

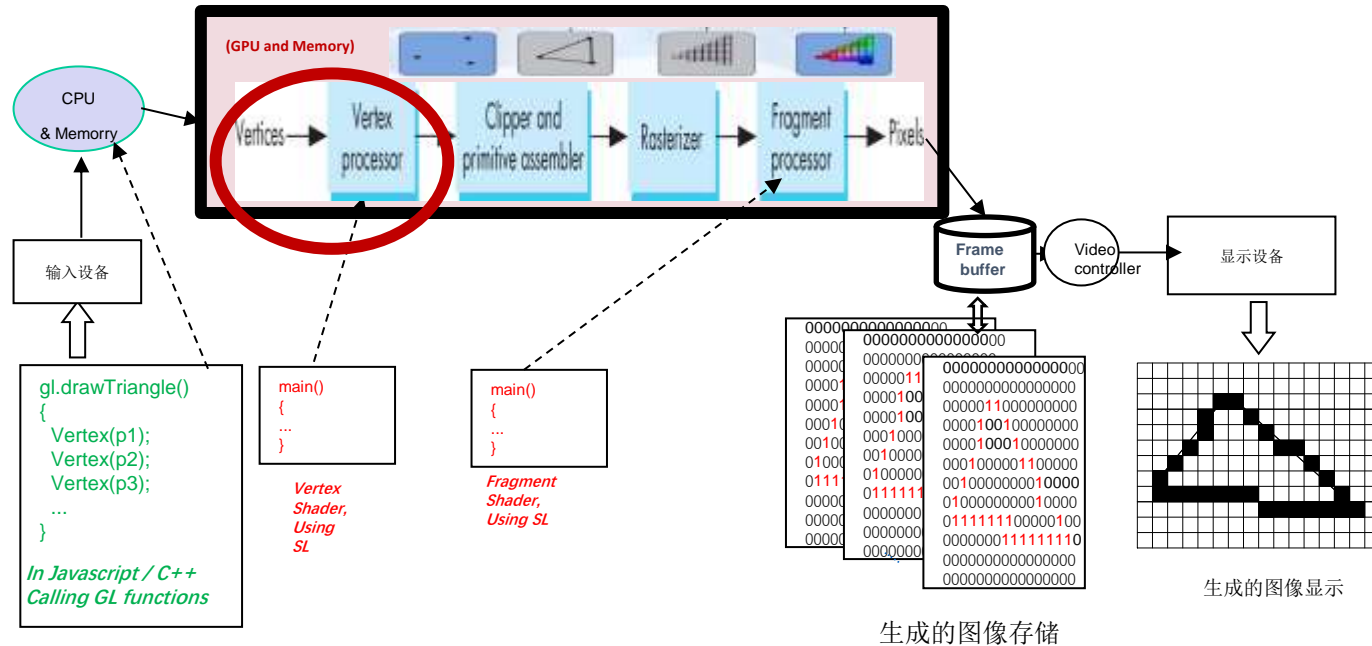


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# Recap

## ➤ The Programmable Rendering Pipeline 可编程渲染管线的架构

- Performance is achieved by using GPU rather than CPU, GPU does all rendering,
- Programmer Control GPU through shaders
  - ◆ Application's job (run at CPU) is to send data to GPU
  - ◆ Vertex Shader run at per vertex, fragment shader run at per fragment concurrently





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# Recap (cont.)

## ➤ Basic Geometry Primitive

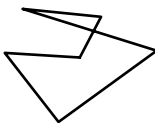
➤ Point

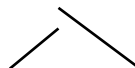
➤ Line segment


➤ polygon (mesh, polygon)


  
`gl.POINTS`

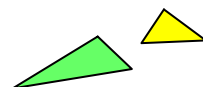
  
`gl.LINE_STRIP`

  
`gl.LINE_LOOP`

  
`gl.LINES`

  
`gl.TRIANGLE_FAN`

  
`gl.TRIANGLE_STRIP`

  
`gl.TRIANGLES`

➤ 思考：如何在物理空间中表示顶点和向量？

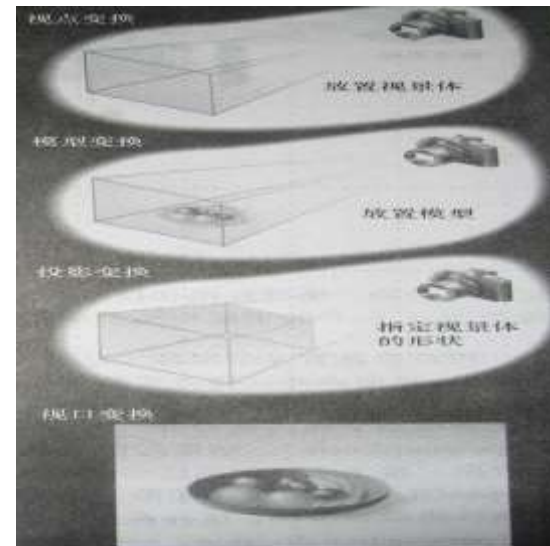
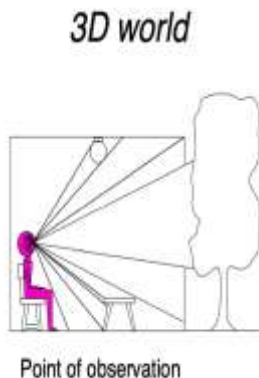


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# Question

- **对象(顶点/向量)**在物理空间中的**表示Representation** ?
  - **齐次坐标**
- **对象(顶点/向量)**的空间位置表示的**变换Transformation**?
  - **仿射变换**

## Why Transformation?





# Outline

- **Representation (表示)**

- Vector space, Coordinate System, Change of Coordinate
- Affine space, Frames System, Change of Frame
- Homogeneous Coordinate

- **Transformations\* (变换)**

- Five Standard Transformation (标准变换)
- Concatenation Transformation\* (串联变换)

- **Applying Concatenation Transformation (应用串联变换)**

- Eg1: Non-standard transformation 非标变换
- Eg2: Cumulative transformation 累积变换
- .....



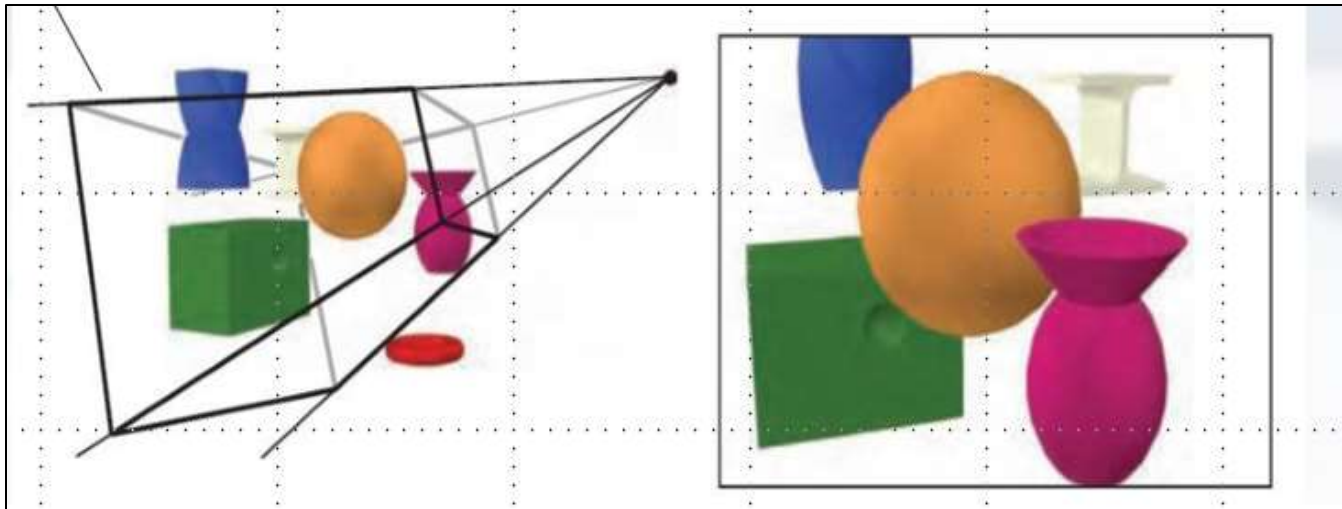
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# Representation

- Need a frame of reference to relate points and objects to our physical world. (需要一个“参考框架”来将点和物体与我们的物理世界联系起来)

*For example, where is a point?*

- *Can't answer without a reference system(参照系统)*





# Outline

- **Representation (对象在物理空间的表示)**
  - Vector space, Coordinate System, Change of Coordinate
  - Affine space, Frames System, Change of Frame
  - Homogeneous Coordinate
- **Transformations\* (变换)**
  - Five Standard Transformation (标准变换)
  - Concatenation Transformation\* (串联变换)
- **Applying Transformations (变换的应用)**
  - Non-standard transformation 非标变换
  - Cumulative transformation 累积变换
  - .....



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# Vector space, Coordinate System, Change of Coordinate

## ➤ Coordinate Systems (坐标系)

- Consider a basis  $v_1, v_2, \dots, v_n$ ,
- A vector is written  $v = \alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_n v_n$
- The list of scalars  $\{\alpha_1, \alpha_2, \dots, \alpha_n\}$  is the representation of  $v$  with respect to the given basis,
- write the representation as a row or column array of scalars

$$a = [\alpha_1 \ \alpha_2 \ \dots \ \alpha_n]^T = \begin{bmatrix} \alpha_1 \\ \alpha_2 \\ \vdots \\ \alpha_n \end{bmatrix}$$

*Example:*  $v = 2v_1 + 3v_2 - 4v_3$

$$a = [2 \ 3 \ -4]^T$$

*Note that this representation is with respect to a particular basis*

# Vector space, Coordinate System, Change of Coordinate(cont.)

## • Change of Coordinate Systems(坐标系转换)

- Consider two representations of the same vector **d** with respect to two different bases.

The representations are:

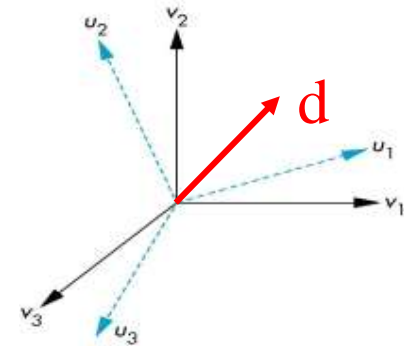
$$\mathbf{a} = [\alpha_1 \ \alpha_2 \ \alpha_3]$$

$$\mathbf{b} = [\beta_1 \ \beta_2 \ \beta_3]$$

where

$$\mathbf{d} = \alpha_1 \mathbf{v}_1 + \alpha_2 \mathbf{v}_2 + \alpha_3 \mathbf{v}_3 = [\alpha_1 \ \alpha_2 \ \alpha_3] [\mathbf{v}_1 \ \mathbf{v}_2 \ \mathbf{v}_3]^T$$

$$\mathbf{d} = \beta_1 \mathbf{u}_1 + \beta_2 \mathbf{u}_2 + \beta_3 \mathbf{u}_3 = [\beta_1 \ \beta_2 \ \beta_3] [\mathbf{u}_1 \ \mathbf{u}_2 \ \mathbf{u}_3]^T$$







# Vector space, Coordinate System, Change of Coordinate(cont.)

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## • Change of Coordinate Systems(cont.)

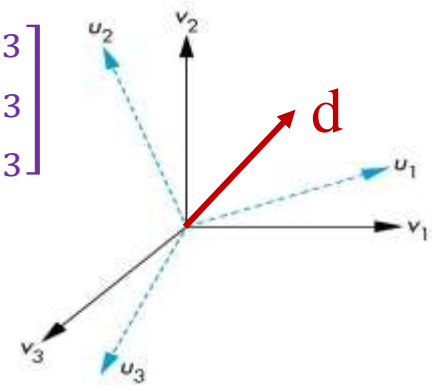
### ➤ Representing second basis in terms of first:

*Each of the basis vectors,  $u_1, u_2, u_3$ , are vectors that can be represented in terms of the first basis  $v_1, v_2, v_3$*

➤ 已知:  $d = \alpha_1 v_1 + \alpha_2 v_2 + \alpha_3 v_3 = [\alpha_1 \ \alpha_2 \ \alpha_3] [v_1 \ v_2 \ v_3]^T$      $a = [\alpha_1 \ \alpha_2 \ \alpha_3]$ ,  $v = [v_1 \ v_2 \ v_3]^T$   
 $d = \beta_1 u_1 + \beta_2 u_2 + \beta_3 u_3 = [\beta_1 \ \beta_2 \ \beta_3] [u_1 \ u_2 \ u_3]^T$      $b = [\beta_1 \ \beta_2 \ \beta_3]$ ,  $u = [u_1 \ u_2 \ u_3]^T$

Let:  $u_1 = \gamma_{11} v_1 + \gamma_{12} v_2 + \gamma_{13} v_3$   
 $u_2 = \gamma_{21} v_1 + \gamma_{22} v_2 + \gamma_{23} v_3$   
 $u_3 = \gamma_{31} v_1 + \gamma_{32} v_2 + \gamma_{33} v_3$

$$M = \begin{bmatrix} \gamma_{11} & \gamma_{12} & \gamma_{13} \\ \gamma_{21} & \gamma_{22} & \gamma_{23} \\ \gamma_{31} & \gamma_{32} & \gamma_{33} \end{bmatrix}$$



**Proof:** for  $d = bu$ , and  $u = Mv$

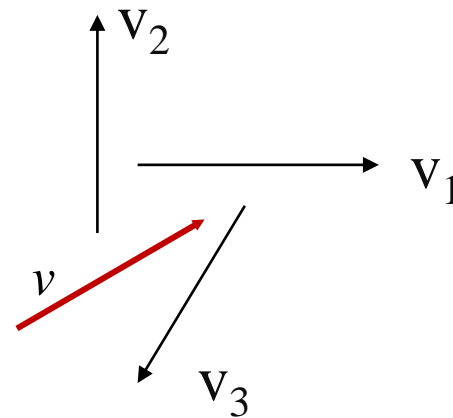
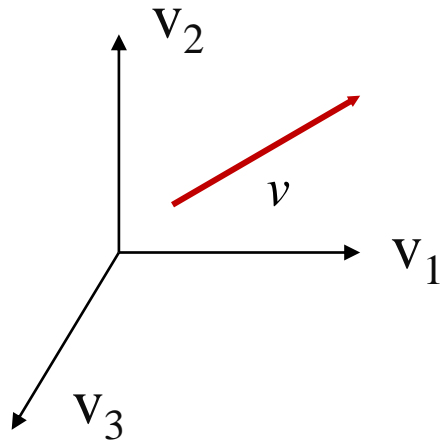
so:  $d = b(Mv) = bMv$ , and:  $d = av$

$\Rightarrow a = bM$  // here  $a, b$  are row vector representation

or:  $b = a * M^{-1}$

# Vector space, Coordinate System, Change of Coordinate(cont.)

- Example:  $v = 2v_1 + 3v_2 + 4v_3$  **v向量表示为  $a = [2 \ 3 \ 4]^T$** 
  - The two case ,Which one is correct ?
    - **Both are**, *because vectors have no fixed location*  
(两种情况下的v具有相同表示, 因为向量没有固定位置!)





# Outline

- **Representation (对象在物理空间的表示)**
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- **Transformations\* (变换)**
  - Five Standard Transformation (标准变换)
  - Concatenation Transformation\* (串联变换)
- **Applying Concatenation Transformation (应用串联变换)**
  - Eg1: Non-standard transformation 非标变换
  - Eg2: Cumulative transformation 累积变换
  - Eg3: Instance transformation 实例变换



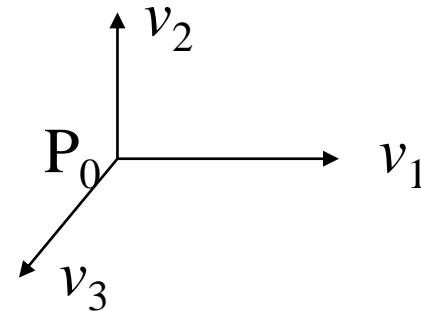
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# Affine space, Frames System, Change of Frame

## • Frames(标架)

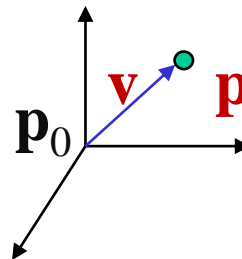
- If we work in an affine space(仿射空间), can add a single point: the origin(原点), to the basis vectors(基向量), to form a frame(标架)

- Frame(标架) determined by  $(P_0, v_1, v_2, v_3)$ ,



- Within this frame,

- Every vector(向量) can be written as  $v = \alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_n v_n$
- Every point(点) can be written as  $P = P_0 + \beta_1 v_1 + \beta_2 v_2 + \dots + \beta_n v_n$



# Affine space, Frames System, Change of Frame(cont.)

## ➤ Use Frame Will Confusing Points and Vectors

Consider the **point** and the **vector**,

They appear to have the similar representations

$$P = P_0 + \beta_1 v_1 + \beta_2 v_2 + \dots + \beta_n v_n$$

$$\mathbf{p} = [\beta_1 \ \beta_2 \ \beta_3]$$

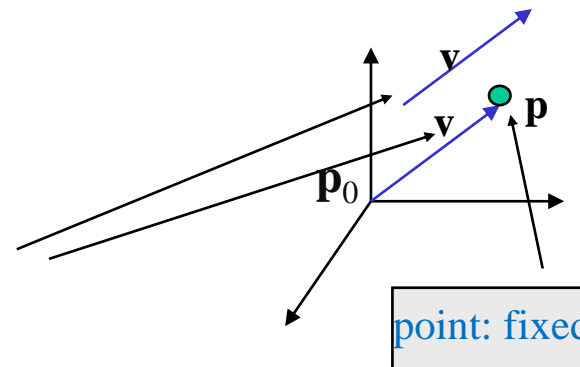
$$v = \alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_n v_n$$

$$\mathbf{v} = [\alpha_1 \ \alpha_2 \ \alpha_3]$$

**what confuses the point with the vector?**

➤ **A vector has no position**

Vector can be placed  
anywhere





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# Affine space, Frames System, Change of Frame(cont.)

## ➤Redefine Frame Representation

### ➤引入齐次坐标表示: Homogeneous Coordinate Representation

If we define  $0 \cdot P = \mathbf{0}$  and  $1 \cdot P = P$ , Then

$$\mathbf{v} = \alpha_1 v_1 + \alpha_2 v_2 + \alpha_3 v_3 + \mathbf{0} \cdot P_0 = [\alpha_1 \ \alpha_2 \ \alpha_3 \ \mathbf{0}] [v_1 \ v_2 \ v_3 \ P_0]^T$$

$$\mathbf{P} = \beta_1 v_1 + \beta_2 v_2 + \beta_3 v_3 + \mathbf{1} \cdot P_0 = [\beta_1 \ \beta_2 \ \beta_3 \ \mathbf{1}] [v_1 \ v_2 \ v_3 \ P_0]^T$$

## ➤the homogeneous coordinate representation

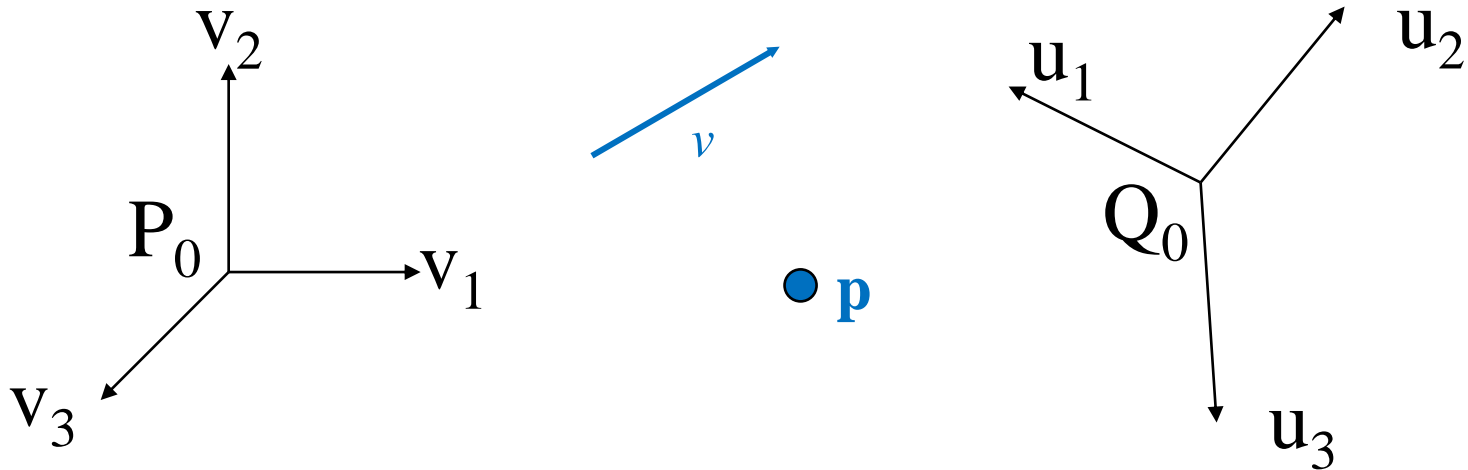
$$\text{Vectors: } \mathbf{v} = [\alpha_1 \ \alpha_2 \ \alpha_3 \ \mathbf{0}]^T$$

$$\text{Points: } \mathbf{p} = [\beta_1 \ \beta_2 \ \beta_3 \ \mathbf{1}]^T$$

# Affine space, Frames System, Change of Frame(cont.)

## • Change of Frames (标架的转换)

- Consider two frames:  $(P_0, v_1, v_2, v_3)$  ,  $(Q_0, u_1, u_2, u_3)$ 
  - Any point or vector can be represented in either frame
  - can represent  $Q_0, u_1, u_2, u_3$  in terms of  $P_0, v_1, v_2, v_3$





# Affine space, Frames System, Change of Frame(cont.)

## ➤ Change of Frames in homogeneous coordinates (cont.)

### - Representing One Frame in Terms of the Other

- Extending what we did with change of bases

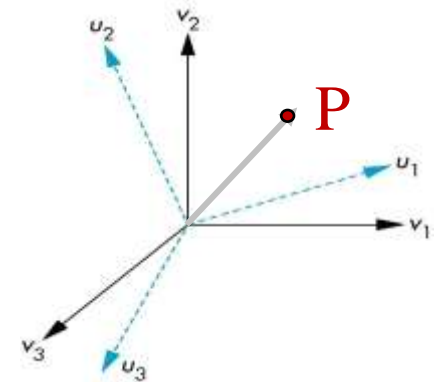
Let:  $u_1 = \gamma_{11}v_1 + \gamma_{12}v_2 + \gamma_{13}v_3$

$u_2 = \gamma_{21}v_1 + \gamma_{22}v_2 + \gamma_{23}v_3$

$u_3 = \gamma_{31}v_1 + \gamma_{32}v_2 + \gamma_{33}v_3$

$Q_0 = \gamma_{41}v_1 + \gamma_{42}v_2 + \gamma_{43}v_3 + \gamma_{44}P_0$

$$M = \begin{bmatrix} \gamma_{11} & \gamma_{12} & \gamma_{13} & 0 \\ \gamma_{21} & \gamma_{22} & \gamma_{23} & 0 \\ \gamma_{31} & \gamma_{32} & \gamma_{33} & 0 \\ \gamma_{41} & \gamma_{42} & \gamma_{43} & 1 \end{bmatrix}$$



- Defining a 4 x 4 matrix, The matrix **M** is 4 x 4 and specifies an affine transformation(仿射变换) in homogeneous coordinates(齐次坐标表示)
- Within the two frames, any **point** or **vector** has a representation of the same form, where  $\alpha_4 = \beta_4 = 1$  for points and  $\alpha_4 = \beta_4 = 0$  for vectors .

$a = [\alpha_1 \ \alpha_2 \ \alpha_3 \ \alpha_4]$  in the first frame  $v = (V_1, V_2, V_3, P_0)^T$

$b = [\beta_1 \ \beta_2 \ \beta_3 \ \beta_4]$  in the second frame  $u = (u_1, u_2, u_3, Q_0)^T$

➤ **Proof:** for  $P = bu$  , and  $u = Mv$

//d is point or vector

so:  $P = b(Mv) = bMv$ , and:  $P = av$

$\Rightarrow a = bM$

// here  $a, b$  are row vector representation





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  - .....



# Homogeneous Coordinate

## ➤ What is Homogeneous coordinates(齐次坐标)

- 齐次坐标是一种数学工具, 用于投影几何中, 通过将一个原本是 $n$ 维的向量表示为一个 $n+1$ 维向量, 以简化几何变换和操作.
- 齐次坐标的概念由奥古斯特·费迪南德·莫比乌斯在1827年引入, 并在多个领域中得到广泛应用, 包括电脑图形学和3D电脑视觉。

### • 三维空间的齐次坐标( $X_h, Y_h, Z_h, h$ )

- $h=0$  表示“向量vector”
- $h \neq 0$  表示“点 point”

### • 三维笛卡尔坐标( $X, Y, Z$ )和齐次坐标( $X_h, Y_h, Z_h, h$ ) 的转换

- $X = X_h / h, Y = Y_h / h, Z = Z_h / h$

Example1: 齐次坐标为  $(20, 15, 10, 5)$ ,  $(16, 12, 8, 4)$ ,  $(4, 3, 2, 1)$  的点, 它们的笛卡尔坐标都是  $(4, 3, 2)$

### • 齐次坐标表示不是唯一的!

- 当 $h=1$ 时, 该点的表示称为“规格化齐次坐标”
- 如无特殊说明, 一般图形顶点都采用规格化齐次坐标来表示!



# Homogeneous Coordinate(cont.)

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## ➤ Why Homogeneous coordinates ?

### ➤ Homogeneous coordinates are key to all computer graphics systems:

➤ Hardware pipeline works with 4 dimensional representations . All standard transformations (rotation, translation, scaling) can be implemented with matrix multiplications using 4 x 4 matrices

➤ **简化几何变换: 通过增加一个维度, 齐次坐标能够简化三维空间中的点、线、面的表达方式和旋转、平移等操作。**

➤ **例如, 二维平面上的点可以用齐次坐标 $(x,y,1)$ 表示, 这样可以直接利用矩阵运算进行变换, 而无需分别处理平移和旋转。**

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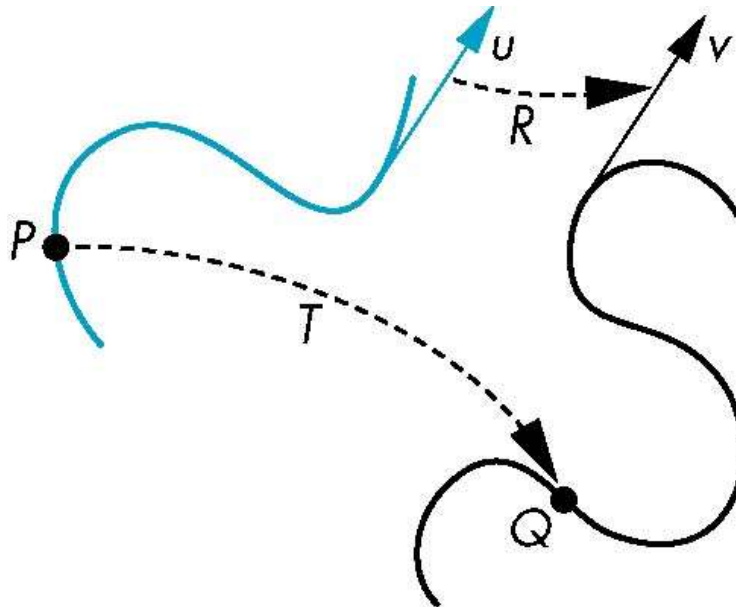
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# Transformations\* (变换)

## ➤ What is Transformation?

➤ *maps points to other points and/or maps vectors to other vectors*

例如: 把点P的表示, 通过“T变换”, 变为点Q的表示,  
把向量u的表示, 通过“R变换”, 变为 向量v的表示,



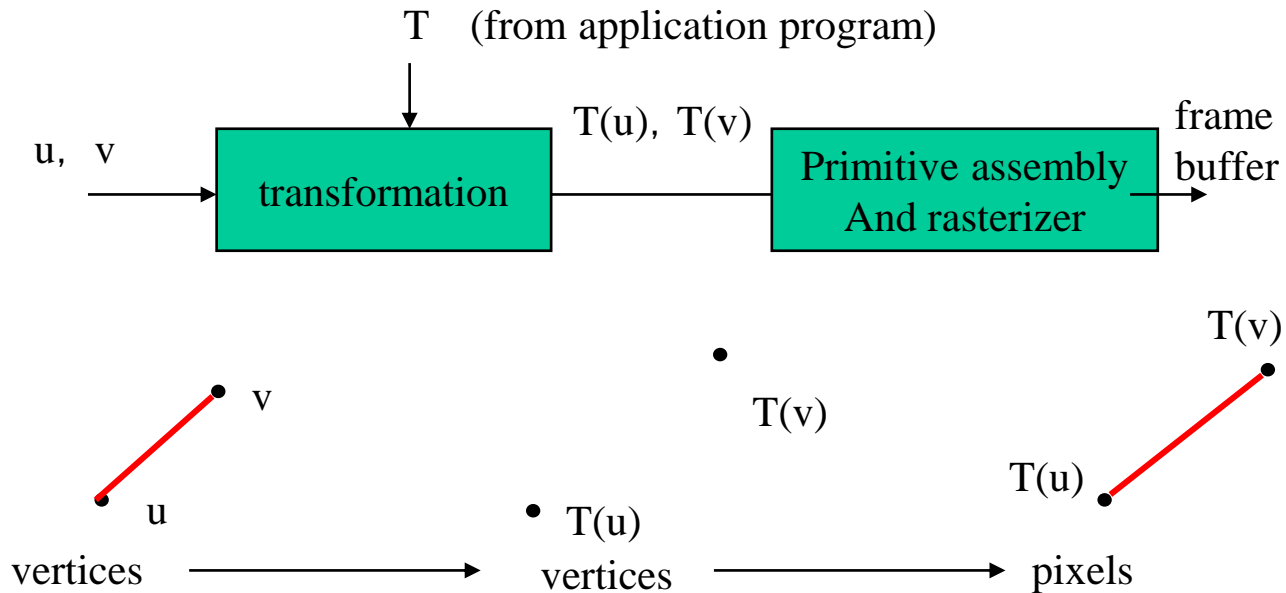
$$\mathbf{v} = \mathbf{R}(\mathbf{u})$$

$$\mathbf{Q} = \mathbf{T}(\mathbf{P})$$

# Transformations in Graphics

- Why Transformation?

- 只需要对顶点作变换, 就可以实现对点、线段以及多边形面图元的变换.
- 例如: 下面对线段的变换:





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  - .....



# Affine Transformation

## ➤ Affine Transformations (仿射变换)

In Euclidean geometry, an affine transformation is a geometric transformation **That preserves lines and parallelism, but not necessarily distances and angles.**

- Every affine transformation preserves lines (仿射变换具有保线性: 变换前是直线的, 变换后依然是直线; 变换前是平行线的, 变换后依然是平行线)
- Every linear transformation is equivalent to a change in frames (每个线性变换等价于一个框架改变)
- However, an affine transformation has only 12 degrees of freedom because 4 of the elements in the matrix are fixed, and are a subset of all possible 4 x 4 linear transformations (每个仿射变换, 对应于一个4\*4矩阵, 但仅有12个自由度)

◆ 以下是采用“齐次坐标”并且用“行向量”表示顶点时的变换矩阵(框架改变矩阵),

$a = bM$  // 变换矩阵M将齐次坐标 b 转换为齐次坐标 a, 这里a,b是1\*4的行向量

$$M = \begin{bmatrix} \gamma_{11} & \gamma_{12} & \gamma_{13} & 0 \\ \gamma_{21} & \gamma_{22} & \gamma_{23} & 0 \\ \gamma_{31} & \gamma_{32} & \gamma_{33} & 0 \\ \gamma_{41} & \gamma_{42} & \gamma_{43} & 1 \end{bmatrix}$$



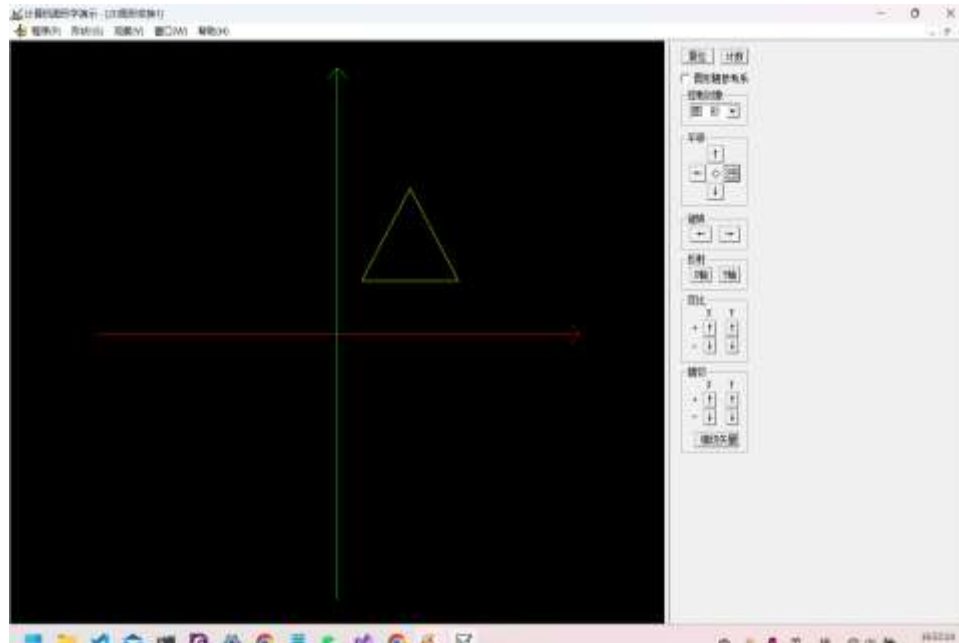


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# Standard Transformations\*

- Introduce standard transformations (标准变换) and
- Derive homogeneous coordinate transformation matrices

- Rotation
- Translation
- Scaling
- Reflection
- Shear



演示

(标准变换: 以原点和坐标轴为参考点或参考轴的变换)



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# Standard Transformations\*

## 1) Translation 平移

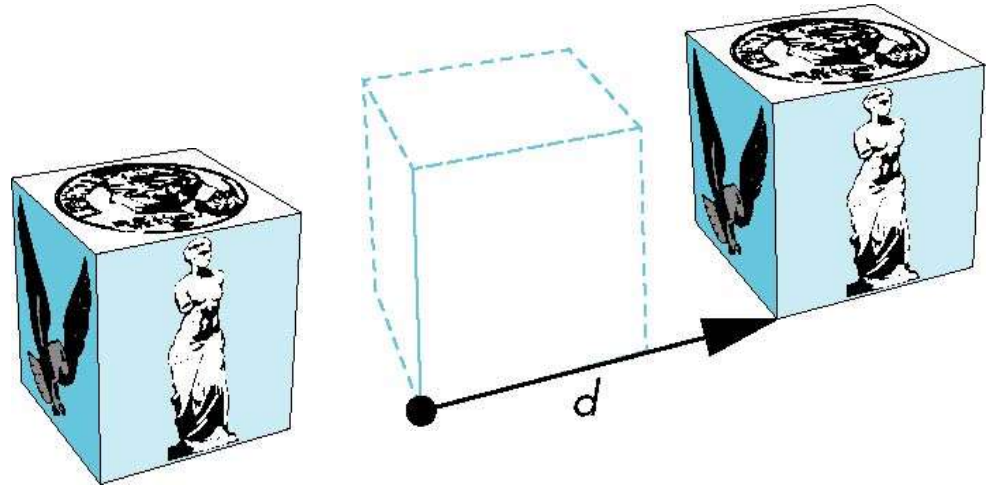
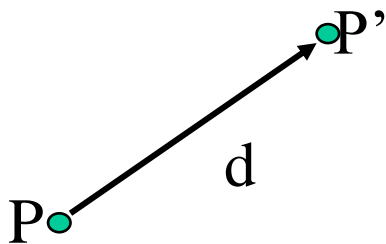
- Move (translate, displace) a point to a new location
- Displacement(移动) determined by a vector  $d$   
But when we move many points, there is usually only one way
- Translation: every point displaced by same vector

$$P' = P + d$$

$$x' = x + d_x$$

$$y' = y + d_y$$

$$z' = z + d_z$$



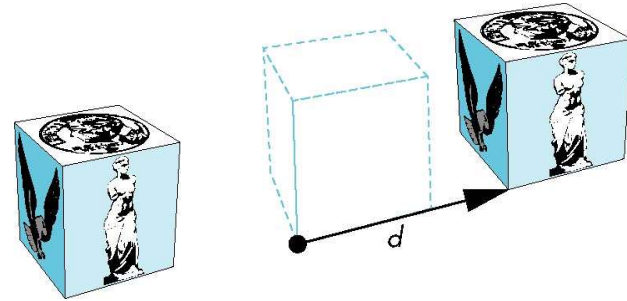
# Standard Transformations\*

## 1) Translation 平移 (cont.)

➤ Using the homogeneous coordinate representation in some frame,

Hence  $\mathbf{P}' = \mathbf{P} + \mathbf{d}$  or

$$\begin{aligned} x' &= 1 * x + 0 * y + 0 * z + d_x * 1 \\ y' &= 0 * x + 1 * y + 0 * z + d_y * 1 \\ z' &= 0 * x + 0 * y + 1 * z + d_z * 1 \\ 1 &= 0 * x + 0 * y + 0 * z + 1 * 1 \end{aligned}$$



➤ express translation using a 4 x 4 matrix  $\mathbf{T}$  in homogeneous coordinates

$$\mathbf{P}' = \mathbf{T} \mathbf{P} \quad \text{where} \quad \mathbf{T} = \mathbf{T}(d_x, d_y, d_z) = \begin{bmatrix} 1 & 0 & 0 & d_x \\ 0 & 1 & 0 & d_y \\ 0 & 0 & 1 & d_z \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

➤ 注意:  $\mathbf{P}'$ ,  $\mathbf{P}$ ,  $\mathbf{d}$  都是列向量表示,  $\mathbf{T}$  矩阵对  $\mathbf{P}$  进行“左乘”

➤ This form in homogeneous coordinates is better for implementation!



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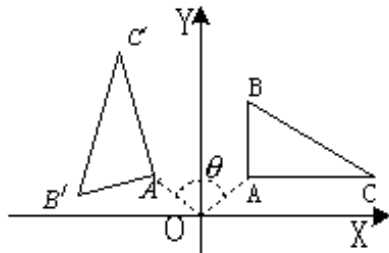
# Standard Transformations\*

## 2) Rotation 旋转

### ➤ 二维平面上，绕原点的旋转

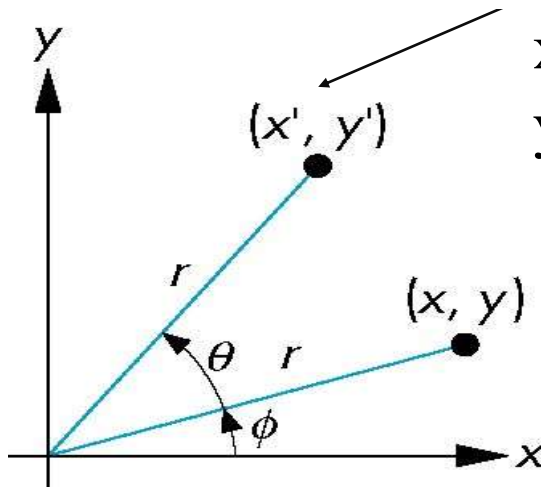
➤ Consider rotation about the origin by  $\theta$  degrees, radius stays the same, angle increases by  $\theta$

– 注意：逆时针方向旋转时，角度为正；顺时针方向旋转时，角度为负。



相对原点旋转  $\theta$  角

$$\begin{aligned} x' &= x \cos \theta - y \sin \theta \\ y' &= x \sin \theta + y \cos \theta \end{aligned}$$



$$\begin{aligned} x' &= r \cos (\phi + \theta) = r \cos \phi \cos \theta - r \sin \phi \sin \theta \\ y' &= r \sin (\phi + \theta) = r \cos \phi \sin \theta + r \sin \phi \cos \theta \end{aligned}$$

$$\begin{aligned} x &= r \cos \phi \\ y &= r \sin \phi \end{aligned}$$



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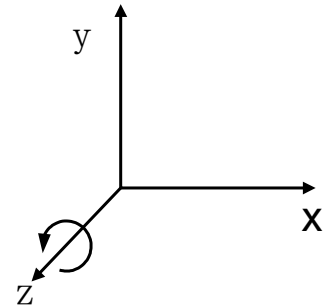
# Standard Transformations\*

## 2) Rotation (cont.)

### • 三维旋转, 绕Z轴旋转

- Rotation about z axis in three dimensions leaves all points with the same z, equivalent to rotation in two dimensions in planes of constant z

$$\begin{aligned}x' &= x \cos \theta - y \sin \theta + z * 0 + 1 * 0 \\y' &= x \sin \theta + y \cos \theta + z * 0 + 1 * 0 \\z' &= x * 0 + y * 0 + z * 1 + 1 * 0 \\1 &= x * 0 + y * 0 + z * 0 + 1 * 1\end{aligned}$$



- in homogeneous coordinates

$$\mathbf{P}' = \mathbf{R}_z(\theta) \mathbf{P},$$

$$\mathbf{R}_z(\theta) = \begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



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# Standard Transformations\*

## 2) Rotation(cont.)

### ➤ Rotation about x and y axes

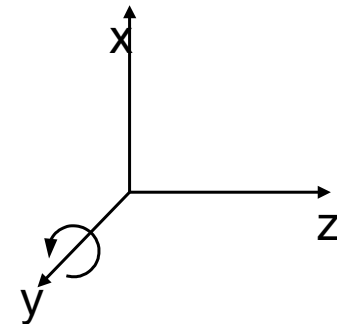
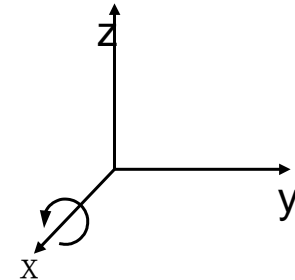
Same argument as for rotation about z axis

➤ For rotation about x axis, x is unchanged

➤ For rotation about y axis, y is unchanged

$$\mathbf{R}_x(\theta) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$\mathbf{R}_y(\theta) = \begin{bmatrix} \cos \theta & 0 & \sin \theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \theta & 0 & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



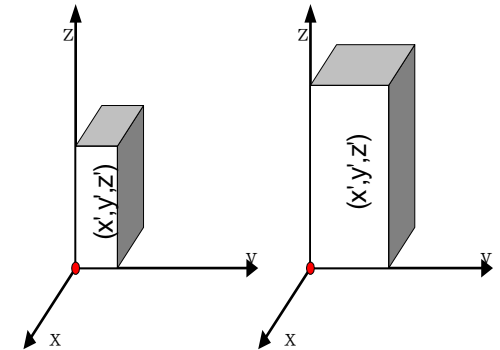
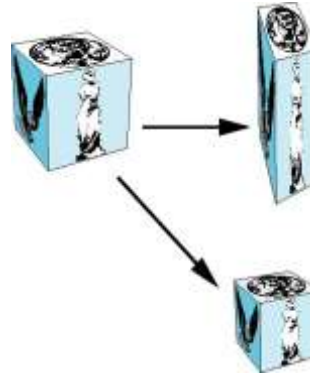


# Standard Transformations\*

## 3) Scaling缩放/变比

- Expand or contract along each coordinate axis and fixed point of origin

$$\begin{aligned} x' &= s_x * x \\ y' &= s_y * y \\ z' &= s_z * z \\ 1 &= 1 * 1 \end{aligned}$$



- in homogeneous coordinates

$$\text{➤ } P' = S P$$

$$S = S(s_x, s_y, s_z) = \begin{bmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

- 注意:缩放时, 是参考“原点”, 沿着X, Y, Z轴进行缩放的!

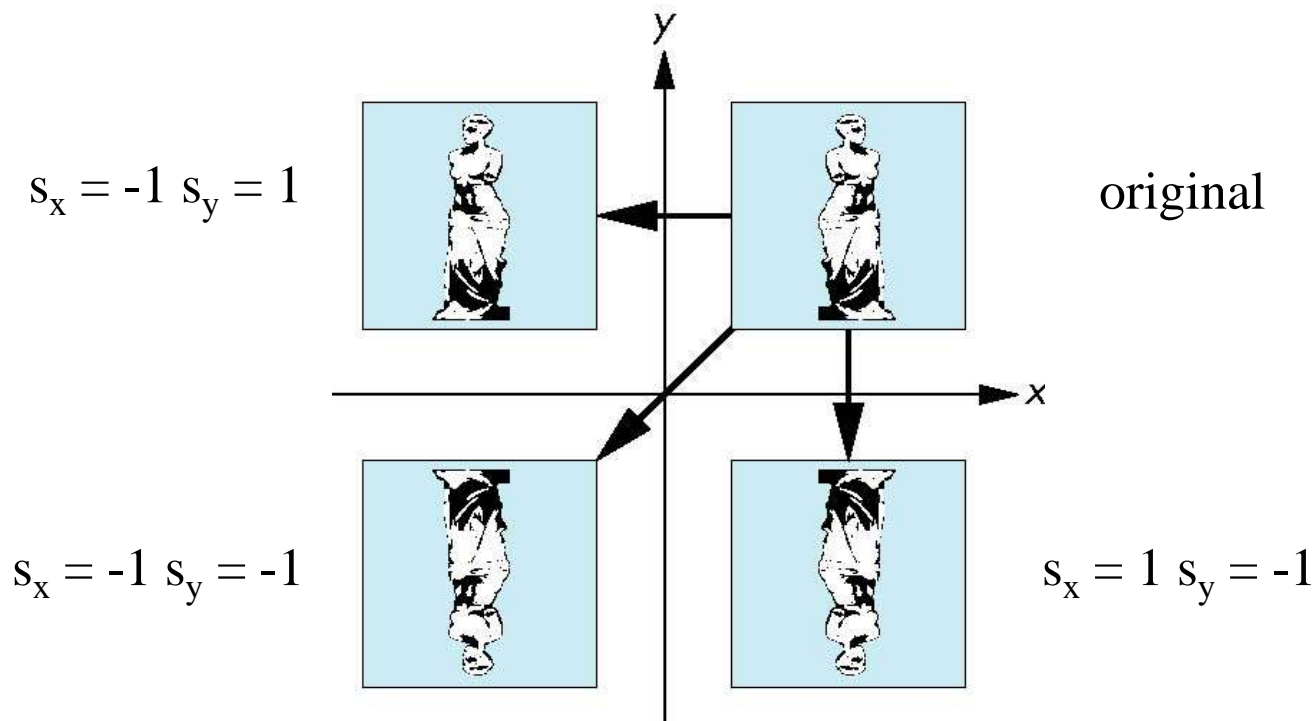
# Standard Transformations\*

## 4) Reflection 反射/对称

➤ 反射: 参照坐标轴或原点进行

➤ corresponds to **negative scale factors (负1)**

$$\begin{bmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$







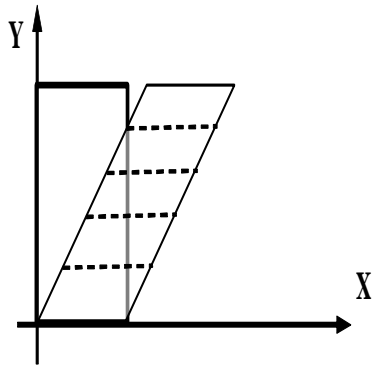
# Standard Transformations\*

## 5)Shear-错切

### ➤ 二维空间中，用齐次坐标表示的错切

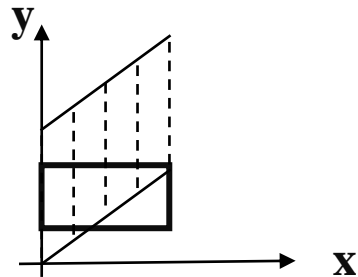
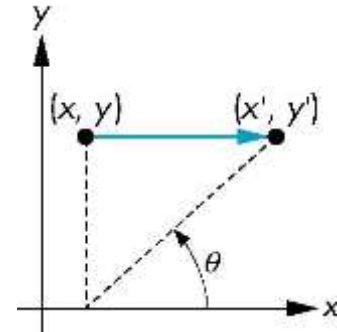
➤  $P' = \text{Shear}(sh_x, sh_y)P$

$$\begin{bmatrix} 1 & sh_x & 0 \\ sh_y & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$



x依y轴的错切:

- $x' = x + sh_x \cdot y$
- $y' = y$
- $1 = 1$



y依X轴的错切

- $x_I = x$
- $y_I = sh_y \cdot x + y$
- $1 = 1$



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# Standard Transformations\*

## 5) Shear-错切(cont.)

- 三维空间中, 用齐次坐标表示的错切  $P' = \text{Shear}(sh_x, sh_y)P$ 
  - 依赖轴: 坐标保持不变的轴
  - 方向轴: 坐标“参照依赖轴”呈线性变化的轴

$X$ 为依赖轴:

$$x' = x$$

$$y' = dx + y$$

$$z' = gx + z$$

$Y$ 为依赖轴:

$$x' = x + by$$

$$y' = y$$

$$z' = hy + z$$

$z$ 为依赖轴:

$$x' = x + cz$$

$$y' = y + fz$$

$$z' = z$$

$$T_{SHx} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ d & 1 & 0 & 0 \\ g & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$T_{SHy} = \begin{bmatrix} 1 & b & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & h & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$T_{SHz} = \begin{bmatrix} 1 & 0 & c & 0 \\ 0 & 1 & f & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



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# Standard Transformations\*

➤总结用齐次坐标表示变换形式如下：

■用齐次坐标且“行向量”表示： $P' = P * M$  //右乘变换矩阵

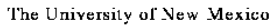
$$p' = [x' \ y' \ z' \ 1] \quad p = [x \ y \ z \ 1] \quad M_{4 \times 4} = \begin{bmatrix} sx & d & g & 0 \\ b & sy & h & 0 \\ c & f & sz & 0 \\ tx & ty & tz & 1 \end{bmatrix}$$

■用齐次坐标且“列向量”表示： $P' = M * P$  //左乘变换矩阵

$$p' = \begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} \quad M_{4 \times 4} = \begin{bmatrix} sx & b & c & tx \\ d & sy & f & ty \\ g & h & sz & tz \\ 0 & 0 & 0 & 1 \end{bmatrix} \quad p = \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

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  - Vector space, Coordinate System, Change of Coordinate
  - Affine space, Frames System, Change of Frame
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  - Five Standard Transformation (标准变换)
  - Concatenation Transformation\* (串联变换)
- **Applying Concatenation Transformation (应用串联变换)**
  - Eg1: Non-standard transformation 非标变换
  - Eg2: Cumulative transformation 累积变换
  - .....



➤ Concatenation Transformation级联变换： 是多个标准变换的串联形式, 变换矩阵的累乘！

- ✓好处：无需计算和保存中间过程产生的顶点数据 $P_i$ ，可以减少数据量极大的顶点数据的存储和传输，从而大大提高顶点变换的效率！

The diagram illustrates the process of combining multiple coordinate transformations into a single matrix operation:

- Left side:** A set of equations representing individual transformations:
 
$$\begin{cases} P_2 = M_1^1 \cdot P_1 + M_2^1 \\ P_3 = M_1^2 \cdot P_2 + M_2^2 \\ \dots\dots\dots \\ P_n = M_1^n \cdot P_{n-1} + M_2^n \end{cases}$$
- Middle:** An arrow labeled "采用齐次坐标" (Using homogeneous coordinates) points to a simplified system of equations:
 
$$\begin{cases} P_2 = M_1 P_1 \\ P_3 = M_2 P_2 \\ \dots\dots\dots \\ P_n = M_n P_{n-1} \end{cases}$$
- Right side:** An arrow labeled "级联" (Cascading) points to a box containing the final compact representation:
 
$$\begin{aligned} \mathbf{P}_n &= \mathbf{M}_{n-1} * \dots * \mathbf{M}_2 * \mathbf{M}_1 * \mathbf{P}_0 \\ &= \mathbf{M} * \mathbf{P}_0 \end{aligned}$$

# Concatenation Transformation(cont.)

## ➤级联变换中矩阵乘的顺序 Concatenation Order

- many references use column matrices to represent points, note that “matrix on the right is the first applied”  
(采用列矩阵表示点, 注意最右边矩阵是第1个应用的矩阵)

➤Mathematically, the following are equivalent

$$\mathbf{p}' = \mathbf{A}\mathbf{B}\mathbf{C}\mathbf{p} = \mathbf{A}(\mathbf{B}(\mathbf{C}\mathbf{p})) \quad // \text{当列向量表示时, 累乘顺序是左乘}$$

$$\mathbf{p}'^T = \mathbf{p}^T \mathbf{C}^T \mathbf{B}^T \mathbf{A}^T \quad // \text{行向量表示时: 累乘顺序是右乘 (万琳课件用之)}$$

# Concatenation Transformation (cont.)

➤ The difficult part is “*how to **form**  $M$* ”  
*a desired Concatenation transformation is from the specifications in the application”*

$$P_n = M * P_0$$

$$M = M_n * \dots * M_2 * M_1$$

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  - .....





# Non-standard transformation

## • 补充: Inverses Transformation 逆变换

Although we could compute inverse matrices by general formulas, we can use simple geometric observations

- Translation:  $\mathbf{T}^{-1}(d_x, d_y, d_z) = \mathbf{T}(-d_x, -d_y, -d_z)$
- Rotation:  $\mathbf{R}^{-1}(\theta) = \mathbf{R}(-\theta)$ ,  $\mathbf{R}^{-1}(\theta) = \mathbf{R}^T(\theta)$ 
  - Holds for any rotation matrix
  - Note that since  $\cos(-\theta) = \cos(\theta)$  and  $\sin(-\theta) = -\sin(\theta)$
- Scaling:  $\mathbf{S}^{-1}(s_x, s_y, s_z) = \mathbf{S}(1/s_x, 1/s_y, 1/s_z)$



# Non-standard transformation (cont.)

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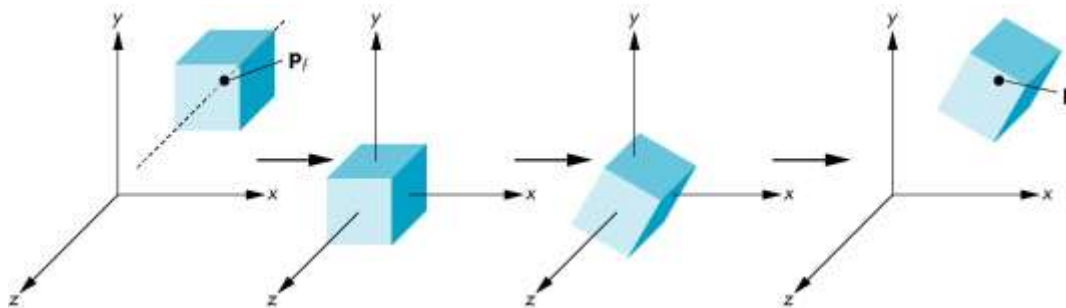
**ex1: 绕任意点(非原点), 且Z轴方向的旋转**

**Rotation About a Fixed Point other than the Origin**

➤ **该变换表示为多个标准变换的级联变换矩阵:**

**$M = T(p_f) R_z(\theta) T(-p_f)$  //列向量表示: 左乘顺序**

1. Move fixed point to origin  **$T(-p_f)$**
2. Rotate  $\theta$   **$R_z(\theta)$**
3. Move fixed point back  **$T(p_f)$**





# Non-standard transformation(cont.)

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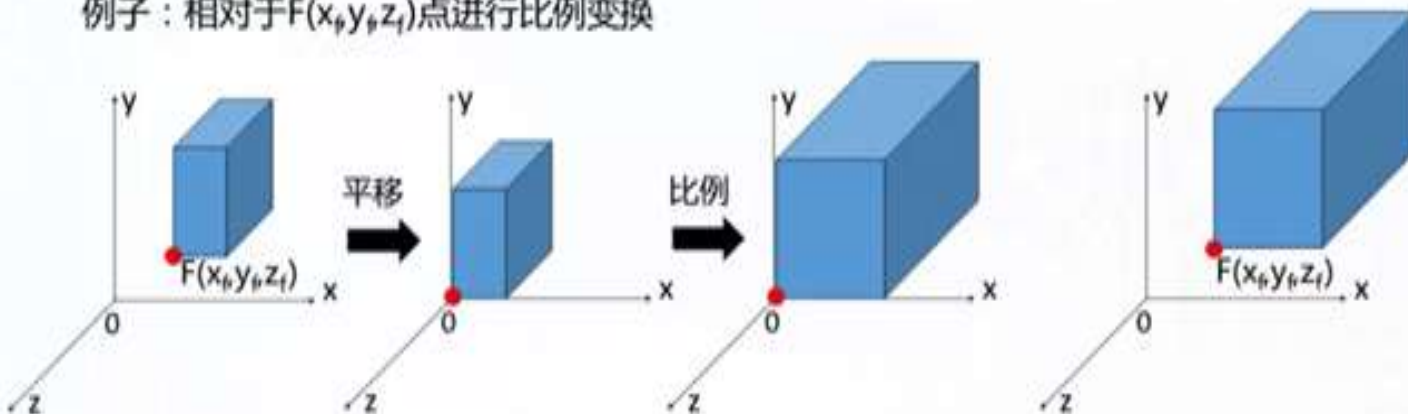
**Ex2: 依任意点的缩放(Scale About a Fixed Point other than the Origin)**

➤该变换表示为多个标准变换矩阵累乘的级联矩阵:

$\mathbf{M} = \mathbf{T}(X_f, Y_f, Z_f) \mathbf{S}(S_x, S_y, S_z) \mathbf{T}(-X_f, -Y_f, -Z_f)$  //列向量表示: 左乘

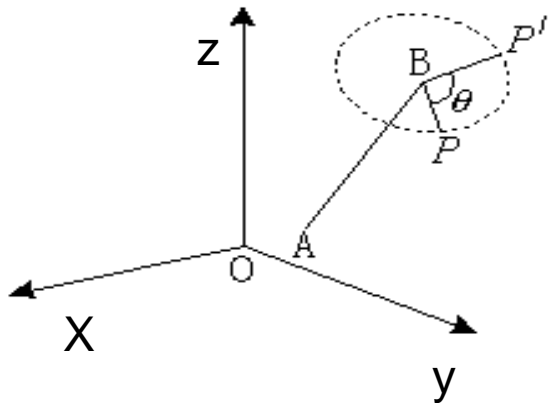
1. Move fixed point to origin  $\mathbf{T}(-X_f, -Y_f, -Z_f)$
2. Scale  $\mathbf{S}(S_x, S_y, S_z)$
3. Move fixed point back  $\mathbf{T}(X_f, Y_f, Z_f)$

例子：相对于 $F(x_f, y_f, z_f)$ 点进行比例变换



# Non-standard transformation(cont.)

- **Ex3:绕任意轴的旋转Rotation Transformation Around Any Axis**
- 如空间一点 $P(x_p, y_p, z_p)$ 绕AB轴旋转角到 $P'(x_p', y_p', z_p')$ , 求 $R_{ab}$



$$\begin{bmatrix} x_p' \\ y_p' \\ z_p' \\ 1 \end{bmatrix} = R_{ab}(\theta) \begin{bmatrix} x_p \\ y_p \\ z_p \\ 1 \end{bmatrix}$$



# Non-standard transformation (cont.)

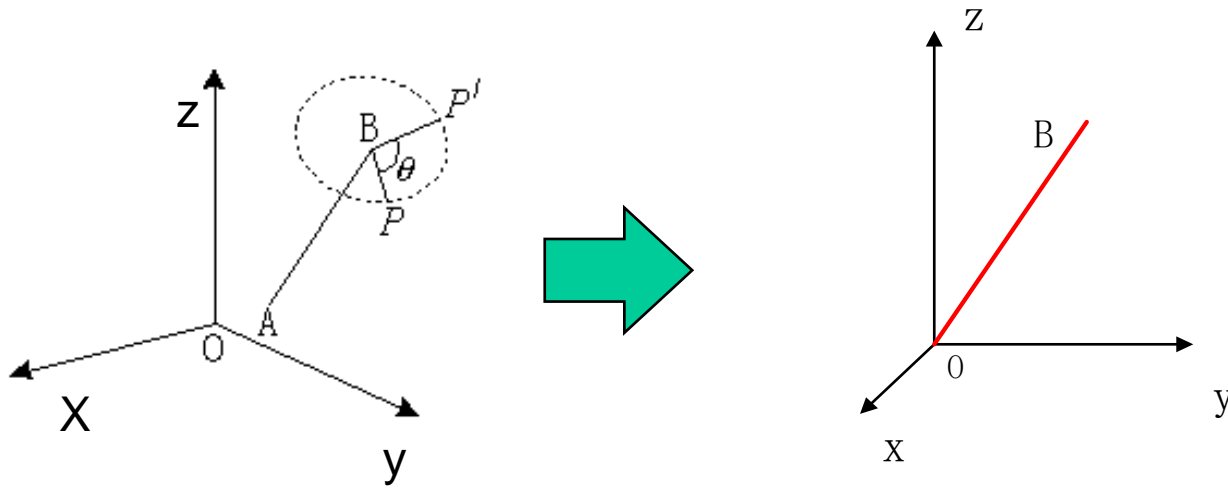
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## • Ex: Rotation Transformation Around Any Axis (cont.)

➤ **Step1: 平移A点到原点**

将**AB**平移,使**A**点与坐标原点重合, 得到**OB**

OB的方向数设为(a,b,c),  $a^2+b^2+c^2=|OB|^2$



(1)



# Non-standard transformation (cont.)

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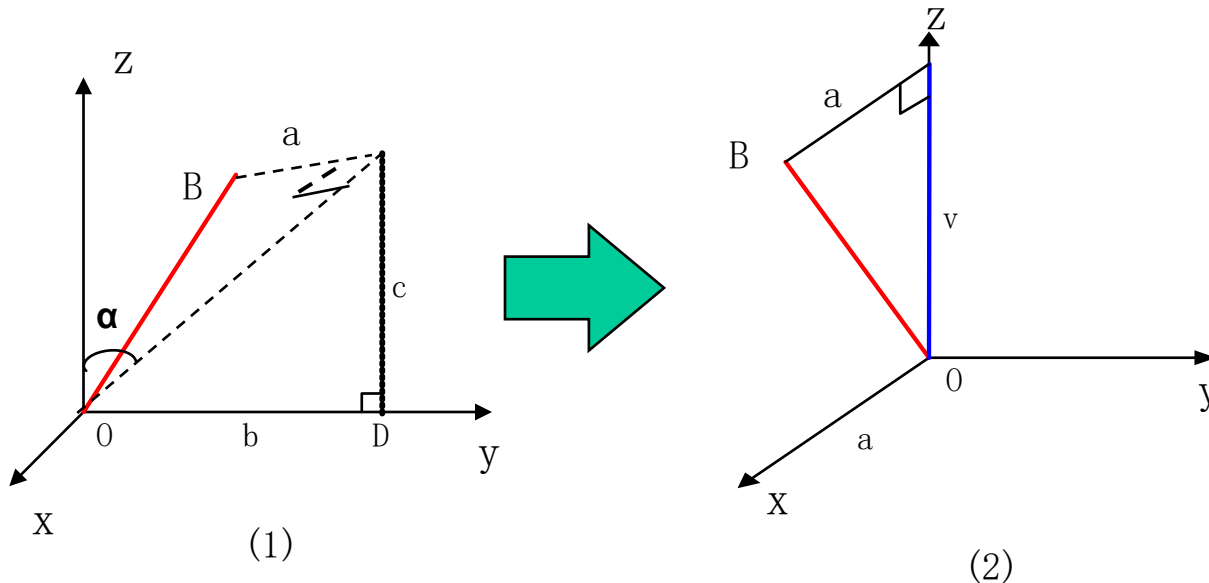
## • Ex: Rotation Transformation Around Any Axis (cont.)

➤ Step2: OB 经绕 X 轴逆时针旋转到 xoz 面上

将 OB 绕 x 轴逆时针旋转  $\alpha$  角, 则 OB 旋转到 XOZ 平面上

$\alpha$  角: 将 OB 投影到 YOZ 面后的投影线和 Z 轴的夹角, 也是 OB 旋转的角度。

v 值:  $v^2 = b^2 + c^2$





# Non-standard transformation (cont.)

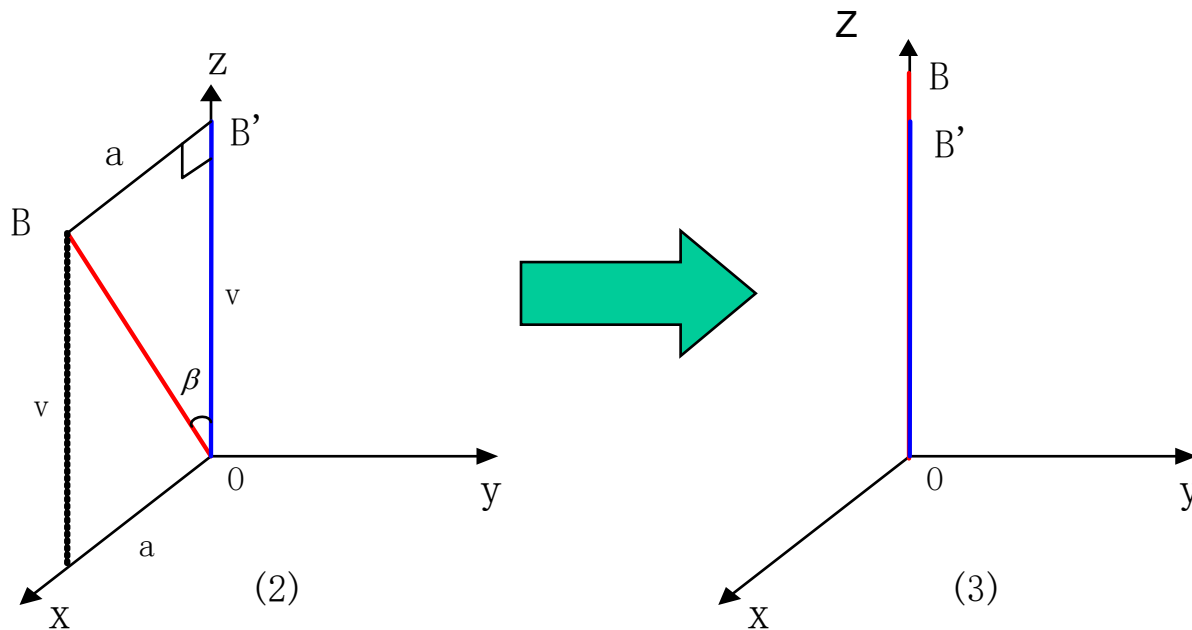
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## • Ex: Rotation Transformation Around Any Axis (cont.)

➤ Step3: 将OB绕Y轴顺时针旋转到Z轴上

将OB绕Y轴顺时针旋转 $\beta$ 角, 则OB旋转到Z轴上

$\beta$ 角: 将OB绕Y轴顺时针旋转的角度      here:  $v^2 = b^2 + c^2$



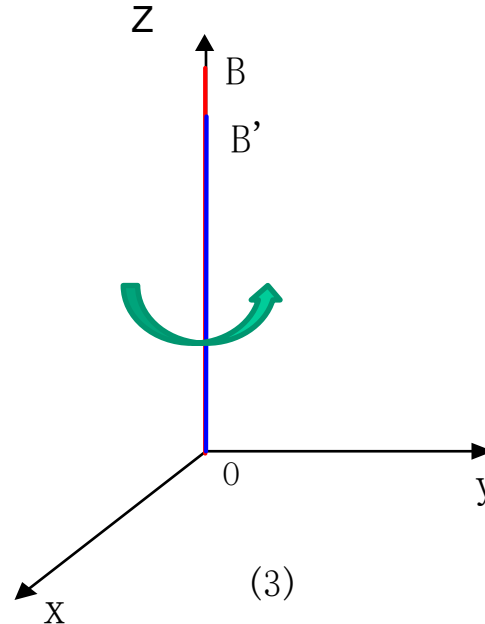


# Non-standard transformation (cont.)

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## • Ex: Rotation Transformation Around Any Axis (cont.)

➤ Step4: 物体绕OB轴（Z轴）作标准旋转







# Non-standard transformation(cont.)

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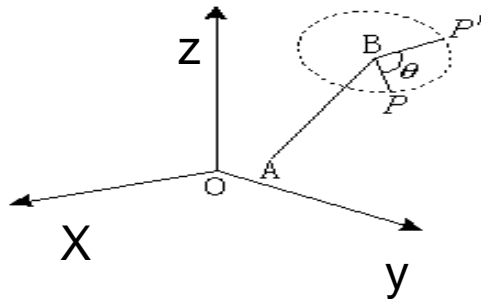
## • Ex: Rotation Transformation Around Any Axis(cont.)

➤ Step5~Step7: 逆变换

➤ 作前面step3, step2,step1对应的逆变换

➤ 按顺序从右边到左排列这七个标准变换矩阵相乘, 可求出Rab

$$T_{Rab} = T_{tA}^{-1} T_{Rx}^{-1} T_{Ry}^{-1} \mathbf{T}_{Rz} T_{Ry} T_{Rx} T_{tA}$$



$$\begin{bmatrix} x_p' \\ y_p' \\ z_p' \\ 1 \end{bmatrix} = R_{ab}(\theta) \begin{bmatrix} x_p \\ y_p \\ z_p \\ 1 \end{bmatrix}$$



# Non-standard transformation (cont.)

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## ➤ Practice

对2D空间中的图形, 请写出以下对称变换所对应的串联矩阵

- 点  $(2,3)$  对称
  - 轴  $y=2$  对称
  - 轴  $y=2x$  对称
  - 轴  $y=ax+b$  对称
- using Homogeneous Coordinate and column vector  
采用齐次坐标表示和列向量 (注意: 串联矩阵左乘)

提示: Non-Standard Transformation Solutions

1. 首先, T1-把“非标准”变换条件转换为标准变换条件
2. 然后, T2-实施标准变换
3. 最后, T3-逆变换回非标准条件下



# Non-standard transformation(cont.)

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## ➤ Practice(cont.)

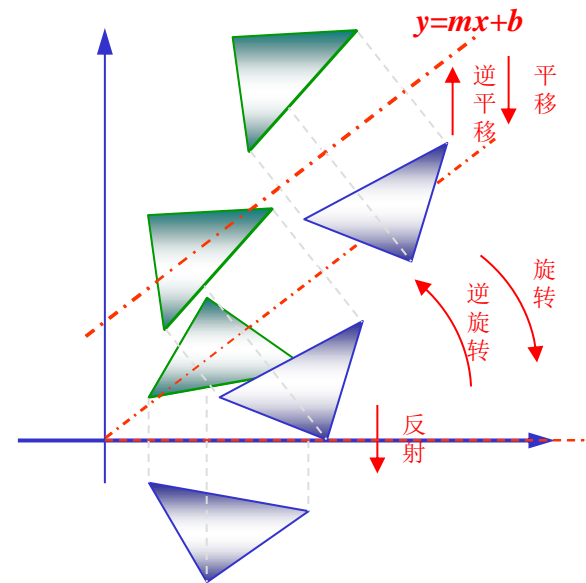
推导关于任意轴 $y=ax+b$ 的对称变换矩阵思路:

- ①平移反射轴使其经过原点;
- ②将反射轴旋转到坐标轴之一上, 且进行关于坐标轴反射;
- ③利用逆旋转和平移变换将线置回原处。

### • 串联矩阵M为:

$$M = T(0, b) \cdot R(\theta) \cdot F_{x\text{轴}} \cdot R(-\theta) \cdot T(0, -b)$$

- 1) 平移  $T(0, -b)$
- 2)  $\theta = \arctg(a)$ , 顺时针旋转  $R(-\theta)$
- 3) 关于X轴的反射  $F_{x\text{轴}}$
- 4) 旋转回去  $R(\theta)$
- 5) 平移回去  $T(0, b)$



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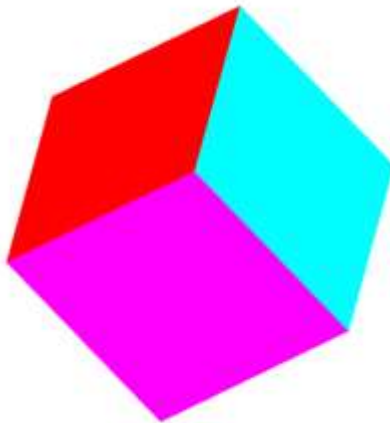
# Cumulative Transformation

## ➤ 累积变换的例子: Virtual trackball 虚拟跟踪球模拟

- Smooth Rotation: From a practical standpoint, we often want to use transformations to move and reorient an object smoothly

ref: [Angel8ECode/ 04/trackball.\\*](#)

- 让物体绕过中心的任意轴进行旋转;
- 旋转的任意轴是可以改变的, 且由鼠标滑动方向来进行交互控制改变





# Cumulative Transformation (cont.)

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## ➤ 累积变换的例子: Virtual trackball 虚拟跟踪球模拟 (cont.)

- 第n帧画面中的顶点计算公式为:  $P_n = R_n P_0$

```
<script id="vertex-shader" type="x-shader/x-vertex">
in vec4 vPosition;
in vec4 vColor;
Out fColor;
uniform mat4 r;
void main(){
    vec4 p;
    gl_Position = r * vPosition;
    fColor = vColor;
}
```

- $R_n$  是累积变换矩阵, 多帧画面对应有各自的串联变换矩阵, 形成变换序列  $R_0, R_1, \dots, R_n$ , 且存在累积效应:  $R_n = R_{cur} R_{n-1}$
- $R_{cur}$  是当前最近一次的任意轴旋转矩阵, 有以下两种计算方式:
  - find the Euler angles (欧拉角), use  $R_{cur} = R_{iz} R_{iy} R_{ix}$ 
    - Not very efficient
  - ✓ find the rotation axis and angle, use  $R_{cur} = R(axis_i, angle_i)$ ,
    - ✓ Efficient

➤ Quaternions (四元数法) can be more efficient than either



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# Cumulative Transformation(cont.)

➤ 累积变换的例子: Virtual trackball 虚拟跟踪球模拟(cont.)

➤ 累积变换矩阵的实现代码:

$$R_n = R_{cur} R_{n-1}$$

Function render(){

```
gl.clear( gl.COLOR_BUFFER_BIT | gl.DEPTH_BUFFER_BIT);
```

//鼠标键没有放开并继续移动时, 则继续计算新的旋转轴和角度, 得到新的一次旋转变换矩阵rotate(angle, axis), 累乘后传递rotationMatrix给着色器

```
if(trackballMove) { // 这里的rotationMatrix 就是 $R_n$  ( $R_n = R_{cur} R_{n-1}$ )
```

```
axis = normalize(axis); //下页讲如何计算axis
```

```
//rotationMatrix = mult(rotationMatrix, rotate(angle, axis)); //error
```

```
rotationMatrix = mult( rotate(angle, axis), rotationMatrix); //right
```

```
gl.uniformMatrix4fv(rotationMatrixLoc, false, flatten(rotationMatrix));
```

```
}
```

```
gl.drawArrays( gl.TRIANGLES, 0, NumVertices );//绘制
```

```
requestAnimationFrame( render );//动画切换帧
```

```
}
```



# Cumulative Transformation(cont.)

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## Ex: Virtual trackball 虚拟跟踪球模拟(cont.)

➤ 当前旋转变换矩阵的实现代码:  $R_{cur} = R(axis_i, angle_i)$

● ref: Angel8ECode/common\MV.js

```
/**生成绕任意轴旋转的变换矩阵*/  
function rotate( angle, axis )  
{  
    if ( !Array.isArray(axis) ) {  
        axis = [ arguments[1], arguments[2], arguments[3] ];  
    }  
  
    var v = normalize( axis );  
    var x = v[0];  
    var y = v[1];  
    var z = v[2];  
  
    var c = Math.cos( radians(angle) );  
    var omc = 1.0 - c;  
    var s = Math.sin( radians(angle) );  
  
    var result = mat4(  
        vec4( x*x*omc + c, x*y*omc - z*s, x*z*omc + y*s, 0.0 ),  
        vec4( x*y*omc + z*s, y*y*omc + c, y*z*omc - x*s, 0.0 ),  
        vec4( x*z*omc - y*s, y*z*omc + x*s, z*z*omc + c, 0.0 ),  
        vec4()  
    );  
  
    return result;  
}
```

• 思考: 参数angle, axis如何计算?





# Cumulative Transformation(cont.)

The University of New Mexico

## Ex: Virtual trackball 虚拟跟踪球模拟(cont.)

### ➤ $R_{cur} = R(axis_i, angle_i)$ 中参数 $axis$ 和 $angle$ 的计算方法

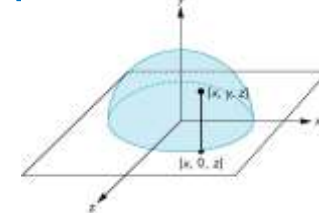
- 将轨迹球的位置和在正常鼠标垫上的位置联系起来, 将鼠标位置  $n(x, z)$  反投影为半球坐标  $p(x, y, z)$

$$Y = \sqrt{r^2 - x^2 - z^2},$$

if  $r \square |x| \square 0, r \square |z| \square 0$



origin at center of ball

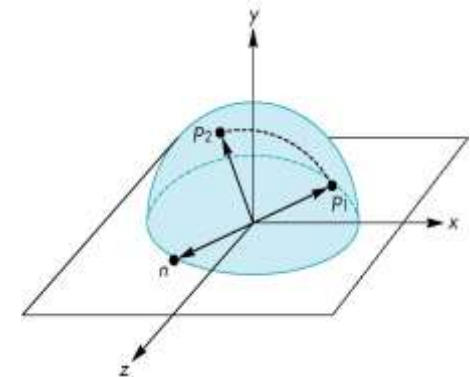


- 从鼠标上获得的两个点, 将它们投射到上半球的点  $p_1$  和点  $p_2$  上, 这些点决定了球体上的一个大圆
  - 从  $p_1$  旋转到  $p_2$  旋转轴  $axis$ , 由原点,  $p_1$  和  $p_2$  决定的平面的法线表示

$$\mathbf{n} = \mathbf{p}_1 \times \mathbf{p}_2$$

$$|\sin \theta| = \frac{|\mathbf{n}|}{|\mathbf{p}_1| |\mathbf{p}_2|}$$

- $p_1, p_2$  之间的角度  $angle$ ,  
当鼠标移动缓慢或频繁采样移动点时,  
可以近似计算角度:  $\theta \approx \sin \theta$





# Cumulative Transformation (cont.)

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## Ex: Virtual trackball 虚拟跟踪球模拟(cont.)

➤  $R_{cur} = R(\text{axis}_i, \text{angle}_i)$  中参数  $\text{axis}$  和  $\text{angle}$  的实现代码

```
/*根据输入的屏幕鼠标点击位置X,Y, 将
屏幕坐标2D转换为球面上的3D坐标输出, 并且单位化使得X2+Y2+Z2=1被
mouseMotion调用*/
function trackballView( x, y ) {
    var d, a;
    var v = [];
    v[0] = x;
    v[1] = y;
    d = v[0]*v[0] + v[1]*v[1];
    if (d < 1.0)
        v[2] = Math.sqrt(1.0 - d);
    else {
        v[2] = 0.0;
        a = 1.0 / Math.sqrt(d);
        v[0] *= a;
        v[1] *= a;
    }
    return v;
}
```

```
/*mouseMotion当鼠标按下了并移动时, 根据新的位置X,Y,转换为半球上三维坐标后, 结合保留的上一次的三维位置, 计算得出旋转轴及旋转角度, 并且保留本次3D 位置。*/
function mouseMotion( x, y)
{
    var dx, dy, dz;
    var curPos = trackballView(x, y);

    if(trackingMouse) {
        dx = curPos[0] - lastPos[0];
        dy = curPos[1] - lastPos[1];
        dz = curPos[2] - lastPos[2];

        if (dx || dy || dz) {
            angle = -0.1 * Math.sqrt(dx*dx + dy*dy + dz*dz);
            axis[0] = lastPos[1]*curPos[2] - lastPos[2]*curPos[1];
            axis[1] = lastPos[2]*curPos[0] - lastPos[0]*curPos[2];
            axis[2] = lastPos[0]*curPos[1] - lastPos[1]*curPos[0];
            lastPos[0] = curPos[0];
            lastPos[1] = curPos[1];
            lastPos[2] = curPos[2];
        }
    }
    render();
}
```

# Outline

- **Representation (对象在物理空间的表示)**
  - Vector space, Coordinate System, Change of Coordinate
  - Affine space, Frames System, Change of Frame
  - Homogeneous Coordinate
- **Transformations\* (变换)**
  - Five Standard Transformation (标准变换)
  - Concatenation Transformation\* (串联变换)
- **Applying Concatenation Transformation (应用串联变换)**
  - Eg1: Non-standard transformation 非标变换
  - Eg2: Cumulative transformation 累积变换
  - ...



# Summary

- **Homogeneous Coordinates Representation (齐次坐标表示)**

- Point(x,y,z,h), 顶点表示,  $h \neq 0$
- Vector(x,y,z,0) 向量表示:  $h=0$

$$M_{4 \times 4} = \begin{bmatrix} sx & b & c & tx \\ d & sy & f & ty \\ g & h & sz & tz \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

- **Affine Transformation(仿射变换,五种基本变换):**

- Five Standard Transformation: Translation, Rotation, Scaling, Reflection, Shear.
- Use Homogeneous Coordinates, Transformation is a 4\*4 Matrix, 12 freedom
- Use column vector representation, affine transformation then like:

- **Concatenation Transformation(串联变换):**

$P_n' = M_n * \dots * M_2 * M_1 * P_0$ ; //  $M_i$  is Standard Transformation

$M = M_n * \dots * M_2 * M_1$ ; //  $M$  is Concatenation Transformation

- **Applying Concatenation Transformations (应用串联变换)**

- Eg1: Non-standard transformation 非标变换
- Eg2: Cumulative transformation 累积变换
- ..... (下次课讲顶点着色器中的MVP变换, 即观察流水线中的坐标变换)