## Math 440 – Real Analysis II Homework 10

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**Problem 63** Let  $a \in \mathbb{R}$  such that  $0 < a < \frac{1}{2}$ , and let  $C_0 = [0, 1]$  be the first step in the generalized Cantor set with  $C_n$  comprised of  $2^n$  disjoint intervals of length  $a^n$  such that  $C_n \subset C_{n-1}$ , and define

$$C_a = C_0 \cap C_1 \cap C_2 \cap \cdots$$

Assuming the box-counting dimension of  $C_a$  exists, find  $\dim_B(C_a)$ .

**Solution:** Let  $n \in \mathbb{N}$  and  $r_n = a^n$ . The number of intervals of length  $r_n$  needed to cover  $C_a$ ,  $N_{r_n}(C_a) = 2^n$ . Then

$$\dim_B(C_a) = \lim_{r_n \to 0} \frac{\log N_{r_n}(C_a)}{-\log r_n}$$

$$= \lim_{n \to \infty} \frac{\log 2^n}{-\log a^n}$$

$$= \lim_{n \to \infty} \frac{n \log 2}{n \log \frac{1}{a}}$$

$$= \frac{\log 2}{\log \frac{1}{a}}$$

**Problem 64** The box-counting dimension of any finite subset of  $\mathbb{R}$  is zero.

**Proof:** Let  $E = \{x_1, x_2, \dots, x_n\}$  be a subset of  $\mathbb{R}$ . For all  $r \in \mathbb{R}$  such that r > 0,  $1 \leq N_r(E) \leq n$ . Hence  $\frac{\log 1}{-\log r} \leq \frac{\log N_r(E)}{-\log r} \leq \frac{\log n}{-\log r}$ . Since  $\frac{\log 1}{-\log r} = 0$  and  $\lim_{r \to 0} \frac{\log n}{-\log r} = 0$ ,  $0 \leq \lim_{r \to 0} \frac{\log N_r(E)}{-\log r} \leq 0$ . Thus  $\dim_B(E) = 0$  for all finite subsets E of  $\mathbb{R}$ .

**Problem 65** If  $E \subset F \subset \mathbb{R}^n$  and the box-counting dimensions exist for E and F, then  $\dim_B(E) \leq \dim_B(F)$ .

**Proof:** Let  $E, E^c$  be disjoint subsets of  $\mathbb{R}^n$  such that  $E \cup E^c = F \subset \mathbb{R}^n$ , and let  $n = N_r(E)$  with  $C_r$  the accompanying box cover of E. If  $E^c \subset C_r$ , then  $F \subset C_r$  and  $N_r(F) = N_r(E)$ . Otherwise additional r-cubes must be unioned to  $C_r$  in order to cover  $E^c$ , and so  $N_r(F) > N_r(E)$ . Since r is arbitrary,  $N_r(E) \leq N_r(F)$  and  $\frac{\log N_r(E)}{-\log r} \leq \frac{\log N_r(F)}{-\log r}$  for all  $r \in \mathbb{R}^+$ . Therefore, because the box-counting dimensions for E and F exist by assumption,  $\dim_B(E) \leq \dim_B(F)$ .

**Problem 66** Find an upper bound on the Hausdorff dimension of the Menger sponge.

Solution: Let M be the set defined in the construction of the Menger sponge and  $M_n$  be defined as a ball in  $\mathbb{R}^3$  such that  $|M_n| = \frac{\sqrt{3}}{3^n}$  for each  $n \ge 0$ . Then the number of  $M_n$  balls needed to cover M is  $N = 20^n$ . By Definition D.2.4,  $\mathcal{H}^s_{\delta}(M) = \inf \left\{ \sum_{i \ge 1} |U_i|^s : \{U_i\}_{i \ge 1} \text{ is a } \delta\text{-cover of } M \right\}$ . Therefore  $\mathcal{H}^s_{\delta}(M) \leqslant \sum_{i=1}^N |M_n|^s = \sum_{i=1}^{20^n} \left(\frac{\sqrt{3}}{3^n}\right)^s = \frac{20^n}{3^{sn}} \sqrt{3}^s$  for all  $\delta$ -covers of M, and thus  $\mathcal{H}^s(M) = \lim_{\delta \to 0^+} \mathcal{H}^s_{\delta}(M) \leqslant \lim_{n \to \infty} \frac{20^n}{3^{sn}} \sqrt{3}^s$ . Thus  $s = \frac{\log 20}{\log 3}$  is an upper bound on  $\dim_H(M)$ .

**Problem 67** For each s > 0,  $\mathcal{H}^s$  is an outer measure on  $\mathbb{R}^n$ .

**Proof:** Let  $\mathcal{H}^s: 2^{\mathbb{R}^n} \to [0, \infty]$  be defined as  $\mathcal{H}^s(E) = \lim_{\delta \to 0^+} \mathcal{H}^s_{\delta}(E)$ . By Ex4 on page 113 this limit is well defined for all subsets E in  $\mathbb{R}^n$ , and given that  $\mathcal{H}^s_{\delta}$  is an outer measure on  $\mathbb{R}^n$  by part(a) of Theorem D.2.5,

(i)  $\mathcal{H}^s(\emptyset) = \lim_{\delta \to 0^+} \mathcal{H}^s_{\delta}(\emptyset) = \lim_{\delta \to 0^+} 0 = 0$ , since  $\mathcal{H}^s_{\delta}(\emptyset) = 0$  for all  $\delta$ -covers.

- (ii) Let  $A \subset B \subset \mathbb{R}^n$ , then for all  $\delta$ -covers,  $\mathcal{H}^s_{\delta}(A) \leqslant \mathcal{H}^s_{\delta}(B)$ . Thus  $\lim_{\delta \to 0^+} \mathcal{H}^s_{\delta}(A) \leqslant \lim_{\delta \to 0^+} \mathcal{H}^s_{\delta}(B)$  and  $\mathcal{H}^s(A) \leqslant \mathcal{H}^s(B)$ .
- (iii) Let  $\{A_i\}_{i\geqslant 1}$  be a countable collection of subsets of  $\mathbb{R}^n$ , and let  $A=\bigcup_{i\geqslant 1}A_i$ . If  $\sum_{i\geqslant 1}\mathcal{H}^s(A_i)=\infty$ , then  $\mathcal{H}^s(A)\leqslant \sum_{i\geqslant 1}\mathcal{H}^s(A_i)$  is true by definition. Assume then that  $\sum_{i\geqslant 1}\mathcal{H}^s(A_i)<\infty$ . for each  $A_i$ ,  $\mathcal{H}^s_\delta(A_i)\leqslant \mathcal{H}^s(A_i)$  as  $\mathcal{H}^s_\delta$  is a monotone decreasing function on  $\delta$ . Thus  $\sum_{i\geqslant 1}\mathcal{H}^s_\delta(A_i)\leqslant \sum_{i\geqslant 1}\mathcal{H}^s(A_i)$ , for all  $\delta$ -covers. Additionally,  $\mathcal{H}^s_\delta(A)\leqslant \sum_{i\geqslant 1}\mathcal{H}^s_\delta(A_i)$ . Hence

$$\mathcal{H}^{s}(A) = \lim_{\delta \to +} \mathcal{H}^{s}_{\delta}(A) \leqslant \lim_{\delta \to 0^{+}} \sum_{i \ge 1} \mathcal{H}^{s}_{\delta}(A_{i}) \leqslant \lim_{\delta \to 0^{+}} \sum_{i \ge 1} \mathcal{H}^{s}(A_{i})$$

Thus  $\mathcal{H}^s$  satisfies all properties of Definition D.2.3 and is therefore an outer measure on  $\mathbb{R}^n$ .