A model-data intercomparison of CO₂ exchange across North America: Results from the North American Carbon Program site synthesis

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[1] Our current understanding of terrestrial carbon processes is represented in various models used to integrate and scale measurements of CO₂ exchange from remote sensing and other spatiotemporal data. Yet assessments are rarely conducted to determine how well models simulate carbon processes across vegetation types and environmental conditions. Using standardized data from the North American Carbon Program we compare observed and simulated monthly CO₂ exchange from 44 eddy covariance flux towers in North America and 22 terrestrial biosphere models. The analysis period spans ~220 site-years, 10 biomes, and includes two large-scale drought events, providing a natural experiment to evaluate model skill as a function of drought and seasonality. We evaluate models' ability to simulate the seasonal cycle of CO₂ exchange using multiple model skill metrics and analyze links between model characteristics, site history, and model skill. Overall model performance was poor; the difference between observations and simulations was ~10 times observational uncertainty, with forested ecosystems better predicted than nonforested. Model-data agreement was highest in summer and in temperate evergreen forests. In contrast, model performance declined in spring and fall, especially in ecosystems with large deciduous components, and in dry periods during the growing season. Models used across multiple biomes and sites, the mean model ensemble, and a model using assimilated parameter values showed high consistency with observations. Models with the highest skill across all biomes all used prescribed canopy phenology, calculated NEE as the difference between GPP and ecosystem respiration, and did not use a daily time step.

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1. Introduction

- [2] There is a continued need for models to improve consistency and agreement with observations [Friedlingstein et al., 2006], both overall and under more frequent extreme climatic events related to global environmental change such as drought [Trenberth et al., 2007]. Past validation studies of terrestrial biosphere models have focused only on few models and sites, typically in close proximity and primarily in forested biomes [e.g., Amthor et al., 2001; Delpierre et al., 2009; Grant et al., 2005; Hanson et al., 2004; Granier et al., 2007; Ichii et al., 2009; Ito, 2008; Siqueira et al., 2006; Zhou et al., 2008]. Furthermore, assessing model-data agreement relative to drought requires, in addition to high-quality observed CO₂ exchange data, a reliable drought metric as well as a natural experiment across sites and drought conditions.
- [3] Drought is a reoccurring phenomenon in all climates [Larcher, 1995] and is characterized by a partial loss in plant function due to water limitation and heat stress. For terrestrial CO₂ exchange, drought typically reduces photosynthesis more than respiration [Baldocchi, 2008; Ciais et al., 2005; Schwalm et al., 2010], resulting in decreased net carbon uptake from the atmosphere. In the recent past drought conditions have become more prevalent globally [Dai et al., 2004] and in North America [Cook et al., 2004b]. Both incidence and severity of drought [Seager et al., 2007] as well as heatwaves [Meehl and Tebaldi, 2004] are expected to further increase in conjunction with global warming [Houghton et al., 2001; Huntington, 2006; Sheffield and Wood, 2008; Trenberth et al., 2007].
- [4] In this study, we evaluate model performance using terrestrial CO₂ flux data and simulated fluxes collected from 1991 to 2007. This timeframe included two widespread droughts in North America: (1) the turn-of-the-century drought from 1998 to 2004 that was centered in the western

interior of North America [Seager, 2007] and (2) a smallerscale drought event in the southern continental Untied States from winter of 2005/2006 through October 2007 [Seager et al., 2009]. During these events Palmer Drought Severity Index values [Cook et al., 2007; Dai et al., 2004] and precipitation anomalies [Seager, 2007; Seager et al., 2009] were highly negative over broad geographic areas. Ongoing eddy covariance measurements [Baldocchi et al., 2001], active throughout the aforementioned drought periods, provided flux data across gradients of time, space, seasonality, and drought. We use these data to examine model skill relative to site-specific drought severity, climatic season, and time. We also link model behavior to model architecture and site-specific attributes. Specifically, we address the following questions: Are current state-of-the-art terrestrial biosphere models capable of simulating CO₂ exchange subject to gradients in dryness and seasonality? Are these models able to reproduce the seasonal variation of observed CO₂ exchange across sites? Are certain characteristics of model structure coincident with better model-data agreement? Which biomes are simulated poorly/well?

2. Methods

2.1. Observed and Simulated CO₂ Exchange

[5] Modeled and observed net ecosystem exchange (NEE, net carbon balance including soils where positive values indicate outgassing of CO₂ to the atmosphere) data were analyzed from 21 terrestrial biosphere models (Table 1) and 44 eddy covariance (EC) sites spanning ~220 site-years and 10 biomes in North America (Table 2). All terrestrial biosphere models analyzed simulated carbon cycling with process based formulations of varying detail for component carbon fluxes. Simulated NEE was based on model-specific

Table 1. Summary of Model Characteristics

	EDCM	Monthly 8	5 10	Prognostic	res Light Use	Efficiency Model	Soil Temperature	Soil Moisture	Son Carbon Discolved	Carbon Loss	Vegetation	Carbon Soil	Nitrogen					Proportional	to Growth					AR + HR				Air Temperature	Precipitation	Soil Carbon	Soil Nitrogen	Vegetation	Carbon Leaf	Nitrogen LAI	NPP - HR		
	ED2	Half-hourly 9	4 6	Prognostic	res Enzvme	Kinetic Model	e	Soil Moisture	Soil Carbon	nogomit moc								Air Temperature	Soil Temperature	vegetation Carbon Leaf Nitrogen GPP				AR + HR				GPP - AR							NPP - HR		
	Ecosys	Hourly 9	9 15	Prognostic	Enzyme	Kinetic Model	Decay Methane	CO ₂ Diffusion	Dissolved Carbon	Ę		Incident Shortwave	Radiation Surface	Incident Longwave Radiation Soil	Carbon Vegetation	Carbon Soil	Nitrogen Leat Nitrogen	Air Temperature	Soil Temperature	v egetation Carbon Leaf Nitrogen				AR + HR				GPP - AR							GPP - R		
	DNDC	Daily 3	9	Prognostic	res Light Use	Efficiency Model	Decay Methane	Soil Temperature	Moistura Soil	Carbon Vegetation	Carbon Soil	Nitrogen						Soil	Temperature					AR + HR				Air Temperature	Precipitation Soil	Moisture Potential	Evaporation	Soil Nitrogen	Leaf Nitrogen	fPAR	NPP - HR		
	DLEM	Daily 6	r 2	Semiprognostic	r es Stomatal	Conductance Model	Decay Methane	Air Temperature	Titter and Soil	Carbon Soil	Nitrogen Soil	Moisture						Air Temperature	Vegetation Carbon	Lear Initrogen GPP				AR + HR				GPP - AR							NPP - HR		
Model	CN-CLASS	Half-hourly 4	m m	Prognostic	res Enzyme	Kinetic Model	First or Greater	Order Model										Fraction of	Instantaneous	d.				AR + HR				Fraction of	Instantaneous	GPP					GPP - R		
	Can-IBIS	Half-hourly	<i>L L</i>	Prognostic	res Enzvme Kinetic	Model	First or Greater	Order Model										Air Temperature	Soil Temperature	Precipitation Soil Moisture Surface	Incident Shortwave	Kadiation Surface Incident Longwave	Radiation Vegetation	AR + HR				GPP - AR							NPP - HR		
	Biome-BGC	Daily 7	4 -	Prognostic	r es Stomatal	Conductance Model	Soil Temperature	Soil Moisture	Son Carbon									Air Temperature	Vegetation Carbon	Lear Introgen				Air Temperature Soil	Temperature Soil	Moisture Soil	Carbon LAI	Surface Incident	Shortwave Radiation	Vapor Pressure	Deficit CO ₂	Leaf Nitrogen	LAI	i	Soil Temperature	Surface Incident	Vapor Pressure
	BEPS	Daily 4	ο ε	Semiprognostic	r es Enzv me	Kinetic Model	Air Temperature	Soil Temperature	Frecipitation Soil	Soil Carbon	Soil Nitrogen							Air Temperature	GPP					AR + HR				GPP - AR							NPP - HR		
	AgroIBIS	Half-hourly 4	7	Prognostic	res Enzvme Kinetic	Model	First or Greater	Order Model										Air Temperature	Soil Temperature	Precipitation Soil Moisture Surface	Incident Shortwave	Kadiation Surface Incident Longwave	Radiation Vegetation	AR + HR				GPP - AR							NPP - HR		
	Model Attribute	Temporal Resolution Vegetation Pools	Soil Pools Soil Layers	Canopy Phenology	Gross Primary	Productivity (GPP)	Heterotrophic	Respiration	(AIR)									Autotrophic	Respiration	(AK)				Ecosystem	Respiration	(K)		Net Primary	Production	(NPP)					Net Ecosystem Exchange	(NEE)	

Table 1. (continued)

					Model						
Model Attribute	AgroIBIS	BEPS	Biome-BGC	Can-IBIS	CN-CLASS	DLEM	DNDC	Ecosys	ED2	EDCM	
Biomes Simulated Sites Simulated Months Simulated Source	Croplands 5 192 Kucharik and Twine [2007]	6 10 945 <i>Liu et al.</i> [1999]	8 36 2001 Thornton et al. [2005]	10 27 1978 Williamson et al. [2008]	9 31 2082 Arain et al. [2006]	10 33 2246 <i>Tian et al.</i> [2010]	Croplands 5 192 Li et al. [2010]	10 39 2450 Grant et al. [2005]	6 25 1684 Medvigv et al. [2009]	6 10 658 <i>Liu et al.</i> [2003]	
Model Attribute	EPIC	ISOLSM	LoTEC	LPJ	ORCHIDEE	Model SiB3	SiBCASA	SiBcrop	SSiB2	TECO	Triplex-FLUX
Temporal Resolution Vegetation Pools Soil Pools	Daily 3 0	Half-hourly 0 1	Half-hourly 4 5	Daily 3 2	Half-hourly 8 8	Half-hourly 0 0 10	10 min 8 5 15	Half-hourly 4 1	Half-hourly 0 0	Hourly 3 5	Half-hourly 0 0
Canopy Phenology Nitrogen Cycle Gross Primary Productivity	Prognostic Yes Nil	Prescribed No Stomatal Conductance	Prognostic No Enzyme Kinetic Model	Prognostic No Stomatal Conductance	Prognostic No Enzyme Kinetic Model	Prescribed Yes Enzyme Kinetic Model	Prescribed No Enzyme Kinetic Model	Prognostic Yes Enzyme Kinetic Model	Prescribed No Stomatal Conductance Model	Prognostic No Stomatal Conductance	Prescribed No Stomatal Conductance
(GPP) Heterotrophic Respiration (HR)	CO ₂ Diffusion Dissolved Carbon Loss Air Temperature Soil Temperature Precipitation Soil Moisture	Model First or Greater Order Model	Soil Temperature Soil Moisture Soil Carbon	Model Soil Temperature Soil Moisture Soil Carbon	Soil Temperature Soil Moisture Soil Carbon	Zero-order Model	Soil Temperature Soil Moisture Soil Carbon	Soil Temperature Soil Carbon	Zero-order Model	Model First or Greater Order Model	Model First or Greater Order Model
Autotrophic Respiration (AR)	Nii	Fraction of Instantaneous GPP	Air Temperature Soil Temperature Soil Moisture Vegetation Carbon GPP	Air Temperature Soil Moisture Vegetation Carbon	Air Temperature Vegetation Carbon	Fraction of Instantaneous GPP	Air Temperature Soil Moisture Vegetation Carbon	Air Temperature Vegetation Carbon GPP	Air Temperature Soil Moisture Surface Incident Shortwave Radiation Relative Humidity	Air Temperature Vegetation Carbon	Fraction of Annual GPP
Ecosystem Respiration (R)	AR + HR	AR + HR	AR + HR	AR + HR	AR + HR	Forced Annual Balance	AR + HR	Forced Annual Balance	Forced Annual Balance	AR + HR	AR + HR
Net Primary Production (NPP)	Light Use Efficiency Model	N.ii	GPP - AR	GPP - AR	GPP - AR	GPP - AR	Air Temperature Soil Moisture CO ₂ Relative Humidity	GPP - AR	GPP - AR	GPP - AR	Fraction of Instantaneous GPP
Net Ecosystem Exchange (NEE)	NPP - HR	GPP - R	NPP - HR	NPP - HR	GPP - R	GPP - R	GPP - R	GPP - R	GPP - R	GPP - R	GPP - R
Biomes Simulated Sites Simulated Months Simulated	Croplands U.SNe3 48	5 9 909	6 10 825	9 29 2126	10 35 2332	10 31 2258	10 35 2402	Croplands 5 192	10 44 2800	10 35 2414	3 7 291
Source	Causarano et al. [2007]	Riley et al. [2002]	Hanson et al. [2004]	Sitch et al. [2003]	Krinner et al. [2005]	Baker et al. [2008]	Schaefer et al. [2009]	Lokupitiya et al. [2009]	Zhan et al. [2003]	Weng and Luo [2008]	Zhou et al. [2008]

Table 2. Summary of Site Characteristics^a

Köppen-Geiger Climate Classification	Maritime temperate	Maritime temperate	Maritime temperate	Warm summer continental	Warm summer continental	Warm summer continental	Continental subarctic	Continental subarctic	Continental subarctic	Continental subarctic	Continental subarctic		Warm summer continental	Warm summer	continental	Continental subarctic	Humid subtropical	Tundra	Tundra	Humid subtropical	Humid subtropical	Warm summer	Warm summer	Hot summer continental	Hot summer continental	Warm summer continental	Humid subtropical	runnu subuopicai Dry-summer subtronical	onori obroni
IGBP Class	ENF	ENF	ENF	MF	GRA	WET	DBF	ENF	ENF	ENF	ENF		ENF	ENF	ļ	MF	CRO	WET	WET	DBF	ENF	DBF	ENF	CRO	GRA	CSH	DBF	ENF	ENF
Elevation (m a.s.l.)	300	180	165	300	096	70	530	579	382	580	518 511		219	219		540	310	91	2	160	163	303	09	227	227	480	275	1253	1005
Longitude	-125.33	-125.29	-124.90	-82.16	-112.94	-75.52	-106.20 -105.12	-104.69	-74.34	-104.66	-104.63 -104.64		-80.35	-80.36	!	-112.47	-97.49	-15741	-156.63	-79.10	-79.09	-72.17	-68.74	-88.22	-88.24	86.68-	-86.41 -92.20	-92.20 -121.56	-121.61
Latitude	49.87	49.87	49.53	48.22	49.71	45.41	53.63	53.92	49.69	53.91	53.88		42.71	42.71	1	54.95	36.61	70.47	71.32	35.97	35.98	42.54	45.20	41.86	41.84	46.08	39.32	20.74 44.45	44.32
Country	Canada	Canada	Canada	Canada	Canada	Canada	Canada	Canada	Canada	Canada	Canada		Canada	Canada	,	Canada	OSA	ASII	USA	USA	OSA	Ω	USA	USA	USA	USA	USA	USA	USA
Priority	1	2	7	1	1	1			1	00	7 7		2	1	,	_	-1	_		1	1	1	1	1	1	1	1 -	-	2
Name	British Columbia - Campbell River - Manne Forest Site	British Columbia - Campbell River - Clearcut Site	British Columbia - Campbell River - Young Plantation Site	Ontario - Groundhog River - Mature Boreal Mixed Wood	Lethbridge	Eastern Peatland - Mer Bleue	Sask SSA Old Aspen	Sask SSA Old Jack Pine	Quebec Mature Boreal Forest Site	Sask 1994 Harvested Jack Pine	Sask 2002 Harvested Jack Fine Sask SSA 1975 Harvested Young	Jack Pine	Ontario - Turkey Point Middle- aged White Pine	Ontario - Turkey Point Mature	White Pine	Western Peatland - LaBiche-Black Spruce/Larch Fen	OK - ARM Southern Great	AK - Atassık	AK - Barrow	NC - Duke Forest - Hardwoods	NC - Duke Forest - Loblolly Pine	MA - Harvard Forest EMS Tower (HFR1)	ME - Howland Forest (Main Tower)	IL - Fermi National Accelerator Laboratory - Batavia (Agricultural Site)	IL - Fermi National Accelerator Laboratory - Batavia (Prarrie Sire)	WI - Lost Creek	IN - Morgan Monroe State Forest MO - Missouri Ozark Site	OR - Metolius - Intermediate Aged Ponderosa Pine	1 OHAN 1 HOUSE 1
Site ID	CA-Cal	CA-Ca2	CA-Ca3	CA-Gro	CA-Let	CA-Mer	CA-Oas	CA-Ojp	CA-Qfo	CA-SJ1	CA-SJ2 CA-SJ3		CA-TP3	CA-TP4		CA-WPI	U.SARM	U.SAto	U.SBrw	U.SDk2	U.SDk3	U.SHa1	U.SHo1	U.SIB1	U.SIB2	U.SLos	U.SMMS	U.SIMOZ	U.SMe3

Table 2. (continued)

														Source	Schwalm et al. [2007]	Schwalm et al. [2007]	Schwalm et al. [2007]	rcCaugney et at. [2000] Flanagan et al. [2002]	Lafleur et al. [2003]	Barr et al. [2004]	Griffis et al. [2003] Griffis et al. [2003]	Bergeron et al. [2007]	Zha et al. [2009] Zha et al [2009]	Zha et al. [2009]	Peichl and Arain [2007] Syed et al. [2006]
														3 2	Schwaln	Schwaln	MoC migh	Flanaga	Lafleur	Barr e	Griffis	Bergero	Zha e Zha e	Zha e	Peichl an Syed o
														Biome	ENFT	ENFT	LINFI	GRA	WET	DBF	ENFB	ENFB	ENFB	ENFB	ENFT
Köppen-Geiger Climate Classification	Dry-summer subtropical Dry-summer subtropical	Dry-summer	Continental subarctic	Hot summer	Continental Hot summer continental	Hot summer	Warm summer	Dry-summer	subtropical Humid subtropical Warm summer	continental Dry-summer	subtropical Warm summer	continental Dry-summer	subtropical Warm summer continental	Measurement Period	1998–2006	2001–2006	2007-7006	1997–2006	1999–2006	1997–2006	2000–2006	2004-2006	2002–2005 2003–2006	2003 2003 2004 2004 2005	2002–2007 2003–2007
IGBP Class	ENF	ENF	ENF	CRO	CRO	CRO	MF	CSH	GRA MF	WSA	DBF	GRA	DBF	Mean Annual Air Temperature (°C)	8.7	∞. «	ر. د. د	5.5 6.5	6.2	2.3	1.6	2.7	0.6	0.8	8.6
Elevation (m a.s.l.)	915	1183	3050	361	361	361	485	1392	350 540	177	234	129	520	Annual Precipitation (mm)	1256	1222	1554	335	935	460	4/0 461	819	344 537	694	959 481
Longitude	-121.62	-121.57	-105.55	-96.48	-96.47	-96.44	-90.27	-116.62	-96.68 -89.35	-120.97	-84.71	-120.95	-90.08	LAI	6.1	4 c 4 c	7.7	0.7	1.3	3.8	0. 6. 0. 4.	4	0.8	4.3	3.5
Latitude	44.50	44.44	40.03	41.17	41.16	41.18	45.95	33.37	36.93 46.24	38.43	45.56	38.41	45.81	Nighttime Data Coverage (%)	26	23	77	46 46	26	56	50 50	40	31 47	34	43 50
Country	USA	USA	USA	USA	USA	USA	USA	USA	USA USA	USA	USA	USA	USA	Daytime Data Coverage (%)	66	96 5	91	6 %	79	46	89 16	93	× 84 200	92	92 96
Priority	2	2	_	1	-	1	1	1		_	-	1	1	Annual NEE Error (g C m ² -)	61.1	31.5	5.75 5.25	14.3	21.6	28.5	16.1	21.0	15.3	17.7	29.5 16.4
Name	OR - Metolius - Second Young Aged Pine OR - Metolius - Old Aged	OR - Metolius - First Young	CO - Niwot Ridge Forest	NE - Mead - Irrigated	NE - Mead - Irrigated Maize - Sovbean Rotation Site	NE - Mead - Rainfed Maize -	Soybean Kodaton Site WI - Park Falls/WLEF	CA - Sky Oaks - Old Stand	OK - Shidler- Oklahoma MI - Sylvania Wildemess Area	CA - Tonzi Ranch	MI - University of Michigan	Biological Station CA - Vaira Ranch - Ione	WI - Willow Creek	Annual NEE (g C m ² -)	-244.3	571.7	91.2	_30.3 _132.9	-68.5	-158.0	-36.3 -29.9	-13.7	28.0	-82.0	-133.2 -195.8
Site ID	U.SMe4	U.SMe5	U.SNR1	U.SNe1	U.SNe2	U.SNe3	U.SPFa	U.SSO2	U.SShd U.SSyv	U.STon	U.SUMB	U.SVar	U.SWCr	Site ID	CA-Ca1	CA-Ca2	CA-Cas	CA-Let	CA-Mer	CA-Oas	CA-Obs	CA-Qfo	CA-SJ1	CA-SJ3	CA-TP4 CA-WP1

 Table 2. (continued)

Site ID	Annual NEE (g C m²–)	Annual NEE Error (g C m ² –)	Datime Data Coverage (%)	Nighttime Data Coverage (%)	LAI	Annual Precipitation (mm)	Mean Annual Air Temperature (°C)	Measurement Period	Biome	Source
U.SARM	-128.4	74.4	68	36	3.1	629	15.6	2000–2006	CRO	Fischer et al. [2007]
CA-TP4	-133.2	29.5	95	43	3.5	656	8.6	2002–2007	ENFT	Peichl and Arain [2007]
U.SAtq	-12.8	1	50	22	1.1	118	-10.6	1999–2006	TUN	Oberbauer et al. [2007]
U.SBrw	-72.0	1	49	29	1.5	108	-10.9	1999–2002	TUN	Harazono et al. [2003]
U.SDk2	-718.1	1	48	_	7	1091	15.1	2003-2005	DBF	Siqueira et al. [2006]
U.SDk3	-350.0	139.0	75	37	5.6	1126	14.7	1998–2005	ENFT	Siqueira et al. [2006]
U.SHa1	-217.4	62.9	78	34	3.38	1122	7.9	1991–2006	DBF	Urbanski et al. [2007]
U.SHo1	-223.0	33.4	70	47	5.2	818	9.9	1996–2004	ENFT	Richardson et al. [2009]
U.SIB1	-269.0	31.3	92	46	1.29	718	10.1	2005–2007	CRO	Post et al. [2004]
U.SIB2	-86.0	42.0	80	49	5.38	818	10.4	2004–2007	GRA	<i>Post et al.</i> [2004]
U.SLos	-78.0	19.2	82	54	4.24	999	3.8	2000–2006	WET	Sulman et al. [2009]
U.SMMS	-346.1	66.3	26	46	4.9	1109	12.4	1999–2006	DBF	<i>Schmid et al.</i> [2000]
U.SMOz	-305.7	48.9	94	33	3.91	730	13.3	2004–2007	DBF	Gu et al. [2006]
U.SMe2	-536.0	65.8	63	46	3.62	434	9.7	2002–2007	ENFT	Thomas et al. [2009]
U.SMe3	-198.0	32.7	83	28	0.52	423	8.5	2004–2005	ENFT	<i>Vickers et al.</i> [2009]
U.SMe4	-612.3	1	55	41	2.1	641	8.3	1996–2000	ENFT	<i>Irvine et al.</i> [2004]
U.SMe5	-206.0	10.6	26	48	1:1	350	9.7	1999–2002	ENFT	<i>Irvine et al.</i> [2004]
U.SNR1	-37.2	27.0	68	44	4.2	693	2.5	1998–2007	ENFT	Bradford et al. [2008]
U.SNe1	-424.0	41.8	93	42	6.5	832	11.1	2001–2006	CRO	Verma et al. [2005]
U.SNe2	-382.0	41.8	96	51	6.5	823	10.8	2001–2006	CRO	Verma et al. [2005]
U.SNe3	-258.0	43.3	94	55	6.2	627	10.9	2001–2006	CRO	Verma et al. [2005]
U.SPFa	45.0	41.1	85	30	4.05	736	5.1	1997–2005	MF	<i>Davis et al.</i> [2003]
U.SSO2	22.4	25.6	87	30	3	969	13.8	1998–2006	SHR	Luo et al. [2007]
U.SShd	-75.5	22.0	96	49	5.9	1179	14.8	1997–2001	GRA	Suyker et al. [2003]
U.SSyv	48.5	34.7	53	51	4.1	700	4.4	2001–2006	MF	Desai et al. [2005]
U.STon	-67.8	52.0	77	25	9.0	549	16.4	2001–2007	WSA	Ma et al. [2007]
U.SUMB	-132.0	42.4	98	39	4.23	629	7.4	1998–2006	DBF	Schmid et al. [2003]
U.SVar	7.3	110.6	80	22	2.4	563	15.9	2001–2007	GRA	<i>Ma et al.</i> [2007]
U.SWCr	-222.6	54.1	48	55	5.36	712	5.3	1998–2006	DBF	Cook et al. [2004a]

measurements that satisfied quality control standards (friction velocity threshold) for day—and nighttime separately. Priority: (1) Primary sites with complete (includes ancillary and biological data templates) records; (2) Secondary chronosequence sites. Standardized Precipitation Index available only for Priority 1 sites excluding US-Atq, US-Brw, US-Dk2, uS-Bh, and US-Shd. CA-TP3, US-Atq, US-Brw, US-Dk2, and US-Me4 sites used postprocessing protocol from the La Thuile and Asilomar FLUXNET Synthesis data set (http://www.fluxdata.org/) [Moffat et al., 2007; Papale et al., 2006] and lack NEE uncertainties. Biome is combination of IGBP class and Köppen-Geiger climate. US-Atq and US-Brw-Arctic wetlands classified as tundra biome CA-WP1, treed fen (IGBP mixed forest) grouped with wetlands biome US-SO2, closed shrublands grouped with shrublands (open or closed) biome. IGBP class and biome codes: CRO, croplands; CSH, closed shrublands, ENF, evergreen needleleaf forest; BNFB, evergreen needleleaf forest. Horeal zone; ENFT, evergreen needleleaf forest. MF, mixed Annual precipitation and mean annual air temperature are measurement period averages of meteorological inputs used to drive model simulations. NEE values show yearly integrals and associated error: one standard deviation based on uncertainty due to random noise and the friction velocity threshold aggregated to yearly values and summed in quadrature [Barr et al., 2009]. Data coverages are percentages of half-hourly NEE ^aSources: IGBP classification, Loveland et al. [2001]; Köppen-Geiger, Peel et al. [2007]; LAI for USA sites, http://public.orml.gov/ameriflux.; LAI for Canadian sites, Chen et al. [2006] and Schwalm et al. [2006] (deciduous/evergreen) forest; WSA, woody savanna; SHR, shrublands; TUN, tundra; WET, wetlands. runs using gap-filled observed weather at each site and locally observed values of soil texture according to a standard protocol [Ricciuto et al., 2009] (http://www.nacarbon.org/nacp/), including a target NEE of zero integrated over the last 5 years of the simulation period. In addition, a mean model ensemble (hereafter: MEAN) was also analyzed. MEAN was calculated as the mean monthly value across all simulations. Furthermore, in contrast to other models, the parameter values used in the model LoTEC were optimized using data assimilation [Ricciuto et al., 2008]. LoTEC simulations were however retained when calculating MEAN as their effect on model skill was negligible due to the relatively small number of site-months simulated.

- [6] Gaps in the meteorological data record occurred at EC sites due to data quality control or instrument failure. Missing values of air temperature, humidity, shortwave radiation, and precipitation data, i.e., key model inputs, were filled using DAYMET [Thornton et al., 1997] before 2003 or the nearest available climate station in the National Climatic Data Center's Global Surface Summary of the Day (GSOD) database. Daily GSOD and DAYMET data were temporally downscaled to hourly or half-hourly values using the phasing from observed mean diurnal cycles calculated from a 15 day moving window. The phasing used a sine wave assuming peak values at 1500 local standard time (LST) and lowest values at 0300 LST. In the absence of station data a 10 day running mean diurnal cycle was used [Ricciuto et al., 2009] (http://nacp.ornl.gov/docs/Site_Synthesis_Protocol_v7. pdf).
- [7] EC data were produced by AmeriFlux and Fluxnet-Canada investigators and processed as a synthesis product of the North American Carbon Program (NACP) Site Level Interim Synthesis (http://www.nacarbon.org/nacp/). The observed NEE were corrected for storage, despiked (i.e., outlying values removed), filtered to remove conditions of low turbulence (friction velocity filtered), and gap-filled to create a continuous time series [Barr et al., 2004]. The time series included estimates of random uncertainty and uncertainty due to friction velocity filtering [Barr et al., 2004, 2009]. In this analysis, NEE was aggregated to monthly values using only non-gap-filled data, i.e., observed values deemed spurious and subsequently infilled were not considered. Coincident modeled NEE values were similarly excluded. This removed the influence of gap-filling algorithms in the comparison of observed and modeled NEE.
- [8] Drought level was quantified using the 3 month Standard Precipitation Index (SPI) [McKee et al., 1993]. Monthly SPI values were taken from the U.S. Drought Monitor (http://drought.unl.edu/DM/) whereby each tower was matched to nearby meteorological station(s) indicative of local drought conditions given proximity, topography, and human impact. This study used three drought levels: dry required SPI < -0.8, wet corresponded to SPI > +0.8, otherwise normal conditions existed. Climatic season was defined by four seasons of 3 months each with winter given by December, January, and February.

2.2. Model Skill

[9] Model-data mismatch was evaluated using normalized mean absolute error (NMAE) [Medlyn et al., 2005], the reduced χ^2 statistic (χ^2) [Taylor, 1996] as well as Taylor

diagrams and skill (S) [Taylor, 2001]. The first metric quantifies bias, the "average distance" between observations and simulations in units of observed mean NEE:

$$NMAE = \sum_{iikl} \frac{NEE_{obs} - NEE_{sim}}{n\overline{NEE_{obs}}},$$
 (1)

where the overbar indicates averaging across all values, n is sample size, the subscript obs is for observations and sim is for modeled estimates. The summation is for any arbitrary data group (denoted by subscripts on the summation operator only) where subscript i is for site, j is for model, k is for climatic season, l is for drought level.

[10] The second metric used to evaluate model performance was the reduced χ^2 statistic. This is the squared difference between paired model and data points over observational error normalized by degrees of freedom:

$$\chi^2 = \frac{1}{n} \sum_{iikl} \left(\frac{NEE_{obs} - NEE_{sim}}{2\delta_{NEE}} \right)^2, \tag{2}$$

where δ NEE is uncertainty of monthly NEE (see section 2.3), 2 normalizes the uncertainty in observed NEE to correspond to a 95% confidence interval, the summation is across any arbitrary data group (denoted by subscripts on the summation operator). χ^2 values are linked to model-data mismatch where a value of unity indicates that model and data are in agreement relative to data uncertainty.

Taylor diagrams [Taylor, 2001]; visual displays based on pattern matching, i.e., the degree to which simulations matched the temporal evolution of monthly NEE. Taylor plots are polar coordinate displays of the linear correlation coefficient (ρ), centered root mean squared error (RMSE; pattern error without considering bias), and the standard deviation of NEE (σ). Taylor diagrams were constructed for the mean model ensemble (MEAN) and across-site mean model performance using the full data record for each combination of site and model (ranging from 7 to 178 months). More generally, each polar coordinate point for any arbitrary data group can be scored:

$$S = \frac{2(1+\rho)}{\left(\sigma_{norm} + 1/\sigma_{norm}\right)^2},\tag{3}$$

where *S* is the model skill metric bound by zero and unity where unity indicates perfect agreement, and σ_{norm} is the ratio of simulated to observed standard deviation [*Taylor*, 2001].

[12] To scale model skill metrics across gradients of site, biome, model, seasonality, and dryness level we aggregated across data groups weighting each by sample size. For example, χ^2 for model I, denoted by subscript j = I, is given by

$$\chi_{j=I}^2 = \sum_{ikl} \frac{n_{ikl} \chi_{ikl}^2}{n_{j=I}} \tag{4}$$

where the summation is over all sites, seasons, and levels of dryness where model I was used as denoted by subscripts i, k, and l, respectively; $n_{i=I}$ is the total site-months simulated

Table 3. Model Structural and Site History Predictors Used to Classify Taylor Skill With Regression Tree Analysis^a

Predictor	Value
Model temporal resolution	Daily, half-hourly or less, hourly, monthly
Canopy	Prognostic, semiprognostic, prescribed. Prescribed canopy from remote sensing, semiprognostic has some prescribed input into canopy leaf biomass but calculates phenology with other prognostic variables.
Number of vegetation pools	Number of pools, both dynamic and static
Number of soil pools	Number of pools, both dynamic and static
Number of soil layers	Number of layers
Nitrogen	True if the model has a nitrogen cycle; otherwise false.
Steady state	True if the simulated long-term NEE integral approaches zero; otherwise false.
Autotrophic respiration (AR)	Fraction of annual GPP, fraction of instantaneous GPP, explicitly calculated, nil, proportional to growth
Ecosystem respiration (R)	AR + HR, explicitly calculated, forced annual balance
Gross primary productivity (GPP)	Enzyme kinetic model, light use efficiency model, nil, stomatal conductance model
Heterotrophic respiration (HR)	Explicitly calculated, first or greater order model, zero-order model
Net ecosystem exchange (NEE)	Explicitly calculated, GPP - R, NPP - HR
Net primary productivity (NPP)	Explicitly calculated, fraction of instantaneous GPP, GPP - AR, light use efficiency model
Overall model complexity	Low, average, high
	Values correspond to terciles of the total amount of first-order functional arguments for the following model-generated variables/outputs: AR, canopy leaf biomass, <i>R</i> , evapotranspiration, GPP, HR, NEE, NPP, soil moisture.
Site history	True if the below listed management activity or disturbance or event occurred on site; otherwise false.
	Grazed, fertilized, fire, harvest, herbicide, insects and pathogens, irrigation, natural regeneration, pesticide, planted, residue management, thinning
Stand age class	Young, intermediate, nil, mature, multicohort.
	Values based on stand age in forested sites; stands without a clear dominant stratum are treated as multicohort; nonforest types have nil.

^aTaylor skill (*S*; equation (3)) was divided into three classes using terciles. Model structural predictants are from the Metadata for Forward (Ecosystem) Model Intercomparison survey collated by the NACP Site Synthesis (http://daac.ornl.gov/SURVEY8/survey_results.shtml). Site history data are from http://public.ornl.gov/ameriflux/, http://www.fluxnet.org, and *Schwalm et al.* [2006].

with model I; and $\chi^2_{j=I}$ is aggregated χ^2 for model I. We did not evaluate model performance for any data group with n < 3. In sum, Taylor displays and skill examined models' ability to mimic the monthly trajectory of observed NEE, the calculation of NMAE quantified bias in units of mean observed NEE, and χ^2 values quantified how well modeldata mismatch scales with flux uncertainty.

2.3. Observational Flux Uncertainty

[13] We calculated the standard error of monthly NEE $(\delta_{\rm NEE})$ [Barr et al., 2009] by combining random uncertainty and uncertainty associated with the friction velocity threshold (u_*^{Th}) , a value used to identify and reject spurious nighttime NEE measurements. Random uncertainty was estimated following Richardson and Hollinger [2007]: (1) generate synthetic NEE data using the gap-filling model [Barr et al., 2004, 2009] for a given site-year, (2) introduce gaps as in the observed data with u_*^{Th} filtering, (3) add noise, (4) infill gaps using the gap-filling model, and (5) repeat the process 1000 times for each site. The random uncertainty component of $\delta_{\rm NEE}$ was then the standard deviation across all 1000 realizations aggregated to months.

[14] The u_*^{Th} uncertainty component of δ_{NEE} was also estimated using Monte Carlo methods. Here 1000 realiza-

tions of NEE were generated using 1000 draws from a distribution of u_*^{Th} . This distribution was based on binning the raw flux data with respect to climatic season, temperature, and site-year and estimating u_*^{Th} in each bin [Papale et al., 2006]. The standard deviation across all realizations gave the u_*^{Th} uncertainty component of δ_{NEE} . Both components were combined in quadrature to one standard error of monthly NEE (= δ_{NEE}) [Barr et al., 2009].

2.4. Relating Model Skill to Model Structure and Site History

[15] The models evaluated here range widely in their emphasis and structure (Table 1). Some focus on biophysical calculations (SiB3, BEPS), where others emphasize biogeochemistry (DLEM), or ecosystem dynamics (ED2). However, as terrestrial biosphere models simulate carbon cycling with hydrological variables, most models contain both biophysics and biogeochemistry. This motivated characterizing model structure with definite attributes, e.g., prognostic versus prescribed canopy phenology, number of soil pools, and type of NEE algorithm (Table 3). To resolve how such characteristics and site history impacted model skill we calculated *S* for all observed combinations of site, model, seasonality, and drought level and cross-referenced

Table 4. Normalized Mean Absolute Error by Climatic Season, Drought Level, and Biome^a

		Climat	ic Season			Drought Leve	1	
Biome ^b	Winter	Spring	Summer	Fall	Dry	Normal	Wet	Overall
CRO	1.90	4.64	-0.79	12.73	-1.43	-1.54	-1.59	-1.55
DBF	0.81	93.7	-0.52	-2.14	-1.01	-1.00	-0.95	-1.00
ENFB	1.52	-1.12	-0.69	-1.92	-0.87	-1.15	-3.43	-1.12
ENFT	-6.34	-0.66	-0.50	-0.76	-0.63	-0.72	-0.63	-0.68
GRA	-25.46	-0.84	-1.11	5.19	-1.52	-1.32	-3.07	-1.51
MF	1.10	-7.48	-0.47	57.70	-1.42	-1.04	-1.15	-1.12
SHR	-87.37	-1.37	-3.03	-140.17	-1.82	-2.18	-41.13	-2.88
TUN	-1.43	-11.07	-20.63	6.38	19.22	-24.06	-1.81	-20.15
WET	1.80	-5.07	-0.59	-4.72	-1.21	-1.20	-2.38	-1.27
WSA	-2.73	-0.75	-1.47	10.56	-1.39	-1.32	-1.51	-1.37
Overall	2.42	-1.35	-0.61	-1.94	-0.97	-1.01	-1.00	-1.00

^aDrought level was based on monthly values of 3 month Standard Precipitation Index (SPI): dry value were < −0.8; wet > +0.8. Otherwise normal conditions existed.

these with 13 site history variables and 14 model attributes (Table 3). Only 20 models were available for this exercise; MEAN and the optimized LoTEC were excluded. We used S as it is bound by zero (no agreement) and unity (perfect agreement) in contrast to NMAE and χ^2 which are unbound. The Taylor skill metric (S) was first discretized into three classes based on terciles. These classes, representing three tiers of model-data agreement, were then related to biome, climatic season, drought level, site history, and model structure using regression tree analysis (RTA) as a supervised classification algorithm. RTA is a form of binary recursive partitioning [Breiman et al., 1984] that successively splits the data (Taylor skill classes as the response; all other attributes as predictors) into subsets (nodes) by minimizing within-subset variation. The result is a pruned treelike topology whereby predicted values (Taylor skill metric class) are derived by a top-to-bottom traversal following the rules (branches) that govern subset membership until a predicted value is reached (terminal node). The splitting rules at each node as well as its position allow for a calculation of relative variable importance [Breiman et al., 1984] with the most important variable given a score of 100. Variables of high importance were further analyzed using conditional means, i.e., comparing mean values for

each predictor value, with statistical differences determined using Bonferroni corrections for multiple comparisons [Hochberg and Tamhane, 1987].

3. Results

3.1. Model-Data Agreement Relative to Climatic Season, Dryness, and Biome

[16] Overall agreement across n = 31025 months was better in forested than nonforested biomes; both NMAE (Table 4) and χ^2 values (Table 5) were closer to zero and unity, respectively. At the biome level, model skill was loosely ranked in five tiers: evergreen needleleaf forests in the temperate zone, mixed forests > deciduous broadleaf forests, evergreen needleleaf forests in the boreal zone > grasslands, woody savannahs > croplands, shrublands, wetlands > tundra. These rankings were robust across models used in the majority of biomes, although some divergence was apparent for croplands and shrublands (Figure 1). Relative to seasonality and drought level models were most consistent with observations during periods of peak biological activity (climatic summer) and under dry conditions (Figure 2). However, across the three levels of dryness, changes in model-data agreement were negligible

Table 5. Reduced χ^2 Statistic by Climatic Season, Drought Level, and Biome^a

		Climati	c Season			Drought Level		
Biome ^b	Winter	Spring	Summer	Fall	Dry	Normal	Wet	Overall
CRO	3.22	10.66	39.75	49.71	14.43	23.54	32.75	25.8
DBF	5.29	10.74	8.77	4.55	5.58	7.86	8.67	7.34
ENFB	21.25	17.75	4.98	6.61	11.64	12.02	18.51	12.61
ENFT	4.39	7.90	3.27	2.26	4.71	4.29	4.60	4.45
GRA	10.89	11.38	25.01	17.22	13.97	10.99	26.01	16.07
MF	3.74	4.67	2.05	2.02	2.92	3.24	2.98	3.08
SHR	13.34	27.98	12.52	11.2	9.26	21.31	10.31	16.26
WET	23.65	27.27	11.74	7.54	21.51	17.36	12.91	17.47
WSA	0.61	5.81	11.88	3.39	6.73	4.64	6.35	5.37
Overall	8.18	11.95	11.27	9.45	8.10	9.98	12.72	10.26

^aDrought level was based on monthly values of 3 month Standard Precipitation Index (SPI): dry value were < −0.8; wet > +0.8. Otherwise normal conditions existed.

^bBiome codes: CRO, cropland; GRA, grassland; ENFB, evergreen needleleaf forest-boreal zone; ENFT, evergreen needleleaf forest-temperate zone; DBF, deciduous broadleaf forest; MF, mixed (deciduous/evergreen) forest; WSA, woody savanna; SHR, shrubland; TUN, tundra; WET, wetland.

^bBiome codes: CRO, cropland; GRA, grassland; ENFB, evergreen needleleaf forest-boreal zone; ENFT, evergreen needleleaf forest-temperate zone; DBF, deciduous broadleaf forest; MF, mixed (deciduous/evergreen) forest; WSA, woody savanna; SHR, shrubland; WET, wetland.

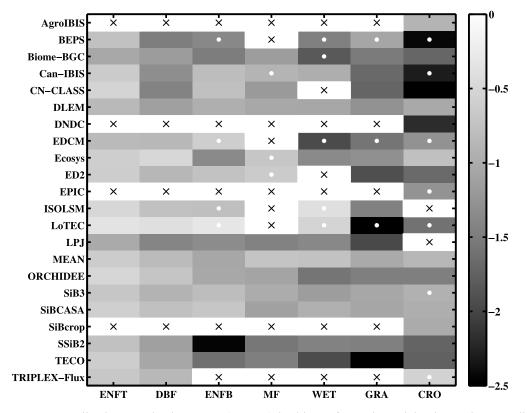


Figure 1. Normalized mean absolute error (NMAE) by biome for each model. Biomes in ascending order based on model-specific NMAE; biomes on the left show better average agreement with observations. NMAE is normalized by mean observed flux. Across all sites, seasons, and drought levels within a given biome this value is negative (NEE < 0), indicating a sink. NMAE values closer to zero coincide with a higher degree of model-data agreement. Woody savannahs and shrublands not shown: only one site each. Tundra (n = 2 sites) has NMAE < -10 for all models. CN-CLASS croplands value is off-scale (= -8.98). Black cross, no observations; white circle, undersampled (n < 100 months).

for NMAE (~4% change, Table 4) but more pronounced for χ^2 (from 8.10 to 12.72, Table 5). Averaged over just the warm season (excluding climatic winter) dry conditions were coincident with worse model-data agreement, e.g., NMAE was -0.99, -0.91, and -0.84 for dry, normal, and wet, respectively. In biomes with a clear seasonal cycle in leaf area index (LAI) a loss of model skill occurred during climatic spring and fall (Tables 4 and 5), especially for NMAE.

3.2. Skill Metrics by Model

[17] Regardless of metric, model skill was highly variable. Of the three model skill metrics, NMAE was related to both Taylor skill and χ^2 ($\rho=-0.65$; p<0.0001). Jointly, high Taylor skill co-occurred with NMAE and χ^2 values closer to zero and unity, respectively (Figure 3). Across models NMAE ranged from -0.42 of the overall mean observed flux to -2.18 for LoTEC and DNDC, respectively. Values of χ^2 varied from 2.17 to 29.87 for LoTEC and CN-CLASS, respectively. Alternatively, the degree of model-data mismatch (the distance between observations and simulations) was at least 2.17 times the observational flux uncertainty. Similarly, Taylor skill showed a high degree of scatter (Figure 4), although two crop only models (SiBcrop and AgroIBIS), LoTEC, and ISOLSM were more conservative

and showed a general high degree of consistency with observations.

[18] Among crop models, SiBCrop and AgroIBIS performed well, especially in climatic spring and during wet conditions. In contrast, the crop only DNDC model exhibited poor model-data agreement with $\chi^2 > 15$ in climatic spring and summer as well as across all drought levels. Although four crop only simulators were analyzed, the best agreement in croplands (NMAE and χ^2 closer to zero and unity, respectively) was achieved by SiB3 and Ecosys, models used in multiple biomes. Based on all three skill metrics the LoTEC model (NMAE = -0.42, χ^2 = 2.17, S = 0.95) was most consistent with observations across all sites, dryness levels, and climatic seasons. This platform was optimized using a data assimilation technique, unique among model runs evaluated here, and was applied at 10 sites. In addition, the mean model ensemble (MEAN) performed well (NMAE = -0.74, $\chi^2 = 3.35$, S = 0.80). For individual models (n = 12) used at a wider range of sites (at least 24 sites), model consistency with observations was highest for Ecosys (NMAE = -0.69, $\chi^2 = 7.71$, S = 0.94) and lowest for CN-CLASS (NMAE = -1.50, $\chi^2 = 29.87$, S = 0.48).

[19] Site-level model-data agreement also showed a high degree of variability (Figure 4). At three croplands sites (US-Ne1, US-Ne2, and US-Ne3) Taylor skill ranged from zero to unity. Both NMAE and χ^2 exhibited similar

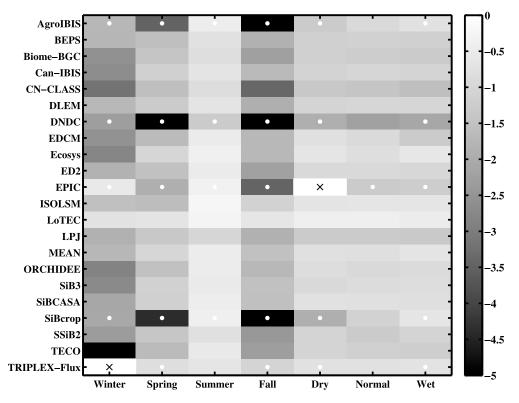


Figure 2. Normalized mean absolute error (NMAE) by climatic season and drought level. NMAE is normalized by mean observed flux such that most values are negative (NEE < 0), indicating a sink. Positive values indicate a source (NEE > 0). These occur in winter for all models as well as spring and fall for all crop only models: AgroIBIS, DNDC, EPIC, SiBcrop. Such values are displayed on the same color bar but with opposite sign. Off-scale values: AgroIBIS and SiBcrop in fall are -7.1 and -11.1, respectively. DNDC in fall and spring is -11.4 and -8.7, respectively. Black cross, no observations; white circle, undersampled (n < 100 months).

scatter by site (not shown). Even for the best predicted site (US-Syv), S ranged from 0.19 to 0.95. Only two forested sites (CA-Qfo and CA-TP4) were predicted well (S > 0.5) by all models; whereas only one tundra site (US-Atq) was consistently poorly predicted (S < 0.5). Despite the wide range in model performance, model skill (NMAE, χ^2 , and S) was not correlated with the number of sites (p > 0.5) or biomes (p > 0.3) simulated, i.e., using a more general rather than a specialized model did not result in a loss in model performance. Also, model-data agreement was not better at sites with longer data records (p > 0.1).

[20] The steady state protocol had negligible effect on model skill. Long-term simulated NEE by site and model varied from -2904 to 2227 g C m $^{-2}$ yr $^{-1}$ with 90% of all values between -600 and 100 g C m $^{-2}$ yr $^{-1}$. The extreme values were primarily croplands simulated outside of crop only models. Overall, only 5 models achieved steady state (simulated NEE \rightarrow 0) over the full simulation: Biome-BGC, LPJ, SiBCASA, SiB3, and TECO. Similar to simulated values, observed annual integrals at the 44 sites examined did not show steady state (Table 1) and varied from -718 to 571 g C m $^{-2}$ yr $^{-1}$. Nonetheless, model skill was not related to how close model spinup and initial conditions approximated steady state or how close a given site was to an observed NEE of zero. All three skill metrics were uncorrelated with long-term observed or simulated average

annual NEE (p > 0.05). However, two models did show significant relationships: For Ecosys, χ^2 increased (decrease in model skill) and S decreased as observed or simulated NEE approached zero; a system closer to steady state was coincident with less model-data agreement. BEPS was similar, showing lower S and more negative NMAE (decrease in model skill) for sites closer to steady state.

3.3. Model and Site-Specific Consistency With Observations Using Taylor Diagrams

[21] Average model performance (both across-site and across-model) was evaluated using Taylor diagrams based on all simulated and observed monthly NEE data. Better model performance was indicated by proximity to the benchmark, representing the observed state. The benchmark was normalized by observed standard deviation such that the distance of σ and RMSE from the benchmark was in observed σ units. Similar to model skill metrics, forested sites were better predicted than nonforested ones. The MEAN model showed $\rho \geq 0.2$, apart from CA-SJ2 and US-Atq, but generally (33 of 44 sites) underpredicted the variability associated with monthly NEE at forested (Figure 5) and nonforested (Figure 6) sites. Similarly, 40 of 44 sites were predicted with RMSE $< \sigma$. Also 8 (6 forested and two croplands sites: CA-Obs, CA-Qfo, CA-TP4, US-Ho1, US-IB1, US-MMS, US-Ne3, US-UMB) of the

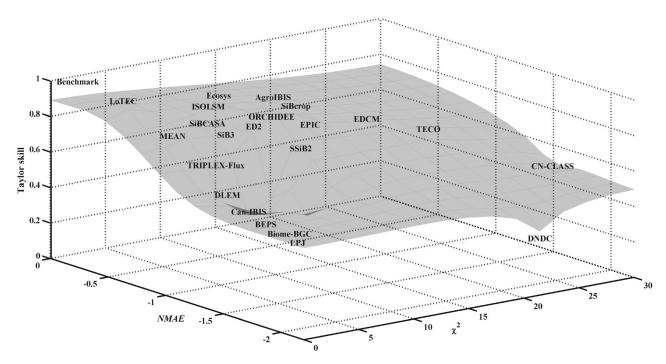


Figure 3. Model skill metrics for all 22 models. Skill metrics are Taylor skill (S; equation (3)), normalized mean absolute error (NMAE), and reduced χ^2 statistic (χ^2). Better model-data agreement corresponds to the upper left corner. Benchmark represents perfect model-data agreement: S = 1, NMAE = 0, and $\chi^2 = 1$. Gray interpolated surface added and model names jittered to improve readability.

44 sites were predicted with ρ \geq 0.95 and RMSE < 1. The worst predicted site was CA-SJ2 with ρ = -0.67, σ = 4.3, and RMSE = 5.1.

[22] Overall model performance, aggregated across sites, was similar (Figure 7). Most models underpredicted variability and showed RMSE < σ . Of all 22 models only DNDC exhibited ρ < 0.2. Based on proximity to the benchmark, i.e., a high S value (Figure 3), the best models were: EPIC (crop only model used on one site), ISOLSM (used on 9 sites), LoTEC (data assimilation model), SiBcrop and AgroIBIS (crop only models), EDCM (used on 10 sites), Ecosys and SiBCASA (models used on most sites, 39 and 35, respectively), and MEAN (mean model ensemble for all 44 sites). All of these "best" models had ρ > 0.75, RMSE < 0.75 and slightly underpredicted variability; except the crop only models and Ecosys where variability was overpredicted. Models whose average behavior was furthest away from the benchmark were DNDC followed by BEPS.

3.4. Links Between Model Skill, Model Structure, and Site History

[23] Biome classification was the most important factor in the distribution of model skill (Figure 8) sampled across all combinations of site, model, climatic season, and drought (n = 3132 groups). Climatic season and stand age, the highest scored site-specific attribute, followed biome as lead determinants of model skill. Of the 12 evaluated site disturbances (Table 3) only grazing, which occurred on croplands, grasslands, and woody savannahs, achieved an importance score of at least 25. Apart from drought and

grazing activity, the remaining determinants were model-specific: the number of soil layers, vegetation pools, canopy phenology, and soil pools. Two carbon flux calculations also had a variable score > 25, with NEE being the highest.

[24] Comparing mean S for these relatively important model attributes (Figure 9) revealed three instances where model structure showed a statistically significant relationship with model skill: prescribed canopy phenology, a daily time step, and calculating NEE as the difference between GPP and ecosystem respiration. Models using canopy characteristics and phenology prescribed from remotely sensed products achieved higher skill (S = 0.54) than either prognostic or semiprognostic models (S = 0.43; p < 0.05). Using a daily time step showed lower model skill (S = 0.40) relative to nondaily time steps (S = 0.50; p < 0.05). Finally, calculating NEE as the difference between GPP and total ecosystem respiration showed greater skill (S = 0.50) than other calculation methods (S = 0.42; p < 0.05). None of the other model attributes we studied showed statistically significant relationships between model structure and skill.

[25] While not statistically significant, both vegetation pools and soil layers exhibited a weak pattern whereby the simplest and most complex models showed higher skill than models of intermediate complexity (Figure 9). Models with no soil model (zero soil layers) or no vegetation pools showed greater skill than models with the simplest soil model or smallest number of vegetation pools. As the number of soil layers or pools increased, so did model skill, indicating that a more comprehensive treatment of biological and physical processes can improve model skill. For vegetation pools, there was a limit where increased com-

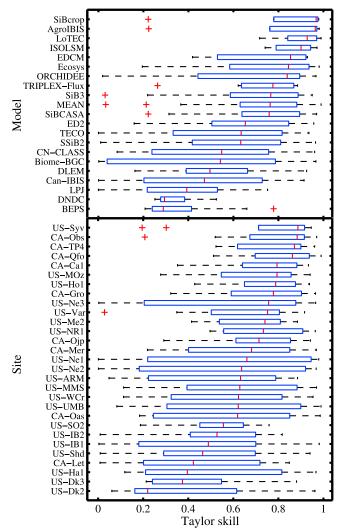


Figure 4. Boxplots of Taylor skill by model and site. Taylor skill (S; equation (3)) is a single value summary of a Taylor diagram where unity indicates perfect agreement with observations. Panels show interquartile range (blue box), median (solid red line), range (whiskers), and outliers (red cross; values more than $1.5 \times$ interquartile range from the median). (top) Only models (n=21) used on at least two sites shown. (bottom) Only sites (n=32) simulated with at least 10 unique models, excluding the mean model ensemble (MEAN) and the assimilated LoTEC, shown. Models and sites sorted by median Taylor skill.

plexity beyond eight pools did not improve model-data agreement.

[26] Despite these effects, model attributes were of secondary importance. The change in S relative to biome varied from 0.28 to 0.55; a much larger range than seen for model attributes. Similarly, the high variable importance scores for biome and climatic season, as well as the lower score for drought level, corroborated the relationships between these factors and model skill as seen with NMAE and χ^2 . While the regression tree algorithm achieved an accuracy of 68.5% for predicting Taylor skill class, the site history and model

characteristics considered here did not explain the underlying cause of biome and seasonal differences in model skill.

4. Discussion

4.1. Effect of Parameter Sets on Model Performance

[27] Model parameter sets are a large source of variability in terms of model performance [Jung et al., 2007b]. They influence output and accuracy [Grant et al., 2005] and are more important for accurately simulating CO₂ exchange than capturing effects of interannual climatic variability [Amthor et al., 2001]. For at least some of the models studied here this can be related to the use of biome-specific parameters relative to within-biome variability [Purves and Pacala, 2008]. A corollary occurs in the context of EC observations as tower footprints can exhibit heterogeneity, particularly in soils, that is not reproduced in site-specific parameters [Amthor et al., 2001].

[28] The importance of model parameter sets was visible in this intercomparison in two ways. First, biome had the highest variable importance score. Insomuch as models rely on biome-specific parameter values, this finding indicates that model parameter sets are a key factor in the distribution of model skill. This extends to plant functional types due to the high degree of overlap between both. Furthermore, the variability (Figure 4) in model skill across parameter sets, i.e., across models, underscores that biomes may be too heterogeneous in time [Stoy et al., 2005, 2009] and space to be well-represented by constant parameters relative to, e.g., within-biome climate variability [Hargrove et al., 2003]. Second, the general high degree of site-specific variation in model skill (Figure 4) suggested that model parameter sets may need to be refined to capture local, site-specific realities.

4.2. Effect of Model Structure on Model Performance

[29] In general, models with the highest model-data agreement all used prescribed canopy phenology, calculated NEE as the difference between GPP and ecosystem respiration, and did not use a daily time step. Models that exhibited all of these structural characteristics (SiBCASA, SiB3, and ISOLSM) showed high degrees of model-data agreement across all three skill metrics. Similarly, Ecosys, which used a prognostic canopy but otherwise had similar structural characteristics as SiBCASA, also performed well. Relative to model complexity, consistency with observations was highest in those models with either the simplest structure (e.g., one soil carbon pool in ISOLSM) or the most complex (e.g., SiBCASA with 13 carbon pools). Models with a prognostic canopy seem to perform better with more carbon pools and soil layers (e.g., Ecosys). No model with a prognostic canopy and a low number of carbon pools and soil layers placed in the top tercile of model skill for any skill metric, except SiBcrop and AgroIBIS for Taylor skill in croplands. Using multimodel ensembles (MEAN) or data assimilation to optimize model parameter sets (LoTEC) can compensate for differences in model structure to improve model skill.

[30] The relationships between model structure and model skill were consistent across all biomes. As a whole, the models performed better at forested sites than nonforested sites, but the same models showed the highest consistency

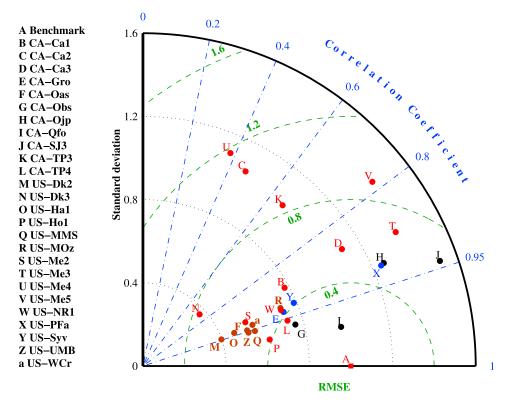


Figure 5. Taylor diagram of normalized mean model performance for forested sites. Each circle (n = 26 sites) is the site-specific mean model ensemble (MEAN). Benchmark (red square) corresponds to observed normalized monthly NEE; units of σ and RMSE are multiples of observed σ . Color coding of site letter and circles indicates biome: evergreen needleleaf forest- temperate zone (red), deciduous broadleaf forest (brown), mixed (deciduous/evergreen) forest (blue), evergreen needleleaf forest-boreal zone (black). Outlying sites (evergreen needleleaf forest-boreal zone) not shown: CA-SJ1 ($\rho = 0.81$, $\sigma = 3.9$, RMSE = 3.1) and CA-SJ2 ($\rho = -0.67$, $\sigma = 4.3$, RMSE = 5.1).

with observations in each biome (Ecosys and SiB3). This is true even for agriculture sites, where Ecosys and SiB3 scored as high as crop only models. This suggests that any model with requisite structural attributes can successfully simulate carbon flux in all types of ecosystems.

4.3. Links Between Model Performance and Environmental Factors

[31] Model skill was only weakly linked to drought, showing high variability across dryness level by biome and model. Only during the warm season (all climatic seasons excluding winter) did aggregate model skill decline under drought conditions. While this points to process uncertainty [Sitch et al., 2008], ecosystem response to longer-term drought can exhibit lags and positive feedbacks [Arnone et al., 2008; Granier et al., 2007; Thomas et al., 2009; Williams et al., 2009] that were not explicitly included in the drought metric used here but did influence simulation behavior through model structure, e.g., soil moisture model and soil resolution.

[32] In spring and fall, especially for biomes with a significant deciduous component, models showed a decline in model skill (Table 4) relative to periods of peak biological activity (climatic summer) [see also *Morales et al.*, 2005]. While this was more pronounced for NMAE (Table 4) than χ^2 (Table 5), phenological cues are known to influence the annual carbon balance at multiple scales [*Barr et al.*, 2007;

Delpierre et al., 2009; Keeling et al., 1996]. The loss of model skill seen in this study during spring and fall was likely linked to poor treatment of leaf initiation and senescence as well as season-specific effects of soil moisture and soil temperature on canopy photosynthesis [Hanson et al., 2004]. In this study seasonality was second only to biome in driving model skill (Figure 8). This and the lack of link between model skill and site history strongly implicate phenology as a needed refinement of terrestrial biosphere simulators.

[33] The evergreen needleleaf forest biome diverged in performance based on whether the sites were located in the temperate or boreal zones. A similar divergence was reported using Biome-BGC, LPJ, and ORCHIDEE to simulate gross CO₂ uptake across a temperature gradient in Europe [Jung et al., 2007a]; average relative RMSE was higher for evergreen needleleaf forests in the boreal zone. This was linked to an overestimation of LAI at the boreal sites and relationships between resource availability and leaf area [Friedlingstein et al., 2006; Jung et al., 2007a; Sitch et al., 2008]. Additionally, recent observations in the circumboreal region, where all boreal evergreen needleleaf forested sites are located, suggest that transient effects of climate change, e.g., increased severity and intensity of natural disturbances (fire, pest outbreaks) and divergence from climate normals in temperature, have already occurred [Soja et al., 2007] and influence resource availability. We speculate the loss of

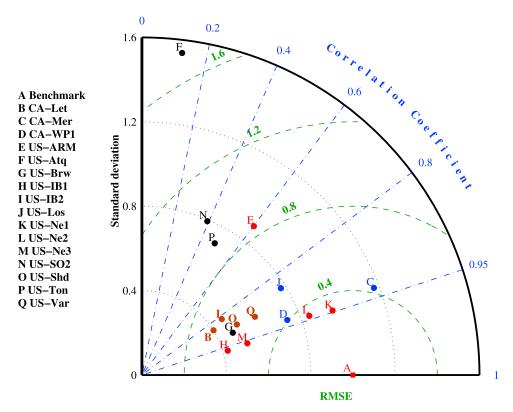


Figure 6. Taylor diagram of normalized mean model performance for nonforested sites. Each circle (n = 16 sites) is the site-specific mean model ensemble (MEAN). Benchmark (red square) corresponds to observed normalized monthly NEE; units of σ and RMSE are multiples of observed σ . Color coding of site letter and circles indicates biome: croplands (red), grasslands (brown), wetlands (blue), all other biomes (black).

model skill in boreal relative to temperate evergreen needleleaf forests was linked to insufficient characterization of cold temperature sensitivity of metabolic processes and water flow in plants as well as freeze-thaw dynamics [Schaefer et al., 2007, 2009] and that this was exacerbated by the effects of transient climate change.

4.4. Effects of Site History and Protocol on Model Evaluation

[34] Disturbance regime and how a model treats disturbance are known to impact model performance [Ito, 2008]. In this study, stand age impacted model skill whereas site history was of marginal importance (Figure 8). However, CA-SJ2, the worst predicted site (Figure 5), was harvested in 2000 and scarified in 2002, and US-SO2, a second poorly predicted shrubland site (Figure 6), suffered catastrophic wildfire during the analysis period. The poor model performance for recently disturbed sites followed from assumed steady state as used in some simulations and the absence of modeling logic to accommodate disturbance. However, the distribution of site history metrics was skewed; only few sites were burned, harvested, or in the early stages of recovery from disturbance when NEE is more nonlinear relative to established stands. Furthermore, age class was biased toward older stands; of the 17 forested sites only one was classified as a young stand. Other site characteristics were also unbalanced; all nonforested biomes occurred on five or less sites; with only one site each for shrublands and woody savannahs. While regression trees are inherently robust, additional observed and simulated fluxes in rapidly growing young forested stands, recently burned or harvested sites, and undersampled biomes are desirable to better characterize model performance.

[35] Aspects of the NACP site synthesis protocol and analysis framework also influenced the interpretation of our results. First, this analysis focused solely on non-gap-filled data to allow the model-data intercomparison to inform model development. However, the low turbulence (friction velocity) filtering removed more data at night than during the day. Average data coverage across all sites was 82% for daytime and 39% at night, respectively (Table 2), so our analysis is skewed toward daytime conditions. Second, each model that used remotely sensed inputs (such as LAI) repeated an average seasonal cycle calculated from sitespecific time series based on all pixels within 1 km of the tower site. This likely deflated relevant variable importance scores (Figure 8) and precluded a full comparison of prescribed versus prognostic LAI. While only few models used such inputs (Table 1), removing the inherent bias of an invariant seasonal cycle over multiple years may improve model performance. Incorporating disturbance information to recreate historical land use and disturbance, especially for recent site entries, could also improve model performance. Last, despite the model simulation protocol's emphasis on steady state, this condition was not achieved for most sites (Table 2), even when discounting observational uncertainty,

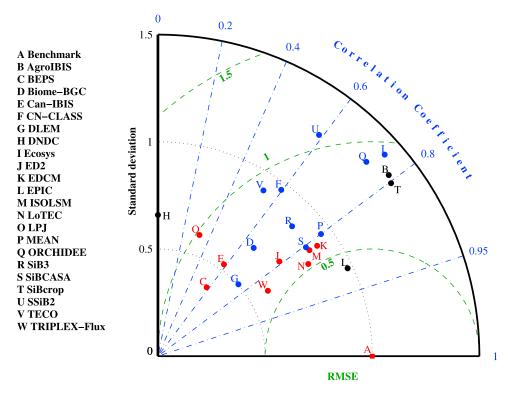


Figure 7. Taylor diagram of normalized across-site average model performance. Model σ and RMSE were normalized by observed σ . Each circle (n=22 models) corresponds to the mean across all sites. Benchmark (red square) corresponds to observed normalized monthly NEE; units of σ and RMSE are multiples of observed σ . Color coding of model letter and circles indicates generality of model performance: specialist models used only in croplands ($n \le 5$ sites; black), generalist models used across a range of biomes and sites ($n \ge 30$ sites, blue), all other models (red). The correlation for DNDC ($\rho = -0.13$) is displayed as zero for readability.

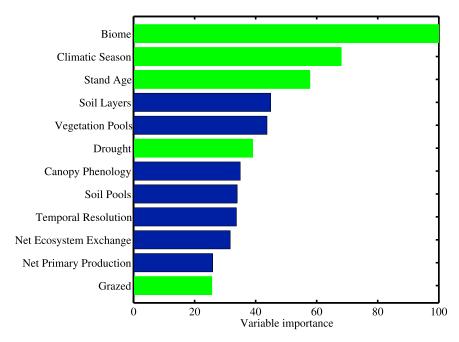


Figure 8. Variable importance scores for model-specific (blue) and site-specific (green) predictors. Scores were generated from a regression tree with the Taylor skill classes based on terciles (n = 3132) as the response. Only the 12 of 28 predictants with score > 25 shown; see Table 3 for complete listing of evaluated model structural and site attributes.

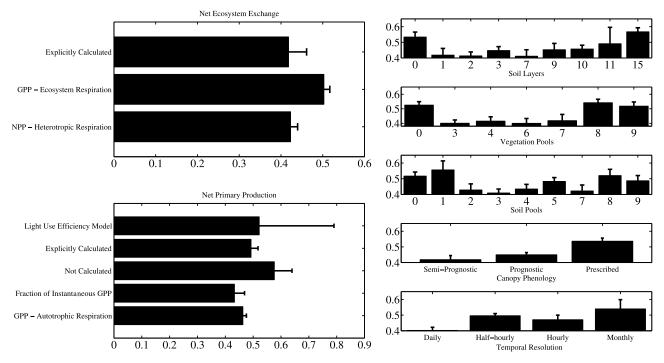


Figure 9. Bar graphs of mean Taylor skill by model attribute. Whiskers represent one standard error of the mean. Only model-specific attributes with variable important scores >25 shown. Note y axis on right panels starts at 0.4.

or most models. None of the four crop only models achieved steady state. This followed from site history of croplands in general where active management precluded any system steady state, e.g., DNDC allowed for prescribed initial soil carbon pools. For those models (5 of the 21 evaluated) that achieved steady state in initialization this resulted in an inherent bias between simulated and observed NEE for all sites regardless of site history. However, as biome and seasonality largely governed the distribution of model skill, this bias was too small to manifest itself in this study. Relaxing the steady state assumption [Carvalhais et al., 2008] or initializing using observed wood biomass and the quasi-steady state assumption [Schaefer et al., 2008] could improve these models' performance.

5. Conclusion

[36] We used observed CO₂ exchange from 44 eddy covariance towers in North America with simulations from 21 terrestrial biosphere models and a mean model ensemble to examine model skill across gradients in dryness, seasonality, biome, site history, and model structure. Models' ability to match observed monthly net ecosystem exchange was generally poor; the mean squared distance between observations and simulations was ~10 times observational error. Overall, forested sites were better predicted than nonforested sites. Weaknesses in model performance concerned model parameter sets and phenology, especially for biomes with a clear seasonal cycle in leaf area index. Drought was weakly linked to model skill with abnormally dry conditions during the growing season showing marginally worse model-data agreement compared to nondry conditions. Sites with disturbances during the analysis

period and undersampled biomes (grasslands, shrublands, wetlands, woody savannah, and tundra) also showed a large divergence between observations and simulations. The highest degree of model-data agreement occurred in temperate evergreen forests in all climatic seasons and during summer across all biomes. Overall skill was higher for models that estimated net ecosystem exchange as the difference between gross primary productivity and ecosystem respiration, used prescribed canopy phenology, and did not use a daily time step. The model ensemble (mean simulated value across all models) and an optimized model (parameters tuned using data assimilation) also performed well. Models with preferred structural attributes included generalist models (models used at multiple sites and biomes, e.g., SiB3, Ecosys) that exhibited high degrees of model-data agreement across all biomes, indicating that a single model can successfully simulate carbon flux in all types of ecosystems. That is, different model architectures were not needed for different types of ecosystems and model choice is recast as a function of ease of parameterization and initialization.

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