



# **MAT1071 MATHEMATICS I**

## **6.2. APPLICATIONS OF DERIVATIVES**

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# APPLICATIONS OF DERIVATIVES

1. Extreme Values of Functions
2. Monotonic Functions and the First Derivative Test
3. The Mean Value Theorem
4. Concavity
5. Asymptotes of Graphs
6. Curve Sketching

## 5. Asymptotes of Graphs

- ☆ Horizontal Asymptote
- ☆ Vertical Asymptote
- ☆ Oblique Asymptote

## ☆ Horizontal Asymptote

**DEFINITION** A line  $y = b$  is a **horizontal asymptote** of the graph of a function  $y = f(x)$  if either

$$\lim_{x \rightarrow \infty} f(x) = b \quad \text{or} \quad \lim_{x \rightarrow -\infty} f(x) = b.$$

**EXAMPLE**  $f(x) = \frac{5x^2 + 8x - 3}{3x^2 + 2}$

$$\lim_{x \rightarrow \infty} \frac{5x^2 + 8x - 3}{3x^2 + 2} = \frac{5}{3} \quad \text{or} \quad \lim_{x \rightarrow -\infty} f(x) = \frac{5}{3}$$

So  $y = \frac{5}{3}$  is horizontal asymptote for  $f(x)$

**EXAMPLE**

Find the horizontal asymptotes of the graph of

$$f(x) = \frac{x^3 - 2}{|x|^3 + 1}.$$

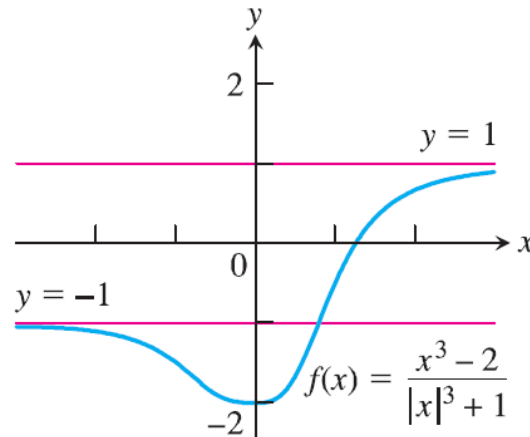
**Solution** We calculate the limits as  $x \rightarrow \pm\infty$ .

$$\text{For } x \geq 0: \lim_{x \rightarrow \infty} \frac{x^3 - 2}{|x|^3 + 1} = \lim_{x \rightarrow \infty} \frac{x^3 - 2}{x^3 + 1} = \lim_{x \rightarrow \infty} \frac{1 - (2/x^3)}{1 + (1/x^3)} = 1.$$

$$\text{For } x < 0: \lim_{x \rightarrow -\infty} \frac{x^3 - 2}{|x|^3 + 1} = \lim_{x \rightarrow -\infty} \frac{x^3 - 2}{(-x)^3 + 1} = \lim_{x \rightarrow -\infty} \frac{1 - (2/x^3)}{-1 + (1/x^3)} = -1.$$

The horizontal asymptotes are  $y = -1$  and  $y = 1$ .

Notice that the graph crosses the horizontal asymptote  $y = -1$  for a positive value of  $x$ .



### EXAMPLE

Find  $\lim_{x \rightarrow \infty} \sin(1/x)$

### Solution

We introduce the new variable  $t = 1/x$ . we know that  $t \rightarrow 0^+$  as  $x \rightarrow \infty$   
Therefore,

$$\lim_{x \rightarrow \infty} \sin \frac{1}{x} = \lim_{t \rightarrow 0^+} \sin t = 0.$$

we see that the line  $y = 0$  is a horizontal asymptote.

**EXAMPLE**

Find  $\lim_{x \rightarrow \pm\infty} x \sin(1/x)$ .

**Solution**

We calculate the limits as  $x \rightarrow \infty$  and  $x \rightarrow -\infty$ :

$$\lim_{x \rightarrow \infty} x \sin \frac{1}{x} = \lim_{t \rightarrow 0^+} \frac{\sin t}{t} = 1 \quad \text{and} \quad \lim_{x \rightarrow -\infty} x \sin \frac{1}{x} = \lim_{t \rightarrow 0^-} \frac{\sin t}{t} = 1.$$

we see that the line  $y = 1$  is a horizontal asymptote.



### EXAMPLE

Using the Sandwich Theorem, find the horizontal asymptote of the curve

$$y = 2 + \frac{\sin x}{x}.$$

### Solution

We are interested in the behavior as  $x \rightarrow \pm\infty$ . Since

$$0 \leq \left| \frac{\sin x}{x} \right| \leq \left| \frac{1}{x} \right|$$

and  $\lim_{x \rightarrow \pm\infty} |1/x| = 0$ , we have  $\lim_{x \rightarrow \pm\infty} (\sin x)/x = 0$  by the Sandwich Theorem. Hence,

$$\lim_{x \rightarrow \pm\infty} \left( 2 + \frac{\sin x}{x} \right) = 2 + 0 = 2,$$

and the line  $y = 2$  is a horizontal asymptote of the curve on both left and right

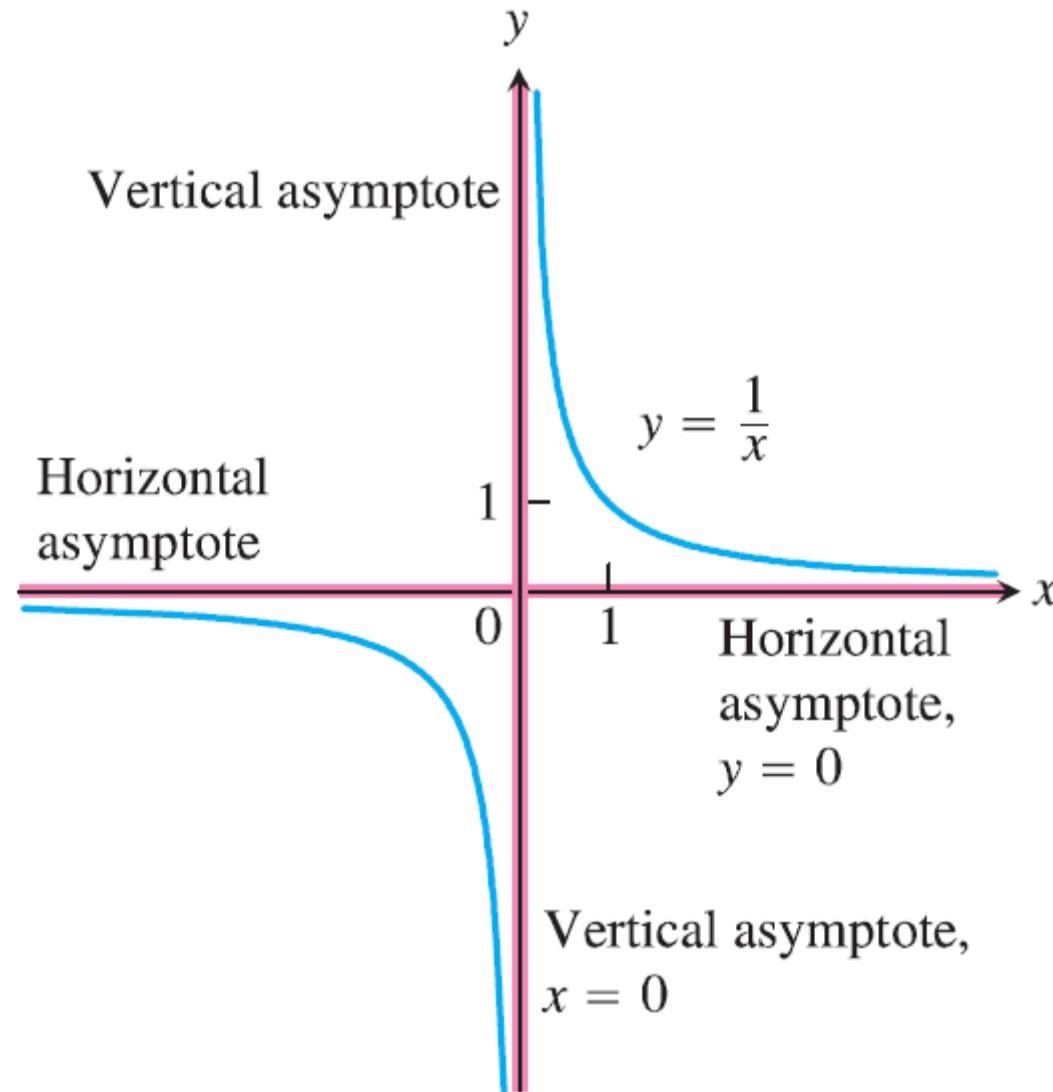
This example illustrates that a curve may cross one of its horizontal asymptotes many times. ■

## ☆ Vertical Asymptotes

**DEFINITION** A line  $x = a$  is a **vertical asymptote** of the graph of a function  $y = f(x)$  if either

$$\lim_{x \rightarrow a^+} f(x) = \pm\infty \quad \text{or} \quad \lim_{x \rightarrow a^-} f(x) = \pm\infty.$$

## EXAMPLE



## EXAMPLE

Find the horizontal and vertical asymptotes of the curve

$$y = \frac{x + 3}{x + 2}.$$

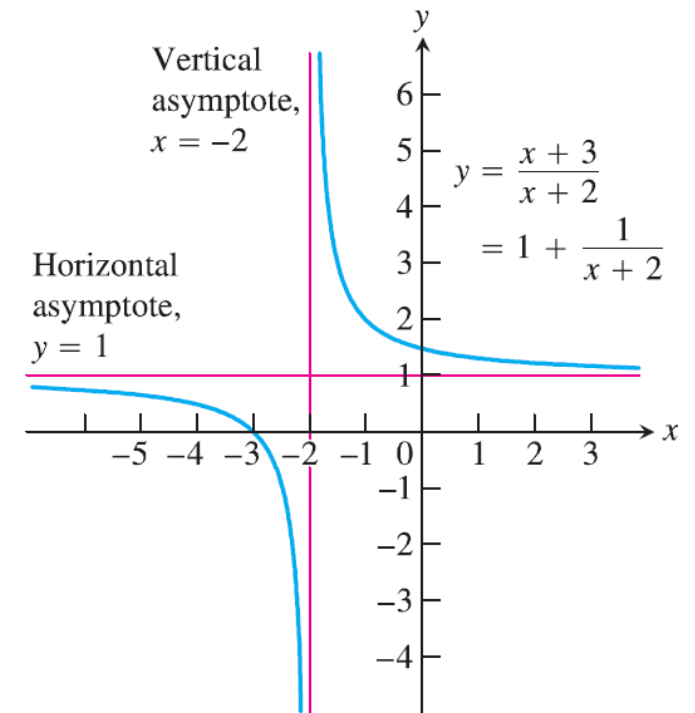
**Solution** We are interested in the behavior as  $x \rightarrow \pm\infty$  and the behavior as  $x \rightarrow -2$ , where the denominator is zero.

As  $x \rightarrow \pm\infty$ , the curve approaches the horizontal asymptote  $y = 1$ ;

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$$\lim_{x \rightarrow -2^+} \frac{x+3}{x+2} = +\infty$$
$$\lim_{x \rightarrow -2^-} \frac{x+3}{x+2} = -\infty$$

vertical asymptote  $x = -2$ .



### EXAMPLE

Find the horizontal and vertical asymptotes of the graph of

$$f(x) = -\frac{8}{x^2 - 4}.$$

### Solution

$y = \frac{-8}{x^2 - 4}$  Find Vertical asymptote  $x^2 - 4 = 0$   
 $x = \pm 2$

$\lim_{x \rightarrow 2^+} \frac{-8}{x^2 - 4} = -\infty$   
 $\lim_{x \rightarrow 2^-} \frac{-8}{x^2 - 4} = +\infty$  }  $x = 2$  vertical asymptote

$\lim_{x \rightarrow -2^+} \frac{-8}{x^2 - 4} = +\infty$   
 $\lim_{x \rightarrow -2^-} \frac{-8}{x^2 - 4} = -\infty$  }  $x = -2$  vertical asymptote

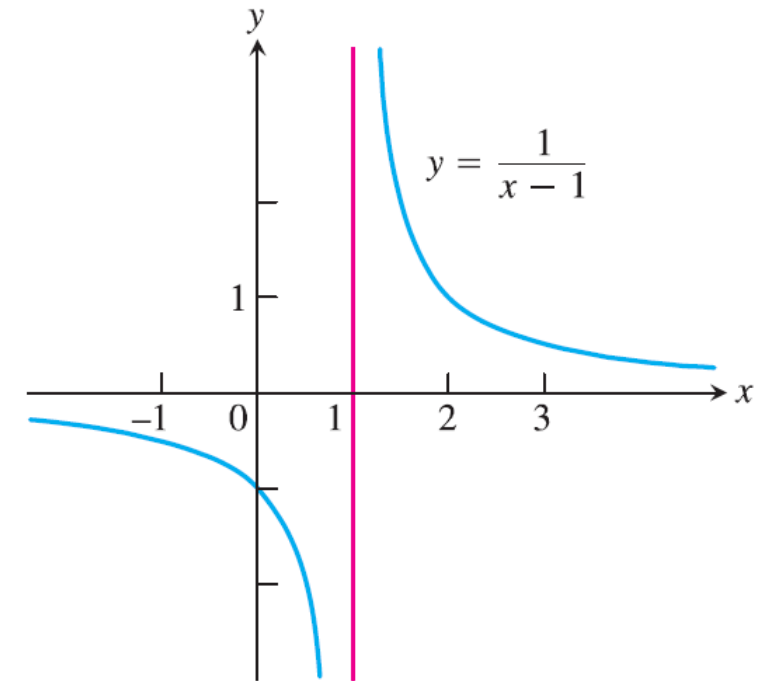
**EXAMPLE**

Find  $\lim_{x \rightarrow 1^+} \frac{1}{x-1}$  and  $\lim_{x \rightarrow 1^-} \frac{1}{x-1}$ .

**Solution**

$$\lim_{x \rightarrow 1^+} \frac{1}{x-1} = \infty \quad \text{vertical asymptote } x = 1$$

$$\lim_{x \rightarrow 1^-} \frac{1}{x-1} = -\infty \quad \text{vertical asymptote } x = 1$$



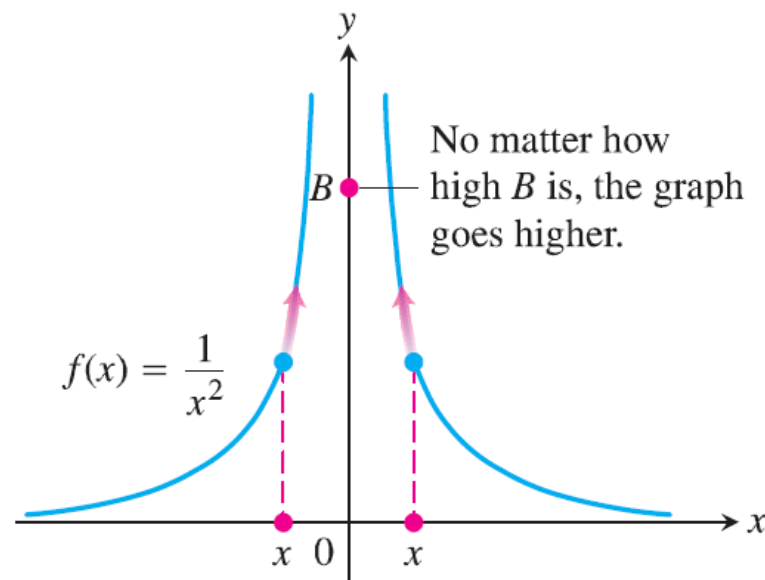
### EXAMPLE

Discuss the behavior of

$$f(x) = \frac{1}{x^2} \quad \text{as} \quad x \rightarrow 0.$$

**Solution** As  $x$  approaches zero from either side, the values of  $1/x^2$  are positive and become arbitrarily large. This means that

$$\lim_{x \rightarrow 0} f(x) = \lim_{x \rightarrow 0} \frac{1}{x^2} = \infty.$$



$$x = 0$$

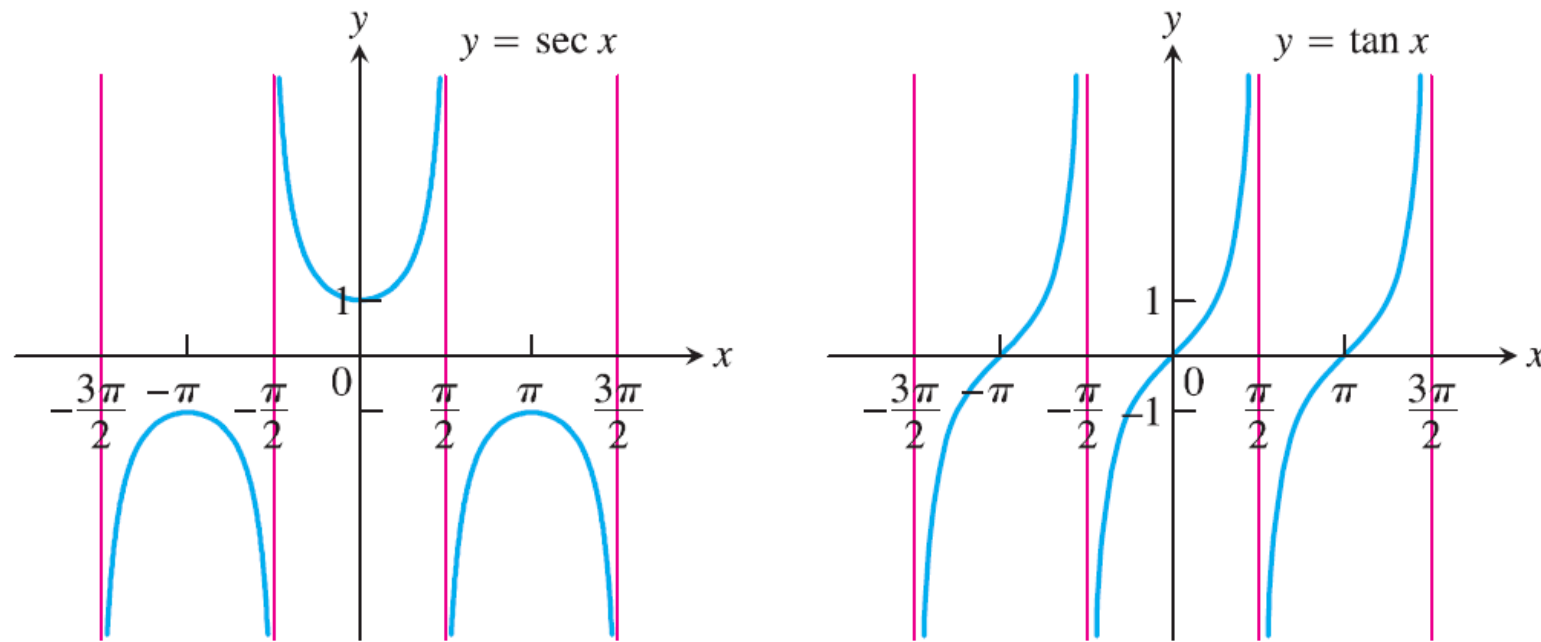
**vertical asymptote**

**EXAMPLE**

The curves

$$y = \sec x = \frac{1}{\cos x} \quad \text{and} \quad y = \tan x = \frac{\sin x}{\cos x}$$

both have vertical asymptotes at odd-integer multiples of  $\pi/2$ , where  $\cos x = 0$

**FIGURE**

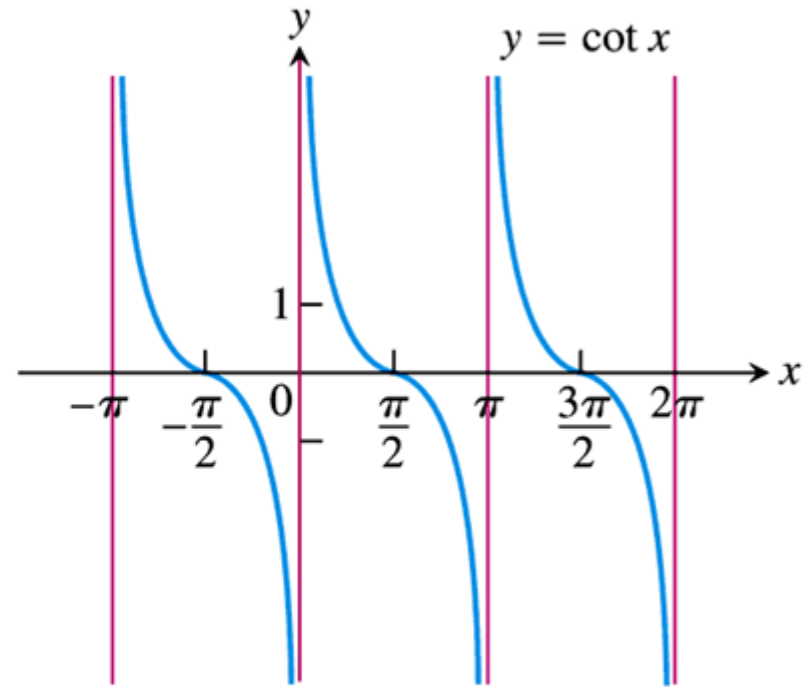
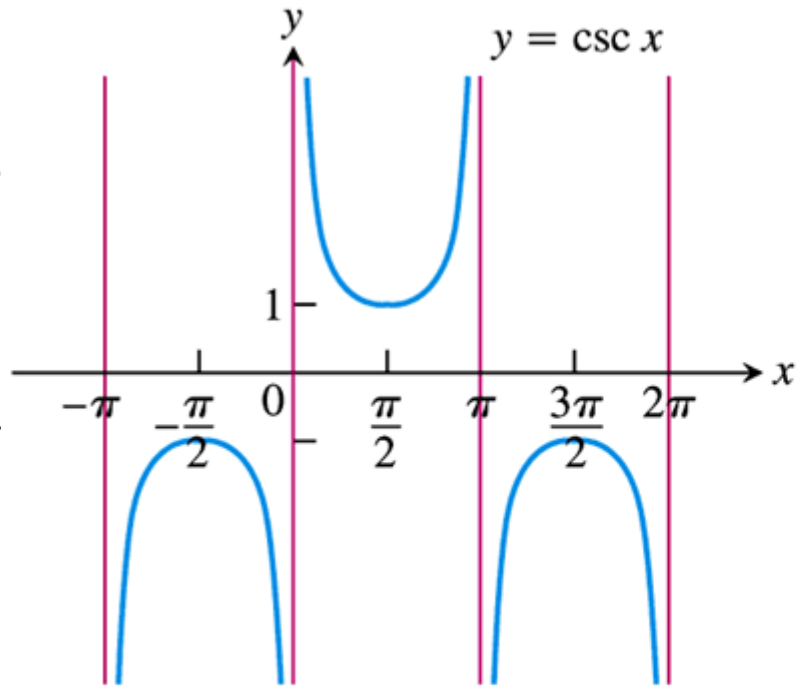
asymptotes

The graphs of  $\sec x$  and  $\tan x$  have infinitely many vertical



## EXAMPLE

infinitely many vertical asymptotes



FIGURE

The graphs of  $\csc x$  and  $\cot x$



## ★ Oblique Asymptotes

If the degree of the numerator of a rational function is 1 greater than the degree of the denominator, the graph has an **oblique** or **slant line asymptote**. We find an equation for the asymptote by dividing numerator by denominator to express  $f$  as a linear function plus a remainder that goes to zero as  $x \rightarrow \pm\infty$ .



Note: The oblique asymptote can be obtained by the equations:

$$m = \lim_{x \rightarrow \pm\infty} \frac{f(x)}{x} \quad \text{and} \quad n = \lim_{x \rightarrow \pm\infty} (f(x) - mx)$$

$$\boxed{y = mx + n}$$

**EXAMPLE** Find the oblique asymptote of the graph of

$$f(x) = \frac{x^2 - 3}{2x - 4}$$

**Solution** We are interested in the behavior as  $x \rightarrow \pm\infty$ . We divide  $(x^2 - 3)$  into  $(2x - 4)$ :

$$\begin{array}{r} \frac{x}{2} + 1 \\ 2x - 4 \overline{) x^2 - 3} \\ \underline{x^2 - 2x} \phantom{- 3} \\ 2x - 3 \\ \underline{2x - 4} \\ 1 \end{array}$$

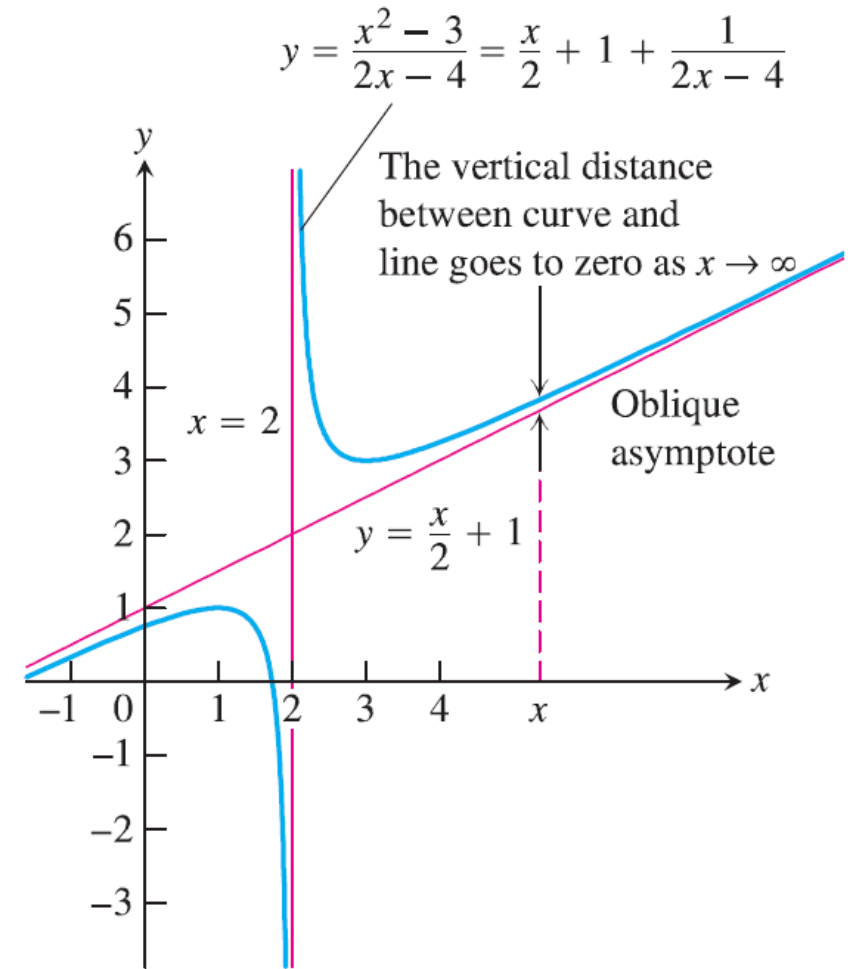
This tells us that

$$f(x) = \frac{x^2 - 3}{2x - 4} = \underbrace{\left(\frac{x}{2} + 1\right)}_{\text{linear } g(x)} + \underbrace{\left(\frac{1}{2x - 4}\right)}_{\text{remainder}}.$$

As  $x \rightarrow \pm\infty$ , the remainder, whose magnitude gives the vertical distance between the graphs of  $f$  and  $g$ , goes to zero, making the slanted line

$$g(x) = \frac{x}{2} + 1$$

Oblique  
asymptote



Second way

$$f(x) = \frac{x^2 - 3}{2x - 4}$$

$$m = \lim_{x \rightarrow \infty} \frac{\frac{x^2 - 3}{2x - 4}}{x} = \frac{1}{2}$$

$$n = \lim_{x \rightarrow \infty} \left( \frac{x^2 - 3}{2x - 4} - \frac{1}{2}x \right) = \lim_{x \rightarrow \infty} \frac{2x - 3}{2x - 4} = 1$$

$$y = mx + n = \frac{1}{2}x + 1$$

## EXAMPLE

$$f(x) = \sqrt{x^2 - 4x + 2}$$

### Solution

$$\lim_{x \rightarrow -\infty} \frac{\sqrt{x^2 - 4x + 2}}{x}$$

$$= \lim_{x \rightarrow -\infty} \frac{x \sqrt{1 - \frac{4}{x} + \frac{2}{x^2}}}{x} = -1$$

$$\text{and } \lim_{x \rightarrow -\infty} (\sqrt{x^2 - 4x + 2} + x) = 2$$

$y = -x + 2$   
oblique asym

$$\lim_{x \rightarrow \infty} \frac{f(x)}{x} = 1$$

$$n = \lim_{x \rightarrow \infty} (\sqrt{x^2 - 4x + 2} - x) = -2$$

$$\boxed{y = x - 2} \text{ oblique asym.}$$





## Asymptotes of a Rational Function

$$f(x) = \frac{P(x)}{Q(x)} = \frac{ax^m + \dots}{bx^n + \dots} \quad \begin{array}{l} \text{degree of } P(x) \rightarrow m \\ \text{degree of } Q(x) \rightarrow n \end{array}$$

a)  $f$  has a vertical asym. at the points where  $Q(x) = 0$ .

b) If  $m < n$ , then  $y = 0$  is horizontal asym.

c) If  $m = n$ , then  $y = L$  is horizontal asym.  $\left( \lim_{x \rightarrow \pm\infty} f(x) = L \right)$

$$L = \frac{a}{b}$$

d) If  $m = n + 1$ , then  $f$  has an oblique asym.

$$\begin{array}{r} P(x) \overline{) Q(x)} \\ \hline \text{oblique asym.} \end{array}$$

e) If  $m > n + 1$ , There is no oblique or horizontal asym

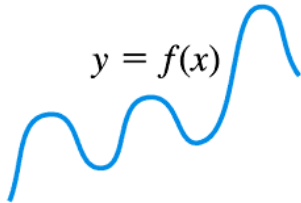
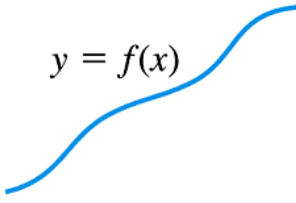
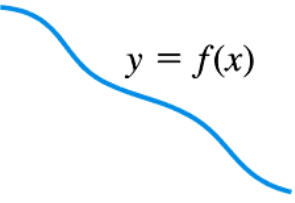
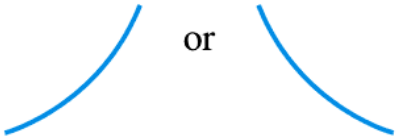
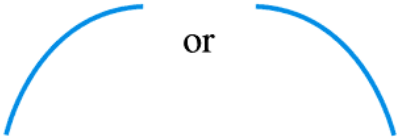
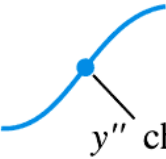
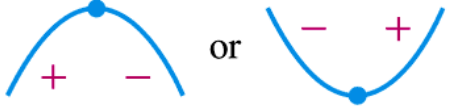


## 6. Curve Sketching

### Procedure for Graphing $y = f(x)$

1. Identify the domain of  $f$  and any symmetries the curve may have.
2. Find the intercepts
3. Identify any asymptotes that may exist
4. Find  $f'$ .  
Find the critical points of  $f$ , if any, and identify the function's behavior at each one. Find where the curve is increasing and where it is decreasing.
5. Find  $f''$ .  
Find the points of inflection, if any occur, and determine the concavity of the curve.
6. Construct the sign table for  $f'$  and  $f''$ .
7. Plot key points, such as the intercepts and the points found in Steps 2–5, and sketch the curve together with any asymptotes that exist.





 <p><math>y = f(x)</math></p> <p>Differentiable <math>\Rightarrow</math> smooth, connected; graph may rise and fall</p>	 <p><math>y = f(x)</math></p> <p><math>y' &gt; 0 \Rightarrow</math> rises from left to right; may be wavy</p>	 <p><math>y = f(x)</math></p> <p><math>y' &lt; 0 \Rightarrow</math> falls from left to right; may be wavy</p>
 <p>or</p> <p><math>y'' &gt; 0 \Rightarrow</math> concave up throughout; no waves; graph may rise or fall</p>	 <p>or</p> <p><math>y'' &lt; 0 \Rightarrow</math> concave down throughout; no waves; graph may rise or fall</p>	 <p><math>y''</math> changes sign</p> <p>Inflection point</p>
 <p>or</p> <p><math>y'</math> changes sign <math>\Rightarrow</math> graph has local maximum or local minimum</p>	 <p><math>y' = 0</math> and <math>y'' &lt; 0</math> at a point; graph has local maximum</p>	 <p><math>y' = 0</math> and <math>y'' &gt; 0</math> at a point; graph has local minimum</p>

**EXAMPLE**

Sketch the graph of the function  $f(x) = \frac{x}{x-1}$

**Solution**

**EXAMPLE**

Sketch the graph of the function  $f(x) = \frac{x}{x-1}$

**Solution**

**EXAMPLE** Sketch the graph of the function  $f(x) = \frac{x}{x-1}$

**Solution**

① Domain  $D_f = \mathbb{R} - \{1\}$   $f(-x) = \frac{-x}{-x-1} \neq f(x) \Rightarrow f$  is not even  
 $\neq -f(x) \Rightarrow f$  is not odd

no symmetries

② Intercepts  
 $x=0 \Rightarrow y=0$   
 $y=0 \Rightarrow x=0$   
 $(0,0)$   
 intercept point

③ Asymptotes

Horizontal asymptote

$\lim_{x \rightarrow \infty} \frac{x}{x-1} = 1$   
 $\lim_{x \rightarrow -\infty} \frac{x}{x-1} = 1$   
 $y=1$

Vertical asymptote

$\lim_{x \rightarrow 1^+} \frac{x}{x-1} = \infty$   
 $\lim_{x \rightarrow 1^-} \frac{x}{x-1} = -\infty$   
 $x=1$

④  $f'(x) = \frac{-1}{(x-1)^2}$  Critical points  $\rightarrow f' = 0 \Rightarrow$  no point  
 $\rightarrow f'$  is undefined  $\Rightarrow$   $x=1$   
two  
for

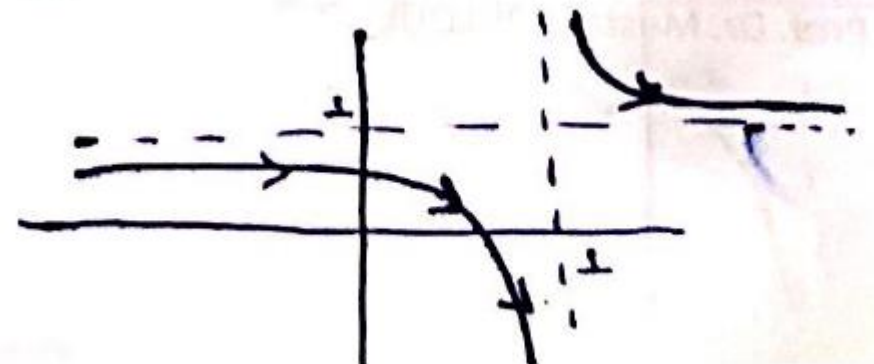
⑤  $f''(x) = \frac{2}{(x-1)^3}$   $\rightarrow f'' = 0 \Rightarrow$  no point  
 $\rightarrow f''$  is undefined  $\Rightarrow$   $x=1$

⑥

$x$	$-\infty$	$0$	$1$	$+\infty$
$f'$	$-$	$-$	$\bigcirc$	$-$
$f''$	$-$	$-$	$\bigcirc$	$+$

$-\infty$   $+\infty$   
 $\downarrow$   
 is not  
 inflection

Decreasing on  $(-\infty, 1) \cup (1, \infty)$   
 concave up on  $(1, \infty)$   
 concave down on  $(-\infty, 1)$



**EXAMPLE**

$$f(x) = \frac{8}{x^2 - 4}$$

Sketch the graph

**Solution**

**EXAMPLE**

$$f(x) = \frac{8}{x^2 - 4}$$

Sketch the graph

**Solution**



**EXAMPLE**  $f(x) = \frac{8}{x^2 - 4}$  Sketch the graph

**Solution**

① Domain  $A_f = \mathbb{R} - \{-2, +2\}$  sym.

② Intercept points  $x=0 \Rightarrow y=-2$   $(0, -2)$   
 $y=0 \Rightarrow$  no intersection on axis

③ Asymptotes

horizontal asymp.

$$\lim_{x \rightarrow \pm\infty} \frac{8}{x^2 - 4} = 0 \Rightarrow \boxed{y=0}$$

vertical asymptote

$$\lim_{x \rightarrow 2^+} f(x) = +\infty$$

$$\lim_{x \rightarrow 2^-} f(x) = -\infty$$

$$\boxed{x=2}$$

$$\lim_{x \rightarrow -2^+} f(x) = -\infty$$

$$\lim_{x \rightarrow -2^-} f(x) = +\infty$$

$$\boxed{x=-2}$$



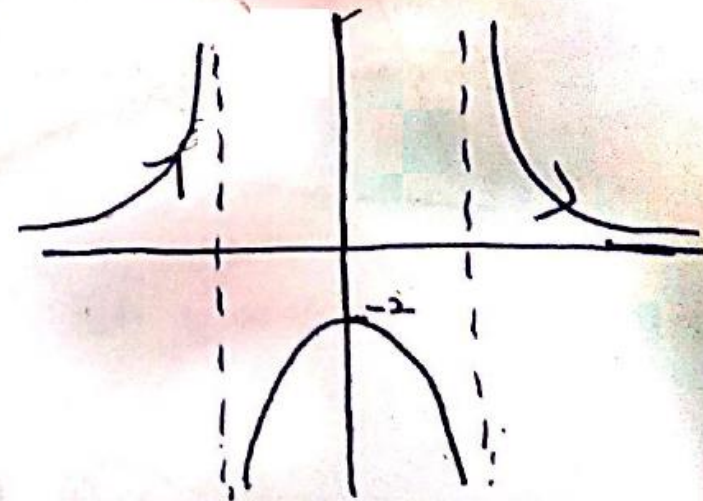
④  $f'(x) = \frac{-16x}{(x^2-4)^2}$  Critical points  $\rightarrow f'=0 \Rightarrow \boxed{x=0}$   
 $\rightarrow f'$  is undefined  $\Rightarrow \boxed{x=2}$  two fold  
 $\boxed{x=-2}$  two fold

⑤  $f''(x) = \frac{16(3x^2+4)}{(x^2-4)^3}$   $\rightarrow f''=0 \rightarrow$  no point  
 $\rightarrow f''$  is undefined  $\Rightarrow \boxed{x=2}$   
 $\boxed{x=-2}$

⑥

$x$	$-\infty$	$-2$	$0$	$2$	$+\infty$
$f'$		$\oplus$	$\oplus$	$\ominus$	$\ominus$
$f''$	$0$	$\oplus$	$\ominus$	$\oplus$	$\oplus$

$(-\infty, -2) \cup (-2, 0) \rightarrow$  increasing  
 $(0, 2) \cup (2, \infty) \rightarrow$  decreasing  
 $(-\infty, -2) \cup (2, \infty) \rightarrow$  concave up  
 $(-2, 0) \cup (0, 2) \rightarrow$  concave down



**HW:**

## Horizontal and Vertical Asymptotes

**47.** Use limits to determine the equations for all vertical asymptotes.

**a.**  $y = \frac{x^2 + 4}{x - 3}$

**b.**  $f(x) = \frac{x^2 - x - 2}{x^2 - 2x + 1}$

**48.** Use limits to determine the equations for all horizontal asymptotes.

**a.**  $y = \frac{1 - x^2}{x^2 + 1}$

**b.**  $f(x) = \frac{\sqrt{x} + 4}{\sqrt{x} + 4}$

**HW:**

## Oblique Asymptotes

$$31. y = \frac{2x^{3/2} + 2x - 3}{\sqrt{x} + 1}$$

$$99. y = \frac{x^2}{x - 1}$$

$$100. y = \frac{x^2 + 1}{x - 1}$$

## HW:

### Graphing Equations

Use the steps of the graphing procedure to graph the equations in Exercises 9–48. Include the coordinates of any local and absolute extreme points and inflection points.

9.  $y = x^2 - 4x + 3$

10.  $y = 6 - 2x - x^2$

11.  $y = x^3 - 3x + 3$

12.  $y = x(6 - 2x)^2$

### Graphing Rational Functions

Graph the rational functions in Exercises 75–92.

75.  $y = \frac{2x^2 + x - 1}{x^2 - 1}$

76.  $y = \frac{x^2 - 49}{x^2 + 5x - 14}$

77.  $y = \frac{x^4 + 1}{x^2}$

78.  $y = \frac{x^2 + 4}{2x}$

79.  $y = \frac{1}{x^2 - 1}$

80.  $y = \frac{x^2}{x^2 - 1}$

## Reference:

**Thomas' Calculus, 12th Edition,  
G.B Thomas, M.D.Weir, J.Hass and  
F.R.Giordano, Addison-Wesley, 2012.**