



# Poornima

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### DETAILED LECTURE NOTES

#### Shanon Fano Encoding Algorithm

- Steps for finding shanon fano coding.
- ⇒ The given message first written in the decreasing order of non increasing probability.
  - ⇒ Divide the particular message set into two most equiprobable subset  $\{x_1\}$  and  $\{x_2\}$  so that the probability set is equal.
  - ⇒ The msg in the 1st set is given bit '0' and the msg in the 2nd set is given bit '1'
  - ⇒ The same procedure is applied for each and every bit separately and continued until no further separation is possible.
  - ⇒ At last the codeword for the symbol can easily be find

$$\text{Efficiency } (\eta) = \frac{H}{\bar{H}} \rightarrow \text{Entropy} = \sum_{i=1}^n p_i \log \frac{1}{p_i}$$
$$\sum_{i=1}^r p_i n_i \rightarrow \text{code length}$$

Example find the code word occurring in the prob.  $\{ \frac{1}{2}, \frac{1}{4}, \frac{1}{8}, \frac{1}{8} \}$  for symbols  $s_1, s_2, s_3$ , and  $s_4$ .  
find efficiency also.

Answer

$$S_1 = \frac{1}{2}$$

{ the addition of  $s_2, s_3$  and  $s_4$  is equal to  $s_1$ .

$$S_2 = \frac{1}{4}$$

→ So the no is changed in the

$$S_3 = \frac{1}{8}$$

→ too group.

$$S_4 = \frac{1}{8}$$

→ same procedure is followed at per the last digit.

(code(w)) length

$$S_1 \quad \begin{matrix} p & x_1 \\ \frac{1}{2} & \end{matrix} \Bigg] 0$$

$$0 \quad 1$$

$$S_2 \quad \begin{matrix} \frac{1}{4} & x_2 \\ \end{matrix} \Bigg] 0$$

$$1 \quad 0 \quad 2$$

$$S_3 \quad \begin{matrix} \frac{1}{8} & \\ \end{matrix} \Bigg] 0$$

$$1 \quad 1 \quad 0 \quad 3$$

$$S_4 \quad \begin{matrix} \frac{1}{8} & \\ \end{matrix} \Bigg] 1$$

$$1 \quad 1 \quad 0 \quad 1 \quad 3$$

$$\text{So the } H = P_i \log \frac{1}{P_i}$$

$$H = \frac{1}{2} \log 2 + \frac{1}{4} \log 4 + \frac{1}{8} \log_2 8 + \frac{1}{8} \log_2 8$$

$$H = \frac{1}{2} + \frac{1}{2} + \frac{3}{8} + \frac{3}{8}$$

$H = 1.75 \text{ bits}$



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$$\rightarrow \hat{H} = P_i h_i \quad h_i = \text{Code length}$$

$$\hat{H} = \frac{1}{2} \times 1 + \frac{1}{4} \times 2 + \frac{1}{8} \times 3 + \frac{1}{8} \times 3$$

$$\hat{H} = 1.75$$

Efficiency ( $\eta$ ) =  $100 \cdot \frac{H}{\hat{H}} = \frac{1.75}{1.75}$

$$\boxed{\eta = 100 \cdot 1}$$

Example

find the code word for the probability  $\frac{1}{4}, \frac{1}{4}, \frac{1}{8}$ .

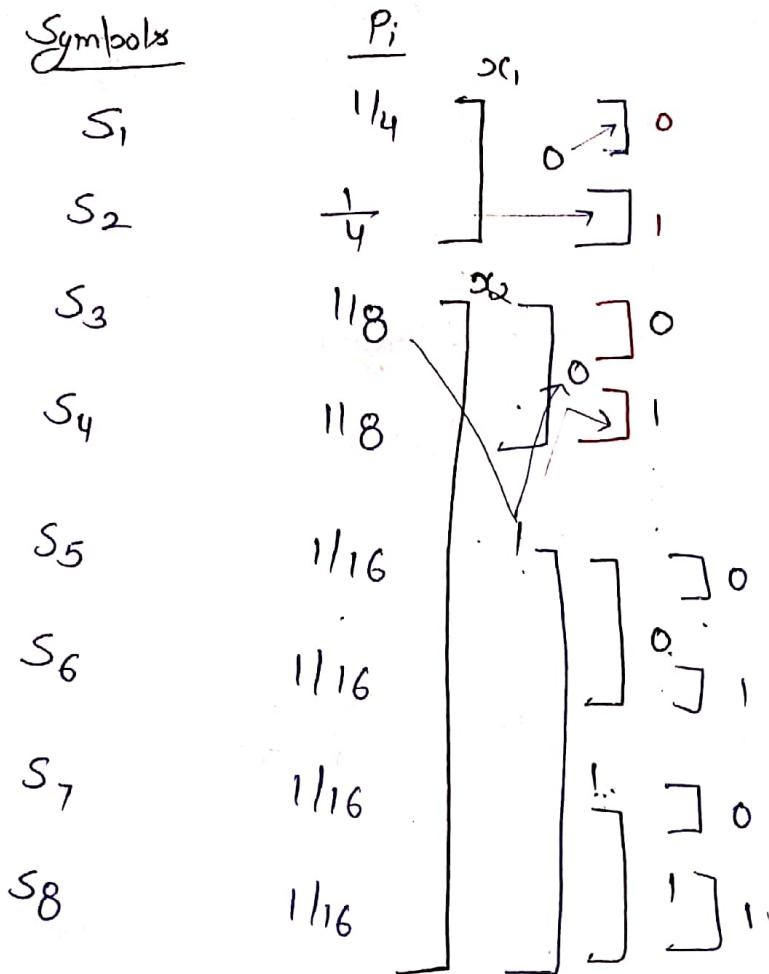
$\frac{1}{8}, \frac{1}{16}, \frac{1}{16}, \frac{1}{16}, \frac{1}{16}$  for the symbols  $s_1, \dots, s_8$

find the code efficiency and Redundancy also?

Solution

$$\text{Redundancy } R_e = (1 - e)$$

$\swarrow$  efficiency

SymbolsSym. Codeword

Sym.	Codeword	Length
$S_1$	00	2
$S_2$	01	2
$S_3$	100	3
$S_4$	101	3
$S_5$	1100	4
$S_6$	1101	4
$S_7$	1110	4
$S_8$	1111	4

$$h = \frac{H}{\hat{H}}$$

$$H = 2 \times \left( \frac{1}{4} \log_2 4 \right) + 2 \times \left( \frac{1}{8} \log_2 8 \right) + 4 \left( \frac{1}{16} \log_2 16 \right)$$

$$\boxed{H = 2.75 \text{ bds}}$$

$$\hat{H} = \frac{1}{4} \times 2 + \frac{1}{4} \times 2 + \frac{1}{8} \times 3 + \frac{1}{8} \times 3 + \frac{1}{16} \times 4 + \frac{1}{16} \times 4 + \frac{1}{16} \times 4$$

$$\boxed{\hat{H} = 2.75 \text{ bds}}$$

$$\hat{H} = \sum_{i=1}^n P_i h_i$$

$$\text{Efficiency} = \frac{H}{\hat{H}} = \frac{2.75}{2.75} = 100\%$$

$$\text{Redundancy} = 1 - \eta = 1 - 100\% = 0\%$$



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Example 2 Problem on ambiguity

Apply the shanon func encoding

$$x = \{x_1, x_2, x_3, x_4, x_5, x_6, x_7\}$$

$$P = \{0.4, 0.2, 0.12, 0.08, 0.08, 0.08, 0.04\}$$

Solution

Symbols

P

Symbols	P	Codeword	length
$x_1$	0.4	0	1
$x_2$	0.2	100	3
$x_3$	0.12	101	3
$x_4$	0.08	1100	4
$x_5$	0.08	1101	4
$x_6$	0.08	1110	4
$x_7$	0.04	1111	4

In the above example, the solution can be found by using two method

→ Combine  $x_2 \dots x_7$  with  $x_1$  (0.4, 0.6)

→ Combine  $x_3 \dots x_7$  with  $(x_1, x_2)$  (0.6, 0.4)

$$H = \sum P_i L_i$$

$$= 0.4 + 0.6 + 0.36 + 3 \times 0.08 \times 4 + 0.16$$

$$= \underline{\underline{2.48 \text{ bits}}}$$

Same questions solved by second method

		codeword	length
$x_1$	0.4	0 0	2
$x_2$	0.2	0 1	2
$x_3$	0.12	1 0 0	3
$x_4$	0.08	1 0 1	3
$x_5$	0.08	1 1 0	3
$x_6$	0.08	1 1 1 0	4
$x_7$	0.04	1 1 1 1	4

$$\hat{H} = 0.4 \times 2 + 0.2 \times 2 + 0.12 \times 3 + 2 \times 0.08 \times 3 + 4 \times 0.08 + 4 \times 0.04$$

$$= \underline{\underline{2.52 \text{ bits}}}$$

So the first approach is better than the ~~2nd~~.

$$n = \frac{H}{\hat{H}} =$$

$$H = 0.4 \log_2 \frac{1}{0.4} + 0.2 \log_2 \frac{1}{0.2} + 0.12 \log_2 \frac{1}{0.12} + 3 \times 0.08 \times \log_2 \frac{1}{0.08} + 1 \times \log_2 \frac{1}{0.04}$$

$$H = 2.42$$



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$$n = \frac{H}{A} = \frac{2.42}{2.48}$$

$$n = 97.61$$

### Hempel Ziv Coding

In Real Time application the probabilities was not providing in advance. So it is not recognizable in the real time application. The new scheme was proposed by Hempel and Ziv in 1977. It does not required the source code statistics. It is variable to fixed length source coding algorithm and belongs to the class of universal source coding algorithm.

The working of the coding is as follows:  
The compression of any number is decoded by series of 0's and 1's and plus one new bit. These variable length blocks called as phrases. These one exist the phrase and their location.

Question

The string of 101011011010101011  
→ the execution will begin by parsing it  
into comma separated each phonemes → that can  
be represented by a previous string as the  
prefix, plus a bit.

The first bit 1 has no predecessor so it  
has null prefix string. { → it is assumed that  
o and 1 already stored in 1 and  
0 position}

same goes for 0

1, 0, 101011010101011

→ our dictionary contain "1" and "0" so we  
move further for new word

1, 0, 10, 110110101011

Continuing in the way we move forward for  
completing the bit stream.

1, 0, 10, 11, 01, 101, 010, 1011

for visualizing the follows the term can  
be described as

(codeword at location 0, 1), (codeword at location 0, 0),  
(codeword at location 1, 0), . . .



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### Dictionary for the Lempel-Ziv Algorithm

Dictionary Location	Dictionary Content	fixed length codeword
001	1	0001
010	0	0000
011	10	0010
100	11	0011
101	01	0101
110	101	0111
111	010	1010
	1011	1101

Ziv - Algo nothim proved that for long document the compression of the file approaches the optimum obtainable condition.

### Example 2

0 0 0 1 0 1 1 1 0 0 1 0 1 0 0 1 0 1  
1 2 3 4 5 6 7 8 9  
↳ 0, 1, 00, 01, 011, 10, 010, 100, 101

→ generate the  
subsequence.

null position denote by 000, 001									
Number Representation		3	4	5	6	7	8	9	
0	1	00	01	011	10	010	100	101	
↓↓		↓↓		↓		↓		↓	
11		12		42	21	41	61	62	

Binary L  
value

Binary Encoded block      0010    0011    1001    0101    1000    1100    1101

Question 2

A A B A B B B A B A A B A B B B A B B A B B A

Solution

$$A \rightarrow 0 \quad B \rightarrow 1$$

1	2	3	4	5	6	7	8	9
A	A B	A B B	B	A B A	A B A B	B B	A B B A	B B A
$\emptyset_A$	1 B	2 B	$\emptyset_B$	2 A	5 B	4 B	3 A	7 A
0	0011	0111	1	0100	1011	1001	0110	1110

Binary Code

0    0011    0111    1    0100    1011    1001    0110    1110



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Information Capacity Theorem (Channel capacity theorem)

Information Capacity theorem is used for band limited and power limited Gaussian channel.

Let  $X_k$ ,  $k = 1, 2, \dots, k$  denotes the continuous random variable obtained by uniform sampling of the process  $X(t)$  at the Nyquist rate of  $\omega$  samples per second.

The channel output is convoluted by AWGN of zero mean and power spectral density (PSD)  $N_0/2$ .

$Y_k$ ,  $k = 1, 2, \dots, k$  denote the sample of the received signal.

$$Y_k = X_k + N_k \quad k = 1, 2, \dots, k$$

When  $N_k$  is the noise sample with zero mean and variance  $\sigma^2$ . Now. It is statistically independent.

$$E(X_k^2) = P, \quad k = 1, 2, \dots, k$$

Power Capacity of the channel

$$C = \max_{f_{X_k}(x)} \{ I(x; y) | E[X_k^2] = P \}$$

$f_{X_k}(x)$  is the probability density function of  $X_k$ .

$$I(x_k; y_k) = h(y_k) - h(y_k | x_k)$$

Example 6

$$h(Y_k | X_k) = h(N_k)$$
$$\Rightarrow I(X_k; Y_k) = h(Y_k) - h(N_k)$$

$$h(Y_k) = \frac{1}{2} \log_2 [2\pi e (P + N_0 w)]$$

$$h(N_k) = \frac{1}{2} \log_2 [2\pi e (N_0 w)]$$

substituting the value in channel capacity

$$C = \frac{1}{2} \log_2 \left( 1 + \frac{P}{N_0 w} \right) \text{ bits}$$

If  $w$  sample one transmitted per second.

$$C = w \log_2 \left( 1 + \frac{P}{N_0 w} \right) \text{ bits}$$

Information Capacity Theorem:

The inf capacity of a continuous channel of bandwidth  $w$  hertz, perturbed by additive white Gaussian noise of power spectral density  $N_0/2$  and limited in bandwidth to  $w$ , is given by

$$C = w \log_2 \left( 1 + \frac{P}{N_0 w} \right)$$

If is also called channel capacity theorem.

By the use of this theorem it provide the trade off between the channel bandwidth, the average transmitted power and noise power spectral density. It provide the channel bandwidth in the term of bits per second.



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#### Channel Capacity (Shannon Limit)

B.W → maximum range of frequency occupied by the channel

SNR → signal to noise Ratio  
it fix the channel capacity with B.W. and SNR

⇒ maximum information Rate → transmitting from sender to receiver in the channel.

Denoted by  $\underline{C}$

Information Rate can be the value of transmission from in the channel from sender to receiver

Information Rate →  $R$

(i)  $R < C \rightarrow$  Transmission without error in presence of noise.

(ii)  $R > C \rightarrow$  Transmission without error is not possible in presence of noise

To get noise free transmission error detection and correction code added to the original signal.

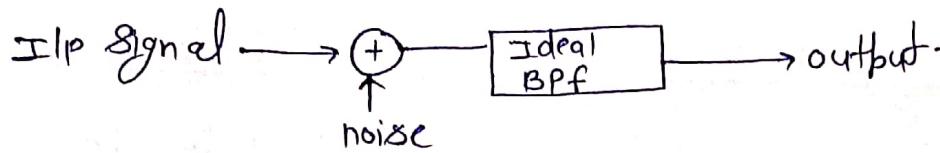
∴ signal length  $\uparrow =$  circuit complexity  $\uparrow$

beginning or any other codeword.

### Channel Capacity

$$C = B \log_2 \left( 1 + \frac{S}{N} \right)$$

↓                      ↓  
B.W.                  Signal to Noise Ratio



$V_s$  = rms value of signal voltage

$V_n$  = rms value of noise voltage

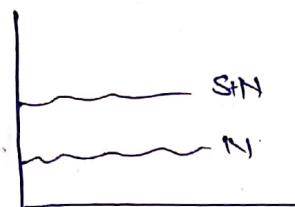
Signal Power =  $V_s^2 / R = V_s^2$

Noise Power =  $V_n^2 / R = V_n^2$

If  $R = 1$

$$S = V_s^2 \Rightarrow V_s = \sqrt{S}$$

$$N = V_n^2 \Rightarrow V_n = \sqrt{N}$$



$$\text{Maximum no-of level} = \frac{\sqrt{S+N}}{\sqrt{N}} = \sqrt{1 + S/N}$$

$$\text{Information} = \log_2(m)$$

$$= \log_2 \sqrt{1 + \frac{S}{N}}$$

$$B = \frac{1}{2} \log_2 \left( 1 + \frac{S}{N} \right)$$

if  $S < N$  the condition is not permissible

for  $k$  bits  $I = C$

$$C = \frac{k}{2} \log_2 \left( 1 + \frac{S}{N} \right)$$



## DETAILED LECTURE NOTES

If channel Bandwidth =  $B$

Max. info Rate =  $2B$

$$C = B \log_2 \left( 1 + \frac{S}{N} \right)$$

$$\Rightarrow \frac{S}{N} \uparrow = C \uparrow \Rightarrow S/N = \infty \Rightarrow C = \infty$$

$$\Rightarrow B.W \uparrow = C \uparrow$$

noise power spectral density =  $n/2$

noise power ( $N$ ) =  $nB$

$$C = B \log_2 \left( 1 + \frac{S}{nB} \right)$$

$$C = \frac{n}{S} \left( \frac{BS}{n} \right) \log_2 \left( 1 + \frac{S}{nB} \right)$$

$$C = \frac{S}{n} \log_2 \left( 1 + \frac{S}{nB} \right)^{nB/S}$$

$$\lim_{x \rightarrow 0} (1+x)^{1/x} = 0$$

$$C = \frac{S}{n} \log_2 e$$

$$C = 1.44 \frac{S}{n}$$

bits per second  
boaining of any other codeword.

Example for a typical telephone line with a signal to noise ratio of 30dB and an audio bandwidth of 3kHz max data rate of channel

Ans  $SNR = 30 \text{ dB} = 10^3$

$$B = 3 \text{ kHz}$$

$$\begin{aligned} C &= B \log_2 \left( 1 + \frac{S}{N} \right) \\ &= 3 \times 10^3 \log_2 (1 + 10^3) \\ &= \frac{3 \times 10^3 \log 1001}{\log 2} \\ &= 3 \times 10^6 \text{ bps} \end{aligned}$$

$$\boxed{C = 3 \text{ Mbps}}$$

Example A Gaussian Channel has 1MHz bandwidth.

Calculate the channel capacity. If the signal power to noise spectral density Ratio SIN is  $10^5 \text{ Hz}$ .

Also find the maximum information Rate.

Ans  $B = 1 \text{ MHz} = 10^6 \text{ Hz}$

$$SINR = 10^5$$

$$C = ?$$

$$\begin{aligned} C &= B \log_2 (1 + SINR) \\ &= \frac{10^6 \log (100001)}{\log 2} \\ &= 16.6 \times 10^6 \text{ bps} \end{aligned}$$

$$\boxed{C = 16.6 \text{ Mbps}}$$