Parsing, and Context-Free Grammars

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Overview

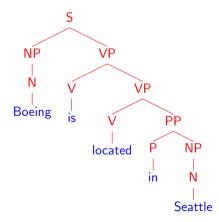
- ► An introduction to the parsing problem
- ► Context free grammars
- ► A brief(!) sketch of the syntax of English
- Examples of ambiguous structures

Parsing (Syntactic Structure)

INPUT:

Boeing is located in Seattle.

OUTPUT:



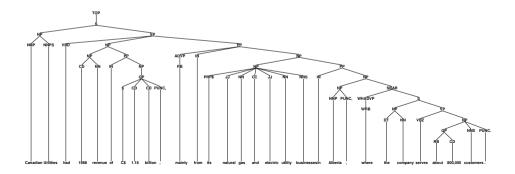
Syntactic Formalisms

- Work in formal syntax goes back to Chomsky's PhD thesis in the 1950s
- Examples of current formalisms: minimalism, lexical functional grammar (LFG), head-driven phrase-structure grammar (HPSG), tree adjoining grammars (TAG), categorial grammars

Data for Parsing Experiments

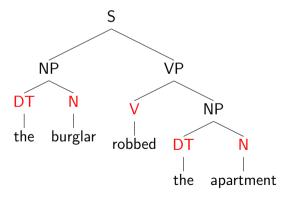
- ▶ Penn WSJ Treebank = 50,000 sentences with associated trees
- ▶ Usual set-up: 40,000 training sentences, 2400 test sentences

An example tree:



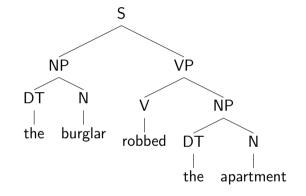
The Information Conveyed by Parse Trees

(1) Part of speech for each word (N = noun, V = verb, DT = determiner)



The Information Conveyed by Parse Trees (continued)

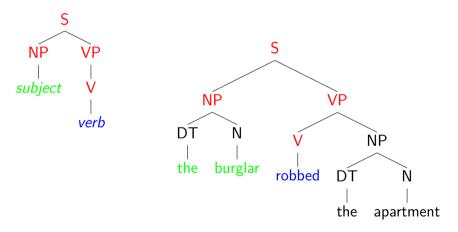
(2) Phrases



Noun Phrases (NP): "the burglar", "the apartment" Verb Phrases (VP): "robbed the apartment" Sentences (S): "the burglar robbed the apartment"

The Information Conveyed by Parse Trees (continued)

(3) Useful Relationships



⇒ "the burglar" is the subject of "robbed"

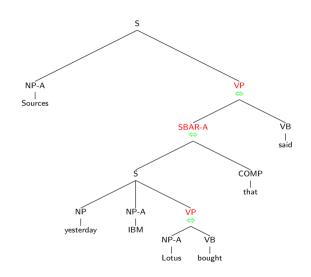
An Example Application: Machine Translation

► English word order is subject – verb – object

▶ Japanese word order is subject – object – verb

English: IBM bought Lotus Japanese: IBM Lotus bought

English: Sources said that IBM bought Lotus yesterday Japanese: Sources yesterday IBM Lotus bought that said



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Context-Free Grammars

Hopcroft and Ullman, 1979

A context free grammar $G = (N, \Sigma, R, S)$ where:

- ightharpoonup N is a set of non-terminal symbols
- $ightharpoonup \Sigma$ is a set of terminal symbols
- ▶ R is a set of rules of the form $X \to Y_1 Y_2 \dots Y_n$ for $n \ge 0$, $X \in N$, $Y_i \in (N \cup \Sigma)$
- $ightharpoonup S \in N$ is a distinguished start symbol

A Context-Free Grammar for English

```
N = \{S, NP, VP, PP, DT, Vi, Vt, NN, IN\}

S = S

\Sigma = \{\text{sleeps, saw, man, woman, telescope, the, with, in}\}
```

```
NP
              VΡ
VP
VP
         Vt
             NP
VΡ
NP
         DT
             NN
NP
         NP
             PP
PP
              NP
         IN
```

Vi	\rightarrow	sleeps
Vt	\rightarrow	saw
NN	\rightarrow	man
NN	\rightarrow	woman
NN	\rightarrow	telescope
DT	\rightarrow	the
IN	\rightarrow	with
IN	\rightarrow	in

Note: S=sentence, VP=verb phrase, NP=noun phrase, PP=prepositional phrase, DT=determiner, Vi=intransitive verb, Vt=transitive verb, NN=noun, IN=preposition

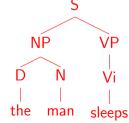
Left-Most Derivations

A left-most derivation is a sequence of strings $s_1 \dots s_n$, where

- $ightharpoonup s_1 = S$, the start symbol
- $s_n \in \Sigma^*$, i.e. s_n is made up of terminal symbols only
- ▶ Each s_i for $i=2\dots n$ is derived from s_{i-1} by picking the left-most non-terminal X in s_{i-1} and replacing it by some β where $X \to \beta$ is a rule in R

For example: [S], [NP VP], [D N VP], [the N VP], [the man VP], [the man Vi], [the man sleeps]

Representation of a derivation as a tree:



DERIVATION S RULES USED

DERIVATION

RULES USED

S

 $\mathsf{S} o \mathsf{NP} \, \mathsf{VP}$

NP VP

DERIVATION S

RULES USED

... . .

 $\mathsf{S} \to \mathsf{NP} \; \mathsf{VP}$

NP VP

 $\mathsf{NP} \to \mathsf{DT} \; \mathsf{N}$

DT N VP

DERIVATION S

 $S \rightarrow NP VP$

RULES USED

NP VP

 $\mathsf{NP} \to \mathsf{DT} \; \mathsf{N}$

DT N VP

 $\mathsf{DT} \to \mathsf{the}$

the N VP

the dog VP

 $\begin{array}{ccc} \textbf{DERIVATION} & \textbf{RULES USED} \\ \textbf{S} & \textbf{S} \rightarrow \textbf{NP VP} \\ \textbf{NP VP} & \textbf{NP} \rightarrow \textbf{DT N} \\ \textbf{DT N VP} & \textbf{DT} \rightarrow \textbf{the} \\ \textbf{the N VP} & \textbf{N} \rightarrow \textbf{dog} \\ \end{array}$

DERIVATION	RULES USE
S	$S\toNP\;VP$
NP VP	$NP o DT \; N$
DT N VP	$DT \to the$
the N VP	N o dog
the dog VP	$VP \rightarrow VB$
the dog VB	VF o VD

DERIVATION	RULES USED			
S	$S\toNP\;VP$			
NP VP	$NP \to DT \; N$			
DT N VP	$DT \to the$			
the N VP	N o dog			
the dog VP	VP o VB			
the dog VB	$VB \to laughs$			
the dog laughs				

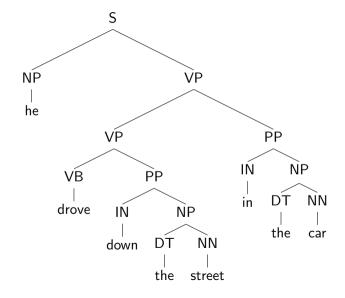




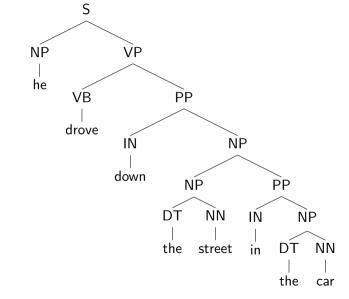
Properties of CFGs

- ▶ A CFG defines a set of possible derivations
- A string $s \in \Sigma^*$ is in the *language* defined by the CFG if there is at least one derivation that yields s
- Each string in the language generated by the CFG may have more than one derivation ("ambiguity")

An Example of Ambiguity



An Example of Ambiguity (continued)



The Problem with Parsing: Ambiguity

INPUT:

She announced a program to promote safety in trucks and vans



POSSIBLE OUTPUTS:



And there are more...

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A Brief Overview of English Syntax

Parts of Speech (tags from the Brown corpus):

- Nouns
 NN = singular noun e.g., man, dog, park
 NNS = plural noun e.g., telescopes, houses, buildings
 NNP = proper noun e.g., Smith, Gates, IBM
- ▶ DeterminersDT = determiner e.g., the, a, some, every
- ► Adjectives

 JJ = adjective e.g., red, green, large, idealistic

A Fragment of a Noun Phrase Grammar

N	\Rightarrow	NN	
\bar{N}	\Rightarrow	NN	\bar{N}
\bar{N}	\Rightarrow	JJ	\bar{N}
\bar{N}	\Rightarrow	\bar{N}	\bar{N}
NP	\Rightarrow	DT	\bar{N}

```
NN
          box
NN
          car
NN
     ⇒ mechanic
     \Rightarrow pigeon
NN
          the
```

fast metal $\begin{array}{ccc} \mathsf{JJ} & \Rightarrow & \mathsf{idealistic} \\ \mathsf{JJ} & \Rightarrow & \mathsf{clay} \end{array}$

Prepositions, and Prepositional Phrases

▶ PrepositionsIN = preposition e.g., of, in, out, beside, as

An Extended Grammar

								33		last	
1	\bar{N}	,	NINI	1				JJ	\Rightarrow	metal	
	N	\Rightarrow	NN		NN	\Rightarrow	box	JJ	\Rightarrow	idealistic	
	N N	\Rightarrow	NN	N	NN	\Rightarrow	car	JJ	\Rightarrow	clay	
	N N	\Rightarrow	Ž. JJ	N N	NN	\Rightarrow	mechanic			•	
		\Rightarrow	N	N N	NN	\Rightarrow	pigeon	IN	\Rightarrow	in	
	NP	\Rightarrow	DT	N				IN	\Rightarrow	under	
				NID	DT	\Rightarrow	the	IN	\Rightarrow	of	
	PP 	\Rightarrow	ĪN	NP	DT	\Rightarrow	а	IN	\Rightarrow	on	
	N	\Rightarrow	N	PP	1		1	IN	\Rightarrow	with	
								IN	\Rightarrow	as	
	_							I.			1

 $JJ \Rightarrow fast$

Generates:

in a box, under the box, the fast car mechanic under the pigeon in the box, . . .

An Extended Grammar

N	\Rightarrow	NN	
N	\Rightarrow	NN	N
N	\Rightarrow	JJ	N
\bar{N}	\Rightarrow	\bar{N}	\bar{N}
NP	\Rightarrow	DT	\bar{N}
PP	\Rightarrow	IN	NP
N	\Rightarrow	N	PP

Verbs, Verb Phrases, and Sentences

Basic Verb TypesVi = Intransitive verb

e.g., sleeps, walks, laughs

Vt = Transitive verb e.g., sees, saw, likes

Vd = Ditransitive verb e.g., gave

 $VP \rightarrow Vd NP NP$

▶ Basic S Rule $S \rightarrow NP VP$

Examples of VP:

sleeps, walks, likes the mechanic, gave the mechanic the fast car

Examples of S:

the man sleeps, the dog walks, the dog gave the mechanic the fast car

PPs Modifying Verb Phrases

A new rule: $VP \rightarrow VP PP$

New examples of VP:

sleeps in the car, walks like the mechanic, gave the mechanic the fast car on Tuesday, . . .

Complementizers, and SBARs

- ► Complementizers COMP = complementizer e.g., that
- ► SBAR SBAR → COMP S

Examples:

that the man sleeps, that the mechanic saw the dog \dots

More Verbs

```
    New Verb Types
    V[5] e.g., said, reported
    V[6] e.g., told, informed
    V[7] e.g., bet
```

New VP Rules VP \rightarrow V[5] SBAR VP \rightarrow V[6] NP SBAR VP \rightarrow V[7] NP NP SBAR

Examples of New VPs:

said that the man sleeps told the dog that the mechanic likes the pigeon bet the pigeon \$50 that the mechanic owns a fast car

Coordination

```
► A New Part-of-Speech:
CC = Coordinator e.g., and, or, but
```

New Rules $NP \rightarrow NP$ CC $NP \rightarrow N$

We've Only Scratched the Surface...

Agreement

The dogs laugh vs. The dog laughs

► Wh-movement

The dog that the cat liked ___

Active vs. passive

The dog saw the cat *vs.*The cat was seen by the dog

▶ If you're interested in reading more:

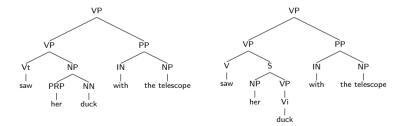
Syntactic Theory: A Formal Introduction, 2nd Edition. Ivan A. Sag, Thomas Wasow, and Emily M. Bender.

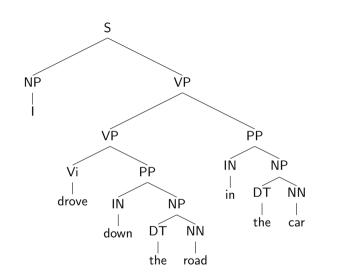
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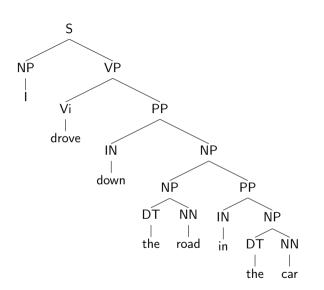
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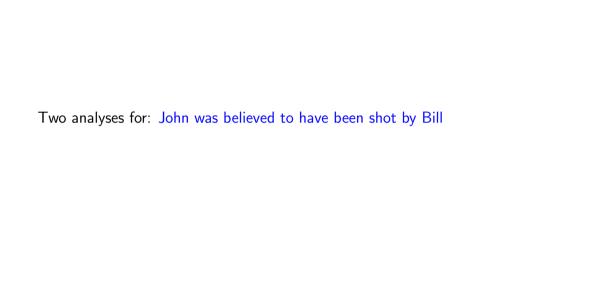
Sources of Ambiguity

 $\begin{array}{ccc} \hbox{\sf Part-of-Speech ambiguity} \\ \hbox{\sf NN} & \rightarrow & \hbox{\sf duck} \\ \hbox{\sf Vi} & \rightarrow & \hbox{\sf duck} \end{array}$









Sources of Ambiguity: Noun Premodifiers

▶ Noun premodifiers:

