

简介

这是我个人用于复习的笔记，一本习题补注。由于我个人偏好的复习手段的特点，因此我把许多对我个人而言没什么复习价值的习题作了省略。为什么我没有用中文？因为我将来要学习的绝大多数数学课本都是全英的，国内目前的专业翻译速度慢、不全面，所以我只好用英文。但我讨厌英文单词的冗长性，这会让我复习起来很不爽，所以我对许多常用词汇适当地作了简写。这份习题补注的内容范围和标识说明，我已经在[README](#)中写得很清楚，不再赘述。这份笔记尚处于缓慢的编撰进度中。

Goto

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
|---|---|---|---|----|---|----|---|---|----|
| A | A | A | / | A | A | A | A | A | A |
| B | B | B | / | B | B | B | B | B | B |
| C | C | C | / | C | C | C | C | / | / |
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| / | / | F | / | / | / | F* | / | / | / |

Abbreviation Table

| | |
|-------------|--|
| def | definition |
| vec | vector |
| vecsp | vector space |
| subsp | subspace |
| add | addition/additive |
| multi | multiplication/multiplicative/multiple |
| assoc | associative/associativity |
| distr | distributive properties/property |
| inv | inverse |
| existns | existence |
| uniques | uniqueness |
| linely inde | linearly independent/independence |
| linely dep | linearly dependent/dependence |
| dim | dimension(al) |
| inje | injective |
| surj | surjective |
| col | column |
| with resp | with respect |
| iso | isomorphism/isomorphic |
| correspd | correspond(ing) |
| poly | polynomial |
| eigval | eigenvalue |
| eigvec | eigenvector |
| mini poly | minimal polynomial |
| char poly | characteristic polynomial |

1.B

1 Prove that $\forall v \in V, -(-v) = v$.

SOLUTION:
$$\left. \begin{array}{l} (-(-v)) + (-v) = 0 \\ v + (-v) = 0 \end{array} \right\} \Rightarrow \text{By the uniqueness of add inv.}$$

□

OR. $-(-v) = (-1)((-1)v) = ((-1)(-1))v = 1 \cdot v = v$.

□

2 Suppose $a \in \mathbf{F}, v \in V$, and $av = 0$. Prove that $a = 0$ or $v = 0$.

SOLUTION: Suppose $a \neq 0, \exists a^{-1} \in \mathbf{F}, a^{-1}a = 1$, hence $v = 1 \cdot v = (a^{-1}a)v = a^{-1}(av) = a^{-1} \cdot 0 = 0$.

□

3 Suppose $v, w \in V$. Explain why $\exists! x \in V, v + 3x = w$.

SOLUTION:

[Existns] Let $x = \frac{1}{3}(w - v)$.

[Uniques] Suppose $v + 3x_1 = w, (I) v + 3x_2 = w$ (II). Then $(I) - (II) : 3(x_1 - x_2) = 0 \Rightarrow x_1 = x_2$.

□

OR. $v + 3x = w \Leftrightarrow 3x = w - v \Leftrightarrow x = \frac{1}{3}(w - v)$.

□

5 Show that in the def of a vecsp, the add inv condition can be replaced by [1.29].

Suppose V satisfies all conds in the def, except we've replaced the add inv cond with [1.29]. Prove that the add inv is true.

SOLUTION: Using [1.31]. $0v = 0$ for all $v \in V \Leftrightarrow (1 + (-1))v = 1 \cdot v + (-1)v = v + (-v) = 0$.

□

6 Let ∞ and $-\infty$ denote two distinct objects, neither of which is in \mathbf{R} .

Define an add and scalar multi on $\mathbf{R} \cup \{\infty, -\infty\}$ as you could guess.

The operations of real numbers is as usual. While for $t \in \mathbf{R}$ define

$$t\infty = \begin{cases} -\infty & \text{if } t < 0, \\ 0 & \text{if } t = 0, \\ \infty & \text{if } t > 0, \end{cases} \quad t(-\infty) = \begin{cases} -\infty & \text{if } t > 0, \\ 0 & \text{if } t = 0, \\ \infty & \text{if } t < 0, \end{cases}$$

and (I) $t + \infty = \infty + t = \infty + \infty = \infty$,

(II) $t + (-\infty) = (-\infty) + t = (-\infty) + (-\infty) = -\infty$,

(III) $\infty + (-\infty) = (-\infty) + \infty = 0$.

With these operations of add and scalar multi, is $\mathbf{R} \cup \{\infty, -\infty\}$ a vecsp over \mathbf{R} ? Explain.

SOLUTION: Not a vecsp, since the add and scalar mult is not assoc and distr.

By Assoc: $(a + \infty) + (-\infty) \neq a + (\infty + (-\infty))$.

OR. By Distr: $\infty = (2 + (-1))\infty \neq 2\infty + (-\infty) = \infty + (-\infty) = 0$.

□

ENDED

1.C

7 Give a nontrivial example of $U \subseteq \mathbb{R}^2$,

U is closed under taking add invs and under add, but U is not a subsp of \mathbb{R}^2 .

SOLUTION: Let $U = \mathbb{Z}^2, (\mathbb{Z}^*)^2, (\mathbb{Q}^*)^2, \mathbb{Q}^2 \setminus \{0\}$, or $\mathbb{R}^2 \setminus \{0\}$.

8 Give a nontrivial example of $U \subseteq \mathbb{R}^2$,

U is closed under scalar multi, but U is not a subsp of \mathbb{R}^2 .

SOLUTION: Let $U = \{(x, y) \in \mathbb{R}^2 : x = 0 \vee y = 0\}$.

9 A function $f : \mathbb{R} \rightarrow \mathbb{R}$ is called periodic if $\exists p \in \mathbb{N}^+, f(x) = f(x + p)$ for all $x \in \mathbb{R}$.

Is the set of periodic functions from \mathbb{R} to \mathbb{R} a subsp of $\mathbb{R}^{\mathbb{R}}$? Explain.

SOLUTION: Denote the set by S .

Suppose $h(x) = \cos(x) + \sin(\sqrt{2}x) \in S$, since $\cos(x), \sin(\sqrt{2}x) \in S$.

Assume $\exists p \in \mathbb{N}^+$ such that $h(x) = h(x + p), \forall x \in \mathbb{R}$. Let $x = 0 \Rightarrow h(0) = h(\pm p) = 1$.

Thus $1 = \cos(p) + \sin(\sqrt{2}p) = \cos(p) - \sin(\sqrt{2}p)$

$\Rightarrow \sin(\sqrt{2}p) = 0, \cos(p) = 1 \Rightarrow p = 2k\pi, k \in \mathbb{Z}$, while $p = \frac{m\pi}{\sqrt{2}}, m \in \mathbb{Z}$.

Hence $2k = \frac{m}{\sqrt{2}} \Rightarrow \sqrt{2} = \frac{m}{2k} \in \mathbb{Q}$. Contradiction! □

OR. Because [I] : $\cos(x) + \sin(\sqrt{2}x) = \cos(x + p) + \sin(\sqrt{2}x + \sqrt{2}p)$. By differentiating twice,

[II] : $\cos(x) + 2\sin(\sqrt{2}x) = \cos(x + p) + 2\sin(\sqrt{2}x + \sqrt{2}p)$.

$\left. \begin{array}{l} \text{[II]} - \text{[I]} : \sin(\sqrt{2}x) = \sin(\sqrt{2}x + \sqrt{2}p) \\ 2\text{[I]} - \text{[II]} : \cos(x) = \cos(x + p) \end{array} \right\} \Rightarrow p = \frac{m\pi}{\sqrt{2}} = 2k\pi, \text{ if } x = 0. \text{ Contradicts.}$ □

• Suppose U, W, V_1, V_2, V_3 are subsp of V .

15 $U + U \ni u + w \in U$. □

16 $U + W \ni u + w = w + u \in W + U$. □

17 $(V_1 + V_2) + V_3 \ni (v_1 + v_2) + v_3 = v_1 + (v_2 + v_3) \in V_1 + (V_2 + V_3)$. □

18 Does the add on the subsp of V have an add identity? Which subsp have add invs?

SOLUTION:

(a) Suppose Ω is the additive identity.

For any subsp U of V . $\Omega \subseteq U + \Omega = U \Rightarrow \Omega \subseteq U$. Let $U = \{0\}$, then $\Omega = \{0\}$.

(b) Now suppose W is an add inv of $U \Rightarrow U + W = \Omega$.

Note that $U + W \supseteq U, W \Rightarrow \Omega \supseteq U, W$. Thus $U = W = \Omega = \{0\}$. □

11 Prove that the intersection of every collection of subsp of V is a subsp of V .

SOLUTION: Suppose $\{U_\alpha\}_{\alpha \in \Gamma}$ is a collection of subsp of V ; here Γ is an arbitrary index set.

We show that $\bigcap_{\alpha \in \Gamma} U_\alpha$, which equals the set of vecs that are in U_α for each $\alpha \in \Gamma$, is a subsp of V .

(一) $0 \in \bigcap_{\alpha \in \Gamma} U_\alpha$. Nonempty.

(二) $u, v \in \bigcap_{\alpha \in \Gamma} U_\alpha \Rightarrow u + v \in U_\alpha, \forall \alpha \in \Gamma \Rightarrow u + v \in \bigcap_{\alpha \in \Gamma} U_\alpha$. Closed under add.

(三) $u \in \bigcap_{\alpha \in \Gamma} U_\alpha, \lambda \in \mathbb{F} \Rightarrow \lambda u \in U_\alpha, \forall \alpha \in \Gamma \Rightarrow \lambda u \in \bigcap_{\alpha \in \Gamma} U_\alpha$. Closed under scalar multi.

Thus $\bigcap_{\alpha \in \Gamma} U_\alpha$ is nonempty subset of V that is closed under add and scalar multi. □

12 Suppose U, W are subsp of V . Prove that $U \cup W$ is a subsp of $V \iff U \subseteq W$ or $W \subseteq U$.

SOLUTION:

(a) Suppose $U \subseteq W$. Then $U \cup W = W$ is a subsp of V .

(b) Suppose $U \cup W$ is a subsp of V . Suppose $U \not\subseteq W$ and $U \not\supseteq W$ ($U \cup W \neq U$ and W).

Then $\forall a \in U$ but $a \notin W$; $b \in W$ but $b \notin U$. $a + b \in U \cup W$.

Consider $a + b \in U \Rightarrow b = (a + b) + (-a) \in U$, contradicts!
 Consider $a + b \in W \Rightarrow a = (a + b) + (-b) \in W$, contradicts! $\left. \vphantom{\begin{array}{l} \text{Consider } a + b \in U \Rightarrow b = (a + b) + (-a) \in U, \text{ contradicts!} \\ \text{Consider } a + b \in W \Rightarrow a = (a + b) + (-b) \in W, \text{ contradicts!} \end{array}} \right\} \Rightarrow U \cup W = U \text{ or } W. \text{ Contradicts!}$

Thus $U \subseteq W$ and $U \supseteq W$. □

13 Prove that the union of three subsp of V is a subsp of V if and only if one of the subsp contains the other two.

This exercise is not true if we replace \mathbf{F} with a field containing only two elements.

SOLUTION:

Suppose U_1, U_2, U_3 are subsp of V . Denote $U_1 \cup U_2 \cup U_3$ by \mathcal{U} .

(a) Suppose that one of the subsp contains the other two.

Then $\mathcal{U} = U_1, U_2$ or U_3 is a subsp of V .

(b) Suppose that $U_1 \cup U_2 \cup U_3$ is a subsp of V .

By distinct we notice that $A \cup B \cup C = (A \cup B) \cup (B \cup C) = (A \cup C) \cup (B \cup C) = (A \cup B) \cup (A \cup C)$.

Also note that, if $U \cup W = V$ is a vecsp, then in general U and W are not subsp of V .

Hence this literal trick is invalid.

(I) If any U_j is contained in the union of the other two, say $U_1 \subseteq U_2 \cup U_3$, then $\mathcal{U} = U_2 \cup U_3$.

By applying Problem (12) we conclude that one U_j contains the other two. Thus we are done.

(II) Assume that no U_j is contained in the union of the other two,

and no U_j contains the union of the other two.

Say $U_1 \not\subseteq U_2 \cup U_3$ and $U_1 \not\supseteq U_2 \cup U_3$.

$\exists u \in U_1 \wedge u \notin U_2 \cup U_3$; $v \in U_2 \cup U_3 \wedge v \notin U_1$. Let $W = \{v + \lambda u : \lambda \in \mathbf{F}\} \subseteq \mathcal{U}$.

Note that $W \cap U_1 = \emptyset$, for if $v + \lambda u \in U_1$ then $v + \lambda u - \lambda u = v \in U_1$ while $v \notin U_1$.

$\nexists W \subseteq U_1 \cup U_2 \cup U_3$. Thus $W \subseteq U_2 \cup U_3$.

$\forall v + \lambda u \in W, \exists i \in \{2, 3\}, v + \lambda u \in U_i$.

Because U_2, U_3 are subsp and hence have at least one element.

If $U_2 = U_3$, then $\mathcal{U} = U_1 \cup U_2$ and by Problem (12) we are done.

Otherwise, $\exists \lambda, \mu \in \mathbf{F}$ with $\lambda \neq \mu$ such that $v + \lambda u, v + \mu u \in U_i$ for some $i \in \{2, 3\}$.

Then $u \in U_i$ while $u \notin U_2 \cup U_3$. Contradicts. □

EXAMPLE: Suppose $U = \{(x, x, y, y) \in \mathbf{F}^4 : x, y \in \mathbf{F}\}$, $W = \{(x, x, x, y) \in \mathbf{F}^4 : x, y \in \mathbf{F}\}$.
 Prove that $U + W = \{(x, x, y, z) \in \mathbf{F}^4 : x, y, z \in \mathbf{F}\}$.

Let T denote $\{(x, x, y, z) \in \mathbf{F}^4 : x, y, z \in \mathbf{F}\}$. By def, $U + W \subseteq T$.

And $T \ni (x, x, y, z) \Rightarrow (0, 0, y - x, y - x) + (x, x, x, -y + x + z) \in U + W$. Hence $T \subseteq U + W$. □

21 Suppose $U = \{(x, y, x + y, x - y, 2x) \in \mathbf{F}^5 : x, y \in \mathbf{F}\}$. Find a W such that $\mathbf{F}^5 = U \oplus W$.

SOLUTION:

Let $W = \{(0, 0, z, w, u) \in \mathbf{F}^5 : z, w, u \in \mathbf{F}\}$. Then $U \cap W = \{0\}$.

And $\mathbf{F}^5 \ni (x, y, z, w, u) \Rightarrow (x, y, x + y, x - y, 2x) + (0, 0, z - x - y, w - x - y, u - 2x) \in U + W$. □

23 Give an example of vecsps V_1, V_2, U such that $V_1 \oplus U = V_2 \oplus U$, but $V_1 \neq V_2$.

SOLUTION: $V = \mathbf{F}^2, U = \{(x, x) \in \mathbf{F}^2 : x \in \mathbf{F}\}, V_1 = \{(x, 0) \in \mathbf{F}^2 : x \in \mathbf{F}\}, V_2 = \{(0, x) \in \mathbf{F}^2 : x \in \mathbf{F}\}.$

22 Suppose $U = \{(x, y, x + y, x - y, 2x) \in \mathbf{F}^5 : x, y \in \mathbf{F}\}.$

Find three subsp W_1, W_2, W_3 of \mathbf{F}^5 , none of which equals $\{0\}$, such that $\mathbf{F}^5 = U \oplus W_1 \oplus W_2 \oplus W_3.$

SOLUTION:

(1) Let $W_1 = \{(0, 0, z, 0, 0) \in \mathbf{F}^5 : z \in \mathbf{F}\}.$ Then $W_1 \cap U = \{0\}.$

Let $U_1 = U \oplus W_1.$ Then $U_1 = \{(x, y, z, x - y, 2x) \in \mathbf{F}^5 : x, y, z \in \mathbf{F}\}.$ (Check it!)

(2) Let $W_2 = \{(0, 0, 0, w, 0) \in \mathbf{F}^5 : w \in \mathbf{F}\}.$ Then $W_2 \cap U_1 = \{0\}.$

Let $U_2 = U_1 \oplus W_2.$ Then $U_2 = \{(x, y, z, w, 2x) \in \mathbf{F}^5 : x, y, z, w \in \mathbf{F}\}.$

(3) Let $W_3 = \{(0, 0, 0, 0, u) \in \mathbf{F}^5 : u \in \mathbf{F}\}.$ Then $W_3 \cap U_2 = \{0\}.$

Let $U_3 = U_2 \oplus W_3.$ Then $U_3 = \{(x, y, z, w, u) \in \mathbf{F}^5 : x, y, z, w, u \in \mathbf{F}\}.$

Thus $\mathbf{F}^5 = ((U \oplus W_1) \oplus W_2) \oplus W_3.$ □

24 Let $V_E = \{f \in \mathbf{R}^{\mathbf{R}} : f(x) = f(-x)\}, V_O = \{f \in \mathbf{R}^{\mathbf{R}} : -f(x) = f(-x)\}.$

Show that $V_E \oplus V_O = \mathbf{R}^{\mathbf{R}}.$

SOLUTION:

(a) $V_E \cap V_O = \{f \in \mathbf{R}^{\mathbf{R}} : f(x) = f(-x) = -f(-x)\} = \{0\}.$

(b)
$$\left. \begin{aligned} f_e \in V_E &\iff f_e(x) = f_e(-x) \iff \text{let } f_e(x) = \frac{g(x) + g(-x)}{2} \\ f_o \in V_O &\iff f_o(x) = -f_o(-x) \iff \text{let } f_o(x) = \frac{g(x) - g(-x)}{2} \end{aligned} \right\} \Rightarrow \forall g \in \mathbf{R}^{\mathbf{R}}, g(x) = f_e(x) + f_o(x). \quad \square$$

ENDED

2.A

2 (a) [P] A list (v) of length 1 in V is linely inde $\iff v \neq 0.$ [Q]

(b) [P] A list (v, w) of length 2 in V is linely inde $\iff \forall \lambda, \mu \in \mathbf{F}, v \neq \lambda w, w \neq \mu v.$ [Q]

SOLUTION:

(a) $Q \xrightarrow{1} P : v \neq 0 \Rightarrow \text{if } av = 0 \text{ then } a = 0 \Rightarrow (v) \text{ linely inde}.$

$P \xrightarrow{2} Q : (v) \text{ linely inde} \Rightarrow v \neq 0, \text{ for if } v = 0, \text{ then } av = 0 \not\Rightarrow a = 0.$

OR. $\left| \begin{aligned} \neg Q &\xrightarrow{3} \neg P : v = 0 \Rightarrow av = 0 \text{ while we can let } a \neq 0 \Rightarrow (v) \text{ is linely dep.} \end{aligned} \right.$

$\neg P \xrightarrow{4} \neg Q : (v) \text{ linely dep} \Rightarrow av = 0 \text{ while } a \neq 0 \Rightarrow v = 0.$

COMMENT: (1) with (3) and (2) with (4) will do as well. □

(b) $P \xrightarrow{1} Q : (v, w) \text{ linely inde} \Rightarrow \text{if } av + bw = 0, \text{ then } a = b = 0 \Rightarrow \text{no scalar multi}.$

$Q \xrightarrow{2} P : \text{no scalar multi} \Rightarrow \text{if } av + bw = 0, \text{ then } a = b = 0 \Rightarrow (v, w) \text{ linely inde}.$

OR. $\left| \begin{aligned} \neg P &\xrightarrow{3} \neg Q : (v, w) \text{ linely dep} \Rightarrow \text{if } av + bw = 0, \text{ then } a \text{ or } b \neq 0 \Rightarrow \text{scalar multi} \end{aligned} \right.$

$\neg Q \xrightarrow{4} \neg P : \text{scalar multi} \Rightarrow \text{if } av + bw = 0, \text{ then } a \text{ or } b \neq 0 \Rightarrow \text{linely dep}.$

COMMENT: (1) with (3) and (2) with (4) will do as well. □

1 Prove that $[P] (v_1, v_2, v_3, v_4)$ spans $V \iff (v_1 - v_2, v_2 - v_3, v_3 - v_4, v_4)$ also spans $V [Q]$.

SOLUTION:

Notice that $V = \text{span}(v_1, \dots, v_n) \iff \forall v \in V, \exists a_1, \dots, a_n \in \mathbb{F}, v = a_1 v_1 + \dots + a_n v_n$.

Assume that $\forall v \in V, \exists a_1, \dots, a_4, b_1, \dots, b_4 \in \mathbb{F}$, (that is, if $\exists a_i$, then we are to find b_i , vice versa)

$$\begin{aligned} v &= a_1 v_1 + a_2 v_2 + a_3 v_3 + a_4 v_4 \\ &= b_1(v_1 - v_2) + b_2(v_2 - v_3) + b_3(v_3 - v_4) + b_4 v_4 \\ &= b_1 v_1 + (b_2 - b_1)v_2 + (b_3 - b_2)v_3 + (b_4 - b_3)v_4. \end{aligned}$$

Now we can let $b_i = \sum_{r=1}^i a_r$ if we are to prove Q with P already assumed;

or let $a_i = b_i - b_{i-1}$ with $b_{-1} = 0$, if we are to prove P with Q already assumed. \square

6 Prove that $[P] (v_1, v_2, v_3, v_4)$ is linely inde $\iff [Q] (v_1 - v_2, v_2 - v_3, v_3 - v_4, v_4)$ is linely inde.

SOLUTION:

$$P \Rightarrow Q : a_1(v_1 - v_2) + a_2(v_2 - v_3) + a_3(v_3 - v_4) + a_4 v_4 = 0$$

$$\Rightarrow a_1 v_1 + (a_2 - a_1)v_2 + (a_3 - a_2)v_3 + (a_4 - a_3)v_4 = 0$$

$$\Rightarrow a_1 = a_2 - a_1 = a_3 - a_2 = a_4 - a_3 = 0$$

$$Q \Rightarrow P : a_1 v_1 + a_2 v_2 + a_3 v_3 + a_4 v_4 = 0$$

$$\Rightarrow a_1(v_1 - v_2) + (a_1 + a_2)(v_2 - v_3) + (a_1 + a_2 + a_3)(v_3 - v_4) + (a_1 + \dots + a_4)v_4 = 0$$

$$\Rightarrow a_1 = a_1 + a_2 = a_1 + a_2 + a_3 = a_1 + \dots + a_4 = 0. \quad \square$$

• Suppose (v_1, \dots, v_m) is a list of vecs in V . For $k \in \{1, \dots, m\}$, let $w_k = v_1 + \dots + v_k$.

(a) Show that $\text{span}(v_1, \dots, v_m) = \text{span}(w_1, \dots, w_m)$.

(b) Show that $[P] (v_1, \dots, v_m)$ is linely inde $\iff (w_1, \dots, w_m)$ is linely inde $[Q]$.

SOLUTION:

$$(a) \text{ let } a_k = \sum_{j=1}^k b_j \Leftarrow a_1 v_1 + \dots + a_m v_m = b_1 w_1 + \dots + b_m w_m \Rightarrow \text{let } b_1 = a_1, b_k = a_k - \sum_{j=1}^{k-1} b_j = \sum_{j=1}^k (-1)^{k-j} a_j.$$

$$(b) P \Rightarrow Q : b_1 w_1 + \dots + b_m w_m = 0 = a_1 v_1 + \dots + a_m v_m, \text{ where } 0 = a_k = \sum_{j=1}^k b_j$$

$$Q \Rightarrow P : a_1 v_1 + \dots + a_m v_m = 0 = b_1 w_1 + \dots + b_m w_m = 0, \text{ where } 0 = b_1 = a_1, 0 = b_k = \sum_{j=1}^k (-1)^{k-j} a_j$$

OR. Because $W = \text{span}(v_1, \dots, v_m) = \text{span}(w_1, \dots, w_m)$.

By [2.21](b), a list of length $(m-1)$ spans W , then by [2.23],

(w_1, \dots, w_m) linely dep $\Rightarrow (v_1, \dots, v_m)$ linely dep. Conversely it is true as well. \square

10 Suppose (v_1, \dots, v_m) is linely inde in V and $w \in V$.

Prove that if $(v_1 + w, \dots, v_m + w)$ is linely depe, then $w \in \text{span}(v_1, \dots, v_m)$.

SOLUTION:

Suppose $a_1(v_1 + w) + \dots + a_m(v_m + w) = 0, \exists a_i \neq 0 \Rightarrow a_1 v_1 + \dots + a_m v_m = 0 = -(a_1 + \dots + a_m)w$.

Then $a_1 + \dots + a_m \neq 0$, for if not, $a_1 v_1 + \dots + a_m v_m = 0$ while $a_i \neq 0$ for some i , contradicts. \square

OR. By contrapositive, $w \notin \text{span}(v_1, \dots, v_m)$, similarly. \square

OR. $\exists j \in \{1, \dots, m\}, v_j + w \in \text{span}(v_1 + w, \dots, v_{j-1} + w)$. If $j = 1$ then $v_1 + w = 0$ and we are done.

If $j \geq 2$, then $\exists a_i \in \mathbb{F}, v_j + w = a_1(v_1 + w) + \dots + a_{j-1}(v_{j-1} + w) \iff v_j + \lambda w = a_1 v_1 + \dots + a_{j-1} v_{j-1}$.

Where $\lambda = 1 - (a_1 + \dots + a_{j-1})$. Note that $\lambda \neq 0$, for if not, $v_j + \lambda w = v_j \in \text{span}(v_1, \dots, v_{j-1})$, contradicts.

Now $w = \lambda^{-1}(a_1 v_1 + \dots + a_{j-1} v_{j-1} - v_j) \Rightarrow w \in \text{span}(v_1, \dots, v_m)$. \square

11 Suppose (v_1, \dots, v_m) is linely inde in V and $w \in V$.

Show that $[P] (v_1, \dots, v_m, w)$ is linely inde $\iff w \notin \text{span}(v_1, \dots, v_m)$ $[Q]$.

SOLUTION: $\neg Q \Rightarrow \neg P$: Suppose $w \in \text{span}(v_1, \dots, v_m)$. Then (v_1, \dots, v_m, w) is linely depe. □

$\neg P \Rightarrow \neg Q$: Suppose (v_1, \dots, v_m, w) is linely dep. Then by [2.21] $w \in \text{span}(v_1, \dots, v_m)$.

14 Prove that $[P] V$ is infinite-dim $\iff [Q] \left| \begin{array}{l} \text{there is a sequence } (v_1, v_2, \dots) \text{ in } V \text{ such that} \\ (v_1, \dots, v_m) \text{ is linely inde for each } m \in \mathbb{N}^+ \end{array} \right.$

SOLUTION:

$P \Rightarrow Q$: Suppose V is infinite-dim, so that no list spans V .

Step 1 Pick a $v_1 \neq 0$, (v_1) linely inde.

Step m Pick a $v_m \notin \text{span}(v_1, \dots, v_{m-1})$, by Problem (10)(b), (v_1, \dots, v_m) is linely inde.

This process recursively defines the desired sequence (v_1, v_2, \dots) .

$\neg P \Rightarrow \neg Q$: Suppose V is finite-dim and $V = \text{span}(w_1, \dots, w_m)$.

Let (v_1, v_2, \dots) be a sequence in V , then $(v_1, v_2, \dots, v_{m+1})$ must be linely dep.

OR. $Q \Rightarrow P$: Suppose there is such a sequence.

Choose an m . Suppose a linely inde list (v_1, \dots, v_m) spans V .

(Similar to [2.16]) Then $\exists v_{m+1} \in V \setminus \text{span}(v_1, \dots, v_m)$.

Hence no list spans V . Thus V is infinite-dim. □

16 Prove that the vecsp of all continuous functions in $\mathbb{R}^{[0,1]}$ is infinite-dim.

SOLUTION: Denote the vecsp by U .

Choose an $m \in \mathbb{N}^+$. Suppose $a_0, \dots, a_m \in \mathbb{R}$ are such that $a_0 + a_1x + \dots + a_mx^m = 0, \forall x \in [0, 1]$.

Then the poly has infinitely many roots and hence $a_0 = \dots = a_m = 0$.

Thus $(1, x, \dots, x^m)$ is linely inde in $\mathbb{R}^{[0,1]}$. Similar to [2.16], U is infinite-dim.

OR. Note that for $a_n = \frac{1}{n}, a_1 < a_2 < \dots < a_m, \forall m \in \mathbb{N}^+$.

Suppose $f_n = \begin{cases} x - \frac{1}{n}, & x \in (\frac{1}{n}, 1) \\ 0, & x \in [0, \frac{1}{n}] \end{cases}$ Then for any $m, f_1\left(\frac{1}{m}\right) = \dots = f_m\left(\frac{1}{m}\right)$, while $f_{m+1}\left(\frac{1}{m}\right) \neq 0$.

Hence $f_{m+1} \notin \text{span}(f_1, \dots, f_m)$. Thus by Problem (14), U is infinite-dim.

17 Suppose $p_0, p_1, \dots, p_m \in \mathcal{P}_m(\mathbb{F})$ such that $p_k(2) = 0$ for each $k \in \{0, \dots, m\}$.

Prove that (p_0, p_1, \dots, p_m) is not linely inde in $\mathcal{P}_m(\mathbb{F})$.

SOLUTION:

Suppose (p_0, p_1, \dots, p_m) is linely inde. Define $p \in \mathcal{P}_m(\mathbb{F})$ by $p(z) = z \forall z \in \mathbb{F}$.

But $\forall a_i \in \mathbb{F}, z \neq a_0p_0(z) + \dots + a_mp_m(z)$, for if not, let $z = 2$, contradicts. Thus $z \notin \text{span}(p_0, p_1, \dots, p_m)$.

Then $\text{span}(p_0, p_1, \dots, p_m) \subsetneq \mathcal{P}_m(\mathbb{F})$ while the list (p_0, p_1, \dots, p_m) has length $(m+1)$.

Hence (p_0, p_1, \dots, p_m) is linely depe in $\mathcal{P}_m(\mathbb{F})$.

For if not, because $(1, z, \dots, z^m)$ of length $(m+1)$ spans $\mathcal{P}_m(\mathbb{F})$,

thus by [2.23] trivially, (p_0, p_1, \dots, p_m) spans $\mathcal{P}_m(\mathbb{F})$. Contradicts. □

OR. Note that $\mathcal{P}_m(\mathbb{F}) = \text{span} \underbrace{(1, z, \dots, z^m)}_{\text{of length } (m+1)}$ and then $(p_0, p_1, \dots, p_m, x)$ of length $(m+2)$ is linely dep.

(See the above) Now $z \notin \text{span}(p_0, p_1, \dots, p_m)$ and hence (p_0, p_1, \dots, p_m) is linely dep. □

2.B

7 Prove or give a counterexample: If v_1, v_2, v_3, v_4 is a basis of V and U is a subspace of V such that $v_1, v_2 \in U$ and $v_3 \notin U$ and $v_4 \notin U$, then (v_1, v_2) is a basis of U .

SOLUTION: A counterexample:

Let $V = \mathbb{R}^4$ and e_j be the j^{th} standard basis.

Let $v_1 = e_1, v_2 = e_2, v_3 = e_3 + e_4, v_4 = e_4$. Then (v_1, \dots, v_4) is a basis of \mathbb{R}^4 .

Let $U = \text{span}(e_1, e_2, e_3) = \text{span}(v_1, v_2, v_3 - v_4)$. Then $v_3 \notin U$ and (v_1, v_2) is not a basis of U . \square

• NOTE FOR " $\mathbf{C}_V U \cap \{0\}$ ":

" $\mathbf{C}_V U \cap \{0\}$ " is supposed to be a subspace W such that $V = U \oplus W$.

But if we let $u \in U \setminus \{0\}$ and $w \in W \setminus \{0\}$, then
$$\left. \begin{array}{l} w \in \mathbf{C}_V U \cap \{0\} \\ u \pm w \in \mathbf{C}_V U \cap \{0\} \end{array} \right\} \Rightarrow u \in \mathbf{C}_V U \cap \{0\}. \text{ Contradicts.}$$

To fix this, denote the set $\{W_1, W_2, \dots\}$ by $\mathcal{S}_V U$, where for each $W_i, V = U \oplus W_i$. See also in (1.C.23).

1 Find all vecsps that have exactly one basis.

SOLUTION: The trivial vecsp $\{0\}$ will do. Indeed, the only basis of $\{0\}$ is the empty list.

Now consider a field containing only the add identity 0 and the multi identity 1,

and we specify that $1 + 1 = 0$. Hence the vecsp $\{0, 1\}$ will do, the list (1) will be the unique basis.

Are there other vecsps? Suppose so.

(I) Consider $\mathbf{F} = \mathbb{R}$ or \mathbb{C} . Let (v_1, \dots, v_m) be a basis of $V \neq \{0\}$.

While there are infinitely many bases distinct from this one. Hence we fail.

(II) Consider other \mathbf{F} . Note that a field contains at least 0 and 1

By *some theories or facts* given in the course of Elementary Abstract Algebra, we fail. \square

• Suppose (v_1, \dots, v_m) is a list of vecs in V . For $k \in \{1, \dots, m\}$, let $w_k = v_1 + \dots + v_k$.

Show that $[P] (v_1, \dots, v_m)$ is a basis of $V \iff [Q] (w_1, \dots, w_m)$ is a basis of V .

SOLUTION: NOTICE that (u_1, \dots, u_n) is a basis of $U \iff \forall u \in U, \exists! a_i \in \mathbf{F}, u = a_1 u_1 + \dots + a_n u_n$.

$$P \Rightarrow Q: \forall v \in V, \exists! a_i \in \mathbf{F}, v = a_1 v_1 + \dots + a_m v_m \Rightarrow v = b_1 w_1 + \dots + b_m w_m, \exists! b_1 = a_1, b_k = \sum_{j=1}^k (-1)^{k-j} a_j.$$

$$Q \Rightarrow P: \forall v \in V, \exists! b_i \in \mathbf{F}, v = b_1 w_1 + \dots + b_m w_m \Rightarrow v = a_1 v_1 + \dots + a_m v_m, \exists! a_k = \sum_{j=1}^k b_j. \quad \square$$

• Suppose V is finite-dim and U, W are subspaces of V such that $V = U + W$.

Prove that there exists a basis of V consisting of vecs in $U \cup W$.

SOLUTION: Let (u_1, \dots, u_m) and (w_1, \dots, w_n) be bases of U and W respectively.

Then $V = \text{span}(u_1, \dots, u_m) + \text{span}(w_1, \dots, w_n) = \text{span}(u_1, \dots, u_m, w_1, \dots, w_n)$.

Hence, by [2.31], we get a basis of V consisting of vecs in U or W . \square

8 Suppose U and W are subspaces of V such that $V = U \oplus W$.

Suppose (u_1, \dots, u_m) is a basis of U and (w_1, \dots, w_n) is a basis of W .

Prove that $(u_1, \dots, u_m, w_1, \dots, w_n)$ is a basis of V .

SOLUTION:

$$\forall v \in V, \exists! u \in U, w \in W, v = u + w = (a_1 u_1 + \dots + a_m u_m) + (b_1 w_1 + \dots + b_n w_n), \exists! a_i, b_i \in \mathbf{F}$$

$$\Rightarrow (a_1 u_1 + \dots + a_m u_m) = -(b_1 w_1 + \dots + b_n w_n) \in U \cap W = \{0\}. \text{ Thus } a_1 = \dots = a_m = b_1 = \dots = b_n. \quad \square$$

• **NOTE FOR** *linely inde sequence* and [2.34]:

“ $V = \text{span}(v_1, \dots, v_n, \dots)$ ” is an invalid expression.

If we allow using “infinite list”, then we must guarantee that (v_1, \dots, v_n, \dots) is a spanning “list” such that for all $v \in V$, there exists a smallest positive integer n such that $v = a_1 v_1 + \dots + a_n v_n$.

The key point is, how can we guarantee that such a “list” exists?

ENDED

2.C

1 (COROLLARY for [2.38,39])

Suppose U is a subsp of V such that $\dim V = \dim U$. Then $V = U$.

9 Suppose (v_1, \dots, v_m) is linely inde in V and $w \in V$.

Prove that $\dim \text{span}(v_1 + w, \dots, v_m + w) \geq m - 1$.

SOLUTION: Using the result of Problem (10) and (11) in 2.A.

Note that $v_i - v_1 = (v_i + w) - (v_1 + w) \in \text{span}(v_1 + w, \dots, v_m + w)$, for each $i = 1, \dots, m$.

(v_1, \dots, v_m) linely inde $\Rightarrow (v_1, v_2 - v_1, \dots, v_m - v_1)$ linely inde $\Rightarrow \underbrace{(v_2 - v_1, \dots, v_m - v_1)}_{\text{of length } (m-1)}$ linely inde.

又 $w \notin \text{span}(v_1, \dots, v_m) \Rightarrow (v_1 + w, \dots, v_m + w)$ is linely inde.

Hence $m \geq \dim \text{span}(v_1 + w, \dots, v_m + w) \geq m - 1$. □

10 Suppose m is a positive integer and $p_0, p_1, \dots, p_m \in \mathcal{P}(\mathbf{F})$ are such that each p_k has degree k . Prove that (p_0, p_1, \dots, p_m) is a basis of $\mathcal{P}_m(\mathbf{F})$.

SOLUTION:

Using mathematical induction on m .

(i) For p_0 , $\deg p_0 = 0 \Rightarrow \text{span}(p_0) = \text{span}(1)$.

(ii) Suppose for $i \geq 1$, $\text{span}(p_0, p_1, \dots, p_i) = \text{span}(1, x, \dots, x^i)$.

Then $\text{span}(p_0, p_1, \dots, p_i, p_{i+1}) \subseteq \text{span}(1, x, \dots, x^i, x^{i+1})$.

又 $\deg p_{i+1} = i + 1$, $p_{i+1}(x) = a_{i+1}x^{i+1} + r_{i+1}(x)$; $a_{i+1} \neq 0$, $\deg r_{i+1} \leq i$.

$\Rightarrow x^{i+1} = \frac{1}{a_{i+1}}(p_{i+1}(x) - r_{i+1}(x)) \in \text{span}(1, x, \dots, x^i, p_{i+1}) = \text{span}(p_0, p_1, \dots, p_i, p_{i+1})$.

$\therefore x^{i+1} \in \text{span}(p_0, p_1, \dots, p_i, p_{i+1}) \Rightarrow \text{span}(1, x, \dots, x^i, x^{i+1}) \subseteq \text{span}(p_0, p_1, \dots, p_i, p_{i+1})$.

Thus $\mathcal{P}_m(\mathbf{F}) = \text{span}(1, x, \dots, x^m) = \text{span}(p_0, p_1, \dots, p_m)$. □

OR. 用比较系数法. Denote the coefficient of x^i in $p \in \mathcal{P}(\mathbf{F})$ by $\xi_i(p)$.

Suppose $L = a_m p_m(x) + \dots + a_1 p_1(x) + a_0 p_0(x) = 0 \cdot x^m + \dots + 0 \cdot x + 0 \cdot 1 = R, \forall x \in \mathbf{F}$.

We use induction on m to show that $a_m = \dots = a_0 = 0$.

(i) $k = m$, $\xi_m(L) = a_m \xi_m(p_m) = \xi_m(R) = 0$ 又 $\deg p_m = m$, $\xi_m(p_m) \neq 0 \Rightarrow a_m = 0$.

Now $L = a_{m-1} p_{m-1}(x) + \dots + a_0 p_0(x)$.

(ii) $1 \leq k \leq m$, $\xi_k(L) = a_k \xi_k(p_k) = \xi_k(R) = 0$ 又 $\deg p_k = k$, $\xi_k(p_k) \neq 0 \Rightarrow a_k = 0$.

Now $L = a_{k-1} p_{k-1}(x) + \dots + a_0 p_0(x)$. □

- (4E 2.C.10) Suppose m is a positive integer. For $0 \leq k \leq m$, let $p_k(x) = x^k(1-x)^{m-k}$. Show that (p_0, \dots, p_m) is a basis of $\mathcal{P}(\mathbf{F})$.

The basis in this exercise leads to what are called Bernstein polynomials. You can do a web search to learn how Bernstein polynomials are used to approximate continuous functions on $[0, 1]$.

SOLUTION: Using mathematical induction.

- (i) $k = 0, 1, 2$, $p_m(x) = x^m$, $p_{m-1}(x) = x^{m-1} - x^m$, $p_{m-2}(x) = x^{m-2} + x^m - 2x^{m-1}$.
- (ii) $k \geq 2$. Suppose for $p_{m-k}(x)$, $\exists ! a_i \in \mathbf{F}$, $x^{m-k} = p_{m-k}(x) + a_m x^m + \dots + a_{m-k+1} x^{m-k+1}$.

Then for $p_{m-k-1}(x)$, $\exists ! c_i \in \mathbf{F}$,

$$x^{m-k-1} = p_{m-k-1}(x) + C_{k+1}^1(-1)^2 x^{m-k} + \dots + C_{k+1}^k(-1)^{k+1} x^{m-1} + (-1)^{k-2} x^m \\ \Rightarrow c_{m-i} = C_{k+1}^{k+1-i}(-1)^{k-i}.$$

Thus for each x^i , $\exists ! b_i \in \mathbf{F}$, $x^i = b_m p_m(x) + \dots + b_{m-i} p_{m-i}(x)$

$$\Rightarrow \text{span}(x^m, \dots, x, 1) = \text{span}(\underbrace{p_m, \dots, p_1, p_0}_{\text{Basis}}).$$

□

For any $m, k \in \mathbf{N}^+$ such that $k \leq m$. Define $p_{k,m}$ by $p_{k,m}(x) = x^k(1-x)^{m-k}$.

Define the statement $S(m)$ by $S(m) : (\underbrace{p_{0,m}, \dots, p_{m,m}}_{\dim \mathcal{P}_m(\mathbf{F}) = m+1})$ is linely inde (and therefore is a basis).

We use induction on to show that $S(m)$ holds for all $m \in \mathbf{N}^+$.

- (i) $m = 1$. Suppose $a_0(1-x) + a_1x = 0, \forall x \in \mathbf{F}$. Then $\begin{cases} x = 0 \Rightarrow a_0 = 0; \\ x = 1 \Rightarrow a_1 = 0. \end{cases}$

$$m = 2. \text{ Suppose } a_0(1-x)^2 + a_1(1-x)x + a_2x^2, \forall x \in \mathbf{F}. \text{ Then } \begin{cases} x = 0 \Rightarrow a_0 + a_1 = 0; \\ x = 1 \Rightarrow a_2 = 0; \\ x = 2 \Rightarrow a_0 + 2a_1 = 0. \end{cases}$$

- (ii) $2 \leq m$. Assume that $S(m)$ holds.

$$\text{Suppose } \sum_{k=0}^{m+2} a_k p_{k,m+2}(x) = \sum_{k=0}^{m+2} a_k x^k (1-x)^{m+2-k} = 0, \forall x \in \mathbf{F}.$$

$$\text{While } x = 0 \Rightarrow a_0 = 0; x = 1 \Rightarrow a_{m+2} = 0. \text{ Then } \sum_{k=1}^{m+1} a_k x^k (1-x)^{m+2-k} = 0;$$

$$\text{And note that } \sum_{k=1}^{m+1} a_k x^k (1-x)^{m+2-k}$$

$$= x(1-x) \sum_{k=1}^{m+1} a_k x^{k-1} (1-x)^{m+1-k}$$

$$= x(1-x) \sum_{k=0}^m a_{k+1} x^k (1-x)^{m-k} = x(1-x) \sum_{k=0}^m a_{k+1} p_{k,m}(x).$$

$$\text{Hence } x(1-x) \sum_{k=0}^m a_{k+1} p_{k,m}(x) = 0, \forall x \in \mathbf{F} \Rightarrow \sum_{k=0}^m a_{k+1} p_{k,m}(x) = 0, \forall x \in \mathbf{F} \setminus \{0, 1\}.$$

Because $\sum_{k=0}^m a_{k+1} p_{k,m}(x)$ has infinitely many zeros. We have $\sum_{k=0}^m a_{k+1} p_{k,m}(x) = 0, \forall x \in \mathbf{F}$.

By assumption, $a_1 = \dots = a_m = 0$, while $a_0 = a_{m+2} = 0$,

and also $a_{m+1} = 0$ (because $\sum_{k=0}^m a_{k+1} p_{k,m}(x) = a_{m+1} p_{m,m}(x) = a_{m+1} x^m = 0, \forall x \in \mathbf{F}.$)

Thus $(p_{0,m+2}, \dots, p_{m+2,m+2})$ is linely inde and $S(m+2)$ holds.

$$\text{Since } S(m) \Rightarrow S(m+2) \text{ for all } m \in \mathbf{N}^+. \text{ We have } \begin{cases} S(1) \Rightarrow S(3) \Rightarrow \dots \Rightarrow S(2k+1) \Rightarrow \dots; \\ S(2) \Rightarrow S(4) \Rightarrow \dots \Rightarrow S(2k) \Rightarrow \dots. \end{cases}$$

Hence $S(m)$ holds for all $m \in \mathbf{N}^+$.

□

7 (a) Let $U = \{p \in \mathcal{P}_4(\mathbb{F}) : p(2) = p(5) = p(6)\}$. Find a basis of U .

(b) Extend the basis in (a) to a basis of $\mathcal{P}_4(\mathbb{F})$.

(c) Find a subsp W of $\mathcal{P}_4(\mathbb{F})$ such that $\mathcal{P}_4(\mathbb{F}) = U \oplus W$.

SOLUTION: Suppose $p(z) = az^4 + bz^3 + cz^2 + dz + e$ such that $p(2) = p(5) = p(6)$.

$$\text{Then } \left\{ \begin{array}{l} p(2) = 16a + 8b + 4c + 2d + e \quad (\text{I}) \\ p(5) = 625a + 125b + 25c + 5d + e \quad (\text{II}) \\ p(6) = 1296a + 216b + 36c + 6d + e \quad (\text{III}) \end{array} \right\} \Rightarrow \left\{ \begin{array}{l} (\text{II}) - (\text{I}) = 0 \\ (\text{III}) - (\text{II}) = 0 \\ (\text{III}) - (\text{I}) = 0 \end{array} \right.$$

You don't have to compute to know that the dimension of the set of solutions is 3.

(Because $\nexists p \in \mathcal{P}_2(\mathbb{F})$ with $1 \leq \deg p \leq 2, p(2) = p(5) = p(6)$.)

(a) A basis: $1, (z-2)(z-5)(z-6), z(z-2)(z-5)(z-6)$.

(b) Extend to a basis of $\mathcal{P}_4(\mathbb{F})$ as $1, z, z^2, (z-2)(z-5)(z-6), z(z-2)(z-5)(z-6)$.

(c) Let $W = \text{span}(z, z^2) = \{az + bz^2 : a, b \in \mathbb{F}\}$, so that $\mathcal{P}_4(\mathbb{F}) = U \oplus W$. □

• **TIPS:**

(1) $\dim(V_1 \cap V_2 \cap V_3) = \dim V_1 + \dim V_2 + \dim V_3 - \dim(V_2 + V_3) - \dim(V_1 + (V_2 \cap V_3))$.

(2) $\dim(V_1 \cap V_2 \cap V_3) = \dim V_1 + \dim V_2 + \dim V_3 - \dim(V_1 + V_3) - \dim(V_2 + (V_1 \cap V_3))$.

(3) $\dim(V_1 \cap V_2 \cap V_3) = \dim V_1 + \dim V_2 + \dim V_3 - \dim(V_1 + V_2) - \dim(V_3 + (V_1 \cap V_2))$.

For (1). Because $\dim(V_1 \cap V_2 \cap V_3) = \dim V_1 + \dim(V_2 \cap V_3) - \dim(V_1 + (V_2 \cap V_3))$.

And $\dim(V_2 \cap V_3) = \dim V_2 + \dim V_3 - \dim(V_2 + V_3)$.

• (4E 2.C.14) Suppose V is a 10-dim vecsp and V_1, V_2, V_3 are subsp of V with $\dim V_1 = \dim V_2 = \dim V_3 = 7$. Prove that $V_1 \cap V_2 \cap V_3 \neq \{0\}$.

SOLUTION: By TIPS, $\dim(V_1 \cap V_2 \cap V_3) \geq \dim V_1 + \dim V_2 + \dim V_3 - 2 \dim V > 0$. □

• (4E 2.C.15) Suppose V is finite-dim and V_1, V_2, V_3 are subsp of V with $\dim V_1 + \dim V_2 + \dim V_3 > 2 \dim V$. Prove that $V_1 \cap V_2 \cap V_3 \neq \{0\}$.

SOLUTION: By TIPS, $\dim(V_1 \cap V_2 \cap V_3) > 2 \dim V - \dim(V_2 + V_3) - \dim(V_1 + (V_2 \cap V_3)) \geq 0$. □

• (4E 2.C.16)

Suppose V is finite-dim and U is a subsp of V with $U \neq V$. Let $n = \dim V, m = \dim U$. Prove that there exist $(n - m)$ subsp of V , say U_1, \dots, U_{n-m} , each of dimension $(n - 1)$, such that $\bigcap_{i=1}^{n-m} U_i = U$.

SOLUTION:

Let (v_1, \dots, v_m) be a basis of U , extend to a basis of V as $(v_1, \dots, v_m, u_1, \dots, u_{n-m})$.

Define $U_i = \text{span}(v_1, \dots, v_m, u_1, \dots, u_{i-1}, u_{i+1}, \dots, u_{n-m})$ for each i . Then $U \subseteq U_i$ for each i .

And because $\forall v \in \bigcap_{i=1}^{n-m} U_i, v = v_0 + b_1 u_1 + \dots + b_{n-m} u_{n-m} \in U_i \Rightarrow b_i = 0$ for each $i \Rightarrow v \in U$.

Hence $\bigcap_{i=1}^{n-m} U_i \subseteq U$. □

EXAMPLE: Suppose $\dim V = 6, \dim U = 3$.

$$\left(\underbrace{(v_1, v_2, v_3)}_{\text{Basis of } U}, v_4, v_5, v_6 \right), \text{ define } \left. \begin{array}{l} U_1 = \text{span}(v_1, v_2, v_3) \oplus \text{span}(v_5, v_6) \\ U_2 = \text{span}(v_1, v_2, v_3) \oplus \text{span}(v_4, v_6) \\ U_3 = \text{span}(v_1, v_2, v_3) \oplus \text{span}(v_4, v_5) \end{array} \right\} \Rightarrow \dim U_i = 6 - 1, i = 1, 2, 3. \quad \square$$

Basis of V $\frac{6-3=3}{6-3=3}$

14 Suppose that V_1, \dots, V_m are finite-dim subsp of V .

Prove that $V_1 + \dots + V_m$ is finite-dim and $\dim(V_1 + \dots + V_m) \leq \dim V_1 + \dots + \dim V_m$.

SOLUTION:

Choose a basis \mathcal{E}_i of $V_i \Rightarrow V_1 + \dots + V_m = \text{span}(\mathcal{E}_1 \cup \dots \cup \mathcal{E}_m)$; $\dim V_i = \text{card } \mathcal{E}_i$.

Then $\dim(V_1 + \dots + V_m) = \dim \text{span}(\mathcal{E}_1 \cup \dots \cup \mathcal{E}_m)$.

又 $\dim \text{span}(\mathcal{E}_1 \cup \dots \cup \mathcal{E}_m) \leq \text{card}(\mathcal{E}_1 \cup \dots \cup \mathcal{E}_m) \leq \text{card } \mathcal{E}_1 + \dots + \text{card } \mathcal{E}_m$.

Thus $\dim(V_1 + \dots + V_m) \leq \dim V_1 + \dots + \dim V_m$. □

COMMENT: $\dim(V_1 + \dots + V_m) = \dim V_1 + \dots + \dim V_m \iff V_1 + \dots + V_m$ is a direct sum.

For each i , $(V_1 + \dots + V_i) \cap V_{i+1} = \{0\} \iff V_1 + \dots + V_m$ is a direct sum

又 $\iff (\mathcal{E}_1 \cap \dots \cap \mathcal{E}_{k-1}) \cap \mathcal{E}_k = \emptyset$ for each i 又 $\dim \text{span}(\mathcal{E}_1 \cup \dots \cup \mathcal{E}_m) = \text{card}(\mathcal{E}_1 \cup \dots \cup \mathcal{E}_m)$

$\iff \dim \text{span}(\mathcal{E}_1 \cup \dots \cup \mathcal{E}_m) = \text{card } \mathcal{E}_1 + \dots + \text{card } \mathcal{E}_m$

$\iff \dim(V_1 + \dots + V_m) = \dim V_1 + \dots + \dim V_m$. □

17 Suppose V_1, V_2, V_3 are subsp of a finite-dim vecsp, then

$$\begin{aligned} \dim(V_1 + V_2 + V_3) &= \dim V_1 + \dim V_2 + \dim V_3 \\ &\quad - \dim(V_1 \cap V_2) - \dim(V_1 \cap V_3) - \dim(V_2 \cap V_3) + \dim(V_1 \cap V_2 \cap V_3). \end{aligned}$$

Explain why you might think and prove the formula above or give a counterexample.

SOLUTION:

[Similar to] Given three sets A, B and C .

Because $|X \cup Y| = |X| + |Y| - |X \cap Y|$; $(X \cup Y) \cap Z = (X \cap Z) \cup (Y \cap Z)$.

Now $|(A \cup B) \cup C| = |A \cup B| + |C| - |(A \cup B) \cap C|$.

And $|(A \cup B) \cap C| = |(A \cap C) \cup (B \cap C)| = |A \cap C| + |B \cap C| - |A \cap B \cap C|$.

Hence $|(A \cup B) \cup C| = |A| + |B| + |C| + |A \cap B \cap C| - |A \cap B| - |A \cap C| - |B \cap C|$.

Because $(V_1 + V_2) + V_3 = V_1 + (V_2 + V_3) = (V_1 + V_3) + V_2$.

$$\dim(V_1 + V_2 + V_3) = \dim(V_1 + V_2) + \dim(V_3) - \dim((V_1 + V_2) \cap V_3) \quad (1)$$

$$= \dim(V_2 + V_3) + \dim(V_1) - \dim((V_2 + V_3) \cap V_1) \quad (2)$$

$$= \dim(V_1 + V_3) + \dim(V_2) - \dim((V_1 + V_3) \cap V_2) \quad (3)$$

Notice that in general, $(X + Y) \cap Z \neq X \cap Z + Y \cap Z$.

For example, $X = \{(x, 0) \in \mathbb{R}^2 : x \in \mathbb{R}\}$, $Y = \{(0, y) \in \mathbb{R}^2 : y \in \mathbb{R}\}$, $Z = \{(z, z) \in \mathbb{R}^2 : z \in \mathbb{R}\}$.

• **COROLLARY:** Suppose V_1, V_2 and V_3 are finite-dim vecsp, then $\frac{(1) + (2) + (3)}{3}$:

$$\dim(V_1 + V_2 + V_3) = \dim V_1 + \dim V_2 + \dim V_3$$

$$- \frac{\dim(V_1 \cap V_2) + \dim(V_1 \cap V_3) + \dim(V_2 \cap V_3)}{3}$$

$$+ \frac{\dim((V_1 + V_2) \cap V_3) + \dim((V_1 + V_3) \cap V_2) + \dim((V_2 + V_3) \cap V_1)}{3}.$$

The formula above may seem strange because the right side does not look like an integer. □

ENDED

3.A

• **TIPS:** $T : V \rightarrow W$ is linear $\iff \left\{ \begin{array}{l} \forall v, u \in V, T(v+u) = Tv + Tu \\ \forall v, u \in V, \lambda \in \mathbf{F}, T(\lambda v) = \lambda(Tv) \end{array} \right\} \iff T(v + \lambda u) = Tv + \lambda Tu.$

3 Suppose $T \in \mathcal{L}(\mathbf{F}^n, \mathbf{F}^m)$. Prove that $\exists A_{j,k} \in \mathbf{F}$ such that

$$T(x_1, \dots, x_n) = (A_{1,1}x_1 + \dots + A_{1,n}x_n, \dots, A_{m,1}x_1 + \dots + A_{m,n}x_n)$$

for any $(x_1, \dots, x_n) \in \mathbf{F}^n$.

SOLUTION:

Let $T(1, 0, 0, \dots, 0, 0) = (A_{1,1}, \dots, A_{m,1})$, Note that $(1, 0, \dots, 0, 0), \dots, (0, 0, \dots, 0, 1)$ is a basis of \mathbf{F}^n .

$T(0, 1, 0, \dots, 0, 0) = (A_{1,2}, \dots, A_{m,2})$, Then by [3.5], we are done. □

\vdots

$T(0, 0, 0, \dots, 0, 1) = (A_{1,n}, \dots, A_{m,n})$.

4 Suppose $T \in \mathcal{L}(V, W)$ and (v_1, \dots, v_m) is a list of vecs in V such that

(Tv_1, \dots, Tv_m) is linely inde in W . Prove that (v_1, \dots, v_m) is linely inde.

SOLUTION: Suppose $a_1v_1 + \dots + a_mv_m = 0$. Then $a_1Tv_1 + \dots + a_mTv_m = 0$. Thus $a_1 = \dots = a_m = 0$. □

5 Prove that $\mathcal{L}(V, W)$ is a vecsp,

SOLUTION: Note that $\mathcal{L}(V, W)$ is a subsp of W^V . □

7 Show that every linear map from a one-dim vecsp to itself

is multi by some scalar. More precisely, prove that if $\dim V = 1$ and $T \in \mathcal{L}(V)$, then there exists $\lambda \in \mathbf{F}$ such that $Tv = \lambda v$ for all $v \in V$.

SOLUTION:

Let u be a nonzero vec in $V \Rightarrow V = \text{span}(u)$.

Because $Tu \in V \Rightarrow Tu = \lambda u$ for some λ .

Suppose $v \in V \Rightarrow v = au, \exists! a \in \mathbf{F}$. Then $Tv = T(au) = \lambda au = \lambda v$. □

8 Give an example of a function $\varphi : \mathbf{R}^2 \rightarrow \mathbf{R}$ such that

$\varphi(av) = a\varphi(v)$ for all $a \in \mathbf{R}$ and all $v \in \mathbf{R}^2$ but φ is not linear.

SOLUTION:

Define $T(x, y) = \begin{cases} x + y, & \text{if } (x, y) \in \text{span}(3, 1), \\ 0, & \text{otherwise.} \end{cases}$ OR. Define $T(x, y) = \sqrt[3]{(x^3 + y^3)}$. □

9 Give an example of a function $\varphi : \mathbf{C} \rightarrow \mathbf{C}$ such that

$\varphi(w + z) = \varphi(w) + \varphi(z)$ for all $w, z \in \mathbf{C}$ but φ is not linear.

(Here \mathbf{C} is thought of as a complex vecsp.)

SOLUTION:

Suppose $V_{\mathbf{C}}$ is the complexification of a vecsp V . Suppose $\varphi : V_{\mathbf{C}} \rightarrow V_{\mathbf{C}}$.

Define $\varphi(u + iv) = u = \text{Re}(u + iv)$

OR. Define $\varphi(u + iv) = v = \text{Im}(u + iv)$. □

• Prove or give a counterexample:

If $q \in \mathcal{P}(\mathbf{R})$ and $T : \mathcal{P}(\mathbf{R}) \rightarrow \mathcal{P}(\mathbf{R})$ is defined by $Tp = q \circ p$, then T is linear.

SOLUTION: Because in general, $q(p_1(x) + \lambda p_2(x)) \neq (q \circ p_1)(x) + \lambda(q \circ p_2)(x)$. □

• OR(3.D.16) Suppose V is finite-dim and $T \in \mathcal{L}(V)$.

Suppose $ST = TS$ for every $S \in \mathcal{L}(V)$. Prove that T is a scalar multi of the identity.

SOLUTION:

If $V = \{0\}$, then we are done. Now suppose $V \neq \{0\}$.

Assume that (v, Tv) is linely inde for every $v \in V$, then by (2.A.2.(b)), $Tv = \lambda_v v$ for some $\lambda_v \in \mathbf{F}$.

To prove that λ_v is independent of v

(in other words, for any two distinct nonzero vecs v and w in V , we have $\lambda_v \neq \lambda_w$), we discuss in two cases:

$$\left. \begin{array}{l} (-) \text{ If } (v, w) \text{ is linely inde, } \lambda_{v+w}(v+w) = T(v+w) = Tv + Tw = a_v v + a_w w \\ \quad \Rightarrow (\lambda_{v+w} - \lambda_v)v + (\lambda_{v+w} - \lambda_w)w = 0 \\ (=) \text{ Otherwise, suppose } w = cv, a_w w = Tw = cTv = ca_v v = a_v w \Rightarrow (a_w - a_v)w \end{array} \right\} \Rightarrow a_w = a_v.$$

Now we prove the assumption by contradiction.

Suppose (v, Tv) is linely inde for every nonzero vec $v \in V$.

Fix one v . Extend to (v, Tv, u_1, \dots, u_n) a basis of V .

Define $S \in \mathcal{L}(V)$ by $S(av + bTv + c_1 u_1 + \dots + c_n u_n) = bv \Rightarrow S(Tv) = v = T(Sv) = 0$. Contradicts. \square

OR. Let (v_1, \dots, v_m) be a basis of V .

Define $\varphi \in \mathcal{L}(V, \mathbf{F})$ by $\varphi(v_1) = \dots = \varphi(v_m) = 1$. Let $\lambda = \varphi(Tv_1) \in \mathbf{F}$.

For any $v \in V$, define $S_v \in \mathcal{L}(V)$ by $S_v u = \varphi(u)v$.

Then $Tv = T(\varphi(v_1)v) = T(S_v v_1) = S_v(Tv_1) = \varphi(Tv_1)v = \lambda v$. \square

10 Suppose U is a subsp of V with $U \neq V$. Suppose $S \in \mathcal{L}(U, W)$ and $S \neq 0$
(which means that $Su \neq 0$ for some $u \in U$).

Define $T : V \rightarrow W$ by $Tv = \begin{cases} Sv, & \text{if } v \in U, \\ 0, & \text{if } v \in V \setminus U. \end{cases}$ Prove that T is not a linear map on V .

SOLUTION:

Suppose T is a linear map. And $v \in V \setminus U$, $u \in U$ such that $Su \neq 0$.

Then $v + u \in V \setminus U$, (for if not, $v = (v + u) - u \in U$) while $T(v + u) = 0 = Tv + Tu = 0 + Su \Rightarrow Su = 0$.

Hence we get a contradiction. \square

11 Suppose V is finite-dim. Prove that every linear map on a subsp of V
can be extended to a linear map on V . In other words, show that if
 U is a subsp of V and $S \in \mathcal{L}(U, W)$, then there exists $T \in \mathcal{L}(V, W)$
such that $Tu = Su$ for all $u \in U$.

SOLUTION:

Define $T \in \mathcal{L}(V, W)$ by $T(a_1 u_1 + \dots + a_n u_n + a_{n+1} u_{n+1} + \dots + a_m u_m) = a_1 S u_1 + \dots + a_n S u_n$.

Where we let (u_1, \dots, u_n) be a basis of U , extend to a basis of V as $(u_1, \dots, u_n, \dots, u_m)$. \square

12 Suppose V is finite-dim with $\dim V > 0$, and W is infinite-dim.

Prove that $\mathcal{L}(V, W)$ is infinite-dim.

SOLUTION:

Let (v_1, \dots, v_n) be a basis of V . Let (w_1, \dots, w_m) be linely inde in W for any $m \in \mathbf{N}^+$.

Define $T_{x,y} \in \mathcal{L}(V, W)$ by $T_{x,y}(v_z) = \delta_{zy} w_y$, $\forall x \in \{1, \dots, n\}, y \in \{1, \dots, m\}$, where $\delta_{zy} = \begin{cases} 0, & z \neq y, \\ 1, & z = y. \end{cases}$

Suppose $a_1 T_{x,1} + \dots + a_m T_{x,m} = 0$. Then $(a_1 T_{x,1} + \dots + a_m T_{x,m})(v_x) = 0 = a_1 w_1 + \dots + a_m w_m$.

$\Rightarrow a_1 = \dots = a_m = 0$. 又 m arbitrary.

Thus $(T_{x,1}, \dots, T_{x,m})$ is a linely inde list in $\mathcal{L}(V, W)$ for any x and length m . Hence by (2.A.14). \square

13 Suppose (v_1, \dots, v_m) is a linely depe list of vecs in V .

Suppose also that $W \neq \{0\}$. Prove that there exist $(w_1, \dots, w_m) \in W$ such that no $T \in \mathcal{L}(V, W)$ satisfies $Tv_k = w_k$ for each $k = 1, \dots, m$.

SOLUTION:

We prove by contradiction. By linear dependence lemma, $\exists j \in \{1, \dots, m\}$ such that $v_j \in \text{span}(v_1, \dots, v_{j-1})$.

Fix j . Let $w_j \neq 0$, while $w_1 = \dots = w_{j-1} = w_{j+1} = \dots = w_m = 0$.

Define T by $Tv_k = w_k$ for all k . Suppose $a_1v_1 + \dots + a_mv_m = 0$ (where $a_j \neq 0$).

Then $T(a_1v_1 + \dots + a_mv_m) = 0 = a_1w_1 + \dots + a_mw_m = a_jw_j$ while $a_j \neq 0$ and $w_j \neq 0$. Contradicts. \square

OR. We prove the contrapositive:

Suppose for any list $(w_1, \dots, w_m) \in W$, $\exists T \in \mathcal{L}(V, W)$, $Tv_k = w_k$ for each w_k .

(We need to) Prove that (v_1, \dots, v_n) is linely inde.

Suppose $\exists a_i \in \mathbb{F}, a_1v_1 + \dots + a_nv_n = 0$. Choose a nonzero $w \in W$.

By assumption, for the list $(\overline{a_1}w, \dots, \overline{a_m}w)$, $\exists T \in \mathcal{L}(V, W)$, $Tv_k = \overline{a_k}w$ for each v_k .

$0 = T(\sum_{k=1}^m a_kv_k) = \sum_{k=1}^m a_kTv_k = \sum_{k=1}^m a_k\overline{a_k}w = (\sum_{k=1}^m |a_k|^2)w$. Hence $\sum_{k=1}^m |a_k|^2 = 0 \Rightarrow a_k = 0$. \square

• (4E 3.A.16)

Suppose V is finite-dim. Show that the only two-sided ideals of $\mathcal{L}(V)$ are $\{0\}$ and $\mathcal{L}(V)$.

A subsp \mathcal{E} of $\mathcal{L}(V)$ is called a two-sided ideal of $\mathcal{L}(V)$ if $TE \in \mathcal{E}, ET \in \mathcal{E}, \forall E \in \mathcal{E}, T \in \mathcal{L}(V)$.

SOLUTION:

Let (v_1, \dots, v_n) be a basis of V . If $\mathcal{E} = 0$, then we are done.

Suppose $\mathcal{E} \neq 0$ and \mathcal{E} is a two-sided ideal of $\mathcal{L}(V)$. Let $S \in \mathcal{E} \setminus \{0\}$.

Suppose $Sv_i \neq 0$ and $Sv_i = a_1v_1 + \dots + a_nv_n$, where $a_k \neq 0$.

Define $R_{x,y} \in \mathcal{L}(V)$ by $R_{x,y}(v_x) = v_y, R_{x,y}(v_z) = 0$ ($z \neq x$). Then for any $x, y \in \mathbb{N}^+$,

$(R_{k,y}S)(v_i) = a_kv_y \Rightarrow ((R_{k,y}S) \circ R_{x,i})(v_x) = a_kv_y, ((R_{k,y}S) \circ R_{x,i})(v_z) = 0$ ($z \neq x$).

Thus $R_{k,y}SR_{x,i} = a_kR_{x,y}$. Denote by $T_{x,y}$.

Getting $(\frac{1}{a_k}T_{1,1} + \dots + \frac{1}{a_k}T_{n,n})v_j = v_j \Rightarrow \sum_{r=1}^n \frac{1}{a_k}T_{r,r} = I$.

又 By assumption, $T_{x,y} \in \mathcal{E} \Rightarrow I \in \mathcal{E}$.

Hence for any $T \in \mathcal{L}(V), I \circ T = T \circ I = T \in \mathcal{E} \Rightarrow \mathcal{E} = \mathcal{L}(V)$. \square

ENDED

3.B

2 Suppose $S, T \in \mathcal{L}(V)$ are such that $\text{range } S \subseteq \text{null } T$. Prove that $(ST)^2 = 0$.

SOLUTION: $TS = 0 \Rightarrow STST = (ST)^2 = 0$. \square

3 Suppose (v_1, \dots, v_m) in V . Define $T \in \mathcal{L}(\mathbb{F}^m, V)$ by $T(z_1, \dots, z_m) = z_1v_1 + \dots + z_mv_m$.

(a) The surj of T corresponds to (v_1, \dots, v_m) spanning V .

(b) The inje of T corresponds to (v_1, \dots, v_m) being linely inde.

7 Suppose V is finite-dim with $2 \leq \dim V$ and also $\dim V \leq \dim W$, if W is finite-dim. Show that $U = \{T \in \mathcal{L}(V, W) : \text{null } T \neq \{0\}\}$ is not a subsp of $\mathcal{L}(V, W)$.

SOLUTION:

Let (v_1, \dots, v_n) be a basis of V , (w_1, \dots, w_m) be linely inde in W .

(Let $\dim W = m$, if W is finite, otherwise, let $m \in \{n, n+1, \dots\}; 2 \leq n \leq m$).

Define $T_1 \in \mathcal{L}(V, W)$ as $T_1 : v_1 \mapsto 0, \quad v_2 \mapsto w_2, \quad v_i \mapsto w_i$.

Define $T_2 \in \mathcal{L}(V, W)$ as $T_2 : v_1 \mapsto w_1, \quad v_2 \mapsto 0, \quad v_i \mapsto w_i, \quad i = 3, \dots, n$.

Thus $T_1 + T_2 \notin U$. □

COMMENT: If $\dim V = 0$, then $V = \{0\} = \text{span}(\cdot)$. $\forall T \in \mathcal{L}(V, W), T$ is inje. Hence $U = \emptyset$.

If $\dim V = 1$, then $V = \text{span}(v_0)$. Thus $U = \text{span}(T_0)$, where $T_0 v_0 = 0$.

If V is infinite-dim, the result is true as well.

8 Suppose W is finite-dim with $\dim W \geq 2$ and also $\dim V \geq \dim W$, if V is finite-dim. Show that $U = \{T \in \mathcal{L}(V, W) : \text{range } T \neq W\}$ is not a subsp of $\mathcal{L}(V, W)$.

SOLUTION:

Let (v_1, \dots, v_n) be linely inde in V , (w_1, \dots, w_m) be a basis of W .

(Let $n = \dim V$, if V is finite, otherwise we choose $n \in \{m, m+1, \dots\}; 2 \leq m \leq n$).

Define $T_1 \in \mathcal{L}(V, W)$ as $T_1 : v_1 \mapsto 0, \quad v_2 \mapsto w_2, \quad v_j \mapsto w_j, \quad v_{m+i} \mapsto 0$.

Define $T_2 \in \mathcal{L}(V, W)$ as $T_2 : v_1 \mapsto w_1, \quad v_2 \mapsto 0, \quad v_j \mapsto w_j, \quad v_{m+i} \mapsto 0$.

For each $j = 2, \dots, m; i = 1, \dots, n-m$, if V is finite, otherwise let $i \in \mathbb{N}^+$.

Thus $T_1 + T_2 \notin U$. □

COMMENT: If $\dim W = 0$, then $W = \{0\} = \text{span}(\cdot)$. $\forall T \in \mathcal{L}(V, W), T$ is surj. Hence $U = \emptyset$.

If $\dim W = 1$, then $W = \text{span}(w_0)$. Thus $U = \text{span}(T_0)$, where $T_0 w_0 = 0$.

If W is infinite-dim, the result is true as well.

9 Suppose $T \in \mathcal{L}(V, W)$ is inje and (v_1, \dots, v_n) is linely inde in V . Prove that (Tv_1, \dots, Tv_n) is linely inde in W .

SOLUTION:

$$a_1 Tv_1 + \dots + a_n Tv_n = 0 = T\left(\sum_{i=1}^n a_i v_i\right) \iff \sum_{i=1}^n a_i v_i = 0 \iff a_1 = \dots = a_n = 0. \quad \square$$

10 Suppose (v_1, \dots, v_n) spans V and $T \in \mathcal{L}(V, W)$. Show that (Tv_1, \dots, Tv_n) spans $\text{range } T$.

SOLUTION:

(a) $\text{range } T = \{Tv : v \in V\} = \{Tv : v \in \text{span}(v_1, \dots, v_n)\} \Rightarrow Tv_1, \dots, Tv_n \in \text{range } T \Rightarrow \text{By [2.7].}$

OR. $\text{span}(Tv_1, \dots, Tv_n) \ni a_1 Tv_1 + \dots + a_n Tv_n = T(a_1 v_1 + \dots + a_n v_n) \in \text{range } T$.

(b) $\forall w \in \text{range } T, \exists v \in V, w = Tv. (\exists a_i \in \mathbb{F}, v = a_1 v_1 + \dots + a_n v_n) \Rightarrow w = a_1 Tv_1 + \dots + a_n Tv_n \Rightarrow \square$

11 Suppose S_1, \dots, S_n are inje linear maps and $S_1 S_2 \dots S_n$ makes sence. Prove that $S_1 S_2 \dots S_n$ is inje.

SOLUTION: $S_1 S_2 \dots S_n(v) = 0 \iff S_2 S_3 \dots S_n(v) = 0 \iff \dots \iff S_n(v) = 0 \iff v = 0$. □

12 Suppose that V is finite-dim and that $T \in \mathcal{L}(V, W)$. Prove that there exists a subsp U of V such that $U \cap \text{null } T = \{0\}$ and $\text{range } T = \{Tu : u \in U\}$.

SOLUTION:

By [2.34], there exists a subsp U of V such that $V = U \oplus \text{null } T$.

$\forall v \in V, \exists! w \in \text{null } T, u \in U, v = w + u$. Then $Tv = T(w + u) = Tu \in \{Tu : u \in U\} \Rightarrow \square$

COMMENT: V can be infinite-dim. See the above of [2.34].

16 Suppose there exists a linear map on V

whose null space and range are both finite-dim. Prove that V is finite-dim.

SOLUTION:

Denote the linear map by T . Let (Tv_1, \dots, Tv_n) be a basis of range T , (u_1, \dots, u_m) be a basis of null T .

Then for all $v \in V, T(\underbrace{v - a_1v_1 - \dots - a_nv_n}_{u \in \text{null } T}) = 0$, where $Tv = a_1Tv_1 + \dots + a_nTv_n$.

$\Rightarrow u = b_1u_1 + \dots + b_mu_m \Rightarrow v = a_1v_1 + \dots + a_nv_n + b_1u_1 + \dots + b_mu_m$.

Getting $V \subseteq \text{span}(v_1, \dots, v_n, u_1, \dots, u_m)$. Thus V is finite-dim. \square

17 Suppose V and W are both finite-dim. Prove that there exists an inje $T \in \mathcal{L}(V, W)$ if and only if $\dim V \leq \dim W$.

SOLUTION:

(a) Suppose there exists an inje T . Then $\dim V = \dim \text{range } T \leq \dim W$.

(b) Suppose $\dim V \leq \dim W$, letting (v_1, \dots, v_n) and (w_1, \dots, w_m) be bases of V and W respectively.

Define $T \in \mathcal{L}(V, W)$ by $Tv_i = w_i, i = 1, \dots, n (= \dim V)$. \square

18 Suppose V and W are both finite-dim. Prove that there exists a surj $T \in \mathcal{L}(V, W)$ if and only if $\dim V \geq \dim W$.

SOLUTION:

(a) Suppose there exists a surj T . Then $\dim V = \dim W + \dim \text{null } T \Rightarrow \dim W \leq \dim V$.

(b) Suppose $\dim V \geq \dim W$, letting (v_1, \dots, v_n) and (w_1, \dots, w_m) be bases of V and W respectively.

Define $T \in \mathcal{L}(V, W)$ by $T(a_1v_1 + \dots + a_mv_m + a_{m+1}v_{m+1} + \dots + a_nv_n) = a_1w_1 + \dots + a_mw_m$. \square

19 Suppose V and W are finite-dim and that U is a subsp of V .

Prove that $\exists T \in \mathcal{L}(V, W), \text{null } T = U \iff \dim U \geq \dim V - \dim W$.

SOLUTION:

(a) Suppose $\exists T \in \mathcal{L}(V, W), \text{null } T = U$. Then $\dim \text{null } T = \dim U \geq \dim V - \dim W$.

(b) Suppose $\underline{\dim}_m U \geq \underline{\dim}_{m+n} V - \underline{\dim}_p W (\Rightarrow \dim W = p \geq n = \dim V - \dim U)$.

Let (u_1, \dots, u_m) be a basis of U , extend to a basis of V as $(u_1, \dots, u_m, v_1, \dots, v_n)$.

Let (w_1, \dots, w_p) be a basis of W .

Define $T \in \mathcal{L}(V, W)$ by $T(a_1v_1 + \dots + a_nv_n + b_1u_1 + \dots + b_mu_m) = a_1w_1 + \dots + a_nw_n$. \square

• **TIPS:** Suppose $T \in \mathcal{L}(V, W)$ and $R = (Tv_1, \dots, Tv_n)$ is linely inde in range T .

(Let $\dim \text{range } T = n$, if range T is finite, otherwise let $n \in \mathbb{N}^+$.)

By (3.A.4), $L = (v_1, \dots, v_n)$ is linely inde in V .

• **NEW NOTATION:**

Denote \mathcal{K}_R by span L , if range T is finite-dim, otherwise, denote it by a vecsp in $\mathcal{S}_V \text{null } T$.

Note that if range T is finite-dim, then $\mathcal{K}_{\text{range } T} = \mathcal{K}_R$ for any basis R of range T .

• **NEW THEOREM:** $\mathcal{K}_R \in \mathcal{S}_V \text{null } T$.

Suppose range T is finite-dim. Otherwise, we are done immediately.

$$\mathcal{K}_R \oplus \text{null } T = V \Leftarrow \begin{cases} \text{(a) } T(\sum_{i=1}^n a_i v_i) = 0 \Rightarrow \sum_{i=1}^n a_i T v_i = 0 \Rightarrow a_1 = \dots = a_n = 0 \Rightarrow \mathcal{K}_R \cap \text{null } T = \{0\}. \\ \text{(b) } \forall v \in V, T v = \sum_{i=1}^n a_i T v_i \Rightarrow T v - \sum_{i=1}^n a_i T v_i = T(v - \sum_{i=1}^n a_i v_i) = 0 \\ \Rightarrow v - \sum_{i=1}^n a_i v_i \in \text{null } T \Rightarrow v = (v - \sum_{i=1}^n a_i v_i) + (\sum_{i=1}^n a_i v_i) \Rightarrow \mathcal{K}_R + \text{null } T = V. \end{cases}$$

• **COMMENT:** $\text{null } T \in \mathcal{S}_V \mathcal{K}_R$.

- (4E 3.B.21) Suppose V is finite-dim, $T \in \mathcal{L}(V, W)$, and U is a subsp of W .
 Prove that $\mathcal{K}_U = \{v \in V : T v \in U\}$ is a subsp of V
 and $\dim \mathcal{K}_U = \dim \text{null } T + \dim(U \cap \text{range } T)$.

SOLUTION:

For any $u, w \in \mathcal{K}_U$ and $\lambda \in \mathbb{F}$, $T(u + \lambda w) = Tu + \lambda Tw \in U \Rightarrow \mathcal{K}_U$ is a subsp of V .

Define $S \in \mathcal{L}(\mathcal{K}_U, U)$ as $Rv = Tv$ for all $v \in \mathcal{K}_U$. Hence $\text{range } R = U \cap \text{range } T$.

Suppose $Tv = 0$ for some $v \in V$. 又 $0 \in U \Rightarrow Rv = 0$. Thus $\text{null } T \subseteq \text{null } R$. □

- 20** Suppose $T \in \mathcal{L}(V, W)$. Prove that T is inje $\iff \exists S \in \mathcal{L}(W, V)$, $ST = I \in \mathcal{L}(V)$.

SOLUTION:

(a) Suppose $\exists S \in \mathcal{L}(W, V)$, $ST = I$. Then if $Tv = 0 \Rightarrow ST(v) = 0 = v$.

(b) Suppose T is inje. Let $R = (Tv_1, \dots, Tv_n)$ be linely inde in $\text{range } T \subseteq W$,
 where $n = \dim \text{range } T$ if finite-dim, otherwise $n \in \mathbb{N}^+$.

Then $\mathcal{K}_R \oplus \text{null } T = V$. And suppose $U \oplus \text{range } T = W$.

Define $S \in \mathcal{L}(W, V)$ by $S(Tv_i) = v_i$ and $Su = 0$, $u \in U$. Thus $ST = I$. □

- 21** Suppose $T \in \mathcal{L}(V, W)$. Prove that T is surj $\iff \exists S \in \mathcal{L}(W, V)$, $TS = I \in \mathcal{L}(W)$.

SOLUTION:

(a) Suppose $\exists S \in \mathcal{L}(W, V)$, $TS = I$. Then $\forall w \in W$, $TS(w) = w \in \text{range } T \Rightarrow \text{range } T = W$.

(b) Suppose T is surj. Let $R = (Tv_1, \dots, Tv_n)$ be linely inde in $\text{range } T = W$,
 where $n = \dim \text{range } T$ if finite-dim, otherwise $n \in \mathbb{N}^+$.

Then $\mathcal{K}_R \oplus \text{null } T = V$. Define $S \in \mathcal{L}(W, V)$ by $S(Tv_i) = v_i$. Then $TS = I$. □

- 22** Suppose U and V are finite-dim vecsps and $S \in \mathcal{L}(V, W)$ and $T \in \mathcal{L}(U, V)$.
 Prove that $\dim \text{null } ST \leq \dim \text{null } S + \dim \text{null } T$.

SOLUTION:

Define $R \in \mathcal{L}(\text{null } ST, V)$ by $Ru = Tu$ for all $u \in \text{null } ST \subseteq U$.

$$\left. \begin{array}{l} S(Tu) = 0 = S(Ru) \Rightarrow \text{range } R \subseteq \text{null } S \Rightarrow \dim \text{range } R \leq \dim \text{null } S \\ Tu = 0 = Ru \Rightarrow \text{null } R \supseteq \text{null } T \Rightarrow \dim \text{null } R = \dim \text{null } T \end{array} \right\} \Rightarrow \square$$

OR. For any $u \in U$, note that $u \in \text{null } ST \iff S(Tu) = 0 \iff Tu \in \text{null } S$.

Thus $\text{null } ST = \mathcal{K}_{\text{null } S \cap \text{range } T} = \{u \in U : Tu \in \text{null } S\}$. By Problem (4E 3B.21),

$\dim \text{null } ST = \dim \text{null } T + \dim(\text{null } S \cap \text{range } T) \leq \dim \text{null } T + \dim \text{null } S$. □

COROLLARY:

- (1) If T is inje, then $\dim \text{null } T = 0 \Rightarrow \dim \text{null } ST \leq \dim \text{null } S$.
- (2) If T is surj, then $\text{range } R = \text{null } S \Rightarrow \dim \text{null } ST = \dim \text{null } S + \dim \text{null } T$.
- (3) If S is inje, then $\text{range } R = \{0\} \Rightarrow \dim \text{null } ST = \dim \text{null } R = \dim \text{null } T$.

23 Suppose U and V are finite-dim vecsps and $S \in \mathcal{L}(V, W)$ and $T \in \mathcal{L}(U, V)$.
 Prove that $\dim \text{range } ST \leq \min \{\dim \text{range } S, \dim \text{range } T\}$.

SOLUTION:

$$\text{range } ST = \{Sv : v \in \text{range } T\} = \text{span}(Su_1, \dots, Su_{\dim \text{range } T}),$$

$$\text{where } \text{span}(u_1, \dots, u_{\dim \text{range } T}) = \text{range } T.$$

$$\dim \text{range } ST \leq \dim \text{range } T \text{ 又 } \dim \text{range } ST \leq \dim \text{range } S \Rightarrow \square$$

OR. Note that $\text{range}(S|_{\text{range } T}) = \text{range } ST$.

$$\text{Thus } \dim \text{range } ST = \dim \text{range}(S|_{\text{range } T}) = \dim \text{range } T - \dim \text{null}(S|_{\text{range } T}) \leq \dim \text{range } T. \quad \square$$

COROLLARY:

(1) If S is inje, then $\dim \text{range } ST = \dim \text{range } T$.

(2) If T is surj, then $\dim \text{range } ST = \dim \text{range } S$.

• (a) Suppose $\dim V = 5$ and $S, T \in \mathcal{L}(V)$ are such that $ST = 0$.

Prove that $\dim \text{range } TS \leq 2$.

(b) Give an example of $S, T \in \mathcal{L}(\mathbb{F}^5)$ with $ST = 0$ and $\dim \text{range } TS = 2$.

SOLUTION:

$$\text{By Problem (23), } \dim \text{range } TS \leq \min \left\{ \overbrace{\dim \text{range } S}^{5 - \dim \text{null } T}, \overbrace{\dim \text{range } T}^{5 - \dim \text{null } S} \right\}.$$

We show that $\dim \text{range } TS \leq 2$ by contradiction. Assume that $\dim \text{range } TS \geq 3$.

$$\text{Then } \min \{5 - \dim \text{null } T, 5 - \dim \text{null } S\} \geq 3 \Rightarrow \max \{\dim \text{null } T, \dim \text{null } S\} \leq 2.$$

$$\text{又 } \dim \text{null } ST = 5 \leq \dim \text{null } S + \dim \text{null } T \leq 4. \text{ Contradicts.} \quad \square$$

$$\text{OR. } \left. \begin{array}{l} \dim \text{null } S = 5 - \dim \text{range } S \\ \dim \text{range } TS \leq \dim \text{range } S \end{array} \right\} \Rightarrow \dim \text{null } S \leq 5 - \dim \text{range } TS.$$

$$\text{And } ST = 0 \Rightarrow \text{range } T \subseteq \text{null } S \Rightarrow \dim \text{range } TS \leq \dim \text{range } T \leq \dim \text{null } S.$$

$$\text{Thus } \dim \text{range } TS \leq 5 - \dim \text{range } TS \Rightarrow \dim \text{range } TS \leq \frac{5}{2}. \quad \square$$

EXAMPLE: Let (v_1, \dots, v_5) be a basis of \mathbb{F}^5 . Define $S, T \in \mathcal{L}(\mathbb{F}^5)$ by:

$$T : \quad v_1 \mapsto 0, \quad v_2 \mapsto 0, \quad v_i \mapsto v_i ;$$

$$S : \quad v_1 \mapsto v_4, \quad v_2 \mapsto v_5, \quad v_i \mapsto 0 ; \quad i = 3, 4, 5.$$

• Suppose $\dim V = n$ and $S, T \in \mathcal{L}(V)$ are such that $ST = 0$.

$$\text{Prove that } \dim \text{range } TS \leq \left\lfloor \frac{n}{2} \right\rfloor.$$

SOLUTION:

$$\text{By Problem (23), } \dim \text{range } TS \leq \min \left\{ \overbrace{\dim \text{range } S}^{n - \dim \text{null } T}, \overbrace{\dim \text{range } T}^{n - \dim \text{null } S} \right\}. \text{ We prove by contradiction.}$$

$$\text{Assume that } \dim \text{range } TS \geq \left\lfloor \frac{n}{2} \right\rfloor + 1.$$

$$\text{Then } \min \{n - \dim \text{null } T, n - \dim \text{null } S\} \geq \left\lfloor \frac{n}{2} \right\rfloor + 1$$

$$\Rightarrow \max \{\dim \text{null } T, \dim \text{null } S\} \leq n - \left\lfloor \frac{n}{2} \right\rfloor - 1.$$

$$\text{又 } \dim \text{null } ST = n \leq \dim \text{null } S + \dim \text{null } T \leq 2(n - \left\lfloor \frac{n}{2} \right\rfloor - 1)$$

$$\Rightarrow \left\lfloor \frac{n}{2} \right\rfloor + 1 \leq \frac{n}{2}. \text{ Contradicts. Thus } \dim \text{range } TS \leq \left\lfloor \frac{n}{2} \right\rfloor. \quad \square$$

$$\text{OR. } \dim \text{null } S = n - \dim \text{range } S \leq n - \dim \text{range } TS.$$

$$\begin{aligned}
& \text{And } ST = 0 \Rightarrow \dim \text{range } TS \leq \dim \text{range } T \leq \dim \text{null } S \leq n - \dim \text{range } TS \\
& \Rightarrow 2 \dim \text{range } TS \leq n \Rightarrow \dim \text{range } TS \leq \frac{n}{2} \\
& \Rightarrow \dim \text{range } TS \leq \left\lfloor \frac{n}{2} \right\rfloor \text{ (because } \dim \text{range } TS \text{ is an integer).} \square
\end{aligned}$$

24 Suppose that W is finite-dim and $S, T \in \mathcal{L}(V, W)$.

Prove that $\text{null } S \subseteq \text{null } T \iff \exists E \in \mathcal{L}(W)$ such that $T = ES$.

SOLUTION:

Suppose $\exists E \in \mathcal{L}(W)$ such that $T = ES$. Then $\text{null } T = \text{null } ES \supseteq \text{null } S$.

Suppose $\text{null } S \subseteq \text{null } T$. Let $R = (Sv_1, \dots, Sv_n)$ be a basis of $\text{range } S$

$\Rightarrow (v_1, \dots, v_n)$ is linely inde.

Let $\mathcal{K}_R = \text{span}(v_1, \dots, v_n) \Rightarrow V = \mathcal{K}_R \oplus \text{null } S$.

Define $E \in \mathcal{L}(W)$ by $E(Sv_i) = Tv_i$, $Eu = 0$; for each $i = 1 \dots, n$ and $u \in \text{null } S$.

Hence $\forall v \in V$, $(\exists! a_i \in \mathbf{F}, u \in \text{null } S)$, $Tv = a_1Tv_1 + \dots + a_nTv_n = E(a_1Sv_1 + \dots + a_nSv_n) \Rightarrow T = ES$. \square

OR. Extend R to a basis $(Sv_1, \dots, Sv_n, w_1, \dots, w_m)$ of W .

Define $E \in \mathcal{L}(W)$ by $E(Sv_k) = Tv_k$, $EW_j = 0$.

Because $\forall v \in V$, $\exists a_i \in \mathbf{F}$, $Sv = a_1Sv_1 + \dots + a_nSv_n$

$$\Rightarrow S(v - (a_1v_1 + \dots + a_nv_n)) = 0$$

$$\Rightarrow v - (a_1v_1 + \dots + a_nv_n) \in \text{null } S$$

$$\Rightarrow v - (a_1v_1 + \dots + a_nv_n) \in \text{null } T.$$

$$\Rightarrow T(v - (a_1v_1 + \dots + a_nv_n)) = 0$$

Thus $Tv = a_1Tv_1 + \dots + a_nTv_n$. Hence $E(Sv) = a_1E(Sv_1) + \dots + a_nE(Sv_n) = a_1Tv_1 + \dots + a_nTv_n = Tv$. \square

25 Suppose that V is finite-dim and $S, T \in \mathcal{L}(V, W)$.

Prove that $\text{range } S \subseteq \text{range } T \iff \exists E \in \mathcal{L}(V)$ such that $S = TE$.

SOLUTION:

Suppose $\exists E \in \mathcal{L}(V)$ such that $S = TE$. Then $\text{range } S = \text{range } TE \subseteq \text{range } T$.

Suppose $\text{range } S \subseteq \text{range } T$. Let (v_1, \dots, v_m) be a basis of V .

Because $\text{range } S \subseteq \text{range } T \Rightarrow Sv_i \in \text{range } T$ for each i . Suppose $u_i \in V$ for each i such that $Tu_i = Sv_i$.

Thus defining $E \in \mathcal{L}(V)$ by $Ev_i = u_i$ for each $i \Rightarrow S = TE$. \square

26 Prove that the differentiation map $D \in \mathcal{P}(\mathbf{R})$ is surj.

SOLUTION:

[Informal Proof]

Note that $\deg Dx^n = n - 1$.

Because $\text{span}(Dx, Dx^2, \dots) \subseteq \text{range } D$. 又 By (2.C.10), $\text{span}(Dx, Dx^2, \dots) = \text{span}(1, x, \dots) = \mathcal{P}(\mathbf{R})$. \square

[Proper Proof]

We will recursively define a sequence of polynomials $(p_k)_{k=0}^\infty$ where $Dp_k = x^k$.

Because $\dim Dx = (\deg x) - 1 = 0$, we have $Dx = C \in \mathbf{F}$. Define $p_0 = C^{-1}x$. Then $Dp_0 = C^{-1}Dx = 1$.

Suppose we have defined p_0, \dots, p_n such that $Dp_k = x^k$ for each $k \in \{0, \dots, n\}$.

Because $\deg D(x^{n+2}) = n + 1$, we let $D(x^{n+2}) = a_{n+1}x^{n+1} + a_nx^n + \dots + a_1x + a_0$, where $a_{n+1} \neq 0$.

Then $a_{n+1}^{-1}D(x^{n+2}) = x^{n+1} + a_{n+1}^{-1}(a_n Dp_n + \cdots + a_1 Dp_1 + a_0 Dp_0)$

$\Rightarrow x^{n+1} = D(a_{n+1}^{-1}(x^{n+2} - a_n p_n - \cdots - a_1 p_1 - a_0 p_0))$.

Thus defining $p_{n+1} = a_{n+1}^{-1}(x^{n+2} - a_n p_n - \cdots - a_1 p_1 - a_0 p_0)$, we have $Dp_{n+1} = x^{n+1}$.

Hence we get the sequence $(p_k)_{k=0}^{\infty}$ by recursion.

Now it suffices to show that D is surj. Let $p = \sum_{k=0}^{\deg p} a_k x^k \in \mathcal{P}(\mathbb{R})$.

Then $D\left(\sum_{k=0}^{\deg p} a_k p_k\right) = \sum_{k=0}^{\deg p} a_k Dp_k = \sum_{k=0}^{\deg p} a_k x^k = p$. □

27 Suppose $p \in \mathcal{P}(\mathbb{R})$. Prove that $\exists q \in \mathcal{P}(\mathbb{R})$ such that $5q'' + 3q' = p$.

SOLUTION:

Define $B \in \mathcal{L}(\mathcal{P}(\mathbb{R}))$ by $B = 5D^2 + 3D \Rightarrow B(q) = 5q'' + 3q'$.

Note that $\deg Bx^n = n - 1$. Similar to Problem (26), we conclude that B is surj. □

28 Suppose $T \in \mathcal{L}(V, W)$ and (w_1, \dots, w_m) is a basis of range T . Prove that

$\exists \varphi_1, \dots, \varphi_m \in \mathcal{L}(V, \mathbb{F})$ such that for all $v \in V, Tv = \varphi_1(v)w_1 + \cdots + \varphi_m(v)w_m$.

SOLUTION:

Suppose (v_1, \dots, v_m) in V such that $Tv_i = w_i$ for each i .

Then (v_1, \dots, v_m) is linely inde, extend it to a basis of V as $(v_1, \dots, v_m, u_1, \dots, u_n)$.

Note that $\forall v \in V, v = a_1 v_1 + \cdots + a_m v_m + b_1 u_1 + \cdots + b_n u_n, \exists ! a_i, b_i \in \mathbb{F} \Rightarrow Tv = a_1 w_1 + \cdots + a_m w_m$.

Define $\varphi_i : V \rightarrow \mathbb{F}$ by $\varphi_i(v) = a_i v_i$ for each i . We now check the linearity.

$\forall v, u \in V (\exists ! a_i, b_i, c_i, d_i \in \mathbb{F}), \lambda \in \mathbb{F}, \varphi_i(v + \lambda u) = a_i + \lambda c_i = \varphi(v) + \lambda \varphi(u)$. □

29 Suppose $\varphi \in \mathcal{L}(V, \mathbb{F}) \setminus \{0\}$. Suppose $u \in V \setminus \text{null } \varphi$. Prove that $V = \text{null } \varphi \oplus \{au : a \in \mathbb{F}\}$.

SOLUTION:

(a) $\forall v = cu \in \text{null } \varphi \cap \{au : a \in \mathbb{F}\}, \varphi(v) = 0 = c\varphi(u) \Rightarrow c = 0$. Hence $\text{null } \varphi \cap \{au : a \in \mathbb{F}\} = \{0\}$.

(b) $\forall v \in V, v = \left(v - \frac{\varphi(v)}{\varphi(u)}u\right) + \frac{\varphi(v)}{\varphi(u)}u. \left\{ \begin{array}{l} v - \frac{\varphi(v)}{\varphi(u)}u \in \text{null } \varphi \\ \frac{\varphi(v)}{\varphi(u)}u \in \{au : a \in \mathbb{F}\} \end{array} \right\} \Rightarrow V = \text{null } \varphi \oplus \{au : a \in \mathbb{F}\}$. □

This may seems strange. Here we explain why.

$\varphi \neq 0 \Rightarrow \varphi(v_i) = a_i \neq 0$ for each v_i , for some linely inde list (v_1, \dots, v_k) .

Fix one v_k . Then $\varphi\left(v_k - \frac{a_k}{a_j}v_j\right) = 0$ for each $j = 1, \dots, k-1, k+1, \dots, n$.

Thus $\text{span}\left\{v_k - \frac{a_k}{a_j}v_j\right\}_{j \neq k} \subseteq \text{null } \varphi$. Hence every vecsp in $\mathcal{S}_V \text{null } \varphi$ is one-dim.

30 Suppose $\varphi_1, \varphi_2 \in \mathcal{L}(V, \mathbb{F})$ and $\text{null } \varphi_1 = \text{null } \varphi_2 = \text{null } \varphi$. Prove that $\exists c \in \mathbb{F}, \varphi_1 = c\varphi_2$

SOLUTION:

If $\text{null } \varphi = V$, then $\varphi_1 = \varphi_2 = 0$, we are done. Suppose $u \in V / \text{null } \varphi \Rightarrow \varphi_1(u), \varphi_2(u) \neq 0$.

By Problem (29), $V = \text{null } \varphi \oplus \text{span}(u)$.

Hence for any $v \in V, v = w + a_v u, \exists ! w \in \text{null } \varphi, a_v \in \mathbb{F}$.

$\varphi_1(v) = a_v \varphi_1(u), \varphi_2(v) = a_v \varphi_2(u) \Rightarrow a_v = \frac{\varphi_1(v)}{\varphi_1(u)} = \frac{\varphi_2(v)}{\varphi_2(u)} \Rightarrow \frac{\varphi_1(u)}{\varphi_2(u)} = \frac{\varphi_1(v)}{\varphi_2(v)} = c \in \mathbb{F}$.

Thus $\varphi_1 = c\varphi_2$. □

• Suppose V is finite-dim, X is a subsp of V , and Y is a finite-dim subsp of W .

Prove that if $\dim X + \dim Y = \dim V$, then $\exists T \in \mathcal{L}(V, W)$, $\text{null } T = X$ and $\text{range } T = Y$.

SOLUTION:

Suppose $\dim X + \dim Y = \dim V$. Let (u_1, \dots, u_n) be a basis of X , $R = (w_1, \dots, w_m)$ be a basis of Y .

Extend (u_1, \dots, u_n) to a basis of V as $(u_1, \dots, u_n, v_1, \dots, v_m)$.

Define $T \in \mathcal{L}(V, W)$ by $T(\sum_{i=1}^m a_i v_i + \sum_{i=1}^n b_i u_i) = \sum_{i=1}^m a_i w_i$.

Now we show that $\text{null } T = X$ and $\text{range } T = Y$

Suppose $v \in V$. Then $\exists! a_i, b_j \in \mathbb{F}, v = \sum_{i=1}^m a_i v_i + \sum_{i=1}^n b_i u_i$.

$$\left. \begin{array}{l} v \in \text{null } T \Rightarrow Tv = 0 \Rightarrow a_1 = \dots = a_m = 0 \Rightarrow v \in X \\ v \in X \Rightarrow v \in \text{null } T \end{array} \right\} \Rightarrow \text{null } T = X.$$

$$\left. \begin{array}{l} w \in \text{range } T \Rightarrow \exists v = \sum_{i=1}^m a_i v_i + \sum_{i=1}^n b_i u_i \in V, Tv = w = \sum_{i=1}^m a_i w_i \Rightarrow w \in Y \\ w \in Y \Rightarrow w = a_1 T v_1 + \dots + a_m T v_m = T(a_1 v_1 + \dots + a_m v_m) \Rightarrow w \in \text{range } T \end{array} \right\} \Rightarrow \text{range } T = Y. \quad \square$$

• Suppose V is finite-dim and $T \in \mathcal{L}(V, W)$. Let (Tv_1, \dots, Tv_n) be a basis of $\text{range } T$.

Extend (v_1, \dots, v_n) to a basis of V as $(v_1, \dots, v_n, u_1, \dots, u_m)$.

Prove or give a counterexample: (u_1, \dots, u_m) is a basis of $\text{null } T$.

SOLUTION: A counterexample:

Suppose $\dim V = 3, Tv_1 = Tv_2 = Tv_3 = w_1$. Then $\text{span}(Tv_1, Tv_2, Tv_3) = \text{span}(w_1)$.

Extend (v_i) to (v_1, v_2, v_3) for each i . But none of $(v_1, v_2), (v_1, v_3), (v_2, v_3)$ is a basis of $\text{null } T$. \square

COMMENT: $(v_2 - v_1, v_3 - v_1), (v_1 - v_2, v_3 - v_2)$ or $(v_1 - v_3, v_2 - v_3)$ are all bases of $\text{null } T$.

• Suppose V is finite-dim and $T \in \mathcal{L}(V, W)$. Let (u_1, \dots, u_m) be a basis of $\text{null } T$.

Extend (u_1, \dots, u_m) to a basis of V as $(u_1, \dots, u_m, v_1, \dots, v_n)$.

Prove or give a counterexample: (Tv_1, \dots, Tv_n) spans $\text{range } T$.

SOLUTION:

$\forall w \in \text{range } T, \exists v \in V, (\exists! a_i, b_i \in \mathbb{F}), Tv = T(a_1 v_1 + \dots + a_n v_n) = w$

$\Rightarrow w \in \text{span}(Tv_1, \dots, Tv_n) \Rightarrow \text{range } T \subseteq \text{span}(Tv_1, \dots, Tv_n)$. \square

COMMENT: If T is inje, then (Tv_1, \dots, Tv_n) is a basis of $\text{range } T$.

• OR(5.B.4) Suppose $P \in \mathcal{L}(V)$ and $P^2 = P$. Prove that $V = \text{null } P \oplus \text{range } P$.

SOLUTION:

Let $(P^2 v_1, \dots, P^2 v_n)$ be a basis of $\text{range } P^2$. Then (Pv_1, \dots, Pv_n) is linely inde in V .

$$\left. \begin{array}{l} \text{Let } \mathcal{K} = \text{span}(Pv_1, \dots, Pv_n) \Rightarrow V = \mathcal{K} \oplus \text{null } P^2 \\ \text{又 } \mathcal{K} = \text{range } P = \text{range } P^2; \text{ null } P = \text{null } P^2 \end{array} \right\} \Rightarrow \square$$

OR. (a) Suppose $v \in \text{null } P \cap \text{range } P$.

Then $\exists u \in V, v = Pu, Pv = 0 \Rightarrow v = Pu = P^2 u = Pv = 0$. Hence $\text{null } P \cap \text{range } P = \{0\}$.

(b) Note that $v = Pv + (v - Pv)$ and $P^2 v = Pv$ for all $v \in V$.

Then $P(v - Pv) = 0 \Rightarrow v - Pv \in \text{null } P$. Hence $V = \text{range } P + \text{null } P$. \square

• Suppose V is finite-dim with $\dim V > 1$. Show that if $\varphi : \mathcal{L}(V) \rightarrow \mathbb{F}$

is a linear map such that $\varphi(ST) = \varphi(S) \cdot \varphi(T)$ for all $S, T \in \mathcal{L}(V)$, then $\varphi = 0$.

SOLUTION: Using notations in (4E 3.A.16).

Suppose $\varphi \neq 0 \Rightarrow \exists i, j \in \{1, \dots, n\}, \varphi(R_{i,j}) \neq 0$.

Because $R_{i,j} = R_{x,j} \circ R_{i,x}, \forall x = 1, \dots, n$

$$\Rightarrow \varphi(R_{i,j}) = \varphi(R_{x,j}) \cdot \varphi(R_{i,x}) \neq 0 \Rightarrow \varphi(R_{x,j}) \neq 0 \text{ and } \varphi(R_{i,x}) \neq 0.$$

Again, because $R_{i,x} = R_{y,x} \circ R_{i,y}$, $\forall y = 1, \dots, n$. Thus $\varphi(R_{y,x}) \neq 0$ for any $x, y = 1, \dots, n$.

$$\text{Let } l \neq i, k \neq j \text{ and then } \varphi(R_{l,k} \circ R_{i,j}) = \varphi(0) = 0 = \varphi(R_{l,k}) \cdot \varphi(R_{i,j})$$

$$\Rightarrow \varphi(R_{l,k}) = 0 \text{ or } \varphi(R_{i,j}) = 0. \text{ Contradicts.}$$

□

OR. Note that by (4E 3.A.16), $\exists S, T \in \mathcal{L}(V), ST - TS \neq 0$.

$$\text{Then } \varphi(ST - TS) = \varphi(S)\varphi(T) - \varphi(T)\varphi(S) = 0 \Rightarrow ST - TS \in \text{null } \varphi \neq \{0\}.$$

$$\text{Thus } \forall E \in \text{null } \varphi, T \in \mathcal{L}(V), \varphi(ET) = \varphi(TE) = 0 \Rightarrow ET, TE \in \text{null } \varphi.$$

Hence $\text{null } \varphi$ is a nonzero two-sided ideal of $\mathcal{L}(V)$.

□

• Suppose that V and W are real vecsps and $T \in \mathcal{L}(V, W)$.

Define $T_{\mathbb{C}} : V_{\mathbb{C}} \rightarrow W_{\mathbb{C}}$ by $T_{\mathbb{C}}(u + iv) = Tu + iTv$ for all $u, v \in V$.

(a) Show that $T_{\mathbb{C}}$ is a (complex) linear map from $V_{\mathbb{C}}$ to $W_{\mathbb{C}}$.

(b) Show that $T_{\mathbb{C}}$ is inje $\iff T$ is inje.

(c) Show that $\text{range } T_{\mathbb{C}} = W_{\mathbb{C}} \iff \text{range } T = W$.

SOLUTION:

$$(a) \quad \forall u_1 + iv_1, u_2 + iv_2 \in V_{\mathbb{C}}, \lambda \in \mathbb{F},$$

$$\begin{aligned} T((u_1 + iv_1) + \lambda(u_2 + iv_2)) &= T((u_1 + \lambda u_2) + i(v_1 + \lambda v_2)) = T(u_1 + \lambda u_2) + iT(v_1 + \lambda v_2) \\ &= Tu_1 + iTv_1 + \lambda Tu_2 + i\lambda Tv_2 = T(u_1 + iv_1) + \lambda T(u_2 + iv_2). \end{aligned}$$

$$(b) \left\{ \begin{array}{l} \text{Suppose } T_{\mathbb{C}} \text{ is inje. Let } T(u) = 0 \Rightarrow T_{\mathbb{C}}(u + i0) = Tu = 0 \Rightarrow u = 0. \\ \text{Suppose } T \text{ is inje. Let } T_{\mathbb{C}}(u + iv) = Tu + iTv = 0 \Rightarrow Tu = Tv = 0 \Rightarrow u + iv = 0. \end{array} \right\} \Rightarrow \square$$

$$(c) \left\{ \begin{array}{l} \text{Suppose } T_{\mathbb{C}} \text{ is surj. } \forall w \in W, \exists u \in V, T(u + i0) = Tu = w + i0 = w \Rightarrow T \text{ is surj.} \\ \text{Suppose } T \text{ is surj. } \forall w, x \in W, \exists u, v \in V, Tu = w, Tv = x \\ \quad \Rightarrow \forall w + ix \in W_{\mathbb{C}}, \exists u + iv \in V, T(u + iv) = w + ix \Rightarrow T_{\mathbb{C}} \text{ is surj.} \end{array} \right\} \Rightarrow \square$$

ENDED

3.C

• **NOTE FOR [3.47]:** $LHS = (AC)_{j,k} = \sum_{r=1}^n A_{j,r} C_{r,k} = \sum_{r=1}^n (A_{j,\cdot})_{1,r} (C_{\cdot,k})_{r,1} = (A_{j,\cdot} C_{\cdot,k})_{1,1} = A_{j,\cdot} C_{\cdot,k} = RHS$.

• **NOTE FOR [3.48]:**

$$\begin{aligned} \begin{pmatrix} 1 & 2 \\ 3 & 4 \end{pmatrix} \begin{pmatrix} 5 & 6 & 7 \\ 8 & 9 & 10 \end{pmatrix} &= \begin{pmatrix} \begin{pmatrix} 1 & 2 \end{pmatrix} \begin{pmatrix} 5 \\ 8 \end{pmatrix} & \begin{pmatrix} 1 & 2 \end{pmatrix} \begin{pmatrix} 6 \\ 9 \end{pmatrix} & \begin{pmatrix} 1 & 2 \end{pmatrix} \begin{pmatrix} 7 \\ 10 \end{pmatrix} \\ \begin{pmatrix} 3 & 4 \end{pmatrix} \begin{pmatrix} 5 \\ 8 \end{pmatrix} & \begin{pmatrix} 3 & 4 \end{pmatrix} \begin{pmatrix} 6 \\ 9 \end{pmatrix} & \begin{pmatrix} 3 & 4 \end{pmatrix} \begin{pmatrix} 7 \\ 10 \end{pmatrix} \end{pmatrix} \\ &= \begin{pmatrix} 1 \times 5 + 2 \times 8 & 1 \times 6 + 2 \times 9 & 1 \times 7 + 2 \times 10 \\ 3 \times 5 + 4 \times 8 & 3 \times 6 + 4 \times 9 & 3 \times 7 + 4 \times 10 \end{pmatrix} = \begin{pmatrix} 21 & 24 & 27 \\ 47 & 54 & 61 \end{pmatrix} \end{aligned}$$

• **NOTE FOR [3.49]:**

$$\begin{aligned} \because [(AC)_{\cdot,k}]_{j,1} &= (AC)_{j,k} = \sum_{r=1}^n A_{j,r} C_{r,k} = \sum_{r=1}^n A_{j,r} (C_{\cdot,k})_{r,1} = (AC_{\cdot,k})_{j,1} \\ \therefore (AC)_{\cdot,k} &= A_{\cdot,\cdot} C_{\cdot,k} = AC_{\cdot,k} \end{aligned}$$

• **EXERCISE 10:**

$$\begin{aligned}\therefore [(AC)_{j,\cdot}]_{1,k} &= (AC)_{j,k} = \sum_{r=1}^n A_{j,r} C_{r,k} = \sum_{r=1}^n (A_{j,\cdot})_{1,r} C_{r,k} = (A_{j,\cdot} C)_{1,k} \\ \therefore (AC)_{j,\cdot} &= A_{j,\cdot} C_{\cdot,\cdot} = A_{j,\cdot} C.\end{aligned}$$

• Suppose $C \in \mathbf{F}^{m,c}, R \in \mathbf{F}^{c,p}$.

(a) For $k = 1, \dots, p$, $(CR)_{\cdot,k} = CR_{\cdot,k} = C_{\cdot,\cdot} R_{\cdot,k} = \sum_{r=1}^c C_{\cdot,r} R_{r,k} = R_{1,k} C_{\cdot,1} + \dots + R_{c,k} C_{\cdot,c}$

(b) For $j = 1, \dots, m$, $(CR)_{j,\cdot} = C_{j,\cdot} R = C_{j,\cdot} R_{\cdot,\cdot} = \sum_{r=1}^c C_{j,r} R_{r,\cdot} = C_{j,1} R_{1,\cdot} + \dots + C_{j,c} R_{c,\cdot}$

EXAMPLE:

$$P = \begin{pmatrix} 1 & 2 \\ 3 & 4 \end{pmatrix} \begin{pmatrix} 5 & 6 & 7 \\ 8 & 9 & 10 \end{pmatrix} \in \mathbf{F}^{2,3}.$$

$$P_{\cdot,1} = \begin{pmatrix} 1 & 2 \\ 3 & 4 \end{pmatrix} \begin{pmatrix} 5 \\ 8 \end{pmatrix} = 5 \begin{pmatrix} 1 \\ 3 \end{pmatrix} + 8 \begin{pmatrix} 2 \\ 4 \end{pmatrix} = \begin{pmatrix} 21 \\ 47 \end{pmatrix};$$

$$P_{\cdot,2} = \begin{pmatrix} 1 & 2 \\ 3 & 4 \end{pmatrix} \begin{pmatrix} 6 \\ 9 \end{pmatrix} = 6 \begin{pmatrix} 1 \\ 3 \end{pmatrix} + 9 \begin{pmatrix} 2 \\ 4 \end{pmatrix} = \begin{pmatrix} 24 \\ 54 \end{pmatrix};$$

$$P_{\cdot,3} = \begin{pmatrix} 1 & 2 \\ 3 & 4 \end{pmatrix} \begin{pmatrix} 7 \\ 10 \end{pmatrix} = 7 \begin{pmatrix} 1 \\ 3 \end{pmatrix} + 10 \begin{pmatrix} 2 \\ 4 \end{pmatrix} = \begin{pmatrix} 27 \\ 61 \end{pmatrix};$$

$$P_{1,\cdot} = \begin{pmatrix} 1 & 2 \end{pmatrix} \begin{pmatrix} 5 & 6 & 7 \\ 8 & 9 & 10 \end{pmatrix} = 1 \begin{pmatrix} 5 & 6 & 7 \end{pmatrix} + 2 \begin{pmatrix} 8 & 9 & 10 \end{pmatrix} = \begin{pmatrix} 21 & 24 & 27 \end{pmatrix};$$

$$P_{2,\cdot} = \begin{pmatrix} 3 & 4 \end{pmatrix} \begin{pmatrix} 5 & 6 & 7 \\ 8 & 9 & 10 \end{pmatrix} = 3 \begin{pmatrix} 5 & 6 & 7 \end{pmatrix} + 4 \begin{pmatrix} 8 & 9 & 10 \end{pmatrix} = \begin{pmatrix} 47 & 54 & 61 \end{pmatrix};$$

• NOTE FOR [3.52]: $A \in \mathbf{F}^{m,n}, c \in \mathbf{F}^{n,1} \Rightarrow Ac \in \mathbf{F}^{m,1}$

$$\therefore (Ac)_{j,1} = \sum_{r=1}^n A_{j,r} c_{r,1} = \left[\sum_{r=1}^n (A_{\cdot,r} c_{r,1}) \right]_{j,1} = (c_1 A_{\cdot,1} + \dots + c_n A_{\cdot,n})_{j,1}$$

$$\therefore Ac = A_{\cdot,\cdot} c_{\cdot,1} = \sum_{r=1}^n A_{\cdot,r} c_{r,1} = c_1 A_{\cdot,1} + \dots + c_n A_{\cdot,n} \quad \text{OR. By } (Ac)_{\cdot,1} = Ac_{\cdot,1} \text{ Using (a) above.}$$

• EXERCISE 11: $a \in \mathbf{F}^{1,n}, C \in \mathbf{F}^{n,p} \Rightarrow aC \in \mathbf{F}^{1,p}$

$$\therefore (aC)_{1,k} = \sum_{r=1}^n a_{1,r} C_{r,k} = \left[\sum_{r=1}^n a_{1,r} (C_{r,\cdot}) \right]_{1,k} = (a_1 C_{1,\cdot} + \dots + a_n C_{n,\cdot})_{1,k}$$

$$\therefore aC = a_{1,\cdot} C_{\cdot,\cdot} = \sum_{r=1}^n a_{1,r} C_{r,\cdot} = a_1 C_{1,\cdot} + \dots + a_n C_{n,\cdot} \quad \text{OR. By } (aC)_{1,\cdot} = a_{1,\cdot} C_{\cdot,\cdot} \text{ Using (b) above.}$$

• COLUMN-ROW FACTORIZATION (CR Factorization)

Suppose $A \in \mathbf{F}^{m,n}, A \neq 0$. Let $S_c = \text{span}(A_{\cdot,1}, \dots, A_{\cdot,n}) \subseteq \mathbf{F}^{m,1}, \dim S_c = c$.

And $S_r = \text{span}(A_{1,\cdot}, \dots, A_{n,\cdot}) \subseteq \mathbf{F}^{1,n}, \dim S_r = r$.

Prove that $A = CR$. $\exists C \in \mathbf{F}^{m,c}, R \in \mathbf{F}^{c,n}$.

SOLUTION: Notice that $A \neq 0 \Rightarrow c, r \geq 1$.

Let $(C_{\cdot,1}, \dots, C_{\cdot,c})$ be a basis of S_c , forming $C \in \mathbf{F}^{m,c}$.

Then for any $A_{\cdot,k}$, $A_{\cdot,k} = R_{1,k} C_{\cdot,1} + \dots + R_{c,k} C_{\cdot,c} = (CR)_{\cdot,k}$, $\exists! R_{1,k}, \dots, R_{c,k} \in \mathbf{F}$.

Hence, by letting $R = \begin{pmatrix} R_{1,1} & \dots & R_{1,n} \\ \vdots & \ddots & \vdots \\ R_{c,1} & \dots & R_{c,n} \end{pmatrix}$, we have $A = CR$.

OR. Let $(R_{1,\cdot}, \dots, R_{c,\cdot})$ be a basis of S_r , forming $R \in \mathbf{F}^{c,n}$.

For any $A_{j,\cdot}$, $A_{j,\cdot} = C_{j,1} R_{1,\cdot} + \dots + C_{j,c} R_{c,\cdot} = (CR)_{j,\cdot}$, $\exists! C_{j,1}, \dots, C_{j,c} \in \mathbf{F}$. Similarly.

EXAMPLE:

□

$$A = \begin{pmatrix} 10 & 7 & 4 & 1 \\ 26 & 19 & 12 & 5 \\ 46 & 33 & 20 & 7 \end{pmatrix} \stackrel{(1)}{=} \begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 2 & 1 \end{pmatrix} \begin{pmatrix} 10 & 7 & 4 & 1 \\ 26 & 19 & 12 & 5 \end{pmatrix} \stackrel{(2)}{=} \begin{pmatrix} 7 & 4 \\ 19 & 12 \\ 33 & 20 \end{pmatrix} \begin{pmatrix} 2 & -1 \\ 1 & 0 \\ 0 & 1 \\ -1 & 2 \end{pmatrix}$$

(I) Because $\begin{pmatrix} 46 & 33 & 20 & 7 \end{pmatrix} = 2 \begin{pmatrix} 10 & 7 & 4 & 1 \end{pmatrix} + \begin{pmatrix} 26 & 19 & 12 & 5 \end{pmatrix}$.

Hence $\dim S_r = 2$. We choose $(A_{1,\cdot}, A_{2,\cdot})$ as the basis.

$$(II) \text{ Because } \begin{pmatrix} 10 \\ 26 \\ 46 \end{pmatrix} = 2 \begin{pmatrix} 7 \\ 19 \\ 33 \end{pmatrix} - \begin{pmatrix} 4 \\ 12 \\ 20 \end{pmatrix}; \quad \begin{pmatrix} 1 \\ 5 \\ 7 \end{pmatrix} = 2 \begin{pmatrix} 4 \\ 12 \\ 20 \end{pmatrix} - \begin{pmatrix} 7 \\ 19 \\ 33 \end{pmatrix}.$$

Hence $\dim S_c = 2$. We choose $(A_{\cdot,2}, A_{\cdot,3})$ as the basis.

• **COLUMN RANK EQUALS ROW RANK** (Using the notation above)

For any $A_{j,\cdot} \in S_r$, $A_{j,\cdot} = (CR)_{j,\cdot} = C_{j,1}R = C_{j,1}R_{1,\cdot} + \dots + C_{j,c}R_{c,\cdot}$.

$\Rightarrow \text{span}(A_{1,\cdot}, \dots, A_{m,\cdot}) = S_r = \text{span}(R_{1,\cdot}, \dots, R_{c,\cdot}) \Rightarrow \dim S_r = r \leq c = \dim S_c$.

Apply the result to $A^t \in \mathbb{F}^{n,m} \Rightarrow \dim S_r^t = \dim S_c = c \leq r = \dim S_r = \dim S_c^t$. □

• Suppose $T \in \mathcal{L}(V)$, and u_1, \dots, u_n and v_1, \dots, v_n are bases of V .

Prove that the following are equi. Here $A = \mathcal{M}(T)$ means $\mathcal{M}(T, (u_1, \dots, u_n), (v_1, \dots, v_n))$.

(a) T is inje.

(b) The cols of $\mathcal{M}(T)$ are linely inde in $\mathbb{F}^{n,1}$.

(c) The cols of $\mathcal{M}(T)$ span $\mathbb{F}^{n,1}$.

(d) The rows of $\mathcal{M}(T)$ span $\mathbb{F}^{1,n}$.

(e) The rows of $\mathcal{M}(T)$ are linely inde in $\mathbb{F}^{1,n}$.

SOLUTION: T is inje $\Leftrightarrow \dim V = \dim \text{range } T + \dim \text{null } T = \dim \text{range } T$

$\Leftrightarrow (Tu_1, \dots, Tu_n)$ is linely inde in V , and therefore is a basis of V

$\Leftrightarrow (\mathcal{M}(Tu_1), \dots, \mathcal{M}(Tu_n))$ is linely inde, as well as $(A_{\cdot,1}, \dots, A_{\cdot,n})$

$\Leftrightarrow (A_{\cdot,1}, \dots, A_{\cdot,n})$ is a basis of $\mathbb{F}^{n,1}$.

($\text{又 } \dim \text{span}(A_{\cdot,1}, \dots, A_{\cdot,n}) = \dim \text{span}(A_{1,\cdot}, \dots, A_{n,\cdot}) = n$)

$\Leftrightarrow (A_{1,\cdot}, \dots, A_{n,\cdot})$ is a basis of $\mathbb{F}^{1,n}$. □

• Suppose A is an m -by- n matrix with $A \neq 0$.

Prove that the rank of A is 1 $\Leftrightarrow \exists (c_1, \dots, c_m) \in \mathbb{F}^m$ and $(d_1, \dots, d_n) \in \mathbb{F}^n$ such that $A_{j,k} = c_j \cdot d_k$ for every $j = 1, \dots, m$ and every $k = 1, \dots, n$.

SOLUTION: Using the notation in CR Factorization.

$$(a) \text{ Suppose } A = \begin{pmatrix} c_1 \\ \vdots \\ c_m \end{pmatrix} \begin{pmatrix} d_1 & \dots & d_n \end{pmatrix} = \begin{pmatrix} c_1 d_1 & \dots & c_1 d_n \\ \vdots & \ddots & \vdots \\ c_m d_1 & \dots & c_m d_n \end{pmatrix}. \quad (\exists c_j, d_k \in \mathbb{F}, \forall j, k)$$

$$\text{Then } S_c = \text{span} \left(\begin{pmatrix} c_1 d_1 \\ \vdots \\ c_m d_1 \end{pmatrix}, \begin{pmatrix} c_1 d_2 \\ \vdots \\ c_m d_2 \end{pmatrix}, \dots, \begin{pmatrix} c_1 d_n \\ \vdots \\ c_m d_n \end{pmatrix} \right) = \text{span} \left(\begin{pmatrix} c_1 \\ \vdots \\ c_m \end{pmatrix} \right).$$

$$\text{OR. } S_r = \text{span} \left(\begin{pmatrix} c_1 d_1 & \dots & c_1 d_n \\ c_2 d_1 & \dots & c_2 d_n \\ \vdots & & \vdots \\ c_m d_1 & \dots & c_m d_n \end{pmatrix} \right) = \text{span} \left(\begin{pmatrix} d_1 & \dots & d_n \end{pmatrix} \right). \quad \text{Hence the rank of } A \text{ is 1.}$$

(b) Suppose the rank of A is $\dim S_c = \dim S_r = 1$

$$\text{Let } c_j = \frac{A_{j,1}}{A_{1,1}} = \frac{A_{j,2}}{A_{1,2}} = \dots = \frac{A_{j,n}}{A_{1,n}}, \quad d'_k = \frac{A_{1,k}}{A_{1,1}} = \frac{A_{2,k}}{A_{2,1}} = \dots = \frac{A_{m,k}}{A_{m,1}}. \quad (\forall j, k)$$

$$\Rightarrow A_{j,k} = d'_k A_{j,1} = c_j A_{1,k} = c_j d'_k A_{1,1} = c_j d_k. \text{ Letting } d_k = d'_k A_{1,1}.$$

□

1 Suppose $T \in \mathcal{L}(V, W)$. Show that with resp to each choice of bases of V and W , the matrix of T has at least $\dim \text{range } T$ nonzero entries.

SOLUTION:

Let (v_1, \dots, v_n) and (w_1, \dots, w_m) be bases of V and W respectively. We prove by contradiction.

Suppose $A = \mathcal{M}(T, (v_1, \dots, v_n), (w_1, \dots, w_m))$ has at most $(\dim \text{range } T - 1)$ nonzero entries.

Then by Pigeon Hole Principle, at least one of $A_{\cdot,k} = 0$.

Thus there are at most $(\dim \text{range } T - 1)$ nonzero vecs in Tv_1, \dots, Tv_n .

While $\text{range } T = \text{span}(Tv_1, \dots, Tv_n) \Rightarrow \dim \text{range } T \leq \dim \text{range } T - 1$. We get a contradiction. □

3 Suppose V and W are finite-dim and $T \in \mathcal{L}(V, W)$.

Prove that there exist a basis of V and a basis of W such that

[letting $A = \mathcal{M}(T)$ with resp to these bases],

$A_{k,k} = 1, A_{i,j} = 0$, where $1 \leq k \leq \dim \text{range } T, i \neq j$.

SOLUTION:

Let $R = (Tv_1, \dots, Tv_n)$ be a basis of $\text{range } T$, extend it to the basis of W as $(Tv_1, \dots, Tv_n, w_1, \dots, w_p)$.

Let $\mathcal{K}_R = \text{span}(v_1, \dots, v_n)$. Let (u_1, \dots, u_m) be a basis of $\text{null } T$.

Then $(v_1, \dots, v_n, u_1, \dots, u_m)$ is the basis of V .

Thus $T(v_k) = Tv_k, T(u_j) = 0 \Rightarrow A_{k,k} = 1, A_{i,j}$ for each $k \in \{1, \dots, \dim \text{range } T\}$ and $j \in \{1, \dots, m\}$. □

4 Suppose (v_1, \dots, v_m) is a basis of V and W is finite-dim. Suppose $T \in \mathcal{L}(V, W)$.

Prove that there exists a basis (w_1, \dots, w_n) of W such that

$$[\text{letting } A = \mathcal{M}(T, (v_1, \dots, v_m), (w_1, \dots, w_n))], \quad A_{\cdot,1} = \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix} \text{ or } \begin{pmatrix} 0 \\ \vdots \\ 0 \end{pmatrix}.$$

SOLUTION: If $Tv_1 = 0$, then we are done. If not then extend (Tv_1) . □

5 Suppose (w_1, \dots, w_n) is a basis of W and V is finite-dim. Suppose $T \in \mathcal{L}(V, W)$.

Prove that there exists a basis (v_1, \dots, v_m) of V such that

[letting $A = \mathcal{M}(T, (v_1, \dots, v_m), (w_1, \dots, w_n))$], $A_{1,\cdot} = (0 \dots 0)$ or $(1 \ 0 \dots 0)$.

SOLUTION:

Let (u_1, \dots, u_m) be a basis of V . If $A_{1,\cdot} = 0$, then let $v_i = u_i$ for each $i = 1, \dots, n$, we are done.

Otherwise, $(A_{1,1} \dots A_{1,m}) \neq 0$, choose one $A_{1,k} \neq 0$.

$$\text{Let } v_1 = \frac{u_k}{A_{1,k}}; \quad \begin{aligned} v_j &= u_{j-1} - A_{1,j-1}v_1 & \text{for } j = 2, \dots, k; \\ v_i &= u_i - A_{1,i}v_1 & \text{for } i = k+1, \dots, n. \end{aligned}$$

□

6 Suppose V and W are finite-dim and $T \in \mathcal{L}(V, W)$. Prove that $\dim \text{range } T = 1$ if and only if there exist a basis of V and a basis of W such that with resp to these bases, all entries of $A = \mathcal{M}(T)$ equal 1.

SOLUTION: Denote the bases of V and W by $B_V = (v_1, \dots, v_n)$ and $B_W = (w_1, \dots, w_m)$ respectively.

(a) Suppose B_V, B_W are the bases such that all entries of A equal 1.

Then $Tv_i = w_1 + \dots + w_m$ for all $i = 1, \dots, n$. Hence $\dim \text{range } T = 1$.

(b) Suppose $\dim \text{range } T = 1$. Then $\dim \text{null } T = \dim V - 1$.

Let (u_2, \dots, u_n) be a basis of $\text{null } T$. Extend it to a basis of V as (u_1, u_2, \dots, u_n) .

Let $w_1 = Tv_1 - w_2 - \dots - w_m$. Extend it to B_W the basis of W .

Let $v_1 = u_1, v_i = u_1 + u_i$. Extend it to B_V the basis of V . □

12 Give an example of 2-by-2 mtcs A and B such that $AB \neq BA$.

SOLUTION: $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}; \quad \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}.$

13 Prove that the distr property holds for matrix add and matrix multi.

In other words, suppose A, B, C, D, E and F are matrices

whose sizes are such that $A(B + C)$ and $(D + E)F$ make sense.

Explain why $AB + AC$ and $DF + EF$ both make sense and prove that.

SOLUTION: Using [3.36], [3.43].

(a) Left distr: Suppose $A \in \mathbf{F}^{m,n}$ and $B, C \in \mathbf{F}^{n,p}$.

Because $[A(B + C)]_{j,k} = \sum_{r=1}^n A_{j,r}(B + C)_{r,k} = \sum_{r=1}^n (A_{j,r}B_{r,k} + A_{j,r}C_{r,k})$.

Hence we conclude that $A(B + C) = AB + AC$.

OR. Let (e_1, \dots, e_M) be the standard basis of \mathbf{F}^M , where $M = \max\{m, n, p\}$.

Suppose $T \in \mathcal{L}(\mathbf{F}^n, \mathbf{F}^m)$ such that $Te_k = \sum_{j=1}^m A_{j,k}e_j$ for each $k = 1, \dots, n$. Then $\mathcal{M}(T) = A$.

Similarly, define S, R such that $\mathcal{M}(S) = B, \mathcal{M}(R) = C$.

Thus $T(S + R) = TS + TR \quad \left| \begin{array}{l} \Rightarrow \mathcal{M}(T(S + R)) = \mathcal{M}(TS + TR) \\ \Rightarrow \mathcal{M}(T)[\mathcal{M}(S) + \mathcal{M}(R)] = \mathcal{M}(T)\mathcal{M}(S) + \mathcal{M}(T)\mathcal{M}(R) \\ \Rightarrow A(B + C) = AB + AC. \end{array} \right.$

(b) Right distr: Similarly. $\left| \begin{array}{l} \text{Suppose } \mathcal{M}(T) = D, \mathcal{M}(S) = E, \mathcal{M}(R) = F. \\ \text{Then } (T + S)R = TR + SR \end{array} \right.$

$\Rightarrow \mathcal{M}((T + S)R) = \mathcal{M}(TR) + \mathcal{M}(SR)$
 $\Rightarrow [\mathcal{M}(T) + \mathcal{M}(S)]\mathcal{M}(R) = \mathcal{M}(T)\mathcal{M}(R) + \mathcal{M}(S)\mathcal{M}(R)$
 $\Rightarrow (D + E)F = DF + EF. \quad \square$

14 Prove that matrix multi is associ. In other words,

suppose A, B and C are mtcs whose sizes are such that $(AB)C$ makes sense.

Explain why $A(BC)$ makes sense and prove that $(AB)C = A(BC)$.

SOLUTION:

Because $[(AB)C]_{j,k} = (AB)_{j,\cdot}C_{\cdot,k} = \sum_{s=1}^n (A_{j,s}B_{s,\cdot})C_{\cdot,k} = \sum_{s=1}^n A_{j,s}(B_{s,\cdot}C_{\cdot,k}) = \sum_{s=1}^n A_{j,s}(BC)_{s,k} = A(BC)_{j,k}$

Hence we conclude that $(AB)C = A(BC)$.

OR. Suppose $A \in \mathbf{F}^{m,n}, B \in \mathbf{F}^{n,p}, C \in \mathbf{F}^{p,s}$.

Let (e_1, \dots, e_M) be the standard basis of \mathbf{F}^M , where $M = \max\{m, n, p, s\}$.

Suppose $T \in \mathcal{L}(\mathbf{F}^n, \mathbf{F}^m)$ such that $Te_k = \sum_{j=1}^m A_{j,k}e_j$ for each $k = 1, \dots, n$. Then $\mathcal{M}(T) = A$.

Similarly, define S, R such that $\mathcal{M}(S) = B, \mathcal{M}(R) = C$.

Hence $(TS)R = T(SR) \Rightarrow \mathcal{M}((TS)R) = \mathcal{M}(T(SR))$
 $\Rightarrow [\mathcal{M}(T)\mathcal{M}(S)]\mathcal{M}(R) = \mathcal{M}(T)[\mathcal{M}(S)\mathcal{M}(R)]$
 $\Rightarrow (AB)C = A(BC). \quad \square$

15 Suppose A is an n -by- n matrix and $1 \leq j, k \leq n$.

Show that the entry in row j , col k , of A^3

(which is defined to mean AAA) is $\sum_{p=1}^n \sum_{r=1}^n A_{j,p} A_{p,r} A_{r,k}$.

SOLUTION:

$$(AAA)_{j,k} = (AA)_{j,\cdot} A_{\cdot,k} = \sum_{p=1}^n (A_{j,p} A_{p,\cdot}) A_{\cdot,k} = \sum_{p=1}^n \sum_{r=1}^n A_{j,p} A_{p,r} A_{r,k}.$$

$$\begin{aligned} \text{OR. } (AAA)_{j,k} &= \sum_{r=1}^n (AA)_{j,r} A_{r,k} = \sum_{r=1}^n \left(\sum_{p=1}^n A_{j,p} A_{p,r} \right) A_{r,k} \\ &= \sum_{r=1}^n (A_{j,1} A_{1,r} A_{r,k} + \cdots + A_{j,n} A_{n,r} A_{r,k}) \\ &= A_{j,1} \sum_{r=1}^n A_{1,r} A_{r,k} + \cdots + A_{j,n} \sum_{r=1}^n A_{n,r} A_{r,k} = \sum_{p=1}^n \sum_{r=1}^n A_{j,p} A_{p,r} A_{r,k}. \square \end{aligned}$$

ENDED

3.D

• Suppose $T \in \mathcal{L}(V, W)$ is inv. Show that T^{-1} is inv and $(T^{-1})^{-1} = T$.

SOLUTION:
$$\left. \begin{aligned} TT^{-1} &= (T^{-1})^{-1} T^{-1} = I \in \mathcal{L}(V) \\ T^{-1}T &= T^{-1}(T^{-1})^{-1} = I \in \mathcal{L}(W) \end{aligned} \right\} \Rightarrow T = (T^{-1})^{-1}, \text{ by the uniqueness of inverse.} \quad \square$$

1 Suppose $T \in \mathcal{L}(U, V)$ and $S \in \mathcal{L}(V, W)$ are both inv linear maps.

Prove that $ST \in \mathcal{L}(U, W)$ is inv and that $(ST)^{-1} = T^{-1}S^{-1}$.

SOLUTION:
$$\left. \begin{aligned} (ST)(T^{-1}S^{-1}) &= STT^{-1}S^{-1} = I \in \mathcal{L}(W) \\ (T^{-1}S^{-1})(ST) &= T^{-1}S^{-1}ST = I \in \mathcal{L}(V) \end{aligned} \right\} \Rightarrow (ST)^{-1} = T^{-1}S^{-1}, \text{ by the uniqueness of inverse.} \quad \square$$

9 Suppose V is finite-dim and $S, T \in \mathcal{L}(V)$.

Prove that ST is inv $\iff S$ and T are inv.

SOLUTION:

Suppose S, T are inv. Then $(ST)(T^{-1}S^{-1}) = (T^{-1}S^{-1})(ST) = I$. Hence ST is inv.

Suppose ST is inv. Let $R = (ST)^{-1} \Rightarrow R(ST) = (ST)R = I$.

$$\left. \begin{aligned} Tv = 0 &\Rightarrow v = R(ST)v = RS(Tv) = 0 \\ \forall v \in V, v &= (ST)Rv = S(TRv) \in \text{range } S \end{aligned} \right\} \Rightarrow T \text{ is inje, } S \text{ is surj.}$$

Notice that V is finite-dim. Hence S, T are inv. \square

OR. Suppose ST is inv but S or T is not inv (\Rightarrow not surj and inje).

If S is not inv then $\dim \text{range } S < \dim V$ and by Problem (23) in (3.B),

$\dim \text{range } ST \leq \dim \text{range } S < \dim V$. Thus ST is not surj. Contradicts.

If T is not inv then $\dim \text{range } T < 0$. Similarly, ST is not surj. Contradicts. \square

10 Suppose V is finite-dim and $S, T \in \mathcal{L}(V)$. Prove that $ST = I \iff TS = I$.

SOLUTION:

$$\left. \begin{aligned} Tv = 0 &\Rightarrow v = STv = 0 \\ v \in V &\Rightarrow v = S(Tv) \in \text{range } S \end{aligned} \right\} \Rightarrow T \text{ is inje, } S \text{ is surj.}$$

Notice that V is finite-dim. Thus T, S are inv.

OR. By Problem (9), V is finite-dim and $ST = I$ is inv $\Rightarrow S, T$ are inv.

$S((TS)v) = ST(Sv) = Sv \Rightarrow (TS)v = v \Rightarrow S$ is inv.

OR. $ST = I \Rightarrow S = T^{-1} \Rightarrow S^{-1} = T$. $\text{又 } S = S \Rightarrow TS = S^{-1}S = I$.

Reversing the roles of S and T , we conclude that $TS = I \Rightarrow ST = I$. □

11 Suppose V is finite-dim and $S, T, U \in \mathcal{L}(V)$ and $STU = I$.

Show that T is inv and that $T^{-1} = US$.

SOLUTION: Using Problem (9) and (10).

$$(ST)U = U(ST) = (US)T = T(US) = S(TU) = (TU)S = I.$$

$$\Rightarrow U^{-1} = ST, \quad T^{-1} = US, \quad S^{-1} = TU.$$

□

12 Show that the result in Exercise 11 can fail without the hypothesis that V is finite-dim.

SOLUTION:

Let $V = \mathbb{R}^\infty, S(a_1, a_2, \dots) = (a_2, \dots), T(a_1, \dots) = (0, a_1, \dots), U = I$. Then $STU = I$ but T^{-1} is not inv.

13 Suppose V is finite-dim and $R, S, T \in \mathcal{L}(V)$ are such that RST is surj.

Prove that S is inje.

SOLUTION: By Problem (1) and (9), Notice that V is finite-dim. Then RST is inv.

$$(RST)^{-1} = ((RS)T)^{-1} = T^{-1}(RS)^{-1} = T^{-1}S^{-1}R^{-1}.$$

$$\text{OR. Let } X = (RST)^{-1} \left| \begin{array}{l} Tv = 0 \Rightarrow v = X(RSTv) = 0 \Rightarrow T \text{ is inje, and therefore is inv.} \\ \forall v \in V, v = (RST)Xv \in \text{range } R \Rightarrow R \text{ is surj, and therefore is inv.} \end{array} \right.$$

Thus $S = R^{-1}(RST)T^{-1}$ is inv. □

15 Prove that every linear map from $\mathbb{F}^{n,1}$ to $\mathbb{F}^{m,1}$ is given by a matrix multi.

In other words, prove that if $T \in \mathcal{L}(\mathbb{F}^{n,1}, \mathbb{F}^{m,1})$, then $\exists A \in \mathbb{F}^{m,n}, Tx = Ax, \forall x \in \mathbb{F}^{n,1}$.

SOLUTION:

Let $E_i \in \mathbb{F}^{n,1}$ for each $i = 1, \dots, n$ (where $M = \max\{m, n\}$) be such that $(E_i)_{j,1} = \begin{cases} 0, & i \neq j \\ 1, & i = j \end{cases}$

Then (E_1, \dots, E_n) is linely inde and thus is a basis of $\mathbb{F}^{n,1}$.

Similarly, let (R_1, \dots, R_m) be a basis of $\mathbb{F}^{m,1}$.

Suppose $T(E_i) = A_{1,i}R_1 + \dots + A_{m,i}R_m$ for each $i = 1, \dots, n$. Hence by letting $A = \begin{pmatrix} A_{1,1} & \dots & A_{1,n} \\ \vdots & \ddots & \vdots \\ A_{m,1} & \dots & A_{m,n} \end{pmatrix}$. □

COMMENT: $\mathcal{M}(T) = A$. Conversely it is true as well.

• OR(10.A.2) Suppose $A, B \in \mathbb{F}^{n,n}$. Prove that $AB = I \Leftrightarrow BA = I$.

SOLUTION: Using Problem (10) and (15).

Define $T, S \in \mathcal{L}(\mathbb{F}^{n,1}, \mathbb{F}^{n,1})$ by $Tx = Ax, Sx = Bx$ for all $x \in \mathbb{F}^{n,1}$. Then $\mathcal{M}(T) = A, \mathcal{M}(S) = B$.

Thus $AB = I \Leftrightarrow A(Bx) = x \Leftrightarrow T(Sx) = x \Leftrightarrow TS = I \Leftrightarrow ST = I \Leftrightarrow \mathcal{M}(S)\mathcal{M}(T) = BA = I$. □

• **NOTE FOR [3.60]:** Suppose (v_1, \dots, v_n) is a basis of V and (w_1, \dots, w_m) is a basis of W .

Define $E_{i,j} \in \mathcal{L}(V, W)$ by $E_{i,j}(v_x) = \delta_{ix}w_j$; $\delta_{ix} = \begin{cases} 0, & i \neq x \\ 1, & i = x \end{cases}$ **COROLLARY:** $E_{l,k}E_{i,j} = \delta_{jl}E_{i,k}$.

Denote $\mathcal{M}(E_{i,j})$ by $\mathcal{E}^{(j,i)}$. $(\mathcal{E}^{(j,i)})_{l,k} = \begin{cases} 0, & i \neq k \text{ or } j \neq l \\ 1, & i = k \text{ and } j = l \end{cases}$

Because $\mathcal{L}(V, W)$ and $\mathbf{F}^{m,n}$ are iso. And $T = \mathcal{M}^{-1}\mathcal{M}(T), E_{ij} = \mathcal{M}^{-1}\mathcal{E}^{(j,i)}$.

Hence $\forall T \in \mathcal{L}(V, W), \exists! A_{i,j} \in \mathbf{F} (\forall i \in \{1, \dots, m\}, j \in \{1, \dots, n\}), \mathcal{M}(T) = A = \begin{pmatrix} A_{1,1} & \dots & A_{1,n} \\ \vdots & \ddots & \vdots \\ A_{m,1} & \dots & A_{m,n} \end{pmatrix}$.

$$\text{Thus } A = \begin{pmatrix} A_{1,1}\mathcal{E}^{(1,1)} + & \dots & +A_{1,n}\mathcal{E}^{(1,n)} \\ + & \dots & + \\ \vdots & \ddots & \vdots \\ + & \dots & + \\ A_{m,1}\mathcal{E}^{(m,1)} + & \dots & +A_{m,n}\mathcal{E}^{(m,n)} \end{pmatrix} \iff T = \begin{pmatrix} A_{1,1}E_{1,1} + & \dots & +A_{1,n}E_{n,1} \\ + & \dots & + \\ \vdots & \ddots & \vdots \\ + & \dots & + \\ A_{m,1}E_{1,m} + & \dots & +A_{m,n}E_{n,m} \end{pmatrix}.$$

$$\therefore \mathcal{L}(V, W) = \text{span} \underbrace{\begin{pmatrix} E_{1,1} & \dots & E_{n,1} \\ \vdots & \ddots & \vdots \\ E_{1,m} & \dots & E_{n,m} \end{pmatrix}}_B; \quad \mathbf{F}^{m,n} = \text{span} \underbrace{\begin{pmatrix} \mathcal{E}^{(1,1)} & \dots & \mathcal{E}^{(1,n)} \\ \vdots & \ddots & \vdots \\ \mathcal{E}^{(m,1)} & \dots & \mathcal{E}^{(m,n)} \end{pmatrix}}_{B_m}.$$

Hence by [2.42] and [3.61], we conclude that B is a basis of $\mathcal{L}(V, W)$ and that B_M is a basis of $\mathbf{F}^{m,n}$.

◦ Suppose V is finite-dim and $S \in \mathcal{L}(V)$.

Define $A \in \mathcal{L}(\mathcal{L}(V))$ by $\mathcal{A}(T) = ST$ for $T \in \mathcal{L}(V)$.

(a) Show that $\dim \text{null } \mathcal{A} = (\dim V)(\dim \text{null } S)$.

(b) Show that $\dim \text{range } \mathcal{A} = (\dim V)(\dim \text{range } S)$.

SOLUTION:

(a) For all $T \in \mathcal{L}(V), ST = 0 \iff \text{range } T \subseteq \text{null } S$. Thus $\text{null } \mathcal{A} = \mathcal{L}(V, \text{null } S)$.

(b) For all $R \in \mathcal{L}(V), \text{range } R \subseteq \text{range } S \iff \exists T \in \mathcal{L}(V), R = ST$. (By Problem (25) in 3.B)

Thus $\text{range } \mathcal{A} = \mathcal{L}(V, \text{range } S)$. □

OR. Using NOTE FOR[3.60].

Let (w_1, \dots, w_m) be a basis of $\text{range } S$, extend it to a basis of V as $(w_1, \dots, w_m, \dots, w_n)$.

Let $v_i \in V$ such that $Sw_i = w_i$ for $m = 1, \dots, m$. Extend (v_1, \dots, v_m) to a basis of V as $(v_1, \dots, v_m, \dots, v_n)$.

Define $E_{ij} \in \mathcal{L}(V)$ by $E_{ij}(v_x) = \delta_{ix}w_i$.

$$\text{Thus } S = E_{1,1} + \dots + E_{m,m}; \quad \mathcal{M}(S, (v_1, \dots, v_n), (w_1, \dots, w_n)) = \begin{pmatrix} 1 & 0 & \dots & 0 & 0 & \dots & 0 \\ 0 & 1 & \dots & 0 & 0 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 1 & 0 & \dots & 0 \\ 0 & 0 & \dots & 0 & 0 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 0 & 0 & \dots & 0 \end{pmatrix}.$$

Define $R_{ij} \in \mathcal{L}(V)$ by $R_{ij}(w_x) = \delta_{ix}v_i$.

Let $E_{j,k}R_{ij} = Q_{i,k}, \quad R_{j,k}E_{ij} = G_{i,k}$

$$\text{Because } \forall T \in \mathcal{L}(V), \exists! A_{i,j} \in \mathbf{F} (\forall i, j = 1, \dots, n), \quad T = \begin{pmatrix} A_{1,1}R_{1,1} + & \dots & +A_{1,m}R_{m,1} + & \dots & +A_{1,n}R_{n,1} \\ + & \dots & + & \dots & + \\ \vdots & \ddots & \vdots & \ddots & \vdots \\ + & \dots & + & \dots & + \\ A_{m,1}R_{1,m} + & \dots & +A_{m,m}R_{m,m} + & \dots & +A_{m,n}R_{n,m} \\ + & \dots & + & \dots & + \\ \vdots & \ddots & \vdots & \ddots & \vdots \\ + & \dots & + & \dots & + \\ A_{n,1}R_{1,n} + & \dots & +A_{n,m}R_{m,n} + & \dots & +A_{n,n}R_{n,n} \end{pmatrix}.$$

$$\Rightarrow \mathcal{A}(T) = ST = \left(\sum_{r=1}^m E_{r,r} \right) \left(\sum_{i=1}^n \sum_{j=1}^n A_{i,j} R_{j,i} \right) = \sum_{i=1}^m \sum_{j=1}^n A_{i,j} Q_{j,i} = \begin{pmatrix} A_{1,1}Q_{1,1} + & \dots & +A_{1,m}Q_{m,1} + & \dots & +A_{1,n}Q_{n,1} \\ + & \dots & + & \dots & + \\ \vdots & \ddots & \vdots & \ddots & \vdots \\ + & \dots & + & \dots & + \\ A_{m,1}Q_{1,m} + & \dots & +A_{m,m}Q_{m,m} + & \dots & +A_{m,n}Q_{n,m} \end{pmatrix}.$$

$$\text{Thus null } \mathcal{A} = \text{span} \begin{pmatrix} R_{1,m+1}, & \cdots, & R_{n,m+1}, \\ \vdots & \ddots & \vdots \\ R_{1,n}, & \cdots, & R_{n,n} \end{pmatrix}, \quad \text{range } \mathcal{A} = \text{span} \begin{pmatrix} Q_{1,1}, & \cdots, & Q_{n,1}, \\ \vdots & \ddots & \vdots \\ Q_{1,m}, & \cdots, & Q_{n,m} \end{pmatrix}.$$

Hence (a) $\dim \text{null } \mathcal{A} = n \times (n - m)$; (b) $\dim \text{range } \mathcal{A} = n \times m$. □

COMMENT: Define $\mathcal{B} \in \mathcal{L}(\mathcal{L}(V))$ by $\mathcal{B}(T) = TS$ for $T \in \mathcal{L}(V)$.

$$\text{Similarly, } \mathcal{B}(T) = TS = \left(\sum_{i=1}^n \sum_{j=1}^n A_{i,j} R_{j,i} \right) \left(\sum_{r=1}^m E_{r,r} \right) = \sum_{i=1}^n \sum_{j=1}^m A_{i,j} G_{j,i} = \begin{pmatrix} A_{1,1}G_{1,1} + & \cdots & +A_{1,m}G_{m,1} \\ + & \cdots & + \\ \vdots & \ddots & \vdots \\ + & \cdots & + \\ A_{m,1}G_{1,m} + & \cdots & +A_{m,m}G_{m,m} \\ + & \cdots & + \\ \vdots & \ddots & \vdots \\ + & \cdots & + \\ A_{n,1}G_{1,n} + & \cdots & +A_{n,m}G_{m,n} \end{pmatrix}.$$

• OR(10.A.1) Suppose $T \in \mathcal{L}(V)$ and (v_1, \dots, v_n) is a basis of V .

Prove that $\mathcal{M}(T, (v_1, \dots, v_n))$ is inv $\iff T$ is inv.

SOLUTION:

Notice that \mathcal{M} is an iso of $\mathcal{L}(V)$ onto $\mathbf{F}^{n,n}$.

$$(a) \quad T^{-1}T = TT^{-1} = I \Rightarrow \mathcal{M}(T^{-1})\mathcal{M}(T) = \mathcal{M}(T)\mathcal{M}(T^{-1}) = I \Rightarrow \mathcal{M}(T^{-1}) = \mathcal{M}(T)^{-1}.$$

$$(b) \quad \mathcal{M}(T)\mathcal{M}(T)^{-1} = \mathcal{M}(T)^{-1}\mathcal{M}(T) = I. \quad \exists! S \in \mathcal{L}(V) \text{ such that } \mathcal{M}(T)^{-1} = \mathcal{M}(S)$$

$$\Rightarrow \mathcal{M}(T)\mathcal{M}(S) = \mathcal{M}(S)\mathcal{M}(T) = I = \mathcal{M}(TS) = \mathcal{M}(ST)$$

$$\Rightarrow \mathcal{M}^{-1}\mathcal{M}(TS) = \mathcal{M}^{-1}\mathcal{M}(ST) = I = TS = ST \Rightarrow S = T^{-1}. \quad \square$$

• OR(10.A.4) Suppose that $(\beta_1, \dots, \beta_n)$ and $(\alpha_1, \dots, \alpha_n)$ are bases of V .

Let $T \in \mathcal{L}(V)$ be such that $Tv_k = u_k$ for each $k = 1, \dots, n$.

Prove that $\mathcal{M}(T, (\alpha_1, \dots, \alpha_n)) = \mathcal{M}(I, (\beta_1, \dots, \beta_n), (\alpha_1, \dots, \alpha_n))$.

SOLUTION:

For ease of notation, let $\mathcal{M}(T, \alpha \rightarrow \beta) = \mathcal{M}(T, (\alpha_1, \dots, \alpha_n), (\beta_1, \dots, \beta_n))$, $\mathcal{M}(T, \alpha \rightarrow \alpha) = \mathcal{M}(T, (\alpha_1, \dots, \alpha_n))$

Denote $\mathcal{M}(T, \alpha \rightarrow \alpha)$ by A and $\mathcal{M}(I, \beta \rightarrow \alpha)$ by B .

$$\forall k \in \{1, \dots, n\}, Iu_k = u_k = B_{1,k}\alpha_1 + \cdots + B_{n,k}\alpha_n = Tv_k = A_{1,k}\alpha_1 + \cdots + A_{n,k}\alpha_n \Rightarrow A = B. \quad \square$$

OR. Note that $\mathcal{M}(T, \alpha \rightarrow \beta)$ is the identity matrix.

$$\mathcal{M}(T, \alpha \rightarrow \alpha) = \mathcal{M}(I, \beta \rightarrow \alpha) \underbrace{\mathcal{M}(T, \alpha \rightarrow \beta)}_{=\mathcal{M}(I, \beta \rightarrow \beta)} = \mathcal{M}(I, \beta \rightarrow \alpha). \quad \square$$

OR. Note that $\mathcal{M}(T, \beta \rightarrow \beta)\mathcal{M}(I, \alpha \rightarrow \beta) = \mathcal{M}(T, \alpha \rightarrow \beta) = I$.

$$\mathcal{M}(T, \alpha \rightarrow \alpha) = \mathcal{M}(I, \alpha \rightarrow \beta)^{-1} \underbrace{[\mathcal{M}(T, \beta \rightarrow \beta)\mathcal{M}(I, \alpha \rightarrow \beta)]}_{=\mathcal{M}(T, \alpha \rightarrow \beta)} = \mathcal{M}(I, \beta \rightarrow \alpha). \quad \square$$

COMMENT: Denote $\mathcal{M}(T, \beta \rightarrow \beta)$ by A' .

$$u_k = Iu_k = B_{1,k}\alpha_1 + \cdots + B_{n,k}\alpha_n, \quad \forall k \in \{1, \dots, n\}.$$

$$\text{又 } Tu_k = T(B_{1,k}\alpha_1 + \cdots + B_{n,k}\alpha_n) = B_{1,k}\beta_1 + \cdots + B_{n,k}\beta_n = A'_{1,k}\beta_1 + \cdots + A'_{n,k}\beta_n \Rightarrow A' = B.$$

$$\text{OR. } \mathcal{M}(T, \beta \rightarrow \beta) = \mathcal{M}(T, \alpha \rightarrow \beta)\mathcal{M}(I, \beta \rightarrow \alpha) = B.$$

16 Suppose V is finite-dim and $S \in \mathcal{L}(V)$.

Prove that $\exists \lambda \in \mathbf{F}, S = \lambda I \iff ST = TS$ for every $T \in \mathcal{L}(V)$.

SOLUTION: Using the notation and result in (o).

Suppose $S = \lambda I$. Then $ST = TS = \lambda T$ for every $T \in \mathcal{L}(V)$. Conversely, if $S = 0$, then we are done.

Suppose $S \neq 0, ST = TS, \forall T \in \mathcal{L}(V)$.

Let $S = E_{1,1} + \dots + E_{m,m} \Rightarrow \mathcal{M}(S, (v_1, \dots, v_1)) = \mathcal{M}(I, (w_1, \dots, w_n), (v_1, \dots, v_n))$.

Then $\forall k \in \{m+1, \dots, n\}, 0 \neq SR_{k,1} = R_{k,1}S$. Hence $n = \dim V = \dim \text{range } S = m$.

Note that $R_{i,j}S = SR_{i,j} \iff Q_{i,j} = G_{i,j}$. Thus $Q_{i,j}(w_i) = w_j = a_{i,i}v_j = G_{i,j}(a_{1,i}v_1 + \dots + a_{n,i}v_n)$. Where:

$$a_{i,j} = \mathcal{M}(I, (w_1, \dots, w_n), (v_1, \dots, v_n))_{i,j} \iff w_i = Iw_i = a_{1,i}v_1 + \dots + a_{n,i}v_n;$$

For each j , for all i . Thus $a_{i,i} = a_{k,k} = \lambda, \forall k \neq i$.

$$\text{Hence } w_i = \lambda v_i \Rightarrow \mathcal{M}(S) = \begin{pmatrix} \lambda & & 0 \\ & \ddots & \\ 0 & & \lambda \end{pmatrix} = \mathcal{M}(\lambda I, (v_1, \dots, v_n)) \Rightarrow S = \mathcal{M}^{-1}(\mathcal{M}(\lambda I)) = \lambda I. \quad \square$$

• OR(10.A.3) Suppose V is finite-dim and $T \in \mathcal{L}(V)$.

Prove that T has the same matrix with resp to every basis of V

if and only if T is a scalar multi of the identity operator.

SOLUTION: [Compare with the first solution of Problem (16) in (3.A)]

Suppose $T = \lambda I$ for some $\lambda \in \mathbb{F}$. Then T has the same matrix with resp to every basis of V .

Conversely, if $T = 0$, then we are done; Suppose $T \neq 0$. And v is a nonzero vec in V .

Assume that (v, Tv) is linely inde.

Extend (v, Tv) to a basis of V as (v, Tv, u_3, \dots, u_n) . Let $B = \mathcal{M}(T, (v, Tv, u_3, \dots, u_n))$.

$$\Rightarrow Tv = B_{1,1}v + B_{2,1}(Tv) + B_{3,1}u_3 + \dots + B_{n,1}u_n \Rightarrow B_{2,1} = 1, B_{i,1} = 0, \forall i \neq 2.$$

By assumption, $A = \mathcal{M}(T, (v, w_2, \dots, w_n)) = B$ for any basis (v, w_2, \dots, w_n) . Then $A_{2,1} = 1, A_{i,1} = 0 (\dots)$.

$\Rightarrow Tv = w_2$, which is not true if we let $w_2 = u_3, w_3 = Tv, w_j = u_j (j = 4, \dots, n)$. Contradicts.

Hence (v, Tv) is linely depe $\Rightarrow \forall v \in V, \exists \lambda_v \in \mathbb{F}, Tv = \lambda_v v$.

Now we show that λ_v is independent of v , that is,

to show that for any two nonzero distinct vecs $v, w \in V, \lambda_v = \lambda_w$. Thus $T = \lambda I, \exists \lambda \in \mathbb{F}$.

$$\left. \begin{aligned} (v, w) \text{ is linely inde} &\Rightarrow T(v+w) = \lambda_{v+w}(v+w) = \lambda_{v+w}v + \lambda_{v+w}w \\ &= \lambda_v v + \lambda_w w = Tv + Tw \Rightarrow \lambda_{v+w} = \lambda_v = \lambda_w \\ (v, w) \text{ is linely depe, } w = cv &\Rightarrow Tw = \lambda_w w = \lambda_w cv = c\lambda_v v = T(cv) \Rightarrow \lambda_v = \lambda_w \end{aligned} \right\} \Rightarrow \square$$

OR. Conversely, denote $\mathcal{M}(T, (u_1, \dots, u_m))$ by A , where the basis (u_1, \dots, u_m) is arbitrary.

Fix one basis (v_1, \dots, v_m) and then $(v_1, \dots, \frac{1}{2}v_k, \dots, v_m)$ is also a basis for any given $k \in \{1, \dots, m\}$.

Fix one k . Now we have $T(\frac{1}{2}v_k) = A_{1,k}v_1 + \dots + A_{k,k}(\frac{1}{2}v_k) + \dots + A_{m,k}v_m$

$$\Rightarrow Tv_k = 2A_{1,k}v_1 + \dots + A_{k,k}v_k + \dots + 2A_{m,k}v_m = A_{1,k}v_1 + \dots + A_{k,k}v_k + \dots + A_{m,k}v_m.$$

Then $A_{j,k} = 2A_{j,k} \Rightarrow A_{j,k} = 0$ for all $j \neq k$. Thus $Tv_k = A_{k,k}v_k, \forall k \in \{1, \dots, m\}$.

Now we show that $A_{k,k} = A_{j,j}$ for all $j \neq k$. Choose j, k such that $j \neq k$.

Consider the basis $(v'_1, \dots, v'_j, \dots, v'_k, \dots, v'_m)$,

where $v'_j = v_k, v'_k = v_j$ and $v'_i = v_i$ for all $i \in \{1, \dots, m\} \setminus \{j, k\}$.

Remember that $\mathcal{M}(T, (v'_1, \dots, v'_m)) = \mathcal{M}(T, (v_1, \dots, v_m)) = A$.

Hence $T(v'_k) = A_{1,k}v'_1 + \dots + A_{k,k}v'_k + \dots + A_{m,k}v'_m = A_{k,k}v'_k = A_{k,k}v_j$, while $T(v'_j) = T(v_k) = A_{j,j}v_j$.

Thus $A_{k,k} = A_{j,j}$. □

17 Suppose V is finite-dim. Show that the only two-sided ideals of $\mathcal{L}(V)$ are $\{0\}$ and $\mathcal{L}(V)$.

A subsp \mathcal{E} of $\mathcal{L}(V)$ is called a two-sided ideal of $\mathcal{L}(V)$ if $TE \in \mathcal{E}, ET \in \mathcal{E}, \forall E \in \mathcal{E}, T \in \mathcal{L}(V)$.

SOLUTION: Using NOTE FOR[3.60]. Let (v_1, \dots, v_n) be a basis of V . If $\mathcal{E} = \{0\}$, then we are done.

Suppose $\mathcal{E} \neq \{0\}$ and \mathcal{E} is a two-sided ideal of $\mathcal{L}(V)$.

Then for any $E_{i,j} \in \mathcal{E}, (\forall x, y = 1, \dots, n)$, by assumption, $E_{j,x}E_{i,j} = E_{i,x} \in \mathcal{E}, E_{i,j}E_{y,i} = E_{y,j} \in \mathcal{E}$.

Again, $E_{y,x'}, E_{y',x} \in \mathcal{E}$ for all $x', y', x, y = 1, \dots, n$. Thus $\mathcal{E} = \mathcal{L}(V)$. □

18 Show that V and $\mathcal{L}(\mathbf{F}, V)$ are iso vecsps.

SOLUTION:

Define $\Psi \in \mathcal{L}(V, \mathcal{L}(\mathbf{F}, V))$ by $\Psi(v) = \Psi_v$; where $\Psi_v \in \mathcal{L}(\mathbf{F}, V)$ and $\Psi_v(\lambda) = \lambda v$.

(a) $\Psi(v) = \Psi_v = 0 \Rightarrow \forall \lambda \in \mathbf{F}, \Psi_v(\lambda) = \lambda v = 0 \Rightarrow v = 0$. Hence Ψ is inje.

(b) $\forall T \in \mathcal{L}(\mathbf{F}, V)$, let $v = T(1) \Rightarrow T(\lambda) = \lambda v = \Psi_v(\lambda), \forall \lambda \in \mathbf{F} \Rightarrow T = \Psi(T(1))$. Hence Ψ is surj. □

OR. Define $\Phi \in \mathcal{L}(\mathcal{L}(\mathbf{F}, V), V)$ by $\Phi(T) = T(1)$.

(a) Suppose $\Phi(T) = 0 = T(1) = \lambda T(1) = T(\lambda), \forall \lambda \in \mathbf{F} \Rightarrow T = 0$. Thus Φ is inje.

(b) For any $v \in V$, define $T \in \mathcal{L}(\mathbf{F}, V)$ by $T(\lambda) = \lambda v$. Then $\Phi(T) = T(1) = v$. Thus Φ is surj. □

COMMENT: $\Phi = \Psi^{-1}$.

• Suppose $q \in \mathcal{P}(\mathbf{R})$. Prove that $\exists p \in \mathcal{P}(\mathbf{R}), q(x) = (x^2 + x)p''(x) + 2xp'(x) + p(3), \forall x \in \mathbf{R}$.

SOLUTION:

Note that $\deg[(x^2 + x)p''(x) + 2xp'(x) + p(3)] = \deg p$.

Define $T_n : \mathcal{P}_n(\mathbf{R}) \rightarrow \mathcal{P}_n(\mathbf{R})$ by $T_n(p) = (x^2 + x)p''(x) + 2xp'(x) + p(3)$.

As can be easily checked, T_n is an operator.

Because $\deg(T_n p) = \deg p$. If $T_n(p) = 0 \Rightarrow \deg(T_n p) = -\infty$, then $\deg p = -\infty \Rightarrow p = 0$.

Hence T_n is inje and therefore is surj.

For all $q \in \mathcal{P}(\mathbf{R})$, if $q = 0$, let $m = 0$; if $q \neq 0$, let $m = \deg q$. We have $q \in \mathcal{P}_m(\mathbf{R})$.

Hence $\exists p \in \mathcal{P}_m(\mathbf{R}), q(x) = T_m(p) = (x^2 + x)p''(x) + 2xp'(x) + p(3)$ for all $x \in \mathbf{R}$.

19 Suppose $T \in \mathcal{L}(\mathcal{P}(\mathbf{R}))$ is inje. $\deg Tp \leq \deg p$ for every nonzero $p \in \mathcal{P}(\mathbf{R})$.

(a) Prove that T is surj.

(b) Prove that for every nonzero p , $\deg Tp = \deg p$.

SOLUTION:

(a) T is inje $\iff T|_{\mathcal{P}_n(\mathbf{R})} : \mathcal{P}_n(\mathbf{R}) \rightarrow \mathcal{P}_n(\mathbf{R})$ is inje for any $n \in \mathbf{N}^+$

$\iff T|_{\mathcal{P}_n(\mathbf{R})}$ is surj for any $n \in \mathbf{N}^+ \iff T$ is surj.

(b) Using mathematical induction.

(i) $\deg p = 0 \Rightarrow p = C \Rightarrow \deg Tp = \deg p = 0$.

$\deg p = -\infty \Rightarrow p = 0 \Rightarrow \deg Tp = \deg p = -\infty$.

(ii) Suppose $\deg f = \deg Tf$ for all $f \in \mathcal{P}_n(\mathbf{R})$. Then suppose $\deg g = n + 1, g \in \mathcal{P}_{n+1}(\mathbf{R})$.

Assume that $\deg Tg < \deg g$ ($\Rightarrow \deg Tg \leq n, Tg \in \mathcal{P}_n(\mathbf{R})$).

Then by (a), $\exists f \in \mathcal{P}_n(\mathbf{R}), T(f) = (Tg)$. $\text{As } T \text{ is inje} \Rightarrow f = g$.

While $\deg f = \deg Tf = \deg Tg < \deg g$. Contradicts the assumption.

Hence $\deg Tp = \deg p$ for all $p \in \mathcal{P}_{n+1}(\mathbf{R})$.

Thus $\deg Tp = \deg p$ for all $p \in \mathcal{P}(\mathbf{R})$. □

• Suppose $T \in \mathcal{L}(V)$ and (v_1, \dots, v_m) is a list in V such that (Tv_1, \dots, Tv_m) spans V . Prove that (v_1, \dots, v_m) spans V .

SOLUTION:

Because $V = \text{span}(Tv_1, \dots, Tv_m) \Rightarrow T$ is surj, $\text{As } V \text{ is finite-dim} \Rightarrow T \text{ is inv} \Rightarrow T^{-1} \text{ is inv}$.

$\forall v \in V, \exists a_i \in \mathbf{F}, v = a_1 Tv_1 + \dots + a_n Tv_n \Rightarrow T^{-1}v = a_1 v_1 + \dots + a_n v_n \Rightarrow \text{range } T^{-1} \subseteq \text{span}(v_1, \dots, v_n)$. □

OR. Reduce (Tv_1, \dots, Tv_n) to a basis of V as $(Tv_{\alpha_1}, \dots, Tv_{\alpha_m})$, where $m = \dim V$ and $\alpha_i \in \{1, \dots, m\}$.
Then $(v_{\alpha_1}, \dots, v_{\alpha_m})$ is linearly inde of length m , therefore is a basis of V , contained in the list (v_1, \dots, v_m) . \square

2 Suppose V is finite-dim and $\dim V > 1$.

Prove that the set of non-inv operators on V is not a subsp of $\mathcal{L}(V)$.

SOLUTION: Denote the set by U .

Suppose $\dim V = n > 1$. Let (v_1, \dots, v_n) be a basis of V .

Define $S, T \in \mathcal{L}(V)$ by $S(a_1v_1 + \dots + a_nv_n) = a_1v_1$ and $T(a_1v_1 + \dots + a_nv_n) = a_2v_1 + \dots + a_nv_n$.

Hence $S + T = I$ is inv.

Thus U is not closed under add and therefore is not a subsp. \square

COMMENT: If $\dim V = 1$, then $U = \{0\}$ is a subsp of $\mathcal{L}(V)$.

3 Suppose V is finite-dim, U is a subsp of V , and $S \in \mathcal{L}(U, V)$.

Prove that there exists an inv $T \in \mathcal{L}(V, V)$ such that

$Tu = Su$ for every $u \in U$ if and only if S is inje. [Compare this with (3.A.11).]

SOLUTION:

(a) $Tu = Su$ for every $u \in U \Rightarrow u = T^{-1}Su \Rightarrow S$ is inje. OR. $\text{null } S = \text{null } T \cap U = \{0\} \cap U = \{0\}$.

(b) Suppose (u_1, \dots, u_m) be a basis of U and S is inje $\Rightarrow (Su_1, \dots, Su_m)$ is linearly inde in V .

Extend these to bases of V as $(u_1, \dots, u_m, v_1, \dots, v_n)$ and $(Su_1, \dots, Su_m, w_1, \dots, w_n)$.

Define $T \in \mathcal{L}(V)$ by $T(u_i) = Su_i$; $Tv_j = w_j$, for each $i \in \{1, \dots, m\}, j \in \{1, \dots, n\}$.

4 Suppose that W is finite-dim and $S, T \in \mathcal{L}(V, W)$.

Prove that $\text{null } S = \text{null } T (= U) \iff S = ET, \exists \text{ inv } E \in \mathcal{L}(W)$.

SOLUTION:

Define $E \in \mathcal{L}(W)$ by $E(Tv_i) = Sv_i$, $E(w_j) = x_j$, for each $i \in \{1, \dots, m\}, j \in \{1, \dots, n\}$. Where:

| | |
|--|---|
| <p>Let (Tv_1, \dots, Tv_m) be a basis of range T, extend it to a basis of W as $(Tv_1, \dots, Tv_m, w_1, \dots, w_n)$.</p> <p>Let (u_1, \dots, u_n) be a basis of U. Then by (3.B.TIPS), $(v_1, \dots, v_m, u_1, \dots, u_n)$ is a basis of V.</p> <p>$\text{null } S = \text{null } T \Rightarrow V = \text{span}(v_1, \dots, v_m) \oplus \text{null } S \Rightarrow \text{span}(Sv_1, \dots, Sv_m) = \text{range } S$.</p> <p>And $\dim \text{range } T = \dim \text{range } S = \dim V - \text{null } U = m$. Hence (Sv_1, \dots, Sv_m) is a basis of range S.</p> <p>Thus we let $(Sv_1, \dots, Sv_m, x_1, \dots, x_n)$ be a basis of W.</p> | $\therefore E$ is inv and $S = ET$. |
|--|---|

Conversely, $S = ET \Rightarrow \text{null } S = \text{null } ET$.

Then $v \in \text{null } ET \iff ET(v) = 0 \iff Tv = 0 \iff v \in \text{null } T$. Hence $\text{null } ET = \text{null } T = \text{null } S$. \square

5 Suppose that W is finite-dim and $S, T \in \mathcal{L}(V, W)$.

Prove that $\text{range } S = \text{range } T (= R) \iff S = TE, \exists \text{ inv } E \in \mathcal{L}(V)$.

SOLUTION:

Define $E \in \mathcal{L}(V)$ as $E: v_i \mapsto r_i; u_j \mapsto s_j$ for each $i \in \{1, \dots, m\}, j \in \{1, \dots, n\}$. Where:

| | |
|--|--------------------------------------|
| <p>Let (Tv_1, \dots, Tv_m) and (Sr_1, \dots, Sr_m) be bases of R such that $\forall i, Tv_i = Sr_i$.</p> <p>Let (u_1, \dots, u_n) and (s_1, \dots, s_n) be bases of $\text{null } T$ and $\text{null } S$ respectively.</p> <p>Thus $(v_1, \dots, v_m, u_1, \dots, u_n)$ and $(r_1, \dots, r_m, s_1, \dots, s_n)$ are bases of V.</p> | $\therefore E$ is inv and $S = TE$. |
|--|--------------------------------------|

Conversely, $S = TE \Rightarrow \text{range } S = \text{range } TE$.

Then $w \in \text{range } S \iff \exists v \in V, Sv = TE(v) = T(E(v)) = w \in \text{range } T$. Hence $\text{range } S = \text{range } T$. \square

6 Suppose V and W are finite-dim and $S, T \in \mathcal{L}(V, W)$. [dim null S = dim null T = n]

Prove that $S = E_2TE_1$, \exists inv $E_1 \in \mathcal{L}(V), E_2 \in \mathcal{L}(W) \iff \dim \text{null } S = \dim \text{null } T$.

SOLUTION:

Define $E_1 : v_i \mapsto r_i ; u_j \mapsto s_j$ for each $i \in \{1, \dots, m\}, j \in \{1, \dots, n\}$.

Define $E_2 : Tv_i \mapsto Sr_i ; x_j \mapsto y_j$ for each $i \in \{1, \dots, m\}, j \in \{1, \dots, n\}$. Where:

| | |
|---|---|
| <p>Let (Tv_1, \dots, Tv_m) and (Sr_1, \dots, Sr_m) be bases of range T and range S.</p> <p>Let (u_1, \dots, u_n) and (s_1, \dots, s_n) be bases of null T and null S respectively.</p> <p>Thus $(v_1, \dots, v_m, u_1, \dots, u_n)$ and $(r_1, \dots, r_m, s_1, \dots, s_n)$ are bases of V.</p> <p>Extend (Tv_1, \dots, Tv_m) and (Sr_1, \dots, Sr_m) to bases of W as $(Tv_1, \dots, Tv_m, x_1, \dots, x_p)$ and $(Sr_1, \dots, Sr_m, y_1, \dots, y_p)$.</p> | $\therefore E_1, E_2$ are inv and $S = E_2TE_1$. |
|---|---|

Conversely, $S = E_2TE_1 \Rightarrow \dim \text{null } S = \dim \text{null } E_2TE_1$.

$v \in \text{null } E_2TE_1 \iff E_2TE_1(v) = 0 \iff TE_1(v) = 0$. Hence $\text{null } E_2TE_1 = \text{null } TE_1 = \text{null } S$.

又 By (3.B.22.COROLLARY), E is inv $\Rightarrow \dim \text{null } TE_1 = \dim \text{null } T = \dim \text{null } S$. □

8 Suppose V is finite-dim and $T : V \rightarrow W$ is a surj linear map of V onto W .

Prove that there is a subsp U of V such that $T|_U$ is an iso of U onto W .

$T|_U$ is the function whose domain is U , with $T|_U$ defined by $T|_U(u) = Tu$ for every $u \in U$.

SOLUTION:

T is surj $\Rightarrow \text{range } T = W \Rightarrow \dim \text{range } T = \dim W = \dim V - \dim \text{null } T$.

Let (w_1, \dots, w_m) be a basis of range $T = W \Rightarrow \forall w_i, \exists ! v_i \in V, Tv_i = w_i$.

$\Rightarrow (v_1, \dots, v_m)$ is a basis of \mathcal{K} . Thus $\dim \mathcal{K} = \dim W$.

Thus $T|_{\mathcal{K}}$ maps a basis of \mathcal{K} to a basis of range $T = W$. Denote \mathcal{K} by U . □

OR. By Problem (12) in (3.B), there is a subsp U of V such that

$U \cap \text{null } T = \{0\} = \text{null } T|_U, \text{range } T = \{Tu : u \in U\} = \text{range } T|_U$. □

• Suppose V and W are finite-dim and U is a subsp of V .

Let $\mathcal{E} = \{T \in \mathcal{L}(V, W) : U \subseteq \text{null } T\}$.

(a) Show that \mathcal{E} is a subsp of $\mathcal{L}(V, W)$.

(b) Find a formula for $\dim \mathcal{E}$ in terms of $\dim V$, $\dim W$ and $\dim U$.

Hint: Define $\Phi : \mathcal{L}(V, W) \rightarrow \mathcal{L}(U, W)$ by $\Phi(T) = T|_U$. What is $\text{null } \Phi$? What is $\text{range } \Phi$?

SOLUTION:

(a) $\forall S, T \in \mathcal{E}, \lambda \in \mathbb{F}, \forall u \in U, Su = \lambda Tu = (S + \lambda T)u = 0 \Rightarrow (S + \lambda T) \in \mathcal{E}$.

(b) Define Φ as in the hint.

Because $T \in \text{null } \Phi \iff \Phi(T) = 0 \iff \forall u \in U, Tu = 0 \iff T \in \mathcal{E}$.

Hence $\text{null } \Phi = \mathcal{E}$.

Because $S \in \mathcal{L}(U, W) \Rightarrow \exists T \in \mathcal{L}(V, W), \Phi(T) = S$, by (3.B.11) $\Rightarrow S \in \text{range } \Phi$.

Hence $\text{range } \Phi = \mathcal{L}(U, W)$.

Thus $\dim \text{null } \Phi = \dim \mathcal{E} = \dim \mathcal{L}(V, W) - \dim \text{range } \Phi = (\dim V - \dim U) \dim W$. □

OR. Extend (u_1, \dots, u_m) a basis of U to $(u_1, \dots, u_m, v_1, \dots, v_n)$ a basis of V . Let $p = \dim W$.

(See NOTE FOR[3.60])

$$\forall T \in \mathcal{E}, k \in \{1, \dots, m\}, TE_{k,k} = 0 \Rightarrow \text{span} \underbrace{\begin{Bmatrix} E_{1,1} & \cdots & E_{m,1} \\ \vdots & \ddots & \vdots \\ E_{1,p} & \cdots & E_{m,p} \end{Bmatrix}}_{\text{Denote it by } R} \cap \mathcal{E} = \{0\}.$$

$$\text{又 } W = \text{span} \begin{Bmatrix} E_{m+1,1} & \cdots & E_{n,1} \\ \vdots & \ddots & \vdots \\ E_{m+1,p} & \cdots & E_{n,p} \end{Bmatrix} \subseteq \mathcal{E}. \text{ Where } \mathcal{L}(V, W) = R \oplus W \Rightarrow \mathcal{L}(V, W) = R + \mathcal{E}.$$

Then $\dim \mathcal{E} = \dim \mathcal{L}(V, W) - \dim R - \dim(R \cap \mathcal{E}) = (\dim V - \dim U) \dim W.$ □

ENDED

3.E

2 Suppose V_1, \dots, V_m are vecsps such that $V_1 \times \dots \times V_m$ is finite-dim. Prove that every V_j is finite-dim.

SOLUTION: Denote $V_1 \times \dots \times V_m$ by U . Denote $\{0\} \times \dots \times \{0\} \times V_i \times \{0\} \times \dots \times \{0\}$ by U_i .

Let (v_1, \dots, v_M) be a basis of U . Note that $\forall u_i \in V_i, u_i \in U_i \subseteq U$, for each i .

Define $R_i \in \mathcal{L}(V_i, U)$ by $R_i(u_i) = (0, \dots, 0, u_i, 0, \dots, 0).$
 Define $S_i \in \mathcal{L}(U, V_i)$ by $S_i(u_1, \dots, u_i, \dots, u_m) = u_i$
 $\left. \begin{array}{l} \text{Define } R_i \in \mathcal{L}(V_i, U) \text{ by } R_i(u_i) = (0, \dots, 0, u_i, 0, \dots, 0). \\ \text{Define } S_i \in \mathcal{L}(U, V_i) \text{ by } S_i(u_1, \dots, u_i, \dots, u_m) = u_i \end{array} \right\} \Rightarrow S_i|_{U_i} = R_i^{-1}|_{U_i}.$

Thus U_i and V_i are iso. $\text{又 } U_i$ is a subsp of a finite-dim vecsp U . □

3 Give an example of a vecsp V and its two subsp U_1, U_2 such that $U_1 \times U_2$ and $U_1 + U_2$ are iso but $U_1 + U_2$ is not a direct sum.

SOLUTION:

NOTE that at least one of U_1, U_2 must be infinite-dim.

For if not, $U_1 \times U_2$ is finite-dim and $\dim(U_1 \times U_2) = \dim(U_1 + U_2) = \dim U_1 + \dim U_2$.

And V must be infinite-dim. For if not, both U_1 and U_2 are finite-dim subsp.

Let $V = \mathbf{F}^\infty = U_1, U_2 = \{(x, 0, \dots) \in \mathbf{F}^\infty : x \in \mathbf{F}\}.$

Define $T \in \mathcal{L}(U_1 \times U_2, U_1 + U_2)$ by $T((x_1, x_2, \dots), (x, 0, \dots)) = (x, x_1, x_2, \dots)$
 Define $S \in \mathcal{L}(U_1 + U_2, U_1 \times U_2)$ by $S(x, x_1, x_2, \dots) = ((x_1, x_2, \dots), (x, 0, \dots))$
 $\left. \begin{array}{l} \text{Define } T \in \mathcal{L}(U_1 \times U_2, U_1 + U_2) \text{ by } T((x_1, x_2, \dots), (x, 0, \dots)) = (x, x_1, x_2, \dots) \\ \text{Define } S \in \mathcal{L}(U_1 + U_2, U_1 \times U_2) \text{ by } S(x, x_1, x_2, \dots) = ((x_1, x_2, \dots), (x, 0, \dots)) \end{array} \right\} \Rightarrow S = T^{-1}.$ □

4 Suppose V_1, \dots, V_m are vecsps.

Prove that $\mathcal{L}(V_1 \times \dots \times V_m, W)$ and $\mathcal{L}(V_1, W) \times \dots \times \mathcal{L}(V_m, W)$ are iso.

SOLUTION: Using the notations in Problem (2).

Note that $T(u_1, \dots, u_m) = T(u_1, 0, \dots, 0) + \dots + T(0, \dots, u_m).$

Define $\varphi : T \mapsto (T_1, \dots, T_m)$ by $\varphi(T) = (TR_1, \dots, TR_m).$

Define $\psi : (T_1, \dots, T_m) \mapsto T$ by $\psi(T_1, \dots, T_m) = T_1S_1 + \dots + T_mS_m.$
 $\left. \begin{array}{l} \text{Define } \varphi : T \mapsto (T_1, \dots, T_m) \text{ by } \varphi(T) = (TR_1, \dots, TR_m). \\ \text{Define } \psi : (T_1, \dots, T_m) \mapsto T \text{ by } \psi(T_1, \dots, T_m) = T_1S_1 + \dots + T_mS_m. \end{array} \right\} \Rightarrow \psi = \varphi^{-1}.$ □

5 Suppose W_1, \dots, W_m are vecsps.

Prove that $\mathcal{L}(V, W_1 \times \dots \times W_m)$ and $\mathcal{L}(V, W_1) \times \dots \times \mathcal{L}(V, W_m)$ are iso.

SOLUTION: Using the notations in Problem (2).

Note that $Tv = (w_1, \dots, w_m).$ Define $T_i \in \mathcal{L}(V, W_i)$ by $T_i(v) = w_i.$

Define $\varphi : T \mapsto (T_1, \dots, T_m)$ by $\varphi(T) = (S_1T, \dots, S_mT).$

Define $\psi : (T_1, \dots, T_m) \mapsto T$ by $\psi(T_1, \dots, T_m) = T_1S_1 + \dots + T_mS_m.$
 $\left. \begin{array}{l} \text{Define } \varphi : T \mapsto (T_1, \dots, T_m) \text{ by } \varphi(T) = (S_1T, \dots, S_mT). \\ \text{Define } \psi : (T_1, \dots, T_m) \mapsto T \text{ by } \psi(T_1, \dots, T_m) = T_1S_1 + \dots + T_mS_m. \end{array} \right\} \Rightarrow \psi = \varphi^{-1}.$ □

6 For $m \in \mathbf{N}^+$, define V^m by $\underbrace{V \times \dots \times V}_{m \text{ times}}$. Prove that V^m and $\mathcal{L}(\mathbf{F}^m, V)$ are iso.

SOLUTION:

Define $T : (v_1, \dots, v_m) \rightarrow \varphi$, where $\varphi : (a_1, \dots, a_m) \mapsto v$ is defined by $\varphi(a_1, \dots, a_m) = a_1 v_1 + \dots + a_m v_m$.

(a) Suppose $T(v_1, \dots, v_m) = 0$. Then $\forall (a_1, \dots, a_m) \in \mathbf{F}^m$, $\varphi(a_1, \dots, a_m) = a_1 v_1 + \dots + a_m v_m = 0$

$\Rightarrow (v_1, \dots, v_m) = 0 \Rightarrow T$ is inje.

(b) Suppose $\psi \in \mathcal{L}(\mathbf{F}^m, V)$. Let (e_1, \dots, e_m) be the standard basis of \mathbf{F}^m . Then $\forall (b_1, \dots, b_m) \in \mathbf{F}^m$,

$[T(\psi(e_1), \dots, \psi(e_m))](b_1, \dots, b_m) = b_1 \psi(e_1) + \dots + b_m \psi(e_m) = \psi(b_1 e_1 + \dots + b_m e_m) = \psi(b_1, \dots, b_m)$.

Thus $T(\psi(e_1), \dots, \psi(e_m)) = \psi$. Hence T is surj. \square

7 Suppose $v, x \in V$ (arbitrary) and U and W are subsp of V .

Suppose $v + U = x + W$. Prove that $U = W$.

SOLUTION:

(a) $\forall u \in U, \exists w \in W, v + u = x + w$, let $u = 0$, now $v = x + w \Rightarrow v - x \in W$.

(b) $\forall w \in W, \exists u \in U, v + u = x + w$, let $w = 0$, now $x = v + u \Rightarrow x - v \in U$.

Thus $\pm(v - x) \in U \cap W \Rightarrow \begin{cases} u = (x - v) + w \in W \Rightarrow U \subseteq W \\ w = (v - x) + u \in U \Rightarrow W \subseteq U \end{cases} \Rightarrow U = W.$ \square

• Let $U = \{(x, y, z) \in \mathbf{R}^3 : 2x + 3y + 5z = 0\}$. Suppose $A \subseteq \mathbf{R}^3$.

Prove that A is a translate of $U \iff \exists c \in \mathbf{R}, A = \{(x, y, z) \in \mathbf{R}^3 : 2x + 3y + 5z = c\}$.

[Do it in your mind.]

• Suppose $T \in \mathcal{L}(V, W)$ and $c \in W$. Prove that $U = \{x \in V : Tx = c\}$ is either \emptyset or is a translate of $\text{null } T$.

SOLUTION:

If $c \in W$ but $c \notin \text{range } T$, then $U = \emptyset$ and we are done.

Suppose $c \in \text{range } T$, then $\exists u \in V, Tu = c \Rightarrow u \in U$.

Suppose $y \in \text{null } T \Rightarrow y + u \in U \iff T(y + u) = Ty + c = c$.

Thus $u + \text{null } T \subseteq U$. Hence $u + \text{null } T = U$,

for if not, suppose $z \notin u + \text{null } T$ but $Tz = c (\iff z \in U)$,

then $\forall w \in \text{null } T, z \neq u + w \iff z - u \notin \text{null } T$.

又 $\tilde{T}(z + \text{null } T) = \tilde{T}(u + \text{null } T) \Rightarrow z + \text{null } T = u + \text{null } T \Rightarrow z - u \in \text{null } T$, contradicts. \square

COROLLARY: The set of solutions to a system of linear equations such as [3.28] is either \emptyset or a translate of the null subsp.

8 Suppose A is a nonempty subset of V .

Prove that A is a translate of some subsp of $V \iff \lambda v + (1 - \lambda)w \in A, \forall v, w \in A, \lambda \in \mathbf{F}$.

SOLUTION:

Suppose $A = a + U$, where U is a subsp of V . $\forall a + u_1, a + u_2 \in A, \lambda \in \mathbf{F}$,

$\lambda(a + u_1) + (1 - \lambda)(a + u_2) = a + [\lambda(u_1 - u_2) + u_2] \in A$.

Suppose $\lambda v + (1 - \lambda)w \in A, \forall v, w \in A, \lambda \in \mathbf{F}$. Suppose $a \in A$ and let $A' = \{x - a : x \in A\}$.

Then $\forall x - a, y - a \in A', \lambda \in \mathbf{F}$,

(I) $\lambda(x - a) = [\lambda x + (1 - \lambda)a] - a \in A'$. Then let $\lambda = 2$.

(II) $\lambda(x - a) + (1 - \lambda)(y - a) = \frac{1}{2}(x - a) + \frac{1}{2}(y - a) = \frac{1}{2}x + (1 - \frac{1}{2})(y) - a \in A'$.

By (I), $2 \times [\frac{1}{2}(x - a) + \frac{1}{2}(y - a)] = (x - a) + (y - a) \in A'$.

Thus A' is a subsp of V . Hence $a + A' = \{(x - a) + a : x \in A\} = A$ is a translate. \square

9 Suppose $A_1 = v + U_1$ and $A_2 = w + U_2$ for some $v, w \in V$ and some subsp U_1, U_2 of V . Prove that the intersection $A_1 \cap A_2$ is either a translate of some subsp of V or is \emptyset .

SOLUTION:

Suppose $v + u_1, w + u_2 \in A_1 \cap A_2 \neq \emptyset$. By Problem (8),

$\forall \lambda \in \mathbf{F}, \lambda(v + u_1) + (1 - \lambda)(w + u_2) \in A_1$ and A_2 . Thus $A_1 \cap A_2$ is a translate of some subsp of V . \square

10 Prove that the intersection of any collection of translates of subsp of V is either a translate of some subsp or \emptyset .

SOLUTION:

Suppose $\{A_\alpha\}_{\alpha \in \Gamma}$ is a collection of translates of subsp of V , where Γ is an arbitrary index set.

Suppose $x, y \in \bigcap_{\alpha \in \Gamma} A_\alpha \neq \emptyset$, then by Problem (18), $\forall \lambda \in \mathbf{F}, \lambda x + (1 - \lambda)y \in A_\alpha$ for every $\alpha \in \Gamma$.

Thus $\bigcap_{\alpha \in \Gamma} A_\alpha$ is a translate of some subsp of V . \square

11 Suppose $A = \left\{ \lambda_1 v_1 + \cdots + \lambda_m v_m : \sum_{i=1}^m \lambda_i = 1 \right\}$, where each $v_i \in V, \lambda_i \in \mathbf{F}$.

(a) Prove that A is a translate of some subsp of V

(b) Prove that if B is a translate of some subsp of V and $\{v_1, \dots, v_m\} \subseteq B$, then $A \subseteq B$.

(c) Prove that A is a translate of some subsp of V and $\dim V < m$.

SOLUTION:

(a) By Problem (8), $\forall u, w \in A, \lambda \in \mathbf{F}, \exists a_i, b_i \in \mathbf{F}, \lambda u + (1 - \lambda)w = \left(\lambda \sum_{i=1}^m a_i + (1 - \lambda) \sum_{i=1}^m b_i \right) v_i \in A$. \square

(b) Let $v = \lambda_1 v_1 + \cdots + \lambda_m v_m \in A$. To show that $v \in B$, use induction on m by k .

(i) $k = 1, v = \lambda_1 v_1 \Rightarrow \lambda_1 = 1$. 又 $v_1 \in B$. Hence $v \in B$.

$k = 2, v = \lambda_1 v_1 + \lambda_2 v_2 \Rightarrow \lambda_2 = 1 - \lambda_1$. 又 $v_1, v_2 \in B$. By problem (8), $v \in B$.

(ii) $2 \leq k \leq m$, we assume that $v = \lambda_1 v_1 + \cdots + \lambda_k v_k \in A \subseteq B$. ($\forall \lambda_i$ such that $\sum_{i=1}^k \lambda_i = 1$)

For $u = \mu_1 v_1 + \cdots + \mu_k v_k + \mu_{k+1} v_{k+1} \in A$. $\forall i = 1, \dots, k, \exists \mu_i \neq 1$, fix one such i by ι .

Then $\sum_{i=1}^{k+1} \mu_i - \mu_\iota = 1 - \mu_\iota \Rightarrow \left(\sum_{i=1}^{k+1} \frac{\mu_i}{1 - \mu_\iota} \right) - \frac{\mu_\iota}{1 - \mu_\iota} = 1$.

Let $w = \underbrace{\frac{\mu_1}{1 - \mu_\iota} v_1 + \cdots + \frac{\mu_{\iota-1}}{1 - \mu_\iota} v_{\iota-1} + \frac{\mu_{\iota+1}}{1 - \mu_\iota} v_{\iota+1} + \cdots + \frac{\mu_{k+1}}{1 - \mu_\iota} v_{k+1}}_{k \text{ terms}}$.

Let $\lambda_i = \frac{\mu_i}{1 - \mu_\iota}$ for $i = 1, \dots, \iota - 1$; $\lambda_j = \frac{\mu_{j+1}}{1 - \mu_\iota}$ for $j = \iota, \dots, k$. Then,

$\left. \begin{array}{l} \sum_{i=1}^k \lambda_i = 1 \Rightarrow w \in B \\ v_\iota \in B \Rightarrow u' = \lambda w + (1 - \lambda)v_\iota \in B \end{array} \right\} \Rightarrow \text{Let } \lambda = 1 - \mu_\iota. \text{ Thus } u' = u \in B \Rightarrow A \subseteq B$. \square

(c) Fix a $k \in \{1, \dots, m\}$. Given $\lambda_i \in \mathbf{F} (i \in \{1, \dots, m\} \setminus \{k\})$.

Let $\lambda_k = 1 - \lambda_1 - \cdots - \lambda_{k-1} - \lambda_{k+1} - \cdots - \lambda_m$

Then $\lambda_1 v_1 + \cdots + \lambda_k v_k + \cdots + \lambda_m v_m = v_k + \sum_{i=1}^m \lambda_i (v_i - v_k)$.

Thus $A = v_k + \text{span}(v_1 - v_k, \dots, v_{k-1} - v_k, v_{k+1} - v_k, \dots, v_m - v_k)$. \square

12 Suppose U is a subsp of V such that V/U is finite-dim.

Prove that V is iso to $U \times (V/U)$.

SOLUTION:

Let $(v_1 + U, \dots, v_n + U)$ be a basis of V/U . Note that

$\forall v \in V, \exists ! a_1, \dots, a_n \in \mathbf{F}, v + U = \sum_{i=1}^n a_i (v_i + U) = \left(\sum_{i=1}^n a_i v_i \right) + U$

$$\Rightarrow (v - a_1v_1 - \dots - a_nv_n) = u \in U \text{ for some } u; v = \sum_{i=1}^n a_iv_i + u.$$

Thus define $\varphi \in \mathcal{L}(V, U \times (V/U))$ by $\varphi(v) = (u, \sum_{i=1}^n a_iv_i + U)$

and $\psi \in \mathcal{L}(U \times (V/U), V)$ by $\psi(u, w + U) = u + w; w = \sum_{i=1}^n b_iv_i + U.$

So that $\psi = \varphi^{-1}$. □

• Suppose $V = U \oplus W$, (w_1, \dots, w_m) is a basis of W .

Prove that $(w_1 + U, \dots, w_m + U)$ is a basis of V/U .

SOLUTION:

Note that $\forall v \in V, \exists! u \in U, w \in W, v = u + w$ 又 $\exists! c_i \in \mathbf{F}$ such that $w = \sum_{i=1}^m c_iw_i \Rightarrow v = u + \sum_{i=1}^m c_iw_i.$

Thus $v + U = \sum_{i=1}^m c_iw_i + U \Rightarrow v + U \in \text{span}(w_1 + U, \dots, w_m + U) \Rightarrow V/U \subseteq \text{span}(w_1 + U, \dots, w_m + U).$

Now suppose $a_1(w_1 + U) + \dots + a_m(w_m + U) = 0 + U \Rightarrow \sum_{i=1}^m a_iw_i \in U$ while $U \cap W = \{0\}.$

Then $\sum_{i=1}^m a_iw_i = 0 \Rightarrow a_1 = \dots = a_m = 0.$ □

13 Suppose $(v_1 + U, \dots, v_m + U)$ is a basis of V/U and (u_1, \dots, u_n) is a basis of U .

Prove that $(v_1, \dots, v_m, u_1, \dots, u_n)$ is a basis of V .

SOLUTION:

By Problem (12), U and V/U are finite-dim $\Rightarrow U \times (V/U)$ is finite-dim, so is V .

$\dim V = \dim(U \times (V/U)) = \dim U + \dim V/U = m + n.$

OR. Note that $\forall v \in V, v + U = \sum_{i=1}^m a_iv_i + U, \exists! a_i \in \mathbf{F} \Rightarrow U \ni v - \sum_{i=1}^m a_iv_i = \sum_{i=1}^m b_iv_i, \exists! b_i \in \mathbf{F}.$
 $\Rightarrow v \in \text{span}(v_1, \dots, v_m, u_1, \dots, u_n).$

又 Notice that $(\sum_{i=1}^m a_iv_i) + U = 0 + U (\Leftrightarrow \sum_{i=1}^m a_iv_i \in U) \Leftrightarrow a_1 = \dots = a_m = 0.$

Hence $\text{span}(v_1, \dots, v_m) \cap U = \{0\} \Rightarrow \text{span}(v_1, \dots, v_m) \oplus U = V$

Thus $(v_1, \dots, v_m, u_1, \dots, u_n)$ is linely inde, so is a basis of V . □

14 Suppose $U = \{(x_1, x_2, \dots) \in \mathbf{F}^\infty : x_k \neq 0 \text{ for only finitely many } k\}.$

(a) Show that U is a subsp of \mathbf{F}^∞ . [Do it in your mind]

(b) Prove that \mathbf{F}^∞/U is infinite-dim.

SOLUTION:

For $u = (x_1, \dots, x_p, \dots) \in \mathbf{F}^\infty$, denote x_p by $u[p]$. For each $r \in \mathbf{N}^+.$

Define $e_r[p] = \begin{cases} 1, & (p-1) \equiv 0 \pmod{r} \\ 0, & \text{otherwise} \end{cases}$, simply $e_r = (1, \underbrace{0, \dots, 0}_{(p-1) \text{ times}}, 1, \underbrace{0, \dots, 0}_{(p-1) \text{ times}}, 1, \dots) \in \mathbf{F}^\infty.$

Choose $m \in \mathbf{N}^+$ arbitrarily.

Suppose $a_1(e_1 + U) + \dots + a_m(e_m + U) = (a_1e_1 + \dots + a_me_m) + U = 0 + U = 0.$

$\Rightarrow a_1e_1 + \dots + a_me_m = u$ for some $u \in U$.

Then suppose $u = (x_1, \dots, x_t, 0, \dots) \Rightarrow u[t+i] = 0, \forall i \in \mathbf{N}^+.$

then let $j = s \cdot m! + 1 \geq t$ ($\exists s \in \mathbf{N}^+$) so that $e_1[j] = \dots = e_m[j] = 1, u[j+i] = 0.$

Now we have: $u[j+i] = (\sum_{r=1}^m a_re_r)[j+i] = \sum_{r=1}^m a_re_r[s \cdot m! + 1 + i] = a_{i_1} + \dots + a_{i_{\tau(i)}} = 0,$

$\Rightarrow (\sum_{r=1}^m a_re_r)[j+i] = a_{i_1} + \dots + a_{i_{\tau(i)}} = 0. (\Delta)$

where $i_1, \dots, i_{\tau(i)}$ are distinct ordered factors of i ($1 = i_1 \leq \dots \leq i_{\tau(i)} = i$).

(Note that by definition, $e_r[s \cdot m! + 1 + i] = 1 \Leftrightarrow s \cdot m! + i \equiv i \equiv 0 \pmod{r} \Leftrightarrow r \mid i.)$

Let $i' = i_{\tau(i)-1}$. Notice that $i'_l = i_l, \forall l \in \{1, \dots, \tau(i')\}$; and $\tau(i') = \tau(i) - 1$.

Again by (Δ) , $(\sum_{r=1}^m a_r e_r)[j + i'] = a_{i'_1} + \dots + a_{i'_{\tau(i')}} = a_{i_1} + \dots + a_{i_{\tau(i)-1}} = 0$.

Thus $a_{i_{\tau(i)}} = a_i = 0$ for any $i \in \{1, \dots, m\}$.

Hence (e_1, \dots, e_m) is linely inde in \mathbf{F}^∞ , so is (e_1, \dots, e_m, \dots) , since $m \in \mathbf{N}^+$.

又 $e_i \notin U \Rightarrow (e_1 + U, e_2 + U, \dots)$ is linely inde in \mathbf{F}^∞/U . By [2.B.14]. □

15 Suppose $\varphi \in \mathcal{L}(V, \mathbf{F}) \setminus \{0\}$. Prove that $\dim V/(\text{null } \varphi) = 1$.

SOLUTION: By [3.91] (d), $\dim \text{range } \varphi = 1 = \dim V/(\text{null } \varphi)$. □

• **NOTE FOR [3.88, 3.90, 3.91]:**

For any $W \in \mathcal{S}_V U$, because $V = U \oplus W$. $\forall v \in V, \exists! u_v \in U, w_v \in W, v = u_v + w_v$.

Define $T \in \mathcal{L}(V, W)$ by $T(v) = w_v$. Hence $\text{null } T = U$, $\text{range } T = W$.

Then $\tilde{T} \in \mathcal{L}(V/\text{null } T, W)$ is defined as $\tilde{T}(v + U) = T v = w_v$.

Thus \tilde{T} is inje (by [3.91(b)]) and surj ($\text{range } \tilde{T} = \text{range } T = W$),

and therefore is an iso. We conclude that V/U and W , namely any vecsp in \mathcal{S}_V , are iso.

16 Suppose $\dim V/U = 1$. Prove that $\exists \varphi \in \mathcal{L}(V, \mathbf{F})$ such that $\text{null } \varphi = U$.

SOLUTION:

Suppose V_0 is a subsp of V such that $V = U \oplus V_0$. Then V_0 and V/U are iso. $\dim V_0 = 1$.

Define a linear map $\varphi : v \mapsto \lambda$ by $\varphi(v_0) = 1, \varphi(u) = 0$, where $v_0 \in V_0, u \in U$. □

17 Suppose V/U is finite-dim. W is a subsp of V .

(a) Show that if $V = U + W$, then $\dim W \geq \dim V/U$.

(b) Suppose $\dim W = \dim V/U$ and $V = U \oplus W$. Find such W .

SOLUTION: Let (w_1, \dots, w_n) be a basis of W

(a) $\forall v \in V, \exists u \in U, w \in W$ such that $v = u + w \Rightarrow v + U = w + U$

Then $V/U \subseteq \text{span}(w_1 + U, \dots, w_n + U) \Rightarrow V/U = \text{span}(w_1 + U, \dots, w_n + U)$.

Hence $\dim V/U = \dim \text{span}(w_1 + U, \dots, w_n + U) \leq \dim W$.

(b) Let $W \in \mathcal{S}_V U$. In other words,

reduce $(w_1 + U, \dots, w_n + U)$ to a basis of V/U as $(w_1 + U, \dots, w_m + U)$ and let $W = \text{span}(w_1, \dots, w_m)$. □

18 Suppose $T \in \mathcal{L}(V, W)$ and U is a subsp of V . Let π denote the quotient map.

Prove that $\exists S \in \mathcal{L}(V/U, W)$ such that $T = S \circ \pi$ if and only if $U \subseteq \text{null } T$.

SOLUTION:

(a) Define $S \in \mathcal{L}(V/U, W)$ by $S(v + U) = T v$. We have to check it is well-defined.

Suppose $v_1 + U = v_2 + U$, while $v_1 \neq v_2$.

Then $(v_1 - v_2) \in U \Rightarrow S((v_1 - v_2) + U) = T(v_1 - v_2) = 0 \Rightarrow T v_1 = T v_2$. Checked.

(b) Suppose $\exists S \in \mathcal{L}(V/U, W), T = S \circ \pi$.

Then $\forall u \in U, T u = S \circ \pi(u) = S(0 + U) = 0 \Rightarrow U \subseteq \text{null } T$. □

20 Define $\Gamma : \mathcal{L}(V/U, W) \rightarrow \mathcal{L}(V, W)$ by $\Gamma(S) = S \circ \pi (= \pi'(S))$.

(a) Prove that Γ is linear: By [3.9] distr properties and [3.6].

(b) Prove that Γ is inje:

$\Gamma(S) = 0 = S \circ \pi \iff \forall v \in V, S(\pi(v)) = 0 \iff \forall v + U \in V/U, S(v + U) = 0 \iff S = 0$.

(c) Prove that $\text{range } \Gamma (= \text{range } \pi') = \{T \in \mathcal{L}(V, W) : U \subseteq \text{null } T\}$: By Problem (18). \square

ENDED

3.F

- By (18) in (3.D), $\varphi : V \rightarrow \mathcal{L}(\mathbf{F}, V)$ is an iso. Now we prove that (v_1, \dots, v_m) is linely inde $\iff (\varphi(v_1), \dots, \varphi(v_m))$ is linely inde.

SOLUTION:

(a) Suppose (v_1, \dots, v_m) is linely inde and $\vartheta \in \text{span } (\varphi(v_1), \dots, \varphi(v_m))$.

Let $\vartheta = 0 = a_1\varphi(v_1) + \dots + a_m\varphi(v_m)$. Then $\vartheta(1) = 0 = a_1v_1 + \dots + a_mv_m \Rightarrow a_1 = \dots = a_m = 0$.

OR. Because φ is inje. Suppose $a_1\varphi(v_1) + \dots + a_m\varphi(v_m) = 0 = \varphi(a_1v_1 + \dots + a_mv_m)$.

Then $a_1v_1 + \dots + a_mv_m = 0 \Rightarrow a_1 = \dots = a_m = 0$.

Thus $(\varphi(v_1), \dots, \varphi(v_m))$ is linely inde.

(b) Suppose $(\varphi(v_1), \dots, \varphi(v_m))$ is linely inde and $v \in \text{span } (v_1, \dots, v_m)$.

Let $v = 0 = a_1v_1 + \dots + a_mv_m$. Then $\varphi(v) = a_1\varphi(v_1) + \dots + a_m\varphi(v_m) = 0 \Rightarrow a_1 = \dots = a_m = 0$.

Thus v_1, \dots, v_m is linely inde. \square

- Suppose $T \in \mathcal{L}(V, W)$ and (w_1, \dots, w_m) is a basis of $\text{range } T$.

Hence $\forall v \in V, Tv = \varphi_1(v)w_1 + \dots + \varphi_m(v)w_m, \exists! \varphi_1(v), \dots, \varphi_m(v)$, thus defining functions $\varphi_1, \dots, \varphi_m$ from V to \mathbf{F} . Show that each $\varphi_i \in V'$.

SOLUTION:

For each $w_i, \exists v_i \in V, Tv_i = w_i$, getting a linely inde list (v_1, \dots, v_m) .

Now we have $Tv = a_1Tv_1 + \dots + a_mTv_m, \forall v \in V, \exists! a_i \in \mathbf{F}$.

Let (ψ_1, \dots, ψ_m) be the dual basis of $\text{range } T$. Then $(T'(\psi_i))(v) = \psi_i \circ T(v) = a_i$.

Thus letting $\varphi_i = \psi_i \circ T$. \square

- Suppose $\varphi, \beta \in V'$. Prove that $\text{null } \varphi \subseteq \text{null } \beta \iff \beta = c\varphi, \exists c \in \mathbf{F}$.

SOLUTION: Using (3.B.29, 30)

(a) Suppose $\text{null } \varphi \subseteq \text{null } \beta$. Choose a $u \notin \text{null } \beta$. $V = \text{null } \beta \oplus \{au : a \in \mathbf{F}\}$.

If $\text{null } \varphi = \text{null } \beta$, then let $c = \frac{\beta(u)}{\varphi(u)}$, we are done.

Otherwise, suppose $u' \in \text{null } \beta$, but $u' \notin \text{null } \varphi$, then $V = \text{null } \varphi \oplus \{bu' : b \in \mathbf{F}\}$.

$\forall v \in V, v = w + au = w' + bu', \exists! w, w' \in \text{null } \varphi, a, b \in \mathbf{F}$.

Thus $\beta(v) = a\beta(u), \varphi(v) = b\varphi(u')$. Let $c = \frac{a\beta(u)}{b\varphi(u')}$. We are done

(b) Suppose $\beta = c\varphi$ for some $c \in \mathbf{F}$.

If $c = 0$, then $\text{null } \beta = V \supseteq \text{null } \varphi$, we are done.

Otherwise, $\left. \begin{array}{l} \forall v \in \text{null } \varphi, \varphi(v) = 0 = \beta(v) \Rightarrow \text{null } \varphi \subseteq \text{null } \beta. \\ \forall v \in \text{null } \beta, \beta(v) = 0 = \varphi(v) \Rightarrow \text{null } \beta \subseteq \text{null } \varphi. \end{array} \right\} \Rightarrow \text{null } \varphi = \text{null } \beta$
 $\Rightarrow \text{null } \varphi \subseteq \text{null } \beta.$ \square

- 5 Prove that $(V_1 \times \dots \times V_m)'$ and $V'_1 \times \dots \times V'_m$ are iso.

SOLUTION: Using notations in (3.E.2).

Define $\varphi : (V_1 \times \dots \times V_m)' \rightarrow V'_1 \times \dots \times V'_m$

by $\varphi(T) = (T \circ R_1, \dots, T \circ R_m) = (R'_1(T), \dots, R'_m(T)).$

Define $\psi : V'_1 \times \dots \times V'_m \rightarrow (V_1 \times \dots \times V_m)'$

by $\psi(T_1, \dots, T_m) = T_1S_1 + \dots + T_mS_m = S'_1(T_1) + \dots + S'_m(T_m).$

$\left. \begin{array}{l} \text{Define } \varphi : (V_1 \times \dots \times V_m)' \rightarrow V'_1 \times \dots \times V'_m \\ \text{by } \varphi(T) = (T \circ R_1, \dots, T \circ R_m) = (R'_1(T), \dots, R'_m(T)). \\ \text{Define } \psi : V'_1 \times \dots \times V'_m \rightarrow (V_1 \times \dots \times V_m)' \\ \text{by } \psi(T_1, \dots, T_m) = T_1S_1 + \dots + T_mS_m = S'_1(T_1) + \dots + S'_m(T_m). \end{array} \right\} \Rightarrow \psi = \varphi^{-1}.$ \square

• Suppose (v_1, \dots, v_n) is a basis of V and $(\varphi_1, \dots, \varphi_n)$ is the dual basis of V' .

$$\left. \begin{array}{l} \text{Define } \Gamma : V \rightarrow \mathbf{F}^n \text{ by } \Gamma(v) = (\varphi_1(v), \dots, \varphi_n(v)). \\ \text{Define } \Lambda : \mathbf{F}^n \rightarrow V \text{ by } \Lambda(a_1, \dots, a_n) = a_1 v_1 + \dots + a_n v_n. \end{array} \right\} \Rightarrow \Lambda = \Gamma^{-1}.$$

9 Suppose (v_1, \dots, v_n) is a basis of V and $(\varphi_1, \dots, \varphi_n)$ is the correspd dual basis of V' .

Suppose $\psi \in V'$. Prove that $\psi = \psi(v_1)\varphi_1 + \dots + \psi(v_n)\varphi_n$.

SOLUTION: $\psi(v) = \psi\left(\sum_{i=1}^n a_i v_i\right) = \sum_{i=1}^n a_i \psi(v_i) = \sum_{i=1}^n \psi(v_i) \varphi_i(v) = [\psi(v_1)\varphi_1 + \dots + \psi(v_n)\varphi_n](v).$ □

COMMENT: For other basis (u_1, \dots, u_n) and the dual basis (ρ_1, \dots, ρ_n) , $\psi = \psi(u_1)\rho_1 + \dots + \psi(u_n)\rho_n$.

35 Prove that $(\mathcal{P}(\mathbf{R}))'$ and \mathbf{R}^∞ are iso.

SOLUTION:

Define $\theta \in \mathcal{L}((\mathcal{P}(\mathbf{R}))', \mathbf{R}^\infty)$ by $\theta(\varphi) = (\varphi(1), \varphi(x), \dots, \varphi(x^n), \dots)$.

Inje: $\theta(\varphi) = 0 \Rightarrow \forall x^k$ in the basis $(1, x, \dots, x^n, \dots)$ of $\mathcal{P}_n(\mathbf{R})$ for any n , $\varphi(x^k) = 0 \Rightarrow \varphi = 0$.

Surj: $\forall (a_0, a_1, \dots, a_n, \dots) \in \mathbf{F}^\infty$, let ψ be such that $\psi(x^k) = a_k$ and thus $\theta(\psi) = (a_0, a_1, \dots, a_n, \dots)$.

Hence θ is an iso from $(\mathcal{P}(\mathbf{R}))'$ onto \mathbf{R}^∞ . □

7 Suppose $m \in \mathbf{N}^+$. Show that the dual basis of the basis $(1, x, \dots, x_m)$ of $\mathcal{P}_m(\mathbf{R})$

is $\varphi_0, \varphi_1, \dots, \varphi_m$, where $\varphi_k = \frac{p^{(k)}(0)}{k!}$.

Here $p^{(k)}$ denotes the k^{th} derivative of p , with the understanding that the 0^{th} derivative of p is p .

SOLUTION:

$$\text{For each } j \text{ and } k, (x^j)^{(k)} = \begin{cases} j(j-1)\dots(j-k+1) \cdot x^{(j-k)}, & j \geq k. \\ j(j-1)\dots(j-j+1) = j!, & j = k. \\ 0, & j < k. \end{cases} \text{ Then } (x^j)^{(k)}(0) = \begin{cases} 0, & j \neq k. \\ k!, & j = k. \end{cases}$$

Thus $\varphi_k = \psi_k$, where ψ_1, \dots, ψ_m is the dual basis of $(1, x, \dots, x_m)$ of $\mathcal{P}_m(\mathbf{R})$. □

8 Suppose $m \in \mathbf{N}^+$.

(a) By [2.C.10], $B = (1, x - 5, \dots, (x - 5)^m)$ is a basis of $\mathcal{P}_m(\mathbf{R})$.

(b) $\varphi_k = \frac{p^{(k)}(5)}{k!}$ for each $k = 0, 1, \dots, m$. Then $(\varphi_0, \varphi_1, \dots, \varphi_m)$ is the dual basis of B .

13 Define $T : \mathbf{R}^3 \rightarrow \mathbf{R}^2$ by $T(x, y, z) = (4x + 5y + 6z, 7x + 8y + 9z)$.

Let $(\varphi_1, \varphi_2), (\psi_1, \psi_2, \psi_3)$ denote the dual basis of the standard basis of \mathbf{R}^2 and \mathbf{R}^3 .

(a) Describe the linear functionals $T'(\varphi_1), T'(\varphi_2) \in \mathcal{L}(\mathbf{R}^3, \mathbf{R})$

For any $(x, y, z) \in \mathbf{R}^3$, $(T'(\varphi_1))(x, y, z) = 4x + 5y + 6z$, $(T'(\varphi_2))(x, y, z) = 7x + 8y + 9z$.

(b) Write $T'(\varphi_1)$ and $T'(\varphi_2)$ as linear combinations of ψ_1, ψ_2, ψ_3 .

$$T'(\varphi_1) = 4\psi_1 + 5\psi_2 + 6\psi_3, \quad T'(\varphi_2) = 7\psi_1 + 8\psi_2 + 9\psi_3. \quad \square$$

14 Define $T : \mathcal{P}(\mathbf{R}) \rightarrow \mathcal{P}(\mathbf{R})$ by $(Tp)(x) = x^2 p(x) + p''(x)$ for each $x \in \mathbf{R}$.

(a) Suppose $\varphi \in \mathcal{P}(\mathbf{R})'$ is defined by $\varphi(p) = p'(4)$. Describe $T'(\varphi) \in \mathcal{P}(\mathbf{R})'$.

$$(T'(\varphi))(p) = [x^2 p(x) + p''(x)]'(4) = [2xp(x) + x^2 p'(x) + p'''(x)](4) = 8p(4) + 16p'(4) + p'''(4).$$

(b) Suppose $\varphi \in \mathcal{P}(\mathbf{R})'$ is defined by $\varphi(p) = \int_0^1 p(x) dx$. Evaluate $(T'(\varphi))(x^3)$.

$$(T'(\varphi))(x^3) = \int_0^1 (x^5 + 6x) dx = \int_0^1 \left(\frac{1}{6}x^6 + 3x^2\right)' dx = \frac{6}{19}. \quad \square$$

12 Show that the dual map of the identity operator on V is the identity operator on V' .

SOLUTION: $I'(\varphi) = \varphi \circ I = \varphi, \forall \varphi \in V'$. □

• Suppose W is finite-dim and $T \in \mathcal{L}(V, W)$. Prove that $T' = 0 \iff T = 0$.

SOLUTION: $T = 0 \iff T'(\varphi) = \varphi \circ T = 0$ for all $\varphi \in V' \iff T' = 0$. □

• Suppose V and W are finite-dim and $T \in \mathcal{L}(V, W)$. Prove that T is inv $\iff T'$ is inv.

SOLUTION: By [3.108] and [3.110]. □

16 Suppose V and W are finite-dim. Define Γ by $\Gamma(T) = T'$ for any $T \in \mathcal{L}(V, W)$.

Prove that Γ is an iso of $\mathcal{L}(V, W)$ onto $\mathcal{L}(W', V')$.

SOLUTION:

V, W are finite-dim $\Rightarrow \dim \mathcal{L}(V, W) = \dim \mathcal{L}(W', V')$. And by [3.101], Γ is linear.

又 Suppose $\Gamma(T) = T' = 0$. By Problem (15), $T = 0$. Thus T is inje $\Rightarrow T$ is inv. □

4 Suppose V is finite-dim and U is a subsp of $V, U \neq V$.

Prove that $\exists \varphi \in V' \setminus \{0\}, \varphi(u) = 0$ for all $u \in U$.

SOLUTION:

Let (u_1, \dots, u_m) be a basis of U , extend to $(u_1, \dots, u_m, u_{m+1}, \dots, u_{m+n})$ a basis of V .

Choose a $k \in \{1, \dots, n\}$. Define $\varphi \in V'$ by $\varphi(u_i) = \begin{cases} 1, & \text{if } i = m+k. \\ 0, & \text{otherwise.} \end{cases}$

OR. Equivalent to proving that $U^0 \neq \{0\}$. By [3.106], $\dim U^0 = \dim V - \dim U > 0$. □

• Suppose V is a vecsp and $U \subseteq V$.

17 $U^0 = \{\varphi \in V' : U \subseteq \text{null } \varphi\}$. Noticing $\varphi \in V', U \subseteq \text{null } \varphi \iff \forall u \in U, \varphi(u) = 0$.

18 $U = \{0\} \iff \forall \varphi \in V', U \subseteq \text{null } \varphi \iff U^0 = V'$.

19 $U = V \iff U_V^0 = \{0\} = V_V^0$. By the inverse and contrapositive of Problem (4).

20, 21 Suppose U and W are subsets of V . Prove that $U \subseteq W \iff W^0 \subseteq U^0$.

SOLUTION:

(a) Suppose $U \subseteq W$. Then $\forall w \in W, u \in U, \varphi \in W^0, \varphi(w) = 0 = \varphi(u) \Rightarrow \varphi \in U^0$. Thus $W^0 \subseteq U^0$.

(b) Suppose $W^0 \subseteq U^0$. Then $\varphi \in W^0 \Rightarrow \varphi \in U^0$. Hence $\text{null } \varphi \supseteq W \Rightarrow \text{null } \varphi \supseteq U$. Thus $W \supseteq U$. □

COROLLARY: $W^0 = U^0 \iff U = W$.

22 Suppose U and W are subsp of V . Prove that $(U + W)^0 = U^0 \cap W^0$.

SOLUTION:

(a) $\left. \begin{matrix} U \subseteq U + W \\ W \subseteq U + W \end{matrix} \right\} \Rightarrow \left. \begin{matrix} (U + W)^0 \subseteq U^0 \\ (U + W)^0 \subseteq W^0 \end{matrix} \right\} \Rightarrow (U + W)^0 \subseteq U^0 \cap W^0$.

(b) $\forall \varphi \in U^0 \cap W^0, \varphi(u+w) = 0$, where $u \in U, w \in W \Rightarrow \varphi \in (U+W)^0$. Thus $(U+W)^0 \supseteq U^0 \cap W^0$. □

23 Suppose U and W are subsets of V . Prove that $(U \cap W)^0 = U^0 + W^0$.

SOLUTION:

(a) $\left. \begin{matrix} U \cap W \subseteq U \\ U \cap W \subseteq W \end{matrix} \right\} \Rightarrow \left. \begin{matrix} (U \cap W)^0 \supseteq U^0 \\ (U \cap W)^0 \supseteq W^0 \end{matrix} \right\} \Rightarrow (U \cap W)^0 \supseteq U^0 + W^0 \supseteq U^0 \cap W^0$.

(b) $\forall \varphi \in U^0, \psi \in W^0$ and $\forall v \in U \cap W, (\varphi + \psi)(v) = \varphi(v) + \psi(v) = 0$. Thus $U^0 + W^0 \subseteq (U \cap W)^0$. \square

• **COROLLARY:** Suppose $\{V_{\alpha_i}\}_{\alpha_i \in \Gamma}$ is a collection of subspaces of V .

Then $\left(\sum_{\alpha_i \in \Gamma} V_{\alpha_i}\right)^0 = \bigcap_{\alpha_i \in \Gamma} (V_{\alpha_i}^0)$; And $\left(\bigcap_{\alpha_i \in \Gamma} V_{\alpha_i}\right)^0 = \sum_{\alpha_i \in \Gamma} (V_{\alpha_i}^0)$.

24 Suppose V is finite-dim and U is a subspace of V .

Prove, using the pattern of [3.104], that $\dim U + \dim U^0 = \dim V$.

SOLUTION:

Let (u_1, \dots, u_m) be a basis of U , extend to a basis of V as $(u_1, \dots, u_m, \dots, u_n)$, and let $(\varphi_1, \dots, \varphi_m, \dots, \varphi_n)$ be the dual basis.

(a) Suppose $\varphi \in \text{span}(\varphi_{m+1}, \dots, \varphi_n)$, then $\exists a_i \in \mathbf{F}, \varphi = a_{m+1}\varphi_{m+1} + \dots + a_n\varphi_n$.

For all $u \in U, \varphi(u) = 0$. Thus $\varphi \in U^0$, getting $\text{span}(\varphi_{m+1}, \dots, \varphi_n) \subseteq U^0$.

(b) Suppose $\varphi \in U^0$, then $\exists a_i \in \mathbf{F}, \varphi = a_1\varphi_1 + \dots + a_m\varphi_m + \dots + a_n\varphi_n$.

For all $u_i \in U, 0 = \varphi(u_i) = \sum_{i=1}^n \varphi(u_i) = a_i$. Then $\varphi = a_{m+1}\varphi_{m+1} + \dots + a_n\varphi_n$.

Thus $\varphi \in \text{span}(\varphi_{m+1}, \dots, \varphi_n)$, getting $\text{span}(\varphi_{m+1}, \dots, \varphi_n) \supseteq U^0$.

Hence $\text{span}(\varphi_{m+1}, \dots, \varphi_n) = U^0, \dim U^0 = n - m = \dim V - \dim U$. \square

25 Suppose U is a subspace of V . Explain why $U = \{v \in V : \varphi(v) = 0, \forall \varphi \in U^0\}$.

SOLUTION: Note that $U = \{v \in V : v \in U\}$ is a subspace of V and $\varphi(v) = 0$ for every $\varphi \in U^0 \iff v \in U$. \square

26 Suppose V is finite-dim, Ω is a subspace of V' . Prove that $\Omega = \{v \in V : \varphi(v) = 0, \forall \varphi \in \Omega\}^0$.

SOLUTION: Using the corollary in Problem (20, 21).

Suppose $U = \{v \in V : \forall \varphi \in \Omega, \varphi(v) = 0\}$.

Getting $U^0 = \{v \in V : \forall \varphi \in \Omega, \varphi(v) = 0\}^0$. We need to show that $\Omega = U^0$.

(a) $\forall \varphi \in \Omega, v \in U, \varphi(v) = 0 \Rightarrow \varphi \in U^0 \Rightarrow \Omega \subseteq U^0$.
 (b) $v \in U \Leftrightarrow \begin{cases} \forall \varphi \in \Omega, \varphi(v) = 0 \\ \forall \psi \in U^0, \psi(v) = 0 \end{cases} \text{ Thus } \Omega \supseteq U^0. \quad \Bigg\} \Rightarrow \square$

27 Suppose $T \in \mathcal{L}(\mathcal{P}_5(\mathbf{R}))$ and $\text{null } T' = \text{span}(\varphi)$, where φ is the linear functional on $\mathcal{P}_5(\mathbf{R})$ defined by $\varphi(p) = p(8)$. Prove that $\text{range } T = \{p \in \mathcal{P}_5(\mathbf{R}) : p(8) = 0\}$.

SOLUTION:

By Problem (26), $\text{span}(\varphi) = \{p \in \mathcal{P}_5(\mathbf{R}) : \psi(p) = 0, \forall \psi \in \text{span}(\varphi)\}^0$,

Hence $\text{span}(\varphi) = \{p \in \mathcal{P}_5(\mathbf{R}) : \varphi(p) = p(8) = 0\}^0$, $\text{span}(\varphi) = \text{null } T' = (\text{range } T)^0$.

By the corollary in Problem (20, 21), $\text{range } T = \{p \in \mathcal{P}_5(\mathbf{R}) : p(8) = 0\}$. \square

28, 29 Suppose V, W are finite-dim, $T \in \mathcal{L}(V, W)$.

(a) Suppose $\exists \varphi \in W', \text{null } T' = \text{span}(\varphi)$. Prove that $\text{range } T = \text{null } \varphi$.

(b) Suppose $\exists \varphi \in V', \text{range } T' = \text{span}(\varphi)$. Prove that $\text{null } T = \text{null } \varphi$.

SOLUTION: Using Problem (26), [3.107] and [3.109].

Because $\text{span}(\varphi) = \{v \in V : \forall \psi \in \text{span}(\varphi), \psi(v) = 0\}^0 = \{v \in V : \varphi(v) = 0\}^0 = (\text{null } \varphi)^0$.

(a) $(\text{range } T)^0 = \text{null } T' = \text{span}(\varphi) = (\text{null } \varphi)^0 \iff \text{range } T = \text{null } \varphi$.

(b) $(\text{null } T)^0 = \text{range } T' = \text{span}(\varphi) = (\text{null } \varphi)^0 \iff \text{null } T = \text{null } \varphi$. \square

31 Suppose V is finite-dim and $(\varphi_1, \dots, \varphi_n)$ is a basis of V' .

Show that there exists a basis of V whose dual basis is $(\varphi_1, \dots, \varphi_n)$.

SOLUTION: Using Problem (29) and (30) in (3,B).

$$\forall \varphi_i, \text{null } \varphi_i \oplus \{a u_i : a \in \mathbf{F}\} = V.$$

Because $\varphi_1, \dots, \varphi_m$ is linely inde. $\text{null } \varphi_i \neq \text{null } \varphi_j$ for each $i, j \in \mathbf{N}^+$ such that $i \neq j$.

Thus (u_1, \dots, u_m) is linely inde, for if not, then $\exists i, j$ such that $\text{null } \varphi_i = \text{null } \varphi_j$, contradicts.

又 $\dim V' = m = \dim V$. Then (u_1, \dots, u_m) is a basis of V whose dual basis is $(\varphi_1, \dots, \varphi_n)$. \square

• Suppose V is finite-dim and $\varphi_1, \dots, \varphi_m \in V'$. Prove that the following sets are the same.

(a) $\text{span}(\varphi_1, \dots, \varphi_m)$

(b) $((\text{null } \varphi_1) \cap \dots \cap (\text{null } \varphi_m))^0$

(c) $\{\varphi \in V' : (\text{null } \varphi_1) \cap \dots \cap (\text{null } \varphi_m) \subseteq \text{null } \varphi\}$

SOLUTION: By Problem (17), (b) and (c) are equi. By Problem (26) and the corollary in Problem (23),

$$\left. \begin{aligned} & ((\text{null } \varphi_1) \cap \dots \cap (\text{null } \varphi_m))^0 = (\text{null } \varphi_1)^0 + \dots + (\text{null } \varphi_m)^0. \\ & \text{又 } \text{span}(\varphi_i) = \{v \in V : \forall \psi \in \text{span}(\varphi_i), \psi(v) = 0\}^0 = (\text{null } \varphi_i)^0. \end{aligned} \right\} \Rightarrow (a) = (b). \quad \square$$

COROLLARY: 30 Suppose V is finite-dim and $\varphi_1, \dots, \varphi_m$ is a linely inde list in V' .

$$\text{Then } \dim((\text{null } \varphi_1) \cap \dots \cap (\text{null } \varphi_m)) = (\dim V) - m.$$

6 Define $\Gamma : V' \rightarrow \mathbf{F}^m$ by $\Gamma(\varphi) = (\varphi(v_1), \dots, \varphi(v_m))$, where $v_1, \dots, v_m \in V$.

(a) Show that $\text{span}(v_1, \dots, v_m) = V \iff \Gamma$ is inje.

(b) Show that (v_1, \dots, v_m) is linely inde $\iff \Gamma$ is surj.

SOLUTION:

$$\left\{ \begin{aligned} & \text{Suppose } \Gamma \text{ is inje. Then let } \Gamma(\varphi) = 0, \text{ getting } \varphi = 0 \Leftrightarrow \text{null } \varphi = V = \text{span}(v_1, \dots, v_m). \\ & \text{Suppose } \text{span}(v_1, \dots, v_m) = V. \text{ Then let } \Gamma(\varphi) = 0, \text{ getting } \varphi(v_i) = 0 \text{ for each } i, \\ & \quad \text{null } \varphi = \text{span}(v_1, \dots, v_m) = V, \text{ thus } \varphi = 0, \Gamma \text{ is inje.} \\ & \text{Suppose } \Gamma \text{ is surj. Then let } \Gamma(\varphi_i) = e_i \text{ for each } i, \text{ where } (e_1, \dots, e_m) \text{ is the standard basis of } \mathbf{F}^m. \\ & \quad \text{Then } (\varphi_1, \dots, \varphi_m) \text{ is linely inde, suppose } a_1 v_1 + \dots + a_m v_m = 0, \\ & \quad \text{then for each } i, \text{ we have } \varphi_i(a_1 v_1 + \dots + a_m v_m) = a_i = 0. \text{ Thus } v_1, \dots, v_m \text{ is linely inde.} \\ & \text{Suppose } (v_1, \dots, v_m) \text{ is linely inde. Let } (\varphi_1, \dots, \varphi_m) \text{ be the dual basis of } \text{span}(v_1, \dots, v_m). \\ & \quad \text{Thus for each } (a_1, \dots, a_m) \in \mathbf{F}^m, \text{ we have } \varphi = a_1 \varphi_1 + \dots + a_m \varphi_m \text{ so that } \Gamma(\varphi) = (a_1, \dots, a_m). \square \end{aligned} \right.$$

• Define $\Gamma : V \rightarrow \mathbf{F}^m$ by $\Gamma(v) = (\varphi_1(v), \dots, \varphi_m(v))$, where $\varphi_1, \dots, \varphi_m \in V'$.

(c) Show that $\text{span}(\varphi_1, \dots, \varphi_m) = V' \iff \Gamma$ is inje.

(d) Show that $(\varphi_1, \dots, \varphi_m)$ is linely inde $\iff \Gamma$ is surj.

SOLUTION:

$$\left\{ \begin{aligned} & \text{Suppose } \Gamma \text{ is inje. Then } \Gamma(v) = 0 \Leftrightarrow \forall i, \varphi_i(v) = 0 \Leftrightarrow v \in (\text{null } \varphi_1) \cap \dots \cap (\text{null } \varphi_m) \Leftrightarrow v = 0. \\ & \quad \text{Getting } (\text{null } \varphi_1) \cap \dots \cap (\text{null } \varphi_m) = \{0\}. \text{ By Problem } (\bullet) \text{ above, } \text{span}(\varphi_1, \dots, \varphi_m) = V' \\ & \text{Suppose } \text{span}(\varphi_1, \dots, \varphi_m) = V'. \text{ Again by Problem } (\bullet), (\text{null } \varphi_1) \cap \dots \cap (\text{null } \varphi_m) = \{0\}. \\ & \quad \text{Thus } \Gamma(v) = 0 \Rightarrow \forall i, \varphi_i(v) = 0 \Rightarrow v = 0. \\ & \text{Suppose } (\varphi_1, \dots, \varphi_m) \text{ is linely inde. Then by Problem (31), } (v_1, \dots, v_m) \text{ is linely inde.} \\ & \quad \text{Thus for any } (a_1, \dots, a_m) \in \mathbf{F}, \text{ by letting } v = \sum_{i=1}^m a_i v_i, \text{ then } \varphi_i(v) = a_i \Rightarrow \Gamma(v) = (a_1, \dots, a_m). \\ & \text{Suppose } \Gamma \text{ is surj. Let } e_1, \dots, e_m \text{ be a basis of } \mathbf{F}^m. \\ & \quad \text{For every } e_i, \exists v_i \in V \text{ such that } \Gamma(v_i) = (\varphi_1(v_i), \dots, \varphi_m(v_i)) = e_i, \\ & \quad \text{fix } v_i (\Rightarrow (v_1, \dots, v_m) \text{ is linely inde}). \text{ Thus } \varphi_i(v_i) = 1, \varphi_i(v_j) = 0. \\ & \quad \text{Hence } (\varphi_1, \dots, \varphi_m) \text{ is the dual basis of the basis } v_1, \dots, v_m \text{ of } \text{span}(v_1, \dots, v_m). \square \end{aligned} \right.$$

33 Suppose $A \in \mathbf{F}^{m,n}$. Define $T : A \rightarrow A^t$. Prove that T is an iso of $\mathbf{F}^{m,n}$ onto $\mathbf{F}^{n,m}$

SOLUTION: By [3.111], T is linear. Note that $(A^t)^t = A$.

(a) For any $B \in \mathbf{F}^{n,m}$, let $A = B^t$ so that $T(A) = B$. Thus T is surj.

(b) If $T(A) = 0$ for some $A \in \mathbf{F}^{n,m}$, then $A = 0$. Thus T is inje,

for if not, $\exists j, k \in \mathbf{N}^+$ such that $A_{j,k} \neq 0$, then $T(A)_{k,j} \neq 0$, contradicts. \square

32 Suppose $T \in \mathcal{L}(V)$, and $(u_1, \dots, u_m), (v_1, \dots, v_m)$ are bases of V . Prove that T is inv \iff the rows of $\mathcal{M}(T, (u_1, \dots, u_m), (v_1, \dots, v_m))$ form a basis of $\mathbf{F}^{1,n}$.

SOLUTION: Note that T is invertible $\iff T'$ is inv. And $\mathcal{M}(T') = \mathcal{M}(T)^t = A^t$, denote it by B .

Let $(\varphi_1, \dots, \varphi_m)$ be the dual basis of (v_1, \dots, v_m) , (ψ_1, \dots, ψ_m) be the dual basis of (u_1, \dots, u_m) .

(a) Suppose T is inv, so is T' . Because $T'(\varphi_1), \dots, T'(\varphi_m)$ is linely inde.

Noticing that $T'(\varphi_i) = B_{1,i}\psi_1 + \dots + B_{m,i}\psi_m$.

Thus the cols of B , namely the rows of A , are linely inde (check it by contradiction).

(b) Suppose the rows of A are linely inde, so are the cols of B .

Then $(T'(\varphi_1), \dots, T'(\varphi_m))$ is a basis of range T' , namely V' . Thus T' is surj.

Hence T' is inv, so is T . \square

34 The double dual space of V , denoted by V'' , is defined to be the dual space of V' .

In other words, $V'' = \mathcal{L}(V', \mathbf{F})$. Define $\Lambda : V \rightarrow V''$ by $(\Lambda v)(\varphi) = \varphi(v)$.

(a) Show that Λ is a linear map from V to V'' .

(b) Show that if $T \in \mathcal{L}(V)$, then $T'' \circ \Lambda = \Lambda \circ T$, where $T'' = (T')'$.

(c) Show that if V is finite-dim, then Λ is an iso from V onto V'' .

Suppose V is finite-dim. Then V and V' are iso, but finding an iso from V onto V' generally requires choosing a basis of V . In contrast, the iso Λ from V onto V'' does not require a choice of basis and thus is considered more natural.

SOLUTION:

(a) $\forall \varphi \in V', \forall v, w \in V, a \in \mathbf{F}, (\Lambda(v + aw))(\varphi) = \varphi(v + aw) = \varphi(v) + a\varphi(w) = (\Lambda v)(\varphi) + a(\Lambda w)(\varphi)$.

Thus $\Lambda(v + aw) = \Lambda v + a\Lambda w$. Hence Λ is linear.

(b) $(T''(\Lambda v))(\varphi) = ((\Lambda v) \circ (T'))(\varphi) = (\Lambda v)(T'(\varphi)) = (T'(\varphi))(v) = (\varphi \circ T)(v) = \varphi(Tv) = (\Lambda(Tv))(\varphi)$.

Hence $T''(\Lambda v) = (\Lambda(Tv))$, getting $T'' \circ \Lambda = \Lambda \circ T$.

(c) Suppose $\Lambda v = 0$. Then $\forall \varphi \in V', (\Lambda v)(\varphi) = \varphi(v) = 0 \Rightarrow v = 0$. Thus Λ is inje.

又 Because V is finite-dim. $\dim V = \dim V' = \dim V''$. Hence Λ is an iso. \square

36 Suppose U is a subsp of V . Define $i : U \rightarrow V$ by $i(u) = u$. Thus $i' \in \mathcal{L}(V', U')$.

(a) Show that $\text{null } i' = U^0$: $\text{null } i' = (\text{range } i)^0 = U^0 \iff \text{range } i = U$. \square

(b) Prove that if V is finite-dim, then $\text{range } i' = U'$: $\text{range } i' = (\text{null } i)_U^0 = (\{0\})_U^0 = U'$. \square

(c) Prove that if V is finite-dim, then \tilde{i}' is an iso from V'/U^0 onto U' :

The iso in (c) is natural in that it does not depend on a choice of basis in either vecsp.

SOLUTION: Note that $\tilde{i}' : V'/\text{null } i' \rightarrow \text{range } i' \Rightarrow \tilde{i}' : V'/U^0 \rightarrow U'$. By (a), (b) and [3.91(d)]. \square

37 Suppose U is a subsp of V and π is the quotient map. Thus $\pi' \in \mathcal{L}((V/U)', V')$.

(a) Show that π' is inje: Because π is surj. Use [3.108]. \square

(b) Show that $\pi' = U^0$.

(c) Conclude that π' is an iso from $(V/U)'$ onto U^0 .

The iso in (c) is natural in that it does not depend on a choice of basis in either vecsp .

In fact, there is no assumption here that any of these vecsp s are finite-dim.

SOLUTION: [3.109] is not available. Using (3.E.18), also see (3.E.20).

(b) $\psi \in \text{range } \pi' \iff \exists \varphi \in (V/U)', \psi = \varphi \circ \pi \iff \text{null } \psi \supseteq U \iff \psi \in U^0$. Hence $\text{range } \pi' = U^0$.

(c) $\psi \in U^0 \iff \text{null } \psi \supseteq U \iff \exists \varphi \in (V/U)', \psi = \varphi \circ \pi = \pi(\varphi)$. Thus π' is surj. And by (a). \square

ENDED

4

• NOTE FOR [4.8]: division algorithm for polynomials

Suppose $p, s \in \mathcal{P}(\mathbf{F})$, with $s \neq 0$. Then $\exists! q, r \in \mathcal{P}(\mathbf{F})$ such that $p = sq + r$ and $\deg r < \deg s$. *Another Proof:*

Suppose $\deg p \geq \deg s$. Then $(\underbrace{1, z, \dots, z^{\deg s-1}}_{\text{of length } \deg s}, \underbrace{s, zs, \dots, z^{\deg p - \deg s}s}_{\text{of length } (\deg p - \deg s + 1)})$ is a basis of $\mathcal{P}_{\deg p}(\mathbf{F})$.

Because $q \in \mathcal{P}(\mathbf{F})$, $\exists! a_i, b_j \in \mathbf{F}$,

$$\begin{aligned} q &= a_0 + a_1z + \dots + a_{\deg s-1}z^{\deg s-1} + b_0s + b_1zs + \dots + b_{\deg p - \deg s}s z^{\deg p - \deg s} \\ &= \underbrace{a_0 + a_1z + \dots + a_{\deg s-1}z^{\deg s-1}}_r + s \underbrace{(b_0 + b_1z + \dots + b_{\deg p - \deg s}z^{\deg p - \deg s})}_q. \end{aligned}$$

With r, q as defined uniquely above, we are done. \square

• NOTE FOR [4.11]: each zero of a poly corresponds to a degree-one factor; *Another Proof:*

First suppose $p(\lambda) = 0$. Write $p(z) = a_0 + a_1z + \dots + a_mz^m$, $\exists! a_0, a_1, \dots, a_m \in \mathbf{F}$ for all $z \in \mathbf{F}$.

Then $p(z) = p(z) - p(\lambda) = a_1(z - \lambda) + \dots + a_m(z^m - \lambda^m)$ for all $z \in \mathbf{F}$.

Hence $\forall k \in \{1, \dots, m\}, z^k - \lambda^k = (z - \lambda)(z^{k-1}\lambda^0 + z^{k-2}\lambda^1 + \dots + z^{k-(j+1)}\lambda^j + \dots + z\lambda^{k-2} + z^0\lambda^{k-1})$.

Thus $p(z) = \sum_{j=1}^m a_j(z - \lambda) \sum_{i=1}^k \lambda^{i-1}z^{k-i} = (z - \lambda) \sum_{j=1}^m a_j \sum_{i=1}^k \lambda^{i-1}z^{k-i} = (z - \lambda)q(z)$.

• NOTE FOR [4.13]: fundamental theorem of algebra, first version

Every nonconst poly with complex coefficients has a zero in \mathbf{C} . Another Proof:

For any $w \in \mathbf{C}, k \in \mathbf{N}^+$, by polar coordinates, $\exists r \geq 0, \theta \in \mathbf{R}, r(\cos \theta + i \sin \theta) = w$.

By De Moivre' theorem, $w^k = [r(\cos \theta + i \sin \theta)]^k = r^k(\cos k\theta + i \sin k\theta)$.

Hence $(r^{1/k}(\cos \frac{\theta}{k} + i \sin \frac{\theta}{k}))^k = w$. Thus every complex number has a k^{th} root.

Suppose a nonconst $p \in \mathcal{P}(\mathbf{C})$ with highest-order nonzero term c_mz^m .

Then $|p(z)| \rightarrow \infty$ as $|z| \rightarrow \infty$ (because $\frac{|p(z)|}{|z_m|} \rightarrow |c_m|$ as $|z| \rightarrow \infty$).

Thus the continuous function $z \rightarrow |p(z)|$ has a global minimum at some point $\zeta \in \mathbf{C}$.

To show that $p(\zeta) = 0$, assume $p(\zeta) \neq 0$. Define $q \in \mathcal{P}(\mathbf{C})$ by $q(z) = \frac{p(z + \zeta)}{p(\zeta)}$.

The function $z \rightarrow |q(z)|$ has a global minimum value of 1 at $z = 0$.

Write $q(z) = 1 + a_kz^k + \dots + a_mz^m$, where $k \in \mathbf{N}^+$ is the smallest such that $a_k \neq 0$.

Let $\beta \in \mathbf{C}$ be such that $\beta^k = -\frac{1}{a_k}$.

There is a const $c > 1$ so that if $t \in (0, 1)$, then $|q(t\beta)| \leq |1 + a_k t^k \beta^k| + t^{k+1}c = 1 - t^k(1 - tc)$.

Now letting $t = 1/(2c)$, we get $|q(t\beta)| < 1$. Contradicts. Hence $p(\zeta) = 0$, as desired. \square

• Prove that if $w, z \in \mathbf{C}$, then $||w| - |z|| \leq |w - z|$.

SOLUTION:

$$\begin{aligned}
|w - z|^2 &= (w - z)(\overline{w} - \overline{z}) \\
&= |w|^2 + |z|^2 - (w\overline{z} + \overline{w}z) \\
&= |w|^2 + |z|^2 - (\overline{\overline{w}z} + \overline{w}z) \\
&= |w|^2 + |z|^2 - 2\operatorname{Re}(\overline{w}z) \\
&\geq |w|^2 + |z|^2 - 2|\overline{w}z| \\
&= |w|^2 + |z|^2 - 2|w||z| = ||w| - |z||^2.
\end{aligned}$$

Geometric interpretation: The length of each side of a triangle is greater than or equal to the difference of the lengths of the two other sides. □

- Suppose V is on \mathbf{C} and $\varphi \in V'$. Define $\sigma : V \rightarrow \mathbf{R}$ by $\sigma(v) = \operatorname{Re} \varphi(v)$ for each $v \in V$. Show that $\varphi(v) = \sigma(v) - i\sigma(iv)$ for all $v \in V$.

SOLUTION:

Notice that $\varphi(v) = \operatorname{Re} \varphi(v) + i\operatorname{Im} \varphi(v) = \sigma(v) + i\operatorname{Im} \varphi(v)$.

又 $\operatorname{Re} \varphi(iv) = \operatorname{Re} [i\varphi(v)] = -\operatorname{Im} \varphi(v) = \sigma(iv)$.

Hence $\varphi(v) = \sigma(v) - i\sigma(iv)$. □

- 2 Suppose $m \in \mathbf{N}^+$. Is the set $U = \{0\} \cup \{p \in \mathcal{P}(\mathbf{F}) : \deg p = m\}$ a subsp of $\mathcal{P}(\mathbf{F})$?

SOLUTION:

$x^m, x^m + x^{m-1} \in U$ but $\deg[(x^m + x^{m-1}) - (x^m)] \neq m \Rightarrow (x^m + x^{m-1}) - (x^m) \notin U$.

Hence U is not closed under add, and therefore is not a subsp. □

- 3 Suppose $m \in \mathbf{N}^+$. Is the set $U = \{0\} \cup \{p \in \mathcal{P}(\mathbf{F}) : 2 \mid \deg p\}$ a subsp of $\mathcal{P}(\mathbf{F})$?

SOLUTION:

$x^2, x^2 + x \in U$ but $\deg[(x^2 + x) - (x^2)]$ is odd and hence $(x^2 + x) - (x^2) \notin U$.

Thus U is not closed under add, and therefore is not a subsp. □

- 5 Suppose that $m \in \mathbf{N}, z_1, \dots, z_{m+1}$ are distinct elements of \mathbf{F} , and $w_1, \dots, w_{m+1} \in \mathbf{F}$.

Prove that $\exists ! p \in \mathcal{P}_m(\mathbf{F})$ such that $p(z_k) = w_k$ for each $k = 1, \dots, m+1$.

SOLUTION:

Define $T : \mathcal{P}_m(\mathbf{F}) \rightarrow \mathbf{F}^{m+1}$ by $Tq = (q(z_1), \dots, q(z_m), q(z_{m+1}))$. As can be easily checked, T is linear.

We need to show that T is surj, so that such p exists; and that T is inje, so that such p is unique.

$Tq = 0 \iff q(z_1) = \dots = q(z_m) = q(z_{m+1}) = 0$

$\iff q = 0 \in \mathcal{P}_m(\mathbf{F})$, for if not, q of $\deg m$ has at least $m+1$ distinct roots. Contradicts [4.12].

$\dim \operatorname{range} T = \dim \mathcal{P}_m(\mathbf{F}) - \dim \operatorname{null} T = m+1 = \dim \mathbf{F}^{m+1}$. 又 $\operatorname{range} T \subseteq \mathbf{F}^{m+1}$. Hence T is surj. □

- 6 Suppose $p \in \mathcal{P}_m(\mathbf{C})$ has degree m . Prove that

p has m distinct zeros $\iff p$ and its derivative p' have no zeros in common.

SOLUTION:

(a) Suppose p has m distinct zeros. By [4.14] and $\deg p = m$, let $p(z) = c(z-\lambda_1) \cdots (z-\lambda_m)$, $\exists ! c, \lambda_i \in \mathbf{C}$.

For each $j \in \{1, \dots, m\}$, let $\frac{p(z)}{(z-\lambda_j)} = q_j \in \mathcal{P}_{m-1}(\mathbf{C})$, then $p(z) = (z-\lambda_j)q_j(z)$ and $q_j(\lambda_j) \neq 0$.

$p'(z) = (z-\lambda_j)q_j'(z) + q_j(z) \Rightarrow p'(\lambda_j) = q_j(\lambda_j) \neq 0$, as desired.

(b) To prove the implication on the other direction, we prove the contrapositive:

Suppose p has less than m distinct roots.

We must show that p and its derivative p' have at least one zero in common.

Let λ be a zero of p , then write $p(z) = (z - \lambda)^n q(z)$, $\exists ! n \in \mathbf{N}^+, q \in \mathcal{P}_{m-n}(\mathbf{C})$.

$p'(z) = (z - \lambda)^n q'(z) + n(z - \lambda)^{n-1} q(z) \Rightarrow p'(\lambda) = 0$, λ is a common root of p' and p . □

7 Prove that every $p \in \mathcal{P}(\mathbf{R})$ of odd degree has a zero.

SOLUTION:

Using the notation and proof of [4.17]. $\deg p = 2M + m$ is odd $\Rightarrow m$ is odd. Hence λ_1 exists. □

OR. Using calculus only.

Suppose $p \in \mathcal{P}_m(\mathbf{F})$, $\deg p = m$, m is odd.

Let $p(x) = a_0 + a_1 x + \cdots + a_m x^m$. Then $a_m \neq 0$. Denote $|a_m|^{-1} a_m$ by δ

Write $p(x) = x^m \left(\frac{a_0}{x^m} + \frac{a_1}{x^{m-1}} + \cdots + \frac{a_{m-1}}{x} + a_m \right)$.

Thus $p(x)$ is continuous, and $\lim_{x \rightarrow -\infty} p(x) = -\delta\infty$; $\lim_{x \rightarrow \infty} p(x) = \delta\infty$.

Hence we conclude that p has at least one real zero. □

8 For $p \in \mathcal{P}(\mathbf{R})$, define $Tp : \mathbf{R} \rightarrow \mathbf{R}$ by $(Tp)(x) = \begin{cases} \frac{p(x) - p(3)}{x - 3} & \text{if } x \neq 3, \\ p'(3) & \text{if } x = 3 \end{cases}$ for all $x \in \mathbf{R}$.

Show that $Tp \in \mathcal{P}(\mathbf{R})$ for all $p \in \mathcal{P}(\mathbf{R})$ and that $T : \mathcal{P}(\mathbf{R}) \rightarrow \mathcal{P}(\mathbf{R})$ is a linear map.

SOLUTION:

For $x \neq 3$, $T(x^n) = \frac{x^n - 3^n}{x - 3} = \sum_{i=1}^n 3^{i-1} x^{n-i}$.

For $x = 3$, $T(x^n) = 3^{n-1} \cdot n$. Note that if $x = 3$, then $\sum_{i=1}^n 3^{i-1} x^{n-i} = \sum_{i=1}^n 3^{n-1} = 3^{n-1} \cdot n$.

Hence for all $x \in \mathbf{R}$ and for all $n \in \mathbf{N}$, $T(x^n) = \sum_{i=1}^n 3^{i-1} x^{n-i} \in \mathcal{P}(\mathbf{R})$.

Because T is linear, we conclude that $Tp \in \mathcal{P}(\mathbf{R})$ for all $p \in \mathcal{P}(\mathbf{R})$.

Now we show that T is linear:

$\forall p, q \in \mathcal{P}(\mathbf{R}), \lambda \in \mathbf{R}, T(p + \lambda q)(x) = \begin{cases} \frac{(p + \lambda q)(x) - (p + \lambda q)(3)}{x - 3} & \text{if } x \neq 3, \\ (p + \lambda q)'(3) & \text{if } x = 3 \end{cases}$ for all $x \in \mathbf{R}$.

Notice that $\begin{cases} (p + \lambda q)(x) - (p + \lambda q)(3) = (p(x) - p(3)) + (\lambda q(x) - \lambda q(3)). \\ (p + \lambda q)'(3) = p'(3) + \lambda q'(3). \end{cases}$

Thus $T(p + \lambda q)(x) = (T(p) + \lambda T(q))(x)$ for all $x \in \mathbf{R}$. □

9 Suppose $p \in \mathcal{P}(\mathbf{C})$. Define $q : \mathbf{C} \rightarrow \mathbf{C}$ by $q(z) = p(z)\overline{p(\bar{z})}$. Prove that $q \in \mathcal{P}(\mathbf{R})$.

SOLUTION:

$p(z) = a_n z^n + \cdots + a_1 z + a_0 \Rightarrow p(\bar{z}) = a_n \bar{z}^n + \cdots + a_1 \bar{z} + a_0 \Rightarrow \overline{p(\bar{z})} = \overline{a_n} z^n + \cdots + \overline{a_1} z + \overline{a_0}$.

Note that $q(z) = p(z)\overline{p(\bar{z})} = \overline{p(\bar{z})}p(z) = \overline{p(\bar{z})p(\bar{z})} = \overline{q(\bar{z})}$.

Hence letting $q(z) = c_m x^m + \cdots + c_1 x + c_0 \Rightarrow \bar{c}_k = c_k, c_k \in \mathbf{R}$ for each k . □

10 Suppose $m \in \mathbf{N}$ and $p \in \mathcal{P}_m(\mathbf{C})$ such that $p(x_k) \in \mathbf{R}$ for each x_k , where $x_0, x_1, \dots, x_m \in \mathbf{R}$ are distinct. Prove that $p \in \mathcal{P}(\mathbf{R})$.

SOLUTION:

Let $p(x_k) = y_k$ for each k . By Problem (5), $\exists ! q \in \mathcal{P}_m(\mathbf{R})$ such that $q(x_k) = y_k$. Hence $p = q$. □

OR. Using the Lagrange Interpolating Polynomial.

Define $q(x) = \sum_{j=0}^m \frac{(x-x_0)(x-x_1)\cdots(x-x_{j-1})(x-x_{j+1})\cdots(x-x_m)}{(x_j-x_0)(x_j-x_1)\cdots(x_j-x_{j-1})(x_j-x_{j+1})\cdots(x_j-x_m)} p(x_j)$.

又 For each j , $x_j, p(x_j) \in \mathbf{R} \Rightarrow q \in \mathcal{P}_m(\mathbf{R}) \subseteq \mathcal{P}_m(\mathbf{C})$.

Notice that $q(x_k) = 1 \cdot p(x_k) \Rightarrow (q-p)(x_k) = 0$ for each $k \in \{0, 1, \dots, m\}$.

Then $(q-p)$ has $(m+1)$ distinct zeros, while $(q-p) \in \mathcal{P}_m(\mathbf{C})$. Hence by [4.12], $q-p=0 \Rightarrow p=q$. \square

11 Suppose $p \in \mathcal{P}(\mathbf{F})$ with $p \neq 0$. Let $U = \{pq : q \in \mathcal{P}(\mathbf{F})\}$.

(a) Show that $\dim \mathcal{P}(\mathbf{F})/U = \deg p$.

(b) Find a basis of $\mathcal{P}(\mathbf{F})/U$.

SOLUTION:

U is a subsp of $\mathcal{P}(\mathbf{F})$ because $\forall f, g \in \mathcal{P}(\mathbf{F}), \lambda \in \mathbf{F}, pf + \lambda pg = p(f + \lambda g) \in U$.

NOTE: Define $P : \mathcal{P}(\mathbf{F}) \rightarrow \mathcal{P}(\mathbf{F})$ by $(Pq)(x) = p(q(x)) = (p \circ q)(x) (\neq p(x)q(x))$. P is not linear.

(a) By [4.8], $\forall f \in \mathcal{P}(\mathbf{F}), \exists! q, r \in \mathcal{P}(\mathbf{F}), f = (p)q + (r); \deg r < \deg p$.

Hence $\forall f \in \mathcal{P}(\mathbf{F}), \exists! pq \in U, r \in \mathcal{P}_{\deg p-1}(\mathbf{F}), f = (pq) + (r); r \notin U$.

Thus $\mathcal{P}(\mathbf{F}) = U \oplus \mathcal{P}_{\deg p-1}(\mathbf{F})$. Therefore $\mathcal{P}(\mathbf{F})/U$ and $\mathcal{P}_{\deg p-1}(\mathbf{F})$ are iso.

OR. $\forall f \in \mathcal{P}(\mathbf{F}), \exists! q, r \in \mathcal{P}(\mathbf{F}), f = (p)q + (r); \deg r < \deg p$.

Define $R : \mathcal{P}(\mathbf{F}) \rightarrow \mathcal{P}_{\deg p-1}(\mathbf{F})$ by $(Rf)(z) = r(z)$ for each $z \in \mathbf{F}$.

$\forall f, g \in \mathcal{P}(\mathbf{F}), \lambda \in \mathbf{F}, R(f + \lambda g)(z) = R(f) + \lambda R(g)$.

BECAUSE: $\forall f, g \in \mathcal{P}(\mathbf{F}), \lambda \in \mathbf{F}$,

$$\exists! q_1, r_1 \in \mathcal{P}(\mathbf{F}), f = (p)q_1 + (r_1), \deg r_1 < \deg p;$$

$$\exists! q_2, r_2 \in \mathcal{P}(\mathbf{F}), g = (p)q_2 + (r_2), \deg r_2 < \deg p;$$

$$\exists! q_3, r_3 \in \mathcal{P}(\mathbf{F}), \lambda g = (p)q_3 + (r_3) = (p)(\lambda q_2) + (\lambda r_2), \deg r_3 < \deg p \text{ and } \deg \lambda r_2 < \deg p.$$

$$\Rightarrow q_3 = \lambda q_2, r_3 = \lambda r_2.$$

$$\exists! q_0, r_0 \in \mathcal{P}(\mathbf{F}), (f + \lambda g) = (p)q_0 + (r_0)$$

$$= (p)(q_1 + \lambda q_2) + (r_1 + \lambda r_2), \deg r_0 < \deg p \text{ and } \deg(r_1 + \lambda r_2) < \deg p.$$

$$\Rightarrow q_1 + \lambda q_2 = q_0; r_1 + \lambda r_2 = r_0.$$

Hence R is linear.

$R(f) = 0 \iff f = pq, \exists! q \in \mathcal{P}(\mathbf{F})$. Thus $\text{null } R = U$.

$\forall r \in \mathcal{P}_{\deg p-1}(\mathbf{F})$, let $f = p + r$, then $R(f) = r$. Thus $\text{range } R = \mathcal{P}_{\deg p-1}(\mathbf{F})$.

Finally, by [3.91(d)], $\mathcal{P}(\mathbf{F})/\text{null } R$, namely $\mathcal{P}(\mathbf{F})/U$, and $\text{range } R$, namely $\mathcal{P}_{\deg p-1}(\mathbf{F})$, are iso.

(b) $(1 + U, x + U, \dots, x^{\deg p-1} + U)$ can be a basis of $\mathcal{P}(\mathbf{F})/U$. \square

• Suppose nonconst $p, q \in \mathcal{P}(\mathbf{C})$ have no zeros in common. Let $m = \deg p, n = \deg q$. Use (a)–(c) below to prove that $\exists! r \in \mathcal{P}_{n-1}(\mathbf{C}), s \in \mathcal{P}_{m-1}(\mathbf{C})$ such that $rp + sq = 1$.

(a) Define $T : \mathcal{P}_{n-1}(\mathbf{C}) \times \mathcal{P}_{m-1}(\mathbf{C}) \rightarrow \mathcal{P}_{m+n-1}(\mathbf{C})$ by $T(r, s) = rp + sq$.

Show that the linear map T is inje.

(b) Show that the linear map T in (a) is surj.

(c) Use (b) to conclude that $\exists! r \in \mathcal{P}_{n-1}(\mathbf{C}), s \in \mathcal{P}_{m-1}(\mathbf{C})$ such that $rp + sq = 1$.

SOLUTION:

(a) T is linear because $\forall r_1, r_2 \in \mathcal{P}_{n-1}(\mathbf{C}), s_1, s_2 \in \mathcal{P}_{m-1}(\mathbf{C}), \lambda \in \mathbf{F}$,

$$T((r_1, s_1) + \lambda(r_2, s_2)) = T(r_1 + \lambda r_2, s_1 + \lambda s_2) = (r_1 + \lambda r_2)p + (s_1 + \lambda s_2)q = T(r_1, s_1) + \lambda T(r_2, s_2).$$

Suppose $T(r, s) = rp + sq = 0$. Notice that p, q have no zeros in common.

Then $r = s = 0$, for if not, write $\frac{q(z)}{r(z)} = \frac{p(z)}{s(z)}$, while for any zero λ of q , $\frac{q(\lambda)}{r(\lambda)} = 0 \neq \frac{p(\lambda)}{s(\lambda)}$. \square

(b) $\dim(\mathcal{P}_{n-1}(\mathbb{C}) \times \mathcal{P}_{m-1}(\mathbb{C})) = \dim \mathcal{P}_{n-1}(\mathbb{C}) + \dim \mathcal{P}_{m-1}(\mathbb{C}) = n + m = \dim \mathcal{P}_{m+n-1}(\mathbb{C})$.

又 T is inje. Hence $\dim \text{range } T = \dim(\mathcal{P}_{n-1}(\mathbb{C}) \times \mathcal{P}_{m-1}(\mathbb{C})) - \dim \text{null } T = \dim \mathcal{P}_{m+n-1}(\mathbb{C})$.

Thus $\text{range } T = \mathcal{P}_{m+n-1} \Rightarrow T$ is surj, and therefore is an iso.

(c) Immediately. □

ENDED

5.A

[1]: 31; [2]: 1, 2, 3, 15, 21; [3]: 23, (2E Ch5.20), (4E.5.A.37), 4, 5; [4]: 6, (4E.5.A.17, 18) OR16, (4E.5.A.15);
 [5]: 7, 8, (4E.5.A.8), 22, 9, 10; [6]: 11, 12, 14, 30, 13, (4E.5.A.11); [7]: 17, (4E.5.A.16), 18; [8]: 19, 20, 24;
 [9]: 24', 25, 26, 27, 28; [10]: (4E.5.A.39), 29; [11]: 32, (4E.5.A.35), (4E.5.A.38) OR35, 36; [12] 32, 34.

• NOTE FOR [5.6]:

More generally, suppose we do not know whether V is finite-dim. Then (a) \iff (b).

Suppose (a) λ is an eigval of T with an eigvec v . Then $(T - \lambda I)v = 0$.

Hence we get (b), $(T - \lambda I)$ is not inje. And then (d), $(T - \lambda I)$ is not inv.

But (d) \Rightarrow (b) fails (because S is not inv $\iff S$ is not inje or S is not surj).

31 Suppose V is finite-dim and $v_1, \dots, v_m \in V$. Prove that (v_1, \dots, v_m) is linely inde $\iff \exists T \in \mathcal{L}(V)$, v_1, \dots, v_m are eigvecs of T correspd to distinct eigvals.

SOLUTION:

Suppose (v_1, \dots, v_m) is linely inde, extend it to a basis of V as $(v_1, \dots, v_m, \dots, v_n)$.

Define $T \in \mathcal{L}(V)$ by $Tv_k = kv_k$ for each $k \in \{1, \dots, m, \dots, n\}$. Conversely by [5.10]. □

1 Suppose $T \in \mathcal{L}(V)$ and U is a subsp of V .

(a) Prove that if $U \subseteq \text{null } T$, then U is invar under T . $\forall u \in U \subseteq \text{null } T, Tu = 0 \in U$. □

(b) Prove that if $\text{range } T \subseteq U$, then U is invar under T . $\forall u \in U, Tu \in \text{range } T \subseteq U$. □

• Suppose $S, T \in \mathcal{L}(V)$ are such that $ST = TS$.

(a) Prove that $\text{null } (T - \lambda I)$ is invar under S for any $\lambda \in \mathbb{F}$.

(b) Prove that $\text{range } (T - \lambda I)$ is invar under S for any $\lambda \in \mathbb{F}$.

SOLUTION: Note that $ST = TS \Rightarrow (T - \lambda I)S = S(T - \lambda I)$.

(a) Suppose $v \in \text{null } (T - \lambda I)$, then $(T - \lambda I)(Sv) = S(T - \lambda I)v = S(0) = 0$.

Hence $Sv \in \text{null } (T - \lambda I)$ and therefore $\text{null } (T - \lambda I)$ is invar under S .

(b) Suppose $v \in \text{range } (T - \lambda I)$, therefore $\exists u \in V, (T - \lambda I)u = v$.

Then $Sv = S(T - \lambda I)u = (T - \lambda I)(Su) \in \text{range } (T - \lambda I)$.

Hence $Sv \in \text{range } (T - \lambda I)$ and therefore $\text{range } (T - \lambda I)$ is invar under S . □

• Suppose $S, T \in \mathcal{L}(V)$ are such that $ST = TS$.

2 Show that $W = \text{null } T$ is invar under S . $\forall u \in W, Tu = 0 \Rightarrow STu = 0 = TSu \Rightarrow Su \in W$. □

3 Show that $U = \text{range } T$ is invar under S . $\forall w \in U, \exists v \in V, Tv = w, TSv = STv = Sw \in U$. □

15 Suppose $T \in \mathcal{L}(V)$. Suppose $S \in \mathcal{L}(V)$ is inv.

(a) Prove that T and $S^{-1}TS$ have the same eigvals.

(b) What is the relationship between the eigvecs of T and the eigvecs of $S^{-1}TS$?

SOLUTION:

Suppose λ is an eigval of T with an eigvec v .

Then $S^{-1}TS(S^{-1}v) = S^{-1}Tv = S^{-1}(\lambda v) = \lambda S^{-1}v$.

Thus λ is also an eigval of $S^{-1}TS$ with an eigvec $S^{-1}v$.

Suppose λ is an eigval of $S^{-1}TS$ with an eigvec v .

Then $S(S^{-1}TS)v = TSv = \lambda Sv$.

Thus λ is also an eigval of T with an eigvec Sv . □

OR. Note that $S(S^{-1}TS)S^{-1} = T$. Hence every eigval of $S^{-1}TS$ is an eigval of $S(S^{-1}TS)S^{-1} = T$.

And every eigvec v of $S^{-1}TS$ is $S^{-1}v$, every eigvec u of T is Su . □

21 Suppose $T \in \mathcal{L}(V)$ is inv.

(a) Suppose $\lambda \in \mathbb{F}$ with $\lambda \neq 0$. Prove that λ is an eigval of $T \iff \frac{1}{\lambda}$ is an eigval of T^{-1} .

(b) Prove that T and T^{-1} have the same eigvecs.

SOLUTION:

(a) Suppose λ is an eigval of T with an eigvec v .

Then $T^{-1}Tv = \lambda T^{-1}v = v \Rightarrow T^{-1}v = \frac{1}{\lambda}v$. Hence $\frac{1}{\lambda}$ is an eigval of T^{-1} .

(b) Suppose $\frac{1}{\lambda}$ is an eigval of T^{-1} with an eigvec v .

Then $TT^{-1}v = v = \frac{1}{\lambda}Tv \Rightarrow Tv = \lambda v$. Hence λ is an eigval of T .

OR. Note that $(T^{-1})^{-1} = T$ and $1/(\frac{1}{\lambda}) = \lambda$. □

23 Suppose $S, T \in \mathcal{L}(V)$. Prove that ST and TS have the same eigvals.

SOLUTION:

Suppose λ is an eigval of ST with an eigvec v . Then $T(STv) = \lambda Tv = TS(Tv)$.

If $Tv = 0$ (while $v \neq 0$), then T is not inje $\Rightarrow (TS - 0I)$ and $(ST - 0I)$ are not inje.

Thus $\lambda = 0$ is an eigval of ST and TS with the same eigvec v .

Otherwise, $Tv \neq 0$, then λ is an eigval of TS . Reversing the roles of T and S . □

• (2E Ch5.20)

Suppose $T \in \mathcal{L}(V)$ has $\dim V$ distinct eigvals and $S \in \mathcal{L}(V)$ has the same eigvecs (but might not with the same eigvals). Prove that $ST = TS$.

SOLUTION:

Let $n = \dim V$. For each $j \in \{1, \dots, n\}$, let v_j be an eigvec with eigval λ_j of T and α_j of S .

Then (v_1, \dots, v_n) is a basis of V . Because $(ST)v_j = \alpha_j \lambda_j v_j = (TS)v_j$ for each j . Hence $ST = TS$. □

• Suppose V is finite-dim and $T \in \mathcal{L}(V)$.

Define $\mathcal{A} \in \mathcal{L}(\mathcal{L}(V))$ by $\mathcal{A}(S) = TS$ for each $S \in \mathcal{L}(V)$.

Prove that the set of eigvals of T equals the set of eigvals of \mathcal{A} .

SOLUTION:

(a) Suppose v_1, \dots, v_m are all linely inde eigvecs of T

with correspd eigvals $\lambda_1, \dots, \lambda_m$ respectively (possibly with repetitions).

Extend to a basis of V as $(v_1, \dots, v_m, \dots, v_n)$.

Then for each $k \in \{1, \dots, m\}$, $\text{span}(v_k) \subseteq \text{null}(T - \lambda_k I)$.

Define $S_k \in \mathcal{L}(V)$ by $S_k(v_j) = v_k$ for each $j \in \{1, \dots, n\}$,

so that $\text{range } S_k = \text{span}(v_k)$ for each $k \in \{1, \dots, m\}$, then $\mathcal{A}(S_k) = TS_k = \lambda_k S_k$.

Thus the eigvals of T are eigvals of \mathcal{A} .

(b) Suppose $\lambda_1, \dots, \lambda_m$ are all eigvals of \mathcal{A} with eigvecs S_1, \dots, S_m respectively.

Then for each $k \in \{1, \dots, m\}$, $\exists v \in V, 0 \neq u = S_k(v) \in V \Rightarrow Tu = (TS_k)v = (\lambda_k S_k)v = \lambda_k u$.

Thus the eigvals of \mathcal{A} are eigvals of T . □

OR.

(a) Suppose λ is an eigval of T with an eigvec v .

Let $v_1 = v$ and extend to a basis (v_1, \dots, v_m) of V .

Define $S \in \mathcal{L}(V)$ by $Sv_1 = v_1, Sv_k = 0$ for $k \geq 2$.

Then $(T - \lambda I)Sv_1 = 0 = (T - \lambda I)Sv_k = 0$.

Hence $(T - \lambda I)S = 0 \Rightarrow TS = \lambda S$ while $S \neq 0$. Thus λ is also an eigval of \mathcal{A} .

(b) Suppose λ is an eigval of \mathcal{A} with an eigvec S . Then $(T - \lambda I)S = 0$ while $S \neq 0$.

Hence $(T - \lambda I)$ is not inje. Thus λ is also an eigval of T . □

COMMENT: Define $\mathcal{B} \in \mathcal{L}(\mathcal{L}(V))$ by $\mathcal{B}(S) = ST, \forall S \in \mathcal{L}(V)$. Then the eigvals of \mathcal{B} are not the eigvals of T .

4 Suppose $T \in \mathcal{L}(V)$ and V_1, \dots, V_m are invar subsp of V under T .

Prove that $V_1 + \dots + V_m$ is invar under T .

SOLUTION: For each $i = 1, \dots, m, \forall v_i \in V_i, Tv_i \in V_i$

Hence $\forall v = v_1 + \dots + v_m \in V_1 + \dots + V_m, Tv = Tv_1 + \dots + Tv_m \in V_1 + \dots + V_m$. □

6 Prove or give a counterexample:

If V is finite-dim and U is a subsp of V that is invar under every operator on V , then $U = \{0\}$ or $U = V$.

SOLUTION:

Notice that V might be $\{0\}$. In this case we are done. Suppose $\dim V \geq 1$. We prove by contrapositive:

Suppose $U \neq \{0\}$ and $U \neq V$. Prove that $\exists T \in \mathcal{L}(V)$ such that U is not invar under T .

Let W be such that $V = U \oplus W$.

Let (u_1, \dots, u_m) be a basis of U and (w_1, \dots, w_n) be a basis of W .

Hence $(u_1, \dots, u_m, w_1, \dots, w_n)$ is a basis of V .

Define $T \in \mathcal{L}(V)$ by $T(a_1 u_1 + \dots + a_m u_m + b_1 w_1 + \dots + b_n w_n) = b_1 w_1 + \dots + b_n w_n$. □

• Suppose $\mathbf{F} = \mathbf{R}, T \in \mathcal{L}(V)$.

(a) (OR (9.11)) $\lambda \in \mathbf{R}$. Prove that λ is an eigval of $T \iff \lambda$ is an eigval of $T_{\mathbf{C}}$.

(b) (OR Problem (16)) $\lambda \in \mathbf{C}$. Prove that λ is an eigval of $T_{\mathbf{C}} \iff \bar{\lambda}$ is an eigval of $T_{\mathbf{C}}$.

SOLUTION:

(a) Suppose $v \in V$ is an eigvec correspd to the eigval λ .

Then $Tv = \lambda v \Rightarrow T_{\mathbf{C}}(v + i0) = Tv + iT0 = \lambda v$.

Thus λ is an eigval of T .

Suppose $v + iu \in V_{\mathbf{C}}$ is an eigvec correspd to the eigval λ .

Then $T_{\mathbf{C}}(v + iu) = \lambda v + i\lambda u \Rightarrow Tv = \lambda v, Tu = \lambda u$. (Note that v or u might be zero).

Thus λ is an eigval of $T_{\mathbf{C}}$.

(b) Suppose λ is an eigval of $T_{\mathbf{C}}$ with an eigvec $v + iu$.

Let (v_1, \dots, v_n) be a basis of V . Write $v = \sum_{i=1}^n a_i v_i, u = \sum_{i=1}^n b_i v_i$, where $a_i, b_i \in \mathbf{R}$.

Then $T_C(v + iu) = Tv + iTu = \lambda v + i\lambda u = \lambda \sum_{i=1}^n (a_i + ib_i)v_i$. Conjugating two sides, we have:

$$\overline{T_C(v + iu)} = \overline{Tv + iTu} = \overline{Tv} - i\overline{Tu} = Tv - iTu = T_C(\overline{v + iu}) = \lambda \sum_{i=1}^n (a_i + ib_i)v_i = \overline{\lambda} \sum_{i=1}^n (a_i - ib_i)v_i.$$

Hence $\overline{\lambda}$ is an eigval of T_C . To prove the other direction, notice that $\overline{(\overline{\lambda})} = \lambda$. □

• Suppose V is finite-dim, $T \in \mathcal{L}(V)$, and $\lambda \in \mathbf{F}$.

Show that λ is an eigval of $T \iff \lambda$ is an eigval of the dual operator $T' \in \mathcal{L}(V')$.

SOLUTION:

(a) Suppose λ is an eigval of T with an eigvec v .

Then $(T - \lambda I_V)$ is not inv. $\wedge V$ is finite-dim.

Thus by [3.108, 110], [3.101] and Problem (12) in (3.F), $(T - \lambda I_V)' = T' - \lambda I_{V'}$ is not inv.

Hence λ is an eigval of T' .

(b) Suppose λ is an eigval T' with an eigvec ψ . Then $T'(\psi) = \psi \circ T = \lambda\psi$.

$\wedge \psi \neq 0 \Rightarrow \exists v \in V$ such that $\psi(v) \neq 0$. Note that $\psi(Tv) = \lambda\psi(v)$.

Thus $\lambda = \frac{\psi(Tv)}{\psi(v)} \Rightarrow Tv = \frac{\psi(Tv)}{\psi(v)}v = \lambda v$. Hence λ is an eigval of T . □

7 Suppose $T \in \mathcal{L}(\mathbf{R}^2)$ is defined by $T(x, y) = (-3y, x)$. Find the eigvals of T .

SOLUTION:

Suppose $\lambda \in \mathbf{R}$ and $(x, y) \in \mathbf{R}^2 \setminus \{0\}$ such that $T(x, y) = (-3y, x) = \lambda(x, y)$. Then $-3y = \lambda x$ and $x = \lambda y$.

Thus $-3y = \lambda^2 y \Rightarrow \lambda^2 = -3$, ignoring the possibility of $y = 0$ (because if $y = 0$, then $x = 0$).

Hence the set of solution for this equation is \emptyset , and therefore T has no eigvals in \mathbf{R} . □

8 Define $T \in \mathcal{L}(\mathbf{F}^2)$ by $T(w, z) = (z, w)$. Find all eigvals and eigvecs of T .

SOLUTION:

Suppose $\lambda \in \mathbf{F}$ and $(w, z) \in \mathbf{F}^2$ such that $T(w, z) = (z, w) = \lambda(w, z)$. Then $z = \lambda w$ and $w = \lambda z$.

Thus $z = \lambda^2 z \Rightarrow \lambda^2 = 1$, ignoring the possibility of $z = 0$ ($z = 0 \Rightarrow w = 0$).

Hence $\lambda_1 = -1$ and $\lambda_2 = 1$ are all eigvals of T . For $\lambda_1 = -1, z = -w, w = -z$; For $\lambda_2 = 1, z = w$.

Thus the set of all eigvecs is $\{(z, -z), (z, z) : z \in \mathbf{F} \wedge z \neq 0\}$. □

• Suppose $P \in \mathcal{L}(V)$ is such that $P^2 = P$.

Prove that if λ is an eigval of P , then $\lambda = 0$ or $\lambda = 1$.

SOLUTION: (See also at (3.B), just below Problem (25), where (5.B.4) was answered.)

Suppose λ is an eigval with an eigvec v . Then $P(Pv) = Pv \Rightarrow \lambda^2 v = \lambda v$. Thus $\lambda = 1$ or 0 . □

22 Suppose $T \in \mathcal{L}(V)$ and \exists nonzero vecs u, w in V such that $Tu = 3w$ and $Tw = 3u$.

Prove that 3 or -3 is an eigval of T .

SOLUTION: COMMENT: $Tu = 3w, Tw = 3u \Rightarrow T(Tu) = 9u \Rightarrow T^2$ has an eigval 9 .

$Tu = 3w, Tw = 3u \Rightarrow T(u + w) = 3(u + w), T(u - w) = 3(w - u) = -3(u - w)$. □

9 Define $T \in \mathcal{L}(\mathbf{F}^3)$ by $T(z_1, z_2, z_3) = (2z_2, 0, 5z_3)$.

Find all eigvals and eigvecs of T .

SOLUTION:

Suppose λ is an eigval of T with an eigvec $(z_1, z_2, z_3) \in \mathbf{F}^3$.

Then $T(z_1, z_2, z_3) = (2z_2, 0, 5z_3) = \lambda(z_1, z_2, z_3)$. Thus $2z_2 = \lambda z_1$, $0 = \lambda z_2$, $5z_3 = \lambda z_3$.

We discuss in two cases:

For $\lambda = 0$, $z_2 = z_3 = 0$ and z_1 can be arbitrary ($z_1 \neq 0$).

For $\lambda \neq 0$, $z_2 = 0 = z_1$, and z_3 can be arbitrary ($z_3 \neq 0$), then $\lambda = 5$.

The set of all eigvecs is $\{(0, 0, z), (z, 0, 0) : z \in \mathbf{F} \wedge z \neq 0\}$. □

10 Define $T \in \mathcal{L}(\mathbf{F}^n)$ by $T(x_1, x_2, x_3, \dots, x_n) = (x_1, 2x_2, 3x_3, \dots, nx_n)$

(a) Find all eigvals and eigvecs of T .

(b) Find all invar subsp of V under T .

SOLUTION:

(a) Suppose $v = (x_1, x_2, x_3, \dots, x_n)$ is an eigvec of T with an eigval λ .

Then $Tv = \lambda v = (x_1, 2x_2, 3x_3, \dots, nx_n) = (\lambda x_1, \lambda x_2, \lambda x_3, \dots, \lambda x_n)$.

Hence $1, \dots, n$ are eigvals of T .

And $\{(0, \dots, 0, x_\lambda, 0, \dots, 0) \in \mathbf{F}^n : \lambda = 1, \dots, n, x_\lambda \in \mathbf{F} \wedge x_\lambda \neq 0\}$ is the set of all eigvecs of T .

(b) Let $V_\lambda = \{(0, \dots, 0, x_\lambda, 0, \dots, 0) \in \mathbf{F}^n : x_\lambda \in \mathbf{F} \wedge x_\lambda \neq 0\}$. Then V_1, \dots, V_n are invar under T .

Hence by Problem (4), every sum of V_1, \dots, V_n is a invar subsp of V under T . □

11 Define $T : \mathcal{P}(\mathbf{R}) \rightarrow \mathcal{P}(\mathbf{R})$ by $Tp = p'$. Find all eigvals and eigvecs of T .

SOLUTION:

Note that in general, $\deg p' < \deg p$ ($\deg 0 = -\infty$).

Suppose λ is an eigval of T with an eigvec p .

Suppose $\lambda \neq 0$. Then $\deg \lambda p > \deg p'$ while $\lambda p \neq p'$. Contradicts. Thus $\lambda = 0$.

Therefore $\deg \lambda p = -\infty = \deg p \Rightarrow p$ is a nonzero const poly.

Hence the set of all eigvecs is $\{C : C \in \mathbf{R} \wedge C \neq 0\} = \mathcal{P}_0(\mathbf{R}) \setminus \{0\}$. □

12 Define $T \in \mathcal{L}(\mathcal{P}_4(\mathbf{R}))$ by $(Tp)(x) = xp'(x)$ for all $x \in \mathbf{R}$.

Find all eigvals and eigvecs of T .

SOLUTION:

Suppose λ is an eigval of T with an eigvec p , then $(Tp)(x) = xp'(x) = \lambda p(x)$.

Let $p = a_0 + a_1x + \dots + a_nx^n$.

Then $xp'(x) = a_1x + 2a_2x^2 + \dots + na_nx^n = \lambda a_0 + \lambda a_1x + \lambda a_2x^2 + \dots + \lambda a_nx^n$.

Similar to Problem (10), $0, 1, \dots, n$ are eigvals of T .

The set of all eigvecs of T is $\{cx^\lambda : \lambda = 0, 1, \dots, n, c \in \mathbf{F} \wedge c \neq 0\}$. □

30 Suppose $T \in \mathcal{L}(\mathbf{R}^3)$ and $-4, 5, \sqrt{7}$ are eigvals of T .

Prove that $\exists x \in \mathbf{R}^3$ such that $Tx - 9x = (-4, 5, \sqrt{7})$.

SOLUTION: Because 9 is not an eigval. Hence $(T - 9I)$ is surj. □

14 Suppose $V = U \oplus W$, where U and W are nonzero subsp of V .

Define $P \in \mathcal{L}(V)$ by $P(u + w) = u$ for each $u \in U$ and each $w \in W$.

Find all eigvals and eigvecs of P .

SOLUTION:

Suppose λ is an eigval of P with an eigvec $(u + w)$.

Then $P(u + w) = u = \lambda u + \lambda w \Rightarrow (\lambda - 1)u + \lambda w = 0$. By [1.44] and $V = U \oplus W$, $(\lambda - 1)u = \lambda w = 0$.

Thus if $\lambda = 1$, then $w = 0$; if $\lambda = 0$, then $u = 0$.

Hence the eigvals of P are 0 and 1, the set of all eigvecs in P is $U \cup W$. □

13 Suppose V is finite-dim, $T \in \mathcal{L}(V)$, and $\lambda \in \mathbb{F}$.

Prove that $\exists \alpha \in \mathbb{F}, |\alpha - \lambda| < \frac{1}{1000}$ and $(T - \alpha I)$ is inv.

SOLUTION:

Let $\alpha_k \in \mathbb{F}$ be such that $|\alpha_k - \lambda| = \frac{1}{1000 + k}$ for each $k = 1, \dots, \dim V + 1$.

Note that each $T \in \mathcal{L}(V)$ has at most $\dim V$ distinct eigvals.

Hence $\exists k = 1, \dots, \dim V + 1$ such that α_k is not an eigval of T and therefore $(T - \alpha_k I)$ is inv. □

• Suppose V is finite-dim, $T \in \mathcal{L}(V)$, and $\lambda \in \mathbb{F}$.

Prove that $\exists \delta > 0$ such that $(T - \alpha I)$ is inv for all $\alpha \in \mathbb{F}$ such that $0 < |\alpha - \lambda| < \delta$.

SOLUTION:

If T has no eigvals, then $(T - \alpha I)$ is inje for all $\alpha \in \mathbb{F}$ and we are done.

Let $\delta > 0$ be such that, for each eigval λ_k , $\lambda_k \notin (\lambda - \delta, \lambda) \cup (\lambda, \lambda + \delta)$.

So that for all $\alpha \in \mathbb{F}$ such that $0 < |\alpha - \lambda| < \delta$, $(T - \alpha I)$ is not inje. □

17 Give an example of an operator on \mathbb{R}^4 that has no (real) eigvals.

SOLUTION: Where (e_1, e_2, e_3, e_4) is the standard basis of \mathbb{R}^4 .

Define $T \in \mathcal{L}(\mathbb{R}^4)$ by $\mathcal{M}(T, (e_1, e_2, e_3, e_4)) = \begin{pmatrix} 1 & 1 & 1 & 1 \\ -1 & 1 & -1 & -1 \\ 3 & 8 & 11 & 5 \\ 3 & -8 & -11 & 5 \end{pmatrix}$.

Suppose λ is an eigval of T with an eigvec (x, y, z, w) .

$$\text{Then } T(x, y, z, w) = \lambda(x, y, z, w) \Rightarrow \begin{cases} (1 - \lambda)x + y + z + w = 0 \\ -x + (1 - \lambda)y - z - w = 0 \\ 3x + 8y + (11 - \lambda)z + 5w = 0 \\ 3x - 8y - 11z + (5 - \lambda)w = 0 \end{cases}$$

This linear equation has no solutions.

(You can type it on <https://zh.numberempire.com/equationsolver.php> to check.)

OR. Define $T \in \mathcal{L}(\mathbb{R}^4)$ by $T(x_1, x_2, x_3, x_4) = (-x_2, x_1, -x_4, x_3)$.

Suppose λ is an eigval of T with an eigvec (x, y, z, w) .

$$\text{Then } T(x, y, z, w) = (\lambda x, \lambda y, \lambda z, \lambda w) = (-y, x, -w, z) \Rightarrow \begin{cases} -y = \lambda x \\ x = \lambda y \\ -w = \lambda z \\ z = \lambda w \end{cases} \Rightarrow \begin{cases} -xy = \lambda^2 xy \\ -zw = \lambda^2 zw \end{cases}$$

If $xy \neq 0$ or $zw \neq 0$, then $\lambda^2 = -1$, we fail.

Otherwise, $xy = 0 \Rightarrow x = y = 0$, for if $x \neq 0$, then $\lambda = 0 \Rightarrow x = 0$, contradicts.

Similarly, $y = z = w = 0$. Then we fail. Thus T has no eigvals. □

• Suppose (v_1, \dots, v_n) is a basis of V and $T \in \mathcal{L}(V)$, $\mathcal{M}(T, (v_1, \dots, v_n)) = A$.

Prove that if λ is an eigval of T , then $|\lambda| \leq n \max \{|A_{j,k}| : 1 \leq j, k \leq n\}$.

SOLUTION:

First we show that $|\lambda| = n \max \{|A_{j,k}| : 1 \leq j, k \leq n\}$ for some cases.

Consider $A = \begin{pmatrix} k & \cdots & k \\ \vdots & \ddots & \vdots \\ k & \cdots & k \end{pmatrix}$. Then nk is an eigval of T with an eigvec $v_1 + \dots + v_n$.

Now we show that if $|\lambda| \neq n \max \{|A_{j,k}| : 1 \leq j, k \leq n\}$, then $|\lambda| < n \max \{|A_{j,k}| : 1 \leq j, k \leq n\}$.

18 Show that the forward shift operator $T \in \mathcal{L}(\mathbf{F}^\infty)$ defined by $T(z_1, z_2, \dots) = (0, z_1, z_2, \dots)$ has no eigvals.

SOLUTION:

Suppose λ is an eigval of T with an eigvec (z_1, z_2, \dots) .

Then $T(z_1, z_2, \dots) = (\lambda z_1, \lambda z_2, \dots) = (0, z_1, z_2, \dots)$.

Thus $\lambda z_1 = 0, \lambda z_2 = z_1, \dots, \lambda z_k = z_{k-1}, \dots$.

Let $\lambda = 0$, then $\lambda z_2 = z_1 = 0 = \lambda z_k = z_{k-1}$, therefore $(z_1, z_2, \dots) = 0$ is not an eigvec.

Suppose $\lambda \neq 0$. Then $\lambda z_1 = 0 \Rightarrow z_1 = 0 \Rightarrow z_2 = 0 = z_k$ for all $k \in \mathbf{N}^+$.

And then $(z_1, z_2, \dots) = 0$ is not an eigvec. Hence T has no eigvals. □

19 Suppose $n \in \mathbf{N}^+$. Define $T \in \mathcal{L}(\mathbf{F}^n)$ by

$$T(x_1, \dots, x_n) = (x_1 + \dots + x_n, \dots, x_1 + \dots + x_n).$$

In other words, the entries of $\mathcal{M}(T)$ with resp to the standard basis are all 1's.

Find all eigvals and eigvecs of T .

SOLUTION:

Suppose λ is an eigval of T with an eigvec (x_1, \dots, x_n) .

Then $T(x_1, \dots, x_n) = (\lambda x_1, \dots, \lambda x_n) = (x_1 + \dots + x_n, \dots, x_1 + \dots + x_n)$.

Thus $\lambda x_1 = \dots = \lambda x_n = x_1 + \dots + x_n$.

For $\lambda = 0$, $x_1 + \dots + x_n = 0$.

For $\lambda \neq 0$, $x_1 = \dots = x_n$ and then $\lambda x_k = nx_k$ for each k .

Hence $0, n$ are eigvals of T .

And the set of all eigvecs of T is $\{(x_1, \dots, x_n) \in \mathbf{F}^n : x_1 + \dots + x_n = 0 \vee x_1 = \dots = x_n\}$. □

20 Define the backward shift operator $S \in \mathcal{L}(\mathbf{F}^\infty)$ by $S(z_1, z_2, z_3, \dots) = (z_2, z_3, \dots)$.

(a) Show that every element of \mathbf{F} is an eigval of S .

(b) Find all eigvecs of S .

SOLUTION:

Suppose λ is an eigval of S with an eigvec (z_1, z_2, \dots) .

Then $S(z_1, z_2, z_3, \dots) = (\lambda z_1, \lambda z_2, \dots) = (z_2, z_3, \dots)$.

Thus $\lambda z_1 = z_2, \lambda z_2 = z_3, \dots, \lambda z_k = z_{k+1}, \dots$.

For $\lambda = 0$, $\lambda z_1 = z_2 = 0 = \lambda z_2 = z_3 = \dots = z_k$ for all k .

While z_1 can be arbitrary, so that $(z_1, 0, \dots)$ is an eigvec with $z_1 \neq 0$.

For $\lambda \neq 0$, $\lambda^k z_1 = \lambda^{k-1} z_2 = \dots = \lambda z_k = z_{k+1}$ for all k .

Then $(z_1, \lambda z_1, \lambda^2 z_1, \dots, \lambda^k z_1, \dots)$ is an eigvec with $z_1 \neq 0$.

Hence (a) each element of $\lambda \in \mathbf{F}$ is an eigval of T .

And (b) the set of all eigvecs of T is $\{(z_1, \lambda z_1, \lambda^2 z_1, \dots, \lambda^k z_1, \dots) \in \mathbf{F}^\infty : \lambda \in \mathbf{F}, z_1 \neq 0\}$ □

24 Suppose $A \in \mathbf{F}^{n,n}$. Define $T \in \mathcal{L}(\mathbf{F}^n)$ by $Tx = Ax$,

where elements of \mathbf{F}^n are thought of as n -by-1 col vecs.

(a) Suppose the sum of the entries in each row of A equals 1.

Prove that 1 is an eigval of T .

(b) Suppose the sum of the entries in each col of A equals 1.

Prove that 1 is an eigval of T .

SOLUTION:

(a) Suppose λ is an eigval of T with an eigvec $x = \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix}$.

$$\text{Then } Tx = Ax = \begin{pmatrix} \sum_{c=1}^n A_{1,c}x_c \\ \vdots \\ \sum_{c=1}^n A_{n,c}x_c \end{pmatrix} = \lambda \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix}. \text{ While } \sum_{r=1}^n A_{r,c} = 1 \text{ for each } c = 1, \dots, n.$$

Thus if we let $x_1 = \dots = x_n$, then $\lambda = 1$, and hence 1 is an eigval of T .

(b) Suppose λ is an eigval of T with an eigvec $x = \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix}$.

$$\text{Then } Tx = Ax = \begin{pmatrix} \sum_{r=1}^n A_{1,r}x_r \\ \vdots \\ \sum_{r=1}^n A_{n,r}x_r \end{pmatrix} = \lambda \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix}. \text{ While } \sum_{r=1}^n A_{r,C} = 1 \text{ for each } C = 1, \dots, n.$$

$$\text{Thus } \sum_{r=1}^n (Ax)_{r,c} = \sum_{r=1}^n (Ax)_{r,1}$$

$$= \sum_{c=1}^n (A_{1,c} + \dots + A_{n,c})x_c = \sum_{c=1}^n x_c = \lambda \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix}.$$

Hence $\lambda = 1$, for all x such that $\sum_{c=1}^n x_{c,1} \neq 0$. □

OR. Prove that $(T - I)$ is not inv, so that we can conclude $\lambda = 1$ is an eigval.

$$\text{Because } (T - I)x = (A - \mathcal{M}(I))x = \begin{pmatrix} \sum_{r=1}^n A_{1,r}x_r - x_1 \\ \vdots \\ \sum_{r=1}^n A_{n,r}x_r - x_n \end{pmatrix} = \begin{pmatrix} y_1 \\ \vdots \\ y_n \end{pmatrix}.$$

$$\text{Then } y_1 + \dots + y_n = \sum_{r=1}^n \sum_{c=1}^n (A_{r,c}x_c - x_r) = \sum_{c=1}^n x_c \sum_{r=1}^n A_{r,c} - \sum_{r=1}^n x_r = 0.$$

Thus $\text{range}(T - I) \subseteq \left\{ \begin{pmatrix} y_1 \\ \vdots \\ y_n \end{pmatrix} \in \mathbb{F}^n : y_1 + \dots + y_n = 0 \right\}$. Hence $(T - I)$ is not surj. □

• Suppose $A \in \mathbb{F}^{n,n}$. Define $T \in \mathcal{L}(\mathbb{F}^n)$ by $Tx = xA$,

where elements of \mathbb{F}^n are thought of as 1-by- n row vecs.

(a) Suppose the sum of the entries in each col of A equals 1.

Prove that 1 is an eigval of T .

(b) Suppose the sum of the entries in each row of A equals 1.

Prove that 1 is an eigval of T .

SOLUTION:

(a) Suppose λ is an eigval of T with an eigvec $x = (x_1 \ \dots \ x_n)$.

$$\text{Then } Tx = xA = \left(\sum_{r=1}^n x_r A_{r,1} \ \dots \ \sum_{r=1}^n x_r A_{r,n} \right) = \lambda (x_1 \ \dots \ x_n). \text{ While } \sum_{r=1}^n A_{r,C} = 1 \text{ for each } C = 1, \dots, n.$$

Thus if we let $x_1 = \dots = x_n$, then $\lambda = 1$, hence 1 is an eigval of T .

(b) Suppose λ is an eigval of T with an eigvec $x = (x_1 \ \dots \ x_n)$.

Then $Tx = xA = \left(\sum_{c=1}^n x_c A_{c,1} \quad \cdots \quad \sum_{c=1}^n x_c A_{c,n} \right) = \lambda (x_1 \quad \cdots \quad x_n)$. While $\sum_{c=1}^n A_{R,c} = 1$ for each $R = 1, \dots, n$.

Thus $\sum_{c=1}^n (xA)_{.,c} = \sum_{c=1}^n (xA)_{1,c} = \sum_{c=1}^n (A_{c,1} + \cdots + A_{c,n})x_c = \sum_{c=1}^n x_c = \lambda(x_1 + \cdots + x_n)$.

Hence $\lambda = 1$, for all x such that $\sum_{r=1}^n x_{1,r} \neq 0$. □

OR. Prove that $(T - I)$ is not inv, so that we can conclude $\lambda = 1$ is an eigval.

Because $(T - I)x = x(A - \mathcal{M}(I)) = \left(\sum_{c=1}^n x_c A_{c,1} - x_1 \quad \cdots \quad \sum_{c=1}^n x_c A_{c,n} - x_n \right) = (y_1 \quad \cdots \quad y_n)$.

Then $y_1 + \cdots + y_n = \sum_{c=1}^n \sum_{r=1}^n (x_r A_{r,c} - x_c) = \sum_{r=1}^n x_r \sum_{c=1}^n A_{r,c} - \sum_{c=1}^n x_c = 0$.

Thus $\text{range}(T - I) \subseteq \{ (y_1 \quad \cdots \quad y_n) \in \mathbb{F}^n : y_1 + \cdots + y_n = 0 \}$. Hence $(T - I)$ is not surj. □

25 Suppose $T \in \mathcal{L}(V)$ and u, w are eigvecs of T such that $u + w$ is also an eigvec of T .

Prove that u and w are eigvecs of T correspd to the same eigval.

SOLUTION:

Suppose $\lambda_1, \lambda_2, \lambda_0$ are eigvals of T correspd to $u, w, u + w$ respectively.

Then $T(u + w) = \lambda_0(u + w) = Tu + Tw = \lambda_1 u + \lambda_2 w \Rightarrow (\lambda_0 - \lambda_1)u = (\lambda_2 - \lambda_0)w$.

Notice that $u, w, u + w$ are nonzero.

If (u, w) is linely depe, then let $w = cu$, therefore

$$\lambda_2 cu = Tw = cTu = \lambda_1 cu \Rightarrow \lambda_2 = \lambda_1.$$

$$\lambda_0(u + w) = T(u + w) = \lambda_1 u + \lambda_1 cu = \lambda_1(u + w) \Rightarrow \lambda_0 = \lambda_1.$$

Otherwise, $\lambda_0 - \lambda_1 = \lambda_2 - \lambda_0 = 0 \Rightarrow \lambda_1 = \lambda_2 = \lambda_0$. □

26 Suppose $T \in \mathcal{L}(V)$ is such that every nonzero vec in V is an eigvec of T .

Prove that T is a scalar multi of the identity operator.

SOLUTION:

Because $\forall v \in V, \exists! \lambda_v \in \mathbb{F}, Tv = \lambda_v v$. For any two distinct nonzero vecs $v, w \in V$,

$T(v + w) = \lambda_{v+w}(v + w) = Tv + Tw = \lambda_v v + \lambda_w w \Rightarrow (\lambda_{v+w} - \lambda_v)v = (\lambda_w - \lambda_{v+w})w$.

If (v, w) is linely inde, then let $w = cv$, therefore

$$\lambda_v cv = cTv = Tw = \lambda_w w \Rightarrow \lambda_w = \lambda_v.$$

$$\lambda_{v+w}(v + w) = T(v + w) = Tv + Tw = \lambda_v(v + cv) \Rightarrow \lambda_{v+w} = \lambda_v.$$

Otherwise, $\lambda_v = \lambda_{v+w} = \lambda_w$. □

27, 28 Suppose V is finite-dim and $k \in \{1, \dots, \dim V - 1\}$.

Suppose $T \in \mathcal{L}(V)$ is such that every subsp of V of dim k is invar under T .

Prove that T is a scalar multi of the identity operator.

SOLUTION: We prove the contrapositive:

Suppose T is not a scalar multi of I . Prove that \exists an invar subsp U of V under T such that $\dim U = k$.

By Problem (26), $\exists v \in V$ and $v \neq 0$ such that v is not an eigvec of T .

Thus (v, Tv) is linely inde. Extend to a basis of V as (v, Tv, u_1, \dots, u_n) .

Let $U = \text{span}(v, u_1, \dots, u_{k-1}) \Rightarrow U$ is not an invar subsp of V under T .

OR. Suppose $0 \neq v = v_1 \in V$ and extend to a basis of V as (v_1, \dots, v_n) .

Suppose $Tv_1 = c_1 v_1 + \cdots + c_n v_n, \exists! c_i \in \mathbb{F}$.

Consider a k -dim subsp $U = \text{span}(v_1, v_{\alpha_1}, \dots, v_{\alpha_{k-1}})$,

where $\alpha_j \in \{2, \dots, n\}$ for each j , and $\alpha_1, \dots, \alpha_{k-1}$ are distinct.

Because every subsp such U is invar.

Thus $Tv_1 = c_1v_1 + \dots + c_nv_n \in U \Rightarrow c_2 = \dots = c_n = 0$,

for if not, for each $c_i \neq 0$, choose U_i such that $\alpha_j \in \overbrace{\{2, \dots, i-1, i+1, \dots, n\}}^{\text{length}(n-2)}$ for each j ,
hence for $Tv_1 = c_1v_1 + \dots + c_{i-1}v_{i-1} + c_{i+1}v_{i+1} + \dots + c_nv_n \in U_i$, we conclude that $c_i = 0$.
 $\Rightarrow Tv_1 = c_1v_1$, $\forall v_1 = v \in V$ is arbitrary $\Rightarrow T = \lambda I$ for some λ . □

• Suppose V is finite-dim and $T \in \mathcal{L}(V)$. Prove that

T has an eigval $\iff \exists$ an invar subsp U of V under T such that $\dim U = \dim V - 1$.

SOLUTION:

(a) Suppose λ is an eigval of T with an eigvec v .

(If $\dim V = 1$, then $U = \{0\}$ and we are done.)

Extend $v_1 = v$ to a basis of V as (v_1, v_2, \dots, v_n) .

Step 1 If $\exists w_1 \in \text{span}(v_2, \dots, v_n)$ such that $0 \neq Tw_1 \in \text{span}(v_1)$,

then extend $w_1 = \alpha_{1,1}$ to a basis of $\text{span}(v_2, \dots, v_n)$ as $(\alpha_{1,1}, \dots, \alpha_{1,n-1})$.

Otherwise, we stop at step 1.

⋮

Step k If $\exists w_k \in \text{span}(\alpha_{k-1,2}, \dots, \alpha_{k-1,n-k+1})$ such that $0 \neq Tw_k \in \text{span}(v_1, w_1, \dots, w_{k-1})$,

then extend $w_k = \alpha_{k,1}$ to a basis of $\text{span}(\alpha_{k-1,2}, \dots, \alpha_{k-1,n-k+1})$ as $(\alpha_{k,1}, \dots, \alpha_{k,n-k})$.

Otherwise, we stop at step k .

⋮

Finally, we stop at step m , thus we get $(v_1, w_1, \dots, w_{m-1})$ and $(\alpha_{m-1,2}, \dots, \alpha_{m-1,n-m+1})$,

$\text{range } T|_{\text{span}(w_1, \dots, w_{m-1})} = \text{span}(v_1, w_1, \dots, w_{m-2}) \Rightarrow \dim \text{null } T|_{\text{span}(w_1, \dots, w_{m-1})} = 0$,

$\text{span}(\underbrace{v_1, w_1, \dots, w_{m-1}}_{\text{length dim } m})$ and $\text{span}(\underbrace{\alpha_{m-1,2}, \dots, \alpha_{m-1,n-m+1}}_{\text{length dim}(n-m)})$ are invar under T .

Let $U = \text{span}(\alpha_{m-1,2}, \dots, \alpha_{m-1,n-m+1}) \oplus \text{span}(v_1, w_1, \dots, w_{m-2})$ and we are done. □

COMMENT: Both $\text{span}(v_2, \dots, v_n)$ and $\text{span}(\alpha_{m-1,2}, \dots, \alpha_{m-1,n-m+1}) \oplus \text{span}(w_1, \dots, w_{m-1})$ are in $\mathcal{S}_V \text{span}(v_1)$.

(b) Suppose U is an invar subspace of V under T with $\dim U = m = \dim V - 1$.

(If $m = 0$, then $\dim V = 1$ and we are done.)

Let (u_1, \dots, u_m) be a basis of U , extend to a basis of V as (u_0, u_1, \dots, u_m) .

We discuss in cases:

For $Tu_0 \in U$, then $\text{range } T = U$ so that T is not surj $\iff \text{null } T \neq \{0\} \iff 0$ is an eigval of T .

For $Tu_0 \notin U$, then $Tu_0 = a_0u_0 + a_1u_1 + \dots + a_mu_m$.

(1) If $Tu_0 \in \text{span}(u_0)$, then we are done.

(2) Otherwise, if $\text{range } T|_U = U$, then $Tu_0 = a_0u_0$ and we are done;

otherwise, $T|_U : U \rightarrow U$ is not surj (\Rightarrow not inje), suppose $\text{range } T|_U \neq \{0\}$

(Suppose $\text{range } T|_U = \{0\}$. If $\dim U = 0$ then we are done.

Otherwise $\exists u \in U \setminus \{0\}, Tu = 0$ and we are done.)

then $\exists u \in U \setminus \{0\}, Tu = 0$, we are done. □

29 Suppose $T \in \mathcal{L}(V)$ and $\text{range } T$ is finite-dim.

Prove that T has at most $1 + \dim \text{range } T$ distinct eigvals.

SOLUTION:

Let $\lambda_1, \dots, \lambda_m$ be the distinct eigvals of T and let v_1, \dots, v_m be the correspd eigvecs.

(Because range T is finite-dim. Let (v_1, \dots, v_n) be a list of all the linely inde eigvecs of T , so that the correspd eigvals are finite.)

For every $\lambda_k \neq 0$, $T(\frac{1}{\lambda_k}v_k) = v_k$. And if $T = T - 0I$ is not inje, then $\exists! \lambda_A = 0$ and $Tv_A = \lambda_A v_A = 0$. Thus for $\lambda_k \neq 0$, $\forall k$, (Tv_1, \dots, Tv_m) is a linely inde list of length m in range T .

And for $\lambda_A = 0$, there is a linely inde list of length at most $(m - 1)$ in range T .

Hence, by [2.23], $m \leq \dim \text{range } T + 1$. □

32 Suppose that $\lambda_1, \dots, \lambda_n$ are distinct real numbers.

Prove that $(e^{\lambda_1}x, \dots, e^{\lambda_n}x)$ is linely inde in $\mathbb{R}^{\mathbb{R}}$.

HINT: Let $V = \text{span}(e^{\lambda_1}x, \dots, e^{\lambda_n}x)$, and define an operator $D \in \mathcal{L}(V)$ by $Df = f'$.

Find eigvals and eigvecs of D .

SOLUTION:

Define V and $D \in \mathcal{L}(V)$ as in HINT. Then because for each k , $D(e^{\lambda_k}x) = \lambda_k e^{\lambda_k}x$.

Thus $\lambda_1, \dots, \lambda_n$ are distinct eigvals of D . By [5.10], $(e^{\lambda_1}x, \dots, e^{\lambda_n}x)$ is linely inde in $\mathbb{R}^{\mathbb{R}}$. □

• Suppose $\lambda_1, \dots, \lambda_n$ are distinct positive numbers.

Prove that $(\cos(\lambda_1 x), \dots, \cos(\lambda_n x))$ is linely inde in $\mathbb{R}^{\mathbb{R}}$.

SOLUTION:

Let $V = \text{span}(\cos(\lambda_1 x), \dots, \cos(\lambda_n x))$. Define $D \in \mathcal{L}(V)$ by $Df = f'$.

Then because $D(\cos(\lambda_k x)) = -\lambda_k \sin(\lambda_k x)$. 又 $D(\sin(\lambda_k x)) = \lambda_k \cos(\lambda_k x)$.

Thus $D^2(\cos(\lambda_k x)) = -\lambda_k^2 \cos(\lambda_k x)$.

Notice that $\lambda_1, \dots, \lambda_n$ are distinct $\Rightarrow -\lambda_1^2, \dots, -\lambda_n^2$ are distinct.

Hence $-\lambda_1^2, \dots, -\lambda_n^2$ are distinct eigvals of D^2

with the correspd eigvecs $\cos(\lambda_1 x), \dots, \cos(\lambda_n x)$ respectively.

And then $(\cos(\lambda_1 x), \dots, \cos(\lambda_n x))$ is linely inde in $\mathbb{R}^{\mathbb{R}}$. □

• Suppose V is finite-dim, $T \in \mathcal{L}(V)$, and U is a subsp of V invar under T .

The quotient operator $T/U \in \mathcal{L}(V/U)$ is defined by

$$(T/U)(v + U) = Tv + U \text{ for each } v \in V.$$

(a) Show that the definition of T/U makes sense

(which requires using the condition that U is invar under T)

and show that T/U is an operator on V/U .

(b) (OR Problem 35) Show that each eigval of T/U is an eigval of T .

SOLUTION:

(a) Suppose $v + U = w + U$ ($\Leftrightarrow v - w \in U$).

Then because U is invar under T , $T(v - w) \in U \Leftrightarrow Tv + U = Tw + U$.

Hence the definition of T/U makes sense.

Now we show that T/U is linear.

$$\begin{aligned} \forall v + U, w + U \in V/U, \lambda \in \mathbb{F}, (T/U)((v + U) + \lambda(w + U)) \\ = T(v + \lambda w) + U = (Tv + U) + \lambda(Tw + U) \\ = (T/U)(v + U) + \lambda(T/U)(w + U). \end{aligned}$$

(b) Suppose λ is an eigval of T/U with an eigvec $v + U$.

Then $(T/U)(v + U) = \lambda(v + U) = Tv + U = \lambda v + U \Rightarrow (T - \lambda I)v \in U$.

If $(T - \lambda I)v = 0 \Rightarrow Tv = \lambda v$, then we are done.

Otherwise, then $(T|_U - \lambda I) : U \rightarrow U$ is inv,

hence $\exists! w \in U, (T|_U - \lambda I)(w) = (T - \lambda I)v \Rightarrow T(v - w) = \lambda(v - w)$.

Note that $v - w \neq 0$ (for if not, $v \in U \Rightarrow v + U = 0 + U$ is not an eigvec). □

36 Prove or give a counterexample:

The result of (b) in Exercise 35 is still true if V is infinite-dim.

SOLUTION: A counterexample:

Consider $V = \text{span}(1, e^x, e^{2x}, \dots)$ in $\mathbb{R}^{\mathbb{R}}$, and a subsp $U = \text{span}(e^x, e^{2x}, \dots)$ of V .

Define $T \in \mathcal{L}(V)$ by $Tf = e^x f$. Then $\text{range } T = U$ is invar under T .

Consider $(T/U)(1 + U) = e^x + U = 0$

$\Rightarrow 0$ is an eigval of T/U but is not an eigval of T

($\text{null } T = \{0\}$, for if not, $\exists f \in V \setminus \{0\}, (Tf)(x) = e^x f(x) = 0, \forall x \in \mathbb{R} \Rightarrow f = 0$, contradicts). □

33 Suppose $T \in \mathcal{L}(V)$. Prove that $T/(\text{range } T) = 0$.

SOLUTION:

$\forall v + \text{range } T \in V/\text{range } T, v + \text{range } T \in \text{null } (T/(\text{range } T))$

$\Rightarrow \text{null } (T/(\text{range } T)) = V/\text{range } T \Rightarrow T/(\text{range } T)$ is a zero map. □

34 Suppose $T \in \mathcal{L}(V)$. Prove that $T/(\text{null } T)$ is inje $\iff (\text{null } T) \cap (\text{range } T) = \{0\}$.

SOLUTION:

(a) Suppose $T/(\text{null } T)$ is inje.

Then $(T/(\text{null } T))(u + \text{null } T) = Tu + \text{null } T = 0$

$\iff Tu \in \text{null } T \text{ 又 } Tu \in \text{range } T \iff u + \text{null } T = 0 \iff u \in \text{null } T \iff Tu = 0$.

Thus $(\text{null } T) \cap (\text{range } T) = \{0\}$.

(b) Suppose $(\text{null } T) \cap (\text{range } T) = \{0\}$.

Then $(T/(\text{null } T))(u + \text{null } T) = Tu + \text{null } T = 0$

$\iff Tu \in \text{null } T \text{ 又 } Tu \in \text{range } T \iff Tu = 0 \iff u \in \text{null } T \iff u + \text{null } T = 0$.

Thus $T/(\text{null } T)$ is inje. □

ENDED

5.B: I [See 5.B: II below.]

COMMENT: 下面, 为了照顾原书 5.B 节两版过大的差距, 特别将此节补注分成 I 和 II 两部分。

又考虑到第 4 版中 5.B 节的「本征值与极小多项式」与「奇维度实向量空间的本征值」(相当一部分是从原第 3 版 8.C 节挪过来的) 是对原第 3 版「多项式作用于算子」与「本征值的存在性」(也即第 3 版 5.B 前半部分) 的极大扩充, 这一扩充也大大改变了原第 3 版后半部分的「上三角矩阵」这一小节, 故而将第 4 版 5.B 节放在第 3 版前面。

I 部分除了覆盖第 4 版 5.B 节全部和第 3 版 5.B 节前半部分与之相关的所有习题, 还会覆盖第 4 版 5.A 节末。

II 部分除了覆盖第 3 版 5.B 节后半部分「上三角矩阵」这一小节, 还会覆盖第 4 版 5.C 节; 并且, 下面 5.C 还会覆盖第 4 版 5.D 节。

[注: [8.40] OR(4E 5.22) —— mini poly;

[8.44,8.45] OR(4E 5.25,5.26) —— how to find the mini poly;

- [8.49] OR(4E 5.27) — eigvals are the zeros of the mini poly;
 [8.46] OR(4E 5.29) — $q(T) = 0 \Leftrightarrow q$ is a poly multi of the mini poly.]

[1]: (4E.5.A.33), 13; [2]: (4E.5.B.25, 26, 27, 28, 22); [3]: 6, (4E.5.B.10, 23, 21), 19; [4]: (4E 5.B.13, 14);
 [5]: (4E.5.B.20, 24), 10; [6]: 1, 2, 7, 3, (4E.5.A.32); [7]: 8, (4E 5.B.12, 3, 8); [8]: (4E.5.B.11), 5, (4E.5.B.7);
 [9]: 11, 12, (4E.5.B.17, 18); [10]: 18 OR(4E.5.B.15), (4E.5.B.9), (4E.5.B.16); [11]: (2E Ch5.24), (4E.5.B.29).

• Suppose $T \in \mathcal{L}(V)$ and m is a positive integer.

(a) Prove that T is inje $\Leftrightarrow T^m$ is inje.

(b) Prove that T is surj $\Leftrightarrow T^m$ is surj.

SOLUTION:

(a) Suppose T^m is inje. Then $Tv = 0 \Rightarrow T^{m-1}Tv = T^mv = 0 \Rightarrow v = 0$.

Suppose T is inje. Then $T^mv = T^{m-1}v = \dots = T^2v = Tv = v = 0$.

(b) Suppose T^m is surj. $\forall u \in V, \exists v \in V, T^mv = u = Tw$, let $w = T^{m-1}v$. □

Suppose T is surj. Then $\forall u \in V, \exists v_1, \dots, v_m \in V, T(v_1) = T^2v_2 = \dots = T^mv_m = u$. □

• **NOTE FOR [5.17]:**

Suppose $T \in \mathcal{L}(V), p \in \mathcal{P}(\mathbf{F})$. Prove that $\text{null } p(T)$ and $\text{range } p(T)$ are invar under T .

SOLUTION: Using the commutativity in [5.10].

(a) Suppose $u \in \text{null } p(T)$. Then $p(T)u = 0$.

Thus $p(T)(Tu) = (p(T)T)u = (Tp(T))u = T(p(T)u) = 0$. Hence $Tu \in \text{null } p(T)$. □

(b) Suppose $u \in \text{range } p(T)$. Then $\exists v \in V$ such that $u = p(T)v$.

Thus $Tu = T(p(T)v) = p(T)(Tv) \in \text{range } p(T)$. □

• **NOTE FOR [5.21]:** Every operator on a finite-dim nonzero complex vecsp has an eigval.

Suppose V is a finite-dim complex vecsp of $\dim n > 0$ and $T \in \mathcal{L}(V)$.

Choose a nonzero $v \in V$. $(v, Tv, T^2v, \dots, T^nv)$ of length $n + 1$ is linely depe.

Suppose $a_0I + a_1T + \dots + a_nT^n = 0$. Then $\exists a_j \neq 0$.

Thus \exists nonconst p of smallest degree ($\deg p > 0$) such that $p(T)v = 0$.

Because $\exists \lambda \in \mathbf{C}$ such that $p(\lambda) = 0 \Rightarrow \exists q \in \mathcal{P}(\mathbf{C}), p(z) = (z - \lambda)q(z), \forall z \in \mathbf{C}$.

Thus $0 = p(T)v = (T - \lambda I)(q(T)v)$. By the minimality of $\deg p$ and $\deg q < \deg p, q(T)v \neq 0$.

Then $(T - \lambda I)$ is not inje. Thus λ is an eigval of T with eigvec $q(T)v$.

• **EXAMPLE:** an operator on a complex vecsp with no eigvals

Define $T \in \mathcal{L}(\mathcal{P}(\mathbf{C}))$ by $(Tp)(z) = zp(z)$.

Suppose $p \in \mathcal{P}(\mathbf{C})$ is a nonzero poly. Then $\deg Tp = \deg p + 1$, and thus $Tp \neq \lambda p, \forall \lambda \in \mathbf{C}$.

Hence T has no eigvals.

13 Suppose V is a complex vecsp and $T \in \mathcal{L}(V)$ has no eigvals.

Prove that every subsp of V invar under T is either $\{0\}$ or infinite-dim.

SOLUTION: Suppose U is a finite-dim nonzero invar subsp on \mathbf{C} . Then by [5.21], $T|_U$ has an eigval. □

• **TIPS:** For $T_1, \dots, T_m \in \mathcal{L}(V)$:

(a) Suppose T_1, \dots, T_m are all inje. Then $(T_1 \circ \dots \circ T_m)$ is inje.

(b) Suppose $(T_1 \circ \dots \circ T_m)$ is not inje. Then at least one of T_1, \dots, T_m is not inje.

(c) At least one of T_1, \dots, T_m is not inje $\nRightarrow (T_1 \circ \dots \circ T_m)$ is not inje.

EXAMPLE: On infinite-dim only. Let $V = \mathbb{F}^\infty$.

Let S be the backward shift (surj but not inje)
 Let T be the forward shift (inje but not surj) $\left. \vphantom{\begin{matrix} \text{Let } S \text{ be the backward shift (surj but not inje)} \\ \text{Let } T \text{ be the forward shift (inje but not surj)} \end{matrix}} \right\} \Rightarrow \text{Then } ST = I.$

□

16 Suppose $0 \neq v \in V$. Define $S \in \mathcal{L}(\mathcal{P}_{\dim V}(\mathbb{C}), V)$ by $S(p) = p(T)v$. Prove [5.21].

SOLUTION:

Because $\dim \mathcal{P}_{\dim V}(\mathbb{C}) = \dim V + 1$. Then S is not inje. Hence $\exists 0 \neq p \in \mathcal{P}_{\dim V}(\mathbb{C}), p(T)v = 0$.

Using [4.14], write $p(z) = c(z - \lambda_1) \cdots (z - \lambda_m)$. Apply T to both sides: $p(T) = c(T - \lambda_1 I) \cdots (T - \lambda_m I)$.

Thus at least one of $(T - \lambda_j I)$ is not inje (because $p(T)$ is not inje).

□

17 Suppose $0 \neq v \in V$. Define $S \in \mathcal{L}(\mathcal{P}_{(\dim V)^2}(\mathbb{C}), \mathcal{L}(V))$ by $S(p) = p(T)$. Prove [5.21].

SOLUTION:

Because $\dim \mathcal{P}_{(\dim V)^2}(\mathbb{C}) = (\dim V)^2 + 1$. Then S is not inje. Hence $\exists 0 \neq p \in \mathcal{P}_{(\dim V)^2}(\mathbb{C}), p(T) = 0$.

Using [4.14], write $p(z) = c(z - \lambda_1) \cdots (z - \lambda_m)$. Applying T , we have $0 = p(T) = c(T - \lambda_1 I) \cdots (T - \lambda_m I)$.

Thus $(T - \lambda_1 I) \cdots (T - \lambda_m I) = 0 \Rightarrow \exists j, (T - \lambda_j)$ is not inje.

□

COMMENT: \exists monic $q \in \text{null } S \neq \{0\}$ of smallest degree, $S(q) = q(T) = 0$, then q is the *mini poly*.

• **NOTE FOR [8.40]:** def for *mini poly*

Suppose V is finite-dim and $T \in \mathcal{L}(V)$.

Suppose $M_T^0 = \{p_j\}_{j \in \Gamma}$ is the set of all monic poly that give 0 whenever T is applied.

Prove that $\exists ! p_k \in M_T^0, \deg p_k = \min\{\deg p_j\}_{j \in \Gamma} \leq \dim V$.

SOLUTION: OR. Another Proof :

[Existns Part] We use induction on $\dim V$.

(i) If $\dim V = 0$, then $I = 0 \in \mathcal{L}(V)$ and let $p = 1$, we are done.

(ii) Suppose $\dim V \geq 1$.

Assume that $\dim V > 0$ and that the desired result is true for all operators on all vecsps of smaller dim.

Let $u \in V, u \neq 0$. The list $(u, Tu, \dots, T^{\dim V} u)$ of length $(1 + \dim V)$ is linely depe.

Then $\exists ! T^m$ of smallest degree such that $T^m u \in \text{span}(u, Tu, \dots, T^{m-1} u)$.

Thus $\exists c_j \in \mathbb{F}, c_0 u + c_1 Tu + \dots + c_{m-1} T^{m-1} u + T^m u = 0$.

Define q by $q(z) = c_0 + c_1 z + \dots + c_{m-1} z^{m-1} + z^m$.

Then $0 = T^k(q(T)u) = q(T)(T^k u), \forall k \in \{1, \dots, m-1\} \subseteq \mathbb{N}$.

Because $(u, Tu, \dots, T^{m-1} u)$ is linely inde.

Thus $\dim \text{null } q(T) \geq m \Rightarrow \dim \text{range } q(T) = \dim V - \dim \text{null } q(T) \leq \dim V - m$.

Let $W = \text{range } q(T)$.

By assumption, $\exists s \in M_T^0$ of smallest degree (and $\deg s \leq \dim W$,) so that $s(T|_W) = 0$.

Hence $\forall v \in V, ((sq)(T))(v) = s(T)(q(T)v) = 0$.

Thus $sq \in M_T^0$ and $\deg sq \leq \dim V$.

[Uniqnes Part]

Suppose $p, q \in M_T^0$ are of the smallest degree. Then $(p-q)(T) = 0$. 又 $\deg(p-q) = m < \min\{\deg p_j\}_{j \in \Gamma}$.

Hence $p - q = 0$, for if not, $\exists ! c \in \mathbb{F}, c(p - q) \in M_T^0$. Contradicts.

□

• (4E 5.31, 4E 5.B.25 and 26) *mini poly of restriction operator and mini poly of quotient operator*

Suppose V is finite-dim, $T \in \mathcal{L}(V)$, and U is an invar subsp of V under T .

Let p be the mini poly of T .

- (a) Prove that p is a poly multi of the mini poly of $T|_U$.
 (b) Prove that p is a poly multi of the mini poly of T/U .
 (c) Prove that (mini poly of $T|_U$) \times (mini poly of T/U) is a poly multi of p .
 (d) Prove that the set of eigvals of T equals
 the union of the set of eigvals of $T|_U$ and the set of eigvals of T/U .

SOLUTION:

- (a) $p(T) = 0 \Rightarrow \forall u \in U, p(T)u = 0 \Rightarrow p(T|_U) = 0 \Rightarrow$ By [8.46]. □
 (b) $p(T) = 0 \Rightarrow \forall v \in V, p(T)v = 0 \Rightarrow p(T/U)(v + U) = p(T)v + U = 0$. □
 (c) Suppose r is the mini poly of $T|_U$, s is the mini poly of T/U .
 Because $\forall v \in V, s(T/U)(v + U) = s(T)v + U = 0$. So that $\forall v \in V$ but $v \notin U, s(T)v \in U$.
 又 $\forall u \in U, r(T|_U)u = r(T)u = 0$.
 Thus $\forall v \in V$ but $v \notin U, (rs)(T)v = r(s(T)v) = 0$.
 And $\forall u \in U, (rs)(T)u = r(s(T)u) = 0$ (because $s(T)u = s(T|_U)u \in U$).
 Hence $\forall v \in V, (rs)(T)v = 0 \Rightarrow (rs)(T) = 0$. □
 (d) By [8.49], immediately. □

- (4E 5.B.27) Suppose $\mathbf{F} = \mathbf{R}$, V is finite-dim, and $T \in \mathcal{L}(V)$.
 Prove that the mini poly p of $T_{\mathbf{C}}$ equals the mini poly q of T .

SOLUTION:

- (a) $\forall u + i0 \in V_{\mathbf{C}}, p(T_{\mathbf{C}})(u) = p(T)u = 0 \Rightarrow \forall u \in V, p(T)u = 0 \Rightarrow p$ is a poly multi of q .
 (b) $q(T) = 0 \Rightarrow \forall u + iv \in V_{\mathbf{C}}, q(T_{\mathbf{C}})(u + iv) = q(T)u + iq(T)v = 0 \Rightarrow q$ is a poly multi of p . □

- (4E 5.B.28) Suppose V is finite-dim and $T \in \mathcal{L}(V)$.
 Prove that the mini poly p of $T' \in \mathcal{L}(V')$ equals the mini poly q of T .

SOLUTION:

- (a) $\forall \varphi \in V', p(T')\varphi = \varphi \circ (p(T)) = 0 \Rightarrow \forall \varphi \in V', p(T) \in \text{null } \varphi \Rightarrow p(T) = 0, p$ is a poly multi of q .
 (b) $q(T) = 0 \Rightarrow \forall \varphi \in V', \varphi \circ (q(T)) = q(T')\varphi = 0 \Rightarrow q(T) = 0, q$ is a poly multi of p . □

- (4E 5.32) Suppose $T \in \mathcal{L}(V)$ and p is the mini poly.
 Prove that T is not inje \iff the const term of p is 0.

SOLUTION:

- T is not inje $\iff 0$ is an eigval of $T \iff 0$ is a zero of $p \iff$ the const term of p is 0. □
 OR. Because $p(0) = (z - 0)(z - \lambda_1) \cdots (z - \lambda_m) = 0 \Rightarrow T(T - \lambda_1 I) \cdots (T - \lambda_m I) = 0$
 又 p is the mini poly $\Rightarrow q$ define by $q(z) = (z - \lambda_1) \cdots (z - \lambda_m)$ is such that $q(T) \neq 0$.
 Hence $0 = p(T) = Tq(T) \Rightarrow T$ is not inje.
 Conversely, suppose $(T - 0I)$ is not inje, then 0 is a zero of p , so that the const term is 0. □

- (4E 5.B.22)
 Suppose V is finite-dim, $T \in \mathcal{L}(V)$. Prove that T is inv $\iff I \in \text{span}(T, T^2, \dots, T^{\dim V})$.

SOLUTION: Denote the mini poly by p , where for all $z \in \mathbf{F}, p(z) = a_0 + a_1 z + \cdots + z^m$.

Notice that V is finite-dim. T is inv $\iff T$ is inje $\iff p(0) \neq 0$.

Hence $p(T) = 0 = a_0 I + a_1 T + \cdots + T^m$, where $a_0 \neq 0$ and $m \leq \dim V$. □

6 Suppose $T \in \mathcal{L}(V)$ and U is a subsp of V invar under T .

Prove that U is invar under $p(T)$ for every poly $p \in \mathcal{P}(\mathbf{F})$.

SOLUTION:

$$\forall u \in U, Tu \in U \Rightarrow \forall u \in U, Iu, Tu, T(Tu), \dots, T^m u \in U \Rightarrow \forall u \in U, (a_0 I + a_1 T + \dots + a_m T^m)u \in U. \square$$

• (4E 5.B.10, 5.B.23) Suppose V is finite-dim, $T \in \mathcal{L}(V)$ and p is the mini poly with degree m . Suppose $v \in V$.

(a) Prove that $\text{span}(v, Tv, \dots, T^{m-1}v) = \text{span}(v, Tv, \dots, T^{j-1}v)$ for some $j \leq m$.

(b) Prove that $\text{span}(v, Tv, \dots, T^{m-1}v) = \text{span}(v, Tv, \dots, T^{m-1}v, \dots, T^n v)$.

SOLUTION:

COMMENT: By NOTE FOR[8.40], j has an upper bound $m - 1$, m has an upper bound $\dim V$.

Write $p(z) = a_0 + a_1 z + \dots + z^m$ ($m \leq \dim V$). If $v = 0$, then we are done. Suppose $v \neq 0$.

(a) Suppose $j \in \mathbf{N}^+$ is the smallest such that $T^j v \in \text{span}(v, Tv, \dots, T^{j-1}v) = U_0$. Then $j \leq m$.

Write $T^j v = c_0 v + c_1 T v + \dots + c_{j-1} T^{j-1} v$. And because $T(T^k v) = T^{k+1} v \in U_0$. U_0 is invar under T .

By Problem (6), $\forall k \in \mathbf{N}$, $T^{j+k} v = T^k(T^j v) \in U_0$.

Thus $U_0 = \text{span}(v, Tv, \dots, T^{j-1}v, \dots, T^n v)$ for all $n \geq j - 1$. Let $n = m - 1$ and we are done.

(b) Let $U = \text{span}(v, Tv, \dots, T^{m-1}v)$.

By (a), $U = U_0 = \text{span}(v, Tv, \dots, T^{j-1}v, \dots, T^{m-1}v, \dots, T^n v)$ for all $n \geq m - 1$. \square

• (4E 5.B.21) Suppose V is finite-dim and $T \in \mathcal{L}(V)$.

Prove that the mini poly p has degree at most $1 + \dim \text{range } T$.

If $\dim \text{range } T < \dim V - 1$, then this result gives a better upper bound for the degree of mini poly.

SOLUTION:

If T is inje, then $\text{range } T = V$ and we are done. Now choose $0 \neq v \in \text{null } T$, then $Tv + 0 \cdot v = 0$.

1 is the smallest positive integer such that $T^1 v \in \text{span}(v, \dots, T^0 v)$. Define q by $q(z) = z \Rightarrow q(T)v = 0$.

Let $W = \text{range } q(T) = \text{range } T$. \exists monic $s \in \mathcal{P}(\mathbf{F})$ of smallest degree ($\deg s \leq \dim W$), $s(T|_W) = 0$.

Hence sq is the mini poly (see NOTE FOR[8.40]) and $\deg(sq) = \deg s + \deg q \leq \dim \text{range } T + 1$. \square

19 Suppose V is finite-dim and $T \in \mathcal{L}(V)$. Let $\mathcal{E} = \{q(T) : q \in \mathcal{P}(\mathbf{F})\}$.

Prove that $\dim \mathcal{E}$ equals the degree of the mini poly of T .

SOLUTION:

Because the list $(I, T, \dots, T^{(\dim V)^2})$ of length $\dim \mathcal{L}(V) + 1$ is linely depe in $\dim \mathcal{L}(V)$.

Suppose $m \in \mathbf{N}^+$ is the smallest such that $T^m = a_0 I + \dots + a_{m-1} T^{m-1}$.

Then q defined by $q(z) = z^m - a_{m-1} z^{m-1} - \dots - a_0$ is the mini poly (see [8.40]).

For any $k \in \mathbf{N}^+$, $T^{m+k} = T^k(T^m) \in \text{span}(I, T, \dots, T^{m-1}) = U$.

Hence $\text{span}(I, T, \dots, T^{(\dim V)^2}) = \text{span}(I, T, \dots, T^{(\dim V)^2-1}) = U$.

Note that by the minimality of m , the list (I, T, \dots, T^{m-1}) is linely inde.

Thus $\dim U = m = \dim \text{span}(I, T, \dots, T^{(\dim V)^2-1}) = \dim \text{span}(I, T, \dots, T^n)$ for all $m < n \in \mathbf{N}^+$.

Define $\varphi \in \mathcal{L}(\mathcal{P}_{m-1}(\mathbf{F}), \mathcal{E})$ by $\varphi(p) = p(T)$.

(a) Suppose $p(T) = 0$. $\text{deg } p \leq m - 1 \Rightarrow p = 0$. Then φ is inje.

(b) $\forall S = a_0 I + a_1 T + \dots + a_{m-1} T^{m-1} \in \mathcal{E}$, define $p \in \mathcal{P}_{m-1}(\mathbf{F})$ by

$$p(z) = a_0 + a_1 z + \dots + a_{m-1} z^{m-1} \Rightarrow \varphi(p) = S. \text{ Then } \varphi \text{ is surj.}$$

Hence \mathcal{E} and $\mathcal{P}_{m-1}(\mathbf{F})$ are iso. $\text{deg } \mathcal{P}_{m-1}(\mathbf{F}) = m = \dim U$. \square

• (4E 5.B.13) Suppose $T \in \mathcal{L}(V)$ and $q \in \mathcal{P}(\mathbf{F})$ is defined by

$q(z) = a_0 + a_1z + \cdots + a_nz^n$, where $a_n \neq 0$, for all $z \in \mathbf{F}$.

Denote the mini poly of T by p defined by

$$p(z) = c_0 + c_1z + \cdots + c_{m-1}z^{m-1} + z^m \text{ for all } z \in \mathbf{F}.$$

Prove that $\exists! r \in \mathcal{P}(\mathbf{F})$ such that $q(T) = r(T)$, $\deg r < \deg p$.

SOLUTION:

If $\deg q < \deg p$, then we are done.

If $\deg q = \deg p$, notice that $p(T) = 0 = c_0I + c_1T + \cdots + c_{m-1}T^{m-1} + T^m$

$$\Rightarrow T^m = -c_0I - c_1T - \cdots - c_{m-1}T^{m-1},$$

define r by $r(z) = q(z) + [-a_mz^m + a_m(-c_0 - c_1z - \cdots - c_{m-1}z^{m-1})]$

$$= (a_0 - a_m c_0) + (a_1 - a_m c_1)z + \cdots + (a_{m-1} - a_m c_{m-1})z^{m-1},$$

hence $r(T) = 0$, $\deg r < m$ and we are done.

Now suppose $\deg q \geq \deg p$. We use induction on $\deg q$.

(i) $\deg q = \deg p$, then the desired result is true, as shown above.

(ii) $\deg q > \deg p$, assume that the desired result is true for $\deg q = n$.

Suppose $f \in \mathcal{P}(\mathbf{F})$ such that $f(z) = b_0 + b_1z + \cdots + b_nz^n + b_{n+1}z^{n+1}$.

Apply the assumption to g defined by $g(z) = b_0 + b_1z + \cdots + b_nz^n$,

getting s defined by $s(z) = d_0 + d_1z + \cdots + d_{m-1}z^{m-1}$.

Thus $g(T) = s(T) \Rightarrow f(T) = g(T) + b_{n+1}T^{n+1} = s(T) + b_{n+1}T^{n+1}$.

Apply the assumption to t defined by $t(z) = z^n$,

getting δ defined by $\delta(z) = c_0' + c_1'z + \cdots + c_{m-1}'z^{m-1}$.

Thus $t(T) = T^n = c_0' + c_1'T + \cdots + c_{m-1}'T^{m-1} = \delta(T)$.

又 $\text{span}(v, Tv, \dots, T^{m-1}v)$ is invar under T .

Hence $\exists! k_j \in \mathbf{F}$, $T^{n+1} = T(T^n) = k_0 + k_1T + \cdots + k_{m-1}T^{m-1}$.

And $f(T) = s(T) + b_{n+1}(k_0 + k_1T + \cdots + k_{m-1}T^{m-1})$

$\Rightarrow f(T) = (d_0 + k_0) + (d_1 + k_1)T + \cdots + (d_{m-1} + k_{m-1})T^{m-1} = h(T)$, thus defining h . □

• (4E 5.B.14) Suppose V is finite-dim, $T \in \mathcal{L}(V)$ has mini poly p

defined by $p(z) = a_0 + a_1z + \cdots + a_{m-1}z^{m-1} + z^m$, $a_0 \neq 0$.

Find the mini poly of T^{-1} .

SOLUTION:

Notice that V is finite-dim. Then $p(0) = a_0 \neq 0 \Rightarrow 0$ is not a zero of $p \Rightarrow T - 0I = T$ is inv.

Then $p(T) = a_0I + a_1T + \cdots + T^m = 0$. Apply T^{-m} to both sides,

$$a_0(T^{-1})^m + a_1(T^{-1})^{m-1} + \cdots + a_{m-1}T^{-1} + I = 0.$$

Define q by $q(z) = z^m + \frac{a_1}{a_0}z^{m-1} + \cdots + \frac{a_{m-1}}{a_0}z + \frac{1}{a_0}$ for all $z \in \mathbf{F}$.

We now show that $(T^{-1})^k \notin \text{span}(I, T^{-1}, \dots, (T^{-1})^{k-1})$

for every $k \in \{1, \dots, m-1\}$ by contradiction, so that q is exactly the mini poly of T^{-1} .

Suppose $(T^{-1})^k \in \text{span}(I, T^{-1}, \dots, (T^{-1})^{k-1})$.

Then let $(T^{-1})^k = b_0I + b_1T^{-1} + \cdots + b_{k-1}T^{k-1}$. Apply T^k to both sides,

getting $I = b_0T^k + b_1T^{k-1} + \cdots + b_{k-1}T$, hence $T^k \in \text{span}(I, T, \dots, T^{k-1})$.

Thus f defined by $f(z) = z^k + \frac{b_1}{b_0}z^{k-1} + \cdots + \frac{b_{k-1}}{b_0}z - \frac{1}{b_0}$ is a poly multi of p .

While $\deg f < \deg p$. Contradicts. □

• **NOTE FOR [8.49]:**

Suppose V is a finite-dim complex vecsp and $T \in \mathcal{L}(V)$.

By [4.14], the mini poly has the form $(z - \lambda_1) \cdots (z - \lambda_m)$,

where $\lambda_1, \dots, \lambda_m$ is a list of all eigvals of T , **possibly with repetitions**.

• COMMENT:

A nonzero poly has at most as many distinct zeros as its degree (see [4.12]).

Thus by the upper bound for the deg of mini poly given in NOTE FOR[8.40], and by [8.49,] we can **give an alternative proof of [5.13]**

• NOTICE(See also 4E 5.B.20,24)

Suppose $\alpha_1, \dots, \alpha_n$ are all the distinct eigvals of T ,

and therefore are all the distinct zeros of the mini poly.

Also, the mini poly of T is a poly multi of, but not equal to, $(z - \alpha_1) \cdots (z - \alpha_n)$.

If we define q by $q(z) = (z - \alpha_1)^{\dim V - (n-1)} \cdots (z - \alpha_n)^{\dim V - (n-1)}$,

then q is a poly multi of the char poly (see [8.34] and [8.26])

(Because $\dim V > n$ and $n - 1 > 0$, $n[\dim V - (n - 1)] > \dim V$.)

The char poly has the form $(z - \alpha_1)^{\gamma_1} \cdots (z - \alpha_n)^{\gamma_n}$, where $\gamma_1 + \cdots + \gamma_n = \dim V$.

The mini poly has the form $(z - \alpha_1)^{\delta_1} \cdots (z - \alpha_n)^{\delta_n}$, where $0 \leq \delta_1 + \cdots + \delta_n \leq \dim V$.

10 Suppose $T \in \mathcal{L}(V)$, λ is an eigval of T with an eigvec v .

Prove that for any $p \in \mathcal{P}(\mathbf{F})$, $p(T)v = p(\lambda)v$.

SOLUTION:

Suppose p is defined by $p(z) = a_0 + a_1z + \cdots + a_mz^m$ for all $z \in \mathbf{F}$. Because for any $n \in \mathbf{N}^+$, $T^n v = \lambda^n v$.

Thus $p(T)v = a_0v + a_1Tv + \cdots + a_mT^mv = a_0v + a_1\lambda v + \cdots + a_m\lambda^mv = p(\lambda)v$. □

COMMENT: For any $p \in \mathcal{P}(\mathbf{F})$ such that $p(z) = (z - \lambda_1)^{\alpha_1} \cdots (z - \lambda_m)^{\alpha_m}$, the result is true as well.

Now we prove that $(T - \lambda_1 I)^{\alpha_1} \cdots (T - \lambda_m I)^{\alpha_m} v = (\lambda - \lambda_1)^{\alpha_1} \cdots (\lambda - \lambda_m)^{\alpha_m} v$.

Define q_i by $q_i(z) = (z - \lambda_i)^{\alpha_i}$ for all $z \in \mathbf{F}$.

Because $(a + b)^n = a^n + C_n^1 a^{n-1} b + \cdots + C_n^k a^{n-k} b^k + \cdots + C_n^n b^n$.

Let $a = z$, $b = \lambda_i$, $n = \alpha_i$, so we can write $q_i(z)$ in the form $a_0 + a_1z + \cdots + a_mz^m$.

Hence $q_i(T)v = q_i(\lambda)v \Rightarrow (T - \lambda_i I)^{\alpha_i} v = (\lambda - \lambda_i)^{\alpha_i} v$.

Then for each $k \in \{2, \dots, m\}$, $(T - \lambda_{k-1} I)^{\alpha_{k-1}} (T - \lambda_k I)^{\alpha_k} v$

$$\begin{aligned} &= q_{k-1}(T)(q_k(T)v) \\ &= q_{k-1}(T)(q_k(\lambda)v) \\ &= q_{k-1}(\lambda)(q_k(\lambda)v) \\ &= (\lambda - \lambda_{k-1})^{\alpha_{k-1}} (\lambda - \lambda_k)^{\alpha_k} v. \end{aligned}$$

So that $(T - \lambda_1 I)^{\alpha_1} \cdots (T - \lambda_m I)^{\alpha_m} v$

$$\begin{aligned} &= q_1(T)(q_2(T)(\cdots (q_m(T)v) \cdots)) \\ &= q_1(\lambda)(q_2(\lambda)(\cdots (q_m(\lambda)v) \cdots)) \\ &= (\lambda - \lambda_1)^{\alpha_1} \cdots (\lambda - \lambda_m)^{\alpha_m} v. \end{aligned}$$

□

1 Suppose $T \in \mathcal{L}(V)$ and $\exists n \in \mathbf{N}^+$ such that $T^n = 0$.

Prove that $(I - T)$ is inv and $(I - T)^{-1} = I + T + \cdots + T^{n-1}$.

SOLUTION:

Note that $1 - x^n = (1 - x)(1 + x + \cdots + x^{n-1})$.

$$\left. \begin{aligned} (I - T)(1 + T + \dots + T^{n-1}) &= I - T^n = I \\ (1 + T + \dots + T^{n-1})(I - T) &= I - T^n = I \end{aligned} \right\} \Rightarrow (I - T)^{-1} = 1 + T + \dots + T^{n-1}. \quad \square$$

2 Suppose $T \in \mathcal{L}(V)$ and $(T - 2I)(T - 3I)(T - 4I) = 0$.

Suppose λ is an eigval of T . Prove that $\lambda = 2$ or $\lambda = 3$ or $\lambda = 4$.

SOLUTION:

Suppose v is an eigvec correspd to λ . Then for any $p \in \mathcal{P}(\mathbb{F})$, $p(T)v = p(\lambda)v$.

Hence $0 = (T - 2I)(T - 3I)(T - 4I)v = (\lambda - 2)(\lambda - 3)(\lambda - 4)v$ while $v \neq 0 \Rightarrow \lambda = 2$ or $\lambda = 3$ or $\lambda = 4$. \square

OR. Because $(T - 2I)(T - 3I)(T - 4I) = 0$ is not inje. By TIPS. \square

7 (See 5.A.22) Suppose $T \in \mathcal{L}(V)$. Prove that 9 is an eigval of $T^2 \iff 3$ or -3 is an eigval of T .

SOLUTION:

(a) Suppose 9 is an eigval of T^2 . Then $(T^2 - 9I)v = (T - 3I)(T + 3I)v = 0$ for some v . By TIPS.

OR. Suppose λ is an eigval with an eigvec v . Then $(T - 3I)(T + 3I)v = (\lambda - 3)(\lambda + 3)v = 0 \Rightarrow \lambda = \pm 3$.

(b) Suppose 3 or -3 is an eigval of T with an eigvec v . Then $Tv = \pm 3v \Rightarrow T^2v = T(Tv) = 9v$ \square

3 Suppose $T \in \mathcal{L}(V)$, $T^2 = I$ and -1 is not an eigval of T . Prove that $T = I$.

SOLUTION:

$T^2 - I = (T + I)(T - I)$ is not inje, $\wedge -1$ is not an eigval of $T \Rightarrow$ By TIPS. \square

OR. Note that $v = [\frac{1}{2}(I - T)v] + [\frac{1}{2}(I + T)v]$ for all $v \in V$.

And $(I - T^2)v = (I - T)(I + T)v = 0$ for all $v \in V$,

$$\left. \begin{aligned} (I + T)(\frac{1}{2}(I - T)v) &= \frac{1}{2}(I - T^2)v = 0 \Rightarrow \frac{1}{2}(I - T)v \in \text{null}(I + T) \\ (I - T)(\frac{1}{2}(I + T)v) &= \frac{1}{2}(I - T^2)v = 0 \Rightarrow \frac{1}{2}(I + T)v \in \text{null}(I - T) \end{aligned} \right\} \Rightarrow V = \text{null}(I + T) + \text{null}(I - T).$$

$\wedge -1$ is not an eigval of $T \Rightarrow (I + T)$ is inje $\Rightarrow \text{null}(I + T) = \{0\}$.

Hence $V = \text{null}(I - T) \Rightarrow \text{range}(I - T) = \{0\}$. Thus $I - T = 0 \in \mathcal{L}(V) \Rightarrow T = I$. \square

• (4E 5.A.32) Suppose $T \in \mathcal{L}(V)$ has no eigvals and $T^4 = I$. Prove that $T^2 = -I$.

SOLUTION:

Because $T^4 - I = (T^2 - I)(T^2 + I) = 0$ is not inje $\Rightarrow (T^2 - I)$ or $(T^2 + I)$ is not inje.

$\wedge T$ has no eigvals $\Rightarrow (T^2 - I) = (T - I)(T + I)$ is inje.

Hence $T^2 + I = 0 \in \mathcal{L}(V)$, for if not,

$\exists v \in V, (T^2 + I)v \neq 0$ while $(T^2 - I)((T^2 + I)v) = 0$ but $(T^2 - I)$ is inje. Contradicts. \square

OR. Note that $v = [\frac{1}{2}(I - T^2)v] + [\frac{1}{2}(I + T^2)v]$ for all $v \in V$.

And $(I - T^4)v = (I - T^2)(I + T^2)v = 0$ for all $v \in V$,

$$\left. \begin{aligned} (I + T^2)(\frac{1}{2}(I - T^2)v) &= 0 \Rightarrow \frac{1}{2}(I - T^2)v \in \text{null}(I + T^2) \\ (I - T^2)(\frac{1}{2}(I + T^2)v) &= 0 \Rightarrow \frac{1}{2}(I + T^2)v \in \text{null}(I - T^2) \end{aligned} \right\} \Rightarrow V = \text{null}(I + T^2) + \text{null}(I - T^2).$$

$\wedge T$ has no eigvals $\Rightarrow (I - T^2)$ is inje $\Rightarrow \text{null}(I - T^2) = \{0\}$.

Hence $V = \text{null}(I + T^2) \Rightarrow \text{range}(I + T^2) = \{0\}$. Thus $I + T^2 = 0 \in \mathcal{L}(V) \Rightarrow T^2 = -I$. \square

8 (OR4E 5.A.31) Give an example of $T \in \mathcal{L}(\mathbb{R}^2)$ such that $T^4 = -I$.

SOLUTION:

$$T^4 + I = (T^2 + iI)(T^2 - iI) = (T + i^{1/2}I)(T - i^{1/2}I)(T - (-i)^{1/2}I)(T + (-i)^{1/2}I).$$

$$\text{Note that } i^{1/2} = \frac{\sqrt{2}}{2} + i\frac{\sqrt{2}}{2}, \quad (-i)^{1/2} = \frac{\sqrt{2}}{2} - i\frac{\sqrt{2}}{2}. \text{ Hence } T = \pm(\pm i)^{1/2}I.$$

Define T by $T(x, y) = (\frac{\sqrt{2}}{2}x - \frac{\sqrt{2}}{2}y, \frac{\sqrt{2}}{2}x + \frac{\sqrt{2}}{2}y)$.

$$\mathcal{M}(T) = \begin{pmatrix} \cos(-\pi/4) & \sin(-\pi/4) \\ -\sin(-\pi/4) & \cos(-\pi/4) \end{pmatrix} \Rightarrow \mathcal{M}(T)^4 = \mathcal{M}(T^4) = \begin{pmatrix} \cos(-\pi) & \sin(-\pi) \\ -\sin(-\pi) & \cos(-\pi) \end{pmatrix} = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} = -\mathcal{M}(I). \quad \square$$

$$\left(\text{Using } \begin{pmatrix} \cos \alpha & \sin \alpha \\ -\sin \alpha & \cos \alpha \end{pmatrix}^n = \begin{pmatrix} \cos n\alpha & \sin n\alpha \\ -\sin n\alpha & \cos n\alpha \end{pmatrix} \right)$$

- (4E 5.B.12 See also at 5.A.9)

Define $T \in \mathcal{L}(\mathbb{F}^n)$ by $T(x_1, x_2, x_3, \dots, x_n) = (x_1, 2x_2, 3x_3, \dots, nx_n)$. Find the mini poly.

SOLUTION:

$T(x_1, \dots, 0) = 0$ By (5.A.9) and [8.49], $1, 2, \dots, n$ are zeros of the mini poly of T .

(\times Each eigvals of T corresponds to exact one-dim subsp of \mathbb{F}^n .)

Define a poly q by $q(z) = (z-1)(z-2)\dots(z-n)$, for all $z \in \mathbb{F}$. (Then q is the char poly of T .)

Because $q(T)e_j = [(T-I)\dots(T-(j-1)I)(T-(j+1)I)\dots(T-nI)](T-jI)e_j = 0$ for each j ,

where (e_1, \dots, e_n) is the standard basis. Thus $\forall v \in \mathbb{F}^n, q(T)v = 0$. Hence q is the mini poly of T . \square

- Suppose $n \in \mathbb{N}^+$. Define $T \in \mathcal{L}(\mathbb{F}^n)$ by $T(x_1, \dots, x_n) = (x_1 + \dots + x_n, \dots, x_1 + \dots + x_n)$.

[See also at (5.A.19)] Find the mini poly of T .

SOLUTION:

Because n and 0 are all eigvals of T , \times For all $e_k, Te_k = e_1 + \dots + e_n; T^2e_k = n(e_1 + \dots + e_n)$.

Hence $T^2e_k = n(Te_k) \Rightarrow T^2 = nT \Rightarrow T^2 - nT = T(T-n)$. Thus $z(z-n)$ is the mini poly of T . \square

- (4E 5.B.8)

Suppose $T \in \mathcal{L}(\mathbb{R}^2)$ is the operator of counterclockwise rotation by the angel θ , where $\theta \in \mathbb{R}^+$.

Find the mini poly of T .

SOLUTION:

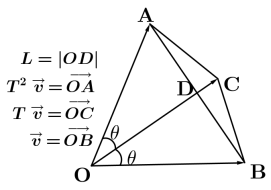
If $\theta = \pi + 2k\pi$, then $T(w, z) = (-w, -z), T^2 = I$ and the mini poly is $z^2 + 1$.

If $\theta = 2k\pi$, then $T = I$ and the mini poly is $z - 1$.

Now suppose (v, Tv) is linely inde. Then $\text{span}(v, Tv) = \mathbb{R}^2$.

Suppose the mini poly p is defined by $p(z) = z^2 + bz + c$ for all $z \in \mathbb{R}$.

Because

$$\begin{aligned} L &= |OD| \\ T^2 \vec{v} &= \vec{OA} \\ T \vec{v} &= \vec{OC} \\ \vec{v} &= \vec{OB} \end{aligned}$$


$$\begin{aligned} Tv &= \frac{|\vec{v}|}{2L}(T^2v + v) \Rightarrow T = \frac{|\vec{v}|}{2L}(T^2 + I) \\ L &= |\vec{v}| \cos \theta \Rightarrow \frac{|\vec{v}|}{2L} = \frac{1}{2 \cos \theta} \end{aligned}$$

Hence $p(T) = T^2 - 2 \cos \theta T + I = 0$ and $z^2 - 2 \cos \theta z + 1$ is the mini poly of T . \square

OR. By (4E 5.B.11), $\mathcal{M}(T, (e_1, e_2)) = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$. Hence the mini poly is $z^2 - 2 \cos \theta z + 1$. \square

- (4E 5.B.11) Suppose V is a two-dim vecsp, $T \in \mathcal{L}(V)$, and the matrix of T

with resp to some basis of V is $\begin{pmatrix} a & c \\ b & d \end{pmatrix}$.

(a) Show that $T^2 - (a+d)T + (ad-bc)I = 0$.

(b) Show that the mini poly of T equals

$$\begin{cases} z - a & \text{if } b = c = 0 \text{ and } a = d, \\ z^2 - (a + d)z + (ad - bc) & \text{otherwise.} \end{cases}$$

SOLUTION:

(a) Suppose the basis is (v, w) . Because $\begin{cases} Tv = av + bw \Rightarrow (T - aI)v = bw, \text{ then apply } (T - dI) \text{ to both sides.} \\ Tw = cv + dw \Rightarrow (T - dI)w = cv, \text{ then apply } (T - aI) \text{ to both sides.} \end{cases}$

Hence $(T - aI)(T - dI) = bcI \Rightarrow T^2 - (a + d)T + (ad - bc)I = 0$.

(b) If $b = c = 0$ and $a = d$. Then $\mathcal{M}(T) = \begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix} = a\mathcal{M}(I)$. Thus $T = aI$. Hence the mini poly is $z - a$.

Otherwise, by (a), $z^2 - (a + d)z + (ad - bc)$ is a poly multi of the mini poly.

Now we prove that $T \notin \text{span}(I)$, so that then the mini poly of T has exactly degree 2.

(At least one of the assumption of (I),(II) below is true.)

(I) Suppose $a = d$, then $Tv = av + bw \notin \text{span}(v), Tw = cv + aw \notin \text{span}(w)$.

(II) Suppose at most one of b, c is not 0. If $b = 0$, then $Tw \notin \text{span}(w)$; If $c = 0$, then $Tv \notin \text{span}(v)$. \square

5 Suppose $S, T \in \mathcal{L}(V)$, S is inv, and $p \in \mathcal{P}(\mathbf{F})$. Prove that $p(TS) = S^{-1}p(ST)S$.

SOLUTION:

We prove $(TS)^m = S^{-1}(ST)^mS$ for each $m \in \mathbf{N}$ by induction.

(i) $m = 0, 1$. $TS^0 = I = S^{-1}(ST)^0S$; $TS = S^{-1}(ST)S$.

(ii) $m > 1$. Assume that $(TS)^m = S^{-1}(ST)^mS$.

Then $(TS)^{m+1} = (TS)^m(TS) = S^{-1}(ST)^mSTS = S^{-1}(ST)^{m+1}S$.

Hence $\forall p \in \mathcal{P}(\mathbf{F})$, $p(TS) = a_0(TS)^0 + a_1(TS) + \dots + a_m(TS)^m$

$= a_0[S^{-1}(ST)^0S] + a_1[S^{-1}(ST)S] + \dots + a_m[S^{-1}(ST)^mS]$

$= S^{-1}[a_0(ST)^0 + a_1(ST) + \dots + a_m(ST)^m]S$

$= S^{-1}p(ST)S$. \square

• (4E 5.B.7)

(a) Give an example of $S, T \in \mathcal{L}(\mathbf{F}^2)$ such that the mini poly of ST does not equal the mini poly of TS .

(b) Suppose V is finite-dim and $S, T \in \mathcal{L}(V)$. Prove that if S or T is inv, then the mini poly of ST equals the mini poly of TS .

SOLUTION:

(a) Define S by $S(x, y) = (x, x)$. Define T by $T(x, y) = (0, y)$.

Then $ST(x, y) = 0$, $TS(x, y) = (0, x)$ for all $(x, y) \in \mathbf{F}^2$.

Thus $ST = 0 \neq TS$ and $(TS)^2 = 0$.

Hence the mini poly of ST does not equal to the mini poly of TS .

(b) Denote the mini poly of ST by p , and the mini poly TS by q .

Suppose S is inv.

$\left. \begin{aligned} p(ST) = 0 &= Sp(TS)S^{-1} \Rightarrow p(TS) = 0, p \text{ is a poly multi of } q. \\ q(TS) = 0 &= S^{-1}q(ST)S \Rightarrow q(ST) = 0, q \text{ is a poly multi of } p. \end{aligned} \right\} \Rightarrow p = q$.

Reversing the roles of S and T , we conclude that if T is inv, then $p = q$ as well. \square

11 Suppose $\mathbf{F} = \mathbf{C}$, $T \in \mathcal{L}(V)$, $p \in \mathcal{P}(\mathbf{C})$, and $\alpha \in \mathbf{C}$.

Prove that α is an eigval of $p(T) \iff \alpha = p(\lambda)$ for some eigval λ of T .

SOLUTION:

(a) Suppose α is an eigval of $p(T) \iff (p(T) - \alpha I)$ is not inje.

Write $p(z) - \alpha = c(z - \lambda_1) \cdots (z - \lambda_m) \Rightarrow p(T) - \alpha I = c(T - \lambda_1 I) \cdots (T - \lambda_m I)$.

By TIPS, $\exists (T - \lambda_j I)$ not inje. Thus $p(\lambda_j) - \alpha = 0$.

(b) Suppose $\alpha = p(\lambda)$ and λ is an eigval of T with an eigvec v . Then $p(T)v = p(\lambda)v = \alpha v$. □

OR. Define q by $q(z) = p(z) - \alpha$. λ is a zero of q .

Because $q(T)v = (p(T) - \alpha I)v = q(\lambda)v = (p(\lambda) - \alpha)v = 0$.

Hence $q(T)$ is not inje $\Rightarrow (p(T) - \alpha I)$ is not inje. □

12 (OR4E.5.B.6) Give an example of an operator on \mathbf{R}^2

that shows the result above does not hold if \mathbf{C} is replaced with \mathbf{R} .

SOLUTION:

Define $T \in \mathcal{L}(\mathbf{R}^2)$ by $T(w, z) = (-z, w)$.

By Problem (4E 5.B.11), $\mathcal{M}(T, ((1, 0), (0, 1))) = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \Rightarrow$ the mini poly of T is $z^2 + 1$.

Define p by $p(z) = z^2$. Then $p(T) = T^2 = -I$. Thus $p(T)$ has eigval -1 .

While $\nexists \lambda \in \mathbf{R}$ such that $-1 = p(\lambda) = \lambda^2$. □

• (4E 5.B.17) Suppose V is finite-dim, $T \in \mathcal{L}(V)$, $\lambda \in \mathbf{F}$, and p is the mini poly of T . Show that the mini poly of $(T - \lambda I)$ is the poly q defined by $q(z) = p(z + \lambda)$.

SOLUTION:

$q(T - \lambda I) = 0 \Rightarrow q$ is poly multi of the mini poly of $(T - \lambda I)$.

Suppose the degree of the mini poly of $(T - \lambda I)$ is n , and the degree of the mini poly of T is m .

By definition of mini poly,

n is the smallest such that $(T - \lambda I)^n \in \text{span}(I, (T - \lambda I), \dots, (T - \lambda I)^{n-1})$;

m is the smallest such that $T^m \in \text{span}(I, T, \dots, T^{m-1})$.

$\nexists T^k \in \text{span}(I, T, \dots, T^{k-1}) \iff (T - \lambda)^k \in \text{span}(I, (T - \lambda I), \dots, (T - \lambda I)^{k-1})$.

Thus $n = m$. $\nexists q$ is monic. By the uniqueness of mini poly. □

• (4E 5.B.18) Suppose V is finite-dim, $T \in \mathcal{L}(V)$, $\lambda \in \mathbf{F} \setminus \{0\}$, and p is the mini poly of T . Show that the mini poly of λT is the poly q defined by $q(z) = \lambda^{\deg p} p(\frac{z}{\lambda})$.

SOLUTION:

$q(\lambda T) = \lambda^{\deg p} p(T) = 0 \Rightarrow q$ is a poly multi of the mini poly of λT .

Suppose the degree of the mini poly of λT is n , and the degree of the mini poly of T is m .

By definition of mini poly,

n is the smallest such that $(\lambda T)^n \in \text{span}(\lambda I, \lambda T, \dots, (\lambda T)^{n-1})$;

m is the smallest such that $T^m \in \text{span}(I, T, \dots, T^{m-1})$.

$\nexists (\lambda T)^k \in \text{span}(\lambda I, \lambda T, \dots, (\lambda T)^{k-1}) \iff T^k \in \text{span}(I, T, \dots, T^{k-1})$.

Thus $n = m$. $\nexists q$ is monic. By the uniqueness of mini poly. □

18 (OR4E 5.B.15) Suppose V is a finite-dim complex vecsp with $\dim V > 0$ and $T \in \mathcal{L}(V)$.

Define $f : \mathbf{C} \rightarrow \mathbf{R}$ by $f(\lambda) = \dim \text{range}(T - \lambda I)$.

Prove that f is not a continuous function.

SOLUTION: Note that V is finite-dim.

Let λ_0 be an eigval of T . Then $(T - \lambda_0 I)$ is not surj. Hence $\dim \text{range}(T - \lambda_0 I) < \dim V$.

Because T has finitely many eigvals. There exist a sequence of number $\{\lambda_n\}$ such that $\lim_{n \rightarrow \infty} \lambda_n = \lambda_0$.

And λ_n is not an eigval of T for each $n \Rightarrow \dim \text{range}(T - \lambda_n I) = \dim V \neq \dim \text{range}(T - \lambda_0 I)$.

Thus $f(\lambda_0) \neq \lim_{n \rightarrow \infty} f(\lambda_n)$. □

- (4E 5.B.9) Suppose $T \in \mathcal{L}(V)$ is such that with resp to some basis of V , all entries of the matrix of T are rational numbers.
Explain why all coefficients of the mini poly of T are rational numbers.

SOLUTION:

Let (v_1, \dots, v_n) denote the basis such that $\mathcal{M}(T, (v_1, \dots, v_n))_{j,k} = A_{j,k} \in \mathbb{Q}$ for all $j, k = 1, \dots, n$.

Denote $\mathcal{M}(v_j, (v_1, \dots, v_n))$ by x_j for each v_j .

Suppose p is the mini poly of T and $p(z) = z^m + \dots + c_1 z + c_0$. Now we show that each $c_j \in \mathbb{Q}$.

Note that $\forall s \in \mathbb{N}^+, \mathcal{M}(T^s) = \mathcal{M}(T)^s = A^s \in \mathbb{Q}^{n,n}$ and $T^s v_k = A_{1,k}^s v_1 + \dots + A_{n,k}^s v_n$ for all $k \in \{1, \dots, n\}$.

$$\text{Thus } \begin{cases} \mathcal{M}(p(T)v_1) = (A^m + \dots + c_1 A + c_0 I)x_1 = \sum_{j=1}^n (A^m + \dots + c_1 A + c_0 I)_{j,1} x_j = 0; \\ \vdots \\ \mathcal{M}(p(T)v_n) = (A^m + \dots + c_1 A + c_0 I)x_n = \sum_{j=1}^n (A^m + \dots + c_1 A + c_0 I)_{j,n} x_j = 0; \end{cases}$$

$$\text{More clearly, } \begin{cases} (A^m + \dots + c_1 A + c_0 I)_{1,1} = \dots = (A^m + \dots + c_1 A + c_0 I)_{n,1} = 0; \\ \vdots \quad \ddots \quad \vdots \\ (A^m + \dots + c_1 A + c_0 I)_{1,n} = \dots = (A^m + \dots + c_1 A + c_0 I)_{n,n} = 0; \end{cases}$$

Hence we get a system of n^2 linear equations in m unknowns c_0, c_1, \dots, c_{m-1} .

We conclude that $c_0, c_1, \dots, c_{m-1} \in \mathbb{Q}$. □

- OR(4E 5.B.16), OR(8.C.18) Suppose $a_0, \dots, a_{n-1} \in \mathbb{F}$. Let T be the operator on \mathbb{F}^n such that

$$\mathcal{M}(T) = \begin{pmatrix} 0 & & & -a_0 \\ 1 & 0 & & -a_1 \\ & 1 & \ddots & \vdots \\ & & \ddots & 0 & -a_{n-2} \\ 0 & & & 1 & -a_{n-1} \end{pmatrix}, \text{ with resp to the standard basis } (e_1, \dots, e_n).$$

Show that the mini poly of T is p defined by $p(z) = a_0 + a_1 z + \dots + a_{n-1} z^{n-1} + z^n$.

$\mathcal{M}(T)$ is called the **companion matrix** of the poly above. This exercise shows that every monic poly is the mini poly of some operator.

Hence a formula or an algorithm that could produce exact eigvals for each operator on each \mathbb{F}^n could then produce exact zeros for each poly [by 8.36(b)]. Thus there is no such formula or algorithm. However, efficient numeric methods exist for obtaining very good approximations for the eigvals of an operator.

SOLUTION: Note that $(e_1, Te_1, \dots, T^{n-1}e_1)$ is linely inde. 又 The deg of mini poly is at most n .

$$T^n e_1 = \dots = T^{n-k} e_{1+k} = \dots = T e_n = -a_0 e_1 - a_1 e_2 - a_2 e_3 - \dots - a_{n-1} e_n$$

$$= (-a_0 I - a_1 T - a_2 T^2 - \dots - a_{n-1} T^{n-1}) e_1. \text{ Thus } p(T)e_1 = 0 = p(T)e_j \text{ for each } e_j = T^{j-1}e_1. \quad \square$$

• EIGENVALUES ON ODD-DIMENSIONAL REAL VECTOR SPACES

• EVEN-DIMENSIONAL NULL SPACE

Suppose $\mathbb{F} = \mathbb{R}$, V is finite-dim, $T \in \mathcal{L}(V)$ and $b, c \in \mathbb{R}$ with $b^2 < 4c$.

Prove that $\dim \text{null}(T^2 + bT + cI)$ is an even number.

SOLUTION:

Denote $\text{null}(T^2 + bT + cI)$ by R . Then $T|_R + bT|_R + cI_R = (T + bT + cI)|_R = 0 \in \mathcal{L}(R)$.

Suppose λ is an eigval of T_R with an eigvec $v \in R$.

$$\text{Then } 0 = (T|_R^2 + bT|_R + cI_R)(v) = (\lambda^2 + \lambda b + c)v = \left((\lambda + \frac{b}{2})^2 + c - \frac{b^2}{4} \right) v.$$

Because $c - \frac{b^2}{4} > 0$ and we have $v = 0$. Thus T_R has no eigvals.

Let U be an invar subsp of R that has the largest, even dim among all invar subsp.

Assume that $U \neq R$. Then $\exists w \in R$ but $w \notin U$. Let W be such that $(w, T|_R w)$ is a basis of W .

Because $T|_R^2 w = -bT|_R w - cw \in W$. Hence W is an invar subsp of dim 2.

Thus $\dim(U + W) = \dim U + 2 - \dim(U \cap W)$, where $U \cap W = \{0\}$,

for if not, because $w \notin U, T|_R w \in U$,

$U \cap W$ is invar under $T|_R$ of one dim (impossible because $T|_R$ has no eigvecs).

Hence $U + W$ is even-dim invar subsp under $T|_R$, contradicting the maximality of $\dim U$.

Thus the assumption was incorrect. Hence $R = \text{null}(T^2 + bT + cI) = U$ has even dim. □

• OPERATORS ON ODD-DIMENSIONAL VECTOR SPACES HAVE EIGENVALUES

(a) Suppose $\mathbf{F} = \mathbf{C}$. Then by [5.21], we are done.

(b) Suppose $\mathbf{F} = \mathbf{R}$, V is finite-dim, and $\dim V = n$ is an odd number.

Let $T \in \mathcal{L}(V)$ and the mini poly is p . Prove that T has an eigval.

SOLUTION:

(i) If $n = 1$, then we are done.

(ii) Suppose $n \geq 3$. Assume that every operator, on odd-dim vecsp of dim less than n , has an eigval.

If p is a poly multi of $(x - \lambda)$ for some $\lambda \in \mathbf{R}$, then by [8.49] λ is an eigval of T and we are done.

Now suppose $b, c \in \mathbf{R}$ such that $b^2 < 4c$ and p is a poly multi of $x^2 + bx + c$ (see [4.17]).

Then $\exists q \in \mathcal{P}(\mathbf{R})$ such that $p(x) = q(x)(x^2 + bx + c)$ for all $x \in \mathbf{R}$.

Now $0 = p(T) = (q(T))(T^2 + bT + cI)$, which means that $q(T)|_{\text{range}(T^2 + bT + cI)} = 0$.

Because $\deg q < \deg p$ and p is the mini poly of T , hence $\text{range}(T^2 + bT + cI) \neq V$.

又 $\dim V$ is odd and $\dim \text{null}(T^2 + bT + cI)$ is even (by our previous result).

Thus $\dim V - \dim \text{null}(T^2 + bT + cI) = \dim \text{range}(T^2 + bT + cI)$ is odd.

By [5.18], $\text{range}(T^2 + bT + cI)$ is an invar subsp of V under T that has odd dim less than n .

Our induction hypothesis now implies that $T|_{\text{range}(T^2 + bT + cI)}$ has an eigval.

By mathematical induction. □

• (2E Ch5.24) Suppose $\mathbf{F} = \mathbf{R}, T \in \mathcal{L}(V)$ has no eigvals.

Prove that every invar subsp of V under T is even-dim.

SOLUTION:

Suppose U is such a subsp. Then $T|_U \in \mathcal{L}(U)$. We prove by contradiction.

If $\dim U$ is odd, then $T|_U$ has an eigval and so is T , so that \exists invar subsp of 1 dim, contradicts. □

• (4E 5.B.29) Show that every operator on a finite-dim vecsp of $\dim \geq 2$ has a 2-dim invar subsp.

SOLUTION:

Using induction on $\dim V$.

(i) $\dim V = 2$, we are done.

(ii) $\dim V > 2$. Assume that the desired result is true for vecsp of smaller dim.

Suppose p is the mini poly of degree m and $p(z) = (z - \lambda_1) \cdots (z - \lambda_m)$.

If $T = \lambda I$ ($\Leftrightarrow m = 1 \vee m = -\infty$), then we are done. ($m \neq 0$ because $\dim V \neq 0$)

Now define a q by $q(z) = (z - \lambda_1)(z - \lambda_2)$.

By assumption, $T|_{\text{null } q(T)}$ has an invar subsp of dim 2. □

5.B: II

- (4E 5.C.1) *Prove or give a counterexample:*

If $T \in \mathcal{L}(V)$ and T^2 has an upper-trig matrix, then T has an upper-trig matrix.

SOLUTION:

- (4E 5.C.2) *Suppose A and B are upper-trig mtcs of the same size, with $\alpha_1, \dots, \alpha_n$ on the diag of A and β_1, \dots, β_n on the diag of B .*

- Show that $A + B$ is an upper-trig matrix with $\alpha_1 + \beta_1, \dots, \alpha_n + \beta_n$ on the diag.*
- Show that AB is an upper-trig matrix with $\alpha_1\beta_1, \dots, \alpha_n\beta_n$ on the diag.*

SOLUTION:

- (4E 5.C.3)

Suppose $T \in \mathcal{L}(V)$ is inv and $B = (v_1, \dots, v_n)$ is a basis of V such that $\mathcal{M}(T, B) = A$ is upper trig, with $\lambda_1, \dots, \lambda_n$ on the diag.

Show that the matrix of $\mathcal{M}(T^{-1}, B) = A^{-1}$ is also upper trig, with $\frac{1}{\lambda_1}, \dots, \frac{1}{\lambda_n}$ on the diag.

SOLUTION:

- 9** (4E 5.C.7)

Suppose V is finite-dim, $T \in \mathcal{L}(V)$, and $v \in V$.

- Prove that $\exists!$ monic poly p_v of smallest degree such that $p_v(T)v = 0$.*
- Prove that the mini poly of T is a poly multi of p_v .*

SOLUTION:

- 14** (OR4E 5.C.4) *Give an operator T such that with resp to some basis,*

$\mathcal{M}(T)_{k,k} = 0$ for each k , while T is inv.

SOLUTION:

- 15** (OR4E 5.C.5) *Give an operator T such that with resp to some basis,*

$\mathcal{M}(T)_{k,k} \neq 0$ for each k , while T is not inv.

SOLUTION:

- 20** (OR4E 5.C.6)

Suppose $\mathbf{F} = \mathbf{C}$, V is finite-dim, and $T \in \mathcal{L}(V)$.

Prove that if $k \in \{1, \dots, \dim V\}$, then V has a k dim subsp invar under T .

SOLUTION:

- (4E 5.C.8) *Suppose V is finite-dim, $T \in \mathcal{L}(V)$, and $\exists v \in V \setminus \{0\}$ such that $T^2v + 2Tv = -2v$.*

- Prove that if $\mathbf{F} = \mathbf{R}$, then \nexists a basis of V with resp to which T has an upper-trig matrix.*
- Prove that if $\mathbf{F} = \mathbf{C}$ and A is an upper-trig matrix that equals the matrix of T with resp to some basis of V , then $-1 + i$ or $-1 - i$ appears on the diag of A .*

SOLUTION:

- (4E 5.C.9) Suppose $B \in \mathbf{F}^{n,n}$ with complex entries.
Prove that \exists inv $A \in \mathbf{F}^{n,n}$ with complex entries such that $A^{-1}BA$ is an upper-trig matrix.

SOLUTION:

- (4E 5.C.10) Suppose $T \in \mathcal{L}(V)$ and (v_1, \dots, v_n) is a basis of V .
Show that the following are equi.
 - (a) The matrix of T with resp to (v_1, \dots, v_n) is lower trig.
 - (b) $\text{span}(v_k, \dots, v_n)$ is invar under T for each $k = 1, \dots, n$.
 - (c) $Tv_k \in \text{span}(v_k, \dots, v_n)$ for each $k = 1, \dots, n$.

SOLUTION:

- (4E 5.C.11) Suppose $\mathbf{F} = \mathbf{C}$ and V is finite-dim.
Prove that if $T \in \mathcal{L}(V)$, then T has a lower-trig matrix with resp to some basis.

SOLUTION:

- (4E 5.C.12)
Suppose V is finite-dim, $T \in \mathcal{L}(V)$ has an upper-trig matrix with resp to some basis, and U is a subsp of V that is invar under T .
 - (a) Prove that $T|_U$ has an upper-trig matrix with resp to some basis of U .
 - (b) Prove that T/U has an upper-trig matrix with resp to some basis of V/U .

SOLUTION:

- (4E 5.C.13) Suppose V is finite-dim, $T \in \mathcal{L}(V)$. Suppose U is an invar subsp of V under T such that $T|_U$ has an upper-trig matrix and also T/U has an upper-trig matrix.
Prove that T has an upper-trig matrix.

SOLUTION:

- (4E 5.C.14) Suppose V is finite-dim and $T \in \mathcal{L}(V)$.
Prove that T has an upper-trig matrix $\iff T'$ has an upper-trig matrix.

SOLUTION:

ENDED

5.C

ENDED

5.E* (4E)

- 1 Give an example of two commuting operators $S, T \in \mathbf{F}^4$ such that there is an invar subsp of \mathbf{F}^4 under S but not under T and an invar subsp of \mathbf{F}^4 under T but not under S .

SOLUTION:

- 2 Suppose \mathcal{E} is a subset of $\mathcal{L}(V)$ and every element of \mathcal{E} is diagable.
Prove that \exists a basis of V with resp to which

every element of \mathcal{E} has a diag matrix \iff every pair of elements of \mathcal{E} commutes.

This exercise extends [5.76], which considers the case in which \mathcal{E} contains only two elements.

For this exercise, \mathcal{E} may contain any number of elements, and \mathcal{E} may even be an infinite set.

SOLUTION:

3 Suppose $S, T \in \mathcal{L}(V)$ are such that $ST = TS$. Suppose $p \in \mathcal{P}(\mathbf{F})$.

(a) Prove that $\text{null } p(S)$ is invar under T .

(b) Prove that $\text{range } p(S)$ is invar under T .

See NOTE FOR[5.17] for the special case $S = T$.

SOLUTION:

4 Prove or give a counterexample:

A diag matrix A and an upper-trig matrix B of the same size commute.

SOLUTION:

5 Prove that a pair of operators on a finite-dim vecsp commute \iff their dual operators commute.

SOLUTION:

6 Suppose V is a finite-dim complex vecsp and $S, T \in \mathcal{L}(V)$ commute.

Prove that $\exists \alpha, \lambda \in \mathbf{C}$ such that $\text{range } (S - \alpha I) + \text{range } (T - \lambda I) \neq V$.

SOLUTION:

7 Suppose V is a complex vecsp, $S \in \mathcal{L}(V)$ is diagable, and T commutes with S .

Prove that \exists basis B of V such that S has a diag matrix with resp to B

and T has an upper-trig matrix with resp to B .

SOLUTION:

8 Suppose $m = 3$ in Example [5.72]

and D_x, D_y are the commuting partial differentiation operators on $\mathcal{P}_3(\mathbf{R}^2)$ from that example.

Find a basis of $\mathcal{P}_3(\mathbf{R}^2)$ with resp to which D_x and D_y each have an upper-trig matrix.

SOLUTION:

9 Suppose V is a finite-dim nonzero complex vecsp.

Suppose that $\mathcal{E} \subseteq \mathcal{L}(V)$ is such that S and T commute for all $S, T \in \mathcal{E}$.

(a) Prove that $\exists v \in V$ is an eigvec for every element of \mathcal{E} .

(b) Prove that \exists a basis of V with resp to which every element of \mathcal{E} has an upper-trig matrix.

SOLUTION:

10 Give an example of two commuting operators S, T on a finite-dim real vecsp such that

$S + T$ has a eigval that does not equal an eigval of S plus an eigval of T

and ST has a eigval that does not equal an eigval of S times an eigval of T .

SOLUTION:
