### **FACIAL EXPRESSION RECOGNITION**

Submitted in partial fulfilment of the requirements for the award of the degree of

#### MASTER OF COMPUTER APPLICATIONS

#### MINI PROJECT REPORT

Submitted By

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DECEMBER 2021



#### SCHOOL OF ENGINEERING & TECHNOLOGY

#### DEPARTMENT OF COMPUTER SCIENCE

#### **Master of Computer Applications**

#### **BONAFIDE CERTIFICATE**

This is to certify that the Project Work titled "FACIAL EXPRESSION RECOGNITION" is a bonafide work of Mr. SOUMABHA CHAKRABORTY, Registration No. 20352058 carried out in partial fulfilment for the award of degree of MASTER OF COMPUTER APPLICATIONS of Pondicherry University during the academic year 2020-21. This mini project work is original and not submitted earlier for the award of any degree/diploma in the University to the best of our knowledge.

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### **ACKNOWLEDGEMENT**

I would like to express my special thanks of gratitude to my guide respected Dr. V. Uma, for
her continuous guidance, motivation and support. I am grateful to respected Head of the
Department (Computer Science), Prof. S. Sivasathya who gave me the golden opportunity to
do this wonderful project on the topic FACIAL EXPRESSION RECOGNITION which also
helped me in doing a lot of Research and I came to know about so many new things I am really
thankful to them. Secondly I would also like to thank my Professors and staff-members who
helped me a lot in finalizing this project within the limited time frame.

SOUMABHA CHAKRABORTY

#### **ABSTRACT**

In the fast pacing world of technology, emotions and expressions play a huge role for employees and the AI is all about understanding the human way of thinking. Companies spend billions of dollars to know how the emotions, sentiments and expressions help in the optimum growth of company and high productivity of enterprises. A system that can read the expression of a person based on biometric markers can actually help the person sitting on the opposite side of the screen to know much about the person whom he is talking to. This project is developed to reduce the tedious process of recognising facial expression over electronic media like video calls and audio visual meetings. This is a Deep learning based project which is implemented using python and related libraries that perform deep neural networks. It first detects the face, and scribes a green rectangle around the face based on the detection as an indication of object (face) and according to the expression of the person inside the green box it predicts and gives the expression based on the 7 expressions categorised in it according to the dataset.

**Facial Expression Recognizer** provides a detail study of all the 7 universal expressions (sadness, happiness, disgust, anger, surprise, fear and neutral). And return its prediction in real time streaming data. The main goal of this project is to determine the expression and show it along with the detected face in real time.

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#### **CHAPTER 1: INTRODUCTION**

#### 1.1 ABOUT THE PROJECT

Communication plays a huge part in our daily life. And facial expressions are one of the most important aspects of communication. This is because facial expressions not only help in expressing thoughts and ideas but also emotions in a substantial way.

In our daily lives, we can easily identify the personal emotion of the person standing nearby just by observing their facial expression. There is an amazing fact about facial expressions that are unknown to many. Although they are considered to be products of social learning as culture, Darwin mentions that there are expressions that are products of human evolution.

Facial expression recognition is a technology that will complement our communication to a great extent. It is used widely in many industries, it uses biometric markers to detect emotions in human faces. (Biometric markers explained at the end of the document)

More precisely, this technology is a sentiment analysis tool and is able to automatically detect six basic universal expressions happiness, sadness, anger, surprise, fear and disgust. Neutral has been also added later as a categorical class.

# CHAPTER 2: PROBLEM DEFINITION AND FEASIBILITY STUDY

#### 2.1 PROBLEM DEFINITION

Understanding facial expressions is very important because facial expressions can be nonverbal communication clues that play an important role in interpersonal relations. These cues complement speech by helping the listener to interpret the intended meaning of the spoken words.

(Where we can use)

- . Market Research.
- . Gaming industry (emotion experienced in gameplay).
- . Feedback from people without voice.
- . Meetings in office
- . Behavioural systems testing
- . Corporate interviews

The purpose of this project is to build an effective expression analysis that would reduce the above problems.

**BIOMETRIC MARKERS**: - Biometric markers are like eyes and facial patterns which are different for each person. Each face has some signature which if summed together brings out a technique of uniquely recognizing a pattern. (The system plots some coordinate points in our faces which makes a geometrical figure of polygons. The area, edges are unique for every individual. And this recognized the pattern it makes.)

#### 2.2 OBJECTIVE OF THE PROJECT

The objective of the project is to accumulate different images with facial expressions from different datasets and make changes into it with image augmentation techniques like scaling, zooming, rotation. Splitting the data into training and test set and changing it from image to vectors while doing it. Then using different deep learning methods find the

suitable algorithm and train the model in two phases for the amount of data that is being used here. Perform comparison between different accuracy and loss with different functions and then utilise it in real time streaming data from the webcam or embedded camera in laptop. We are going to deal with image datasets, performing data processing creating and training a convolutional neural network using tensorflow 2.X. The idea is to show the correct expression of the person.

#### 2.3 EXISTING SYSTEM

The existing system only detects the faces of the individuals and using different models and algorithms classifies it between human or different objects. The existing system works with camera drivers that trigger the webcam and detect the faces. This proposed system will not only detect faces but will also show the expression of the person in it with the help of Deep learning methods and machine learning algorithms.

#### 2.4 FEASIBILITY STUDY

The feasibility is the test conducted to its workability, meeting user requirements, effective use of system and the cost. The objective of the feasibility is not the solve problems but acquire an idea of its scope also. The proposed system considered as a feasible if only it is useful and it is determined with preliminary stage. Here the project is economically and legally feasible but the technically there are many constraints however with the available resources we can still make a good model out of it.

#### 2.5 KNOWLEDGE OF DATASET

- Dataset available in ( https://www.kaggle.com/mahmoudima/mma-facial-expression)
- Here is the description for the data.
- The images provided in this dataset are MMA facial expression MMAFEDB is collected from different facial expression datasets.
- All images are cropped to only face region and resized to 48x48 pixels.
- The dataset contains 22,485,444 images including train and test data in different classes.
- All the images are coloured images.
- Images are augmented for better prediction in the model.
- \*\*Some of the images are taken from other sources.

# CHAPTER 3: SYSTEM REQUIREMENTS AND SPECIFICATIONS

The domain of this project is Deep Learning including CNN, ANN and Computer vision. A brief research on this domain has already been done with a scope for future implementation of this along with sentiment analysis.

#### 3.1 SYSTEM REQUIREMENTS

- An operating system.
- Google account for accessing google Colab.
- A browser that supports google Colab Web servers.
- Or a high end computer that can process Deep learning methods with ease.

#### 3.2 SOFTWARE REQUIREMENTS

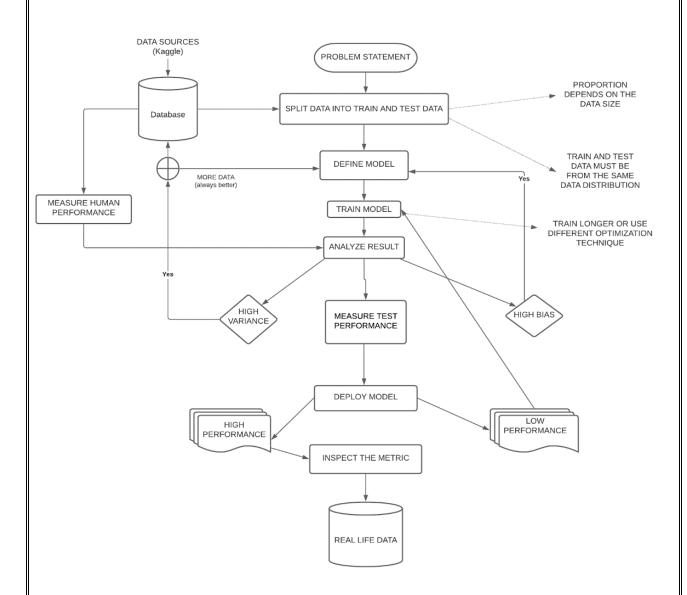
- Python interpreter and IDE.
- Jupyter Notebook.
- Necessary python library functions.
- Required Dataset.

#### 3.3 HARDWARE REQUIREMENTS

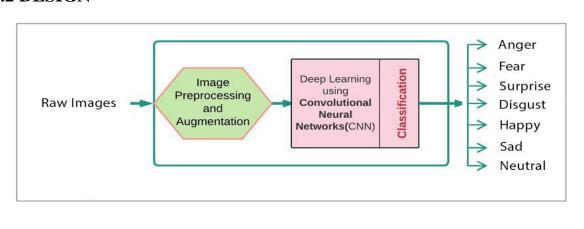
- Web Camera.
- A computer/ laptop with basic configuration as most of the work is in cloud.
- A graphics driver will be an add-on.

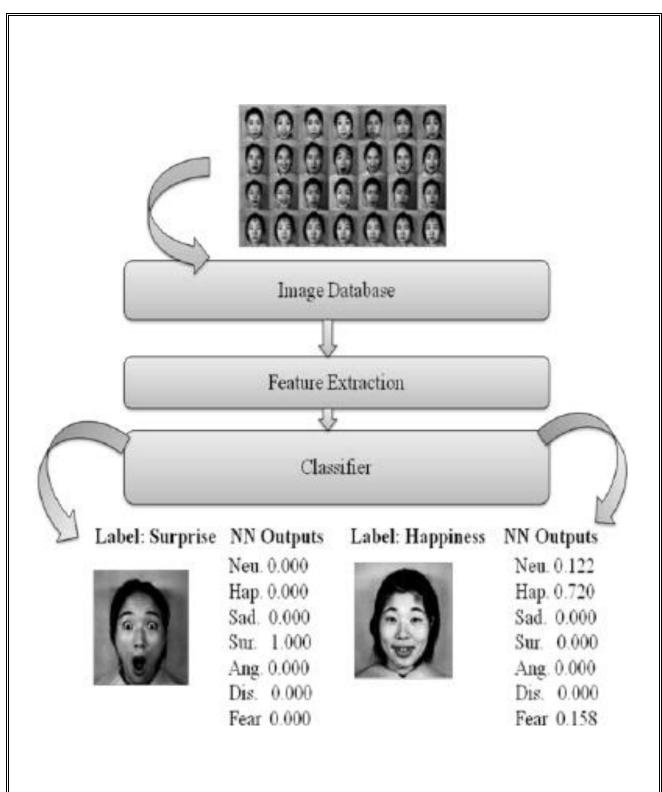
#### **CHAPTER 4: SYSTEM DESIGN AND IMPLEMENTATION**

#### 4.1 FLOW CHART DIAGRAM

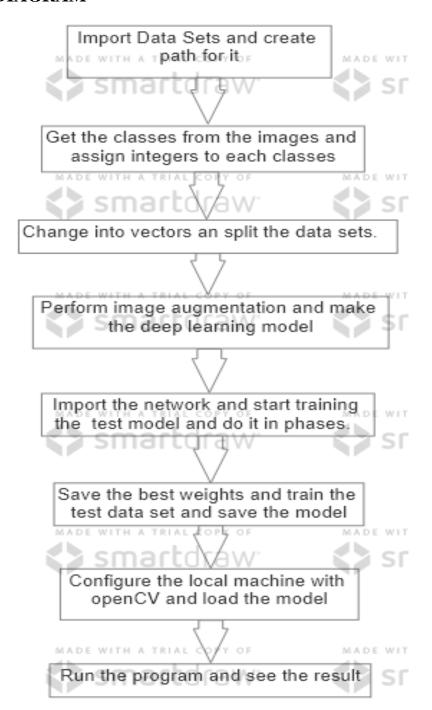


#### **4.2 DESIGN**





#### 4.3 BLOCK DIAGRAM



#### **4.4 PYTHON AND LIBRARY**

The prerequisites for the project is mentioned here and the theoretical knowledge for making this project a success. This project will identify the expressions based on real time images using different libraries like tensorflow, numpy, pathlib, matplotlib, dlib, opency and random

module. The whole code is done using python programming knowledge and deep learning concepts. The methods used in deep learning have mathematical significance and statistical relevance.

Python is a General purpose programming language used in all fields. Mainly most of the work of AI and related fields are done using python and its different functional modules.

- Tensorflow- A library used for building tensors in deep learning projects.
- Numpy- It's the numerical python usually used for fast calculations and computations.
- Matplotlib- The plotting function module used for all visual representations.
- Pathlib- Its main work is to work with paths of files and its locations.
- Random-For generating random numbers and shuffling.
- Dlib- It's a super-fast modern C++ toolkit containing ML algorithms.
- OpenCV- This is used for accessing webcam and other functionalities.
- Make sure to work with a version of python that supports OpenCV and tensorflow as not all versions support it. The developer had to downgrade to 3.6.8 version of python to have a feasible test case of this project.
- Due to change in the version of library modules in Colab and Jupyter some of the codes might show error.

#### 4.5 DEEP LEARNING AND CNN

**Deep Learning** is a subfield of machine learning concerned with algorithms inspired by the structure and function of the brain called **artificial neural networks.** Deep learning is usually subdivided into CNN, ANN, RNN and GAN. In this project we will only use the modules of CNN and ANN. Deep learning is used to solve complex problems which simulates real world and imitates the way human gains knowledge. Deep learning algorithms are stacked in a hierarchy of increasing complexity and abstraction while traditional ML models are linear.

CNN, A convolutional neural network (CNN) is a type of artificial neural network used in image recognition and processing that is specifically designed to process pixel data. CNNs are powerful image processing, artificial intelligence (AI) that use deep learning to perform both generative and descriptive tasks, often using machine vison that includes image and video recognition,

#### **CHAPTER 5: TESTING**

#### 5.1 CONCEPT OF USING PHASES

Training a large pre-trained network on large dataset with more classes is a very time consuming activity and there are very high chances that the models will overfit. Neurons tend to interdepend on each other with time so we perform dropouts and better functions. So to avoid these issues, we train our model in two phases. In phase one, we train our whole network for 13 epochs. Here we tune the weights of all the layers of our model. In this phase, we expect our convolutional layers to learn the patterns in the current data. In phase two, we freeze all the layers of our model and only train the last layers where we do the classification.

This phase gives more importance to the classification layer, thus making it more accurate.

#### PHASE-1

#### PHASE-2

```
Fnoch 2/8
              =====] - ETA: 0s - loss: 2.1382 - accuracy: 0.6291 - precision: 0.7448 - recall: 0.4963
689/689 [=:
Epoch 00002: val_loss did not improve from 1.11286
                ===] - 500s 726ms/step - loss: 2.1382 - accuracy: 0.6291 - precision: 0.7448 - recall:
        Epoch 4/8
689/689 [=
             ======] - ETA: 0s - loss: 2.0444 - accuracy: 0.6414 - precision: 0.7455 - recall: 0.5208
Epoch 00004: val_loss did not improve from 1.11286
                ===] - 503s 730ms/step - loss: 2.0444 - accuracy: 0.6414 - precision: 0.7455 - recall:
        Epoch 00005: val_loss did not improve from 1.11286
```

#### 5.2 USING EPOCHS AND BATCH SIZE

Using different epochs we get different accuracy and loss. More epochs is not always good as model might overfit and dropouts are equally important

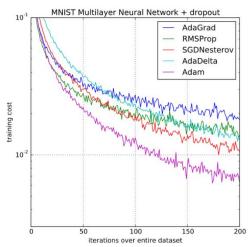
Batch size can be anything for more batch size the number of batch decreases and for less batch size the no of batches increases.

#### **EPOCHS**

```
| Epoch 1/10 | 689/689 | Epoch 69801: val_loss improved from inf to 1.17626, saving model to best_weights.hs 689/689 | Epoch 69801: val_loss improved from inf to 1.17626, saving model to best_weights.hs 689/689 | Epoch 69802: val_loss improved from inf to 1.17626, saving model to best_weights.hs 689/689 | Epoch 69802: val_loss improved from 1.17626 to 1.15100, saving model to best_weights.hs 689/689 | Epoch 69802: val_loss improved from 1.17626 to 1.15100, saving model to best_weights.hs 689/689 | Epoch 69808: val_loss improved from 1.16100 | Epoch 69808: val_loss information | Efoch 69808: val_loss
```

#### 5.3 OPTIMIZATION AND ACCURACY

The model has been optimized using ADAM optimization technique and the accuracy increases with no of epochs.



Dropouts have been taken as 30% of the total neurons. Accuracy table is given below (for 13 epochs)

No.of.Epochs	Accuracy	Loss
5	0.5734	2.6608
6	0.5808	2.5966
7	0.5856	2.5439

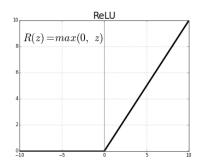
8	0.5927	2.4930
9	0.5989	2.4409
10	0.6049	2.3869
11	0.6080	2.3440
12	0.6119	2.2993
13	0.6170	2.2498

#### **MODEL SUMMARY ON TESTING**

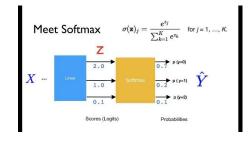
#### 7.4 ACTIVATION TESTING

ReLu has been used for the second last neurons in CNN and softmax is used in the last layer of CNN for multiclass classification.

```
9 model = tf.keras.Sequential([ #to join multiple tensorflow graphs sequentially
10 backbone,
11 tf.keras.layers.GlobalAveragePooling2D(), #will average all the signals over
12 tf.keras.layers.Dropout(0.3), #dropout
13 tf.keras.layers.Dense(128, activation='relu'), #for generalisation
14 tf.keras.layers.Dense(7, activation='softmax') #7 for 7 classes(labels) and
15 ]) #since multiclass so softmax
```



ReLu is used as it does not take negative values. It rounds up to integer values that are not negative.



#### **CHAPTER 6: CONCLUSION**

So in this project we have learned how deep learning helps us with solving high level complex computational problems consisting of images. We learned to build Convolutional Neural Networks and use that model to perform real time predictions. Used OpenCV and Dlib to connect web camera and perform face detection. Performed Data Augmentation. Performed two phased training on our model where we have frozen previous few layers

The model in future, can perform better by phase addition and cropping for better result cleaning the images and using better resolution images can yield better result when padding and pooling

Can increase number of phases and decrease no of epochs per phase while training. Trying out better versions of efficient netb7 for better result.

#### **APPENDIX I: SCREENSHOTS**

#### FIGURE 1: DATASET FROM KAGGLE



# FIGURE 2: CLASSES OF IMAGES ACCORDING TO NAMES IN TRAIN SET

```
1 %we see that the label can be found as the second last word whihc is separated with the slash
2 %so we split on the slash
3 % Getting their respective labels
4 for get_label(image_path):
6 return image_labels-list(map(lambda x : get_label(x) , train_image_paths)) %we map the function with all training paths to get the respective labels
9 train_image_labels[:20] %printing 20 sample labels

C. ['neutral', 'angry', 'surprise', 'happy', 'sad', 'happy', 'neutral', 'surprise', 'happy', 'sad', 'neutral', 'inapy', 'sad', 'neutral', 'surprise', 'happy', 'happy',
```

### FIGURE 3: ENCODING STRINGS TO INTEGERS FOR CATEGORY AND MAPPING IT IN THE VECTOR FORM.

### FIGURE 4: IMAGE AUGMENTATION AND FEEDING IT AS TENSOR OBJECT DECLARING THE BATCH SIZE

FIGURE 5: SAMPLE IMAGE WITH ITS CORRECTLY PREDICTED CLASS



#### FIGURE 6: EVALUATING IN TEST DATASET

# FIGURE 7: ACCURACY, PRECISION AND RECALL AND SAVING MODEL.

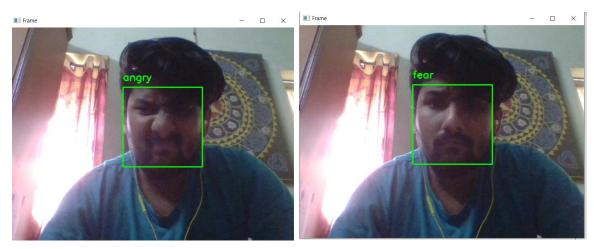
## FIGURE 8: UPLOADING MODEL AND WEIGHTS IN LOCAL SYSTEM

```
jupyter FacialExpressionRecognition_Realtime (autosaved)
                                                                                                                                                                                                              Logout
File Edit View Insert Cell Kernel Widgets Help
                                                                                                                                                                                     Not Trusted Python 3 C
In [1]: import tensorflow as tf
                     import numpy as np
import cv2
                     import dlib
                     import pickle
        In [2]: def get_model():
    backbone = tf.keras.applications.EfficientNetB2(
        input_shape=(96, 96, 3),
        include_top=False,
        weights=None
                           )
model = tf.keras.Sequential([
backbone,
tf.keras.layers.GlobalAveragePooling2D(),
tf.keras.layers.Dropout(0.3),
tf.keras.layers.Dense(128, activation='relu'),
tf.keras.layers.Dense(7, activation='softmax')
]
                           return model
        In [3]: model = get_model()
model.load_weights("best_weights.h5") # Load the saved weights
        In [6]: # Load LabelEncoder
def load_object(name):
    pickle_obj = open(f"{name}.pck","rb")
    obj = pickle.load(pickle_obj)
                           return obj
                     Le = load_object("LabelEncoder")
```

## FIGURE 9: ACCESSING THE WEB CAMERA AND DETECTING EXPRESSION

```
| Second | S
```

#### **OUTPUT**





### **BIBLIOGRAPHY**

A great blog with code by Taus Noor

• https://towardsdatascience.com/facial-recognition-using-deep-learning-a74e9059a150

A platform for learning and capstone projects

https://www.dataisgood.com/

A literature survey on face detection

• <a href="https://machinelearningmastery.com/introduction-to-deep-learning-for-face-recognition/">https://machinelearningmastery.com/introduction-to-deep-learning-for-face-recognition/</a>

A code for facial expression recognition with explanation

• <a href="https://www.pyimagesearch.com/2018/06/18/face-recognition-with-opency-python-and-deep-learning/">https://www.pyimagesearch.com/2018/06/18/face-recognition-with-opency-python-and-deep-learning/</a>

How face reader works by Noldus

• <a href="https://www.noldus.com/facereader">https://www.noldus.com/facereader</a>