## The Haverford Educational RISC Architecture

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### 1 Purpose and History

The Haverford Educational RISC Architecture (HERA) provides a foundation for a multi-course project unifying Haverford's upper-level computer science curriculum. HERA is powerful enough to introduce assembly language programming in Principles of Programming Languages and serve as a target for compilers in Compiler Design, yet be simple enough to be built as a student project in Principles of Computer Organization (using, for example, TKGate [Han04]) and extended in hardware/software co-design projects in Operating Systems. Thus, through these four classes, students produce a system in which high-level code is translated into machine language that can be executed on a microprocessor they have designed, and on which I/O to an ASCII terminal can be performed through simple device drivers they have written themselves. This philosophy of building the simplest system that actually works is coupled with lectures contrasting HERA with real-world systems. For more details on the educational uses of HERA, see [Won06].

The HERA-C development system allows students to execute HERA assembly language programs before their own system is operational. HERA-C is a set of C macros that allows a standard C or C++ development environment to compile, execute, and debug HERA programs. This lets students start to use HERA with minimum distraction from new tools. See [Won03] for more information about HERA-C and other supporting tools.

The HERA system originated with an attempt to simplify Andrew Appel's "Jouette" [App98] for use in a Computer Hardware course based on [Man88]. The current system owes much to the helpful criticism and patience of students who endured early versions. Todd Miller (Haverford College class of 2001) also contributed significantly to the early macros that became HERA-C. My thanks to all of you!

### 2 Architecture Overview: Registers, Flags, Memory Size

The HERA processor sixteen 16-bit general purpose registers, known as  $R_0...R_{15}$ , which can be used as operands for most instructions (e.g., ADD, or LOAD). Several of these also play special roles in some instructions. The stack pointer ( $R_{15}$ , also known as SP) and frame pointer ( $R_{14}$ , or FP) are also modified by the function call and return operations. The temporary register ( $R_{13}$ , or  $R_t$ ) is used during function calls, returns, and in multiplication operations, as well as by some of the assembly-language pseudo-operations given in Section 4. The zero register ( $R_0$ ) always has the value 0, providing a mechanism for performing operations such as comparison (the CMP pseudo-op) and negation (NEG).

The processor also has a 16-bit program counter (known as PC) and a set of status/control flags. The flags are: sign  $(s, \text{ or } F_0)$ , which is set to true by a negative result; zero  $(z, \text{ or } F_1)$ , which is set to true by an overflow from a 2's complement arithmetic operation; and carry  $(c, \text{ or } F_3)$ , which is set to true by an overflow from an unsigned operation. These flags may be used in a branch instruction, and the value of the carry flag may be used in subsequent arithmetic operations. There is an additional 5th flag  $F_4$  known as "carry-block". When carry-block is set the carry is not used during arithmetic operations, providing for faster, simpler code for single-precision operations, or during shift operations. The carry-block flag can be saved, restored, or explicitly modified, but is not affected by other operations.

HERA can address 2<sup>16</sup> 16-bit words of memory, using the LOAD and STORE instructions. A HERA CPU typically uses separate memory systems, each with its own address and data buses, for instructions and data (as with the original "Harvard architecture", see Page K-4 of [HP07]).

### 3 Instruction Set

The HERA instruction set is comprised entirely of single-word operations, each identified with a brief acronym in assembly language or a certain pattern of bits in machine language. In the descriptions below, subscripts are used to identify specific bits, e.g.  $b_{15:12}$  refers to bits 15 through 12 inclusive (the first four of the sixteen bits of the instruction). Except for the memory operations LOAD and STORE, HERA instructions use and change only registers and flags.

### 3.1 Arithmetic, Shift, and Logical Instructions ( $b_{15} = 1, b_{15:12} = 0011$ )

The Arithmetic, Shift, and Logical Instructions use the current values of registers and flags, and sometimes some bits of the instruction itself, to produce new values for registers and flags.

### 3.1.1 SETLO and SETHI $(b_{15:13}=111)$

SETLO and SETHI operate on a destination register number and an immediate 8-bit value.

$b_{15:12}$	Mnemonic	Meaning	Notes
1110	SETLO(d, v)	$R_d \leftarrow v$	set $R_d$ to signed quantity $v$
1111	SETHI(d, v)	$(R_d)_{15:8} \leftarrow v$	set high 8 bits of $R_d$

SETLO sets  $R_d$  to the sign-extended value v, while SETHI changes the high 8 bits of  $R_d$  to v. A SETLO/SETHI sequence can thus be used to establish any arbitrary 16-bit pattern in a register, while SETLO by itself provides small constants. SETLO and SETHI do not affect any flags.

The format for the binary instructions for SETLO and SETHI is

15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0
1	1	1	l/h		d						v				

### 3.1.2 Three-address operations $(b_{15:13}=110, 101, \text{ or } 100)$

The three-address HERA operations are

$b_{15:12}$	Mnemonic	Meaning	Notes
1000	AND(d, a,b)	$R_d(i) \leftarrow R_a(i) \land R_b(i)$	bit-wise logical and
1001	$\mathtt{OR}(d, a,b)$	$R_d(i) \leftarrow R_a(i) \lor R_b(i)$	bit-wise logical or
1010	$\mathtt{ADD}(d, a,b)$	$R_d \leftarrow R_a + R_b + (c \wedge F_4')$	use carry unless blocked
1011	$\mathtt{SUB}(d, a, b)$	$R_d \leftarrow R_a - R_b - (c' \wedge F_4')$	use carry unless blocked
1100	$\mathtt{MULT}(d, a,b)$	$R_d \leftarrow (R_a * R_b)_{15:0},$	signed multiplication
		$R_t \leftarrow (R_a * R_b)_{31:16}$	
1101	$\mathtt{XOR}(d,\ a,b)$	$R_d \leftarrow R_a \oplus R_b$	bit-wise exclusive or

These operations all modify the zero flag (true if and only if the result of the operation was zero) and sign flag (true iff  $b_{15}$  of the result is true). Addition and subtraction modify the overflow flag and carry flag. Unless the carry-block flag is true, addition and subtraction use the carry flag as the incoming carry. If carry-block is true, addition and subtraction ignore the carry flag (carry-in is 0 for addition and 1 for subtraction).

The multiplication operation computes the product of  $R_a$  and  $R_b$ , treated as signed integer quantities. It places the low-order 16 bits in  $R_d$  and the high-order 16 bits in the temporary register  $R_t$ . MULT modifies the zero, sign, and overflow flags (zero and sign are based on all 32 bits of the result; the overflow flag is true if and only if simply sign extending the lower 16 bits would not produce the full 32-bit result). The MULT instruction leaves the carry flag unchanged.

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These operations are all encoded by the operation followed by d, a and b.

	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0
Ī	1		0			d				a				b		

### 3.1.3 Shifts, increments, and flag operations ( $b_{15:12} = 0011$ )

When  $b_{15:12} = 0011$ , a shift, increment, or flag control operation is performed, based on  $b_{7:4}$ .

**Shifts** The HERA shift operations are:

$b_{15:12}$ $b_{7:4}$	Mnemonic	Meaning	Notes
0011 0000	LSL(d, b)	$R_d \leftarrow \frac{\sinh/\operatorname{rolc}(R_b)}{}$	Logical shift left, possibly with carry
0011  0001	$\mathtt{LSR}(d,b)$	$R_d \leftarrow \operatorname{shr/rorc}(R_b)$	Logical shift right, possibly with carry
0011  0010	LSL8(d, b)	$R_d \leftarrow \text{shl8}(R_b)$	Logical shift left 8 bits
0011 0011	LSR8(d, b)	$R_d \leftarrow \text{shr8}(R_b)$	Logical shift right 8 bits
0011 0100	$\mathtt{ASL}(d,b)$	$R_d \leftarrow \text{asl/aslc}(R_b)$	Arithmetic shift left, possibly with carry
0011 0101	$\mathtt{ASR}(d,b)$	$R_d \leftarrow \operatorname{asr}(R_b)$	Arithmetic shift right

The shift operations modify flags as appropriate for the value produced. For one-bit shifts, carry becomes the bit shifted out; for ASL, the overflow flag receives the value it would have after ADD(d, b, b); otherwise the flag values are not changed by shift instructions.

LSL, LSR, and ASL shift in the value  $(c \wedge F'_4)$ . Thus, when carry-block is false, the logical shift operations correspond to a rotate with carry. ASR ignores the incoming carry, always producing a result that is half of the (signed) value. The eight-bit shift operations shift in zeros, regardless of the carry block flag.

15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0
0	0	1	1		d				0				b		

Set/clear flags Flags (or sets of flags) can be explicitly set or cleared with SETF or CLRF.

$b_{15:12}$ $b_{11}$ $b_{12}$	7:4 Mnemonic	Meaning	Notes
0011 0 01	110  SETF(v)	$F \leftarrow F \lor v$	Set flags for which $v$ is true
0011 1 01	10  CLRF(v)	$F \leftarrow F \wedge v'$	Clear flags for which $v$ is true

The value of  $b_{11}$  controls whether flags are set  $(b_{11} = 0)$  or cleared  $(b_{11} = 1)$ . The values in  $b_8$  and  $b_{3:0}$  are combined to make a five-bit value that is used to control which flags are set or cleared. The SETF and CLRF instructions are typically treated as single-operand instructions by assemblers, rather than operations with separate operands for  $v_4$  and  $v_{3:0}$ . In other words, SETF (0x15) produces the instruction 0x3165, which sets the carry block  $(F_4$ , or 0x10) and overflow  $(F_2$ , or 0x04) and sign  $(F_0$ , or 0x01) flags, while CLRF (0x0a) produces 0x386a, which clears the carry  $(F_3$ , or 0x08) and zero  $(F_1$ , or 0x02) flags.

15															
0	0	1	1	s/c	0	0	$v_4$	0	1	1	0	$v_3$	$v_2$	$v_1$	$v_0$

Save/restore flags Flags can also be collectively saved to or loaded from a register.

$b_{15:12}$ $b_{7:4}$ $b_3$	Mnemonic	Meaning	Notes
0011 0111 0	$\mathtt{SAVEF}(d)$	$R_d \leftarrow F$	Save flags to $R_d$
0011  0111  1	RSTRF(d)	$F \leftarrow R_d$	Restore flags from $R_d$

The value of  $b_3$  controls whether flags are saved ( $b_3 = 0$ ) or restored ( $b_3 = 1$ ). Note that the flags are saved in bits 4:0 of  $R_d$ , not  $b_8$  and  $b_{3:0}$  as in the SETF and CLRF instructions.

				10									
0	0	1	1	d		0	1	1	1	s/r	0	0	0

**Increments** The increment and decrement operations are

$b_{15:12} \ b_{7:6}$	Mnemonic	Meaning	Notes
0011 10	$INC(d, \delta)$	$R_d \leftarrow R_d + \delta$	Increment $R_d$ by $\delta$
0011 11	$DEC(d, \delta)$	$R_d \leftarrow R_d - \delta$	Decrement $R_d$ by $\delta$

The increment and decrement operations update the overflow and carry flags (as well as zero and sign), but always ignore the incoming carry.

The value of  $b_6$  controls whether an increment  $(b_6 = 0)$  or decrement  $(b_6 = 1)$  is performed.

				 	-	· .	7	٠.	-	4	3	2	1	0
0	0	1	1	d			1	i/d			$\epsilon$			

Note that the value added or subtracted from  $R_d$  is one more than the unsigned quantity given in bits 5:0 (labeled  $\epsilon$  above) — there is no increment or decrement by zero. Note that, by convention, assembly language translators require that the programmer express  $\delta$ , the quantity to be added or subtracted for INC and DEC. For example, INC(r1,6) produces the machine language instruction 0x3185, not 0x3186, to add the constant 6 to  $R_1$ .

### 3.2 Memory Instructions $(b_{15:14} = 01)$

The LOAD and STORE instructions move data between registers and memory.

$b_{15:13}$	Mnemonic	Meaning	Notes
010	$\mathtt{LOAD}(d, o, b)$	$R_d \leftarrow M[R_b + o]$	Load memory cell into $R_d$ .
011	STORE(d, o, b)	$M[R_b+o]\leftarrow R_d$	Store value of $R_d$ into memory.

No flag is modified or used during a STORE instruction; LOAD modifies the s and z flags and leaves others unchanged. The binary format for these instructions is

15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0
0	1	l/s	$o_4$		d			03	$o_2$	$o_1$	$o_0$		b		

where s is 0 for a LOAD operation and 1 for a STORE, and data is transferred between  $R_d$  and memory cell  $R_b + o$  (where o is a 5-bit *unsigned* number (0..31) constructed from  $b_{13}$  followed by  $b_{7:4}$ ). By convention, assemblers combine all o bits into a single offset parameter, e.g. LOAD(r1, 17,r2) loads  $M[R_2+17]$  into  $R_1$ .

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### 3.3 Control-Flow and Other Instructions $(b_{15:14} = 00)$

Control-flow instructions include conditional branches, unconditional branches ("jump" instruction), and function and interrupt instructions.

### 3.3.1 Branches, including jumps $(b_{15:13} = 000)$

HERA provides the following branches that transfer to an address in a register (b) and relative branches that transfer to the current positions plus an 8-bit signed offset (o). Relative branches are distinguished in assembly language by an appended "R" in the operation name.

$b_{15:12}$	$b_{11:8}$	Mnemonic	Meaning
0001/0	0000	$\mathtt{BR}(b)/\mathtt{BRR}(o)$	Unconditional branch – $true$
0001/0	0001		(unused)
0001/0	0010	$\mathtt{BL}(b)/\mathtt{BLR}(o)$	Branch if signed result $<0$ — $(s \oplus v)$
0001/0	0011	$\mathtt{BGE}(b)/\mathtt{BGER}(o)$	Branch if signed result $\geqslant 0 - (s \oplus v)'$
0001/0	0100	$\mathtt{BLE}(b)/\mathtt{BLER}(o)$	Branch if signed result $\leq 0 - ((s \oplus v) \lor z)$
0001/0	0101	$\mathtt{BG}(b)/\mathtt{BGR}(o)$	Branch if signed result $>0$ — $((s \oplus v) \lor z)'$
0001/0	0110	$\mathtt{BULE}(b)/\mathtt{BULER}(o)$	Branch if unsigned result $\leq 0 - (c' \vee z)$
0001/0	0111	$\mathtt{BUG}(b)/\mathtt{BUGR}(o)$	Branch if unsigned result $>0$ — $(c' \lor z)'$
0001/0	1000	$\mathtt{BZ}(b)/\mathtt{BZR}(o)$	Branch if zero — $z$ (if CMP operands =)
0001/0	1001	$\mathtt{BNZ}(b)/\mathtt{BNZR}(o)$	Branch if not zero — $z'$ (if operands $\neq$ )
0001/0	1010	$\mathtt{BC}(b)/\mathtt{BCR}(o)$	Branch if carry — $c$ (unsigned result $\geq 0$ )
0001/0	1011	$\mathtt{BNC}(b)/\mathtt{BNCR}(o)$	Branch if not carry — $c'$ (unsigned $<0$ )
0001/0	1100	$\mathtt{BS}(b)/\mathtt{BSR}(o)$	Branch if sign (negative) — $s$
0001/0	1101	$\mathtt{BNS}(b)/\mathtt{BNSR}(o)$	Branch if not sign (non-negative) — $s'$
0001/0	1110	$\mathtt{BV}(b)/\mathtt{BVR}(o)$	Branch if overflow — $v$
0001/0	1111	$\mathtt{BNV}(b)/\mathtt{BNVR}(o)$	Branch if not overflow — $v'$

Branches, both conditional and unconditional, are indicated by  $b_{15:13} = 000$ . For both,  $b_{11:8}$  indicate the condition c under which the branch is to be taken; see section 9-8 of M. Morris Mano's "Computer Engineering: Hardware Design" for an explanation of which flags are used for each branch.

The format for register-mode branch instructions is

1	5	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0
	0	0	0	1		c			0	0	0	0		b		

where  $R_b$  gives the address for the next instruction to be executed if c is satisfied. The format for relative branch instructions is

15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0
0	0	0	0		c							o			

where o is treated as an 8-bit signed quantity (-128...127) giving the offset from the branch instruction itself (i.e., the address for the next instruction to be executed if c is satisfied is PC + o, where PC indicates the value of the program counter for the branch instruction itself). Thus, the unconditional relative branch instruction ( $b_{7:0} = 0$ ) includes the special cases HALT (o = 0) and NOP (o = 1).

### 3.3.2 Function call and return; Interrupt processing $(b_{15:12} = 0010)$

HERA provides function call and return instructions as shown below.

$b_{15:8}$	Mnemonic	Comments
0010 0000	$\mathtt{CALL}(a,b)$	Call function at address $R_b$ , with new stack frame starting at $R_a$
$0010\ 0001$	$\mathtt{RETURN}(a,b)$	Return, expecting return address and control link in $R_b$ and $R_a$

In a CALL instruction,  $R_b$  gives the starting address of the function and  $R_a$  the location of the called function's stack frame. The expectation is that each function's stack frame will run from memory cell FP to SP-1 inclusive (see Section 5.5.2), and that at the time of the CALL instruction, the  $R_a$  will point to the desired FP for the called function. The address of the starting instruction of the function body is given in  $R_b$ . The function call instruction saves the address of the instruction following the CALL (i.e. the target of the RETURN instruction) into  $R_b$  and the precall value of FP into  $R_a$ . These values will be needed by the corresponding RETURN. Care must be taken to preserve these values and ensure consistent expectations about which registers are used; see Sections 5.5.2 and 5.5.1 for examples.

The semantics of a CALL instruction are

$$PC \leftarrow R_b, R_b \leftarrow PC + 1, FP \leftarrow R_a, R_a \leftarrow FP$$
.

The RETURN instruction reverses a CALL instruction, i.e.,

$$PC \leftarrow R_b, FP \leftarrow R_a, R_a \leftarrow FP$$
.

The function call instruction has the binary format

15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0
0	0	1	0	0	0	0	0		a				b		

and RETURN has the binary format

					10				4	3	2	1	0
0	0	1	0	0	0	0	1	a			b		

The precise specification of interrupt and I/O processing (via hardware interrupt signals, the software interrupt instruction SWI, and return from interrupt instruction RTI), is left as an exercise for students (as the lab projects in Haverford's CMSC 356: Concurrency and Co-Design in Operating Systems). The following instructions are reserved for this purpose:

b	Mnemonic	Comments
0010 0010 0000 i	$\mathtt{SWI}(i)$	Software interrupt $\#i$
0010 0011 0000 0000	RTI()	Return from interrupt

### 4 Assembly Language Conventions and Pseudo-Operations

Operands for the operations listed in the previous section are listed in the order they appear in the instruction (from  $b_{15}$  to  $b_0$ ). For example, AND(r1, r2,r3) sets  $R_1$  to  $R_2 \wedge R_3$ , and STORE(r1, 5,r2) puts the contents of  $R_1$  into  $M[R_2 + 5]$ . Note again that INC and DEC require the programmer to express the quantity to be added or subtracted, e.g., INC(r1,6) produces a machine-language instruction containing the operand 5 (i.e., 0xd185), which adds 6 to  $R_1$ .

HERA assembly language typically defines the pseudo-operations and data statements listed below, for use in addition to the true operations provided by the processor and listed in the previous section. Note that the HERA-C simulator requires that all data statements (i.e., INTEGER, DSKIP, TIGER\_STRING, and DLABEL) precede all instructions. Also note that, in HERA-C and the Hassem assembler, branches and calls must specify a label rather than an actual register or offset ( $R_t$  is used to hold the address in a CALL or register-mode branch).

Mnemonic	Definition	Notes
SET(d, l)	SETLO( $d, l \& 0x \text{ ff}$ ); SETHI( $d, l \gg 8$ )	$R_d \leftarrow l \text{ (set } R_d \text{ to 16-bit value } l)$
$\mathtt{MOVE}(a,b)$	$\mathtt{OR}(a,b,\!R_0)$	$R_a \leftarrow R_b$
$\mathtt{CMP}(a, b)$	$SETC(); SUB(R_0, a, b)$	Set flags for $a-b$
$\mathtt{NEG}(d, b)$	$SETC(); SUB(d, R_0, b)$	Set $R_d \leftarrow -R_b$
$\mathtt{NOT}(d, b)$	$SET(R_t, 0x \text{ ffff}); XOR(d, R_t, b)$	Bit-wise complement
SETC()	SETF(0x08)	Set the carry flag
CLRC( )	CLRF(0x08)	Clear the carry flag
SETCB( )	SETF(0x10)	Set the carry-block flag
CLCCB( )	CLRF(0x18)	Clear carry and carry-block flags
$\mathtt{FLAGS}(a)$	$\mathtt{CLRC}(\ );\ \mathtt{ADD}(R_0,\ a,\ R_0)$	Set flags for $R_a$
$\mathtt{LABEL}(L)/\mathtt{DLABEL}(L)$	$(no\ machine\ language\ generated)$	Define a label $L^*$
$\mathtt{INTEGER}(i)$	i	Put $i$ in the current memory cell
${\tt TIGER\_STRING}(s)$	$s^{\star\star}$	Put string $s$ in memory for Tiger
$\mathtt{DSKIP}(n)$	n uninitialized data memory cells	Skip $n$ cells of data memory
$\mathtt{BR}(L)$	$SET(R_t, address(L)); BR(R_t)$	Do a branch to label $L$ using $R_t$
$\mathtt{BRR}(L)$	BRR(address(L))	Do a relative branch to label $L$
$\mathrm{BG}(L),\ \mathrm{BC}(L)$		Do any other branch using $R_t$
$\mathtt{BGR}(L),\ \mathtt{BCR}(L)$		Do any other relative branch
NOP()	BRR(1)	Do nothing ("No operation")
HALT( )	BRR(0)	Halt the program
$\mathtt{CALL}(s,L)$	$SET(R_t, address(L)); CALL(s, R_t)$	Do a call using $R_t$ (i.e., $R_{13}$ )
$\mathtt{OPCODE}(n)$	$n^{\star\star\star}$	Machine language op $n$

- \* A label is a sequence of letters, numerals, and underscores starting with a letter (i.e., any C++ identifier that does not start with an underscore). A label may be used in a CALL or branch instruction, or (for a DLABEL) to identify the address of subsequent values given with INTEGER and TIGER\_STRING data statements. Due to a limitation in its implementation, HERA-C simulator requires the distinction between LABEL (for instructions) and DLABEL (for data).
- \*\* The string s cannot contain control characters (including newlines or tabs); some assemblers may accept sequences starting with backslash as a C compiler would.
- \*\*\* The OPCODE pseudo-operation is typically used to allow Hassem to assemble non-standard extensions of the HERA instruction set, e.g., for I/O instructions or for transactional memory systems.

### 5 Idioms

The following sections give typical usages of HERA operations, pseudo-operations, and data statements.

### 5.1 Single-Precision Arithmetic

If a program employs only single-precision addition and subtraction, it typically begins by setting the carry-block flag, and then uses the arithmetic operations it requires, as shown in Figure 1. The HERA machine language for this example is 3160 ... a123 a114 b543.

#### 5.2 Double-Precision or Mixed-Precision Arithmetic

If a program employs double (or higher) precision arithmetic, i.e. uses two (or more) 16-bit registers to represent a value of 32 (or more) bits, then the carry block must be disabled. Programs that employ a mixture of single and higher precision may either turn carry-block on and off, or leave carry-block off and set the carry flag before single as well as double-precision operations. When carry-block is off, the carry flag should generally be *cleared* before beginning a sequence of one or more addition operations, and *set* before beginning a subtraction sequence.

Figure 2 shows an example of double-precision addition followed by single-precision negation. The HERA machine language is 3968 ... 3868 a246 a135 3868 a228 a117 3068 b909.

```
// Set R1 to the single-precision sum of R2+R3+R4, R5 to R4-R3
SETCB()
               // Disable use of carry flag in single-precision
                     (other instructions that may set the carry; we don't care)
ADD(r1, r2,r3) // R1 = R2 + R3,
                                              * regardless of incoming carry *
ADD(r1, r1,r4) // R1 = R1 (i.e. R2+R3) + R4, * regardless of carry *
SUB(r5, r4,r3) // R5 = R4 - R3,
                                              * regardless of carry *
                         Figure 1. Single-Precision Arithmetic.
// Make [R1 R2] the (double-precision) sum of [R3 R4] and [R5 R6];
// then add [R7 R8] into [R1 R2];
// finally make R9 the (single precision) value -R9
CLCCB()
               // Enable use of carry flag for multiple-precision arithmetic
 // ...
                  (possibly other instructions that may set or clear the carry)
CLRC()
               // Start with carry-in=0 for least-significant digit
ADD(r2, r4,r6) // R2 = R4+R6, carry set if necessary
ADD(r1, r3,r5) // R1 = R3+R5 plus carry, if set by R4+R6
               // Start with carry-in=0 for least-significant digit of [R7 R8]
ADD(r2, r2, r8) // R2 = R2+R8 (i.e. R4+R6+R8), carry set if necessary
ADD(r1, r1,r7) // R1 = R1+R7, i.e. the most significant digit of the sum
SETC()
               // Make sure carry-in=1 for subtraction
SUB(r9, r0,r9) // Set R9=-R9 (as in the pseudo-op NEG(r9))
```

Figure 2. Mixed-Precision Arithmetic.

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### 5.3 Control Flow and Branch Instructions

Control flow normally proceeds through consecutive instructions, moving from address a to address a+1 of the instruction memory. Branches (and CALL and RETURN — see Sections 5.5.2–5.5.3) provide a mechanism for moving to a different address, much as keywords like if and while provide a variation on the usual line-by-line sequence in a higher-level imperative language.

After executing a register-mode branch  $BR(R_b)$ , a HERA processor will next execute whatever instruction is in the instruction memory cell specified by  $R_b$ . So, for example, after the sequence SET(Rt, 0x0174) BR(Rt) (or, equivalently, ed01 fd74 100d in machine language), the processor will execute whatever instruction is in instruction memory cells 0x0174, then 0x0175, then 0x0176, etc., unless 0x0174 or 0x0175 contains a branch, call, or return instruction.

After executing a relative-mode branches BRR(o) in instruction address a, a HERA processor will next execute the instruction in address a + o. Thus, either a BRR(4) (0004) in address 0x170 or a BRR(-4) (00fc) in address 0x0178 would cause the processor to proceed to the instruction in address 0x0174. Note that BRR(0) (0000) prevents the processor from moving on to the next instruction, and BRR(1) (0001) does nothing but go on to the next instruction.

Branch targets are defined in assembly language with labels defined with the LABEL keyword. Note that assemblers generally translate register-mode branch instructions as a SET(Rt, ...)/BR sequence, so it is not safe to rely on the value in the temporary register ( $R_{13}$ , also known as  $R_t$ ) after a branch instruction. The HERA-C simulator overwrites  $R_t$  as part of each register-mode branch instructions to discourage the habit of relying on  $R_t$  inappropriately.

Conditional control flow is expressed as on most other modern microprocessors — arithmetic operations are used to establish values of the flag bits, and these flags then control the action of conditional branch instructions such as BZ, BZR, BLE, or BLER. Figure 3 shows a program that uses CMP(r1, r0) to adjust the flags as they would be for  $R_1 - 0$ , and then BGER to branch if the flags indicate a non-negative result, i.e., if  $(s \oplus v)$  is false, indicating a non-negative value without an overflow or an apparently negative value in the presence of overflow. Thus the negation of the value in  $R_1$  is skipped if  $R_1$  is already positive.

An assembler would typically produce the sequence 3160 e1b6 b010 0302 b101 3211 for this program. If we had used a BGE instead of BGER, and it were placing instructions into addresses starting at 0x0200, it would typically use SET to put 0x0207 (the address to be used for the LSR) into  $R_{13}$ , producing the sequence 3160 e1b6 b010 ed07 fd02 130d b101 3211.

```
// Make R2 = (abs(r1))/2 (using r1=-74, for example). In other words:
//
     if r1 < 0: r1 = 0-r1
//
     r2 = r1/2
SETCB()
                // Use single-precision
SETLO(r1, 0xB6) // Try -74 for this example
SUB(r0, r1,r0)
                        // set flags for R1-0 (or use CMP(r1, r0))
BGER(SKIP_NEGATION)
                        // if flags show result >= 0, skip over SUB
SUB(r1, r0,r1)
LABEL (SKIP_NEGATION)
LSR(r2, r1)
                        // divide by 2 via logical shift right
```

Figure 3. Control Flow and HERA Branch Instructions.

### 5.4 Data Memory and Memory Instructions

When a program must process more data than can fit in registers, some data must be placed in the main memory system. HERA follows the traditional view of the computer's memory system—essentially, it views memory as one large array of values, in which each element is identified by an integer index known as the *address* of the element. The LOAD instruction retrieves a value from a memory cell and loads it into a register; the value in a register can be stored into a memory cell via the STORE instruction. The address of the memory cell used in a LOAD or STORE is computed by adding a small constant offset (often zero) to a "base address" given in a register. For example, to retrieve the value of memory cell 6 into register 3, we could put 6 into a register (e.g. R1) and then use a LOAD with offset zero (e.g., SET(R1, 6) LOAD(R3, 0,R1)). Conversely, to store the value in R7 into memory cell 6, we could use SET(R1, 6) STORE(R7, 0,R1).

The addition of the small constant can be used to simplify code in which several values are known to be in consecutive addresses, as would be the case when a high-level language's compiler chooses locations for the local variables for a function's stack frame or for the fields of a record or object. The program shown in Figure 4 uses this style of access to three variables stored in consecutive memory cells. This figure also illustrates the use of the assembly language INTEGER and SKIP data statements to reserve addresses in data memory (with and without initialization, respectively), and DLABEL to label the addresses so reserved. Note that the HERA-C system and Hassem assembler require that all data statements appear before the first HERA instruction in the assembly language program.

An assembler would convert a program with data statements into a pair of sequences of values, one for the data memory and one for the instruction memory. The machine language values for this and subsequent examples are based on the assumption that the assembler places the first data statement in cell 0x4001 of the data memory, and the first instruction in cell 0 of the instruction memory. Thus, for Figure 4, the data sequence would put 000c (i.e., 12) into cell 4001 and 0004 into 4003, and the sequence of instruction values would be 3160 e101 f140 e211 f200 6211 4201 4311 a333 a223 4321 b223 6201 0000. (The output of the assembler typically indicates which memory cells are to be used for the data and instructions, though these annotations are not shown in this document.)

#### 5.4.1 Arrays and Address Arithmetic

The assembly language equivalent of an array variable is a sequence of consecutive memory cells that contain the values of the array, and for which the address of the initial element is known. Thus, to find the address of a particular element, we can add the initial element's address and the array index (and a constant if we wish to use an index other than 0 for the initial element). This addition of array start address and index could be done for every reference to an array, though for many programs this can be greatly simplified ... for example, for a program that goes through all elements of an array in order, a register can be used to identify the address of the "current" element, and this address simply incremented to move to the next element. Figure 5 shows an example of this approach, in which R1 holds the address of the current element. Note that a sequence of INTEGER statements can be used to initialize an array (a large SKIP statement can be used to allocate space for an uninitialized array).

The hexadecimal version of Fig. 5's data and instructions are 1f 21 ffdb 29 ffd5 0 0 and 3160 e101 f140 e200 f200 4301 b030 0806 0502 b303 a223 3180 00f9 e107 f140 6201 0.

// Given (single-precision) integer variables X, Y, and Z,

```
// set Y to 17, then compute X+2Y-Z, and save as the result in X,
// e.g. execute "Y := 17; X := X+2Y-Z".
// Here, we assume that there is one data label "Variables",
// for consecutive memory cells for X, Y, and Z,
// with X is at offset 0 from that address, Y at offset 1, and Z at 2.
 DLABEL(Variables)
    INTEGER(12) // Initial value of X
             // Uninitialized space for 1 variable (Y)
   INTEGER(4) // Initial value of Z
// And now, the program ... first, select single-precision
   SETCB()
// Use R1 to identify where the variables start in memory:
   SET(R1, Variables)
// Y := 17
   SET(R2, 17)
                      // Store in Y, i.e., 1 past "Variables"
   STORE(R2, 1,R1)
// X := X+2Y-Z
   LOAD(R2, 0,R1)
                       // X (0 past "Variables") into R2
   LOAD(R3, 1,R1)
                       // Y into R3
                       // R3 := R3+R3, i.e. 2Y
   ADD(R3, R3,R3)
   ADD(R2, R2,R3)
                       // R2 is now X+2Y
   LOAD(R3, 2,R1)
                       // Z into R3
   SUB(R2, R2,R3)
                       // R2 is now X+2Y-Z
   STORE(R2, 0,R1)
                       // Store new value of X
   HALT()
```

Figure 4. Integer Variables Stored in Memory

```
// Compute the sum of the absoulute values of the elements in an array A,
// up to the first O value in A (there must be at least one).
// (single-precision)
//
// Note that, since we rely on finding a value of 0 in the array,
// rather than waiting for the array index to reach the maximum,
// we can just use a single register (R1) to record the address
// of the "current" element, and keep incrementing this address
// until we find that value of zero.
// If this program were to be used on an array that didn't actually
// contain a O anywhere, the value of B would be unreliable, and
// if no memory cell anywhere contained a 0 it would run forever.
  DLABEL(where_A_starts)
    INTEGER(31) // initial element of A
    INTEGER(33) // next element of A
    INTEGER(-37)
    INTEGER (41)
    INTEGER(-43)
    INTEGER(0)
  DLABEL(where_B_is)
    INTEGER(0) // initial value of B, to be changed
    SETCB()
    SET(R1, where_A_starts)
    SET(R2, 0)
  LABEL(top_of_loop)
    LOAD(R3, 0,R1)
    SUB(RO, R3,RO) // Set flags for R3-0
  BZR(done_with_sum)
  BGR(no_need_to_negate)
    SUB(R3, R0,R3)
  LABEL(no_need_to_negate)
    ADD(R2, R2,R3)
    INC(R1, 1)
  BRR(top_of_loop)
  LABEL(done_with_sum)
    SET(R1, where_B_is)
    STORE(R2, 0,R1)
    HALT()
```

Figure 5. Scanning Elements of an Array

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#### 5.4.2 Characters and Strings

HERA-C and Hassem convert single-quoted characters (e.g., '?') to numeric values, allowing at least the ASCII subset of the Unicode system[Uni12], e.g., turning '?' into 63 (3F hexadecimal), so the assembly language SETLO(R5, '?') would be translated into e53f. They also allow C-language style escape characters, such as '\n' for a newline character.

Strings of characters can be represented in a number of ways, including sequences of non-null characters terminated by a null character (character 0), as in most C/C++ libraries; a length field followed by that many characters in the subsequent memory cells, as in Pascal and in Andrew Appel's "Tiger" language; and others.

HERA-C and Hassem provide the TIGER\_STRING data statement, which produces a string of characters preceded by a character count (as in Tiger). Note that these strings still follow C-language conventions for escape characters. Figure 6 shows an example string processing program. The machine language for Figure 6's instructions would be 3160 e100 f100 e201 f240 4302 3280 4402 e53f f500 b045 0902 3180 3280 33c0 09f8 e233 f240 6102 0, and the data segment begins 31 49 73 20 74 68 69 73 20 61 6e 20 65 78 61 6d 70 6c 65 3f 20 57 69 ...

Hereafter, translation into machine language is left to any reader(s) interested in doing so.

```
// Count the number of times "?" appears in a String (single precision),
// and save the result in the memory cell labelled N_questions.
// The example uses a constant string created with TIGER_STRING,
// though the algorithm could be used for any string of this form.
 DLABEL(The_string)
    TIGER_STRING("Is this an example? With three questions? Really?")
  DLABEL(N_questions)
    INTEGER(0)
    SETCB()
    SET(R1, 0)
                       // R1 will be the number of '?' found, initially 0
    SET(R2, The_string) // R2 is now the address in memory of the string
    LOAD(R3, 0,R2)
                       // R3 = initial memory cell of a string (the length)
    INC(R2, 1)
                        // R2 = address of initial char. ('I', in this example)
  LABEL(top_of_loop)
    LOAD(R4, 0,R2)
                        // R4 is now the character itself
    SET(R5, 63)
                        // R5 is the character '?'
    SUB(RO, R4,R5)
                        // Set flags for the character (i.e., R4) - '?'
  BNZR(not_a_question) // Skip the counting if R4-'?' is not zero
    INC(R1, 1)
                        // Count one question
  LABEL(not_a_question)
    INC(R2, 1)
                        // Move R2 to indicate the next character
    DEC(R3, 1)
                        // Decrease the count of how many remain
  BNZR(top_of_loop)
                        // If something other than 0 remain, keep going
    SET(R2, N_questions)
    STORE(R1, 0, R2)
                        // Store the result in memory
  HALT()
```

Figure 6. Counting the Number of Question Marks in a Tiger-style String

### 5.5 Function Calls

In a high-level language, a programmer can avoid repeating a group of program steps by collecting them into a function (also known as a procedure or method, in some languages) and then calling that function in several places. We could attempt to achieve a similar effect in assembly language by labeling the to-be-repeated sequence of instructions and then branching to this sequence from several places. However, this would create a problem: at the end of the sequence, how could the "function" return to the point from which it had been called? To address this problem, most microprocessors provide specialized instructions to support function call and return, with CALL automatically saving information about the point from which the call is made, and RETURN restoring the information saved in the call. The HERA CALL and RETURN instructions are as illustrated in Figure 7.

The HERA CALL and RETURN instructions employ a pair of registers to simultaneously update two pieces of information about the running program. The second parameter to CALL and RETURN, traditionally  $R_t$ , is used to update the program counter in a way that resolves the "how to return to the point from which the function was called" problem mentioned above. The first parameter, traditionally  $R_{12}$ , will be discussed in Section 5.5.1. An assembly language call with a label, such as Figure 7's CALL(R12, two\_r1\_plus\_r2), is translated by the assembler into a two-instruction sequence such as SET(Rt, two\_r1\_plus\_r2) CALL(R12, Rt).

When the HERA CALL instruction is executed, the program branches to the address in the second register, in this case that of  $two_r1_plus_r2$ , and also saves in  $R_t$  the address of the instruction after the CALL. This address, known as the return address, indicates the instruction that should be executed after the function is finished. Having branched to the start of  $two_r1_plus_r2$ , the program then executes instructions in the usual way until it reaches the RETURN(R12, Rt), which causes it to branch back to the address given in  $R_t$ , i.e. the return address saved by the call. Note that, since the two occurrences of CALL(R12,  $two_r1_plus_r2$ ) in Figure 7 are at different points in the program, the two calls will put different values into  $R_t$ , and thus each RETURN(R12, Rt) will continue the main program from the appropriate point.

Since it makes little sense to return from a function that has not been called, programs usually take steps to ensure that only a call instruction will reach the start of the function. Figure 7 accomplishes this with the branch to the label skip\_over\_two\_r1\_plus\_r2.

The style of use of call and return in Figure 7 will work for simple programs, but note that it requires a significant amount of "bookkeeping" work on the part of the programmer — the main program can use r3 and r4 to hold the values of "a" and "b" only because the function two\_r1\_plus\_r2 won't change either r3 or r4. In a larger program, this sort of bookkeeping quickly becomes unmanageable: the programmer(s) will have to remember the conventions of many different functions; the total number of registers needed may exceed the number provided by the microprocessor; and if a function is changed to use a different register, other functions that call it may no longer work. It is also difficult to create recursive functions using this approach.

These problems can all be addressed by adopting a standard convention by which all functions in a given program move some values from registers into memory. A variety of conventions have been explored by machine language programmers and compiler writers; a good book on compiler design will explain one or more of them in detail, e.g. see [App98]. The remainder of Section 5.5 discusses the implementation of some possible function call conventions on the HERA system. Note that these are simply variations in the *use* of the instructions we have seen — they do not involve changes to the definitions of the instructions themselves.

```
// This program needs to compute 2*a+b and 2*(a+36)+(b-72)
      and will call two_r1_plus_r2 to do each of these
// a will be in r3 and b in r4, since r1 & r2 are used by the function
    SETCB()
    SET(r3, 17) // a = 17, for our example
    SET(r4, 8) // b = 8, for our example
    // now call the function two_r1_plus_r2(a, b)
   MOVE(r1, r3) // first parameter is a
   MOVE(r2, r4)
                       // second parameter is b
    CALL(R12, two_r1_plus_r2)
    // ... work with result (in r1, according to the function)
    // now for 2*(a+36)+(b-72)
    SET(r5, 36)
    ADD(r1, r3,r5) // first parameter is a+36
    SET(r5, -72)
    ADD(r2, r4,r5) // first parameter is b-72
    CALL(R12, two_r1_plus_r2)
    // ... work with result (in r1)
    // don't execute function unless we do so via a CALL,
    // or else the RETURN will not make sense:
   BR(skip_over_two_r1_plus_r2)
// The body of two_r1_plus_r2:
   compute 2*r1 + r2, leaving the result in r1
LABEL(two_r1_plus_r2)
    ADD(r1, r1,r1) // 2*r1
    ADD(r1, r1,r2) // 2*r1+r2
    RETURN(R12, Rt)
LABEL(skip_over_two_r1_plus_r2)
```

Figure 7. Simple Function and Call, Showing HERA CALL and RETURN Instructions.

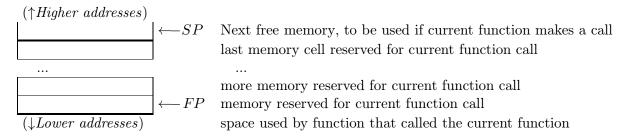


Figure 8. Typical Stack Frame with Parameters and Return Value in Registers

#### 5.5.1 Function Calls with Parameters in Registers, "Caller-Save" of Registers

The most easily understood fix for the problems outlined above is to simply save, before each CALL instruction, any register values that may be needed after the call. This frees us from having to know which registers are used by the called function. It also lets us standardize every function to communicate parameters and returned values in the same way, e.g. with  $R_1$  as the first parameter,  $R_2$  as the second parameter, etc., and  $R_1$  also used for the return value.

To ensure that different functions don't try to use the same memory locations for their saved registers, and to allow recursive functions, we use a pair of registers to identify regions of memory as a series of "stack frames". These regions are allocated and freed in a strict last-in, first-out order, i.e., as a "stack" data structure. (This corresponds to the usual pattern of entry into, and exit from, functions.) Each frame will contain memory cells to be used for one function call. At any given time, the frame of the currently executing function will be identified by registers  $R_{14}$  and  $R_{15}$ , known as the frame pointer (FP) and stack pointer (SP) respectively. All memory cells from the address given in FP to one below that given in SP are considered part of the current frame (so if FP=SP, the frame has size zero); memory elements below FP are typically in use for the stack frame of the function that called the one that is currently executing; those at and above SP are available for new frames if the current function makes a call. Figure 8 gives a visual representation of this layout (note that this diagram illustrates the conventions used for HERA; for some systems, the stack may grow from high addresses toward low addresses).

Figure 9 shows a revised version of Figure 7 in which this convention is used in its simplest form. The function two\_r1\_plus\_r2 has been revised to overwrite r3 and r4, to illustrate that this no longer causes a problem for our main function (there is no other benefit to this change). Note the updates to the stack pointer, and load and store operations, to preserve values in the stack. In future examples, we will no longer include register names in each function name, since it is implicit that the parameters will be passed in registers r1, r2, etc., and that any other registers may be overwritten in the function.

Note that Figure 9 includes significant unnecessary work: it is not actually necessary to decrement SP after the first call and then re-increment it before the second ... we could just have left it at FP + 2. Furthermore, unless the "work with result" comments are replaced with operations that actually use (and change) r3 and r4, there is no need to load them back from the stack frame after the first call (and re-store them before the second). Thus, a more subtle approach that avoids wasted instructions could be summarized "for any sequence of operations that will make function calls, increment SP at the start of the sequence to make a stack frame that's large enough to hold all values that must be stored in the frame at any point; before each call, store any registers whose values have changed but will be needed after the call; then, after the call, reload only those that are actually needed; at the end of the sequence, decrement SP."

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```
// Compute 2*a+b and 2*(a+36)+(b-72) by calling two_r1_plus_r2
// "a" will be in r3 and "b" in r4, since r1 & r2 are used for parameters
   SETCB()
   MOVE(SP, FP)
                     // start main program with an empty stack frame
                     // a = 17, for our example
   SET(r3, 17)
                      // b = 8, for our example
   SET(r4, 8)
    // call the function two_r1_plus_r2(a, b) --- first, set parameters
   MOVE(r1, r3)
                       // first parameter is a
   MOVE(r2, r4)
                       // second parameter is b
    // allocate stack frame of size 2, save important registers there
    INC(SP, 2)
                       // SP is now 2 above FP; we can use FP+0 and FP+1
   STORE(r3, 0,FP) // save r3 in stack frame
   STORE(r4, 1,FP)
                       // save r4 in stack frame
   MOVE(R12, SP) // Use this SP as the FP for the called function
   CALL(R12, two_r1_plus_r2) // actually call the function
    // then restore things from the stack
   LOAD(r3, 0,FP) // get r3 from stack frame
   LOAD(r4, 1,FP) // get r4 from stack frame
   DEC(SP, 2)
                      // put SP back the way it was
// ... work with the result (in r1, according to our convention)
    // now for 2*(a+36)+(b-72)
   SET(r5, 36)
    ADD(r1, r3,r5) // first parameter is a+36
   SET(r5, -72)
    ADD(r2, r4,r5) // first parameter is b-72
    INC(SP, 2)
                       // Save registers again
   STORE(r3, 0,FP)
   STORE(r4, 1,FP)
   MOVE(R12, SP) // Use this SP as the FP for the called function
   CALL(R12, two_r1_plus_r2)
   LOAD(r3, 0,FP) // restore from stack frame again
   LOAD(r4, 1,FP)
   DEC(SP, 2)
// ... work with the result (in r1)
    BR(skip_over_two_r1_plus_r2)  // skip over function body
LABEL(two_r1_plus_r2) // compute 2*r1 + r2, leaving the result in r1
   ADD(r3, r1,r1) // r3 = 2*r1
ADD(r4, r3,r2) // r4 = r3+r2 = 2*r1+r2
MOVE(r1, r4) // move return value from
   MOVE(r1, r4)
                      // move return value from r4 into r1
   RETURN(R12, Rt)
   LABEL(skip_over_two_r1_plus_r2)
```

Figure 9. Simple Function of Figure 7 Revised to Use Stack Frames

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When functions call upon other functions, each nested function call must receive a different stack frame. To make this work, the HERA CALL instruction must be given not only the address of the function to be called, but also the frame pointer to be used in the called function (in this convention, always the current function's SP). In addition to adjusting the program counter and saving the return address, CALL(R12, Rt) also sets FP to the value in  $R_{12}$  and saves the old FP in  $R_{12}$ . The old value of the frame pointer is used to re-establishing the stack frame when  $R_{12}$  and FP are once again switched by the RETURN(R12, Rt); the old frame pointer value is called the control link (abbreviated C.L.).

For the RETURN instruction to function properly, the values for the return address and control link must not be destroyed during the execution of the function. Thus, the  $two_r1_plus_r2$  example functions of Figures 7 and 9 rely on the fact that  $R_t$  and  $R_{12}$  have not been changed. In a function that changes  $R_t$  (e.g., by using MULT, an assembler-generated branch instruction, or a CALL), the value of  $R_t$  must be preserved and then restored before the return. In the case of a CALL, the values of  $R_t$  and the control link (e.g.,  $R_{12}$ ) should be preserved in the stack frame rather than registers (since the latter will be over-written).

Putting together the aforementioned approaches to eliminating redundant steps and saving return addresses and control links, we adopt a convention in which we always call a function with the following steps:

- Save (into the stack frame) any registers we may need after the call and whose values have not yet been saved
- Put the parameter values into register  $R_1, R_2, ...$
- Copy the current stack pointer into  $R_{12}$
- Use the CALL instruction CALL(R12, Rt), or assembly language CALL(R12, label)
- After the call, the returned value can be retrieved from  $R_1$
- Load (from the stack frame) any of the saved registers that we need before the next call.

To define a function to be called with these conventions, we:

- If necessary, increment SP to make space for saving registers at any point in the function
- If necessary, save the return address (from  $R_t$ ) and the control link (from  $R_{12}$ )
- Give the function body (in which parameters are assumed to be in  $R_1, R_2, ...$ )
- Put the function's return value into  $R_1$
- If necessary, load  $R_t$  and the control link register  $(R_{12})$  from the stack frame
- If necessary, decrement SP to restore its value prior to the function
- Issue the RETURN instruction

This set of conventions is known as the "registers in parameters, caller-save" approach: we place function parameters in registers (rather than in memory), and have the calling function (rather than the called function) save any registers that may be overwritten. The ability to make recursive function calls follows naturally from careful adherence to the steps given above, or to the conventions of the next section.

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Figure 10 shows the use of these conventions to define a function foo, which then calls upon two\_x\_plus\_y of Figure 11 (this is just the original two\_r1\_plus\_r2 function renamed). A sample main program to call upon foo is shown in Figure 12; Figure 13 shows the memory layout

```
// Translation of foo, assuming parameters in registers etc.
       int foo(a : int, b : int) : int = two_x_plus_y(a+b, b-a+75) * a
LABEL(foo)
// FIRST, make space to save R.A., old FP, and "a", then save them
    INC(SP, 3)
    STORE(Rt, 0,FP)
                        // Save R.A.
    STORE(R12,1,FP)
                       // Save old F.P. ("control link")
    STORE(r1, 2,FP)
                        // Save "a" in current frame (only re-save if changed)
// copy "a" and "b" out of r1 and r2 so that we can use r1 and r2 in next call:
    MOVE(r10, r1)
    MOVE(r11, r2)
// set up parameters for call to two_x_plus_y
                      // r1 = a+b
    ADD(r1, r10,r11)
    SUB(r2, r11,r10)
                        // r2 = b-a
    SETLO(r9, 75)
                       // r2 = b-a+75
    ADD(r2, r2, r9)
// actually make the call, with two_x_plus_y's parameters in r1 and r2
    MOVE(R12, SP)
                        // initial stack frame for called function has size 0
    CALL(R12,two_x_plus_y)
// Now restore "a" and multiply result of call (now in r1) by it:
    LOAD(r2, 2, FP)
    MULT(r1, r1,r2)
// Finally, restore R.A. and old F.P., do the return (result is already in r1)
    LOAD(Rt, 0,FP)
    LOAD(R12,1,FP)
    DEC(SP, 3)
    RETURN(R12, Rt)
                Figure 10. Calling two_x_plus_y with Parameters in Registers
// Translation of a high-level-language function two_x_plus_y:
       int two_x_plus_y(x : int, y : int) : int = x+x+y
// assuming parameters/return in registers, no static link, caller-save of reg.,
// (as before single precision, assuming CB set)
LABEL(two_x_plus_y)
    // Don't bother saving Rt since it won't be changed
    // (no multiply, assembler-generated register mode branches, etc)
    ADD(r1, r1,r1)
                       // result = 2*x
    ADD(r1, r1,r2)
                       // result = 2*x+y
    RETURN(R12, Rt)
```

Figure 11. Function two\_x\_plus\_y

```
// Example program to find foo(x+5, 2)-x for x=5
SETCB()
SET(FP, 0)
                // start stack at memory cell 0
SET(SP, 1)
                // SP is now 1 above FP; we have a 1-cell frame
SET(r3, 5)
                // use r3 for x, set x=5
STORE(r3, 0,FP) // Save x in this frame
MOVE(r1, r3)
                // r1 starts at x (r3)
INC(r1, 5)
                // r1 (first parameter) is now X+5
SET(r2,2)
                // r2 (second parameter) is 2
MOVE(r12,SP)
                // New frame (for foo) should be current SP
CALL(r12,foo)
                // call foo, use r12 to indicate new FP
LOAD(r3, 0, FP)
               // get x back into r3
SUB(r1, r1,r3) // find return value - x, i.e. r1-r3
```

Figure 12. Main Program to Illustrate General Function Calls with Parameters in Registers

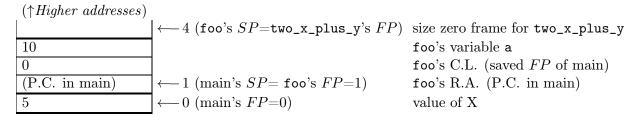


Figure 13. Active Stack Frames During Execution of two\_x\_plus\_y From Figure 12's Main Program

of the stack frames for this program, with the values that are there during the execution of two\_x\_plus\_y.

This set of conventions is appealing for simple programs like those shown here, but must be extended carefully for programs in which some functions have more than 11 parameters, or for languages in which one function definition can be nested within another and the inner function can access the variables of the outer. Such functions can be handled using the techniques of the next subsection, or a carefully crafted hybrid of these two approaches.

#### 5.5.2 Function Call with Parameters on Stack, "Callee-Save" Registers

When a function's parameters outnumber the available registers, some parameters can be placed directly into the stack frame by the calling function, rather than placed in registers. Placing parameters and local variables in the stack frame also enables the traditional approach to providing "lexically scoped" access by functions defined within the body of another function in a high-level language (for details, see "lexical scoping" in a compiler design textbook, e.g. [App98]).

To avoid the complexities of placing some parameters in memory and others in registers, the rest of this section illustrates the use of a pure "parameters on the stack" approach. The stack frame will also be used for other information, such as return addresses, control links, and the static links (S.L.) that are used to implement lexical scoping. The examples of this section combine this "parameters on the stack" approach with the "callee-save" rather than "caller-save" convention for saving registers, as discussed below.

A simple and general approach to managing stack frames with these conventions is to have the calling function set up an initial stack frame for the function it is about to call, leaving space for the called function to store the return address and control link, and placing the static link and parameter values directly into the frame. We will use the first three cells of the stack frame for the R.A., C.L., and S.L., with parameter values in consecutive memory cells above that, as shown in Figure 14.

Every function can thus expect to use memory cells FP and FP + 1 to save the R.A. and C.L. from  $R_t$  and  $R_{12}$ , and find its parameters in cells FP + 2, FP + 3, etc. It can increment SP to allocate space for local variables, if necessary. The stack frame can also be used to save the original values of any other registers the function may change; if all functions follow this "callee save" approach to registers, the calling functions no longer need to save register values before making a call, and can treat a call like other instructions that have a small predictable impact on the use of registers.

The value returned by the function can be left in the memory element that had been used for the first parameter, and retrieved from this address by the calling function.

Figure 15 shows a version of our two\_x\_plus\_y example with the "parameters on the stack,

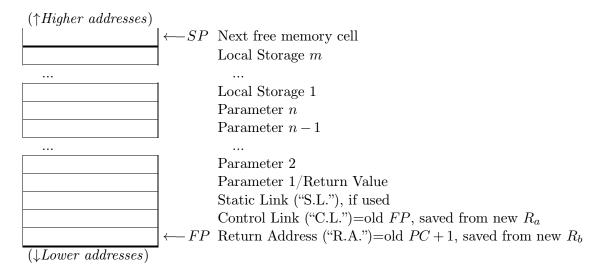


Figure 14. Typical Stack Frame with Parameters and Return Value on Stack

callee-save of registers" conventions. Although the code in Figures 15 and 17 does not make use of a static link, space is left for one on the stack frame so that the code matches Figure 14. This two\_x\_plus\_y starts with a standard "preamble" for these conventions, in which it increases its stack frame size (via INC(SP, 2)), saves R.A. and C.L. as described above, and also saves the registers it will overwrite. It then retrieves its parameters from positions 3 and 4 in its stack frame (where they will have been placed by the function calling two\_x\_plus\_y), computes its result, and saves its result into its stack frame. It concludes with a standard "post-amble", restoring the registers and SP.

Note that the function in Figure 15 could be optimized to be smaller and faster by skipping the steps of saving and restoring  $R_t$  and  $R_{12}$ , since it does not overwrite these values. Such optimization must be undertaken with care, especially when  $R_t$  is involved, since  $R_t$  may be overwritten by MULT, by pseudo-operations such as NOT, or by assembler-generated branches to labels.

To perform a function call using the conventions discussed above, a function allocates space for the function to be called, as illustrated in Figure 16 (the new function's frame will occupy the shaded region). This allocation is done by simply incrementing SP, though typically the function will first save the old SP in  $R_{12}$  for use in the upcoming CALL instruction. The calling function

```
// Translation of a high-level-language function two_x_plus_y:
       int two_x_plus_y(x : int, y : int) : int = x+x+y
LABEL(two_x_plus_y)
// FIRST, make space to save r1 and r2 and then save Rt, R12, and them
    INC(SP, 2)
    STORE(Rt, 0,FP)
                        // Return address, i.e. PC+1 from before CALL
    STORE(R12, 1,FP)
                        // Control Link, i.e. FP from before the CALL
    STORE(r1, 5, FP)
                        // skip FP+3 and FP+4, where a and b will be...
    STORE(r2, 6,FP)
                           ... and save r1 and r2 in FP+5/FP+6
// Load "x" and "y" from stack frame (calling func. put them there)
    LOAD(r1, 3,FP)
                        // r1 = x
    LOAD(r2, 4,FP)
                        // r2 = y
// Compute the result
    ADD(r1, r1,r1)
                        // r1 = x+x
                        // r1 = x+x+y
    ADD(r1, r1,r2)
// Store the result where the caller of two_x_plus_y will find it
    STORE(r1, 3,FP)
// FINALLY, restore registers (including Rt and R12) and return
    LOAD(r2, 6, FP)
                       // Restore r2
    LOAD(r1, 5,FP)
                        // Restore r1
    LOAD(Rt, 0,FP)
                        // Restore Rt to provide R.A. for return
    LOAD(R12, 1,FP)
                        // Restore R12 (C.L.) to provide old FP for return
    DEC(SP, 2)
    RETURN(R12, Rt)
```

 $\mathbf{Figure~15.}~ \mathbf{Example~Function~two\_x\_plus\_y}, \ \mathbf{Illustrating~Stack~Frame~Maintenance~and~Usage}$ 

then sets up the called function's parameters and static link (if needed) in memory cells above  $R_{12}$ , and issues the CALL instruction. It can then retrieve the result from memory cell  $R_{12} + 3$ .

Figure 17 gives an example call to  $two_x_plus_y$ , from a function foo illustrated by pseudocode above the function. In addition to the steps already shown in Figure 15 (standard preamble, access to parameters, standard post-amble), Figure 17 shows the creation of a new stack frame, definition of parameters, and retrieval of the value returned by  $two_x_plus_y$ . Note foo can still rely on the value of a that it left in  $R_1$  before making the call, since  $two_x_plus_y$  will have saved and restored this value.

Note that the save and restore of  $R_t$  and  $R_{12}$  are needed in Figure 17, unlike Figure 15. We could optimize Figure 17 by combining the two increments of SP, and likewise combining the two decrements. This would slightly shorten the function, possibly at the cost of some clarity.

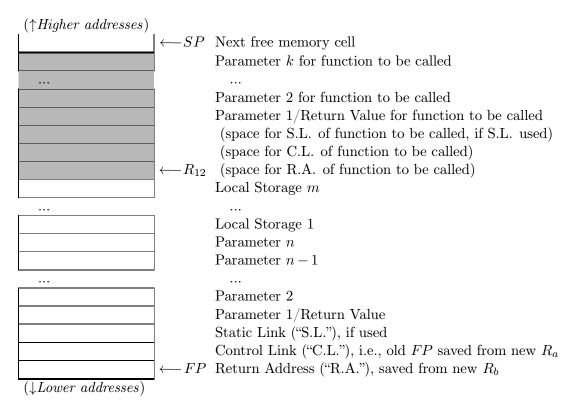


Figure 16. Typical Stack Frame with Parameters and Return Value on Stack, Just Before a CALL

```
// Translation of foo (single precision, assuming CB set)
      int foo(a : int, b : int) : int = two_x_plus_y(a+b, b-a+75) * a
//
LABEL(foo)
// FIRST, make space to save r1 and r2 and then save Rt, R12, and them
    INC(SP, 2)
    STORE(Rt, 0,FP)
                      // Return address
    STORE(R12, 1,FP)
                      // Control Link
    STORE(r1, 5,FP)
                     // skip FP+3 and FP+4, where a and b will be
    STORE(r2, 6,FP)
// THEN the body of foo: compute two_x_plus_y(a+b, b-a+75) * a
//
     For the call, create space for 3 links and 2 parameters,
     remembering old SP in R12:
//
   MOVE(R12, SP)
   INC(SP, 5)
     and set up parameters a+b and b-a+75
    LOAD(r1, 3,FP) // R1 = a (from memory cell FP+3)
                      // R2 = b
    LOAD(r2, 4,FP)
    ADD(Rt, r1,r2)
                      // Rt = a+b
   STORE(Rt, 3,R12) // 1st parameter at R12+3, i.e. CALLED FUNC'S FP+3
    SUB(r2, r2, r1) // R2 = b-a
    SETLO(Rt, 75)
    ADD(r2, r2,Rt) // R2 = b-a+75
   STORE(r2, 4,R12) // establish 2nd parameter at R12+4
     and do the call
    CALL(R12, two_x_plus_y)
// AFTER the call, retrieve result and multiply by "a"
                     // R2 = result retured FROM CALLED FUNC'S FRAME
    LOAD(r2, 3,R12)
     now that we have the returned value, we can shrink the stack back down:
    DEC(SP, 5)
                       // could use MOVE(SP, R12)
// NOTE that r1 is still "a" from before the call:
   MULT(r1, r2,r1)
// Save the result where the caller of 'foo' will find it
    STORE(r1, 3,FP) // Put return value over 1st parameter
// FINALLY, restore registers (including Rt and R12) and return
    LOAD(r2, 6,FP)
                     // Restore r2
                      // Restore r1
    LOAD(r1, 5,FP)
                     // Restore Rt to provide R.A. for return
    LOAD(Rt, 0,FP)
    LOAD(R12, 1,FP)
                     // Restore R12 (C.L.) to provide old FP for return
    DEC(SP, 2)
    RETURN(R12, Rt)
```

Figure 17. Calling A Function, with Parameters on Stack

Figure 18. Main Program to Call the Function foo in Figure 17 or the Upcoming Figure 21.

To complete this example, Figure 18 gives a main program to call foo with the parameters 10 and 2, and Figure 19 shows a memory layout diagram of the stack during the execution of this program, at the instant just before the DEC(SP,2) in two\_x\_plus\_y, assuming the main function of Figure 18 is executed when the HERA microprocessor starts up, with all registers (including FP and SP) being 0, and thus with a zero-size stack frame for the main program. Memory cells with contents marked "\*" are addresses of instructions in the program—these can't be determined without knowing how the code from the various figures is laid out in the address space of the instruction memory).

To summarize the specifics of this convention (including the choice of  $R_{12}$  for the new function's frame pointer, and  $R_t$  for the address of the new function), to call a function, we:

- Set  $R_{12} \leftarrow SP$  and increment SP to allocate initial stack frame (for links and parameters)
- Put the parameters on the stack above 3 spaces for links (i.e., starting at  $R_{12}+3$ )
- Set up the static link, if one is used
- Issue the CALL instruction
- After the call, the return value can be retrieved from  $R_{12}+3$ , and SP decremented.

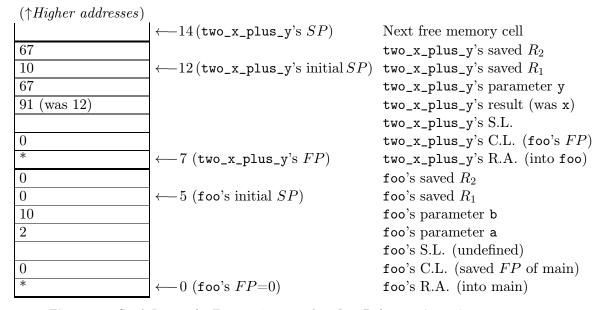


Figure 19. Stack Layout for Figures 17, 15, and 18 Just Before DEC(SP, 2) in two\_x\_plus\_y.

To define a function to be called with these conventions, we

- Increment SP to make space for local storage
- Save registers, including  $R_t$  (return address) and  $R_{12}$  (control link, i.e. the old FP)
- Give the function body (in which parameters can be from the stack frame, e.g. FP+3)
- Store the return value at FP+3
- Restore saved registers, including the C.L. into  $R_{12}$  and R.A. into  $R_t$ , and decrement SP
- RETURN from the function

The two\_x\_plus\_y example above does not illustrate the actual use of a static link. Figures 20 and 21 show a variant on this example in which the local variable a escapes from foo into two\_a\_plus\_y. The static link for two\_a\_plus\_y is established right before the call, at offset 2 in the stack frame under construction. This allows two\_a\_plus\_y to find the value of foo's variable a by retrieving foo's frame pointer and loading the variable at offset 3.

### 5.5.3 Other Options

Conventions for placement of parameters, saving of registers, choice of which registers to use, etc., can be combined in a variety of other ways. These choices must be made with respect to the programming language being used and degree of analysis/optimization performed by the compiler or programmer, as well as the target architecture. For more information about ways of combining the these conventions, refer to a compiler implementation textbook.

```
// Translation of two_a_plus_y, using lexically scoped variable "a"
LABEL(two_a_plus_y)
    INC(SP, 2)
    STORE(Rt, 0,FP)
                       // R.A.
    STORE(R12,1,FP)
                        // C.L.
    STORE(r1, 4,FP)
                        // Save r1 and r2 at FP+4 and FP+5,
    STORE(r2, 5,FP)
                       // because there is only one parameter (y)
// Load "a" from offset 3 of statically scoped frame
    LOAD(r1, 2,FP)
                        // get two_a_plus_y's static link, i.e., foo's FP
    LOAD(r1, 3,r1)
                        // r1 now is "a"
// Load "y" from two_a_plus_y's stack frame (as in two_x_plus_y example)
    LOAD(r2, 3,FP)
                       // r2 = y, from current frame
    ADD(r1, r1,r1)
                        // Compute the result: r1 = a+a
    ADD(r1, r1,r2)
                        // r1 = a+a+y
    STORE(r1, 3,FP)
                        // Store the result
    LOAD(r2, 5,FP)
                        // Restore r2
    LOAD(r1, 4,FP)
                        // Restore r1
   LOAD(Rt, 0,FP)
                        // Restore Rt (R.A.)
    LOAD(R12,1,FP)
                       // Restore R12 (C.L.)
   DEC(SP, 2)
    RETURN(R12, Rt)
```

Figure 20. Function two\_a\_plus\_y, Illustrating the Use of the Static Link (see also Figure 21).

```
// Translation of main function foo, setting up to use lexical scoping
//
       int foo(int a, int b) =
//
            let two_a_plus_y(y : int): int = a+a+y
//
            in two_a_plus_y(b-a+75) * a
// (once again, assuming single precision, and carry-block is set)
LABEL(foo)
// Standard preamble
    INC(SP, 2)
    STORE(Rt, 0,FP)
    STORE(R12,1,FP)
    STORE(r1, 5,FP)
    STORE(r2, 6,FP)
// Allocate space for new frame, define ONE parameter "y"
    MOVE(R12, SP)
    INC(SP, 4)
    LOAD(r1, 3,FP)
                        // R1 = a
    LOAD(r2, 4,FP)
                        // R2 = b
    SUB(r2, r2,r1)
                        // R2 = b-a
    SETLO(Rt, 75)
    ADD(r2, r2,Rt)
                      // R1 = b-a+75
    STORE(r2, 3,R12)
// Build the static link for two_a_plus_y (points to foo's frame),
    STORE(FP, 2,R12) // CREATE STATIC LINK FOR two_a_plus_y
    CALL(R12,two_a_plus_y)
    LOAD(r2, 3,R12) // Retrieve the result
    DEC(SP, 4)
    MULT(r1, r1,r2) // Multiply by "a" STORE(r1, 3,FP) // Save result as:
                        // Save result as return value
// Standard postable
    LOAD(r2, 6,FP)
    LOAD(r1, 5,FP)
    LOAD(Rt, 0,FP)
    LOAD(R12, 1,FP)
    DEC(SP, 2)
    RETURN(R12, Rt)
```

Figure 21. Function Calls with Parameters on Stack and an Escaping Local Variable

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