

# Lecture 7

The Transport Layer (2)

# Recap: Reliability via “Stop-and-Wait”

- Sender key ideas:

- Sender sends one packet of application data, then **waits** for **acknowledgment** that receiver got it (ACK)
- Upon receiving an ACK for that packet, sender can move on to send next data packet
  - Which packet an ACK corresponds to is determined based on **sequence number**
- If the ACK isn't received within a certain **timeout**, sender retransmits

- Receiver key ideas:

- Upon receiving a data packet, the receiver sends an **ACK for that packet** (i.e. carrying same **sequence number**)
- If this is a **new** data packet (i.e. next expected sequence number), **delivers** it “up” to application

# Complete Reliable Data Transfer Protocol Using the Stop-and-Wait Approach

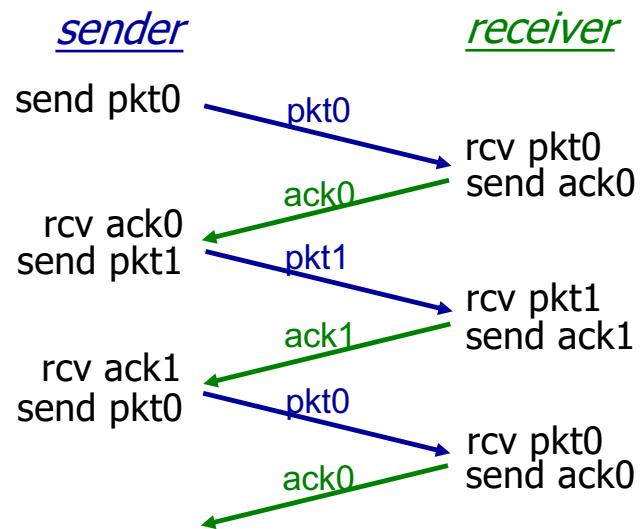
## Sender:

- Set seq=0
- Wait for data to send **(1)**
- Create and send packet(seq, data)
- Start timer
- Wait for ACK or Timeout **(2)**
  - If ACK && not corrupt && ACK\_seq == seq:
    - Stop timer
    - $seq = (seq+1) \% 2$
    - Wait for more data (1)
  - Else if Timeout:
    - Resend packet
    - Restart timer
    - Wait for ACK (2)

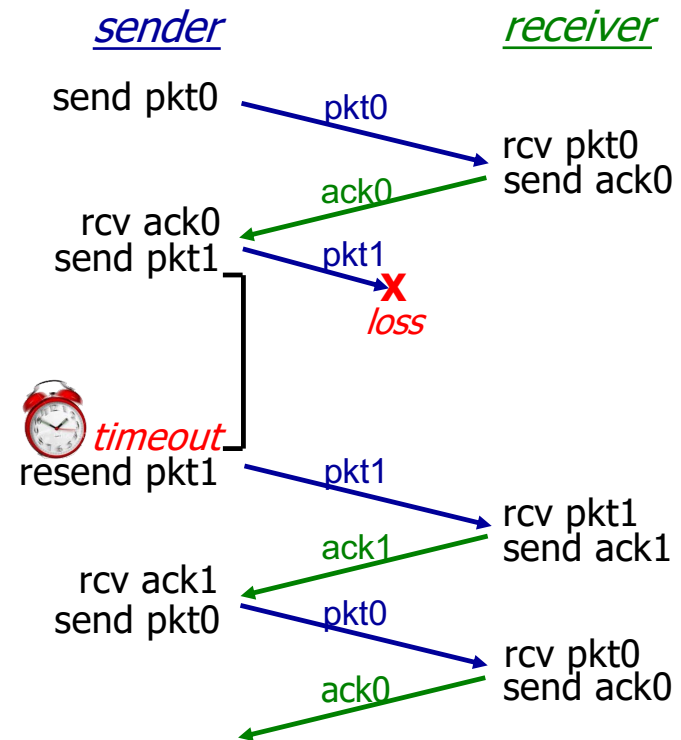
## Receiver:

- Set seq=0
- Wait for packet from sender **(1)**
  - If not corrupt:
    - Send ACK(recvd\_seq)
    - If recvd\_seq == seq:
      - Extract and deliver to application
      - $seq = (seq+1) \% 2$
  - Wait for new packet from sender (1)

# Stop-and-Wait: Example Executions

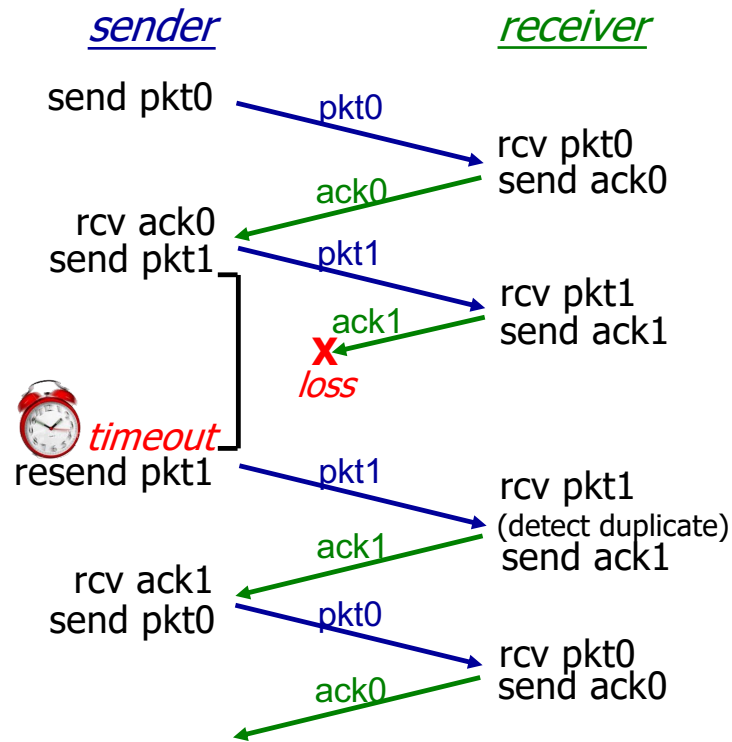


(a) no loss

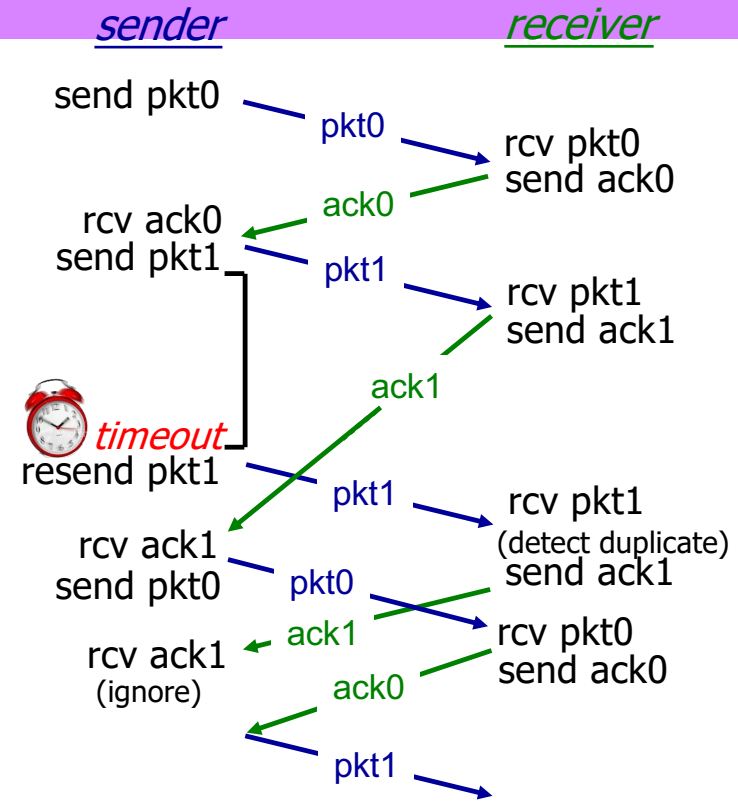


(b) packet loss

# Stop-and-Wait: Example Executions



(c) ACK loss



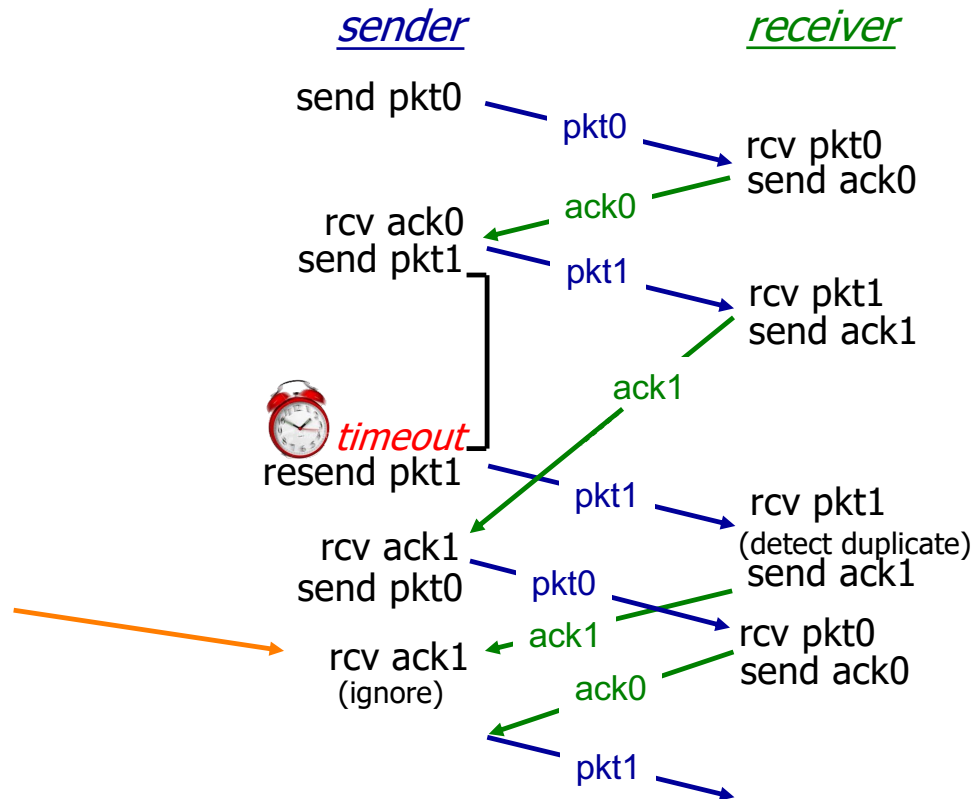
(d) premature timeout/ delayed ACK

# Complete Reliable Data Transfer Protocol Using the Stop-and-Wait Approach

- **Why don't we use duplicate ACKs as evidence of loss?**
- In the preliminary versions of this protocol that we discussed last week, we said the receiver could send a NAK or duplicate ACK after receiving a corrupted message to prompt the sender to retransmit. The final version relies on timeouts only. **Why?**
- i.e. Why not retransmit immediately in the case where ACK seq doesn't match our expected seq?

# Stop-and-Wait RDT Operation: Premature Timeouts

What would happen if we didn't ignore this ack?



- Sender retransmits `pkt0`
- Receiver gets `pkt0`, assumes `ack` was lost, retransmits `ack0`
- Sender gets `ack0` after having sent `pkt1`, so retransmits `pkt1`
- Receiver gets `pkt1` retransmission, retransmits `ack1`
- ...

If the `pkt` was really lost, retransmitting on duplicate `ack` lets us respond faster.

**But**, if not, generates a lot of extra traffic (without additional protocol changes)

**And**, sender cannot tell which case it's in

# Reliable Data Transfer: Mechanism Summary

- **Checksums**: detect errors
- **ACKs/NAKs**: provide sender with feedback about what has been received
- **Retransmissions**: sender resends lost/corrupted packets
- **Sequence numbers**: identify packets, allow de-duplication
- **Timeouts**: detect (probable) loss, decide when to retransmit

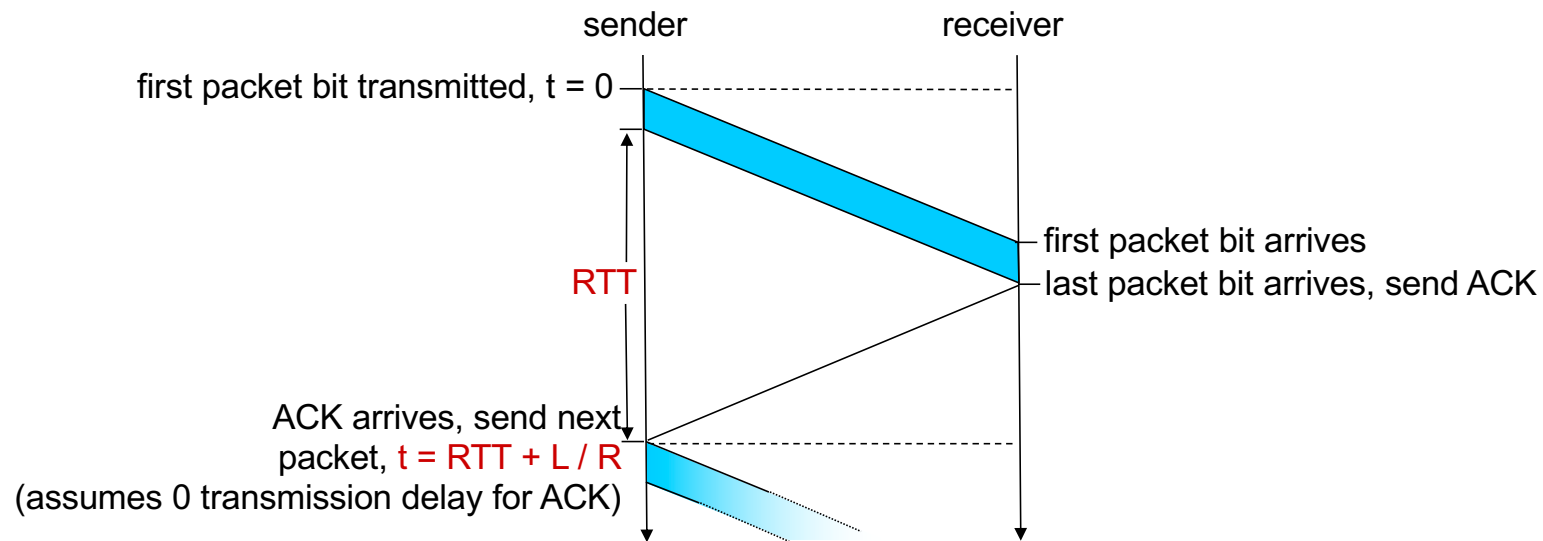


# Analyzing Stop-and-Wait

- Our protocol is finally correct...but is it good?

# Analyzing Stop-and-Wait

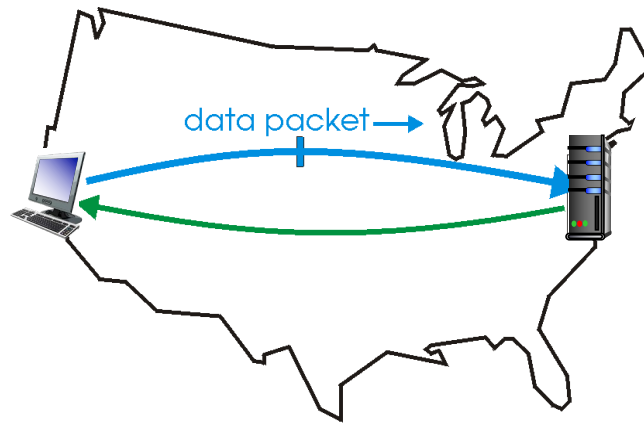
- Our protocol is finally correct...but is it good?



# Analyzing Stop-and-Wait

- Our protocol is finally correct...but is it good?

RTT ~60ms  
Bandwidth ~1Gbps  
Segment size ~1500bytes



(a) a stop-and-wait protocol in operation

## Transmission delay:

$$(1500 \times 8) / (10^9) = 12 \text{ microsec}$$

Assuming negligible transmission delay for ACK and no queuing/processing, we wait **60.012 ms** to send next segment

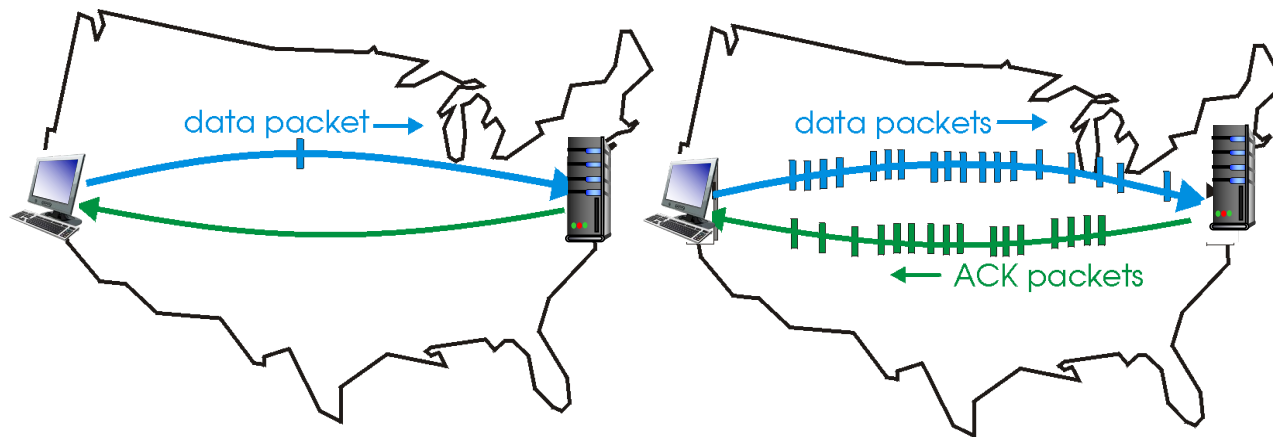
**How long would it take to transfer a 1Gb file at this rate?**

# Improving Performance

- **How can we improve our protocol to get better performance?**
  - Think back to HTTP discussion...what strategies did we use there?

# Improving Performance

- **How can we improve our protocol to get better performance?**
  - **Pipelining** – instead of stopping and waiting after **every** packet, we can send **multiple** packets to “fill the pipe” before waiting for acknowledgment

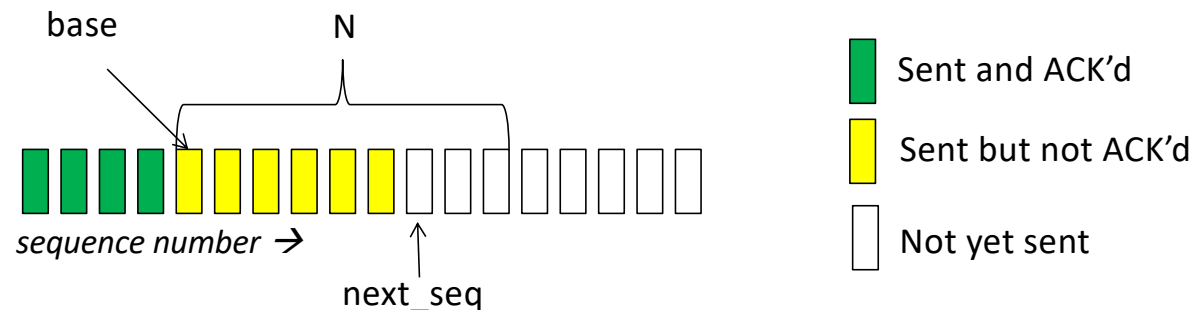


(a) a stop-and-wait protocol in operation

(b) a pipelined protocol in operation

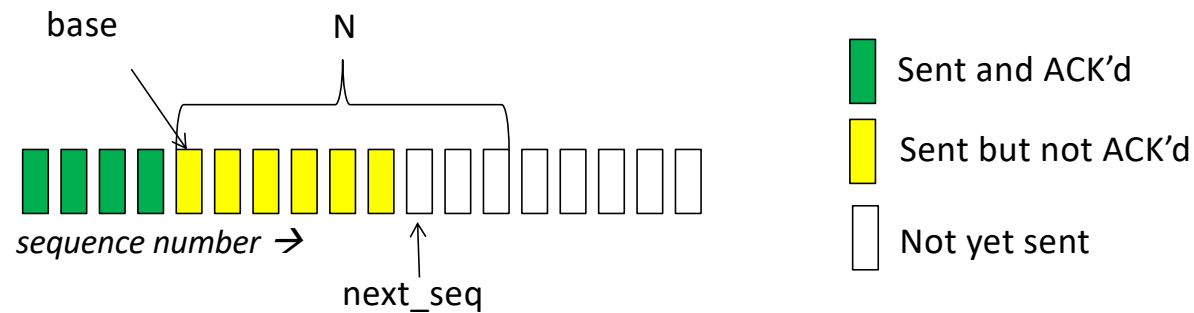
# Pipelined Reliable Data Transfer

- Instead of only 1 unacknowledged packet, sender can have **up to N unacknowledged packets** at a time
  - N packets “in-flight”
  - Requires more than 1-bit sequence number
- Sender needs to **buffer** sent but not-yet-acknowledged packets so they can be retransmitted as needed
- Sender buffer typically operates as a “**sliding window**”



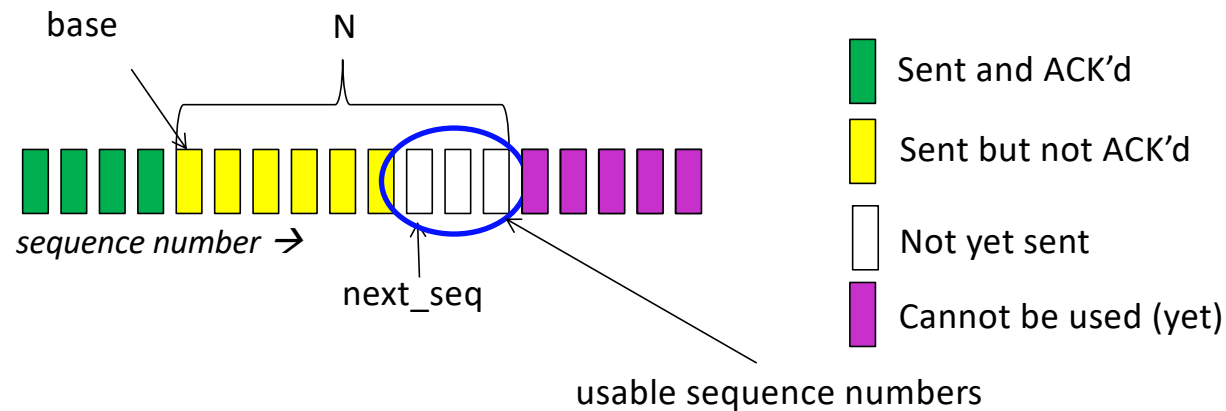
# Sliding Window

- Sender buffer typically operates as a “**sliding window**”
  - base is the sequence number of the start of window (last ACK'd packet + 1)
  - next\_seq is the sequence number that will be used for the next packet to send



# Sliding Window

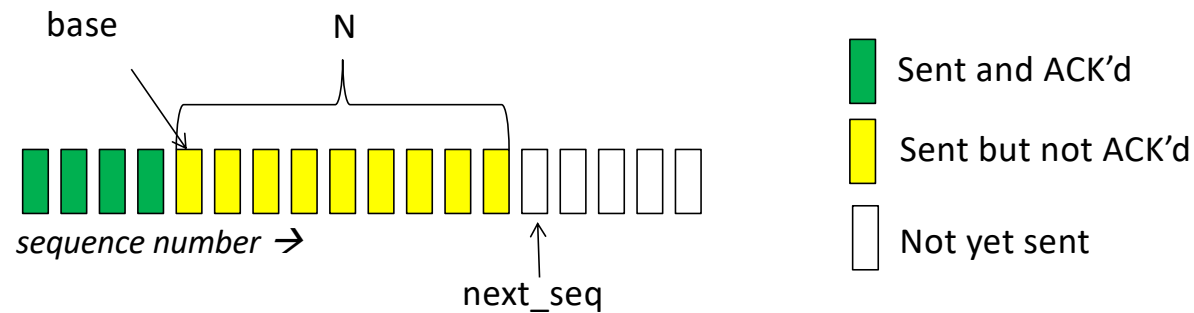
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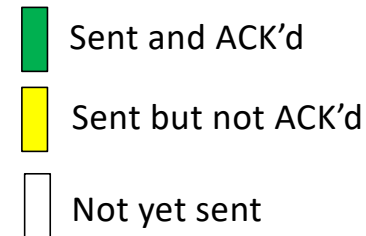
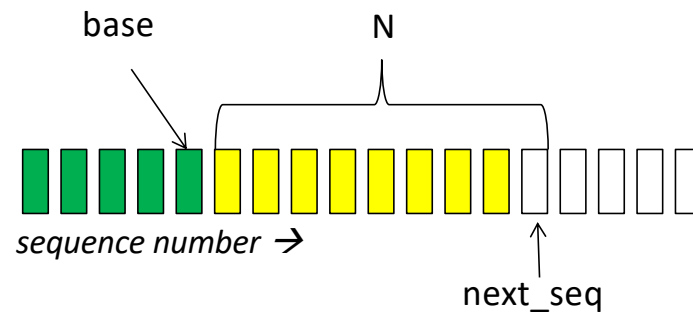
# Sliding Window

- Sender buffer typically operates as a “**sliding window**”
  - once the window is full (we’ve used up all the sequence numbers between base and base+N), no new packets can be sent...



# Sliding Window

- Sender buffer typically operates as a “**sliding window**”
  - ...until a new ACK lets us “slide” the window forward



<https://www.etsy.com/listing/1303075498/vintage-post-versalog-slide-rule>

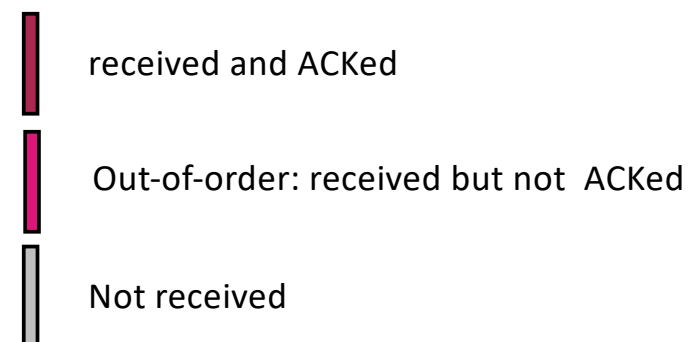
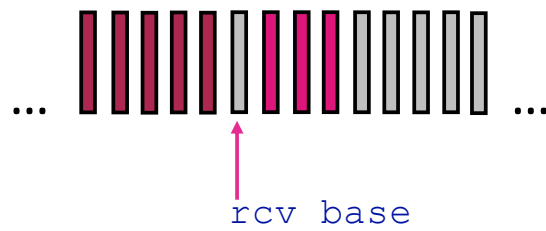
# A Pipelined Reliable Data Transfer Protocol: “Go-Back-N”

- **Go-Back-N** protocol key ideas:
  - Sender can have **up to N unacknowledged packets** “in-flight” at a time
  - Receiver sends **cumulative acknowledgments**
    - Cumulative acknowledgement for sequence number X means I have received everything **up to and including** sequence number X
      - sometimes called ARU for “all received up-to”
    - Acknowledgments let the sender “slide its window forward” and send new packets until there are again N unacknowledged packets
  - When a **timeout** occurs, the sender will “**Go-Back-N**” and **resend ALL not-yet-acknowledged packets** (of which there can be up to N)

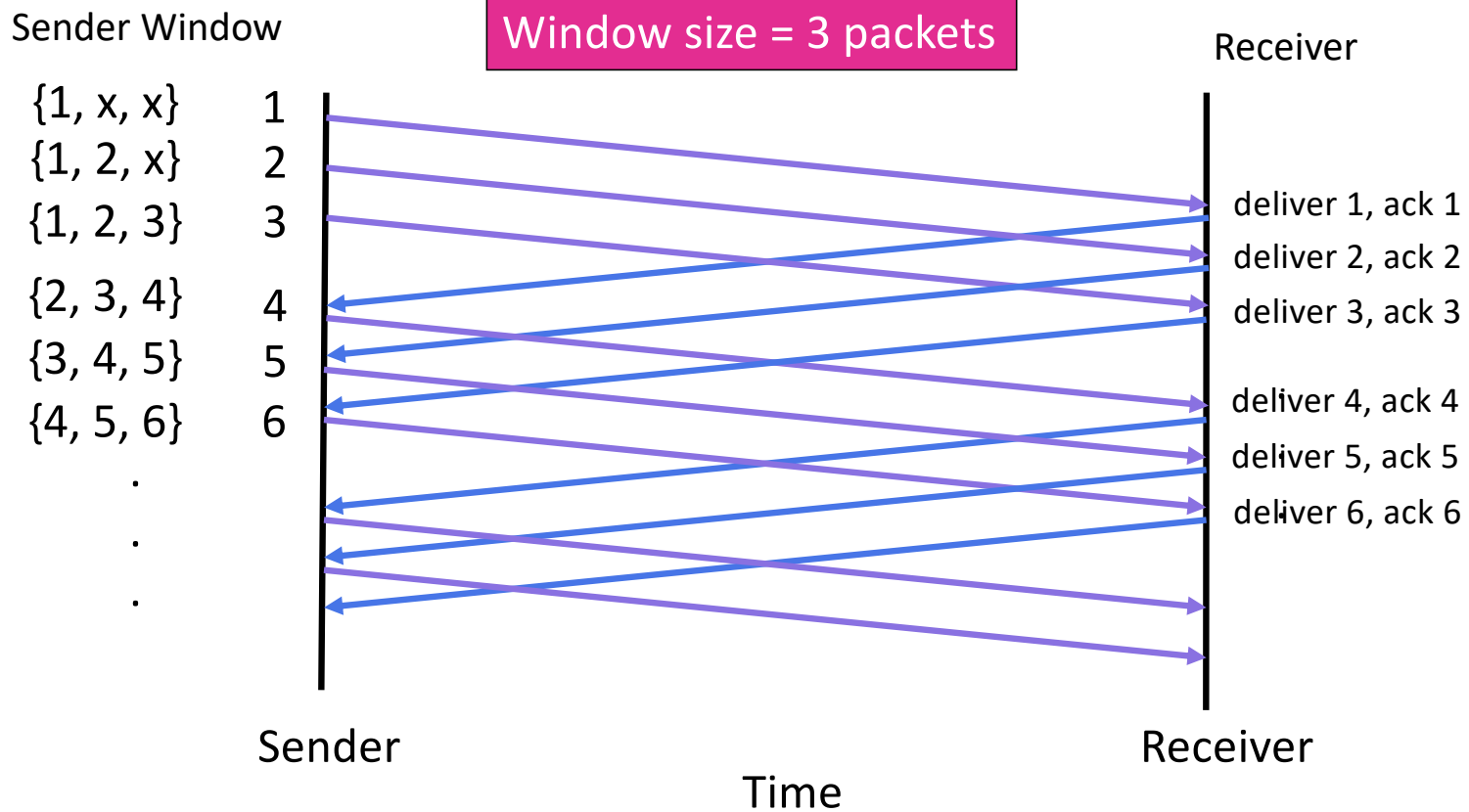
# Go-Back-N: receiver

- ACK-only: always send ACK for correctly-received packet so far, with highest *in-order* seq #
  - may generate duplicate ACKs
  - need only remember `rcv_base`
- on receipt of out-of-order packet:
  - can discard (don't buffer) or buffer: an implementation decision
  - re-ACK pkt with highest in-order seq #

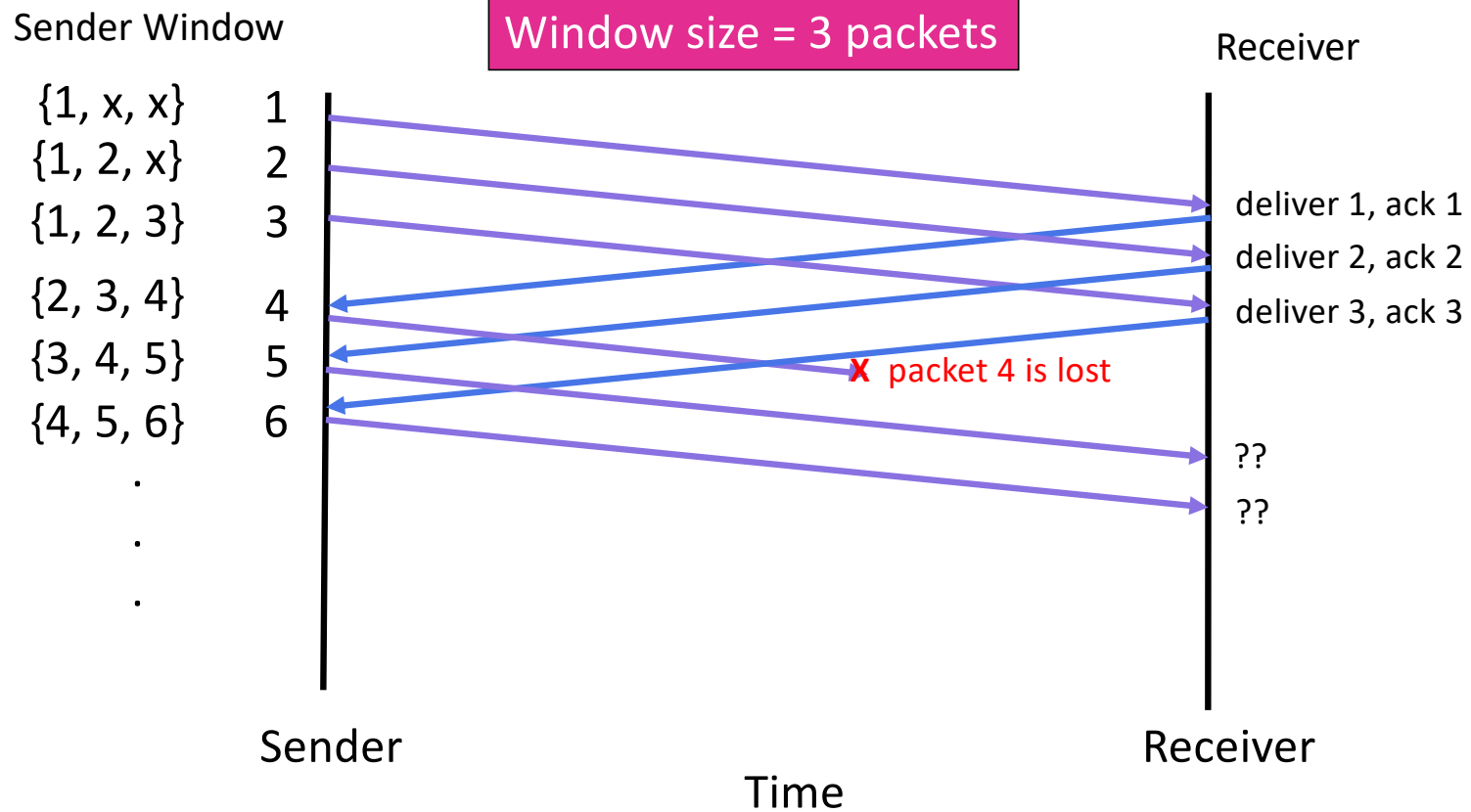
Receiver view of sequence number space:



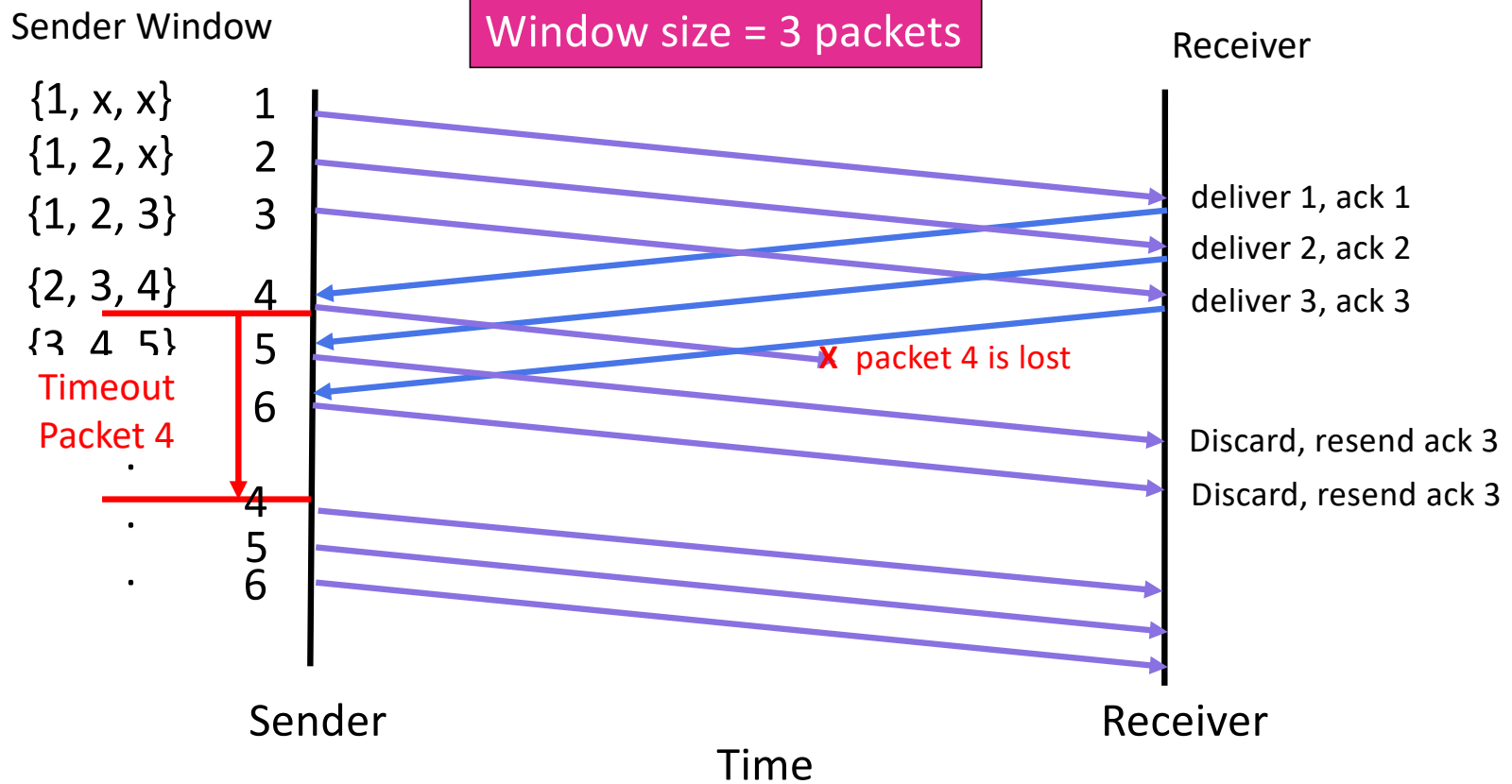
# Go-Back-N Normal Case Operation



# Go-Back-N Operation with Loss



# Go-Back-N Operation with Loss



# Go-Back-N: Details

- **Sender**

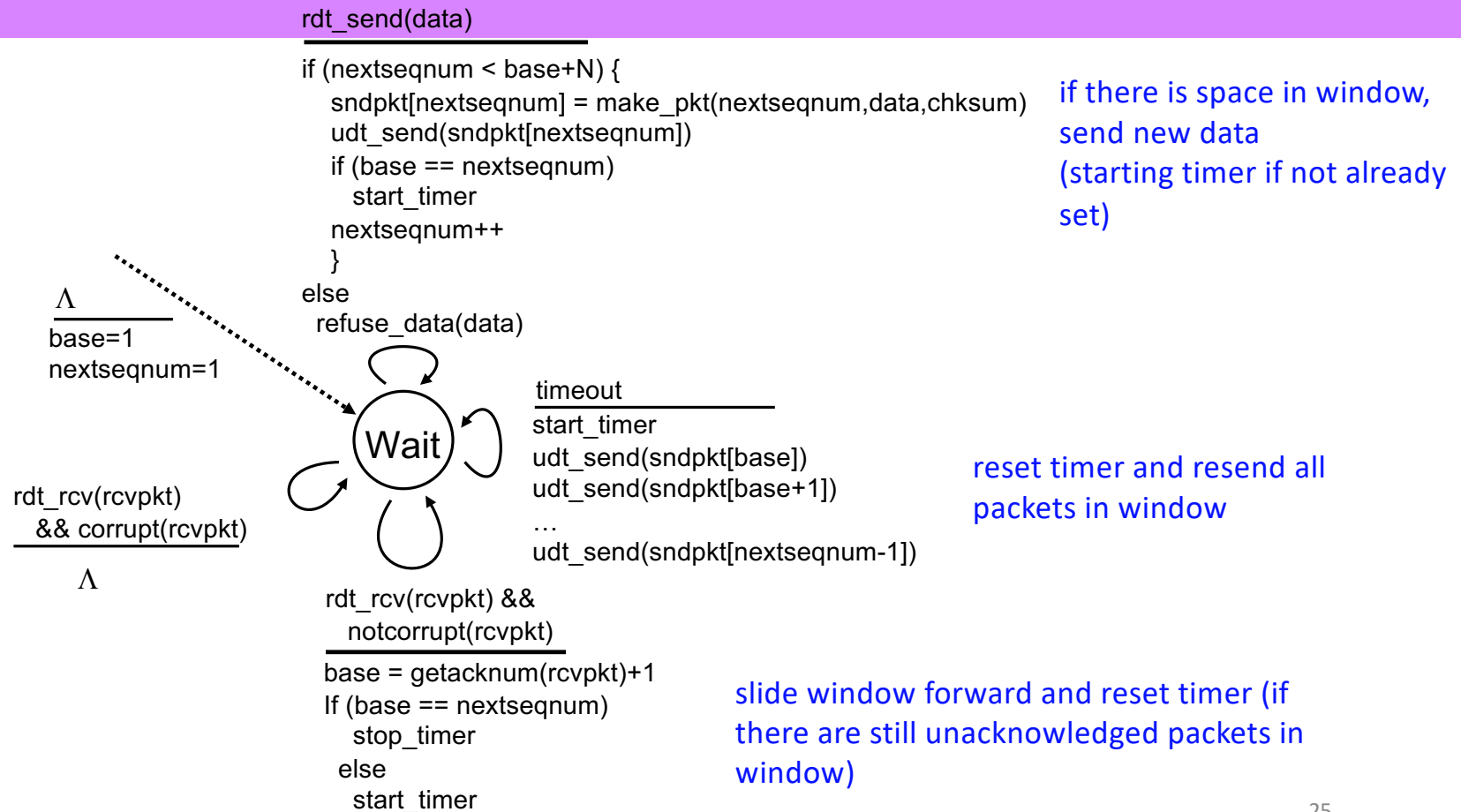
- Sends up to N unacknowledged packets
- **Maintains timer for oldest unacknowledged packet**
  - Set timer when sending first packet in empty window
  - Reset timer when receiving ack that moves up window (or stop if no more un-ack'd packets)
  - (and reset timer after resending window)
- Resends ALL un-ack'd packets on timeout

- **Receiver**

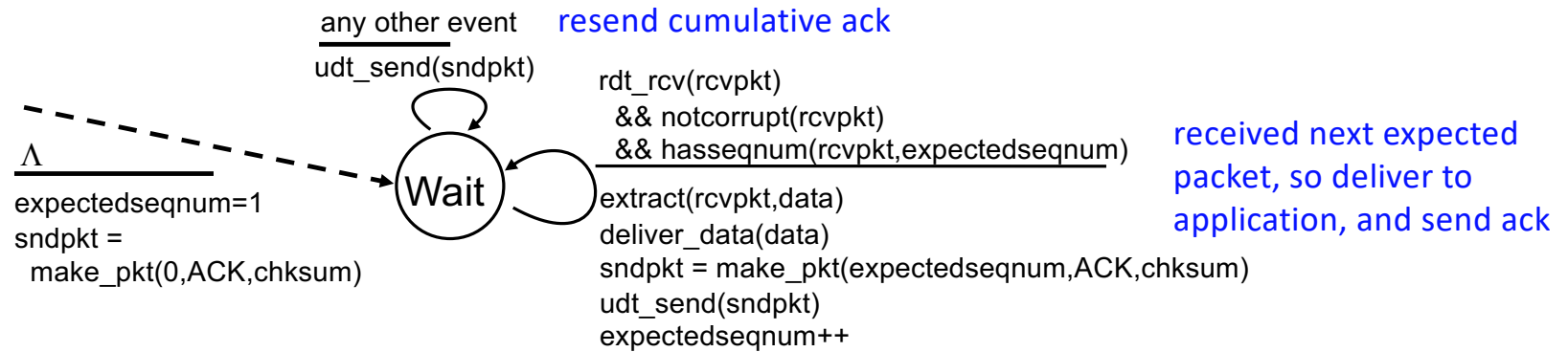
- Sends cumulative ACK upon receiving next expected packet
- Resends last cumulative ACK upon receiving out-of-order packet
- **Discards packets received out of order** (some variants allow these to be buffered – why?)



# Go-Back-N: Extended FSM (Sender)



# Go-Back-N: Extended FSM (Receiver)



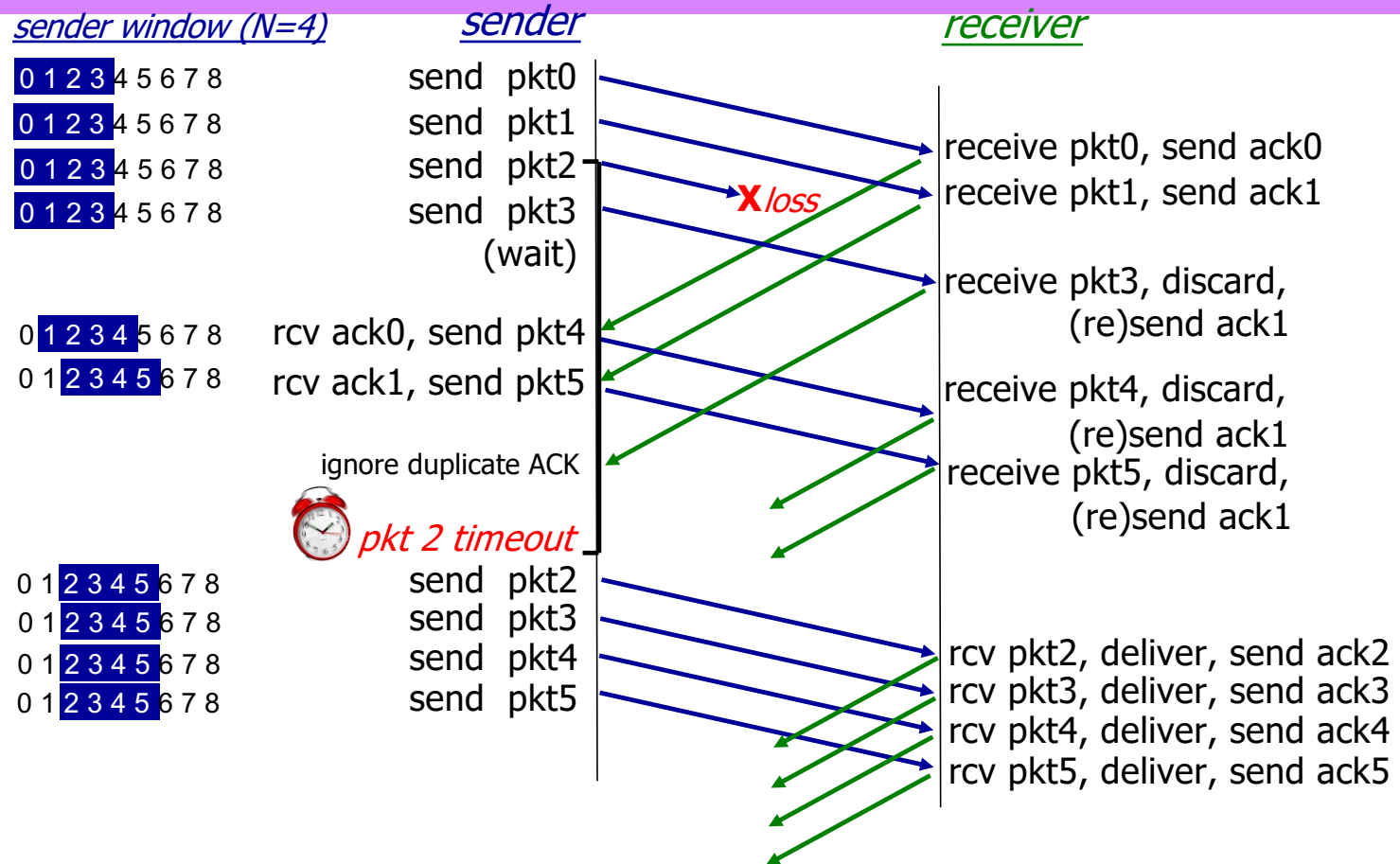
# Why limit it to $N$ packets?

- Flow Control (later)
- Congestion Control (later)
- Fixed size for sequence numbers
  - How many possible sequence numbers if we have a  $k$  bit field?

# Remarks

- Receiver: Data delivered to application layer one segment at a time
  - If data from segment  $n$  is sent upward, it means
    - Segment  $n$  was received correctly, and all previous segments were received correctly and delivered to the application layer
- Receiver discards out of order segments... what a waste?
  - If segment  $n$  is lost, no point in keeping segment  $n + 1$
  - Remember the sender sends all packets in the window!
- Event based programming
  - Call from upper layers, timer interrupts, call from lower layers

# Go-Back-N in action

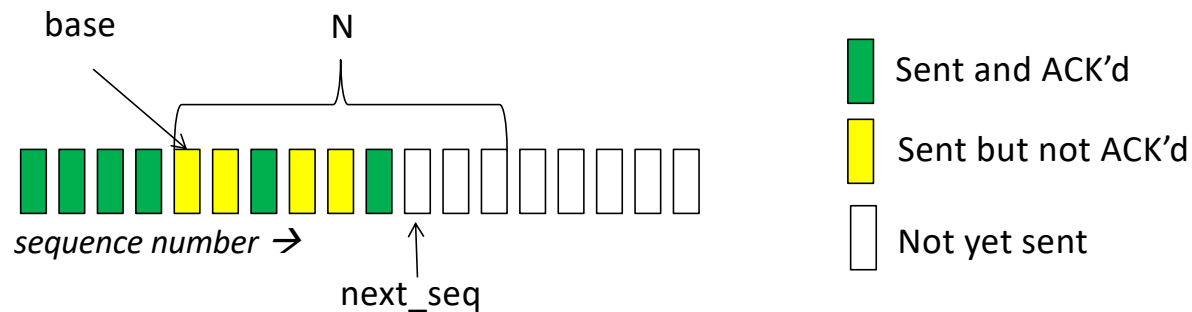


## Another Approach: “Selective Repeat”

- Sender can send up to N unacknowledged packets
  - N consecutive seq #s
  - limits seq #s of sent, unACKed packets
- Receiver **individually** acknowledges each correctly received packet and **buffers** out-of-order packets
- Sender maintains **separate timer** for each un-ACK'd packet to **retransmit individually (i.e., repeat selectively)**

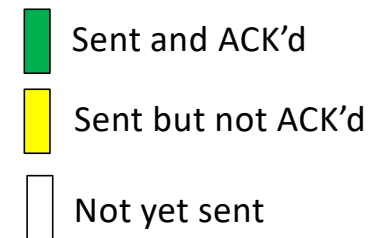
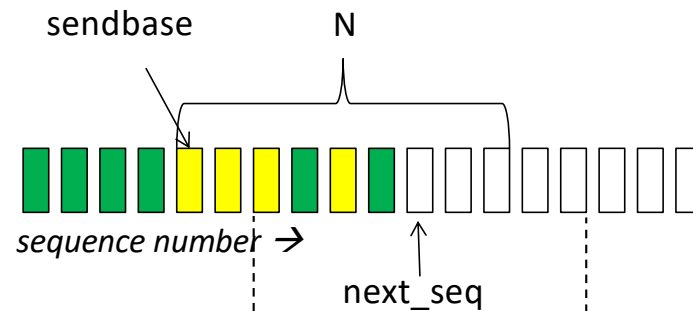
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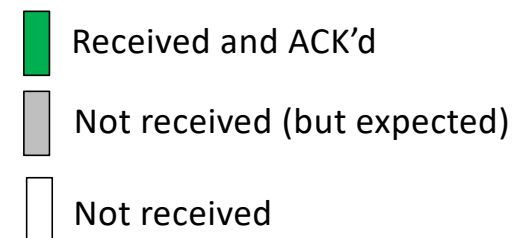
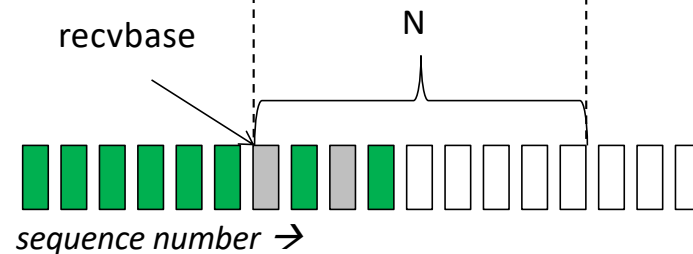


# Another Approach: “Selective Repeat”

- Sender buffer:



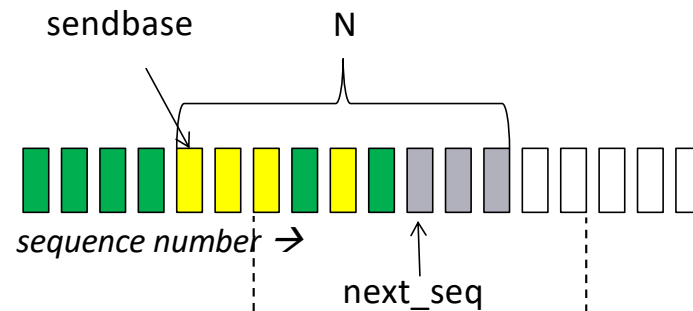
- Receiver buffer:



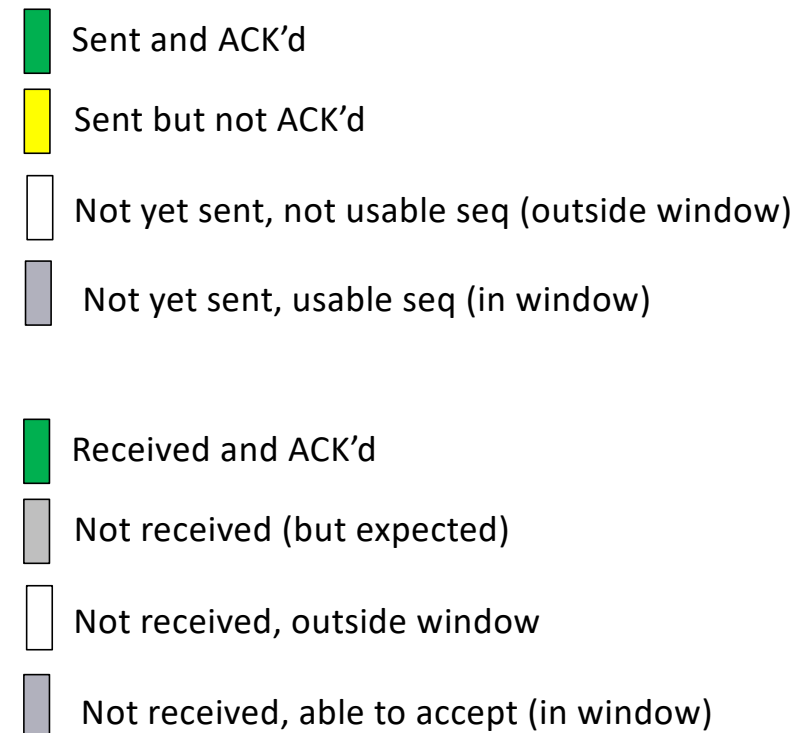
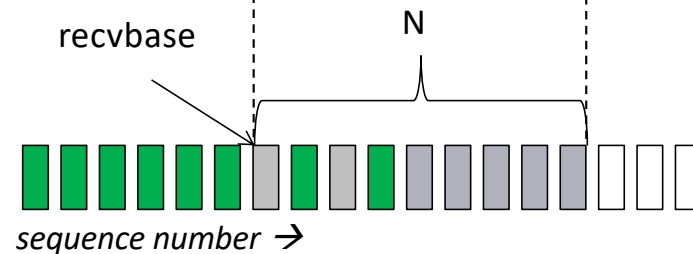


# Another Approach: “Selective Repeat”

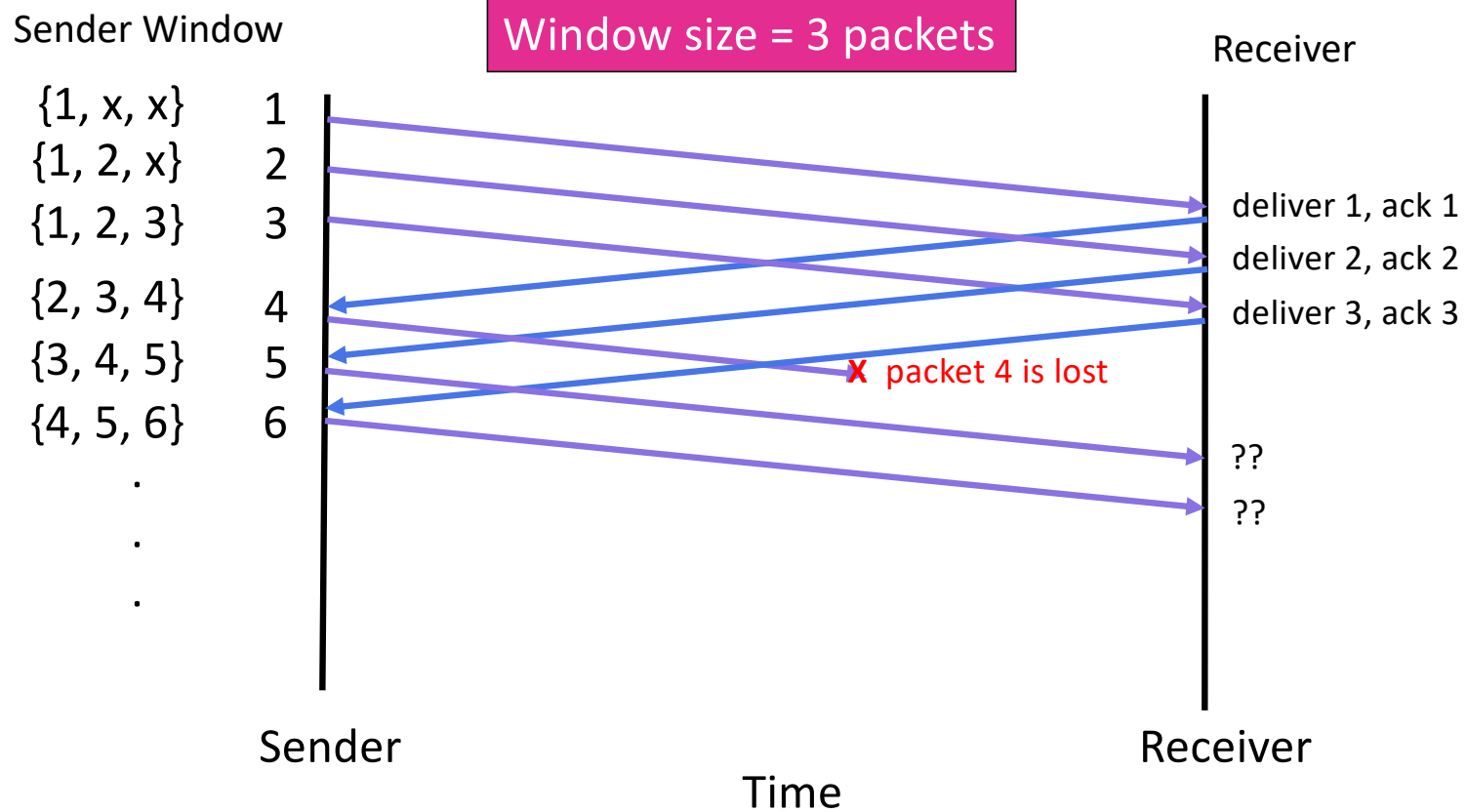
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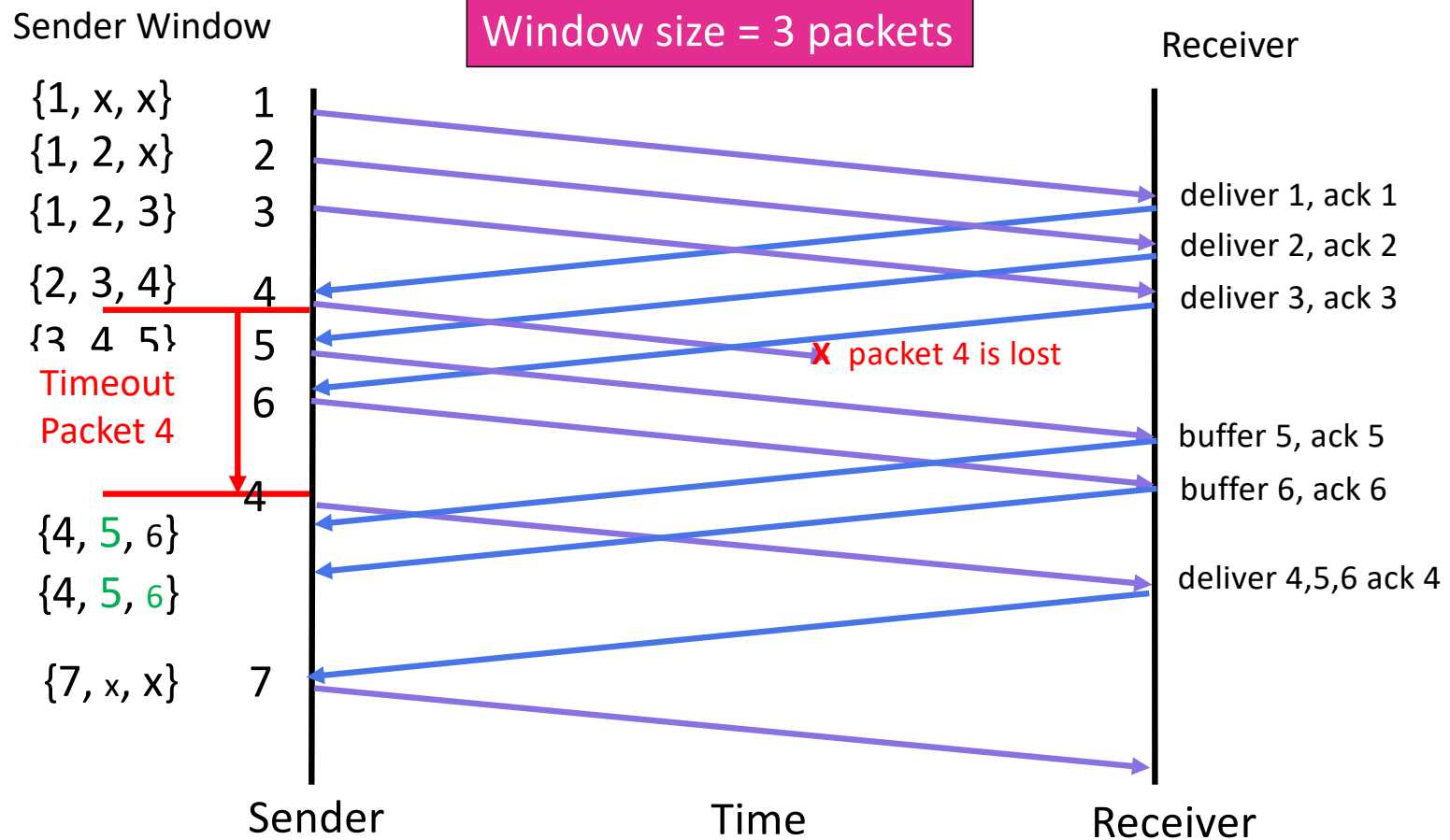
- Receiver buffer:



# Selective Repeat Operation with Loss



# Selective Repeat Operation with Loss



# Selective Repeat Details

## sender

### data from above:

- if next available seq # in window, send packet & start timer

### timeout( $n$ ):

- resend packet  $n$ , restart timer

### ACK( $n$ ) in [sendbase, sendbase+N-1]:

- mark packet  $n$  as received
- if  $n$  smallest unACKed packet, advance window base to next unACKed seq #

## receiver

### packet $n$ in [rcvbase, rcvbase+N-1]

- send ACK( $n$ )
- out-of-order: buffer
- in-order: deliver (also deliver buffered, in-order packets), advance window to next not-yet-received packet

### packet $n$ in [rcvbase-N, rcvbase-1]

- ACK( $n$ )

### otherwise:

- ignore

# Selective Repeat Details

## sender

### data from above:

- if next available seq # in window

#### Why?

- Why do we need to acknowledge old packets?
- Why don't we need to acknowledge more than N before our window start?

time

ACK

- if n smallest unACKed packet, advance window base to next unACKed seq #

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### packet $n$ in $[rcvbase, rcvbase+N-1]$

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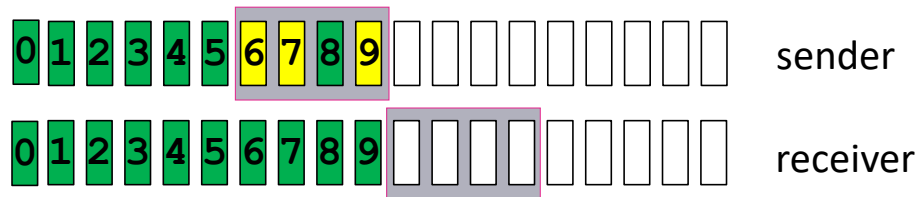
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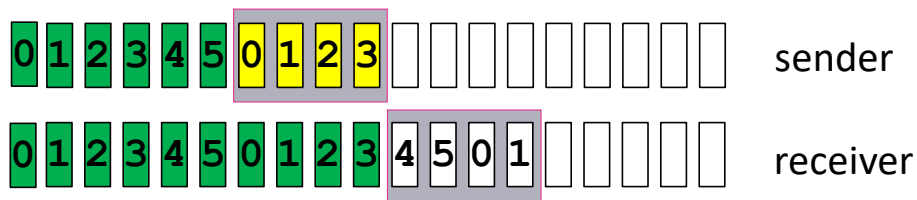
# Asymmetric Knowledge...

- Why do we need to acknowledge old packets?
  - Same basic reason as in our previous protocols...ACKs can get lost, so sender may not have moved up window yet (and still needs to recv ACK to do so)
- Why don't we need to acknowledge more than N before our window start?
  - Sender window can't be **too** far behind receiver window (because it can't send more than N beyond its own window start)
  - e.g. if I receive seq 9 and window size is 4, sender can't still be waiting for anything before 6



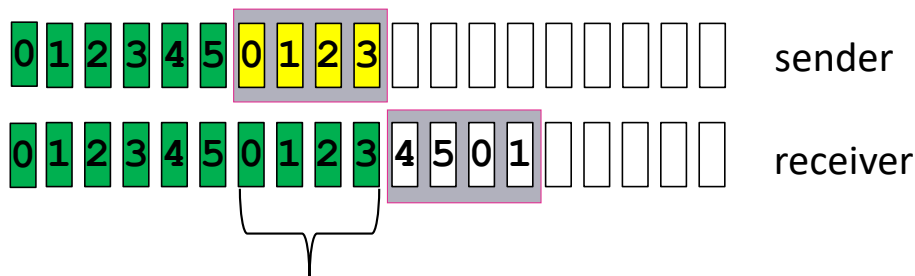
# Subtle Points

- In reality, sequence numbers are **finite**
  - e.g. 16-bit sequence number  $\rightarrow 2^{16} = 65,536$  distinct numbers;
  - 32-bit sequence number  $\rightarrow 2^{32} = 4,294,967,296$  distinct numbers
- Consider sequence numbers from 0-5, window size = 4:



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receiver has received 0-3, so it moves up its window...

...but the sender doesn't know that yet! (e.g. because acks were lost)

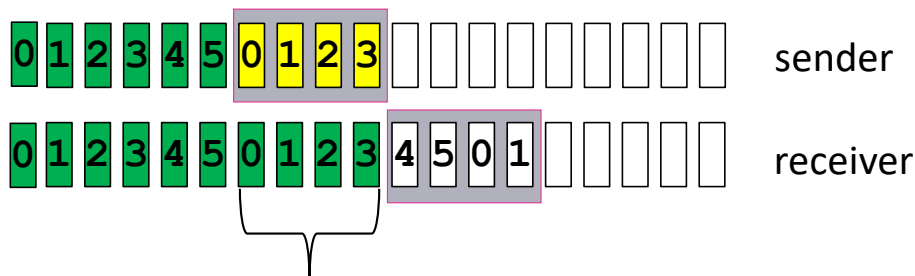
So, sender will retransmit 0, 1, 2, 3

**What will the receiver do?**



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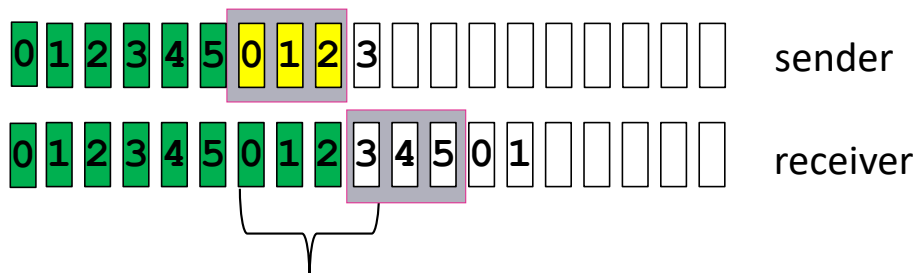
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So, sender will  
retransmit 0, 1, 2, 3

**Receiver can't  
differentiate  
retransmission  
from new message  
reusing its  
sequence number!**

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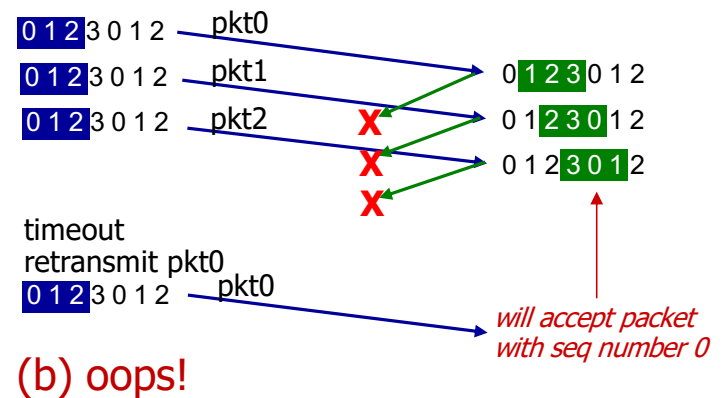
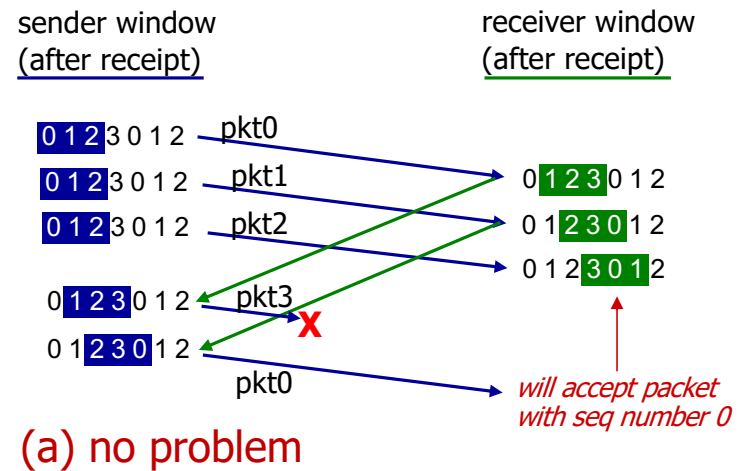
So, sender will  
retransmit 0, 1, 2, 3

**Solution: need to  
shrink window OR  
expand sequence  
range**

# Selective repeat: a dilemma!

example:

- seq #s: 0, 1, 2, 3 (base 4 counting)
- window size=3

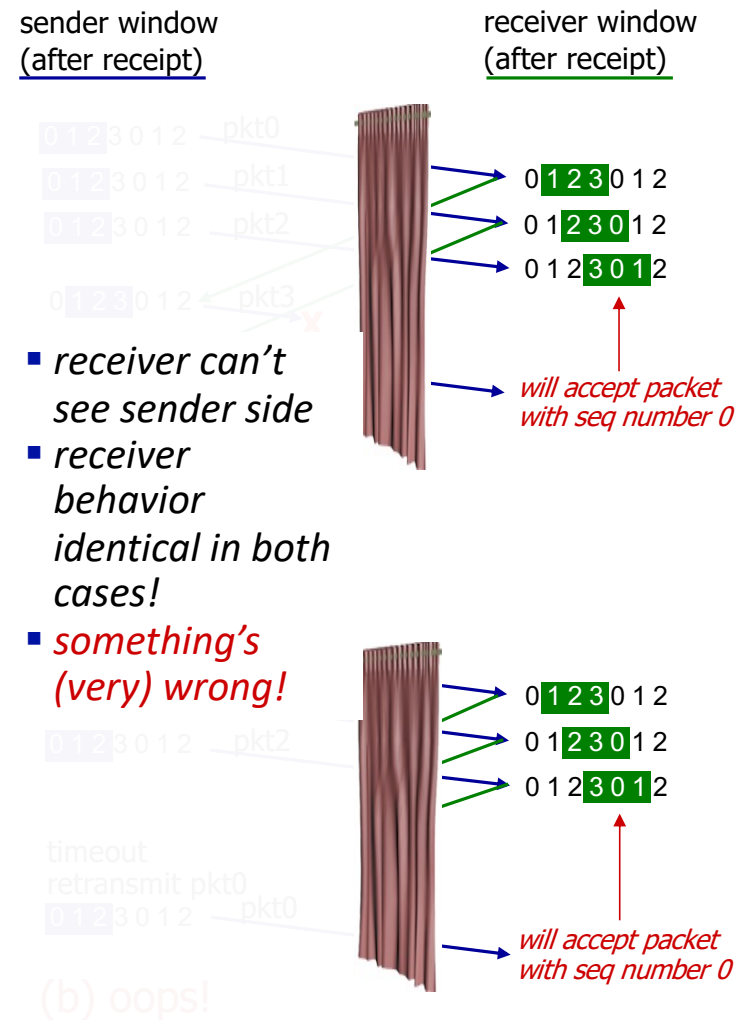


# Selective repeat: a dilemma!

example:

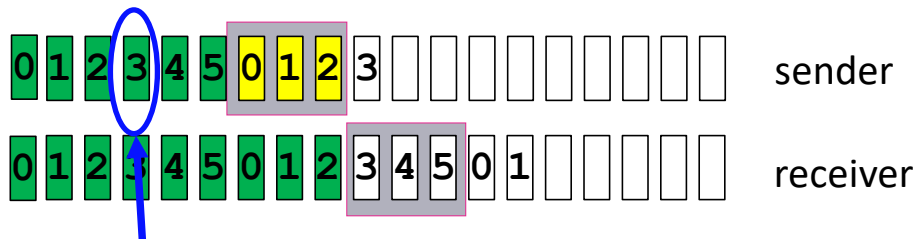
- seq #s: 0, 1, 2, 3 (base 4 counting)
- window size=3

**Q:** what relationship is needed between sequence # size and window size to avoid problem in scenario (b)?



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What if this message needed to be retransmitted multiple times, and some copy is **delayed** in the network such that it arrives now?

So far, we have assumed messages arrive in the **order** they were sent. **What happens if this is not true?**

# Summary

- We can build a reliable transport service on top of an unreliable network
- **Pipelining** is used to improve performance and make reliable data transfer practical
- We need to be careful in reasoning about sender and receiver behavior because they may have different views of the current state (asymmetric knowledge)

# Reliable Data Transfer - Recap

- **Stop-and-wait**
  - Send 1 packet, wait until it is acknowledged to send the next
- **Go-Back-N**
  - Sender can have up to N unacknowledged packets at any time
  - Receiver discards out of order packets and sends cumulative ACKs
  - Upon timeout for oldest unacknowledged packet, sender resends ALL unacknowledged packets
- **Selective Repeat**
  - Sender can have up to N unacknowledged packets at any time
  - Receiver buffers out of order packets (that fall within window) and sends selective ACKs
  - Upon timeout for a specific packet, sender resends that packet

# TCP Service Abstraction

- **TCP is a connection-oriented protocol that delivers a reliable, in-order, byte stream**
- **Connection-oriented**: two processes coordinate (“handshake”) before beginning to send data to each other
- **Reliable**: TCP resends lost packets
  - Until it gives up and shuts down connection
- **In-order**: TCP only hands consecutive chunks of data to application
- **Byte stream**: TCP assumes there is an incoming stream of data, and attempts to deliver it to application

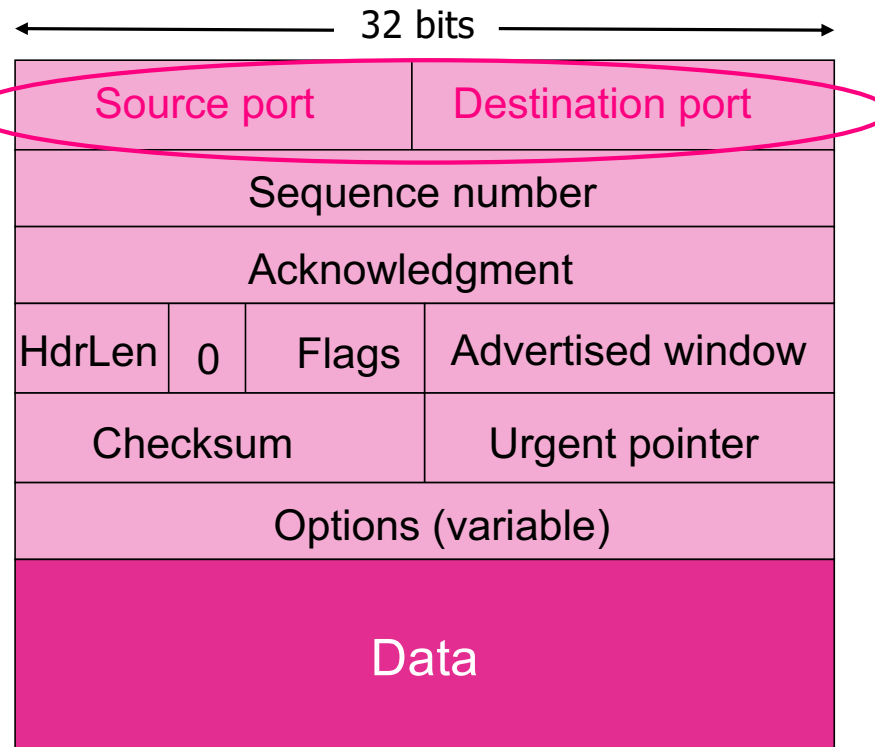


# TCP Mechanisms

- Builds on most of what we've seen so far:
  - Checksums
  - Sequence numbers (byte offsets)
  - Sender and receiver maintain a sliding window
  - Receiver sends cumulative acknowledgements (like **Go-Back-N**)
    - Sender maintains a single retransmission timer
  - Receivers can buffer out-of-sequence packets (like **Selective Repeat**)
    - technically, this is optional...not defined by TCP spec
- And we'll see a few more soon: fast retransmit, timeout estimation algorithms

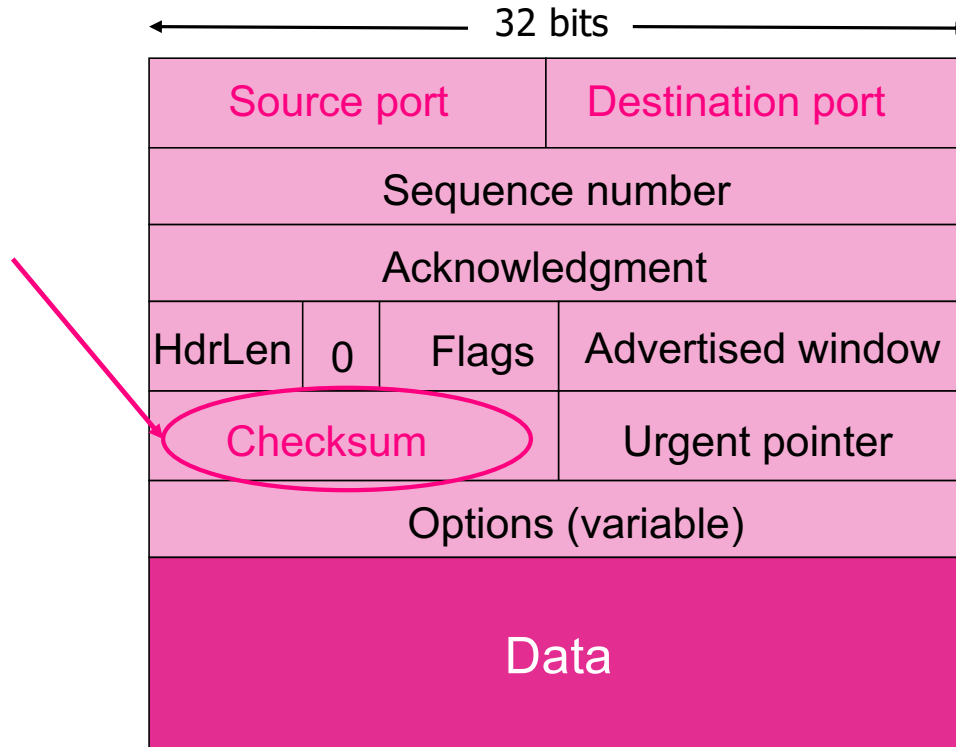
# TCP Segment Structure

Used for  
Multiplexing /  
Demultiplexing  
(similar to UDP,  
but remember that  
TCP  
demultiplexing  
actually uses  
source port/IP)



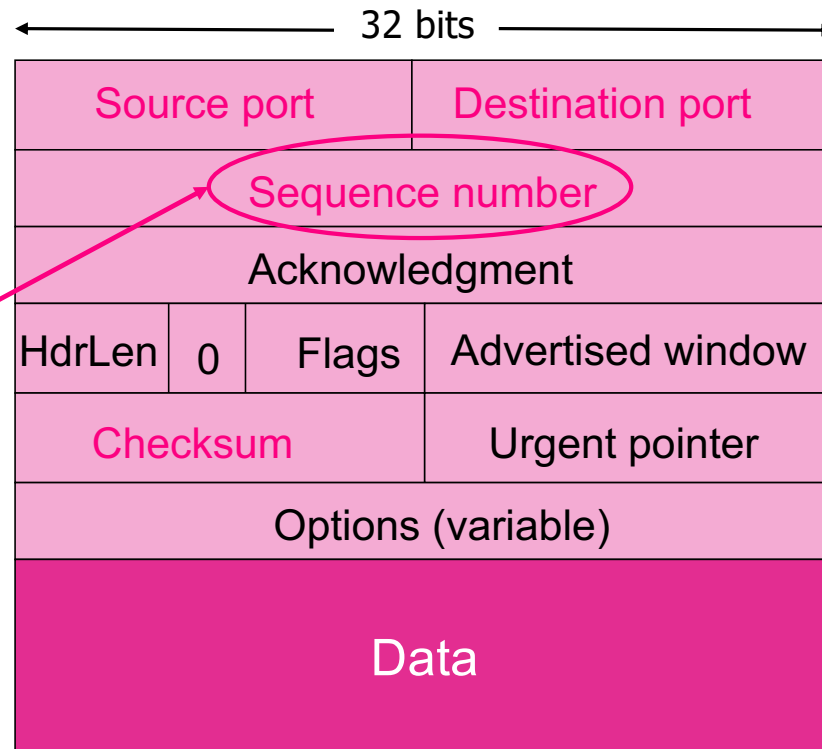
# TCP Segment Structure

Used for error  
detection  
(same as  
UDP)



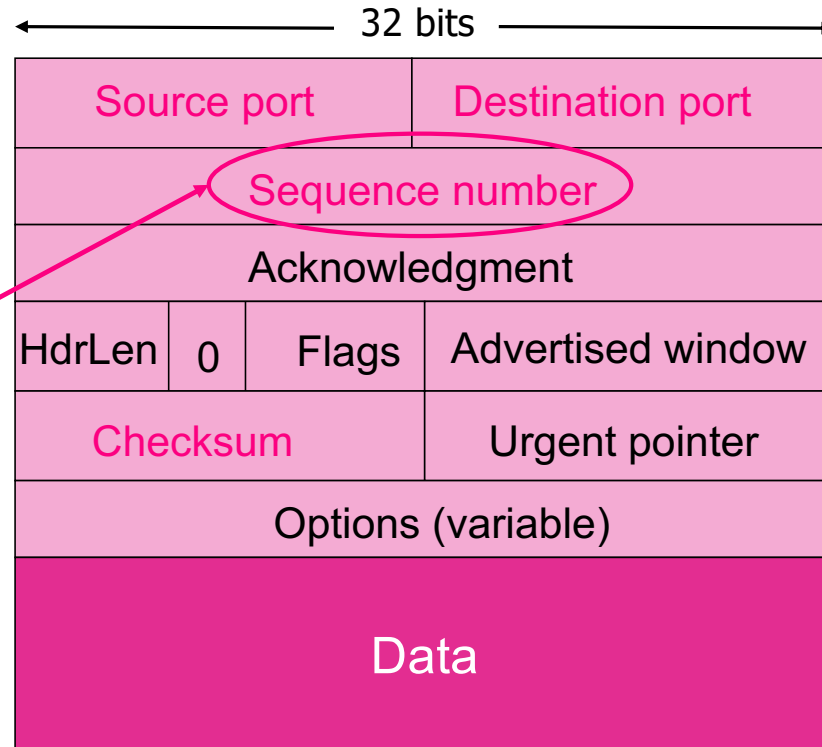
# TCP Segment Structure

As discussed last time, used for maintaining **ordered** delivery and differentiating new vs duplicate data



# TCP Segment Structure

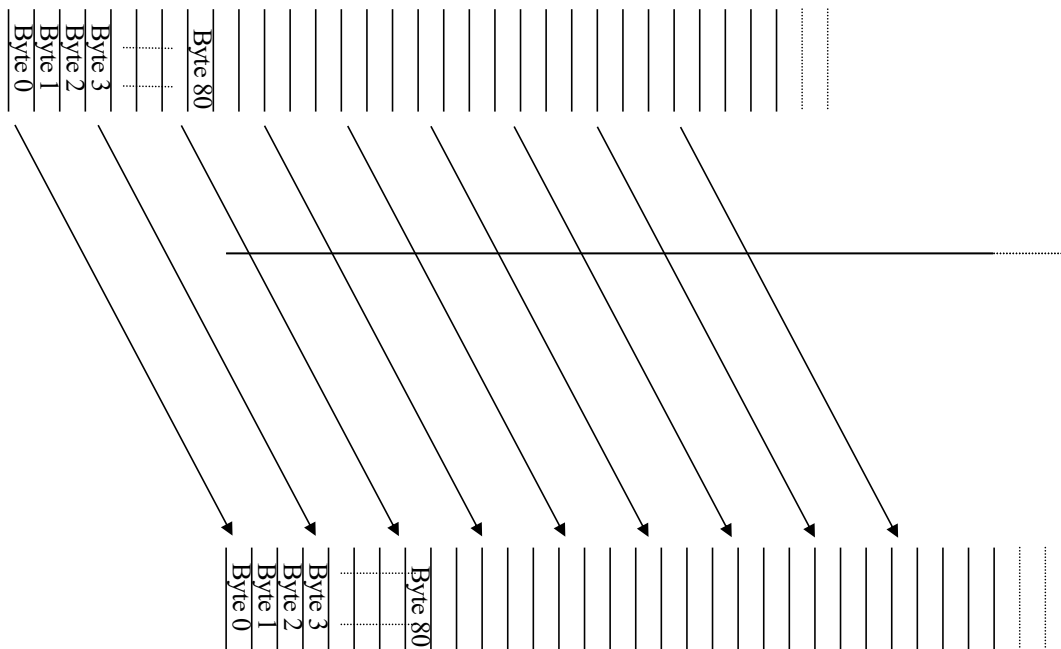
As discussed last time, used for maintaining **ordered** delivery and differentiating new vs duplicate data



**But**, for TCP, the sequence number is a **byte offset**, **not** a packet id

# TCP Byte Stream Service...

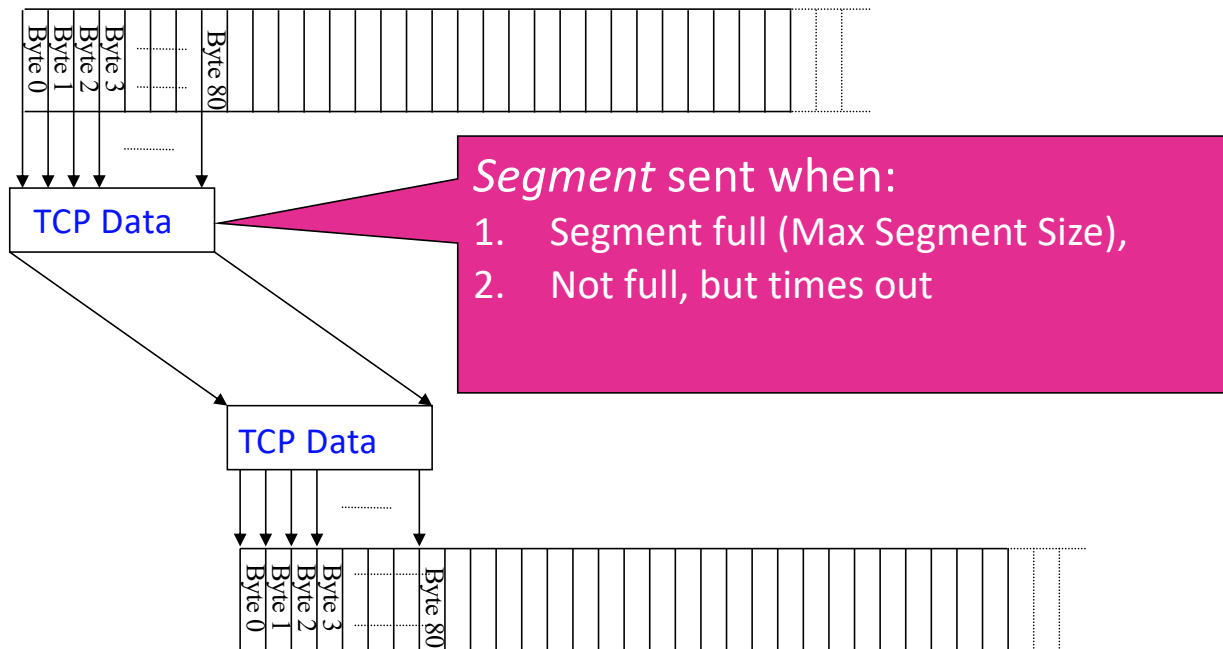
Application @ Host A



Application @ Host B

# ...provided using TCP segments

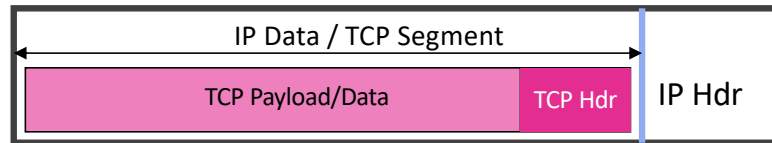
Host A



Host B

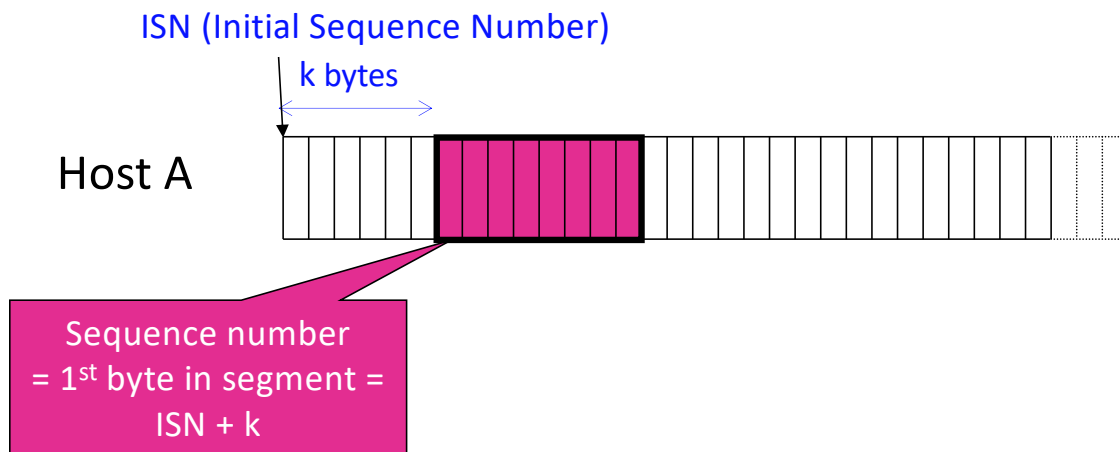
# TCP Segments

- **IP packet**
  - No bigger than **Maximum Transmission Unit (MTU)**
  - E.g., up to 1500 bytes with Ethernet
- **TCP segment**
  - IP payload contains segment composed of TCP header and data
  - TCP header  $\geq 20$  bytes long
- **TCP payload/data**
  - No more than **Maximum Segment Size (MSS)** bytes
  - E.g., up to 1460 consecutive bytes from the stream
  - $MSS = MTU - (IP\ header) - (TCP\ header)$

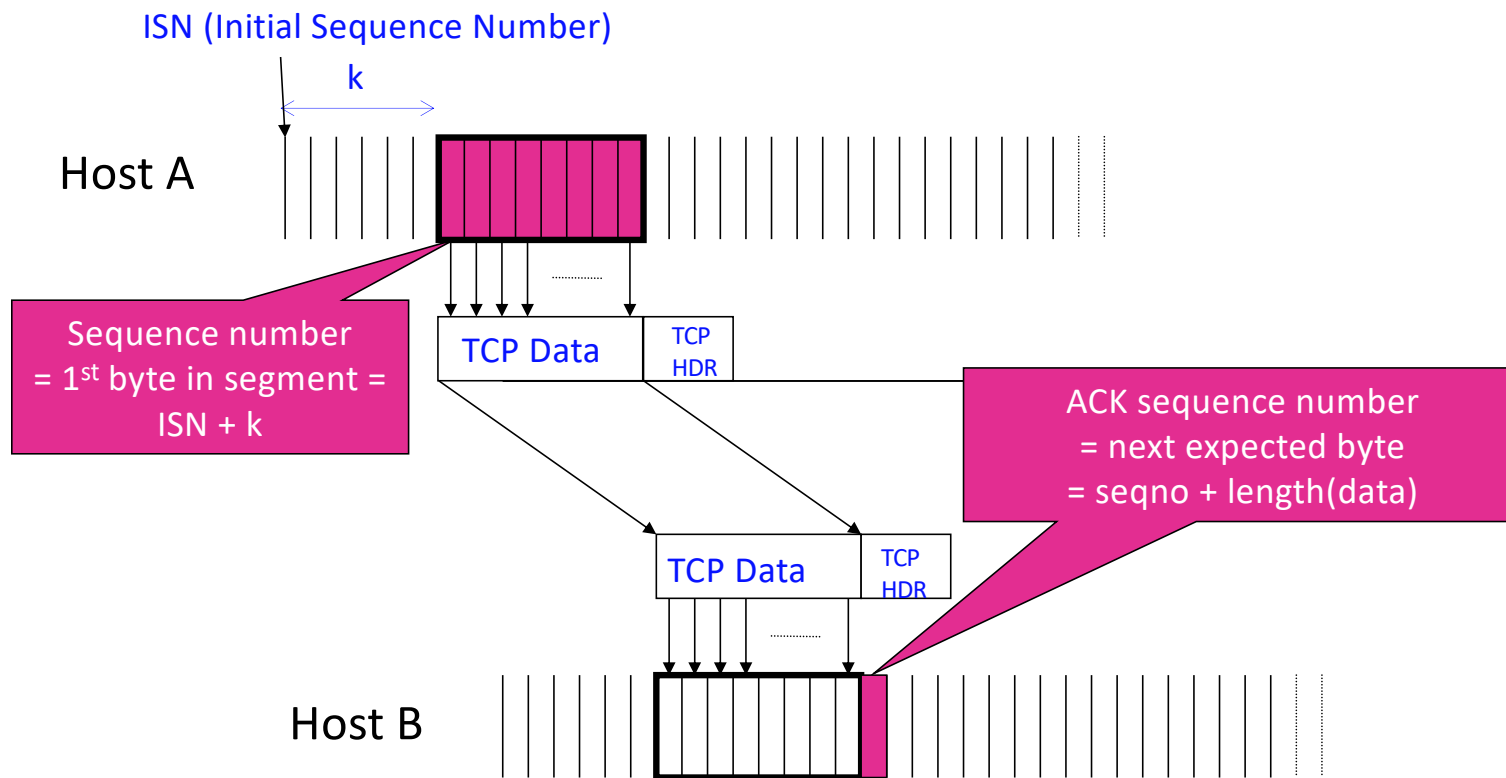




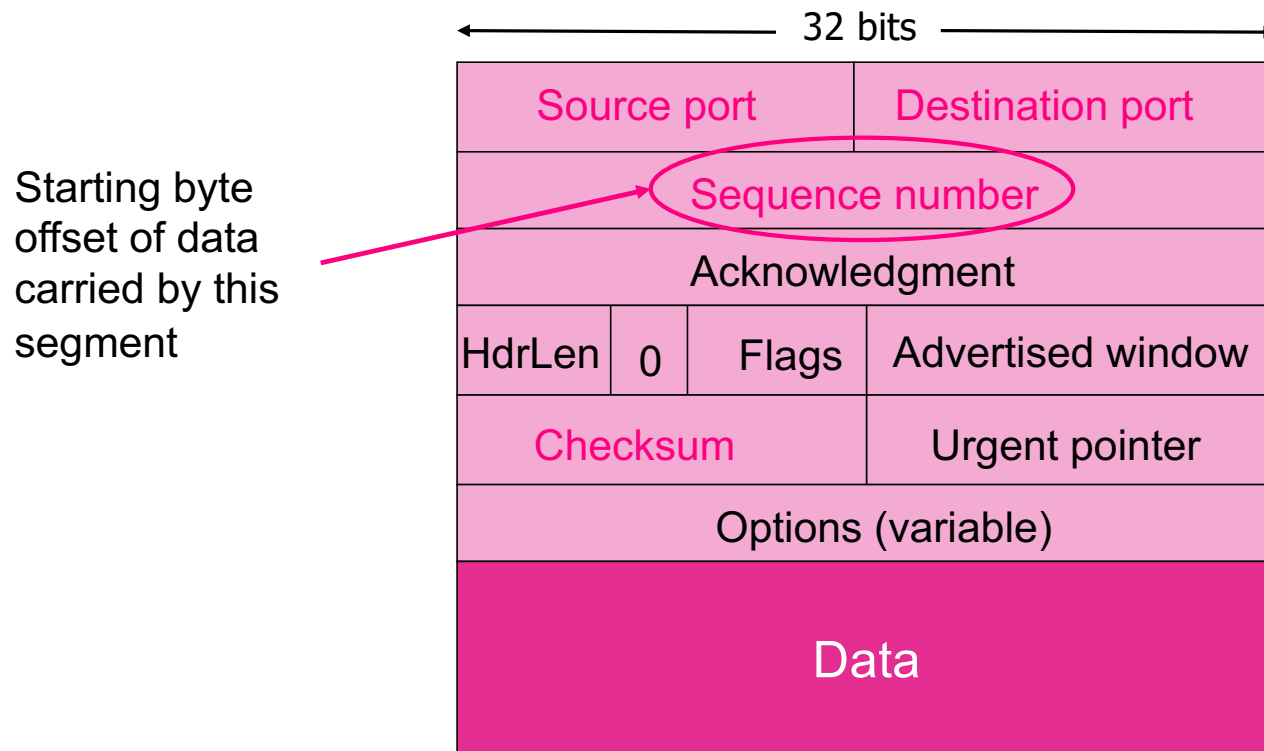
# TCP Sequence Numbers



# TCP Sequence Numbers

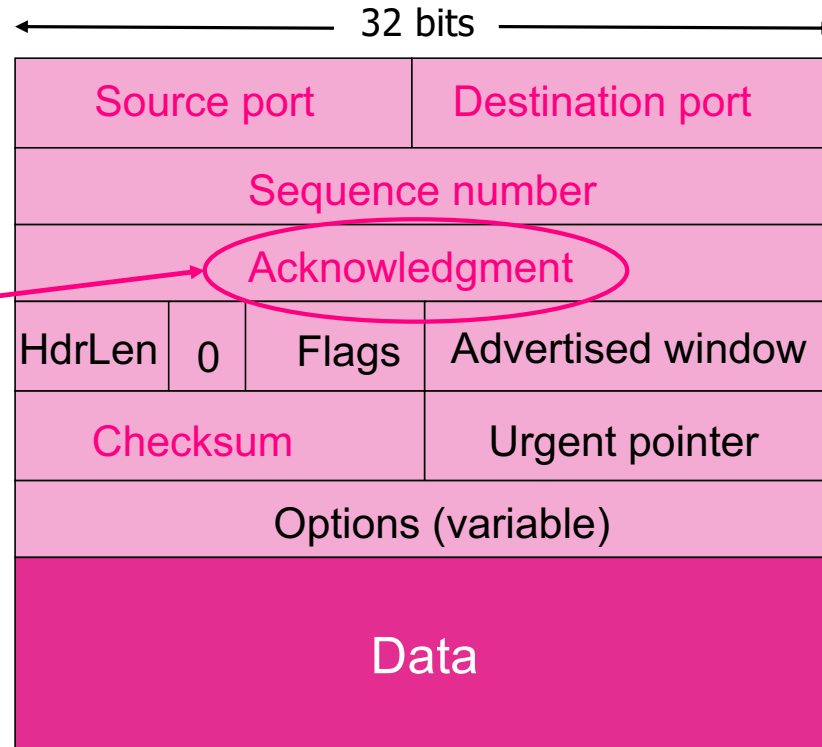


# TCP Segment Structure



# TCP Segment Structure

Sequence of **next expected** byte (i.e. sequence number just **after** the last byte received **in order** so far)



# TCP ACK Behavior

- Sender sends segment
  - Data starts with sequence number  $X$
  - Segment contains  $B$  bytes  $[X, X+1, X+2, \dots, X+B-1]$
- Upon receipt of segment, receiver sends an ACK
  - If all data prior to  $X$  already received:
    - ACK acknowledges  $X+B$  (because that is next expected byte)
  - If highest in-order byte received is  $Y$  s.t.  $(Y+1) < X$ 
    - ACK acknowledges  $Y+1$
    - Even if this has been ACKed before

Why?

## TCP ACK Behavior: Normal (no loss) Case

- Sender:  $\text{seqno} = X$ ,  $\text{length} = B$
- Receiver:  $\text{ACK} = X + B$
- Sender:  $\text{seqno} = X + B$ ,  $\text{length} = B$
- Receiver:  $\text{ACK} = X + 2B$
- Sender:  $\text{seqno} = X + 2B$ ,  $\text{length} = B$
  
- Sequence number of next packet is same as last ACK field

# TCP ACK Behavior: Loss Case

- **Sender sends packets with 100B and sequence numbers:**
  - 100, 200, 300, 400, 500, 600, 700, 800, 900, ...
- **Assume the fifth packet (seqno 500) is lost, but no others**
- **Stream of ACKs will be:**
  - 200, 300, 400, 500, 500 (seqno:600), 500 (seqno:700), 500 (seqno:800), 500 (seqno:900),...
  - Acknowledgements are **cumulative**

# Reliable Data Transfer with TCP

- To recover a lost packet, sender needs to **retransmit**
- **What triggers retransmission?**
  - Our usual mechanism: **Timeout**
  - Sender maintains a **single timer** for **oldest unacknowledged segment** (like Go-Back-N)
  - On timeout, retransmit **only** that oldest unacknowledged segment (closer to Selective Repeat)



# Reliable Data Transfer with TCP: Setting Timeouts

- How long should the timeout be?
  - Too long: slow reaction to loss
  - Too short: waste bandwidth retransmitting packets that were not really lost
- **Goal:** timeout should be *close* to RTT
  - Definitely can't be shorter (many unnecessary retransmissions)
  - But, much longer will make reactions slow

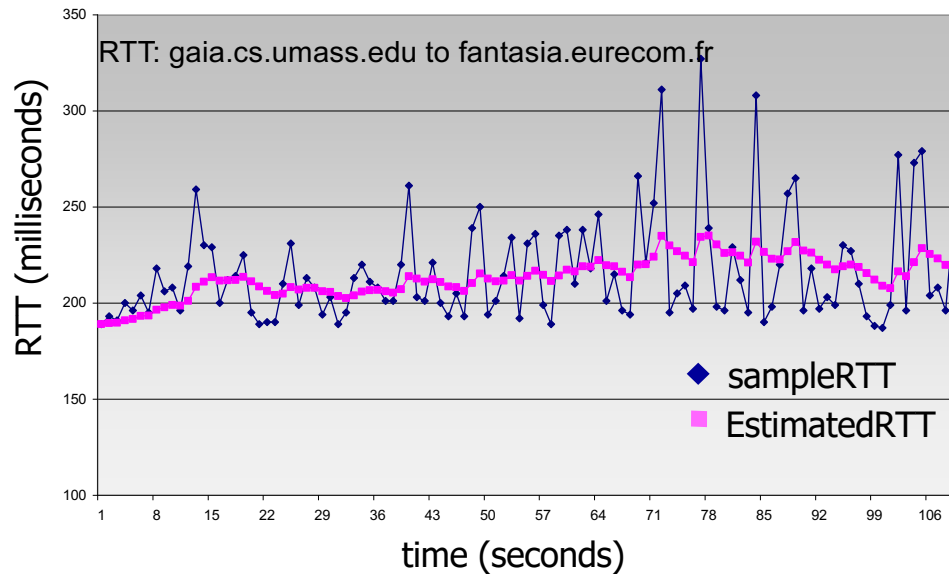
# RTT Estimation

- We want timeout close to RTT, but...how do we know what the RTT is?
- We can measure it!
  - `SampleRTT`: measured time from segment transmission until ACK receipt
  - But measurements will vary over time...

# RTT Estimation

$$\text{EstimatedRTT} = (1 - \alpha) * \text{EstimatedRTT} + \alpha * \text{SampleRTT}$$

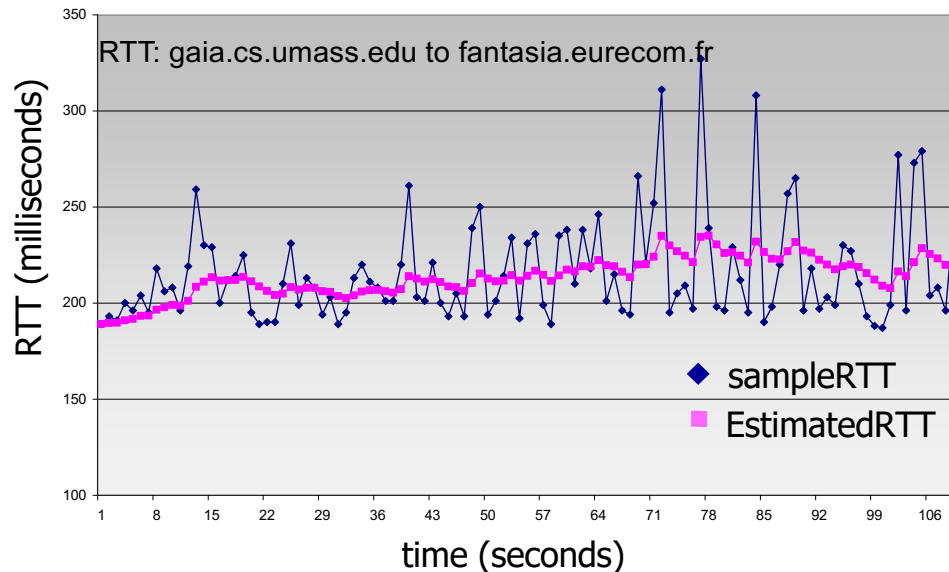
- exponential weighted moving average (EWMA)
- influence of past sample decreases exponentially fast
- typical value:  $\alpha = 0.125$



# RTT Estimation

$$\text{EstimatedRTT} = (1 - \alpha) * \text{EstimatedRTT} + \alpha * \text{SampleRTT}$$

- exponential weighted moving average (EWMA)
- influence of past sample decreases exponentially fast
- typical value:  $\alpha = 0.125$



## Example:

- Our current RTT estimate is 100ms
- New SampleRTT is 200ms
- What is updated EstimatedRTT?

$$.875 * 100 + .125 * 200 = 112.5\text{ms}$$

# TCP Timeout Setting

- timeout interval: **EstimatedRTT** plus “safety margin”
  - large variation in **EstimatedRTT**: want a larger safety margin

$$\text{TimeoutInterval} = \text{EstimatedRTT} + 4 * \text{DevRTT}$$



↑  
estimated RTT

↑  
“safety margin”

- **DevRTT**: EWMA of **SampleRTT** deviation from **EstimatedRTT**:

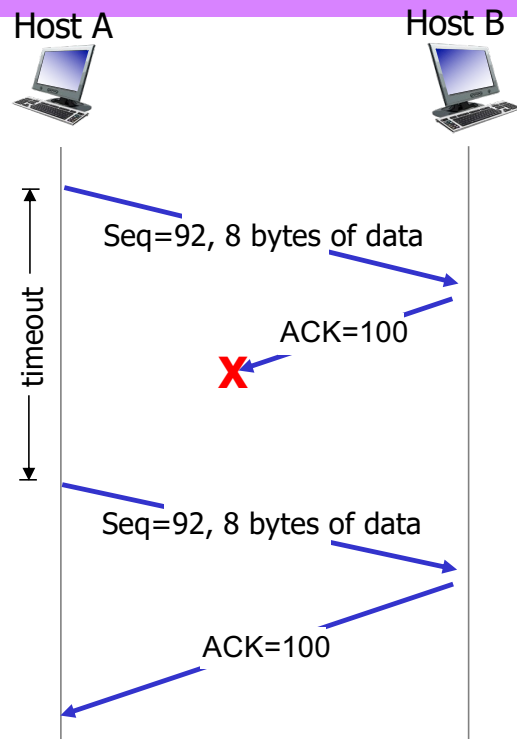
$$\text{DevRTT} = (1 - \beta) * \text{DevRTT} + \beta * |\text{SampleRTT} - \text{EstimatedRTT}|$$

(typically,  $\beta = 0.25$ )

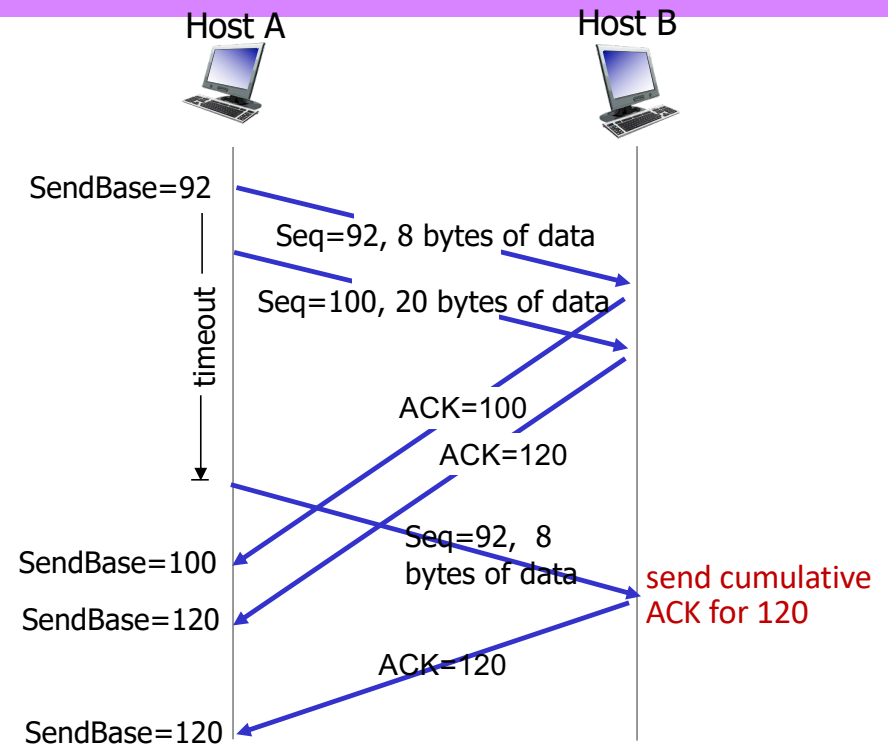
# TCP Timeouts: Details

- **Retransmitted** segments are **ignored** for SampleRTT calculations
  - Eliminates ambiguity of whether ACK was sent in response to original or retransmission
- **What value should the timeout start at?** (before any SampleRTT is measured)
  - Recommendation: 1 second (<https://tools.ietf.org/html/rfc6298>)
- **Timeout is doubled** when it expires
  - More on congestion control soon...

# TCP Retransmission Scenarios

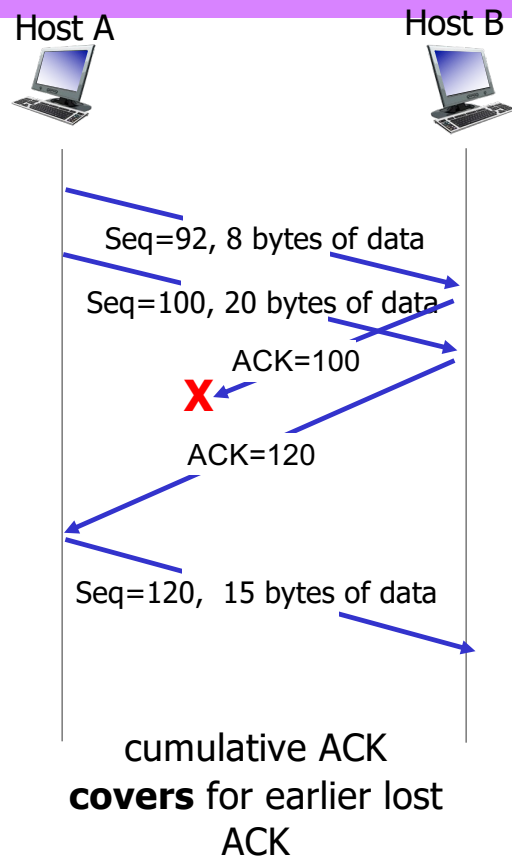


lost ACK scenario



premature timeout

# TCP Retransmission Scenarios





# Reliable Data Transfer with TCP

- To recover a lost packet, sender needs to **retransmit**
- What triggers retransmission?
  - Our usual mechanism: **Timeout**
    - Sender maintains a **single timer** for **oldest unacknowledged segment** (like Go-Back-N)
    - On timeout, retransmit **only** that oldest unacknowledged segment (closer to Selective Repeat)

# Reliable Data Transfer with TCP


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    - On timeout, retransmit **only** that oldest unacknowledged segment (closer to Selective Repeat)
  - An optimization: **Fast Retransmit**

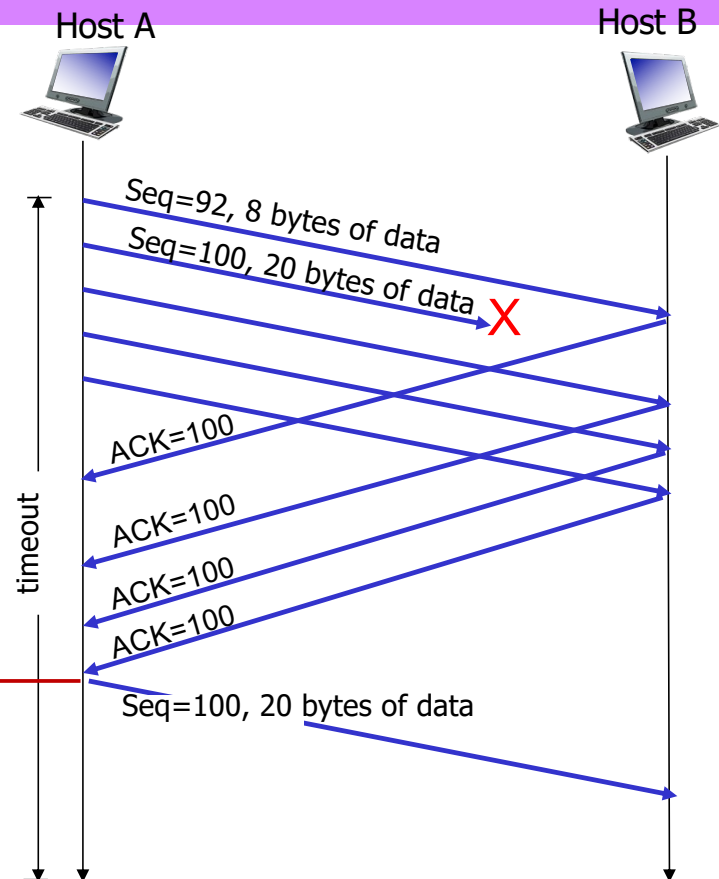
# TCP Fast Retransmit

- Recall our loss scenario:
  - Sender sends packets with 100B and seqnos.:
    - 100, 200, 300, 400, 500, 600, 700, 800, 900, ...
  - Assume the fifth packet (seqno 500) is lost, but no others
  - Stream of ACKs will be:
    - 200, 300, 400, 500 (seqno:600), 500 (seqno:700), 500 (seqno:800), 500 (seqno:900),...
- **Duplicate ACKs are a sign of isolated loss**
  - 500 clearly hasn't been delivered...but other segments are getting through

# TCP Fast Retransmit

- TCP will retransmit a segment upon receiving **3 duplicate ACKs** for that segment
  - without waiting for timeout

 Receipt of three duplicate ACKs indicates 3 segments received after a missing segment – lost segment is likely. So retransmit!



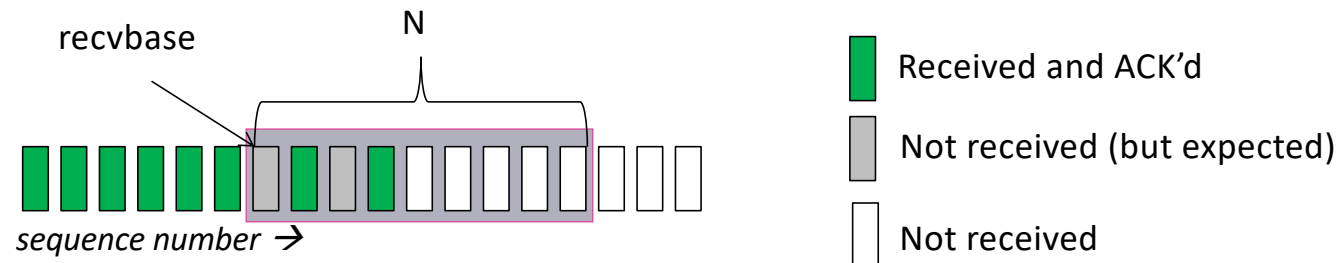
# TCP Flow Control

- **Flow Control** key idea: sender should not transmit faster than the receiver can process!



# Flow Control

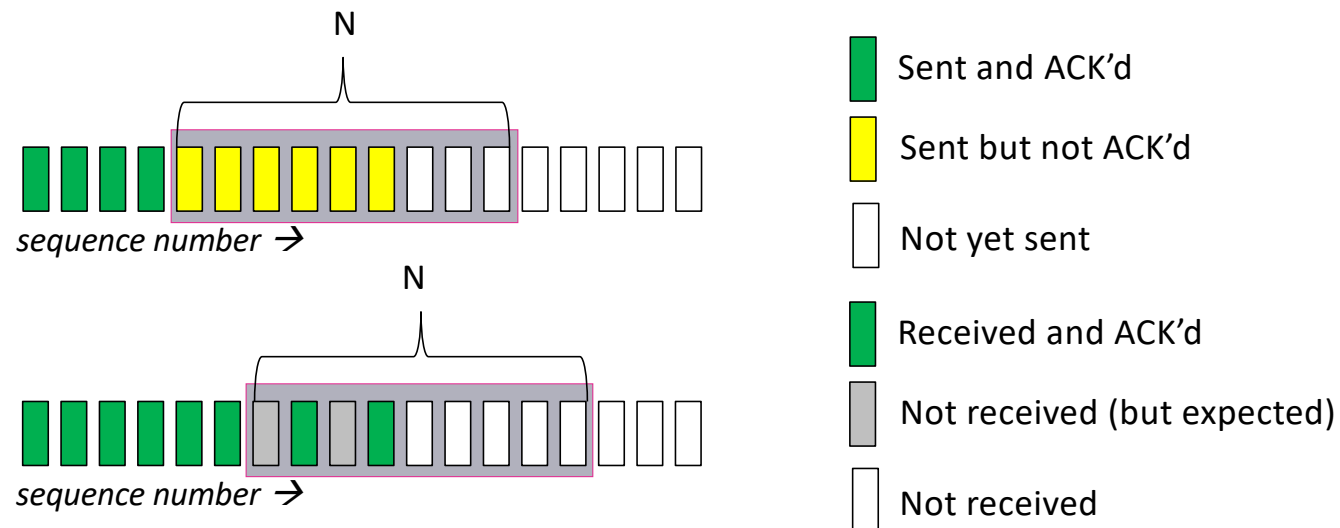
- Recall our discussion from last week on reliable data transfer
  - Receiver maintains a **buffer** that stores received but not-yet-delivered data



- Buffers have finite storage space, so **what would happen if sender sends more data than the receiver can fit in its buffer?**

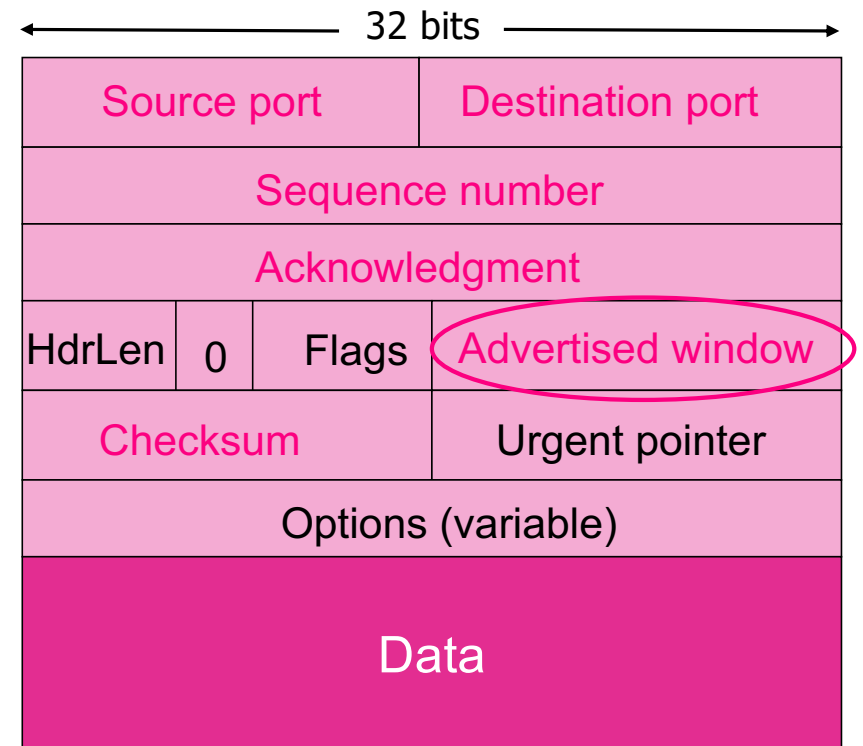
# Flow Control

- We saw a **limited form** of flow control in the Go-Back-N and Selective Repeat protocols we looked at:
  - Receiver maintains a **buffer** that **can hold N packets**
  - Sender is allowed to have **up to N** unacknowledged packets out at any time



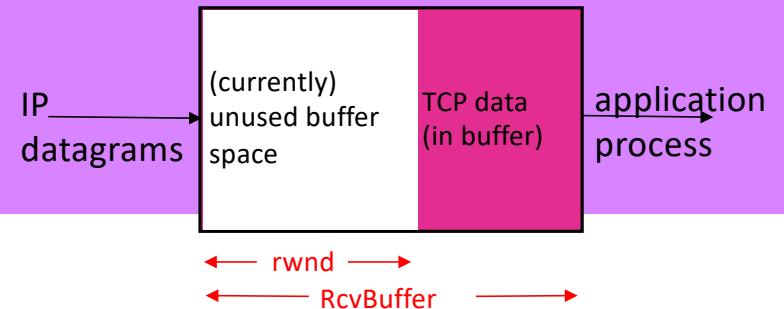
# TCP Flow Control

- More flexible, but same basic idea: **sender only sends as much data as it knows receiver can accept**
- Receiver uses an “**Advertised Window**” (RWND) to tell the sender how many bytes it can accept
  - Receiver indicates value of RWND in ACKs
  - Sender ensures that the total **number of bytes in flight**  $\leq$  RWND





# TCP Flow Control



- **Advertised window limits rate:** Sender can send no faster than  $RWND/RTT$  bytes/sec
- Receiver only advertises more space when application has consumed old arriving data
- **What happens when  $RWND=0$ ?**
  - Sender keeps probing by sending segments with one byte of data
  - Receiver can ACK, but won't increase  $RWND$  until application reads some data and buffer space opens up

$$rwnd = RcvBuffer - [LastByteRcvd - LastByteRead]$$

# Recall: TCP Service Abstraction

- **TCP is a connection-oriented protocol that delivers a reliable, in-order, byte stream**

- **Connection-oriented**: two processes coordinate (“handshake”) before beginning to send data to each other



- **Reliable**: TCP resends lost packets
  - Until it gives up and shuts down connection



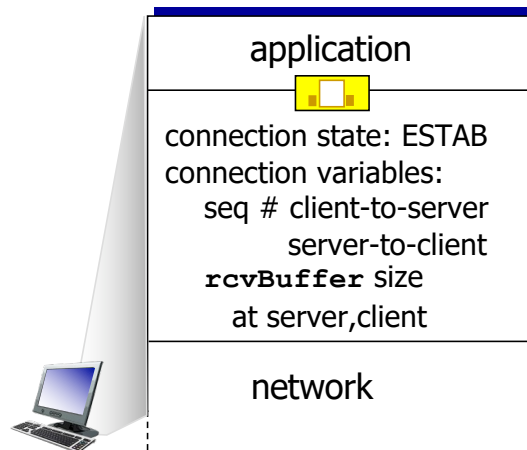
- **In-order**: TCP only hands consecutive chunks of data to application



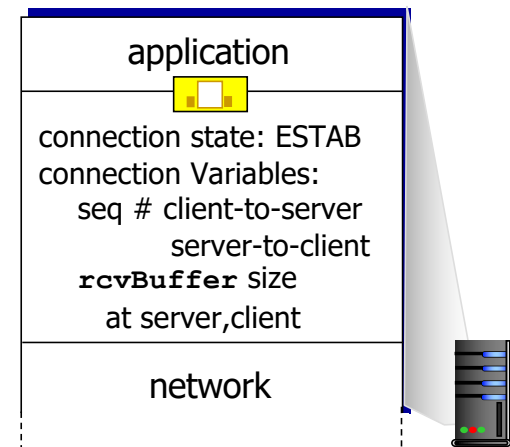
- **Byte stream**: TCP assumes there is an incoming stream of data, and attempts to deliver it to application

# TCP Connection Management

- Before exchanging data, sender/receiver “handshake”:
  - agree to establish connection (each knowing the other willing to establish connection)
  - agree on connection parameters (e.g., starting seq #s, initial buffer sizes)



```
clientSocket.connect("hostname,  
"port number");
```



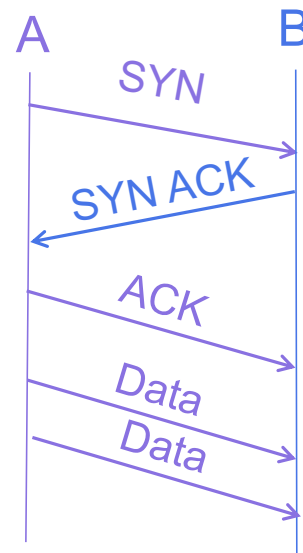
```
connectionSocket =  
welcomeSocket.accept();
```

# Connection Parameters: Initial Sequence Number

- Sequence number for the **very first byte**
- **Why not just use ISN = 0?**
  - Practical issue
    - IP addresses and port #s uniquely identify a connection
    - Eventually, though, these port #s do get used again; small chance an old packet is still in flight
    - Also, others might try to spoof your connection
  - **Why does using ISN help?**
- Hosts exchange ISNs when establishing connection

# Establishing a TCP Connection

- **Three-way handshake** to establish connection
  - Host A sends a **SYN** (open; “synchronize sequence numbers”) to host B
  - Host B returns a SYN acknowledgment (**SYN ACK**)
  - Host A sends an **ACK** to acknowledge the SYN ACK



# Establishing a TCP Connection

## Flags:

SYN

ACK

FIN

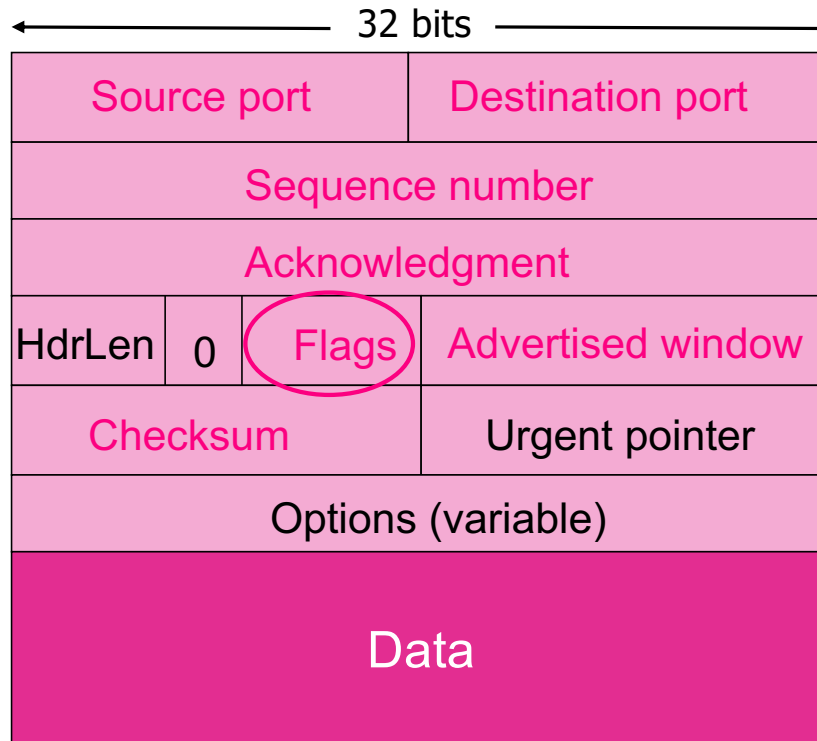
RST

PSH

URG

CWR

ECE



# Establishing a TCP Connection

## Flags:

SYN – connection setup

ACK – contains valid ack

FIN – connection teardown

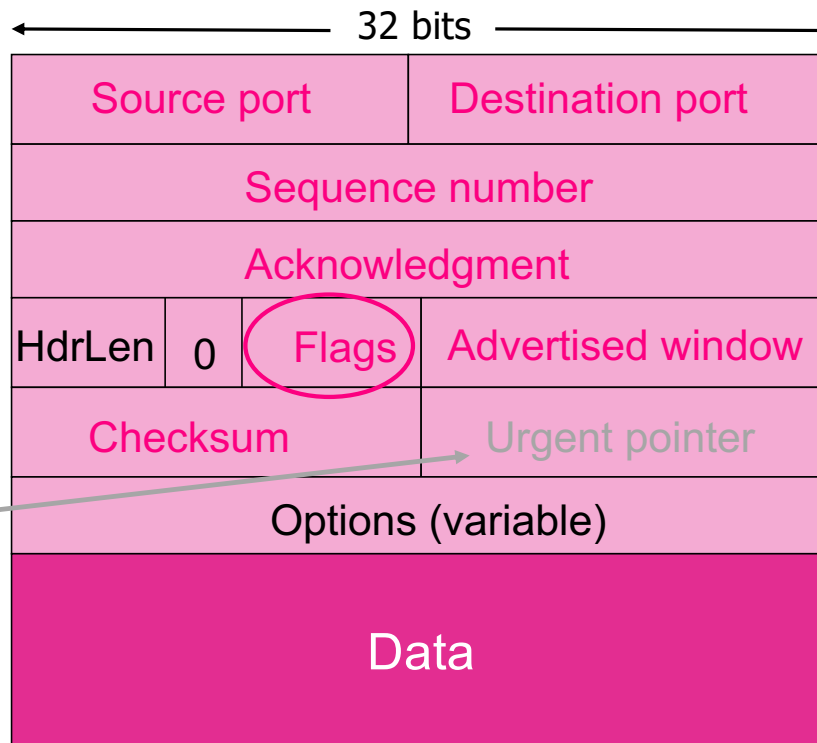
RST – connection teardown

PSH – pass data up immediately

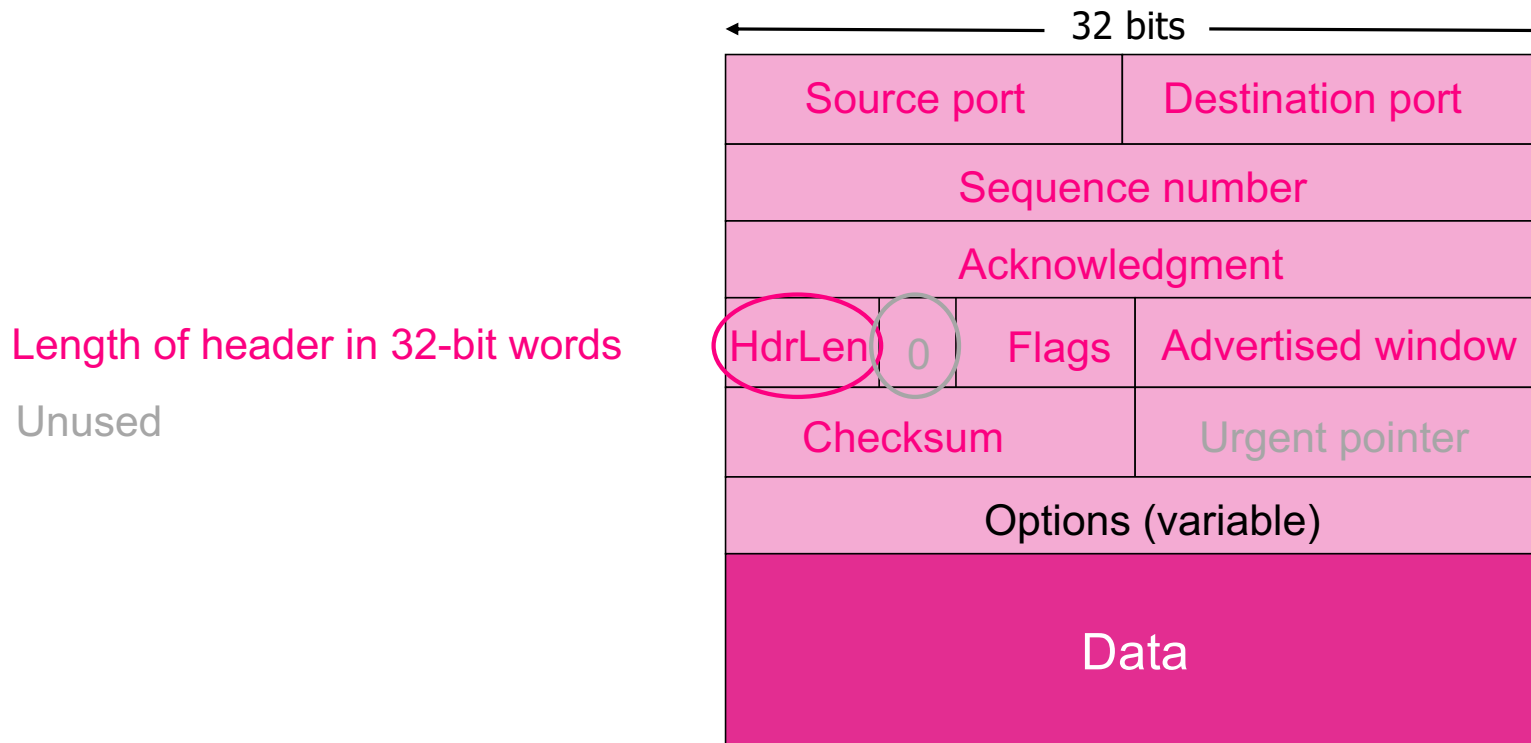
URG – data marked urgent

CWR – congestion control

ECE – congestion control



# Wrapping up TCP Segment Structure





# Step 1: Host A's SYN

A tells B to open  
a connection

A's port			B's port
A's Initial Sequence Number			
N/A			
5	0	SYN	Advertised window
Checksum			Urgent pointer

## Step 2: Host B's SYN-ACK

B tells A it  
accepts and is  
ready to accept  
next packet

B's port			A's port		
B's Initial Sequence Number					
ACK=A's ISN+1					
5	0	SYN ACK		Advertised window	
Checksum				Urgent pointer	

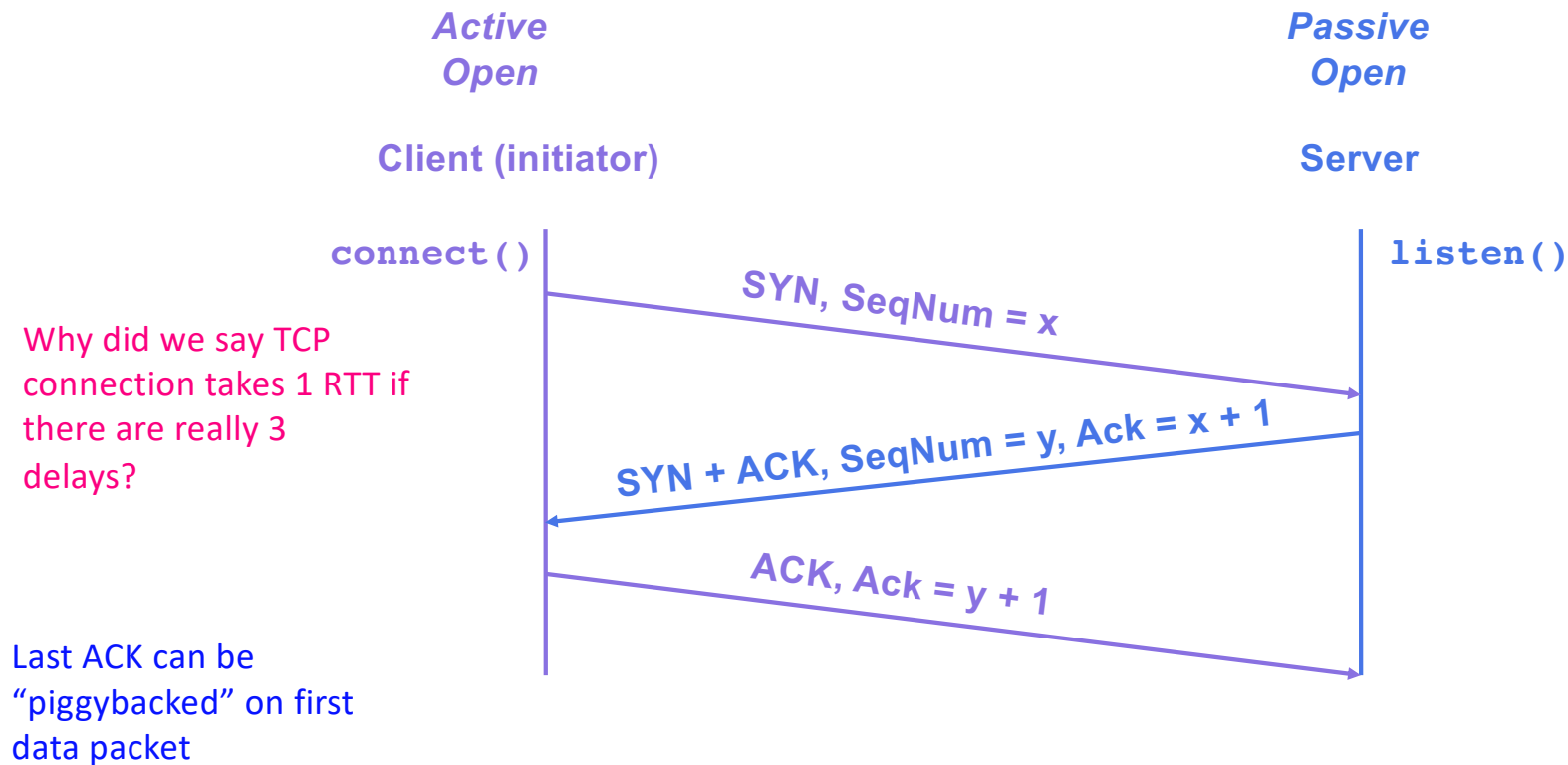
B's Initial Sequence Number = A's Initial Sequence Number?

## Step 3: Host A's ACK of B's SYN-ACK

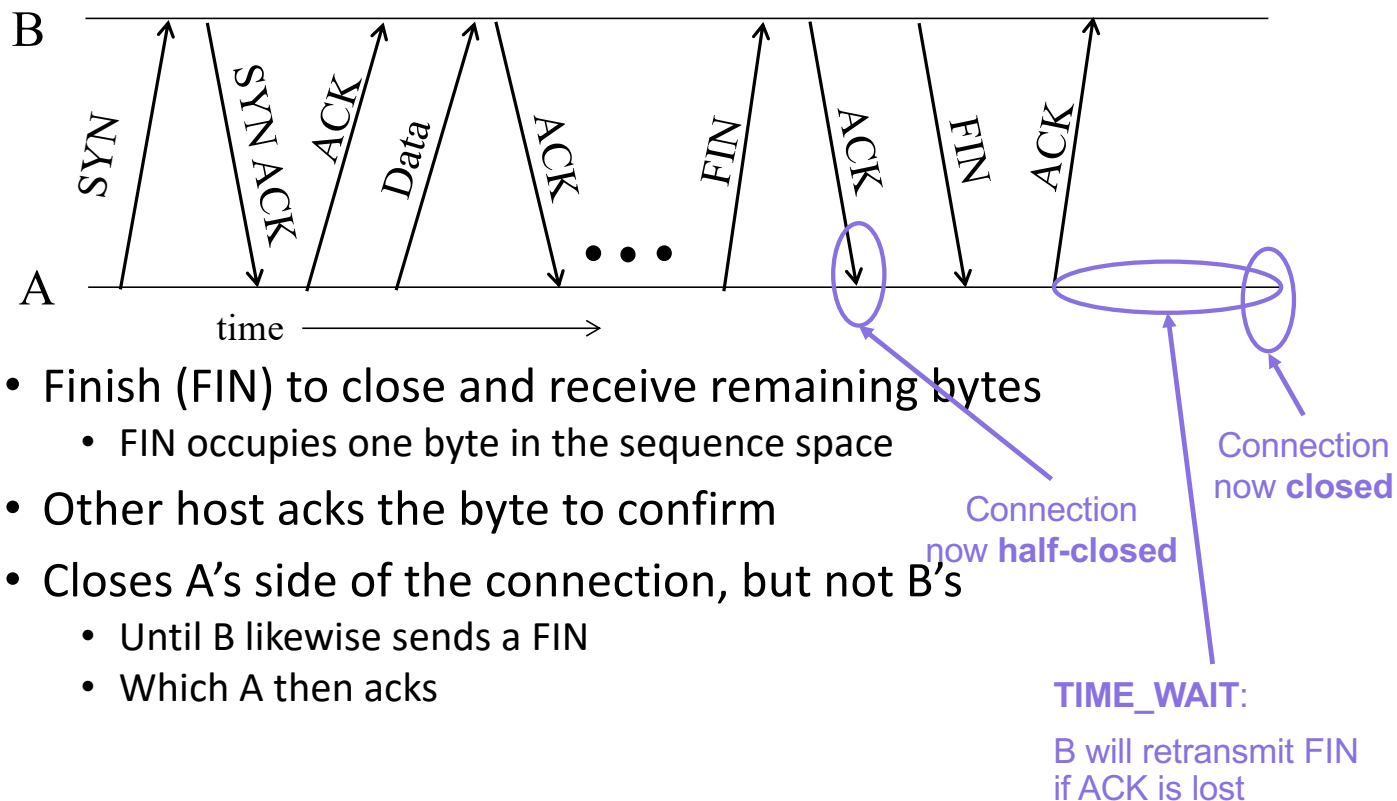
A tells B to open  
a connection

A's port		B's port	
A's Initial Sequence Number			
ACK=B's ISN+1			
5	0	ACK	Advertised window
Checksum			Urgent pointer

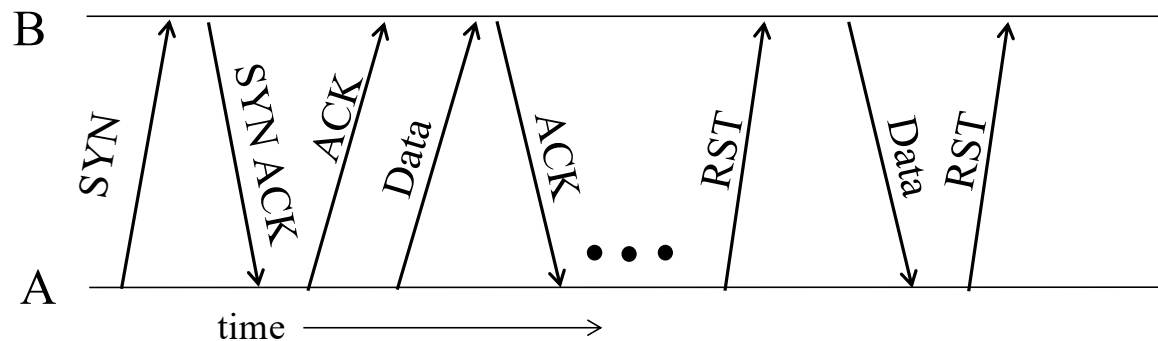
# TCP 3-way Handshake



# Closing a TCP Connection: Normal Termination Example

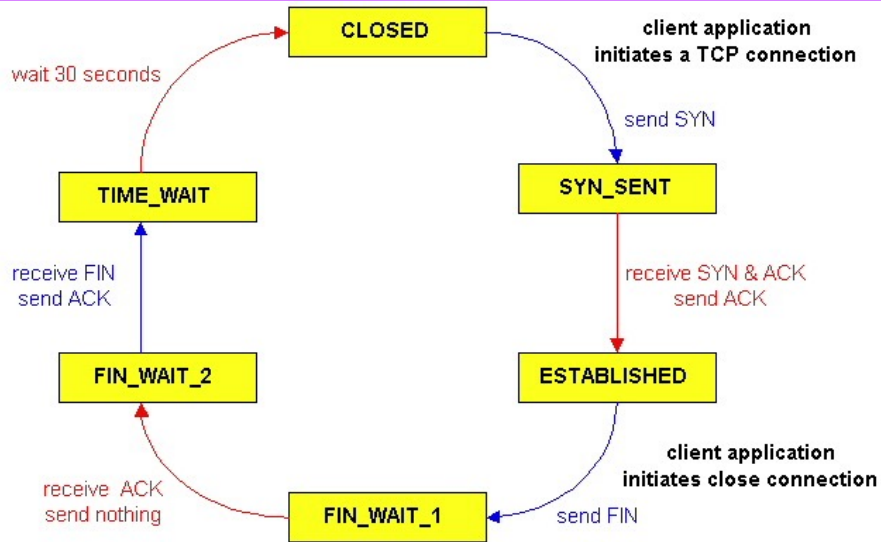


# Closing a TCP Connection: Abrupt Termination

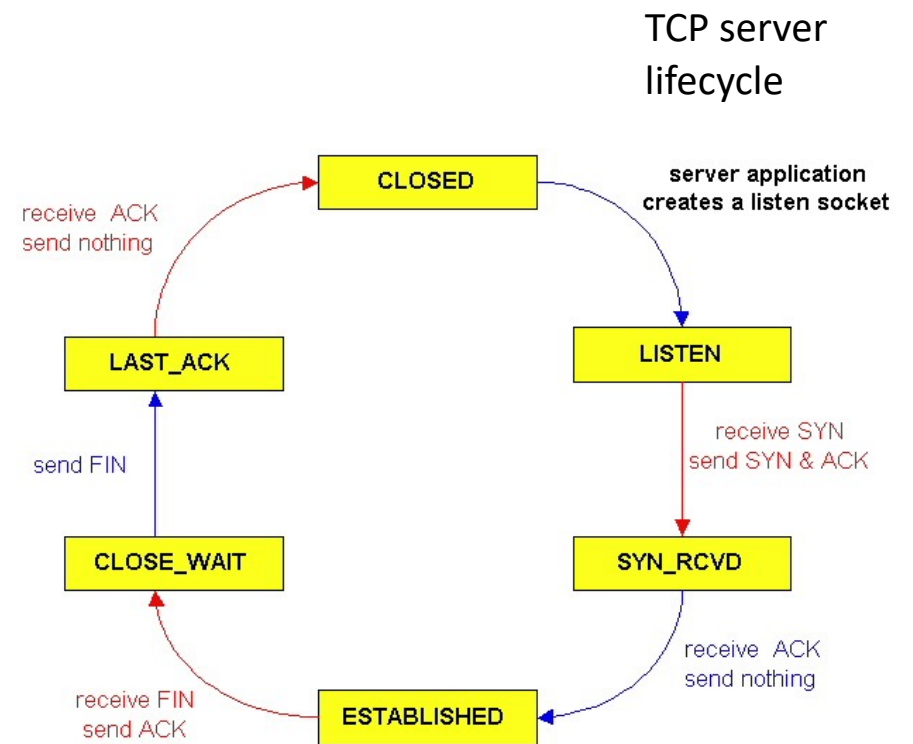


- A sends a RESET (RST) to B
  - E.g., because application process on A crashed
- That's it
  - B does not ack the RST
  - Thus, RST is not delivered reliably, and any data in flight is lost
  - But: if B sends anything more, will elicit another RST

# TCP State Diagrams (Normal Case)



TCP client  
lifecycle



# Summary

- TCP: connection-oriented protocol delivering reliable, in-order byte stream
  - Reliability builds on mechanisms we've seen: checksums, sequence numbers (adapted to byte stream abstraction), cumulative acknowledgments, sliding window
    - New mechanisms: dynamic timeout estimation, fast retransmit
  - Flow control prevents buffer overruns
  - Handshaking procedures used to set up and cleanly teardown connections