{ij} A_{jk} S_{kj}^{\dagger}$$

$$= (11)$$

where we have defined the matrix 5 as  $S_{ij} = 42ids \langle u_i' | u_j \rangle.$ 

The matrix S is unitary as shown previously. In matrix notation, we write of. (11) as

A' = 
$$S A S^{\dagger} = S A S^{-1}$$
  
Since  $S$  is a unitary matrix. Then
$$\det (A' - \lambda I) = \det (S A S^{-1} - \lambda S I S^{-1})$$

$$= \det (S (A - \lambda I) S^{-1})$$

$$= \det (A - \lambda I).$$

Thus, there is no change in the secular equation even if we change the basi's set. Since the eigenvalues are the roots of the secular equation, the eigenvalues are representation independent. They are characteristics are representation independent. I any particular of the operator A itself, and not of any particular representation.

Next, we will show that the determinant and the trace of the matrix representation of A are independent of the Basi's used for the representation.

Since 
$$A' = S A S^{-1}$$

we have

$$det(\underline{A}') = det(\underline{S}\underline{A}\underline{S}^{-1}) = det(\underline{S}^{-1}\underline{S}\underline{A})$$

i.e., determinant in independent of the representation.

We also have

$$T_{r}(A') = T_{r}(\underline{S}\underline{A}\underline{S}^{-1}) = T_{r}(\underline{S}^{-1}\underline{S}\underline{A}) = T_{r}\underline{A}$$

i.e., the trace is also independent of the representation.

In the above derivations, we have used the identities

 $det(\underline{A}\underline{B}) = det(\underline{B}\underline{A})$ 
 $T_{r}(\underline{A}\underline{B}) = T_{r}(\underline{B}\underline{A})$ .

Now, if we use the eigenbasis of the hermitian operator A for The representation, Then A is a diagonal matrix

det 
$$\underline{A} = \lambda_1 \lambda_2 \cdots$$
 (product of eigenvalues)  
 $t_{Y} \underline{A} = \lambda_1 + \lambda_2 + \cdots$  (sum of eigenvalues).

If an eigenvalue is g-fold degenerate, then that eigenvalue has to be repeated g times while calculating the determinant and trace of the matrix A.

## Infinite dimensional vector space

We have shown that a linear operator in a finite n-dimensional vector space has n eigenvalues some of which may be repeated. If the operator is hermitian, then the eigenvalues are real and eigenvectors belonging to different eigenvalues are othogonal and hence linearly independent.

Forther, if an eigenvalue  $\lambda$  of a hermitian operator in g fold degenerate, Then there are g linearly independent eigenvectors corresponding to  $\lambda$ . These degenerate eigenvectors are not necessarily orthogonal even if they are linearly independent. However, we can orthonormalize the degenerate eigenvectors using the Schmidt Orthonormalization procedure.

Thus in a finite n-dimensional vector space, the eigenvectors of any hermitian operator form a set of arthmermal basis set, vectors.

In an infinite - dimensional vector space,

The number of eigenvalues and eigenvectors

of a hermitian operator are infinitely

many, towever, it is possible that the eigenvectors

of some hermitian operators do not form

a complete basis set in an infinite

dimensional vector space.

Hermitian operators are of vital importance in quantum mechanics because to every observable like position, linear momentum, augular momentum, spin etc., we associate a corresponding bermitian operators. Of course, then are hermitian operators which are not associated with any observable.

The eigenvectors of a hermitian operator representing a physical observable form a complete set even in an infinite-dimensional Hilbert space. The eigenvectors of a hermitian operator not associated with any observable may not form a complete ban's set in an infinite dimensional space.

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# Completeness condition for the eigenvectors of a hermitian operator

Let us assume that the eigenvalue spectrum of a hermitian operator  $\hat{A}$  form a discrete set. In other words, the eigenvalues  $a_i$ ,  $i=1,2,\cdots$  of the operator are discrete real numbers.

Assume for the time being, that the eigenvalues are non-degenerate so that these is only one linearly independent eigenvector | ai > corresponding to each eigenvalue ai. The eigenvectors { | ai >, i = 1, 2, ...} form a complete orthonormal set of basis vectors. Therefore, an arbitrary vector | 4 > of the vector space can be expanded as a linear combination of the vectors in the basis set, it

where  $C_i = \langle a_i | \Psi \rangle$ . Therefore, we can write

 $|\Psi\rangle = \sum_{i} \langle a_i | \Psi \rangle | a_i \rangle = \sum_{i} |a_i \rangle \langle a_i | \Psi \rangle$ 

Since (4) is arbitrary, we must have

$$\hat{J} = \sum_{i} |a_i\rangle\langle a_i| = \sum_{i} \hat{P}_i \qquad ---- \qquad (1)$$

is the projection operated along (ai).

Using the basis { | u; >}, any operator of can be expressed as

 $\hat{O} = \hat{\mathbf{I}} \hat{O} \hat{\mathbf{I}} = \sum_{i,j} |a_i\rangle \langle a_i| \hat{O} |a_j\rangle \langle a_j| 1$ 

 $= \sum_{i,j} |a_i\rangle O_{ij} \langle a_j| \qquad (3)$ 

where  $O_i$ ,  $\equiv \langle a_i | \hat{O} | a_i \rangle$  are the matrix elements of  $\hat{O}$  in the basis  $\{|u_i\rangle\}_i$ . Since the basis is the eigenbasis of the operator  $\hat{A}$ , the matrix elements of  $\hat{A}$  in the basis will be diagonal, i.e.,

 $A_{ij}^{\prime\prime} = \langle a_{i} | \hat{A} | a_{j} \rangle = a_{i} \delta_{ij}^{\prime\prime}$ 

so that we can write

 $\hat{A} = \sum_{i} a_{i} |a_{i}\rangle\langle a_{i}| = \sum_{i} a_{i} t_{i} - - \cdot (4)$ 

Any other operators  $\hat{B}$  will in general not be diagnal in the eigenbasis of  $\hat{A}$  unless the eigenvectors of  $\hat{B}$  and  $\hat{A}$  coincide. Later, we will see that two operators  $\hat{A}$  and  $\hat{B}$  have simultaneous eigenvectors if they commute, i.e., if  $[\hat{A}, \hat{B}] = 0$ .

Now, we will generalize The notation to include degeneracy. Suppose the eigenvalue a: is g: - fold degenerate. Then the eigenvalue belonging to the eigenvalue a: is written as

(a:, s(i))

where s' can take values 1, 2, ... gi. The

set of veetrs

 $\left\{ \left\{ a_{i}, s^{(i)} \right\}, s^{(i)} = 1, 2, \dots, g_{i}; i = 1, 2, 3, \dots \right\}$ 

from a complete orthonormal set. The

completeness condition is

 $\sum_{i=1}^{\infty} \frac{g_i}{s^{(i)}} |a_i, s^{(i)}\rangle \langle a_i, s^{(i)}| = \hat{1} - -- \langle s \rangle$ 

and the or thousmality condition is

$$\langle a_i, s^{(i)} | a_j, s^{(i)} \rangle = \delta_{ij} \delta_{iij} \delta_{s^{(i)}s^{(i)}}$$
. ---(6)

We can rewrite Eq. (5) as (exactly as in the non-degenerate case)

$$\hat{1} = \sum_{i} \hat{\rho}_{i} \qquad - - - \cdot (3)$$

White

$$\hat{\rho}_{i} = \frac{g_{i}}{g_{i}} |a_{i}, g_{i}\rangle\langle a_{i}, g_{i}\rangle - - (8)$$

is the projection operator on the eigenentspace of a:. The operator can then be written in its own eigenbasis as

$$\hat{A} = \sum_{i} a_{i} \hat{P}_{i}$$

with P. Jiven in (8).

Hermitian operators with continuous eigenvalue spectrum.

In Briantina Mechanics we encounted besmitian operators like position operator, momentum operator whose eigenvalues trange over a continuum of real values. Such an eigenvalue spectrum is also called continuous. There are phermitian operators whose eigenvalue spectrum may be both discrete and continuous.

#### Continuous spectrum.

Let us consider an operator A whose eigenvalues can vary continuously over a certain seesage of real numbers.

 $A|a\rangle = a|a\rangle - - - (9)$ 

If there is degeneracy, we will put in a second index s to distinguish between the degenerate vectors. Thus we may write 1 a s > to duste a degenerate

eigenvector. We assume that there is no degendary. In case of degendary it is a simple matter to generalize our notations. We assume that the vectors 1a> form a complete set. The completeness condition can be written as

$$\int da |a\rangle\langle a| = \hat{1} - - - ... (10)$$

where the integral extends over the entire domain in which a varier. Usually this domain is  $-\infty$  to  $\infty$ .

Two eigenkets | a > and | a' > with a # a' are orthogonal because A in a hermitian operator, i'e.,

What will the scalar foroduct be if a = a'?

Can we take  $\langle a|a \rangle = 1$  as in the discrete

case where we normalised the eigenfects as  $\langle a|a \rangle = 1$ ?

The anemor is no, i.e., in the case where the eigenvalues a vary continuously, the pets |a> cannot be normalized to wity. To see this, expand an arbitrary pet 1f> in the eigenbasis { |a>} of the oferator Â. We have

15> = Sda' la'><a'lf>. - - - - (10)

Taking the scalar product of It) with 1a), we

get  $\langle a|f \rangle = \int da' \langle a|a' \rangle \langle a'|f \rangle$ 

where we have defined f(a) as f(a) = <alf). In order for Eq. (11) to be valid, we must have

 $\langle a|a'\rangle = \delta(a-a'), - - - (12)$ 

for, with this choice, the right side of Eq. (11) becomes equal to the left side:

RHS of Eq. (11) = Sda' S(a-a') f(a')

=f(a)

= LHS of Eq. (11).

Thus, setting a'=a in Eq. (12) we find  $\langle a|a\rangle = \delta(0) = \infty$ .

In other words, the eigenbets { |a > f are not normalizable to unity since <a |a > is not finite. Therefore, the eigenbets { |a > f do not belong to the Hilbert space. However, we can indee include such eigenbets in the vector space, and the augmented vector space is called the physical Hilbert space.

The pets {1a} are not physically realizable in the sense that no physical state of a system can have a state vector 14> which is one of the eigenpets 1a>. However, the set of eigenpets {1a>} can form a basis set because an arbitry pet 14> of finite norm can always be expanded in terms of {1a>}.

As a matter of terminology, we say that the eigenfets belonging to continuously varying eigenvalues of a hermitian operator are "normalizable" to a delta function, i.e.,  $\langle a|a'\rangle = \delta(a-a')$ , even though the kets  $|a\rangle$  are not normalizable in the strict mathematical sense, since in the strict mathematical sense, since  $||a\rangle|| = \infty$  (not finite).

In summary, for continuously varying eigenvalues, the orthogonality and completeness of the eigenvectors of a hermitian operator are written as  $\langle a | a' \rangle = \delta(a-a')$  (orthogonality)

1 = Sda (a) <a | Completeness).

## Hermitian operators with both discrete and continuous eigenvalues

The eigenvalue spectrum of a hermitian operator can be both disercte and continuous. In such a situation we have

 $\hat{A} |a_i\rangle = a_i |a_i\rangle ; \quad i' = 1, 2, \dots$ 

for disorcte eigenvalues, and

 $\hat{A}|a\rangle = a|a\rangle$ ;  $a \in D \subset R$ 

for continuous eigenvalues. The completeness condition is

 $\sum_{i} |a_{i}\rangle\langle a_{i}| + \int da |a\rangle\langle a| = 1$ 

and the orthonormality conditions are:

$$\langle a_i | a_j \rangle = \delta_{ij}$$

$$\langle a | a' \rangle = \delta(a - a')$$

$$\langle a_i | a \rangle = 0$$
.

Ex Find the eigenvalues and eigenvectors of the matrix

$$M = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$$

Can this matrix be diagonalited?

Ans The eigenvalue eq, is

$$\begin{pmatrix} \mathbf{4} & 1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \mathbf{x}_1 \\ \mathbf{x}_{\nu} \end{pmatrix} = \lambda \begin{pmatrix} \mathbf{x}_1 \\ \mathbf{x}_{\nu} \end{pmatrix}$$

The secular eq, is then

×

 $(\lambda - 1) = 0.$ 

Eigenveeter

With X=1, The eigenvalue ef in

$$\begin{pmatrix} \mathbf{A} & 1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \mathbf{x}_1 \\ \mathbf{x}_{\mathcal{L}} \end{pmatrix} = 1 \cdot \begin{pmatrix} \mathbf{x}_1 \\ \mathbf{x}_{\mathcal{L}} \end{pmatrix}$$

Thus 
$$x_1 + x_2 = x_1$$

x = 0

The element or, is arbitary. Have

(x, arbitrary)  $|1\rangle = \begin{pmatrix} x_1 \\ 0 \end{pmatrix}$ 

Normaliting

 $|1\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ 

linearly independent linearly independent. We have found j'est one peigenvector with  $\lambda = 1$ .

Since M is not hermitian, there is no gnarantee that there would be two linearly independent eigenvectors for a two-fold degenerate eigenvalue.

Hore, for the given matrix H, which is non-her with'an,

we have only one linearly independent eigenvult

cossistending to the two-fold degenerate eigenvalue 1=1.

So we do not have a complete set of eigenvectors of M white to span the two-dimensional vector space. Hence M is not diagonalizable by a change of ban's, i.e., by a similarity transformation.

Ex Find the eigenvalues and the corresponding eigenvectors of the matrix

$$A = \begin{pmatrix} 3 & i \\ -i & 3 \end{pmatrix}$$

Am First note that  $A^{\dagger} = A$ , i.e., the matrix is remarkable the eigenvalues would be real and the eigenvectors belonging to distinct eigenvalues would be detagonal.

The eigenvalue eq. is

$$\left( \begin{array}{cc} 3 & i \\ -i & 3 \end{array} \right) \left( \begin{array}{c} \varkappa_1 \\ \varkappa_{\nu} \end{array} \right) = \lambda \left( \begin{array}{c} \varkappa_1 \\ \varkappa_{\nu} \end{array} \right)$$

 $\mathcal{N} = \begin{pmatrix} 3-\lambda & i \\ -i & 3-\lambda \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$ 

The secular equation is  $\begin{vmatrix} 3-\lambda & i \\ -i & 3-\lambda \end{vmatrix} = 0$ 

$$(3.\lambda)^{2}$$
 -  $(i)(-i) = 0$ 

$$\kappa \left(\lambda - 3\right)^{2} - 1 = 0$$

$$\kappa = (\lambda - 3 - 1)(\lambda - 3 + 1) = 0$$

$$N \quad (\lambda - L)(\lambda - L) = 0$$

N 
$$\lambda = 2$$
, 4. (Nome of the hoots are degenerati).  
Take  $\lambda_1 = 2$ ,  $\lambda_2 = 4$ .

### Eigenvertor for $\lambda_i = 2$

Substitute 2,= 2 in eg. (1)

$$\begin{pmatrix} 1 & i \\ -i & 1 \end{pmatrix} \begin{pmatrix} \chi_1 \\ \chi_2 \end{pmatrix} = \emptyset$$

$$\begin{pmatrix} x_1 + i x_2 \\ -i x_1 + x_2 \end{pmatrix} = \phi$$

Thus 
$$x_1 + ix_2 = 0$$
 (2)  
 $-ix_1 + x_2 = 0$  (3)

Take x2 to bear trany.

Have

$$|2\rangle \doteq \begin{pmatrix} -i \times_{L} \\ \times_{L} \end{pmatrix}$$

Normaliting

$$\begin{pmatrix}
i & \chi^{4} & \chi^{*} \\
\chi^{2} & \chi^{*} \end{pmatrix} \begin{pmatrix}
-i & \chi_{1} \\
\chi_{1}
\end{pmatrix} =$$

$$N \qquad 2 \quad |X_2|^2 = 1$$

$$x |x_2| = \frac{1}{\sqrt{2}}$$

Take 
$$\chi_2 = \frac{1}{\sqrt{2}}$$
.

We Could have taken

$$\chi_{1} = -\frac{1}{\sqrt{2}}$$
 $\chi_{1} = e^{i\varphi} \frac{1}{\sqrt{2}} \left(\varphi = \text{leal}\right).$ 

du all Lases  $|\chi_1| = \frac{1}{\sqrt{2}}$ 

:. Normalited eigenverter 12) is

$$|12\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} -i \\ i \end{pmatrix}$$

Eigenvector for 2 = 4

Substitute 7 = 4 in Eq. (1).

$$\begin{pmatrix} 3-4 & i \\ -i & 3-4 \end{pmatrix} \begin{pmatrix} \chi_1 \\ \chi_2 \end{pmatrix} = 0$$

$$\begin{array}{ccc}
x & \begin{pmatrix} -1 & 1 \\ -i & -1 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = 0$$

$$\begin{pmatrix} -x_1 + i x_2 \\ -i x_1 - x_2 \end{pmatrix} \stackrel{?}{\sim} 0$$

$$-\chi_{1} + i\chi_{2} = 0 \qquad (5)$$

$$-i\chi_{1} - \chi_{2} = 0 \qquad (6)$$

From (5) 
$$x_1 = i x_2$$

Substitute 
$$iii(6)$$

$$-i(ix_2)-x_2=0$$

$$\alpha \quad \alpha_2 - \alpha_2 = 0 \quad (i'dent'ty)$$

Take X as arbitrary. Thus

$$|4\rangle \stackrel{:}{=} \begin{pmatrix} i \times_{L} \\ \times_{L} \end{pmatrix}$$

The value of X, has to be found from normalization: (4/4) = 1

$$x |x_2|^2 + |x_2|^2 = 1$$

N 
$$|\chi_2| = \frac{1}{\sqrt{2}}$$

Take 
$$x_2 = \frac{1}{\sqrt{2}}$$
.

$$\boxed{14\rangle = \frac{1}{\sqrt{2}}\begin{pmatrix} i\\ i \end{pmatrix}}$$

### Orthogonality of the eigenvectors.

$$|2\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} -i \\ i \end{pmatrix}$$

$$\langle 2|4\rangle = \frac{1}{2} \left(i\right) \left(i\right) = \frac{1}{2} \left(i^{2}+1\right) = \frac{1}{2} \left(-1+1\right) = 0$$

If we take 123 and 143 as The basis, making representation of  $\hat{A}$  in

$$\hat{A} \rightarrow \begin{pmatrix} \langle 2|\hat{A}|2\rangle & \langle 2|\hat{A}|4\rangle \\ \langle 4|\hat{A}|2\rangle & \langle 4|\hat{A}|4\rangle \end{pmatrix} = \begin{pmatrix} 2 & 0 \\ 0 & 4 \end{pmatrix}$$

Now we find the similarity transformation that diagonalities the making A

$$A' = \langle i | \langle i | \sqrt{2} \rangle + | \sqrt{2} \rangle$$

$$= \langle i | \langle i | \sqrt{2} \rangle + | \sqrt{2} \rangle$$

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$$= \langle i | \langle i | \sqrt{2} \rangle + | \langle i | \sqrt{2} \rangle + | \langle i | \sqrt{2} \rangle + | \langle i | \sqrt{2} \rangle$$

$$= \langle i | \langle i | \sqrt{2} \rangle + | \langle i$$

$$A' = 24 \begin{pmatrix} i/\sqrt{2} & 1/\sqrt{2} \\ -i/\sqrt{2} & 1/\sqrt{2} \end{pmatrix} \begin{pmatrix} 3 & i \\ -i & 3 \end{pmatrix} \begin{pmatrix} -i/\sqrt{2} & i/\sqrt{2} \\ 1/\sqrt{2} & 1/\sqrt{2} \end{pmatrix}$$

$$= S A S^{-1}$$

Ex Find the eigenvalues and normalized eigenvectors of the matrix

$$M = \frac{1}{2} \begin{pmatrix} 3 & -1 & 0 \\ -1 & 3 & 0 \\ 0 & 0 & 2 \end{pmatrix}$$

Ans; The matrix M is herwitian. Therefore the eigenvalues are real. The eigenvalues are obtained by solving the secular equation

$$\begin{vmatrix} \frac{3}{2} - \lambda & -\frac{1}{2} & 0 \\ -\frac{1}{2} & \frac{3}{2} - \lambda & 0 \\ 0 & 0 & 1 - \lambda \end{vmatrix} = 0$$

$$\times \left(1-\lambda\right) \left\{ \left(\frac{3}{2}-\lambda\right)^{2}-\left(-\frac{1}{2}\right)\left(-\frac{1}{2}\right)\right\} = 0$$

$$N (\lambda - 1) \left\{ (\lambda - 3/2)^2 - \frac{1}{4} \right\} = 0$$

$$\times \left(\lambda - 1\right) \left\{ \left(\lambda - 3/_2 + \frac{1}{2}\right) \left(\lambda - \frac{3}{2} - \frac{1}{2}\right) \right\} = 0$$

$$\kappa \left(\lambda^{-1}\right) \left(\lambda^{-1}\right) \left(\lambda^{-2}\right) = 0$$

# Thus the eigenvalues are $\lambda = 1, 1, 2$

The eigenvalue 1 is two-fold degenerate and the eigenvalue 2 is non-degenerate. The two distinct eigenvalues are 2 (2002)

 $\lambda_1 = 1$  with  $g_1 = 2$  and  $\lambda_2 = 1$  with  $g_2 = 1$ .

### Consider X = 1

Since Min hirmitian, There will be two linearly independent eigenvectors corresponding to  $\lambda = 1$ . We will make The two linearly independent eigenvectors attendenal,

The eigenvalue equation is

$$\begin{array}{c}
M \begin{pmatrix} \chi_1 \\ \chi_2 \\ \chi_3 \end{pmatrix} = \begin{pmatrix} \chi_1 \\ \chi_2 \\ \chi_3 \end{pmatrix}$$

$$\begin{pmatrix}
\frac{3}{2} - 1 & -\frac{1}{2} & 0 \\
-\frac{1}{2} & \frac{3}{2} - 1 & 0 \\
0 & 0 & 1 - 1
\end{pmatrix}
\begin{pmatrix}
\chi_1 \\
\chi_2 \\
\chi_3
\end{pmatrix} = \begin{pmatrix}
6 \\
0 \\
0
\end{pmatrix}$$

$$\begin{pmatrix} \frac{1}{2} & -\frac{1}{2} & 0 \\ -\frac{1}{2} & \frac{1}{2} & 0 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ 0 \\ 0 \end{pmatrix}$$

$$\begin{pmatrix}
\frac{1}{2}x_1 - \frac{1}{2}x_2 \\
-\frac{1}{2}x_1 + \frac{1}{2}x_2
\end{pmatrix} = \begin{pmatrix}
0 \\
0
\end{pmatrix}$$

Thus  $x_1 = x_2 = x(say)$  with x arbitrary.

and Also, xz is arbitrary.

$$\left\langle 1\right\rangle = \left(\begin{array}{c} \chi \\ \chi \\ Z \end{array}\right)$$

$$\left| 1 \right\rangle_{2} = \left( \begin{array}{c} 1 \\ 0 \\ \end{array} \right)$$

tring
$$\begin{vmatrix} 1 \\ 1 \end{vmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \\ 0 \end{pmatrix} = |\lambda = 1, \ \Delta = 1 \rangle$$

$$\begin{vmatrix} 1 \\ 1 \end{vmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \\ 0 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \\$$

$$\left( \left| 1 \right\rangle^{(2)} = \left( \begin{array}{c} 0 \\ 0 \\ 1 \end{array} \right) \stackrel{?}{=} \left| \lambda = 1, \ \Delta = 2 \right\rangle$$

These are the two orthonormal eigenvectors With sigenvalue >=1.

#### Next, consider $\lambda = 2$

 $\lambda=2$ , degeneracy g=1, The eigenvalue eg is

$$\begin{pmatrix} \frac{3}{2} - 2 & -\frac{1}{2} & 0 \\ -\frac{1}{2} & \frac{3}{2} - 2 & 0 \\ 0 & 0 & 1 - 2 \end{pmatrix} \begin{pmatrix} \chi_1 \\ \chi_2 \\ \chi_3 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}$$

$$\begin{pmatrix}
-\frac{1}{2} & -\frac{1}{2} & 0 \\
-\frac{1}{2} & -\frac{1}{2} & 0 \\
0 & 0 & -1
\end{pmatrix}
\begin{pmatrix}
\chi_1 \\
\chi_2 \\
\chi_3
\end{pmatrix} = \begin{pmatrix}
6 \\
0 \\
0
\end{pmatrix}$$

$$\begin{pmatrix} -\frac{1}{2} \chi_1 - \frac{1}{2} \chi_2 \\ -\frac{1}{2} \chi_1 - \frac{1}{2} \chi_2 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ -\chi_3 \end{pmatrix}$$

So we must have

$$x_1 = -x_2 = x (say) \times is arbitrary.$$
 $x_3 = 0.$ 

Therefore, eigenvert 12) is of the form

$$|2\rangle = \begin{pmatrix} \chi \\ -\chi \\ 0 \end{pmatrix}$$

Normaliting

Similarity transformation.

Now 
$$S = \begin{bmatrix} \frac{1}{\sqrt{2}} & 0 & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & 0 & -\frac{1}{\sqrt{2}} \\ 0 & 0 & 0 \end{bmatrix}$$

$$S = (S^{+})^{+} = \langle 1 | 1 \rightarrow \begin{bmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0 \\ & & & \\$$

The matrix M'is diagonal.

$$M' = \underbrace{SMS^{\dagger}}_{O} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 2 \end{bmatrix}$$