

Prevalence of different sleep problems in patients with respiratory diseases presented to a respiratory outdoor clinic: A Descriptive analysis

Author:

1. Arup Kumar Haldar (*Corresponding Author*) Mail: haldar.arup@yahoo.com . Institute of Sleep Sciences
2. Arpita Halder Chatterjee , Mail: drarpitachat@gmail.com . College of Medicine and Sagar Dutta Hospital, Kolkata

Abstract

Introduction: A patient with compromised respiratory system due to some diseases, may have disturbed sleep to a great extent. It has been proved in various community based epidemiologic studies. Though most of such studies available had concentrated on single respiratory disease like COPD or Asthma in the community. But such studies are hardly available for patients attending a respiratory clinic, where all respiratory ailments were evaluated with concurrent sleep problems. The present study is one such.

Methods: Total 163 patients were screened and among them 100 were selected as the study group. The patients were enquired with a standard questionnaire provided to them. In addition, they were given separate questionnaire as STOP BANG, Insomnia Severity Index Score, RLS questionnaire . Those persons with STOP BANG > 5, were also advised for a Polysomnography (PSG) , if not already done. Descriptive statistical analysis has been carried out in the present study.

Results: The present study is a cross-sectional depiction of relationships between various respiratory diseases and sleep problems. As per this study, most patients were asthmatic and commonest sleep problem was OSA. A subgroup analysis was done to determine the significance of difference of various parameters between the three groups of asthmatic patients, patients having COPD and the 'Other' group.

Discussion: Subjective sleep problems were significantly more in Asthma group than COPD group ($p < 0.0362$). The mean STOP BANG was more in COPD group than the Asthma group ($p < 0.0301$). Though OSA was the commonest sleep problem between the three groups, but the prevalence was not statistically significant between them. More patients in the COPD group had insomnia, but it was not statistically significantly more than in Asthma group. Sleeping pill use was significantly more in COPD group than the Asthma group ($p < 0.0039$).

Conclusion: Sleep problems are common in patients with respiratory diseases and OSA is the most common problem according to the present study. Asthma patients had more subjective sleep problems. Sleeping pill use is more common in COPD patients instead of having less subjective sleep complaints than asthma patients.

Introduction

The quality and quantity of sleep are the determinants of quality of life. But performance of respiratory system is not at its best during sleep. So a patient with compromised respiratory system due to some diseases, may have disturbed sleep to a great extent. In the Tuscan epidemiologic study it was found that 53% of patients with COPD experience difficulties in initiating or maintaining sleep and 26% complaints of excessive daytime sleepiness (EDS), compared to 36% and 11%, respectively, in age matched controls having no respiratory diseases [1]. Similarly Asthma patients are also prone to various sleep problems. In an analysis of 225 patients from the SARP Cohort, 40% of severe Asthmatic complaints of EDS, and 31% had a elevated Epworth Sleepiness Score (EPSS) [2]. In spite of such wide prevalence of sleep problems in Respiratory patients we often do not take the sleep history from the patient. In 2013, Price et al showed that, although 78% patients reported nighttime symptoms, only 67% of clinicians reported that their patients were bothered at night [3]. In view of all these, the present study was done in a Respiratory outdoor clinic to evaluate different sleep problems in a small group of patients presenting with various respiratory ailments.

Methods

The study was carried out in 2019 in a Respiratory Clinic in Kolkata (February to June). All patients coming for some respiratory consultations were requested to provide the data required for the study. The inclusion criteria were-

1. Adult patients > 18 Years
2. Not terminally ill
3. Follow up data available for atleast 6 months

The patients were enquired with a standard questionnaire provided to them. In addition, they were given separate questionnaire as STOP BANG [4], Insomnia Severity Index Score [5], RLS questionnaire [6]. Those persons with STOP BANG > 5, were also advised for a Polysomnography (PSG) [7], if not already done. Only those patients having a PSG documented for OSA presence were tabulated as confirmed OSA.

Total 163 patients were screened and among them 100 were selected as the study group, for whom we are able to gather all the required informations. Descriptive statistical analysis has been carried out in the present study. Results on continuous measurements are presented on Mean \pm SD and results on categorical measurements are presented in Number (%). Significance is assessed at a level of 5%. Statistical software: MedCalc Software [8].

Results

The total number of patients in this study was 100. The distribution of patients according to the diagnosis were asthma =45, COPD =24, Tuberculosis =4, acute bronchitis=2, Respiratory tract infection= 20, lung cancer=1, interstitial lung disease =2, bronchiectasis=1, GERD=1. The most common diagnosis in this population was asthma, then followed by COPD, followed by acute respiratory tract infections. The characteristics of each of these group is

given in Table 1. Those patients not having either Asthma or COPD were clubbed together as ‘Other’ group.

Table 1

Parameter	Asthma	COPD	Other
% of patient	45%	24%	31%
Mean age in years(SD)	43.13 (19.73)	70.79 (9.55)	50(16.04)
Sex %	F= 55.55, M= 44.44	F=12.5, M= 87.5	F= 48.39, M= 51.61
Subjective sleep problem (n/%)	21 (46.67%)	5 (20.83%)	18 (58.06%)
Mean STOP BANG (SD)	2.71 (1.84)	3.75 (1.89)	3.87 (2.21)
Mean Mallampati (SD)	1.93 (0.94)	1.83 (1.049)	1.58 (0.886)
Mean BMI (SD)	27.19 (4.99)	26.74 (6.56)	27.38 (7.76)
OSA (n/%)	19 (42.22%)	15 (62.5%)	12 (38.71%)
Insomnia (n/%)	1 (2.22%)	2 (8.33%)	0
RLS (n/%)	1 (2.22%)	0	0
Sleeping pills (n/%)	2 (4.44%)	7 (29.166%)	3 (9.68%)

The above table is self explanatory. Now a subgroup analysis were done between these three groups to determine the level of significance of the observations between them. The Table 2 is related to that calculation.

Table2

Parameter	Asthma	COPD	Other	Difference	Standard error	95% CI	t statistics	Chi-squared	DF	P value
Mean age in years	43.13	70.79		27.66	4.28	19.11to 36.20	6.46		67	<0.001
Mean age in years	43.13		50.16	7.03	4.27	-1.49 to 15.55	1.644		74	0.1045
Subjective sleep problems	46.67%	20.83%		25.84%		1.88 to 44.21%		4.386	1	0.0362
Subjective sleep problems	46.67%		58.06 %	11.39%		-14.96 to 35.09%		0.657	1	0.4178
Mean STOP-BANG	2.71	3.75		1.040	0.469	0.103 to 1.977	2.215		67	0.0301
Mean STOP-BANG		3.75	3.87	-0.120	0.565	-1.25 to 1.01%	-0.212		53	0.8326
Mean STOP-BANG	2.71		3.87	-1.160	0.466	-2.089 to -0.231	-2.487		74	0.0151
Mean Mallampati	1.93	1.83		-0.100	0.247	-0.593 to 0.394	-0.404		67	0.6874
Mean Mallampati	1.93		1.58	-0.350	0.214	-0.777 to 0.077	-1.633		74	0.1068
Mean Mallampati		1.83	1.58	-0.250	0.261	-0.773 to 0.274	-0.958		53	0.3426
Mean BMI	27.19	26.74		-0.450	1.41	-3.26 to 2.36	-0.319		67	0.7506
Mean BMI	27.19		27.38	0.190	1.46	-2.72 to 3.10	0.130		74	0.8969
Mean BMI		26.74	27.38	0.64	1.97	-3.32 to 4.60	0.324		53	0.7472
OSA	42.22%	62.5%		20.28%		-4.24 to 41.32%		2.538	1	0.1111
OSA	42.22%		38.70 %	3.52%		-18.40 to 24.35%		0.093	1	0.760
OSA		62.5%	38.70 %	23.8%		-2.59% to 45.96%		3.010	1	0.0827
Insomnia	2.22%	8.33%		6.11%		-5 to 23.72%		1.385	1	0.2392

Sleeping pills	4.44%	29.16%		24.72%		7.089 to 44.977%		8.313	1	0.0039
Sleeping pills	4.44%		9.68%	5.24%		-6.92% to 20.799%		0.809	1	0.3683
Sleeping pills		29.16%	9.68%	19.48%		-1.37 to 40.459%		3.388	1	0.0657

The observations which achieved the level of significance are written in bold.

Discussion

The present study is a cross-sectional depiction of relationships between various respiratory diseases and sleep problems. As per Table 1, most patients were asthmatic and commonest sleep problem was OSA. A subgroup analysis was done in Table 2 to determine the significance of difference of various parameters between the three groups of asthmatic patients, patients having COPD and the 'Other' group.

As per the result the mean ages of Asthma and COPD patients were significantly different, as expected. Subjective sleep problems were significantly more in Asthma group than COPD group. The mean STOP BANG was more in COPD group than the Asthma group. It may be explained by age related propensity to develop OSA [9]. Mean STOP BANG was also significantly more in 'Other' group than Asthma group, which is difficult to explain as heterogeneity of age in 'Other' group. The difference between MALLAMPATI and BMI were not significant in between the groups. Though OSA was the commonest sleep problem between the three groups, but the prevalence was not statistically significant between them. More patients in the COPD group had insomnia, but it was not statistically significantly more than in Asthma group. Sleeping pill use significantly more in COPD group than the Asthma group.

If results are pulled together, this study shows-

1. The commonest sleep problem in Respiratory practice is OSA
2. Subjective sleep problems are more in Asthma patients.
3. The COPD group has a increased STOP BANG, though the prevalence of OSA was not more than Asthma group or from 'Other' respiratory patients. This may be related to a Subjective error in calculation of STOP BANG, or may be due to increased comorbidities, like Hypertension with increasing age.
4. Though the incidence of insomnia was not statistically more in COPD, still the use of sleeping pill was significantly more in COPD, inspite of having lesser number of subjective sleep complaints than Asthma patients. It is difficult to ascertain whether this is due to the effects of sleeping pills or not.

Sleep problems in respiratory patients are very common and large community based epidemiologic studies are available. But such studies are lacking based on a respiratory clinic.

Most of such studies available had concentrated on single respiratory disease like COPD or Asthma. Few of such studies in COPD had reported a wide prevalence of different sleep problems as follows- : RLS 29-36% patients [10-12], overlap syndrome or comorbid OSA, 11-16% patients [13], Insomnia 27-53% patients [14], in patients with moderate-to-severe

COPD. Though based on these studies insomnia or RLS were quite prevalent in COPD patients, but the present study was unable to detect such relationship. It may be due to subjective measure of RLS or Insomnia, which are always subjected to bias. Still the present study revealed a good number of COPD patients use sleeping pills, probably to control their sleep problems. Such therapy may be dangerous in subgroup of COPD patients with nocturnal or daytime hypoventilation [15]. The weakness of this study was a low sample size. Beside that the results could not be extrapolated to general population as a special group of people were screened, who presented to respiratory clinic. We need a prospective cohort study for definite evaluation of association between various respiratory diseases and sleep problems in them.

Conclusion

Sleep problems are common in patients with respiratory diseases and OSA is the most common problem according to the present study. Asthma patients had more subjective sleep problems. Sleeping pill use is more common in COPD patients instead of having less subjective sleep complaints than asthma patients. All respiratory physician must include a sleep history for evaluation of such problems and their remedy.

References :

1. Mary E. Klink, Russell Dodge, Stuart F. Quan, The Relation of Sleep Complaints to Respiratory Symptoms in a General Population, Chest, Volume 105, Issue 1, 1994, Pages 151-154, ISSN 0012-3692, <https://doi.org/10.1378/chest.105.1.151>. (<https://www.sciencedirect.com/science/article/pii/S0012369215438358>)
2. Mihaela Teodorescu, Oleg Broymann, Douglas Curran-Everett, Ronald L. Sorkness, Gina Crisafi, Eugene R. Bleecker, Serpil Erzurm, Benjamin M. Gaston, Sally E. Wenzel, Nizar N. Jarjour, Obstructive Sleep Apnea Risk, Asthma Burden, and Lower Airway Inflammation in Adults in the Severe Asthma Research Program (SARP) II, The Journal of Allergy and Clinical Immunology: In Practice, Volume 3, Issue 4, 2015, Pages 566-575.e1, ISSN 2213-2198, <https://doi.org/10.1016/j.jaip.2015.04.002>. (<https://www.sciencedirect.com/science/article/pii/S2213219815001762>)
3. Price D, Small M, Milligan G, Higgins V, Gil EG, Estruch J. The prevalence and impact of night-time symptoms in COPD-a real-world study in five European countries. Int J Chron Obstruct Pulmon Dis. 2013;8:595-603.
4. Frances Chung, Hairil R. Abdullah, Pu Liao, STOP-Bang Questionnaire: A Practical Approach to Screen for Obstructive Sleep Apnea, Chest, Volume 149, Issue 3, 2016, Pages 631-638, ISSN 0012-3692, <https://doi.org/10.1378/chest.15-0903>. (<https://www.sciencedirect.com/science/article/pii/S0012369215000185>)

5. Célyne H Bastien, Annie Vallières, Charles M Morin, Validation of the Insomnia Severity Index as an outcome measure for insomnia research, *Sleep Medicine*, Volume 2, Issue 4, 2001, Pages 297-307, ISSN 1389-9457, [https://doi.org/10.1016/S1389-9457\(00\)00065-4](https://doi.org/10.1016/S1389-9457(00)00065-4).
(<https://www.sciencedirect.com/science/article/pii/S1389945700000654>)

6. Richard P. Allen, Brendan J. Burchell, Ben MacDonald, Wayne A. Hening, Christopher J. Earley, Validation of the self-completed Cambridge-Hopkins questionnaire (CH-RLSq) for ascertainment of restless legs syndrome (RLS) in a population survey, *Sleep Medicine*, Volume 10, Issue 10, 2009, Pages 1097-1100, ISSN 1389-9457, <https://doi.org/10.1016/j.sleep.2008.10.007>.
(<https://www.sciencedirect.com/science/article/pii/S1389945708003535>)

7. N.J Douglas, S Thomas, M.A Jan, Clinical value of polysomnography, *The Lancet*, Volume 339, Issue 8789, 1992, Pages 347-350, ISSN 0140-6736, [https://doi.org/10.1016/0140-6736\(92\)91660-Z](https://doi.org/10.1016/0140-6736(92)91660-Z).
(<https://www.sciencedirect.com/science/article/pii/014067369291660Z>)

8. MedCalc Software Ltd. Comparison of means calculator.
https://www.medcalc.org/calc/comparison_of_means.php (Version 20.006; accessed June 9, 2021)

9. Janssens, JP., Pautex, S., Hilleret, H. *et al.* Sleep disordered breathing in the elderly. *Aging Clin Exp Res* **12**, 417–429 (2000).
<https://doi.org/10.1007/BF03339872>)

10. Dipti Gothi. Sleep disorders in chronic obstructive pulmonary disease, *Indian J Sleep Med* 2015; 10.1, 11-21, DOI No:10.5958/0974-0155.2015.00002.9

11. Lo Coco D, Mattaliano A, Lo Coco A, Randisi B. Increased frequency of restless legs syndrome in chronic obstructive pulmonary disease patients. *Sleep Med.* 2009;10:572-576.

12. Cavalcante AG, de Bruin PF, de Bruin VM, et al. Restless legs syndrome, sleep impairment, and fatigue in chronic obstructive pulmonary disease. *Sleep Med.* 2012;13: 842-847

13. Budhiraja R, Parthasarathy S, Budhiraja P, Habib MP, Wendel C, Quan SF. Insomnia in patients with COPD. *Sleep.* 2012;35:369-75

14. Lacasse Y, Sériès F, Vujovic-Zotovic N, et al. Evaluating nocturnal oxygen desaturation in COPD revised. *Respir Med.* 2011;105:1331-1337.

15. George, C.F., Bayliff, C.D. Management of Insomnia in Patients With Chronic Obstructive Pulmonary Disease. *Drugs* **63**, 379–387 (2003).
<https://doi.org/10.2165/00003495-200363040-00004>)



Institutional Ethics Committee
College of Medicine and Sagar Dutta Hospital
 578, B T Road, Kolkata – 700058
 REGISTRATION NO. ECR/1210/INST/WB/2019

Memo no. CMSDH/IEC/159/01-2020

Date: 11.01.2020

To

Dr Arup Halder, MD (TB & Respiratory Medicine), DCH,
 Fellowship in Epidemiology & Biostatistics,
 Consultant,
 Woodlands Hospital, Kolkata.

A meeting of the Institutional Ethics Committee (IEC), College of Medicine and Sagore Dutta Hospital (CMSDH) was held on 11.01.2020 at 11 am in the Conference room, 2nd Floor, Academic Building, College of Medicine and Sagar Dutta Hospital. In this meeting, the research proposal titled '**Demographic Distributions, Sleep Characteristics, Respiratory Features of Suspected Obstructive Sleep Apnoea (OSA) in Two Sleep Centers in Kolkata with the Help of Polysomnography (PSG): A Secondary Data Based Retrospective Study**' of which you are the Principal Investigator and and **Dr Arpita Halder (Chatterjee)**, MD (Anatomy), Assistant Professor, Department of Anatomy, College of Medicine and Sagore Dutta Hospital, Kamarhati, Kolkata is the Co-PI; was reviewed.

The following additional documents submitted by you were also reviewed:-

- Informed consent document & form in English
- Informed consent document & form in Hindi
- Informed consent document & form in Bengali

After deliberations and review the committee thinks that methodology of the project conforms to the ethical principles, institutional rules and regulations. So the project is **APPROVED** by the committee.

The list of IEC members present in the meeting on 11.01.2020 are appended herewith:-

1. Prof. Mrityunjay Mukherjee, Professor, General Surgery, KPC Medical College & Hospital, Kolkata. – Chairman.
2. Prof. Parag Baran Pal, Professor & HOD, FMT, CMSDH, Kolkata. – Member-Secretary.
3. Swami Kamalasthananda, Principal (Actg), Ramkrishna Mission Vivekananda Centenary College, Rahara, Kolkata-700118. –Philosopher/ Ethicist/ Theologian.
4. Mr. Bikram Singh, PP-In-Charge, Barrackpore Sessions Court. – Legal person.
5. Mr. Swapan Biswas, Clinical Researcher, Jadavpur University. – Scientific Member.
6. Mrs. Soumya Bhattacharya, Social Worker and Singer – Social worker.
7. Mr. Subhash Guptabhaya, Retd Govt. Officer, Audit & Accounts, West Bengal. – Lay person.
8. Prof. Goutam Kumar Joardar, Prof & HOD, Community Medicine, KPC Medical College & Hospital, Kolkata. – Clinician.
9. Prof. Ranendra Kumar Roy, Prof & HOD, Pharmacology, SRK Institute of Medical Sciences & Sanaka Hospital, Durgapur. – Basic Medical Scientist.
10. **Dr. Palash Das**, MSVP, CMSDH, Kolkata – Clinician.

It is placed on record that the opinion of the committee was not unanimous in this regard and required voting procedure. It was approved by majority.

The committee expects that any amendments of the Study protocol or any other relevant documents would be brought to its notice.

Date: 11.01.2020

Place: Kolkata

Parag Baran Pal
 11/01/2020

Prof. (Dr.) Parag Baran Pal

Convenor and Member-Secretary,

Institutional Ethics Committee

College of Medicine and Sagore Dutta Hospital

578, B T Road, Kolkata – 700058.

India.

Prof. (Dr.) Parag Baran Pal
 Convenor and Member- Secretary,
 Institutional Ethics Committee
 College of Medicine and Sagore Dutta Hospital
 578, B T Road, Kolkata-700058
 India.

M 11/01/2020

Prof. (Dr.) Mrityunjay Mukhopadhyay

Chairman,

Institutional Ethics Committee

College of Medicine and Sagore Dutta Hospital

578, B T Road, Kolkata – 700058.

India.

Prof. (Dr.) Mrityunjay Mukhopadhyay
 Institutional Ethics Committee
 College of Medicine and Sagore Dutta Hospital
 578, B.T. Road, Kolkata-700058
 India.