

## 2.077 Lecture Notes

# Finite deformation Mises theory of rate-independent plasticity

Lallit Anand  
Department of Mechanical Engineering  
Massachusetts Institute of Technology  
77 Massachusetts Avenue  
Cambridge, MA 02139

December 13, 2023

## 1 Summary of the large deformation rate-independent Mises theory

The complete set of constitutive equations for a large deformation rate-independent Mises theory with isotropic hardening consists of (cf., Gurtin et al., 2010, Section 99.4) :

- (i) the Kröner decomposition

$$\mathbf{F} = \mathbf{F}^e \mathbf{F}^p, \quad \text{with} \quad \det \mathbf{F}^p = 1, \quad (1.1)$$

in which  $\mathbf{F}$  is the deformation gradient, while  $\mathbf{F}^e$  and  $\mathbf{F}^p$  are the elastic and plastic distortions.

- (ii) The elastic strain is defined as

$$\mathbf{E}^e \stackrel{\text{def}}{=} \frac{1}{2}(\mathbf{C}^e - \mathbf{1}), \quad \mathbf{C}^e \stackrel{\text{def}}{=} \mathbf{F}^{e\top} \mathbf{F}^e. \quad (1.2)$$

- (iii) The free-energy per unit volume of the intermediate space is taken as

$$\psi_1 = G|\mathbf{E}_0^e|^2 + \frac{1}{2}K(\text{tr } \mathbf{E}^e)^2, \quad (1.3)$$

where

$$G > 0 \quad \text{and} \quad K > 0, \quad (1.4)$$

are the shear modulus and the bulk modulus respectively.

- (iv) The elastic second Piola stress is

$$\mathbf{T}^e = \mathbb{C} \mathbf{E}^e \quad \text{with} \quad \mathbb{C} \stackrel{\text{def}}{=} 2G(\mathbb{I}^{\text{sym}} - \frac{1}{3}\mathbf{1} \otimes \mathbf{1}) + K\mathbf{1} \otimes \mathbf{1}, \quad (1.5)$$

### Remark.

In the general theory of large deformation isotropic plasticity the constitutive equations for plastic flow are formulated in terms of the Mandel stress (cf., Gurtin et al., 2010, Section 99.4)

$$\mathbf{M}^e \stackrel{\text{def}}{=} \mathbf{C}^e \mathbf{T}^e. \quad (1.6)$$

We will restrict our attention to materials (like metals) for which the elastic stretches are small ( $\mathbf{C}^e \approx \mathbf{1}$ ), and make the approximation that Mandel stress may be approximated by the elastic second Piola stress,

$$\mathbf{M}^e \approx \mathbf{T}^e. \quad (1.7)$$

Henceforth, we will work with the elastic second Piola stress  $\mathbf{T}^e$  instead of the Mandel stress  $\mathbf{M}^e$  to formulate the plastic part of the theory.

□

(v) Then, with

$$\bar{\sigma} \stackrel{\text{def}}{=} \sqrt{3/2} |\mathbf{T}_0^e| \quad (1.8)$$

denoting the equivalent tensile stress and  $Y = Y(\bar{\epsilon}^p) > 0$  representing a flow resistance, we introduce a yield function

$$f(\bar{\sigma}, Y) = \bar{\sigma} - Y, \quad (1.9)$$

and an elastic range

$$\mathcal{E}(Y) \{ \mathbf{T}^e \in \text{Sym} | f(\bar{\sigma}, Y) \leq 0 \} \quad (1.10)$$

which limits the admissible stress  $\mathbf{T}^e$  at fixed values of the internal variable  $\bar{\epsilon}^p$ .

(vi) Evolution equation for  $\mathbf{F}^p$  is given by,

$$\begin{aligned} \dot{\mathbf{F}}^p &= \mathbf{D}^p \mathbf{F}^p, \\ \mathbf{D}^p &= \sqrt{3/2} \dot{\bar{\epsilon}}^p \mathbf{N}^p, \quad \mathbf{N}^p = \sqrt{3/2} \left( \frac{\mathbf{T}_0^e}{\bar{\sigma}} \right) \end{aligned} \quad (1.11)$$

where

$$\dot{\bar{\epsilon}}^p \stackrel{\text{def}}{=} \sqrt{2/3} |\mathbf{D}^p| \quad (1.12)$$

is the equivalent tensile plastic strain rate and

$$\bar{\epsilon}^p = \int_0^t \dot{\bar{\epsilon}}^p(\zeta) d\zeta, \quad (1.13)$$

is the equivalent tensile plastic strain.

(vii) Loading-unloading conditions are expressed in the Kuhn-Tucker complementarity form

$$\dot{\bar{\epsilon}}^p \geq 0, \quad f(\bar{\sigma}, Y) \leq 0, \quad \dot{\bar{\epsilon}}^p f(\bar{\sigma}, Y) = 0. \quad (1.14)$$

(vii) Consistency condition

$$\dot{\bar{\epsilon}}^p \overline{f(\bar{\sigma}, Y)} = 0 \quad \text{when} \quad f(\bar{\sigma}, Y) = 0. \quad (1.15)$$

(viii) Typical initial conditions presume that at time  $t = 0$ ,

$$\mathbf{F}(\mathbf{X}, 0) = \mathbf{F}^p(\mathbf{X}, 0) = \mathbf{1}, \quad \bar{\epsilon}^p(\mathbf{X}, 0) = 0, \quad (= \text{constant}), \quad (1.16)$$

together with  $\mathbf{F}^e(\mathbf{X}, 0) = \mathbf{1}$ .

To complete the constitutive model for a particular material the constitutive parameter/functions that need to be specified are the elastic moduli  $(G, K)$ , and the flow resistance function  $Y = Y(\bar{\epsilon}^p)$ . The latter is often given as the solution to an evolution equation

$$\dot{Y} = H(Y) \dot{\bar{\epsilon}}^p \quad \text{with initial value} \quad Y(\mathbf{X}, 0) = Y_0. \quad (1.17)$$

A particular useful form for the strain hardening function  $H(Y)$  is

$$H(Y) = \begin{cases} H_0 \left( 1 - \frac{Y}{Y_s} \right) & \text{for } Y_0 \leq Y \leq Y_s, \\ 0 & \text{for } Y \geq Y_s, \end{cases} \quad (1.18)$$

where  $H_0$  and  $Y_s > Y_0$  are constant moduli. For later use we note that the integrated form of the evolution equation for  $Y$  in terms of the equivalent tensile plastic strain  $\bar{\epsilon}^p$  is

$$Y = Y_s - (Y_s - Y_0) \exp \left( -\frac{H_0}{Y_s} \bar{\epsilon}^p \right). \quad (1.19)$$

## 2 Time-integration procedure for the finite-deformation Mises theory

In this section we briefly consider the major aspects of numerical implementation of the large deformation rate-independent isotropic plasticity theory in the finite element program FEniCS. Let  $[0, T]$  be the time interval of interest. We assume that at time  $t_n \in [0, T]$  we are given

$$\{\mathbf{F}_n^p, \bar{\epsilon}_n^p\} \quad \text{as well as } \mathbf{F}_{n+1} \text{ at time } t_{n+1} = t_n + \Delta t. \quad (2.1)$$

The time-integration procedure in this deformation-driven problem is then to calculate

$$\{\mathbf{F}_{n+1}^p, \bar{\epsilon}_{n+1}^p, \mathbf{T}_{n+1}\}, \quad (2.2)$$

where  $\mathbf{T}_{n+1}$  is the Piola stress at the end of the time-step, and march forward in time.

### 2.1 Time-integration procedure

Consider first a time-integration procedure in which the evolution equation for  $\dot{\mathbf{F}}^p = \mathbf{D}^p \mathbf{F}^p$  is integrated by means of an exponential map

$$\mathbf{F}_{n+1}^p = \exp\left(\Delta t \mathbf{D}_{n+1}^p\right) \mathbf{F}_n^p, \quad \text{with} \quad \mathbf{D}_{n+1}^p = \hat{\mathbf{D}}_{n+1}^p(\mathbf{T}_{n+1}^e, \bar{\epsilon}_{n+1}^p). \quad (2.3)$$

So that the inverse of  $\mathbf{F}_{n+1}^p$  is given by

$$\mathbf{F}_{n+1}^{p-1} = \mathbf{F}_n^{p-1} \exp\left(-\Delta t \mathbf{D}_{n+1}^p\right), \quad (2.4)$$

Thus, using  $\mathbf{F}_{n+1}^e = \mathbf{F}_{n+1} \mathbf{F}_{n+1}^{p-1}$ , the elastic deformation gradient at the the end of the step is given by

$$\mathbf{F}_{n+1}^e = \mathbf{F}_{\text{tr}}^e \exp\left(-\Delta t \mathbf{D}_{n+1}^p\right), \quad (2.5)$$

where

$$\mathbf{F}_{\text{tr}}^e \stackrel{\text{def}}{=} \mathbf{F}_{n+1} \mathbf{F}_n^{p-1} \quad (2.6)$$

is a *trial* value of the elastic deformation gradient. Trial values correspond to the value of a quantity at the end of the step when plastic flow is frozen.

Henceforth

- we limit our considerations to small time increments  $\Delta t$ .

Under this approximation

$$\exp\left(-\Delta t \mathbf{D}_{n+1}^p\right) \approx \mathbf{1} - \Delta t \mathbf{D}_{n+1}^p, \quad (2.7)$$

and equation (2.5) becomes

$$\mathbf{F}_{n+1}^e = \mathbf{F}_{\text{tr}}^e \left(\mathbf{1} - \Delta t \mathbf{D}_{n+1}^p\right). \quad (2.8)$$

A calculation for the Green elastic strain  $\mathbf{E}_{n+1}^e = (1/2) (\mathbf{C}_{n+1}^e - \mathbf{1})$  (using the approximation of small elastic stretches,  $\mathbf{C}^e \approx \mathbf{1}$ ) then gives

$$\mathbf{E}_{n+1}^e \approx \mathbf{E}_{\text{tr}}^e - \Delta t \mathbf{D}_{n+1}^p \quad \text{where} \quad \mathbf{E}_{\text{tr}}^e = (1/2) (\mathbf{C}_{\text{tr}}^e - \mathbf{1}). \quad (2.9)$$

The stress strain relation (1.5),  $\mathbf{T}^e = \mathbb{C}[\mathbf{E}^e]$ , then gives the update for the elastic second Piola stress,

$$\mathbf{T}_{n+1}^e = \mathbf{T}_{\text{tr}}^e - \mathbb{C}[\Delta t \mathbf{D}_{n+1}^p], \quad (2.10)$$

where

$$\mathbf{T}_{\text{tr}}^e \stackrel{\text{def}}{=} \mathbb{C}[\mathbf{E}_{\text{tr}}^e], \quad (2.11)$$

is the trial elastic stress. Finally using

$$\mathbb{C} = 2G (\mathbb{I}^{\text{sym}} - \frac{1}{3} \mathbf{1} \otimes \mathbf{1}) + K \mathbf{1} \otimes \mathbf{1},$$

and

$$\mathbf{D}_{n+1}^p = \sqrt{3/2} \dot{\epsilon}^p_{n+1} \mathbf{N}_{n+1}^p,$$

we have

$$\mathbf{T}_{n+1}^e = \mathbf{T}_{\text{tr}}^e - \sqrt{6} G \Delta \bar{\epsilon}^p \mathbf{N}_{n+1}^p. \quad (2.12)$$

where

$$\Delta \bar{\epsilon}^p \stackrel{\text{def}}{=} \Delta t \dot{\epsilon}^p_{n+1} \quad (2.13)$$

is an equivalent tensile plastic strain increment.

Since

$$\mathbf{N}_{n+1}^p = \sqrt{3/2} \left( \mathbf{T}_{0n+1}^e / \bar{\sigma}_{n+1} \right), \quad (2.14)$$

splitting (2.12) into its deviatoric and spherical parts we have

$$(\mathbf{T}_{n+1}^e)_0 = (\mathbf{T}_{\text{tr}}^e)_0 - \sqrt{6} G \Delta \bar{\epsilon}^p \mathbf{N}_{n+1}^p, \quad (2.15)$$

$$\text{tr} \mathbf{T}_{n+1}^e = \text{tr} \mathbf{T}_{\text{tr}}^e. \quad (2.16)$$

Also, (2.14) and (2.15) gives

$$\left( \sqrt{2/3} \bar{\sigma}_{n+1} + \sqrt{6} G \Delta \bar{\epsilon}^p \right) \mathbf{N}_{n+1}^p = (\mathbf{T}_{\text{tr}}^e)_0. \quad (2.17)$$

Let

$$\bar{\sigma}_{\text{tr}} \stackrel{\text{def}}{=} \sqrt{3/2} |(\mathbf{T}_{\text{tr}}^e)_0| \quad (2.18)$$

$$\mathbf{N}_{n+1}^{p \text{ tr}} \stackrel{\text{def}}{=} \sqrt{3/2} \left( (\mathbf{T}_{\text{tr}}^e)_0 / \bar{\sigma}_{\text{tr}} \right), \quad (2.19)$$

define the trial equivalent tensile stress and the trial direction of plastic flow. Then (2.17) may be written as

$$\left( \sqrt{2/3} \bar{\sigma}_{n+1} + \sqrt{6} G \Delta \bar{\epsilon}^p \right) \mathbf{N}_{n+1}^p = \sqrt{2/3} \bar{\sigma}_{\text{tr}} \mathbf{N}_{n+1}^{p \text{ tr}}, \quad (2.20)$$

which immediately gives the following two important results:

1. *The direction of plastic flow at the end of the step is determined by the trial direction of plastic flow:*

$$\mathbf{N}_{n+1}^p = \mathbf{N}_{n+1}^{p \text{ tr}}. \quad (2.21)$$

2. *The equivalent tensile stress at the end of the step and trial value of the equivalent tensile stress are related by*

$$\bar{\sigma}_{n+1} = \bar{\sigma}_{\text{tr}} - 3 G \Delta \bar{\epsilon}^p. \quad (2.22)$$

Next, the *consistency condition* requires that

$$\bar{\sigma}_{n+1} = Y(\bar{\epsilon}_{n+1}^p). \quad (2.23)$$

Hence (2.22) and (2.23) give the following *implicit equation for the equivalent tensile plastic strain increment*  $\Delta \bar{\epsilon}^p$ :

$$\Phi(\Delta \bar{\epsilon}^p) = \bar{\sigma}_{\text{tr}} - 3 G \Delta \bar{\epsilon}^p - Y(\bar{\epsilon}_{n+1}^p) = 0. \quad (2.24)$$

We assume here that the strain-hardening rate is not very large, and that for small values of  $\Delta \bar{\epsilon}^p$  we may approximate

$$Y(\bar{\epsilon}_{n+1}^p) = Y(\bar{\epsilon}_n^p) + H(\bar{\epsilon}_n^p) \Delta \bar{\epsilon}^p.$$

Using this in equation (2.24) gives the following equation for the equivalent tensile plastic strain increment  $\Delta\bar{\epsilon}^p$ ,

$$\bar{\sigma}^{\text{tr}} - 3 G \Delta\bar{\epsilon}^p = Y(\bar{\epsilon}_n^p) + H(\bar{\epsilon}_n^p) \Delta\bar{\epsilon}^p ,$$

which gives

$$\Delta\bar{\epsilon}^p = \frac{\bar{\sigma}^{\text{tr}} - Y(\bar{\epsilon}_n^p)}{3G + H(\bar{\epsilon}_n^p)} . \quad (2.25)$$

The update for  $\mathbf{F}^p$  is then obtained from

$$\mathbf{F}_{n+1}^p = (\mathbf{1} + \Delta t \mathbf{D}_{n+1}^p) \mathbf{F}_n^p, \quad \text{with} \quad \Delta t \mathbf{D}_{n+1}^p = \sqrt{3/2} \Delta\bar{\epsilon}^p \mathbf{N}_{\text{tr}}^p . \quad (2.26)$$

Then the following updates are easily calculated:

$$\begin{aligned} \mathbf{F}_{n+1}^e &= \mathbf{F}_{n+1} (\mathbf{F}_{n+1}^p)^{-1} , \\ \mathbf{E}_{n+1}^e &= \frac{1}{2} ((\mathbf{F}_{n+1}^e)^\top \mathbf{F}_{n+1}^e - \mathbf{1}) , \\ \mathbf{T}_{n+1}^e &= 2G(\mathbf{E}_{n+1}^e)_0 + K \text{tr}(\mathbf{E}_{n+1}^e) \mathbf{1} , \\ \mathbf{T}_{n+1} &= (J_{n+1}^e)^{-1} (\mathbf{F}_{n+1}^e) \mathbf{T}^e (\mathbf{F}_{n+1}^e)^\top \\ \mathbf{T}_{\text{Rn}+1} &= J \mathbf{T}_{n+1} \mathbf{F}_{n+1}^{-\top} . \end{aligned} \quad (2.27)$$

### 3 Summary of time-integration algorithm

Given:  $\{\mathbf{F}_n^p, \bar{\epsilon}_n^p\}$  and  $\mathbf{F}_{n+1}$  at time  $t_n$

Calculate:  $\{\mathbf{F}_{n+1}^p, \bar{\epsilon}_{n+1}^p, \mathbf{T}_{Rn+1}\}$  at time  $t_{n+1} = t_n + \Delta t$ .

**Step 1.** Calculate the trial elastic deformation gradient

$$\mathbf{F}_{\text{tr}}^e \stackrel{\text{def}}{=} \mathbf{F}_{n+1} \mathbf{F}_n^{p-1}. \quad (3.1)$$

**Step 2.** Calculate the trial elastic second Piola stress stress and the associated quantities

$$\begin{aligned} \mathbf{C}_{\text{tr}}^e &= (\mathbf{F}_{\text{tr}}^e)^\top \mathbf{F}_{\text{tr}}^e, \\ \mathbf{E}_{\text{tr}}^e &= (1/2) (\mathbf{C}_{\text{tr}}^e - \mathbf{1}), \\ \mathbf{T}_{\text{tr}}^e &= \mathbb{C}[\mathbf{E}_{\text{tr}}^e], \\ (\mathbf{T}_{\text{tr}}^e)_0 &= \mathbf{T}_{\text{tr}}^e - \frac{1}{3} (\text{tr } \mathbf{T}_{\text{tr}}^e) \mathbf{1}, \\ \bar{\sigma}_{\text{tr}} &= \sqrt{3/2} |(\mathbf{T}_{\text{tr}}^e)_0|, \quad \mathbf{N}_{\text{tr}}^p = \sqrt{3/2} \frac{(\mathbf{T}_{\text{tr}}^e)_0}{\bar{\sigma}_{\text{tr}}}, \\ f_{n+1}^{\text{tr}} &= \bar{\sigma}_{\text{tr}} - Y_n. \end{aligned} \quad (3.2)$$

**Step 4** Determine if the step is elastic or plastic:

if  $(f_{n+1}^{\text{tr}} \leq 0)$  then  
     elastic step  
 else  
     plastic step  
 end if

**Step 5.** 1. For an elastic step perform the update:

$$\begin{aligned} \mathbf{T}_{n+1} &= (J_{n+1}^e)^{-1} (\mathbf{F}_{n+1}^e) \mathbf{T}_{\text{tr}}^e (\mathbf{F}_{n+1}^e)^\top \\ \mathbf{T}_{Rn+1} &= J \mathbf{T}_{n+1} \mathbf{F}_{n+1}^{-\top} \\ \mathbf{F}_{n+1}^p &= \mathbf{F}_n^p, \quad \bar{\epsilon}_{n+1}^p = \bar{\epsilon}_n^p. \end{aligned} \quad (3.3)$$

2. For a plastic step:

Calculate  $\Delta \bar{\epsilon}^p$

$$\Delta \bar{\epsilon}^p = \frac{\bar{\sigma}^{\text{tr}} - Y(\bar{\epsilon}_n^p)}{3G + H(\bar{\epsilon}_n^p)}. \quad (3.4)$$

and update  $\bar{\epsilon}^p$ ,

$$\bar{\epsilon}_{n+1}^p = \bar{\epsilon}_n^p + \Delta \bar{\epsilon}^p. \quad (3.5)$$

The update for  $\mathbf{F}^p$  is then obtained from

$$\mathbf{F}_{n+1}^p = \left( \mathbf{1} + \sqrt{3/2} \Delta \bar{\epsilon}^p \mathbf{N}_{\text{tr}}^p \right) \mathbf{F}_n^p, \quad (3.6)$$

and the following updates are easily calculated:

$$\begin{aligned}
\mathbf{F}_{n+1}^e &= \mathbf{F}_{n+1} (\mathbf{F}_{n+1}^p)^{-1}, \\
\mathbf{E}_{n+1}^e &= \frac{1}{2} ((\mathbf{F}_{n+1}^e)^\top \mathbf{F}_{n+1}^e - \mathbf{1}), \\
\mathbf{T}_{n+1}^e &= 2G(\mathbf{E}_{n+1}^e)_0 + K \operatorname{tr}(\mathbf{E}_{n+1}^e) \mathbf{1}, \\
\mathbf{T}_{n+1} &= (J_{n+1}^e)^{-1} (\mathbf{F}_{n+1}^e) \mathbf{T}^e (\mathbf{F}_{n+1}^e)^\top, \\
\mathbf{T}_{\text{Rn}+1} &= J \mathbf{T}_{n+1} \mathbf{F}_{n+1}^{-\top}.
\end{aligned} \tag{3.7}$$

## References

M. E. Gurtin, E. Fried, and L. Anand. *The Mechanics and Thermodynamics of Continua*. Cambridge University Press, Cambridge, 2010.