## 简介

这是我个人用于复习的笔记,一本习题补注。由于我个人偏好的复习手段的特点,因此我把许多对我个人而言没什么复习价值的习题作了省略。为什么我没有用中文?因为我将来要学习的绝大多数数学课本都是全英的,国内目前的专业翻译速度慢、不全面,所以我只好用英文。但我讨厌英文单词的冗长性,这会让我复习起来很不爽,所以我对许多常用词汇适当地作了简写。这份习题补注的内容范围和标识说明,我已经在README中写得很清楚,不再赘述。这份笔记尚处于缓慢的编撰进度中。

Goto									
1	2	3	4	5	6	7	8	9	10
A	A	A	/	A	A	A	A	A	A
В	В	В	/	В	В	В	В	В	В
C	C	C	/	C	C	C	C	/	/
/	/	D	/	/	D	D	D	/	/
/	/	E	/	E*	/	/	/	/	/
_/	/	F	/	/	/	F*	/	/	/

## Abbreviation Table

J - C	dolinition.
def	definition
vec	vector
vecsp	vector space
subsp	subspace
add	addition/additive
multi	multiplication/multiplicative/multiple
assoc	associative/associativity
distr	distributive properties/property
inv	inverse
existns	existence
uniqnes	uniqueness
linely inde	linearly independent/independence
linely dep	linearly dependent/dependence
dim	dimension(al)
inje	injective
surj	surjective
col	column
with resp	with respect
iso	isomorphism/isomorphic
correspd	correspond(ing)
poly	polynomial
eigval	eigenvalue
eigvec	eigenvector
mini poly	minimal polynomial
char poly	characteristic polynomial

# 1.B

**1** Prove that  $\forall v \in V, -(-v) = v$ .

Solution:  $\begin{pmatrix} (-(-v)) + (-v) = 0 \\ v + (-v) = 0 \end{pmatrix}$   $\Rightarrow$  By the uniques of add inv.

s of add inv.  $\Box$ 

Or. 
$$-(-v) = (-1)((-1)v) = ((-1)(-1))v = 1 \cdot v = v$$
.

**2** Suppose  $a \in \mathbb{F}$ ,  $v \in V$ , and av = 0. Prove that a = 0 or v = 0.

**SOLUTION**: Suppose  $a \neq 0$ ,  $\exists a^{-1} \in \mathbf{F}$ ,  $a^{-1}a = 1$ , hence  $v = 1 \cdot v = (a^{-1}a)v = a^{-1}(av) = a^{-1} \cdot 0 = 0$ .

**3** Suppose  $v, w \in V$ . Explain why  $\exists ! x \in V, v + 3x = w$ .

**SOLUTION:** 

[Existns] Let  $x = \frac{1}{3}(w - v)$ .

[*Uniques*] Suppose  $v + 3x_1 = w$ ,(I)  $v + 3x_2 = w$  (II). Then (I) - (II)  $: 3(x_1 - x_2) = 0 \Rightarrow x_1 = x_2$ .

Or. 
$$v + 3x = w \Leftrightarrow 3x = w - v \Leftrightarrow x = \frac{1}{3}(w - v)$$
.

**5** *Show that in the def of a vecsp, the add inv condition can be replaced by* [1.29].

Suppose V satisfies all conds in the def, except we've replaced the add inv cond with [1.29]. Prove that the add inv is true.

**SOLUTION:** Using [1.31]. 
$$0v = 0$$
 for all  $v \in V \iff (1 + (-1))v = 1 \cdot v + (-1)v = v + (-v) = 0$ .

**6** Let  $\infty$  and  $-\infty$  denote two distinct objects, neither of which is in R.

Define an add and scalar multi on  $R \cup \{\infty, -\infty\}$  as you could guess.

The operations of real numbers is as usual. While for  $t \in R$  define

$$t\infty = \begin{cases} -\infty & \text{if } t < 0, \\ 0 & \text{if } t = 0, \\ \infty & \text{if } t > 0, \end{cases} \qquad t(-\infty) = \begin{cases} -\infty & \text{if } t > 0, \\ 0 & \text{if } t = 0, \\ \infty & \text{if } t < 0, \end{cases}$$

and (I)  $t + \infty = \infty + t = \infty + \infty = \infty$ ,

(II) 
$$t + (-\infty) = (-\infty) + t = (-\infty) + (-\infty) = -\infty$$
,

(III) 
$$\infty + (-\infty) = (-\infty) + \infty = 0$$
.

With these operations of add and scalar multi, is  $\mathbb{R} \cup \{\infty, -\infty\}$  a vecsp over  $\mathbb{R}$ ? Explain.

**SOLUTION**: Not a vecpsp, since the add and scalar mult is not assoc and distr.

By Assoc: 
$$(a + \infty) + (-\infty) \neq a + (\infty + (-\infty))$$
.

Or. By Distr: 
$$\infty = (2 + (-1))\infty \neq 2\infty + (-\infty) = \infty + (-\infty) = 0$$
.

**ENDED** 

# 1.C

7 Give a nontrivial example of  $U \subseteq \mathbb{R}^2$ ,

*U* is closed under taking add invs and under add, but *U* is not a subsp of  $\mathbb{R}^2$ .

**SOLUTION:** Let  $U = \mathbb{Z}^2$ ,  $(\mathbb{Z}^*)^2$ ,  $(\mathbb{Q}^*)^2$ ,  $\mathbb{Q}^2 \setminus \{0\}$ , or  $\mathbb{R}^2 \setminus \{0\}$ .

**8** Give a nontrivial example of  $U \subseteq \mathbb{R}^2$ ,

U is closed under scalar multi, but U is not a subsp of  $\mathbb{R}^2$ .

**SOLUTION**: Let  $U = \{(x, y) \in \mathbb{R}^2 : x = 0 \lor y = 0\}$ .

**9** A function  $f: \mathbb{R} \to \mathbb{R}$  is called periodic if  $\exists p \in \mathbb{N}^+, f(x) = f(x+p)$  for all  $x \in \mathbb{R}$ . Is the set of periodic functions from  $\mathbb{R}$  to  $\mathbb{R}$  a subsp of  $\mathbb{R}^\mathbb{R}$ ? Explain.

**S**OLUTION: Denote the set by S.

Suppose  $h(x) = \cos(x) + \sin(\sqrt{2}x) \in S$ , since  $\cos(x)$ ,  $\sin(\sqrt{2}x) \in S$ .

Assume  $\exists p \in \mathbb{N}^+$  such that h(x) = h(x+p),  $\forall x \in \mathbb{R}$ . Let  $x = 0 \Rightarrow h(0) = h(\pm p) = 1$ .

Thus  $1 = \cos(p) + \sin(\sqrt{2}p) = \cos(p) - \sin(\sqrt{2}p)$ 

$$\Rightarrow \sin(\sqrt{2}p) = 0$$
,  $\cos(p) = 1 \Rightarrow p = 2k\pi, k \in \mathbb{Z}$ , while  $p = \frac{m\pi}{\sqrt{2}}, m \in \mathbb{Z}$ .

Hence 
$$2k = \frac{m}{\sqrt{2}} \Rightarrow \sqrt{2} = \frac{m}{2k} \in \mathbb{Q}$$
. Contradiction!

OR. Because [I] :  $\cos(x) + \sin(\sqrt{2}x) = \cos(x+p) + \sin(\sqrt{2}x + \sqrt{2}p)$ . By differentiating twice, [II] :  $\cos(x) + 2\sin(\sqrt{2}x) = \cos(x+p) + 2\sin(\sqrt{2}x + \sqrt{2}p)$ .

$$[II] - [I] : \sin(\sqrt{2}x) = \sin(\sqrt{2}x + \sqrt{2}p)$$

$$2[I] - [II] : \cos(x) = \cos(x + p)$$

$$\Rightarrow p = \frac{m\pi}{\sqrt{2}} = 2k\pi, \text{ if } x = 0. \text{ Contradicts.}$$

• Suppose  $U, W, V_1, V_2, V_3$  are subsps of V.

 $15 U + U \ni u + w \in U.$ 

$$16 U+W\ni u+w=w+u\in W+U.$$

17 
$$(V_1 + V_2) + V_3 \ni (v_1 + v_2) + v_3 = v_1 + (v_2 + v_3) \in V_1 + (V_2 + V_3).$$

**18** Does the add on the subsps of V have an add identity? Which subsps have add invs?

**SOLUTION:** 

(a) Suppose  $\boldsymbol{\Omega}$  is the additive identity.

For any subsp U of V.  $\Omega \subseteq U + \Omega = U \Rightarrow \Omega \subseteq U$ . Let  $U = \{0\}$ , then  $\Omega = \{0\}$ .

(b) Now suppose *W* is an add inv of  $U \Rightarrow U + W = \Omega$ .

Note that 
$$U + W \supseteq U, W \Rightarrow \Omega \supseteq U, W$$
. Thus  $U = W = \Omega = \{0\}$ .

**11** Prove that the intersection of every collection of subsps of V is a subsp of V.

**SOLUTION:** Suppose  $\{U_{\alpha}\}_{\alpha\in\Gamma}$  is a collection of subsps of V; here  $\Gamma$  is an arbitrary index set.

We show that  $\bigcap_{\alpha \in \Gamma} U_{\alpha}$ , which equals the set of vecs that are in  $U_{\alpha}$  for each  $\alpha \in \Gamma$ , is a subsp of V.

- (-)  $0 \in \bigcap_{\alpha \in \Gamma} U_{\alpha}$ . Nonempty.
- $(\stackrel{\frown}{\_}) u, v \in \bigcap_{\alpha \in \Gamma} U_{\alpha} \Rightarrow u + v \in U_{\alpha}, \ \forall \alpha \in \Gamma \Rightarrow u + v \in \bigcap_{\alpha \in \Gamma} U_{\alpha}.$  Closed under add.
- $(\equiv) \ u \in \bigcap_{\alpha \in \Gamma} U_{\alpha}, \lambda \in \mathbb{F} \Rightarrow \lambda u \in U_{\alpha}, \ \forall \alpha \in \Gamma \Rightarrow \lambda u \in \bigcap_{\alpha \in \Gamma} U_{\alpha}.$  Closed under scalar multi.

Thus  $\bigcap_{\alpha \in \Gamma} U_{\alpha}$  is nonempty subset of V that is closed under add and scalar multi.

**12** Suppose U, W are subsps of V. Prove that  $U \cup W$  is a subsp of  $V \iff U \subseteq W$  or  $W \subseteq U$ . **SOLUTION:** (a) Suppose  $U \subseteq W$ . Then  $U \cup W = W$  is a subsp of V. (b) Suppose  $U \cup W$  is a subsp of V. Suppose  $U \not\subseteq W$  and  $U \not\supseteq W$  ( $U \cup W \neq U$  and W). Then  $\forall a \in U$  but  $a \notin W$ ;  $b \in W$  but  $b \notin U$ .  $a + b \in U \cup W$ . Consider  $a + b \in U \Rightarrow b = (a + b) + (-a) \in U$ , contradicts!  $\Rightarrow U \cup W = U \text{ or } W. \text{ Contradicts!}$ Consider  $a + b \in W \Rightarrow a = (a + b) + (-b) \in W$ , contradicts! Thus  $U \subseteq W$  and  $U \supseteq W$ . **13** *Prove that the union of three subsps of V is a subsp of V* if and only if one of the subsps contains the other two. This exercise is not true if we replace F with a field containing only two elements. **SOLUTION:** Suppose  $U_1$ ,  $U_2$ ,  $U_3$  are subsps of V. Denote  $U_1 \cup U_2 \cup U_3$  by  $\mathcal{U}$ . (a) Suppose that one of the subsps contains the other two. Then  $\mathcal{U} = U_1, U_2$  or  $U_3$  is a subsp of V. (b) Suppose that  $U_1 \cup U_2 \cup U_3$  is a subsp of V. By distinct we notice that  $A \cup B \cup C = (A \cup B) \cup (B \cup C) = (A \cup C) \cup (B \cup C) = (A \cup B) \cup (A \cup C)$ . Also note that, if  $U \cup W = V$  is a vecsp, then in general U and W are not subsps of V. Hence this literal trick is invalid. (I) If any  $U_i$  is contained in the union of the other two, say  $U_1 \subseteq U_2 \cup U_3$ , then  $\mathcal{U} = U_2 \cup U_3$ . By applying Problem (12) we conclude that one  $U_i$  contains the other two. Thus we are done. (II) Assume that no  $U_i$  is contained in the union of the other two, and no  $U_i$  contains the union of the other two. Say  $U_1 \not\subseteq U_2 \cup U_3$  and  $U_1 \not\supseteq U_2 \cup U_3$ .  $\exists u \in U_1 \land u \notin U_2 \cup U_3; \ v \in U_2 \cup U_3 \land v \notin U_1.$  Let  $W = \{v + \lambda u : \lambda \in F\} \subseteq \mathcal{U}.$ Note that  $W \cap U_1 = \emptyset$ , for if  $v + \lambda u \in U_1$  then  $v + \lambda u - \lambda u = v \in U_1$  while  $v \notin U_1$ .  $\forall v + \lambda u \in W, \exists i \in \{2,3\}, v + \lambda u \in U_i.$ Because  $U_2$ ,  $U_3$  are subsps and hence have at least one element. If  $U_2 = U_3$ , then  $\mathcal{U} = U_1 \cup U_2$  and by Problem (12) we are done. Otherwise,  $\exists \lambda, \mu \in F$  with  $\lambda \neq \mu$  such that  $v + \lambda u, v + \mu u \in U_i$  for some  $i \in \{2, 3\}$ . Then  $u \in U_i$  while  $u \notin U_2 \cup U_3$ . Contradicts. Example: Suppose  $U = \{(x, x, y, y) \in \mathbf{F}^4 : x, y \in \mathbf{F}\}, W = \{(x, x, x, y) \in \mathbf{F}^4 : x, y \in \mathbf{F}\}.$ *Prove that*  $U + W = \{(x, x, y, z) \in \mathbb{F}^4 : x, y, z \in \mathbb{F}\}.$ Let T denote  $\{(x, x, y, z) \in \mathbb{F}^4 : x, y, z \in \mathbb{F}\}$ . By def,  $U + W \subseteq T$ . And  $T \ni (x, x, y, z) \Rightarrow (0, 0, y - x, y - x) + (x, x, x, -y + x + z) \in U + W$ . Hence  $T \subseteq U + W$ . 

Let  $W = \{(0,0,z,w,u) \in \mathbb{F}^5 : z,w,u \in \mathbb{F}\}$ . Then  $U \cap W = \{0\}$ . And  $\mathbb{F}^5 \ni (x,y,z,w,u) \Rightarrow (x,y,x+y,x-y,2x) + (0,0,z-x-y,w-x-y,u-2x) \in U+W$ .

**21** Suppose  $U = \{(x, y, x + y, x - y, 2x) \in \mathbf{F}^5 : x, y \in \mathbf{F}\}$ . Find a W such that  $\mathbf{F}^5 = U \oplus W$ .

**SOLUTION:** 

**23** Give an example of vecsps  $V_1, V_2, U$  such that  $V_1 \oplus U = V_2 \oplus U$ , but  $V_1 \neq V_2$ .

**SOLUTION:**  $V = \mathbb{F}^2$ ,  $U = \{(x, x) \in \mathbb{F}^2 : x \in \mathbb{F}\}$ ,  $V_1 = \{(x, 0) \in \mathbb{F}^2 : x \in \mathbb{F}\}$ ,  $V_2 = \{(0, x) \in \mathbb{F}^2 : x \in \mathbb{F}\}$ .

**22** Suppose  $U = \{(x, y, x + y, x - y, 2x) \in \mathbf{F}^5 : x, y \in \mathbf{F}\}$ . Find three subsps  $W_1, W_2, W_3$  of  $\mathbf{F}^5$ , none of which equals  $\{0\}$ , such that  $\mathbf{F}^5 = U \oplus W_1 \oplus W_2 \oplus W_3$ .

#### **SOLUTION:**

- (1) Let  $W_1 = \{(0,0,z,0,0) \in \mathbb{F}^5 : z \in \mathbb{F}\}$ . Then  $W_1 \cap U = \{0\}$ . Let  $U_1 = U \oplus W_1$ . Then  $U_1 = \{(x,y,z,x-y,2x) \in \mathbb{F}^5 : x,y,z \in \mathbb{F}\}$ . (Check it!)
- $\begin{array}{l} \text{(2) Let } W_2 = \big\{ (0,0,0,w,0) \in \mathbf{F}^5 : w \in \mathbf{F} \big\}. \text{ Then } W_2 \cap U_1 = \{0\}. \\ \text{Let } U_2 = U_1 \oplus W_2. \text{ Then } U_2 = \big\{ (x,y,z,w,2x) \in \mathbf{F}^5 : x,y,z,w \in \mathbf{F} \big\}. \end{array}$
- (3) Let  $W_3 = \{(0,0,0,0,u) \in \mathbf{F}^5 : u \in \mathbf{F}\}$ . Then  $W_3 \cap U_2 = \{0\}$ . Let  $U_3 = U_2 \oplus W_3$ . Then  $U_3 = \{(x,y,z,w,u) \in \mathbf{F}^5 : x,y,z,w,u \in \mathbf{F}\}$ .

Thus  $\mathbf{F}^5 = ((U \oplus W_1) \oplus W_2) \oplus W_3$ .

**24** Let  $V_E = \{ f \in \mathbb{R}^R : f(x) = f(-x) \}$ ,  $V_O = \{ f \in \mathbb{R}^R : -f(x) = f(-x) \}$ . Show that  $V_E \oplus V_O = \mathbb{R}^R$ .

## SOLUTION:

- (a)  $V_E \cap V_O = \{ f \in \mathbb{R}^{\mathbb{R}} : f(x) = f(-x) = -f(-x) \} = \{0\}.$
- $\begin{array}{c} f_e \in V_E \Longleftrightarrow f_e(x) = f_e(-x) \Longleftrightarrow \det f_e(x) = \dfrac{g(x) + g(-x)}{2} \\ f_o \in V_O \Longleftrightarrow f_o(x) = -f_o(-x) \Longleftrightarrow \det f_o(x) = \dfrac{g(x) g(-x)}{2} \end{array} \right\} \Rightarrow \forall g \in \mathbb{R}^R, g(x) = f_e(x) + f_o(x). \quad \Box$

ENDED

# 2·A

- **2** (a) A list (v) of length 1 in V is linely inde  $\iff v \neq 0$ .
  - (b) A list (v, w) of length 2 in V is linely inde  $\iff \forall \lambda, \mu \in \mathbf{F}, v \neq \lambda w, w \neq \mu v$ .

#### **SOLUTION:**

- (a) Suppose  $v \neq 0$ . Then let av = 0,  $a \in F$ . Now a = 0. Thus (v) is linely inde. Suppose (v) is linely inde.  $av = 0 \Rightarrow a = 0$ . Then  $v \neq 0$ , for if not,  $a \neq 0$  while av = 0. Contradicts.
- (b) Denote the list by (v, w), where  $v, w \in V$ . If (v, w) is linely inde, let  $av + bw = 0 \Rightarrow a = b = 0$ . If, say  $v \neq cw \ \forall c \in F$ . Then let av + bw = 0, getting  $a = b = 0 \Rightarrow (v, w)$  is linely inde.

**1** Prove that if  $(v_1, v_2, v_3, v_4)$  spans V, then the list  $(v_1 - v_2, v_2 - v_3, v_3 - v_4, v_4)$  also spans V.

**SOLUTION**: Assume that  $\forall v \in V, \exists a_1, ..., a_4 \in F$ ,

$$\begin{split} v &= a_1 v_1 + a_2 v_2 + a_3 v_3 + a_4 v_4 \\ &= b_1 (v_1 - v_2) + b_2 (v_2 - v_3) + b_3 (v_3 - v_4) + b_4 v_4 \\ &= b_1 v_1 + (b_2 - b_1) v_2 + (b_3 - b_2) v_3 + (b_4 - b_3) v_4, \text{ letting } b_i = \sum_{r=1}^i a_r. \end{split}$$

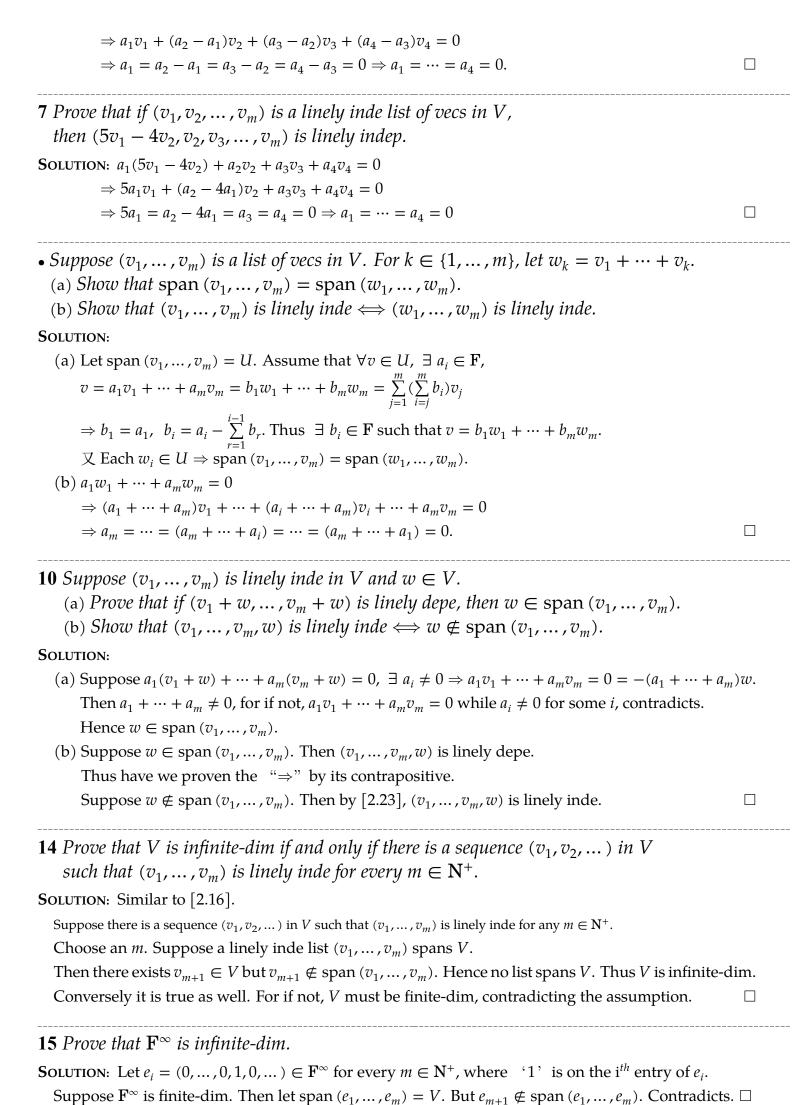
Thus  $\forall v \in V$ ,  $\exists b_i \in \mathbf{F}$ ,  $v = b_1(v_1 - v_2) + b_2(v_2 - v_3) + b_3(v_3 - v_4) + b_4v_4$ .

Hence the list  $(v_1 - v_2, v_2 - v_3, v_3 - v_4, v_4)$  also spans V.

**6** Suppose  $(v_1, v_2, v_3, v_4)$  is linely inde in V.

Prove that the list  $(v_1 - v_2, v_2 - v_3, v_3 - v_4, v_4)$  is also linely inde.

**SOLUTION:**  $a_1(v_1 - v_2) + a_2(v_2 - v_3) + a_3(v_3 - v_4) + a_4v_4 = 0$ 



**16** Prove that the real vecsp of all continuous real-valued functions on the interval [0,1] is infinite-dim.

**SOLUTION**: Denote the vecsp by U. Note that for each  $m \in \mathbb{N}^+$ ,  $(1, x, ..., x^m)$  is linely inde.

Because if  $a_0, \dots, a_m \in \mathbb{R}$  are such that  $a_0 + a_1 x + \dots + a_m x^m = 0$ ,  $\forall x \in [0, 1]$ , then the poly has infinitely many roots and hence  $a_0 = \dots = a_m = 0$ .

Or. Note that for  $a_n = \frac{1}{n}$ ,  $a_1 < a_2 < \dots < a_m$ ,  $\forall m \in \mathbb{N}^+$ .

Suppose 
$$f_n = \begin{cases} x - \frac{1}{n}, & x \in \left[\frac{1}{n}, 1\right) \\ 0, & x \in \left[0, \frac{1}{n}\right) \end{cases}$$
. Then for any  $m, f_1(\frac{1}{m}) = \dots = f_m(\frac{1}{m})$ , while  $f_{m+1}(\frac{1}{m}) \neq 0$ .

Hence  $f_{m+1} \notin \text{span}(f_1, \dots, f_m)$ . Thus by Problem (14), U is infinite-dim.

**17** Suppose  $p_0, p_1, ..., p_m \in \mathcal{P}_m(\mathbf{F})$  such that  $p_k(2) = 0$  for each  $k \in \{0, ..., m\}$ . Prove that  $(p_0, p_1, ..., p_m)$  is not linely inde in  $\mathcal{P}_m(\mathbf{F})$ .

**SOLUTION:** 

Suppose  $(p_0, p_1, ..., p_m)$  is linely inde. Define  $p \in \mathcal{P}_m(\mathbf{F})$  by  $p(z) = z \ \forall z \in \mathbf{F}$ .

But  $\forall a_i \in \mathbb{F}, z \neq a_0 p_0(z) + \dots + a_m p_m(z)$ , for if not, let z = 2, contradicts. Thus  $z \notin \text{span}(p_0, p_1, \dots, p_m)$ .

Then span  $(p_0, p_1, \dots, p_m)$   $\mathcal{P}_m(\mathbf{F})$  while the list  $(p_0, p_1, \dots, p_m)$  has length m+1.

Hence  $(p_0, p_1, \dots, p_m)$  is linely depe in  $\mathcal{P}_m(\mathbf{F})$ .

For if not, notice that the list  $(1, z, \dots, z^m)$  spans  $\mathcal{P}_m(\mathbf{F})$ ,

thus by [2.23],  $(p_0, p_1, ..., p_m)$  spans  $\mathcal{P}_m(\mathbf{F})$ . Contradicts.

**ENDED** 

# 2.B

• **Note For** *linely inde sequence and* [2.34]:

" $V = \text{span}(v_1, \dots, v_n, \dots)$ " is an invalid expression.

If we allow using "infinite list", then we must guarantee that  $(v_1,\ldots,v_n,\ldots)$  is a spanning "list" such that for all  $v\in V$ , there exists a smallest positive integer n such that  $v=a_1v_1+\cdots+a_nv_n$ , The key point is, how can we guarantee that such a "list" exists?

• Note For " $\mathbf{C}_VU\cap\{0\}$ ": " $\mathbf{C}_VU\cap\{0\}$ " is supposed to be a subsp "W" such that  $V=U\oplus W$ .

But if we let  $u \in U \setminus \{0\}$  and  $w \in W \setminus \{0\}$ , then  $\begin{cases} w \in C_V U \cap \{0\} \\ u \pm w \in C_V U \cap \{0\} \end{cases} \Rightarrow u \in C_V U \cap \{0\}.$  Contradicts.

To fix this, denote the set  $\{W_1, W_2 ...\}$  by  $\mathcal{S}_V U$ , where for each  $W_i, V = U \oplus W_i$ . See also in (1.C.23).

1 Find all vecsps that have exactly one basis.

**SOLUTION:** 

**6** Suppose  $(v_1, v_2, v_3, v_4)$  is a basis of V. Prove that  $(v_1 + v_2, v_2 + v_3, v_3 + v_4, v_4)$  is also a basis.

 $\mbox{Solution:} \ \forall v \in V, \ \exists \ ! \ a_1, \dots, a_4 \in \mbox{\bf F}, v = a_1 v_1 + a_2 v_2 + a_3 v_3 + a_4 v_4.$ 

Assume that  $v = b_1(v_1 + v_2) + b_2(v_2 + v_3) + b_3(v_3 + v_4) + b_4v_4$ .

Then  $v = b_1 v_1 + (b_1 + b_2) v_2 + (b_2 + b_3) v_3 + (b_3 + b_4) v_4$ .

 $\Rightarrow \exists ! b_1 = a_1, b_2 = a_2 - b_1, b_3 = a_3 - b_2, b_4 = a_4 - b_3 \in \mathbf{F}.$ 

7 Prove or give a counterexample: If  $v_1, v_2, v_3, v_4$  is a basis of V and U is a subsp of Vsuch that  $v_1, v_2 \in U$  and  $v_3 \notin U$  and  $v_4 \in U$ , then  $v_1, v_2$  is a basis of U. **SOLUTION**: Let  $V = \mathbb{F}^4$ ,  $v_1 = (1, 0, 0, 0)$ ,  $v_2 = (0, 1, 0, 0)$ ,  $v_3 = (0, 0, 1, 1)$ ,  $v_4 = (0, 0, 0, 1)$ . And  $U = \{(x, y, z, 0) \in \mathbb{R}^4 : x, y, z \in \mathbb{F}\}$ . We have a counterexample. • Suppose V is finite-dim and U, W are subsps of V such that V = U + W. *Prove that there exists a basis of* V *consisting of vecs in*  $U \cup W$ . **SOLUTION**: Let  $(u_1, ..., u_m)$  and  $(w_1, ..., w_n)$  be bases of U and W respectively. Then  $V = \text{span}(u_1, ..., u_m) + \text{span}(w_1, ..., w_n) = \text{span}(u_1, ..., u_m, w_1, ..., w_n).$ Hence, by [2.31], we get a basis of V consisting of vecs in U or W. **8** Suppose U and W are subsps of V such that  $V = U \oplus W$ . Suppose also that  $(u_1, \ldots, u_m)$  is a basis of U and  $(w_1, \ldots, w_n)$  is a basis of W. *Prove that*  $(u_1, ..., u_m, w_1, ..., w_n)$  *is a basis of* V. **SOLUTION:**  $\forall v \in V, \ \exists ! a_i, b_i \in F, v = (a_1u_1 + \dots + a_mu_m) + (b_1w_1 + \dots + b_nw_n)$  $\Rightarrow (a_1u_1 + \dots + a_mu_m) = -(b_1w_1 + \dots + b_nw_n) \in U \cap W = \{0\}. \text{ Thus } a_1 = \dots = a_m = b_1 = \dots = b_n.$  $\bullet$  Or. (9.4) Suppose V is a real vecsp. Show that if  $(v_1, ..., v_n)$  is a basis of V (as a real vecsp), then  $(v_1, ..., v_n)$  is also a basis of the complexification  $V_{\mathbb{C}}$  (as a complex vecsp). See Section 1B (4e) for the definition of the complexification  $V_{\rm C}$ . **SOLUTION**:  $\forall u + iv \in V_C$ ,  $\exists ! u, v \in V$ ,  $a_i, b_i \in R$ ,  $u + iv = (a_1v_1 + \dots + a_nv_n) + i(b_1v_1 + \dots + b_nv_n) = (a_1 + b_1i)v_1 + \dots + (a_n + b_ni)v_n$  $\Rightarrow u + iv = c_1v_1 + \cdots + c_nv_n, \exists ! c_i = a_i + b_i i \in C$  $\Rightarrow$  By the uniques of  $c_i$  and [2.29],  $(v_1, \dots, v_n)$  is a basis of  $V_C$ . **ENDED** 2·C **1** Suppose V is finite-dim and U is a subsp of V such that  $\dim V = \dim U$ . Then by [2.39],  $(u_1, \dots, u_m)$  is a basis of V. Thus V = U. **2** Show that the subsps of  $\mathbb{R}^2$  are precisely  $\{0\}$ , all lines in  $\mathbb{R}^2$ containing the origin, and  $\mathbb{R}^2$ . **SOLUTION**: Suppose U is a subsp of  $\mathbb{R}^2$ . Let dim U = n. If n = 0, then  $U = \{0\}$ . If n = 1, then  $U = \text{span}(v) = \{\lambda v : \lambda \in \mathbf{F}\}$ , for all linely inde  $v \in \mathbf{R}^2$ . If n = 2, then  $U = \mathbb{R}^2$ . **3** Show that the subsps of  $\mathbb{R}^3$  are precisely  $\{0\}$ , all lines in  $\mathbb{R}^3$ containing the origin, all planes in  $\mathbb{R}^3$  containing the origin, and  $\mathbb{R}^3$ .

**SOLUTION**: Suppose *U* is a subsp of  $\mathbb{R}^3$ . Let dim U = n.

If n = 0, then  $U = \{0\}$ .

If n = 1, then  $U = \text{span}(v) = \{\lambda v : \lambda \in \mathbf{F}\}$ , for all linely inde  $v \in \mathbf{R}^3$ . If n = 2, then  $U = \text{span}(v, w) = \{\lambda v + \mu w : \lambda, \mu \in F\}$ , for all linely inde  $v, w \in \mathbb{R}^3$ . If n = 3, then  $U = \mathbb{R}^3$ . **7** (a) Let  $U = \{ p \in \mathcal{P}_4(\mathbf{F}) : p(2) = p(5) = p(6) \}$ . Find a basis of U. (b) Extend the basis in (a) to a basis of  $\mathcal{P}_{A}(\mathbf{F})$ . (c) Find a subsp W of  $\mathcal{P}_4(\mathbf{F})$  such that  $\mathcal{P}_4(\mathbf{F}) = U \oplus W$ . **SOLUTION:** Suppose  $p(z) = az^4 + bz^3 + cz^2 + dz + e$  and p(2) = p(5) = p(6). p(2) = 16a + 8b + 4c + 2d + e (I)p(5) = 625a + 125b + 25c + 5d + e (II)p(6) = 1296a + 216b + 36c + 6d + e (III) You don't have to compute to know that the dimension of the set of solutions is 3. (a) A basis: 1, (z-2)(z-5)(z-6), z(z-2)(z-5)(z-6). (b) Extend to a basis of  $\mathcal{P}_4(\mathbf{F})$  as  $1, z, z^2, (z-2)(z-5)(z-6), z(z-2)(z-5)(z-6)$ . (c) Let  $W = \operatorname{span}(z, z^2) = \{az + bz^2 : a, b \in \mathbb{F}\}$ , so that  $\mathcal{P}_4(\mathbb{F}) = U \oplus W$ . **9** Suppose  $(v_1, ..., v_m)$  is linely inde in V and  $w \in V$ . *Prove that* dim span  $(v_1 + w, ..., v_m + w) \ge m - 1$ .  $\textbf{Solution:} \ \ \text{Note that} \ v_i-v_1=(v_i+w)-(v_1+w) \in \text{span} \ (v_1+w,\dots,v_n+w), \text{for each} \ i=1,\dots,m.$  $(v_1, \dots, v_m)$  is linely inde  $\Rightarrow (v_1, v_2 - v_1, \dots, v_m - v_1)$  is linely inde  $\Rightarrow (v_2 - v_1, \dots, v_m - v_1)$  is linely inde of length m - 1.  $\ensuremath{\mathbb{Z}}$  By the contrapositive of (2.A.10),  $w \notin \operatorname{span}(v_1,\ldots,v_m) \Rightarrow (v_1+w,\ldots,v_m+w)$  is linely inde.  $m \ge \dim \operatorname{span}(v_1 + w, \dots, v_m + w) \ge m - 1.$ **10** Suppose m is a positive integer and  $p_0, p_1, ..., p_m \in \mathcal{P}(\mathbf{F})$  are such that each  $p_k$  has degree k. Prove that  $(p_0, p_1, ..., p_m)$  is a basis of  $\mathcal{P}_m(\mathbf{F})$ . **SOLUTION**: Using mathematical induction on *m*. (i) For  $p_0$ , deg  $p_0 = 0 \Rightarrow \text{span}(p_0) = \text{span}(1)$ . (ii) Suppose for  $i \ge 1$ , span  $(p_0, p_1, \dots, p_i) = \text{span } (1, x, \dots, x^i)$ . Then span  $(p_0, p_1, ..., p_i, p_{i+1}) \subseteq \text{span } (1, x, ..., x^i, x^{i+1}).$  $\ensuremath{\mathbb{Z}} \deg p_{i+1} = i+1, \ \ p_{i+1}(x) = a_{i+1}x^{i+1} + r_{i+1}(x); \ \ a_{i+1} \neq 0, \ \deg r_{i+1} \leq i.$  $\Rightarrow x^{i+1} = \frac{1}{a_{i+1}} \left( p_{i+1}(x) - r_{i+1}(x) \right) \in \operatorname{span} \left( 1, x, \dots, x^i, p_{i+1} \right) = \operatorname{span} \left( p_0, p_1, \dots, p_i, p_{i+1} \right).$  $x_i : x^{i+1} \in \text{span}(p_0, p_1, \dots, p_i, p_{i+1}) \Rightarrow \text{span}(1, x_i, \dots, x_i, x^{i+1}) \subseteq \text{span}(p_0, p_1, \dots, p_i, p_{i+1}).$ Thus  $\mathcal{P}_m(\mathbf{F}) = \operatorname{span}(1, x, \dots, x^m) = \operatorname{span}(p_0, p_1, \dots, p_m).$ 

• Suppose m is a positive integer. For  $0 \le k \le m$ , let  $p_k(x) = x^k (1-x)^{m-k}$ . Show that  $(p_0, ..., p_m)$  is a basis of  $\mathcal{P}(\mathbf{F})$ .

The basis in this exercise leads to what are called Bernstein polynomials. You can do a web search to learn how Bernstein polynomials are used to approximate continuous functions on [0,1].

**SOLUTION:** Using mathematical induction.

(i) 
$$k = 0, 1, 2, p_m(x) = x^m, p_{m-1}(x) = x^{m-1} - x^m, p_{m-2}(x) = x^{m-2} + x^m - 2x^{m-1}.$$

(ii) 
$$k \ge 2$$
. Suppose for  $p_{m-k}(x)$ ,  $\exists ! a_i \in \mathbf{F}$ ,  $x^{m-k} = p_{m-k}(x) + a_m x^m + \dots + a_{m-k+1} x^{m-k+1}$ .  
Then for  $p_{m-k-1}(x)$ ,  $\exists ! c_i \in \mathbf{F}$ ,

$$x^{m-k-1} = p_{m-k-1}(x) + C_{k+1}^1(-1)^2 x^{m-k} + \dots + C_{k+1}^k(-1)^{k+1} x^{m-1} + (-1)^{k-2} x^m$$
 
$$\Rightarrow c_{m-i} = C_{k+1}^{k+1-i}(-1)^{k-i}.$$
 Thus for each  $x^i$ ,  $\exists ! b_i \in \mathbf{F}$ ,  $x^i = b_m p_m(x) + \dots + b_{m-i} p_{m-i}(x)$  
$$\Rightarrow \operatorname{span}(x^m, \dots, x, 1) = \operatorname{span}\underbrace{(p_m, \dots, p_1, p_0)}_{\text{Basis}}.$$
 
$$\bullet \operatorname{Suppose} V \text{ is finite-dim and } V_1, V_2, V_3 \text{ are subsps of } V \text{ with }$$
 
$$\dim V_1 + \dim V_2 + \dim V_3 > 2 \dim V \cdot \operatorname{Prove that } V_1 \cap V_2 \cap V_3 \neq \{0\}.$$
 
$$\dim V_1 + \dim V_2 > 2 \dim V - \dim V_3 \geq \dim V \Rightarrow V_1 \cap V_2 \neq \{0\}$$
 Solution: 
$$\dim V_2 + \dim V_3 > 2 \dim V - \dim V_1 \geq \dim V \Rightarrow V_2 \cap V_3 \neq \{0\}$$
 
$$\dim V_1 + \dim V_3 > 2 \dim V - \dim V_2 \geq \dim V \Rightarrow V_1 \cap V_2 \neq \{0\}$$
 
$$\bullet \operatorname{Suppose} V \text{ is finite-dim and } U \text{ is a subsp of } V \text{ with } U \neq V. \text{ Let } n = \dim V, m = \dim U.$$
 
$$\operatorname{Prove that there exist } (n-m) \text{ subsps of } V, \text{ say } U_1, \dots, U_{n-m}, \text{ each of dimension } (n-1), \text{ such that } \bigcap_{i=1}^{n-m} U_i = U.$$
 Solution: 
$$\operatorname{Let}^{n-m}(v_1, \dots, v_m) \text{ be a basis of } U, \text{ extend to a basis of } V \text{ as } (v_1, \dots, v_m, \dots, v_n).$$

Define  $U_i = \operatorname{span}(v_1, \dots, v_m, v_{m+1}, \dots, v_{m+i-1}, v_{m+i+1}, \dots, v_n)$  for each i. Thus we are done. **EXAMPLE:** Suppose dim V = 6, dim U = 3.

$$\begin{array}{c} U_1 = \mathrm{span}\,(v_1,v_2,v_3) \oplus \mathrm{span}\,(v_5,v_6) \\ (\underbrace{v_1,v_2,v_3,v_4,v_5,v_6}), \, \mathrm{define} & U_2 = \mathrm{span}\,(v_1,v_2,v_3) \oplus \mathrm{span}\,(v_4,v_6) \\ \underbrace{Basis \, \mathrm{of} \, \mathrm{U}}_{\mathrm{Basis} \, \mathrm{of} \, \mathrm{V}} & U_3 = \mathrm{span}\,(v_1,v_2,v_3) \oplus \mathrm{span}\,(v_4,v_5) \end{array} \right\} \Rightarrow \dim U_i = 6-1, \ i = \underbrace{1,2,3}_{6-3=3}. \qquad \Box$$

**14** Suppose that  $V_1, \ldots, V_m$  are finite-dim subsps of V.

Prove that  $V_1 + \cdots + V_m$  is finite-dim and  $\dim(V_1 + \cdots + V_m) \leq \dim V_1 + \cdots + \dim V_m$ .

**SOLUTION**: Choose a basis  $\mathcal{E}_i$  of  $V_i \Rightarrow V_1 + \cdots + V_m = \operatorname{span} (\mathcal{E}_1 \cup \cdots \cup \mathcal{E}_m)$ ; dim  $U_i = \operatorname{card} \mathcal{E}_i$ .

Then  $\dim(V_1 + \cdots + V_m) = \dim \operatorname{span}(\mathcal{E}_1 \cup \cdots \cup \mathcal{E}_m)$ .

 $\mathbb{X}$  dim span  $(\mathcal{E}_1 \cup \cdots \cup \mathcal{E}_m) \leq \operatorname{card}(\mathcal{E}_1 \cup \cdots \cup \mathcal{E}_m) \leq \operatorname{card}\mathcal{E}_1 + \cdots + \operatorname{card}\mathcal{E}_m$ .

Thus  $\dim(V_1 + \dots + V_m) \le \dim U_1 + \dots + \dim U_m$ .

**COMMENT:**  $\dim(V_1 + \dots + V_m) = \dim U_1 + \dots + \dim U_m \iff V_1 + \dots + V_m$  is a direct sum. For each i,  $(V_1 + \cdots + V_i) \cap V_{i+1} = \{0\} \iff V_1 + \cdots + V_m$  is a direct sum  $\iff \square$ 

17 Suppose  $V_1, V_2, V_3$  are subsps of a finite-dim vecsp, then

$$\dim(V_1 + V_2 + V_3) = \dim V_1 + \dim V_2 + \dim V_3$$

$$-\dim(V_1 \cap V_2) - \dim(V_1 \cap V_3) - \dim(V_2 \cap V_3) + \dim(V_1 \cap V_2 \cap V_3).$$

Explain why you might think and prove the formula above or give a counterexample.

#### **SOLUTION:**

[Similar to] Given three sets A, B and C.

Because  $|X \cup Y| = |X| + |Y| - |X \cap Y|$ ;  $(X \cup Y) \cap Z = (X \cap Z) \cup (Y \cap Z)$ .

Now  $|(A \cup B) \cup C| = |A \cup B| + |C| - |(A \cup B) \cap C|$ .

And  $|(A \cup B) \cap C| = |(A \cap C) \cup (B \cap C)| = |A \cap C| + |B \cap C| - |A \cap B \cap C|$ .

Hence  $|(A \cup B) \cup C| = |A| + |B| + |C| + |A \cap B \cap C| - |A \cap B| - |A \cap C| - |B \cap C|$ .

Because  $(V_1 + V_2) + V_3 = V_1 + (V_2 + V_3) = (V_1 + V_3) + V_2$ .

$$\dim(V_1+V_2+V_3)=\dim(V_1+V_2)+\dim(V_3)-\dim((V_1+V_2)\cap V_3) \quad (1)\\ =\dim(V_2+V_3)+\dim(V_1)-\dim((V_2+V_3)\cap V_1) \quad (2)\\ =\dim(V_1+V_3)+\dim(V_2)-\dim((V_1+V_3)\cap V_2) \quad (3)\\ \text{Notice that in general, } (X+Y)\cap Z\neq X\cap Z+Y\cap Z.\\ \text{For example, } X=\{(x,0)\in \mathbb{R}^2:x\in \mathbb{R}\},Y=\{(0,y)\in \mathbb{R}^2:y\in \mathbb{R}\},Z=\{(z,z)\in \mathbb{R}^2:z\in \mathbb{R}\}.\\ \bullet \textbf{COROLLARY: } \text{ If } V_1,V_2 \text{ and } V_3 \text{ are finite-dim vecsps, then } \frac{(1)+(2)+(3)}{3}:\\ \dim(V_1+V_2+V_3)=\dim V_1+\dim V_2+\dim V_3\\ -\frac{\dim(V_1\cap V_2)+\dim(V_1\cap V_3)+\dim(V_2\cap V_3)}{3}:\\ \dim(V_1+V_2+V_3)=\dim V_1+\dim V_2+\dim V_3\\ -\frac{\dim(V_1\cap V_2)+\dim(V_1\cap V_3)+\dim(V_2\cap V_3)}{3}:\\ \dim(V_1+V_2)\cap V_3)+\dim((V_1+V_3)\cap V_2)+\dim((V_2+V_3)\cap V_1)\\ 3\\ \text{The formula above may seem strange because the right side does not look like an integer.} \\ \blacksquare\\ \textbf{S1-A}\\ \bullet \textbf{Tips: } T:V\to W \text{ is linear } \Leftrightarrow \begin{vmatrix} \forall v,u\in V,T(v+u)=Tv+Tu\\ \forall v,u\in V,\lambda\in \mathbb{F},T(\lambda v)=\lambda(Tv) \end{vmatrix} \Leftrightarrow T(v+\lambda u)=Tv+\lambda Tu.\\ \end{bmatrix}\\ \text{ENDED}\\ \textbf{3-A}\\ \bullet \textbf{Tips: } T:V\to W \text{ is linear } \Leftrightarrow \begin{vmatrix} \forall v,u\in V,T(v+u)=Tv+Tu\\ \forall v,u\in V,\lambda\in \mathbb{F},T(\lambda v)=\lambda(Tv) \end{vmatrix} \Leftrightarrow T(v+\lambda u)=Tv+\lambda Tu.\\ \end{bmatrix}\\ \textbf{5 Suppose } T\in \mathcal{L}(\mathbb{F}^n,\mathbb{F}^m). \text{ Prove that } \exists A_{j,k}\in \mathbb{F} \text{ such that }\\ T(x_1,\dots,x_n)=(A_{1,1}x_1+\dots+A_{1,n}x_n,\dots,A_{m,1}x_1+\dots+A_{m,n}x_n) \text{ for any } (x_1,\dots,x_n)\in \mathbb{F}^n.\\ \textbf{5 Solutions.}\\ \text{Let } T(1,0,0,\dots,0,0)=(A_{1,2},\dots,A_{m,2}), \qquad \text{Then by } [3.5], \text{ we are done.}\\ \vdots\\ T(0,0,0,\dots,0,1)=(A_{1,n},\dots,A_{m,n}).\\ \textbf{4 Suppose } T\in \mathcal{L}(V,W) \text{ and } (v_1,\dots,v_m) \text{ is a list of vecs in } V \text{ such that }\\ (Tv_1,\dots,Tv_m) \text{ is linely inde in } W. \text{ Prove that } (v_1,\dots,v_m) \text{ is linely inde.}\\ \textbf{50 LUTION: Suppose } a_1v_1+\dots+a_mv_m=0. \text{ Then } a_1Tv_1+\dots+a_mTv_m=0. \text{ Thus } a_1=\dots=a_m=0. \square$$

7 Show that every linear map from a one-dim vecsp to itself is multi by some scalar. More precisely, prove that if dim V = 1 and  $T \in \mathcal{L}(V)$ , then there exists  $\lambda \in \mathbf{F}$  such that  $Tv = \lambda v$  for all  $v \in V$ .

#### **SOLUTION:**

Let u be a nonzero vec in  $V \Rightarrow V = \text{span}(u)$ .

Because  $Tu \in V \Rightarrow Tu = \lambda u$  for some  $\lambda$ .

Suppose  $v \in V \Rightarrow v = au$ ,  $\exists ! a \in F$ . Then  $Tv = T(au) = \lambda au = \lambda v$ .

**8** Give an example of a function  $\varphi: \mathbb{R}^2 \to \mathbb{R}$  such that  $\varphi(av) = a\varphi(v)$  for all  $a \in \mathbb{R}$  and all  $v \in \mathbb{R}^2$  but  $\varphi$  is not linear.

#### **SOLUTION:**

Define 
$$T(x,y) = \begin{cases} x + y, & \text{if } (x,y) \in \text{span } (3,1), \\ 0, & \text{otherwise.} \end{cases}$$
 OR. Define  $T(x,y) = \sqrt[3]{(x^3 + y^3)}$ .

**9** Give an example of a function  $\varphi: \mathbb{C} \to \mathbb{C}$  such that

 $\varphi(w+z) = \varphi(w) + \varphi(z)$  for all  $w, z \in \mathbb{C}$  but  $\varphi$  is not linear.

(*Here* **C** *is thought of as a complex vecsp.*)

## **SOLUTION:**

Suppose  $V_{\rm C}$  is the complexification of a vecsp V. Suppose  $\varphi: V_{\rm C} \to V_{\rm C}$ .

Define  $\varphi(u + iv) = u = \text{Re}(u + iv)$ 

Or. Define  $\varphi(u + iv) = v = \text{Im}(u + iv)$ .

Prove or give a counterexample:

*If*  $q \in \mathcal{P}(\mathbf{R})$  *and*  $T : \mathcal{P}(\mathbf{R}) \to \mathcal{P}(\mathbf{R})$  *is defined by*  $Tp = q \circ p$ , then T is linear.

**SOLUTION:** Because in general,  $q(p_1(x) + \lambda p_2(x)) \neq (q \circ p_1)(x) + \lambda (q \circ p_2)(x)$ .

• Or. (3.D.16) Suppose V is finite-dim and  $T \in \mathcal{L}(V)$ . Suppose ST = TS for every  $S \in \mathcal{L}(V)$ . Prove that T is a scalar multi of the identity.

#### **SOLUTION:**

If  $V = \{0\}$ , then we are done. Now suppose  $V \neq \{0\}$ .

Assume that (v, Tv) is linely depe for every  $v \in V$ , then by (2.A.2.(b)),  $Tv = \lambda_v v$  for some  $\lambda_v \in F$ .

To prove that  $\lambda_v$  is independent of v

( in other words, for any two distinct nonzero vecs v and w in V, we have  $\lambda_v \neq \lambda_w$  ), we discuss in two cases:

$$(-) \text{ If } (v,w) \text{ is linely inde, } \lambda_{v+w}(v+w) = T(v+w) = Tv + Tw = a_vv + a_ww \\ \Rightarrow (\lambda_{v+w} - \lambda_v)v + (\lambda_{v+w} - \lambda_w)w = 0 \\ (=) \text{ Otherwise, suppose } w = cv, a_ww = Tw = cTv = ca_vv = a_vw \Rightarrow (a_w - a_v)w \\ \text{Now we prove the assumption by contradiction.} \\$$

Now we prove the assumption by contradiction.

Suppose (v, Tv) is linely inde for every nonzero vec  $v \in V$ .

Fix one v. Extend to  $(v, Tv, u_1, ..., u_n)$  a basis of V.

Define  $S \in \mathcal{L}(V)$  by  $S(av + bTv + c_1u_1 + \dots + c_nu_n) = bv \Rightarrow S(Tv) = v = T(Sv) = 0$ . Contradicts.  $\square$ 

Or. Let  $(v_1, \dots, v_m)$  be a basis of V.

Define  $\varphi \in \mathcal{L}(V, \mathbf{F})$  by  $\varphi(v_1) = \cdots = \varphi(v_m) = 1$ . Let  $\lambda = \varphi(Tv_1) \in \mathbf{F}$ .

For any  $v \in V$ , define  $S_v \in \mathcal{L}(V)$  by  $S_v u = \varphi(u)v$ .

Then  $Tv = T(\varphi(v_1)v) = T(S_v v_1) = S_v(Tv_1) = \varphi(Tv_1)v = \lambda v$ .

**10** Suppose U is a subsp of V with  $U \neq V$ . Suppose  $S \in \mathcal{L}(U, W)$  and  $S \neq 0$ (which means that  $Su \neq 0$  for some  $u \in U$ ).

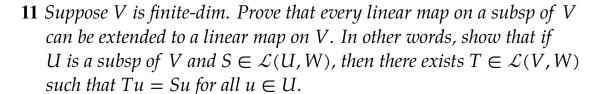
Define  $T: V \to W$  by  $Tv = \begin{cases} Sv, \text{if } v \in U, \\ 0, \text{if } v \in V \setminus U. \end{cases}$  Prove that T is not a linear map on V.

**SOLUTION:** 

Suppose *T* is a linear map. And  $v \in V \setminus U$ ,  $u \in U$  such that  $Su \neq 0$ .

Then  $v + u \in V \setminus U$ , (for if not,  $v = (v + u) - u \in U$ ) while  $T(v + u) = 0 = Tv + Tu = 0 + Su \Rightarrow Su = 0$ .

Hence we get a contradiction.



#### **SOLUTION:**

Define  $T \in \mathcal{L}(V, W)$  by  $T(a_1u_1 + \dots + a_nu_n + a_{n+1}u_{n+1} + \dots + a_mu_m) = a_1Su_1 + \dots + a_nSu_n$ . Where we let  $(u_1, \dots, u_n)$  be a basis of U, extend to a basis of V as  $(u_1, \dots, u_n, \dots, u_m)$ .

**12** Suppose V is finite-dim with dim V > 0, and W is infinite-dim. Prove that  $\mathcal{L}(V, W)$  is infinite-dim.

#### **SOLUTION:**

Let  $(v_1, \ldots, v_n)$  be a basis of V. Let  $(w_1, \ldots, w_m)$  be linely inde in W for any  $m \in \mathbb{N}^+$ .

Define  $T_{x,y} \in \mathcal{L}(V, W)$  by  $T_{x,y}(v_z) = \delta_{zy} w_y$ ,  $\forall x \in \{1, ..., n\}, y \in \{1, ..., m\}$ , where  $\delta_{zy} = \begin{cases} 0, & z \neq y, \\ 1, & z = y. \end{cases}$ Suppose  $a_1 T_{x,1} + \cdots + a_m T_{x,m} = 0$ . Then  $(a_1 T_{x,1} + \cdots + a_m T_{x,m})(v_x) = 0 = a_1 w_1 + \cdots + a_m w_m$ .  $\Rightarrow a_1 = \cdots = a_m = 0$ .  $\not$  x = 0 m arbitrary.

Thus  $(T_{x,1}, \ldots, T_{x,m})$  is a linely inde list in  $\mathcal{L}(V, W)$  for any x and length m. Hence by (2.A.14).

**13** Suppose  $(v_1, ..., v_m)$  is a linely depe list of vecs in V.

Suppose also that  $W \neq \{0\}$ . Prove that there exist  $(w_1, ..., w_m) \in W$  such that no  $T \in \mathcal{L}(V, W)$  satisfies  $Tv_k = w_k$  for each k = 1, ..., m.

## **SOLUTION:**

We prove by contradiction. By linear dependence lemma,  $\exists j \in \{1, ..., m\}$  such that  $v_j \in \text{span}(v_1, ..., v_{j-1})$ .

Fix *j*. Let  $w_j \neq 0$ , while  $w_1 = \dots = w_{j-1} = w_{j+1} = w_m = 0$ .

Define T by  $Tv_k = w_k$  for all k. Suppose  $a_1v_1 + \cdots + a_mv_m = 0$  (where  $a_j \neq 0$ ).

Then  $T(a_1v_1 + \cdots + a_mv_m) = 0 = a_1w_1 + \cdots + a_mw_m = a_jw_j$  while  $a_j \neq 0$  and  $w_j \neq 0$ . Contradicts.  $\square$ 

OR. We prove the contrapositive:

Suppose for any list  $(w_1, ..., w_m) \in W$ ,  $\exists T \in \mathcal{L}(V, W), Tv_k = w_k$  for each  $w_k$ .

(We need to) Prove that  $(v_1, \dots, v_n)$  is linely inde.

Suppose  $\exists a_i \in F, a_1v_1 + \dots + a_nv_n = 0$ . Choose a nonzero  $w \in W$ .

By assumption, for the list  $(\overline{a_1}w, \dots, \overline{a_m}w)$ ,  $\exists T \in \mathcal{L}(V, W), Tv_k = \overline{a_k}w$  for each  $v_k$ .

$$0 = T(\sum_{k=1}^{m} a_k v_k) = \sum_{k=1}^{m} a_k T v_k = \sum_{k=1}^{m} a_k \overline{a_k} w = (\sum_{k=1}^{m} |a_k|^2) w. \text{ Hence } \sum_{k=1}^{m} |a_k|^2 = 0 \Rightarrow a_k = 0.$$

## • (4E 3.A.16)

Suppose V is finite-dim. Show that the only two-sided ideals of  $\mathcal{L}(V)$  are  $\{0\}$  and  $\mathcal{L}(V)$ . A subsp  $\mathcal{E}$  of  $\mathcal{L}(V)$  is called a two-sided ideal of  $\mathcal{L}(V)$  if  $TE \in \mathcal{E}$ ,  $\forall E \in \mathcal{E}$ ,  $T \in \mathcal{L}(V)$ .

#### **SOLUTION:**

Let  $(v_1, ..., v_n)$  be a basis of V. If  $\mathcal{E} = 0$ , then we are done.

Suppose  $\mathcal{E} \neq 0$  and  $\mathcal{E}$  is a two-sided ideal of  $\mathcal{L}(V)$ . Let  $S \in \mathcal{E} \setminus \{0\}$ .

Suppose  $Sv_i \neq 0$  and  $Sv_i = a_1v_1 + \cdots + a_nv_n$ , where  $a_k \neq 0$ .

Define  $R_{x,y} \in \mathcal{L}(V)$  by  $R_{x,y}(v_x) = v_y$ ,  $R_{x,y}(v_z) = 0$  ( $z \neq x$ ). Then for any  $x, y \in \mathbb{N}^+$ ,

$$(R_{k,\nu}S)(v_i) = a_k v_{\nu} \Rightarrow ((R_{k,\nu}S) \circ R_{r,i})(v_r) = a_k v_{\nu}, ((R_{k,\nu}S) \circ R_{r,i})(v_r) = 0 (z \neq x).$$

Thus  $R_{k,y}SR_{x,i} = a_kR_{x,y}$ . Denote by  $T_{x,y}$ . Getting  $(\frac{1}{a_k}T_{1,1} + \dots + \frac{1}{a_k}T_{n,n})v_j = v_j \Rightarrow \sum_{r=1}^n \frac{1}{a_k}T_{r,r} = I.$ ot Z By assumption,  $T_{x,y} \in \mathcal{E} \Rightarrow I \in \mathcal{E}$ . Hence for any  $T \in \mathcal{L}(V)$ ,  $I \circ T = T \circ I = T \in \mathcal{E} \Rightarrow \mathcal{E} = \mathcal{L}(V)$ . **E**NDED 3.B **2** Suppose  $S, T \in \mathcal{L}(V)$  are such that range  $S \subseteq \text{null } T$ . Prove that  $(ST)^2 = 0$ . **SOLUTION:**  $TS = 0 \Rightarrow STST = (ST)^2 = 0$ . **3** Suppose  $(v_1, \ldots, v_m)$  in V. Define  $T \in \mathcal{L}(\mathbf{F}^m, V)$  by  $T(z_1, \ldots, z_m) = z_1v_1 + \cdots + z_mv_m$ . (a) The surj of T corresponds to  $(v_1, ..., v_m)$  spanning V. (b) The inje of T corresponds to  $(v_1, ..., v_m)$  being linely inde. 7 Suppose V is finite-dim with  $2 \le \dim V$  and also  $\dim V \le \dim W$ , if W is finite-dim. Show that  $U = \{ T \in \mathcal{L}(V, W) : \text{null } T \neq \{0\} \}$  is not a subsp of  $\mathcal{L}(V, W)$ . **SOLUTION:** Let  $(v_1, ..., v_n)$  be a basis of V,  $(w_1, ..., w_m)$  be linely inde in W. (Let dim W = m, if W is finite, otherwise, let  $m \in \{n, n + 1, ...\}$ ;  $2 \le n \le m$ ). 
$$\begin{split} & \text{Define } T_1 \in \mathcal{L}(V,W) \text{ as } T_1: \quad v_1 \mapsto 0, \qquad v_2 \mapsto w_2, \qquad v_i \mapsto w_i. \\ & \text{Define } T_2 \in \mathcal{L}(V,W) \text{ as } T_2: \quad v_1 \mapsto w_1, \quad v_2 \mapsto 0, \qquad v_i \mapsto w_i, \qquad i = 3, \dots, n. \end{split}$$
Thus  $T_1 + T_2 \notin U$ . Comment: If dim V=0, then  $V=\{0\}=\mathrm{span}\,(\,).\ \forall\ T\in\mathcal{L}(V,W)$ , T is inje. Hence  $U=\emptyset$ . If dim V = 1, then  $V = \text{span}(v_0)$ . Thus  $U = \text{span}(T_0)$ , where  $T_0v_0 = 0$ . If *V* is infinite-dim, the result is true as well. **8** Suppose W is finite-dim with dim  $W \ge 2$  and also dim  $V \ge \dim W$ , if V is finite-dim. Show that  $U = \{ T \in \mathcal{L}(V, W) : \text{range } T \neq W \}$  is not a subsp of  $\mathcal{L}(V, W)$ . **SOLUTION:** Let  $(v_1, ..., v_n)$  be linely inde in V,  $(w_1, ..., w_m)$  be a basis of W. ( Let  $n = \dim V$ , if V is finite, otherwise we choose  $n \in \{m, m+1, ...\}$ ;  $2 \le m \le n$  ). Define  $T_1 \in \mathcal{L}(V, W)$  as  $T_1: v_1 \mapsto 0, v_2 \mapsto w_2, v_i \mapsto w_i$  $v_{m+i} \mapsto 0.$ Define  $T_2 \in \mathcal{L}(V, W)$  as  $T_2 : v_1 \mapsto w_1, v_2 \mapsto 0, v_i \mapsto w_i$  $v_{m+i}\mapsto 0.$ For each j = 2, ..., m; i = 1, ..., n - m, if V is finite, otherwise let  $i \in \mathbb{N}^+$ . Thus  $T_1 + T_2 \notin U$ . **COMMENT**: If dim W = 0, then  $W = \{0\} = \text{span}()$ .  $\forall T \in \mathcal{L}(V, W), T \text{ is surj. Hence } U = \emptyset$ . If dim W = 1, then  $W = \text{span}(v_0)$ . Thus  $U = \text{span}(T_0)$ , where  $T_0v_0 = 0$ .

**9** Suppose  $T \in \mathcal{L}(V, W)$  is inje and  $(v_1, ..., v_n)$  is linely inde in V. Prove that  $(Tv_1, ..., Tv_n)$  is linely inde in W.

If W is infinite-dim, the result is true as well.

**SOLUTION:** 

$a_1 T v_1 + \dots + a_n T v_n = 0 = T(\sum_{i=1}^n a_i v_i) \iff \sum_{i=1}^n a_i v_i = 0 \iff a_1 = \dots = a_n = 0.$	
<b>10</b> Suppose $(v_1,, v_n)$ spans $V$ and $T \in \mathcal{L}(V, W)$ . Show that $(Tv_1,, Tv_n)$ spans range	e T .
SOLUTION:	
(a) range $T = \{Tv : v \in V\} = \{Tv : v \in \text{span}(v_1, \dots, v_n)\} \Rightarrow Tv_1, \dots, Tv_n \in \text{range } T \Rightarrow \text{By } [2.7].$	
Or. span $(Tv_1, \dots, Tv_n) \ni a_1Tv_1 + \dots + a_nTv_n = T(a_1v_1 + \dots + a_nv_n) \in \text{range } T$ .	
(b) $\forall w \in \text{range } T, \exists v \in V, w = Tv. (\exists a_i \in F, v = a_1v_1 + \dots + a_nv_n) \Rightarrow w = a_1Tv_1 + \dots + a_nTv_n = a_nTv_1 + \dots + a_nTv_n = a_nTv_1 + \dots + a_n$	⇒ □
If Suppose $S_1,, S_n$ are inje linear maps and $S_1S_2S_n$ makes sence.  Prove that $S_1S_2S_n$ is inje.	
SOLUTION: $S_1S_2S_n(v) = 0 \iff S_2S_3S_n(v) = 0 \iff \cdots \iff S_n(v) = 0 \iff v = 0.$	
12 Suppose that V is finite-dim and that $T \in \mathcal{L}(V, W)$ . Prove that	
there exists a subsp $U$ of $V$ such that $U \cap \text{null } T = \{0\}$ and range $T = \{Tu : u \in U\}$ .	
SOLUTION:	
By [2.34], there exists a subsp $U$ of $V$ such that $V = U \oplus \text{null } T$ .	
$\forall v \in V, \ \exists ! \ w \in \text{null} \ T, u \in U, v = w + u. \ \text{Then} \ Tv = T(w + u) = Tu \in \{Tu : u \in U\} \Rightarrow \Box$	
COMMENT: $V$ can be infinite-dim. See the above of [2.34].	
16 Suppose there exists a linear map on V	
whose $null$ space and range are both finite-dim. Prove that $V$ is finite-dim.	
SOLUTION:	
Denote the linear map by $T$ . Let $(Tv_1,, Tv_n)$ be a basis of range $T$ , $(u_1,, u_m)$ be a basis of null	Т.
Then for all $v \in V$ , $T(\underbrace{v - a_1v_1 - \dots - a_nv_n}) = 0$ , where $Tv = a_1Tv_1 + \dots + a_nTv_n$ .	
$\Rightarrow u = b_1 u_1 + \dots + b_m u_m \Rightarrow v = a_1 v_1 + \dots + a_n v_n + b_1 u_1 + \dots + b_m u_m.$	
Getting $V \subseteq \text{span}(v_1, \dots, v_n, u_1, \dots, u_m)$ . Thus $V$ is finite-dim.	
$= \operatorname{Span}(e_1, \dots, e_n, u_1, \dots, u_m). \text{ In all } v \text{ is in the call } v \text{ in the call } v  in the call$	_
If Suppose V and W are both finite-dim. Prove that there exists an inje $T \in \mathcal{L}(V, W)$ if and only if dim $V \leq \dim W$ .	
SOLUTION:	
(a) Suppose there exists an inje $T$ . Then dim $V = \dim \operatorname{range} T \leq \dim W$ .	
(b) Suppose dim $V \le \dim W$ , letting $(v_1, \dots, v_n)$ and $(w_1, \dots, w_m)$ be bases of $V$ and $W$ respectively	V
Define $T \in \mathcal{L}(V, W)$ by $Tv_i = w_i$ , $i = 1,, n$ ( $= \dim V$ ).	
18 Suppose $V$ and $W$ are both finite-dim. Prove that there exists a surj $T \in \mathcal{L}(V,W)$ if and only if dim $V \ge \dim W$ .	
SOLUTION:	
(a) Suppose there exists a surj $T$ . Then dim $V = \dim W + \dim \operatorname{null} T \Rightarrow \dim W \leq \dim V$ . (b) Suppose dim $V \geq \dim W$ , letting $(v_1, \dots, v_n)$ and $(w_1, \dots, w_m)$ be bases of $V$ and $W$ respectively. Define $T \in \mathcal{L}(V, W)$ by $T(a_1v_1 + \dots + a_mv_m + a_{m+1}v_{m+1} + \dots + a_nv_n) = a_1w_1 + \dots + a_mw_m$ .	y.
Prove that $\exists T \in \mathcal{L}(V, W)$ , $\text{null } T = U \iff \dim U \ge \dim V - \dim W$ .	

## **SOLUTION:**

- (a) Suppose  $\exists T \in \mathcal{L}(V, W)$ , null T = U. Then  $\dim \text{null } T = \dim U \ge \dim V \dim W$ .
- (b) Suppose  $\underbrace{\dim U}_{m} \ge \underbrace{\dim V}_{m+n} \underbrace{\dim W}_{p} (\Rightarrow \dim W = p \ge n = \dim V \dim U).$

Let  $(u_1, \ldots, u_m)$  be a basis of U, extend to a basis of V as  $(u_1, \ldots, u_m, v_1, \ldots, v_n)$ .

Let  $(w_1, ..., w_p)$  be a basis of W.

Define  $T \in \mathcal{L}(V, W)$  by  $T(a_1v_1 + \dots + a_nv_n + b_1u_1 + \dots + b_mu_m) = a_1w_1 + \dots + a_nw_n$ .

- Tips: Suppose  $T \in \mathcal{L}(V, W)$  and  $R = (Tv_1, ..., Tv_n)$  is linely inde in range T. (Let dim range T = n, if range T is finite, otherwise let  $n \in \mathbb{N}^+$ .)

  By (3.A.4),  $L = (v_1, ..., v_n)$  is linely inde in V.
- NEW NOTATION:

Denote  $\mathcal{K}_R$  by span L, if range T is finite-dim, otherwise, denote it by a vecsp in  $\mathcal{S}_V$ null T. Note that if range T is finite-dim, then  $\mathcal{K}_{\mathrm{range}\,T} = \mathcal{K}_R$  for any basis R of range T.

• New Theorem:  $\mathcal{K}_R \in \mathcal{S}_V$  null T.

Suppose range *T* is finite-dim. Otherwise, we are done immediately.

$$\mathcal{K}_R \oplus \text{null } T = V \Longleftarrow \begin{cases} \text{ (a) } T(\sum\limits_{i=1}^n a_i v_i) = 0 \Rightarrow \sum\limits_{i=1}^n a_i T v_i = 0 \Rightarrow a_1 = \dots = a_n = 0 \Rightarrow \mathcal{K}_R \cap \text{null } T = \{0\}. \\ \text{ (b) } \forall v \in V, T v = \sum\limits_{i=1}^n a_i T v_i \Rightarrow T v - \sum\limits_{i=1}^n a_i T v_i = T(v - \sum\limits_{i=1}^n a_i v_i) = 0 \\ \Rightarrow v - \sum\limits_{i=1}^n a_i v_i \in \text{null } T \Rightarrow v = (v - \sum\limits_{i=1}^n a_i v_i) + (\sum\limits_{i=1}^n a_i v_i) \Rightarrow \mathcal{K}_R + \text{null } T = V. \end{cases}$$

- Comment:  $\operatorname{null} T \in \mathcal{S}_V \mathcal{K}_R$ .
- (4E 3.B.21) Suppose V is finite-dim,  $T \in \mathcal{L}(V, W)$ , and U is a subsp of W. Prove that  $\mathcal{K}_U = \{ v \in V : Tv \in U \}$  is a subsp of V and  $\dim \mathcal{K}_U = \dim \operatorname{null} T + \dim(U \cap \operatorname{range} T)$ .

#### **SOLUTION:**

For any  $u, w \in \mathcal{K}_U$  and  $\lambda \in \mathbf{F}$ ,  $T(u + \lambda w) = Tu + \lambda Tw \in U \Rightarrow \mathcal{K}_U$  is a subsp of V.

Define  $S \in \mathcal{L}(\mathcal{K}_U, U)$  as Rv = Tv for all  $v \in \mathcal{K}_U$ . Hence range  $R = U \cap \text{range } T$ .

Suppose Tv = 0 for some  $v \in V$ .  $\not \subset U \Rightarrow Rv = 0$ . Thus null  $T \subseteq \text{null } R$ .

**20** Suppose  $T \in \mathcal{L}(V, W)$ . Prove that T is inje  $\iff \exists \ S \in \mathcal{L}(W, V), \ ST = I \in \mathcal{L}(V)$ . Solution:

- (a) Suppose  $\exists S \in \mathcal{L}(W, V)$ , ST = I. Then if  $Tv = 0 \Rightarrow ST(v) = 0 = v$ .
- (b) Suppose T is inje. Let  $R = (Tv_1, ..., Tv_n)$  be linely inde in range  $T \subseteq W$ , where  $n = \dim \operatorname{range} T$  if finite-dim, otherwise  $n \in \mathbb{N}^+$ .

Then  $\mathcal{K}_R \oplus \text{null } T = V$ . And supose  $U \oplus \text{range } T = W$ .

Define  $S \in \mathcal{L}(W, V)$  by  $S(Tv_i) = v_i$  and Su = 0,  $u \in U$ . Thus ST = I.

**21** Suppose  $T \in \mathcal{L}(V, W)$ . Prove that T is surj  $\iff \exists S \in \mathcal{L}(W, V), \ TS = I \in \mathcal{L}(W)$ . Solution:

- (a) Suppose  $\exists S \in \mathcal{L}(W, V), TS = I$ . Then  $\forall w \in W, TS(w) = w \in \text{range } T \Rightarrow \text{range } T = W$ .
- (b) Suppose T is surj. Let  $R = (Tv_1, ..., Tv_n)$  be linely inde in range T = W,

where  $n = \dim \operatorname{range} T$  if finite-dim, otherwise  $n \in \mathbb{N}^+$ .

Then  $\mathcal{K}_R \oplus \operatorname{null} T = V$ . Define  $S \in \mathcal{L}(W,V)$  by  $S(Tv_i) = v_i$ . Then TS = I.

<b>22</b> Suppose $U$ and $V$ are finite-dim vecsps and $S \in \mathcal{L}(V, W)$ and $T \in \mathcal{L}(U, V)$ . Prove that dim null $ST \leq \dim \operatorname{null} S + \dim \operatorname{null} T$ .	
SOLUTION:  Define $R \in \mathcal{L}(\operatorname{null} ST, V)$ by $Ru = Tu$ for all $u \in \operatorname{null} ST \subseteq U$ . $S(Tu) = 0 = S(Ru) \Rightarrow \operatorname{range} R \subseteq \operatorname{null} S \Rightarrow \operatorname{dim} \operatorname{range} R \leq \operatorname{dim} \operatorname{null} S$ $Tu = 0 = Ru \Rightarrow \operatorname{null} R \supseteq \operatorname{null} T \Rightarrow \operatorname{dim} \operatorname{null} R = \operatorname{dim} \operatorname{null} T$	
OR. For any $u \in U$ , note that $u \in \operatorname{null} ST \iff S(Tu) = 0 \iff Tu \in \operatorname{null} S$ . Thus $\operatorname{null} ST = \mathcal{K}_{\operatorname{null} S \cap \operatorname{range} T} = \{u \in U : Tu \in \operatorname{null} S\}$ . By Problem (4E 3B.21), dim $\operatorname{null} ST = \operatorname{dim} \operatorname{null} T + \operatorname{dim} (\operatorname{null} S \cap \operatorname{range} T) \leq \operatorname{dim} \operatorname{null} T + \operatorname{dim} \operatorname{null} S$ .	
COROLLARY:  (1) If $T$ is inje, then dim null $T = 0 \Rightarrow \dim \text{null } ST \leq \dim \text{null } S$ .  (2) If $T$ is surj, then range $R = \text{null } S \Rightarrow \dim \text{null } ST = \dim \text{null } S + \dim \text{null } T$ .  (3) If $S$ is inje, then range $R = \{0\} \Rightarrow \dim \text{null } ST = \dim \text{null } R = \dim \text{null } T$ .	
<b>23</b> Suppose $U$ and $V$ are finite-dim vecsps and $S \in \mathcal{L}(V, W)$ and $T \in \mathcal{L}(U, V)$ . Prove that dim range $ST \leq \min \{\dim \operatorname{range} S, \dim \operatorname{range} T\}$ .	
SOLUTION:	
range $ST = \{Sv : v \in \text{range } T\} = \text{span } (Su_1, \dots, Su_{\dim \text{range } T}),$	
where span $(u_1,, u_{\dim range T})$ = range $T$ .	
$\dim \operatorname{range} ST \leq \dim \operatorname{range} T \not \subset \dim \operatorname{range} S \Rightarrow \square$	
Or. Note that range $(S _{\text{range }T}) = \text{range }ST$ .	
Thus dim range $ST = \dim \operatorname{range}(S _{\operatorname{range}T}) = \dim \operatorname{range}T - \dim \operatorname{null}(S _{\operatorname{range}T}) \leq \operatorname{range}T.$ Corollary:	
(1) If S is inje, then dim range $ST = \dim \operatorname{range} T$ .	
(2) If $T$ is surj, then dim range $ST = \dim \operatorname{range} S$ .	
• (a) Suppose dim $V = 5$ and $S, T \in \mathcal{L}(V)$ are such that $ST = 0$ . Prove that dim range $TS \leq 2$ . (b) Give an example of $S, T \in \mathcal{L}(\mathbf{F}^5)$ with $ST = 0$ and dim range $TS = 2$ .	
SOLUTION:	
By Problem (23), dim range $TS \le \min \left\{ \frac{5 - \dim \text{null } T}{\dim \text{ range } S}, \frac{5 - \dim \text{ null } S}{\dim \text{ range } T} \right\}$ .	
We show that dim range $TS \leq 2$ by contradiction. Assume that dim range $TS \geq 3$ .	
Then $\min \{5 - \dim \text{null } T, 5 - \dim \text{null } S\} \ge 3 \Rightarrow \max \{\dim \text{null } T, \dim \text{null } S\} \le 2.$	
$\mathbb{X}$ dim null $ST = 5 \le \dim \operatorname{null} S + \dim \operatorname{null} T \le 4$ . Contradicts.	
OR. $ \begin{cases} \dim \operatorname{null} S = 5 - \dim \operatorname{range} S \\ \dim \operatorname{range} TS \leq \dim \operatorname{range} S \end{cases} \Rightarrow \dim \operatorname{null} S \leq 5 - \dim \operatorname{range} TS. $	
And $ST = 0 \Rightarrow \operatorname{range} T \subseteq \operatorname{null} S \Rightarrow \operatorname{dim} \operatorname{range} TS \leq \operatorname{dim} \operatorname{range} T \leq \operatorname{dim} \operatorname{null} S$ .	
Thus dim range $TS \leq 5$ – dim range $TS \Rightarrow$ dim range $TS \leq \frac{5}{2}$ .	

**Example:** Let  $(v_1,\ldots,v_5)$  be a basis of  ${\bf F}^5$ . Define  $S,T\in\mathcal{L}({\bf F}^5)$  by:

$$\begin{split} T: & v_1 \mapsto 0, \quad v_2 \mapsto 0, \quad v_i \mapsto v_i \ ; \\ S: & v_1 \mapsto v_4, \quad v_2 \mapsto v_5, \quad v_i \mapsto 0 \quad ; \qquad i = 3, 4, 5. \end{split}$$

• Suppose dim V = n and  $S, T \in \mathcal{L}(V)$  are such that ST = 0.

*Prove that* dim range  $TS \leq \left[\frac{n}{2}\right]$ .

#### **SOLUTION:**

By Problem (23), dim range  $TS \le \min \left\{ \underbrace{\frac{n - \dim \text{null } T}{\dim \text{range } S}}, \underbrace{\frac{n - \dim \text{null } S}{\dim \text{range } T}} \right\}$ . We prove by contradiction.

Assume that dim range  $TS \ge \left\lceil \frac{n}{2} \right\rceil + 1$ .

Then  $\min \{n - \dim \operatorname{null} T, n - \dim \operatorname{null} S\} \ge \left\lceil \frac{n}{2} \right\rceil + 1$ 

$$\Rightarrow$$
 max {dim null  $T$ , dim null  $S$ }  $\leq n - \left[\frac{n}{2}\right] - 1$ .

 $\mathbb{Z}$  dim null  $ST = n \le \dim \text{null } S + \dim \text{null } T \le 2(n - \left\lceil \frac{n}{2} \right\rceil - 1)$ 

$$\Rightarrow \left[\frac{n}{2}\right] + 1 \le \frac{n}{2}$$
. Contradicts. Thus dim range  $TS \le \left[\frac{n}{2}\right]$ .

OR. dim null  $S = n - \dim \operatorname{range} S \le n - \dim \operatorname{range} TS$ .

And  $ST = 0 \Rightarrow \dim \operatorname{range} TS \leq \dim \operatorname{range} T \leq \dim \operatorname{null} S \leq n - \dim \operatorname{range} TS$ 

$$\Rightarrow 2 \dim \operatorname{range} TS \le n \Rightarrow \dim \operatorname{range} TS \le \frac{n}{2}$$

$$\Rightarrow$$
 dim range  $TS \le \left\lceil \frac{n}{2} \right\rceil$  (because dim range  $TS$  is an integer).

# **24** Suppose that W is finite-dim and $S,T \in \mathcal{L}(V,W)$ .

*Prove that*  $\operatorname{null} S \subseteq \operatorname{null} T \iff \exists E \in \mathcal{L}(W) \text{ such that } T = ES.$ 

#### **SOLUTION:**

Suppose  $\exists E \in \mathcal{L}(W)$  such that T = ES. Then  $\text{null } T = \text{null } ES \supseteq \text{null } S$ .

Suppose null  $S \subseteq \text{null } T$ . Let  $R = (Sv_1, ..., Sv_n)$  be a basis of range S

$$\Rightarrow$$
  $(v_1, \dots, v_n)$  is linely inde.

Let 
$$\mathcal{K}_R = \operatorname{span}(v_1, \dots, v_n) \Rightarrow V = \mathcal{K}_R \oplus \operatorname{null} S$$
.

Define 
$$E \in \mathcal{L}(W)$$
 by  $E(Sv_i) = Tv_i$ ,  $Eu = 0$ ; for each  $i = 1 ..., n$  and  $u \in \text{null } S$ .

Hence 
$$\forall v \in V$$
,  $(\exists! a_i \in \mathbf{F}, u \in \text{null } S)$ ,  $Tv = a_1 T v_1 + \dots + a_n T v_n = E(a_1 S v_1 + \dots + a_n S v_n) \Rightarrow T = ES. \square$ 

Or. Extend R to a basis  $(Sv_1, ..., Sv_n, w_1, ..., w_m)$  of W.

Define 
$$E \in \mathcal{L}(W)$$
 by  $E(Sv_k) = Tv_k$ ,  $Ew_i = 0$ .

Because 
$$\forall v \in V$$
,  $\exists a_i \in \mathbf{F}$ ,  $Sv = a_1Sv_1 + \dots + a_nSv_n$   

$$\Rightarrow S\left(v - (a_1v_1 + \dots + a_nv_n)\right) = 0$$

$$\Rightarrow v - (a_1v_1 + \dots + a_nv_n) \in \text{null } S$$

$$\Rightarrow v - (a_1v_1 + \dots + a_nv_n) \in \text{null } T.$$

Thus 
$$Tv = a_1v_1 + \cdots + a_nv_n$$
. Hence  $E(Sv) = a_1E(Sv_1) + \cdots + a_nE(Sv_n) = a_1Tv_1 + \cdots + a_nTv_n = Tv$ .  $\square$ 

# **25** Suppose that V is finite-dim and $S,T \in \mathcal{L}(V,W)$ .

*Prove that* range  $S \subseteq \text{range } T \iff \exists E \in \mathcal{L}(V) \text{ such that } S = TE.$ 

 $\Rightarrow T(v - (a_1v_1 + \cdots + a_nv_n)) = 0$ 

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Suppose  $\exists E \in \mathcal{L}(V)$  such that S = TE. Then range  $S = \text{range } TE \subseteq \text{range } T$ .

Suppose range  $S \subseteq \text{range } T$ . Let  $(v_1, \dots, v_m)$  be a basis of V.

Because range  $S \subseteq \text{range } T \Rightarrow Sv_i \in \text{range } T \text{ for each } i. \text{ Suppose } u_i \in V \text{ for each } i \text{ such that } Tu_i = Sv_i.$ 

Thus defining  $E \in \mathcal{L}(V)$  by  $Ev_i = u_i$  for each  $i \Rightarrow S = TE$ .

# **26** Prove that the differentiation map $D \in \mathcal{P}(\mathbf{R})$ is surj.

#### **SOLUTION:**

[Informal Proof]

Note that  $\deg Dx^n = n - 1$ .

Because span  $(Dx, Dx^2, ...) \subseteq \text{range } D$ . X By (2.C.10), span  $(Dx, Dx^2, ...) = \text{span } (1, x, ...) = \mathcal{P}(R)$ .  $\square$ 

## [Proper Proof]

We will recursively define a sequence of polynomials  $(p_k)_{k=0}^{\infty}$  where  $Dp_k = x^k$ .

Because dim  $Dx = (\deg x) - 1 = 0$ , we have  $Dx = C \in \mathbb{F}$ . Define  $p_0 = C^{-1}x$ . Then  $Dp_0 = C^{-1}Dx = 1$ .

Suppose we have defined  $p_0, \dots, p_n$  such that  $Dp_k = x^k$  for each  $k \in \{0, \dots, n\}$ .

Because deg  $D(x^{n+2}) = n+1$ , we let  $D(x^{n+2}) = a_{n+1}x^{n+1} + a_nx^n + \dots + a_1x + a_0$ , where  $a_{n+1} \neq 0$ .

Then  $a_{n+1}^{-1}D(x^{n+2}) = x^{n+1} + a_{n+1}^{-1}(a_nDp_n + \dots + a_1Dp_1 + a_0Dp_0)$ 

$$\Rightarrow x^{n+1} = D\left(a_{n+1}^{-1}(x^{n+2} - a_n p_n - \dots - a_1 p_1 - a_0 p_0)\right).$$

Thus defining  $p_{n+1} = a_{n+1}^{-1}(x^{n+2} - a_n p_n - \dots - a_1 p_1 - a_0 p_0)$ , we have  $Dp_{n+1} = x^{n+1}$ .

Hence we get the sequence  $(p_k)_{k=0}^{\infty}$  by recursion.

Now it suffices to show that *D* is surj. Let  $p = \sum_{k=0}^{\deg p} a_k x^k \in \mathcal{P}(\mathbf{R})$ .

Then 
$$D\left(\sum_{k=0}^{\deg p} a_k p_k\right) = \sum_{k=0}^{\deg p} a_k D p_k = \sum_{k=0}^{\deg p} a_k x^k = p.$$

# **27** Suppose $p \in \mathcal{P}(\mathbf{R})$ . Prove that $\exists q \in \mathcal{P}(\mathbf{R})$ such that 5q'' + 3q' = p.

#### **SOLUTION:**

Define  $B \in \mathcal{L}(\mathcal{P}(\mathbf{R}))$  by  $B = 5D^2 + 3D \Rightarrow B(q) = 5q'' + 3q'$ .

Note that  $\deg Bx^n = n - 1$ . Similar to Problem (26), we conclude that B is surj.

**28** Suppose  $T \in \mathcal{L}(V, W)$  and  $(w_1, ..., w_m)$  is a basis of range T. Prove that  $\exists \varphi_1, ..., \varphi_m \in \mathcal{L}(V, \mathbf{F})$  such that for all  $v \in V, Tv = \varphi_1(v)w_1 + \cdots + \varphi_m(v)w_m$ .

#### **SOLUTION:**

Suppose  $(v_1, ..., v_m)$  in V such that  $Tv_i = w_i$  for each i.

Then  $(v_1, \ldots, v_m)$  is linely inde, extend it to a basis of V as  $(v_1, \ldots, v_m, u_1, \ldots, u_n)$ .

Note that  $\forall v \in V, v = a_1v_1 + \dots + a_mv_m + b_1u_1 + \dots + b_nu_n, \ \exists ! a_i, b_i \in \mathbb{F} \Rightarrow Tv = a_1w_1 + \dots + a_mw_m.$ 

Define  $\varphi_i : V \to \mathbf{F}$  by  $\varphi_i(v) = a_i v_i$  for each i. We now check the linearity.

$$\forall v, u \in V \ (\exists ! a_i, b_i, c_i, d_i \in \mathbf{F}), \lambda \in \mathbf{F}, \varphi_i(v + \lambda u) = a_i + \lambda c_i = \varphi(v) + \lambda \varphi(u).$$

# **29** Suppose $\varphi \in \mathcal{L}(V, \mathbf{F}) \setminus \{0\}$ . Suppose $u \in V \setminus \text{null } \varphi$ . Prove that $V = \text{null } \varphi \oplus \{au : a \in \mathbf{F}\}$ .

#### **SOLUTION:**

(a) 
$$\forall v = cu \in \text{null } \varphi \cap \{au : a \in \mathbf{F}\}\ , \varphi(v) = 0 = c\varphi(u) \Rightarrow c = 0. \text{ Hence null } \varphi \cap \{au : a \in \mathbf{F}\} = \{0\}.$$

$$(b) \ \forall \ v \in V, v = \left(v - \frac{\varphi(v)}{\varphi(u)}u\right) + \frac{\varphi(v)}{\varphi(u)}u. \left| \begin{array}{l} v - \frac{\varphi(v)}{\varphi(u)}u \in \operatorname{null}\varphi \\ \frac{\varphi(v)}{\varphi(u)}u \in \{au : a \in \mathbf{F}\} \end{array} \right. \\ \Rightarrow V = \operatorname{null}\varphi \oplus \{au : a \in \mathbf{F}\}. \quad \Box$$

This may seems strange. Here we explain why.

 $\varphi \neq 0 \Rightarrow \varphi(v_i) = a_i \neq 0$  for each  $v_i$ , for some linely inde list  $(v_1, \dots, v_k)$ .

Fix one 
$$v_k$$
. Then  $\varphi\left(v_k - \frac{a_k}{a_j}v_j\right) = 0$  for each  $j = 1, ..., k-1, k+1, ..., n$ 

Fix one  $v_k$ . Then  $\varphi\left(v_k-\frac{a_k}{a_j}v_j\right)=0$  for each  $j=1,\ldots,k-1,k+1,\ldots,n$ . Thus span  $\left\{v_k-\frac{a_k}{a_j}v_j\right\}_{j\neq k}\subseteq \operatorname{null}\varphi$ . Hence every vecsp in  $\mathcal{S}_V$  null  $\varphi$  is one-dim.

**30** Suppose  $\varphi_1, \varphi_2 \in \mathcal{L}(V, \mathbf{F})$  and  $\text{null } \varphi_1 = \text{null } \varphi_2 = \text{null } \varphi$ . Prove that  $\exists c \in \mathbf{F}, \varphi_1 = c\varphi_2$ **SOLUTION:** 

If null  $\varphi = V$ , then  $\varphi_1 = \varphi_2 = 0$ , we are done. Suppose  $u \in V/\text{null } \varphi \Rightarrow \varphi_1(u), \varphi_2(u) \neq 0$ .

By Problem (29),  $V = \text{null } \varphi \oplus \text{span } (u)$ .

Hence for any  $v \in V$ ,  $v = w + a_v u$ ,  $\exists ! w \in \text{null } \varphi, a_v \in F$ .

$$\varphi_1(v) = a_v \varphi_1(u), \quad \varphi_2(v) = a_v \varphi_2(u) \Rightarrow a_v = \frac{\varphi_1(v)}{\varphi_1(u)} = \frac{\varphi_2(v)}{\varphi_2(u)} \Rightarrow \frac{\varphi_1(u)}{\varphi_2(u)} = \frac{\varphi_1(v)}{\varphi_2(v)} = c \in \mathbf{F}.$$
Thus  $\varphi_1 = c\varphi_2$ .

• Suppose V is finite-dim, X is a subsp of V, and Y is a finite-dim subsp of W. *Prove that if* dim X + dim Y = dim V, then  $\exists T \in \mathcal{L}(V, W)$ , null T = X and range T = Y.

## **SOLUTION:**

Suppose dim X + dim Y = dim V. Let  $(u_1, ..., u_n)$  be a basis of X,  $R = (w_1, ..., w_m)$  be a basis of Y.

Extend  $(u_1, \ldots, u_n)$  to a basis of V as  $(u_1, \ldots, u_n, v_1, \ldots, v_m)$ .

Define 
$$T \in \mathcal{L}(V, W)$$
 by  $T(\sum_{i=1}^{m} a_i v_i + \sum_{i=1}^{n} b_i u_i) = \sum_{i=1}^{m} a_i w_i$ .  
Now we show that null  $T = X$  and range  $T = Y$ 

Suppose 
$$v \in V$$
. Then  $\exists ! a_i, b_j \in \mathbf{F}, v = \sum_{i=1}^m a_i v_i + \sum_{i=1}^n b_i u_i$ .

$$v \in \operatorname{null} T \Rightarrow Tv = 0 \Rightarrow a_1 = \dots = a_m = 0 \Rightarrow v \in X$$
$$v \in X \Rightarrow v \in \operatorname{null} T$$
$$v \in X \Rightarrow v \in \operatorname{null} T$$

$$w \in \operatorname{range} T \Rightarrow \exists \ v = \sum_{i=1}^{m} a_i v_i + \sum_{i=1}^{n} b_i u_i \in V, Tv = w = \sum_{i=1}^{m} a_i w_i \Rightarrow w \in Y$$

$$w \in Y \Rightarrow w = a_1 T v_1 + \dots + a_m T v_m = T(a_1 v_1 + \dots + a_m v_m) \Rightarrow w \in \operatorname{range} T$$

$$\Rightarrow \operatorname{range} T = Y. \qquad \Box$$

• Suppose V is finite-dim and  $T \in \mathcal{L}(V, W)$ . Let  $(Tv_1, ..., Tv_n)$  be a basis of range T. Extend  $(v_1, \ldots, v_n)$  to a basis of V as  $(v_1, \ldots, v_n, u_1, \ldots, u_m)$ .

*Prove or give a counterexample:*  $(u_1, ..., u_m)$  *is a basis of* null T.

**SOLUTION**: A counterexample:

Suppose dim 
$$V = 3$$
,  $Tv_1 = Tv_2 = Tv_3 = w_1$ . Then span  $(Tv_1, Tv_2, Tv_3) = \text{span}(w_1)$ .

Extend 
$$(v_i)$$
 to  $(v_1, v_2, v_3)$  for each  $i$ . But none of  $(v_1, v_2)$ ,  $(v_1, v_3)$ ,  $(v_2, v_3)$  is a basis of null  $T$ .

**COMMENT:**  $(v_2 - v_1, v_3 - v_1), (v_1 - v_2, v_3 - v_2)$  or  $(v_1 - v_3, v_2 - v_3)$  are all bases of null T.

• Suppose V is finite-dim and  $T \in \mathcal{L}(V, W)$ . Let  $(u_1, ..., u_m)$  be a basis of null T. Extend  $(u_1, \ldots, u_m)$  to a basis of V as  $(u_1, \ldots, u_m, v_1, \ldots, v_n)$ . *Prove or give a counterexample:*  $(Tv_1, ..., Tv_n)$  *spans* range T.

**SOLUTION:** 

$$\forall w \in \text{range } T, \ \exists v \in V, \ (\exists ! a_i, b_i \in F), Tv = T(a_1v_1 + \dots + a_nv_n) = w$$

 $\Rightarrow w \in \text{span}(Tv_1, \dots, Tv_n) \Rightarrow \text{range } T \subseteq \text{span}(Tv_1, \dots, Tv_n).$ **COMMENT:** If *T* is inje, then  $(Tv_1, ..., Tv_n)$  is a basis of range *T*. • Or. (5.B.4) Suppose  $P \in \mathcal{L}(V)$  and  $P^2 = P$ . Prove that  $V = \text{null } P \oplus \text{range } P$ . **SOLUTION:** Let  $(P^2v_1, \dots, P^2v_n)$  be a basis of range  $P^2$ . Then  $(Pv_1, \dots, Pv_n)$  is linely inde in V. Let  $(P^*v_1, ..., P^*v_n) \Rightarrow V = \mathcal{K} \oplus \text{null } P^2$   $\Rightarrow \Box$  $\not \subseteq \mathcal{K} = \operatorname{range} P = \operatorname{range} P^2$ ;  $\operatorname{null} P = \operatorname{null} P^2$ Or. (a) Suppose  $v \in \text{null } P \cap \text{range } P$ . Then  $\exists u \in V, v = Pu, Pv = 0 \Rightarrow v = Pu = P^2u = Pv = 0$ . Hence  $\text{null } P \cap \text{range } P = \{0\}$ . (b) Note that v = Pv + (v - Pv) and  $P^2v = Pv$  for all  $v \in V$ . Then  $P(v - Pv) = 0 \Rightarrow v - Pv \in \text{null } P$ . Hence V = range P + null P. • Suppose V is finite-dim with dim V > 1. Show that if  $\varphi : \mathcal{L}(V) \to \mathbf{F}$ is a linear map such that  $\varphi(ST) = \varphi(S) \cdot \varphi(T)$  for all  $S, T \in \mathcal{L}(V)$ , then  $\varphi = 0$ . **SOLUTION:** Using notations in (4E 3.A.16). Suppose  $\varphi \neq 0 \Rightarrow \exists i, j \in \{1, ..., n\}, \varphi(R_{i,j}) \neq 0$ . Because  $R_{i,j} = R_{x,j} \circ R_{i,x}, \ \forall x = 1, ..., n$  $\Rightarrow \varphi(R_{i,j}) = \varphi(R_{x,j}) \cdot \varphi(R_{i,x}) \neq 0 \Rightarrow \varphi(R_{x,j}) \neq 0 \text{ and } \varphi(R_{i,x}) \neq 0.$ Again, because  $R_{i,x} = R_{y,x} \circ R_{i,y}$ ,  $\forall y = 1, ..., n$ . Thus  $\varphi(R_{y,x}) \neq 0$  for any x, y = 1, ..., n. Let  $l \neq i, k \neq j$  and then  $\varphi(R_{l,k} \circ R_{i,j}) = \varphi(0) = 0 = \varphi(R_{l,k}) \cdot \varphi(R_{i,j})$  $\Rightarrow \varphi(R_{l,k}) = 0$  or  $\varphi(R_{i,j}) = 0$ . Contradicts. Or. Note that by (4E 3.A.16),  $\exists S, T \in \mathcal{L}(V), ST - TS \neq 0$ . Then  $\varphi(ST - TS) = \varphi(S)\varphi(T) - \varphi(T)\varphi(S) = 0 \Rightarrow ST - TS \in \text{null } \varphi \neq \{0\}.$ Thus  $\forall E \in \text{null } \varphi, T \in \mathcal{L}(V), \varphi(ET) = \varphi(TE) = 0 \Rightarrow ET, TE \in \text{null } \varphi.$ Hence null  $\varphi$  is a nonzero two-sided ideal of  $\mathcal{L}(V)$ . • Suppose that V and W are real vecsps and  $T \in \mathcal{L}(V, W)$ . Define  $T_C: V_C \to W_C$  by  $T_C(u + iv) = Tu + iTv$  for all  $u, v \in V$ . (a) Show that  $T_C$  is a (complex) linear map from  $V_C$  to  $W_C$ . (b) Show that  $T_C$  is inje  $\iff$  T is inje. (c) Show that range  $T_C = W_C \iff \text{range } T = W$ . **SOLUTION:** (a)  $\forall u_1 + iv_1, u_2 + iv_2 \in V_C, \lambda \in F$  $T((u_1 + iv_1) + \lambda(u_2 + iv_2)) = T((u_1 + \lambda u_2) + i(v_1 + \lambda v_2)) = T(u_1 + \lambda u_2) + iT(v_1 + \lambda v_2)$  $= Tu_1 + iTv_1 + \lambda Tu_2 + i\lambda Tv_2 = T(u_1 + iv_1) + \lambda T(u_2 + iv_2).$ Suppose  $T_{\rm C}$  is inje. Let  $T(u)=0 \Rightarrow T_{\rm C}(u+{\rm i}0)=Tu=0 \Rightarrow u=0.$ Suppose T is inje. Let  $T_{\mathbf{C}}(u+\mathrm{i} v)=Tu+\mathrm{i} Tv=0\Rightarrow Tu=Tv=0\Rightarrow u+\mathrm{i} v=0.$ Suppose  $T_C$  is surj.  $\forall w \in W$ ,  $\exists u \in V$ ,  $T(u + i0) = Tu = w + i0 = w \Rightarrow T$  is surj. Suppose T is surj.  $\forall w, x \in W, \exists u, v \in V, Tu = w, Tv = x$  $\Rightarrow \forall w + ix \in W_C$ ,  $\exists u + iv \in V$ ,  $T(u + iv) = w + ix \Rightarrow T_C$  is surj.

• Note For [3.47]: 
$$LHS = (AC)_{j,k} = \sum_{r=1}^{n} A_{j,r} C_{r,k} = \sum_{r=1}^{n} (A_{j,\cdot})_{1,r} (C_{\cdot,k})_{r,1} = (A_{j,\cdot}C_{\cdot,k})_{1,1} = A_{j,\cdot}C_{\cdot,k} = RHS.$$

• Note For [3.48]:

•Suppose  $C \in \mathbf{F}^{m,c}$ ,  $R \in \mathbf{F}^{c,p}$ .

(a) For 
$$k = 1, ..., p$$
,  $(CR)_{\cdot,k} = CR_{\cdot,k} = C_{\cdot,k} = \sum_{r=1}^{c} C_{\cdot,r} R_{r,k} = R_{1,k} C_{\cdot,1} + \cdots + R_{c,k} C_{\cdot,c}$ 

(b) For 
$$j = 1, ..., m$$
,  $(CR)_{j,\cdot} = C_{j,\cdot}R = C_{j,\cdot}R_{\cdot,\cdot} = \sum_{r=1}^{c} C_{j,r}R_{r,\cdot} = C_{j,1}R_{1,\cdot} + \cdots + C_{j,c}R_{c,\cdot}$ 

**EXAMPLE** 

$$P = \begin{pmatrix} 1 & 2 \\ 3 & 4 \end{pmatrix} \begin{pmatrix} 5 & 6 & 7 \\ 8 & 9 & 10 \end{pmatrix} \in \mathbf{F}^{2,3}.$$

$$P_{\cdot,1} = \begin{pmatrix} 1 & 2 \\ 3 & 4 \end{pmatrix} \begin{pmatrix} 5 \\ 8 \end{pmatrix} = 5 \begin{pmatrix} 1 \\ 3 \end{pmatrix} + 8 \begin{pmatrix} 2 \\ 4 \end{pmatrix} = \begin{pmatrix} 21 \\ 47 \end{pmatrix};$$

$$P_{\cdot,2} = \begin{pmatrix} 1 & 2 \\ 3 & 4 \end{pmatrix} \begin{pmatrix} 6 \\ 9 \end{pmatrix} = 6 \begin{pmatrix} 1 \\ 3 \end{pmatrix} + 9 \begin{pmatrix} 2 \\ 4 \end{pmatrix} = \begin{pmatrix} 24 \\ 54 \end{pmatrix};$$

$$P_{\cdot,3} = \begin{pmatrix} 1 & 2 \\ 3 & 4 \end{pmatrix} \begin{pmatrix} 7 \\ 10 \end{pmatrix} = 7 \begin{pmatrix} 1 \\ 3 \end{pmatrix} + 10 \begin{pmatrix} 2 \\ 4 \end{pmatrix} = \begin{pmatrix} 27 \\ 61 \end{pmatrix};$$

$$P_{1,\cdot} = \begin{pmatrix} 1 & 2 \end{pmatrix} \begin{pmatrix} 5 & 6 & 7 \\ 8 & 9 & 10 \end{pmatrix} = 1 \begin{pmatrix} 5 & 6 & 7 \end{pmatrix} + 2 \begin{pmatrix} 8 & 9 & 10 \end{pmatrix} = \begin{pmatrix} 21 & 24 & 27 \end{pmatrix};$$

$$P_{2,\cdot} = \begin{pmatrix} 3 & 4 \end{pmatrix} \begin{pmatrix} 5 & 6 & 7 \\ 8 & 9 & 10 \end{pmatrix} = 3 \begin{pmatrix} 5 & 6 & 7 \end{pmatrix} + 4 \begin{pmatrix} 8 & 9 & 10 \end{pmatrix} = \begin{pmatrix} 47 & 54 & 61 \end{pmatrix};$$

• Note For [3.52]: 
$$A \in \mathbf{F}^{m,n}, c \in \mathbf{F}^{n,1} \Rightarrow Ac \in \mathbf{F}^{m,1}$$

$$(Ac)_{j,1} = \sum_{r=1}^{n} A_{j,r} c_{r,1} = \left[\sum_{r=1}^{n} (A_{\cdot,r} c_{r,1})\right]_{j,1} = (c_1 A_{\cdot,1} + \dots + c_n A_{\cdot,n})_{j,1}$$

$$\therefore Ac = A_{\cdot,\cdot}c_{\cdot,1} = \sum_{r=1}^{n} A_{\cdot,r}c_{r,1} = c_1A_{\cdot,1} + \dots + c_nA_{\cdot,n}$$
 Or. By  $(Ac)_{\cdot,1} = Ac_{\cdot,1}$  Using (a) above.

• Exercise 11: 
$$a \in \mathbf{F}^{1,n}, C \in \mathbf{F}^{n,p} \Rightarrow aC \in \mathbf{F}^{1,p}$$

$$(aC)_{1,k} = \sum_{r=1}^{n} a_{1,r} C_{r,k} = \left[ \sum_{r=1}^{n} a_{1,r} (C_{r,\cdot}) \right]_{1,k} = (a_1 C_{1,\cdot} + \dots + a_n C_{n,\cdot})_{1,k}$$

$$(aC)_{1,k} = \sum_{r=1}^{n} a_{1,r} C_{r,\cdot} = a_1 C_{1,\cdot} + \dots + a_n C_{n,\cdot}$$
 OR. By  $(aC)_{1,\cdot} = a_{1,\cdot} C$ . Using (b) above.

• COLUMN-ROW FACTORIZATION (CR Factorization)

Suppose 
$$A \in \mathbf{F}^{m,n}$$
,  $A \neq 0$ . Let  $S_c = \operatorname{span}(A_{\cdot,1}, \dots, A_{\cdot,n}) \subseteq \mathbf{F}^{m,1}$ ,  $\dim S_c = c$ .

And 
$$S_r = \operatorname{span}(A_{1,r}, \dots, A_{n,r}) \subseteq \mathbf{F}^{1,n}, \dim S_r = r$$
.

*Prove that* A = CR.  $\exists C \in \mathbf{F}^{m,c}$ ,  $R \in \mathbf{F}^{c,n}$ .

**SOLUTION**: Notice that  $A \neq 0 \Rightarrow c, r \geq 1$ .

Let  $(C_{.,1},...,C_{.,c})$  be a basis of  $S_c$ , forming  $C \in \mathbb{F}^{m,c}$ .

Then for any  $A_{\cdot,k}$ ,  $A_{\cdot,k} = R_{1,k}C_{\cdot,1} + \dots + R_{c,k}C_{\cdot,c} = (CR)_{\cdot,k}$ ,  $\exists ! R_{1,k}, \dots, R_{c,k} \in \mathbb{F}$ .

Hence, by letting  $R = \begin{pmatrix} R_{1,1} & \cdots & R_{1,n} \\ \vdots & \ddots & \vdots \\ R_{c,1} & \cdots & R_{c,n} \end{pmatrix}$ , we have A = CR. OR. Let  $(R_{1,r}, \dots, R_{c,r})$  be a basis of  $S_r$ , forming  $R \in \mathbf{F}^{c,n}$ .

For any  $A_{i,\cdot}$ ,  $A_{i,\cdot} = C_{i,1}R_{1,\cdot} + \cdots + C_{i,c}R_{c,\cdot} = (CR)_{i,\cdot}$ ,  $\exists ! C_{i,1}, \dots, C_{i,c} \in F$ . Similarly.

**EXAMPLE:** 

$$A = \begin{pmatrix} 10 & 7 & 4 & 1 \\ 26 & 19 & 12 & 5 \\ 46 & 33 & 20 & 7 \end{pmatrix} \stackrel{(1)}{=} \begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 2 & 1 \end{pmatrix} \begin{pmatrix} 10 & 7 & 4 & 1 \\ 26 & 19 & 12 & 5 \end{pmatrix} \stackrel{(2)}{=} \begin{pmatrix} 7 & 4 \\ 19 & 12 \\ 33 & 20 \end{pmatrix} \begin{pmatrix} 2 & -1 \\ 1 & 0 \\ 0 & 1 \\ -1 & 2 \end{pmatrix}$$

- (I) Because  $\begin{pmatrix} 46 & 33 & 20 & 7 \end{pmatrix} = 2 \begin{pmatrix} 10 & 7 & 4 & 1 \end{pmatrix} + \begin{pmatrix} 26 & 19 & 12 & 5 \end{pmatrix}$ . Hence dim  $S_r = 2$ . We choose  $(A_{1,r}, A_{2,r})$  as the basis.
- (II) Because  $\begin{pmatrix} 10 \\ 26 \\ 46 \end{pmatrix} = 2 \begin{pmatrix} 7 \\ 19 \\ 22 \end{pmatrix} \begin{pmatrix} 4 \\ 12 \\ 20 \end{pmatrix}; \begin{pmatrix} 1 \\ 5 \\ 7 \end{pmatrix} = 2 \begin{pmatrix} 4 \\ 12 \\ 20 \end{pmatrix} \begin{pmatrix} 7 \\ 19 \\ 22 \end{pmatrix}.$

Hence dim  $S_c = 2$ . We choose  $(A_{\cdot,2}, A_{\cdot,3})$  as the basis.

• COLUMN RANK EQUALS ROW RANK (Using the notation above)

For any 
$$A_{j,\cdot} \in S_r$$
,  $A_{j,\cdot} = (CR)_{j,\cdot} = C_{j,1}R = C_{j,1}R_{1,\cdot} + \cdots + C_{j,c}R_{c,\cdot}$   
 $\Rightarrow \operatorname{span}(A_{1,\cdot}, \dots, A_{m,\cdot}) = S_r = \operatorname{span}(R_{1,\cdot}, \dots, R_{c,\cdot}) \Rightarrow \dim S_r = r \le c = \dim S_c.$ 

Apply the result to  $A^t \in \mathbf{F}^{n,m} \Rightarrow \dim S_r^t = \dim S_c = c \le r = \dim S_r = \dim S_c^t$ .

• Suppose  $T \in \mathcal{L}(V)$ , and  $u_1, \dots, u_n$  and  $v_1, \dots, v_n$  are bases of V.

*Prove that the following are equi. Here*  $A = \mathcal{M}(T)$  *means*  $\mathcal{M}(T, (u_1, ..., u_n), (v_1, ..., v_n))$ .

- (a) T is inje.
- (b) The cols of  $\mathcal{M}(T)$  are linely inde in  $\mathbf{F}^{n,1}$ .
- (c) The cols of  $\mathcal{M}(T)$  span  $\mathbf{F}^{n,1}$ .
- (d) The rows of  $\mathcal{M}(T)$  span  $\mathbf{F}^{1,n}$ .
- (e) The rows of  $\mathcal{M}(T)$  are linely inde in  $\mathbf{F}^{1,n}$ .

**SOLUTION:** T is inje  $\iff$  dim  $V = \dim \operatorname{range} T + \dim \operatorname{null} T = \dim \operatorname{range} T$ 

 $\iff$   $(Tu_1, ..., Tu_n)$  is linely inde in V, and therefore is a basis of V

 $\iff$   $(\mathcal{M}(Tu_1), \dots, \mathcal{M}(Tu_n))$  is linely inde, as well as  $(A_{\cdot,1}, \dots, A_{\cdot,n})$ 

 $\iff$   $(A_{\cdot,1},\ldots,A_{\cdot,n})$  is a basis of  $\mathbf{F}^{n,1}$ .

 $\iff$   $(A_1, \dots, A_n)$  is a basis of  $\mathbf{F}^{1,n}$ .

• Suppose A is an m-by-n matrix with  $A \neq 0$ . Prove that the rank of A is  $1 \iff \exists (c_1, ..., c_m) \in \mathbf{F}^m$  and  $(d_1, ..., d_n) \in \mathbf{F}^n$  such that  $A_{j,k} = c_j \cdot d_k$  for every j = 1, ..., m and every k = 1, ..., n.

**SOLUTION**: Using the notation in CR Factorization.

(a) Suppose 
$$A = \begin{pmatrix} c_1 \\ \vdots \\ c_m \end{pmatrix} \begin{pmatrix} d_1 & \cdots & d_n \end{pmatrix} = \begin{pmatrix} c_1 d_1 & \cdots & c_1 d_n \\ \vdots & \ddots & \vdots \\ c_m d_1 & \cdots & c_m d_n \end{pmatrix}.$$
 (  $\exists c_j, d_k \in \mathbb{F}, \forall j, k$ )

Then  $S_c = \operatorname{span} \begin{pmatrix} \begin{pmatrix} c_1 d_1 \\ \vdots \\ c_m d_1 \end{pmatrix}, \begin{pmatrix} c_1 d_2 \\ \vdots \\ c_m d_2 \end{pmatrix}, \dots, \begin{pmatrix} c_1 d_n \\ \vdots \\ c_m d_n \end{pmatrix} \end{pmatrix} = \operatorname{span} \begin{pmatrix} \begin{pmatrix} c_1 \\ \vdots \\ c_m \end{pmatrix}.$ 

Or.  $S_r = \operatorname{span} \begin{pmatrix} \begin{pmatrix} c_1 d_1 & \cdots & c_1 d_n \\ \vdots \\ c_2 d_1 & \cdots & c_2 d_n \end{pmatrix}, \begin{pmatrix} c_2 d_1 & \cdots & c_2 d_n \\ \vdots \\ c_m d_1 & \cdots & c_m d_n \end{pmatrix} = \operatorname{span} \begin{pmatrix} \begin{pmatrix} d_1 & \dots & d_n \end{pmatrix} \end{pmatrix}.$  Hence the rank of  $A$  is  $A$  is  $A$ .

(b) Suppose the rank of *A* is dim 
$$S_c = \dim S_r = 1$$

Let 
$$c_j = \frac{A_{j,1}}{A_{1,1}} = \frac{A_{j,2}}{A_{1,2}} = \dots = \frac{A_{j,n}}{A_{1,n}}, \qquad d'_k = \frac{A_{1,k}}{A_{1,1}} = \frac{A_{2,k}}{A_{2,1}} = \dots = \frac{A_{m,k}}{A_{m,1}}. \quad (\forall j, k)$$

$$\Rightarrow A_{j,k} = d'_k A_{j,1} = c_j A_{1,k} = c_j d'_k A_{1,1} = c_j d_k. \text{ Letting } d_k = d'_k A_{1,1}.$$

**1** Suppose  $T \in \mathcal{L}(V, W)$ . Show that with resp to each choice of bases of V and W, the matrix of T has at least dim range T nonzero entries.

#### **SOLUTION:**

Let  $(v_1, \dots, v_n)$  and  $(w_1, \dots, w_m)$  be bases of V and W respectively. We prove by contradiction.

Suppose  $A = \mathcal{M}(T, (v_1, ..., v_n), (w_1, ..., w_m))$  has at most (dim range T-1) nonzero entries.

Then by Pigeon Hole Principle, at least one of  $A_{\cdot k} = 0$ .

Thus there are at most (dim range T-1) nonzero vecs in  $Tv_1, \ldots, Tv_n$ .

While range  $T = \text{span}(Tv_1, ..., Tv_n) \Rightarrow \dim \text{range } T \leq \dim \text{range } T - 1$ . We get a contradiction.

# **3** Suppose V and W are finite-dim and $T \in \mathcal{L}(V, W)$ .

Prove that there exist a basis of V and a basis of W such that

[ letting  $A = \mathcal{M}(T)$  with resp to these bases ],

$$A_{k,k} = 1, A_{i,j} = 0$$
, where  $1 \le k \le \dim \operatorname{range} T, i \ne j$ .

#### **SOLUTION:**

Let  $R = (Tv_1, ..., Tv_n)$  be a basis of range T, extend it to the basis of W as  $(Tv_1, ..., Tv_n, w_1, ..., w_p)$ .

Let  $\mathcal{K}_R = \text{span}(v_1, \dots, v_n)$ . Let  $(u_1, \dots, u_m)$  be a basis of null T.

Then  $(v_1, \ldots, v_n, u_1, \ldots, u_m)$  is the basis of V.

Thus  $T(v_k) = Tv_k$ ,  $T(u_j) = 0 \Rightarrow A_{k,k} = 1$ ,  $A_{i,j}$  for each  $k \in \{1, \dots, \dim \operatorname{range} T\}$  and  $j \in \{1, \dots, m\}$ .

**4** Suppose  $(v_1, ..., v_m)$  is a basis of V and W is finite-dim. Suppose  $T \in \mathcal{L}(V, W)$ .

Prove that there exists a basis 
$$(w_1, ..., w_n)$$
 of  $W$  such that  $\begin{pmatrix} 1 \\ 0 \\ \vdots \end{pmatrix}$  or  $\begin{pmatrix} 0 \\ \vdots \\ 0 \end{pmatrix}$ .

FOURTION, If  $Tv_n = 0$ , then we are done. If not then extend  $(Tv_n)$ 

**SOLUTION:** If  $Tv_1 = 0$ , then we are done. If not then extend  $(Tv_1)$ .  $\begin{pmatrix} 0 \end{pmatrix}$ 

**5** Suppose  $(w_1, ..., w_n)$  is a basis of W and V is finite-dim. Suppose  $T \in \mathcal{L}(V, W)$ . Prove that there exists a basis  $(v_1, ..., v_m)$  of V such that

$$[letting\ A=\mathcal{M}\ (T,(v_1,\ldots,v_m),(w_1,\ldots,w_n))], A_{1,\cdot}=\begin{pmatrix}0&\cdots&0\end{pmatrix} or\ \begin{pmatrix}1&0&\cdots&0\end{pmatrix}.$$

SOLUTION:

Let  $(u_1, \dots, u_m)$  be a basis of V. If  $A_{1,\cdot} = 0$ , then let  $v_i = u_i$  for each  $i = 1, \dots, n$ , we are done. Otherwise,  $\begin{pmatrix} A_{1,1} & \cdots & A_{1,m} \end{pmatrix} \neq 0$ , choose one  $A_{1,k} \neq 0$ .

Let 
$$v_1 = \frac{u_k}{A_{1,k}}$$
;  $v_j = u_{j-1} - A_{1,j-1}v_1$  for  $j = 2, ..., k$ ;  $v_i = u_i - A_{1,i}v_1$  for  $i = k+1, ..., n$ .

**6** Suppose V and W are finite-dim and  $T \in \mathcal{L}(V, W)$ . Prove that dim range T = 1 if and only if there exist a basis of V and a basis of W such that with resp to these bases, all entries of  $A = \mathcal{M}(T)$  equal 1.

**SOLUTION**: Denote the bases of V and W by  $B_V = (v_1, \dots, v_n)$  and  $B_W = (w_1, \dots, w_m)$  respectively.

- (a) Suppose  $B_V$ ,  $B_W$  are the bases such that all entries of A equal 1. Then  $Tv_i = w_1 + \cdots + w_m$  for all  $i = 1, \dots, n$ . Hence dim range T = 1.
- (b) Suppose dim range T=1. Then dim null  $T=\dim V-1$ . Let  $(u_2,\ldots,u_n)$  be a basis of null T. Extend it to a basis of V as  $(u_1,u_2,\ldots,u_n)$ . Let  $w_1=Tv_1-w_2-\cdots-w_m$ . Extend it to  $B_W$  the basis of W. Let  $v_1=u_1,v_i=u_1+u_i$ . Extend it to  $B_V$  the basis of V.
- **12** *Give an example of 2-by-2 mtcs A and B such that AB*  $\neq$  *BA.*

Solution: 
$$\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}; \qquad \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}.$$

13 Prove that the distr property holds for matrix add and matrix multi. In other words, suppose A, B, C, D, E and F are matrices whose sizes are such that A(B+C) and (D+E)F make sense. Explain why AB+AC and DF+EF both make sense and prove that.

**SOLUTION:** Using [3.36], [3.43].

(a) Left distr: Suppose  $A \in \mathbf{F}^{m,n}$  and  $B, C \in \mathbf{F}^{n,p}$ .

Because 
$$[A(B+C)]_{j,k} = \sum_{r=1}^{n} A_{j,r}(B+C)_{r,k} = \sum_{r=1}^{n} (A_{j,r}B_{r,k} + A_{j,r}C_{r,k}).$$
  
Hence we conclude that  $A(B+C) = AB + AC.$ 

OR. Let  $(e_1, ..., e_M)$  be the standard basis of  $\mathbf{F}^M$ , where  $M = \max\{m, n, p\}$ .

Suppose  $T \in \mathcal{L}(\mathbf{F}^n, \mathbf{F}^m)$  such that  $Te_k = \sum_{j=1}^m A_{j,k} e_j$  for each k = 1, ..., n. Then  $\mathcal{M}(T) = A$ .

Similarly, define S, R such that  $\mathcal{M}(S) = B$ ,  $\mathcal{M}(R) = C$ .

Thus 
$$T(S+R) = TS + TR$$
  $\Rightarrow \mathcal{M}(T(S+R)) = \mathcal{M}(TS+TR)$   
 $\Rightarrow \mathcal{M}(T) [\mathcal{M}(S) + \mathcal{M}(R)] = \mathcal{M}(T)\mathcal{M}(S) + \mathcal{M}(T)\mathcal{M}(R)$   
 $\Rightarrow A(B+C) = AB + AC.$ 

Suppose 
$$\mathcal{M}(T) = D$$
,  $\mathcal{M}(S) = E$ ,  $\mathcal{M}(R) = F$ .  
Then  $(T + S)R = TR + SR$ 

Right distr. Similarly 
$$\rightarrow \mathcal{M}((T+S)R) - \mathcal{M}(TR) + \mathcal{M}(TR)$$

(b) Right distr: Similarly.  $\Rightarrow \mathcal{M}((T+S)R) = \mathcal{M}(TR) + \mathcal{M}(SR)$ 

$$\Rightarrow \left[ \mathcal{M}(T) + \mathcal{M}(S) \right] \mathcal{M}(R) = \mathcal{M}(T) \mathcal{M}(R) + \mathcal{M}(S) \mathcal{M}(R)$$

$$\Rightarrow (D+E)F = DF + EF.$$

**14** *Prove that matrix multi is associ. In other words,* suppose A, B and C are mtcs whose sizes are such that (AB)C makes sense. Explain why A(BC) makes sense and prove that (AB)C = A(BC).

#### **SOLUTION:**

Because 
$$[(AB)C]_{j,k} = (AB)_{j,k}C_{\cdot,k} = \sum_{s=1}^{n} (A_{j,s}B_{s,\cdot})C_{\cdot,k} = \sum_{s=1}^{n} A_{j,s}(B_{s,\cdot}C_{\cdot,k}) = \sum_{s=1}^{n} A_{j,s}(BC)_{s,k} = A(BC)_{j,k}$$
  
Hence we conclude that  $(AB)C = A(BC)$ .

OR. Suppose  $A \in \mathbb{F}^{m,n}$ ,  $B \in \mathbb{F}^{n,p}$ ,  $C \in \mathbb{F}^{p,s}$ .

Let  $(e_1, ..., e_M)$  be the standard basis of  $\mathbf{F}^M$ , where  $M = \max\{m, n, p, s\}$ .

Suppose  $T \in \mathcal{L}(\mathbf{F}^n, \mathbf{F}^m)$  such that  $Te_k = \sum_{i=1}^m A_{j,k} e_j$  for each k = 1, ..., n. Then  $\mathcal{M}(T) = A$ .

Similarly, define S, R such that  $\mathcal{M}(S) = B$ ,  $\mathcal{M}(R) = C$ .

Hence 
$$(TS)R = T(SR) \Rightarrow \mathcal{M}((TS)R) = \mathcal{M}(T(SR))$$
  

$$\Rightarrow [\mathcal{M}(T)\mathcal{M}(S)] \mathcal{M}(R) = \mathcal{M}(T) [\mathcal{M}(S)\mathcal{M}(R)]$$

$$\Rightarrow (AB)C = A(BC).$$

**15** Suppose A is an n-by-n matrix and  $1 \le j, k \le n$ .

Show that the entry in row j, col k, of  $A^3$ 

(which is defined to mean AAA) is  $\sum_{n=1}^{n} \sum_{r=1}^{n} A_{j,p} A_{p,r} A_{r,k}$ .

**SOLUTION:** 

$$(AAA)_{j,k} = (AA)_{j,k} A_{\cdot,k} = \sum_{p=1}^{n} (A_{j,p}A_{p,\cdot})A_{\cdot,k} = \sum_{p=1}^{n} \sum_{r=1}^{n} A_{j,p}A_{p,r}A_{r,k}.$$

OR. 
$$(AAA)_{j,k} = \sum_{r=1}^{n} (AA)_{j,r} A_{r,k} = \sum_{r=1}^{n} (\sum_{p=1}^{n} A_{j,p} A_{p,r}) A_{r,k}$$
  

$$= \sum_{r=1}^{n} (A_{j,1} A_{1,r} A_{r,k} + \dots + A_{j,n} A_{n,r} A_{r,k})$$

$$= A_{j,1} \sum_{r=1}^{n} A_{1,r} A_{r,k} + \dots + A_{j,n} \sum_{r=1}^{n} A_{n,r} A_{r,k} = \sum_{v=1}^{n} \sum_{r=1}^{n} A_{j,v} A_{p,r} A_{r,k}.\square$$

**ENDED** 

# 3.D

• Suppose  $T \in \mathcal{L}(V, W)$  is inv. Show that  $T^{-1}$  is inv and  $(T^{-1})^{-1} = T$ .

SOLUTION: 
$$TT^{-1} = (T^{-1})^{-1}T^{-1} = I \in \mathcal{L}(V)$$
  $T^{-1}T = T^{-1}(T^{-1})^{-1} = I \in \mathcal{L}(W)$   $T^{-1}T = T^{-1}(T^{-1})^{-1} = I \in \mathcal{L}(W)$ 

**1** Suppose  $T \in \mathcal{L}(U, V)$  and  $S \in \mathcal{L}(V, W)$  are both inv linear maps.

Prove that  $ST \in \mathcal{L}(U, W)$  is inv and that  $(ST)^{-1} = T^{-1}S^{-1}$ .

SOLUTION: 
$$(ST)(T^{-1}S^{-1}) = STT^{-1}S^{-1} = I \in \mathcal{L}(W) \atop (T^{-1}S^{-1})(ST) = T^{-1}S^{-1}ST = I \in \mathcal{L}(V)$$
  $\Rightarrow (ST)^{-1} = T^{-1}S^{-1}$ , by the uniques of inverse.

**9** Suppose V is finite-dim and  $S, T \in \mathcal{L}(V)$ .

*Prove that ST is inv*  $\iff$  *S and T are inv.* 

#### **SOLUTION:**

Suppose *S*, *T* are inv. Then  $(ST)(T^{-1}S^{-1}) = (T^{-1}S^{-1})(ST) = I$ . Hence *ST* is inv.

Suppose ST is inv. Let  $R = (ST)^{-1} \Rightarrow R(ST) = (ST)R = I$ .

$$Tv = 0 \Rightarrow v = R(ST)v = RS(Tv) = 0 \\ \forall v \in V, v = (ST)Rv = S(TRv) \in \text{range } S \end{cases} \Rightarrow T \text{ is inje, } S \text{ is surj.}$$
Notice that  $V$  is finite-dim. Hence  $S, T$  are inv.

OR. Suppose  $ST$  is inv but  $S$  or  $T$  is not inv ( $\Rightarrow$  not surj and inje).

If  $S$  is not inv then dim range  $S < \dim V$  and by Problem (23) in (3.B), dim range  $ST \le \dim V$  and by Problem (23) in (3.B), dim range  $ST \le \dim V$  and  $ST$  is not surj. Contradicts.

If  $T$  is not inv then dim range  $S$  of  $S$  is not surj. Contradicts.

10 Suppose  $ST$  is finite-dim and  $S$ ,  $S$  is not surj. Contradicts.

Solution:

Suppose  $ST$  is finite-dim and  $S$ ,  $S$  is  $S$  in  $S$  is inje,  $S$  is surj.

Notice that  $S$  is finite-dim. Thus  $S$  is a rince  $S$  is inv.

OR. By Problem (9),  $S$  is finite-dim and  $S$  is inv.

OR.  $ST$  is  $ST$  is  $ST$  in  $ST$  is  $ST$  in  $ST$ 

**11** Suppose V is finite-dim and S, T,  $U \in \mathcal{L}(V)$  and STU = I. Show that T is inv and that  $T^{-1} = US$ .

**SOLUTION:** Using Problem (9) and (10).

$$(ST)U = U(ST) = (US)T = T(US) = S(TU) = (TU)S = I.$$
  
 $\Rightarrow U^{-1} = ST, \quad T^{-1} = US, \quad S^{-1} = TU.$ 

**12** *Show that the result in Exercise* 11 *can fail without the hypothesis that V is finite-dim.* 

#### **SOLUTION:**

$$\text{Let } V = \mathbf{R}^{\infty}, S(a_1, a_2, \dots) = (a_2, \dots), T(a_1, \dots) = (0, a_1, \dots), U = I. \text{ Then } STU = I \text{ but } T^{-1} \text{ is not inv.}$$

**13** Suppose V is finite-dim and R, S,  $T \in \mathcal{L}(V)$  are such that RST is surj. *Prove that S is inje.* 

**SOLUTION**: By Problem (1) and (9), Notice that V is finite-dim. Then RST is inv.

$$(RST)^{-1} = ((RS)T)^{-1} = T^{-1}(RS)^{-1} = T^{-1}S^{-1}R^{-1}.$$

OR. Let 
$$X = (RST)^{-1}$$
  $Tv = 0 \Rightarrow v = X(RSTv) = 0 \Rightarrow T$  is inje, and therefore is inv.  $\forall v \in V, v = (RST)Xv \in \text{range } R \Rightarrow R$  is surj, and therefore is inv.

Thus 
$$S = R^{-1}(RST)T^{-1}$$
 is inv.

**15** Prove that every linear map from  $\mathbf{F}^{n,1}$  to  $\mathbf{F}^{m,1}$  is given by a matrix multi. In other words, prove that if  $T \in \mathcal{L}(\mathbf{F}^{n,1}, \mathbf{F}^{m,1})$ , then  $\exists A \in \mathbf{F}^{m,n}, Tx = Ax, \forall x \in \mathbf{F}^{n,1}$ .

#### **SOLUTION:**

Let 
$$E_i \in \mathbf{F}^{n,1}$$
 for each  $i = 1, ..., n$  (where  $M = \max\{m, n\}$ ) be such that  $(E_i)_{j,1} = \begin{cases} 0, & i \neq j \\ 1, & i = j \end{cases}$ 

Then  $(E_1, ..., E_n)$  is linely inde and thus is a basis of  $\mathbf{F}^{n,1}$ .

Similarly, let  $(R_1, ..., R_m)$  be a basis of  $\mathbf{F}^{m,1}$ .

Suppose  $T(E_i) = A_{1,i}R_1 + \dots + A_{m,i}R_m$  for each  $i = 1, \dots, n$ . Hence by letting  $A = \begin{pmatrix} A_{1,1} & \dots & A_{1,n} \\ \vdots & \ddots & \vdots \\ A_{m,n} & A_{m,n} \end{pmatrix}$ .  $\square$ **COMMENT**:  $\mathcal{M}(T) = A$ . Conversely it is true as well.

• Or. (10.A.2) Suppose  $A, B \in \mathbf{F}^{n,n}$ . Prove that  $AB = I \iff BA = I$ .

**SOLUTION:** Using Problem (10) and (15).

Define  $T, S \in \mathcal{L}(\mathbf{F}^{n,1}, \mathbf{F}^{n,1})$  by Tx = Ax, Sx = Bx for all  $x \in \mathbf{F}^{n,1}$ . Then  $\mathcal{M}(T) = A, \mathcal{M}(S) = B$ .

Thus  $AB = I \Leftrightarrow A(Bx) = x \Leftrightarrow T(Sx) = x \Leftrightarrow TS = I \Leftrightarrow ST = I \Leftrightarrow \mathcal{M}(S)\mathcal{M}(T) = BA = I.\Box$ 

• Note For [3.60]: Suppose  $(v_1, ..., v_n)$  is a basis of V and  $(w_1, ..., w_m)$  is a basis of W.

Define 
$$E_{i,j} \in \mathcal{L}(V, W)$$
 by  $E_{i,j}(v_x) = \delta_{ix}w_j$ ;  $\delta_{ix} = \begin{cases} 0, & i \neq x \\ 1, & i = x \end{cases}$  Corollary:  $E_{l,k}E_{i,j} = \delta_{jl}E_{i,k}$ .

Denote  $\mathcal{M}(E_{i,j})$  by  $\mathcal{E}^{(j,i)}$ .  $(\mathcal{E}^{(j,i)})_{l,k} = \begin{cases} 0, & i \neq k \text{ or } j \neq l \\ 1, & i = k \text{ and } j = l \end{cases}$ 

Denote 
$$\mathcal{M}(E_{i,j})$$
 by  $\mathcal{E}^{(j,i)}$ .  $(\mathcal{E}^{(j,i)})_{l,k} = \begin{cases} 0, & i \neq k \text{ or } j \neq l \\ 1, & i = k \text{ and } j = l \end{cases}$ 

Because  $\mathcal{L}(V,W)$  and  $\mathbf{F}^{m,n}$  are iso. And  $T=\mathcal{M}^{-1}\mathcal{M}(T)$ ,  $E_{i,j}=\mathcal{M}^{-1}\mathcal{E}^{(j,i)}$ .

$$\text{Hence } \forall T \in \mathcal{L}(V,W), \ \exists \,!\, A_{i,j} \in \mathbb{F}(\ \forall i \in \{1,\ldots,m\}, j \in \{1,\ldots,n\}\,), \\ \mathcal{M}(T) = A \ = \begin{pmatrix} A_{1,1} & \cdots & A_{1,n} \\ \vdots & \ddots & \vdots \\ A_{m,1} & \cdots & A_{m,n} \end{pmatrix}.$$

$$\text{Thus}\, A = \begin{pmatrix} A_{1,1}\mathcal{E}^{(1,1)} + & \cdots & +A_{1,n}\mathcal{E}^{(1,n)} \\ + & \cdots & + \\ \vdots & \ddots & \vdots \\ + & \cdots & + \\ A_{m,1}\mathcal{E}^{(m,1)} + & \cdots & +A_{m,n}\mathcal{E}^{(m,n)} \end{pmatrix} \\ \Longleftrightarrow T = \begin{pmatrix} A_{1,1}E_{1,1} + & \cdots & +A_{1,n}E_{n,1} \\ + & \cdots & + \\ \vdots & \ddots & \vdots \\ + & \cdots & + \\ A_{m,1}E_{1,m} + & \cdots & +A_{m,n}E_{n,m} \end{pmatrix}.$$

$$\therefore \mathcal{L}(V, W) = \operatorname{span}\left(\underbrace{\begin{bmatrix} E_{1,1}, & \cdots & , E_{n,1}, \\ \vdots & \ddots & \vdots \\ E_{1,m}, & \cdots & , E_{n,m} \end{bmatrix}}_{B}; \quad \mathbf{F}^{m,n} = \operatorname{span}\left(\underbrace{\begin{bmatrix} \mathcal{E}^{(1,1)}, & \cdots & , \mathcal{E}^{(1,n)}, \\ \vdots & \ddots & \vdots \\ \mathcal{E}^{(m,1)}, & \cdots & , \mathcal{E}^{(m,n)} \end{bmatrix}}_{B_{m}}.$$

Hence by [2.42] and [3.61], we conclude that B is a basis of  $\mathcal{L}(V, W)$  and that  $B_M$  is a basis of  $\mathbf{F}^{m,n}$ .

 $\circ$  Suppose V is finite-dim and  $S \in \mathcal{L}(V)$ .

Define  $A \in \mathcal{L}(\mathcal{L}(V))$  by  $\mathcal{A}(T) = ST$  for  $T \in \mathcal{L}(V)$ .

- (a) Show that dim null  $A = (\dim V)(\dim \text{null } S)$ .
- (b) Show that dim range  $A = (\dim V)(\dim \operatorname{range} S)$ .

#### **SOLUTION:**

- (a) For all  $T \in \mathcal{L}(V)$ ,  $ST = 0 \iff \text{range } T \subseteq \text{null } S$ . Thus  $\text{null } \mathcal{A} = \mathcal{L}(V, \text{null } S)$ .
- (b) For all  $R \in \mathcal{L}(V)$ , range  $R \subseteq \text{range } S \iff \exists T \in \mathcal{L}(V), R = ST$ . (By Problem (25) in 3.B) Thus range  $\mathcal{A} = \mathcal{L}(V, \text{range } S)$ .

OR. Using Note For [3.60].

Let  $(w_1, ..., w_m)$  be a basis of range S, extend it to a basis of V as  $(w_1, ..., w_m, ..., w_n)$ .

Let  $v_i \in V$  such that  $Sv_i = w_i$  for m = 1, ..., m. Extend  $(v_1, ..., v_m)$  to a basis of V as  $(v_1, ..., v_m, ..., v_n)$ . Define  $E_{i,j} \in \mathcal{L}(V)$  by  $E_{i,j}(v_x) = \delta_{ix}w_i$ .

$$\text{Thus } S = E_{1,1} + \dots + E_{m,m}; \quad \mathcal{M}\left(S, (v_1, \dots, v_n), (w_1, \dots, w_n)\right) = \begin{pmatrix} 1 & 0 & \cdots & 0 & 0 & \cdots & 0 \\ 0 & 1 & \cdots & 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 1 & 0 & \cdots & 0 \\ 0 & 0 & \cdots & 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 & 0 & \cdots & 0 \end{pmatrix}$$

Define  $R_{i,j} \in \mathcal{L}(V)$  by  $R_{i,j}(w_x) = \delta_{ix}v_i$ .

Define 
$$R_{i,j} \in \mathcal{L}(V)$$
 by  $R_{i,j}(w_x) = \delta_{ix}v_i$ .  
Let  $E_{j,k}R_{i,j} = Q_{i,k}$ ,  $R_{j,k}E_{i,j} = G_{i,k}$ 

$$Because \ \forall T \in \mathcal{L}(V), \quad \exists \,! A_{i,j} \in \mathbf{F}(\ \forall i,j=1,\ldots,n), \quad T = \begin{pmatrix} A_{1,1}R_{1,1} + & \cdots & +A_{1,m}R_{m,1} + & \cdots & +A_{1,n}R_{n,1} \\ + & \cdots & + & \cdots & + \\ \vdots & \ddots & \vdots & \ddots & \vdots \\ + & \cdots & + & \cdots & +A_{m,m}R_{m,m} + & \cdots & +A_{m,n}R_{n,m} \\ + & \cdots & + & \cdots & + \\ \vdots & \ddots & \vdots & \ddots & \vdots \\ + & \cdots & + & \cdots & +A_{n,n}R_{n,n} \end{pmatrix}.$$

$$\Rightarrow \mathcal{A}(T) = ST = (\sum_{r=1}^{m} E_{r,r}) (\sum_{i=1}^{n} \sum_{j=1}^{n} A_{i,j} R_{j,i}) = \sum_{i=1}^{m} \sum_{j=1}^{n} A_{i,j} Q_{j,i} = \begin{pmatrix} A_{1,1} Q_{1,1} + & \cdots & + A_{1,m} Q_{m,1} + & \cdots & + A_{1,n} Q_{n,1} \\ + & \cdots & + & \cdots & + \\ \vdots & \ddots & \vdots & \ddots & \vdots \\ + & \cdots & + & \cdots & + \\ A_{m,1} Q_{1,m} + & \cdots & + A_{m,m} Q_{m,m} + & \cdots & + A_{m,n} Q_{n,m} \end{pmatrix}.$$

Thus null 
$$\mathcal{A} = \operatorname{span}\begin{pmatrix} R_{1,m+1}, & \cdots & R_{n,m+1}, \\ \vdots & \ddots & \vdots \\ R_{1,n}', & \cdots & R_{n,n}' \end{pmatrix}$$
, range  $\mathcal{A} = \operatorname{span}\begin{pmatrix} Q_{1,1}, & \cdots & Q_{n,1}, \\ \vdots & \ddots & \vdots \\ Q_{1,m}', & \cdots & Q_{n,m}' \end{pmatrix}$ .

Hence (a) dim null  $A = n \times (n - m)$ ; (b) dim range  $A = n \times m$ .

**COMMENT:** Define  $\mathcal{B} \in \mathcal{L}(\mathcal{L}(V))$  by  $\mathcal{B}(T) = TS$  for  $T \in \mathcal{L}(V)$ .

Similarly, 
$$\mathcal{B}(T) = TS = (\sum_{i=1}^{n} \sum_{j=1}^{n} A_{i,j} R_{j,i}) (\sum_{r=1}^{m} E_{r,r}) = \sum_{i=1}^{n} \sum_{j=1}^{m} A_{i,j} G_{j,i} = \begin{pmatrix} A_{1,1} G_{1,1} + & \cdots & + A_{1,m} G_{m,1} \\ + & \cdots & + \\ \vdots & \ddots & \vdots \\ + & \cdots & + \\ A_{m,1} G_{1,m} + & \cdots & + A_{m,m} G_{m,m} \\ + & \cdots & + \\ \vdots & \ddots & \vdots \\ + & \cdots & + \\ A_{n,1} G_{1,n} + & \cdots & + A_{n,m} G_{m,n} \end{pmatrix}.$$

• Or. (10.A.1) Suppose  $T \in \mathcal{L}(V)$  and  $(v_1, ..., v_n)$  is a basis of V. *Prove that*  $\mathcal{M}(T,(v_1,\ldots,v_n))$  *is inv*  $\iff$  T *is inv*.

#### **SOLUTION:**

Notice that  $\mathcal{M}$  is an iso of  $\mathcal{L}(V)$  onto  $\mathbf{F}^{n,n}$ .

(a) 
$$T^{-1}T=TT^{-1}=I\Rightarrow \mathcal{M}(T^{-1})\mathcal{M}(T)=\mathcal{M}(T)\mathcal{M}(T^{-1})=I\Rightarrow \mathcal{M}(T^{-1})=\mathcal{M}(T)^{-1}.$$

(b) 
$$\mathcal{M}(T)\mathcal{M}(T)^{-1} = \mathcal{M}(T)^{-1}\mathcal{M}(T) = I$$
.  $\exists ! S \in \mathcal{L}(V)$  such that  $\mathcal{M}(T)^{-1} = \mathcal{M}(S)$ 

$$\Rightarrow \mathcal{M}(T)\mathcal{M}(S) = \mathcal{M}(S)\mathcal{M}(T) = I = \mathcal{M}(TS) = \mathcal{M}(ST)$$

$$\Rightarrow \mathcal{M}^{-1}\mathcal{M}(TS) = \mathcal{M}^{-1}\mathcal{M}(ST) = I = TS = ST \Rightarrow S = T^{-1}.$$

• Or. (10.A.4) Suppose that  $(\beta_1, ..., \beta_n)$  and  $(\alpha_1, ..., \alpha_n)$  are bases of V. Let  $T \in \mathcal{L}(V)$  be such that  $Tv_k = u_k$  for each k = 1, ..., n.

*Prove that*  $\mathcal{M}(T,(\alpha_1,\ldots,\alpha_n)) = \mathcal{M}(I,(\beta_1,\ldots,\beta_n),(\alpha_1,\ldots,\alpha_n)).$ 

#### **SOLUTION:**

For ease of notation, let  $\mathcal{M}(T,\alpha\to\beta)=\mathcal{M}\left(T,(\alpha_1,\ldots,\alpha_n),(\beta_1,\ldots,\beta_n)\right),\ \mathcal{M}\left(T,\alpha\to\alpha\right)=\mathcal{M}(T,(\alpha_1,\ldots,\alpha_n))$ 

Denote  $\mathcal{M}(T, \alpha \to \alpha)$  by A and  $\mathcal{M}(I, \beta \to \alpha)$  by B.

$$\forall k \in \{1, \dots, n\}, Iu_k = u_k = B_{1,k}\alpha_1 + \dots + B_{n,k}\alpha_n = Tv_k = A_{1,k}\alpha_1 + \dots + A_{n,k}\alpha_n \Rightarrow A = B. \qquad \Box$$

Or. Note that  $\mathcal{M}(T, \alpha \to \beta)$  is the identity matrix.

$$\mathcal{M}(T,\alpha \to \alpha) = \mathcal{M}(I,\beta \to \alpha) \underbrace{\mathcal{M}(T,\alpha \to \beta)}_{=\mathcal{M}(I,\beta \to \beta)} = \mathcal{M}(I,\beta \to \alpha).$$

Or. Note that  $\mathcal{M}(T, \beta \to \beta)\mathcal{M}(I, \alpha \to \beta) = \mathcal{M}(T, \alpha \to \beta) = I$ .

$$\mathcal{M}(T,\alpha\to\alpha)=\mathcal{M}(I,\alpha\to\beta)^{-1}[\underbrace{\mathcal{M}(T,\beta\to\beta)\mathcal{M}(I,\alpha\to\beta)}]=\mathcal{M}(I,\beta\to\alpha).$$

**COMMENT:** Denote  $\mathcal{M}(T, \beta \to \beta)$  by A'.

$$u_k = Iu_k = B_{1,k}\alpha_1 + \dots + B_{n,k}\alpha_n, \ \forall \ k \in \{1, \dots, n\}.$$

$$\nabla Tu_k = T(B_{1,k}\alpha_1 + \dots + B_{n,k}\alpha_n) = B_{1,k}\beta_1 + \dots + B_{n,k}\beta_n = A'_{1,k}\beta_1 + \dots + A'_{n,k}\beta_n \Rightarrow A' = B.$$

Or. 
$$\mathcal{M}(T, \beta \to \beta) = \mathcal{M}(T, \alpha \to \beta)\mathcal{M}(I, \beta \to \alpha) = B$$
.

## **16** Suppose V is finite-dim and $S \in \mathcal{L}(V)$ .

*Prove that*  $\exists \lambda \in \mathbf{F}, S = \lambda I \iff ST = TS$  *for every*  $T \in \mathcal{L}(V)$ .

**SOLUTION**: Using the notation and result in (o).

Suppose  $S = \lambda I$ . Then  $ST = TS = \lambda T$  for every  $T \in \mathcal{L}(V)$ . Conversely, if S = 0, then we are done.

Suppose  $S \neq 0$ , ST = TS,  $\forall T \in \mathcal{L}(V)$ .

Let 
$$S = E_{1,1} + \dots + E_{m,m} \Rightarrow \mathcal{M}(S, (v_1, \dots, v_1)) = \mathcal{M}(I, (w_1, \dots, w_n), (v_1, \dots, v_n)).$$

Then  $\forall k \in \{m+1,...,n\}, 0 \neq SR_{k,1} = R_{k,1}S$ . Hence  $n = \dim V = \dim \operatorname{range} S = m$ .

Note that  $R_{i,j}S = SR_{i,j} \iff Q_{i,j} = G_{i,j}$ . Thus  $Q_{i,j}(w_i) = w_j = a_{i,i}v_j = G_{i,j}(a_{1,i}v_1 + \dots + a_{n,i}v_n)$ . Where:

$$a_{i,j} = \mathcal{M}\left(I, (w_1, \dots, w_n), (v_1, \dots, v_n)\right)_{i,j} \Longleftrightarrow w_i = Iw_i = a_{1,i}v_1 + \dots + a_{n,i}v_n;$$

For each j, for all i. Thus  $a_{i,i} = a_{k,k} = \lambda$ ,  $\forall k \neq i$ .

Hence 
$$w_i = \lambda v_i \Rightarrow \mathcal{M}(S) = \begin{pmatrix} \lambda & 0 \\ & \ddots \\ 0 & \lambda \end{pmatrix} = \mathcal{M}\left(\lambda I, (v_1, \dots, v_n)\right) \Rightarrow S = \mathcal{M}^{-1}\left(\mathcal{M}(\lambda I)\right) = \lambda I.$$

# • Or. (10.A.3) Suppose V is finite-dim and $T \in \mathcal{L}(V)$ .

Prove that T has the same matrix with resp to every basis of V if and only if T is a scalar multi of the identity operator.

**SOLUTION:** [ Compare with the first solution of Problem (16) in (3.A) ]

Suppose  $T = \lambda I$  for some  $\lambda \in \mathbf{F}$ . Then T has the same matrix with resp to every basis of V.

Conversely, if T = 0, then we are done; Suppose  $T \neq 0$ . And v is a nonzero vec in V.

Assume that (v, Tv) is linely inde.

Extend (v, Tv) to a basis of V as  $(v, Tv, u_3, ..., u_n)$ . Let  $B = \mathcal{M}(T, (v, Tv, u_3, ..., u_n))$ .

$$\Rightarrow Tv = B_{1,1}v + B_{2,1}(Tv) + B_{3,1}u_3 + \dots + B_{n,1}u_n \Rightarrow B_{2,1} = 1, B_{i,1} = 0, \ \forall i \neq 2.$$

By assumption,  $A = \mathcal{M}(T, (v, w_2, ..., w_n)) = B$  for any basis  $(v, w_2, ..., w_n)$ . Then  $A_{2,1} = 1, A_{i,1} = 0$  (...).

 $\Rightarrow$   $Tv = w_2$ , which is not true if we let  $w_2 = u_3$ ,  $w_3 = Tv$ ,  $w_j = u_j$  (j = 4, ..., n). Contradicts.

Hence (v, Tv) is linely depe  $\Rightarrow \forall v \in V, \exists \lambda_v \in F, Tv = \lambda_v v$ .

Now we show that  $\lambda_v$  is independent of v, that is,

to show that for any two nonzero distinct vecs  $v, w \in V, \lambda_v = \lambda_w$ . Thus  $T = \lambda I, \exists \lambda \in F$ .

```
(v, w) is linely inde \Rightarrow T(v + w) = \lambda_{v+w}(v + w) = \lambda_{v+w}v + \lambda_{v+w}w
          = \lambda_{v}v + \lambda_{w}w = Tv + Tw \Rightarrow \lambda_{v+w} = \lambda_{v} = \lambda_{w}
= \lambda_{v}v + \lambda_{w}w = Tv + Tw \Rightarrow \lambda_{v+w} = \lambda_{v} = \lambda_{w}
(v, w) \text{ is linely depe, } w = cv \Rightarrow Tw = \lambda_{w}w = \lambda_{w}cv = c\lambda_{v}v = T(cv) \Rightarrow \lambda_{v} = \lambda_{w}
   Or. Conversely, denote \mathcal{M}(T,(u_1,\ldots,u_m)) by A, where the basis (u_1,\ldots,u_m) is arbitrary.
   Fix one basis (v_1, \dots, v_m) and then (v_1, \dots, \frac{1}{2}v_k, \dots, v_m) is also a basis for any given k \in \{1, \dots, m\}.
   Fix one k. Now we have T(\frac{1}{2}v_k) = A_{1,k}v_1 + \dots + A_{k,k}(\frac{1}{2}v_k) + \dots + A_{m,k}v_m
   \Rightarrow Tv_k = 2A_{1,k}v_1 + \dots + A_{k,k}v_k + \dots + 2A_{m,k}v_m = A_{1,k}v_1 + \dots + A_{k,k}v_k + \dots + A_{m,k}v_m.
   Then A_{i,k} = 2A_{i,k} \Rightarrow A_{i,k} = 0 for all j \neq k. Thus Tv_k = A_{k,k}v_k, \forall k \in \{1, ..., m\}.
   Now we show that A_{k,k} = A_{j,j} for all j \neq k. Choose j,k such that j \neq k.
   Consider the basis (v'_1, \ldots, v'_i, \ldots, v'_k, \ldots, v'_m),
                   where v'_{i} = v_{k}, v_{k}' = v_{i} and v'_{i} = v_{i} for all i \in \{1, ..., m\} \setminus \{j, k\}.
   Remember that \mathcal{M}\left(T,\left(v_{1}^{\prime},\ldots,v_{m}^{\prime}\right)\right)=\mathcal{M}\left(T,\left(v_{1},\ldots,v_{m}\right)\right)=A.
   Hence T(v'_k) = A_{1,k}v'_1 + \dots + A_{k,k}v'_k + \dots + A_{m,k}v'_m = A_{k,k}v'_k = A_{k,k}v_j, while T(v'_k) = T(v_j) = A_{i,j}v_j.
   Thus A_{k,k} = A_{i,i}.
17 Suppose V is finite-dim. Show that the only two-sided ideals of \mathcal{L}(V) are \{0\} and \mathcal{L}(V).
     A subsp \mathcal{E} of \mathcal{L}(V) is called a two-sided ideal of \mathcal{L}(V) if TE \in \mathcal{E}, ET \in \mathcal{E}, \forall E \in \mathcal{E}, T \in \mathcal{L}(V).
SOLUTION: Using NOTE FOR[3.60]. Let (v_1, ..., v_n) be a basis of V. If \mathcal{E} = 0, then we are done.
   Suppose \mathcal{E} \neq 0 and \mathcal{E} is a two-sided ideal of \mathcal{L}(V).
   Then for any E_{i,j} \in \mathcal{E}, (\forall x, y = 1, ..., n), by assumption, E_{i,x}E_{i,j} = E_{i,x} \in \mathcal{E}, E_{i,j}E_{y,i} = E_{y,j} \in \mathcal{E}.
   Again, E_{y,x'}, E_{y',x} \in \mathcal{E} for all x', y', x, y = 1, ..., n. Thus \mathcal{E} = \mathcal{L}(V).
                                                                                                                                                                   18 Show that V and \mathcal{L}(\mathbf{F}, V) are iso vecsps.
SOLUTION:
   Define \Psi \in \mathcal{L}(V, \mathcal{L}(\mathbf{F}, V)) by \Psi(v) = \Psi_v; where \Psi_v \in \mathcal{L}(\mathbf{F}, V) and \Psi_v(\lambda) = \lambda v.
   (a) \Psi(v) = \Psi_v = 0 \Rightarrow \forall \lambda \in F, \Psi_v(\lambda) = \lambda v = 0 \Rightarrow v = 0. Hence \Psi is inje.
   (b) \forall T \in \mathcal{L}(\mathbf{F}, V), let v = T(1) \Rightarrow T(\lambda) = \lambda v = \Psi_v(\lambda), \forall \lambda \in \mathbf{F} \Rightarrow T = \Psi(T(1)). Hence \Psi is surj. \square
   Or. Define \Phi \in \mathcal{L}(\mathcal{L}(\mathbf{F}, V), V) by \Phi(T) = T(1).
   (a) Suppose \Phi(T) = 0 = T(1) = \lambda T(1) = T(\lambda), \forall \lambda \in \mathbb{F} \Rightarrow T = 0. Thus \Phi is inje.
   (b) For any v \in V, define T \in \mathcal{L}(\mathbf{F}, V) by T(\lambda) = \lambda v. Then \Phi(T) = T(1) = v. Thus \Phi is surj.
                                                                                                                                                                   Comment: \Phi = \Psi^{-1}.
• Suppose q \in \mathcal{P}(\mathbf{R}). Prove that \exists p \in \mathcal{P}(\mathbf{R}), q(x) = (x^2 + x)p^{''}(x) + 2xp^{'}(x) + p(3), \forall x \in \mathbf{R}.
SOLUTION:
   Note that \deg[(x^2 + x)p''(x) + 2xp'(x) + p(3)] = \deg p.
   Define T_n: \mathcal{P}_n(\mathbf{R}) \to \mathcal{P}_n(\mathbf{R}) by T_n(p) = (x^2 + x)p''(x) + 2xp'(x) + p(3).
   As can be easily checked, T_n is an operator.
   Because \deg(T_n p) = \deg p. If T_n(p) = 0 \Rightarrow \deg(T_n p) = -\infty, then \deg p = -\infty \Rightarrow p = 0.
   Hence T_n is inje and therefore is surj.
   For all q \in \mathcal{P}(\mathbf{R}), if q = 0, let m = 0; if q \neq 0, let m = \deg q. We have q \in \mathcal{P}_m(\mathbf{R}).
   Hence \exists p \in \mathcal{P}_m(\mathbf{R}), q(x) = T_m(p) = (x^2 + x)p''(x) + 2xp'(x) + p(3) for all x \in \mathbf{R}.
```

**19** Suppose  $T \in \mathcal{L}(\mathcal{P}(\mathbf{R}))$  is inje. deg  $Tp \leq \deg p$  for every nonzero  $p \in \mathcal{P}(\mathbf{R})$ .

- (a) *Prove that T is surj.*
- (b) Prove that for every nonzero p,  $\deg Tp = \deg p$ .

#### **SOLUTION:**

- (a) T is inje  $\iff T|_{\mathcal{P}_n(\mathbf{R})}: \mathcal{P}_n(\mathbf{R}) \to \mathcal{P}_n(\mathbf{R})$  is inje for any  $n \in \mathbf{N}^+$   $\iff T|_{\mathcal{P}_n(\mathbf{R})}$  is surj for any  $n \in \mathbf{N}^+ \iff T$  is surj.
- (b) Using mathematical induction.
  - (i)  $\deg p = 0 \Rightarrow p = C \Rightarrow \deg Tp = \deg p = 0$ .  $\deg p = -\infty \Rightarrow p = 0 \Rightarrow \deg Tp = \deg p = -\infty$ .
  - (ii) Suppose  $\deg f = \deg Tf$  for all  $f \in \mathcal{P}_n(\mathbf{R})$ . Then suppose  $\deg g = n+1, g \in \mathcal{P}_{n+1}(\mathbf{R})$ .

Assume that  $\deg Tg < \deg g \ (\Rightarrow \deg Tg \leq n, Tg \in \mathcal{P}_n(\mathbf{R}) \ )$ .

Then by (a),  $\exists f \in \mathcal{P}_n(\mathbf{R}), T(f) = (Tg). \ \ \ \ \ T \text{ is inje} \Rightarrow f = g.$ 

While  $\deg f = \deg Tf = \deg Tg < \deg g$ . Contradicts the assumption.

Hence  $\deg Tp = \deg p$  for all  $p \in \mathcal{P}_{n+1}(\mathbf{R})$ .

Thus  $\deg Tp = \deg p$  for all  $p \in \mathcal{P}(\mathbf{R})$ .

• Suppose  $T \in \mathcal{L}(V)$  and  $(v_1, ..., v_m)$  is a list in V such that  $(Tv_1, ..., Tv_m)$  spans V. Prove that  $(v_1, ..., v_m)$  spans V.

#### **SOLUTION:**

Because  $V = \operatorname{span}(Tv_1, \dots, Tv_m) \Rightarrow T$  is  $\operatorname{surj}_{\mathcal{T}} \setminus V$  is finite-dim  $\Rightarrow T$  is  $\operatorname{inv}_{\mathcal{T}} \Rightarrow T^{-1}$  is inv.

 $\forall v \in V, \ \exists \ a_i \in \mathbf{F}, v = a_1 T v_1 + \dots + a_n T v_n \Rightarrow T^{-1} v = a_1 v_1 + \dots + a_n v_n \Rightarrow \operatorname{range} T^{-1} \subseteq \operatorname{span}(v_1, \dots, v_n). \square$ 

Or. Reduce  $(Tv_1, ..., Tv_n)$  to a basis of V as  $(Tv_{\alpha_1}, ..., Tv_{\alpha_m})$ , where  $m = \dim V$  and  $\alpha_i \in \{1, ..., m\}$ .

Then  $(v_{\alpha_1},\ldots,v_{\alpha_m})$  is linely inde of length m, therefore is a basis of V, contained in the list  $(v_1,\ldots,v_m)$ .  $\square$ 

**2** Suppose V is finite-dim and dim V > 1.

*Prove that the set of non-inv operators on* V *is not a subsp of*  $\mathcal{L}(V)$ *.* 

**SOLUTION**: Denote the set by U.

Suppose dim V = n > 1. Let  $(v_1, ..., v_n)$  be a basis of V.

Define  $S, T \in \mathcal{L}(V)$  by  $S(a_1v_1 + \dots + a_nv_n) = a_1v_1$  and  $T(a_1v_1 + \dots + a_nv_n) = a_2v_1 + \dots + a_nv_n$ .

Hence S + T = I is inv.

Thus *U* is not closed under add and therefore is not a subsp.

**C**OMMENT: If dim V = 1, then  $U = \{0\}$  is a subsp of  $\mathcal{L}(V)$ .

**3** Suppose V is finite-dim, U is a subsp of V, and  $S \in \mathcal{L}(U, V)$ .

*Prove that there exists an inv*  $T \in \mathcal{L}(V, V)$  *such that* 

Tu = Su for every  $u \in U$  if and only if S is inje. [Compare this with (3.A.11).]

## SOLUTION:

- (a) Tu = Su for every  $u \in U \Rightarrow u = T^{-1}Su \Rightarrow S$  is inje. OR.  $\text{null } S = \text{null } T \cap U = \{0\} \cap U = \{0\}$ .
- (b) Suppose  $(u_1, ..., u_m)$  be a basis of U and S is inje  $\Rightarrow (Su_1, ..., Su_m)$  is linely inde in V. Extend these to bases of V as  $(u_1, ..., u_m, v_1, ..., v_n)$  and  $(Su_1, ..., Su_m, w_1, ..., w_n)$ . Define  $T \in \mathcal{L}(V)$  by  $T(u_i) = Su_i$ ;  $Tv_i = w_i$ , for each  $i \in \{1, ..., m\}$ ,  $j \in \{1, ..., n\}$ .
- **4** Suppose that W is finite-dim and  $S, T \in \mathcal{L}(V, W)$ .

*Prove that*  $\operatorname{null} S = \operatorname{null} T(=U) \iff S = ET, \exists inv E \in \mathcal{L}(W).$ 

**SOLUTION:** 

```
Define E \in \mathcal{L}(W) by E(Tv_i) = Sv_i, E(w_i) = x_j, for each i \in \{1, ..., m\}, j \in \{1, ..., n\}. Where:
         Let (Tv_1, ..., Tv_m) be a basis of range T, extend it to a basis of W as (Tv_1, ..., Tv_m, w_1, ..., w_n).
         Let (u_1, \ldots, u_n) be a basis of U. Then by (3.B.Tips), (v_1, \ldots, v_m, u_1, \ldots, u_n) is a basis of V.
                                                                                                                                                                                                                               \therefore E is inv
          \mathbb{X} null S = \text{null } T \Rightarrow V = \text{span}(v_1, \dots, v_m) \oplus \text{null } S \Rightarrow \text{span}(Sv_1, \dots, Sv_m) = \text{range } S.
                                                                                                                                                                                                                               and S = ET.
         And dim range T = \dim \operatorname{range} S = \dim V - \operatorname{null} U = m. Hence (Sv_1, \dots, Sv_m) is a basis of range S.
         Thus we let (Sv_1, ..., Sv_m, x_1, ..., x_n) be a basis of W.
     Conversely, S = ET \Rightarrow \text{null } S = \text{null } ET.
     Then v \in \operatorname{null} ET \iff ET(v) = 0 \iff Tv = 0 \iff v \in \operatorname{null} T. Hence \operatorname{null} ET = \operatorname{null} T = \operatorname{null} S.
                                                                                                                                                                                                                                           5 Suppose that W is finite-dim and S,T \in \mathcal{L}(V,W).
     Prove that range S = \text{range } T(=R) \iff S = TE, \ \exists \ inv \ E \in \mathcal{L}(V).
SOLUTION:
     Define E \in \mathcal{L}(V) as E: v_i \mapsto r_i; u_i \mapsto s_j; for each i \in \{1, ..., m\}, j \in \{1, ..., n\}. Where:
         Let (Tv_1, ..., Tv_m) and (Sr_1, ..., Sr_m) be bases of R such that \forall i, Tv_i = Sr_i.
         Let (u_1, ..., u_n) and (s_1, ..., s_n) be bases of null T and null S respectively.
                                                                                                                                                                         \therefore E is inv and S = TE.
         Thus (v_1, \dots, v_m, u_1, \dots, u_n) and (r_1, \dots, r_m, s_1, \dots, s_n) are bases of V.
     Conversely, S = TE \Rightarrow \text{range } S = \text{range } TE.
     Then w \in \text{range } S \iff \exists v \in V, Sv = TE(v) = T(E(v)) = w \in \text{range } T. Hence range S = \text{range } T.
6 Suppose V and W are finite-dim and S, T \in \mathcal{L}(V, W).
                                                                                                                                                                                [\dim \operatorname{null} S = \dim \operatorname{null} T = n]
     Prove that S = E_2TE_1, \exists inv E_1 \in \mathcal{L}(V), E_2 \in \mathcal{L}(W) \iff \dim \text{null } S = \dim \text{null } T.
SOLUTION:
     Define E_1: v_i \mapsto r_i; u_i \mapsto s_i; for each i \in \{1, ..., m\}, j \in \{1, ..., n\}.
     Define E_2: Tv_i \mapsto Sr_i; x_j \mapsto y_j; for each i \in \{1, ..., m\}, j \in \{1, ..., n\}. Where:
         Let (Tv_1, ..., Tv_m) and (Sr_1, ..., Sr_m) be bases of range T and range S.
         Let (u_1, ..., u_n) and (s_1, ..., s_n) be bases of null T and null S respectively.
         Thus (v_1, \ldots, v_m, u_1, \ldots, u_n) and (r_1, \ldots, r_m, s_1, \ldots, s_n) are bases of V.
                                                                                                                                                                     |: E_1, E_2 \text{ are inv and } S = E_2 T E_1.
         Extend (Tv_1, ..., Tv_m) and (Sr_1, ..., Sr_m) to bases of W as
                          (Tv_1, ..., Tv_m, x_1, ..., x_p) and (Sr_1, ..., Sr_m, y_1, ..., y_p).
     Conversely, S = E_2 T E_1 \Rightarrow \dim \text{ null } S = \dim \text{ null } E_2 T E_1.
     v \in \text{null } E_2TE_1 \iff E_2TE_1(v) = 0 \iff TE_1(v) = 0. Hence \text{null } E_2TE_1 = \text{null } TE_1 = \text{null } S.
     X \rightarrow By (3.B.22.COROLLARY), E \rightarrow By (3.B.22
                                                                                                                                                                                                                                           8 Suppose V is finite-dim and T: V \to W is a surj linear map of V onto W.
     Prove that there is a subsp U of V such that T|_{U} is an iso of U onto W.
     T|_{U} is the function whose domain is U, with T|_{U} defined by T|_{U}(u) = Tu for every u \in U.
SOLUTION:
     T is surj \Rightarrow range T = W \Rightarrow \dim \operatorname{range} T = \dim W = \dim V - \dim \operatorname{null} T.
     Let (w_1, ..., w_m) be a basis of range T = W \Rightarrow \forall w_i, \exists ! v_i \in V, Tv_i = w_i.
     \Rightarrow (v_1, \dots, v_m) is a basis of \mathcal{K}. Thus dim \mathcal{K} = \dim W.
     Thus T|_{\mathcal{K}} maps a basis of \mathcal{K} to a basis of range T = W. Denote \mathcal{K} by U.
                                                                                                                                                                                                                                           OR. By Problem (12) in (3.B), there is a subsp U of V such that
```

 $U \cap \text{null } T = \{0\} = \text{null } T|_U$ , range  $T = \{Tu : u \in U\} = \text{range } T|_U$ .

• Suppose V and W are finite-dim and U is a subsp of V.

Let  $\mathcal{E} = \{T \in \mathcal{L}(V, W) : U \subseteq \text{null } T\}.$ 

- (a) Show that  $\mathcal{E}$  is a subsp of  $\mathcal{L}(V, W)$ .
- (b) Find a formula for dim  $\mathcal{E}$  in terms of dim V, dim W and dim U.

*Hint:* Define  $\Phi : \mathcal{L}(V, W) \to L(U, W)$  by  $\Phi(T) = T|_U$ . What is null  $\Phi$ ? What is range  $\Phi$ ?

## **SOLUTION:**

- (a)  $\forall S, T \in \mathcal{E}, \lambda \in \mathbf{F}, \forall u \in U, Su = \lambda Tu = (S + \lambda T)u = 0 \Rightarrow (S + \lambda T) \in \mathcal{E}.$
- (b) Define  $\Phi$  as in the hint.

Because  $T \in \text{null } \Phi \Longleftrightarrow \Phi(T) = 0 \Longleftrightarrow \forall u \in U, Tu = 0 \Longleftrightarrow T \in \mathcal{E}$ .

Hence  $\operatorname{null} \Phi = \mathcal{E}$ .

Because  $S \in \mathcal{L}(U, W) \Rightarrow \exists T \in \mathcal{L}(V, W), \Phi(T) = S$ , by (3.B.11)  $\Rightarrow S \in \text{range } T$ .

Hence range  $\Phi = \mathcal{L}(U, W)$ .

Thus  $\dim \operatorname{null} \Phi = \dim \mathcal{E} = \dim \mathcal{L}(V, W) - \dim \operatorname{range} \Phi = (\dim V - \dim U) \dim W$ .

OR. Extend  $(u_1, \dots, u_m)$  a basis of U to  $(u_1, \dots, u_m, v_1, \dots, v_n)$  a basis of V. Let  $p = \dim W$ . ( See Note For [3.60])

$$\forall T \in \mathcal{E}, k \in \{1, \dots, m\}, TE_{k,k} = 0 \Rightarrow \operatorname{span} \left\{ \begin{matrix} E_{1,1}, & \cdots & E_{m,1}, \\ \vdots & \ddots & \vdots \\ E_{1,p}', & \cdots & E_{m,p} \end{matrix} \right\} \cap \mathcal{E} = \{0\}.$$
Denote it by R

$$\mathbb{X} W = \operatorname{span} \left\{ \begin{bmatrix} E_{m+1,1}, & \cdots & , E_{n,1}, \\ \vdots & \ddots & \vdots \\ E_{m+1,p}, & \cdots & , E_{n,p} \end{bmatrix} \subseteq \mathcal{E}. \text{ Where } \mathcal{L}(V,W) = R \oplus W \Rightarrow \mathcal{L}(V,W) = R + \mathcal{E}.$$

Then  $\dim \mathcal{E} = \dim \mathcal{L}(V, W) - \dim R - \dim(R \cap \mathcal{E}) = (\dim V - \dim U) \dim W$ .

**ENDED** 

# 3.E

**2** Suppose  $V_1, \ldots, V_m$  are vecsps such that  $V_1 \times \cdots \times V_m$  is finite-dim. *Prove that every*  $V_i$  *is finite-dim.* 

**SOLUTION:** Denote  $V_1 \times \cdots \times V_m$  by U. Denote  $\{0\} \times \cdots \{0\} \times V_i \times \{0\} \cdots \times \{0\}$  by  $U_i$ .

Let  $(v_1, ..., v_M)$  be a basis of U. Note that  $\forall u_i \in V_i, \in U_i \subseteq U$ , for each i.

$$\begin{array}{l} \text{Define } R_i \in \mathcal{L}(V_i, U) \text{ by } R_i(u_i) = (0, \dots, 0, u_i, 0, \dots, 0). \\ \text{Define } S_i \in \mathcal{L}(U, V_i) \text{ by } S_i(u_1, \dots, u_i, \dots, u_m) = u_i \end{array} \right\} \Rightarrow S_i|_{U_i} = R_i^{-1}|_{U_i}.$$

Thus  $U_i$  and  $V_i$  are iso. X  $U_i$  is a subsp of a finite-dim vecsp U.

**3** Give an example of a vecsp V and its two subsps  $U_1$ ,  $U_2$  such that  $U_1 \times U_2$  and  $U_1 + U_2$  are iso but  $U_1 + U_2$  is not a direct sum.

## **SOLUTION:**

NOTE that at least one of  $U_1$ ,  $U_2$  must be infinite-dim.

For if not,  $U_1 \times U_2$  is finite-dim and  $\dim(U_1 \times U_2) = \dim(U_1 + U_2) = \dim U_1 + \dim U_2$ .

And V must be infinite-dim. For if not, both  $U_1$  and  $U_2$  are finite-dim subsps.

Let 
$$V = \mathbf{F}^{\infty} = U_1, U_2 = \{(x, 0, \dots) \in \mathbf{F}^{\infty} : x \in \mathbf{F}\}.$$

Define 
$$T \in \mathcal{L}(U_1 \times U_2, U_1 + U_2)$$
 by  $T((x_1, x_2, \dots), (x, 0, \dots)) = (x, x_1, x_2, \dots)$   
Define  $S \in \mathcal{L}(U_1 + U_2, U_1 \times U_2)$  by  $S(x, x_1, x_2, \dots) = ((x_1, x_2, \dots), (x, 0, \dots))$   $\Rightarrow S = T^{-1}$ .

**4** Suppose  $V_1, \ldots, V_m$  are vecsps.

Prove that  $\mathcal{L}(V_1 \times \cdots \times V_m, W)$  and  $\mathcal{L}(V_1, W) \times \cdots \times \mathcal{L}(V_m, W)$  are iso.

**SOLUTION**: Using the notations in Problem (2).

Note that  $T(u_1, ..., u_m) = T(u_1, 0, ..., 0) + ... + T(0, ..., u_m)$ .

Define 
$$\varphi: T \mapsto (T_1, \dots, T_m)$$
 by  $\varphi(T) = (TR_1, \dots, TR_m)$ .  
Define  $\psi: (T_1, \dots, T_m) \mapsto T$  by  $\psi(T_1, \dots, T_m) = T_1S_1 + \dots + T_mS_m$ .  $\} \Rightarrow \psi = \varphi^{-1}$ .

**5** Suppose  $W_1, \ldots, W_m$  are vecsps.

Prove that  $\mathcal{L}(V, W_1 \times \cdots \times W_m)$  and  $\mathcal{L}(V, W_1) \times \cdots \times \mathcal{L}(V, W_m)$  are iso.

**SOLUTION:** Using the notations in Problem (2).

Note that  $Tv = (w_1, ..., w_m)$ . Define  $T_i \in \mathcal{L}(V, W_i)$  by  $T_i(v) = w_i$ .

$$\begin{array}{l} \text{Define } \varphi: T \mapsto (T_1, \dots, T_m) \text{ by } \varphi(T) = (S_1 T, \dots, S_m T). \\ \text{Define } \psi: (T_1, \dots, T_m) \mapsto T \text{ by } \psi(T_1, \dots, T_m) = T_1 S_1 + \dots + T_m S_m. \end{array} \right\} \Rightarrow \psi = \varphi^{-1}.$$

**6** For  $m \in \mathbb{N}^+$ , define  $V^m$  by  $\underbrace{V \times \cdots \times V}_{m \text{ times}}$ . Prove that  $V^m$  and  $\mathcal{L}(\mathbf{F}^m, V)$  are iso.

#### **SOLUTION:**

Define  $T:(v_1,\ldots,v_m)\to \varphi$ , where  $\varphi:(a_1,\ldots,a_m)\mapsto v$  is defined by  $\varphi(a_1,\ldots,a_m)=a_1v_1+\cdots+a_mv_m$ .

- (a) Suppose  $T(v_1, \dots, v_m) = 0$ . Then  $\forall (a_1, \dots, a_n) \in \mathbb{F}^m$ ,  $\varphi(a_1, \dots, a_m) = a_1v_1 + \dots + a_mv_m = 0$  $\Rightarrow (v_1, \dots, v_m) = 0 \Rightarrow T$  is inje.
- (b) Suppose  $\psi \in \mathcal{L}(\mathbf{F}^m, V)$ . Let  $(e_1, \dots, e_m)$  be the standard basis of  $\mathbf{F}^m$ . Then  $\forall (b_1, \dots, b_n) \in \mathbf{F}^m$ ,  $\left[T\left(\psi(e_1), \dots, \psi(e_m)\right)\right](b_1, \dots, b_m) = b_1\psi(e_1) + \dots + b_m\psi(e_m) = \psi(b_1e_1 + \dots + b_me_m) = \psi(b_1, \dots, b_m)$ . Thus  $T\left(\psi(e_1), \dots, \psi(e_m)\right) = \psi$ . Hence T is surj.
- 7 Suppose  $v, x \in V$  (arbitrary) and U and W are subsps of V. Suppose v + U = x + W. Prove that U = W.

#### **SOLUTION:**

- (a)  $\forall u \in U$ ,  $\exists w \in W, v + u = x + w$ , let u = 0, now  $v = x + w \Rightarrow v x \in W$ .
- (b)  $\forall w \in W$ ,  $\exists u \in U, v + u = x + w$ , let w = 0, now  $x = v + u \Rightarrow x v \in U$ .

Thus 
$$\pm (v - x) \in U \cap W \Rightarrow \begin{cases} u = (x - v) + w \in W \Rightarrow U \subseteq W \\ w = (v - x) + u \in U \Rightarrow W \subseteq U \end{cases} \Rightarrow U = W.$$

- Let  $U = \{(x, y, z) \in \mathbb{R}^3 : 2x + 3y + 5z = 0\}$ . Suppose  $A \subseteq \mathbb{R}^3$ . Prove that A is a translate of  $U \iff \exists c \in \mathbb{R}, A = \{(x, y, z) \in \mathbb{R}^3 : 2x + 3y + 5z = c\}$ . [Do it in your mind.]
- Suppose  $T \in \mathcal{L}(V, W)$  and  $c \in W$ . Prove that  $U = \{x \in V : Tx = c\}$  is either  $\emptyset$  or is a translate of null T.

#### **SOLUTION:**

If  $c \in W$  but  $c \notin \text{range } T$ , then  $U = \emptyset$  and we are done.

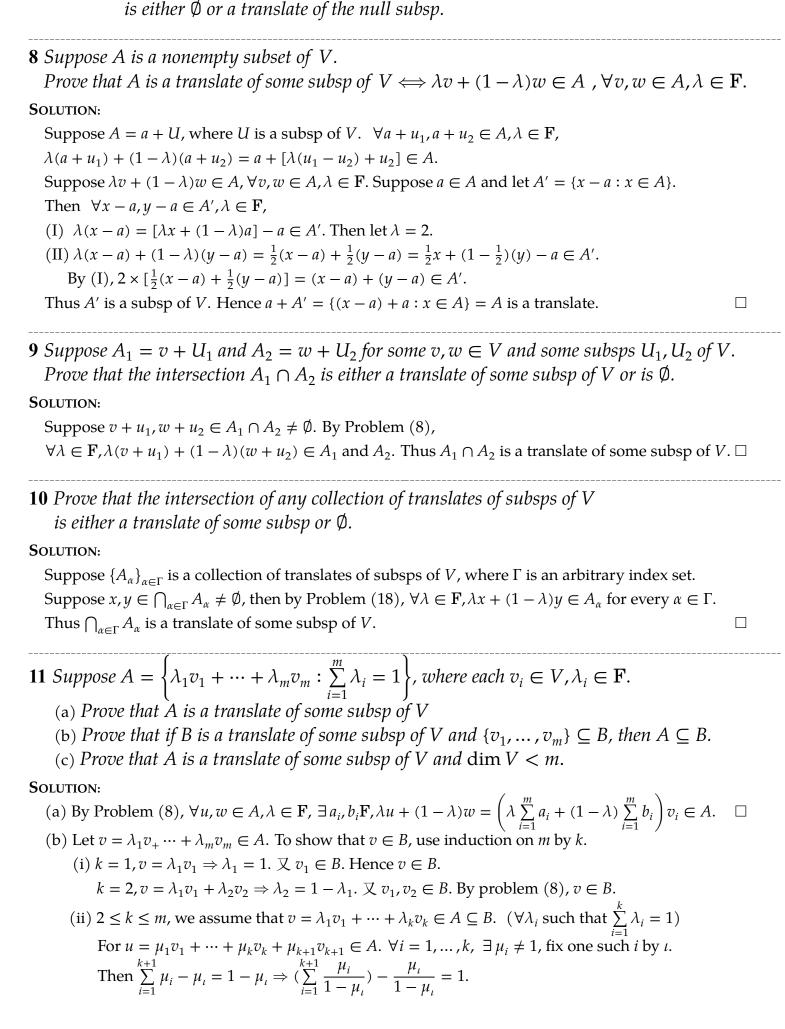
Suppose  $c \in \text{range } T$ , then  $\exists u \in V, Tu = c \Rightarrow u \in U$ .

Suppose  $y \in \operatorname{null} T \Rightarrow y + u \in U \Longleftrightarrow T(y + u) = Ty + c = c$ .

Thus  $u + \text{null } T \subseteq U$ . Hence u + null T = U,

for if not, suppose  $z \notin u + \text{null } T \text{ but } Tz = c (\Leftrightarrow z \in U)$ ,

then  $\forall w \in \text{null } T, z \neq u + w \Leftrightarrow z - u \notin \text{null } T$ .



 $\not \subseteq \widetilde{T}(z + \text{null } T) = \widetilde{T}(u + \text{null } T) \Rightarrow z + \text{null } T = u + \text{null } T \Rightarrow z - u \in \text{null } T$ , contradicts.

**Corollary:** The set of solutions to a system of linear equations such as [3.28]

Let 
$$w = \underbrace{\frac{\mu_1}{1 - \mu_i} v_1 + \dots + \frac{\mu_{i-1}}{1 - \mu_i} v_{i-1} + \frac{\mu_{i+1}}{1 - \mu_i} v_{i+1} + \dots + \frac{\mu_{k+1}}{1 - \mu_i} v_{k+1}}_{k \text{ terms}}$$
.

Let  $\lambda_i = \underbrace{\frac{\mu_i}{1 - \mu_i}}_{k \text{ terms}} \text{ for } i = 1, \dots, i - 1; \ \lambda_j = \underbrace{\frac{\mu_{j+1}}{1 - \mu_i}}_{k \text{ terms}} \text{ for } j = i, \dots, k. \text{ Then,}$ 

$$\underbrace{\sum_{i=1}^k \lambda_i = 1 \Rightarrow w \in B}_{i=1} }_{v_i \in B} \Rightarrow \text{Let } \lambda = 1 - \mu_i. \text{ Thus } u' = u \in B \Rightarrow A \subseteq B.$$

$$v_i \in B \Rightarrow u' = \lambda w + (1 - \lambda)v_i \in B$$

(c) Fix a  $k \in \{1, ..., m\}$ . Given  $\lambda_i \in \mathbb{F}$  ( $i \in \{1, ..., m\} \setminus \{k\}$ ).

$$\begin{split} \text{Let}\, \lambda_k &= 1 - \lambda_1 - \dots - \lambda_{k-1} - \lambda_{k+1} - \dots - \lambda_m \\ \text{Then}\, \lambda_1 v_1 + \dots + \lambda_k v_k + \dots + \lambda_m v_m &= v_k + \sum\limits_{i=1}^m \lambda_i (v_i - v_k). \\ \text{Thus}\, A &= v_k + \text{span}\, (v_1 - v_k, \dots, v_{k-1} - v_k, v_{k+1} - v_k, \dots, v_m - v_k). \end{split}$$

**12** Suppose U is a subsp of V such that V/U is finite-dim.

*Prove that is* V *is iso to*  $U \times (V/U)$ .

#### **SOLUTION:**

Let 
$$(v_1 + U, \dots, v_n + U)$$
 be a basis of  $V/U$ . Note that  $\forall v \in V, \ \exists \ ! \ a_1, \dots, a_n \in \mathbf{F}, v + U = \sum_{i=1}^n a_i(v_i + U) = (\sum_{i=1}^n a_iv_i) + U$   $\Rightarrow (v - a_1v_1 - \dots - a_nv_n) = u \in U$  for some  $u; v = \sum_{i=1}^n a_iv_i + u$ . Thus define  $\varphi \in \mathcal{L}(V, U \times (V/U))$  by  $\varphi(v) = (u, \sum_{i=1}^n a_iv_i + U)$ 

and 
$$\psi \in \mathcal{L}(U \times (V/U), V)$$
 by  $\psi(u, w + U) = u + w; w = \sum_{i=1}^{n} b_i v_i + U$ .

So that 
$$\psi = \varphi^{-1}$$
.

• Suppose  $V = U \oplus W$ ,  $(w_1, ..., w_m)$  is a basis of W. Prove that  $(w_1 + U, ..., w_m + U)$  is a basis of V/U.

#### **SOLUTION:**

Note that  $\forall v \in V, \exists ! u \in U, w \in W, v = u + w \not \subseteq \exists ! c_i \in F \text{ such that } w = \sum_{i=1}^m c_i w_i \Rightarrow v = u + \sum_{i=1}^m c_i w_i.$ 

Thus 
$$v + U = \sum_{i=1}^{m} c_i w_i + U \Rightarrow v + U \in \text{span}(w_1 + U, \dots, w_m + U) \Rightarrow V/U \subseteq \text{span}(w_1 + U, \dots, w_m + U).$$

Now suppose  $a_1(w_1 + U) + \dots + a_m(w_m + U) = 0 + U \Rightarrow \sum_{i=1}^m a_i w_i \in U$  while  $U \cap W = \{0\}$ .

Then 
$$\sum_{i=1}^{m} a_i w_i = 0 \Rightarrow a_1 = \dots = a_m = 0.$$

**13** Suppose  $(v_1 + U, ..., v_m + U)$  is a basis of V/U and  $(u_1, ..., u_n)$  is a basis of U. Prove that  $(v_1, ..., v_m, u_1, ..., u_n)$  is a basis of V.

#### **SOLUTION:**

By Problem (12), U and V/U are finite-dim  $\Rightarrow U \times (V/U)$  is finite-dim, so is V.

$$\dim V = \dim (U \times (V/U)) = \dim U + \dim V/U = m + n.$$

Or. Note that 
$$\forall v \in V, v + U = \sum_{i=1}^{m} a_i v_i + U, \exists ! a_i \in \mathbf{F} \Rightarrow U \ni v - \sum_{i=1}^{m} a_i v_i = \sum_{i=1}^{m} b_i v_i, \exists ! b_i \in \mathbf{F}.$$

$$\Rightarrow v \in \operatorname{span}(v_1, \dots, v_m, u_1, \dots, u_n).$$

$$\nearrow$$
 Notice that  $(\sum_{i=1}^{m} a_i v_i) + U = 0 + U \iff \sum_{i=1}^{m} a_i v_i \in U) \iff a_1 = \dots = a_m = 0.$ 

Hence span 
$$(v_1, \dots, v_m) \cap U = \{0\} \Rightarrow \operatorname{span}(v_1, \dots, v_m) \oplus U = V$$

Thus  $(v_1, \dots, v_m, u_1, \dots, u_n)$  is linely inde, so is a basis of V.

**14** Suppose  $U = \{(x_1, x_2, \dots) \in \mathbf{F}^{\infty} : x_k \neq 0 \text{ for only finitely many } k\}.$ (a) Show that U is a subsp of  $\mathbf{F}^{\infty}$ . [Do it in your mind] (b) Prove that  $\mathbf{F}^{\infty}/U$  is infinite-dim. **SOLUTION:** For  $u = (x_1, ..., x_p, ...) \in \mathbf{F}^{\infty}$ , denote  $x_p$  by u[p]. For each  $r \in \mathbf{N}^+$ .  $\text{Define } e_r[p] = \left\{ \begin{array}{l} 1, (p-1) \equiv 0 \, (\text{mod} \, r) \\ 0, \text{otherwise} \end{array} \right., \\ \text{simply } e_r = (1, \underbrace{0, \ldots, 0}_{(p-1) \, \textit{times}}, 1, \underbrace{0, \ldots, 0}_{(p-1) \, \textit{times}}, 1, \ldots) \in \mathbf{F}^{\infty}.$ Choose  $m \in \mathbb{N}^+$  arbitrarily. Suppose  $a_1(e_1 + U) + \dots + a_m(e_m + U) = (a_1e_1 + \dots + a_me_m) + U = 0 + U = 0$ .  $\Rightarrow a_1e_1 + \dots + a_me_m = u$  for some  $u \in U$ . Then suppose  $u = (x_1, \dots, x_t, 0, \dots) \Rightarrow u[t+i] = 0, \forall i \in \mathbb{N}^+$ , then let  $j = s \cdot m! + 1 \ge t \ (\exists \ s \in \mathbb{N}^+)$  so that  $e_1[j] = \dots = e_m[j] = 1, \ u[j+i] = 0.$ Now we have:  $u[j+i] = (\sum_{r=1}^m a_r e_r)[j+i] = \sum_{r=1}^m a_r e_r[s \cdot m! + 1 + i] = a_{i_1} + \dots + a_{i_{\tau(i)}} = 0$ ,  $\Rightarrow (\sum_{r=1}^{m} a_r e_r)[j+i] = a_{i_1} + \dots + a_{i_{\tau(i)}} = 0. \ (\Delta)$ where  $i_1, \dots, i_{\tau(i)}$  are distinct ordered factors of i (  $1 = i_1 \le \dots \le i_{\tau(i)} = i$  ). ( Note that by definition,  $e_r[s \cdot m! + 1 + i] = 1 \iff s \cdot m! + i \equiv i \equiv 0 \pmod{r} \iff r \mid i$ .) Let  $i'=i_{\tau(i)-1}$ . Notice that  $i'_l=i_l, \forall l\in\{1,\ldots,\tau(i')\}; \text{ and } \tau(i')=\tau(i)-1.$ Again by ( $\Delta$ ), ( $\sum_{r=1}^{m} a_r e_r$ )[j + i'] =  $a_{i'_1} + \dots + a_{i'_{\tau(i')}} = a_{i_1} + \dots + a_{i_{\tau(i)-1}} = 0$ . Thus  $a_{i_{\pi}(i)} = a_i = 0$  for any  $i \in \{1, ..., m\}$ . Hence  $(e_1, \dots, e_m)$  is linely inde in  $\mathbf{F}^{\infty}$ , so is  $(e_1, \dots, e_m, \dots)$ , since  $m \in \mathbf{N}^+$ .  $\not \subseteq e_i \notin U \Rightarrow (e_1 + U, e_2 + U, ...)$  is linely inde in  $F^{\infty}/U$ . By [2.B.14]. **15** Suppose  $\varphi \in \mathcal{L}(V, \mathbf{F}) \setminus \{0\}$ . Prove that dim  $V/(\text{null }\varphi) = 1$ . **SOLUTION:** By [3.91] (d), dim range  $\varphi = 1 = \dim V / (\operatorname{null} \varphi)$ . • Note For [3.88, 3.90, 3.91]: For any  $W \in \mathcal{S}_V U$ , because  $V = U \oplus W$ .  $\forall v \in V$ ,  $\exists ! u_v \in U, w_v \in W, v = u_v + w_v$ . Define  $T \in \mathcal{L}(V, W)$  by  $T(v) = w_v$ . Hence null T = U, range T = W. Then  $\tilde{T} \in \mathcal{L}(V/\text{null }T,W)$  is defined as  $\tilde{T}(v+U) = Tv = w_v$ . Thus  $\tilde{T}$  is inje (by [3.91(b)]) and surj (range  $\tilde{T}$  = range T = W), and therefore is an iso. We conclude that V/U and W, namely any vecsp in  $S_V$ , are iso. **16** Suppose dim V/U=1. Prove that  $\exists \varphi \in \mathcal{L}(V,\mathbf{F})$  such that null  $\varphi=U$ .

#### **SOLUTION:**

Suppose  $V_0$  is a subsp of V such that  $V = U \oplus V_0$ . Then  $V_0$  and V/U are iso. dim  $V_0 = 1$ . Define a linear map  $\varphi : v \mapsto \lambda$  by  $\varphi(v_0) = 1$ ,  $\varphi(u) = 0$ , where  $v_0 \in V_0$ ,  $u \in U$ .

**17** Suppose V/U is finite-dim. W is a subsp of V.

- (a) Show that if V = U + W, then dim  $W \ge \dim V/U$ .
- (b) Suppose dim  $W = \dim V/U$  and  $V = U \oplus W$ . Find such W.

**SOLUTION**: Let  $(w_1, ..., w_n)$  be a basis of W

(a)  $\forall v \in V$ ,  $\exists u \in U, w \in W$  such that  $v = u + w \Rightarrow v + U = w + U$ 

Then  $V/U \subseteq \text{span}(w_1 + U, \dots, w_n + U) \Rightarrow V/U = \text{span}(w_1 + U, \dots, w_n + U)$ . Hence dim  $V/U = \dim \operatorname{span}(w_1 + U, \dots, w_n + U) \le \dim W$ . (b) Let  $W \in \mathcal{S}_V U$ . In other words, reduce  $(w_1+U,\ldots,w_n+U)$  to a basis of V/U as  $(w_1+U,\ldots,w_m+U)$  and let  $W=\text{span}(w_1,\ldots,w_m)$ . **18** Suppose  $T \in \mathcal{L}(V, W)$  and U is a subsp of V. Let  $\pi$  denote the quotient map. *Prove that*  $\exists S \in \mathcal{L}(V/U, W)$  *such that*  $T = S \circ \pi$  *if and only if*  $U \subseteq \text{null } T$ . **SOLUTION:** (a) Define  $S \in \mathcal{L}(V/U, W)$  by S(v + U) = Tv. We have to check it is *well-defined*. Suppose  $v_1 + U = v_2 + U$ , while  $v_1 \neq v_2$ . Then  $(v_1 - v_2) \in U \Rightarrow S((v_1 - v_2) + U) = T(v_1 - v_2) = 0 \Rightarrow Tv_1 = Tv_2$ . Checked. (b) Suppose  $\exists S \in \mathcal{L}(V/U, W), T = S \circ \pi$ . Then  $\forall u \in U, Tu = S \circ \pi(u) = S(0 + U) = 0 \Rightarrow U \subseteq \text{null } T.$ **20** Define  $\Gamma : \mathcal{L}(V/U, W) \to \mathcal{L}(V, W)$  by  $\Gamma(S) = S \circ \pi \ (=\pi'(S))$ . (a) *Prove that*  $\Gamma$  *is linear*: By [3.9] distr properties and [3.6]. (b) *Prove that*  $\Gamma$  *is inje:*  $\Gamma(S) = 0 = S \circ \pi \Longleftrightarrow \forall v \in V, S\left(\pi(v)\right) = 0 \Longleftrightarrow \forall v + U \in V/U, S(v + U) = 0 \Longleftrightarrow S = 0.$ (c) Prove that range  $\Gamma$  (= range  $\pi'$ ) = { $T \in \mathcal{L}(V, W) : U \subseteq \text{null } T$ }: By Problem (18).  $\square$ **ENDED** 3.F • By (18) in (3.D),  $\varphi: V \to \mathcal{L}(\mathbf{F}, V)$  is an iso. Now we prove that  $(v_1, \ldots, v_m)$  is linely inde  $\iff (\varphi(v_1), \ldots, \varphi(v_m))$  is linely inde. **SOLUTION:** (a) Suppose  $(v_1, ..., v_m)$  is linely inde and  $\vartheta \in \text{span } (\varphi(v_1), ..., \varphi(v_m))$ . Let  $\vartheta = 0 = a_1 \varphi(v_1) + \dots + a_m \varphi(v_m)$ . Then  $\vartheta(1) = 0 = a_1 v_1 + \dots + a_m v_m \Rightarrow a_1 = \dots = a_m = 0$ . Or. Because  $\varphi$  is inje. Suppose  $a_1\varphi(v_1)+\cdots+a_m\varphi(v_m)=0=\varphi(a_1v_1+\cdots+a_mv_m)$ . Then  $a_1v_1 + \cdots + a_mv_m = 0 \Rightarrow a_1 = \cdots = a_m = 0$ . Thus  $(\varphi(v_1), \dots, \varphi(v_m))$  is linely inde. (b) Suppose  $(\varphi(v_1), ..., \varphi(v_m))$  is linely inde and  $v \in \text{span}(v_1, ..., v_m)$ . Let  $v=0=a_1v_1+\cdots+a_mv_m$ . Then  $\varphi(v)=a_1\varphi(v_1)+\cdots+a_m\varphi(v_m)=0 \Rightarrow a_1=\cdots=a_m=0$ . Thus  $v_1, \dots, v_m$  is linely inde. • Suppose  $T \in \mathcal{L}(V, W)$  and  $(w_1, ..., w_m)$  is a basis of range T. Hence  $\forall v \in V$ ,  $Tv = \varphi_1(v)w_1 + \dots + \varphi_m(v)w_m$ ,  $\exists ! \varphi_1(v), \dots, \varphi_m(v)$ , thus defining functions  $\varphi_1, \dots, \varphi_m$  from V to F. Show that each  $\varphi_i \in V'$ . **SOLUTION:** For each  $w_i$ ,  $\exists v_i \in V$ ,  $Tv_i = w_i$ , getting a linely inde list  $(v_1, \dots, v_m)$ . Now we have  $Tv = a_1Tv_1 + \cdots + a_mTv_m$ ,  $\forall v \in V$ ,  $\exists ! a_i \in F$ . Let  $(\psi_1, \dots, \psi_m)$  be the dual basis of range T. Then  $(T'(\psi_i))(v) = \psi_i \circ T(v) = a_i$ . Thus letting  $\varphi_i = \psi_i \circ T$ . 

Suppose  $\varphi, \beta \in V'$ . Prove that  $\text{null } \varphi \subseteq \text{null } \beta \iff \beta = c\varphi$ .  $\exists c \in F$ .

**SOLUTION:** Using (3.B.29, 30)

(a) Suppose null  $\varphi \subseteq \text{null } \beta$ . Choose a  $u \notin \text{null } \beta$ .  $V = \text{null } \beta \oplus \{au : a \in F\}$ .

If null  $\varphi = \text{null } \beta$ , then let  $c = \frac{\beta(u)}{\varphi(u)}$ , we are done.

Otherwise, suppose  $u' \in \text{null } \beta$ , but  $u' \notin \text{null } \varphi$ , then  $V = \text{null } \varphi \oplus \{bu' : b \in F\}$ .

 $\forall v \in V, v = w + au = w' + bu', \ \exists \,!\, w, w' \in \operatorname{null} \varphi, a, b \in \mathbf{F}.$ 

Thus  $\beta(v) = a\beta(u)$ ,  $\varphi(v) = b\varphi(u')$ . Let  $c = \frac{a\beta(u)}{b\varphi(u')}$ . We are done

(b) Suppose  $\beta = c\varphi$  for some  $c \in \mathbf{F}$ .

If c = 0, then null  $\beta = V \supseteq \text{null } \varphi$ , we are done.

Otherwise, 
$$\begin{cases} \forall v \in \operatorname{null} \varphi, \varphi(v) = 0 = \beta(v) \Rightarrow \operatorname{null} \varphi \subseteq \operatorname{null} \beta. \\ \forall v \in \operatorname{null} \beta, \beta(v) = 0 = \varphi(v) \Rightarrow \operatorname{null} \beta \subseteq \operatorname{null} \varphi. \end{cases} \Rightarrow \operatorname{null} \varphi = \operatorname{null} \beta.$$
 
$$\Rightarrow \operatorname{null} \varphi \subseteq \operatorname{null} \beta.$$

**5** Prove that  $(V_1 \times \cdots \times V_m)'$  and  $V'_1 \times \cdots \times V'_m$  are iso.

**SOLUTION:** Using notations in (3.E.2).

$$\begin{array}{l} \operatorname{Define} \varphi: \ (V_1 \times \cdots \times V_m)' \to V'_1 \times \cdots \times V'_m \\ \operatorname{by} \varphi(T) = (T \circ R_1, \ldots, T \circ R_m) = \left(R'_1(T), \ldots, R'_m(T)\right). \\ \operatorname{Define} \psi: V'_1 \times \cdots \times V'_m \to (V_1 \times \cdots \times V_m)' \\ \operatorname{by} \psi(T_1, \ldots, T_m) = T_1 S_1 + \cdots + T_m S_m = S'_1(T_1) + \cdots + S'_m(T_m). \end{array} \right\} \Rightarrow \psi = \varphi^{-1}.$$

• Suppose  $(v_1, ..., v_n)$  is a basis of V and  $(\varphi_1, ..., \varphi_n)$  is the dual basis of V'.

**9** Suppose  $(v_1, ..., v_n)$  is a basis of V and  $(\varphi_1, ..., \varphi_n)$  is the corresptd dual basis of V'.

Suppose  $\psi \in V'$ . Prove that  $\psi = \psi(v_1)\varphi_1 + \cdots + \psi(v_n)\varphi_n$ .

SOLUTION: 
$$\psi(v) = \psi(\sum_{i=1}^{n} a_i v_i) = \sum_{i=1}^{n} a_i \psi(v_i) = \sum_{i=1}^{n} \psi(v_i) \varphi_i(v) = [\psi(v_1) \varphi_1 + \dots + \psi(v_n) \varphi_n](v).$$

**COMMENT:** For other basis  $(u_1, ..., u_n)$  and the dual basis  $(\rho_1, ..., \rho_n)$ ,  $\psi = \psi(u_1)\rho_1 + ... + \psi(u_n)\rho_n$ .

**35** Prove that  $(\mathcal{P}(R))'$  and  $R^{\infty}$  are iso.

**SOLUTION:** 

Define 
$$\theta \in \mathcal{L}((\mathcal{P}(\mathbf{R}))', \mathbf{R}^{\infty})$$
 by  $\theta(\varphi) = (\varphi(1), \varphi(x), \dots, \varphi(x^n), \dots)$ .

Inje:  $\theta(\varphi) = 0 \Rightarrow \forall x^k$  in the basis  $(1, x, ..., x^n, ...)$  of  $\mathcal{P}_n(\mathbf{R})$  for any n,  $\varphi(x^k) = 0 \Rightarrow \varphi = 0$ .

Surj:  $\forall (a_0, a_1, \dots, a_n, \dots) \in \mathbf{F}^{\infty}$ , let  $\psi$  be such that  $\psi(x^k) = a_k$  and thus  $\theta(\psi) = (a_0, a_1, \dots, a_n, \dots)$ .

Hence  $\theta$  is an iso from  $(\mathcal{P}(\mathbf{R}))'$  onto  $\mathbf{R}^{\infty}$ .

**7** Suppose  $m \in \mathbb{N}^+$ . Show that the dual basis of the basis  $(1, x, ..., x_m)$  of  $\mathcal{P}_m(\mathbb{R})$ 

is 
$$\varphi_0, \varphi_1, ..., \varphi_m$$
, where  $\varphi_k = \frac{p^{(k)}(0)}{k!}$ .

Here  $p^{(k)}$  denotes the  $k^{th}$  derivative of p, with the understanding that the  $0^{th}$  derivative of p is p.

**SOLUTION:** 

For each 
$$j$$
 and  $k$ ,  $(x^j)^{(k)} =$ 

$$\begin{cases} j(j-1) \dots (j-k+1) \cdot x^{(j-k)}, & j \geq k. \\ j(j-1) \dots (j-j+1) = j!, & j = k. \\ 0, & j \leq k. \end{cases}$$
Then  $(x^j)^{(k)}(0) =$ 

$$\begin{cases} 0, & j \neq k. \\ k!, & j = k. \end{cases}$$

Thus  $\varphi_k = \psi_k$ , where  $\psi_1, \dots, \psi_m$  is the dual basis of  $(1, x, \dots, x_m)$  of  $\mathcal{P}_m(\mathbf{R})$ .

(a) By [2.C.10], $B = (1, x - 5,, (x - 5)^m)$ is a basis of $\mathcal{P}_m(\mathbf{R})$ . (b) $\varphi_k = \frac{p^{(k)}(5)}{k!}$ for each $k = 0, 1,, m$ . Then $(\varphi_0, \varphi_1,, \varphi_m)$ is the dual basis of $B$ .	
<b>13</b> Define $T: \mathbb{R}^3 \to \mathbb{R}^2$ by $T(x,y,z) = (4x + 5y + 6z, 7x + 8y + 9z)$ . Let $(\varphi_1, \varphi_2), (\psi_1, \psi_2, \psi_3)$ denote the dual basis of the standard basis of $\mathbb{R}^2$ and $\mathbb{R}^3$ . (a) Describe the linear functionals $T'(\varphi_1), T'(\varphi_2) \in \mathcal{L}(\mathbb{R}^3, \mathbb{R})$ For any $(x,y,z) \in \mathbb{R}^3, (T'(\varphi_1))(x,y,z) = 4x + 5y + 6z, (T'(\varphi_2))(x,y,z) = 7x + 8y + 9z$ . (b) Write $T'(\varphi_1)$ and $T'(\varphi_2)$ as linear combinations of $\psi_1, \psi_2, \psi_3$ . $T'(\varphi_1) = 4\psi_1 + 5\psi_2 + 6\psi_3, T'(\varphi_2) = 7\psi_1 + 8\psi_2 + 9\psi_3$ .	
<b>14</b> Define $T: \mathcal{P}(\mathbf{R}) \to \mathcal{P}(\mathbf{R})$ by $(Tp)(x) = x^2p(x) + p^{''}(x)$ for each $x \in \mathbf{R}$ .  (a) Suppose $\varphi \in \mathcal{P}(\mathbf{R})'$ is defined by $\varphi(p) = p'(4)$ . Describe $T'(\varphi) \in \mathcal{P}(\mathbf{R})'$ . $(T'(\varphi))(p) = [x^2p(x) + p''(x)]'(4) = [2xp(x) + x^2p'(x) + p'''(x)](4) = 8p(4) + 16p'(4) + p'''(4)$ (b) Suppose $\varphi \in \mathcal{P}(\mathbf{R})'$ is defined by $\varphi(p) = \int_0^1 p(x) dx$ . Evaluate $(T'(\varphi))(x^3)$ . $(T'(\varphi))(x^3) = \int_0^1 (x^5 + 6x) dx = \int_0^1 (\frac{1}{6}x^6 + 3x^2)' dx = \frac{6}{19}$ .	·····································
<b>12</b> Show that the dual map of the identity operator on $V$ is the identity operator on $V'$ . Solution: $I'(\varphi) = \varphi \circ I = \varphi, \ \forall \varphi \in V'$ .  • Suppose $W$ is finite-dim and $T \in \mathcal{L}(V,W)$ . Prove that $T' = 0 \iff T = 0$ . Solution: $T = 0 \iff T'(\varphi) = \varphi \circ T = 0$ for all $\varphi \in V' \iff T' = 0$ .	
• Suppose $V$ and $W$ are finite-dim and $T \in \mathcal{L}(V,W)$ . Prove that $T$ is inv $\iff T'$ is inv. Solution: By [3.108] and [3.110].	
<b>16</b> Suppose $V$ and $W$ are finite-dim. Define $\Gamma$ by $\Gamma(T) = T'$ for any $T \in \mathcal{L}(V, W)$ . Prove that $\Gamma$ is an iso of $\mathcal{L}(V, W)$ onto $\mathcal{L}(W', V')$ . <b>SOLUTION:</b> $V, W \text{ are finite-dim} \Rightarrow \dim \mathcal{L}(V, W) = \dim \mathcal{L}(W', V'). \text{ And by [3.101], } \Gamma \text{ is linear.}$ $\mathbb{Z} \text{ Suppose } \Gamma(T) = T' = 0. \text{ By Problem (15), } T = 0. \text{ Thus } T \text{ is inje } \Rightarrow T \text{ is inv.}$	
<b>4</b> Suppose $V$ is finite-dim and $U$ is a subsp of $V$ , $U \neq V$ .  Prove that $\exists \varphi \in V' \setminus \{0\}$ , $\varphi(u) = 0$ for all $u \in U$ .  Solution:  Let $(u_1, \dots, u_m)$ be a basis of $U$ , extend to $(u_1, \dots, u_m, u_{m+1}, \dots, u_{m+n})$ a basis of $V$ .  Choose a $k \in \{1, \dots, n\}$ . Define $\varphi \in V'$ by $\varphi(u_i) = \begin{cases} 1, & \text{if } i = m + k. \\ 0, & \text{otherwise.} \end{cases}$ OR. Equivalent to proving that $U^0 \neq \{0\}$ . By $[3.106]$ , dim $U^0 = \dim V - \dim U > 0$ .  • Suppose $V$ is a vecsp and $U \subseteq V$ .  17 $U^0 = \{\varphi \in V' : U \subseteq null \varphi\}$ . Noticing $\varphi \in V'$ , $U \subseteq null \varphi \Leftrightarrow \forall u \in U, \varphi(u) = 0$ .  18 $U = \{0\} \Leftrightarrow \forall \varphi \in V', U \subseteq null \varphi \Leftrightarrow U^0 = V'$ .	
<b>19</b> $U = V \iff U_V^0 = \{0\} = V_V^0$ . By the inverse and contrapositive of Problem (4).	

<b>20, 21</b> Suppose $U$ and $W$ are subsets of $V$ . Prove that $U \subseteq W \iff W^0 \subseteq U^0$ .
Solution:  (a) Suppose $U \subseteq W$ . Then $\forall w \in W, u \in U, \varphi \in W^0, \varphi(w) = 0 = \varphi(u) \Rightarrow \varphi \in U^0$ . Thus $W^0 \subseteq U^0$ .  (b) Suppose $W^0 \subseteq U^0$ . Then $\varphi \in W^0 \Rightarrow \varphi \in U^0$ . Hence $\operatorname{null} \varphi \supseteq W \Rightarrow \operatorname{null} \varphi \supseteq U$ . Thus $W \supseteq U$ . $\square$ Corollary: $W^0 = U^0 \iff U = W$ .
<b>22</b> Suppose U and W are subsps of V. Prove that $(U + W)^0 = U^0 \cap W^0$ .
Solution: (a) $U \subseteq U + W \\ W \subseteq U + W$ $\Rightarrow (U + W)^0 \subseteq U^0 \\ (U + W)^0 \subseteq W^0$ $\Rightarrow (U + W)^0 \subseteq U^0 \cap W^0$ .
(b) $\forall \varphi \in U^0 \cap W^0, \varphi(u+w) = 0$ , where $u \in U, w \in W \Rightarrow \varphi \in (U+W)^0$ . Thus $(U+W)^0 \supseteq U^0 \cap W^0$ . $\square$
<b>23</b> Suppose U and W are subsets of V. Prove that $(U \cap W)^0 = U^0 + W^0$ .
Solution: (a) $U \cap W \subseteq U \atop U \cap W \subseteq W$ $\Rightarrow (U \cap W)^0 \supseteq U^0 \atop (U \cap W)^0 \supseteq W^0$ $\Rightarrow (U \cap W)^0 \supseteq U^0 + W^0 \supseteq U^0 \cap W^0.$
(b) $\forall \varphi \in U^0, \psi \in W^0$ and $\forall v \in U \cap W, (\varphi + \psi)(v) = \varphi(v) + \psi(v) = 0$ . Thus $U^0 + W^0 \subseteq (U \cap W)^0$ . $\square$
• Corollary: Suppose $\{V_{\alpha_i}\}_{\alpha_i \in \Gamma}$ is a collection of subsps of $V$ .
Then $\left(\sum_{\alpha_i \in \Gamma} V_{\alpha_i}\right)^0 = \bigcap_{\alpha_i \in \Gamma} \left(V_{\alpha_i}^0\right)$ ; And $\left(\bigcap_{\alpha_i \in \Gamma} V_{\alpha_i}\right)^0 = \sum_{\alpha_i \in \Gamma} \left(V_{\alpha_i}^0\right)$ .
<b>24</b> Suppose $V$ is finite-dim and $U$ is a subsp of $V$ .  Prove, using the pattern of $[3.104]$ , that $dimU + dimU^0 = dimV$ .
SOLUTION:
Let $(u_1, \dots, u_m)$ be a basis of $U$ , extend to a basis of $V$ as $(u_1, \dots, u_m, \dots, u_n)$ ,
and let $(\varphi_1,, \varphi_m,, \varphi_n)$ be the dual basis. (a) Suppose $\varphi \in \text{span}(\varphi_{m+1},, \varphi_n)$ , then $\exists a_i \in F, \varphi = a_{m+1}\varphi_{m+1} + \cdots + a_n\varphi_n$ .
For all $u \in U$ , $\varphi(u) = 0$ . Thus $\varphi \in U^0$ , getting span $(\varphi_{m+1}, \dots, \varphi_n) \subseteq U^0$ .
(b) Suppose $\varphi \in U^0$ , then $\exists a_i \in \mathbf{F}, \varphi = a_1 \varphi_1 + \dots + a_m \varphi_m + \dots + a_n \varphi_n$ .
For all $u_i \in U$ , $0 = \varphi(u_i) = \sum_{i=1}^n \varphi(u_i) = a_i$ . Then $\varphi = a_{m+1}\varphi_{m+1} + \dots + a_n\varphi_n$ .
Thus $\varphi \in \text{span}(\varphi_{m+1}, \dots, \varphi_n)$ , getting span $(\varphi_{m+1}, \dots, \varphi_n) \supseteq U^0$ .
Hence span $(\varphi_{m+1}, \dots, \varphi_n) = U^0$ , dim $U^0 = n - m = \dim V - \dim U$ .
<b>25</b> Suppose $U$ is a subsp of $V$ . Explain why $U = \{v \in V : \varphi(v) = 0, \forall \varphi \in U^0\}$ .
<b>SOLUTION</b> : Note that $U = \{v \in V : v \in U\}$ is a subsp of $V$ and $\varphi(v) = 0$ for every $\varphi \in U^0 \iff v \in U$ . $\square$
<b>26</b> Suppose $V$ is finite-dim, $\Omega$ is a subsp of $V'$ . Prove that $\Omega = \{v \in V : \varphi(v) = 0, \forall \varphi \in \Omega\}^0$ .

**SOLUTION:** Using the corollary in Problem (20, 21).

Suppose  $U = \{v \in V : \forall \varphi \in \Omega, \varphi(v) = 0\}$ . Getting  $U^0 = \{v \in V : \forall \varphi \in \Omega, \varphi(v) = 0\}^0$ . We need to show that  $\Omega = U^0$ .

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(a) \forall \varphi \in \Omega, v \in U, \varphi(v) = 0 \Rightarrow \varphi \in U^0 \Rightarrow \Omega \subseteq U^0.

(b) v \in U \Leftrightarrow \left\{ \begin{array}{l} \forall \varphi \in \Omega, \varphi(v) = 0 \\ \forall \psi \in U^0, \psi(v) = 0 \end{array} \right. Thus \Omega \supseteq U^0.
27 Suppose T \in \mathcal{L}(\mathcal{P}_5(\mathbf{R}) \text{ and null } T' = \operatorname{span}(\varphi), \text{ where } \varphi \text{ is the linear functional on } \mathcal{P}_5(\mathbf{R})
      defined by \varphi(p) = p(8). Prove that range T = \{p \in \mathcal{P}_5(\mathbf{R}) : p(8) = 0\}.
SOLUTION:
    By Problem (26), span (\varphi) = \{ p \in \mathcal{P}_5(\mathbf{R}) : \psi(p) = 0, \forall \psi \in \text{span } (\varphi) \}^0,
    Hence span (\varphi) = \{ p \in \mathcal{P}_5(\mathbf{R}) : \varphi(p) = p(8) = 0 \}^0, \mathbb{X} span (\varphi) = \text{null } T' = (\text{range } T)^0.
    By the corollary in Problem (20, 21), range T = \{ p \in \mathcal{P}_5(\mathbf{R}) : p(8) = 0 \}.
                                                                                                                                                                                28, 29 Suppose V, W are finite-dim, T \in \mathcal{L}(V, W).
      (a) Suppose \exists \varphi \in W', \operatorname{null} T' = \operatorname{span}(\varphi). Prove that range T = \operatorname{null} \varphi.
      (b) Suppose \exists \varphi \in V', range T' = \text{span}(\varphi). Prove that \text{null } T = \text{null } \varphi.
SOLUTION: Using Problem (26), [3.107] and [3.109].
    Because span (\varphi) = \{v \in V : \forall \psi \in \text{span}(\varphi), \psi(v) = 0\}^0 = \{v \in V : \varphi(v) = 0\}^0 = (\text{null } \varphi)^0.
    (a) (\operatorname{range} T)^0 = \operatorname{null} T' = \operatorname{span}(\varphi) = (\operatorname{null} \varphi)^0 \iff \operatorname{range} T = \operatorname{null} \varphi.
    (b) (\operatorname{null} T)^0 = \operatorname{range} T' = \operatorname{span}(\varphi) = (\operatorname{null} \varphi)^0 \iff \operatorname{null} T = \operatorname{null} \varphi.
                                                                                                                                                                                31 Suppose V is finite-dim and (\varphi_1, ..., \varphi_n) is a basis of V'.
      Show that there exists a basis of V whose dual basis is (\varphi_1, \dots, \varphi_n).
SOLUTION: Using Problem (29) and (30) in (3,B).
    \forall \varphi_i, null \varphi_i \oplus \{au_i : a \in \mathbf{F}\} = V.
    Because \varphi_1, \dots, \varphi_m is linely inde. null \varphi_i \neq \text{null } \varphi_j for each i, j \in \mathbb{N}^+ such that i \neq j.
    Thus (u_1, ..., u_m) is linely inde, for if not, then \exists i, j such that null \varphi_i = \text{null } \varphi_i, contradicts.
    \mathbb{X} dim V' = m = \dim V. Then (u_1, \dots, u_m) is a basis of V whose dual basis is (\varphi_1, \dots, \varphi_n).
                                                                                                                                                                               \Box.
• Suppose V is finite-dim and \varphi_1, \ldots, \varphi_m \in V'. Prove that the following sets are the same.
   (a) span (\varphi_1, \dots, \varphi_m)
   (b) ((\operatorname{null} \varphi_1) \cap \cdots \cap (\operatorname{null} \varphi_m))^0
   (c) \{ \varphi \in V' : (\text{null } \varphi_1) \cap \cdots \cap (\text{null } \varphi_m) \subseteq \text{null } \varphi \}
SOLUTION: By Problem (17), (b) and (c) are equi. By Problem (26) and the corollary in Problem (23),
          \frac{\left(\left(\operatorname{null}\varphi_{1}\right)\cap\cdots\cap\left(\operatorname{null}\varphi_{m}\right)\right)^{0}=\left(\operatorname{null}\varphi_{1}\right)^{0}+\cdots+\left(\operatorname{null}\varphi_{m}\right)^{0}.}{\mathbb{X}\operatorname{span}\left(\varphi_{i}\right)=\left\{v\in V:\forall\psi\in\operatorname{span}\left(\varphi_{i}\right),\psi(v)=0\right\}^{0}=\left(\operatorname{null}\varphi_{i}\right)^{0}.} \right\}\Rightarrow(a)=(b). 
                                                                                                                                                                                COROLLARY: 30 Suppose V is finite-dim and \varphi_1, \ldots, \varphi_m is a linely inde list in V'.
                              Then dim ((\operatorname{null} \varphi_1) \cap \cdots \cap (\operatorname{null} \varphi_m)) = (\dim V) - m.
6 Define \Gamma: V' \to \mathbf{F}^m by \Gamma(\varphi) = (\varphi(v_1), \dots, \varphi(v_m)), where v_1, \dots, v_m \in V.
    (a) Show that span (v_1, ..., v_m) = V \iff \Gamma is inje.
    (b) Show that (v_1, ..., v_m) is linely inde \iff \Gamma is surj.
SOLUTION:
               Suppose \Gamma is inje. Then let \Gamma(\varphi)=0, getting \varphi=0\Leftrightarrow \operatorname{null} \varphi=V=\operatorname{span}(v_1,\ldots,v_m).
               Suppose span (v_1, ..., v_m) = V. Then let \Gamma(\varphi) = 0, getting \varphi(v_i) = 0 for each i,
                                                                               null \varphi = \operatorname{span}(v_1, \dots, v_m) = V, thus \varphi = 0, \Gamma is inje.
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Suppose \Gamma is surj. Then let \Gamma(\varphi_i) = e_i for each i, where (e_1, \dots, e_m) is the standard basis of \mathbf{F}^m.
                   Then (\varphi_1, \dots, \varphi_m) is linely inde, suppose a_1v_1 + \dots + a_mv_m = 0,
                  then for each i, we have \varphi_i(a_1v_1+\cdots+a_mv_m)=a_i=0. Thus v_1,\ldots,v_n is linely inde.
   (b)
            Suppose (v_1, \dots, v_m) is linely inde. Let (\varphi_1, \dots, \varphi_m) be the dual basis of span (v_1, \dots, v_m).
                  Thus for each (a_1, \ldots, a_m) \in \mathbf{F}^m, we have \varphi = a_1 \varphi_1 + \cdots + a_m \varphi_m so that \Gamma(\varphi) = (a_1, \ldots, a_m). \square
• Define \Gamma: V \to \mathbf{F}^m by \Gamma(v) = (\varphi_1(v), \dots, \varphi_m(v)), where \varphi_1, \dots, \varphi_m \in V'.
  (c) Show that span (\varphi_1, ..., \varphi_m) = V' \iff \Gamma is inje.
  (d) Show that (\varphi_1, ..., \varphi_m) is linely inde \iff \Gamma is surj.
SOLUTION:
           Suppose \Gamma is inje. Then \Gamma(v) = 0 \Leftrightarrow \forall i, \varphi_i(v) = 0 \Leftrightarrow v \in (\text{null } \varphi_1) \cap \cdots \cap (\text{null } \varphi_m) \Leftrightarrow v = 0.
                  Getting (\operatorname{null} \varphi_1) \cap \cdots \cap (\operatorname{null} \varphi_m) = \{0\}. By Problem (\bullet) above, span (\varphi_1, \dots, \varphi_m) = V'
           Suppose span (\varphi_1, \dots, \varphi_m) = V'. Again by Problem (\bullet), (\text{null } \varphi_1) \cap \dots \cap (\text{null } \varphi_m) = \{0\}.
                  Thus \Gamma(v) = 0 \Rightarrow \forall i, \varphi_i(v) = 0 \Rightarrow v = 0.
            Suppose (\varphi_1, ..., \varphi_m) is linely inde. Then by Problem (31), (v_1, ..., v_m) is linely inde.
                  Thus for any (a_1, \ldots, a_m) \in \mathbf{F}, by letting v = \sum_{i=1}^m a_i v_i, then \varphi_i(v) = a_i \Rightarrow \Gamma(v) = (a_1, \ldots, a_m).
            Suppose \Gamma is surj. Let e_1, \dots, e_m be a basis of \mathbf{F}^m.
                  For every e_i, \exists v_i \in V such that \Gamma(v_i) = (\varphi_1(v_i), \dots, \varphi_m(v)) = e_i,
                  fix v_i (\Rightarrow (v_1,...,v_m) is linely inde). Thus \varphi_i(v_i) = 1, \varphi_i(v_i) = 0.
                  Hence (\varphi_1, \dots, \varphi_m) is the dual basis of the basis v_1, \dots, \varphi_m of span (v_1, \dots, v_m).
                                                                                                                                               33 Suppose A \in \mathbf{F}^{m,n}. Define T: A \to A^t. Prove that T is an iso of \mathbf{F}^{m,n} onto \mathbf{F}^{n,m}
SOLUTION: By [3.111], T is linear. Note that (A^t)^t = A.
   (a) For any B \in \mathbb{F}^{n,m}, let A = B^t so that T(A) = B. Thus T is surj.
   (b) If T(A) = 0 for some A \in \mathbf{F}^{n,m}, then A = 0. Thus T is inje,
        for if not, \exists j, k \in \mathbb{N}^+ such that A_{i,k} \neq 0, then T(A)_{k,j} \neq 0, contradicts.
                                                                                                                                              32 Suppose T \in \mathcal{L}(V), and (u_1, \dots, u_m), (v_1, \dots, v_m) are bases of V. Prove that
     T is inv \iff the rows of \mathcal{M}\left(T,(u_1,\ldots,u_m),(v_1,\ldots,v_m)\right) form a basis of \mathbf{F}^{1,n}.
SOLUTION: Note that T is invertible \iff T' is inv. And \mathcal{M}(T') = \mathcal{M}(T)^t = A^t, denote it by B.
   Let (\varphi_1,\ldots,\varphi_m) be the dual basis of (v_1,\ldots,v_m), (\psi_1,\ldots,\psi_m) be the dual basis of (u_1,\ldots,u_m).
   (a) Suppose T is inv, so is T'. Because T'(\varphi_1), \ldots, T'(\varphi_m) is linely inde.
        Noticing that T'(\varphi_i) = B_{1,i}\psi_1 + \cdots + B_{m,i}\psi_m.
        Thus the cols of B, namely the rows of A, are linely inde (check it by contradiction).
   (b) Suppose the rows of A are linely inde, so are the cols of B.
        Then (T'(\varphi_1), \dots, T'(\varphi_m)) is a basis of range T', namely V'. Thus T' is surj.
        Hence T' is inv, so is T.
                                                                                                                                              34 The double dual space of V, denoted by V'', is defined to be the dual space of V'.
     In other words, V'' = \mathcal{L}(V', \mathbf{F}). Define \Lambda : V \to V'' by (\Lambda v)(\varphi) = \varphi(v).
     (a) Show that \Lambda is a linear map from V to V''.
```

Suppose V is finite-dim. Then V and V' are iso, but finding an iso from V onto V' generally requires choosing a basis of V. In contrast, the iso  $\Lambda$  from V onto V'' does not require a choice of basis and thus is considered more natural.

(b) Show that if  $T \in \mathcal{L}(V)$ , then  $T'' \circ \Lambda = \Lambda \circ T$ , where T'' = (T')'.

(c) Show that if V is finite-dim, then  $\Lambda$  is an iso from V onto V''.

- (a)  $\forall \varphi \in V'$ ,  $\forall v, w \in V, a \in F$ ,  $(\Lambda(v + aw))(\varphi) = \varphi(v + aw) = \varphi(v) + a\varphi(w) = (\Lambda v)(\varphi) + a(\Lambda w)(\varphi)$ . Thus  $\Lambda(v + aw) = \Lambda v + a\Lambda w$ . Hence  $\Lambda$  is linear.
- (b)  $(T''(\Lambda v))(\varphi) = ((\Lambda v) \circ (T'))(\varphi) = (\Lambda v)(T'(\varphi)) = (T'(\varphi))(v) = (\varphi \circ T)(v) = \varphi(Tv) = (\Lambda(Tv))(\varphi).$

Hence  $T''(\Lambda v) = (\Lambda(Tv))$ , getting  $T'' \circ \Lambda = \Lambda \circ T$ .

(c) Suppose  $\Lambda v=0$ . Then  $\forall \varphi \in V'$ ,  $(\Lambda v)(\varphi)=\varphi(v)=0 \Rightarrow v=0$ . Thus  $\Lambda$  is inje.

 $\mathbb{X}$  Because V is finite-dim. dim  $V = \dim V' = \dim V''$ . Hence  $\Lambda$  is an iso.

- **36** Suppose U is a subsp of V. Define  $i: U \to V$  by i(u) = u. Thus  $i' \in \mathcal{L}(V', U')$ .
  - (a) Show that null  $i' = U^0$ : null  $i' = (\text{range } i)^0 = U^0 \Leftarrow \text{range } i = U$ .
  - (b) Prove that if V is finite-dim, then range i' = U': range  $i' = (\text{null } i)_U^0 = (\{0\})_U^0 = U'$ .  $\square$
  - (c) Prove that if V is finite-dim, then  $\tilde{i}'$  is an iso from  $V'/U^0$  onto U':

The iso in (c) is natural in that it does not depend on a choice of basis in either vecsp.

**SOLUTION**: Note that  $\tilde{i'}: V'/\text{null } i' \to \text{range } i' \Rightarrow \tilde{i'}: V'/U^0 \to U'$ . By (a), (b) and [3.91(d)].

- **37** Suppose U is a subsp of V and  $\pi$  is the quotient map. Thus  $\pi' \in \mathcal{L}((V/U)', V')$ .
  - (a) Show that  $\pi'$  is inje: Because  $\pi$  is surj. Use [3.108].
  - (b) Show that  $\pi' = U^0$ .
  - (c) Conclude that  $\pi'$  is an iso from (V/U)' onto  $U^0$ .

*The iso in (c) is natural in that it does not depend on a choice of basis in either vecsp.* 

*In fact, there is no assumption here that any of these vecsps are finite-dim.* 

**SOLUTION**: [3.109] is not available. Using (3.E.18), also see (3.E.20).

- (b)  $\psi \in \operatorname{range} \pi' \iff \exists \varphi \in (V/U)', \psi = \varphi \circ \pi \iff \operatorname{null} \psi \supseteq U \iff \psi \in U^0$ . Hence  $\operatorname{range} \pi' = U^0$ .
- (c)  $\psi \in U^0 \iff \text{null } \psi \supseteq U \iff \exists \varphi \in (V/U)', \psi = \varphi \circ \pi = \pi(\varphi)$ . Thus  $\pi'$  is surj. And by (a).

**ENDED** 

## 4

• **NOTE FOR [4.8]:** division algorithm for polynomials

Suppose  $p, s \in \mathcal{P}(\mathbf{F})$ , with  $s \neq 0$ . Then  $\exists ! q, r \in \mathcal{P}(\mathbf{F})$  such that p = sq + r and  $\deg r < \deg s$ . Another Proof: Suppose  $\deg p \geq \deg s$ . Then  $(\underbrace{1, z, \ldots, z^{\deg s - 1}}_{\text{of length } \deg s}, \underbrace{s, zs, \cdots, z^{\deg p - \deg s}}_{\text{of length } (\deg p - \deg s + 1)})$  is a basis of  $\mathcal{P}_{\deg p}(\mathbf{F})$ .

Because  $q \in \mathcal{P}(\mathbf{F})$ ,  $\exists ! a_i, b_i \in \mathbf{F}$ ,

$$\begin{split} q &= a_0 + a_1 z + \dots + a_{\deg s - 1} z^{\deg s - 1} + b_0 s + b_1 z s + \dots + b_{\deg p - \deg s} z^{\deg p - \deg s} s \\ &= \underbrace{a_0 + a_1 z + \dots + a_{\deg s - 1} z^{\deg s - 1}}_{r} + s \underbrace{(b_0 + b_1 z + \dots + b_{\deg p - \deg s} z^{\deg p - \deg s})}_{q}. \end{split}$$

With r, q as defined uniquely above, we are done.

• **Note For [4.11]:** each zero of a poly corresponds to a degree-one factor; Another Proof:

First suppose  $p(\lambda) = 0$ . Write  $p(z) = a_0 + a_1 z + \dots + a_m z^m$ ,  $\exists ! a_0, a_1, \dots, a_m \in \mathbf{F}$  for all  $z \in \mathbf{F}$ .

Then  $p(z) = p(z) - p(\lambda) = a_1(z - \lambda) + \dots + a_m(z^m - \lambda^m)$  for all  $z \in \mathbf{F}$ .

Hence  $\forall k \in \{1, \dots, m\}, z^k - \lambda^k = (z - \lambda)(z^{k-1}\lambda^0 + z^{k-2}\lambda^1 + \dots + z^{k-(j+1)}\lambda^j + \dots + z\lambda^{k-2} + z^0\lambda^{k-1}).$ 

Thus  $p(z) = \sum_{j=1}^{m} a_j(z - \lambda) \sum_{i=1}^{k} \lambda^{i-1} z^{k-i} = (z - \lambda) \sum_{j=1}^{m} a_j \sum_{i=1}^{k} \lambda^{i-1} z^{k-i} = (z - \lambda) q(z).$ 

• **Note For [4.13]:** fundamental theorem of algebra, first version

Every nonconst poly with complex coefficients has a zero in C. Another Proof: For any  $w \in C$ ,  $k \in N^+$ , by polar coordinates,  $\exists r \ge 0, \theta \in R$ ,  $r(\cos \theta + i \sin \theta) = w$ . By De Moivre' theorem,  $w^k = [r(\cos\theta + i\sin\theta)]^k = r^k(\cos k\theta + i\sin k\theta)$ . Hence  $\left(r^{1/k}(\cos\frac{\theta}{k}+i\sin\frac{\theta}{k})\right)^k=w$ . Thus every complex number has a  $k^{th}$  root. Suppose a nonconst  $p \in \mathcal{P}(\mathbf{C})$  with highest-order nonzero term  $c_m z_m$ . Then  $|p(z)| \to \infty$  as  $|z| \to \infty$  (because  $\frac{|p(z)|}{|z_m|} \to |c_m|$  as  $|z| \to \infty$ ). Thus the continuous function  $z \to |p(z)|$  has a global minimum at some point  $\zeta \in \mathbb{C}$ . To show that  $p(\zeta) = 0$ , assume  $p(\zeta) \neq 0$ . Define  $q \in \mathcal{P}(\mathbf{C})$  by  $q(z) = \frac{p(z + \zeta)}{n(\zeta)}$ . The function  $z \to |q(z)|$  has a global minimum value of 1 at z = 0. Write  $q(z) = 1 + a_k z^k + \dots + a_m z^m$ , where  $k \in \mathbb{N}^+$  is the smallest such that  $a_k \neq 0$ . Let  $\beta \in \mathbb{C}$  be such that  $\beta^k = -\frac{1}{a}$ There is a const c > 1 so that if  $t \in (0,1)$ , then  $|q(t\beta)| \le |1 + a_k t^k \beta^k| + t^{k+1} c = 1 - t^k (1 - tc)$ . Now letting t = 1/(2c), we get  $|q(t\beta)| < 1$ . Contradicts. Hence  $p(\zeta) = 0$ , as desired. • Prove that if  $w, z \in \mathbb{C}$ , then  $||w| - |z|| \le |w - z|$ . **SOLUTION:**  $|w-z|^2 = (w-z)(\overline{w}-\overline{z})$  $= |w|^2 + |z|^2 - (w\overline{z} + \overline{w}z)$  $= |w|^2 + |z|^2 - (\overline{\overline{w}z} + \overline{w}z)$  $= |w|^2 + |z|^2 - 2Re(\overline{w}z)$  $> |w|^2 + |z|^2 - 2|\overline{w}z|$  $= |w|^2 + |z|^2 - 2|w||z| = ||w| - |z||^2$ . Geometric interpretation: The length of each side of a triangle is greater than or equal to the difference of the lengths of the two other sides. • Suppose V is on C and  $\varphi \in V'$ . Define  $\sigma : V \to \mathbf{R}$  by  $\sigma(v) = \mathbf{Re} \, \varphi(v)$  for each  $v \in V$ . Show that  $\varphi(v) = \sigma(v) - i\sigma(iv)$  for all  $v \in V$ . **SOLUTION:** Notice that  $\varphi(v) = \operatorname{Re} \varphi(v) + i \operatorname{Im} \varphi(v) = \sigma(v) + i \operatorname{Im} \varphi(v)$ .  $\mathbb{Z} \operatorname{Re} \varphi(iv) = \operatorname{Re} [i\varphi(v)] = -\operatorname{Im} \varphi(v) = \sigma(iv).$ Hence  $\varphi(v) = \sigma(v) - i\sigma(iv)$ . **2** Suppose  $m \in \mathbb{N}^+$ . Is the set  $U = \{0\} \cup \{p \in \mathcal{P}(\mathbb{F}) : \deg p = m\}$  a subsp of  $\mathcal{P}(\mathbb{F})$ ? **SOLUTION:**  $x^{m}, x^{m} + x^{m-1} \in U$  but  $\deg \left[ (x^{m} + x^{m-1}) - (x^{m}) \right] \neq m \Rightarrow (x^{m} + x^{m-1}) - (x^{m}) \notin U$ . Hence *U* is not closed under add, and therefore is not a subsp. **3** Suppose  $m \in \mathbb{N}^+$ . Is the set  $U = \{0\} \cup \{p \in \mathcal{P}(\mathbb{F}) : 2 \mid \deg p\}$  a subsp of  $\mathcal{P}(\mathbb{F})$ ? **SOLUTION:**  $x^{2}, x^{2} + x \in U$  but  $deg[(x^{2} + x) - (x^{2})]$  is odd and hence  $(x^{2} + x) - (x^{2}) \notin U$ . Thus *U* is not closed under add, and therefore is not a subsp. **5** Suppose that  $m \in \mathbb{N}, z_1, \dots, z_{m+1}$  are distinct elements of  $\mathbb{F}$ , and  $w_1, \dots, w_{m+1} \in \mathbb{F}$ .

*Prove that*  $\exists ! p \in \mathcal{P}_m(\mathbf{F})$  *such that*  $p(z_k) = w_k$  *for each* k = 1, ..., m + 1.

#### **SOLUTION:**

Define  $T:\mathcal{P}_m(\mathbf{F})\to\mathbf{F}^{m+1}$  by  $Tq=\left(q(z_1),\ldots,q(z_m),q(z_{m+1})\right)$ . As can be easily checked, T is linear.

We need to show that T is surj, so that such p exists; and that T is inje, so that such p is unique.

$$Tq = 0 \iff q(z_1) = \dots = q(z_m) = q(z_{m+1}) = 0$$

 $\iff$   $q = 0 \in \mathcal{P}_m(\mathbf{F})$ , for if not, q of deg m has at least m + 1 distinct roots. Contradicts [4.12].

dim range  $T = \dim \mathcal{P}_m(\mathbf{F})$  − dim null  $T = m + 1 = \dim \mathbf{F}^{m+1}$ .  $\mathbf{X}$  range  $T \subseteq \mathbf{F}^{m+1}$ . Hence T is surj.  $\Box$ 

## **6** Suppose $p \in \mathcal{P}_m(\mathbf{C})$ has degree m. Prove that

p has m distinct zeros  $\iff p$  and its derivative p' have no zeros in common.

#### SOLUTION:

(a) Suppose p has m distinct zeros. By [4.14] and deg p = m, let  $p(z) = c(z - \lambda_1) \cdots (z - \lambda_m)$ ,  $\exists ! c, \lambda_i \in \mathbb{C}$ .

For each 
$$j \in \{1, ..., m\}$$
, let  $\frac{p(z)}{(z - \lambda_j)} = q_j \in \mathcal{P}_{m-1}(\mathbf{C})$ , then  $p(z) = (z - \lambda_j)q_j(z)$  and  $q_j(\lambda_j) \neq 0$ .

$$p'(z) = (z - \lambda_i)q_i'(z) + q_i(z) \Rightarrow p'(\lambda_i) = q_i(\lambda_i) \neq 0$$
, as desired.

(b) To prove the implication on the other direction, we prove the contrapositive:

Suppose p has less than m distinct roots.

We must show that p and its derivative p' have at least one zero in common.

Let  $\lambda$  be a zero of p, then write  $p(z) = (z - \lambda)^n q(z)$ ,  $\exists ! n \in \mathbb{N}^+, q \in \mathcal{P}_{m-n}(\mathbb{C})$ .

$$p'(z) = (z - \lambda)^n q'(z) + n(z - \lambda)^{n-1} q(z) \Rightarrow p'(\lambda) = 0, \lambda \text{ is a common root of } p' \text{ and } p.$$

## **7** Prove that every $p \in \mathcal{P}(\mathbf{R})$ of odd degree has a zero.

#### SOLUTION:

Using the notation and proof of [4.17].  $\deg p = 2M + m$  is odd  $\Rightarrow m$  is odd. Hence  $\lambda_1$  exists.

OR. Using calculus only.

Suppose  $p \in \mathcal{P}_m(\mathbf{F})$ ,  $\deg p = m$ , m is odd.

Let 
$$p(x) = a_0 + a_1 x + \dots + a_m x^m$$
. Then  $a_m \neq 0$ . Denote  $|a_m|^{-1} a_m$  by  $\delta$ 

Write 
$$p(x) = x^m \left( \frac{a_0}{x^m} + \frac{a_1}{x^{m-1}} + \dots + \frac{a_{m-1}}{x} + a_m \right)$$
.

Thus p(x) is continuous, and  $\lim_{x \to -\infty} p(x) = -\delta \infty$ ;  $\lim_{x \to \infty} p(x) = \delta \infty$ .

Hence we conclude that p has at least one real zero.  $\square$ 

# **8** For $p \in \mathcal{P}(\mathbf{R})$ , define $Tp : \mathbf{R} \to \mathbf{R}$ by $(Tp)(x) = \begin{cases} \frac{p(x) - p(3)}{x - 3} & \text{if } x \neq 3, \\ p'(3) & \text{if } x = 3 \end{cases}$ for all $x \in \mathbf{R}$ .

Show that  $Tp \in \mathcal{P}(\mathbf{R})$  for all  $p \in \mathcal{P}(\mathbf{R})$  and that  $T : \mathcal{P}(\mathbf{R}) \to \mathcal{P}(\mathbf{R})$  is a linear map.

#### **SOLUTION:**

For 
$$x \neq 3$$
,  $T(x^n) = \frac{x^n - 3^n}{x - 3} = \sum_{i=1}^n 3^{i-1} x^{n-i}$ .

For 
$$x = 3$$
,  $T(x^n) = 3^{n-1} \cdot n$ . Note that if  $x = 3$ , then  $\sum_{i=1}^n 3^{i-1} x^{n-i} = \sum_{i=1}^n 3^{n-1} = 3^{n-1} \cdot n$ .

Hence for all  $x \in \mathbb{R}$  and for all  $n \in \mathbb{N}$ ,  $T(x^n) = \sum_{i=1}^n 3^{i-1} x^{n-i} \in \mathcal{P}(\mathbb{R})$ .

Because *T* is linear, we conclude that  $Tp \in \mathcal{P}(\mathbf{R})$  for all  $p \in \mathcal{P}(\mathbf{R})$ .

Now we show that *T* is linear:

$$\forall p,q \in \mathcal{P}(\mathbf{R}), \lambda \in \mathbf{R}, T(p+\lambda q)(x) = \begin{cases} \frac{(p+\lambda q)(x) - (p+\lambda q)(3)}{x-3} & \text{if } x \neq 3, \\ (p+\lambda q)'(3) & \text{if } x = 3 \end{cases} \text{ for all } x \in \mathbf{R}.$$
 Notice that 
$$\begin{cases} (p+\lambda q)(x) - (p+\lambda q)(3) = (p(x)-p(3)) + (\lambda q(x)-\lambda q(3)) \\ (p+\lambda q)'(3) = p'(3) + \lambda q'(3). \end{cases}$$

Notice that 
$$\begin{cases} (p + \lambda q)(x) - (p + \lambda q)(3) = (p(x) - p(3)) + (\lambda q(x) - \lambda q(3)) \\ (p + \lambda q)'(3) = p'(3) + \lambda q'(3). \end{cases}$$

Thus 
$$T(p + \lambda q)(x) = (T(p) + \lambda T(q))(x)$$
 for all  $x \in \mathbb{R}$ .

**9** Suppose  $p \in \mathcal{P}(\mathbf{C})$ . Define  $q : \mathbf{C} \to \mathbf{C}$  by  $q(z) = p(z)p(\overline{z})$ . Prove that  $q \in \mathcal{P}(\mathbf{R})$ .

#### **SOLUTION:**

$$p(z) = a_n z^n + \dots + a_1 z + a_0 \Rightarrow p(\overline{z}) = a_n \overline{\underline{z}}^n + \dots + a_1 \overline{z} + a_0 \Rightarrow \overline{p(\overline{z})} = \overline{a_n} z^n + \dots + \overline{a_1} z + \overline{a_0}.$$

Note that  $q(z) = p(z)\overline{p(\overline{z})} = \overline{p(\overline{z})}p(z) = p(\overline{z})\overline{p(\overline{z})} = \overline{q(\overline{z})}$ 

Hence letting  $q(z) = c_m x^m + \dots + c_1 x + c_0 \implies \overline{c_k} = c_k, c_k \in \mathbb{R}$  for each k.

**10** Suppose  $m \in \mathbb{N}$  and  $p \in \mathcal{P}_m(\mathbb{C})$  such that  $p(x_k) \in \mathbb{R}$  for each  $x_k$ , where  $x_0, x_1, ..., x_m \in \mathbb{R}$  are distinct. Prove that  $p \in \mathcal{P}(\mathbb{R})$ .

#### **SOLUTION:**

Let  $p(x_k) = y_k$  for each k. By Problem (5),  $\exists ! q \in \mathcal{P}_m(\mathbf{R})$  such that  $q(x_k) = y_k$ . Hence p = q. OR. Using the Lagrange Interpolating Polynomial.

Define 
$$q(x) = \sum_{i=0}^{m} \frac{(x - x_0)(x - x_1) \cdots (x - x_{j-1})(x - x_{j+1}) \cdots (x - x_m)}{(x_j - x_0)(x_j - x_1) \cdots (x_j - x_{j-1})(x_j - x_{j+1}) \cdots (x_j - x_m)} p(x_j).$$

 $\mathbb{X}$  For each  $j, x_j, p(x_j) \in \mathbb{R} \Rightarrow q \in \mathcal{P}_m(\mathbb{R}) \subseteq \mathcal{P}_m(\mathbb{C})$ .

Notice that  $q(x_k) = 1 \cdot p(x_k) \Rightarrow (q - p)(x_k) = 0$  for each  $k \in \{0, 1, ..., m\}$ .

Then (q-p) has (m+1) distinct zeros, while  $(q-p) \in \mathcal{P}_m(\mathbb{C})$ . Hence by [4.12],  $q-p=0 \Rightarrow p=q.\square$ 

- **11** Suppose  $p \in \mathcal{P}(\mathbf{F})$  with  $p \neq 0$ . Let  $U = \{pq : q \in \mathcal{P}(\mathbf{F})\}$ .
  - (a) Show that dim  $\mathcal{P}(\mathbf{F})/U = \deg p$ .
  - (b) Find a basis of  $\mathcal{P}(\mathbf{F})/U$ .

#### **SOLUTION:**

*U* is a subsp of  $\mathcal{P}(\mathbf{F})$  because  $\forall f, g \in \mathcal{P}(\mathbf{F}), \lambda \in \mathbf{F}, pf + \lambda pg = p(f + \lambda g) \in U$ .

NOTE: Define  $P :\to \mathcal{P}(\mathbf{F})$  by  $(Pq)(x) = p(q(x)) = (p \circ q)(x)$  ( $\neq p(x)q(x)$ ). P is not linear.

(a) By [4.8],  $\forall f \in \mathcal{P}(\mathbf{F}), \exists ! q, r \in \mathcal{P}(\mathbf{F}), f = (p)q + (r); \deg r < \deg p$ .

Hence  $\forall f \in \mathcal{P}(\mathbf{F}), \exists ! pq \in U, r \in \mathcal{P}_{\deg p-1}(\mathbf{F}), f = (pq) + (r); r \notin U.$ 

Thus  $\mathcal{P}(\mathbf{F}) = U \oplus \mathcal{P}_{\deg p-1}(\mathbf{F})$ . Therefore  $\mathcal{P}(\mathbf{F})/U$  and  $\mathcal{P}_{\deg p-1}(\mathbf{F})$  are iso.

Or.  $\forall f \in \mathcal{P}(\mathbf{F}), \exists ! q, r \in \mathcal{P}(\mathbf{F}), f = (p)q + (r); \deg r < \deg p.$ 

Define  $R : \mathcal{P}(\mathbf{F}) \to \mathcal{P}_{\deg p-1}(\mathbf{F})$  by (Rf)(z) = r(z) for each  $z \in \mathbf{F}$ .

 $\forall f, g \in \mathcal{P}(\mathbf{F}), \lambda \in \mathbf{F}, R(f + \lambda g)(z) = R(f) + \lambda R(g).$ 

BECAUSE:  $\forall f, g \in \mathcal{P}(\mathbf{F}), \lambda \in \mathbf{F}$ ,

$$\exists ! q_1, r_1 \in \mathcal{P}(\mathbf{F}), f = (p)q_1 + (r_1), \deg r_1 < \deg p;$$

$$\exists ! q_2, r_2 \in \mathcal{P}(\mathbf{F}), g = (p)q_2 + (r_2), \deg r_2 < \deg p;$$

 $\exists ! q_3, r_3 \in \mathcal{P}(\mathbf{F}), \lambda g = (p)q_3 + (r_3) = (p)(\lambda q_2) + (\lambda r_2), \deg r_3 < \deg p \text{ and } \deg \lambda r_2 < \deg p.$ 

$$\Rightarrow q_3 = \lambda q_2, r_3 = \lambda r_2.$$

$$\exists\,!\,q_0,r_0\in\mathcal{P}(\mathbf{F}),(f+\lambda g)=(p)q_0+(r_0)$$

$$= (p)(q_1 + \lambda q_2) + (r_1 + \lambda r_2), \ \deg r_0 < \deg p \ \text{and} \ \deg(r_1 + \lambda r_2) < \deg p.$$

$$\Rightarrow q_1 + \lambda q_2 = q_0; \ r_1 + \lambda r_2 = r_0.$$

Hence *R* is linear.

 $R(f) = 0 \iff f = pq, \exists ! q \in \mathcal{P}(\mathbf{F}). \text{ Thus null } R = U.$  $\forall r \in \mathcal{P}_{\deg p-1}(\mathbf{F}), \ \text{let} \ f = p+r, \ \text{then} \ R(f) = r. \ \text{Thus range} \ R = \mathcal{P}_{\deg p-1}(\mathbf{F}).$ Finally, by [3.91(d)],  $\mathcal{P}(\mathbf{F})$ /null R, namely  $\mathcal{P}(\mathbf{F})/U$ , and range R, namely  $\mathcal{P}_{\deg p-1}(\mathbf{F})$ , are iso. (b)  $(1 + U, x + U, \dots, x^{\deg p - 1}) + U$  can be a basis of  $\mathcal{P}(\mathbf{F})/U$ . • Suppose nonconst  $p, q \in \mathcal{P}(\mathbb{C})$  have no zeros in common. Let  $m = \deg p$ ,  $n = \deg q$ . Use (a)-(c) below to prove that  $\exists ! r \in \mathcal{P}_{n-1}(\mathbb{C}), s \in \mathcal{P}_{m-1}(\mathbb{C})$  such that rp + sq = 1. (a) Define  $T: \mathcal{P}_{n-1}(\mathbb{C}) \times \mathcal{P}_{m-1}(\mathbb{C}) \to \mathcal{P}_{m+n-1}(\mathbb{C})$  by T(r,s) = rp + sq. *Show that the linear map T is inje.* (b) Show that the linear map T in (a) is surj. (c) Use (b) to conclude that  $\exists ! r \in \mathcal{P}_{n-1}(\mathbf{C}), s \in \mathcal{P}_{m-1}(\mathbf{C})$  such that rp + sq = 1. **SOLUTION:** (a) T is linear because  $\forall r_1, r_2 \in \mathcal{P}_{n-1}(\mathbb{C}), s_1, s_2 \in \mathcal{P}_{m-1}(\mathbb{C}), \lambda \in \mathbb{F}$ ,  $T((r_1, s_1) + \lambda(r_2, s_2)) = T(r_1 + \lambda r_2, s_1 + \lambda s_2) = (r_1 + \lambda r_2)p + (s_1 + \lambda s_2)q = T(r_1, s_1) + \lambda T(r_2, s_2).$ Suppose T(r,s) = rp + sq = 0. Notice that p,q have no zeros in common. Then r = s = 0, for if not, write  $\frac{q(z)}{r(z)} = \frac{p(z)}{s(z)}$ , while for any zero  $\lambda$  of q,  $\frac{q(\lambda)}{r(z)} = 0 \neq \frac{p(\lambda)}{s(z)}$ . (b)  $\dim(\mathcal{P}_{n-1}(\mathbf{C})\times\mathcal{P}_{m-1}(\mathbf{C}))=\dim\mathcal{P}_{n-1}(\mathbf{C})+\dim\mathcal{P}_{m-1}(\mathbf{C})=n+m=\dim\mathcal{P}_{m+n-1}(\mathbf{C}).$ Thus range  $T = \mathcal{P}m + n - 1 \Rightarrow T$  is surj, and therefore is an iso. (c) Immediately. **ENDED 5.A** [1]: 31; [2]: 1, 2, 3, 15, 21; [3]: 23, (2E Ch5.20), (4E.5.A.37), 4, 5; [4]: 6, (4E.5.A.17, 18) Or.16, (4E.5.A.15); [5]: 7, 8, (4E.5.A.8), 22, 9, 10; [6]: 11, 12, 14, 30, 13, (4E.5.A.11); [7]: 17, (4E.5.A.16), 18; [8]: 19, 20, 24; [9]: 24', 25, 26, 27, 28; [10]: (4E.5.A.39), 29; [11]: 32, (4E.5.A.35), (4E.5.A.38) Or.35, 36; [12] 32, 34. • Note For [5.6]: More generally, suppose we do not know whether V is finite-dim. Then  $(a) \iff (b)$ . Suppose (a)  $\lambda$  is an eigval of T with an eigvec v. Then  $(T - \lambda I)v = 0$ . Hence we get (b),  $(T - \lambda I)$  is not inje. And then (d),  $(T - \lambda I)$  is not inv. But  $(d) \Rightarrow (b)$  fails (because *S* is not inv  $\iff$  *S* is not inje *or S* is not surj ). **31** Suppose V is finite-dim and  $v_1, \ldots, v_m \in V$ . Prove that  $(v_1, \ldots, v_m)$  is linely inde  $\iff \exists \ T \in \mathcal{L}(V), v_1, \dots, v_m \ are \ eigvecs \ of \ T \ correspd \ to \ distinct \ eigvals.$ **SOLUTION:** Suppose  $(v_1, ..., v_m)$  is linely inde, extend it to a basis of V as  $(v_1, ..., v_m, ..., v_n)$ . Define  $T \in \mathcal{L}(V)$  by  $Tv_k = kv_k$  for each  $k \in \{1, ..., m, ..., n\}$ . Conversely by [5.10]. **1** Suppose  $T \in \mathcal{L}(V)$  and U is a subsp of V. (a) Prove that if  $U \subseteq \text{null } T$ , then U is invar under T.  $\forall u \in U \subseteq \text{null } T, Tu = 0 \in U. \square$ 

 $\forall u \in U, Tu \in \text{range } T \subseteq U. \square$ 

(b) Prove that if range  $T \subseteq U$ , then U is invar under T.

• Suppose $S, T \in \mathcal{L}(V)$ are such that $ST = TS$ .  (a) Prove that $\operatorname{null}(T - \lambda I)$ is invar under $S$ for any $\lambda \in \mathbf{F}$ .  (b) Prove that $\operatorname{range}(T - \lambda I)$ is invar under $S$ for any $\lambda \in \mathbf{F}$ .	
<b>SOLUTION:</b> Note that $ST = TS \Rightarrow (T - \lambda I)S = S(T - \lambda I)$ . (a) Suppose $v \in \text{null } (T - \lambda I)$ , then $(T - \lambda I)(Sv) = S(T - \lambda I)v = S(0) = 0$ . Hence $Sv \in \text{null } (T - \lambda I)$ and therefore null $(T - \lambda I)$ is invar under $S$ .	
(b) Suppose $v \in \text{range}(T - \lambda I)$ , therefore $\exists u \in V, (T - \lambda I)u = v$ . Then $Sv = S(T - \lambda I)u = (T - \lambda I)(Su) \in \text{range}(T - \lambda I)$ .	
Hence $Sv \in \text{range } (T - \lambda I)$ and therefore range $(T - \lambda I)$ is invar under $S$ .	
• Suppose $S, T \in \mathcal{L}(V)$ are such that $ST = TS$ . 2 Show that $W = \text{null } T$ is invar under $S$ . $\forall u \in W, Tu = 0 \Rightarrow STu = 0 = TSu \Rightarrow Su \in W$ . 3 Show that $U = \text{range } T$ is invar under $S$ . $\forall w \in U, \exists v \in V, Tv = w, TSv = STv = Sw \in U$	
<b>15</b> Suppose $T \in \mathcal{L}(V)$ . Suppose $S \in \mathcal{L}(V)$ is inv.  (a) Prove that $T$ and $S^{-1}TS$ have the same eigvals.  (b) What is the relationship between the eigvecs of $T$ and the eigvecs of $S^{-1}TS$ ?	
Solution: Suppose $\lambda$ is an eigval of $T$ with an eigvec $v$ . Then $S^{-1}TS(S^{-1}v)=S^{-1}Tv=S^{-1}(\lambda v)=\lambda S^{-1}v$ . Thus $\lambda$ is also an eigval of $S^{-1}TS$ with an eigvec $S^{-1}v$ .	
Suppose $\lambda$ is an eigval of $S^{-1}TS$ with an eigvec $v$ . Then $S(S^{-1}TS)v = TSv = \lambda Sv$ . Thus $\lambda$ is also an eigval of $T$ with an eigvec $Sv$ .	П
Or. Note that $S(S^{-1}TS)S^{-1} = T$ . Hence every eigval of $S^{-1}TS$ is an eigval of $S(S^{-1}TS)S^{-1} = T$ . And every eigvec $v$ of $S^{-1}TS$ is $S^{-1}v$ , every eigvec $u$ of $T$ is $Su$ .	
<b>21</b> Suppose $T \in \mathcal{L}(V)$ is inv.  (a) Suppose $\lambda \in \mathbf{F}$ with $\lambda \neq 0$ . Prove that $\lambda$ is an eigval of $T \Longleftrightarrow \frac{1}{\lambda}$ is an eigval of $T^{-1}$ .  (b) Prove that $T$ and $T^{-1}$ have the same eigvecs.	
SOLUTION:	
(a) Suppose $\lambda$ is an eigval of $T$ with an eigvec $v$ . Then $T^{-1}Tv = \lambda T^{-1}v = v \Rightarrow T^{-1}v = \frac{1}{\lambda}v$ . Hence $\frac{1}{\lambda}$ is an eigval of $T^{-1}$ . (b) Suppose $\frac{1}{\lambda}$ is an eigval of $T^{-1}$ with an eigvec $v$ .	
Then $TT^{-1}v = v = \frac{1}{\lambda}Tv \Rightarrow Tv = \lambda v$ . Hence $\lambda$ is an eigval of $T$ .  Or. Note that $(T^{-1})^{-1} = T$ and $1/(\frac{1}{\lambda}) = \lambda$ .	
<b>23</b> Suppose $S,T \in \mathcal{L}(V)$ . Prove that $ST$ and $TS$ have the same eigensts.	

#### SOLUTION:

Suppose  $\lambda$  is an eigval of ST with an eigvec v. Then  $T(STv) = \lambda Tv = TS(Tv)$ .

If Tv = 0 (while  $v \neq 0$ ), then T is not inje  $\Rightarrow (TS - 0I)$  and (ST - 0I) are not inje.

Thus  $\lambda = 0$  is an eigval of ST and TS with the same eigvec v.

Otherwise, $Tv \neq 0$ , then $\lambda$ is an eigval of $TS$ . Reversing the roles of $T$ and $S$ .	
• (2E Ch5.20) Suppose $T \in \mathcal{L}(V)$ has dim $V$ distinct eigenstand $S \in \mathcal{L}(V)$ has the same eigences (but might not with the same eigens). Prove that $ST = TS$ .	
<b>SOLUTION:</b> Let $n = \dim V$ . For each $j \in \{1,, n\}$ , let $v_j$ be an eigeve with eigeval $\lambda_j$ of $T$ and $\alpha_j$ of $S$ . Then $(v_1,, v_n)$ is a basis of $V$ . Because $(ST)v_j = \alpha_j\lambda_jv_j = (TS)v_j$ for each $j$ . Hence $ST = TS$ .	
• Suppose $V$ is finite-dim and $T \in \mathcal{L}(V)$ . Define $\mathcal{A} \in \mathcal{L}(\mathcal{L}(V))$ by $\mathcal{A}(S) = TS$ for each $S \in \mathcal{L}(V)$ . Prove that the set of eigvals of $T$ equals the set of eigvals of $\mathcal{A}$ .	
SOLUTION:	
(a) Suppose $v_1, \ldots, v_m$ are all linely inde eigvecs of $T$ with correspd eigvals $\lambda_1, \ldots, \lambda_m$ respectively (possibly with repetitions). Extend to a basis of $V$ as $(v_1, \ldots, v_m, \ldots, v_n)$ . Then for each $k \in \{1, \ldots, m\}$ , span $(v_k) \subseteq \text{null } (T - \lambda_k I)$ .	
Define $S_k \in \mathcal{L}(V)$ by $S_k(v_j) = v_k$ for each $j \in \{1,, n\}$ , so that range $S_k = \mathrm{span}(v_k)$ for each $k \in \{1,, m\}$ , then $\mathcal{A}(S_k) = TS_k = \lambda_k S_k$ . Thus the eigvals of $T$ are eigvals of $\mathcal{A}$ .  (b) Suppose $\lambda_1,, \lambda_m$ are all eigvals of $\mathcal{A}$ with eigvecs $S_1,, S_m$ respectively.	
Then for each $k \in \{1,, m\}$ , $\exists v \in V, 0 \neq u = S_k(v) \in V \Rightarrow Tu = (TS_k)v = (\lambda_k S_k)v = \lambda_k u$ . Thus the eigvals of $\mathcal{A}$ are eigvals of $T$ .	
OR. (a) Suppose $\lambda$ is an eigval of $T$ with an eigvec $v$ . Let $v_1 = v$ and extend to a basis $(v_1, \ldots, v_m)$ of $V$ . Define $S \in \mathcal{L}(V)$ by $Sv_1 = v_1$ , $Sv_k = 0$ for $k \geq 2$ . Then $(T - \lambda I)Sv_1 = 0 = (T - \lambda I)Sv_k = 0$ . Hence $(T - \lambda I)S = 0 \Rightarrow TS = \lambda S$ while $S \neq 0$ . Thus $\lambda$ is also an eigval of $\mathcal{A}$ .	
(b) Suppose $\lambda$ is an eigval of $\mathcal A$ with an eigvec $S$ . Then $(T-\lambda I)S=0$ while $S\neq 0$ . Hence $(T-\lambda I)$ is not inje. Thus $\lambda$ is also an eigval of $T$ . Comment: Define $\mathcal B\in\mathcal L\left(\mathcal L(V)\right)$ by $\mathcal B(S)=ST$ , $\forall S\in\mathcal L(V)$ . Then the eigvals of $\mathcal B$ are not the eigvals of $T$ .	
<b>4</b> Suppose $T \in \mathcal{L}(V)$ and $V_1, \dots, V_m$ are invar subsps of $V$ under $T$ .  Prove that $V_1 + \dots + V_m$ is invar under $T$ .	
<b>SOLUTION:</b> For each $i=1,\ldots,m,  \forall v_i \in V_i, Tv_i \in V_i$	
Hence $\forall v = v_1 + \dots + v_m \in V_1 + \dots + V_m$ , $Tv = Tv_1 + \dots + Tv_m \in V_1 + \dots + V_m$ .	
<b>6</b> Prove or give a counterexample: If $V$ is finite-dim and $U$ is a subsp of $V$ that is invar under every operator on $V$ , then $U = \{0\}$ or $U = V$ .	
SOLUTION:	
Notice that $V$ might be $\{0\}$ . In this case we are done. Suppose dim $V \ge 1$ . We prove by contraposit	ive:

Suppose  $U \neq \{0\}$  and  $U \neq V$ . Prove that  $\exists T \in \mathcal{L}(V)$  such that U is not invar under T.

Let *W* be such that  $V = U \oplus W$ .

Let  $(u_1, ..., u_m)$  be a basis of U and  $(w_1, ..., w_n)$  be a basis of W.

Hence  $(u_1, \dots, u_m, w_1, \dots, w_n)$  is a basis of V.

Define  $T \in \mathcal{L}(V)$  by  $T(a_1u_1 + \dots + a_mu_m + b_1w_1 + \dots + b_nw_n) = b_1w_1 + \dots + b_nw_n$ .

## • Suppose F = R, $T \in \mathcal{L}(V)$ .

- (a) (OR (9.11))  $\lambda \in \mathbf{R}$ . Prove that  $\lambda$  is an eigval of  $T \Longleftrightarrow \lambda$  is an eigval of  $T_{\mathbf{C}}$ .
- (b) (OR Problem (16))  $\lambda \in \mathbb{C}$ . Prove that  $\lambda$  is an eigral of  $T_{\mathbb{C}} \iff \overline{\lambda}$  is an eigral of  $T_{\mathbb{C}}$ .

#### SOLUTION:

(a) Suppose  $v \in V$  is an eigvec correspd to the eigval  $\lambda$ .

Then 
$$Tv = \lambda v \Rightarrow T_{\mathbf{C}}(v + \mathbf{i}0) = Tv + \mathbf{i}T0 = \lambda v$$
.

Thus  $\lambda$  is an eigval of T.

Suppose  $v + iu \in V_C$  is an eigeec correspd to the eigend  $\lambda$ .

Then  $T_{\rm C}(v+{\rm i}u)=\lambda v+{\rm i}\lambda u\Rightarrow Tv=\lambda v, Tu=\lambda u.$  (Note that v or u might be zero ).

Thus  $\lambda$  is an eigval of  $T_{\rm C}$ .

(b) Suppose  $\lambda$  is an eigval of  $T_{\rm C}$  with an eigvec v + iu.

Let 
$$(v_1, ..., v_n)$$
 be a basis of  $V$ . Write  $v = \sum_{i=1}^n a_i v_i$ ,  $u = \sum_{i=1}^n b_i v_i$ , where  $a_i, b_i \in \mathbb{R}$ .

Then  $T_{\rm C}(v+{\rm i}u)=Tv+{\rm i}Tu=\lambda v+{\rm i}\lambda u=\lambda\sum_{i=1}^n(a_i+{\rm i}b_i)v_i$ . Conjugating two sides, we have:

$$\overline{T_{\mathrm{C}}(v+\mathrm{i}u)} = \overline{Tv+\mathrm{i}Tu} = \overline{Tv}-\mathrm{i}\overline{Tu} = Tv-\mathrm{i}Tu = T_{\mathrm{C}}(\overline{v+\mathrm{i}u}) = \overline{\lambda}\sum_{i=1}^n(a_i+\mathrm{i}b_i)v_i = \overline{\lambda}\sum_{i=1}^n(a_i-\mathrm{i}b_i)v_i.$$

Hence  $\overline{\lambda}$  is an eigval of  $T_{\rm C}$ . To prove the other direction, notice that  $\overline{\left(\overline{\lambda}\right)}=\lambda$ .

• Suppose V is finite-dim,  $T \in \mathcal{L}(V)$ , and  $\lambda \in F$ .

Show that  $\lambda$  is an eigval of  $T \iff \lambda$  is an eigval of the dual operator  $T' \in \mathcal{L}(V')$ .

#### **SOLUTION:**

(a) Suppose  $\lambda$  is an eigval of T with an eigvec v.

Then  $(T - \lambda I_V)$  is not inv.  $\mathbb{X}$  V is finite-dim.

Thus by [3.108, 110], [3.101] and Problem (12) in (3.F),  $(T - \lambda I_V)' = T' - \lambda I_{V'}$  is not inv.

Hence  $\lambda$  is an eigval of T'.

(b) Suppose  $\lambda$  is an eigval T' with an eigvec  $\psi$ . Then  $T'(\psi) = \psi \circ T = \lambda \psi$ .

 $\not \subset \psi \neq 0 \Rightarrow \exists v \in V \text{ such that } \psi(v) \neq 0. \text{ Note that } \psi(Tv) = \lambda \psi(v).$ 

Thus 
$$\lambda = \frac{\psi(Tv)}{\psi(v)} \Rightarrow Tv = \frac{\psi(Tv)}{\psi(v)}v = \lambda v$$
. Hence  $\lambda$  is an eigval of  $T$ .

**7** Suppose  $T \in \mathcal{L}(\mathbb{R}^2)$  is defined by T(x,y) = (-3y,x). Find the eigenst of T.

#### SOLUTION:

Suppose  $\lambda \in \mathbb{R}$  and  $(x,y) \in \mathbb{R}^2 \setminus \{0\}$  such that  $T(x,y) = (-3y,x) = \lambda(x,y)$ . Then  $-3y = \lambda x$  and  $x = \lambda y$ .

Thus  $-3y = \lambda^2 y \Rightarrow \lambda^2 = -3$ , ignoring the possibility of y = 0 (because if y = 0, then x = 0).

Hence the set of solution for this equation is  $\emptyset$ , and therefore T has no eigvals in  $\mathbb{R}$ .

**8** Define  $T \in \mathcal{L}(\mathbf{F}^2)$  by T(w,z) = (z,w). Find all eigens and eigens of T.

#### **SOLUTION:**

Suppose  $\lambda \in \mathbf{F}$  and  $(w, z) \in \mathbf{F}^2$  such that  $T(w, z) = (z, w) = \lambda(w, z)$ . Then  $z = \lambda w$  and  $w = \lambda z$ .

Thus  $z = \lambda^2 z \Rightarrow \lambda^2 = 1$ , ignoring the possibility of z = 0 (  $z = 0 \Rightarrow w = 0$  ).

Hence  $\lambda_1 = -1$  and  $\lambda_2 = 1$  are all eigends of T. For  $\lambda_1 = -1$ , z = -w, w = -z; For  $\lambda_2 = 1$ , z = w. Thus the set of all eigvecs is  $\{(z, -z), (z, z) : z \in \mathbf{F} \land z \neq 0\}$ . • Suppose  $P \in \mathcal{L}(V)$  is such that  $P^2 = P$ . *Prove that if*  $\lambda$  *is an eigval of* P*, then*  $\lambda = 0$  *or*  $\lambda = 1$ *.* **SOLUTION:** (See also at (3.B), just below Problem (25), where (5.B.4) was answered.) Suppose  $\lambda$  is an eigval with an eigvec v. Then  $P(Pv) = Pv \Rightarrow \lambda^2 v = \lambda v$ . Thus  $\lambda = 1$  or 0. **22** Suppose  $T \in \mathcal{L}(V)$  and  $\exists$  nonzero vecs u, w in V such that Tu = 3w and Tw = 3u. Prove that 3 or -3 is an eignal of T. **SOLUTION:** COMMENT: Tu = 3w,  $Tw = 3u \Rightarrow T(Tu) = 9u \Rightarrow T^2$  has an eigval 9.  $Tu = 3w, Tw = 3u \Rightarrow T(u + w) = 3(u + w), T(u - w) = 3(w - u) = -3(u - w).$ **9** Define  $T \in \mathcal{L}(\mathbf{F}^3)$  by  $T(z_1, z_2, z_3) = (2z_2, 0, 5z_3)$ . Find all eigvals and eigvecs of T. **SOLUTION:** Suppose  $\lambda$  is an eigval of T with an eigvec  $(z_1, z_2, z_3) \in \mathbb{F}^3$ . Then  $T(z_1, z_2, z_3) = (2z_2, 0, 5z_3) = \lambda(z_1, z_2, z_3)$ . Thus  $2z_2 = \lambda z_1$ ,  $0 = \lambda z_2$ ,  $5z_3 = \lambda z_3$ . We discuss in two cases: For  $\lambda = 0$ ,  $z_2 = z_3 = 0$  and  $z_1$  can be arbitrary (  $z_1 \neq 0$  ). For  $\lambda \neq 0$ ,  $z_2 = 0 = z_1$ , and  $z_3$  can be arbitrary (  $z_3 \neq 0$  ), then  $\lambda = 5$ . The set of all eigvecs is  $\{(0,0,z), (z,0,0) : z \in F \land z \neq 0\}$ . **10** Define  $T \in \mathcal{L}(\mathbf{F}^n)$  by  $T(x_1, x_2, x_3, ..., x_n) = (x_1, 2x_2, 3x_3, ..., nx_n)$ (a) Find all eigvals and eigvecs of T. (b) Find all invar subsps of V under T. **SOLUTION:** (a) Suppose  $v = (x_1, x_2, x_3, ..., x_n)$  is an eigvec of T with an eigval  $\lambda$ . Then  $Tv = \lambda v = (x_1, 2x_2, 3x_3, ..., nx_n) = (\lambda x_1, \lambda x_2, \lambda x_3, ..., \lambda x_n)$ . Hence  $1, \dots, n$  are eigvals of T. And  $\{(0,\ldots,0,x_{\lambda},0,\ldots,0)\in \mathbb{F}^n:\lambda=1,\ldots,n,\ x_{\lambda}\in \mathbb{F}\wedge x_{\lambda}\neq 0\}$  is the set of all eigences of T. (b) Let  $V_{\lambda} = \{(0, \dots, 0, x_{\lambda}, 0, \dots, 0) \in \mathbf{F}^n : x_{\lambda} \in \mathbf{F} \land x_{\lambda} \neq 0\}$ . Then  $V_1, \dots, V_n$  are invar under T. Hence by Problem (4), every sum of  $V_1, \dots, V_n$  is a invar subsp of V under T. **11** Define  $T: \mathcal{P}(\mathbf{R}) \to \mathcal{P}(\mathbf{R})$  by Tp = p'. Find all eigens and eigens of T. **SOLUTION:** Note that in general,  $\deg p' < \deg p \pmod{\deg 0} = -\infty$ . Suppose  $\lambda$  is an eigval of T with an eigvec p. Suppose  $\lambda \neq 0$ . Then  $\deg \lambda p > \deg p'$  while  $\lambda p \neq p'$ . Contradicts. Thus  $\lambda = 0$ . Therefore  $\deg \lambda p = -\infty = \deg p \Rightarrow p$  is a nonzero const poly. Hence the set of all eigvecs is  $\{C: C \in \mathbb{R} \land C \neq 0\} = \mathcal{P}_0(\mathbb{R}) \setminus \{0\}$ . **12** Define  $T \in \mathcal{L}(\mathcal{P}_4(\mathbf{R}))$  by (Tp)(x) = xp'(x) for all  $x \in \mathbf{R}$ .

Find all eigvals and eigvecs of T.

#### SOLUTION:

Suppose  $\lambda$  is an eigval of T with an eigvec p, then  $(Tp)(x) = xp'(x) = \lambda p(x)$ .

Let 
$$p = a_0 + a_1 x + \dots + a_n x^n$$
.

Then  $xp'(x) = a_1x + 2a_2x^2 + \dots + na_nx^n = \lambda a_0 + \lambda a_1x + \lambda a_2x^2 + \dots + \lambda a_nx^n$ .

Similar to Problem (10), 0, 1, ..., n are eigvals of T.

The set of all eigvecs of *T* is  $\{cx^{\lambda} : \lambda = 0, 1, ..., n, c \in \mathbb{F} \land c \neq 0\}$ .

## **30** Suppose $T \in \mathcal{L}(\mathbb{R}^3)$ and $-4, 5, \sqrt{7}$ are eigens of T.

Prove that  $\exists x \in \mathbb{R}^3$  such that  $Tx - 9x = (-4, 5, \sqrt{7})$ .

**SOLUTION:** Because 9 is not an eigval. Hence (T - 9I) is surj.

## **14** Suppose $V = U \oplus W$ , where U and W are nonzero subsps of V.

Define  $P \in \mathcal{L}(V)$  by P(u + w) = u for each  $u \in U$  and each  $w \in W$ .

Find all eigvals and eigvecs of P.

#### **SOLUTION:**

Suppose  $\lambda$  is an eigval of P with an eigvec (u + w).

Then  $P(u+w) = u = \lambda u + \lambda w \Rightarrow (\lambda - 1)u + \lambda w = 0$ . By [1.44] and  $V = U \oplus W$ ,  $(\lambda - 1)u = \lambda w = 0$ .

Thus if  $\lambda = 1$ , then w = 0; if  $\lambda = 0$ , then u = 0.

Hence the eigvals of P are 0 and 1, the set of all eigvecs in P is  $U \cup W$ .

## **13** Suppose V is finite-dim, $T \in \mathcal{L}(V)$ , and $\lambda \in \mathbf{F}$ .

Prove that  $\exists \alpha \in \mathbf{F}, |\alpha - \lambda| < \frac{1}{1000}$  and  $(T - \alpha I)$  is inv.

#### **SOLUTION:**

Let  $\alpha_k \in \mathbf{F}$  be such that  $|\alpha_k - \lambda| = \frac{1}{1000 + k}$  for each  $k = 1, ..., \dim V + 1$ .

Note that each  $T \in \mathcal{L}(V)$  has at most dim V distinct eigvals.

Hence  $\exists k = 1, ..., \dim V + 1$  such that  $\alpha_k$  is not an eigval of T and therefore  $(T - \alpha_k I)$  is inv.

• Suppose V is finite-dim,  $T \in \mathcal{L}(V)$ , and  $\lambda \in \mathbf{F}$ .

*Prove that*  $\exists \delta > 0$  *such that*  $(T - \alpha I)$  *is inv for all*  $\alpha \in \mathbf{F}$  *such that*  $0 < |\alpha - \lambda| < \delta$ .

#### **SOLUTION:**

If *T* has no eigvals, then  $(T - \alpha I)$  is inje for all  $\alpha \in \mathbf{F}$  and we are done.

Let  $\delta > 0$  be such that, for each eigval  $\lambda_k, \lambda_k \notin (\lambda - \delta, \lambda) \cup (\lambda, \lambda + \delta)$ .

So that for all  $\alpha \in \mathbf{F}$  such that  $0 < |\alpha - \lambda| < \delta$ ,  $(T - \alpha I)$  is not inje.

## 17 Give an example of an operator on $\mathbb{R}^4$ that has no (real) eigvals.

**SOLUTION:** Where  $(e_1, e_2, e_3, e_4)$  is the standard basis of  $\mathbb{R}^4$ .

$$\text{Define } T \in \mathcal{L}(\mathbf{R}^4) \text{ by } \mathcal{M}\left(T, (e_1, e_2, e_3, e_4)\right) = \begin{pmatrix} 1 & 1 & 1 & 1 \\ -1 & 1 & -1 & -1 \\ 3 & 8 & 11 & 5 \\ 3 & -8 & -11 & 5 \end{pmatrix}.$$

Suppose  $\lambda$  is an eigval of T with an eigvec (x, y, z, w).

Then 
$$T(x, y, z, w) = \lambda(x, y, z, w) \Rightarrow$$

$$\begin{cases}
(1 - \lambda)x + y + z + w = 0 \\
-x + (1 - \lambda)y - z - w = 0 \\
3x + 8y + (11 - \lambda)z + 5w = 0 \\
3x - 8y - 11z + (5 - \lambda)w = 0
\end{cases}$$

This linear equation has no solutions.

(You can type it on https://zh.numberempire.com/equationsolver.php to check.) Or. Define  $T \in \mathcal{L}(\mathbb{R}^4)$  by  $T(x_1, x_2, x_3, x_4) = (-x_2, x_1, -x_4, x_3)$ . Suppose  $\lambda$  is an eigval of T with an eigvec (x, y, z, w). Then  $T(x, y, z, w) = (\lambda x, \lambda y, \lambda z, \lambda w) = (-y, x, -w, z) \Rightarrow \begin{cases} x = \lambda y \\ -w = \lambda z \end{cases} \Rightarrow \begin{cases} -xy = \lambda^2 xy \\ -zw = \lambda^2 zw \end{cases}$ If  $xy \neq 0$  or  $zw \neq 0$ , then  $\lambda^2 = -1$ , we fail. Otherwise,  $xy = 0 \Rightarrow x = y = 0$ , for if  $x \neq 0$ , then  $\lambda = 0 \Rightarrow x = 0$ , contradicts. Similarly, y = z = w = 0. Then we fail. Thus *T* has no eigvals. • Suppose  $(v_1, ..., v_n)$  is a basis of V and  $T \in \mathcal{L}(V)$ ,  $\mathcal{M}(T, (v_1, ..., v_n)) = A$ . *Prove that if*  $\lambda$  *is an eigval of* T*, then*  $|\lambda| \leq n \max\{|A_{j,k}| : 1 \leq j, k \leq n\}$ . **SOLUTION:** First we show that  $|\lambda| = n \max \{|A_{i,k}| : 1 \le j, k \le n\}$  for some cases. Consider  $A = \begin{pmatrix} k & \cdots & k \\ \vdots & \ddots & \vdots \\ k & \cdots & k \end{pmatrix}$ . Then nk is an eigval of T with an eigvec  $v_1 + \cdots + v_n$ . Now we show that if  $|\lambda| \neq n \max\{|A_{j,k}| : 1 \leq j, k \leq n\}$ , then  $|\lambda| < n \max\{|A_{j,k}| : 1 \leq j, k \leq n\}$ . **18** Show that the forward shift operator  $T \in \mathcal{L}(\mathbf{F}^{\infty})$ *defined by*  $T(z_1, z_2, ...) = (0, z_1, z_2, ...)$  *has no eigvals.* **SOLUTION:** Suppose  $\lambda$  is an eigval of T with an eigvec  $(z_1, z_2, ...)$ . Then  $T(z_1, z_2, ...) = (\lambda z_1, \lambda z_2, ...) = (0, z_1, z_2, ...).$ Thus  $\lambda z_1 = 0, \lambda z_2 = z_1, ..., \lambda z_k = z_{k-1}, ...$ Let  $\lambda=0$ , then  $\lambda z_2=z_1=0=\lambda z_k=z_{k-1}$ , therefore  $(z_1,z_2,\dots)=0$  is not an eigvec. Suppose  $\lambda \neq 0$ . Then  $\lambda z_1 = 0 \Rightarrow z_1 = 0 \Rightarrow z_2 = 0 = z_k$  for all  $k \in \mathbb{N}^+$ . And then  $(z_1, z_2, ...) = 0$  is not an eigvec. Hence T has no eigvals. **19** Suppose  $n \in \mathbb{N}^+$ . Define  $T \in \mathcal{L}(\mathbf{F}^n)$  by  $T(x_1, ..., x_n) = (x_1 + ... + x_n, ..., x_1 + ... + x_n).$ *In other words, the entries of*  $\mathcal{M}(T)$  *with resp to the standard basis are all* 1's. Find all eigvals and eigvecs of T. **SOLUTION:** Suppose  $\lambda$  is an eigval of T with an eigvec  $(x_1, \dots, x_n)$ . Then  $T(x_1, ..., x_n) = (\lambda x_1, ..., \lambda x_n) = (x_1 + ... + x_n, ..., x_1 + ... + x_n).$ Thus  $\lambda x_1 = \dots = \lambda x_n = x_1 + \dots + x_n$ . For  $\lambda = 0$ ,  $x_1 + \dots + x_n = 0$ . For  $\lambda \neq 0$ ,  $x_1 = \cdots = x_n$  and then  $\lambda x_k = nx_k$  for each k. Hence 0, n are eigvecs of T. And the set of all eigvecs of T is  $\{(x_1, \dots, x_n) \in \mathbb{F}^n : x_1 + \dots + x_n = 0 \lor x_1 = \dots = x_n\}$ . **20** Define the backward shift operator  $S \in \mathcal{L}(\mathbf{F}^{\infty})$  by  $S(z_1, z_2, z_3, \dots) = (z_2, z_3, \dots)$ .

- (a) Show that every element of F is an eigral of S.
- (b) Find all eigvecs of S.

#### **SOLUTION:**

Suppose  $\lambda$  is an eigval of S with an eigvec  $(z_1, z_2, ...)$ .

Then 
$$S(z_1, z_2, z_3 \dots) = (\lambda z_1, \lambda z_2, \dots) = (z_2, z_3, \dots).$$

Thus 
$$\lambda z_1 = z_2, \lambda z_2 = z_3, ..., \lambda z_k = z_{k+1}, ...$$

For 
$$\lambda = 0$$
,  $\lambda z_1 = z_2 = 0 = \lambda z_2 = z_3 = \dots = z_k$  for all  $k$ .

While  $z_1$  can be arbitrary, so that  $(z_1, 0, ...)$  is an eigeec with  $z_1 \neq 0$ .

For 
$$\lambda \neq 0$$
,  $\lambda^k z_1 = \lambda^{k-1} z_2 = \dots = \lambda z_k = z_{k+1}$  for all  $k$ .

Then 
$$(z_1, \lambda z_1, \lambda^2 z_1, \dots, \lambda^k z_1, \dots)$$
 is an eigeve with  $z_1 \neq 0$ .

Hence (a) each element of  $\lambda \in \mathbf{F}$  is an eigval of T.

And (b) the set of all eigvecs of 
$$T$$
 is  $\{(z_1, \lambda z_1, \lambda^2 z_1, \dots, \lambda^k z_1, \dots) \in \mathbb{F}^\infty : \lambda \in \mathbb{F}, z_1 \neq 0\}$ 

## **24** Suppose $A \in \mathbf{F}^{n,n}$ . Define $T \in \mathcal{L}(\mathbf{F}^n)$ by Tx = Ax, where elements of $\mathbf{F}^n$ are thought of as n-by-1 col vecs.

- (a) Suppose the sum of the entries in each row of A equals 1. Prove that 1 is an eigval of T.
- (b) Suppose the sum of the entries in each col of A equals 1. Prove that 1 is an eigval of T.

#### **SOLUTION:**

(a) Suppose  $\lambda$  is an eigval of T with an eigvec  $x = \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix}$ .

Then 
$$Tx = Ax = \begin{pmatrix} \sum_{c=1}^{n} A_{1,c} x_c \\ \vdots \\ \sum_{c=1}^{n} A_{n,c} x_c \end{pmatrix} = \lambda \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix}$$
. While  $\sum_{r=1}^{n} A_{R,c} = 1$  for each  $R = 1, \dots, n$ .

Thus if we let  $x_1 = \cdots = x_n$ , then  $\lambda = 1$ , and hence is an eigval of T.

(b) Suppose  $\lambda$  is an eigval of T with an eigvec  $x = \begin{pmatrix} x_1 \\ \vdots \\ x \end{pmatrix}$ .

Then 
$$Tx = Ax = \begin{pmatrix} \sum_{r=1}^{n} A_{1,r} x_r \\ \vdots \\ \sum_{r=1}^{n} A_{n,r} x_r \end{pmatrix} = \lambda \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix}$$
. While  $\sum_{r=1}^{n} A_{r,C} = 1$  for each  $C = 1, \dots, n$ .

Thus 
$$\sum_{r=1}^{n} (Ax)_{r,\cdot} = \sum_{r=1}^{n} (Ax)_{r,1}$$

$$= \sum_{c=1}^{n} (A_{1,c} + \dots + A_{n,c}) x_c = \sum_{c=1}^{n} x_c = \lambda \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix}.$$

Hence  $\lambda = 1$ , for all x such that  $\sum_{c=1}^{n} x_{c,1} \neq 0$ .

OR. Prove that (T - I) is not inv, so that we can conclude  $\lambda = 1$  is an eigval.

Because 
$$(T - I)x = (A - \mathcal{M}(I))x = \begin{pmatrix} \sum_{r=1}^{n} A_{1,r}x_r - x_1 \\ \vdots \\ \sum_{r=1}^{n} A_{n,r}x_r - x_n \end{pmatrix} = \begin{pmatrix} y_1 \\ \vdots \\ y_n \end{pmatrix}.$$

Then 
$$y_1 + \dots + y_n = \sum_{r=1}^n \sum_{c=1}^n (A_{r,c} x_c - x_r) = \sum_{c=1}^n x_c \sum_{r=1}^n A_{r,c} - \sum_{r=1}^n x_r = 0.$$

Thus range 
$$(T-I) \subseteq \{ \begin{pmatrix} y_1 \\ \vdots \\ y_n \end{pmatrix} \in \mathbf{F}^n : y_1 + \dots + y_n = 0 \}$$
. Hence  $(T-I)$  is not surj.  $\square$ 

- Suppose  $A \in \mathbf{F}^{n,n}$ . Define  $T \in \mathcal{L}(\mathbf{F}^n)$  by Tx = xA, where elements of  $\mathbf{F}^n$  are thought of as 1-by-n row vecs.
  - (a) Suppose the sum of the entries in each col of A equals 1. Prove that 1 is an eigval of T.
  - (b) Suppose the sum of the entries in each row of A equals 1. Prove that 1 is an eigval of T.

#### **SOLUTION:**

(a) Suppose  $\lambda$  is an eigval of T with an eigvec  $x = (x_1 \cdots x_n)$ .

Then 
$$Tx = xA = \left(\sum_{r=1}^{n} x_r A_{r,1} \cdots \sum_{r=1}^{n} x_r A_{r,n}\right) = \lambda \left(x_1 \cdots x_n\right)$$
. While  $\sum_{r=1}^{n} A_{r,C} = 1$  for each  $C = 1, \dots, n$ . Thus if we let  $x_1 = \dots = x_n$ , then  $\lambda = 1$ , hence is an eigval of  $T$ .

(b) Suppose  $\lambda$  is an eigval of T with an eigvec  $x = \begin{pmatrix} x_1 & \cdots & x_n \end{pmatrix}$ .

Then 
$$Tx = xA = \left(\sum_{c=1}^{n} x_c A_{c,1} \cdots \sum_{c=1}^{n} x_c A_{c,n}\right) = \lambda \left(x_1 \cdots x_n\right)$$
. While  $\sum_{c=1}^{n} A_{R,c} = 1$  for each  $R = 1, \dots, n$ .  
Thus  $\sum_{c=1}^{n} (xA)_{.,c} = \sum_{c=1}^{n} (xA)_{1,c} = \sum_{c=1}^{n} (A_{c,1} + \cdots + A_{c,n}) x_c = \sum_{c=1}^{n} x_c = \lambda \left(x_1 + \cdots + x_n\right)$ .

Hence 
$$\lambda = 1$$
, for all  $x$  such that  $\sum_{r=1}^{n} x_{1,r} \neq 0$ .

Or. Prove that (T - I) is not inv, so that we can conclude  $\lambda = 1$  is an eigval.

Because 
$$(T - I)x = x (A - \mathcal{M}(I)) = = \left(\sum_{c=1}^{n} x_c A_{c,1} - x_1 \cdots \sum_{c=1}^{n} x_c A_{c,n} - x_n\right) = (y_1 \cdots y_n).$$

Then 
$$y_1 + \dots + y_n = \sum_{c=1}^n \sum_{r=1}^n (x_r A_{r,c} - x_c) = \sum_{r=1}^n x_r \sum_{c=1}^n A_{r,c} - \sum_{c=1}^n x_c = 0.$$

Thus range 
$$(T-I) \subseteq \{ (y_1 \dots y_n) \in \mathbb{F}^n : y_1 + \dots + y_n = 0 \}$$
. Hence  $(T-I)$  is not surj.  $\square$ 

**25** Suppose  $T \in \mathcal{L}(V)$  and u, w are eigences of T such that u + w is also an eigence of T. Prove that u and w are eigences of T correspond to the same eigend.

#### SOLUTION:

Suppose  $\lambda_1, \lambda_2, \lambda_0$  are eigvals of T correspond to u, w, u + w respectively.

Then 
$$T(u+w)=\lambda_0(u+w)=Tu+Tw=\lambda_1u+\lambda_2w\Rightarrow (\lambda_0-\lambda_1)u=(\lambda_2-\lambda_0)w.$$

Notice that u, w, u + w are nonzero.

If (u, w) is linely depe, then let w = cu, therefore

$$\lambda_2 c u = T w = c T u = \lambda_1 c u \qquad \Rightarrow \lambda_2 = \lambda_1.$$
  
$$\lambda_0 (u + w) = T (u + w) = \lambda_1 u + \lambda_1 c u = \lambda_1 (u + w) \Rightarrow \lambda_0 = \lambda_1.$$

Otherwise, 
$$\lambda_0 - \lambda_1 = \lambda_2 - \lambda_0 = 0 \Rightarrow \lambda_1 = \lambda_2 = \lambda_0$$
.

**26** Suppose  $T \in \mathcal{L}(V)$  is such that every nonzero vec in V is an eigvec of T. Prove that T is a scalar multi of the identity operator.

#### **SOLUTION:**

Because  $\forall v \in V, \exists ! \lambda_v \in F, Tv = \lambda_v v$ . For any two distinct nonzero vecs  $v, w \in V$ ,

$$T(v+w) = \lambda_{v+w}(v+w) = Tv + Tw = \lambda_v v + \lambda_w w \Rightarrow (\lambda_{v+w} - \lambda_v)v = (\lambda_w - \lambda_{v+w})w.$$

If (v, w) is linely inde, then let w = cv, therefore

```
\lambda_v cv = cTv = Tw = \lambda_w w \\ \lambda_{v+w}(v+w) = T(v+w) = Tv + Tw = \lambda_v(v+cv) \Rightarrow \lambda_{v+w} = \lambda_v. Otherwise, \lambda_v = \lambda_{v+w} = \lambda_w.
```

**27, 28** Suppose V is finite-dim and  $k \in \{1, ..., \dim V - 1\}$ .

Suppose  $T \in \mathcal{L}(V)$  is such that every subsp of V of dim k is invar under T.

*Prove that T is a scalar multi of the identity operator.* 

**SOLUTION**: We prove the contrapositive:

Suppose T is not a scalar multi of I. Prove that  $\exists$  an invar subsp U of V under T such that dim U = k.

By Problem (26),  $\exists v \in V$  and  $v \neq 0$  such that v is not an eigeec of T.

Thus (v, Tv) is linely inde. Extend to a basis of V as  $(v, Tv, u_1, ..., u_n)$ .

Let  $U = \text{span}(v, u_1, \dots, u_{k-1}) \Rightarrow U$  is not an invar subsp of V under T.

Or. Suppose  $0 \neq v = v_1 \in V$  and extend to a basis of V as  $(v_1, \dots, v_n)$ .

Suppose  $Tv_1 = c_1v_1 + \cdots + c_nv_n$ ,  $\exists ! c_i \in F$ .

Consider a k - dim subsp  $U = \text{span}(v_1, v_{\alpha_1}, \dots, v_{\alpha_{k-1}})$ ,

where  $\alpha_j \in \{2, ..., n\}$  for each j, and  $\alpha_1, ..., \alpha_{k-1}$  are distinct.

Because every subsp such *U* is invar.

Thus 
$$Tv_1 = c_1v_1 + \dots + c_nv_n \in U \Rightarrow c_2 = \dots = c_n = 0$$
,

for if not, for each  $c_i \neq 0$ , choose  $U_i$  such that  $\alpha_j \in \{2, \dots, i-1, i+1, \dots, n\}$  for each j,

hence for  $Tv_1 = c_1v_1 + \dots + c_{i-1}v_{i-1} + c_{i+1}v_{i+1} + \dots + c_nv_n \in U_i$ , we conclude that  $c_i = 0$ .

• Suppose V is finite-dim and  $T \in \mathcal{L}(V)$ . Prove that

T has an eigval  $\iff \exists$  an invar subsp U of V under T such that dim  $U = \dim V - 1$ .

#### **SOLUTION:**

(a) Suppose  $\lambda$  is an eigval of T with an eigvec v.

( If dim V = 1, then  $U = \{0\}$  and we are done. )

Extend  $v_1 = v$  to a basis of V as  $(v_1, v_2 ..., v_n)$ .

Step 1 If  $\exists w_1 \in \text{span}(v_2, ..., v_n)$  such that  $0 \neq Tw_1 \in \text{span}(v_1)$ ,

then extend  $w_1 = \alpha_{1,1}$  to a basis of span  $(v_2, \dots, v_n)$  as  $(\alpha_{1,1}, \dots, \alpha_{1,n-1})$ .

Otherwise, we stop at step 1.

:

Step k If  $\exists w_k \in \text{span}(\alpha_{k-1,2},...,\alpha_{k-1,n-k+1})$  such that  $0 \neq Tw_k \in \text{span}(v_1, w_1,...,w_{k-1})$ ,

then extend  $w_k = \alpha_{k,1}$  to a basis of span  $(\alpha_{k-1,2}, \dots, \alpha_{k-1,n-k+1})$  as  $(\alpha_{k,1}, \dots, \alpha_{k,n-k})$ .

Otherwise, we stop at step k.

:

Finally, we stop at step m, thus we get  $(v_1, w_1, \dots, w_{m-1})$  and  $(\alpha_{m-1,2}, \dots, \alpha_{m-1,n-m+1})$ ,

range 
$$T|_{\text{span}(w_1,...,w_{m-1})} = \text{span}(v_1, w_1, ..., w_{m-2}) \Rightarrow \dim \text{null } T|_{\text{span}(w_1,...,w_{m-1})} = 0$$
,

 $\operatorname{span}\left(\underbrace{v_1,w_1,\ldots,w_{m-1}}_{\operatorname{length}\operatorname{dim}m}\right) \text{ and } \operatorname{span}\left(\underbrace{\alpha_{m-1,2},\ldots,\alpha_{m-1,n-m+1}}_{\operatorname{length}\operatorname{dim}(n-m)}\right) \text{ are invar under } T.$ 

Let  $U = \operatorname{span}(\alpha_{m-1,2}, \dots, \alpha_{m-1,n-m+1}) \oplus \operatorname{span}(v_1, w_1, \dots, w_{m-2})$  and we are done.

Comment: Both span  $(v_2,\ldots,v_n)$  and span  $(\alpha_{m-1,2},\ldots,\alpha_{m-1,n-m+1}) \oplus \text{span}\,(w_1,\ldots,w_{m-1})$  are in  $\mathcal{S}_V \text{span}\,(v_1)$ .

(b) Suppose *U* is an invar subpsace of *V* under *T* with dim  $U = m = \dim V - 1$ .

( If m = 0, then dim V = 1 and we are done. ) Let  $(u_1, ..., u_m)$  be a basis of U, extend to a basis of V as  $(u_0, u_1, ..., u_m)$ . We discuss in cases: For  $Tu_0 \in U$ , then range T = U so that T is not surj  $\iff$  null  $T \neq \{0\} \iff 0$  is an eigval of T. For  $Tu_0 \notin U$ , then  $Tu_0 = a_0u_0 + a_1u_1 + \cdots + a_mu_m$ . (1) If  $Tu_0 \in \text{span}(u_0)$ , then we are done. (2) Otherwise, if range  $T|_U = U$ , then  $Tu_0 = a_0u_0$  and we are done; otherwise,  $T|_U: U \to U$  is not surj ( $\Rightarrow$  not inje), suppose range  $T|_U \neq \{0\}$ (Suppose range  $T|_U = \{0\}$ . If dim U = 0 then we are done. Otherwise  $\exists u \in U \setminus \{0\}, Tu = 0$  and we are done.) then  $\exists u \in U \setminus \{0\}$ , Tu = 0, we are done. **29** Suppose  $T \in \mathcal{L}(V)$  and range T is finite-dim. *Prove that T has at most*  $1 + \dim \operatorname{range} T$  *distinct eigvals.* **SOLUTION:** Let  $\lambda_1, \dots, \lambda_m$  be the distinct eigends of T and let  $v_1, \dots, v_m$  be the corresponding eigens. (Because range T is finite-dim. Let  $(v_1, \dots, v_n)$  be a list of all the linely inde eigvecs of T, so that the correspd eigvals are finite. ) For every  $\lambda_k \neq 0$ ,  $T(\frac{1}{\lambda_k}v_k) = v_k$ . And if T = T - 0I is not inje, then  $\exists ! \lambda_A = 0$  and  $Tv_A = \lambda_A v_A = 0$ . Thus for  $\lambda_k \neq 0$ ,  $\forall k$ ,  $(Tv_1, ..., Tv_m)$  is a linely inde list of length m in range T. And for  $\lambda_A = 0$ , there is a linely inde list of length at most (m-1) in range T. Hence, by [2.23],  $m \le \dim \operatorname{range} T + 1$ . **32** Suppose that  $\lambda_1, \ldots, \lambda_n$  are distinct real numbers. *Prove that*  $(e^{\lambda_1}x, \dots, e^{\lambda_n}x)$  *is linely inde in*  $\mathbb{R}^{\mathbb{R}}$ . HINT: Let  $V = \text{span}(e^{\lambda_1}x, \dots, e^{\lambda_n}x)$ , and define an operator  $D \in \mathcal{L}(V)$  by Df = f'. Find eigvals and eigvecs of D. **SOLUTION:** Define *V* and  $D \in \mathcal{L}(V)$  as in HINT. Then because for each k,  $D(e^{\lambda_k x}) = \lambda_k e^{\lambda_k x}$ . Thus  $\lambda_1, \dots, \lambda_n$  are distinct eigvals of D. By [5.10],  $(e^{\lambda_1}x, \dots, e^{\lambda_n}x)$  is linely inde in  $\mathbb{R}^R$ . • Suppose  $\lambda_1, \dots, \lambda_n$  are distinct positive numbers. *Prove that*  $(\cos(\lambda_1 x), ..., \cos(\lambda_n x))$  *is linely inde in*  $\mathbb{R}^{\mathbb{R}}$ . **SOLUTION:** Let  $V = \text{span}\left(\cos(\lambda_1 x), \dots, \cos(\lambda_n x)\right)$ . Define  $D \in \mathcal{L}(V)$  by Df = f'. Then because  $D(\cos(\lambda_k x)) = -\lambda_k \sin(\lambda_k x)$ .  $X D(\sin(\lambda_k x)) = \lambda_k \cos(\lambda_k x)$ . Thus  $D^2(\cos(\lambda_k x)) = -\lambda_k^2 \cos(\lambda_k x)$ . Notice that  $\lambda_1, \dots, \lambda_n$  are distinct  $\Rightarrow -\lambda_1^2, \dots, -\lambda_n^2$  are distinct. Hence  $-\lambda_1^2, \dots, -\lambda_n^2$  are distinct eigvals of  $D^2$ with the correspd eigvecs  $\cos(\lambda_1 x), \dots, \cos(\lambda_n x)$  respectively. And then  $(\cos(\lambda_1 x), \dots, \cos(\lambda_n x))$  is linely inde in  $\mathbb{R}^R$ . 

• Suppose V is finite-dim,  $T \in \mathcal{L}(V)$ , and U is a subsp of V invar under T. The quotient operator  $T/U \in \mathcal{L}(V/U)$  is defined by

$$(T/U)(v+U) = Tv + U$$
 for each  $v \in V$ .

- (a) Show that the definition of T/U makes sense (which requires using the condition that U is invar under T) and show that T/U is an operator on V/U.
- (b) (OR Problem 35) Show that each eigenal of T/U is an eigenal of T.

#### **SOLUTION:**

(a) Suppose  $v + U = w + U \iff v - w \in U$ ).

Then because *U* is invar under T,  $T(v-w) \in U \iff Tv+U=Tw+U$ .

Hence the definition of T/U makes sense.

Now we show that T/U is linear.

$$\forall v + U, w + U \in V/U, \lambda \in \mathbf{F}, (T/U) ((v + U) + \lambda(w + U))$$

$$= T(v + \lambda w) + U = (Tv + U) + \lambda(Tw + U)$$

$$= (T/U)(v + U) + \lambda(T/U)(w).$$

(b) Suppose  $\lambda$  is an eigval of T/U with an eigvec v + U.

Then 
$$(T/U)(v+U) = \lambda(v+U) = Tv + U = \lambda v + U \Rightarrow (T-\lambda I)v \in U$$
.

If 
$$(T - \lambda I)v = 0 \Rightarrow Tv = \lambda v$$
, then we are done.

Otherwise, then  $(T|_U - \lambda I) : U \to U$  is inv,

hence 
$$\exists ! w \in U, (T|_U - \lambda I)(w) = (T - \lambda I)v \Rightarrow T(v - w) = \lambda(v - w).$$

Note that  $v - w \neq 0$  (for if not,  $v \in U \Rightarrow v + U = 0 + U$  is not an eigvec).

## **36** *Prove or give a counterexample:*

The result of (b) in Exercise 35 is still true if V is infinite-dim.

**SOLUTION**: A counterexample:

Consider 
$$V = \text{span}(1, e^x, e^{2x}, \dots)$$
 in  $\mathbb{R}^{\mathbb{R}}$ , and a subsp  $U = \text{span}(e^x, e^{2x}, \dots)$  of  $V$ .

Define  $T \in \mathcal{L}(V)$  by  $Tf = e^x f$ . Then range T = U is invar under T.

Consider 
$$(T/U)(1 + U) = e^x + U = 0$$

 $\Rightarrow$  0 is an eigval of T/U but is not an eigval of T

(null 
$$T = \{0\}$$
, for if not,  $\exists f \in V \setminus \{0\}$ ,  $(Tf)(x) = e^x f(x) = 0$ ,  $\forall x \in \mathbb{R} \Rightarrow f = 0$ , contradicts).

**33** Suppose  $T \in \mathcal{L}(V)$ . Prove that T/(range T) = 0.

#### **SOLUTION:**

$$\forall v + \text{range } T \in V/\text{range } T, v + \text{range } T \in \text{null } (T/(\text{range } T))$$
  
 $\Rightarrow \text{null } (T/(\text{range } T)) = V/\text{range } T \Rightarrow T/(\text{range } T) \text{ is a zero map.}$ 

**34** Suppose  $T \in \mathcal{L}(V)$ . Prove that T/(null T) is inje  $\iff$   $(\text{null } T) \cap (\text{range } T) = \{0\}$ .

#### SOLUTION:

(a) Suppose T/(null T) is inje.

Then 
$$(T/(\operatorname{null} T))(u + \operatorname{null} T) = Tu + \operatorname{null} T = 0$$

$$\Leftrightarrow Tu \in \text{null } T \not \subset Tu \in \text{range } T \Leftrightarrow u + \text{null } T = 0 \Leftrightarrow u \in \text{null } T \Leftrightarrow Tu = 0.$$

Thus  $(\text{null } T) \cap (\text{range } T) = \{0\}.$ 

(b) Suppose (null T)  $\cap$  (range T) = {0}.

Then 
$$(T/(\operatorname{null} T))(u + \operatorname{null} T) = Tu + \operatorname{null} T = 0$$

$$\Leftrightarrow Tu \in \operatorname{null} T \not \subset Tu \in \operatorname{range} T \Leftrightarrow Tu = 0 \Leftrightarrow u \in \operatorname{null} T \Leftrightarrow u + \operatorname{null} T = 0.$$

ENDED

## **5.B: I** [ *See* 5.B: II *below.* ]

COMMENT: 下面,为了照顾原书 5.B 节两版过大的差距,特别将此节补注分成 I 和 II 两部分。 又考虑到第 4 版中 5.B 节的「本征值与极小多项式」与「奇维度实向量空间的本征值」 (相当一部分是从原第 3 版 8.C 节挪过来的)是对原第 3 版「多项式作用于算子」与 「本征值的存在性」(也即第 3 版 5.B 前半部分)的极大扩充,这一扩充也大大改变了 原第 3 版后半部分的「上三角矩阵」这一小节,故而将第 4 版 5.B 节放在第 3 版前面。

I 部分除了覆盖第 4 版 5.B 节全部和第 3 版 5.B 节前半部分与之相关的所有习题,还会覆盖第 4 版 5.A 节末。

II 部分除了覆盖第 3 版 5.B 节后半部分「上三角矩阵」这一小节,还会覆盖第 4 版 5.C 节;并且,下面 5.C 还会覆盖第 4 版 5.D 节。

「注:[8.40] OR.(4E 5.22) — mini poly; [8.44,8.45] OR.(4E 5.25,5.26) — how to find the mini poly; [8.49] OR.(4E 5.27) — eigvals are the zeros of the mini poly; [8.46] OR.(4E 5.29) —  $q(T) = 0 \Leftrightarrow q$  is a poly multi of the mini poly.]

[1]: (4E.5.A.33), 13; [2]: (4E.5.B.25, 26, 27, 28, 22); [3]: 6, (4E.5.B.10, 23, 21), 19; [4]: (4E 5.B.13, 14); [5]: (4E.5.B.20, 24), 10; [6]: 1, 2, 7, 3, (4E.5.A.32); [7]: 8, (4E 5.B.12, 3, 8); [8]: (4E.5.B.11), 5, (4E.5.B.7); [9]: 11, 12, (4E.5.B.17, 18); [10]: 18 OR. (4E.5.B.15), (4E.5.B.9), (4E.5.B.16); [11]: (2E Ch5.24), (4E.5.B.29).

- Suppose  $T \in \mathcal{L}(V)$  and m is a positive integer.
  - (a) Prove that T is inje  $\iff$   $T^m$  is inje.
  - (b) Prove that T is surj  $\iff$  T<sup>m</sup> is surj.

#### **SOLUTION:**

- (a) Suppose  $T^m$  is inje. Then  $Tv = 0 \Rightarrow T^{m-1}Tv = T^mv = 0 \Rightarrow v = 0$ . Suppose T is inje. Then  $T^mv = T^{m-1}v = \cdots = T^2v = Tv = v = 0$ .
- (b) Suppose  $T^m$  is surj.  $\forall u \in V, \exists v \in V, T^m v = u = Tw$ , let  $w = T^{m-1}v$ .  $\Box$  Suppose T is surj. Then  $\forall u \in V, \exists v_1, \dots, v_m \in V, T(v_1) = T^2v_2 = \dots = T^mv_m = u$ .  $\Box$

## • Note For [5.17]:

Suppose  $T \in \mathcal{L}(V)$ ,  $p \in \mathcal{P}(\mathbf{F})$ . Prove that  $\operatorname{null} p(T)$  and  $\operatorname{range} p(T)$  are invar under T. Solution: Using the commutativity in [5.10].

(a) Suppose  $u \in \text{null } p(T)$ . Then p(T)u = 0.

Thus p(T)(Tu) = (p(T)T)u(Tp(T))u = T(p(T)u) = 0. Hence  $Tu \in \text{null } p(T)$ .

(b) Suppose  $u \in \text{range } p(T)$ . Then  $\exists v \in V \text{ such that } u = p(T)v$ .

Thus  $Tu = T(p(T)v) = p(T)(Tv) \in \text{range } p(T)$ .

• Note For [5.21]: Every operator on a finite-dim nonzero complex vecsp has an eigval.

Suppose *V* is a finite-dim complex vecsp of dim n > 0 and  $T \in \mathcal{L}(V)$ .

Choose a nonzero  $v \in V$ .  $(v, Tv, T^2v, ..., T^nv)$  of length n+1 is linely depe.

Suppose  $a_0I + a_1T + \dots + a_nT^n = 0$ . Then  $\exists a_i \neq 0$ .

Thus  $\exists$  nonconst p of smallest degree (  $\deg p > 0$  ) such that p(T)v = 0. Because  $\exists \lambda \in \mathbb{C}$  such that  $p(\lambda) = 0 \Rightarrow \exists q \in \mathcal{P}(\mathbb{C}), p(z) = (z - \lambda)q(z), \forall z \in \mathbb{C}$ . Thus  $0 = p(T)v = (T - \lambda I)(q(T)v)$ . By the minimality of deg p and deg  $q < \deg p$ ,  $q(T)v \neq 0$ . Then  $(T - \lambda I)$  is not inje. Thus  $\lambda$  is an eigval of T with eigvec q(T)v. • Example: an operator on a complex vecsp with no eigvals Define  $T \in \mathcal{L}(\mathcal{P}(\mathbf{C}))$  by (Tp)(z) = zp(z). Suppose  $p \in \mathcal{P}(\mathbb{C})$  is a nonzero poly. Then deg  $Tp = \deg p + 1$ , and thus  $Tp \neq \lambda p$ ,  $\forall \lambda \in \mathbb{C}$ . Hence *T* has no eigvals. **13** Suppose V is a complex vecsp and  $T \in \mathcal{L}(V)$  has no eigvals. *Prove that every subsp of* V *invar under* T *is either*  $\{0\}$  *or infinite-dim.* **SOLUTION**: Suppose U is a finite-dim nonzero invar subsp on  $\mathbb{C}$ . Then by [5.21],  $T|_U$  has an eigval. • TIPS: For  $T_1, \ldots, T_m \in \mathcal{L}(V)$ : (a) Suppose  $T_1, \dots, T_m$  are all inje. Then  $(T_1 \circ \dots \circ T_m)$  is inje. (b) Suppose  $(T_1 \circ \cdots \circ T_m)$  is not inje. Then at least one of  $T_1, \ldots, T_m$  is not inje. (c) At least one of  $T_1, \dots, T_m$  is not inje  $\Rightarrow (T_1 \circ \dots \circ T_m)$  is not inje. **EXAMPLE:** On infinite-dim only. Let  $V = \mathbf{F}^{\infty}$ . Let S be the backward shift ( surj but not inje ) Let T be the forward shift ( inje but not surj )  $\Rightarrow$  Then ST = I. **16** Suppose  $0 \neq v \in V$ . Define  $S \in \mathcal{L}(\mathcal{P}_{\dim V}(\mathbf{C}))$ , V) by S(p) = p(T)v. Prove [5.21]. **SOLUTION:** Because dim  $\mathcal{P}_{\dim V}(\mathbf{C}) = \dim V + 1$ . Then S is not inje. Hence  $\exists 0 \neq p \in \mathcal{P}_{\dim V}(\mathbf{C})$ , p(T)v = 0. Using [4.14], write  $p(z) = c(z - \lambda_1) \cdots (z - \lambda_m)$ . Apply T to both sides:  $p(T) = c(T - \lambda_1 I) \cdots (T - \lambda_m I)$ . Thus at least one of  $(T - \lambda_i I)$  is not inje (because p(T) is not inje ). **17** Suppose  $0 \neq v \in V$ . Define  $S \in \mathcal{L}\left(\mathcal{P}_{(\dim V)^2}(\mathbf{C})\right)$ ,  $\mathcal{L}(V)$  by S(p) = p(T). Prove [5.21]. **SOLUTION:** Because dim  $\mathcal{P}_{(\dim V)^2}(\mathbf{C}) = (\dim V)^2 + 1$ . Then S is not inje. Hence  $\exists 0 \neq p \in \mathcal{P}_{(\dim V)^2}(\mathbf{C})$ , p(T) = 0. Using [4.14], write  $p(z) = c(z - \lambda_1) \cdots (z - \lambda_m)$ . Applying T, we have  $0 = p(T) = c(T - \lambda_1 I) \cdots (T - \lambda_m I)$ . Thus  $(T - \lambda_1 I) \cdots (T - \lambda_m I) = 0 \Rightarrow \exists j, (T - \lambda_j)$  is not inje. **COMMENT:**  $\exists$  monic  $q \in \text{null } S \neq \{0\}$  of smallest degree, S(q) = q(T) = 0, then q is the *mini poly*. • **Note For** [8.40]: *def for mini poly* Suppose V is finite-dim and  $T \in \mathcal{L}(V)$ . Suppose  $M_T^0 = \{p_j\}_{j \in \Gamma}$  is the set of all monic poly that give 0 whenever T is applied. Prove that  $\exists ! p_k \in M_T^0$ ,  $\deg p_k = \min\{\deg p_j\}_{j \in \Gamma} \leq \dim V$ . **SOLUTION:** OR. Another Proof: [ Existns Part ] We use induction on dim V. (i) If dim V = 0, then  $I = 0 \in \mathcal{L}(V)$  and let p = 1, we are done. (ii) Suppose dim  $V \ge 1$ . Assume that dim V > 0 and that the desired result is true for all operators on all vecsps of smaller dim. Let  $u \in V$ ,  $u \neq 0$ . The list  $(u, Tu, ..., T^{\dim V}u)$  of length  $(1 + \dim V)$  is linely depe.

Then  $\exists ! T^m$  of smallest degree such that  $T^m u \in \text{span}(u, Tu, ..., T^{m-1}u)$ .

Thus $\exists c_j \in \mathbf{F}, c_0 u + c_1 T u + \dots + c_{m-1} T^{m-1} u + T^m u = 0$ . Define $q$ by $q(z) = c_0 + c_1 z + \dots + c_{m-1} z^{m-1} + z^m$ . Then $0 = T^k(q(T)u) = q(T)(T^k u), \forall k \in \{1, \dots, m-1\} \subseteq \mathbf{N}$ . Because $(u, Tu, \dots, T^{m-1} u)$ is linely inde. Thus $\dim \operatorname{null} q(T) \geq m \Rightarrow \dim \operatorname{range} q(T) = \dim V - \dim \operatorname{null} q(T) \leq \dim V - m$ .	
Let $W = \operatorname{range} q(T)$ . By assumption, $\exists s \in M_T^0$ of smallest degree ( and $\deg s \leq \dim W$ , ) so that $s(T _W) = 0$ . Hence $\forall v \in V$ , $((sq)(T))(v) = s(T)(q(T)v) = 0$ . Thus $sq \in M_T^0$ and $\deg sq \leq \dim V$ .	
[ <i>Uniques Part</i> ] Suppose $p,q \in M_T^0$ are of the smallest degree. Then $(p-q)(T)=0$ . $\mathbb{Z} \deg(p-q)=m < \min \{\deg p-q=0, \text{ for if not, } \exists  !  c \in \mathbb{F}, c(p-q) \in M_T^0. \text{ Contradicts.} \}$	$gp_j\Big\}_{j\in\Gamma}.$
<ul> <li>• (4E 5.31, 4E 5.B.25 and 26) mini poly of restriction operator and mini poly of quotient operator Suppose V is finite-dim, T ∈ L(V), and U is an invar subsp of V under T. Let p be the mini poly of T.</li> <li>(a) Prove that p is a poly multi of the mini poly of T <sub>U</sub>.</li> <li>(b) Prove that p is a poly multi of the mini poly of T/U.</li> <li>(c) Prove that (mini poly of T <sub>U</sub>) × (mini poly of T/U) is a poly multi of p.</li> <li>(d) Prove that the set of eigvals of T equals</li> </ul>	
the union of the set of eigvals of $T _{U}$ and the set of eigvals of $T/U$ .	
SOLUTION:	_
(a) $p(T) = 0 \Rightarrow \forall u \in U, p(T)u = 0 \Rightarrow p(T _{U}) = 0 \Rightarrow \text{By } [8.46].$ (b) $p(T) = 0 \Rightarrow \forall v \in V, p(T)v = 0 \Rightarrow p(T/U)(v + U) = p(T)v + U = 0.$ (c) Suppose $r$ is the mini poly of $T _{U}$ , $s$ is the mini poly of $T/U$ . Because $\forall v \in V, s(T/U)(v + U) = s(T)v + U = 0$ . So that $\forall v \in V$ but $v \notin U, s(T)v \in U$ . $\forall u \in U, r(T _{U})u = r(T)u = 0.$ Thus $\forall v \in V$ but $v \notin U, (rs)(T)v = r(s(T)v) = 0.$	
And $\forall u \in U$ , $(rs)(T)u = r(s(T)u) = 0$ (because $s(T)u = s(T _{U})u \in U$ ). Hence $\forall v \in V$ , $(rs)(T)v = 0 \Rightarrow (rs)(T) = 0$ . (d) By [8.49], immediately.	
• (4E 5.B.27) Suppose $\mathbf{F}=\mathbf{R}$ , $V$ is finite-dim, and $T\in\mathcal{L}(V)$ . Prove that the mini poly $p$ of $T_{\mathbf{C}}$ equals the mini poly $q$ of $T$ .	
Solution: (a) $\forall u + i0 \in V_{\mathbb{C}}, p(T_{\mathbb{C}})(u) = p(T)u = 0 \Rightarrow \forall u \in V, p(T)u = 0 \Rightarrow p \text{ is a poly multi of } q.$ (b) $q(T) = 0 \Rightarrow \forall u + iv \in V_{\mathbb{C}}, q(T_{\mathbb{C}})(u + iv) = q(T)u + iq(T)v = 0 \Rightarrow q \text{ is a poly multi of } p.$	
• (4E 5.B.28) Suppose $V$ is finite-dim and $T \in \mathcal{L}(V)$ .  Prove that the mini poly $p$ of $T' \in \mathcal{L}(V')$ equals the mini poly $q$ of $T$ .	
<b>SOLUTION:</b> (a) $\forall \varphi \in V', p(T') \varphi = \varphi \circ (p(T)) = 0 \Rightarrow \forall \varphi \in V', p(T) \in \text{null } \varphi \Rightarrow p(T) = 0, p \text{ is a poly multi}$ (b) $q(T) = 0 \Rightarrow \forall \varphi \in V', \varphi \circ (q(T)) = q(T') \varphi = 0 \Rightarrow q(T) = 0, q \text{ is a poly multi of } p.$	of $q$ .

• (4E 5.32) Suppose $T \in \mathcal{L}(V)$ and $p$ is the mini poly. Prove that $T$ is not inje $\iff$ the const term of $p$ is $0$ .	
SOLUTION:	
<i>T</i> is not inje $\iff$ 0 is an eigval of $T \iff$ 0 is a zero of $p \iff$ the const term of $p$ is 0.	
Or. Because $p(0) = (z - 0)(z - \lambda_1) \cdots (z - \lambda_m) = 0 \Rightarrow T(T - \lambda_1 I) \cdots (T - \lambda_m I) = 0$	
$\not Z$ $p$ is the mini poly $\Rightarrow q$ define by $q(z) = (z - \lambda_1) \cdots (z - \lambda_m)$ is such that $q(T) \neq 0$ .	
Hence $0 = p(T) = Tq(T) \Rightarrow T$ is not inje.	
Conversely, suppose $(T - 0I)$ is not inje, then 0 is a zero of $p$ , so that the const term is 0.	
• $(4E 5.B.22)$ Suppose $V$ is finite dim $T \subset C(V)$ . Prove that $T$ is into $V \subset C(V)$ . This	$V_{\lambda}$
Suppose V is finite-dim, $T \in \mathcal{L}(V)$ . Prove that T is inv $\iff I \in \text{span}(T, T^2,, T^{\dim})$	).
<b>SOLUTION:</b> Denote the mini poly by $p$ , where for all $z \in \mathbf{F}$ , $p(z) = a_0 + a_1 z + \dots + z^m$ .	
Notice that $V$ is finite-dim. $T$ is inv $\iff$ $T$ is inje $\iff$ $p(0) \neq 0$ . Hence $p(T) = 0 = a_0I + a_1T + \cdots + T^m$ , where $a_0 \neq 0$ and $m \leq \dim V$ .	
Hence $p(1) = 0 = u_0 1 + u_1 1 + \dots + 1$ , where $u_0 \neq 0$ and $m \leq \min v$ .	
<b>6</b> Suppose $T \in \mathcal{L}(V)$ and $U$ is a subsp of $V$ invar under $T$ .	
Prove that U is invar under $p(T)$ for every poly $p \in \mathcal{P}(\mathbf{F})$ .	
SOLUTION:	
$\forall u \in U, Tu \in U \Rightarrow \forall u \in U, Iu, Tu, T(Tu), \dots, T^m u \in U \Rightarrow \forall u \in U, (a_0I + a_1T + \dots + a_mT^m)u \in U$	$U.\square$
• (4E 5.B.10, 5.B.23) Suppose $V$ is finite-dim, $T \in \mathcal{L}(V)$ and $p$ is the mini poly with degree $Suppose\ v \in V$ .  (a) Prove that $\operatorname{span}(v, Tv, \dots, T^{m-1}v) = \operatorname{span}(v, Tv, \dots, T^{j-1}v)$ for some $j \leq m$ .  (b) Prove that $\operatorname{span}(v, Tv, \dots, T^{m-1}v) = \operatorname{span}(v, Tv, \dots, T^{m-1}v, \dots, T^nv)$ .	re m.
Solution: $(0, 10,, 1 0) = \text{Spart}(0, 10,, 1 0)$ .	
COMMENT: By NOTE FOR [8.40], $j$ has an upper bound $m-1$ , $m$ has an upper bound dim $V$ .	
Write $p(z) = a_0 + a_1 z + \dots + z^m$ ( $m \le \dim V$ ). If $v = 0$ , then we are done. Suppose $v \ne 0$ .	
(a) Suppose $j \in \mathbb{N}^+$ is the smallest such that $T^j v \in \operatorname{span}(v, Tv, \dots, T^{j-1}v) = U_0$ . Then $j \leq m$ . Write $T^j v = c_0 v + c_1 Tv + \dots + c_{j-1} T^{j-1}v$ . And because $T(T^k v) = T^{k+1} \in U_0$ . $U_0$ is invar unde By Problem (6), $\forall k \in \mathbb{N}$ , $T^{j+k}v = T^k(T^j v) \in U_0$ . Thus $U_0 = \operatorname{span}(v, Tv, \dots, T^{j-1}v, \dots, T^n v)$ for all $n \geq j-1$ . Let $n = m-1$ and we are done.	rT.
(b) Let $U = \text{span}(v, Tv,, T^{m-1}v)$ .	
By (a), $U = U_0 = \text{span}(v, Tv,, T^{j-1},, T^{m-1},, T^n)$ for all $n \ge m - 1$ .	
• (4E 5.B.21) Suppose $V$ is finite-dim and $T \in \mathcal{L}(V)$ .  Prove that the mini poly $p$ has degree at most $1 + \dim \operatorname{range} T$ .  If $\dim \operatorname{range} T < \dim V - 1$ , then this result gives a better upper bound for the degree of mini poly.	
SOLUTION:	
If $T$ is inje, then range $T = V$ and we are done. Now choose $0 \neq v \in \operatorname{null} T$ , then $Tv + 0 \cdot v = 0$ . 1 is the smallest positive integer such that $T^1v \in \operatorname{span}(v,, T^0v)$ . Define $q$ by $q(z) = z \Rightarrow q(T)v$ . Let $W = \operatorname{range} q(T) = \operatorname{range} T$ . $\exists$ monic $s \in \mathcal{P}(F)$ of smallest degree ( $\deg s \leq \dim W$ ), $s(T _W)$ . Hence $sq$ is the mini poly ( $\operatorname{see} \operatorname{NOTE} \operatorname{FOR}[8.40]$ ) and $\operatorname{deg}(sq) = \operatorname{deg} s + \operatorname{deg} q \leq \dim \operatorname{range} T + 1$	=0.
<b>19</b> Suppose $V$ is finite-dim and $T \in \mathcal{L}(V)$ . Let $\mathcal{E} = \{q(T) : q \in \mathcal{P}(F)\}$ .	

*Prove that* dim  $\mathcal{E}$  *equals the degree of the mini poly of* T.

#### **SOLUTION:**

Because the list  $(I, T, ..., T^{(\dim V)^2})$  of length dim  $\mathcal{L}(V) + 1$  is linely depe in dim  $\mathcal{L}(V)$ .

Suppose  $m \in \mathbb{N}^+$  is the smallest such that  $T^m = a_0 I + \cdots + a_{m-1} T^{m-1}$ .

Then *q* defined by  $q(z) = z^m - a_{m-1}z^{m-1} - \cdots - a_0$  is the mini poly (see [8.40]).

For any 
$$k \in \mathbb{N}^+$$
,  $T^{m+k} = T^k(T^m) \in \text{span}(I, T, ..., T^{m-1}) = U$ .

Hence span 
$$(I, T, ..., T^{(\dim V)^2}) = \text{span}(I, T, ..., T^{(\dim V)^2 - 1}) = U.$$

Note that by the minimality of m, the list  $(I, T, ..., T^{m-1})$  is linely inde.

Thus dim  $U = m = \dim \operatorname{span}(I, T, \dots, T^{(\dim V)^2 - 1}) = \dim \operatorname{span}(I, T, \dots, T^n)$  for all  $m < n \in \mathbb{N}^+$ .

Define  $\varphi \in \mathcal{L}(\mathcal{P}_{m-1}(\mathbf{F}), \mathcal{E})$  by  $\varphi(p) = p(T)$ .

- (a) Suppose p(T) = 0.  $\mathbb{Z} \deg p \le m 1 \Rightarrow p = 0$ . Then  $\varphi$  is inje.
- (b)  $\forall S = a_0 I + a_1 T + \dots + a_{m-1} T^{m-1} \in \mathcal{E}$ , define  $p \in \mathcal{P}_{m-1}(\mathbf{F})$  by  $p(z) = a_0 + a_1 z + \dots + a_{m-1} z^{m-1} \Rightarrow \varphi(p) = S$ . Then  $\varphi$  is surj.

Hence  $\mathcal{E}$  and  $\mathcal{P}_{m-1}(\mathbf{F})$  are iso.  $\mathbb{Z}$  dim  $\mathcal{P}_{m-1}(\mathbf{F}) = m = \dim U$ .

• (4E 5.B.13) Suppose  $T \in \mathcal{L}(V)$  and  $q \in \mathcal{P}(\mathbf{F})$  is defined by

$$q(z) = a_0 + a_1 z + \dots + a_n z^n$$
, where  $a_n \neq 0$ , for all  $z \in \mathbf{F}$ .

Denote the mini poly of T by p defined by

$$p(z) = c_0 + c_1 z + \dots + c_{m-1} z^{m-1} + z^m \text{ for all } z \in \mathbf{F}.$$

*Prove that*  $\exists ! r \in \mathcal{P}(\mathbf{F})$  *such that* q(T) = r(T),  $\deg r < \deg p$ .

#### **SOLUTION:**

If  $\deg q < \deg p$ , then we are done.

If deg 
$$q = \deg p$$
, notice that  $p(T) = 0 = c_0 I + c_1 T + \dots + c_{m-1} T^{m-1} + T^m$   

$$\Rightarrow T^m = -c_0 I - c_1 T - \dots - c_{m-1} T^{m-1},$$
define  $r$  by  $r(z) = q(z) + [-a_m z^m + a_m (-c_0 - c_1 z - \dots - c_{m-1} z^{m-1})]$ 

$$= (a_0 - a_m c_0) + (a_1 - a_m c_1) z + \dots + (a_{m-1} - a_m c_{m-1}) z^{m-1},$$

hence r(T) = 0, deg r < m and we are done.

Now suppose  $\deg q \ge \deg p$ . We use induction on  $\deg q$ .

- (i)  $\deg q = \deg p$ , then the desired result is true, as shown above.
- (ii)  $\deg q > \deg p$ , assume that the desired result is true for  $\deg q = n$ .

Suppose  $f \in \mathcal{P}(\mathbf{F})$  such that  $f(z) = b_0 + b_1 z + \dots + b_n z^n + b_{n+1} z^{n+1}$ .

Apply the assumption to g defined by  $g(z) = b_0 + b_1 z + \cdots + b_n z^n$ ,

getting *s* defined by 
$$s(z) = d_0 + d_1 z + \dots + d_{m-1} z^{m-1}$$
.

Thus 
$$g(T) = s(T) \Rightarrow f(T) = g(T) + b_{n+1}T^{n+1} = s(T) + b_{n+1}T^{n+1}$$
.

Apply the assumption to t defined by  $t(z) = z^n$ ,

getting 
$$\delta$$
 defined by  $\delta(z) = c_0{}' + c_1{}'z + \dots + c_{m-1}{}'z^{m-1}$ .

Thus 
$$t(T) = T^n = c_0' + c_1'z + \dots + c_{m-1}'z^{m-1} = \delta(T)$$
.

 $\mathbb{X}$  span  $(v, Tv, \dots, T^{m-1}v)$  is invar under T.

Hence 
$$\exists ! k_j \in \mathbb{F}, T^{n+1} = T(T^n) = k_0 + k_1 z + \dots + k_{m-1} z^{m-1}$$
.

And 
$$f(T) = s(T) + b_{n+1}(k_0 + k_1T + \dots + k_{m-1}T^{m-1})$$

$$\Rightarrow f(T) = (d_0 + k_0) + (d_1 + k_1)z + \dots + (d_{m-1} + k_{m-1})z^{m-1} = h(T), \text{ thus defining } h.$$

• (4E 5.B.14) Suppose V is finite-dim,  $T \in \mathcal{L}(V)$  has mini poly p

defined by 
$$p(z) = a_0 + a_1 z + \dots + a_{m-1} z^{m-1} + z^m$$
,  $a_0 \neq 0$ .

Find the mini poly of  $T^{-1}$ .

#### **SOLUTION:**

Notice that *V* is finite-dim. Then  $p(0) = a_0 \neq 0 \Rightarrow 0$  is not a zero of  $p \Rightarrow T - 0I = T$  is inv.

Then  $p(T) = a_0 I + a_1 T + \dots + T^m = 0$ . Apply  $T^{-m}$  to both sides,

$$a_0(T^{-1})^m + a_1(T^{-1})^{m-1} + \dots + a_{m-1}T^{-1} + I = 0.$$

Define 
$$q$$
 by  $q(z) = z^m + \frac{a_1}{a_0} z^{m-1} + \dots + \frac{a_{m-1}}{a_0} z + \frac{1}{a_0}$  for all  $z \in \mathbf{F}$ .

We now show that  $(T^{-1})^k \notin \text{span}(I, T^{-1}, ..., (T^{-1})^{k-1})$ 

for every  $k \in \{1, ..., m-1\}$  by contradiction, so that q is exactly the mini poly of  $T^{-1}$ .

Suppose  $(T^{-1})^k \in \text{span}(I, T^{-1}, ..., (T^{-1})^{k-1}).$ 

Then let 
$$(T^{-1})^k = b_0 I + b_1 T^{-1} + \dots + b_{k-1} T^{k-1}$$
. Apply  $T^k$  to both sides, getting  $I = b_0 T^k + b_1 T^{k-1} + \dots + b_{k-1} T$ , hence  $T^k \in \text{span}(I, T, \dots, T^{k-1})$ .

Thus 
$$f$$
 defined by  $f(z) = z^k + \frac{b_1}{b_0}z^{k-1} + \dots + \frac{b_{k-1}}{b_0}z - \frac{1}{b_0}$  is a poly multi of  $p$ . While  $\deg f < \deg p$ . Contradicts.

## • Note For [8.49]:

Suppose V is a finite-dim complex vecsp and  $T \in \mathcal{L}(V)$ . By [4.14], the mini poly has the form  $(z - \lambda_1) \cdots (z - \lambda_m)$ , where  $\lambda_1, \ldots, \lambda_m$  is a list of all eigvals of T, **possibly with repetitions**.

#### • COMMENT:

A nonzero poly has at most as many distinct zeros as its degree (see [4.12]). Thus by the upper bound for the deg of mini poly given in Note For [8.40], and by [8.49,] we can give an alternative proof of [5.13]

#### • Notice (See also 4E 5.B.20,24)

Suppose  $\alpha_1, \dots, \alpha_n$  are all the distinct eigends of T,

and therefore are all the distinct zeros of the mini poly.

Also, the mini poly of T is a poly multi of, but not equal to,  $(z - \alpha_1) \cdots (z - \alpha_n)$ .

If we define *q* by  $q(z) = (z - \alpha_1)^{\dim V - (n-1)} \cdots (z - \alpha_n)^{\dim V - (n-1)}$ ,

then q is a poly multi of the char poly (see [8.34] and [8.26])

(Because dim V > n and n - 1 > 0,  $n[\dim V - (n - 1)] > \dim V$ .)

The char poly has the form  $(z - \alpha_1)^{\gamma_1} \cdots (z - \alpha_n)^{\gamma_n}$ , where  $\gamma_1 + \cdots + \gamma_n = \dim V$ .

The mini poly has the form  $(z - \alpha_1)^{\delta_1} \cdots (z - \alpha_n)^{\delta_n}$ , where  $0 \le \delta_1 + \cdots + \delta_n \le \dim V$ .

## **10** Suppose $T \in \mathcal{L}(V)$ , $\lambda$ is an eigval of T with an eigvec v.

*Prove that for any*  $p \in \mathcal{P}(\mathbf{F})$ ,  $p(T)v = p(\lambda)v$ .

#### **SOLUTION:**

Suppose p is defined by  $p(z) = a_0 + a_1 z + \dots + a_m z^m$  for all  $z \in \mathbf{F}$ . Because for any  $n \in \mathbf{N}^+$ ,  $T^n v = \lambda^n v$ .

Thus 
$$p(T)v = a_0v + a_1Tv + \dots + a_mT^mv = a_0v + a_1\lambda v + \dots + a_m\lambda^mv = p(\lambda)v$$
.

**Comment:** For any  $p \in \mathcal{P}(\mathbf{F})$  such that  $p(z) = (z - \lambda_1)^{\alpha_1} \cdots (z - \lambda_m)^{\alpha_m}$ , the result is true as well.

Now we prove that  $(T - \lambda_1 I)^{\alpha_1} \cdots (T - \lambda_m I)^{\alpha_m} v = (\lambda - \lambda_1)^{\alpha_1} \cdots (\lambda - \lambda_m)^{\alpha_m} v$ .

Define  $q_i$  by  $q_i(z) = (z - \lambda_i)^{\alpha_i}$  for all  $z \in \mathbf{F}$ .

Because  $(a + b)^n = a^n + C_n^1 a^{n-1} b + \dots + C_n^k a^{n-k} b^k + \dots + C_n^n b^n$ .

Let a = z,  $b = \lambda_i$ ,  $n = \alpha_i$ , so we can write  $q_i(z)$  in the form  $a_0 + a_1 z + \cdots + a_m z^m$ . Hence  $q_i(T)v = q_i(\lambda)v \Rightarrow (T - \lambda_i I)^{\alpha_i}v = (\lambda - \lambda_i)^{\alpha_i}v$ . Then for each  $k \in \{2, ..., m\}$ ,  $(T - \lambda_{k-1}I)^{\alpha_{k-1}}(T - \lambda_kI)^{\alpha_k}v$  $= q_{k-1}(T)(q_k(T)v)$  $= q_{k-1}(T)(q_k(\lambda)v)$  $= q_{k-1}(\lambda)(q_k(\lambda)v)$  $= (\lambda - \lambda_{k-1})^{\alpha_{k-1}} (\lambda - \lambda_k)^{\alpha_k} v.$ So that  $(T - \lambda_1 I)^{\alpha_1} \cdots (T - \lambda_m I)^{\alpha_m} v$  $= q_1(T) (q_2(T)(...(q_m(T)v)...))$  $= q_1(\lambda) (q_2(\lambda) (... (q_m(\lambda)v) ...))$  $= (\lambda - \lambda_1)^{\alpha_1} \cdots (\lambda - \lambda_m)^{\alpha_m} v.$ **1** Suppose  $T \in \mathcal{L}(V)$  and  $\exists n \in \mathbb{N}^+$  such that  $T^n = 0$ . *Prove that* (I - T) *is inv and*  $(I - T)^{-1} = I + T + \dots + T^{n-1}$ . **SOLUTION:** Note that  $1 - x^n = (1 - x)(1 + x + \dots + x^{n-1}).$ 
$$\begin{split} &(I-T)(1+T+\cdots+T^{n-1})=I-T^n=I\\ &(1+T+\cdots+T^{n-1})(I-T)=I-T^n=I \end{split} \} \Rightarrow (I-T)^{-1}=1+T+\cdots+T^{n-1}.$$
**2** Suppose  $T \in \mathcal{L}(V)$  and (T-2I)(T-3I)(T-4I) = 0. Suppose  $\lambda$  is an eigend of T. Prove that  $\lambda = 2$  or  $\lambda = 3$  or  $\lambda = 4$ . **SOLUTION:** Suppose v is an eigvec correspd to  $\lambda$ . Then for any  $p \in \mathcal{P}(\mathbf{F})$ ,  $p(T)v = p(\lambda)v$ . Hence  $0 = (T-2I)(T-3I)(T-4I)v = (\lambda-2)(\lambda-3)(\lambda-4)v$  while  $v \neq 0 \Rightarrow \lambda = 2$  or  $\lambda = 3$  or  $\lambda = 4$ . OR. Because (T - 2I)(T - 3I)(T - 4I) = 0 is not inje. By TIPS. 7 (See 5.A.22) Suppose  $T \in \mathcal{L}(V)$ . Prove that 9 is an eigend of  $T^2 \iff 3$  or -3 is an eigend of T. **SOLUTION:** (a) Suppose 9 is an eigval of  $T^2$ . Then  $(T^2 - 9I)v = (T - 3I)(T + 3I)v = 0$  for some v. By TIPS. Or. Suppose  $\lambda$  is an eigval with an eigvec v. Then  $(T-3I)(T+3I)v = (\lambda-3)(\lambda+3)v = 0 \Rightarrow \lambda = \pm 3$ . (b) Suppose 3 or -3 is an eigval of T with an eigvec v. Then  $Tv = \pm 3v \Rightarrow T^2v = T(Tv) = 9v$ **3** Suppose  $T \in \mathcal{L}(V)$ ,  $T^2 = I$  and -1 is not an eigend of T. Prove that T = I. **SOLUTION:**  $T^2 - I = (T + I)(T - I)$  is not inje,  $\mathbb{X}$  –1 is not an eigval of  $T \Rightarrow$  By TIPS. OR. Note that  $v = \left[\frac{1}{2}(I-T)v\right] + \left[\frac{1}{2}(I+T)v\right]$  for all  $v \in V$ . And  $(I - T^2)v = (I - T)(I + T)v = 0$  for all  $v \in V$ , 
$$\begin{split} &(I+T)(\frac{1}{2}(I-T)v) = \frac{1}{2}(I-T^2)v = 0 \Rightarrow \frac{1}{2}(I-T)v \in \text{null}\,(I+T) \\ &(I-T)(\frac{1}{2}(I+T)v) = \frac{1}{2}(I-T^2)v = 0 \Rightarrow \frac{1}{2}(I+T)v \in \text{null}\,(I-T) \end{split} \right\} \Rightarrow V = \text{null}\,(I+T) + \text{null}\,(I-T).$$
 $\mathbb{X}$  –1 is not an eigval of  $T \Rightarrow (I + T)$  is inje  $\Rightarrow$  null  $(I + T) = \{0\}$ . Hence  $V = \text{null } (I - T) \Rightarrow \text{range } (I - T) = \{0\}$ . Thus  $I - T = 0 \in \mathcal{L}(V) \Rightarrow T = I$ . • (4E 5.A.32) Suppose  $T \in \mathcal{L}(V)$  has no eigenst and  $T^4 = I$ . Prove that  $T^2 = -I$ .

**SOLUTION:** 

Because  $T^4 - I = (T^2 - I)(T^2 + I) = 0$  is not inje  $\Rightarrow (T^2 - I)$  or  $(T^2 + I)$  is not inje.  $\not$  T has no eigvals  $\Rightarrow$   $(T^2 - I) = (T - I)(T + I)$  is inje. Hence  $T^2 + I = 0 \in \mathcal{L}(V)$ , for if not,  $\exists v \in V, (T^2 + I)v \neq 0$  while  $(T^2 - I)((T^2 + I)v) = 0$  but  $(T^2 - I)$  is inje. Contradicts. Or. Note that  $v = [\frac{1}{2}(I - T^2)v] + [\frac{1}{2}(I + T^2)v]$  for all  $v \in V$ . And  $(I - T^4)v = (I - T^2)(I + T^2)v = 0$  for all  $v \in V$ ,  $\begin{array}{l} (I+T^2)(\frac{1}{2}(I-T^2)v) = 0 \Rightarrow \frac{1}{2}(I-T^2)v \in \text{null}\,(I+T^2) \\ (I-T^2)(\frac{1}{2}(I+T^2)v) = 0 \Rightarrow \frac{1}{2}(I+T^2)v \in \text{null}\,(I-T^2) \end{array} \right\} \Rightarrow V = \text{null}\,(I+T^2) + \text{null}\,(I-T^2).$  $\not$  T has no eigvals  $\Rightarrow$   $(I - T^2)$  is inje  $\Rightarrow$  null  $(I - T^2) = \{0\}$ . Hence  $V = \text{null } (I + T^2) \Rightarrow \text{range } (I + T^2) = \{0\}$ . Thus  $I + T^2 = 0 \in \mathcal{L}(V) \Rightarrow T^2 = -I$ . **8** (Or.4E 5.A.31) Give an example of  $T \in \mathcal{L}(\mathbf{R}^2)$  such that  $T^4 = -I$ . **SOLUTION:**  $T^4 + 1 = (T^2 + iI)(T^2 - iI) = (T + i^{1/2}I)(T - i^{1/2}I)(T - (-i)^{1/2}I)(T + (-i)^{1/2}I).$ Note that  $i^{1/2} = \frac{\sqrt{2}}{2} + i\frac{\sqrt{2}}{2}$ ,  $(-i)^{1/2} = \frac{\sqrt{2}}{2} - i\frac{\sqrt{2}}{2}$ . Hence  $T = \pm (\pm i)^{1/2}I$ . Define T by  $T(x,y) = (\frac{\sqrt{2}}{2}x - \frac{\sqrt{2}}{2}y, \frac{\sqrt{2}}{2}x + \frac{\sqrt{2}}{2}y).$  $\mathcal{M}(T) = \begin{pmatrix} \cos(-\pi/4) & \sin(-\pi/4) \\ -\sin(-\pi/4) & \cos(-\pi/4) \end{pmatrix} \Rightarrow \mathcal{M}(T)^4 = \mathcal{M}(T^4) = \begin{pmatrix} \cos(-\pi) & \sin(-\pi) \\ -\sin(-\pi) & \cos(-\pi) \end{pmatrix} = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} = -\mathcal{M}(I). \quad \Box$  $\left( \text{ Using } \begin{pmatrix} \cos \alpha & \sin \alpha \\ -\sin \alpha & \cos \alpha \end{pmatrix}^n = \begin{pmatrix} \cos n\alpha & \sin n\alpha \\ -\sin n\alpha & \cos n\alpha \end{pmatrix}. \right)$ • (4E 5.B.12 See also at 5.A.9) Define  $T \in \mathcal{L}(\mathbf{F}^n)$  by  $T(x_1, x_2, x_3, \dots, x_n) = (x_1, 2x_2, 3x_3, \dots, nx_n)$ . Find the mini poly. **SOLUTION:**  $T(x_1,...,0) = \text{By } (5.A.9) \text{ and } [8.49], 1, 2, ..., n \text{ are zeros of the mini poly of } T.$ (X Each eigvals of T corresponds to exact one-dim subsp of  $\mathbb{F}^n$ .) Define a poly q by  $q(z) = (z-1)(z-2)\cdots(z-n)$ , for all  $z \in \mathbb{F}$ . (Then q is the char poly of T.) Because  $q(T)e_i = [(T - I) \cdots (T - (j - 1)I)(T - (j + 1)I) \cdots (T - nI)](T - jI)e_i = 0$  for each j, where  $(e_1, \dots, e_n)$  is the standard basis. Thus  $\forall v \in \mathbf{F}^n, q(T)v = 0$ . Hence q is the mini poly of T. • Suppose  $n \in \mathbb{N}^+$ . Define  $T \in \mathcal{L}(\mathbb{F}^n)$  by  $T(x_1, \dots, x_n) = (x_1 + \dots + x_n, \dots, x_1 + \dots + x_n)$ . [ See also at (5.A.19) ] Find the mini poly of T. **SOLUTION:** Because n and 0 are all eigvals of T, X For all  $e_k$ ,  $Te_k = e_1 + \cdots + e_n$ ;  $T^2e_k = n(e_1 + \cdots + e_n)$ . Hence  $T^2e_k=n(Te_k)\Rightarrow T^2=nT\Rightarrow T^2-nT=T(T-n)$ . Thus z(z-n) is the mini poly of T. • (4E 5.B.8) Suppose  $T \in \mathcal{L}(\mathbb{R}^2)$  is the operator of counterclockwise rotation by the angel  $\theta$ , where  $\theta \in \mathbb{R}^+$ . *Find the mini poly of T.* 

If  $\theta = \pi + 2k\pi$ , then T(w, z) = (-w, -z),  $T^2 = I$  and the mini poly is z + 1.

If  $\theta = 2k\pi$ , then T = I and the mini poly is z - 1.

Now suppose (v, Tv) is linely inde. Then span  $(v, Tv) = \mathbb{R}^2$ .

**SOLUTION:** 

Suppose the mini poly p is defined by  $p(z) = z^2 + bz + c$  for all  $z \in \mathbb{R}$ .

Because

$$\begin{array}{c|c}
L = |OD| \\
T^2 \overrightarrow{v} = \overrightarrow{OA} \\
T \overrightarrow{v} = \overrightarrow{OB} \\
0
\end{array}$$

$$\begin{array}{c|c}
T v = \frac{|\overrightarrow{v}|}{2L} \\
L = |\overrightarrow{v}| C$$

$$\begin{array}{c|c}
L = |OD| \\
\vec{v} = \overrightarrow{OA} \\
\vec{v} = \overrightarrow{OB} \\
0
\end{array}$$

$$\begin{array}{c|c}
Tv = \frac{|\overrightarrow{v}|}{2L}(T^2v + v) \Rightarrow T = \frac{|\overrightarrow{v}|}{2L}(T^2 + I) \\
L = |\overrightarrow{v}|\cos\theta \Rightarrow \frac{|\overrightarrow{v}|}{2L} = \frac{1}{2\cos\theta}$$

Hence  $p(T) = T^2 - 2\cos\theta T + I = 0$  and  $z^2 - 2\cos\theta z + 1$  is the mini poly of T.

$$\text{OR. By } (4 \pm 5.8.11), \mathcal{M} \left(T, (e_1, e_2)\right) = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}. \text{ Hence the mini poly is } z \pm 1 \text{ or } z^2 - 2\cos \theta z + 1. \square$$

- (4E 5.B.11) Suppose V is a two-dim vecsp,  $T \in \mathcal{L}(V)$ , and the matrix of T with resp to some basis of V is  $\begin{pmatrix} a & c \\ b & d \end{pmatrix}$ .
  - (a) Show that  $T^2 (a + d)T + (ad bc)I = 0$ .
  - (b) Show that the mini poly of T equals

$$\begin{cases} z - a & \text{if } b = c = 0 \text{ and } a = d, \\ z^2 - (a+d)z + (ad-bc) & \text{otherwise.} \end{cases}$$

Solution: (a) Suppose the basis is (v, w). Because  $\begin{cases} Tv = av + bw \Rightarrow (T - aI)v = bw, \text{ then apply } (T - dI) \text{ to both sides.} \\ Tw = cv + dw \Rightarrow (T - dI)w = cv, \text{ then apply } (T - aI) \text{ to both sides.} \end{cases}$ 

Hence  $(T - aI)(T - dI) = bcI \Rightarrow T^2 - (a + d)T + (ad - bc)I = 0$ .

(b) If b = c = 0 and a = d. Then  $\mathcal{M}(T) = \begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix} = a\mathcal{M}(I)$ . Thus T = aI. Hence the mini poly is z - a.

Otherwise, by (a),  $z^2 - (a + d)z + (ad - bc)$  is a poly multi of the mini poly.

Now we prove that  $T \notin \text{span}(I)$ , so that then the mini poly of T has exactly degree 2.

( At least one of the assumption of (I),(II) below is true. )

- (I) Suppose a = d, then  $Tv = av + bw \notin \text{span}(v)$ ,  $Tw = cv + aw \notin \text{span}(w)$ .
- (II) Suppose at most one of b, c is not 0. If b = 0, then  $Tw \notin \text{span } (w)$ ; If c = 0, then  $Tv \notin \text{span } (v)$ .

**5** Suppose  $S, T \in \mathcal{L}(V)$ , S is inv, and  $p \in \mathcal{P}(\mathbf{F})$ . Prove that  $p(TS) = S^{-1}p(ST)S$ .

**SOLUTION:** 

We prove  $(TS)^m = S^{-1}(ST)^m S$  for each  $m \in \mathbb{N}$  by induction.

- (i) m = 0, 1.  $TS^0 = I = S^{-1}(ST)^0 S$ ;  $TS = S^{-1}(ST) S$ .
- (ii) m > 1. Assume that  $(TS)^m = S^{-1}(ST)^m S$ .

Then 
$$(TS)^{m+1} = (TS)^m (TS) = S^{-1} (ST)^m STS = S^{-1} (ST)^{m+1} S$$
.

Hence 
$$\forall p \in \mathcal{P}(\mathbf{F}), p(TS) = a_0(TS)^0 + a_1(TS) + \dots + a_m(TS)^m$$
  

$$= a_0[S^{-1}(ST)^0S] + a_1[S^{-1}(ST)S] + \dots + a_m[S^{-1}(ST)^mS]$$

$$= S^{-1}[a_0(ST)^0 + a_1(ST) + \dots + a_m(ST)^m]S$$

$$= S^{-1}p(ST)S.$$

- (4E 5.B.7)
  - (a) Give an example of  $S, T \in \mathcal{L}(\mathbf{F}^2)$  such that the mini poly of ST does not equal the mini poly of TS.
  - (b) Suppose V is finite-dim and  $S,T \in \mathcal{L}(V)$ . Prove that if S or T is inv, then the mini poly of ST equals the mini poly of TS.

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(a) Define *S* by S(x, y) = (x, x). Define *T* by T(x, y) = (0, y).

Then 
$$ST(x, y) = 0$$
,  $TS(x, y) = (0, x)$  for all  $(x, y) \in \mathbb{F}^2$ .

Thus 
$$ST = 0 \neq TS$$
 and  $(TS)^2 = 0$ .

Hence the mini poly of *ST* does not equal to the mini poly of *TS*.

(b) Denote the mini poly of ST by p, and the mini poly TS by q.

Suppose S is inv.

$$p(ST) = 0 = Sp(TS)S^{-1} \Rightarrow p(TS) = 0, p \text{ is a poly multi of } q.$$

$$q(TS) = 0 = S^{-1}q(ST)S \Rightarrow q(ST) = 0, q \text{ is a poly multi of } p.$$

$$\Rightarrow p = q.$$

Reversing the roles of *S* and *T*, we conclude that if *T* is inv, then p = q as well.

## **11** Suppose F = C, $T \in \mathcal{L}(V)$ , $p \in \mathcal{P}(C)$ , and $\alpha \in C$ .

*Prove that*  $\alpha$  *is an eigval of*  $p(T) \iff \alpha = p(\lambda)$  *for some eigval*  $\lambda$  *of* T.

#### **SOLUTION:**

(a) Suppose  $\alpha$  is an eigval of  $p(T) \Leftrightarrow (p(T) - \alpha I)$  is not inje.

Write 
$$p(z) - \alpha = c(z - \lambda_1) \cdots (z - \lambda_m) \Rightarrow p(T) - \alpha I = c(T - \lambda_1 I) \cdots (T - \lambda_m I)$$
.

By TIPS,  $\exists (T - \lambda_i I)$  not inje. Thus  $p(\lambda_i) - \alpha = 0$ .

(b) Suppose  $\alpha = p(\lambda)$  and  $\lambda$  is an eigval of T with an eigvec v. Then  $p(T)v = p(\lambda)v = \alpha v$ .

Or. Define *q* by  $q(z) = p(z) - \alpha$ .  $\lambda$  is a zero of *q*.

Because 
$$q(T)v = (p(T) - \alpha I)v = q(\lambda)v = (p(\lambda) - \alpha)v = 0$$
.

Hence q(T) is not inje  $\Rightarrow (p(T) - \alpha I)$  is not inje.

**12** (OR.4E.5.B.6) Give an example of an operator on  ${\bf R}^2$  that shows the result above does not hold if C is replaced with  ${\bf R}$ .

#### **SOLUTION:**

Define 
$$T \in \mathcal{L}(\mathbf{R}^2)$$
 by  $T(w,z) = (-z,w)$ .

By Problem (4E 5.B.11), 
$$\mathcal{M}(T, ((1,0), (0,1))) = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \Rightarrow$$
 the mini poly of  $T$  is  $z^2 + 1$ .

Define p by  $p(z) = z^2$ . Then  $p(T) = T^2 = -I$ . Thus p(T) has eigval -1.

While  $\nexists \lambda \in \mathbf{R}$  such that  $-1 = p(\lambda) = \lambda^2$ .

• (4E 5.B.17) Suppose V is finite-dim,  $T \in \mathcal{L}(V)$ ,  $\lambda \in \mathbf{F}$ , and p is the mini poly of T. Show that the mini poly of  $(T - \lambda I)$  is the poly q defined by  $q(z) = p(z + \lambda)$ .

#### **SOLUTION:**

 $q(T - \lambda I) = 0 \Rightarrow q$  is poly multi of the mini poly of  $(T - \lambda I)$ .

Suppose the degree of the mini poly of  $(T - \lambda I)$  is n, and the degree of the mini poly of T is m.

By definition of mini poly,

*n* is the smallest such that  $(T - \lambda I)^n \in \text{span}(I, (T - \lambda I), \dots, (T - \lambda I)^{n-1});$ 

m is the smallest such that  $T^m \in \text{span}(I, T, ..., T^{m-1})$ .

$$\not \subset T^k \in \operatorname{span}(I, T, \dots, T^{k-1}) \iff (T - \lambda)^k \in \operatorname{span}(I, (T - \lambda I), \dots, (T - \lambda I)^{k-1}).$$

Thus n = m.  $\chi q$  is monic. By the uniques of mini poly.

• (4E 5.B.18) Suppose V is finite-dim,  $T \in \mathcal{L}(V)$ ,  $\lambda \in \mathbf{F} \setminus \{0\}$ , and p is the mini poly of T. Show that the mini poly of  $\lambda T$  is the poly q defined by  $q(z) = \lambda^{\deg p} p(\frac{z}{\lambda})$ .

#### **SOLUTION:**

 $q(\lambda T) = \lambda^{\deg p} p(T) = 0 \Rightarrow q$  is a poly multi of the mini poly of  $\lambda T$ .

Suppose the degree of the mini poly of  $\lambda T$  is n, and the degree of the mini poly of T is m.

By definition of mini poly,

*n* is the smallest such that  $(\lambda T)^n \in \text{span}(\lambda I, \lambda T, ..., (\lambda T)^{n-1});$ 

m is the smallest such that  $T^m \in \text{span}(I, T, ..., T^{m-1})$ .

$$\mathbb{X} (\lambda T)^k \in \operatorname{span} (\lambda I, \lambda T, \dots, (\lambda T)^{k-1}) \Longleftrightarrow T^k \in \operatorname{span} (I, T \dots, T^{k-1}).$$

Thus n = m.  $\mathbb{Z}$  q is monic. By the uniques of mini poly.

**18** (OR.4E 5.B.15) Suppose V is a finite-dim complex vecsp with dim V > 0 and  $T \in \mathcal{L}(V)$ . *Define*  $f : \mathbb{C} \to \mathbb{R}$  *by*  $f(\lambda) = \dim \operatorname{range} (T - \lambda I)$ .

*Prove that f is not a continuous function.* 

**SOLUTION**: Note that V is finite-dim.

Let  $\lambda_0$  be an eigval of T. Then  $(T - \lambda_0 I)$  is not surj. Hence dim range  $(T - \lambda_0 I) < \dim V$ .

Because *T* has finitely many eigvals. There exist a sequence of number  $\{\lambda_n\}$  such that  $\lim_{n \to \infty} \lambda_n = \lambda_0$ .

And  $\lambda_n$  is not an eigval of T for each  $n \Rightarrow \dim \operatorname{range}(T - \lambda_n I) = \dim V \neq \dim \operatorname{range}(T - \lambda_0 I)$ .

Thus 
$$f(\lambda_0) \neq \lim_{n \to \infty} f(\lambda_n)$$
.

• (4E 5.B.9) Suppose  $T \in \mathcal{L}(V)$  is such that with resp to some basis of V, *all entries of the matrix of T are rational numbers.* 

Explain why all coefficients of the mini poly of T are rational numbers.

#### **SOLUTION:**

Let  $(v_1,\ldots,v_n)$  denote the basis such that  $\mathcal{M}\left(T,(v_1,\ldots,v_n)\right)_{j,k}=A_{j,k}\in\mathbf{Q}$  for all  $j,k=1,\ldots,n$ .

Denote  $\mathcal{M}\left(v_i, (v_1, \dots, v_n)\right)$  by  $x_i$  for each  $v_i$ .

Suppose p is the mini poly of T and  $p(z) = z^m + \cdots + c_1 z + c_0$ . Now we show that each  $c_i \in \mathbb{Q}$ .

Note that  $\forall s \in \mathbf{N}^+$ ,  $\mathcal{M}(T^s) = \mathcal{M}(T)^s = A^s \in \mathbf{Q}^{n,n}$  and  $T^s v_k = A^s_{1,k} v_1 + \dots + A^s_{n,k} v_n$  for all  $k \in \{1,\dots,n\}$ .

Thus 
$$\begin{cases} \mathcal{M}(p(T)v_1) = (A^m + \dots + c_1A + c_0I)x_1 = \sum_{j=1}^n (A^m + \dots + c_1A + c_0I)_{j,1}x_j = 0; \\ \vdots \\ \mathcal{M}(p(T)v_n) = (A^m + \dots + c_1A + c_0I)x_n = \sum_{j=1}^n (A^m + \dots + c_1A + c_0I)_{j,n}x_j = 0; \\ \text{More clearly,} \end{cases}$$

$$\begin{cases} (A^m + \dots + c_1A + c_0I)_{1,1} = \dots = (A^m + \dots + c_1A + c_0I)_{n,1} = 0; \\ \vdots \ddots \vdots \\ (A^m + \dots + c_1A + c_0I)_{1,n} = \dots = (A^m + \dots + c_1A + c_0I)_{n,n} = 0; \end{cases}$$
Hence we get a system of  $n^2$  linear equations in  $m$  unknowns  $c_n$   $c_n$  and  $c_n$  and  $c_n$  are specifically as  $c_n$  and  $c_n$  are  $c_n$  and  $c_n$  are  $c_n$  are  $c_n$  and  $c_n$  are  $c_n$  are  $c_n$  and  $c_n$  are  $c_n$  and  $c_n$  are  $c_n$  are  $c_n$  and  $c_n$  are  $c_n$  are  $c_n$  and  $c_n$  are  $c_n$  and  $c_n$  are  $c_n$  are  $c_n$  and  $c_n$  are  $c_n$  are  $c_n$  are  $c_n$  are  $c_n$  and  $c_n$  are  $c_n$  are  $c_n$  and  $c_n$  are  $c_n$  are  $c_n$  and  $c_n$  are  $c_n$  are  $c_n$  are  $c_n$  and  $c_n$  are  $c_n$  and  $c_n$  are  $c_n$  are  $c_n$  are  $c_n$  are  $c_n$  are  $c_n$  and  $c_n$  are  $c_n$  are  $c_n$  are  $c_n$  are  $c_n$  and  $c_n$  are  $c_n$  are  $c_n$  are  $c_n$  are  $c_n$  are  $c_n$  and  $c_n$  are  $c_n$  and  $c_n$  are  $c_n$  are  $c_n$  are  $c_n$  and  $c_n$  are  $c_n$  are  $c_n$  are

$$\text{More clearly,} \left\{ \begin{array}{l} (A^m + \cdots + c_1 A + c_0 I)_{1,1} = \cdots = (A^m + \cdots + c_1 A + c_0 I)_{n,1} = 0; \\ \vdots & \ddots & \vdots \\ (A^m + \cdots + c_1 A + c_0 I)_{1,n} = \cdots = (A^m + \cdots + c_1 A + c_0 I)_{n,n} = 0; \end{array} \right.$$

Hence we get a system of  $n^2$  linear equations in m unknowns  $c_0, c_1, \dots, c_{m-1}$ .

We conclude that  $c_0, c_1, \dots, c_{m-1} \in \mathbb{Q}$ .

• Or.(4E 5.B.16), Or.(8.C.18) Suppose  $a_0, \ldots, a_{n-1} \in \mathbf{F}$ . Let T be the operator on  $\mathbf{F}^n$  such that

$$\mathcal{M}(T) = \begin{pmatrix} 0 & & & -a_0 \\ 1 & 0 & & & -a_1 \\ & 1 & \ddots & & \vdots \\ & & \ddots & 0 & -a_{n-2} \\ 0 & & & 1 & -a_{n-1} \end{pmatrix}, with resp to the standard basis  $(e_1, \dots, e_n)$ .$$

Show that the mini poly of T is p defined by  $p(z) = a_0 + a_1 z + \cdots + a_{n-1} z^{n-1} + z^n$ .

 $\mathcal{M}(T)$  is called the **companion matrix** of the poly above. This exercise shows that every monic poly is the mini poly of some operator.

Hence a formula or an algorithm that could produce exact eigvals for each operator on each  $\mathbf{F}^n$  could then produce exact zeros for each poly [ by 8.36(b) ]. Thus there is no such formula or algorithm. However, efficient numeric methods exist for obtaining very good approximations for the eigvals of an operator.

**SOLUTION**: Note that  $(e_1, Te_1, ..., T^{n-1}e_1)$  is linely inde.  $\mathbb X$  The deg of mini poly is at most n.

$$T^{n}e_{1} = \dots = T^{n-k}e_{1+k} = \dots = Te_{n} = -a_{0}e_{1} - a_{1}e_{2} - a_{2}e_{3} - \dots - a_{n-1}e_{n}$$

$$= (-a_{0}I - a_{1}T - a_{2}T^{2} - \dots - a_{n-1}T^{n-1})e_{1}. \text{ Thus } p(T)e_{1} = 0 = p(T)e_{j} \text{ for each } e_{j} = T^{j-1}e_{1}.$$

- EIGENVALUES ON ODD-DIMENSIONAL REAL VECTOR SPACES
- EVEN-DIMENSIONAL NULL SPACE

Suppose F = R, V is finite-dim,  $T \in \mathcal{L}(V)$  and  $b, c \in R$  with  $b^2 < 4c$ .

*Prove that* dim null  $(T^2 + bT + cI)$  *is an even number.* 

#### SOLUTION:

Denote null  $(T^2 + bT + cI)$  by R. Then  $T|_R + bT|_R + cI_R = (T + bT + cI)|_R = 0 \in \mathcal{L}(R)$ .

Suppose  $\lambda$  is an eigval of  $T_R$  with an eigvec  $v \in R$ .

Then 
$$0 = (T|_R^2 + bT|_R + cI_R)(v) = (\lambda^2 + \lambda b + c)v = \left((\lambda + b)^2 + c - \frac{b^2}{4}\right)v$$
.

Because  $c - \frac{b^2}{4} > 0$  and we have v = 0. Thus  $T_R$  has no eigvals.

Let *U* be an invar subsp of *R* that has the largest, even dim among all invar subsps.

Assume that  $U \neq R$ . Then  $\exists w \in R$  but  $w \notin U$ . Let W be such that  $(w, T|_R w)$  is a basis of W.

Because  $T|_R^2 w = -bT|_R w - cw \in W$ . Hence W is an invar subsp of dim 2.

Thus  $\dim(U + W) = \dim U + 2 - \dim(U \cap W)$ , where  $U \cap W = \{0\}$ ,

for if not, because  $w \notin U$ ,  $T|_R w \in U$ ,

 $U \cap W$  is invar under  $T|_R$  of one dim ( impossible because  $T|_R$  has no eigvecs ).

Hence U + W is even-dim invar subsp under  $T|_R$ , contradicting the maximality of dim U.

Thus the assumption was incorrect. Hence  $R = \text{null} (T^2 + bT + cI) = U$  has even dim.

- Operators On Odd-Dimensional Vector Spaces Have Eigenvalues
  - (a) Suppose F = C. Then by [5.21], we are done.
  - (b) Suppose  $\mathbf{F} = \mathbf{R}$ , V is finite-dim, and  $\dim V = n$  is an odd number. Let  $T \in \mathcal{L}(V)$  and the mini poly is p. Prove that T has an eigval.

#### **SOLUTION:**

- (i) If n = 1, then we are done.
- (ii) Suppose  $n \ge 3$ . Assume that every operator, on odd-dim vecsps of dim less than n, has an eigval.

If *p* is a poly multi of  $(x - \lambda)$  for some  $\lambda \in \mathbb{R}$ , then by [8.49]  $\lambda$  is an eigval of *T* and we are done.

Now suppose  $b, c \in \mathbb{R}$  such that  $b^2 < 4c$  and p is a poly multi of  $x^2 + bx + c$  (see [4.17]).

Then  $\exists q \in \mathcal{P}(\mathbf{R})$  such that  $p(x) = q(x)(x^2 + bx + c)$  for all  $x \in \mathbf{R}$ .

Now  $0 = p(T) = (q(T))(T^2 + bT + cI)$ , which means that  $q(T)|_{\text{range}(T^2 + bT + cI)} = 0$ .

Because deg  $q < \deg p$  and p is the mini poly of T, hence range  $(T^2 + bT + cI) \neq V$ .

 $\mathbb{X}$  dim V is odd and dim null  $(T^2 + bT + cI)$  is even (by our previous result).

Thus dim V – dim null ( $T^2 + bT + cI$ ) = dim range ( $T^2 + bT + cI$ ) is odd.

By [5.18], range  $(T^2 + bT + cI)$  is an invar subsp of V under T that has odd dim less than n.

Our induction hypothesis now implies that  $T|_{\text{range}}(T^2+bT+cI)$  has an eigval.

By mathematical induction.

Prove that every invar subsp of V under T is even-dim.	
Suppose $U$ is such a subsp. Then $T _U \in \mathcal{L}(U)$ . We prove by contradiction. If dim $U$ is odd, then $T _U$ has an eigval and so is $T$ , so that $\exists$ invar subsp of 1 dim, contradicts. $\Box$	
(4E 5.B.29) Show that every operator on a finite-dim vecsp of dim $\geq$ 2 has a 2-dim invar subsp.	
Solution:  Using induction on dim $V$ .	
(i) dim $V = 2$ , we are done. (ii) dim $V > 2$ . Assume that the desired result is true for vecsp of smaller dim.	
Suppose $p$ is the mini poly of degree $m$ and $p(z) = (z - \lambda_1) \cdots (z - \lambda_m)$ . If $T = \lambda I$ ( $\Leftrightarrow m = 1 \lor m = -\infty$ ), then we are done. ( $m \ne 0$ because dim $V \ne 0$ .)	
Now define a $q$ by $q(z) = (z - \lambda_1)(z - \lambda_2)$ . By assumption, $T _{\text{null }q(T)}$ has an invar subsp of dim 2.	
ENDED	
5.B: II	
o (4E 5.C.1) Prove or give a counterexample: If $T \in \mathcal{L}(V)$ and $T^2$ has an upper-trig matrix. Solution:	
(4E 5.C.2) Suppose A and B are upper-trig mtcs of the same size, with $\alpha_1, \ldots, \alpha_n$ on the diag of A and $\beta_1, \ldots, \beta_n$ on the diag of B.	
(a) Show that $A+B$ is an upper-trig matrix with $\alpha_1+\beta_1,\ldots,\alpha_n+\beta_n$ on the diag. (b) Show that $AB$ is an upper-trig matrix with $\alpha_1\beta_1,\ldots,\alpha_n\beta_n$ on the diag.  Solution:	
Suppose $T \in \mathcal{L}(V)$ is inv and $B = (v_1,, v_n)$ is a basis of $V$ such that	
$\mathcal{M}(T,B)=A$ is upper trig, with $\lambda_1,\ldots,\lambda_n$ on the diag. Show that the matrix of $\mathcal{M}(T^{-1},B)=A^{-1}$ is also upper trig, with $\frac{1}{\lambda_1},\ldots,\frac{1}{\lambda_n}$ on the diag. Solution:	
(4E 5.C.7)  Suppose V is finite dim T C C(V) and T C V	
Suppose $V$ is finite-dim, $T \in \mathcal{L}(V)$ , and $v \in V$ .  (a) Prove that $\exists !$ monic poly $p_v$ of smallest degree such that $p_v(T)v = 0$ .  (b) Prove that the mini poly of $T$ is a poly multi of $p_v$ .	

**14** (Or.4E 5.C.4) Give an operator T such that with resp to some basis,

 $\mathcal{M}(T)_{k,k} = 0$  for each k, while T is inv.

SOLUTION:

**15** (OR.4E 5.C.5) Give an operator T such that with resp to some basis,  $\mathcal{M}(T)_{k,k} \neq 0$  for each k, while T is not inv.

#### **SOLUTION:**

**20** (Or.4E 5.C.6)

Suppose  $\mathbf{F} = \mathbf{C}$ , V is finite-dim, and  $T \in \mathcal{L}(V)$ . Prove that if  $k \in \{1, ..., \dim V\}$ , then V has a k dim subsp invar under T.

#### **SOLUTION:**

- (4E 5.C.8) Suppose V is finite-dim,  $T \in \mathcal{L}(V)$ , and  $\exists v \in V \setminus \{0\}$  such that  $T^2v + 2Tv = -2v$ .
  - (a) Prove that if F = R, then  $\not\exists$  a basis of V with resp to which T has an upper-trig matrix.
  - (b) Prove that if  $\mathbf{F} = \mathbf{C}$  and A is an upper-trig matrix that equals the matrix of T with resp to some basis of V, then -1 + i or -1 i appears on the diag of A.

#### **SOLUTION:**

• (4E 5.C.9) Suppose  $B \in \mathbf{F}^{n,n}$  with complex entries. Prove that  $\exists$  inv  $A \in \mathbf{F}^{n,n}$  with complex entries such that  $A^{-1}BA$  is an upper-trig matrix.

#### **SOLUTION:**

- (4E 5.C.10) Suppose  $T \in \mathcal{L}(V)$  and  $(v_1, ..., v_n)$  is a basis of V. Show that the following are equi.
  - (a) The matrix of T with resp to  $(v_1, ..., v_n)$  is lower trig.
  - (b) span  $(v_k, ..., v_n)$  is invar under T for each k = 1, ..., n.
  - (c)  $Tv_k \in \text{span}(v_k, ..., v_n)$  for each k = 1, ..., n.

#### **SOLUTION:**

• (4E 5.C.11) Suppose  $\mathbf{F} = \mathbf{C}$  and V is finite-dim. Prove that if  $T \in \mathcal{L}(V)$ , then T has a lower-trig matrix with resp to some basis.

#### **SOLUTION:**

- (4E 5.C.12)
  - Suppose V is finite-dim,  $T \in \mathcal{L}(V)$  has an upper-trig matrix with resp to some basis, and U is a subsp of V that is invar under T.
  - (a) Prove that  $T|_{U}$  has an upper-trig matrix with resp to some basis of U.
  - (b) Prove that T/U has an upper-trig matrix with resp to some basis of V/U.

#### **SOLUTION:**

• (4E 5.C.13) Suppose V is finite-dim,  $T \in \mathcal{L}(V)$ . Suppose U is an invar subsp of V under T such that  $T|_U$  has an upper-trig matrix and also T/U has an upper-trig matrix. Prove that T has an upper-trig matrix.

#### **SOLUTION:**

• (4E 5.C.14) Suppose V is finite-dim and  $T \in \mathcal{L}(V)$ . Prove that T has an upper-trig matrix  $\iff T'$  has an upper-trig matrix.

SOLUTION:	
5.C	Ended
5.E* (4E)	Ended
<b>1</b> Give an example of two commuting operators $S, T \in \mathbf{F}^4$ such that there is an invar subsp of $\mathbf{F}^4$ under $S$ but not under $T$ and an invar subsp of $\mathbf{F}^4$ under $T$ but not under $S$ . <b>SOLUTION</b> :	
<b>2</b> Suppose $\mathcal{E}$ is a subset of $\mathcal{L}(V)$ and every element of $\mathcal{E}$ is diagable. Prove that $\exists$ a basis of $V$ with resp to which every element of $\mathcal{E}$ has a diag matrix $\iff$ every pair of elements of $\mathcal{E}$ commutes. This exercise extends [5.76], which considers the case in which $\mathcal{E}$ contains only two elements. For this exercise, $\mathcal{E}$ may contain any number of elements, and $\mathcal{E}$ may even be an infinite set. <b>Solution:</b>	
3 Suppose $S, T \in \mathcal{L}(V)$ are such that $ST = TS$ . Suppose $p \in \mathcal{P}(F)$ .  (a) Prove that $\operatorname{null} p(S)$ is invar under $T$ .  (b) Prove that $\operatorname{range} p(S)$ is invar under $T$ .  See Note For [5.17] for the special case $S = T$ .  Solution:	
4 Prove or give a counterexample:  A diag matrix A and an upper-trig matrix B of the same size commute.  Solution:	
<b>5</b> Prove that a pair of operators on a finite-dim vecsp commute ←⇒ their dual operators Solution:	commute.
<b>6</b> Suppose $V$ is a finite-dim complex vecsp and $S, T \in \mathcal{L}(V)$ commute. Prove that $\exists \alpha, \lambda \in \mathbb{C}$ such that range $(S - \alpha I) + \text{range}(T - \lambda I) \neq V$ .	

**7** Suppose V is a complex vecsp,  $S \in \mathcal{L}(V)$  is diagable, and T commutes with S. Prove that  $\exists$  basis B of V such that S has a diag matrix with resp to B and T has an upper-trig matrix with resp to B.

SOLUTION:

SOLUTION:

**8** Suppose m = 3 in Example [5.72] and  $D_x$ ,  $D_y$  are the commuting partial differentiation operators on  $\mathcal{P}_3(\mathbb{R}^2)$  from that example.

Find a basis of $\mathcal{P}_3(\mathbf{R}^2)$ with resp to which $D_x$ and $D_y$ each have an upper-trig matrix. Solution:
9 Suppose $V$ is a finite-dim nonzero complex vecsp. Suppose that $\mathcal{E} \subseteq \mathcal{L}(V)$ is such that $S$ and $T$ commute for all $S, T \in \mathcal{E}$ . (a) Prove that $\exists v \in V$ is an eigvec for every element of $\mathcal{E}$ . (b) Prove that $\exists a$ basis of $V$ with resp to which every element of $\mathcal{E}$ has an upper-trig matrix.
SOLUTION:
10 Give an example of two commuting operators $S$ , $T$ on a finite-dim real vecsp such that $S+T$ has a eigval that does not equal an eigval of $S$ plus an eigval of $T$ and $ST$ has a eigval that does not equal an eigval of $S$ times an eigval of $S$ .
SOLUTION:

ENDED