

MODULE 3

3.5 INFORMED (HEURISTIC) SEARCH STRATEGIES

INFORMED SEARCH

This section shows how an **informed search** strategy—one that uses problem-specific knowledge beyond the definition of the problem itself—can find solutions more efficiently than can an uninformed strategy.

BEST-FIRST SEARCH

The general approach we consider is called **best-first search**. Best-first search is an instance of the general TREE-SEARCH or GRAPH-SEARCH algorithm in which a node is selected for expansion based on an **evaluation function**, $f(n)$. The evaluation function is construed as a cost estimate, so the node with the *lowest* evaluation is expanded first. The implementation of best-first graph search is identical to that for uniform-cost search (Figure 3.14), except for the use of f instead of g to order the priority queue.

EVALUATION FUNCTION

The choice of f determines the search strategy. (For example, as Exercise 3.21 shows, best-first tree search includes depth-first search as a special case.) Most best-first algorithms include as a component of f a **heuristic function**, denoted $h(n)$:

HEURISTIC FUNCTION

$$h(n) = \text{estimated cost of the cheapest path from the state at node } n \text{ to a goal state.}$$

(Notice that $h(n)$ takes a *node* as input, but, unlike $g(n)$, it depends only on the *state* at that node.) For example, in Romania, one might estimate the cost of the cheapest path from Arad to Bucharest via the straight-line distance from Arad to Bucharest.

GREEDY BEST-FIRST SEARCH

Heuristic functions are the most common form in which additional knowledge of the problem is imparted to the search algorithm. We study heuristics in more depth in Section 3.6. For now, we consider them to be arbitrary, nonnegative, problem-specific functions, with one constraint: if n is a goal node, then $h(n) = 0$. The remainder of this section covers two ways to use heuristic information to guide search.

STRAIGHT-LINE DISTANCE

3.5.1 Greedy best-first search

Greedy best-first search⁸ tries to expand the node that is closest to the goal, on the grounds that this is likely to lead to a solution quickly. Thus, it evaluates nodes by using just the heuristic function; that is, $f(n) = h(n)$.

Let us see how this works for route-finding problems in Romania; we use the **straight-line distance** heuristic, which we will call h_{SLD} . If the goal is Bucharest, we need to know the straight-line distances to Bucharest, which are shown in Figure 3.22. For example, $h_{SLD}(In(Arad)) = 366$. Notice that the values of h_{SLD} cannot be computed from the problem description itself. Moreover, it takes a certain amount of experience to know that h_{SLD} is correlated with actual road distances and is, therefore, a useful heuristic.

Figure 3.23 shows the progress of a greedy best-first search using h_{SLD} to find a path from Arad to Bucharest. The first node to be expanded from Arad will be Sibiu because it is closer to Bucharest than either Zerind or Timisoara. The next node to be expanded will be Fagaras because it is closest. Fagaras in turn generates Bucharest, which is the goal. For this particular problem, greedy best-first search using h_{SLD} finds a solution without ever

⁸ Our first edition called this **greedy search**; other authors have called it **best-first search**. Our more general usage of the latter term follows Pearl (1984).

Arad	366	Mehadia	241
Bucharest	0	Neamt	234
Craiova	160	Oradea	380
Drobeta	242	Pitesti	100
Eforie	161	Rimnicu Vilcea	193
Fagaras	176	Sibiu	253
Giurgiu	77	Timisoara	329
Hirsova	151	Urziceni	80
Iasi	226	Vaslui	199
Lugoj	244	Zerind	374

Figure 3.22 Values of h_{SLD} —straight-line distances to Bucharest.

expanding a node that is not on the solution path; hence, its search cost is minimal. It is not optimal, however: the path via Sibiu and Fagaras to Bucharest is 32 kilometers longer than the path through Rimnicu Vilcea and Pitesti. This shows why the algorithm is called “greedy”—at each step it tries to get as close to the goal as it can.

Greedy best-first tree search is also incomplete even in a finite state space, much like depth-first search. Consider the problem of getting from Iasi to Fagaras. The heuristic suggests that Neamt be expanded first because it is closest to Fagaras, but it is a dead end. The solution is to go first to Vaslui—a step that is actually farther from the goal according to the heuristic—and then to continue to Urziceni, Bucharest, and Fagaras. The algorithm will never find this solution, however, because expanding Neamt puts Iasi back into the frontier, Iasi is closer to Fagaras than Vaslui is, and so Iasi will be expanded again, leading to an infinite loop. (The graph search version *is* complete in finite spaces, but not in infinite ones.) The worst-case time and space complexity for the tree version is $O(b^m)$, where m is the maximum depth of the search space. With a good heuristic function, however, the complexity can be reduced substantially. The amount of the reduction depends on the particular problem and on the quality of the heuristic.

3.5.2 A* search: Minimizing the total estimated solution cost

A* SEARCH

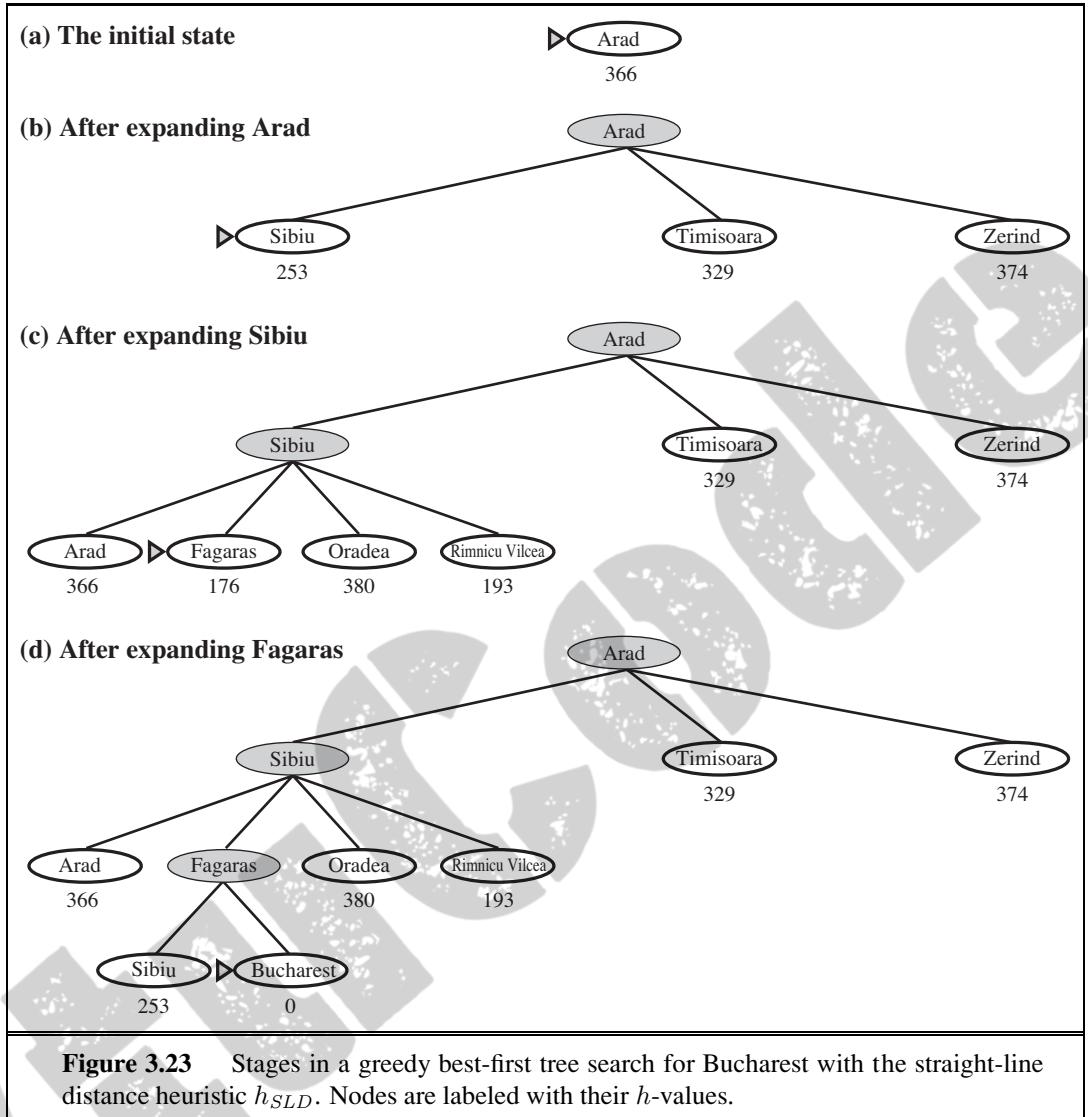
The most widely known form of best-first search is called **A* search** (pronounced “A-star search”). It evaluates nodes by combining $g(n)$, the cost to reach the node, and $h(n)$, the cost to get from the node to the goal:

$$f(n) = g(n) + h(n).$$

Since $g(n)$ gives the path cost from the start node to node n , and $h(n)$ is the estimated cost of the cheapest path from n to the goal, we have

$$f(n) = \text{estimated cost of the cheapest solution through } n.$$

Thus, if we are trying to find the cheapest solution, a reasonable thing to try first is the node with the lowest value of $g(n) + h(n)$. It turns out that this strategy is more than just reasonable: provided that the heuristic function $h(n)$ satisfies certain conditions, A* search is both complete and optimal. The algorithm is identical to UNIFORM-COST-SEARCH except that A* uses $g + h$ instead of g .



Conditions for optimality: Admissibility and consistency

ADMISSIBLE HEURISTIC

The first condition we require for optimality is that $h(n)$ be an **admissible heuristic**. An admissible heuristic is one that *never overestimates* the cost to reach the goal. Because $g(n)$ is the actual cost to reach n along the current path, and $f(n) = g(n) + h(n)$, we have as an immediate consequence that $f(n)$ never overestimates the true cost of a solution along the current path through n .

Admissible heuristics are by nature optimistic because they think the cost of solving the problem is less than it actually is. An obvious example of an admissible heuristic is the straight-line distance h_{SLD} that we used in getting to Bucharest. Straight-line distance is admissible because the shortest path between any two points is a straight line, so the straight

line cannot be an overestimate. In Figure 3.24, we show the progress of an A* tree search for Bucharest. The values of g are computed from the step costs in Figure 3.2, and the values of h_{SLD} are given in Figure 3.22. Notice in particular that Bucharest first appears on the frontier at step (e), but it is not selected for expansion because its f -cost (450) is higher than that of Pitesti (417). Another way to say this is that there *might* be a solution through Pitesti whose cost is as low as 417, so the algorithm will not settle for a solution that costs 450.

CONSISTENCY
MONOTONICITY

A second, slightly stronger condition called **consistency** (or sometimes **monotonicity**) is required only for applications of A* to graph search.⁹ A heuristic $h(n)$ is consistent if, for every node n and every successor n' of n generated by any action a , the estimated cost of reaching the goal from n is no greater than the step cost of getting to n' plus the estimated cost of reaching the goal from n' :

$$h(n) \leq c(n, a, n') + h(n') .$$

TRIANGLE
INEQUALITY

This is a form of the general **triangle inequality**, which stipulates that each side of a triangle cannot be longer than the sum of the other two sides. Here, the triangle is formed by n , n' , and the goal G_n closest to n . For an admissible heuristic, the inequality makes perfect sense: if there were a route from n to G_n via n' that was cheaper than $h(n)$, that would violate the property that $h(n)$ is a lower bound on the cost to reach G_n .

It is fairly easy to show (Exercise 3.29) that every consistent heuristic is also admissible. Consistency is therefore a stricter requirement than admissibility, but one has to work quite hard to concoct heuristics that are admissible but not consistent. All the admissible heuristics we discuss in this chapter are also consistent. Consider, for example, h_{SLD} . We know that the general triangle inequality is satisfied when each side is measured by the straight-line distance and that the straight-line distance between n and n' is no greater than $c(n, a, n')$. Hence, h_{SLD} is a consistent heuristic.

Optimality of A*



As we mentioned earlier, A* has the following properties: *the tree-search version of A* is optimal if $h(n)$ is admissible, while the graph-search version is optimal if $h(n)$ is consistent*.



We show the second of these two claims since it is more useful. The argument essentially mirrors the argument for the optimality of uniform-cost search, with g replaced by f —just as in the A* algorithm itself.

The first step is to establish the following: *if $h(n)$ is consistent, then the values of $f(n)$ along any path are nondecreasing*. The proof follows directly from the definition of consistency. Suppose n' is a successor of n ; then $g(n') = g(n) + c(n, a, n')$ for some action a , and we have

$$f(n') = g(n') + h(n') = g(n) + c(n, a, n') + h(n') \geq g(n) + h(n) = f(n) .$$



The next step is to prove that *whenever A* selects a node n for expansion, the optimal path to that node has been found*. Were this not the case, there would have to be another frontier node n' on the optimal path from the start node to n , by the graph separation property of

⁹ With an admissible but inconsistent heuristic, A* requires some extra bookkeeping to ensure optimality.

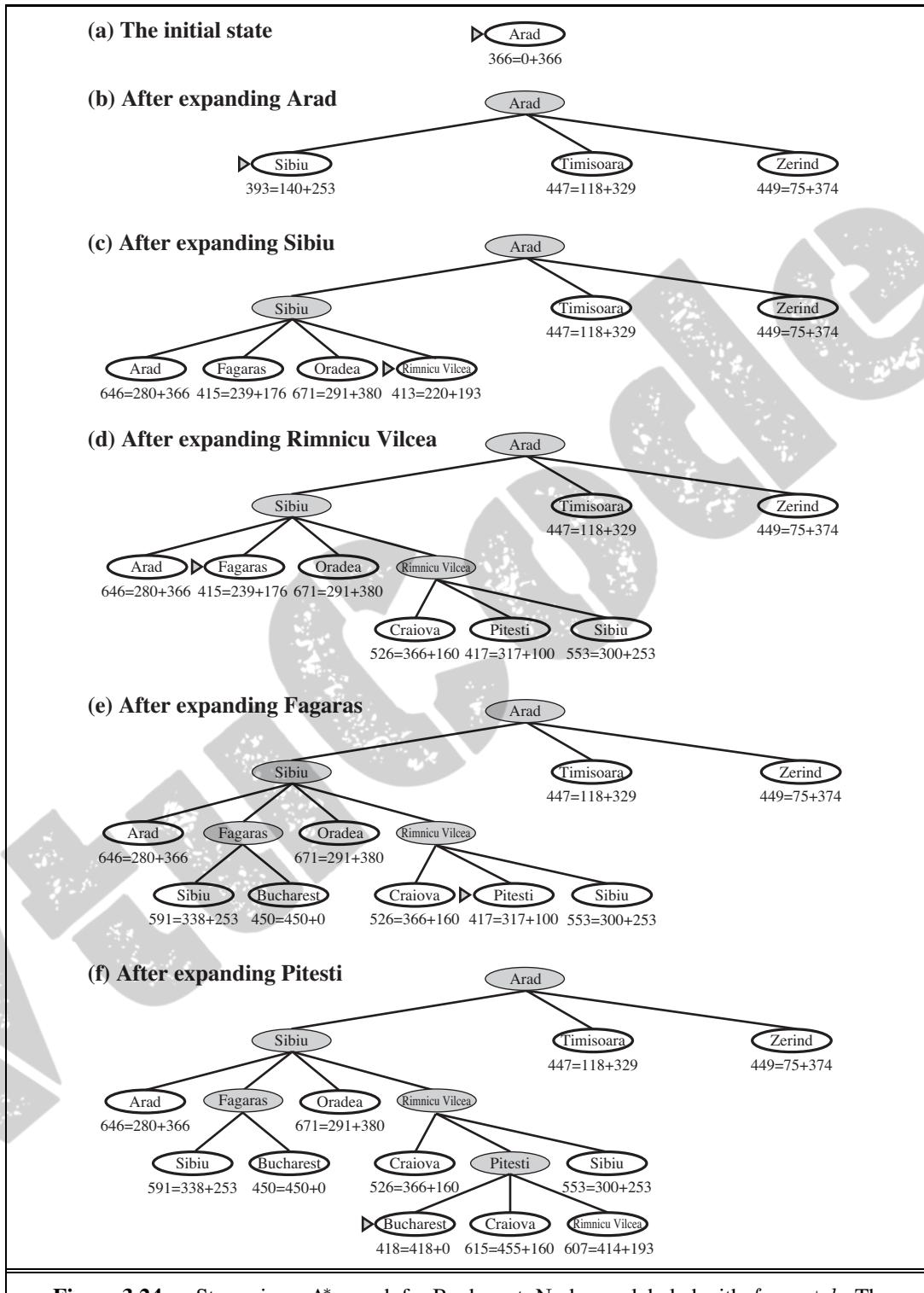


Figure 3.24 Stages in an A* search for Bucharest. Nodes are labeled with $f = g + h$. The h values are the straight-line distances to Bucharest taken from Figure 3.22.

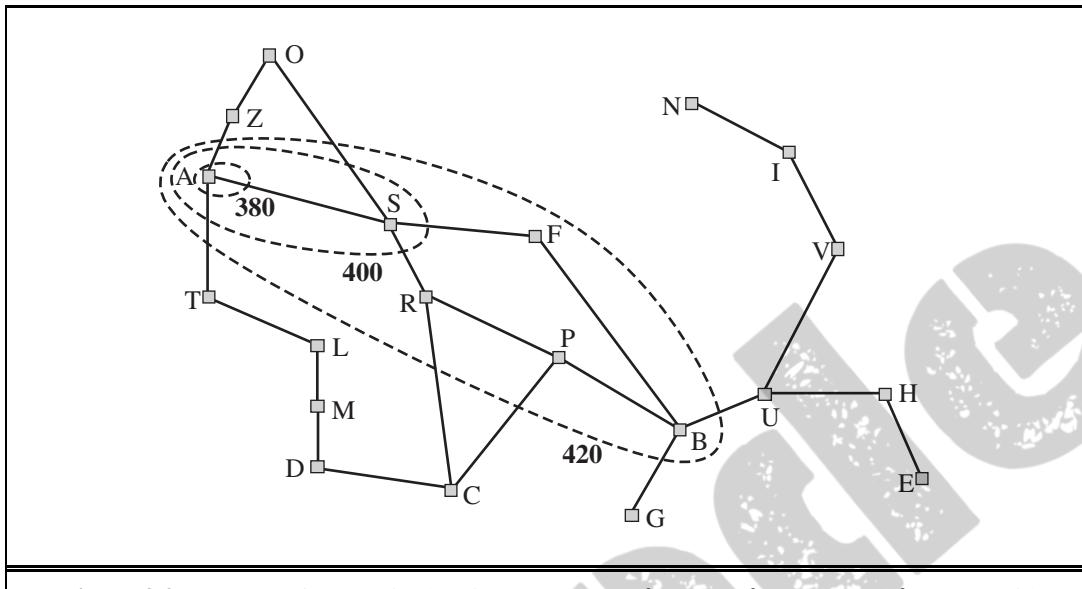


Figure 3.25 Map of Romania showing contours at $f = 380$, $f = 400$, and $f = 420$, with Arad as the start state. Nodes inside a given contour have f -costs less than or equal to the contour value.

Figure 3.9; because f is nondecreasing along any path, n' would have lower f -cost than n and would have been selected first.

From the two preceding observations, it follows that the sequence of nodes expanded by A^* using GRAPH-SEARCH is in nondecreasing order of $f(n)$. Hence, the first goal node selected for expansion must be an optimal solution because f is the true cost for goal nodes (which have $h = 0$) and all later goal nodes will be at least as expensive.

The fact that f -costs are nondecreasing along any path also means that we can draw **contours** in the state space, just like the contours in a topographic map. Figure 3.25 shows an example. Inside the contour labeled 400, all nodes have $f(n)$ less than or equal to 400, and so on. Then, because A^* expands the frontier node of lowest f -cost, we can see that an A^* search fans out from the start node, adding nodes in concentric bands of increasing f -cost.

With uniform-cost search (A^* search using $h(n) = 0$), the bands will be “circular” around the start state. With more accurate heuristics, the bands will stretch toward the goal state and become more narrowly focused around the optimal path. If C^* is the cost of the optimal solution path, then we can say the following:

- A^* expands all nodes with $f(n) < C^*$.
- A^* might then expand some of the nodes right on the “goal contour” (where $f(n) = C^*$) before selecting a goal node.

Completeness requires that there be only finitely many nodes with cost less than or equal to C^* , a condition that is true if all step costs exceed some finite ϵ and if b is finite.

Notice that A^* expands no nodes with $f(n) > C^*$ —for example, Timisoara is not expanded in Figure 3.24 even though it is a child of the root. We say that the subtree below

PRUNING

Timisoara is **pruned**; because h_{SLD} is admissible, the algorithm can safely ignore this subtree while still guaranteeing optimality. The concept of pruning—eliminating possibilities from consideration without having to examine them—is important for many areas of AI.

OPTIMALLY
EFFICIENT

One final observation is that among optimal algorithms of this type—algorithms that extend search paths from the root and use the same heuristic information— A^* is **optimally efficient** for any given consistent heuristic. That is, no other optimal algorithm is guaranteed to expand fewer nodes than A^* (except possibly through tie-breaking among nodes with $f(n) = C^*$). This is because any algorithm that *does not* expand all nodes with $f(n) < C^*$ runs the risk of missing the optimal solution.

ABSOLUTE ERROR
RELATIVE ERROR

That A^* search is complete, optimal, and optimally efficient among all such algorithms is rather satisfying. Unfortunately, it does not mean that A^* is the answer to all our searching needs. The catch is that, for most problems, the number of states within the goal contour search space is still exponential in the length of the solution. The details of the analysis are beyond the scope of this book, but the basic results are as follows. For problems with constant step costs, the growth in run time as a function of the optimal solution depth d is analyzed in terms of the the **absolute error** or the **relative error** of the heuristic. The absolute error is defined as $\Delta \equiv h^* - h$, where h^* is the actual cost of getting from the root to the goal, and the relative error is defined as $\epsilon \equiv (h^* - h)/h^*$.

The complexity results depend very strongly on the assumptions made about the state space. The simplest model studied is a state space that has a single goal and is essentially a tree with reversible actions. (The 8-puzzle satisfies the first and third of these assumptions.) In this case, the time complexity of A^* is exponential in the maximum absolute error, that is, $O(b^\Delta)$. For constant step costs, we can write this as $O(b^{ed})$, where d is the solution depth. For almost all heuristics in practical use, the absolute error is at least proportional to the path cost h^* , so ϵ is constant or growing and the time complexity is exponential in d . We can also see the effect of a more accurate heuristic: $O(b^{ed}) = O((b^\epsilon)^d)$, so the effective branching factor (defined more formally in the next section) is b^ϵ .

When the state space has many goal states—particularly *near-optimal* goal states—the search process can be led astray from the optimal path and there is an extra cost proportional to the number of goals whose cost is within a factor ϵ of the optimal cost. Finally, in the general case of a graph, the situation is even worse. There can be exponentially many states with $f(n) < C^*$ even if the absolute error is bounded by a constant. For example, consider a version of the vacuum world where the agent can clean up any square for unit cost without even having to visit it: in that case, squares can be cleaned in any order. With N initially dirty squares, there are 2^N states where some subset has been cleaned and all of them are on an optimal solution path—and hence satisfy $f(n) < C^*$ —even if the heuristic has an error of 1.

The complexity of A^* often makes it impractical to insist on finding an optimal solution. One can use variants of A^* that find suboptimal solutions quickly, or one can sometimes design heuristics that are more accurate but not strictly admissible. In any case, the use of a good heuristic still provides enormous savings compared to the use of an uninformed search. In Section 3.6, we look at the question of designing good heuristics.

Computation time is not, however, A^* 's main drawback. Because it keeps all generated nodes in memory (as do all GRAPH-SEARCH algorithms), A^* usually runs out of space long

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function RECURSIVE-BEST-FIRST-SEARCH(problem) returns a solution, or failure
  return RBFS(problem, MAKE-NODE(problem.INITIAL-STATE),  $\infty$ )

function RBFS(problem, node, f-limit) returns a solution, or failure and a new f-cost limit
  if problem.GOAL-TEST(node.STATE) then return SOLUTION(node)
  successors  $\leftarrow$  []
  for each action in problem.ACTIONS(node.STATE) do
    add CHILD-NODE(problem, node, action) into successors
  if successors is empty then return failure,  $\infty$ 
  for each s in successors do /* update f with value from previous search, if any */
    s.f  $\leftarrow$  max(s.g + s.h, node.f)
  loop do
    best  $\leftarrow$  the lowest f-value node in successors
    if best.f > f-limit then return failure, best.f
    alternative  $\leftarrow$  the second-lowest f-value among successors
    result, best.f  $\leftarrow$  RBFS(problem, best, min(f-limit, alternative))
    if result  $\neq$  failure then return result

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Figure 3.26 The algorithm for recursive best-first search.

before it runs out of time. For this reason, A* is not practical for many large-scale problems. There are, however, algorithms that overcome the space problem without sacrificing optimality or completeness, at a small cost in execution time. We discuss these next.

3.5.3 Memory-bounded heuristic search

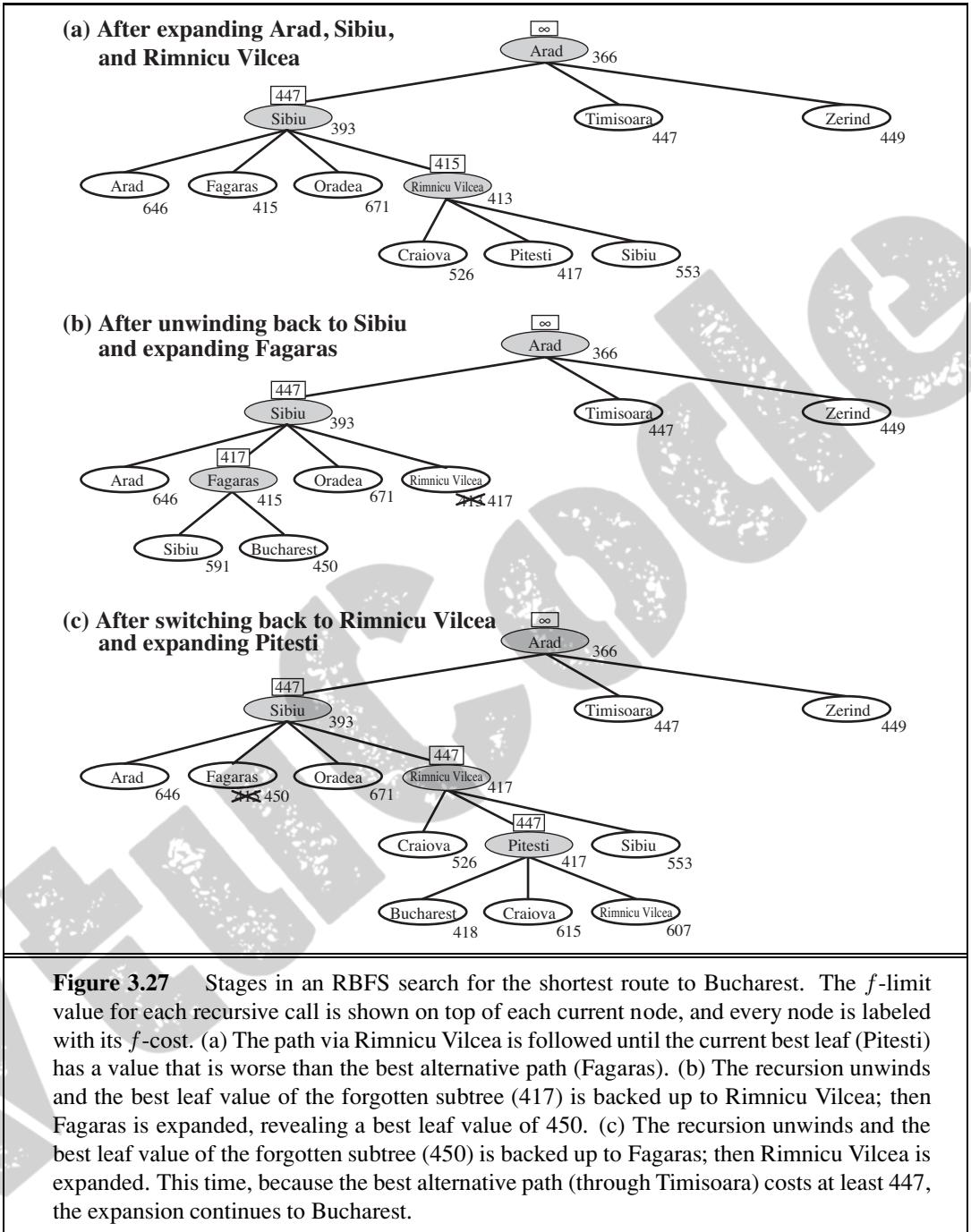
ITERATIVE-
DEEPENING
A*

RECURSIVE
BEST-FIRST SEARCH

BACKED-UP VALUE

The simplest way to reduce memory requirements for A* is to adapt the idea of iterative deepening to the heuristic search context, resulting in the **iterative-deepening A*** (IDA*) algorithm. The main difference between IDA* and standard iterative deepening is that the cutoff used is the *f*-cost ($g + h$) rather than the depth; at each iteration, the cutoff value is the smallest *f*-cost of any node that exceeded the cutoff on the previous iteration. IDA* is practical for many problems with unit step costs and avoids the substantial overhead associated with keeping a sorted queue of nodes. Unfortunately, it suffers from the same difficulties with real-valued costs as does the iterative version of uniform-cost search described in Exercise 3.17. This section briefly examines two other memory-bounded algorithms, called RBFS and MA*.

Recursive best-first search (RBFS) is a simple recursive algorithm that attempts to mimic the operation of standard best-first search, but using only linear space. The algorithm is shown in Figure 3.26. Its structure is similar to that of a recursive depth-first search, but rather than continuing indefinitely down the current path, it uses the *f_limit* variable to keep track of the *f*-value of the best *alternative* path available from any ancestor of the current node. If the current node exceeds this limit, the recursion unwinds back to the alternative path. As the recursion unwinds, RBFS replaces the *f*-value of each node along the path with a **backed-up value**—the best *f*-value of its children. In this way, RBFS remembers the *f*-value of the best leaf in the forgotten subtree and can therefore decide whether it's worth



reexpanding the subtree at some later time. Figure 3.27 shows how RBFS reaches Bucharest.

RBFS is somewhat more efficient than IDA*, but still suffers from excessive node regeneration. In the example in Figure 3.27, RBFS follows the path via Rimnicu Vilcea, then

“changes its mind” and tries Fagaras, and then changes its mind back again. These mind changes occur because every time the current best path is extended, its f -value is likely to increase— h is usually less optimistic for nodes closer to the goal. When this happens, the second-best path might become the best path, so the search has to backtrack to follow it. Each mind change corresponds to an iteration of IDA* and could require many reexpansions of forgotten nodes to recreate the best path and extend it one more node.

Like A* tree search, RBFS is an optimal algorithm if the heuristic function $h(n)$ is admissible. Its space complexity is linear in the depth of the deepest optimal solution, but its time complexity is rather difficult to characterize: it depends both on the accuracy of the heuristic function and on how often the best path changes as nodes are expanded.

IDA* and RBFS suffer from using *too little* memory. Between iterations, IDA* retains only a single number: the current f -cost limit. RBFS retains more information in memory, but it uses only linear space: even if more memory were available, RBFS has no way to make use of it. Because they forget most of what they have done, both algorithms may end up reexpanding the same states many times over. Furthermore, they suffer the potentially exponential increase in complexity associated with redundant paths in graphs (see Section 3.3).

It seems sensible, therefore, to use all available memory. Two algorithms that do this are **MA*** (memory-bounded A*) and **SMA*** (simplified MA*). SMA* is—well—simpler, so we will describe it. SMA* proceeds just like A*, expanding the best leaf until memory is full. At this point, it cannot add a new node to the search tree without dropping an old one. SMA* always drops the *worst* leaf node—the one with the highest f -value. Like RBFS, SMA* then backs up the value of the forgotten node to its parent. In this way, the ancestor of a forgotten subtree knows the quality of the best path in that subtree. With this information, SMA* regenerates the subtree only when all other paths have been shown to look worse than the path it has forgotten. Another way of saying this is that, if all the descendants of a node n are forgotten, then we will not know which way to go from n , but we will still have an idea of how worthwhile it is to go anywhere from n .

The complete algorithm is too complicated to reproduce here,¹⁰ but there is one subtlety worth mentioning. We said that SMA* expands the best leaf and deletes the worst leaf. What if *all* the leaf nodes have the same f -value? To avoid selecting the same node for deletion and expansion, SMA* expands the *newest* best leaf and deletes the *oldest* worst leaf. These coincide when there is only one leaf, but in that case, the current search tree must be a single path from root to leaf that fills all of memory. If the leaf is not a goal node, then *even if it is on an optimal solution path*, that solution is not reachable with the available memory. Therefore, the node can be discarded exactly as if it had no successors.

SMA* is complete if there is any reachable solution—that is, if d , the depth of the shallowest goal node, is less than the memory size (expressed in nodes). It is optimal if any optimal solution is reachable; otherwise, it returns the best reachable solution. In practical terms, SMA* is a fairly robust choice for finding optimal solutions, particularly when the state space is a graph, step costs are not uniform, and node generation is expensive compared to the overhead of maintaining the frontier and the explored set.

MA*
SMA*

¹⁰ A rough sketch appeared in the first edition of this book.

THRASHING



METALEVEL STATE SPACE

OBJECT-LEVEL STATE SPACE

METALEVEL LEARNING

On very hard problems, however, it will often be the case that SMA* is forced to switch back and forth continually among many candidate solution paths, only a small subset of which can fit in memory. (This resembles the problem of **thrashing** in disk paging systems.) Then the extra time required for repeated regeneration of the same nodes means that problems that would be practically solvable by A*, given unlimited memory, become intractable for SMA*. That is to say, *memory limitations can make a problem intractable from the point of view of computation time*. Although no current theory explains the tradeoff between time and memory, it seems that this is an inescapable problem. The only way out is to drop the optimality requirement.

3.5.4 Learning to search better

We have presented several fixed strategies—breadth-first, greedy best-first, and so on—that have been designed by computer scientists. Could an agent *learn* how to search better? The answer is yes, and the method rests on an important concept called the **metalevel state space**. Each state in a metalevel state space captures the internal (computational) state of a program that is searching in an **object-level state space** such as Romania. For example, the internal state of the A* algorithm consists of the current search tree. Each action in the metalevel state space is a computation step that alters the internal state; for example, each computation step in A* expands a leaf node and adds its successors to the tree. Thus, Figure 3.24, which shows a sequence of larger and larger search trees, can be seen as depicting a path in the metalevel state space where each state on the path is an object-level search tree.

Now, the path in Figure 3.24 has five steps, including one step, the expansion of Fagaras, that is not especially helpful. For harder problems, there will be many such missteps, and a **metalevel learning** algorithm can learn from these experiences to avoid exploring unpromising subtrees. The techniques used for this kind of learning are described in Chapter 21. The goal of learning is to minimize the **total cost** of problem solving, trading off computational expense and path cost.

3.6 HEURISTIC FUNCTIONS

In this section, we look at heuristics for the 8-puzzle, in order to shed light on the nature of heuristics in general.

The 8-puzzle was one of the earliest heuristic search problems. As mentioned in Section 3.2, the object of the puzzle is to slide the tiles horizontally or vertically into the empty space until the configuration matches the goal configuration (Figure 3.28).

The average solution cost for a randomly generated 8-puzzle instance is about 22 steps. The branching factor is about 3. (When the empty tile is in the middle, four moves are possible; when it is in a corner, two; and when it is along an edge, three.) This means that an exhaustive tree search to depth 22 would look at about $3^{22} \approx 3.1 \times 10^{10}$ states. A graph search would cut this down by a factor of about 170,000 because only $9!/2 = 181,440$ distinct states are reachable. (See Exercise 3.4.) This is a manageable number, but

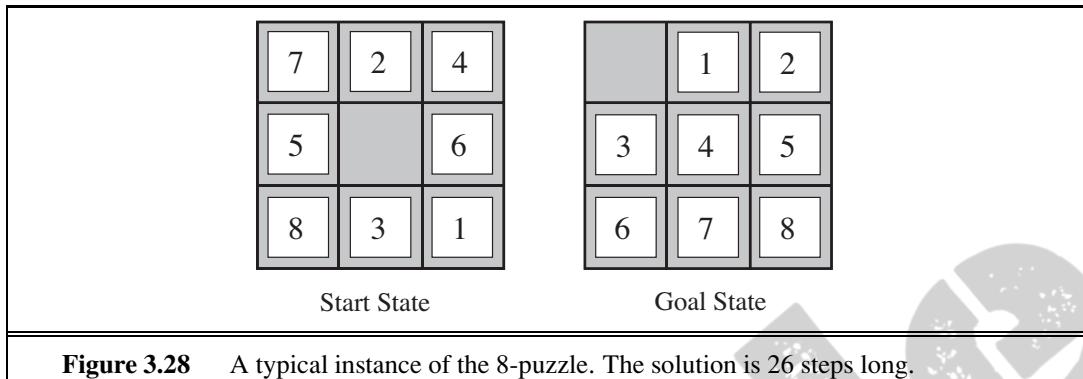


Figure 3.28 A typical instance of the 8-puzzle. The solution is 26 steps long.

the corresponding number for the 15-puzzle is roughly 10^{13} , so the next order of business is to find a good heuristic function. If we want to find the shortest solutions by using A*, we need a heuristic function that never overestimates the number of steps to the goal. There is a long history of such heuristics for the 15-puzzle; here are two commonly used candidates:

- h_1 = the number of misplaced tiles. For Figure 3.28, all of the eight tiles are out of position, so the start state would have $h_1 = 8$. h_1 is an admissible heuristic because it is clear that any tile that is out of place must be moved at least once.
- h_2 = the sum of the distances of the tiles from their goal positions. Because tiles cannot move along diagonals, the distance we will count is the sum of the horizontal and vertical distances. This is sometimes called the **city block distance** or **Manhattan distance**. h_2 is also admissible because all any move can do is move one tile one step closer to the goal. Tiles 1 to 8 in the start state give a Manhattan distance of

$$h_2 = 3 + 1 + 2 + 2 + 2 + 3 + 3 + 2 = 18 .$$

As expected, neither of these overestimates the true solution cost, which is 26.

3.6.1 The effect of heuristic accuracy on performance

One way to characterize the quality of a heuristic is the **effective branching factor** b^* . If the total number of nodes generated by A* for a particular problem is N and the solution depth is d , then b^* is the branching factor that a uniform tree of depth d would have to have in order to contain $N + 1$ nodes. Thus,

$$N + 1 = 1 + b^* + (b^*)^2 + \cdots + (b^*)^d .$$

For example, if A* finds a solution at depth 5 using 52 nodes, then the effective branching factor is 1.92. The effective branching factor can vary across problem instances, but usually it is fairly constant for sufficiently hard problems. (The existence of an effective branching factor follows from the result, mentioned earlier, that the number of nodes expanded by A* grows exponentially with solution depth.) Therefore, experimental measurements of b^* on a small set of problems can provide a good guide to the heuristic's overall usefulness. A well-designed heuristic would have a value of b^* close to 1, allowing fairly large problems to be solved at reasonable computational cost.

MANHATTAN DISTANCE

EFFECTIVE BRANCHING FACTOR

To test the heuristic functions h_1 and h_2 , we generated 1200 random problems with solution lengths from 2 to 24 (100 for each even number) and solved them with iterative deepening search and with A* tree search using both h_1 and h_2 . Figure 3.29 gives the average number of nodes generated by each strategy and the effective branching factor. The results suggest that h_2 is better than h_1 , and is far better than using iterative deepening search. Even for small problems with $d = 12$, A* with h_2 is 50,000 times more efficient than uninformed iterative deepening search.

d	Search Cost (nodes generated)			Effective Branching Factor		
	IDS	A*(h_1)	A*(h_2)	IDS	A*(h_1)	A*(h_2)
2	10	6	6	2.45	1.79	1.79
4	112	13	12	2.87	1.48	1.45
6	680	20	18	2.73	1.34	1.30
8	6384	39	25	2.80	1.33	1.24
10	47127	93	39	2.79	1.38	1.22
12	3644035	227	73	2.78	1.42	1.24
14	—	539	113	—	1.44	1.23
16	—	1301	211	—	1.45	1.25
18	—	3056	363	—	1.46	1.26
20	—	7276	676	—	1.47	1.27
22	—	18094	1219	—	1.48	1.28
24	—	39135	1641	—	1.48	1.26

Figure 3.29 Comparison of the search costs and effective branching factors for the ITERATIVE-DEEPENING-SEARCH and A* algorithms with h_1 , h_2 . Data are averaged over 100 instances of the 8-puzzle for each of various solution lengths d .

DOMINATION

One might ask whether h_2 is *always* better than h_1 . The answer is “Essentially, yes.” It is easy to see from the definitions of the two heuristics that, for any node n , $h_2(n) \geq h_1(n)$. We thus say that h_2 **dominates** h_1 . Domination translates directly into efficiency: A* using h_2 will never expand more nodes than A* using h_1 (except possibly for some nodes with $f(n) = C^*$). The argument is simple. Recall the observation on page 97 that every node with $f(n) < C^*$ will surely be expanded. This is the same as saying that every node with $h(n) < C^* - g(n)$ will surely be expanded. But because h_2 is at least as big as h_1 for all nodes, every node that is surely expanded by A* search with h_2 will also surely be expanded with h_1 , and h_1 might cause other nodes to be expanded as well. Hence, it is generally better to use a heuristic function with higher values, provided it is consistent and that the computation time for the heuristic is not too long.

3.6.2 Generating admissible heuristics from relaxed problems

We have seen that both h_1 (misplaced tiles) and h_2 (Manhattan distance) are fairly good heuristics for the 8-puzzle and that h_2 is better. How might one have come up with h_2 ? Is it possible for a computer to invent such a heuristic mechanically?

h_1 and h_2 are estimates of the remaining path length for the 8-puzzle, but they are also perfectly accurate path lengths for *simplified* versions of the puzzle. If the rules of the puzzle

RELAXED PROBLEM



were changed so that a tile could move anywhere instead of just to the adjacent empty square, then h_1 would give the exact number of steps in the shortest solution. Similarly, if a tile could move one square in any direction, even onto an occupied square, then h_2 would give the exact number of steps in the shortest solution. A problem with fewer restrictions on the actions is called a **relaxed problem**. The state-space graph of the relaxed problem is a *supergraph* of the original state space because the removal of restrictions creates added edges in the graph.

Because the relaxed problem adds edges to the state space, any optimal solution in the original problem is, by definition, also a solution in the relaxed problem; but the relaxed problem may have *better* solutions if the added edges provide short cuts. Hence, *the cost of an optimal solution to a relaxed problem is an admissible heuristic for the original problem*. Furthermore, because the derived heuristic is an exact cost for the relaxed problem, it must obey the triangle inequality and is therefore **consistent** (see page 95).

If a problem definition is written down in a formal language, it is possible to construct relaxed problems automatically.¹¹ For example, if the 8-puzzle actions are described as

A tile can move from square A to square B if

A is horizontally or vertically adjacent to B **and** B is blank,

we can generate three relaxed problems by removing one or both of the conditions:

- (a) A tile can move from square A to square B if A is adjacent to B.
- (b) A tile can move from square A to square B if B is blank.
- (c) A tile can move from square A to square B.

From (a), we can derive h_2 (Manhattan distance). The reasoning is that h_2 would be the proper score if we moved each tile in turn to its destination. The heuristic derived from (b) is discussed in Exercise 3.31. From (c), we can derive h_1 (misplaced tiles) because it would be the proper score if tiles could move to their intended destination in one step. Notice that it is crucial that the relaxed problems generated by this technique can be solved essentially *without search*, because the relaxed rules allow the problem to be decomposed into eight independent subproblems. If the relaxed problem is hard to solve, then the values of the corresponding heuristic will be expensive to obtain.¹²

A program called ABSOLVER can generate heuristics automatically from problem definitions, using the “relaxed problem” method and various other techniques (Prieditis, 1993). ABSOLVER generated a new heuristic for the 8-puzzle that was better than any preexisting heuristic and found the first useful heuristic for the famous Rubik’s Cube puzzle.

One problem with generating new heuristic functions is that one often fails to get a single “clearly best” heuristic. If a collection of admissible heuristics $h_1 \dots h_m$ is available for a problem and none of them dominates any of the others, which should we choose? As it turns out, we need not make a choice. We can have the best of all worlds, by defining

$$h(n) = \max\{h_1(n), \dots, h_m(n)\}.$$

¹¹ In Chapters 8 and 10, we describe formal languages suitable for this task; with formal descriptions that can be manipulated, the construction of relaxed problems can be automated. For now, we use English.

¹² Note that a perfect heuristic can be obtained simply by allowing h to run a full breadth-first search “on the sly.” Thus, there is a tradeoff between accuracy and computation time for heuristic functions.

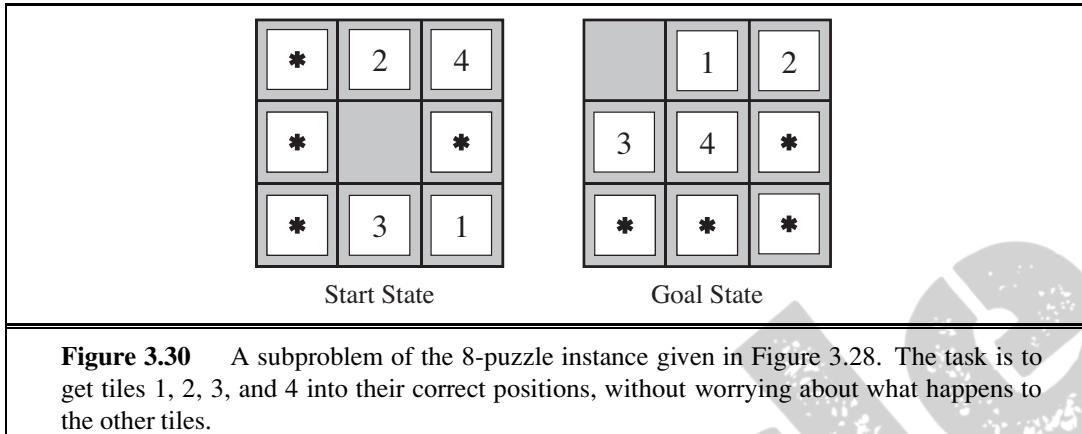


Figure 3.30 A subproblem of the 8-puzzle instance given in Figure 3.28. The task is to get tiles 1, 2, 3, and 4 into their correct positions, without worrying about what happens to the other tiles.

This composite heuristic uses whichever function is most accurate on the node in question. Because the component heuristics are admissible, h is admissible; it is also easy to prove that h is consistent. Furthermore, h dominates all of its component heuristics.

3.6.3 Generating admissible heuristics from subproblems: Pattern databases

SUBPROBLEM

Admissible heuristics can also be derived from the solution cost of a **subproblem** of a given problem. For example, Figure 3.30 shows a subproblem of the 8-puzzle instance in Figure 3.28. The subproblem involves getting tiles 1, 2, 3, and 4 into their correct positions. Clearly, the cost of the optimal solution of this subproblem is a lower bound on the cost of the complete problem. It turns out to be more accurate than Manhattan distance in some cases.

PATTERN DATABASE

The idea behind **pattern databases** is to store these exact solution costs for every possible subproblem instance—in our example, every possible configuration of the four tiles and the blank. (The locations of the other four tiles are irrelevant for the purposes of solving the subproblem, but moves of those tiles do count toward the cost.) Then we compute an admissible heuristic h_{DB} for each complete state encountered during a search simply by looking up the corresponding subproblem configuration in the database. The database itself is constructed by searching back¹³ from the goal and recording the cost of each new pattern encountered; the expense of this search is amortized over many subsequent problem instances.

The choice of 1-2-3-4 is fairly arbitrary; we could also construct databases for 5-6-7-8, for 2-4-6-8, and so on. Each database yields an admissible heuristic, and these heuristics can be combined, as explained earlier, by taking the maximum value. A combined heuristic of this kind is much more accurate than the Manhattan distance; the number of nodes generated when solving random 15-puzzles can be reduced by a factor of 1000.

One might wonder whether the heuristics obtained from the 1-2-3-4 database and the 5-6-7-8 could be *added*, since the two subproblems seem not to overlap. Would this still give an admissible heuristic? The answer is no, because the solutions of the 1-2-3-4 subproblem and the 5-6-7-8 subproblem for a given state will almost certainly share some moves—it is

¹³ By working backward from the goal, the exact solution cost of every instance encountered is immediately available. This is an example of **dynamic programming**, which we discuss further in Chapter 17.

DISJOINT PATTERN DATABASES

unlikely that 1-2-3-4 can be moved into place without touching 5-6-7-8, and vice versa. But what if we don't count those moves? That is, we record not the total cost of solving the 1-2-3-4 subproblem, but just the number of moves involving 1-2-3-4. Then it is easy to see that the sum of the two costs is still a lower bound on the cost of solving the entire problem. This is the idea behind **disjoint pattern databases**. With such databases, it is possible to solve random 15-puzzles in a few milliseconds—the number of nodes generated is reduced by a factor of 10,000 compared with the use of Manhattan distance. For 24-puzzles, a speedup of roughly a factor of a million can be obtained.

Disjoint pattern databases work for sliding-tile puzzles because the problem can be divided up in such a way that each move affects only one subproblem—because only one tile is moved at a time. For a problem such as Rubik's Cube, this kind of subdivision is difficult because each move affects 8 or 9 of the 26 cubies. More general ways of defining additive, admissible heuristics have been proposed that do apply to Rubik's cube (Yang *et al.*, 2008), but they have not yielded a heuristic better than the best nonadditive heuristic for the problem.

3.6.4 Learning heuristics from experience

A heuristic function $h(n)$ is supposed to estimate the cost of a solution beginning from the state at node n . How could an agent construct such a function? One solution was given in the preceding sections—namely, to devise relaxed problems for which an optimal solution can be found easily. Another solution is to learn from experience. “Experience” here means solving lots of 8-puzzles, for instance. Each optimal solution to an 8-puzzle problem provides examples from which $h(n)$ can be learned. Each example consists of a state from the solution path and the actual cost of the solution from that point. From these examples, a learning algorithm can be used to construct a function $h(n)$ that can (with luck) predict solution costs for other states that arise during search. Techniques for doing just this using neural nets, decision trees, and other methods are demonstrated in Chapter 18. (The reinforcement learning methods described in Chapter 21 are also applicable.)

FEATURE

Inductive learning methods work best when supplied with **features** of a state that are relevant to predicting the state's value, rather than with just the raw state description. For example, the feature “number of misplaced tiles” might be helpful in predicting the actual distance of a state from the goal. Let's call this feature $x_1(n)$. We could take 100 randomly generated 8-puzzle configurations and gather statistics on their actual solution costs. We might find that when $x_1(n)$ is 5, the average solution cost is around 14, and so on. Given these data, the value of x_1 can be used to predict $h(n)$. Of course, we can use several features. A second feature $x_2(n)$ might be “number of pairs of adjacent tiles that are not adjacent in the goal state.” How should $x_1(n)$ and $x_2(n)$ be combined to predict $h(n)$? A common approach is to use a linear combination:

$$h(n) = c_1 x_1(n) + c_2 x_2(n).$$

The constants c_1 and c_2 are adjusted to give the best fit to the actual data on solution costs. One expects both c_1 and c_2 to be positive because misplaced tiles and incorrect adjacent pairs make the problem harder to solve. Notice that this heuristic does satisfy the condition that $h(n) = 0$ for goal states, but it is not necessarily admissible or consistent.

7

LOGICAL AGENTS

In which we design agents that can form representations of a complex world, use a process of inference to derive new representations about the world, and use these new representations to deduce what to do.

REASONING
REPRESENTATION
KNOWLEDGE-BASED
AGENTS

Humans, it seems, know things; and what they know helps them do things. These are not empty statements. They make strong claims about how the intelligence of humans is achieved—not by purely reflex mechanisms but by processes of **reasoning** that operate on internal **representations** of knowledge. In AI, this approach to intelligence is embodied in **knowledge-based agents**.

The problem-solving agents of Chapters 3 and 4 know things, but only in a very limited, inflexible sense. For example, the transition model for the 8-puzzle—knowledge of what the actions do—is hidden inside the domain-specific code of the `RESULT` function. It can be used to predict the outcome of actions but not to deduce that two tiles cannot occupy the same space or that states with odd parity cannot be reached from states with even parity. The atomic representations used by problem-solving agents are also very limiting. In a partially observable environment, an agent’s only choice for representing what it knows about the current state is to list all possible concrete states—a hopeless prospect in large environments.

Chapter 6 introduced the idea of representing states as assignments of values to variables; this is a step in the right direction, enabling some parts of the agent to work in a domain-independent way and allowing for more efficient algorithms. In this chapter and those that follow, we take this step to its logical conclusion, so to speak—we develop **logic** as a general class of representations to support knowledge-based agents. Such agents can combine and recombine information to suit myriad purposes. Often, this process can be quite far removed from the needs of the moment—as when a mathematician proves a theorem or an astronomer calculates the earth’s life expectancy. Knowledge-based agents can accept new tasks in the form of explicitly described goals; they can achieve competence quickly by being told or learning new knowledge about the environment; and they can adapt to changes in the environment by updating the relevant knowledge.

We begin in Section 7.1 with the overall agent design. Section 7.2 introduces a simple new environment, the wumpus world, and illustrates the operation of a knowledge-based agent without going into any technical detail. Then we explain the general principles of **logic**.

in Section 7.3 and the specifics of **propositional logic** in Section 7.4. While less expressive than **first-order logic** (Chapter 8), propositional logic illustrates all the basic concepts of logic; it also comes with well-developed inference technologies, which we describe in sections 7.5 and 7.6. Finally, Section 7.7 combines the concept of knowledge-based agents with the technology of propositional logic to build some simple agents for the wumpus world.

7.1 KNOWLEDGE-BASED AGENTS

KNOWLEDGE BASE
SENTENCE
KNOWLEDGE REPRESENTATION LANGUAGE AXIOM
INFERENCE
BACKGROUND KNOWLEDGE

The central component of a knowledge-based agent is its **knowledge base**, or *KB*. A knowledge base is a set of **sentences**. (Here “sentence” is used as a technical term. It is related but not identical to the sentences of English and other natural languages.) Each sentence is expressed in a language called a **knowledge representation language** and represents some assertion about the world. Sometimes we dignify a sentence with the name **axiom**, when the sentence is taken as given without being derived from other sentences.

There must be a way to add new sentences to the knowledge base and a way to query what is known. The standard names for these operations are **TELL** and **ASK**, respectively. Both operations may involve **inference**—that is, deriving new sentences from old. Inference must obey the requirement that when one **ASKs** a question of the knowledge base, the answer should follow from what has been told (or **TELLED**) to the knowledge base previously. Later in this chapter, we will be more precise about the crucial word “follow.” For now, take it to mean that the inference process should not make things up as it goes along.

Figure 7.1 shows the outline of a knowledge-based agent program. Like all our agents, it takes a percept as input and returns an action. The agent maintains a knowledge base, *KB*, which may initially contain some **background knowledge**.

Each time the agent program is called, it does three things. First, it **TELLS** the knowledge base what it perceives. Second, it **ASKs** the knowledge base what action it should perform. In the process of answering this query, extensive reasoning may be done about the current state of the world, about the outcomes of possible action sequences, and so on. Third, the agent program **TELLs** the knowledge base which action was chosen, and the agent executes the action.

The details of the representation language are hidden inside three functions that implement the interface between the sensors and actuators on one side and the core representation and reasoning system on the other. **MAKE-PERCEPT-SENTENCE** constructs a sentence asserting that the agent perceived the given percept at the given time. **MAKE-ACTION-QUERY** constructs a sentence that asks what action should be done at the current time. Finally, **MAKE-ACTION-SENTENCE** constructs a sentence asserting that the chosen action was executed. The details of the inference mechanisms are hidden inside **TELL** and **ASK**. Later sections will reveal these details.

The agent in Figure 7.1 appears quite similar to the agents with internal state described in Chapter 2. Because of the definitions of **TELL** and **ASK**, however, the knowledge-based agent is not an arbitrary program for calculating actions. It is amenable to a description at

```

function KB-AGENT(percept) returns an action
  persistent: KB, a knowledge base
    t, a counter, initially 0, indicating time

  TELL(KB, MAKE-PERCEPT-SENTENCE(percept, t))
  action  $\leftarrow$  ASK(KB, MAKE-ACTION-QUERY(t))
  TELL(KB, MAKE-ACTION-SENTENCE(action, t))
  t  $\leftarrow$  t + 1
  return action

```

Figure 7.1 A generic knowledge-based agent. Given a percept, the agent adds the percept to its knowledge base, asks the knowledge base for the best action, and tells the knowledge base that it has in fact taken that action.

KNOWLEDGE LEVEL

the **knowledge level**, where we need specify only what the agent knows and what its goals are, in order to fix its behavior. For example, an automated taxi might have the goal of taking a passenger from San Francisco to Marin County and might know that the Golden Gate Bridge is the only link between the two locations. Then we can expect it to cross the Golden Gate Bridge *because it knows that that will achieve its goal*. Notice that this analysis is independent of how the taxi works at the **implementation level**. It doesn't matter whether its geographical knowledge is implemented as linked lists or pixel maps, or whether it reasons by manipulating strings of symbols stored in registers or by propagating noisy signals in a network of neurons.

IMPLEMENTATION LEVEL

DECLARATIVE

A knowledge-based agent can be built simply by TELLING it what it needs to know. Starting with an empty knowledge base, the agent designer can TELL sentences one by one until the agent knows how to operate in its environment. This is called the **declarative** approach to system building. In contrast, the **procedural** approach encodes desired behaviors directly as program code. In the 1970s and 1980s, advocates of the two approaches engaged in heated debates. We now understand that a successful agent often combines both declarative and procedural elements in its design, and that declarative knowledge can often be compiled into more efficient procedural code.

We can also provide a knowledge-based agent with mechanisms that allow it to learn for itself. These mechanisms, which are discussed in Chapter 18, create general knowledge about the environment from a series of percepts. A learning agent can be fully autonomous.

7.2 THE WUMPUS WORLD

WUMPUS WORLD

In this section we describe an environment in which knowledge-based agents can show their worth. The **wumpus world** is a cave consisting of rooms connected by passageways. Lurking somewhere in the cave is the terrible wumpus, a beast that eats anyone who enters its room. The wumpus can be shot by an agent, but the agent has only one arrow. Some rooms contain

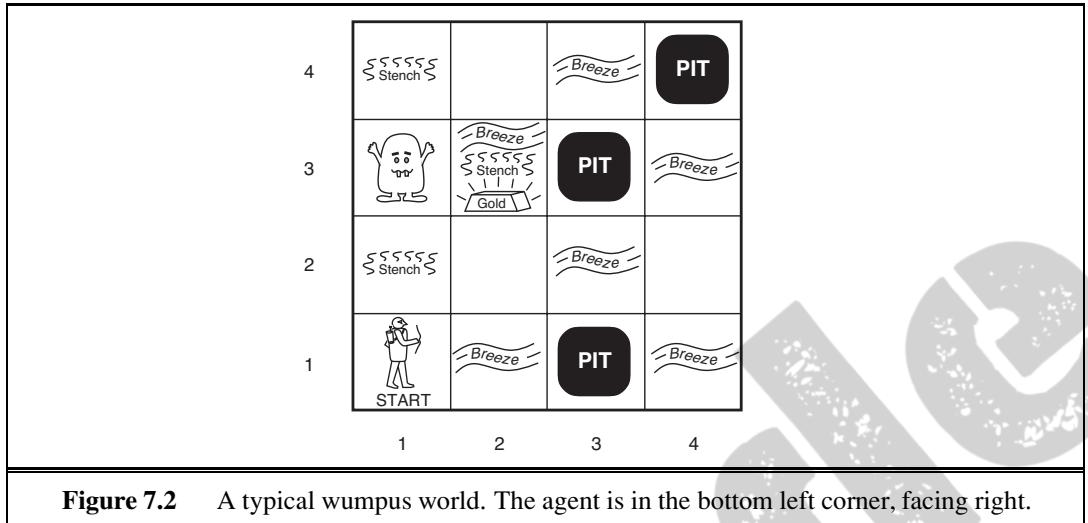
bottomless pits that will trap anyone who wanders into these rooms (except for the wumpus, which is too big to fall in). The only mitigating feature of this bleak environment is the possibility of finding a heap of gold. Although the wumpus world is rather tame by modern computer game standards, it illustrates some important points about intelligence.

A sample wumpus world is shown in Figure 7.2. The precise definition of the task environment is given, as suggested in Section 2.3, by the PEAS description:

- **Performance measure:** +1000 for climbing out of the cave with the gold, -1000 for falling into a pit or being eaten by the wumpus, -1 for each action taken and -10 for using up the arrow. The game ends either when the agent dies or when the agent climbs out of the cave.
- **Environment:** A 4×4 grid of rooms. The agent always starts in the square labeled [1,1], facing to the right. The locations of the gold and the wumpus are chosen randomly, with a uniform distribution, from the squares other than the start square. In addition, each square other than the start can be a pit, with probability 0.2.
- **Actuators:** The agent can move *Forward*, *TurnLeft* by 90° , or *TurnRight* by 90° . The agent dies a miserable death if it enters a square containing a pit or a live wumpus. (It is safe, albeit smelly, to enter a square with a dead wumpus.) If an agent tries to move forward and bumps into a wall, then the agent does not move. The action *Grab* can be used to pick up the gold if it is in the same square as the agent. The action *Shoot* can be used to fire an arrow in a straight line in the direction the agent is facing. The arrow continues until it either hits (and hence kills) the wumpus or hits a wall. The agent has only one arrow, so only the first *Shoot* action has any effect. Finally, the action *Climb* can be used to climb out of the cave, but only from square [1,1].
- **Sensors:** The agent has five sensors, each of which gives a single bit of information:
 - In the square containing the wumpus and in the directly (not diagonally) adjacent squares, the agent will perceive a *Stench*.
 - In the squares directly adjacent to a pit, the agent will perceive a *Breeze*.
 - In the square where the gold is, the agent will perceive a *Glitter*.
 - When an agent walks into a wall, it will perceive a *Bump*.
 - When the wumpus is killed, it emits a woeful *Scream* that can be perceived anywhere in the cave.

The percepts will be given to the agent program in the form of a list of five symbols; for example, if there is a stench and a breeze, but no glitter, bump, or scream, the agent program will get [*Stench*, *Breeze*, *None*, *None*, *None*].

We can characterize the wumpus environment along the various dimensions given in Chapter 2. Clearly, it is discrete, static, and single-agent. (The wumpus doesn't move, fortunately.) It is sequential, because rewards may come only after many actions are taken. It is partially observable, because some aspects of the state are not directly perceivable: the agent's location, the wumpus's state of health, and the availability of an arrow. As for the locations of the pits and the wumpus: we could treat them as unobserved parts of the state that happen to be immutable—in which case, the transition model for the environment is completely



known; or we could say that the transition model itself is unknown because the agent doesn't know which *Forward* actions are fatal—in which case, discovering the locations of pits and wumpus completes the agent's knowledge of the transition model.

For an agent in the environment, the main challenge is its initial ignorance of the configuration of the environment; overcoming this ignorance seems to require logical reasoning. In most instances of the wumpus world, it is possible for the agent to retrieve the gold safely. Occasionally, the agent must choose between going home empty-handed and risking death to find the gold. About 21% of the environments are utterly unfair, because the gold is in a pit or surrounded by pits.

Let us watch a knowledge-based wumpus agent exploring the environment shown in Figure 7.2. We use an informal knowledge representation language consisting of writing down symbols in a grid (as in Figures 7.3 and 7.4).

The agent's initial knowledge base contains the rules of the environment, as described previously; in particular, it knows that it is in [1,1] and that [1,1] is a safe square; we denote that with an "A" and "OK," respectively, in square [1,1].

The first percept is *[None, None, None, None, None]*, from which the agent can conclude that its neighboring squares, [1,2] and [2,1], are free of dangers—they are OK. Figure 7.3(a) shows the agent's state of knowledge at this point.

A cautious agent will move only into a square that it knows to be OK. Let us suppose the agent decides to move forward to [2,1]. The agent perceives a breeze (denoted by "B") in [2,1], so there must be a pit in a neighboring square. The pit cannot be in [1,1], by the rules of the game, so there must be a pit in [2,2] or [3,1] or both. The notation "P?" in Figure 7.3(b) indicates a possible pit in those squares. At this point, there is only one known square that is OK and that has not yet been visited. So the prudent agent will turn around, go back to [1,1], and then proceed to [1,2].

The agent perceives a stench in [1,2], resulting in the state of knowledge shown in Figure 7.4(a). The stench in [1,2] means that there must be a wumpus nearby. But the

1,4	2,4	3,4	4,4	
1,3	2,3	3,3	4,3	
1,2	2,2	3,2	4,2	
OK				
1,1	2,1	3,1	4,1	
A OK		OK		

(a)

1,4	2,4	3,4	4,4	
1,3	2,3	3,3	4,3	
1,2	2,2	P?	3,2	4,2
OK				
1,1	2,1	A B OK	3,1 P? V OK	4,1

(b)

Figure 7.3 The first step taken by the agent in the wumpus world. (a) The initial situation, after percept [None, None, None, None, None]. (b) After one move, with percept [None, Breeze, None, None, None].

1,4	2,4	3,4	4,4	
1,3 W!	2,3	3,3	4,3	
1,2 A S OK	2,2	3,2	4,2	
1,1 V OK	2,1 B V OK	3,1 P! V OK	4,1	

(a)

1,4	2,4 P?	3,4	4,4	
1,3 W!	2,3 A S G B	3,3 P?	4,3	
1,2 S V OK	2,2	V OK	3,2	4,2
1,1 V OK	2,1 B V OK	3,1 P! V OK	4,1	

(b)

Figure 7.4 Two later stages in the progress of the agent. (a) After the third move, with percept [Stench, None, None, None, None]. (b) After the fifth move, with percept [Stench, Breeze, Glitter, None, None].

wumpus cannot be in [1,1], by the rules of the game, and it cannot be in [2,2] (or the agent would have detected a stench when it was in [2,1]). Therefore, the agent can infer that the wumpus is in [1,3]. The notation W! indicates this inference. Moreover, the lack of a breeze in [1,2] implies that there is no pit in [2,2]. Yet the agent has already inferred that there must be a pit in either [2,2] or [3,1], so this means it must be in [3,1]. This is a fairly difficult inference, because it combines knowledge gained at different times in different places and relies on the lack of a percept to make one crucial step.

The agent has now proved to itself that there is neither a pit nor a wumpus in [2,2], so it is OK to move there. We do not show the agent’s state of knowledge at [2,2]; we just assume that the agent turns and moves to [2,3], giving us Figure 7.4(b). In [2,3], the agent detects a glitter, so it should grab the gold and then return home.

Note that in each case for which the agent draws a conclusion from the available information, that conclusion is *guaranteed* to be correct if the available information is correct. This is a fundamental property of logical reasoning. In the rest of this chapter, we describe how to build logical agents that can represent information and draw conclusions such as those described in the preceding paragraphs.

7.3 LOGIC

This section summarizes the fundamental concepts of logical representation and reasoning. These beautiful ideas are independent of any of logic’s particular forms. We therefore postpone the technical details of those forms until the next section, using instead the familiar example of ordinary arithmetic.

SYNTAX In Section 7.1, we said that knowledge bases consist of sentences. These sentences are expressed according to the **syntax** of the representation language, which specifies all the sentences that are well formed. The notion of syntax is clear enough in ordinary arithmetic: “ $x + y = 4$ ” is a well-formed sentence, whereas “ $x4y+$ ” is not.

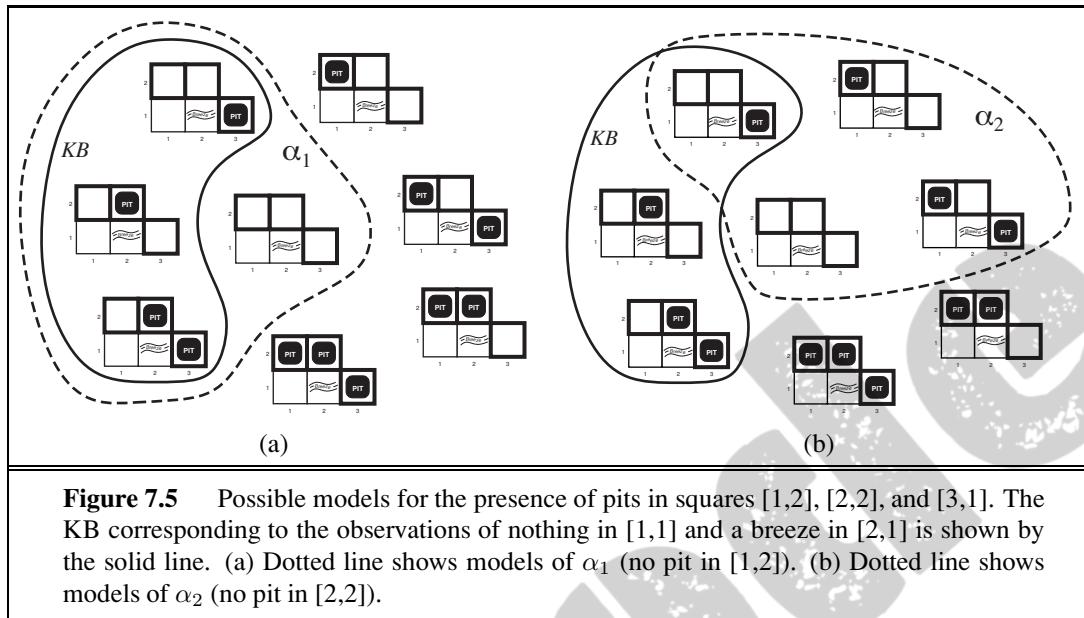
SEMANTICS A logic must also define the **semantics** or meaning of sentences. The semantics defines the **truth** of each sentence with respect to each **possible world**. For example, the semantics for arithmetic specifies that the sentence “ $x + y = 4$ ” is true in a world where x is 2 and y is 2, but false in a world where x is 1 and y is 1. In standard logics, every sentence must be either true or false in each possible world—there is no “in between.”¹

TRUTH When we need to be precise, we use the term **model** in place of “possible world.” Whereas possible worlds might be thought of as (potentially) real environments that the agent might or might not be in, models are mathematical abstractions, each of which simply fixes the truth or falsehood of every relevant sentence. Informally, we may think of a possible world as, for example, having x men and y women sitting at a table playing bridge, and the sentence $x + y = 4$ is true when there are four people in total. Formally, the possible models are just all possible assignments of real numbers to the variables x and y . Each such assignment fixes the truth of any sentence of arithmetic whose variables are x and y . If a sentence α is true in model m , we say that m **satisfies** α or sometimes m is a **model of** α . We use the notation $M(\alpha)$ to mean the set of all models of α .

POSSIBLE WORLD Now that we have a notion of truth, we are ready to talk about logical reasoning. This involves the relation of logical **entailment** between sentences—the idea that a sentence *follows logically* from another sentence. In mathematical notation, we write

$$\alpha \models \beta$$

¹ Fuzzy logic, discussed in Chapter 14, allows for degrees of truth.



to mean that the sentence α entails the sentence β . The formal definition of entailment is this: $\alpha \models \beta$ if and only if, in every model in which α is true, β is also true. Using the notation just introduced, we can write

$$\alpha \models \beta \text{ if and only if } M(\alpha) \subseteq M(\beta).$$

(Note the direction of the \subseteq here: if $\alpha \models \beta$, then α is a *stronger* assertion than β : it rules out *more* possible worlds.) The relation of entailment is familiar from arithmetic; we are happy with the idea that the sentence $x = 0$ entails the sentence $xy = 0$. Obviously, in any model where x is zero, it is the case that xy is zero (regardless of the value of y).

We can apply the same kind of analysis to the wumpus-world reasoning example given in the preceding section. Consider the situation in Figure 7.3(b): the agent has detected nothing in [1,1] and a breeze in [2,1]. These percepts, combined with the agent's knowledge of the rules of the wumpus world, constitute the KB. The agent is interested (among other things) in whether the adjacent squares [1,2], [2,2], and [3,1] contain pits. Each of the three squares might or might not contain a pit, so (for the purposes of this example) there are $2^3 = 8$ possible models. These eight models are shown in Figure 7.5.²

The KB can be thought of as a set of sentences or as a single sentence that asserts all the individual sentences. The KB is false in models that contradict what the agent knows—for example, the KB is false in any model in which [1,2] contains a pit, because there is no breeze in [1,1]. There are in fact just three models in which the KB is true, and these are

² Although the figure shows the models as partial wumpus worlds, they are really nothing more than assignments of *true* and *false* to the sentences “there is a pit in [1,2]” etc. Models, in the mathematical sense, do not need to have ‘orrible ’airy wumpuses in them.

shown surrounded by a solid line in Figure 7.5. Now let us consider two possible conclusions:

- α_1 = “There is no pit in [1,2].”
- α_2 = “There is no pit in [2,2].”

We have surrounded the models of α_1 and α_2 with dotted lines in Figures 7.5(a) and 7.5(b), respectively. By inspection, we see the following:

in every model in which KB is true, α_1 is also true.

Hence, $KB \models \alpha_1$: there is no pit in [1,2]. We can also see that

in some models in which KB is true, α_2 is false.

Hence, $KB \not\models \alpha_2$: the agent *cannot* conclude that there is no pit in [2,2]. (Nor can it conclude that there *is* a pit in [2,2].)³

LOGICAL INFERENCE
MODEL CHECKING

The preceding example not only illustrates entailment but also shows how the definition of entailment can be applied to derive conclusions—that is, to carry out **logical inference**. The inference algorithm illustrated in Figure 7.5 is called **model checking**, because it enumerates all possible models to check that α is true in all models in which KB is true, that is, that $M(KB) \subseteq M(\alpha)$.

In understanding entailment and inference, it might help to think of the set of all consequences of KB as a haystack and of α as a needle. Entailment is like the needle being in the haystack; inference is like finding it. This distinction is embodied in some formal notation: if an inference algorithm i can derive α from KB , we write

$$KB \vdash_i \alpha ,$$

which is pronounced “ α is derived from KB by i ” or “ i derives α from KB .”

SOUND
TRUTH-PRESERVING
COMPLETENESS

An inference algorithm that derives only entailed sentences is called **sound** or **truth-preserving**. Soundness is a highly desirable property. An unsound inference procedure essentially makes things up as it goes along—it announces the discovery of nonexistent needles. It is easy to see that model checking, when it is applicable,⁴ is a sound procedure.

The property of **completeness** is also desirable: an inference algorithm is complete if it can derive any sentence that is entailed. For real haystacks, which are finite in extent, it seems obvious that a systematic examination can always decide whether the needle is in the haystack. For many knowledge bases, however, the haystack of consequences is infinite, and completeness becomes an important issue.⁵ Fortunately, there are complete inference procedures for logics that are sufficiently expressive to handle many knowledge bases.

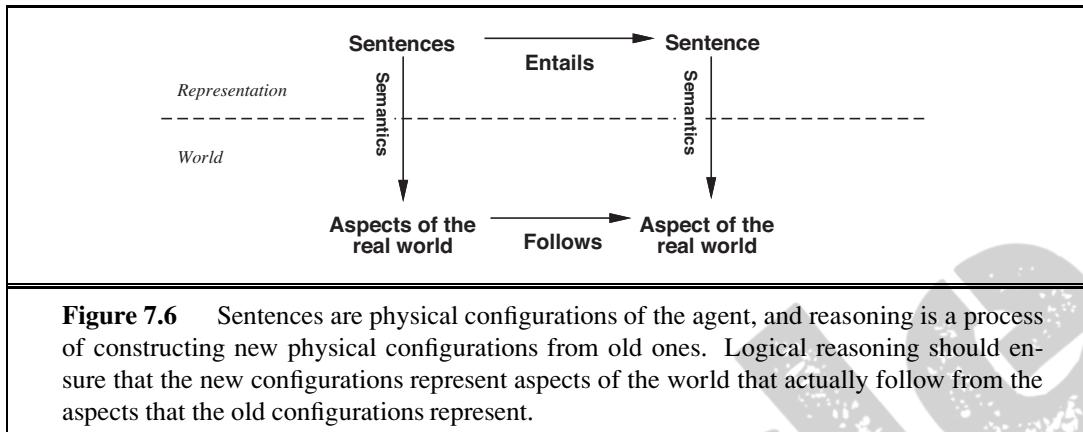


We have described a reasoning process whose conclusions are guaranteed to be true in any world in which the premises are true; in particular, *if KB is true in the real world, then any sentence α derived from KB by a sound inference procedure is also true in the real world*. So, while an inference process operates on “syntax”—internal physical configurations such as bits in registers or patterns of electrical blips in brains—the process *corresponds*

³ The agent can calculate the *probability* that there is a pit in [2,2]; Chapter 13 shows how.

⁴ Model checking works if the space of models is finite—for example, in wumpus worlds of fixed size. For arithmetic, on the other hand, the space of models is infinite: even if we restrict ourselves to the integers, there are infinitely many pairs of values for x and y in the sentence $x + y = 4$.

⁵ Compare with the case of infinite search spaces in Chapter 3, where depth-first search is not complete.



to the real-world relationship whereby some aspect of the real world is the case⁶ by virtue of other aspects of the real world being the case. This correspondence between world and representation is illustrated in Figure 7.6.

GROUNDING



The final issue to consider is **grounding**—the connection between logical reasoning processes and the real environment in which the agent exists. In particular, *how do we know that KB is true in the real world?* (After all, KB is just “syntax” inside the agent’s head.) This is a philosophical question about which many, many books have been written. (See Chapter 26.) A simple answer is that the agent’s sensors create the connection. For example, our wumpus-world agent has a smell sensor. The agent program creates a suitable sentence whenever there is a smell. Then, whenever that sentence is in the knowledge base, it is true in the real world. Thus, the meaning and truth of percept sentences are defined by the processes of sensing and sentence construction that produce them. What about the rest of the agent’s knowledge, such as its belief that wumpuses cause smells in adjacent squares? This is not a direct representation of a single percept, but a general rule—derived, perhaps, from perceptual experience but not identical to a statement of that experience. General rules like this are produced by a sentence construction process called **learning**, which is the subject of Part V. Learning is fallible. It could be the case that wumpuses cause smells *except on February 29 in leap years*, which is when they take their baths. Thus, KB may not be true in the real world, but with good learning procedures, there is reason for optimism.

7.4 PROPOSITIONAL LOGIC: A VERY SIMPLE LOGIC

PROPOSITIONAL
LOGIC

We now present a simple but powerful logic called **propositional logic**. We cover the syntax of propositional logic and its semantics—the way in which the truth of sentences is determined. Then we look at **entailment**—the relation between a sentence and another sentence that follows from it—and see how this leads to a simple algorithm for logical inference. Everything takes place, of course, in the wumpus world.

⁶ As Wittgenstein (1922) put it in his famous *Tractatus*: “The world is everything that is the case.”

7.4.1 Syntax

ATOMIC SENTENCES
PROPOSITION SYMBOL

COMPLEX SENTENCES
LOGICAL CONNECTIVES

NEGATION
LITERAL

CONJUNCTION

DISJUNCTION

IMPLICATION

PREMISE

CONCLUSION

RULES

BICONDITIONAL

The **syntax** of propositional logic defines the allowable sentences. The **atomic sentences** consist of a single **proposition symbol**. Each such symbol stands for a proposition that can be true or false. We use symbols that start with an uppercase letter and may contain other letters or subscripts, for example: P , Q , R , $W_{1,3}$ and $North$. The names are arbitrary but are often chosen to have some mnemonic value—we use $W_{1,3}$ to stand for the proposition that the wumpus is in [1,3]. (Remember that symbols such as $W_{1,3}$ are *atomic*, i.e., W , 1, and 3 are not meaningful parts of the symbol.) There are two proposition symbols with fixed meanings: *True* is the always-true proposition and *False* is the always-false proposition. **Complex sentences** are constructed from simpler sentences, using parentheses and **logical connectives**. There are five connectives in common use:

- \neg (not). A sentence such as $\neg W_{1,3}$ is called the **negation** of $W_{1,3}$. A **literal** is either an atomic sentence (a **positive literal**) or a negated atomic sentence (a **negative literal**).
- \wedge (and). A sentence whose main connective is \wedge , such as $W_{1,3} \wedge P_{3,1}$, is called a **conjunction**; its parts are the **conjuncts**. (The \wedge looks like an “A” for “And.”)
- \vee (or). A sentence using \vee , such as $(W_{1,3} \wedge P_{3,1}) \vee W_{2,2}$, is a **disjunction** of the **disjuncts** $(W_{1,3} \wedge P_{3,1})$ and $W_{2,2}$. (Historically, the \vee comes from the Latin “vel,” which means “or.” For most people, it is easier to remember \vee as an upside-down \wedge .)
- \Rightarrow (implies). A sentence such as $(W_{1,3} \wedge P_{3,1}) \Rightarrow \neg W_{2,2}$ is called an **implication** (or conditional). Its **premise** or **antecedent** is $(W_{1,3} \wedge P_{3,1})$, and its **conclusion** or **consequent** is $\neg W_{2,2}$. Implications are also known as **rules** or **if-then** statements. The implication symbol is sometimes written in other books as \supset or \rightarrow .
- \Leftrightarrow (if and only if). The sentence $W_{1,3} \Leftrightarrow \neg W_{2,2}$ is a **biconditional**. Some other books write this as \equiv .

<pre> Sentence → AtomicSentence ComplexSentence AtomicSentence → True False P Q R ... ComplexSentence → (Sentence) [Sentence] ¬ Sentence Sentence ∧ Sentence Sentence ∨ Sentence Sentence ⇒ Sentence Sentence ⇔ Sentence </pre>
<p>OPERATOR PRECEDENCE : $\neg, \wedge, \vee, \Rightarrow, \Leftrightarrow$</p>

Figure 7.7 A BNF (Backus–Naur Form) grammar of sentences in propositional logic, along with operator precedences, from highest to lowest.

Figure 7.7 gives a formal grammar of propositional logic; see page 1060 if you are not familiar with the BNF notation. The BNF grammar by itself is ambiguous; a sentence with several operators can be parsed by the grammar in multiple ways. To eliminate the ambiguity we define a precedence for each operator. The “not” operator (\neg) has the highest precedence, which means that in the sentence $\neg A \wedge B$ the \neg binds most tightly, giving us the equivalent of $(\neg A) \wedge B$ rather than $\neg(A \wedge B)$. (The notation for ordinary arithmetic is the same: $-2 + 4$ is 2, not -6 .) When in doubt, use parentheses to make sure of the right interpretation. Square brackets mean the same thing as parentheses; the choice of square brackets or parentheses is solely to make it easier for a human to read a sentence.

7.4.2 Semantics

TRUTH VALUE

Having specified the syntax of propositional logic, we now specify its semantics. The semantics defines the rules for determining the truth of a sentence with respect to a particular model. In propositional logic, a model simply fixes the **truth value**—*true* or *false*—for every proposition symbol. For example, if the sentences in the knowledge base make use of the proposition symbols $P_{1,2}$, $P_{2,2}$, and $P_{3,1}$, then one possible model is

$$m_1 = \{P_{1,2} = \text{false}, P_{2,2} = \text{false}, P_{3,1} = \text{true}\}.$$

With three proposition symbols, there are $2^3 = 8$ possible models—exactly those depicted in Figure 7.5. Notice, however, that the models are purely mathematical objects with no necessary connection to wumpus worlds. $P_{1,2}$ is just a symbol; it might mean “there is a pit in [1,2]” or “I’m in Paris today and tomorrow.”

The semantics for propositional logic must specify how to compute the truth value of *any* sentence, given a model. This is done recursively. All sentences are constructed from atomic sentences and the five connectives; therefore, we need to specify how to compute the truth of atomic sentences and how to compute the truth of sentences formed with each of the five connectives. Atomic sentences are easy:

- *True* is true in every model and *False* is false in every model.
- The truth value of every other proposition symbol must be specified directly in the model. For example, in the model m_1 given earlier, $P_{1,2}$ is false.

TRUTH TABLE

For complex sentences, we have five rules, which hold for any subsentences P and Q in any model m (here “iff” means “if and only if”):

- $\neg P$ is true iff P is false in m .
- $P \wedge Q$ is true iff both P and Q are true in m .
- $P \vee Q$ is true iff either P or Q is true in m .
- $P \Rightarrow Q$ is true unless P is true and Q is false in m .
- $P \Leftrightarrow Q$ is true iff P and Q are both true or both false in m .

The rules can also be expressed with **truth tables** that specify the truth value of a complex sentence for each possible assignment of truth values to its components. Truth tables for the five connectives are given in Figure 7.8. From these tables, the truth value of any sentence s can be computed with respect to any model m by a simple recursive evaluation. For example,

P	Q	$\neg P$	$P \wedge Q$	$P \vee Q$	$P \Rightarrow Q$	$P \Leftrightarrow Q$
<i>false</i>	<i>false</i>	<i>true</i>	<i>false</i>	<i>false</i>	<i>true</i>	<i>true</i>
<i>false</i>	<i>true</i>	<i>true</i>	<i>false</i>	<i>true</i>	<i>true</i>	<i>false</i>
<i>true</i>	<i>false</i>	<i>false</i>	<i>false</i>	<i>true</i>	<i>false</i>	<i>false</i>
<i>true</i>	<i>true</i>	<i>false</i>	<i>true</i>	<i>true</i>	<i>true</i>	<i>true</i>

Figure 7.8 Truth tables for the five logical connectives. To use the table to compute, for example, the value of $P \vee Q$ when P is true and Q is false, first look on the left for the row where P is *true* and Q is *false* (the third row). Then look in that row under the $P \vee Q$ column to see the result: *true*.

the sentence $\neg P_{1,2} \wedge (P_{2,2} \vee P_{3,1})$, evaluated in m_1 , gives $true \wedge (false \vee true) = true \wedge true = true$. Exercise 7.3 asks you to write the algorithm $PL\text{-TRUE?}(s, m)$, which computes the truth value of a propositional logic sentence s in a model m .

The truth tables for “and,” “or,” and “not” are in close accord with our intuitions about the English words. The main point of possible confusion is that $P \vee Q$ is true when P is true or Q is true *or both*. A different connective, called “exclusive or” (“xor” for short), yields false when both disjuncts are true.⁷ There is no consensus on the symbol for exclusive or; some choices are $\dot{\vee}$ or \neq or \oplus .

The truth table for \Rightarrow may not quite fit one’s intuitive understanding of “ P implies Q ” or “if P then Q .” For one thing, propositional logic does not require any relation of *causation* or *relevance* between P and Q . The sentence “5 is odd implies Tokyo is the capital of Japan” is a true sentence of propositional logic (under the normal interpretation), even though it is a decidedly odd sentence of English. Another point of confusion is that any implication is true whenever its antecedent is false. For example, “5 is even implies Sam is smart” is true, regardless of whether Sam is smart. This seems bizarre, but it makes sense if you think of “ $P \Rightarrow Q$ ” as saying, “If P is true, then I am claiming that Q is true. Otherwise I am making no claim.” The only way for this sentence to be *false* is if P is true but Q is false.

The biconditional, $P \Leftrightarrow Q$, is true whenever both $P \Rightarrow Q$ and $Q \Rightarrow P$ are true. In English, this is often written as “ P if and only if Q .” Many of the rules of the wumpus world are best written using \Leftrightarrow . For example, a square is breezy *if* a neighboring square has a pit, and a square is breezy *only if* a neighboring square has a pit. So we need a biconditional,

$$B_{1,1} \Leftrightarrow (P_{1,2} \vee P_{2,1}),$$

where $B_{1,1}$ means that there is a breeze in [1,1].

7.4.3 A simple knowledge base

Now that we have defined the semantics for propositional logic, we can construct a knowledge base for the wumpus world. We focus first on the *immutable* aspects of the wumpus world, leaving the mutable aspects for a later section. For now, we need the following symbols for each $[x, y]$ location:

⁷ Latin has a separate word, *aut*, for exclusive or.

$P_{x,y}$ is true if there is a pit in $[x, y]$.

$W_{x,y}$ is true if there is a wumpus in $[x, y]$, dead or alive.

$B_{x,y}$ is true if the agent perceives a breeze in $[x, y]$.

$S_{x,y}$ is true if the agent perceives a stench in $[x, y]$.

The sentences we write will suffice to derive $\neg P_{1,2}$ (there is no pit in [1,2]), as was done informally in Section 7.3. We label each sentence R_i so that we can refer to them:

- There is no pit in [1,1]:

$$R_1 : \neg P_{1,1} .$$

- A square is breezy if and only if there is a pit in a neighboring square. This has to be stated for each square; for now, we include just the relevant squares:

$$R_2 : B_{1,1} \Leftrightarrow (P_{1,2} \vee P_{2,1}) .$$

$$R_3 : B_{2,1} \Leftrightarrow (P_{1,1} \vee P_{2,2} \vee P_{3,1}) .$$

- The preceding sentences are true in all wumpus worlds. Now we include the breeze percepts for the first two squares visited in the specific world the agent is in, leading up to the situation in Figure 7.3(b).

$$R_4 : \neg B_{1,1} .$$

$$R_5 : B_{2,1} .$$

7.4.4 A simple inference procedure

Our goal now is to decide whether $KB \models \alpha$ for some sentence α . For example, is $\neg P_{1,2}$ entailed by our KB ? Our first algorithm for inference is a model-checking approach that is a direct implementation of the definition of entailment: enumerate the models, and check that α is true in every model in which KB is true. Models are assignments of *true* or *false* to every proposition symbol. Returning to our wumpus-world example, the relevant proposition symbols are $B_{1,1}, B_{2,1}, P_{1,1}, P_{1,2}, P_{2,1}, P_{2,2}$, and $P_{3,1}$. With seven symbols, there are $2^7 = 128$ possible models; in three of these, KB is true (Figure 7.9). In those three models, $\neg P_{1,2}$ is true, hence there is no pit in [1,2]. On the other hand, $P_{2,2}$ is true in two of the three models and false in one, so we cannot yet tell whether there is a pit in [2,2].

Figure 7.9 reproduces in a more precise form the reasoning illustrated in Figure 7.5. A general algorithm for deciding entailment in propositional logic is shown in Figure 7.10. Like the BACKTRACKING-SEARCH algorithm on page 215, TT-ENTAILS? performs a recursive enumeration of a finite space of assignments to symbols. The algorithm is **sound** because it implements directly the definition of entailment, and **complete** because it works for any KB and α and always terminates—there are only finitely many models to examine.

Of course, “finitely many” is not always the same as “few.” If KB and α contain n symbols in all, then there are 2^n models. Thus, the time complexity of the algorithm is $O(2^n)$. (The space complexity is only $O(n)$ because the enumeration is depth-first.) Later in this chapter we show algorithms that are much more efficient in many cases. Unfortunately, propositional entailment is co-NP-complete (i.e., probably no easier than NP-complete—see Appendix A), so *every known inference algorithm for propositional logic has a worst-case complexity that is exponential in the size of the input*.



$B_{1,1}$	$B_{2,1}$	$P_{1,1}$	$P_{1,2}$	$P_{2,1}$	$P_{2,2}$	$P_{3,1}$	R_1	R_2	R_3	R_4	R_5	KB
false	true	true	true	true	false	false						
false	false	false	false	false	false	true	true	true	false	true	false	false
:	:	:	:	:	:	:	:	:	:	:	:	:
false	true	false	false	false	false	false	true	true	false	true	true	false
false	true	false	false	false	false	true	true	true	true	true	true	<u>true</u>
false	true	false	false	false	false	true	true	true	true	true	true	<u>true</u>
false	true	false	false	true	false	false	true	false	false	true	true	false
:	:	:	:	:	:	:	:	:	:	:	:	:
true	false	true	true	false	true	false						

Figure 7.9 A truth table constructed for the knowledge base given in the text. KB is true if R_1 through R_5 are true, which occurs in just 3 of the 128 rows (the ones underlined in the right-hand column). In all 3 rows, $P_{1,2}$ is false, so there is no pit in [1,2]. On the other hand, there might (or might not) be a pit in [2,2].

```

function TT-ENTAILS?( $KB, \alpha$ ) returns true or false
  inputs:  $KB$ , the knowledge base, a sentence in propositional logic
            $\alpha$ , the query, a sentence in propositional logic
  symbols  $\leftarrow$  a list of the proposition symbols in  $KB$  and  $\alpha$ 
  return TT-CHECK-ALL( $KB, \alpha, symbols, \{ \}$ )



---


function TT-CHECK-ALL( $KB, \alpha, symbols, model$ ) returns true or false
  if EMPTY?( $symbols$ ) then
    if PL-TRUE?( $KB, model$ ) then return PL-TRUE?( $\alpha, model$ )
    else return true // when  $KB$  is false, always return true
  else do
     $P \leftarrow$  FIRST( $symbols$ )
    rest  $\leftarrow$  REST( $symbols$ )
    return (TT-CHECK-ALL( $KB, \alpha, rest, model \cup \{P = true\}$ )
           and
           TT-CHECK-ALL( $KB, \alpha, rest, model \cup \{P = false\}$ ))

```

Figure 7.10 A truth-table enumeration algorithm for deciding propositional entailment. (TT stands for truth table.) PL-TRUE? returns *true* if a sentence holds within a model. The variable *model* represents a partial model—an assignment to some of the symbols. The keyword “**and**” is used here as a logical operation on its two arguments, returning *true* or *false*.

$(\alpha \wedge \beta) \equiv (\beta \wedge \alpha)$	commutativity of \wedge
$(\alpha \vee \beta) \equiv (\beta \vee \alpha)$	commutativity of \vee
$((\alpha \wedge \beta) \wedge \gamma) \equiv (\alpha \wedge (\beta \wedge \gamma))$	associativity of \wedge
$((\alpha \vee \beta) \vee \gamma) \equiv (\alpha \vee (\beta \vee \gamma))$	associativity of \vee
$\neg(\neg\alpha) \equiv \alpha$	double-negation elimination
$(\alpha \Rightarrow \beta) \equiv (\neg\beta \Rightarrow \neg\alpha)$	contraposition
$(\alpha \Rightarrow \beta) \equiv (\neg\alpha \vee \beta)$	implication elimination
$(\alpha \Leftrightarrow \beta) \equiv ((\alpha \Rightarrow \beta) \wedge (\beta \Rightarrow \alpha))$	biconditional elimination
$\neg(\alpha \wedge \beta) \equiv (\neg\alpha \vee \neg\beta)$	De Morgan
$\neg(\alpha \vee \beta) \equiv (\neg\alpha \wedge \neg\beta)$	De Morgan
$(\alpha \wedge (\beta \vee \gamma)) \equiv ((\alpha \wedge \beta) \vee (\alpha \wedge \gamma))$	distributivity of \wedge over \vee
$(\alpha \vee (\beta \wedge \gamma)) \equiv ((\alpha \vee \beta) \wedge (\alpha \vee \gamma))$	distributivity of \vee over \wedge

Figure 7.11 Standard logical equivalences. The symbols α , β , and γ stand for arbitrary sentences of propositional logic.