

# Abstract Interpretation

Lecture (1)

Sriram Rajamani  
Microsoft Research

# Two approaches to analysing a program

## Testing

- Exercise some behaviours
- “Underapproximation”
- Can miss errors
- Unsound

## Verification

- Exercise all behaviours (even infeasible ones)
- “overapproximation”
- Can generate false errors
- Incomplete

Abstract interpretation is a unified theory for verification of programs.  
Proposed by Cousot-Cousot in a classic POPL 1977 paper.

# Concrete vs abstract interpretation

- Concrete interpretation of a program is how we normally imagine how a program executes
  - We give it inputs, it runs and produces an output
- Abstract interpretation models “all possible” execution over “all possible inputs”.
  - For this, we need to understand some special domains (which are sets with orderings) which are “semi-lattices”

# Partially ordered sets (or Po-sets)

$S$  is a po-set or a partially ordered set, if it has a binary relation  $\leq$  which is:

- Reflexive: for all  $x \in S$ ,  $x \leq x$
- Antisymmetric: for all  $x, y \in S$ ,  $x \leq y \wedge y \leq x \Rightarrow x = y$
- Transitive: for all  $x, y, z \in S$ ,  $x \leq y \wedge y \leq z \Rightarrow x \leq z$

# Lower bounds

Let  $\langle S, \leq \rangle$  be a po-set

The lower bound of a set  $A \subseteq S$  is an element  $\ell$  such that  
for all  $a \in A$ ,  $\ell \leq a$

Note1 : lower bound need not be unique

Note 2: if there is a lower bound  $\ell \uparrow^*$  such that for every lower bound  $\ell$  of  $A$  we have that  $\ell \leq \ell \uparrow^*$ , then such an  $\ell \uparrow^*$  is called a “greatest lower bound” or “GLB” of  $A$

# Upper bounds

Let  $\langle S, \leq \rangle$  be a po-set

The upper bound of a set  $A \subseteq S$  is an element  $u$  such that

for all  $a \in A$ ,  $a \leq u$

Note1 : upper bound need not be unique

Note 2: if there is a lower bound  $u \uparrow^*$  such that for every upper bound  $u$  of  $A$  we have that  $u \uparrow^* \leq u$ , then such a  $u \uparrow^*$  is called a “least upper bound” or “LUB” of  $A$

# Lattice

Let  $\langle S, \leq \rangle$  be a po-set

$\langle S, \leq \rangle$  is a lattice if every non-empty subset of elements in  $S$  has a GLB and LUB

# Join Semi-Lattice

Let  $\langle S, \leq \rangle$  be a po-set

$\langle S, \leq \rangle$  is a join semi-lattice if every non-empty subset of elements in  $S$  has a LUB in  $S$

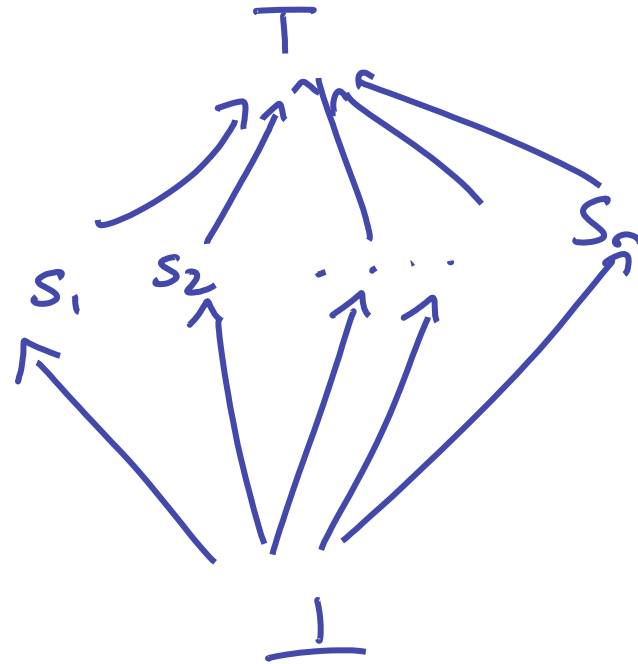
Note: we can similarly define a meet semi-lattice, but we won't bother!



## Set to Lattices

Any set  $S = \{s_1, s_2, \dots, s_n\}$   
can be made into a lattice  $S^0$

$S^0$ :

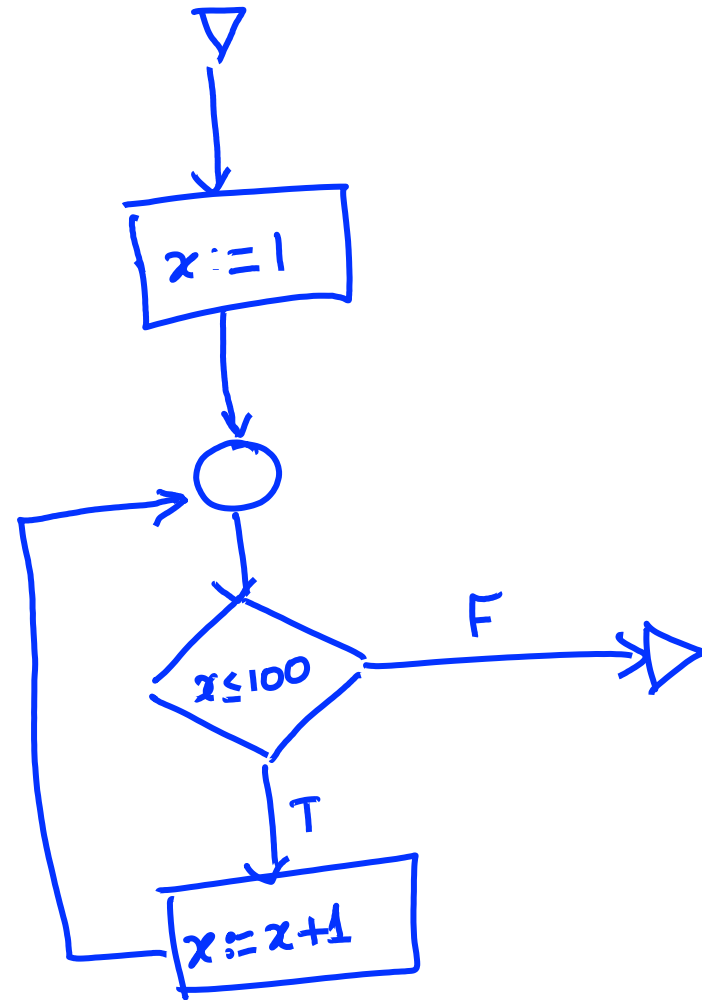


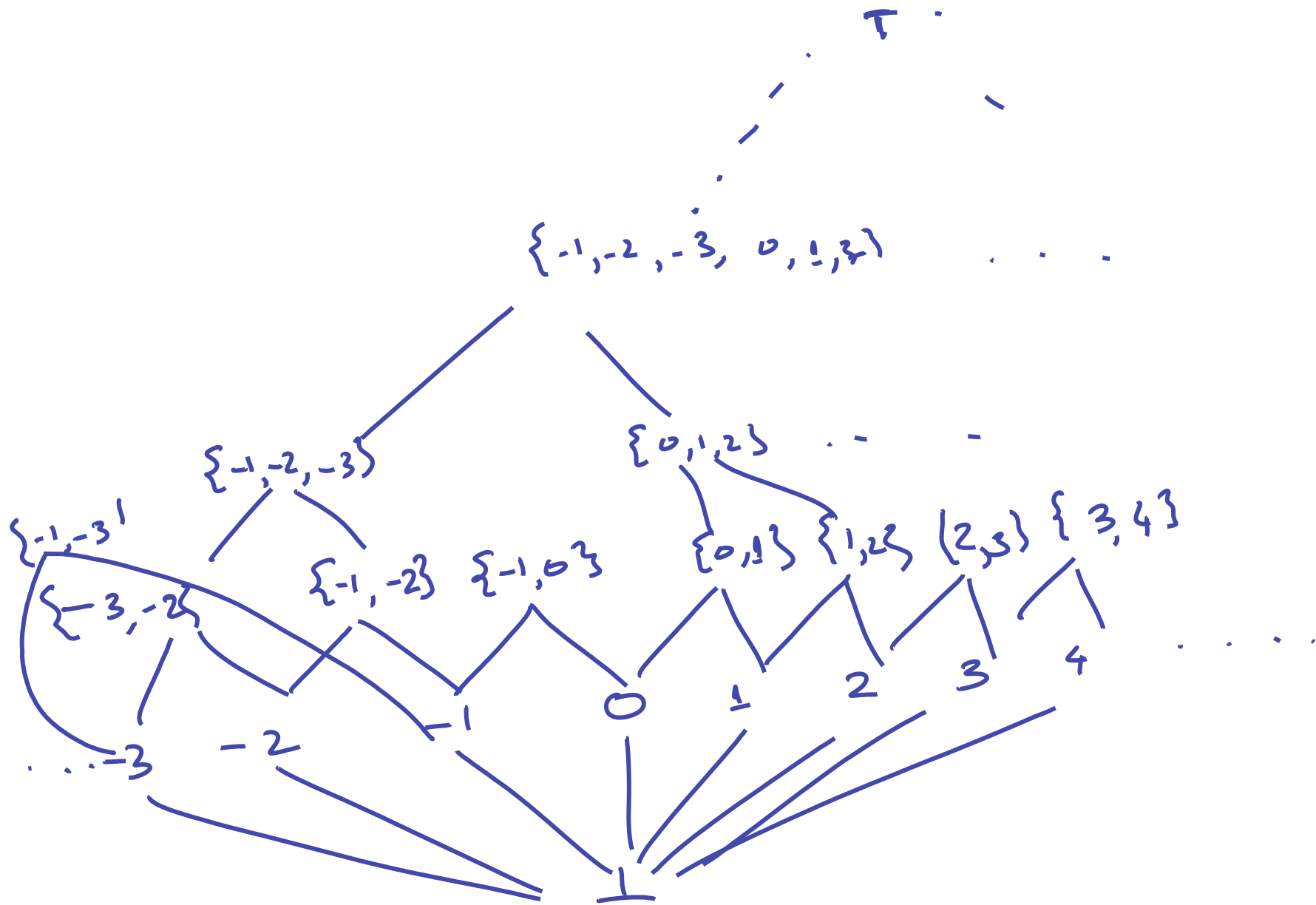
# Why did we do all this semi-lattice stuff?

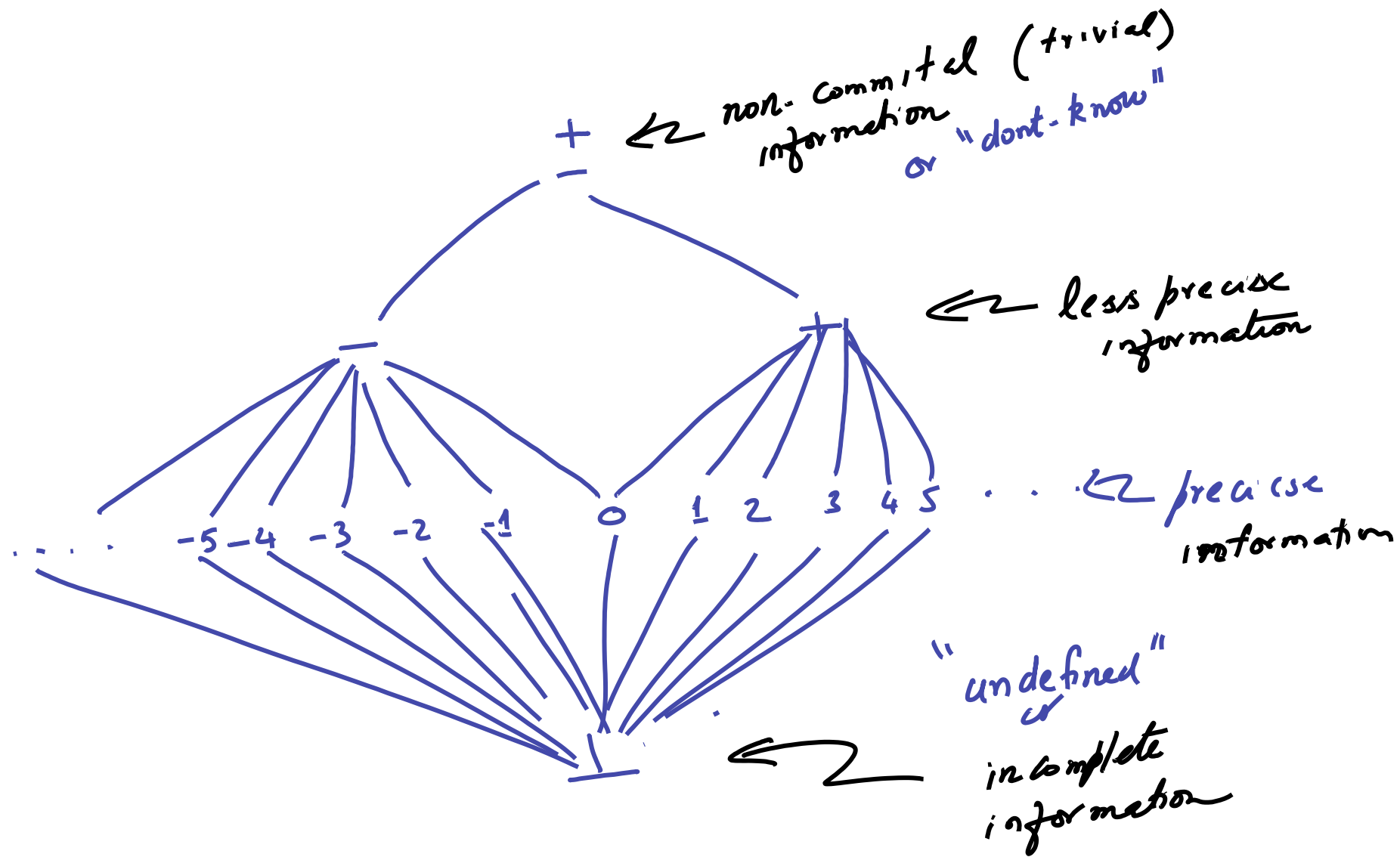
In order to do verification 😊

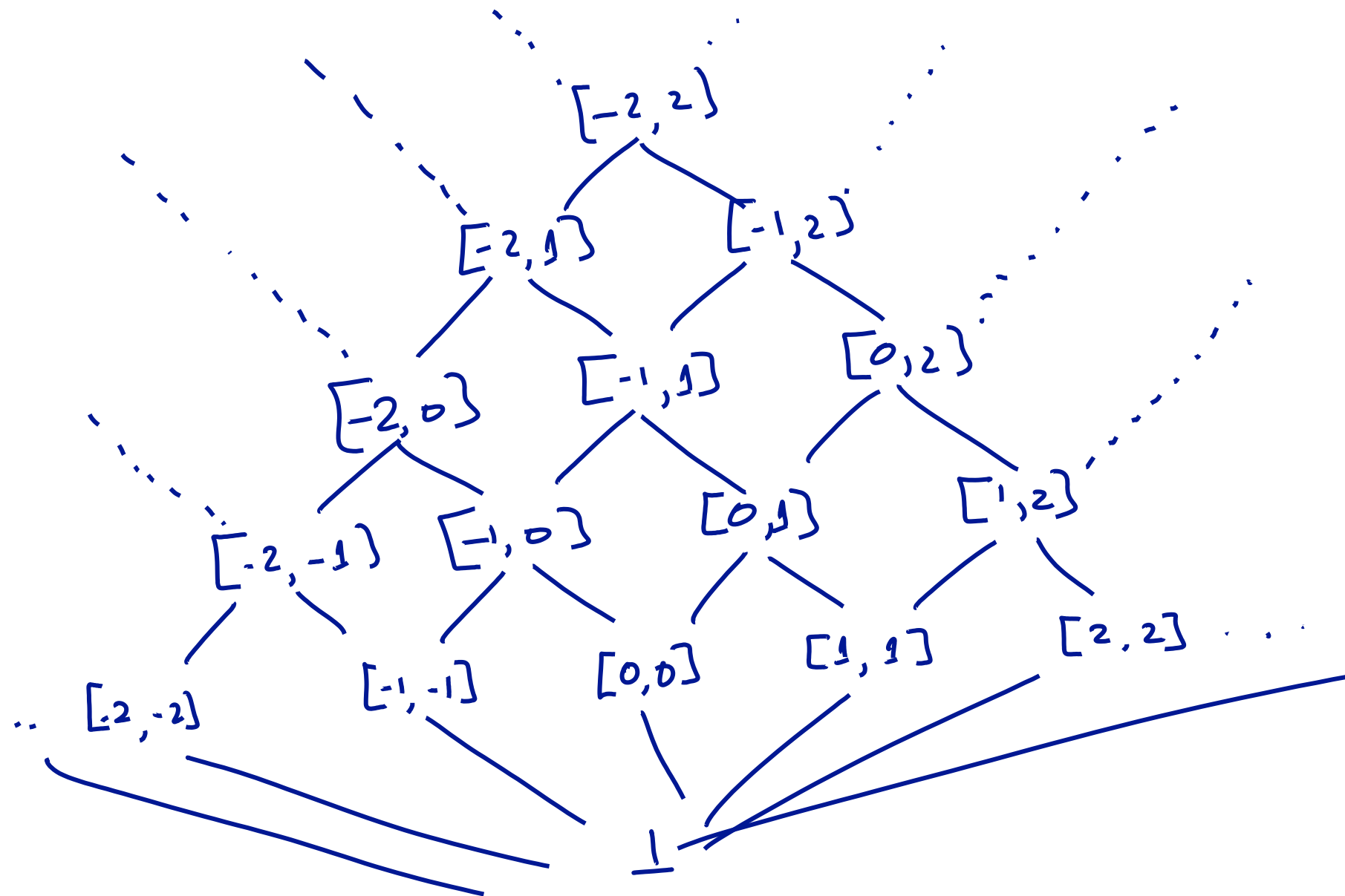
We can give meaning to a program (over all behaviours) by a fix-point computed over a semi-lattice!!!!

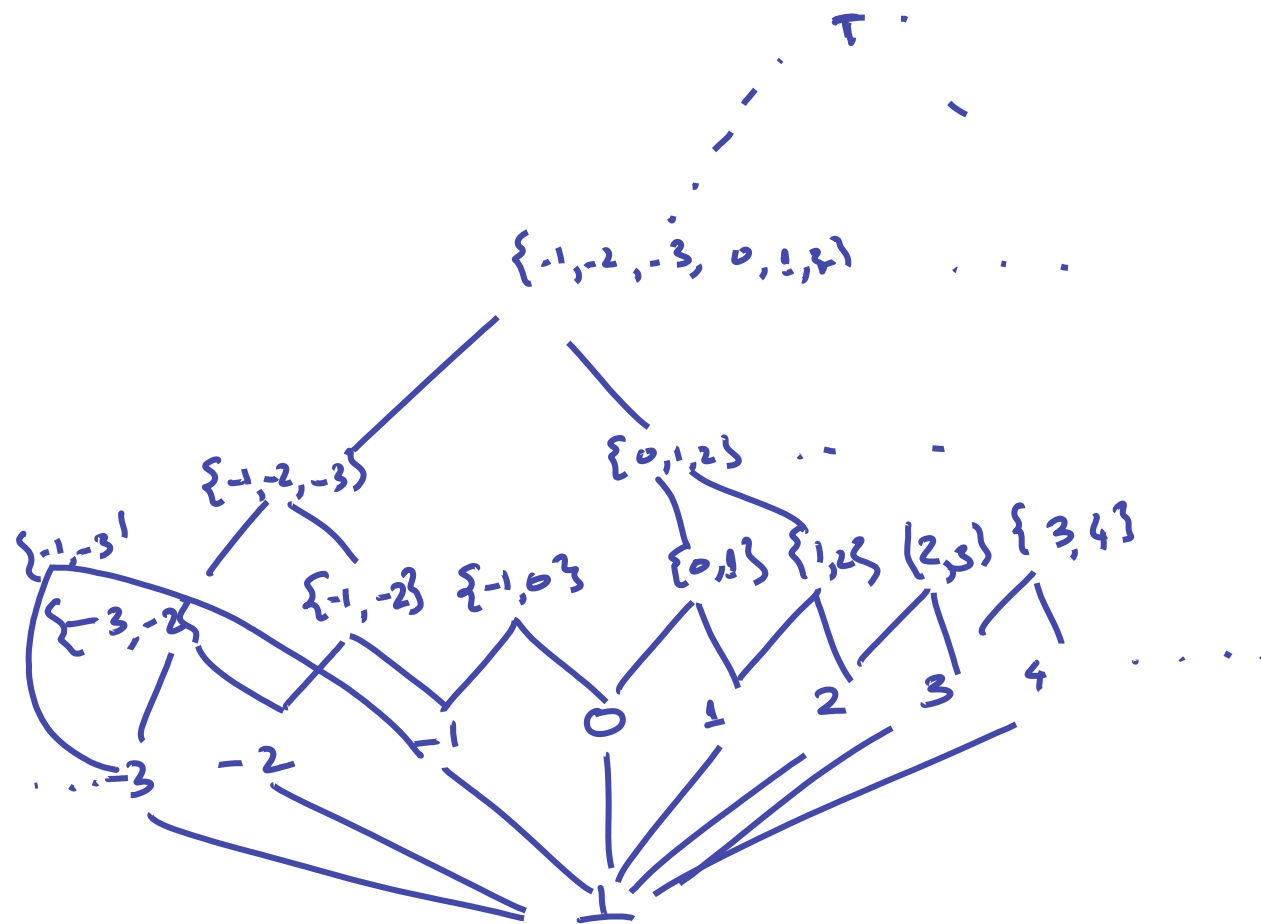
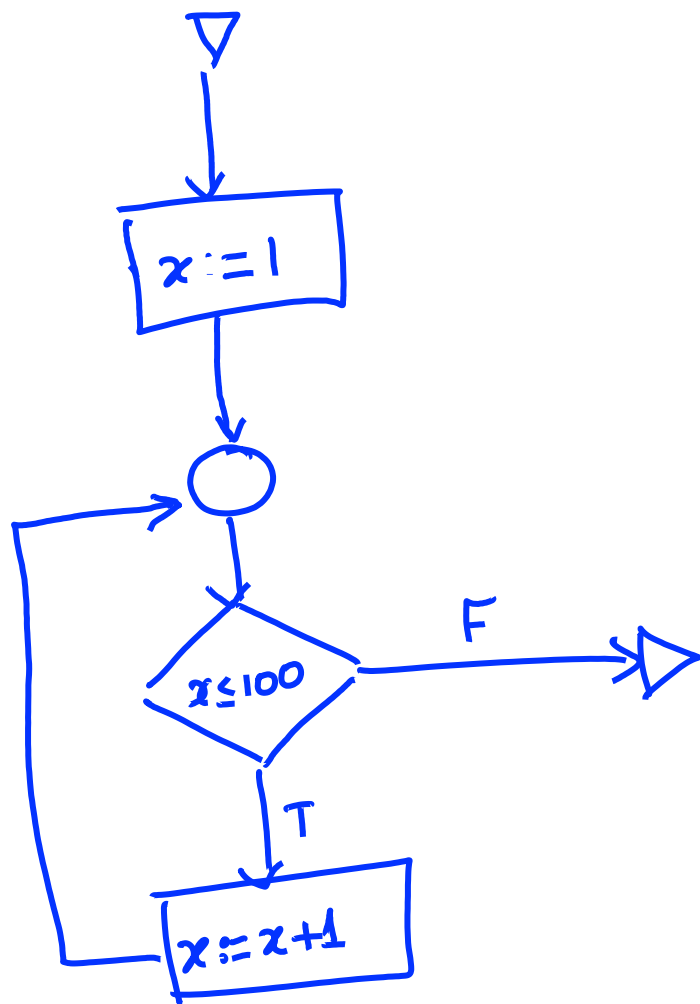
Example: we will use the program on the right as a case study to illustrate and explain abstract interpretation



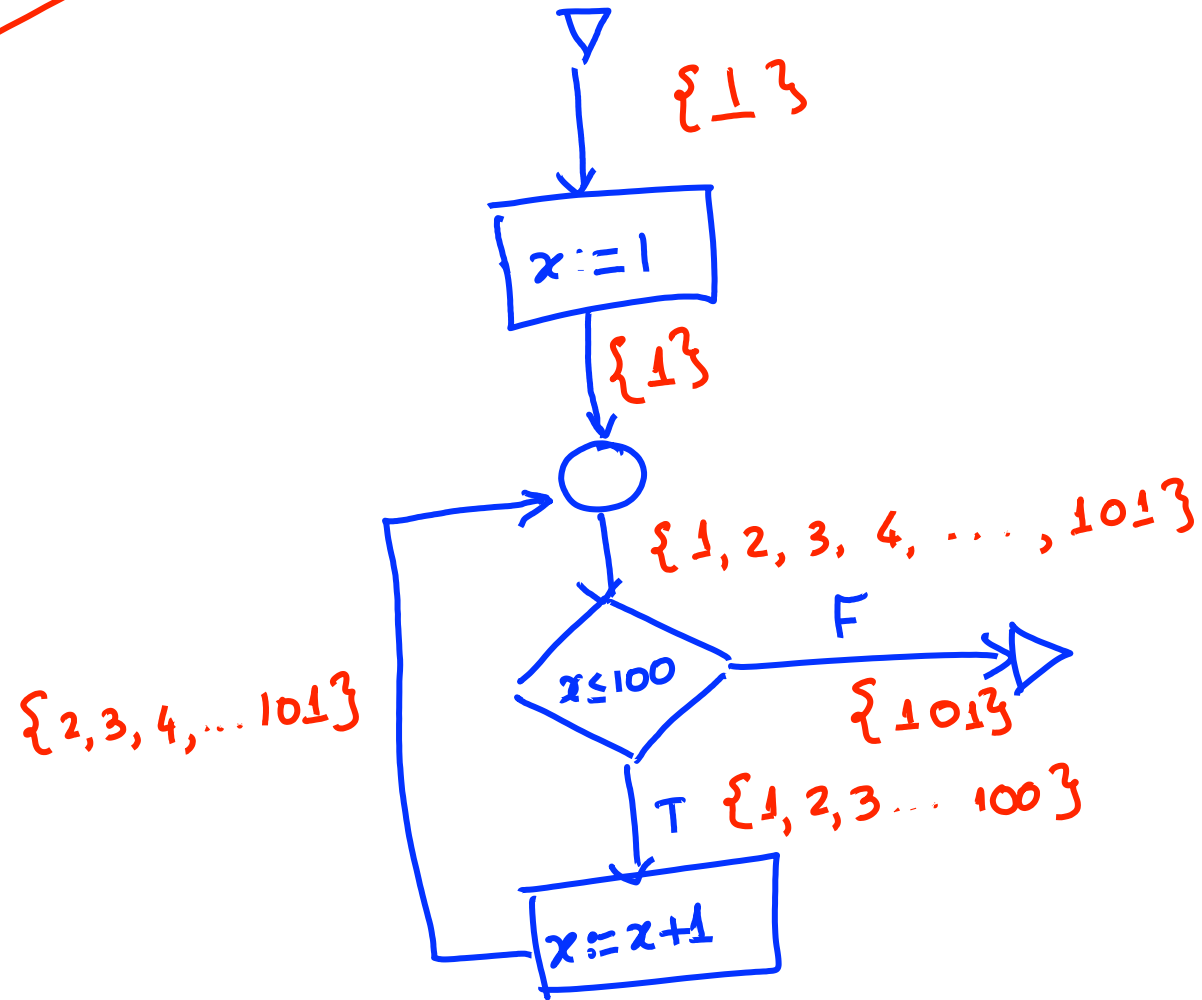


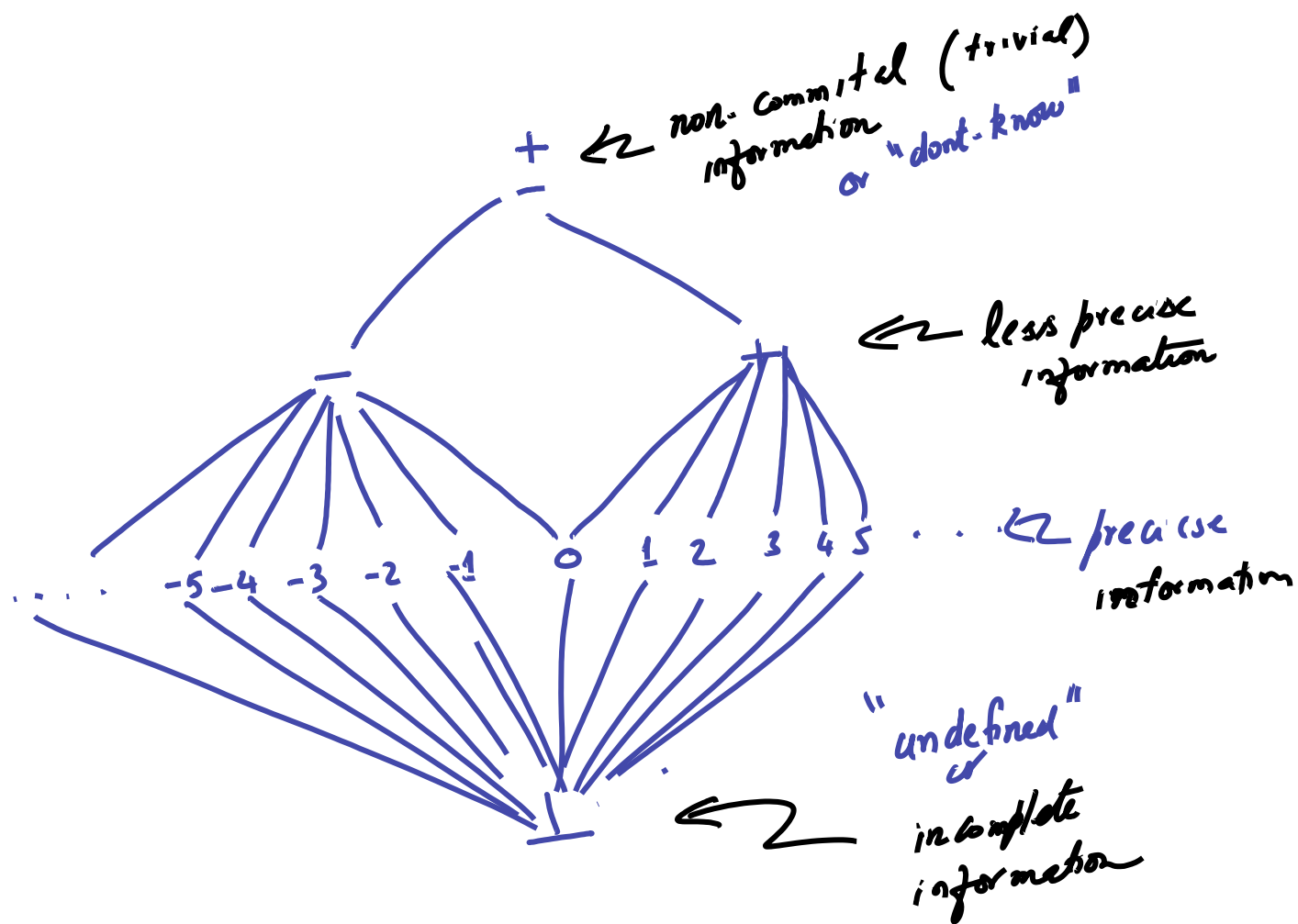
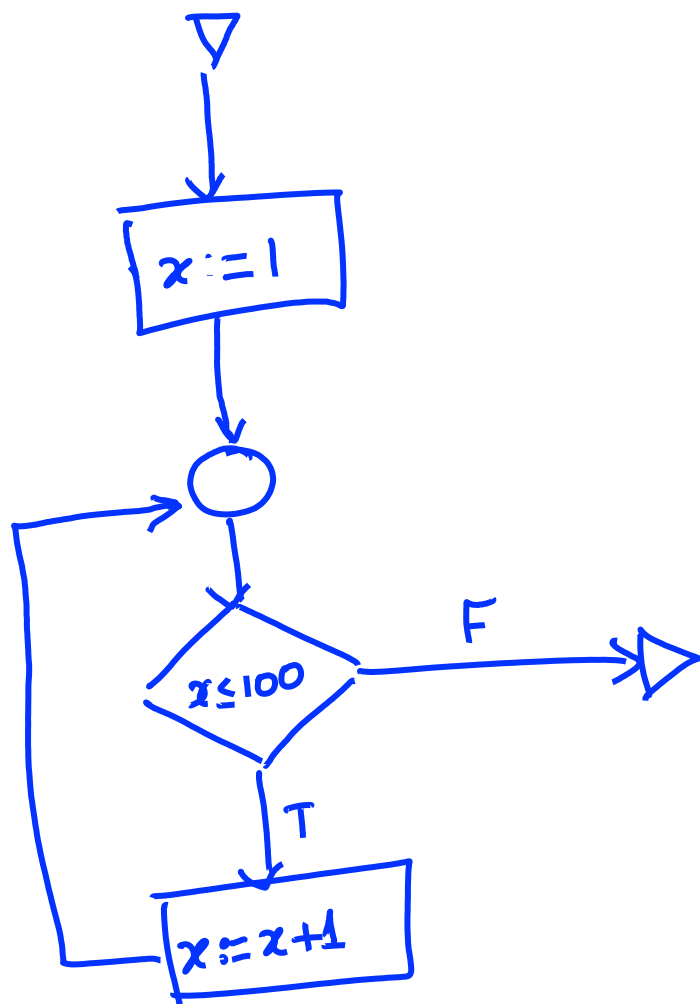






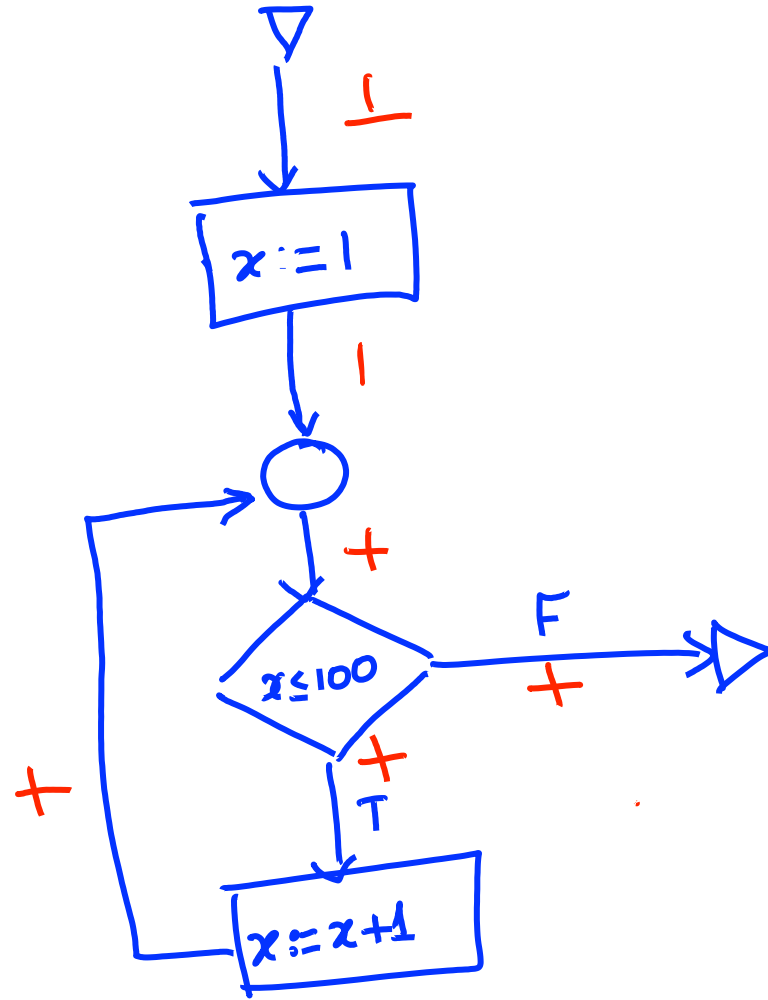
Fixpoint

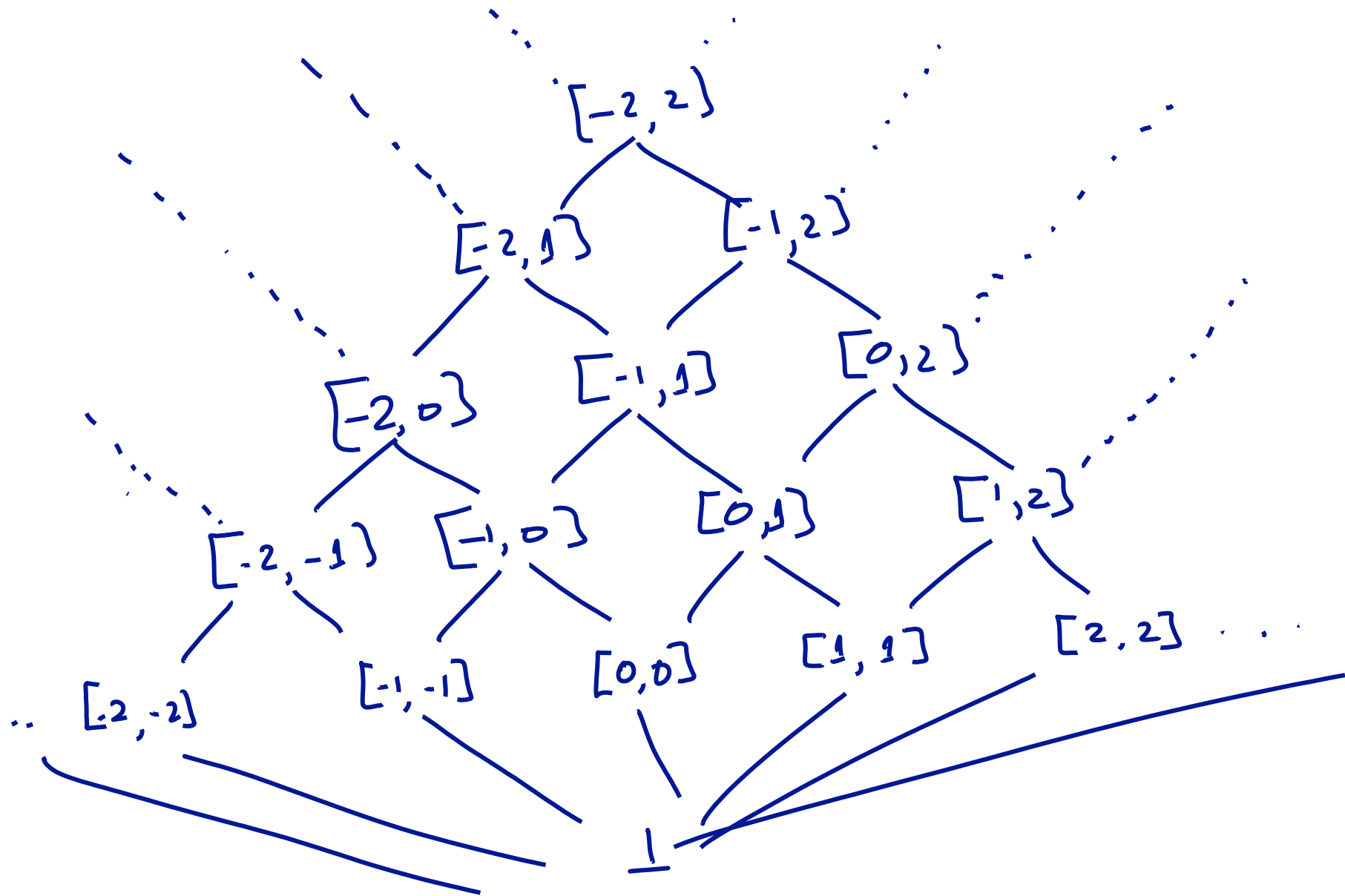
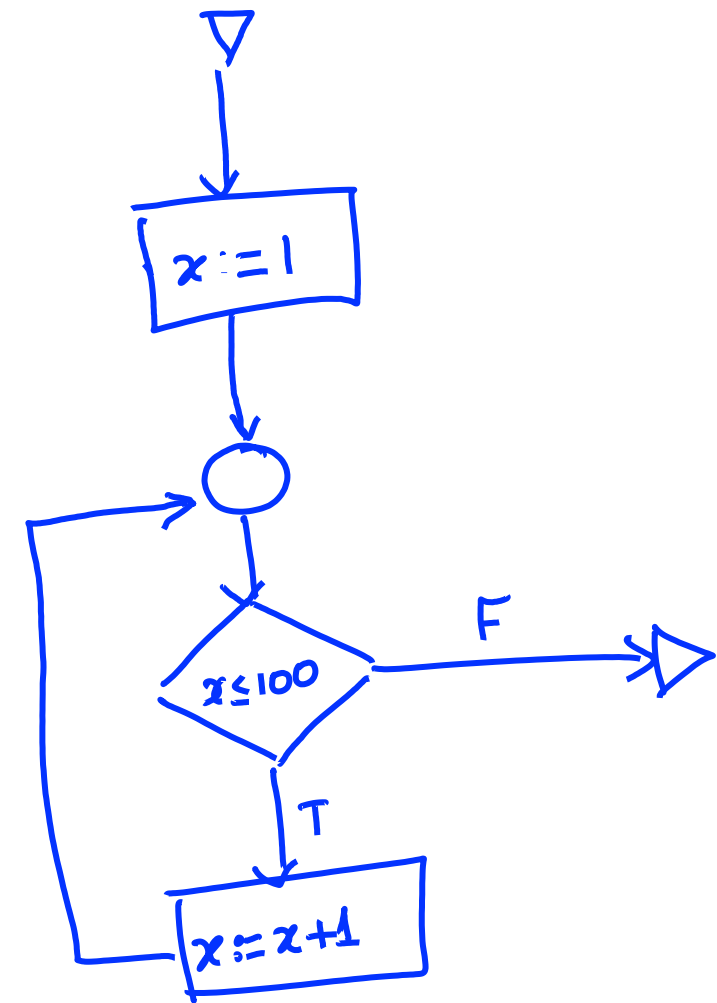




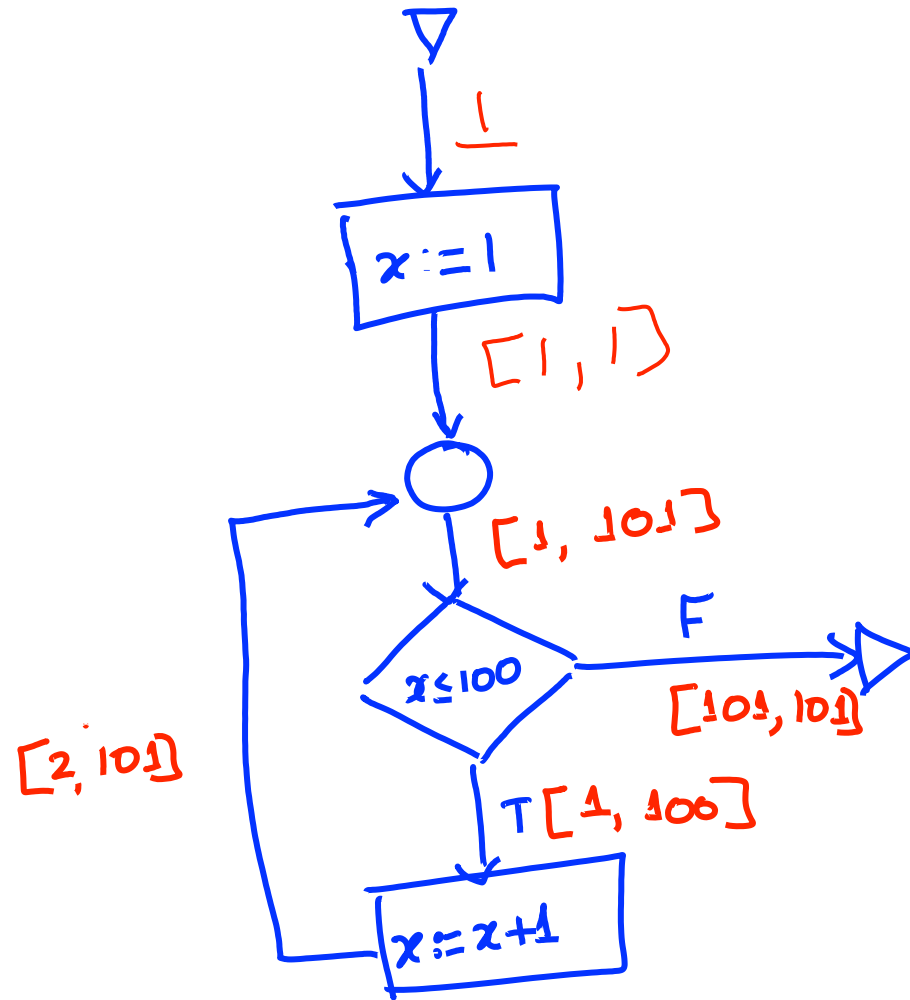


fixpoint

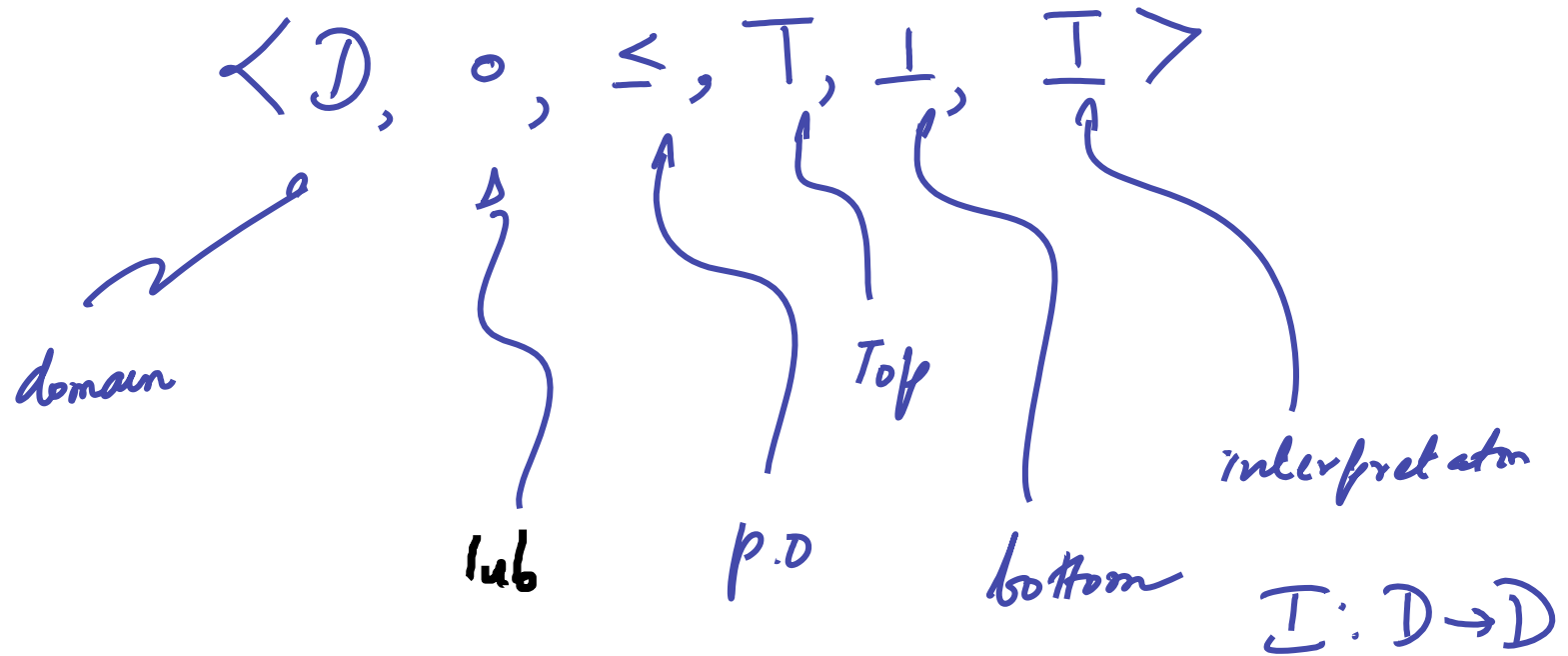




fixpoint



So...  
an abstract interpretation is really



# Science of Sound Abstract Interpretations

$$\langle D, 0, \leq_D, \top_D, \perp_D, \overline{\top}_D \rangle \xrightarrow[\gamma]{\alpha} \langle A, 0_A, \leq_A, \top_A, \perp_A, \overline{\top}_A \rangle$$

eg:

Sets of Integers



Signs

Sets of Integers



Intervals

Abstract interpretations themselves  
~~are~~ form a lattice!

# Science of Sound Abstract Interpretations

$$\langle D, \circ, \leq_D, \overline{D}, \perp_D, \overline{\perp_D} \rangle \xrightarrow{\alpha} \langle \uparrow, \circ_A, \leq_A, \overline{\perp_A}, \perp_A, \overline{\perp_A} \rangle$$

eg:

Sets of Integers

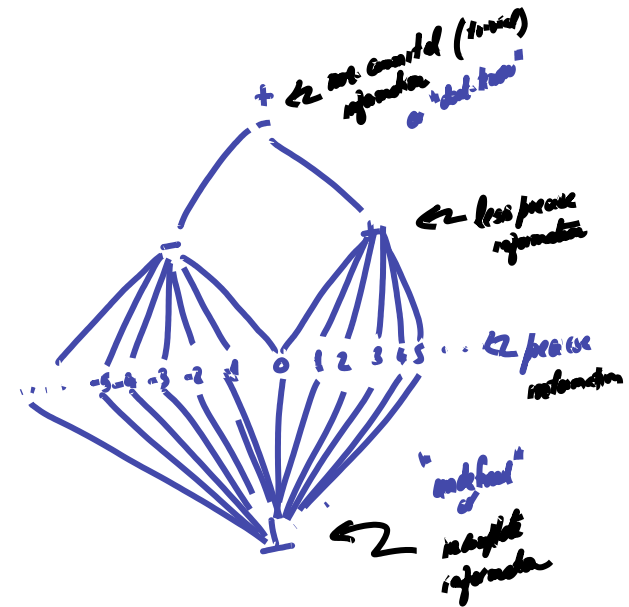
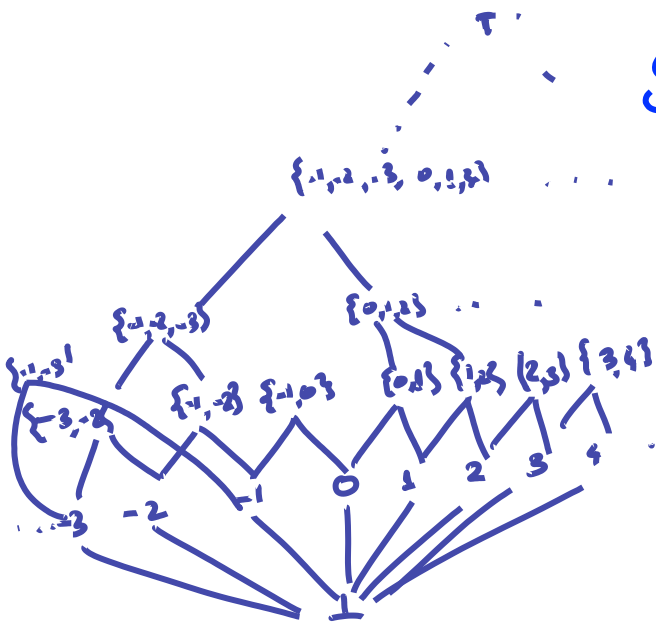


Signs

Sets of Integers



Intervals



Abstract interpretations themselves form a lattice!

Specifying an abstract interpretation

$$\langle D, \circ_D, \leq_D, \top_D, \perp_D, \mathcal{I}_D \rangle \xrightarrow[\gamma]{\alpha} \langle A, \circ_A, \leq_A, \top_A, \perp_A, \mathcal{I}_A \rangle$$

$$\alpha : D \longrightarrow A$$

$$\gamma : A \longrightarrow D$$

$\alpha, \gamma$  form a Galois connection iff

1.  $\alpha, \gamma$  are order preserving  
 $\forall d_1, d_2 \in D \quad d_1 \leq_D d_2 \Rightarrow \alpha(d_1) \leq_A \alpha(d_2)$   
 $\forall a_1, a_2 \in A \quad a_1 \leq_A a_2 \Rightarrow \gamma(a_1) \leq_D \gamma(a_2)$

$$2. \forall d \in D. d \leq \gamma(\alpha(d))$$

$$3. \forall a \in A \quad a = \alpha(\gamma(a))$$

From Galois connection to abstract state transition f.n.

$$\langle D, \circ_D, \leq_D, \top_D, \perp_D, \underline{I}_D \rangle \xrightleftharpoons[\gamma]{\alpha} \langle A, \circ_A, \leq_A, \top_A, \perp_A, \underline{I}_A \rangle$$

Sp.  $\langle \alpha, \gamma \rangle$  form a Galois connection

Can define  $\underline{I}_A$  in terms of  $\underline{I}_D, \alpha, \gamma$ .

$$\underline{I}_A(a) = \alpha(\underline{I}_D(\gamma(a)))$$

$$\text{i.e. } \underline{I}_A = \alpha \circ \underline{I}_D \circ \gamma$$



$$\langle \mathcal{D}, \circ_{\mathcal{D}}, \leq_{\mathcal{D}}, \top_{\mathcal{D}}, \perp_{\mathcal{D}}, \overline{I}_{\mathcal{D}} \rangle \xrightleftharpoons[\gamma]{\alpha} \langle A, \circ_A, \leq_A, \top_A, \perp_A, \overline{I}_A \rangle$$

$$\overline{I}_A = \alpha \circ \overline{I}_{\mathcal{D}} \circ \gamma$$

Theorem:  $\text{Reach}(\overline{I}_{\mathcal{D}}) \leq_{\mathcal{D}} \gamma(\text{Reach}(\overline{I}_A))$

Thus, any property proved on  $\overline{I}_A$   
carries over to  $\overline{I}_{\mathcal{D}}$  !!

## Recipe for analysis:

Program's concrete interpretation :  $\mathcal{C} = \langle D, \circ_D, \leq_D, T_D, \perp_D, \bar{I}_D \rangle$

Concrete semantics : Least Fix Point ( $\bar{I}_D$ )

Difficulty : Least Fix Point ( $\bar{I}_D$ ) may be expensive to compute,  
or may not converge.

Solution: Come up with an abstract domain  $A$  and a  
Galois connection  $D \xrightleftharpoons[\gamma]{\alpha} A$

Immediately get :  $A = \langle A, \circ_A, \leq_A, T_A, \perp_A, \bar{I}_A \rangle$   
 $\bar{I}_A = \gamma \circ \bar{I}_D \circ \alpha$

Abstract Semantics : Least Fix Point ( $\bar{I}_A$ )

Hopefully, easier to compute!

# Homework

- Review and understand these slides

- Start looking at the Cousot-Cousot 77 paper:

<http://www.di.ens.fr/~cousot/COUSOTpapers/publications.www/CousotCousot-POPL-77-ACM-p238--252-1977.pdf>

- Think about: under what circumstances does the “fixpoint computation” terminate? When might it not terminate? What could we do to make it always terminate?

End of Lecture 1