

# Module 3 :

# Number Theory and Finite Fields

# Divisibility

- We say that a nonzero  $b$  **divides**  $a$  if  $a = mb$  for some  $m$ , where  $a$ ,  $b$ , and  $m$  are integers
- $b$  divides  $a$  if there is no remainder on division
- The notation  $b \mid a$  is commonly used to mean  $b$  divides  $a$
- If  $b \mid a$  we say that  $b$  is a **divisor** of  $a$

The positive divisors of 24 are 1, 2, 3, 4, 6, 8, 12, and 24  
 $13 \mid 182$ ;  $-5 \mid 30$ ;  $17 \mid 289$ ;  $-3 \mid 33$ ;  $17 \mid 0$

# Properties of Divisibility

- If  $a \mid 1$ , then  $a = \pm 1$
- If  $a \mid b$  and  $b \mid a$ , then  $a = \pm b$
- Any  $b \neq 0$  divides 0
- If  $a \mid b$  and  $b \mid c$ , then  $a \mid c$

$$11 \mid 66 \text{ and } 66 \mid 198 = 11 \mid 198$$

- If  $b \mid g$  and  $b \mid h$ , then  $b \mid (mg + nh)$  for arbitrary integers  $m$  and  $n$

# Properties of Divisibility

- To see this last point, note that:
  - If  $b \mid g$ , then  $g$  is of the form  $g = b * g_1$  for some integer  $g_1$
  - If  $b \mid h$ , then  $h$  is of the form  $h = b * h_1$  for some integer  $h_1$
- So:
  - $mg + nh = mbg_1 + nbh_1 = b * (mg_1 + nh_1)$   
and therefore  $b$  divides  $mg + nh$

$$b = 7; g = 14; h = 63; m = 3; n = 2$$

$$7 \mid 14 \text{ and } 7 \mid 63.$$

$$\text{To show } 7 \mid (3 * 14 + 2 * 63),$$

$$\text{we have } (3 * 14 + 2 * 63) = 7(3 * 2 + 2 * 9),$$

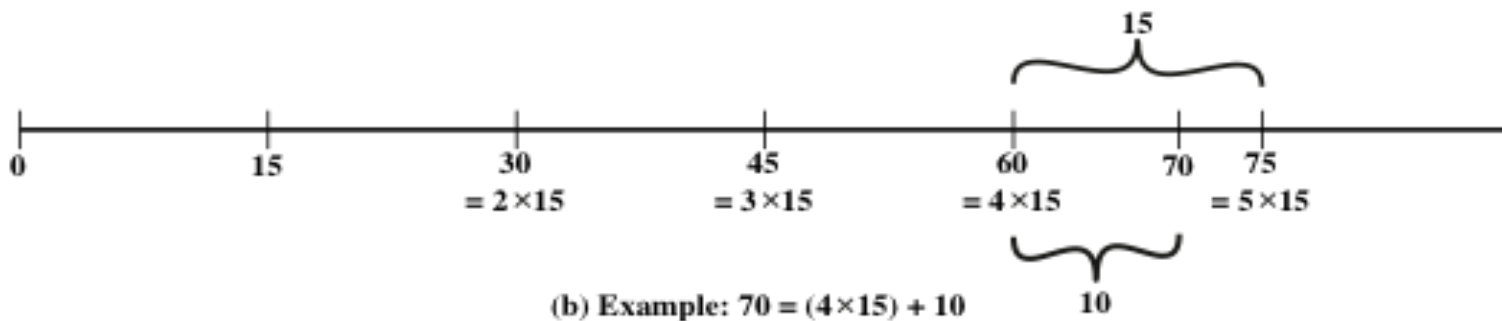
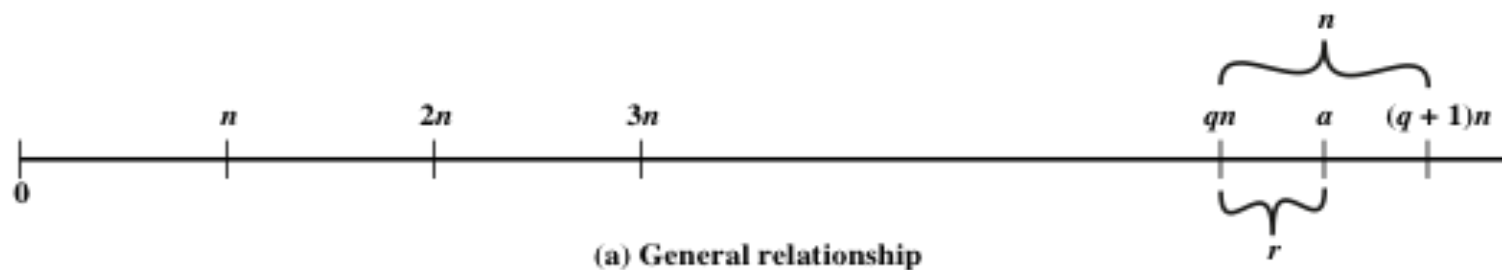
$$\text{and it is obvious that } 7 \mid (7(3 * 2 + 2 * 9)).$$

# Division Algorithm

- Given any positive integer  $n$  and any nonnegative integer  $a$ , if we divide  $a$  by  $n$  we get an integer quotient  $q$  and an integer remainder  $r$  that obey the following relationship:

$$a = qn + r \qquad 0 \leq r < n; q = [a/n]$$

# Example



**Figure 2.1** The Relationship  $a = qn + r$ ;  $0 \leq r < n$

# Euclidean Algorithm

- One of the basic techniques of number theory
- Procedure for determining the greatest common divisor of two positive integers
- Two integers are **relatively prime** if their only common positive integer factor is 1

# Greatest Common Divisor (GCD)

- The greatest common divisor of  $a$  and  $b$  is the largest integer that divides both  $a$  and  $b$
- We can use the notation  $\gcd(a,b)$  to mean the **greatest common divisor** of  $a$  and  $b$
- We also define  $\gcd(0,0) = 0$
- Positive integer  $c$  is said to be the gcd of  $a$  and  $b$  if:
  - $c$  is a divisor of  $a$  and  $b$
  - Any divisor of  $a$  and  $b$  is a divisor of  $c$
- An equivalent definition is:

$$\gcd(a,b) = \max[k, \text{ such that } k \mid a \text{ and } k \mid b]$$



# GCD

- Because we require that the greatest common divisor be positive,  $\gcd(a,b) = \gcd(a,-b) = \gcd(-a,b) = \gcd(-a,-b)$
- In general,  $\gcd(a,b) = \gcd(|a|, |b|)$

$$\gcd(60, 24) = \gcd(60, -24) = 12$$

- Also, because all nonzero integers divide 0, we have  $\gcd(a,0) = |a|$
- We stated that two integers  $a$  and  $b$  are relatively prime if their only common positive integer factor is 1; this is equivalent to saying that  $a$  and  $b$  are relatively prime if  $\gcd(a,b) = 1$

8 and 15 are relatively prime because the positive divisors of 8 are 1, 2, 4, and 8, and the positive divisors of 15 are 1, 3, 5, and 15. So 1 is the only integer on both lists.

# Euclidean Algorithm

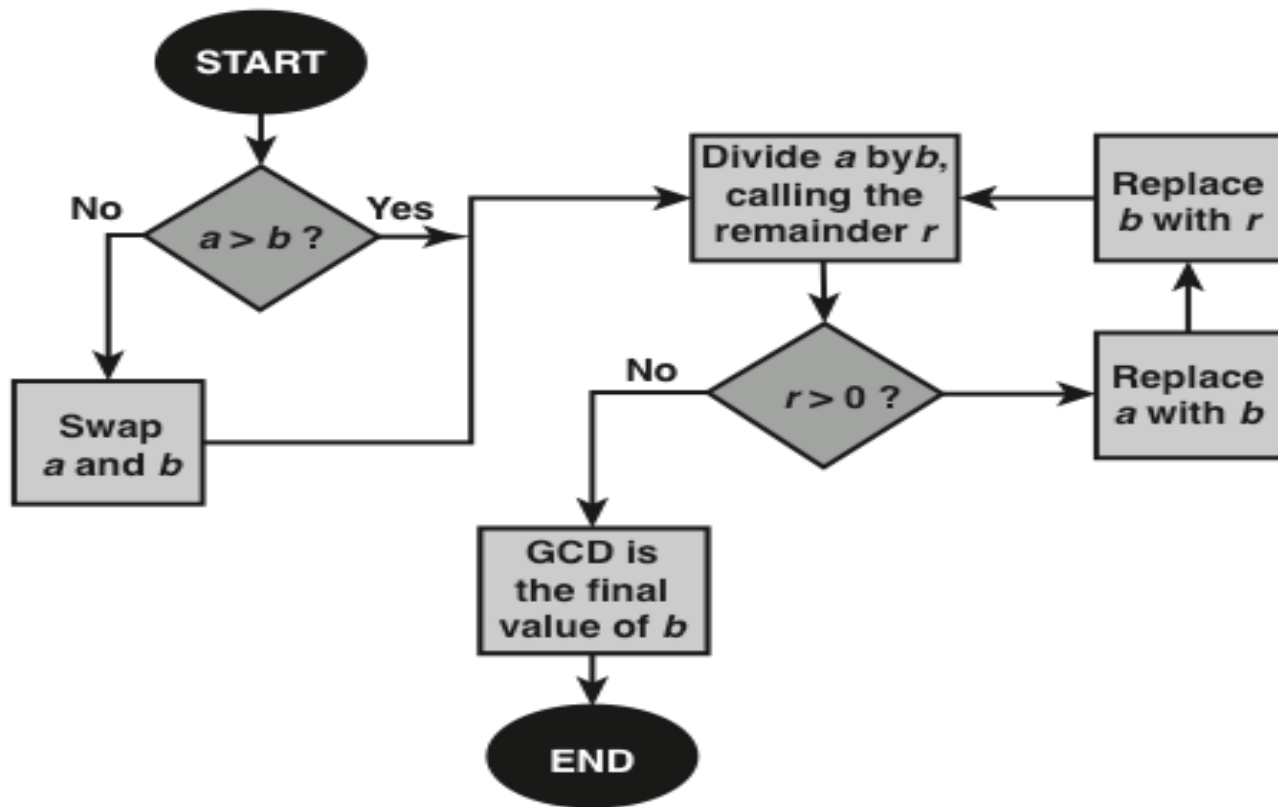
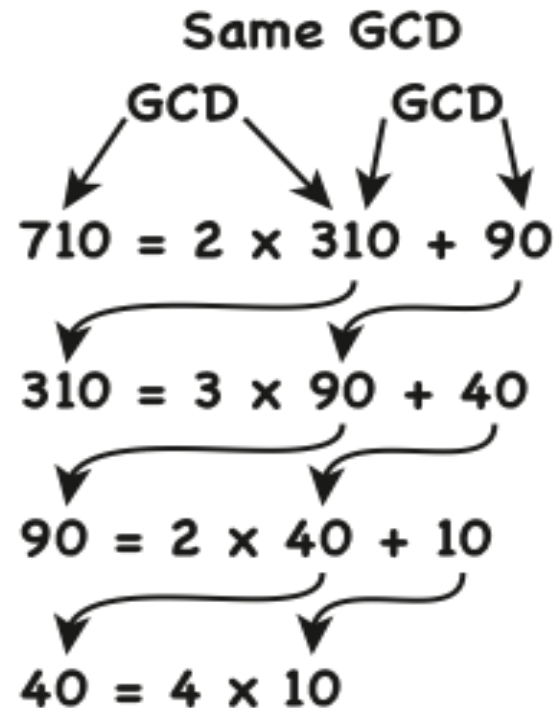


Figure 2.2 Euclidean Algorithm

# Euclidean Algorithm - Example



**Figure 2.3 Euclidean Algorithm Example:  $\text{gcd}(710, 310)$**

# Euclidean Algorithm

- ❑ an efficient way to find the  $\text{GCD}(a,b)$
- ❑ uses theorem that:
  - ❑  $\text{GCD}(a,b) = \text{GCD}(b, a \bmod b)$
- ❑ Euclidean Algorithm to compute  $\text{GCD}(a,b)$  is:
  - ❑ EUCLID( $a,b$ )
  - ❑ 1.  $A = a; B = b$
  - ❑ 2. if  $B = 0$  return  $A = \text{gcd}(a, b)$
  - ❑ 3.  $R = A \bmod B$
  - ❑ 4.  $A = B$
  - ❑ 5.  $B = R$
  - ❑ 6. goto 2

# Example GCD(1970,1066)

$1970 = 1 \times 1066 + 904$	$\text{gcd}(1066, 904)$
$1066 = 1 \times 904 + 162$	$\text{gcd}(904, 162)$
$904 = 5 \times 162 + 94$	$\text{gcd}(162, 94)$
$162 = 1 \times 94 + 68$	$\text{gcd}(94, 68)$
$94 = 1 \times 68 + 26$	$\text{gcd}(68, 26)$
$68 = 2 \times 26 + 16$	$\text{gcd}(26, 16)$
$26 = 1 \times 16 + 10$	$\text{gcd}(16, 10)$
$16 = 1 \times 10 + 6$	$\text{gcd}(10, 6)$
$10 = 1 \times 6 + 4$	$\text{gcd}(6, 4)$
$6 = 1 \times 4 + 2$	$\text{gcd}(4, 2)$
$4 = 2 \times 2 + 0$	$\text{gcd}(2, 0)$

# Example

- $\text{GCD}(270, 192)$
- Answer – 6
- $\text{GCD}(168, 180)$
- Answer – 12
- $\text{GCD}(1424, 3084)$

# Euclidean Algorithm Example

Dividend	Divisor	Quotient	Remainder
$a = 1160718174$	$b = 316258250$	$q_1 = 3$	$r_1 = 211943424$
$b = 316258250$	$r_1 = 211943424$	$q_2 = 1$	$r_2 = 104314826$
$r_1 = 211943424$	$r_2 = 104314826$	$q_3 = 2$	$r_3 = 3313772$
$r_2 = 104314826$	$r_3 = 3313772$	$q_4 = 31$	$r_4 = 1587894$
$r_3 = 3313772$	$r_4 = 1587894$	$q_5 = 2$	$r_5 = 137984$
$r_4 = 1587894$	$r_5 = 137984$	$q_6 = 11$	$r_6 = 70070$
$r_5 = 137984$	$r_6 = 70070$	$q_7 = 1$	$r_7 = 67914$
$r_6 = 70070$	$r_7 = 67914$	$q_8 = 1$	$r_8 = 2156$
$r_7 = 67914$	$r_8 = 2156$	$q_9 = 31$	$r_9 = 1078$
$r_8 = 2156$	$r_9 = 1078$	$q_{10} = 2$	$r_{10} = 0$

# Modular Arithmetic

- ❑ is 'clock arithmetic'
- ❑ uses a finite number of values, and loops back from either end
- ❑ modular arithmetic is
  - ❑ when doing addition & multiplication and modulo reducing the answer
  - ❑ when reducing, we "usually" want to find the **positive** remainder after dividing by the modulus.
- ❑ we can do reduction at any point, i.e.
  - ❑  $a+b \bmod n = [a \bmod n + b \bmod n] \bmod n$ 
    - ❑ e.g.  $11 \bmod 7 = [8 \bmod 7 + 3 \bmod 7] \bmod n = [1 + 3] \bmod 7 = 4$
  - ❑  $a-b \bmod n = [a \bmod n - b \bmod n] \bmod n$
  - ❑  $(a \times b) \bmod n = [a \bmod n \times b \bmod n] \bmod n$



# Modular Arithmetic

- The modulus

- If  $a$  is an integer and  $n$  is a positive integer, we define  $a \bmod n$  to be the remainder when  $a$  is divided by  $n$ ; the integer  $n$  is called the **modulus**
- Thus, for any integer  $a$ :

$$a = qn + r \quad 0 \leq r < n; \quad q = [a/n]$$

$$a = [a/n] * n + (a \bmod n)$$

$$11 \bmod 7 = 4; \quad -11 \bmod 7 = 3$$

# Modular Arithmetic

- Congruent modulo  $n$ 
  - Two integers  $a$  and  $b$  are said to be **congruent modulo  $n$**  if  $(a \bmod n) = (b \bmod n)$
  - This is written as  $a = b(\bmod n)$
  - Note that if  $a = 0(\bmod n)$ , then  $n \mid a$

$$73 = 4 (\bmod 23); \quad 21 = -9 (\bmod 10)$$

# Properties of Congruence

- Congruences have the following properties:
  1.  $a \equiv b \pmod{n}$  if  $n \mid (a - b)$
  2.  $a \equiv b \pmod{n}$  implies  $b \equiv a \pmod{n}$
  3.  $a \equiv b \pmod{n}$  and  $b \equiv c \pmod{n}$  imply  $a \equiv c \pmod{n}$
- To demonstrate the first point, if  $n \mid (a - b)$ , then  $(a - b) = kn$  for some  $k$ 
  - So we can write  $a = b + kn$
  - Therefore,  $(a \bmod n) = (\text{remainder when } b + kn \text{ is divided by } n) = (\text{remainder when } b \text{ is divided by } n) = (b \bmod n)$

$$23 \equiv 8 \pmod{5} \text{ because } 23 - 8 = 15 = 5 * 3$$

$$-11 \equiv 5 \pmod{8} \text{ because } -11 - 5 = -16 = 8 * (-2)$$

$$81 \equiv 0 \pmod{27} \text{ because } 81 - 0 = 81 = 27 * 3$$

# Modular Arithmetic

- Modular arithmetic exhibits the following properties:
  1.  $[(a \bmod n) + (b \bmod n)] \bmod n = (a + b) \bmod n$
  2.  $[(a \bmod n) - (b \bmod n)] \bmod n = (a - b) \bmod n$
  3.  $[(a \bmod n) * (b \bmod n)] \bmod n = (a * b) \bmod n$
- We demonstrate the first property:
  - Define  $(a \bmod n) = r_a$  and  $(b \bmod n) = r_b$ . Then we can write  $a = r_a + jn$  for some integer  $j$  and  $b = r_b + kn$  for some integer  $k$
  - Then:
$$\begin{aligned}(a + b) \bmod n &= (r_a + jn + r_b + kn) \bmod n \\&= (r_a + r_b + (k + j)n) \bmod n \\&= (r_a + r_b) \bmod n \\&= [(a \bmod n) + (b \bmod n)] \bmod n\end{aligned}$$

# Remaining Properties:

- Examples of the three remaining properties:

$$11 \bmod 8 = 3; 15 \bmod 8 = 7$$

$$[(11 \bmod 8) + (15 \bmod 8)] \bmod 8 = 10 \bmod 8 = 2$$

$$(11 + 15) \bmod 8 = 26 \bmod 8 = 2$$

$$[(11 \bmod 8) - (15 \bmod 8)] \bmod 8 = -4 \bmod 8 = 4$$

$$(11 - 15) \bmod 8 = -4 \bmod 8 = 4$$

$$[(11 \bmod 8) * (15 \bmod 8)] \bmod 8 = 21 \bmod 8 = 5$$

$$(11 * 15) \bmod 8 = 165 \bmod 8 = 5$$

# Example

- Exponentiation is performed by repeated multiplication, as in ordinary arithmetic.
- Finding  $11^7 \bmod 13$ 
  - $11^2 \bmod 13 = 4$  and so  $11^2 \equiv 4 \bmod 13$
  - i.e.  $11^4 \equiv 4^2 \bmod 13$ . But  $4^2 \bmod 13 = 3$  i.e.  $4^2 \bmod 13 \equiv 3 \bmod 13$
  - i.e.  $11^7 \equiv 11 \times 4 \times 3 \equiv 132 \equiv 2 \bmod 13$

# Modular arithmetic properties...

- ❑ can do modular arithmetic with any group of integers:
  - ❑ viz.  $Z_n = \{0, 1, \dots, n-1\}$
- ❑ Equivalence class
  - ❑ The EC of an integer **a** is the set of all those numbers which are congruent to **a modulo n** i.e.
- ❑ If  $a = qn + r$ , with  $0 \leq r < n$ , then
  - ❑  $a \equiv r \pmod{n}$ .
  - ❑ Therefore, each integer  $a$  in  $Z$  is  $\equiv$  **modulo n** to a unique integer between 0 and  $n-1$  – called the least residue of **a modulo n**.
  - ❑ Are **a** and **r** in the same equivalence class or different ?

# Equivalence Classes - illustrations

- The EC of  $[0], [1], [2], \dots, [n-1]$  is
  - $[r] = \{a: a \text{ is an integer, } a \equiv r \pmod{n}\}$
  - here,  $r$  is used to represent the residue class
  - each integer in  $\mathbb{Z}_n$  represents a residue class of itself.
- Example: the EC (residue classes) of the least residues 0, 1, 2, 3 modulo 4 represented by  $[0], [1], [2], [3]$  respectively are
  - $[0] \equiv \{\dots, -16, -12, -8, -4, 0, 4, 8, 12, 16, 20, \dots\}$
  - $[1] \equiv \{\dots, -15, -11, -7, -3, 1, 5, 9, 13, 17, \dots\}$
  - $[2] \equiv \{\dots, -18, -14, -10, -6, -2, 2, 6, 10, 14, \dots\}$
  - $[3] \equiv \{\dots, -13, -9, -5, -1, 3, 7, 11, 15, 19, \dots\}$
- The EC is represented by the least residue.



# The integers modulo n

- def: The integers modulo n i.e.  $Z_n$  is the set of (equivalence classes of) integers  $\{0,1,2,\dots,n-1\}$ .
- All the operations in  $Z_n$  viz.
  - multiplication, addition and subtraction are performed modulo n.
- e.g.  $Z_{25} = \{0,1,2,3, \dots, 24\}$ . Then,
  - $6+14 = ?$  in  $Z_{25}$
  - $15+35 = ?$  in  $Z_{25}$
  - $14+14 = ?$  in  $Z_{25}$
  - $20+32 = ?$  in  $Z_{25}$
- e.g.  $Z_{49} = \{0,1,2,3,\dots,48\}$ . Then
  - $21+23 = ?$  in  $Z_{49}$
  - $35 + 35 = ?$  in  $Z_{49}$

# Arithmetic Modulo 8

- The additive inverse of a number  $a$  in modular arithmetic is the integer  $y$  such that  $x + y = 0 \pmod{n}$ .
- e.g. addition arithmetic modulo 8 is as shown in the table.

+	0	1	2	3	4	5	6	7
0	0	1	2	3	4	5	6	7
1	1	2	3	4	5	6	7	0
2	2	3	4	5	6	7	0	1
3	3	4	5	6	7	0	1	2
4	4	5	6	7	0	1	2	3
5	5	6	7	0	1	2	3	4
6	6	7	0	1	2	3	4	5
7	7	0	1	2	3	4	5	6

# Multiplication Modulo 8

- The multiplicative inverse of a number  $a$  is a number  $b$  such that  $a * b = 1 \bmod n$ .
  - if exists, it is unique
- e.g the table shows the multiplication modulo 8
- unlike additive inverse, the multiplicative inverse of a number may not exist e.g.
  - what is the multiplicative inverse of 4 in modulo 8 ?

x	0	1	2	3	4	5	6	7
0	0	0	0	0	0	0	0	0
1	0	1	2	3	4	5	6	7
2	0	2	4	6	0	2	4	6
3	0	3	6	1	4	7	2	5
4	0	4	0	4	0	4	0	4
5	0	5	2	7	4	1	6	3
6	0	6	4	2	0	6	4	2
7	0	7	6	5	4	3	2	1

# Properties of Modular Arithmetic for Integers in $Z_n$

Property	Expression
Commutative Laws	$(w + x) \bmod n = (x + w) \bmod n$ $(w \times x) \bmod n = (x \times w) \bmod n$
Associative Laws	$[(w + x) + y] \bmod n = [w + (x + y)] \bmod n$ $[(w \times x) \times y] \bmod n = [w \times (x \times y)] \bmod n$
Distributive Law	$[w \times (x + y)] \bmod n = [(w \times x) + (w \times y)] \bmod n$
Identities	$(0 + w) \bmod n = w \bmod n$ $(1 \times w) \bmod n = w \bmod n$
Additive Inverse $(-w)$	For each $w \in Z_n$ , there exists a $z$ such that $w + z \equiv 0 \bmod n$

(This table can be found on page 38 in the textbook)

# Finding Inverses — Extended Euclidean algorithm

**EXTENDED\_EUCLID** ( $m$ ,  $b$ )

1.  $(A1, A2, A3) = (1, 0, m)$  ;

$(B1, B2, B3) = (0, 1, b)$

2. **if**  $B3 = 0$

**return**  $A3 = \gcd(m, b)$  ; no inverse

3. **if**  $B3 = 1$

**return**  $B3 = \gcd(m, b)$  ;  $B2 = b^{-1} \bmod m$

4.  $Q = A3 \text{ div } B3$

5.  $(T1, T2, T3) = (A1 - Q B1, A2 - Q B2, A3 - Q B3)$

6.  $(A1, A2, A3) = (B1, B2, B3)$

7.  $(B1, B2, B3) = (T1, T2, T3)$

8. **goto** 2

# Inverse of 17 in GF(29)

i.e. calling `Extended_Euclid(29, 17)`

<b>Q</b>	<b>A1</b>	<b>A2</b>	<b>A3</b>	<b>B1</b>	<b>B2</b>	<b>B3</b>
—	1	0	29	0	1	17
1	0	1	17	1	-1	12
1	1	-1	12	-1	2	5
2	-1	2	5	3	-5	2
2	3	-5	2	-7	12	1

# Inverse of 17 in GF(29)

i.e. calling `Extended_Euclid(29, 17)`

<b>Q</b>	<b>A1</b>	<b>A2</b>	<b>A3</b>	<b>B1</b>	<b>B2</b>	<b>B3</b>
—	1	0	29	0	1	17
1	0	1	17	1	-1	12
1	1	-1	12	-1	2	5
2	-1	2	5	3	-5	2
2	3	-5	2	-7	12	1

# Inverse of 37 in GF(49)

i.e. calling Extended\_Euclid(49, 37)

<b>Q</b>	<b>A1</b>	<b>A2</b>	<b>A3</b>	<b>B1</b>	<b>B2</b>	<b>B3</b>
—	1	0	49	0	1	37
1	0	1	37	1	-1	12
3	0	1	12	-3	4	1

- Hence  $37^{-1} \equiv 4 \pmod{49}$  OR  $4 = 37^{-1} \pmod{49}$



# Inverse of 550 in GF(1759)

i.e. calling `Extended_Euclid(1759, 550)`

<b>Q</b>	<b>A1</b>	<b>A2</b>	<b>A3</b>	<b>B1</b>	<b>B2</b>	<b>B3</b>
—	1	0	1759	0	1	550
3	0	1	550	1	−3	109
5	1	−3	109	−5	16	5
21	−5	16	5	106	−339	4
1	106	−339	4	−111	355	1

# Inverse of 49 in GF(37)

i.e. calling Extended\_Euclid(37, 49)

<b>Q</b>	<b>A1</b>	<b>A2</b>	<b>A3</b>	<b>B1</b>	<b>B2</b>	<b>B3</b>
—	1	0	37	0	1	49
0	0	1	49	1	0	37
1	1	0	37	-1	1	12
3	-1	1	12	4	-3	1

- Hence  $49^{-1} \equiv (-3) \pmod{37}$
- But,  $-3 \pmod{37} \equiv 34 \pmod{37}$ . Hence,
- $34 = 37^{-1} \pmod{49}$

# Prime Numbers

- Prime numbers only have divisors of 1 and itself
  - They cannot be written as a product of other numbers
- Prime numbers are central to number theory
- Any integer  $a > 1$  can be factored in a unique way as

$$a = p_1^{a_1} * p_2^{a_2} * \dots * p_{p_1}^{a_1}$$

where  $p_1 < p_2 < \dots < p_t$  are prime numbers and where each  $a_i$  is a positive integer

- This is known as the fundamental theorem of arithmetic

# Primes Under 2000

2	101	211	307	401	503	601	701	809	907	1009	1103	1201	1301	1409	1511	1601	1709	1801	1901
3	103	223	311	409	509	607	709	811	911	1013	1109	1213	1303	1423	1523	1607	1721	1811	1907
5	107	227	313	419	521	613	719	821	919	1019	1117	1217	1307	1427	1531	1609	1723	1823	1913
7	109	229	317	421	523	617	727	823	929	1021	1123	1223	1319	1429	1543	1613	1733	1831	1931
11	113	233	331	431	541	619	733	827	937	1031	1129	1229	1321	1433	1549	1619	1741	1847	1933
13	127	239	337	433	547	631	739	829	941	1033	1151	1231	1327	1439	1553	1621	1747	1861	1949
17	131	241	347	439	557	641	743	839	947	1039	1153	1237	1361	1447	1559	1627	1753	1867	1951
19	137	251	349	443	563	643	751	853	953	1049	1163	1249	1367	1451	1567	1637	1759	1871	1973
23	139	257	353	449	569	647	757	857	967	1051	1171	1259	1373	1453	1571	1657	1777	1873	1979
29	149	263	359	457	571	653	761	859	971	1061	1181	1277	1381	1459	1579	1663	1783	1877	1987
31	151	269	367	461	577	659	769	863	977	1063	1187	1279	1399	1471	1583	1667	1787	1879	1993
37	157	271	373	463	587	661	773	877	983	1069	1193	1283		1481	1597	1669	1789	1889	1997
41	163	277	379	467	593	673	787	881	991	1087		1289		1483		1693			1999
43	167	281	383	479	599	677	797	883	997	1091		1291		1487		1697			
47	173	283	389	487		683		887		1093		1297		1489		1699			
53	179	293	397	491		691				1097				1493					
59	181			499										1499					
61	191																		
67	193																		
71	197																		
73	199																		
79																			
83																			
89																			
97																			

# Prime Factorization

- ❑ to **factor** a number  $n$  is to write it as a product of other numbers:  $n = a \times b \times c$
- ❑ factoring a number is relatively hard
  - ❑ compared to multiplying the factors together to generate the number
- ❑ the **prime factorisation** of a number  $n$  is
  - ❑ when its written as a product of primes
  - ❑ if  $P$  is a set of prime numbers, then any positive number can be expressed as  $a = p_1^{a_1} * p_2^{a_2} * p_3^{a_3} * p_4^{a_4}$  i.e. 
$$a = \prod_{p \in P} p^{a_p}$$
  - ❑ e.g.  $91 = 7 \times 13$  ;  $3600 = 2^4 \times 3^2 \times 5^2$
  - ❑  $12 = ?$ ;  $18 = ?$ 
    - ❑  $12 = 2^2 \times 3^1$  and  $18 = 2^1 \times 3^2$

# Prime Factorization

- Multiplication of two numbers is equivalent to adding the corresponding exponents:
  - i.e.  $k = mn$  if  $k_p = m_p + n_p$  for all  $p \in P$
  - e.g. while  $12 = 2^2 \times 3^1$  and  $18 = 2^1 \times 3^2$  then
    - $18 \times 12 = 2^{2+1} \times 3^{1+2} = 216$
- Any integer of the form  $p^k$  can be divided
  - only by an integer that is of a lesser or equal power of the same prime number  $p^j$  with  $j \leq k$
  - i.e.  $a \mid b$  if  $a_p \leq b_p$  for all  $p$ .

# Relatively Prime Numbers & GCD

- Determining the gcd of two positive integers is easy
  - if they are expressed each - as the product of primes
  - e.g. if  $300 = 2^2 \times 3^1 \times 5^2$  and  $18 = 2 \times 3^2$  then
    - the  $\text{gcd}(300, 18)$  is given as
      - $= 2^1 \times 3^1 \times 5^0$
- two numbers  $a, b$  are relatively prime if have no common divisors apart from 1
  - eg. 8 & 15 are relatively prime since factors of 8 are 1,2,4,8 and of 15 are 1,3,5,15 and 1 is the only common factor

# The Euler Totient function

- def: For  $n \geq 1$ , let  $\phi(n)$  denote the number of integers in the interval  $[1,n]$  which **are relatively prime** to  $n$ .
- The function  $\phi$  is called the Euler Totient function.
- Note that, when we are doing arithmetic modulo  $n$ 
  - **the complete set of residues** is :  $0, \dots, n-1$ , whereas,
  - **the reduced set of residues** is those numbers (residues) which are **relatively prime** to  $n$ 
    - eg for  $n=10$ ,
      - the complete set of residues is  $\{0,1,2,3,4,5,6,7,8,9\}$
      - the reduced set of residues is  $\{1,3,7,9\}$



# The Euler Totient function - Properties

- So, the number of elements in **reduced set of residues is called the Euler Totient Function  $\phi(n)$**
- Properties:
  - If  $p$  is prime then  $\phi(p) = p - 1$ .
  - The function  $\phi$  is multiplicative i.e. if  $\gcd(m,n)=1$ , then  $\phi(mn) = \phi(m) \cdot \phi(n)$ .
  - If  $n = p_1^{e_1} p_2^{e_2} p_3^{e_3} \dots p_k^{e_k}$  is the prime factorization of  $n$ , then,
$$\phi(n) = n(1-1/p_1)(1-1/p_2)(1-1/p_3)\dots(1-1/p_k)$$

# Euler Totient Illustration

- $\phi(1), \phi(2), \phi(3), \phi(4), \phi(6), \phi(7), \phi(14), \phi(23)$   
 $\phi(15)$ 
  - $\phi(1) = 0$  - given by  $p = p-1$
  - $\phi(2) = |\{1\}|$  - given by  $p = p-1$
  - $\phi(3) = |\{1,2\}|$  - given by  $p = p-1$
  - $\phi(4) = |\{1,3\}|$  - 4 is not a prime
  - $\phi(6) = |\{1,5\}|$  - 6 is not a prime
    - $\phi(6) = \phi(3) * \phi(2) = 2 * 1 = 2$
  - $\phi(7) = |\{1, 2, 3, 4, 5, 6\}|$  - given by  $p = p-1$
  - $\phi(14) = |\{1,3,5,9,11,13\}|$  - 14 is not a prime
    - $\phi(14) = \phi(7) * \phi(2) = 6 * 1 = 6$
  - $\phi(23) = |\{1,2,3,\dots,22\}|$  - 23 is prime
  - $\phi(15) = ?$ 
    - $4 * 2 = 8$

# Table 2.6 - Some Values of Euler's Totient Function $\phi(n)$

$n$	$\phi(n)$
1	1
2	1
3	2
4	2
5	4
6	2
7	6
8	4
9	6
10	4

$n$	$\phi(n)$
11	10
12	4
13	12
14	6
15	8
16	8
17	16
18	6
19	18
20	8

$n$	$\phi(n)$
21	12
22	10
23	22
24	8
25	20
26	12
27	18
28	12
29	28
30	8

# Euler Totient Illustration

For a prime number  $p$ ,  $\phi(p^k) = p^k - p^{k-1}$

$$\phi(2^5) = 2^5 - 2^{5-1} = 32 - 16 = 16$$

# Euler's theorem

- Let  $n \geq 2$  be an integer then if  $a \in \mathbb{Z}_n^*$ , then
$$a^{\phi(n)} \equiv 1 \pmod{n}$$
( $a$  and  $n$  are relatively prime)
- e.g.
  - $a=3; n=10; \phi(10)=4;$   
hence  $3^4 = 81 \equiv 1 \pmod{10}$
  - $a=2; n=11; \phi(11)=10;$   
hence  $2^{10} = 1024 \equiv 1 \pmod{11}$
- If  $n$  is a product of distinct primes,
  - and if  $r \equiv s \pmod{\phi(n)}$ , then  $a^r \equiv a^s \pmod{n}$
  - i.e. when working with modulo such as  $n$ , exponents can be reduced modulo  $\phi(n)$

# Fermat's Little theorem

- Let  $p$  be prime
  - If  $\gcd(a, p) = 1$  then
    - $a^{p-1} \equiv 1 \pmod{p}$
  - if  $r \equiv s \pmod{p-1}$ , then  $a^r \equiv a^s \pmod{p}$  for all integers  $a$ .
  - i.e. when working with modulo a prime  $p$ , exponents can be reduced modulo  $p-1$ .
  - also  $a^p \equiv a \pmod{p}$  for all integers  $a$ .
- useful in public key and primality testing

# Example

Find  $3^{31} \bmod 7$ .

[Solution:  $3^{31} \equiv 3 \bmod 7$ ]

By Fermat's Little Theorem,  $3^6 \equiv 1 \bmod 7$ . Thus,  $3^{31} \equiv 3^1 \equiv 3 \bmod 7$ .

Find  $2^{35} \bmod 7$ .

[Solution:  $2^{35} \equiv 4 \bmod 7$ ]

By Fermat's Little Theorem,  $2^6 \equiv 1 \bmod 7$ . Thus,  $2^{35} \equiv 2^5 \equiv 32 \equiv 4 \bmod 7$ .

# Testing for Primality

- For many cryptographic algorithms, it is necessary to select one or more very large prime numbers at random
- Thus, we are faced with the task of determining whether a given large number is prime
- However, the algorithm can yield a number that is almost certainly a prime.



# Miller-Rabin Algorithm

- This is typically used to test a large number for primality.
- Before explaining the algorithm, we need some background.
- First, any positive odd integer  $n \geq 3$  can be expressed as

$$n - 1 = 2^k q \quad \text{with } k > 0, q \text{ odd}$$

- To see this, note that  $n - 1$  is an even integer. Then, divide  $(n - 1)$  by 2 until the result is an odd number  $q$ , for a total of  $k$  divisions
- If  $n$  is expressed as a binary number, then the result is achieved by shifting the number to the right until the rightmost digit is a 1, for a total of  $k$  shifts.

# Miller-Rabin Algorithm...

- **TWO PROPERTIES OF PRIME NUMBERS**
- The **first property** is stated as follows: If  $p$  is prime and  $a$  is a positive integer less than  $p$ , then  $a^2 \bmod p = 1$  if and only if either  $a \bmod p = 1$  or  $a \bmod p = -1 \bmod p = p - 1$ .
- By the rules of modular arithmetic
- $(a \bmod p) (a \bmod p) = a^2 \bmod p$ . Thus, if either  $a \bmod p = 1$  or  $a \bmod p = -1$ , then  $a^2 \bmod p = 1$ . Conversely, if  $a^2 \bmod p = 1$ , then  $(a \bmod p)^2 = 1$ , which is true.
- Thus, if either  $a \bmod p = 1$  or  $a \bmod p = -1$ , then  $a^2 \bmod p = 1$ . Conversely, if  $a^2 \bmod p = 1$ , then  $(a \bmod p)^2 = 1$ , which is true only for  $a \bmod p = 1$  or  $a \bmod p = -1$ .

# Miller-Rabin Algorithm...

- The **second property** is stated as follows: Let  $p$  be a prime number greater than 2.
- We can then write  $p - 1 = 2^k q$  with  $k > 0$ ,  $q$  odd.
- Let  $a$  be any integer in the range  $1 < a < p - 1$ . Then one of the two following conditions is true.
  1.  $a^q$  is congruent to 1 modulo  $p$ . That is,  $a^q \bmod p = 1$ , or equivalently,  $a^q \equiv 1 \pmod{p}$ .
  2. One of the numbers  $a^q, a^{2q}, a^{4q}, \dots, a^{2^{k-1}q}$  is congruent to  $-1$  modulo  $p$ . That is, there is some number  $j$  in the range  $(1 \leq j \leq k)$  such that  $a^{2^{j-1}q} \bmod p = -1 \bmod p = p - 1$  or equivalently,  $a^{2^{j-1}q} \equiv -1 \pmod{p}$ .

# Miller-Rabin Algorithm

- Typically used to test a large number for primality
- Algorithm is:

TEST ( $n$ )

1.

- Find integers  $k, q$ , with  $k > 0, q$  odd, so that  $(n - 1) = 2^k q$  ;

2.

- Select a random integer  $a, 1 < a < n - 1$  ;

3.

- **if**  $a^q \bmod n = 1$  **then** return ("inconclusive") ;

4.

- **for**  $j = 0$  **to**  $k - 1$  **do**

5.

- **if**  $(a^{2^j q} \bmod n = n - 1)$  **then** return ("inconclusive") ;

6.

- return ("composite") ;

# Discussion

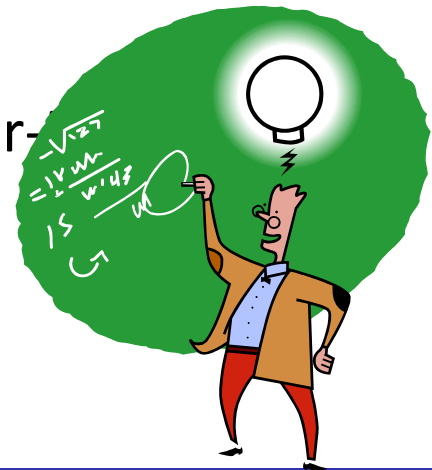
- Let us apply the test to the prime number  $n = 29$ .
- We have  $(n - 1) = 28 = 2^2(7) = 2^k q$ .
- First, let us try  $a = 10$ . We compute  $10^7 \bmod 29 = 17$ , which is neither 1 nor 28, so we continue the test.
- The next calculation finds that  $(10^7)^2 \bmod 29 = 28$ , and the test returns inconclusive (i.e., 29 may be prime).
- Let's try again with  $a = 2$ . We have the following calculations:  $2^7 \bmod 29 = 12$ ;  $2^{14} \bmod 29 = 28$ ; and the test again returns inconclusive.
- If we perform the test for all integers  $a$  in the range 1 through 28, we get the same inconclusive result, which is compatible with  $n$  being a prime number.

# Discussion...

- Now let us apply the test to the composite number  $n = 13 * 17 = 221$ .
- Then  $(n - 1) = 220 = 2^2(55) = 2^k q$ . Let us try  $a = 5$ . Then we have  $5^{55} \bmod 221 = 112$ , which is neither 1 nor  $220(5^{55})^2 \bmod 221 = 168$ .
- Because we have used all values of  $j$  (i.e.,  $j = 0$  and  $j = 1$ ) in line 4 of the TEST algorithm, the test returns composite, indicating that 221 is definitely a composite number.
- But suppose we had selected  $a = 21$ .
- Then we have  $21^{55} \bmod 221 = 200$ ;  $(21^{55})^2 \bmod 221 = 220$ ; and the test returns inconclusive, indicating that 221 may be prime.
- In fact, of the 218 integers from 2 through 219, four of these will return an inconclusive result, namely 21, 47, 174, and 200.

# Deterministic Primality Algorithm

- Prior to 2002 there was no known method of efficiently proving the primality of very large numbers
- All of the algorithms in use produced a probabilistic result
- In 2002 Agrawal, Kayal, and Saxena developed an algorithm that efficiently determines whether a given large number is prime
  - Known as the AKS algorithm
  - Does not appear to be as efficient as the Miller-Rabin algorithm



# Chinese Remainder Theorem (CRT)

- Believed to have been discovered by the Chinese mathematician Sun-Tsu in around 100 A.D.
- One of the most useful results of number theory
- Says it is possible to reconstruct integers in a certain range from their residues modulo a set of pairwise relatively prime moduli
- Can be stated in several ways

Provides a way to manipulate (potentially very large) numbers mod  $M$  in terms of tuples of smaller numbers

- This can be useful when  $M$  is 150 digits or more
- However, it is necessary to know beforehand the factorization of  $M$





# Chinese Remainder Theorem (CRT)

*Chinese Remainder Theorem:* If  $m_1, m_2, \dots, m_k$  are pairwise relatively prime positive integers, and if  $a_1, a_2, \dots, a_k$  are any integers, then the simultaneous congruences

$$x \equiv a_1 \pmod{m_1}, \quad x \equiv a_2 \pmod{m_2}, \quad \dots, \quad x \equiv a_k \pmod{m_k}$$

have a solution, and the solution is unique modulo  $m$ , where  $m = m_1 m_2 \cdots m_k$ .

# Chinese Remainder Theorem (CRT)

## Given:

$$X = 3 \pmod{5}, X = 5 \pmod{7}$$

## Concept:

### **Chinese Remainder Theorem:**

If  $m_1, m_2, \dots, m_k$  are pairwise relatively prime positive integers, and if  $a_1, a_2, \dots, a_k$  are any integers, then

The simultaneous congruences

$$x \equiv a_1 \pmod{m_1},$$

$$x \equiv a_2 \pmod{m_2}$$

$$x \equiv a_k \pmod{m_k}$$

have a solution, and the solution is unique modulo  $M$ , where

$$M = m_1 m_2 \cdots m_k.$$

Now, the solution  $x$  is given by

$$X = M_1 X_1 a_1 + M_2 X_2 a_2 + \dots + M_k X_k a_k$$

# Chinese Remainder Theorem (CRT)

Where,

$$M_i = \frac{M}{m_i}, i = 1, 2, 3, \dots, K$$

and

$$M_i X_i \equiv 1 \pmod{m_i}$$

**Modulo or modulus or mod:** It is the remainder after dividing one number by another.

# Chinese Remainder Theorem (CRT)

## Calculation:

We have given,

$m_1 = 5$  and  $m_2 = 7$  which are relatively co-prime.

Also,  $a_1 = 3$  and  $a_2 = 5$ , which are integer.

Hence the condition of the Chinese Remainder Theorem satisfied.

SO, according to the theorem, there will be the unique solution of  $x$  for modulo

$$M = 5 \times 7 = 35$$

Now, using the relation,

$$M_i = \frac{M}{m_i}$$

we will get

$$M_1 = 7 \text{ and } M_2 = 5$$

# Chinese Remainder Theorem (CRT)

We now seek a multiplicative inverse for each  $m_i$  modulo  $n_i$ .

Hence, we need to find inverse for 7 modulo 5  
And the answer for this is 3. That is our  $X_1=3$

Hence, we need to find inverse for 5 modulo 7  
And the answer for this is 5. That is our  $X_2=3$

# Chinese Remainder Theorem (CRT)

Hence the unique solution of  $x$  will be

$$X = M_1X_1a_1 + M_2X_2a_2$$

$$\Rightarrow X = 7 \times 3 \times 3 + 5 \times 5 \times 3 = 138$$

$$\Rightarrow X \equiv 138 \pmod{35}$$

$$\Rightarrow X \equiv 33 \pmod{35}$$

# Chinese Remainder Theorem (CRT)

**Example 5.** Use the Chinese Remainder Theorem to find an  $x$  such that

$$x \equiv 2 \pmod{5}$$

$$x \equiv 3 \pmod{7}$$

$$x \equiv 10 \pmod{11}$$

**Solution.** Set  $N = 5 \times 7 \times 11 = 385$ . Following the notation of the theorem, we have  $m_1 = N/5 = 77$ ,  $m_2 = N/7 = 55$ , and  $m_3 = N/11 = 35$ .

We now seek a multiplicative inverse for each  $m_i$  modulo  $n_i$ . First:  $m_1 \equiv 77 \equiv 2 \pmod{5}$ , and hence an inverse to  $m_1 \bmod n_1$  is  $y_1 = 3$ .

Second:  $m_2 \equiv 55 \equiv 6 \pmod{7}$ , and hence an inverse to  $m_2 \bmod n_2$  is  $y_2 = 6$ .

Third:  $m_3 \equiv 35 \equiv 2 \pmod{11}$ , and hence an inverse to  $m_3 \bmod n_3$  is  $y_3 = 6$ .

Therefore, the theorem states that a solution takes the form:

$$x = y_1 b_1 m_1 + y_2 b_2 m_2 + y_3 b_3 m_3 = 3 \times 2 \times 77 + 6 \times 3 \times 55 + 6 \times 10 \times 35 = 3552.$$

# Discrete Logarithms

- Consider the equation  $y = g^x \bmod p$
- Given  $g$ ,  $x$ , and  $p$ , it is a straightforward matter to calculate  $y$ .
- At the worst, we must perform  $x$  repeated multiplications, and algorithms exist for achieving greater efficiency.
- However, given  $y$ ,  $g$ , and  $p$ , it is, in general, very difficult to calculate  $x$  (take the discrete logarithm).



# Tables of Discrete Logarithms, Modulo 19

**Table 8.4 Tables of Discrete Logarithms, Modulo 19**

**(a) Discrete logarithms to the base 2, modulo 19**

$a$	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
$\log_{2,19}(a)$	18	1	13	2	16	14	6	3	8	17	12	15	5	7	11	4	10	9

**(b) Discrete logarithms to the base 3, modulo 19**

$a$	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
$\log_{3,19}(a)$	18	7	1	14	4	8	6	3	2	11	12	15	17	13	5	10	16	9

**(c) Discrete logarithms to the base 10, modulo 19**

$a$	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
$\log_{10,19}(a)$	18	17	5	16	2	4	12	15	10	1	6	3	13	11	7	14	8	9

**(d) Discrete logarithms to the base 13, modulo 19**

$a$	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
$\log_{13,19}(a)$	18	11	17	4	14	10	12	15	16	7	6	3	1	5	13	8	2	9

**(e) Discrete logarithms to the base 14, modulo 19**

$a$	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
$\log_{14,19}(a)$	18	13	7	8	10	2	6	3	14	5	12	15	11	1	17	16	4	9

**(f) Discrete logarithms to the base 15, modulo 19**

$a$	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
$\log_{15,19}(a)$	18	5	11	10	8	16	12	15	4	13	6	3	7	17	1	2	14	9

# Abstract Algebra

- ❑ will now introduce finite fields
- ❑ of increasing importance in cryptography
  - ❑ AES, Elliptic Curve, IDEA, Public Key
- ❑ concern with operations on “numbers” where
  - ❑ what *constitutes* a “number” and the *type* of operations varies considerably
- ❑ start with concepts of groups, rings, fields from abstract algebra

# Group

- def: A group  $(G, *)$  consists of a set  $G$  with a binary operation  $*$  on  $G$  satisfying the following three axioms:
  - the group operation is **associative** i.e.  $a*(b*c) = (a*b)*c$  for all  $a, b, c \in G$ .
  - there is an element  $1 \in G$ , called the **identity element**, such that  $a * 1 = 1 * a = a$  for all  $a \in G$
  - for each  $a \in G$  there exists an element  $a^{-1} \in G$ , called the **inverse** of  $a$  such that  $a * a^{-1} = a^{-1} * a = 1$
- for a group  $G$ , if  $a * b = b * a$  for all  $a, b \in G$ , then the group  $G$  is **abelian or commutative**.
- The set of integers (positive, negative, and 0) under addition is an abelian group.
- The set of nonzero real numbers under multiplication is an abelian group.

# Ring

- a set of “numbers”
- with two operations (addition and multiplication) denoted as  $(R, +, \times)$  and
  - which forms an abelian group with addition operation (identity 0)
  - multiplication operation
    - has closure
    - is associative i.e.  $a \times (b \times c) = (a \times b) \times c$  for all  $a, b, c \in R$
    - distributive over addition i.e.  $a \times (b+c) = a \times b + a \times c$
- i.e. a ring is a set in which we can do addition, subtraction and multiplication without leaving the set.
- e.g. the set of integers  $\mathbb{Z}$  with  $+$  supported is a ring
- With respect to addition and multiplication, the set of all  $n$ -square matrices over the real numbers is a ring.

# Ring (contd)

- if multiplication operation is commutative, it forms a commutative ring
  - e.g. the set  $Z_n$  with + and  $\times$  performed modulo  $n$  is a commutative ring.
  - the set  $Z_{\text{even}}$  with + and  $\times$  is a commutative ring

# Integral Domain

Is a commutative ring that obeys the following axioms.

**(M5) Multiplicative identity:** There is an element  $1$  in  $R$  such that  $a1 = 1a = a$  for all  $a$  in  $R$ .

**(M6) No zero divisors:** If  $a, b$  in  $R$  and  $ab = 0$ , then either  $a = 0$  or  $b = 0$ .

- if multiplication operation has an identity and no zero divisors, it forms an integral domain
- e.g. the set of integers, positive, negative and 0 under  $+$  and  $\times$  is an integral domain.

# Invertible element and Field

- An element  $a$  of a ring  $R$  is called a unit or an invertible element
  - if there is an element  $b \in R$  such that  $a \times b = 1$ .
- A field is a set of numbers with two operations which form
  - an abelian group for addition
  - an abelian group for multiplication (ignoring 0)
  - ring

# Field (contd)

- def: A field is a commutative ring in which all the non-zero elements have multiplicative inverses.
- $\mathbb{Z}_n$  is a field if  $n$  is a prime number.
- These have hierarchy with more axioms/laws
  - group  $\rightarrow$  ring  $\rightarrow$  field



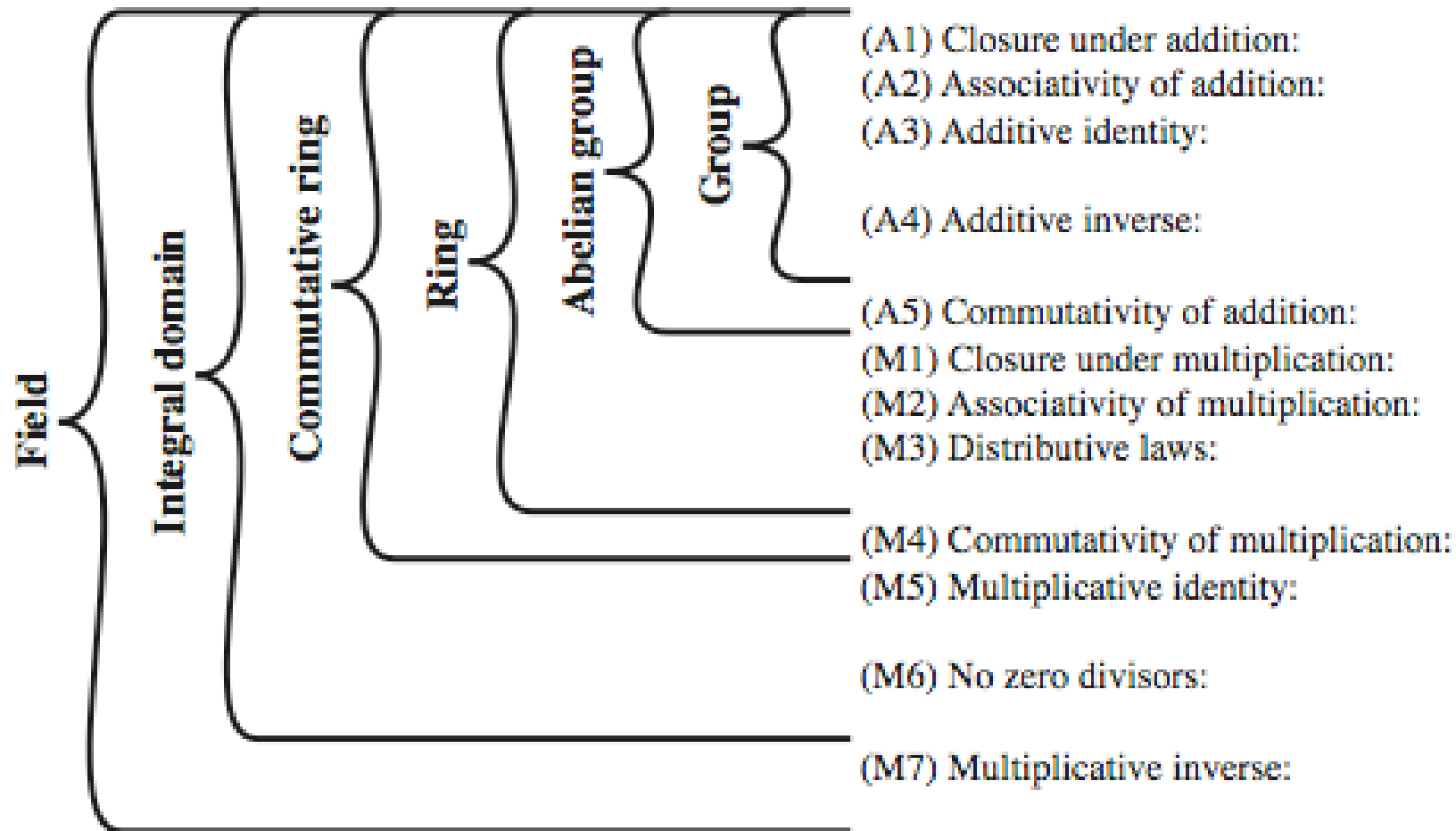
# Galois Fields

- Infinite fields are of not much interest. But,
- finite fields play a key role in cryptography
- it can be shown that the number of elements in a finite field
  - i.e. the order of a finite field must be a power of a prime  $p^n$ ,  $n \geq 1$
  - the finite field of the order of  $p^n$  are known as Galois fields
- denoted  $GF(p^n)$
- in particular often use the fields
  - $GF(p)$
  - $GF(2^n)$

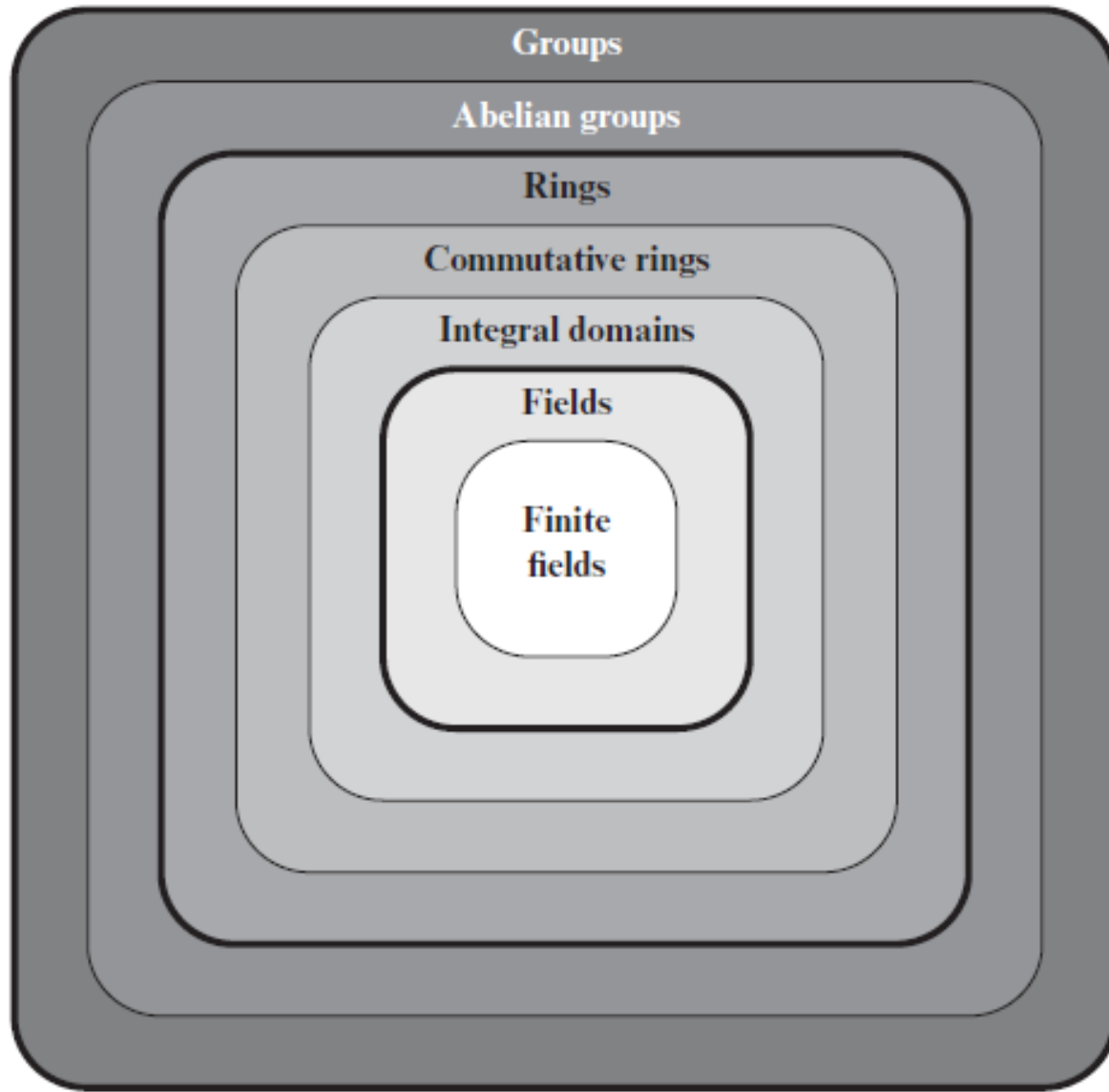
# Galois Fields GF(p)

- GF(p) is the set of integers  $Z_p = \{0, 1, \dots, p-1\}$  with arithmetic operations modulo prime p
- these form a finite field - since each element has multiplicative inverse
- hence arithmetic is “well-behaved” and
  - can do addition, subtraction, multiplication, and division without leaving the field GF(p)

# Group, Ring, Field



# Group, Ring, Field...



# Polynomial Arithmetic with Coefficients in $\mathbb{Z}_p$

As an example, let  $f(x) = x^3 + x^2 + 2$  and  $g(x) = x^2 - x + 1$ , where  $S$  is the set of integers. Then

$$f(x) + g(x) = x^3 + 2x^2 - x + 3$$

$$f(x) - g(x) = x^3 + x + 1$$

$$f(x) \times g(x) = x^5 + 3x^2 - 2x + 2$$

Figures 5.5a through 5.5c show the manual calculations. We comment on division subsequently.

# Polynomial Arithmetic with Coefficients in $\mathbb{Z}_p$

$$\begin{array}{r} x^3 + x^2 + 2 \\ + (x^2 - x + 1) \\ \hline x^3 + 2x^2 - x + 3 \end{array}$$

(a) Addition

$$\begin{array}{r} x^3 + x^2 + 2 \\ - (x^2 - x + 1) \\ \hline x^3 + x + 1 \end{array}$$

(b) Subtraction

$$\begin{array}{r} x^3 + x^2 + 2 \\ \times (x^2 - x + 1) \\ \hline x^3 + x^2 + 2 \\ - x^4 - x^3 - 2x \\ \hline x^5 + x^4 + 2x^2 \\ \hline x^5 + 3x^2 - 2x + 2 \end{array}$$

(c) Multiplication

$$\begin{array}{r} x + 2 \\ x^2 - x + 1 \overline{) x^3 + x^2 + 2} \\ \underline{x^3 - x^2 + x} \phantom{+ 2} \\ 2x^2 - x + 2 \\ \underline{2x^2 - 2x + 2} \\ x \end{array}$$

(d) Division

Thank You !!!