

# Summer Project 2020

## Reinforcement Knowledge Graph Reasoning for Explainable Recommendation

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## Abstract

**Knowledge Graphs connect various types of information related to items into a unified space. Different paths connecting entity pairs often carry relations of different semantics, and PGPR\* (Policy Guided Path Reasoning) models these with the help of high quality user and item representations generated using the TransE[1] graph embedding scheme.**

## I. Nomenclature

- $G$  - discounted cumulative reward from  $s$  to  $s_T$
- $s_T$  - terminal state
- $\gamma$  - discount factor
- $\hat{v}(s)$  - value network, used as baseline for REINFORCE
- $\hat{A}_u$  - user conditional pruned action space

## II. Introduction

### A. Overview

This project:

- highlights the importance of KGs to define and interpret the process of recommendation.
- proposes an RL-based approach (with soft rewards, a multi-hop scoring function and action pruning)
- imposes a beam search algorithm to sample diverse reasoning paths and items for recommendation.
- evaluates this method on four Amazon datasets to get explicit reasoning behind the predicted paths.

### B. Problem Formulation

**Goal:** Given a user  $u$ , find a set of candidate items  $i_n$  and the corresponding reasoning paths  $p_n(u, i_n)$

- Entity Set  $E$
- Relation Set  $R$
- Users  $U$ , Items  $I$  such that  $U \cap I = \phi$  and  $U, I \subseteq E$

## III. Preliminaries

### A. Datasets

We've evaluated the PGPR Model[2] on the following four datasets:

- 1) Amazon Beauty
- 2) Amazon Cell Phones
- 3) Amazon CDs & Vinyl
- 4) Amazon Clothing

### B. Metrics

Model evaluation is done in terms of four representative top-N recommendation measures. These ranking metrics are computed based on the top-10 predictions for every user in the test set.

#### I. NDCG

Normalized Discounted Cumulative Gain is a popular method for measuring the quality of a set of search results. It asserts the following:

- Cumulative Gain - The usefulness of very relevant results, somewhat relevant results and irrelevant results decreases in that order
- Discounting - Relevant results are more useful when they appear earlier in the set of results
- Normalization - The result of the ranking should be irrelevant to the query performed

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\*Code available here: <https://github.com/knighterudite/PGPR>

## II. Hit Rate

Metric to evaluate top-N recommendations to a particular user, based on leave-one-out cross validation method.

## III. Recall (Sensitivity)

Fraction of relevant instances retrieved among total relevant instances

## IV. Precision (Positive Predictive Value)

Fraction of relevant instances among retrieved instances

## C. Optimization

**Goal:** To learn a stochastic policy  $\pi$  that maximizes the expected cumulative reward for a particular initial user  $u$

$$J(\theta) = \mathbb{E}_{\pi} [\sum_{t=0}^{T-1} \gamma^t R_{t+1} | u]$$

$$\nabla_{\theta} J(\theta) = \mathbb{E}_{\pi} [\nabla_{\theta} \log \pi_{\theta}(\cdot | s, A_u) (G - \hat{v}(s))]^{\dagger}$$

## IV. Experiments

### A. Framework

The implementation<sup>‡</sup> consists of four stages<sup>§</sup>:

- 1) Data pre-processing step
- 2) Training TransE graph embeddings for entities and relations
- 3) Training RL agent
- 4) Testing - Model Evaluation

### B. Results

Dataset: Beauty			
NDCG	Recall	Hit Rate	Precision
5.449	8.324	14.401	1.707

  

Dataset: Cell Phones			
NDCG	Recall	Hit Rate	Precision
5.042	8.416	11.904	1.274

  

Dataset: CDs & Vinyl			
NDCG	Recall	Hit Rate	Precision
5.590	7.569	16.886	2.157

  

Dataset: Clothing			
NDCG	Recall	Hit Rate	Precision
2.858	4.834	7.020	0.728

## V. Conclusion

The model not only achieves outstanding recommendation results, but also supports the same with an interpretable causal inference procedure. The PGPR approach is a flexible graph reasoning framework and can be extended to many other graph-based tasks such as product search and social recommendation.

<sup>†</sup>Detailed discussion here: [https://github.com/knighterudite/PGPR/blob/master/docs/Actor\\_Critic.pdf](https://github.com/knighterudite/PGPR/blob/master/docs/Actor_Critic.pdf)

<sup>‡</sup>Demo run here: [https://github.com/knighterudite/PGPR/blob/master/demo\\_run\\_beauty.ipynb](https://github.com/knighterudite/PGPR/blob/master/demo_run_beauty.ipynb)

<sup>§</sup>Details here: [https://github.com/knighterudite/PGPR/blob/master/docs/code\\_detail.pdf](https://github.com/knighterudite/PGPR/blob/master/docs/code_detail.pdf)

## VI. Appendix

### 1. NDCG

$CG = \sum_{k=1}^n r_k$  (where  $r$  = relevance value for a particular result and  $CG$  = Cumulative Gain)

DCG rectifies CG by discounting the results that appear later, i.e.  $DCG = \sum_{k=1}^n \frac{r_k}{\log(k+1)}$

$NDCG_k = \frac{DCG_k}{iDCG}$ , the DCGs are normalized across queries by dividing by the best/ideal DCG.

### References

- [1] Antoine Bordes, Nicolas Usunier, Alberto Garcia-Duran, Jason Weston, Oksana Yakhnenko, “Translating Embeddings for Modeling Multi-relational Data,” *Advances in Neural Information Processing Systems*, 2013, pp. 2787–2795.
- [2] Yikun Xian, Zuohui Fu, S. Muthukrishnan, Gerard de Melo, Yongfeng Zhang, “Reinforcement Knowledge Graph Reasoning for Explainable Recommendation,” *Conference on Research and Development in Information Retrieval (SIGIR '19)*, ACM, New York, NY, USA, 2019.