

S.4 Solutions to Exercises Marked with (S) of Chapter 4

4.1 (S)

$$0 \quad \|Tx\| = |x(1)| \leq \|x\| \Rightarrow \|T\| \leq 1$$

(i) By the definition of the standard norm in $C[0, 1]$, we have

$$|Tx| = |x(1)| \leq \max_{t \in [0, 1]} |x(t)| \leq \|x\|_{C[0, 1]} \quad \text{for all } x \in C[0, 1]. \quad \textcircled{2} \text{ 找 } \{x_n(t)\}. \quad \|x_n\| = 1 \text{ 但}$$

Hence T is bounded on $C[0, 1]$, with $\|T\| \leq 1$.

$$\|Tx_n\| = \|x_n(1)\| \rightarrow \infty$$

(ii) T may not be bounded on $C[0, 1]$ with respect to the norm $\|x\| = \left(\int_0^1 |f(t)|^2 dt\right)^{1/2}$. For example, for each $n \in \mathbb{N}$ let $x_n(t) = t^n$, then each $x_n \in C[0, 1]$ and $\|x_n\| = 1/\sqrt{2n+1}$. Hence,

$$\frac{|Tx_n|}{\|x_n\|} = \frac{|x_n(1)|}{\|x_n\|} = \sqrt{2n+1} \rightarrow \infty \text{ as } n \rightarrow \infty,$$

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 $\|Tx_n\| \Rightarrow \frac{|x_n(1)|}{\|x_n\|} \rightarrow \infty$
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which means that there is no positive constant M such that $|Tx_n| \leq M \|x_n\|$ for sufficiently large n .

Alternatively, we may use the above example to show that T is not be continuous on $C[0, 1]$ with respect the given norm, thus T is unbounded on $C[0, 1]$ since T is linear. Indeed, using the given norm, we see that $\{x_n\}$ converges to 0, the zero vector (function) of $C[0, 1]$, as $n \rightarrow \infty$, but $Tx_n \not\rightarrow T0$ as $n \rightarrow \infty$ since $|Tx_n - T0| = |x_n(t) - 0| = 1 \not\rightarrow 0$ as $n \rightarrow \infty$.

4.2 (S)

(i) Since, for all $f \in L^2[0, 1]$ and given $h \in L^\infty[0, 1]$ we have

$$\begin{aligned} \int_0^1 |f(t)h(t)|^2 dt &\leq \int_0^1 |f(t)|^2 \|h\|_{L^\infty[0, 1]}^2 dt \\ &\leq \|h\|_{L^\infty[0, 1]}^2 \int_0^1 |f(t)|^2 dt \\ &= \|h\|_{L^\infty[0, 1]}^2 \|f\|_{L^2[0, 1]}^2 < \infty \end{aligned}$$

$$fh \in L^2[0, 1] \Leftrightarrow \int_0^1 |f(t)h(t)|^2 dx < \infty$$

$$\begin{aligned} &\int_0^1 |fh|^2 dx \\ &= \int_{E/E} |fh|^2 dx \\ &\leq M^2 \int_0^1 |f|^2 dx \\ &= M^2 \|f\|_{L^2[0, 1]}^2 < \infty \end{aligned}$$

it gives that $hf \in L^2[0, 1]$.(ii) Clearly T is linear. For a given $h \in L^\infty[0, 1]$, by part (i) we see that

$$\|Tf\|_{L^2[0, 1]} = \|hf\|_{L^2[0, 1]} \leq \|h\|_{L^\infty[0, 1]} \|f\|_{L^2[0, 1]}$$

holds for all $f \in L^2[0, 1]$, which show that T is a bounded linear operator on $L^2[0, 1]$, with $\|T\| \leq \|h\|_{L^\infty[0, 1]}$.

- 4.3 (✎) Since $\|Tx\| = \|x\|$ for every $x \in X$, T is bounded and $\|T\| \leq 1$.
Let $x \in X$, with $x \neq 0$. Then

$$\|T\| = \sup_{y \in X, y \neq 0} \frac{\|Ty\|}{\|y\|} \geq \frac{\|Tx\|}{\|x\|} = \frac{\|x\|}{\|x\|} = 1.$$

Hence $\|T\| = 1$.

- 4.4 (✎) The linearity of the operator $T : C[a, b] \rightarrow C[a, b]$, defined by
✓ $(Tx)(t) = \int_a^t x(s) ds$, $t \in [a, b]$, is obvious. The boundedness of T follows from

$$\|Tx\|_{C[a, b]} = \max_{t \in [a, b]} \left| \int_a^t x(s) ds \right| \leq \int_a^b \max_{t \in [a, b]} |x(t)| ds = (b - a) \|x\|_{C[a, b]}$$

for all $x \in C[a, b]$. Also $\|T\| \leq b - a$. To obtain the value of $\|T\|$, we choose an $x_0 \in C[a, b]$ such that $x_0(t) = 1$ for all $t \in [a, b]$. Now $\|x_0\|_{C[a, b]} = 1$ and

$$\begin{aligned} \|T\| &= \sup_{x \in C[a, b], \|x\|_{C[a, b]} = 1} \|Tx\|_{C[a, b]} \geq \|Tx_0\|_{C[a, b]} \\ &= \max_{t \in [a, b]} \left| \int_a^t ds \right| = b - a, \end{aligned}$$

hence, $\|T\| = b - a$.

- 4.5 (✎) The linearity of the functional $f : L^1[a, b] \rightarrow \mathbb{F}$, defined by $f(x) = \int_a^b x(t) dt$ for all $x \in L^1[a, b]$, is clear. The boundedness of f follows from

$$|f(x)| = \left| \int_a^b x(t) dt \right| \leq \int_a^b |x(t)| dt = \|x\|_{L^1[a, b]}$$

for all $x \in L^1[a, b]$. Also we have $\|f\| \leq 1$. To obtain the value of $\|f\|$, we choose an $x_0 \in L^1[a, b]$ such that $x_0(t) = 1/(b - a)$ for all $t \in [a, b]$. Now $\|x_0\|_{L^1[a, b]} = 1$ and

$$\|f\| = \sup_{x \in L^1[a, b], \|x\|_{L^1[a, b]} = 1} |f(x)| \geq |f(x_0)| = \left| \int_a^b \frac{1}{b - a} ds \right| = 1,$$

hence, $\|f\| = 1$.

4.6 (✎) Let $x = \{\xi_n\} \in c$, $y = \{\eta_n\} \in c$ and $\alpha, \beta \in \mathbb{F}$, then we have

$$f(\alpha x + \beta y) = \lim_{n \rightarrow \infty} (\alpha \xi_n + \beta \eta_n) = \alpha \lim_{n \rightarrow \infty} \xi_n + \beta \lim_{n \rightarrow \infty} \eta_n = \alpha f(x) + \beta f(y),$$

which shows the linearity of f . Note that for each $x = \{\xi_n\} \in c$ we see that $|\xi_n| \leq \sup_{n \in \mathbb{N}} |\xi_n| = \|x\|_c$ for all $n \in \mathbb{N}$, which gives that

$|f(x)| = \left| \lim_{n \rightarrow \infty} \xi_n \right| \leq \|x\|_c$ for all $x = \{\xi_n\} \in c$, so that f is bounded with $\|f\| \leq 1$. To obtain the value of $\|f\|$, we choose an $x_0 = \{\xi_{0,n}\} \in c$ such that $\xi_{0,n} = 1$ for all $n \in \mathbb{N}$. Now $\|x_0\|_c = 1$ and

$$\|f\| = \sup_{x \in c, \|x\|_c=1} |f(x)| \geq |f(x_0)| = 1,$$

hence, $\|f\| = 1$.

4.7 (✎)

(i) Since, for every $x = \{x_i\} \in \ell^2$ we have

$$\|Tx\|_{\ell^2}^2 = \sum_{i=1}^{\infty} (|4x_{2i-1}|^2 + |x_{2i}|^2) \leq 4^2 \sum_{i=1}^{\infty} |x_i|^2 \leq 4^2 \|x\|_{\ell^2}^2 < \infty,$$

so $Tx \in \ell^2$.

(ii) Clearly T is linear. By part (i) we actually have got $\|Tx\|_{\ell^2} \leq 4\|x\|_{\ell^2}$ holds for all $x \in \ell^2$, so T is a bounded linear operator on ℓ^2 , with $\|T\| \leq 4$.

(iii) Let $e_1 = (1, 0, 0, \dots)$, then $e_1 \in \ell^2$, with $\|e_1\| = 1$. Since

$$\|T\| = \sup_{x \in \ell^2, \|x\|_{\ell^2}=1} \|Tx\|_{\ell^2} \geq \|Te_1\|_{\ell^2} = 4,$$

this together with the part (ii) give that $\|T\| = 4$.

4.8 (✎) Similarly as in the proof of Example 4.1.8, we obtain that f is linear and bounded with $\|f\| \leq \sum_{n=1}^{\infty} 1/n^2 = \pi^2/6$. To obtain the value of $\|f\|$, we choose an $x_0 = \{\xi_{0,n}\} \in \ell^\infty$ such that $\xi_{0,n} = 1$ for all $n \in \mathbb{N}$. Now $x_0 \in \ell^\infty$, with $\|x_0\|_{\ell^\infty} = 1$, and

$$\|f\| = \sup_{x \in \ell^\infty, \|x\|_{\ell^\infty}=1} |f(x)| \geq |f(x_0)| = \sum_{n=1}^{\infty} \frac{1}{n^2} = \frac{\pi^2}{6},$$

hence, $\|f\| = \pi^2/6$.

4.9 (✓) By the Cauchy-Schwarz inequality, $|Tx| = |\langle x, x_0 \rangle| \leq \|x\| \|x_0\|$ for every $x \in \mathcal{H}$, that is, T is bounded with $\|T\| \leq \|x_0\|$. If $x_0 = 0$, i.e., $\|x_0\| = 0$, then $Tx = 0$ for all $x \in \mathcal{H}$, which means that T is the zero operator $\mathbf{0}$, then $\|T\| = 0 = \|x_0\|$ by Example 4.1.4. If $x_0 \neq 0$, i.e., $\|x_0\| \neq 0$, then $\|T\| = \sup_{x \in \mathcal{H}, x \neq 0} \|Tx\|/\|x\| \geq |Tx_0|/\|x_0\| = \|x_0\|$. Hence $\|T\| = \|x_0\|$.

4.10 (✓) The linearity of T is clear since every inner product is linear for the first variable. Note that for given $y, z \in \mathcal{H}$, by the Cauchy-Schwarz inequality we have

$$\|Tx\| = \|\langle x, y \rangle z\| = |\langle x, y \rangle| \leq (\|y\| \|z\|) \|x\| \quad \text{for all } x \in \mathcal{H},$$

then T is bounded with $\|T\| \leq \|y\| \|z\|$. To obtain the value of $\|T\|$, we note that if $\|y\| = 0$, then $\|T\| = 0 = \|y\| \|z\|$. Hence, we may assume that $\|y\| \neq 0$, and we obtain that

$$\|T\| = \sup_{u \in X, u \neq 0} \frac{\|Tu\|}{\|u\|} \geq \frac{\|Ty\|}{\|y\|} = \frac{\|\langle y, y \rangle z\|}{\|y\|} = \frac{\|y\|^2 \|z\|}{\|y\|} = \|y\| \|z\|,$$

so that $\|T\| = \|y\| \|z\|$.

$$\|f\| = \frac{1}{\inf \|x\|}$$

4.11 (✓) For any $x \in X$ with $x \neq 0$ we clearly have $x/f(x) \in H$ since $f(x/f(x)) = 1$, and we also know that

$$\frac{|f(x)|}{\|x\|} = \frac{1}{\left\| \frac{x}{f(x)} \right\|} = \frac{\left| f\left(\frac{x}{f(x)} \right) \right|}{\left\| \frac{x}{f(x)} \right\|} \leq \sup_{y \in H} \frac{|f(y)|}{\|y\|},$$

where, for every $y \in H$ we must have $y \neq 0$ since $f(y) = 0$. This implies that $\sup_{x \in X, x \neq 0} \frac{|f(x)|}{\|x\|} \leq \sup_{y \in H} \frac{|f(y)|}{\|y\|}$. The reverse inequality obviously holds.

Hence,

$$\|f\| = \sup_{x \in X, x \neq 0} \frac{|f(x)|}{\|x\|} = \sup_{y \in H} \frac{|f(y)|}{\|y\|} = \sup_{y \in H} \left(\frac{1}{\|y\|} \right) = \frac{1}{\inf_{y \in H} \|y\|} = \frac{1}{d}.$$

Another proof. Since f is a bounded linear function on X , we have $|f(y)| \leq \|f\| \|y\|$ for all $y \in X$. In particular, for all $x \in H$ we get that

$$1 = |f(x)| \leq \|f\| \|x\|, \text{ i.e., } \|f\| \geq 1/\|x\|,$$

where $x \neq 0$ since $f(x) = 1$. Hence

$$\|f\| \geq \sup_{x \in H} \left(\frac{1}{\|x\|} \right) = \frac{1}{\inf_{x \in H} \|x\|} = \frac{1}{d}. \quad (\text{E4-1})$$

By the definition of the supremum in the norm $\|f\|$ we see that for every $\varepsilon > 0$ there exists an $x_0 \in X$, with $x_0 \neq 0$, such that

$$\frac{|f(x_0)|}{\|x_0\|} > \|f\| - \varepsilon. \quad (\text{E4-2})$$

Let $\bar{x}_0 = x_0/f(x_0)$, then we have $f(\bar{x}_0) = 1$, i.e., $\bar{x}_0 \in H$. Substituting $x_0 = f(x_0)\bar{x}_0$ into (E4-2), we arrive at

$$\|f\| - \varepsilon < \frac{|f(f(x_0)\bar{x}_0)|}{\|f(x_0)\bar{x}_0\|} = \frac{|f(x_0)f(\bar{x}_0)|}{|f(x_0)|\|\bar{x}_0\|} = \frac{1}{\|\bar{x}_0\|} \leq \frac{1}{\inf_{x \in H} \|x\|} = \frac{1}{d}.$$

Letting $\varepsilon \rightarrow 0^+$, we obtain that $\|f\| \leq 1/d$, which together (E4-1) imply that $\|f\| = 1/d$, as required.

4.12

(\Leftarrow) *Sufficiency.* Argue by contradiction we suppose that f is bounded on X , i.e., f is continuous on X since f is linear. Since $\text{Ker}(f)$ is dense in X , that is, $\overline{\text{Ker}(f)} = X$, it follows that for each $x \in X$ there exists $\{x_n\} \subset \text{Ker}(f)$ such that $x_n \rightarrow x$ as $n \rightarrow \infty$, which gives that $f(x_n) = 0$ for all $n \in \mathbb{N}$ and $f(x) = \lim_{n \rightarrow \infty} f(x_n) = 0$ by the continuity of f , i.e., $f(x) = 0$ for each $x \in X$. This is impossible since $f \neq 0$, hence f is unbounded (discontinuous) on X .

\Rightarrow *Necessity.* Suppose f is unbounded on X , i.e., f is discontinuous on X since f is linear. Then f must be discontinuous at $x = 0$ by Theorem 4.1.1. Hence, there exist an $\varepsilon_0 > 0$ and a sequence $\{x_n\} \subset X$, with $\|x_n\| < 1/n$, such that $|f(x_n)| \geq \varepsilon_0$ for all $n \in \mathbb{N}$. Now let $x \in X$ be arbitrary, it is clear that for each $n \in \mathbb{N}$, $x - \frac{f(x)}{f(x_n)}x_n \in \text{Ker}(f)$ since

$$f\left(x - \frac{f(x)}{f(x_n)}x_n\right) = f(x) - f\left(\frac{f(x)}{f(x_n)}x_n\right) = f(x) - \frac{f(x)}{f(x_n)}f(x_n) = 0,$$

and $x - \frac{f(x)}{f(x_n)}x_n \rightarrow x$ as $n \rightarrow \infty$ since

$$\left\|x - \frac{f(x)}{f(x_n)}x_n - x\right\| = \left\|-\frac{f(x)}{f(x_n)}x_n\right\| = \frac{|f(x)|}{|f(x_n)|}\|x_n\| < \frac{|f(x)|}{n\varepsilon_0} \rightarrow 0$$

as $n \rightarrow \infty$. Hence $\text{Ker}(f)$ is dense in X .

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- 4.13 (✎) Clearly, T is linear. Since $a \perp b$, it follows that $\alpha a \perp \beta b$ for all $\alpha, \beta \in \mathbb{F}$. In particular, $\langle x, b \rangle a \perp \langle x, a \rangle b$. Hence, by the Bessel inequality, for all $x \in \mathcal{H}$ we have

$$\begin{aligned} \|Tx\|^2 &= |\langle x, b \rangle|^2 \|a\|^2 + |\langle x, a \rangle|^2 \|b\|^2 \\ &= \|a\|^2 \|b\|^2 \left(\left| \left\langle x, \frac{b}{\|b\|} \right\rangle \right|^2 + \left| \left\langle x, \frac{a}{\|a\|} \right\rangle \right|^2 \right) \\ &\leq \|a\|^2 \|b\|^2 \|x\|^2, \end{aligned}$$

which gives that T is bounded with $\|T\| \leq \|a\| \|b\|$. To obtain the value of $\|T\|$, we note that $Ta = b\|a\|^2$, which yields that

$$\|a\|^2 \|b\| = \|Ta\| \leq \|T\| \|a\|,$$

and then $\|T\| \geq \|a\| \|b\|$. Therefore $\|T\| = \|a\| \|b\|$.

- 4.14 (✎) T is clearly a linear operator on \mathcal{H}_1 . Since $\{e_1, e_2, \dots, e_n\} \subset \mathcal{H}_1$ and $\{b_1, b_2, \dots, b_n\} \subset \mathcal{H}_2$ be orthonormal systems, by the Bessel inequality we have

$$\begin{aligned} \|Tx\|^2 &= \left\langle \sum_{i=1}^n \lambda_i \langle x, e_i \rangle b_i, \sum_{j=1}^n \lambda_j \langle x, e_j \rangle b_j \right\rangle = \sum_{i=1}^n |\lambda_i|^2 \|b_i\|^2 |\langle x, e_i \rangle|^2 \\ &= \sum_{i=1}^n |\lambda_i|^2 |\langle x, e_i \rangle|^2 \leq M^2 \sum_{i=1}^n |\langle x, e_i \rangle|^2 \leq M^2 \|x\|^2, \end{aligned}$$

where $M = \max_{1 \leq i \leq n} |\lambda_i|$. Hence T is a bounded linear operator on \mathcal{H}_1 , with $\|T\| \leq M$. To obtain the value of $\|T\|$, we note that for each $i = 1, 2, \dots, n$,

$$|\lambda_i| = |\lambda_i| \|b_i\| = \|Te_i\| \leq \|T\| \|e_i\| = \|T\|,$$

which implies $M \leq \|T\|$. Thus $\|T\| = \max_{1 \leq i \leq n} |\lambda_i|$.

- 4.15 (✎) The functions $\sin t$ and $\cos t$ are clearly two orthogonal nonzero vectors in the Hilbert space $L^2[0, \pi]$ since

$$\langle \sin t, \cos t \rangle_{L^2[0, \pi]} = \int_0^\pi \sin t \cos t \, dt = 0.$$

Note that the operator T can be represented as the form of

$$(Tx)(t) = \langle x, \cos t \rangle_{L^2[0,\pi]} \sin t + \langle x, \sin t \rangle_{L^2[0,\pi]} \cos t$$

for all $x \in L^2[0, \pi]$ and $t \in [0, \pi]$. By Exercise 4.13 we see that

$$\|T\| = \|\sin t\|_{L^2[0,\pi]} \|\cos t\|_{L^2[0,\pi]} = \frac{\pi}{2}$$

since

$$\|\sin\|_{L^2[0,\pi]}^2 = \langle \sin t, \sin t \rangle_{L^2[0,\pi]} = \int_0^\pi \sin^2 t \, dt = \frac{\pi}{2} = \|\cos t\|_{L^2[0,\pi]}^2.$$

4.16 (✎) By Example 4.1.9 we see that $\|f\| = \sup_{n \in \mathbb{N}} (1/n) = 1$ and $f(e_1) = 1 = \|f\|$, where $e_1 = (1, 0, 0, \dots) \in \ell^1$. This does not conflict Example 4.1.9 since now $C = \{c_n = 1/n\}$ and $\|C\|_\infty = 1 \in \{|1/n| : n \in \mathbb{N}\}$.

4.17 (✎) By change of variable $s = t^2$ we have

$$f(x) = g(x) = \frac{1}{2} \int_0^1 s^{-1/4} x(s) \, ds$$

holds for all $x \in C[0, 1]$ or $x \in L^2[0, 1]$, hence f and g are linear functionals on the linear spaces $C[0, 1]$ and $L^2[0, 1]$, respectively.

(i) Since

$$|f(x)| \leq \frac{1}{2} \max_{0 \leq t \leq 1} |x(t)| \int_0^1 s^{-1/4} \, ds = \frac{2}{3} \|x\|_{C[0,1]}$$

for all $x \in C[0, 1]$, and

$$|g(x)| \leq \frac{1}{2} \left(\int_0^1 s^{-1/2} \, ds \right)^{1/2} \left(\int_0^1 |x(s)|^2 \, ds \right)^{1/2} = \frac{1}{\sqrt{2}} \|x\|_{L^2[0,1]}$$

for all $x \in L^2[0, 1]$, we see that f and g are respectively bounded on $C[0, 1]$ and $L^2[0, 1]$, with $\|f\| \leq 2/3$ and $\|g\| \leq 1/\sqrt{2}$.

(ii) To obtain the value of $\|f\|$, we choose an $x_0(t) = 1$ for all $t \in [0, 1]$,

then $x_0 \in C[0, 1]$ with $\|x_0\|_{C[0,1]} = 1$, hence

$$\|f\| = \sup_{x \in C[0,1], \|x\|_{C[0,1]}=1} |f(x)| \geq |f(x_0)| = \int_0^1 \sqrt{t} \, dt = \frac{2}{3},$$

so that $\|f\| = 2/3$.

To obtain the value of $\|g\|$, we choose an $x_1(t) = 1/(\sqrt{2}t^{1/4})$ for all $t \in [0, 1]$, then $x_1(t) \in L^2[0, 1]$, with $\|x_1\|_{L^2[0,1]} = 1$, since

$$\int_0^1 |x_1(t)|^2 \, dt = \int_0^1 \frac{1}{2\sqrt{t}} \, dt = 1.$$

Hence

$$\|g\| = \sup_{x \in L^2[0,1], \|x\|_{L^2[0,1]}=1} |g(x)| \geq |g(x_1)| = \int_0^1 \sqrt{t} x_1(t^2) \, dt = \frac{1}{\sqrt{2}},$$

so that $\|g\| = 1/\sqrt{2}$.

4.25 (✎) The first norm on ℓ^∞ is the standard norm $\|x\|_{\ell^\infty} = \sup_{n \in \mathbb{N}} |\xi_n|$, where $x = \{\xi_n\} \in \ell^\infty$.

Given arbitrarily a bounded sequence $\{\eta_n\}$ of real or complex numbers such that $\eta_n \neq 0$ for all $n \in \mathbb{N}$, we will show that the function $x \mapsto \|\cdot\| : \ell^\infty \rightarrow \mathbb{R}$, defined by $\|x\| = \sup_{n \in \mathbb{N}} |\eta_n \xi_n|$ for all $x = \{\xi_n\} \in \ell^\infty$, is also a norm on ℓ^∞ . In fact, for all $x = \{\xi_n\} \in \ell^\infty$ we clearly have $\|x\| \geq 0$, and $\|x\| = 0$ if and only if $|\eta_n \xi_n| = 0$ for all $n \in \mathbb{N}$, i.e., $\xi_n = 0$ for all $n \in \mathbb{N}$, meaning $x = 0$, since $\eta_n \neq 0$ for all $n \in \mathbb{N}$. Obviously, $\|\alpha x\| = |\alpha| \|x\|$ for all $\alpha \in \mathbb{F}$ since

$$\|\alpha x\| = \sup_{n \in \mathbb{N}} |\eta_n \alpha \xi_n| = |\alpha| \sup_{n \in \mathbb{N}} |\eta_n \xi_n| = |\alpha| \|x\|.$$

To check the triangle inequality for a norm, we observe that for every $x = \{\xi_n\}, \tilde{x} = \{\tilde{\xi}_n\} \in \ell^\infty$ it holds that

$$\begin{aligned} \|x + \tilde{x}\| &= \sup_{n \in \mathbb{N}} |\eta_n(\xi_n + \tilde{\xi}_n)| \leq \sup_{n \in \mathbb{N}} (|\eta_n \xi_n| + |\eta_n \tilde{\xi}_n|) \\ &\leq \sup_{n \in \mathbb{N}} |\eta_n \xi_n| + \sup_{n \in \mathbb{N}} |\eta_n \tilde{\xi}_n| = \|x\| + \|\tilde{x}\|. \end{aligned}$$

So $\|\cdot\|$ is a norm on ℓ^∞ .

There are many ways to define a bounded linear functional on ℓ^∞ . For example, given arbitrarily a sequence $\{\eta_k\}$ of real or complex numbers, for each $n \in \mathbb{N}$ we define a functional on ℓ^∞ by $f_n(x) = \sum_{k=1}^n \eta_k \xi_k$ for all $x = \{\xi_k\} \in \ell^\infty$, then each f_n is clearly a bounded linear functional on both $(\ell^\infty, \|\cdot\|_{\ell^\infty})$ and $(\ell^\infty, \|\cdot\|)$. Of course, if one hope to get some more properties of the f_n , such as the convergence of f_n , etc, then one may require some more assumptions on the given sequence $\{\eta_k\}$.

4.26 (✎) Since \mathcal{M} is closed linear subspace of \mathcal{H} and \mathcal{H} is a Hilbert space, we see that \mathcal{M} is a Hilbert space by Theorem 1.5.1. If f is a bounded linear functional on \mathcal{M} , then by the Riesz-Fréchet theorem (Theorem 4.2.3) we have a unique $y_0 \in \mathcal{M}$ such that $f(x) = \langle x, y_0 \rangle$ for all $x \in \mathcal{M}$ and $\|f\| = \|y_0\|$. On the other hand, the function $g : \mathcal{H} \rightarrow \mathbb{R}$, given by $g(x) = \langle x, y_0 \rangle$ for all $x \in \mathcal{H}$, clearly defines a bounded linear functional on \mathcal{H} with $\|g\| = \|y_0\|$ by Exercise 4.9. So $g(x) = f(x)$ for all $x \in \mathcal{M}$ and $\|f\| = \|y_0\| = \|g\|$.

4.27 (✎)

- (i) Clearly, for all $R, S, T \in \mathcal{B}(X)$, and $\alpha \in \mathbb{F}$ we have the following equalities:
 - (a) $R(ST) = (RS)T$
 - (b) $R(S + T) = RS + RT$
 - (c) $(S + T)R = SR + TR$
 - (d) $\mathbf{I}T = T\mathbf{I} = T$
 - (e) $(\alpha S)T = \alpha(ST) = S(\alpha T)$.

Hence, $\mathcal{B}(X)$ is an algebra with identity \mathbf{I} under the operations of addition and scalar multiplication given in (4.5), and hence a ring with identity \mathbf{I} under the operation of multiplication given in Definition 4.2.3.

- (ii) $\{T_n\}$ is bounded in $\mathcal{B}(X)$ since it is convergent, so there exists $K > 0$ such that $\|T_n\| \leq K$ for all $n \in \mathbb{N}$. Thus, we have

$$\begin{aligned} \|S_n T_n - ST\| &\leq \|S_n T_n - ST_n\| + \|ST_n - ST\| \\ &\leq K \|S_n - S\| + \|S\| \|T_n - T\|, \end{aligned}$$

which goes to zero as $n \rightarrow \infty$ since $\lim_{n \rightarrow \infty} T_n = T$ and $\lim_{n \rightarrow \infty} S_n = S$. Therefore, the conclusion follows immediately.

4.28 (4)

- (i) For each $n \in \mathbb{N}$ let $e_n = \{\delta_{nj}\}$, with δ_{nj} having 1 in the n th place and zeros elsewhere. Then, it is clear that each $e_n \in c_0$ with $\|e_n\|_{c_0} = 1$, and $x = \lim_{n \rightarrow \infty} \sum_{k=1}^n \xi_k e_k$ for all $x = \{\xi_n\} \in c_0$. Now for every $f \in (c_0)^*$, denote $a_n = f(e_n)$, $n = 1, 2, \dots$, then by the continuity and linearity of f we have

$$f(x) = \lim_{n \rightarrow \infty} \sum_{k=1}^n \xi_k f(e_k) = \sum_{n=1}^{\infty} \xi_n a_n. \quad (\text{E4-3})$$

For each $n \in \mathbb{N}$ we consider $x_n = (\xi_1^{(n)}, \xi_2^{(n)}, \xi_3^{(n)}, \dots)$ defined by

$$\xi_k^{(n)} = \begin{cases} 1, & \text{if } k \leq n, a_k > 0, \\ -1, & \text{if } k \leq n, a_k < 0, \\ 0, & \text{if } k > n \text{ or } a_k = 0. \end{cases}$$

Clearly, each $x_n \in c_0$ since the sequence $\{\xi_k^{(n)}\}_{k \in \mathbb{N}}$ of real numbers has at most n nonzero terms, which must converge to 0 as $k \rightarrow \infty$. Note that for any $n \in \mathbb{N}$ we have

$$\begin{aligned} \sum_{k=1}^n |a_k| &= \sum_{k=1}^{\infty} \xi_k^{(n)} a_k \stackrel{\text{by (E4-3)}}{=} f(x_n) \\ &\leq \|f\| \|x_n\|_{c_0} = \|f\| \sup_{k \in \mathbb{N}} |\xi_k^{(n)}| = \|f\|. \end{aligned}$$

Letting $n \rightarrow \infty$, we get that $\sum_{k=1}^{\infty} |a_k| \leq \|f\| < \infty$, which means that $\{a_k\} \in \ell^1$ and $\|\{a_k\}\|_{\ell^1} \leq \|f\|$. On the other hand, by (E4-3), for each $x = \{\xi_k\} \in c_0$ we have

$$|f(x)| \leq \sum_{k=1}^{\infty} |a_k \xi_k| \leq \sup_{k \in \mathbb{N}} |\xi_k| \sum_{k=1}^{\infty} |a_k| = \|x\| \|\{a_k\}\|_{\ell^1}. \quad (\text{E4-4})$$

Therefore $\|f\| \leq \|\{a_k\}\|_{\ell^1}$, so that $\|f\| = \|\{a_n\}\|_{\ell^1} = \sum_{k=1}^{\infty} |a_k|$.

proof.

$f \in (c_0)^*$.

$\{e_n\} \rightarrow x = \sum_{n=1}^{\infty} \xi_n e_n$

$f(x) = \sum_{n=1}^{\infty} \xi_n f(e_n)$

$= \sum_{n=1}^{\infty} a_n \xi_n$

$f(x_k) = \sum_{n=1}^k |a_n| \leq \|f\| \|x_k\|_c$

$= \|f\| \quad k \rightarrow \infty$

$\Rightarrow a \in \ell^1$

- (ii) For given $\{a_k\} \in \ell^1$, (E4-3) clearly defines a linear functional f on c_0 . By the (E4-4) in the part (i) we see that f is bounded on c_0 . Thus $f \in (c_0)^*$. So $f \in (c_0)^*$.
- (iii) Let $T : \ell^1 \rightarrow (c_0)^*$ defined by $T(a) = f$ for each $a = \{a_k\} \in \ell^1$, where f is defined in (E4-3). It is easy to check that T is linear. By parts (i) and (ii), T is a surjection and T preserves the norm, i.e., T is an isometry. So $(c_0)^* = \ell^1$ in the sense of isometrical isomorphism.

4.31 (✎) Clearly T is linear since the limit operation and T_n 's ($n \in \mathbb{N}$) are linear. Since for each $x \in X$ the limit $\lim_{n \rightarrow \infty} T_n(x)$ exists, we see that $\{T_n(x)\}$ is bounded for each $x \in X$ with a bound dependent on x . By Theorem 4.3.1 we have a constant $C > 0$, independent of x and n , such that $\|T_n\| \leq C$ for all $n \in \mathbb{N}$, so that $\liminf_{n \rightarrow \infty} \|T_n\| \leq C < \infty$. Hence, we arrive at $\|Tx\| \leq \left(\liminf_{n \rightarrow \infty} \|T_n\|\right) \|x\|$ for all $x \in X$ since

$$\|Tx\| \leq \|T_n x\| + \|Tx - T_n x\| \leq \|T_n\| \|x\| + \|Tx - T_n x\|$$

and $T_n x \rightarrow Tx$ as $n \rightarrow \infty$. Thus, $T \in \mathcal{B}(X, Y)$ and $\|T\| \leq \liminf_{n \rightarrow \infty} \|T_n\|$.

4.32 (✎) It follows from Exercise 2.40 that S is a non-closed (not closed) subspace of ℓ^2 , and hence S is not complete by Theorem 1.5.1 since S is not closed. For each $x = \{x_n\} \in S$ we see that there exists an $N_x \in \mathbb{N}$ such that $x_n = 0$ for all $n \geq N_x$, so that

$$\|T_n x\|_{\ell^2} = n|x_n| \leq C_{N_x} \quad \text{for all } n \in \mathbb{N},$$

where C_{N_x} is a positive constant dependent on x . On the other hand, for each $n \in \mathbb{N}$ let $e_n = \{\delta_{nj}\}$ with δ_{nj} having 1 in the n th place and zeros elsewhere. Then for each $n \in \mathbb{N}$, $\|T_n e_n\|_{\ell^2} = n$, so $\|T_n\| \geq n$, which means that the sequence $\{\|T_n\|\}$ is unbounded. Setting $X = S$ and $Y = \ell^2$, we know that this example satisfies all the hypotheses of Theorem 4.3.1 except the completeness of X . Since the conclusion of the the theorem does not hold, we see that the completeness of X is necessary.

4.33 (✎) For each $n \in \mathbb{N}$ we define $T_n(x) = \sum_{i=1}^n a_i x_i$ for all $x = \{x_k\} \in \ell^p$, then each $\{T_n\}$ is clearly a bounded linear operator (functional) on

ℓ^p . Obviously, $T(x) = \sum_{i=1}^{\infty} a_i x_i$ ($x = \{x_k\} \in \ell^p$) is well-defined since the series $\sum_{k=1}^{\infty} a_k x_k$ converges by the assumption, and $\lim_{n \rightarrow \infty} T_n(x) = T(x)$. By Corollary 4.3.2 we see that $T \in (\ell^p)^*$. Hence $\{a_n\} \in \ell^q$ by Theorem 4.2.5.

4.34 (✎) For each $n \in \mathbb{N}$ we define $T_n(x) = \sum_{i=1}^n a_i x_i$ for all $x = \{x_k\} \in c_0$, then each $\{T_n\}$ is clearly a bounded linear operator (functional) on ℓ^p . Obviously, $T(x) = \sum_{i=1}^{\infty} a_i x_i$ ($x = \{x_k\} \in c_0$) is well-defined since the series $\sum_{k=1}^{\infty} a_k x_k$ converges by the assumption, and $\lim_{n \rightarrow \infty} T_n(x) = T(x)$. By Corollary 4.3.2 we see that $T \in (c_0)^*$. Hence $\{a_n\} \in \ell^1$ by Exercise 4.28.

4.35 (✎) If $\sup_{n \in \mathbb{N}} \|T_n x\| < \infty$ would hold for all $x \in X$, then for every $x \in X$ we clearly have $\|T_n x\| \leq \sup_{n \in \mathbb{N}} \|T_n x\|$ for all $n \in \mathbb{N}$, where $\sup_{n \in \mathbb{N}} \|T_n x\|$ depends on x , but it is independent of n . By the uniform boundedness theorem (i.e., Theorem 4.3.1) we have a constant $C > 0$, independent of x and n , such that $\|T_n\| \leq C$ for all $n \in \mathbb{N}$, this contradicts the assumption $\sup_{n \in \mathbb{N}} \|T_n\| = +\infty$. Hence there exists an $x_0 \in X$ such that $\sup_{n \in \mathbb{N}} \|T_n x_0\| = +\infty$.

4.36 (✎) By Exercise 1.29 we see that in any metric space, a Cauchy sequence is bounded, so for each $x \in X$ the Cauchy sequence $\{\|T_n x\|\}$ is bounded, i.e., there exists a constant C_x , independent of n , such that $\|T_n x\| \leq C_x$ for all $n \in \mathbb{N}$. Then by the uniform boundedness theorem (i.e., Theorem 4.3.1) we get that the sequence $\{\|T_n\|\}$ is bounded in \mathbb{R} .

4.37 (✎) Suppose that $\{T_n x\}$ ($x \in X$) is Cauchy in Y , then for each $x \in X$ the Cauchy sequence $\{T_n x\}$ is convergent in Y since Y is complete, say, $T_n x \rightarrow y \in Y$ as $n \rightarrow \infty$. Now, for each $x \in X$ we define $T : X \rightarrow Y$ by $Tx = y = \lim_{n \rightarrow \infty} T_n x$, then T is well-defined, and T is a linear operator

on X since $\{T_n\} \subset \mathcal{B}(X, Y)$ and for all $x, y \in X$ and $\alpha, \beta \in \mathbb{F}$ we have

$$\begin{aligned} T(\alpha x + \beta y) &= \lim_{n \rightarrow \infty} T_n(\alpha x + \beta y) = \lim_{n \rightarrow \infty} (\alpha T_n x + \beta T_n y) \\ &= \alpha \lim_{n \rightarrow \infty} T_n x + \beta \lim_{n \rightarrow \infty} T_n y = \alpha T x + \beta T y. \end{aligned}$$

Moreover, T is bounded on X since

$$\|Tx\| = \left\| \lim_{n \rightarrow \infty} T_n x \right\| = \lim_{n \rightarrow \infty} \|T_n x\| \leq \left(\sup_{n \in \mathbb{N}} \|T_n\| \right) \|x\|$$

for all $x \in X$, where the existence of $\sup_{n \in \mathbb{N}} \|T_n\|$ was shown in Exercise 4.36. Therefore, $T \in \mathcal{B}(X, Y)$ such that $T_n x \rightarrow Tx$ for all $x \in X$ as $n \rightarrow \infty$.

4.43 (✍) Clearly, $T_1 T_2$ is a bounded linear operator by Lemma 4.2.1, and $T_1 T_2$ is a bijection since T_1 and T_2 are invertible. So $(T_1 T_2)^{-1}$ exists such that $T_1 T_2 (T_1 T_2)^{-1} = I$, which implies that

$$\begin{aligned} (T_1 T_2)^{-1} &= [T_2^{-1} (T_1^{-1} T_1) T_2] (T_1 T_2)^{-1} \\ &= T_2^{-1} T_1^{-1} [T_1 T_2 (T_1 T_2)^{-1}] = T_2^{-1} T_1^{-1}. \end{aligned}$$

4.44 (✍) By the assumption that $\|T - S\| < 1/\|T^{-1}\|$, we have

$$\|T^{-1}(T - S)\| \leq \|T^{-1}\| \|T - S\| < 1,$$

which implies that $I - T^{-1}(T - S) = T^{-1}S$ is invertible by Theorem 4.4.1. Therefore $S = TT^{-1}S$ is also invertible by Lemma 4.4.1.

4.45 (✍) 不太好想 找一列 $\{e_n\}$. $\|e_n\|=1$ 但 $\|Te_n\| \rightarrow 0$

(i) For each $n \in \mathbb{N}$ let $e_n = \{\delta_{nk}\}$ with δ_{nk} having 1 in the n th place and zero elsewhere, then each $e_n \in \ell^\infty$ and $\|e_n\|_{\ell^\infty} = 1$. Since $\|T_c(e_n)\| = 1/n = (1/n)\|e_n\|$ and $1/n \rightarrow 0$ as $n \rightarrow \infty$, it follows from Theorem 4.4.5 that T_c , with $c = \{1/n\}$, is not invertible.

(ii) Since $|d_n| = |1/c_n| \leq 1/\inf_{n \in \mathbb{N}} |c_n|$ for all $n \in \mathbb{N}$, we see that

$\sup_{n \in \mathbb{N}} |d_n| \leq 1/\inf_{n \in \mathbb{N}} |c_n|$, which gives that $d \in \ell^\infty$. Now, for every

$x = \{x_n\} \in \ell^\infty$ we have

$$T_c T_d \{x_n\} = T_c \{d_n x_n\} = \{c_n d_n x_n\} = \{x_n\}$$

and

$$T_d T_c \{x_n\} = T_d \{c_n x_n\} = \{d_n c_n x_n\} = \{x_n\}$$

Hence $T_c T_d = T_d T_c = \mathbf{I}$.

- (iii) Suppose that $\lambda \notin \overline{\{c_n : n \in \mathbb{N}\}}$, then we have $\inf_{n \in \mathbb{N}} |c_n - \lambda| > 0$ by the definition of the infimum. For each $n \in \mathbb{N}$ let $b_n = c_n - \lambda$, then $b = \{b_n\} \in \ell^\infty$ since $\{c_n\} \in \ell^\infty$. By part (ii) we see that T_b is invertible since $\inf_{n \in \mathbb{N}} |b_n| > 0$ and the inverse $T_b^{-1} = T_d$ with $d = \{1/b_n\} \in \ell^\infty$. Note that for every $x = \{x_n\} \in \ell^\infty$ it holds that $T_b \{x_n\} = \{(c_n - \lambda)x_n\} = \{c_n x_n\} - \{\lambda x_n\} = (T_c - \lambda \mathbf{I})\{x_n\}$, so $T_b = T_c - \lambda \mathbf{I}$. Thus $T_c - \lambda \mathbf{I}$ is invertible.

$$\begin{aligned} \frac{1}{c_n} &\leq \frac{1}{\inf |c_n|} \\ d_n &= \frac{1}{c_n} \\ T_d(\{x_n\}) &= \{d_n x_n\} \\ &= \left\{ \frac{x_n}{c_n} \right\} \\ T_d(x) &= \max \left\{ \frac{x_n}{c_n} \right\} \end{aligned}$$

4.47 (✎) The identity map $\mathbf{I} : X \rightarrow X$ is clear a bijective linear operator which maps the Banach space $(X, \|\cdot\|_2)$ into the Banach space $(X, \|\cdot\|_1)$, and vice versa, of course. By the assumption, we see that \mathbf{I} is obviously bounded since $\|\mathbf{I}x\|_1 = \|x\|_1 \leq k\|x\|_2$ for all $x \in (X, \|\cdot\|_2)$. Then, the Banach theorem (i.e., Theorem 4.4.3) infers that \mathbf{I} is invertible. Hence there exists a $K > 0$ such that $\|\mathbf{I}x\|_2 < K\|x\|_1$ for all $x \in (X, \|\cdot\|_1)$, so that $\|\cdot\|_1$ and $\|\cdot\|_2$ are equivalent.

4.49 (✎) Note that $T_0^{-1} \in \mathcal{B}(Y, X)$ by the Banach theorem (i.e., Theorem 4.4.3) since $T_0 \in \mathcal{B}(X, Y)$ is a bijection. For every given $y \in Y$, we define an operator $T_y : X \rightarrow X$ by $T_y x = -T_0^{-1} T_1 x + T_0^{-1} y$ for all $x \in X$. Then T_y is a contraction on X with a contractivity factor $\|T_0^{-1}\| \|T_1\| \in [0, 1)$ since for all $x_1, x_2 \in X$ we have

$$\|T_y(x_1) - T_y(x_2)\|_X = \|T_0^{-1} T_1(x_2 - x_1)\| \leq \|T_0^{-1}\| \|T_1\| \|x_1 - x_2\|_X.$$

Thus, by the Banach fixed point theorem (i.e., Theorem 1.8.1) we know that the equation $T_y x = x$ has a unique solution x_y . This x_y is also the unique solution of the equation $T_0 x = T_0(T_y x)$ since T_0 is a bijection, which means that the x_y uniquely satisfies

$$T_0(x_y) = T_0(T_y(x_y)) = -T_0(T_0^{-1}(T_1(x_y))) + T_0(T_0^{-1}y).$$

$$\begin{aligned} &\leq \frac{1}{\inf |c_n|} \|x\| \\ &\Rightarrow T_d \in \mathcal{B}(\ell^\infty) \end{aligned}$$

That is, for each $y \in X$ the equation $y = (T_0 + T_1)x$ has a unique solution x_y . Therefore $T_0 + T_1$ is bijective. Clearly, $T_0 + T_1 \in \mathcal{B}(X, Y)$, so $T_0 + T_1$ is invertible by the Banach theorem.

4.51 (✎) Obverse that X is a Hilbert space since X is closed in \mathcal{H} and \mathcal{H} is a Hilbert space. By the Riesz-Frchet theorem (i.e., Theorem 4.2.3), there is a unique $x_f \in X$ with $\|x_f\| = \|f\|$ such that $f(x) = \langle x, x_f \rangle$ for all $x \in X$. Let g be defined by $g(x) = \langle x, x_f \rangle$ for $x \in \mathcal{H}$. Then by Exercise 4.9 we see that $g \in X^*$ with $\|g\| = \|x_f\| = \|f\|$, and clearly, $g|_X = f$. Suppose now that \tilde{f} is another linear functional on \mathcal{H} such that $\tilde{f}|_X = f$ and $\|\tilde{f}\| = \|f\|$. Then, by the Riesz-Frchet theorem we have an $\tilde{x} \in \mathcal{H}$ such that $\tilde{f}(x) = \langle x, \tilde{x} \rangle$ for all $x \in \mathcal{H}$, and $\|\tilde{x}\| = \|\tilde{f}\|$. Since $\tilde{f}|_X = f$, we obtain that $\langle x, \tilde{x} - x_f \rangle = 0$ for all $x \in X$, i.e., $\tilde{x} - x_f \in X^\perp$. Hence $\|f\|^2 = \|\tilde{f}\|^2 = \|\tilde{x}\|^2 = \|\tilde{x} - x_f\|^2 + \|x_f\|^2 = \|\tilde{x} - x_f\|^2 + \|f\|^2$. Therefore $\|\tilde{x} - x_f\|^2 = 0$, $\tilde{x} = x_f$, and the extension is unique.)

因为我们的限制是一样的

0 4.52 (✎) Clearly, for each $f \in X^*$ with $\|f\| \leq 1$ we have $|f(x)| \leq \|f\| \|x\| \leq \|x\|$, which infers that $\sup\{|f(x)| : f \in X^*, \|f\| \leq 1\} \leq \|x\|$. We may assume that $x \neq 0$ since otherwise the desired equality holds trivially. It follows from the corollary of the Hahn-Banach theorem (i.e. Corollary 4.5.2) that there exists an $f_x \in X^*$ with $\|f_x\| = 1$ such that $f_x(x) = \|x\|$, so that $\sup\{|f(x)| : f \in X^*, \|f\| \leq 1\} \geq \|x\|$. Therefore

$$\sup\{|f(x)| : f \in X^*, \|f\| \leq 1\} = \|x\|.$$

4.53 (✎) Clearly if $f \in X^*$ satisfies that $f(x_\nu) = \alpha_\nu$ for all $\nu = 1, 2, \dots, k$ and $\|f\| \leq M$, then, for all $t_1, t_2, \dots, t_k \in \mathbb{F}$ we have

$$\left| \sum_{\nu=1}^k t_\nu \alpha_\nu \right| = \left| f \left(\sum_{\nu=1}^k t_\nu x_\nu \right) \right| \leq \|f\| \left\| \sum_{\nu=1}^k t_\nu x_\nu \right\| \leq M \left\| \sum_{\nu=1}^k t_\nu x_\nu \right\|,$$

as required.

Conversely, denote $\mathcal{M} = \text{span}(\{x_k : k \in \mathbb{N}\})$ and define a functional \tilde{f} on \mathcal{M} by $\tilde{f}(x) = \sum_{\nu=1}^k t_\nu \alpha_\nu$ for every $x = \sum_{\nu=1}^k t_\nu x_\nu \in \mathcal{M}$, where $t_1, t_2, \dots, t_k \in \mathbb{F}$. Clearly, \tilde{f} is linear, and $|\tilde{f}(x)| \leq M\|x\|$ on \mathcal{M} by the assumption, hence \tilde{f} is a bounded linear functional on \mathcal{M} . By the Hahn-

Banach theorem (i.e., Theorem 4.5.2), we see that there exists a linear functional f on X such that $|f(x)| \leq M\|x\|$ for all x , and $f(x) = \tilde{f}(x)$ on \mathcal{M} . Hence $f \in X^*$ and, in particular, $f(x_\nu) = \tilde{f}(x_\nu) = \alpha_\nu$ for all $\nu = 1, 2, \dots, k$.

4.54 (4) Without loss of generality we may suppose that Z is closed since otherwise we simply replace it by its closure, which has the same value of $d(x_0, Z)$ by (iii) of Exercise 1.45.

Let $\mathcal{M} = \text{span}(\{x_0\}) + Z = \{\alpha x_0 + z : z \in Z\}$. Clearly \mathcal{M} is a linear subspace of X . We claim that every $x \in \mathcal{M}$ has a unique representation of the form $x = \alpha x_0 + z_0$ for some $\alpha \in \mathbb{F}$ and $z_0 \in Z$. In fact, if there exist $\alpha_1, \alpha_2 \in \mathbb{F}$ and $z_1, z_2 \in Z$ such that $x = \alpha_1 x_0 + z_1$ and $x = \alpha_2 x_0 + z_2$, then $(\alpha_1 - \alpha_2)x_0 = z_2 - z_1 \in Z$, it gives that $\alpha_1 - \alpha_2 = 0$ since Z is a linear subspace and $x_0 \notin Z$ by assumption $d(x_0, Z) > 0$. Hence $\alpha_1 = \alpha_2$, and then $z_1 = z_2$.

Now, we define a linear functional $f_{\mathcal{M}}$ and $g_{\mathcal{M}}$ on \mathcal{M} by

$$f_{\mathcal{M}}(x) = \alpha d(x_0, Z), \quad g_{\mathcal{M}}(x) = \alpha \quad \text{for every } x = \alpha x_0 + z \in \mathcal{M}.$$

Clearly, $f_{\mathcal{M}}$ is linear, and $f_{\mathcal{M}}$ is bounded since for every $x = \alpha x_0 + z \in \mathcal{M}$ we have

$$|f_{\mathcal{M}}(\alpha x_0 + z)| = |\alpha| d(x_0, Z) \leq |\alpha| \|x_0 + \alpha^{-1} z\| = \|\alpha x_0 + z\|.$$

That is, $f_{\mathcal{M}} \in \mathcal{M}^*$ with $\|f_{\mathcal{M}}\| \leq 1$. Identically, $g_{\mathcal{M}} \in \mathcal{M}^*$ with

$$\|g_{\mathcal{M}}\| = \|f_{\mathcal{M}}\|/d(x_0, Z) \leq 1/d(x_0, Z).$$

We now prove that $\|f_{\mathcal{M}}\| \geq 1$. Indeed, by the definition of the infimum in $d(x_0, Z)$ we obtain a sequence $\{z_n\} \subset Z$ such that $\|x_0 - z_n\| \rightarrow d(x_0, Z)$ as $n \rightarrow \infty$. Hence,

$$\|f_{\mathcal{M}}\| = \sup_{\substack{x = \alpha x_0 + z \in \mathcal{M} \\ x \neq 0}} \frac{|f_{\mathcal{M}}(x)|}{\|x\|} \geq \frac{f_{\mathcal{M}}(x_0 - z_n)}{\|x_0 - z_n\|} = \frac{d(x_0, Z)}{\|x_0 - z_n\|}.$$

Letting $n \rightarrow \infty$, we get that $\|f_{\mathcal{M}}\| \geq 1$, and then $\|f_{\mathcal{M}}\| = 1$. So $\|g_{\mathcal{M}}\| = 1/d(x_0, Z)$. It follows the Hahn-Banach theorem for normed spaces (i.e., Corollary 4.5.1) that $f_{\mathcal{M}}$ can be extended linearly with

其实④给出了 norm 的构造方法。
→ 证③

通过 Hahn-Banach extension to X^*

extension
span $\{x_0\} + Z$

Chapter 4

preservation of the norm to the whole of X , and so can g_M identically. That is, $f|_M = f_M$, $g|_M = g_M$, and $\|f\| = \|f_M\|$ and $\|g\| = \|g_M\|$. In particular,

- (i) $f(z) = 0$ and $g(z) = 0$ whenever $z \in Z$ since every $z \in Z$ can be expressed as $x = \alpha x_0 + z \in M$ with $\alpha = 0$;
- (ii) $f(x_0) = d(x_0, Z)$ and $g(x_0) = 1$ since $x_0 = \alpha x_0 + z \in M$ with $\alpha = 1$ and $z = 0$, the zero vector of the linear space Z .
- (iii) $\|f\| = \|f_M\| = 1$ and $\|g\| = \|g_M\| = 1/d(x_0, Z)$.

4.55 (P) If $X \neq Y$, then we could have an $x_0 \neq 0$, $x_0 \in X \setminus Y$, and hence $d(x_0, Y) > 0$ by (iii) of Exercise 1.45 since Y is closed (i.e., $\bar{Y} = Y$). It follows from Exercise 4.54 that there would exist an $f \in X^*$ such that $f|_Y = 0$ but $f(x_0) = d(x_0, Y)$ and $\|f\| = 1$, this contradicts the assumption $f = 0$. Therefore, $X = Y$.

4.64 (P) If the underlying scalar field $\mathbb{F} = \mathbb{R}$, then the result holds trivially. We may assume $\mathbb{F} = \mathbb{C}$. For each $f \in X^*$ we write f in the form of $f = u + iv$ with $u = \operatorname{Re} f$ and $v = \operatorname{Im} f$. Let $g = -if$, then $g \in X^*$ and $\operatorname{Re} g = v$. By the assumptions we see that $\operatorname{Re} f(x_n) \rightarrow \operatorname{Re} f(x)$ as $n \rightarrow \infty$ and $\operatorname{Re} g(x_n) \rightarrow \operatorname{Re} g(x)$ as $n \rightarrow \infty$, so that $u(x_n) \rightarrow u(x)$ as $n \rightarrow \infty$ and $v(x_n) \rightarrow v(x)$ as $n \rightarrow \infty$. Therefore,

$$f(x_n) = u(x_n) + iv(x_n) \rightarrow u(x) + iv(x) = f(x) \quad \text{as } n \rightarrow \infty.$$

Which shows that $x_n \rightarrow x$ as $n \rightarrow \infty$ by the definition.

4.65 (P) Since $\|e_m - e_n\| = \sqrt{2}$ for $n \neq m$, we see that $\{e_n\}$ is not a Cauchy sequence, so $\{e_n\}$ does not converge in \mathcal{H} (actually, \mathcal{H} cannot have a convergent subsequence, cf. Exercise 3.45). Let $x \in \mathcal{H}$ be an arbitrary element, then $x = \sum_{n=1}^{\infty} \lambda_n e_n$ by Theorem 3.3.3 since $\{e_n\}$ is an orthonormal basis in \mathcal{H} . Hence $\{\lambda_n\} \in \ell^2$ by the Riesz-Fischer theorem (i.e., Theorem 3.3.2), and then

$$\lim_{n \rightarrow \infty} \lambda_n = \lim_{n \rightarrow \infty} \langle x, e_n \rangle = 0.$$

Now, given an arbitrary bounded linear functional f on \mathcal{H} , we see from the Riesz-Fréchet theorem (i.e., Theorem 4.2.3) that there exists an

4.60. 对完备的赋范空间, 如果 X' 可分, 则 X 亦可分.

证明:

由于 X' 可分, 易知存在球面 $\{x' \in X' : \|x'\| = 1\}$ 上可数稠密子集 $\{x'_n\}_{n=1}^{\infty}$. 根据 $\|x'\|$ 的定义, 应有一串点 $\{x_n\}_{n=1}^{\infty} \subset X$, $\|x_n\| \leq 1$ 使

$$|x'_n(x_n)| > \frac{1}{2}, n = 1, 2, \dots.$$

假设 X 不可分, $\{x'_n\}_{n=1}^{\infty}$ 张开的子空间不是 X . 则应有 $x'_0 \in X'$, 使

$$|x'_0(x_n)| = 0, n = 1, 2, \dots.$$

且 $\|x'_0\| = 1$ 从而

$$\|x'_0 - x'_n\| \geq |x'_0(x_n) - x'_n(x_n)| = |x'_n(x_n)| > \frac{1}{2}, n = 1, 2, \dots.$$

这与 $\{x'_n\}_{n=1}^{\infty}$ 是 X' 之单位球面上的稠密子集矛盾. 证毕.

4.63

证明: 必要性.

设 $x_0^{***} \in X^{***}$, 要证 $\exists x_0^* \in X^*$, 使得 $\langle x_0^{***}, x^{**} \rangle = \langle x^{**}, x_0^* \rangle$ 事实上定义

$$J: x \in X \rightarrow Jx \in X^{**}$$

$$J^*: x_0^{***} \in X^{***} \rightarrow Jx_0^* \in X^*$$

令 $x_0^* = J^*x_0^{***}$, 下证 x_0^* 满足上式. 事实上,

$$\langle x_0^{***}, x^{**} \rangle = \langle \langle x_0^{***}, Jx \rangle, Jx \rangle = \langle J^*x_0^{***}, x \rangle = \langle x_0^*, x \rangle = \langle Jx, x_0^* \rangle = \langle x^{**}, x_0^* \rangle$$

证毕

充分性:

首先, 因为 X 是 Banach 空间, 所以 $J(X) \subset X^{**}$ 是 X^{**} 的子空间. 其次, 因为 X^* 自反, 将 X^* 视为本例必要性部分中的 X , 即知 X^{**} 自反, 所以 $J(X) = X$. 最后, 又因为自反空间的闭子空间是自反空间, 所以 $X = J(X)$ 自反.

$$\forall f \in H^*$$

$$f(e_n) \rightarrow 0.$$

$$\forall x \in H$$

$$\langle x, e_n \rangle \rightarrow 0.$$

$$\uparrow$$

$$x = \sum_{n=1}^{\infty} \langle x, e_n \rangle e_n$$

$$\downarrow \in \ell^2 \Rightarrow \langle x, e_n \rangle \rightarrow 0$$

$x_f \in H$ such that $f(x) = \langle x, x_f \rangle$ for all $x \in \mathcal{H}$ and $\|f\| = \|x_f\|$. Then

$$\lim_{n \rightarrow \infty} f(e_n) = \lim_{n \rightarrow \infty} \langle e_n, x_f \rangle = 0,$$

and $e_n \rightarrow 0$ in \mathcal{H} as $n \rightarrow \infty$.

- 4.66 (✎) By Exercise 4.9 we see that the inner product $\langle x, x_0 \rangle$ clearly defines a bounded linear functional f_0 on \mathcal{H} , that is, $f_0(x) = \langle x, x_0 \rangle$ for all $x \in \mathcal{H}$. Then

$$f_0(x_n) = \langle x_n, x_0 \rangle \rightarrow \langle x_0, x_0 \rangle = f_0(x_0) \quad \text{as } n \rightarrow \infty$$

since $x_0 \rightarrow x_0$ as $n \rightarrow \infty$, so that we also have $\langle x_0, x_n \rangle \rightarrow \langle x_0, x_0 \rangle$ as $n \rightarrow \infty$. Hence,

$$\begin{aligned} \|x_n - x_0\|^2 &= \langle x_n - x_0, x_n - x_0 \rangle \\ &= \|x_n\|^2 + \|x_0\|^2 - \langle x_n, x_0 \rangle - \langle x_0, x_n \rangle \\ &\rightarrow \|x_0\|^2 + \|x_0\|^2 - 2\langle x_0, x_0 \rangle = 0 \quad \text{as } n \rightarrow \infty \end{aligned}$$

by the assumption $\|x_n\| \rightarrow \|x_0\|$ as $n \rightarrow \infty$, which means that $\{x_n\}$ converges strongly to x_0 as $n \rightarrow \infty$, as desired.

- 4.67 (✎) First, we see that

$$\|x - x_n\|^2 = \|x\|^2 - \langle x, x_n \rangle - \langle x_n, x \rangle + \|x_n\|^2.$$

Next, by the weak convergence of the inner product we have (cf. the proof of Exercise 4.66)

$$\lim_{n \rightarrow \infty} \langle x_n, x \rangle = \langle x, x \rangle = \|x\|^2 = \lim_{n \rightarrow \infty} \langle x, x_n \rangle.$$

Therefore

$$\begin{aligned} &\liminf_{n \rightarrow \infty} (\|x\|^2 - \langle x, x_n \rangle - \langle x_n, x \rangle + \|x_n\|^2) \\ &= \liminf_{n \rightarrow \infty} \|x_n\|^2 + \|x\|^2 - 2\|x\|^2 \geq 0, \end{aligned}$$

and so $\liminf_{n \rightarrow \infty} \|x_n\| \geq \|x\| = 1$. Finally, by assumption $\limsup_{n \rightarrow \infty} \|x_n\| \leq 1$ we get that $\lim_{n \rightarrow \infty} \|x_n\| = 1 = \|x\|$, and the conclusion follows from Exercise 4.66.

4.68 (✎) We may assume that $x \neq 0$ since otherwise the conclusion holds trivially. It follows from the corollary of the Hahn-Banach theorem (i.e., Corollary 4.5.2) that there exists an $f_x \in X^*$ such that $\|f_x\| = 1$ and $f_x(x) = \|x\|$. By the weak convergence, we have $f_x(x_n) \rightarrow f_x(x) = \|x\|$ as $n \rightarrow \infty$, so $|f_x(x_n)| \rightarrow |f_x(x)| = \|x\|$ as $n \rightarrow \infty$. Since $|f_x(x_n)| \leq \|f_x\|\|x_n\| = \|x_n\|$, we see that

$$\|x\| = \liminf_{n \rightarrow \infty} |f_x(x_n)| \leq \liminf_{n \rightarrow \infty} \|x_n\|$$

as desired.

S.5 Solutions to Exercises Marked with (♣) of Chapter 5

5.1 (♣) Since for all $x, w \in \mathcal{H}$

$$\begin{aligned}\langle Tx, w \rangle &= \langle \langle x, y \rangle z, w \rangle = \langle x, y \rangle \langle z, w \rangle \\ &= \overline{\langle w, z \rangle} \langle x, y \rangle = \langle x, \langle w, z \rangle y \rangle \\ &= \langle x, T^* w \rangle,\end{aligned}$$

it implies by the uniqueness of the adjoint that $T^*w = \langle w, z \rangle y$.

5.2 (♣) For all $f, g \in L^2(-\infty, \infty)$ the inner product of f and g is given by $\langle f, g \rangle = \int_{-\infty}^{\infty} f(t) \overline{g(t)} dt$, hence

$$\langle Tf, g \rangle = \int_{-\infty}^{\infty} f(t+1) \overline{g(t)} dt = \int_{-\infty}^{\infty} f(\tau) \overline{g(\tau-1)} d\tau = \langle f, T^*g \rangle.$$

By the uniqueness of the adjoint we see that $(T^*g)(t) = g(t-1)$.

5.3 (♣) Let $x = \{x_n\}, y = \{y_n\} \in \ell^2$ be arbitrary, then the inner product space x and y is $\langle x, y \rangle = x_1 \overline{y_2} + x_2 \overline{y_3} + x_3 \overline{y_4} + \dots$. Let $T^*y = \{z_n\} \in \ell^2$, then $\langle Tx, y \rangle = \langle x, T^*y \rangle$, thus we get an indefinite equation about z_1, z_2, \dots

$$x_1 \overline{z_1} + x_2 \overline{z_2} + x_3 \overline{z_3} + \dots = 4x_1 \overline{y_2} + x_2 \overline{y_3} + 4x_3 \overline{y_4} + \dots$$

Obviously, $(z_1 = 4y_2, z_2 = y_3, z_3 = 4y_4, \dots)$ solves this equation, and hence by the uniqueness of the adjoint we see that

$$T^*y = (4y_2, y_3, 4y_4, \dots).$$

5.4 (♣) The linearity of T is clearly. For all $f \in L^2[a, b]$ we have

$$\begin{aligned}\|Tf\|_{L^2[a, b]} &= \left(\int_a^b |Tf(s)|^2 ds \right)^{1/2} = \left(\int_a^b \left| \int_a^b \phi(s, t) f(t) dt \right|^2 ds \right)^{1/2} \\ &\leq \left\{ \int_a^b \left(\int_a^b |\phi(s, t)|^2 dt \right) \left(\int_a^b |f(t)|^2 dt \right) ds \right\}^{1/2} \\ &= \left\{ \int_a^b \int_a^b |\phi(s, t)|^2 dt ds \right\}^{1/2} \|f\|_{L^2[a, b]}.\end{aligned}$$

Hence $T \in \mathcal{B}(L^2[a, b])$ and $\|T\| \leq \left\{ \int_a^b \int_a^b |\phi(s, t)|^2 dt ds \right\}^{1/2}$.

For all $f, g \in L^2[a, b]$ we have

$$\begin{aligned} \langle Tf, g \rangle &= \int_a^b Tf(t) \overline{g(t)} dt = \int_a^b (\phi(s, t) f(s) ds) \overline{g(t)} dt \\ &= \int_a^b f(s) \left(\int_a^b \overline{\phi(s, t) g(t)} dt \right) ds = \langle f, T^*g \rangle, \end{aligned}$$

which gives that $(T^*g)(s) = \int_a^b \overline{\phi(s, t) g(t)} dt$ by the uniqueness of the adjoint.

5.5 (🐘) For all $x = \{x_i\}, z = \{z_i\} \in \ell^2$ we have both $Tx = \{y_j\} \in \ell^2$ and $T^*z = \{z_j^*\} \in \ell^2$ satisfying

$$y_j = \sum_{i=1}^{\infty} a_{ji} x_i, \quad z_j^* = \sum_{i=1}^{\infty} a_{ji}^* z_i, \quad j = 1, 2, \dots$$

Since

$$\sum_{j=1}^{\infty} y_j \overline{z_j} = \langle Tx, z \rangle = \langle x, T^*z \rangle = \sum_{i=1}^{\infty} x_i \overline{z_i^*}$$

by the assumptions, we see that

$$\begin{aligned} \langle Tx, z \rangle &= \sum_{j=1}^{\infty} y_j \overline{z_j} = \sum_{j=1}^{\infty} \left(\sum_{i=1}^{\infty} a_{ji} x_i \right) \overline{z_j} \\ &= \sum_{i=1}^{\infty} \left(\sum_{j=1}^{\infty} a_{ji} \overline{z_j} \right) x_i = \sum_{i=1}^{\infty} x_i \left(\sum_{j=1}^{\infty} \overline{a_{ji} z_j} \right), \end{aligned}$$

which means that

$$z_i^* = \sum_{j=1}^{\infty} \overline{a_{ji}} z_j \quad i = 1, 2, \dots,$$

so that

$$a_{ij}^* = \overline{a_{ji}}, \quad i, j = 1, 2, \dots$$

by the uniqueness of the adjoint.

5.6 (✎) The necessity is always true for both real and complex inner product spaces.

Sufficiency. Suppose that $\langle Tx, x \rangle = 0$ for all $x \in \mathcal{H}$. If \mathcal{H} is a complex inner product space, i.e., the underlying scalar field $\mathbb{F} = \mathbb{C}$, then for all $x, y \in \mathcal{H}$ we have

$$\begin{aligned} 0 &= \langle T(x + \alpha y), x + \alpha y \rangle \\ &= \langle Tx, x \rangle + \alpha \langle Ty, x \rangle + \bar{\alpha} \langle Tx, y \rangle + |\alpha|^2 \langle Ty, y \rangle \\ &= \alpha \langle Ty, x \rangle + \bar{\alpha} \langle Tx, y \rangle \end{aligned}$$

Picking $\alpha = 1, i$, respectively, we get that $\langle Ty, x \rangle + \langle Tx, y \rangle = 0$ and $\langle Ty, x \rangle - \langle Tx, y \rangle = 0$, respectively, which together imply that $\langle Tx, y \rangle = 0$ for all $x, y \in \mathcal{H}$, and then $T = \mathbf{0}$.

The sufficiency is false if \mathcal{H} is a real inner product space as a ± 90 degree rotation in \mathbb{R}^2 shows.

In the real case the condition such that $T = \mathbf{0}$ should be $\langle x, y \rangle = 0$ for all $x, y \in \mathcal{H}$ since, now picking $y = Tx$ it follows that $\|Tx\|^2 = \langle Tx, Tx \rangle = 0$ and so $Tx = 0$ for all $x \in \mathcal{H}$.

5.7 (✎) *Necessity.* Suppose that $T + T^* = 0$, then

$$\begin{aligned} \mathbf{Re} \langle Tx, x \rangle &= \frac{1}{2} (\langle Tx, x \rangle + \langle x, Tx \rangle) \\ &= \frac{1}{2} (\langle Tx, x \rangle + \langle T^*x, x \rangle) \\ &= \frac{1}{2} (\langle (T + T^*)x, x \rangle) = 0. \end{aligned}$$

Sufficiency. Suppose that $\mathbf{Re} \langle Tx, x \rangle = 0$ for all $x \in \mathcal{H}$, then

$$\langle (T + T^*)x, x \rangle = 2\mathbf{Re} \langle Tx, x \rangle = 0 \quad \text{for all } x \in \mathcal{H}$$

by a straightforward calculation which is actually given in the proof of the necessity. Therefore, for all $x, y \in \mathcal{H}$ we have

$$\langle (T + T^*)(x + y), x + y \rangle = 0 \text{ and } \langle (T + T^*)(x + iy), x + iy \rangle = 0,$$

$$\Rightarrow \langle (T + T^*)x, y \rangle = 0 \quad \text{for every } x, y \in \mathcal{H}.$$

$$\Leftrightarrow T + T^* = 0$$