CSE110A: Compilers

April 24, 2024

Topics:

- Syntactic Analysis continued
 - Top down parsing
 - Oracle parser
 - Rewriting to avoid left recursion

```
int main() {
  printf("");
  return 0;
}
```

Announcements

- HW 2 is due on **Monday** by midnight
 - You'll have what you need for part 2 by the end of today (and hopefully part 3)

- For help
 - Ask on Piazza: No guaranteed help over the weekend or off business hours
- You do not have to return anything from your parser. Right now it is all about specification. You either match the string or you don't

Announcements

• Private posts on piazza for any questions, especially about grading.

Midterm study guide (so far)

Any of the following are fair game. Anything not listed below but in the lectures are fair game. Any combination of topics is fair game. This is only meant to be an overview of what we have discussed so far.

Midterm study guide (so far)

- Regular expressions
 - Operators, how to specify, how match vs full match works
- Scanners
 - What the API is, how strings are tokenized, how to specify tokens, token actions
- Grammars
 - How to specify a grammar, how to identify/avoid ambiguous grammars, how to show a derivation for match, parse trees
 - How to re-write grammars not to be left recursive, how to identify first+ sets
 - How the top down parsing algorithm works, how a recursive decent parser works
- Symbol tables
 - How scope can be tracked and manage during parse time, symbol table specification and implementation
- First 2 classes of module 3

Quiz

Which of the following can be sources of ambiguity in grammars?

O operator associativity not being specified

O incorrect parenthesis matching

operator precedence not being specified

O operator commutativity not being specified

Quiz

Please make sure to download HW 2 and try writing simple grammars in PLY. Please mark true when you've tried executing some simply programs and have experimented with specifying some tokens and production rules.

Example

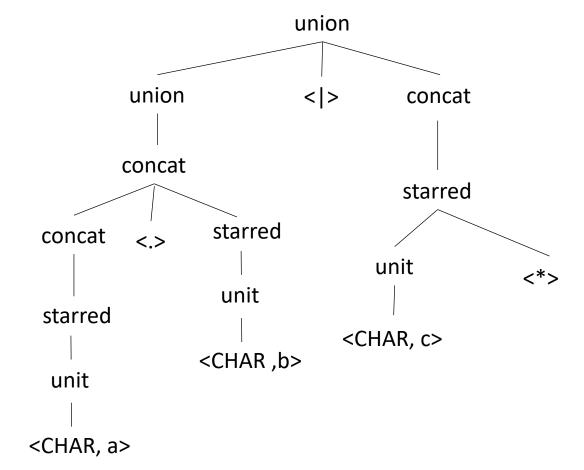
Parsing REs

Let's try it for regular expressions, {| . * ()}

Assume . is concat

Operator	Name	Productions
1	union	: union PIPE concat concat
	concat	: concat DOT starred starred
*	starred	: starred STAR unit
()	unit	: LPAREN union RPAREN CHAR

input: a.b | c*



Review

```
root = start symbol;
focus = root;
push (None);
to match = s.token();
while (true):
  if (focus is a nonterminal)
    pick next rule (A ::= B1, B2, B3...BN);
    push (BN... B3, B2);
    focus = B1
  else if (focus == to match)
    to match = s.token()
    focus = pop()
  else if (to_match == None and focus == None)
    Accept
```

Variable	Value
focus	
to_match	
s.istring	
stack	

1:	Expr	::=	Expr Op Unit
2:			Unit
3:	Unit	::=	'(' Expr ')'
4:			ID
5 :	Op	::=	\ +'
6:			\ * /

Can we derive the string (a+b) *c

Expanded Rule	Sentential Form
start	Expr

```
root = start symbol;
focus = root;
push (None);
                                     Currently we assume this
to match = s.token();
                                    is magic and picks
                                     the right rule every time
while (true):
  if (focus is a nonterminal)
    pick next rule (A ::= B1, B2, B3...BN);
    push (BN... B3, B2);
    focus = B1
  else if (focus == to match)
    to match = s.token()
    focus = pop()
  else if (to match == None and focus == None)
    Accept
    Variable
                          Value
     focus
     to_match
     s.istring
```

stack

Can we derive the string (a+b) *c

Expanded Rule	Sentential Form
start	Expr

```
root = start symbol;
focus = root;
push (None);
                                    What can go wrong if
to match = s.token();
                                    we don't have a magic
                                    choice
while (true):
  if (focus is a nonterminal)
    pick next rule (A ::= B1, B2, B3...BN);
    push (BN... B3, B2);
    focus = B1
  else if (focus == to match)
    to match = s.token()
    focus = pop()
  else if (to match == None and focus == None)
    Accept
                         1/01...
    Mariabla
```

variable	value
focus	
to_match	
s.istring	
stack	

Can we derive the string (a+b) *c

Expanded Rule	Sentential Form
start	Expr

```
root = start symbol;
focus = root;
push (None);
                                  What can go wrong
to match = s.token();
while (true):
  if (focus is a nonterminal)
    pick next rule (A ::= B1,B2,B3...BN);
    push (BN... B3, B2);
    focus = B1
  else if (focus == to match)
    to match = s.token()
    focus = pop()
  else if (to match == None and focus == None)
    Accept
```

Variable	Value
focus	
to_match	
s.istring	
stack	

Can we derive the string (a+b) *c

Expanded Rule	Sentential Form
start	Expr
2	Expr Op Unit
2	Expr Op Unit Op Unit
2	Expr Op Unit Op Unit Op Unit
2	Expr Op Unit

Infinite recursion!

Top down parsing does not handle left recursion

direct left recursion

indirect left recursion

Top down parsing cannot handle either

Top down parsing does not handle left recursion

• In general, any CFG can be re-written without left recursion

```
Fee ::= Fee "a"
| "b"
```

What does this grammar describe?

The grammar can be rewritten as

```
Fee ::= Fee "a"
| "b"
```

In general, A and B can be any sequence of non-terminals and terminals

```
Fee ::= Fee A

| B

Fee ::= B Fee2

| Fee2 ::= A Fee2

| ""
```

Lets do this one as an example:

```
Fee ::= B Fee2

| Fee ::= B Fee2
| Fee2 ::= A Fee2
| ""
```

```
A = ?
B = ?
```

Lets do this one as an example:

```
A = Op Unit
B = Unit
```

Lets do this one as an example:

```
root = start symbol;
focus = root;
push (None);
to match = s.token();
while (true):
  if (focus is a nonterminal)
    pick next rule (A ::= B1, B2, B3...BN);
    push (BN... B3, B2);
    focus = B1
  else if (focus == to match)
    to match = s.token()
    focus = pop()
  else if (to match == None and focus == None)
    Accept
  Variable
                      Value
```

focus	
to_match	
s.istring	
stack	

1:	Expr	::= Unit Expr2
2:	Expr2	::= Op Unit Expr2
3:		""
4:	Unit	::= '(' Expr ')'
5:		ID
6:	Ор	::= \+'
7:		\ * /

Sentential Form
Expr

```
root = start symbol;
focus = root;
                                               How to handle
push (None);
                                               this case?
to match = s.token();
while (true):
  if (focus is a nonterminal)
    pick next rule (A ::= B1,B2,B3...BN);
    push (BN... B3, B2);
    focus = B1
  else if (focus == to match)
    to match = s.token()
    focus = pop()
  else if (to match == None and focus == None)
    Accept
  Variable
                       Value
  focus
  to_match
  s.istring
```

stack

1:	Expr	::=	Unit	Exp	r2
2:	Expr2	::=	Op U	Jnit	Expr2
3:		""			
4:	Unit	::=	'('	Expr	`)'
5 :			ID		
6 :	Ор	::=	\ +'		
7:		1	1 * /		

Expanded Rule	Sentential Form
start	Expr

```
root = start symbol;
focus = root;
                                               How to handle
push (None);
                                               this case?
to match = s.token();
while (true):
  if (focus is a nonterminal)
    pick next rule (A ::= B1, B2, B3...BN);
   if A == "": focus=pop(); continue;
    push (BN... B3, B2);
    focus = B1
  else if (focus == to match)
    to match = s.token()
    focus = pop()
  else if (to_match == None and focus == None)
    Accept
 Variable
                      Value
 focus
 to_match
 s.istring
```

stack

1:	Expr	::=	Unit	Exp	or2
2:	Expr2	::=	Op (Jnit	Expr2
3:		""	,		
4:	Unit	::=	`(`	Expr	î ')'
5 :			ID		
6:	Op	::=	\ +'		
7:		1	\ * /		

Expanded Rule	Sentential Form
start	Expr

direct left recursion

indirect left recursion

Top down parsing cannot handle either

Identify indirect left left recursion

$$Expr_base \rightarrow_{lhs} Expr_op \rightarrow_{lhs} Expr_base$$

Identify indirect left left recursion

$$Expr_base \rightarrow_{lhs} Expr_op \rightarrow_{lhs} Expr_base$$

Substitute indirect non-terminal closer to initial non-terminal

```
1: Expr base ::= Unit
                                    1: Expr base ::= Unit
  | Expr op
                                        | <mark>Expr base</mark> Op Unit
3: Expr_op ::= Expr_base Op Unit
                                    3: Expr op ::= Expr base Op Unit
4: Unit ::= '(' Expr_base ')'
                                    4: Unit ::= '(' Expr base ')'
5:
          l ID
                                    5:
                                                   ΙD
6: Op ::= '+'
                                    6: Op ::= '+'
7:
                                    7:
                                                   1 * /
```

Identify indirect left left recursion

What to do with production rule 3?

$$Expr_base \rightarrow_{lhs} Expr_op \rightarrow_{lhs} Expr_base$$

Substitute indirect non-terminal closer to initial non-terminal

```
1: Expr base ::= Unit
                                      1: Expr base ::= Unit
               Expr op
                                                      Expr base Op Unit
3: Expr_op ::= Expr_base Op Unit
                                      3: Expr op ::= Expr base Op Unit
4: Unit ::= '(' Expr_base ')'
                                      4: Unit ::= '(' Expr base ')'
5:
               ID
                                      5:
6: Op ::= '+'
                                      6: Op ::= '+'
7:
                                      7:
                                                      1 * /
```

Identify indirect left left recursion

What to do with production rule 3? It may need to stay if another production rule references it!

$$Expr_base \rightarrow_{lhs} Expr_op \rightarrow_{lhs} Expr_base$$

Substitute indirect non-terminal closer to initial non-terminal

It is always possible to eliminate left recursion

```
root = start symbol;
focus = root;
push (None);
to match = s.token();
                                        Keep track of what
while (true):
                                        choices we've done
  if (focus is a nonterminal)
    cache state();
   pick next rule (A ::= B1,B2,B3...BN);
    if B1 == "": focus=pop(); continue;
    push (BN... B3, B2);
    focus = B1
 else if (to match == None and focus == None)
    Accept
  else if (focus == to match)
    to match = s.token()
    focus = pop()
  else if (we have a cached state)
    backtrack();
  else
    parser error()
```

1:	Expr	::=	ID	Expr2
2:	Expr2	::=	\+'	Expr2
			// //	

Can we match: "a"?

Sentential Form
Expr

Backtracking gets complicated...

- Do we need to backtrack?
 - In the general case, yes
 - In many useful cases, no

```
root = start symbol;
focus = root;
push (None);
to match = s.token();
while (true):
  if (focus is a nonterminal)
   pick next rule (A ::= B1,B2,B3...BN);
    if B1 == "": focus=pop(); continue;
    push (BN... B3, B2);
    focus = B1
  else if (focus == to match)
    to match = s.token()
    focus = pop()
  else if (to match == None and focus == None)
    Accept
 Variable
                      Value
 focus
                      Expr2
                      None
 to_match
                      w
 s.istring
```

None

stack

1:	Expr	::=	ID 1	Expr2
2:	Expr2	::=	\+'	Expr2
3:			// //	

Could we make a smarter choice here?

Can we match: "a"?

Expanded Rule	Sentential Form
start	Expr
1	ID <mark>Expr2</mark>

The First Set

For each production choice, find the set of tokens that each production can start with

```
First sets:
                                      1: {}
1: Expr ::= Unit Expr2
2: Expr2 ::= Op Unit Expr2
                                      2: {}
3:
           \\ //
                                      3: {}
4: Unit ::= '(' Expr ')'
5:
                                       5: {}
               ID
                                       6: {}
6: Op ::= '+'
7:
              1 * /
                                      7: {}
```

The First Set

```
For each production choice, find the set of tokens that each production can start with
```

```
First sets:
1: {'(', ID}
2: {'+', '*'}
3: {""}
4: {'(')
5: {ID}
6: {'+'}
7: {'*'}
```

We can use first sets to decide which rule to pick!

```
root = start symbol;
focus = root;
push (None);
to match = s.token();
while (true):
  if (focus is a nonterminal)
    pick next rule (A ::= B1, B2, B3...BN);
    push (BN... B3, B2);
    focus = B1
  else if (focus == to match)
    to match = s.token()
    focus = pop()
  else if (to match == None and focus == None)
   Accept
```

Variable

Value

focus	
to_match	
s.istring	
stack	

```
1: Expr ::= Unit Expr2
2: Expr2 ::= Op Unit Expr2
3:
4: Unit ::= '(' Expr ')'
5: I
            ΙD
6: Op ::= '+'
7: | \*/
First sets:
1: { '(', ID}
2: { '+', '*'}
3: {\"\"}
4: { '(')
5: {ID}
6: { '+' }
7: { \*'}
```

We simply use to_match and compare it to the first sets for each choice

For example, Op and Unit

The Follow Set

Rules with "" in their First set need special attention

We need to find the tokens that any string that follows the production can start with.

The Follow Set

Rules with "" in their First set need special attention

We need to find the tokens that any string that follows the production can start with.

The First+ Set

The First+ set is the combination of First and Follow sets

Do we need backtracking?

The First+ set is the combination of First and Follow sets

For each non-terminal: if every production has a disjoint First+ set then we do not need any backtracking!

Do we need backtracking?

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For each non-terminal: if every production has a disjoint First+ set then we do not need any backtracking!

Do we need backtracking?

The First+ set is the combination of First and Follow sets

```
First+ sets:

1: {'(', ID}

2: {'+', '*'}

3: {None, ')'}

4: {'(')}

5: {ID}

6: {'+'}

7: {'*'}
```

These grammars are called LL(1)

- L scanning the input left to right
- L left derivation
- 1 how many look ahead symbols

They are also called predictive grammars

Many programming languages are LL(1)

For each non-terminal: if every production has a disjoint First+ set then we do not need any backtracking!

We cannot select the next rule based on a single look ahead token!

We can refactor

We can refactor

// We will need to compute the follow set

It is not always possible to rewrite grammars into a predictive form, but many programming languages can be.

We can refactor

// We will need to compute the follow set

We now have a full top-down parsing algorithm!

```
root = start symbol;
focus = root;
push (None);
to match = s.token();
while (true):
  if (focus is a nonterminal)
    pick next rule (A ::= B1, B2, B3...BN);
    push (BN... B3, B2);
    focus = B1
  else if (focus == to match)
    to match = s.token()
    focus = pop()
```

```
First+ sets:
1: {'(', ID}
2: {'+', '*'}
3: {None, ')'}
4: {'('}
5: {ID}
6: {'+'}
7: {'*'}
```

First+ sets for each production rule

input grammar, refactored to remove left recursion

```
else if (to_match == None and focus == None)
   Accept
```

To pick the next rule, compare to match with the possible first+ sets. Pick the rule whose first+ set contains to match.

If there is no such rule then it is a parsing error.

Moving on to a simpler implementation:

Recursive Descent Parser

How do we parse an Expr?

How do we parse an Expr?
We parse a Unit followed by an Expr2

How do we parse an Expr?
We parse a Unit followed by an Expr2

We can just write exactly that!

```
def parse_Expr(self):
          self.parse_Unit();
          self.parse_Expr2();
          return
```

How do we parse an Expr2?

```
3: ""
4: Unit ::= '(' Expr ')'
5:
              ID
6: Op ::= '+'
First+ sets:
1: {'(', ID}
2: { '+', '*'}
3: {None, ')'}
4: { '(')
5: {ID}
6: { '+'}
7: { '*'}
```

1: Expr ::= Unit Expr2

2: Expr2 ::= Op Unit Expr2

How do we parse an Expr2?

```
1: Expr ::= Unit Expr2
                                                         How do we parse an Expr2?
2: Expr2 ::= Op Unit Expr2
            | \\ //
3:
4: Unit ::= '(' Expr ')'
5:
                  ID
6: Op ::= '+'
                              def parse Expr2(self):
7:
                 \ * /
                                  token id = get token id(self.to match)
                                  # Expr2 ::= Op Unit Expr2
                                  if token id in ["PLUS", "MULT"]:
                                     self.parse Op()
First+ sets:
                                     self.parse Unit()
1: { '(', ID}
                                     self.parse_Expr2()
                                     return
2: { '+', '*'}
                                     # Expr2 ::= ""
3: {None, ')'}
                                  if token_id in [None, "RPAR"]:
4: { '(')
                                     return
5: {ID}
                                  raise ParserException(-1,
                                                                              # line number (for you to do)
6: { \+'}
                                                     self.to_match,
                                                                              # observed token
                                                      ["PLUS", "MULT", "RPAR"])
7: { \*/ }
                                                                              # expected token
```

How do we parse a Unit?

```
First+ sets:
1: {'(', ID}
2: {'+', '*'}
3: {None, ')'}
4: {'(')}
5: {ID}
6: {'+'}
7: {'*'}
```

```
1: Expr ::= Unit Expr2
                                                         How do we parse a Unit?
2: Expr2 ::= Op Unit Expr2
3:
              \\ //
4: Unit ::= '(' Expr ')'
5:
                                     def parse Unit(self):
6: Op
7:
                 1 * /
                                         token id = get token id(self.to match)
                                         # Unit ::= '(' Expr ')'
                                         if token id == "LPAR":
                                            self.eat("LPAR")
                                            self.parse Expr()
First+ sets:
                                            self.eat("RPAR")
1: { '(', ID}
                                            return
2: { '+', '*'}
                                        # Unit :: = ID
                                         if token id == "ID":
3: {None, ')'}
                                            self.eat("ID")
4: { '(')
                                            return
5: {ID}
                                         raise ParserException(-1,
                                                                            # line number (for you to do)
6: { \+'}
                                                            self.to_match,
                                                                            # observed token
7: { \*/ }
                                                            ["LPAR", "ID"])
                                                                            # expected token
```

```
1: Expr ::= Unit Expr2
                                                           How do we parse a Unit?
2: Expr2 ::= Op Unit Expr2
3:
               \\ //
4: Unit ::= '(' Expr ')'
5:
                                     def parse Unit(self):
6: Op
7:
                  1 * /
                                         token id = get token id(self.to match)
                                         # Unit ::= '(' Expr ')'
                                                                         ensure that to_match has token ID of "LPAREN"
                                         if token id == "LPAR":
                                                                         and get the next token
                                            self.eat("LPAR")
                                             self.parse Expr()
First+ sets:
                                            self.eat("RPAR")
1: { '(', ID}
                                            return
2: { '+', '*'}
                                         # Unit :: = ID
                                         if token id == "ID":
3: {None, ')'}
                                             self.eat("ID")
4: { '(')
                                            return
5: {ID}
                                         raise ParserException(-1,
                                                                      # line number (for you to do)
6: { \+'}
                                                             self.to match, # observed token
7: { \*/ }
                                                              ["LPAR", "ID"])
                                                                             # expected token
```

How do we parse an Op?

```
First+ sets:
1: {'(', ID}
2: {'+', '*'}
3: {None, ')'}
4: {'(')}
5: {ID}
6: {'+'}
7: {'*'}
```

```
1: Expr ::= Unit Expr2
                                                       How do we parse an Op?
2: Expr2 ::= Op Unit Expr2
              \\ //
3:
4: Unit ::= '(' Expr ')'
5:
                  ID
6: Op ::= '+'
                                def parse_Op(self):
                                     token id = get token id(self.to match)
                                     # Op ::= '+'
                                     if token id == "PLUS":
First+ sets:
                                        self.eat("PLUS")
                                        return
1: { '(', ID}
2: { '+', '*'}
                                     # Op ::= '*'
                                     if token id == "MULT":
3: {None, ')'}
                                        self.eat("MULT")
4: { '(')
                                        return
5: {ID}
6: { '+'}
                                     raise ParserException(-1, # line number (for you to do)
                                                        self.to_match, # observed token
7: { \*/ }
                                                        ["MULT", "PLUS"]) # expected token
```