بسم الله الرّحمن الرّحيم

دانشگاه صنعتی اصفهان \_ دانشکدهٔ مهندسی برق و کامپیوتر (نیمسال تحصیلی ۴۰۲۱)

# نظریهٔ زبانها و ماشینها

حسين فلسفين

اهم مطالبي كه در اين اسلايد به آنها خواهيم پرداخت:

ه ٔ تعریف رسمی مفهوم پذیرش برای یک NFA ه اثبات قضیهٔ همارزی NFAها و DFAها

عبان مثالها المالية ال

بید در این است به مبحث خواص بستاری زبانهای منظم و اثبات بسته بودن کلاس این از منظم تحت عملگرهای ∪، ۰، و \* با بهرهگیری از مفهوم عدمقطعیت

The formal definition of computation for an NFA: Let  $N=(Q,\Sigma,\delta,q_0,F)$  be an NFA and w a string over the alphabet  $\Sigma$ . Then we say that N accepts w if we can write w as  $w=y_1y_2\cdots y_m$ , where each  $y_i$  is a member of  $\Sigma_\varepsilon$  and a sequence of states  $r_0,r_1,\cdots,r_m$  exists in Q with three conditions:

- 1.  $r_0 = q_0$ ,
- **2.**  $r_{i+1} \in \delta(r_i, y_{i+1})$ , for i = 0, 1, ..., m-1, and
- **3.**  $r_m \in F$ .

Condition 1 says that the machine starts out in the start state. Condition 2 says that state  $r_{i+1}$  is one of the allowable next states when N is in state  $r_i$  and reading  $y_{i+1}$ . Observe that  $\delta(r_i, y_{i+1})$  is the set of allowable next states and so we say that  $r_{i+1}$  is a member of that set. Finally, condition 3 says that the machine accepts its input if the last state is an accept state.

## The Extended Transition Function $\delta^*$ for an NFA, and the Definition of Acceptance

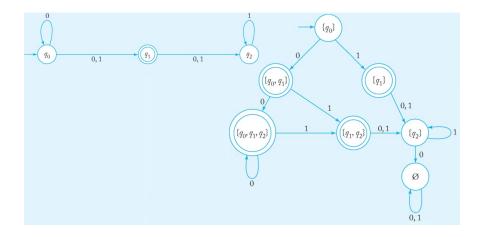
Let  $N=(Q,\Sigma,\delta,q_0,F)$  be an NFA. We define the extended transition function  $\delta^*:Q\times\Sigma^*\mapsto 2^Q$  as follows:

- 1. For every  $q \in Q$ ,  $\delta^*(q, \varepsilon) = E(\{q\})$ .
- **2.** For every  $q \in Q$ , every  $y \in \Sigma^*$ , and every  $\sigma \in \Sigma$ ,

$$\delta^*(q, y\sigma) = E\left(\bigcup \left\{\delta(p, \sigma) \mid p \in \delta^*(q, y)\right\}\right).$$

A string  $x \in \Sigma^*$  is accepted by N if  $\delta^*(q_0,x) \cap F \neq \varnothing$ . The language L(N) accepted by N is the set of all strings accepted by N.

هم تعریف این اسلاید و هم تعریف اسلاید قبل، هردو معتبر هستند.



#### **Equivalence of DFAs & NFAs**

Every NFA has an equivalent DFA. This means that, for every language  $A\subseteq \Sigma^*$  accepted by an NFA  $N=(Q,\Sigma,\delta,q_0,F)$ , there is a DFA  $M=(Q',\Sigma,\delta',q_0',F')$  that also accepts A.

Proof: Let  $N=(Q,\Sigma,\delta,q_0,F)$  be the NFA recognizing some language A. We construct a DFA  $M=(Q',\Sigma,\delta',q'_0,F')$  recognizing A.

 $\blacksquare$  Before doing the full construction, let's first consider the easier case wherein N has no  $\varepsilon$  arrows. Later we take the  $\varepsilon$  arrows into account.

- **1.**  $Q' = \mathcal{P}(Q)$ . Every state of M is a set of states of N. Recall that  $\mathcal{P}(Q)$  is the set of subsets of Q.
- **2.** For  $R \in Q'$  and  $a \in \Sigma$ , let

$$\delta'(R, a) = \{ q \in Q | q \in \delta(r, a) \text{ for some } r \in R \}.$$

If R is a state of M, it is also a set of states of N. When M reads

a symbol a in state R, it shows where a takes each state in R. Because each state may go to a set of states, we take the union of all these sets. Another way to write this expression is

$$\delta'(R, a) = \bigcup_{r \in R} \delta(r, a).$$

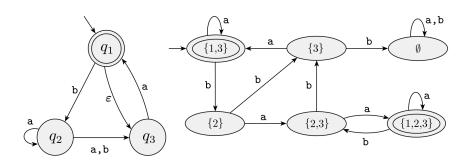
- **3.**  $q'_0 = \{q_0\}$ . M starts in the state corresponding to the collection containing just the start state of N.
- **4.**  $F' = \{R \in Q' | R \text{ contains an accept state of } N\}$ . The machine M accepts if one of the possible states that N could be in at this point is an accept state.

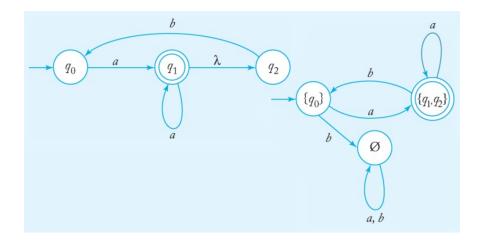
Now we need to consider the  $\varepsilon$  arrows.

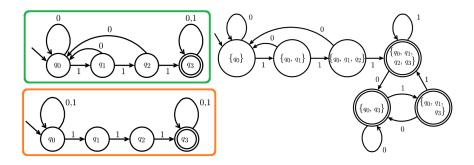
We modify the transition function of M to place additional fingers on all states that can be reached by going along  $\varepsilon$  arrows after every step. Replacing  $\delta(r,a)$  by  $E(\delta(r,a))$  achieves this effect. Thus

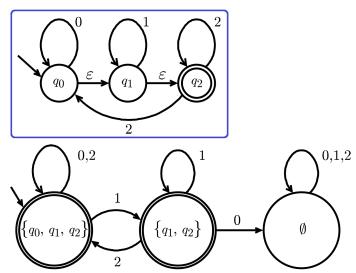
$$\delta'(R,a) = \{q \in Q | q \in E(\delta(r,a)) \text{ for some } r \in R\} = \bigcup_{r \in R} E(\delta(r,a)).$$

We have now completed the construction of the DFA M that simulates the NFA N. The construction of M obviously works correctly. At every step in the computation of M on an input, it clearly enters a state that corresponds to the subset of states that N could be in at that point. Thus our proof is complete.







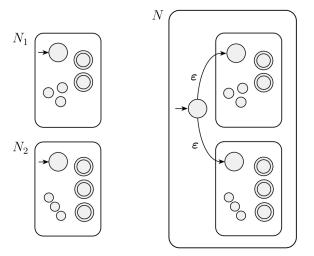


Now we return to the closure of the class of regular languages under the operations  $\cup$ ,  $\circ$ , and \*. Our aim is to prove that the union, concatenation, and star of regular languages are still regular.

# The use of nondeterminism makes the proofs much easier.

First, let's consider again closure under union. Earlier we proved closure under union by simulating deterministically both machines simultaneously via a Cartesian product construction. We now give a new proof to illustrate the technique of nondeterminism. Reviewing the first proof may be worthwhile to see how much easier and more intuitive the new proof is.

### The class of regular languages is closed under the union operation.



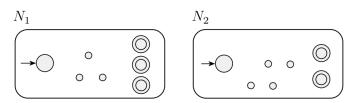
Let 
$$N_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$$
 recognize  $A_1$ , and  $N_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$ .

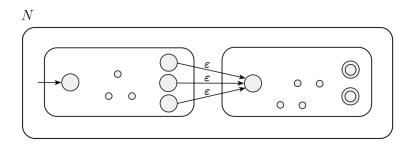
Construct  $N = (Q, \Sigma, \delta, q_0, F)$  to recognize  $A_1 \cup A_2$ .

- 1.  $Q = \{q_0\} \cup Q_1 \cup Q_2$ . The states of N are all the states of  $N_1$  and  $N_2$ , with the addition of a new start state  $q_0$ .
- **2.** The state  $q_0$  is the start state of N.
- **3.** The set of accept states  $F = F_1 \cup F_2$ . The accept states of N are all the accept states of  $N_1$  and  $N_2$ . That way, N accepts if either  $N_1$  accepts or  $N_2$  accepts.
- **4.** Define  $\delta$  so that for any  $q \in Q$  and any  $a \in \Sigma_{\varepsilon}$ ,

$$\delta(q, a) = \begin{cases} \delta_1(q, a) & q \in Q_1 \\ \delta_2(q, a) & q \in Q_2 \\ \{q_1, q_2\} & q = q_0 \text{ and } a = \varepsilon \\ \emptyset & q = q_0 \text{ and } a \neq \varepsilon. \end{cases}$$

## The class of regular languages is closed under the concatenation operation.





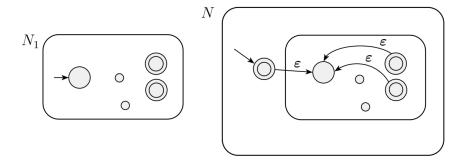
Let 
$$N_1=(Q_1,\Sigma,\delta_1,q_1,F_1)$$
 recognize  $A_1$ , and  $N_2=(Q_2,\Sigma,\delta_2,q_2,F_2)$  recognize  $A_2$ .

Construct  $N = (Q, \Sigma, \delta, q_1, F_2)$  to recognize  $A_1 \circ A_2$ .

- **1.**  $Q = Q_1 \cup Q_2$ . The states of N are all the states of  $N_1$  and  $N_2$ .
- **2.** The state  $q_1$  is the same as the start state of  $N_1$ .
- **3.** The accept states  $F_2$  are the same as the accept states of  $N_2$ .
- **4.** Define  $\delta$  so that for any  $q \in Q$  and any  $a \in \Sigma_{\varepsilon}$ ,

$$\delta(q,a) = \begin{cases} \delta_1(q,a) & q \in Q_1 \text{ and } q \notin F_1 \\ \delta_1(q,a) & q \in F_1 \text{ and } a \neq \varepsilon \\ \delta_1(q,a) \cup \{q_2\} & q \in F_1 \text{ and } a = \varepsilon \\ \delta_2(q,a) & q \in Q_2. \end{cases}$$

#### The class of regular languages is closed under the star operation.

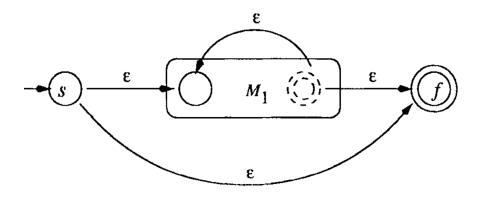


**PROOF** Let  $N_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$ . Construct  $N = (Q, \Sigma, \delta, q_0, F)$  to recognize  $A_1^*$ .

- 1.  $Q = \{q_0\} \cup Q_1$ . The states of N are the states of  $N_1$  plus a new start state.
- **2.** The state  $q_0$  is the new start state.
- **3.**  $F = \{q_0\} \cup F_1$ . The accept states are the old accept states plus the new start state.
- **4.** Define  $\delta$  so that for any  $q \in Q$  and any  $a \in \Sigma_{\varepsilon}$ ,

$$\delta(q,a) = \begin{cases} \delta_1(q,a) & q \in Q_1 \text{ and } q \notin F_1 \\ \delta_1(q,a) & q \in F_1 \text{ and } a \neq \varepsilon \\ \delta_1(q,a) \cup \{q_1\} & q \in F_1 \text{ and } a = \varepsilon \\ \{q_1\} & q = q_0 \text{ and } a = \varepsilon \\ \emptyset & q = q_0 \text{ and } a \neq \varepsilon. \end{cases}$$

## یک شیوهٔ ساخت معتبر دیگر:



- 1.15 Give a counterexample to show that the following construction fails to prove Theorem 1.49, the closure of the class of regular languages under the star operation. Let  $N_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$ . Construct  $N = (Q_1, \Sigma, \delta, q_1, F)$  as follows. N is supposed to recognize  $A_1^*$ .
  - **a.** The states of N are the states of  $N_1$ .
  - **b.** The start state of N is the same as the start state of  $N_1$ .
  - F = {q₁} ∪ F₁.
    The accept states F are the old accept states plus its start state.
  - **d.** Define  $\delta$  so that for any  $q \in Q_1$  and any  $a \in \Sigma_{\varepsilon}$ ,

$$\delta(q,a) = \begin{cases} \delta_1(q,a) & q \not\in F_1 \text{ or } a \neq \varepsilon \\ \delta_1(q,a) \cup \{q_1\} & q \in F_1 \text{ and } a = \varepsilon. \end{cases}$$

(Suggestion: Show this construction graphically, as in Figure 1.50.)

