

Compiler Construction

Chapter 8: Introduction to optimization

Dittaya Wanvarie

Department of Mathematics and Computer Science
Chulalongkorn University

Second semester, 2024

Common goals of optimization

- Less runtime
- Less memory/register requirement
- Shorter codes
- Less energy consumption

Concern

- **Safety:** the transformed program MUST produce the same result
- **Profit:** the transformed program must have an improvement from the original program

Source of optimization

- 1 Contextual knowledge
- 2 Target machine architecture

$m(i, j)$ with column-major ordering

- Row $i \in \text{start_row} \dots \text{end_row}$
- column $j \in \text{start_col} \dots \text{end_col}$

```
for i in start_row .. end_row:  
    for j in start_col .. end_col:  
        .. m(i,j) ..
```

- The index is starting from 1
- $m_1 + (j - \text{low}_2(m)) \times (\text{high}_1(m) - \text{low}_1(m)) + 1 \times w + (i - \text{low}_1(m)) \times w$
- $m_1 + (j - 1) \times hw + (i - 1) \times w$
- $\text{low}_i(m)$ and $\text{high}_i(m)$ are the lower and upper bounds of m 's i^{th} dimension
- w is the size of an element of m

Strength reduction: from multiplication to addition

Improving a loop nest

$y + x \times m$ for vectors x and y , and matrix m

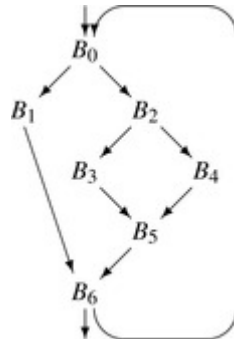
```
subroutine dmxpy (n1, y, n2, ldm, x, m)
double precision y(*), x(*), m(ldm,*)
...
jmin = j+16
do 60 j = jmin, n2, 16
  do 50 i = 1, n1
    y(i) = y(i) + x(j) * m(i,j)
50    continue
60  continue

$      + x(j-15)*m(i,j-15)) + x(j-14)*m(i,j-14))
$      + x(j-13)*m(i,j-13)) + x(j-12)*m(i,j-12))
$      + x(j-11)*m(i,j-11)) + x(j-10)*m(i,j-10))
$      + x(j- 9)*m(i,j- 9)) + x(j- 8)*m(i,j- 8))
$      + x(j- 7)*m(i,j- 7)) + x(j- 6)*m(i,j- 6))
$      + x(j- 5)*m(i,j- 5)) + x(j- 4)*m(i,j- 4))
$      + x(j- 3)*m(i,j- 3)) + x(j- 2)*m(i,j- 2))
$      + x(j- 1)*m(i,j- 1)) + x(j) *m(i,j)
50    continue
60    continue
...
end
```

- Loop unrolling: replicates the loop body for distinct iterations and adjusts the index calculations to match
- Utilize the target machine resource: keep some addresses in registers to eliminate load instructions

- ❶ Reducing the overhead of abstraction
 - ▶ E.g. array-address calculation
- ❷ Taking advantage of special cases
- ❸ Matching the code to system resources
 - ▶ E.g. eliminating loads instructions, fetching multiple elements into registers

- Local methods: a basic block
- Regional methods: a control-flow graph
 - ▶ An extended basic block: a set of blocks with one incoming edge
 - ▶ A dominator: all paths from the root block to the dominated block.
- Global methods: **intra**procedural methods
 - ▶ An entire procedure
- **Inter**procedural methods: a whole-program method



- Local scope - a basic block
- Remove redundancy in the blocks
- E.g. value numbering and tree-height balancing

```
a = b + c  
b = a - d  
c = b + c  
d = a - d
```

- The expression $b+c$ in the first and the third lines are **NOT** redundant since we redefine b in the second line
- The expression $a-d$ in the second and the forth lines are redundant since a and d are not redefined between these two operations

We have to perform lifetime analysis of each definition (assignment)

Local value numbering algorithm

for $i \leftarrow 0$ to $n-1$, where the block has n operations " $T_i \leftarrow L_i \text{ Op}_i R_i$ "

1. get the value numbers for L_i and R_i
2. construct a hash key from Op_i and the value numbers for L_i and R_i
3. if the hash key is already present in the table then
 replace operation i with a copy of the value into T_i and
 associate the value number with T_i
 else
 insert a new value number into the table at the hash key location
 record that new value number for T_i

- Assign a distinct number to each value that the block computes
- Use a hash table to find defined values (L_i and R_i)
- Insert a new value $L_i \text{ Op}_i R_i$ to the hash table

From the original code block, the value numbering becomes

```
a_2 = b_0 + c_1  
b_4 = a_2 - d_3  
c_5 = b_4 + c_1  
d_4 = a_2 - d_3
```

b_4 and d_4 refer to the same value (number 4)

Then, we can re-write the block as follows

```
a = b + c  
b = a - d  
c = b + c  
d = b
```

We may use LVN to perform several other local optimizations

- Commutative operations: $a \times b$ and $b \times a$ should receive the same value number.
- Constant folding: find the evaluated expression in the hash table
- Algebraic identities: e.g. $x + 0$ and x should receive the same value number. However, we need a set of rules to test for these identities

Example rules

$a + 0 = a$	$a - 0 = a$	$a - a = 0$	$2 \times a = a + a$
$a \times 1 = a$	$a \times 0 = 0$	$a \div 1 = a$	$a \div a = 1, a \neq 0$
$a^1 = a$	$a^2 = a \times a$	$a \gg 0 = a$	$a \ll 0 = a$
$a \text{ AND } a = a$	$a \text{ OR } a = a$	$\text{MAX}(a, a) = a$	$\text{MIN}(a, a) = a$

for $i \leftarrow 0$ to $n-1$, where the block has n operations " $T_i \leftarrow L_i \text{ Op}_i R_i$ "

1. get the value numbers for L_i and R_i
 2. if L_i and R_i are both constant then evaluate $L_i \text{ Op}_i R_i$.
assign the result to T_i , and mark T_i as constant
 3. if $L_i \text{ Op}_i R_i$ matches an identity in Figure 8.3, then replace it with
a copy operation or an assignment
 4. construct a hash key from Op_i and the value numbers for L_i and R_i ,
using the value numbers in ascending order, if Op_i commutes
 5. if the hash key is already present in the table then
replace operation i with a copy into T_i and
associate the value number with T_i
- else
insert a new value number into the table at the hash key location
record that new value number for T_i

```
a_3 = x_1 + y_2  
b_3 = x_1 + y_2  
a_4 = 17_4  
c_3 = x_1 + y_2
```

We can see that $x_1 + y_2$ are redundant.

- We can rewrite $b = x + y$ with $b = a$ since they receive the same value number
- However, we cannot rewrite $c = x + y$ with $c = a$ because a does not have value number 4 at the forth line

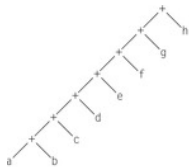
We may remove the name from the list if it is redefined

Another solution is the static single-assignment form (SSA)

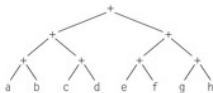
$$a_{0_3} = x_{0_1} + y_{0_2}$$
$$b_{0_3} = x_{0_1} + y_{0_2}$$
$$a_{1_4} = 17_{_4}$$
$$c_{0_3} = x_{0_1} + y_{0_2}$$

We will also assign (definition) number to each name apart from the value number.

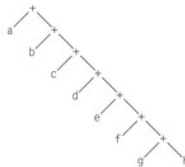
Parallel processing



(a) Left-Associative Tree



(b) Balanced Tree



(c) Right-Associative Tree

$t1 = a + b$
 $t2 = t1 + c$
 $t3 = t2 + d$
 $t4 = t3 + e$
 $t5 = t4 + f$
 $t6 = t5 + g$
 $t7 = t6 + h$

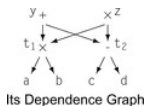
Ideas

- Write dependence graph
- Larger candidate trees provide more opportunities for rearrangement

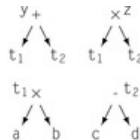
- 1 Identify candidate expression trees in the block
- 2 For each candidate tree, assign operands with rank and insert the tree into a priority queue


```
t1 ← a × b  
t2 ← c - d  
y ← t1 + t2  
z ← t1 × t2
```

Short Basic Block



Its Dependence Graph



Trees in the Graph

- **Uses**(T) is the set of blocks that need (use) the definition of T
- **UEVar**(b) is the set of variables whose values are necessary to block b

Finding the root

- 1 If the name is used more than once, then the (operation) node must be marked as a root to ensure that the value is available for all of its uses
- 2 If the name is used just once in another operation but the operators are not the same, then the name must be the root

Finding the root

```
// Rebalance a block b of n operations, each of form " $T_i \leftarrow L_i \circ p_i R_i$ "
// Phase 1: build a queue, Roots, of the candidate trees
Roots  $\leftarrow$  new queue of names
for i  $\leftarrow$  0 to n-1
    Rank( $T_i$ )  $\leftarrow$  -1;
    If  $\circ p_i$  is commutative and associative and
        ( $|Uses(T_i)| > 1$  or ( $|Uses(T_i)| = 1$  and  $\circ p_{Uses(T_i)} \neq \circ p_i$ )) then
        mark  $T_i$  as a root
        Enqueue(Roots,  $T_i$ , precedence of  $\circ p_i$ )

// Phase 2: remove a tree from Roots and rebalance it
while (Roots is not empty)
    var  $\leftarrow$  Dequeue(Roots)
    Balance(var)

Balance(root) // Create balanced tree from its root,  $T_i$  in " $T_i \leftarrow L_i \circ p_i R_i$ "
    if Rank(root)  $\geq$  0
        then return // have already processed this tree

    q  $\leftarrow$  new queue of names // First, flatten the tree
    Rank(root)  $\leftarrow$  Flatten( $L_i, q$ ) + Flatten( $R_i, q$ )
    Rebuild(q,  $\circ p_i$ ) // Then, rebuild a balanced tree

Flatten(var, q) // Flatten computes a rank for var & builds the queue
    if var is a constant // Cannot recur further
        then
            Rank(var)  $\leftarrow$  0
            Enqueue(q, var, Rank(var))
    else if var  $\in UEBVar(b)$  // Cannot recur past top of block
        then
            Rank(var)  $\leftarrow$  1
            Enqueue(q, var, Rank(var))
    else if var is a root
        then // New queue for new root
            Balance(var) // Recur to find its rank
            Enqueue(q, var, Rank(var))
        else // var is  $T_j$  in  $j^{th}$  op in block
            Flatten( $L_j, q$ ) // Recur on left operand
            Flatten( $R_j, q$ ) // Recur on right operand

return Rank(var)
```

Rebuilding the tree

```
Rebuild(q,op)                                // Build a balanced expression
while (q is not empty)
    NL ← Dequeue(q)                          // Get a left operand
    NR ← Dequeue(q)                          // Get a right operand
    if NL and NR are both constants then     // Fold expression if constant
        NT ← Fold(op,NL,NR)
        if q is empty
            then
                Emit("root ← NT")
                Rank(root) = 0;
            else
                Enqueue(q,NT,0)
                Rank(NT) = 0;
    else                                     // op is not a constant expression
        if q is empty                       // Get a name for result
            then NT ← root
            else NT ← new name
        Emit("NT ← NL op NR")
        Rank(NT) ← Rank(NL) + Rank(NR)      // Compute its rank
        if q is not empty                   // More ops in q ⇒ add NT to q
            then Enqueue(q,NT,r)
```

Example of tree-height balancing

UEVAR is

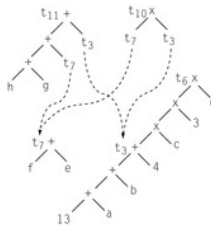
{a, c, e, f, g, h, m, n}

LIVEOUT is

{t₆, t₁₀, t₁₁}

```
t1 ← 13 + a
t2 ← t1 + b
t3 ← t2 + 4
t4 ← t3 × c
t5 ← 3 × t4
t6 ← d × t5
t7 ← e + f
t8 ← t7 + g
t9 ← t8 + h
t10 ← t3 × t7
t11 ← t3 + t9
```

(a) Original Code



(b) Trees in the Code

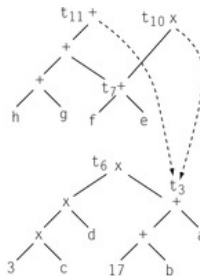
```
t1 ← 13 + a
t2 ← t1 + b
t3 ← t2 + 4
t4 ← t3 × c
t5 ← 3 × t4
t6 ← d × t5
t7 ← e + f
t8 ← t7 + g
t9 ← t8 + h
t10 ← t3 × t7
t11 ← t3 + t9
```

(c) Finding Roots

Example of tree-height balancing

$n_0 \leftarrow 17 + b$
 $t_3 \leftarrow n_0 + a$
 $t_7 \leftarrow f + e$
 $n_1 \leftarrow h + g$
 $n_2 \leftarrow n_1 + t_7$
 $t_{11} \leftarrow n_2 + t_3$
 $t_{10} \leftarrow t_7 \times t_3$
 $n_3 \leftarrow 3 \times c$
 $n_4 \leftarrow n_3 \times d$
 $t_6 \leftarrow n_4 \times t_3$

(a) Transformed Code



(b) Trees in the Code

Superlocal value numbering

B_0 : $m_0 \leftarrow a_0 + b_0$
 $n_0 \leftarrow a_0 + b_0$
 $(a_0 > b_0) \rightarrow B_1, B_2$

B_1 : $p_0 \leftarrow c_0 + d_0$
 $r_0 \leftarrow c_0 + d_0$
 $\rightarrow B_6$

B_2 : $q_0 \leftarrow a_0 + b_0$
 $r_1 \leftarrow c_0 + d_0$
 $(a_0 > b_0) \rightarrow B_3, B_4$

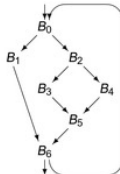
B_3 : $e_0 \leftarrow b_0 + 18$
 $s_0 \leftarrow a_0 + b_0$
 $u_0 \leftarrow e_0 + f_0$
 $\rightarrow B_5$

B_4 : $e_1 \leftarrow a_0 + 17$
 $t_0 \leftarrow c_0 + d_0$
 $u_1 \leftarrow e_1 + f_0$
 $\rightarrow B_5$

B_5 : $e_2 \leftarrow \phi(e_0, e_1)$
 $u_2 \leftarrow \phi(u_0, u_1)$
 $v_0 \leftarrow a_0 + b_0$
 $w_0 \leftarrow c_0 + d_0$
 $x_0 \leftarrow e_2 + f_0$
 $\rightarrow B_6$

B_6 : $r_2 \leftarrow \phi(r_0, r_1)$
 $y_0 \leftarrow a_0 + b_0$
 $z_0 \leftarrow c_0 + d_0$

(a) Original Code



(b) The CFG

B_0 : $m_0 \leftarrow a_0 + b_0$
 $n_0 \leftarrow a_0 + b_0$
 $q_0 \leftarrow a_0 + b_0$
 $r_1 \leftarrow c_0 + d_0$
 $e_0 \leftarrow b_0 + 18$
 $s_0 \leftarrow a_0 + b_0$
 $u_0 \leftarrow e_0 + f_0$

(c) Path (B_0, B_2, B_3)

1. Create scope for B_0
2. Apply LVN to B_0
3. Create scope for B_1
4. Apply LVN to B_1
5. Add B_6 to *WorkList*
6. Delete B_1 's scope
7. Create scope for B_2
8. Apply LVN to B_2
9. Create scope for B_3
10. Apply LVN to B_3
11. Add B_5 to *WorkList*
12. Delete B_3 's scope
13. Create scope for B_4
14. Apply LVN to B_4
15. Delete B_4 's scope
16. Delete B_2 's scope
17. Delete B_0 's scope
18. Create scope for B_5
19. Apply LVN to B_5
20. Delete B_5 's scope
21. Create scope for B_6
22. Apply LVN to B_6
23. Delete B_6 's scope

(d) Scope Manipulations

Data-flow analysis: Live analysis

- **LiveOut**(n) is the set of variables (names) that are live on exit from block n
- **UEVar**(m) is the set of variables whose values are necessary to block m
- **VarKill**(m) is the set of variables (names) that are killed (redefined) in block m
- $\text{succ}(n)$ is the set of successor blocks of n

$$\text{LiveOut}(n) = \bigcup_{m \in \text{succ}(n)} (\text{UEVar}(m) \cup (\text{LiveOut}(m) \cap \overline{\text{VarKill}(m)}))$$

$$\text{LiveOut}(n) = \cup_{m \in \text{succ}(n)} (\text{UEVar}(m) \cup (\text{LiveOut}(m) \cap \overline{\text{VarKill}(m)}))$$

A **backward data-flow problem**: a variable v is live on entry to m under two conditions

- 1 It is used in m before it is redefined in $m \rightarrow v \in \text{UEVar}(m)$
- 2 It is live on exit from m and pass through m without any new definition $\rightarrow v \in \text{LiveOut}(m) \cap \overline{\text{VarKill}(m)}$

$$\text{LiveOut}(n) = \bigcup_{m \in \text{succ}(n)} (\text{UEVar}(m) \cup (\text{LiveOut}(m) \cap \overline{\text{VarKill}(m)}))$$

// assume block b has k operations
// of form " $x \leftarrow y \text{ op } z$ "

for each block b

$\text{Init}(b)$

$\text{Init}(b)$

$\text{UEVar}(b) \leftarrow \emptyset$

$\text{VarKill}(b) \leftarrow \emptyset$

 for $i \leftarrow 1$ to k

 if $y \notin \text{VarKill}(b)$

 then add y to $\text{UEVar}(b)$

 if $z \notin \text{VarKill}(b)$

 then add z to $\text{UEVar}(b)$

 add x to $\text{VarKill}(b)$

(a) Gathering Initial Information

// assume CFG has N blocks

// numbered 0 to $N-1$

for $i \leftarrow 0$ to $N-1$

$\text{LiveOut}(i) \leftarrow \emptyset$

changed \leftarrow true

while (changed)

 changed \leftarrow false

 for $i \leftarrow 0$ to $N-1$

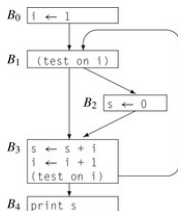
 recompute $\text{LiveOut}(i)$

 if $\text{LiveOut}(i)$ changed then

 changed \leftarrow true

(b) Solving the Equations

$$\text{LiveOut}(n) = \bigcup_{m \in \text{succ}(n)} (\text{UEVar}(m) \cup (\overline{\text{LiveOut}(m) \cap \text{VarKill}(m)}))$$



(a) Example Control-Flow Graph

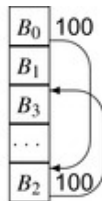
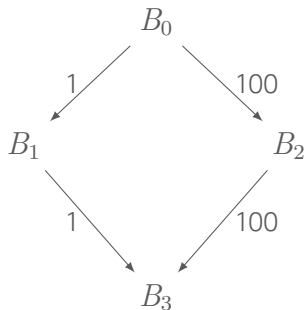
	UEVAR	VARKILL
B_0	\emptyset	$\{i\}$
B_1	$\{i\}$	\emptyset
B_2	\emptyset	$\{s\}$
B_3	$\{s, i\}$	$\{s, i\}$
B_4	$\{s\}$	\emptyset

(b) Initial Information

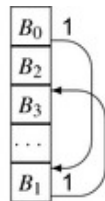
Iteration	LIVEOUT(n)				
	B_0	B_1	B_2	B_3	B_4
Initial	\emptyset	\emptyset	\emptyset	\emptyset	\emptyset
1	$\{i\}$	$\{s, i\}$	$\{s, i\}$	$\{s, i\}$	\emptyset
2	$\{s, i\}$	$\{s, i\}$	$\{s, i\}$	$\{s, i\}$	\emptyset
3	$\{s, i\}$	$\{s, i\}$	$\{s, i\}$	$\{s, i\}$	\emptyset

(c) Progress of the Solution

Fall-through branch

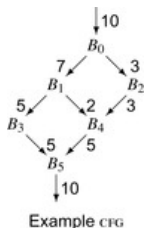


Slow Layout



Fast Layout

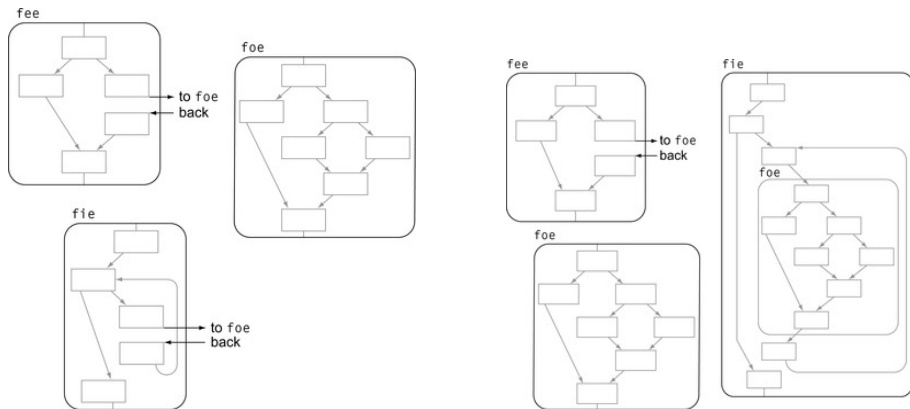
Greedy algorithm



Solution

Edge	Set of chains	Priority
-	$(B_0)_E, (B_1)_E, (B_2)_E, (B_3)_E, (B_4)_E, (B_5)_E$	0
(B_0, B_1)	$(B_0, B_1)_0, (B_2)_E, (B_3)_E, (B_4)_E, (B_5)_E$	1
(B_3, B_5)	$(B_0, B_1)_0, (B_2)_E, (B_3, B_5)_1, (B_4)_E$	2
(B_4, B_5)	$(B_0, B_1)_0, (B_2)_E, (B_3, B_5)_1, (B_4)_E$	2
(B_1, B_3)	$(B_0, B_1, B_3)_0, (B_2)_E, (B_4)_E$	3
(B_0, B_2)	$(B_0, B_1, B_3)_0, (B_2)_E, (B_4)_E$	3
(B_2, B_4)	$(B_0, B_1, B_3)_0, (B_2, B_4)_E$	4
(B_1, B_4)	$(B_0, B_1, B_3)_0, (B_2, B_4)_E$	4

Inline substitution



Interprocedural optimization

Procedure placement

- If procedure p calls q , we would like p and q to occupy adjacent locations in memory.

