

1. "Database System Concepts" - Korth
2. "Fundamentals of Database Systems" - Navathe, Elmasri
3. "Database Mngt. Systems" - Ramakrishnan
4. "An Intro. to DB Systems" - C. S. Date
5. SQL / PLSQL - The programming language of Oracle - Ivan Bayross

Database - a collection of related data (containing info. relevant to an enterprise, used by the application systems of some given enterprise).
• random collection of any data - can't be correctly referred as database.

Database management system (DBMS) - collection of related data and programs to create, maintain and utilize those data.

DBMS - a s/w ^{system} to manage database.
• primary goal of DBMS to provide a way to manage db both conveniently & efficiently.

- retrieve specific data,
- update database to reflect changes
- generate reports
- Share db to allow multiple users & programs to access db (simultaneously)

Applications

- Banking
- University
- Railway / Airlines (reservation system)
- Manufacturing
- Human Resources
- Telecommunication

• Data mining - applications that analyze large amounts of data searching for the occurrences of specific patterns or relationships.

• Users of DB:-

- 1) End users / Naive users - who access db interact via (online) application programs / interface provided as integral part of system. — menu- or form-driven interface.
- 2) Application programmers - implement the user / client requirements in programs, communicate with db. — So, they shall be familiar with full range of capabilities (structure etc.) to do their tasks. The programs access db by issuing appropriate requests (SQL statements) to the dbms. / commands

* 3) Database designers - responsible for identifying data to be stored in db and choosing appropriate structures to represent & store the data. So they have to communicate all db users to understand their requirements.

4) Database Administrators - As the db is used by many people, there is a need for a chief administrator to oversee & manage the database resources. He/she has the central control over both data & progs to access the data. (Practically, DBA functions are performed by a team of people, not just one) (for simplicity, we assume dba as a single individual). Functions of DBA include

- schema definition - creating original db schema
- define storage structure, access method
- defining granting authorization for data access
- routine maintenance
- periodically backing up database & maintaining logs of system activity to prevent data loss & facilitate recovery if system fails
- coordinating & monitoring database use

Database & description of database are different.

- Description of database/overall design of db is called database schema, which is specified during db design and is not changed frequently (if req. change, then schema changes)

- Actual data in db may change frequently as new data is inserted & deleted. Data stored in db at a particular moment is called an instance/snapshot of db/a database state.

Data Models:- A data model is a collection of concepts used to describe the structure of a db (data types, data relationships, data semantics and constraints that should hold for data. (Most data models also include a set of basic operations of retrievals & updates)

Categories of data models:-

- 1) Relational Model:- represents database as a collection of relations. - main construct of this model is relation which can be thought as a set of records. We store relations/records in form of tables. Each row of table represents a record.
record → collection of related data value
 - mathematically, relation is a subset of a cartesian product of a list of domains.
 - table is subset of $D_1 \times D_2 \times D_3 \dots \times D_n$
 - a record in student relation describes a student. $D_1 \times D_2 \times D_3$
* student is a subset of

attribute \rightarrow column headers \rightarrow fields

set of permitted values for that attribute \rightarrow domain

row of a table \rightarrow tuple | relation is a set of tuples,

$$R \subseteq A \times B$$

2) Entity Relationship Model - represents db as a collections of real world objects called entities and relationship among these entities.

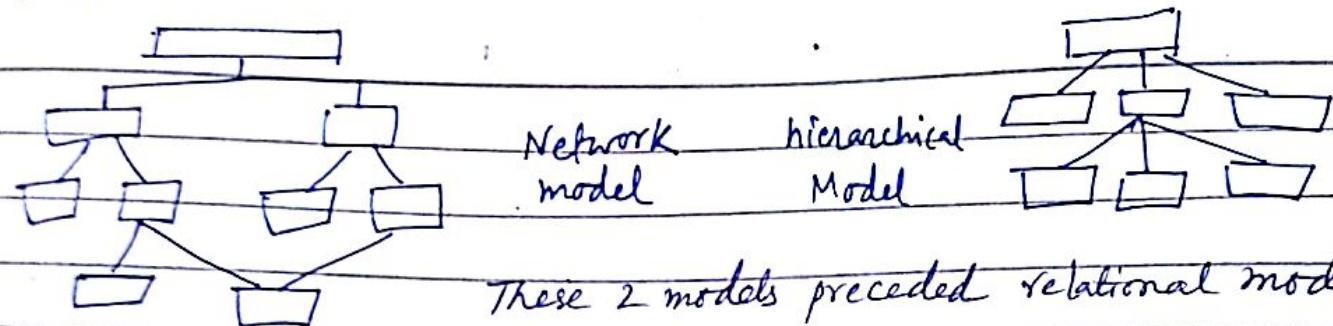
Diagrammatic notation associated with ER Model \Rightarrow ERD

3) Object Based Data Model:- can be seen as extending ER model with notations for object-oriented concepts — inheritance, encapsulation (info. hiding).

4) Semistructured data model:- individual data items of same type may have diff. sets of attributes. In previous models, each data item of a particular type has same set of attributes. XML (Extensible Markup Language) is used to represent semistructured data.

5) Network & Hierarchical Model:- Network model is a flexible way of representing objects & their relationships. Here, schema viewed as graph where nodes \rightarrow objects, arcs \rightarrow relationships. While hierarchical model structures data as a tree of records with each record having one parent record and many children; the network model allows each

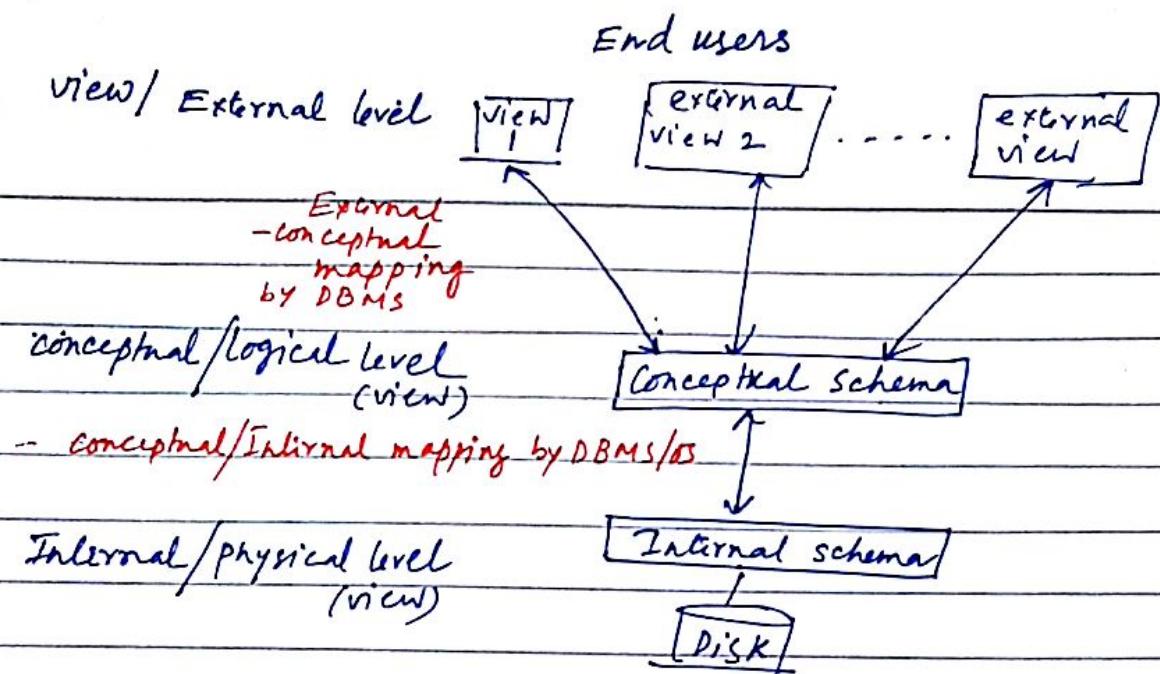
record to have multiple parent & child records, forming a generalised graph structure



These 2 models preceded relational model etc.

Three-Schema Architecture:- goal of 3-level or 3-schema architecture is to provide levels of abstraction → to separate user applications and physical db. For efficiency, complex ds is used to represent data in db, as many users not computer trained and they need not to concern about how data stored, developers hide these storage details through several levels of abstraction, to simplify users' interaction with system.

- 1) Internal level has an internal schema which describes details of physical storage structure of db in hard disk and access paths used by db. It's at the lowest level of abstraction
- 2) Conceptual/Logical level has a logical schema that describes entities, records structure, relationships among data, constraints, data types.



conceptual view/level hides details of physical storage structure and gives somewhat abstraction in comparison with physical view.

- DBA concerns about logical & physical level.
works at

3) External level is at the highest level of db abstraction. This level includes a no. of external views → external schemas. Each external schema describes that part of the db as represented /shown by that respective external view → users/application programs can only see that part of db.

- application programmers at external & logical level

* 3 schemas are only descriptions of data; the stored data actually exists in physical level. In a DBMS based on 3 schema archi, each user group refers to its own external schema/view. DBMS must transform a request on an external schema to a request

against conceptual schema, and then into a request on the internal schema for processing over stored db. For data retrieval request, data extracted from stored db must be formatted to match with user's external view. This process of transforming requests and results b/w levels are called mapping.

Data Independence:-

3 levels of abstraction provides

2 types of data independence - Logical & Physical.

Data Inde. defined as the capacity to change the schema at one level of db system without changing schema at next higher level.

Logical data Independence:- indicates that logical schema can be changed without affecting existing external schemas. Logical schema can be changed by adding field/columns, changing constraints or deleting some field. In first & last case, external schemas related to previous & remaining data should not be affected. changes are absorbed by mappings that may need to be changed. So though conceptual schema undergoes a logical reorganisation, appl. pres. convs. & external schemas must work as before.

Physical data Independence:- physical schema can be changed without changing conceptual schema → hence, external schemas need not be changed at all. Physical files reorganisation or creating additional access structures to improve performance does not require changes in logical schema.

Advantage of DBMS:-

- 1) Controlling Redundancy & inconsistency :- In university db, course registration & accounting office — both keep data on students, redundancy in storing same multiple times leads to several problems — duplication of effort, storage space wasted, inconsistency arises.
- 2) Restricting Unauthorized Access → thus ensuring security.

- 3) easy access / efficient access
- 4) Multiple users - shared data - concurrent access anomalies doesn't occur -
- 5) Data Independence
- 6) provide Backup & Recovery.

- A query is a statement requesting retrieval of info.
→ Informally, all requests (update, creating tables) called queries.

- Database languages:-

DDL - to specify db schema ; often DDL is placed in data dictionary which contains meta data - data about data

DML - to express db queries & update

1

DCL -

M

Entity-Relationship Model

E-R Model → high level conceptual data model

Conceptual Schema - after requirements collected & analyzed, conceptual schema for the db created → it's a concise description of data reqs of users & includes detailed description of entity types, relationships & constraints. → It doesn't include implementation details, easier to understand, used to communicate with end users - conceptual design phase.

- From conceptual schema to implementation data model → logical
- physical design → internal storage structures, access paths, design indexers, file organization for db specified.

Entity - thing in real world with an independent existence.
It may be an object with physical existence (person, house) or with conceptual existence (company, project, course)

Attributes - particular properties that describe entity. An entity is represented by its attributes.

- A particular entity has a value for each of its attributes.
- collection or set of entities with same attributes → entity type
- Each simple attribute of an entity type is associated with a value set / domain of values → values that can be assigned

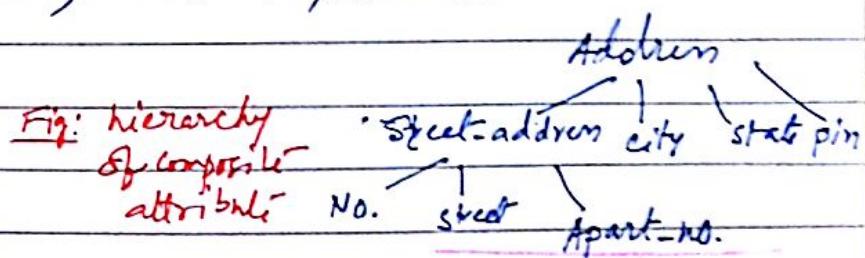
so that attribute for each individual entity. Value sets are specified using data types.

□ Composite - Simple (Atomic) attribute:-

Composite attributes can be divided into smaller subparts, which represent more basic attributes with ^{independent} individual meanings.

- Address - state, city, street-name, pin
- Attributes not divisible → simple

- user may sometimes refer to composite attribute as a unit or other times refer specifically to its components.



Single-valued vs. Multivalued Attributes:-

attribute having a single value for a particular entity - single valued
attribute having a set of values for one entity - multivalued
e.g. - phone-no, colour of a newly launched car, degrees of a person

- An entity type describes schema for a set of entities that share some structure.
- Entity type is represented in ER diagrams.
- Diagrammatic notation associated with ER model - ERD
To diagrammatically represent the database schema modelled by ER model ERD used.

Stored vs. Derived Attribute

Birth-date & Age - two attributes of an employee. If birth-date is known, age of that person can be determined. So, Age is a derived attribute \rightarrow derivable from stored attribute dob.

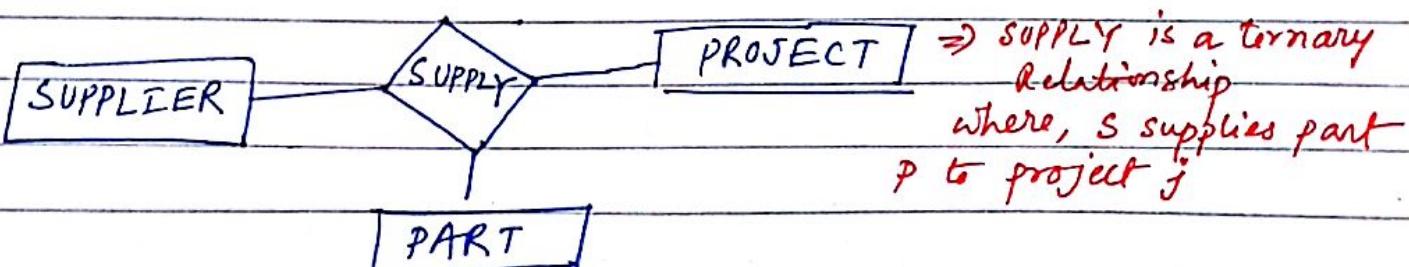
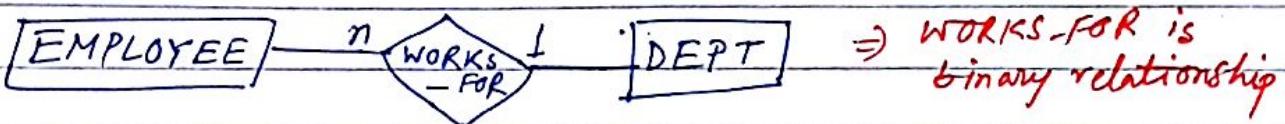
Null value:- In some cases, a particular entity may not have an applicable value for an attribute. E.g. - Flat no is not applicable who have own residence / College degree ^{may} not applicable for an employee - For these cases, special value NULL is created. (If value of an attribute for a particular entity not known - NULL is used. Home-phno may not be known, height of a person is missing)

Key Attribute - There must be some way to distinguish each entity among the entity set. An entity type usually has an attribute whose values are distinct for individual entity. That is key attribute - value of which used to identify each entity uniquely. (A key that uniquely identifies each record in a table - primary key of that table).

Relationship Set / type :- set of relationship instances r_i ; mathematically, relationship type is a subset of cartesian product $E_1 \times E_2 \times \dots \times E_n$; Each of the entity types E_1, E_2, \dots, E_n is said to participate in relationship type R .

- The no. of entity sets/types participate in a relationship type \rightarrow degree of relationship
most relation is of degree 2 - binary
relationship of degree 3 \rightarrow ternary

e.g.



→ Mapping Cardinality / Cardinality Ratio for Binary Relationships - no. of entities to which another entity can be associated via a relationship set.

Mapping cardinality must be one of following.

One-to-many - in WORKS-FOR relationship betw. DEPT & EMPLOYEE \rightarrow DEPT: EMP is of cardinality ratio 1:N
vice-versa ~~N:1~~

, meaning each dept can be related to any no. of entities, but an emp. is related to only one dept. (zero/more)

- One-to-One - an employee MANAGES a dept. → ~~one entity~~ of employee entity set can be associated with at most one entity of dept entity set

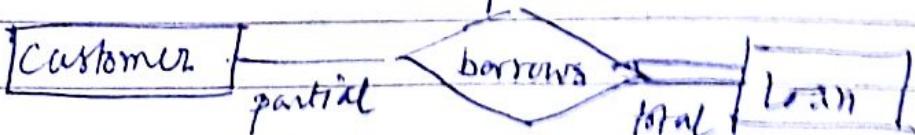
- Many-to-Many - EMPLOYEE  PROJECT

WORK-ON is of cardinality ratio M:N - an employee can work on several projects and a proj. can have several employees.

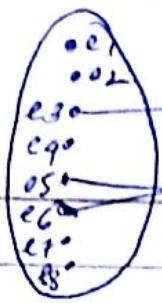
→ Participation Constraints: participation of an entity set E in a relationship set R is total if every entity in E participates in at least one relationship instance in R. e.g. participation of EMPLOYEE in WORKS-FOR is total.

If only some entities in an Entity set E participate in relationships in R → participation of Entity is partial.

e.g. participation of EMPLOYEE in MANAGES is partial as every employee doesn't manage a dept., some employees are related to some departments via MANAGES relationship.



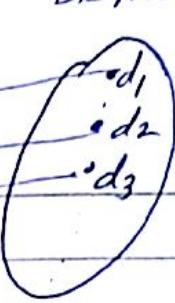
EMPLOYEE



MANAGES

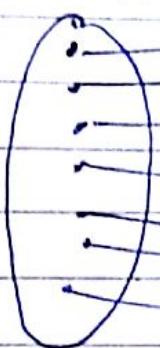


DEPARTMENT



1:1

EMPLOYEE



WORKS-FOR



DEPT

1:N

EMP



WORKS-ON



PROJ.

E P
M:N

Recursive Relationship:- In some cases, the same entity type participates more than once in a relationship type in different roles. Here, role name is used for distinguishing meaning of each participation. Such relationship \rightarrow recursive relationship

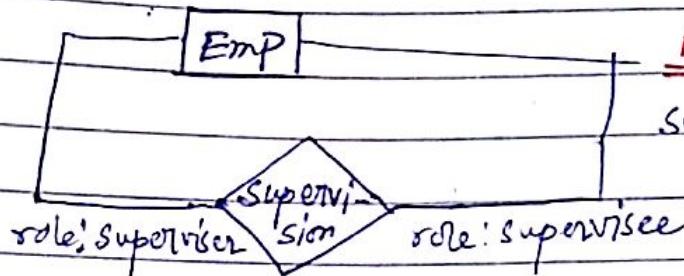
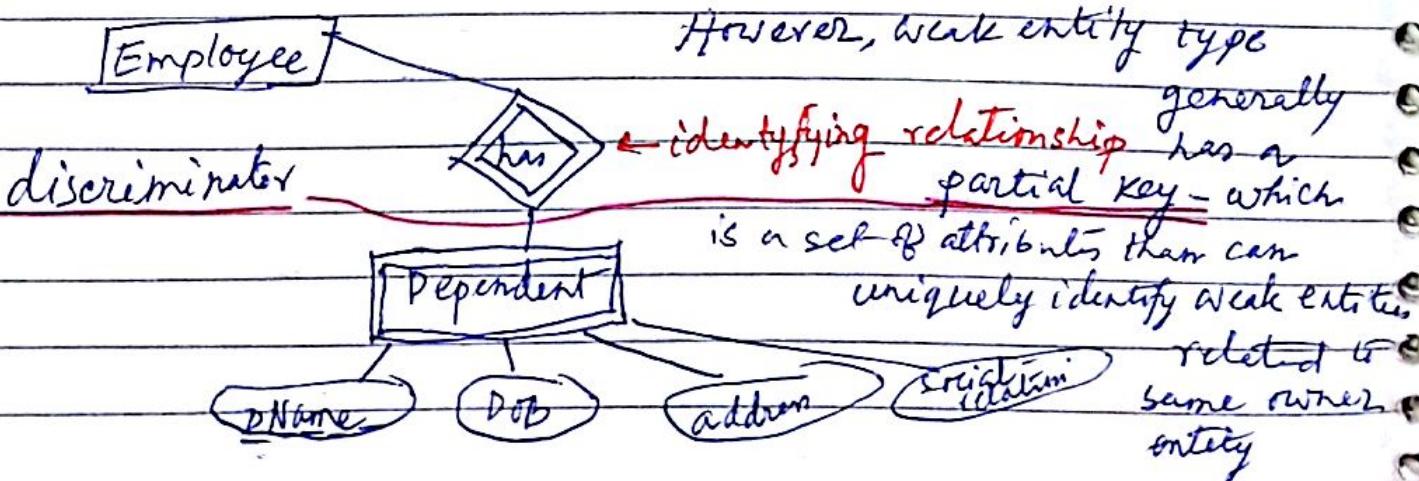


Fig: supervision relationship relates supervisor & supervisee - both are members of same Emp entity. So, Emp. entity participates twice in SUPERVISION in two diff. roles.

Weak Entity type:

Entity type that don't have key attribute of its own.

Weak entity set must be associated with some another entity type - identifying/owner entity. Two dependents of 2 distinct entities may have same values for name, dob, sex, relationships, so, they can be distinguished using their identifying entity.



Attributes of Relation type:-

- Hours attribute of relation works-on - can be determined by an employee-proj. comb. & not separately by either entity.
- Start-date of manager can be migrated to one of participating entity types.
- attributes of 1:1 or 1:N relationship can be migrated to one of participating entity.

Min-Max: - it is written in L...h form, where L denotes minimum no. of relationship an entity participates in, but positioning of constraints is exactly the reverse of positioning of constraints in E-R diagram.

Foreign Key:

Primary key of a relation is set as foreign key of another attribute to relation (table) to relate them.

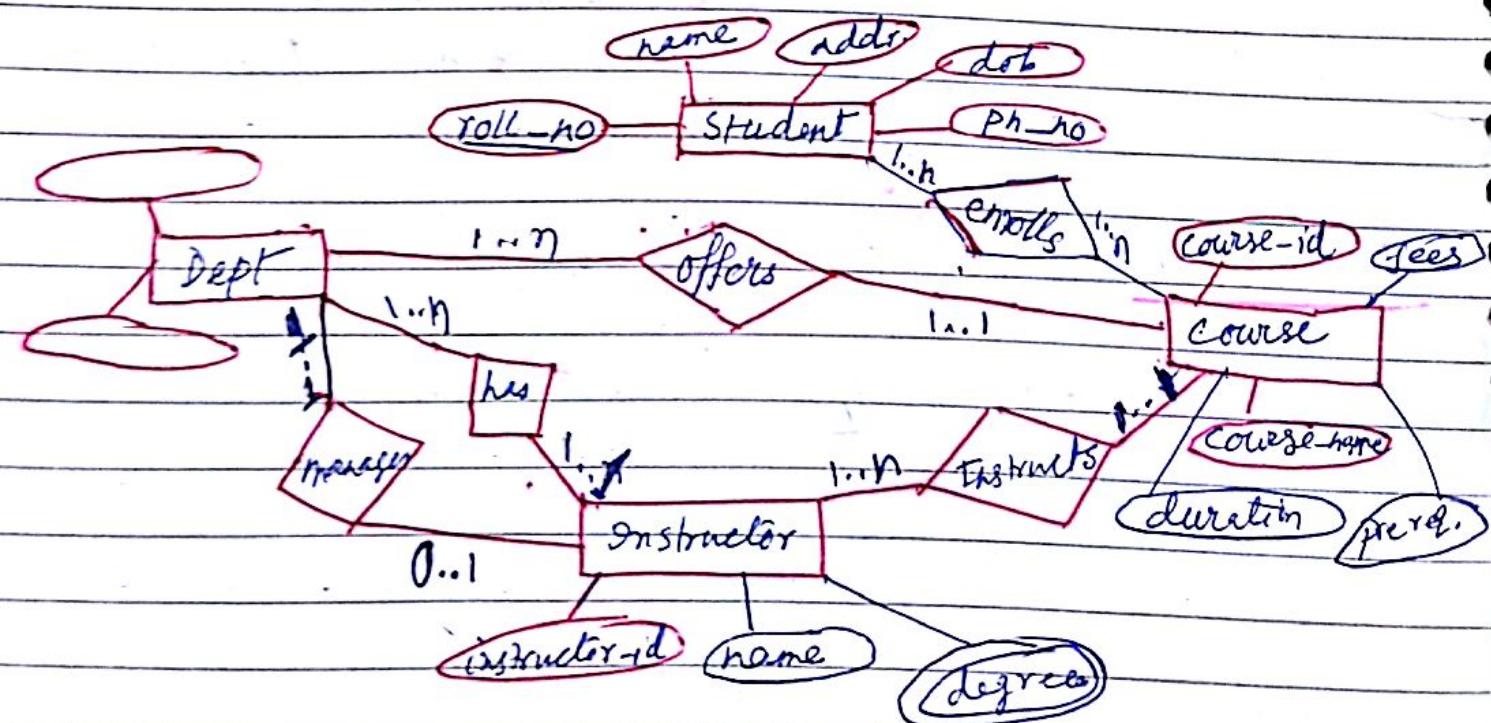
$R_1 \Rightarrow$ referencing relation

$R_2 \Rightarrow$ referenced "

→ single values such as 1 or * may be written on edges. the single value 1 on an edge is treated as equivalent to 1..., while * equivalent to 0....*

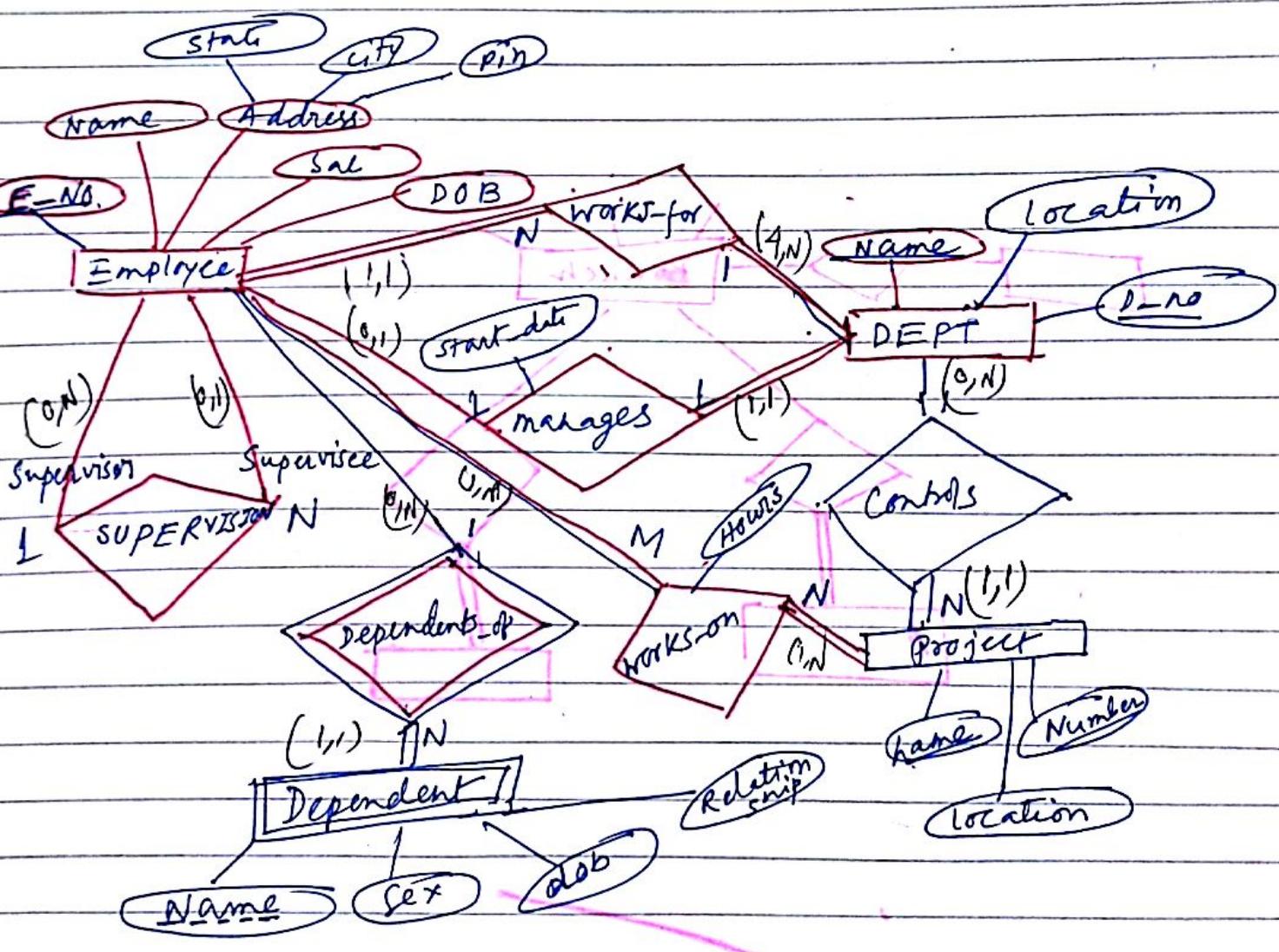
1 by

Prob. Institute College has many dept.s. Each dept. offers any no. of courses but a course is conducted only by a single dept. Instructors work for a particular dept. & dept. has several instructors. Each dept. has a head and an instructor can be head of ^{max 1} only one dept. ~~for~~ Each instructor can take any no. of courses but a course can be taken by only one instructor. A student can take any no. of courses & a course has many no. of students. Each dept. has unique dept. name & a location. We keep track course course → course-id, duration, name, fees, pre-requisite Instructor → instru-id, name, ph-no Student → roll-no, ^{stu-id}name, dob, add, ph-no.,

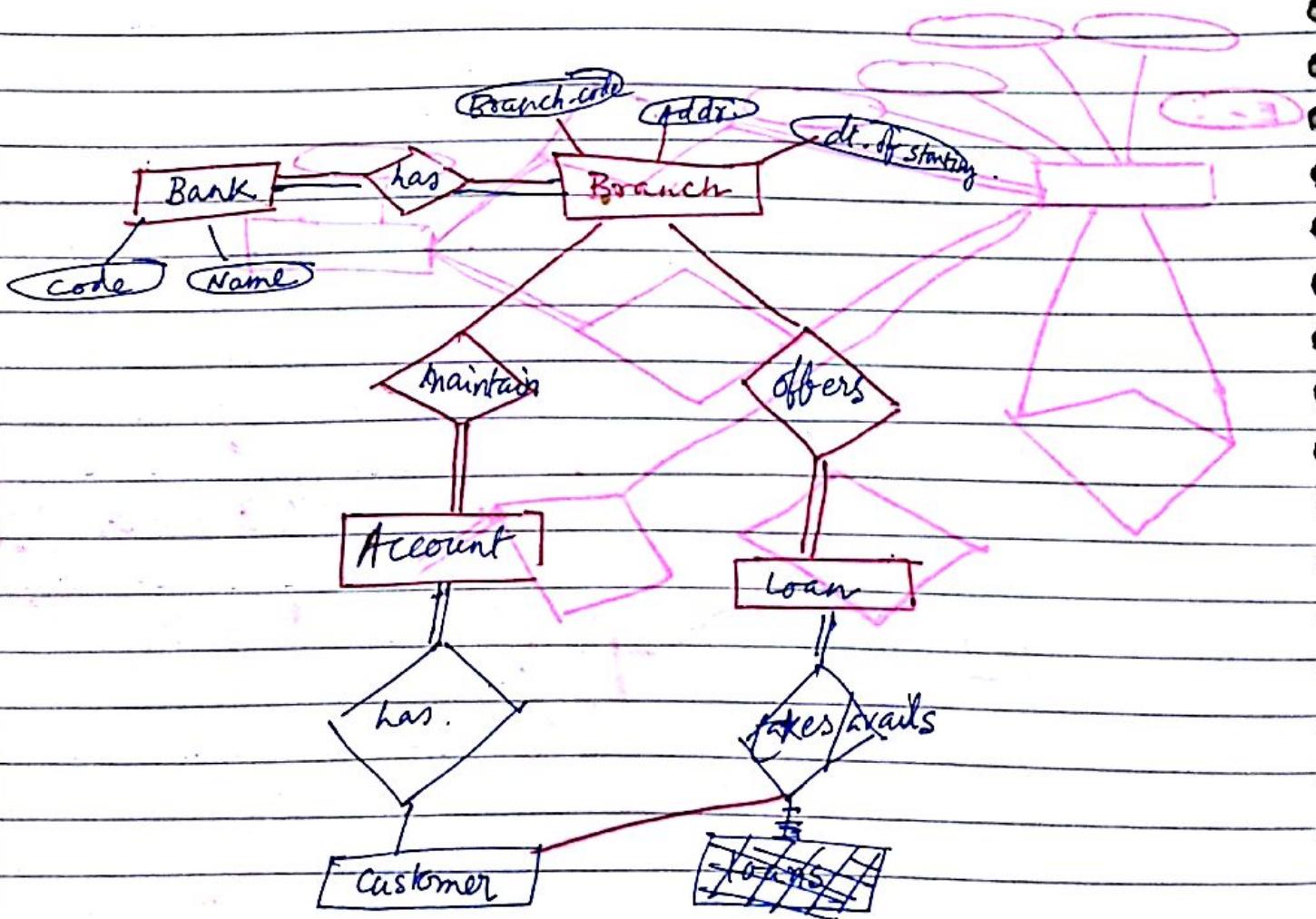


Prob: In a company, many employees work for a department. There are ~~are~~ no. of dept.s. Under a supervisor, there are a no. of supervisees. There is an employee manager who manages a dept. from employee for a dept. Dept. controls many projects.

An employee works-on several projects, and a project has several employees. Employee has dependents - we have to keep track of them.

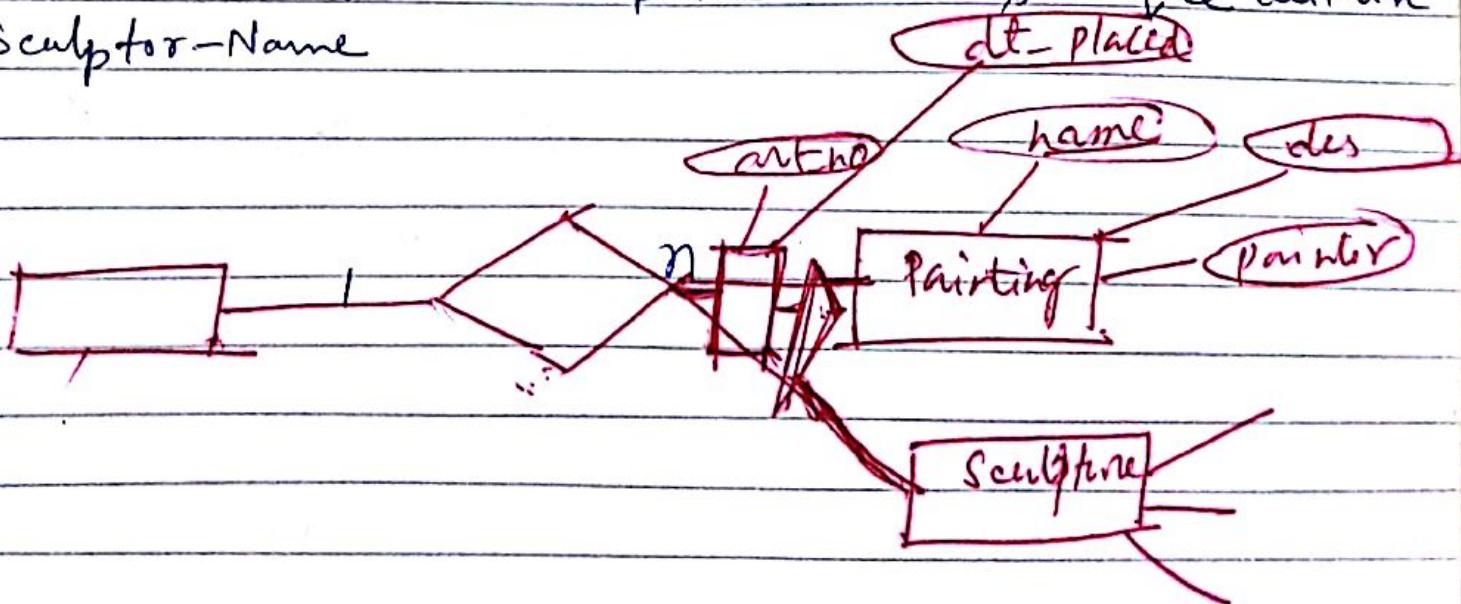


Prob: There are different banks. Each bank has several branches. Each branch maintains accounts of customers and customers have any no. of accounts. Each account has unique acc. no., min balance, check facility etc. Each bank offers loans to customers, a customer can avail any loan account. Each loan account has unique loan-acc-no., amount, installment no., interest rates - Each Branch has unique branch-id., name, along with date-of inauguration. Customer has custo.id, name, address, phone no.



An Exhibiting Organization keeps info about paintings and sculptures. Painting has Painting-Name, Painter-name, Painting-description. Sculpture has Sculptor-name, Sculpture-name, and Sculpture-desc. Painting and sculpture may appear in the same gallery. For the purpose of keeping track of location of items, each painting and sculptures may appear in the same gallery is given a unique identifier, Art-No. Each gallery has an identifier, Gallery-No, and a size. Each gallery can store any no. of art objects. Each art object appears in one art gallery only. The Dateplaced-In-Gallery is kept for both paintings and sculptures.

Note that- the painting-name is unique within painter-name, and Sculpture-Name is unique within Sculptor-Name

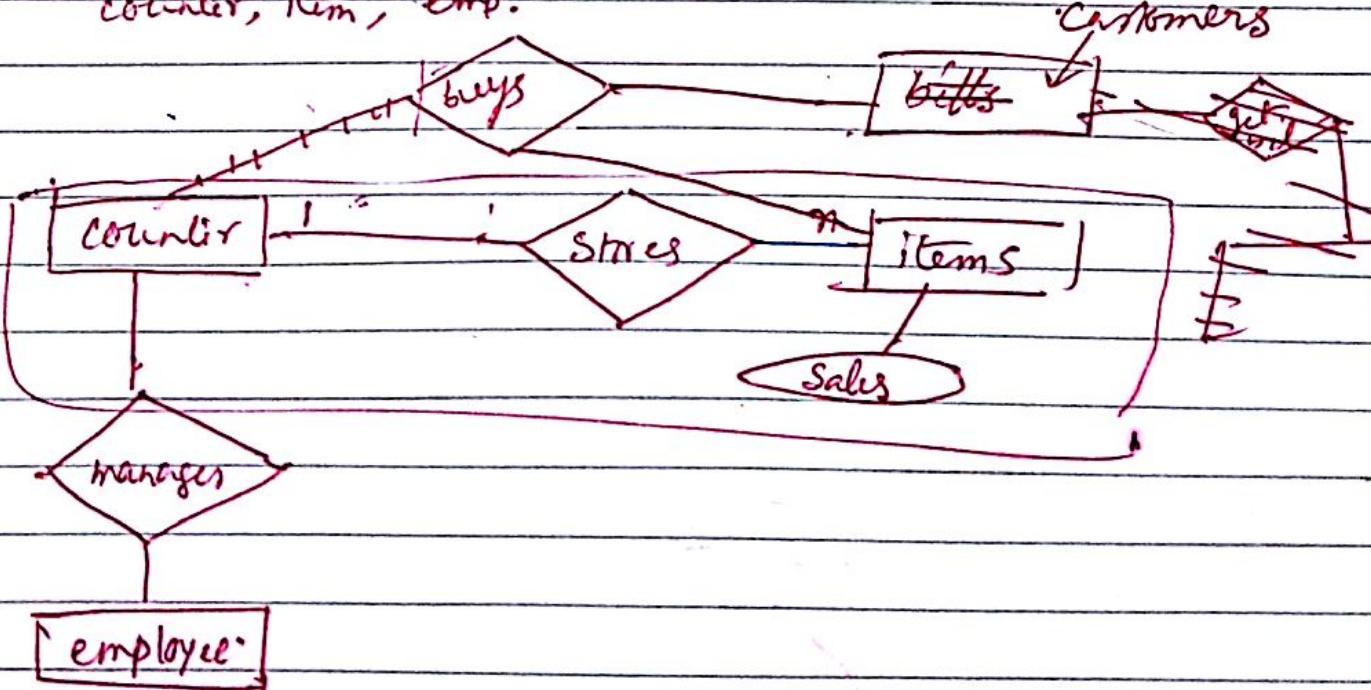


Construct F-R diagram:-

employees.

A store has different counters managed by different items, but no two counters have common items. Customer buy from different counters but bills are prepared at bill counter only. Once in a month performance of persons managing counters is evaluated in terms of sales. Items are also reviewed and slow moving items are identified.

counter, item, emp.



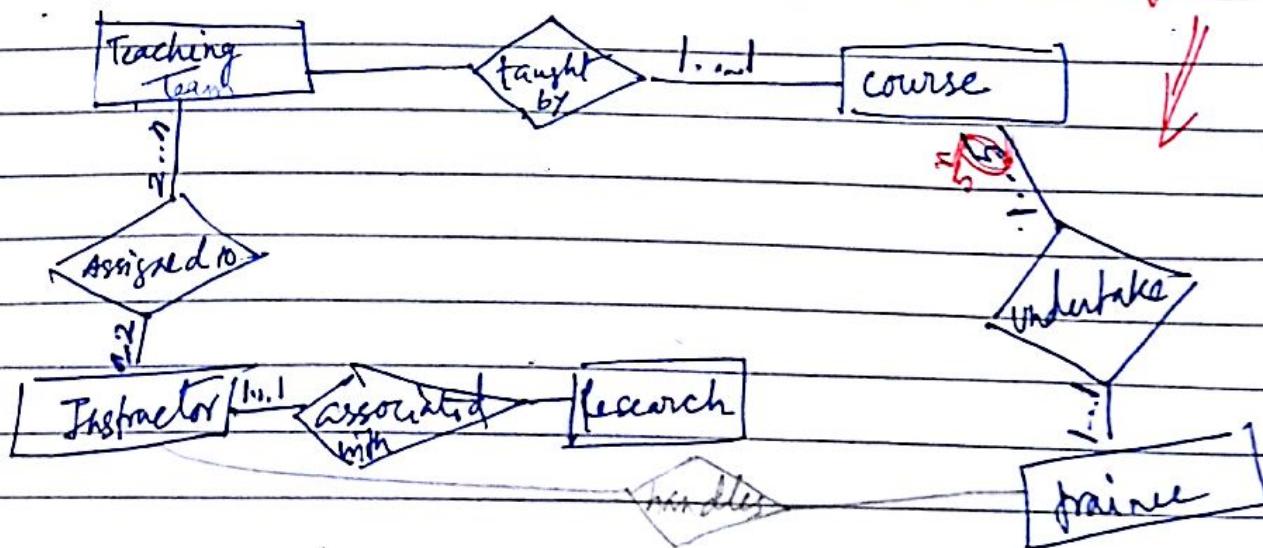
- 11) ↗ Reduce ER Schema to ..
- Describe entities, attributes, relationships & primary keys.
- ↗ Draw an ERD for a travel agency consisting of following.
- customers, bases, drivers, conductors, guides, tickets,
 - booking, agents, reservations, conducted tours & hotels.

11 Draw an ERD for IT Training gr. (kol) based on following info.

The IT Training gr. (kol) has contacted you to create a conceptual model by using the ER data model for db that will meet the info needs for its training prog. The company director has provided the following description of the training groups' operating environment. The company has 12 instructors & can handle up to one hundred trainees per training session. The company offers five adviced tech courses, each of which is taught by a teaching team of 2 or more students instructors. Each instructor is assigned to a max of 2 teaching teams or may be assigned to do research. Each trainee undertakes one adviced tech course per training session.

⇒ Distinguish betw. single valued/Multivalued and stored/Derived attributes

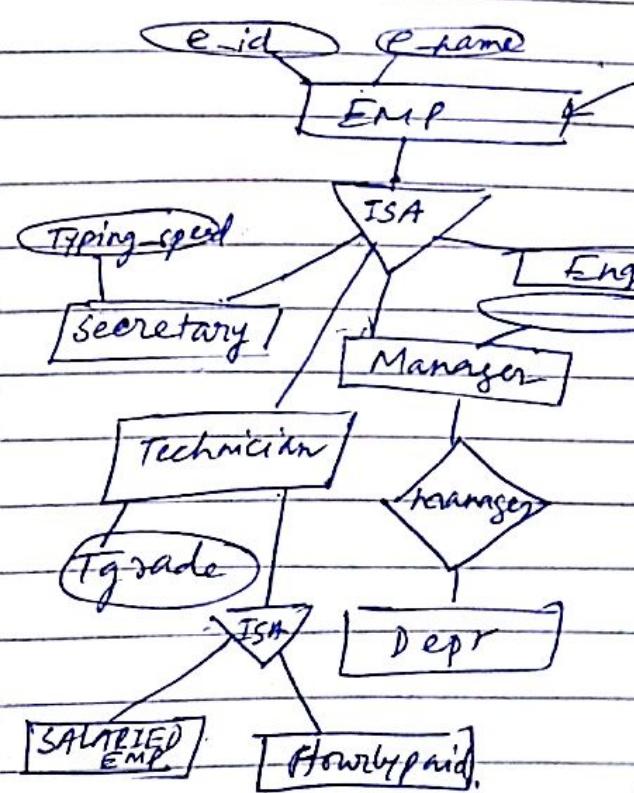
* 1 trainee can enroll in 5 courses per training session.



ENHANCED ER FEATURES:

Specialization :- process of defining a set of subclasses of an entity type. set of subclasses defined on basis of some distinguishing characteristics. → can't be shared by other entity subsets.

Specialization is depicted by triangle labelled ISA → Manager ('is a' employee)

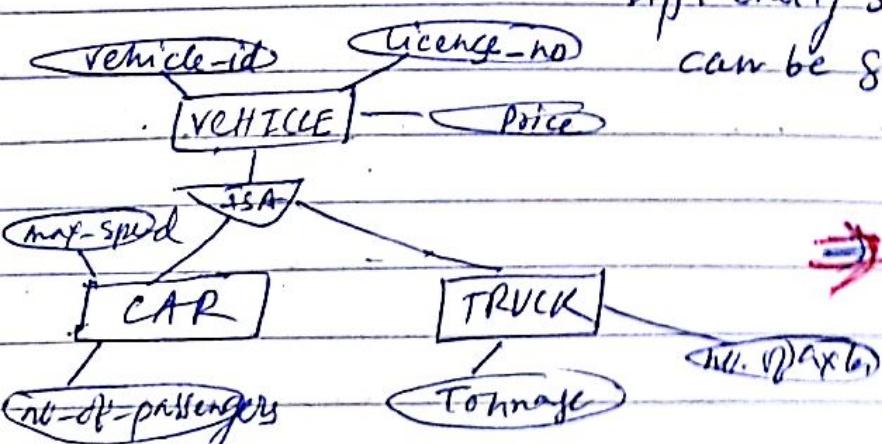


Here specialization is done based on Job-type

Generalization :-

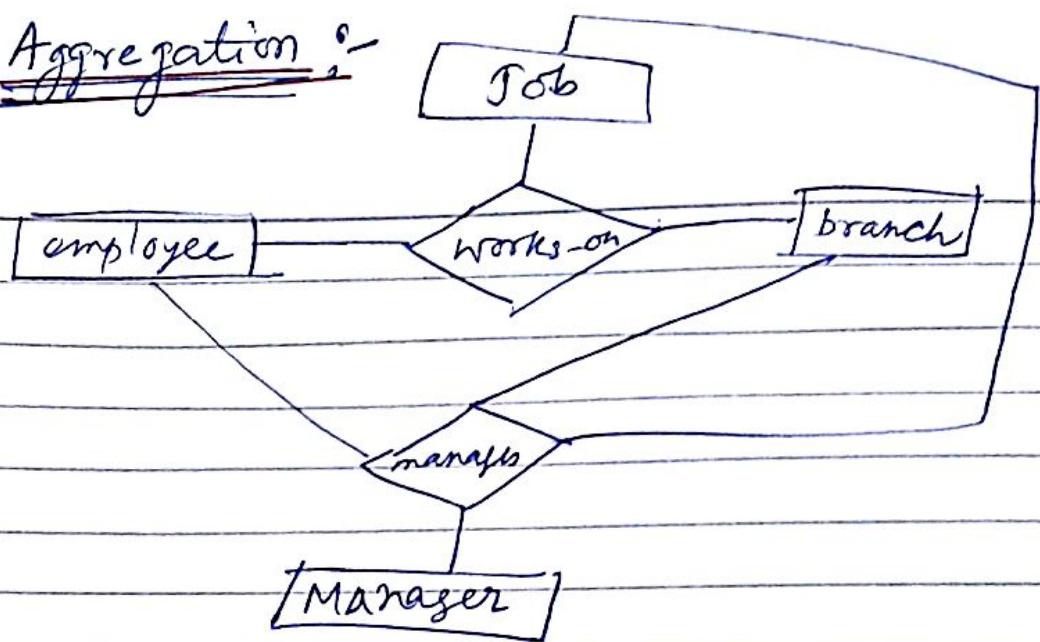
reverse process of abstraction where we identify common features & generalize the subclasses into a superclass.

Diff. entity sets - secretary, manager, engg can be generalized to employee.

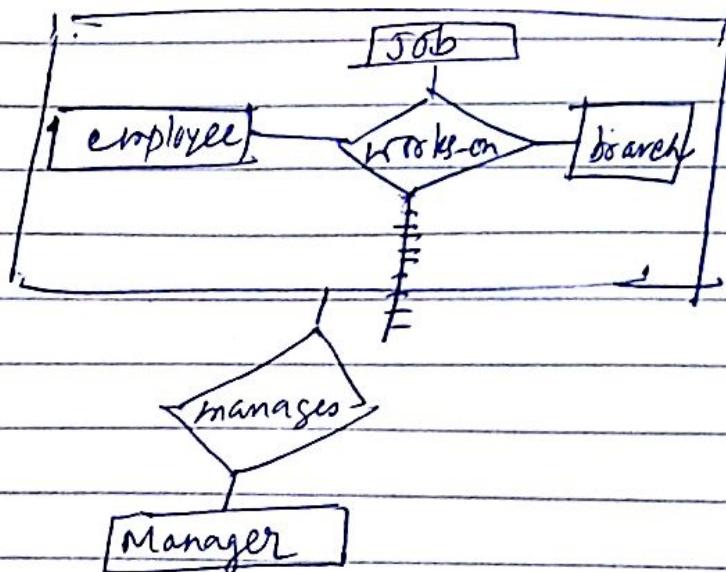


→ show 2 entities with attributes & then merge.

Aggregation :-



- manager manages employee, job, branch → & employee works a particular job at a branch



Normalization

Normalization process - proposed by Codd.

Normalization is reqd. for good db design. It's a process of analyzing given relational schemas based on their FDs & primary keys to achieve desirable properties of 1) minimizing redundancy 2) minimizing insertion, deletion, update anomalies.

After analyzing, unsatisfactory relation schemas that don't meet certain condit's are decomposed into smaller relation schemas to meet the conditions & desirable properties.

keys :-

A superkey of relation schema $R = \{A_1, A_2, A_3, \dots, A_n\}$ is a set of attributes $S \subseteq R$ with the property that for any 2 distinct tuples t_1, t_2 in any legal relation state σ of R , (we have the constraint) $t_1[S] \neq t_2[S]$.

No 2 distinct tuples should have same set of values for (these subset of attributes) SK. \rightarrow SK specifies a uniqueness constraint.

- superkey can have redundant attributes.
 $\{E_no, E_name, B_date\}, \{E_no, E_name\}, \{E_no\} \Leftarrow$ superkeys.

- degree of normalization - highest normal form cond. schema meets.
- Every relation has at least one default superkey - set of all its attributes

- A candidate key / key K is a superkey with property that removal of any attribute from K will cause K' not to be a superkey any more. (if we remove any attri. from K then K won't be a superkey anymore)
- Candidate key is a minimal superkey.
- There may be many Candidate Key \rightarrow we choose one of them as primary key, others are secondary key.
- 2 individual tuples shouldn't have same value on key attributes at same time.
prime attribute: - if an attribute is a member of some candidate key ...

if the attribute is not a prime attribute:

non-prime attribute -

License-No	Engine-serial-no	Maker	Model	Yr	car Relation
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\Rightarrow License-No & Engine-serial-no both are candidate keys \rightarrow one can be chosen as PK

1st Normal Form:-

A relational schema is in 1st normal form if the domains of all attributes are atomic (atomic \rightarrow simple, indivisible) / include atomic values

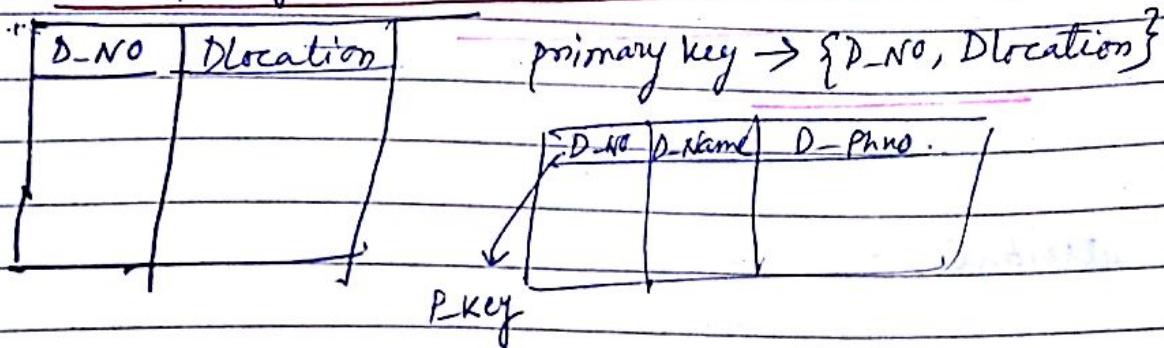
1st normal form disallows multivalued & composite attributes.

DEPT

D-No	D-Name	D-PhNo.	Dlocations
1			
2			
3			

not in 1st NF

⇒ Decomposing above table in 2 1st NF relation



Solⁿ i) place d-location in separate table along with p-key D-No. as dlocation violates 1NF

ii) make separate table for each location in the original table ⇒ introduces redundancy

iii) replace D-location attribute with 3 atomic attributes — multivalued

D-location1, D-location2, D-loc 3. ⇒ introduces NUL for more depts. if they have fewer than 3 location

* complex objects & XML (semi-structured) used for storing multivalued/composite attributes ⇒ RDBMS doesn't allow

2nd Normal Form

It's based on full functional dependency.

Functional dependency:- denoted by $X \rightarrow Y$.

FD betw: two sets of attributes X & Y , that are subsets of $R = \{A_1, A_2, \dots, A_n\}$, specifies a constraint (on the possible tuples that can form a relation state r of R). Constraint is, for any two tuples t_1 & t_2 in r that have $t_1[X] = t_2[X]$, they must also have $t_1[Y] = t_2[Y]$.

This means values of Y component of a tuple in r depend on or are determined by, the values of X component.

Alternatively values of X component of a tuple uniquely/functional determines the values of Y component. \Rightarrow function dependency from X to Y or Y functionally dependent on X .
(we can say that for this value of X component, value of Y comp. must be this)

- If X is a candidate key, then $X \rightarrow Y$ for any subset of attributes Y in R . \Rightarrow candidate key functionally determines all non-candidate attributes.
- $X \rightarrow Y$ doesn't imply $Y \rightarrow X$.

E-No $E_no \rightarrow E_name$, $Pno \rightarrow \{Pname, Plocation\}$
 $\{Eno, Pno\} \rightarrow hrs$.

\Rightarrow value of an employee's id uniquely defines the emp's name / so on.
 \Rightarrow for a given value of Eno , we can know value of E_name , so
 \Rightarrow FD specifies constraints on attributes of relational schema R that holds 'all time'

- A FD $X \rightarrow Y$ Full FD if removal of any attribute A from X means that dependency doesn't hold anymore; i.e., for any attribute $A \in Y$, $(X - \{A\}) \nrightarrow Y$ doesn't functionally determine Y . After removal of A if dependency still holds \Rightarrow partial dependency

For e.g., $\{Ename, Proj\} \rightarrow hrs \Rightarrow$ full dependency
 neither $Ename \rightarrow hrs$ nor $Proj \rightarrow hrs$ holds
 but $\{Ename, Proj\} \rightarrow Ename$ partial as $Ename \rightarrow Ename$

- \Rightarrow A relation schema R is in 2NF if every nonprime attribute A in R is fully functionally dependent on PK .
 If $R \not\sim R$ must be in 1NF.

EMP-PROJ

Eno	Proj	Hrs	Ename	Pname	Location	PK $\rightarrow \{Eno, Proj\}$
1	1	↑	↑	↑	↑	
.	1	↓	↓	↓	↓	\leftarrow not in 2NF.

Here all nonprime attributes not fully functionally dependent on PK . $\{Eno, Proj\} \rightarrow Ename$ partial as $Ename \rightarrow Ename$ holds

$Pname$ & Loc are also partially dependent on PK .

\Rightarrow So, given relation can be 2nd normalized by decomposing it in no. of 2NF relations in which non prime attributes are associated only with that part of PK on which they

one fully dependent.

So, FD1, FD2, FD3 lead to decomposition of EMP-PROJ in 3 schemas, each of which is 2NF.

Eno Proj Hrs	Eno Ename	Pno Pname Place
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- Test for 2NF involves testing whether left hand side of FD is part of PK. If PK contains a single attribute, test is not applied at all.
- For another example, see next page.

Redundant Info. in tuples & Update Anomalies

If to minimize storage space we join two base relation EMP & DEPT instead of keeping them separately, then attribute values for particular departments are repeated for every employee who works for that dept. If we keep separately 2 relations, then dept's info appears once in dept relation, foreign key maintained in emp etc.

Another serious problem is Update Anomalies (can be classified into insertion, deletion & modification anomalies).

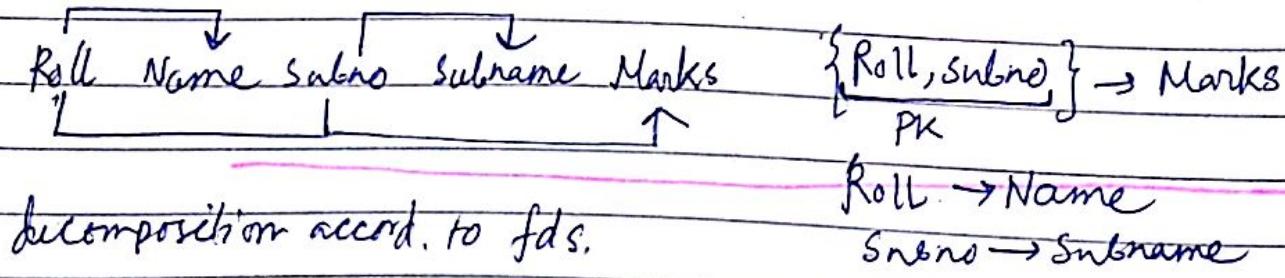
Insertion Anomalies: (Name) - details

• We must enter attribute values for a particular dept (e.g. 5) correctly so that they are consistent with values for dept 5 in other tuples in EMP-DEPT.

• It's difficult to insert a new dept that has no employee as yet in EMP-DEPT. Then place NULL for attributes of employee but emp-no is pk \rightarrow pk values are null \rightarrow not good.

Deletion Anomalies :- If we delete from EMP-DEPT an employee tuple that is the last one working for that dept, info regarding that dept will be lost from db.

Modification Anomalies :- If we change the value of an attribute of a particular dept, then we must update the tuples of all employees who work for that dept. otherwise db will be inconsistent - two diff. employee shows two diff. value for same attribute of a particular dept.

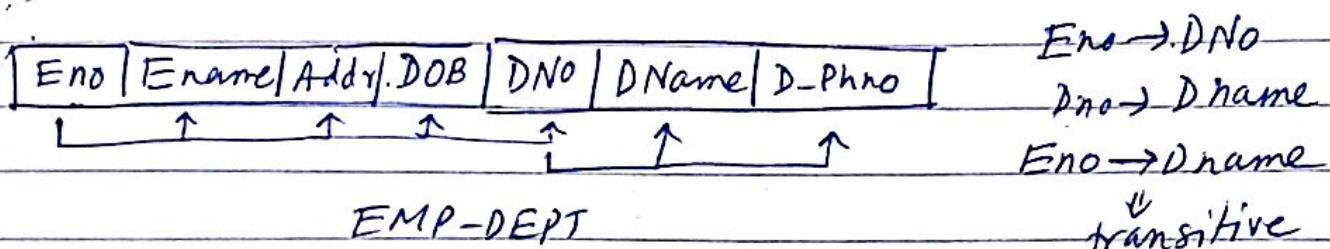


superkey

- Candidate key always functionally determines any subset of attributes

3rd Normal Form: A relationschema should strive to achieve 3NF atleast

- Trivial dependency:- A FD $X \rightarrow Y$ is trivial if $X \supseteq Y$.
 $A \rightarrow A$ / $AB \rightarrow A$ are trivial. They are satisfied by all relations.
- Transitive dependency: if $X \rightarrow Y$ & $Y \rightarrow Z$ then $X \rightarrow Z$
* is not a candidate key or subset of any key.



EMP-DEPT is in 2NF as no partial dependency exist.

3NF: A relation schema is in 3NF if it satisfies 2NF and no nonprime attribnls of R transitively dependent on PK

EMP-DEPT is not in 3NF as transitive dependency of dname (also d-phno) on E-no via D-no. dname, d-phno are nonprime & they are transitively dependent on PK Eno. We can normalize EMP-DEPT by decomposing it as follows - 2B(NF) Schemas ED1 & ED2. Intuitively ED1, ED2 are two independent entities. A natural join operation recovers EMP-DEPT without generating spurious tuples.

- check that left hand side is part of PK / nonkey attribnls \rightarrow problematic.

Alternative defⁿ for 3NF: R is in 3NF if, whenever a nontrivial fd $X \rightarrow A$ holds in R, either (a) X is a superkey of R, or (b) A is a prime attribute of R.

Now R violates this defⁿ of 3NF if a fd holds in R that violates both condⁿ's (a) and (b) of 3NF. Violating (b) means A is a non-prime attribute. Violating (a) means that X is not a superset of any key of R \Rightarrow i.e., X could be non-prime or it could be a proper subset of a key of R. Now if X is non-prime (also A is part non-prime), there is a transitive dependency that violates 3NF whereas if X is a part of key \Rightarrow partial dependency that violates 3NF (also 2NF). In the previous example, | Thus two defⁿ of 3NF matches

$Dno \rightarrow Dname$ does not satisfy the condⁿ (a) & (b).

BCNF (Boyce-Codd Normal Form):-

This NF eliminates all redundancy that can be discovered based on fds. BCNF is stricter than 3NF \Rightarrow every relation in BCNF is also in 3NF, but a relation in 3NF not necessarily in BCNF. Generally, BCNF applied when there are large volume of data.

Defⁿ: R is in BCNF if whenever a nontrivial fd $X \rightarrow A$ holds, then X is a superkey of R.

- Diff. b/w BCNF & 3NF:- cond^t (b) of 3NF which allows A to be prime, is absent from BCNF. If $X \rightarrow A$ holds in R with A not being a superkey & A being a prime attribute, then R is in 3NF but not in BCNF.

Advantage of 3NF that it can be obtained without sacrificing losslessness or dependency preservation. Nevertheless, there are disadvantages to 3NF: NULL values may be used to represent some of possible meaningful relationships among data items and there is prob. of repetition of info. (refers to Korth for e.g. Navathe)

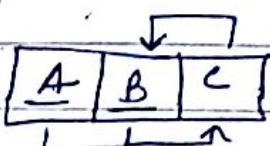
TEACH:

Student	Course	Instructor
X	DBMS	Mark
Y	DBMS	Navathe
Y	OS	Ammar
Z	OS	Sayyid
Z	DBMS	Mark
Z	OS	Ahammed
W	DBMS	Date
M	DBMS	Navathe
X	OS	Ammar

PK/SK
↓

FD1: {student, course} \rightarrow Instructor

FD2: Instructor \rightarrow course



prime attribute

So, TEACH is 3NF but not in BCNF.

- 1) {stu, ins} & {stu, cour}
- 2) {cour, ins} & {cour, stu}
- 3) {ins, course} & {ins, stu}

* all decompositions use fd1

Decomposition to get BCNF \rightarrow (Instructor, course) & (Instructor, student)

Though it loses FD1, among others (ref Navathe) it is desirable as it won't generate spurious tuples.

* All-key relations are by default in BCNF as they have only trivial dependencies. \Rightarrow one cond^t for being BCNF

CLOSURE of set of FUNCTIONAL DEPENDENCY

Given a set of f.d.s F , we can prove that certain other f.d.s hold. — such f.d.s are 'logically implied' by F . Every relation instance satisfying F satisfies f .

Suppose $R = (A, B, C, G, H, I)$ and the set of f.d.s

$$A \rightarrow B,$$

$$A \rightarrow C, CG \rightarrow H, CG \rightarrow I, B \rightarrow H.$$

||

f.d. $A \rightarrow H$ logically implied.

Suppose t_1, t_2 tuples, $t_1[A] = t_2[A]$ holds, then $t_1[B] = t_2[B]$ must hold as $A \rightarrow B$.
As $B \rightarrow H$, so $t_1[H] = t_2[H] \Rightarrow A \rightarrow H$.

Closure of F , denoted by F^+ , is the set of all f.d.s logically implied by F .

There are 6 rules of inference:-

- Reflexivity rule: if $\beta \subseteq \alpha$, then $\alpha \rightarrow \beta$ // Trivial
- Augmentation rule: if $\alpha \rightarrow \beta$ holds and γ is set of attributes, then $\gamma\alpha \rightarrow \gamma\beta$
- Transitivity rule: if $\alpha \rightarrow \beta, \beta \rightarrow \gamma$ then $\alpha \rightarrow \gamma$

These first 3 rules are called Armstrong's axioms \Rightarrow the project.
applying these rules repeatedly, we can get F^+

- use $\alpha\beta$ to denote $\alpha \cup \beta$

Armstrong's axioms are sound, as they don't generate any incorrect fds. They are complete, as for a set of fds F , they allow us to generate all logically implied fds.

Although these axioms are complete, it is cumbersome to use them directly to compute F^+ . There are 3 additional rules.

- Union rule: if $\alpha \rightarrow \beta$, $\alpha \rightarrow \gamma$, then $\alpha \rightarrow \beta\gamma$
- Decomposition rule: if $\alpha \rightarrow \beta\gamma$, then $\alpha \rightarrow \beta$ and $\alpha \rightarrow \gamma$.
- Pseudotransitivity rule: if $\alpha \rightarrow \beta$, $\beta \rightarrow \delta$, then $\alpha \rightarrow \delta$

→ We take this for example:

$A \rightarrow H$. as $A \rightarrow B$ & $B \rightarrow H$ (transitive rule)

$CG \rightarrow HI$, as $CG \rightarrow I$ & $CG \rightarrow H$ (union rule)

$AG \rightarrow I$, as $A \rightarrow C$ & $CG \rightarrow I$ (pseudotransitivity rule)
or as $A \rightarrow C$, so $AG \rightarrow CG$, & $CG \rightarrow I$.

$AG \rightarrow I$ (transitivity).

CLOSURE of ATTRIBUTE SET

whether $\alpha \rightarrow \beta$ or not if β is in α^+

To test whether a set α is a superkey,

we must derive an algo. to compute the set of attributes functionally determined by α . One way → from F^+ , take fds whose left-hand side is α & take union of right-hand sides of all such dependencies, but doing so is expensive as F^+ can be large.

set of all attributes functionally determined by or under set F^+
fds \rightarrow closure of α under F (denoted by α^+).

result = α ;

```
while (changes in result) do
  for each fd  $B \rightarrow D$  in F do
    if  $B \subseteq \text{result}$  then result := result  $\cup D$ 
  end
```

To compute (α^+) with the previous (given in last page) dependencies using this algo/pseudocode.

We start with result = α . First time when we execute while loop to test each dependency, we find that-

- $A \rightarrow B$ causes to include B in result / $A \subseteq \text{result}(\alpha)$ result = result $\cup B$
- $A \rightarrow C$, result = ABC , as $A \subseteq \text{result}$
- $CG_2 \rightarrow H$, result = $ABCGH$, as $CG \subseteq \text{result}$
- $CG \rightarrow I$, result = $ABCDEFGHI$

Second time for $B \rightarrow H$, no change in result, so algo terminates

$R = \{A, B, C, D\}$, FD = $\{AB \rightarrow C, C \rightarrow D, D \rightarrow A\}$. List candidate keys-

Ans: $A^+ = \{A\}$, $B^+ = \{B\}$, $C^+ = \{C, D, A\}$, $D^+ = \{D, A\}$,

$AB^+ = \{A, B, C, D\}$, $AC^+ = \{A, C, D\}$, $AD^+ = \{A, D\}$,

$BC^+ = \{B, C, D, A\}$, $BD^+ = \{B, D, A, C\}$, $CD^+ = \{C, D, A\}$

So, candidate keys are : AB, BC, BD

$$ABC^+ = \{A, B, C, D\}^S.$$

Now, check only ACD⁺ = {A, C, D} No Superkey

as, ACB⁺ ⊇ AB, ADB⁺ ⊇ BC, now AB, BC are candidate keys, so need not to check those.

$$R = \{A, B, C, D\}, FD = \{AB \rightarrow C, BC \rightarrow D, CD \rightarrow A, AD \rightarrow B\}$$

$$A^+ = \{A\}, B^+ = \{B\}, C^+ = \{C\}, D^+ = \{D\}$$

$$AB^+ = \{AB\} \Rightarrow AB^+ = \{ABC\}, AB^+ = \{ABCD\}$$

$$BC^+ = \{DCDA\}^S, CD^+ = \{CDAB\}^S, DA^+ = \{ADBC\}^S$$

$$ABC^+ = \{ABCD\}^S, BCD^+ = \{BCDA\}^S, CDA^+ = \{CDA\}^S$$

$$BD^+ = \{BDA\}^S$$

$$BD^+ = \{BD\}$$

$$AC^+ = \{AC\}$$

~~(*)~~ Multivalued dependency & 4th NF.

Multivalued depn. (MVD) are consequence of 1NF, which disallows an attribute in a tuple to have a set of values. If we have 2 or more multivalued independent attributes in the same relation schema, we get into a prob. of having to repeat every value of one of the attributes with every value of the other attributes to keep the relation state consistent and to maintain the independence among the attributes involved. — This constraint is specified by MVD.

Ex:

<u>EName</u>	<u>PName</u>	<u>DName</u>
Smith	X	John
Smith	Y	Anna
Smith	X	Anna
Smith	Y	John

PName & DName independent of each other. To keep consistency, separate tuple to represent every combination of an employee's dependent and an employee's proj. \Rightarrow MVD.

$\{EName, PName, Dname\} \Rightarrow PK$

Def :- A mvd $X \Rightarrow Y$ specified on relation schema R, where X & Y are ~~spec~~ subsets of R, specifies the following constraint on any relation state r of R: If 2 tuples t_1 and t_2 exist in r such that $t_1[X] = t_2[X]$, then 2 tuples t_3 & t_4 should also exist in r with the following property, where z is $(R - (X \cup Y))$. \Rightarrow

- $t_3[X] = t_4[X] = t_1[X] = t_2[X]$
- $t_3[Y] = t_1[Y] \& t_4[Y] = t_2[Y]$
- $t_3[Z] = t_2[Z] \& t_4[Z] = t_1[Z]$.

$X \Rightarrow Y$ means X multi-determines Y || Values of Y determined only by values of X alone, doesn't depend on remaining attributes Z of R.

If for same value of X , 2 tuples have distinct Y values, then these values of Y must be repeated in separate tuples with every distinct Z values that occurs with ^{that} same X values.

In emp relation, the values 'X' & 'Y' are repeated for each of dname (and similarly values 'Anna', 'John' repeated for each Pname)
This redundancy undesirable.

EMP is in BCNF (key relations are in BCNF)
4NF is stronger than BCNF.

$E\text{Name}, P\text{Name}, D\text{Name} \rightarrow E\text{Name}$
all are trivial } $\rightarrow P\text{Name}$
 $\rightarrow D\text{Name}$
so in BCNF

A MVD is trivial if a) Y is a subset of X , or b) $XUY = R$.
 $X \rightarrow Y$, MVD that doesn't satisfy any cond! \rightarrow Nontrivial

4NF:- R is in 4NF if for every nontrivial multivalued dependency $X \rightarrow Y$, X is a superkey for R .
(A) mvd may be trivial also to 4NF

EMP is in BCNF but not in 4NF as $E\text{Name} \rightarrow P\text{Name}$
 ~~$E\text{Name} \rightarrow D\text{Name}$~~ are nontrivial mvd, ~~but~~ ~~$E\text{Name}$ not superkey~~,
So, decompose EMP in EMP-PROJ. & EMP-DEP; those are in 4NF as mvd's are trivial.

EName	PName	TENName	DName
Smith	X	Smith	John
Smith	Y	Smith	Anna



Relational Algebra.

The basic set of operations for a relational model that take one or two relations as input and produce a new relation as their output.

Relational calculus provides a higher level declarative notation for specifying relational queries.

Nary Relational Operations:-

SELECT: this operation is used to select a subset of tuples from a relation that satisfies a selection condⁿ. \Rightarrow SELECT visualized (visualized
as a horizontal partition)
 $\sigma_{\text{cond}}(R)$

$$\sigma_{\text{cond}}(R) \quad // \quad \begin{aligned} &\sigma_{DNO=4}(EMP) \\ &\sigma_{SAL>3000}(EMP) \end{aligned}$$

$$\sigma_{(DNO=4 \text{ AND } SAL>3000) \text{ OR } (DNO=5 \text{ AND } SAL>3000)}(EMP)$$

SELECT operation is commutative.

$$\delta_{\text{cond}_1}(\delta_{\text{cond}_2}(R)) = \delta_{\text{cond}_2}(\delta_{\text{cond}_1}(R))$$

$$\delta_{\text{cond}_1}(\delta_{\text{cond}_2}(\dots(\delta_{\text{cond}_n}(R))\dots)) = \delta_{\text{cond}_1 \text{ AND } \text{cond}_2 \text{ AND } \text{cond}_3(R)$$

PROJECT: this operation selects certain columns from tables & discards other columns.

- We use project operation
 → vertical partition

$$\pi_{\langle \text{column_list} \rangle}(R) \quad \text{or} \quad \pi_{\text{NAME}, \text{SAL}}(\text{EMP})$$

Combination of these two operations.

(PRO)

Composition of Relational operations.

$\Pi_{\text{Name}, \text{Sal}} (\sigma_{\text{Doj} = 4} (\text{EMP}))$

$\sigma_{\text{Doj} = 4} (\text{EMP}) \rightarrow X$

$\Pi_{\text{Name}, \text{Sal}} (X)$

Rename: $\rho_E (\text{EMPLOYEE})$

UNION, INTERSECTION AND SET DIFFERENCE

Several set operations used to merge elements of 2 sets in various ways, UNION.

- binary operations - applied on 2 sets.
- 2 relations are

$R(A_1, A_2, \dots, A_n)$ and $S(B_1, B_2, \dots)$ are union compatible if they have same degree n and if $\text{dom}(A_i) = \text{dom}(B_i)$ for $i < i \leq n$

$$x = \delta_{DNO=5}(\text{EMP})$$

$$\text{Result1} \leftarrow \pi_{DNO}(x)$$

Result2

Union: $\pi_{\text{str_name}}(\text{CSE1}) \cup \pi_{\text{str_name}}(\text{CSE2})$

Set-diff: $\pi_{\text{cust_name}}(\text{depositors}) - \pi_{\text{cust_name}}(\text{borrower})$

CARTESIAN PRODUCT (CROSS PRODUCT) :-

(Definition with Examples) and (Applications)

ln-no	branch-name	amount
L-170	Downtown	3000
L-230	Redwood	4000
L-260	Pey.	5000

LEAN

cust-name	ln-no
Sones	L-170
Smith	L-230

BORROWER

Inner join: join 2 relations matching values of common attribute

loan-no	branch-name	amount	cust-name	ln-no
L-170	- - -	- - -	Sones	L-170
L-230	- - -	- - -	Smith	L-230

Outer join: deals with missing info.

left outer join (\bowtie) takes all tuples in left relation that doesn't match with tuples in right relation; fills/pads the attribute values for right relation with null, then add the tuples with result of inner join.

L170	✓	✓	Sones	L-170
L-230	✓	-	Smith	L-230
L-260	Peyridge	-	null	NULL

right outer join (\bowtie^R) opposite \Rightarrow it takes tuples from right relation that doesn't match with tuples of left one and pads with null values.

ln No	branch-name	amount	cust-name	ln-no
L-170	✓	✓	Jones	L-170
L-230	✓	✓	Smith	L-230
NULL	null	NULL	Hayes	L-185

In case of natural join we needn't to give on condⁿ. &
2 common columns are merged in result.

Full outer join:

Theta join $\Rightarrow \theta$ is the condition.

Equijoin \Rightarrow when in θ -join only equality condⁿ,
then equijoin

Aggregati funct:s & grouping.

Aggregati funct:s take a collection of values and return a single value as a result. Suppose, total no. of employees

count(emp-no) \Rightarrow Count(emp-no) (EMP)

count(distinct emp-name) \Rightarrow Count(distinct ~~emp~~ name) (EMP)

Now, we want to count no. of emp. in each dept so, we need to partition relation EMP in groups based on dept-no. & apply aggregati funct: on each group.

Select count(emp-name) from emp where (cond).

Select Σ count(emp-no) from emp group by dno

Select Σ , count(emp-no) from emp ~~where~~ having count(emp-no) > 3
group by dno

do Count(emp-no) (EMP)

Select branch-name, avg(bal) from account gr by
branch-name having avg(bal) > 1200 .

\Rightarrow To apply cond: of groups, we use having clause.
having used with group by.

\Rightarrow select dname
count(emp-name) from emp join dept on (cond).
group by d-name.

\Rightarrow select dname, count(emp-no) from emp, dept where (cond)
group by d-name

depositor → cust-name, acc-no

account → acc-no, branch name, bal.

customer → cust-name, street, city.

branch → br-name, br-city, assct

select branch-name, count(~~distinct~~ customer-name) from depositor
join account on depositor.acc-no = account.acc-no.
group by branch-name.

account -

select depositor.

select cust-name, avg(bal) from depositor on
depositor.acc-no = account.acc-no join

customer on depositor.cust-name = customer.cust-name,
where cust-city = 'Bombay'

group by depositor.cust-name having
count(depositor.acc-no) >= 3.

→ list avg.bal for each customer who lives in Bombay
and has at least three accounts

Nested subquery:

Select cust-name from Borrower where customer-name ^{not in} (select cust-name from depositor)

- Find customers having both an account and a loan at Perryridge branch.

Select cust-name from borrower, ^{join} loan where borrower.bn = loan.bn-no and branch-name = "Perryridge" and ^{no} (branch-name, cust-name) ⁱⁿ (select branch-name, cust-name from depositor ^{on} account ^{on} depositor . acc-no = accountacc.no)

- Find branches for which avg. bal is greater than or equal to all avg. bal / find the branch which has highest avg bal

(can't write max(avg)) select branch-name from account group by, having avg(bal) >= all (select avg(bal) from account gr. by branch-name)

• greater than at least $\Rightarrow >$ some (. . .)

• = some is equivalent to in

• \geq all is equivalent to not in

~~Korth 3rd chapter~~
Consider employee db

emp (emp-name, street, city)

works (emp-name, comp-name, sal)

company (comp-name, city)

manages (emp-name, manager-name)

b. Find names, street, cities of all emps. who work for
First Bank Corporation & earn more than \$10,000

c. Find emps. in db who don't work for First Bank Grp.

d. Find emps. in db who earn more than each emp of
small bank corp.

e) Assume Find corps. located in every city in which small
bank corp. located.

f. Find company that has most employees.

g. ~~Find~~

j. Find those comp. whose emps earn a higher sal, on avg, than the avg. sal at 1st bank corp.

person (driver-id, name, addr.)

car (license, model, yr)

accident (report-no, date, lc)

owns (driver-id, licence)

participated (driver-id, car, report-no, damage-amount)

i. Find the total no. of people who owned cars that were involved in accidents in 1989

Transaction

Transaction refers to a collection of operations that form a single logical unit of work. e.g. transfer of money from one account to another is a transaction consisting of 2 updates.

appears to do merely as a single unit of work.

T_i : read(A); //read(x) \rightarrow transfers data from disk to local buffer
 $A := A - 50$;
write(A); //write(x) \rightarrow transfer data from local buffer to db.
read(B);
 $B := B + 50$;
write(B). always not immediately update data in disk.

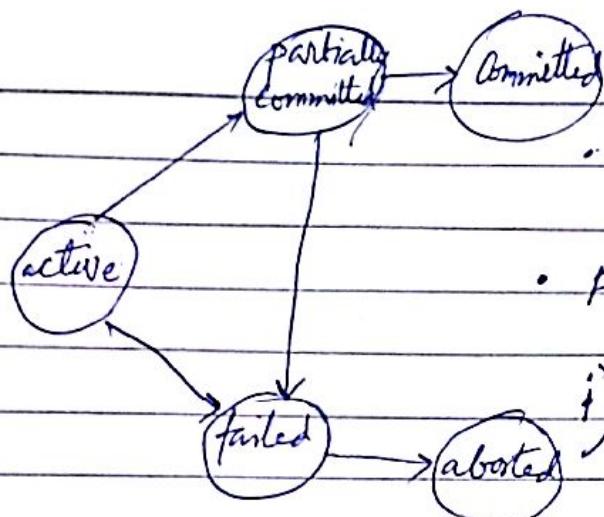
Atomicity: either all actions of transaction executed immediately or none of it does; in some failure, partial execution of transaction must be undone.

Once transaction successfully executed, user notifies that transfer of fund taken place, data must persist in db, no failure will result in loss of data corresponding to this transfer of funds (Hard disk not failed) \Rightarrow Durability

consistency: sum of A & B is unchanged before & after execution of transaction. \rightarrow consistent before & after transaction.

resulting
inconsistent
state.

Transaction state -



• Active: initial state; transaction is in this state while executing

• Partially committed - after final stmt. has been executed

• Failed - normal execution no longer proceed.

i) aborted transaction

ii) kill trans.

• Aborted - trans. rolled back & it has been restored to its prior state for restart of trans.

• Committed - successful completion.

• terminated → committed / aborted.

• Schedule - execution seq. of instructions. It represents chronological order in which instructions are executed in system. Schedule for a set of

• serial / nonserial schedule - consists of sequence of instructions from various transactions where instructions belonging to one transaction appear together in that schedule.

• Serializable - A schedule of n transactions is serializable if it is equivalent to some serial schedule of same n transaction.

under concurrent execution, make sure that, any schedule that is executed has the same effect as a schedule of equivalent effect of a serial one.

serial.

• Conflicting Operations:- 2 operations in schedule conflict if they satisfy all 3 condn:- 1) belong to diff. transactions 2) access same data X. and 3) at least one of the operations is write (W).

Conflict equivalent :- If 2 schedules are conflict equivalent if the order of any 2 conflicting operations is same in both schedules.

If S can be transformed to S' by a series of swaps of nonconflicting instructions, then S & S' conflict equivalent.

Conflict serializable:

S is conflict serializable if S is equivalent to some serial schedule $S''_{in S}$. (In this case, we reorder nonconflicting operations until we form equivalent serial schedule S'').

<u>In both</u>	<u>T_1</u>	<u>T_2</u>
e.g.	$r(A)$	
	$A := A - 50$	
	$w(A)$	
	$r(B)$	
	$b := b + 50$	
	$w(B)$	
transactions consists of all inst. of those transactions & preserve the order in which they appear in the trans.		
		$r(A)$
		$b := b + 50$
		$A := A - 100$
		$w(A)$
		$r(B)$
		$b := b + 100$
		$w(B)$

* conflicting operations:- 2 operations in schedule conflict if they satisfy all 3 condn:- 1) belong to diff. transactions 2) access same data X - and 3) at least one of the operators is write (W).

conflict equivalent :- // 2 schedules are conflict equivalent if the order of any 2 conflicting operations is same in both schedules // If S can be transformed to S' by a series of swaps of nonconflicting instructions, then S & S' conflict equivalent.

conflict serializable :

S is conflict serializable if S is equivalent to some serial schedule S^* . (In this case, we reorder nonconflicting operations until we form equivalent serial schedule S^{**} .)

In both eg:-	T_1	T_2
	$r(A)$	
	$A := A - 50$	
	$w(A)$	
	$r(B)$	
	$b := b + 50$	
	$w(B)$	

transactions consists of all inst. of those transactions & preserve the order in which they appear in the trans.

$r(A)$ $b := b + 50$ $w(A)$ $r(B)$ $b := b + 50$ $w(B)$	$w(A)$ $A := A - 50$ $w(B)$
--	-----------------------------------

Conflict serializability:

I_i, I_j consecutive instructions of T_i, T_j ($i \neq j$). If I_i, I_j refer to diff. data item, then I_i, I_j can be swapped without affecting results of any instr. in schedule. Now, if I_i, I_j refer to same data item, then order of 2 instructions matter in following way. →

- 1) $I_i = \text{read}(S), I_j = \text{read}(S)$. Order of I_i, I_j doesn't matter as same value of S is read by T_i & T_j .
- 2) $I_i = \text{read}(S), I_j = \text{write}(S)$. If T_i comes before T_j , then T_i doesn't read the value written by T_j in T_j . If T_j comes first, then T_i reads the S value written by T_j .
- 3) $I_i = \text{write}(S), I_j = \text{read}(S)$, similar as previous.
- 4) $I_i = \text{write}(S), I_j = \text{write}(S)$. Here next read(S) instr. is affected as result of only the latter of 2 write instr. is preserved in db. // If no other write operation after I_i & I_j in any schedule, then the order of I_i, I_j directly affects the final value that results from S .

e.g. In $s-3$, $\text{write}(A)$ of T_1 conflicts with $\text{read}(A)$ of T_2 . But $\text{write}(B)$ of T_2 doesn't conflict with $\text{read}(B)$ of T_1 .

Now, if I_i, I_j don't conflict, then we can swap the order of I_i, I_j to produce a new schedule s' . Now, s, s' equivalent as all instr. appear in same order in both schedule except I_i, I_j whose order doesn't matter.

$s-1$ is conflict serializable with $s-3$ as $\text{read}(B), \text{write}(B)$ of T_2 can be swapped with $\text{read}(A), \text{write}(A)$ of T_1 .

Now, since write(B) of T_2 in S_3 doesn't conflict with read(B) of T_1 , they can be swapped to form new schedule S_5 .
Now, we continue to swap nonconflicting ins.

- read(B) of T_1 with read(A) of T_2
- write(B) of T_1 with write(A) of T_2
- write(B) of T_1 with read(A) of T_2 .

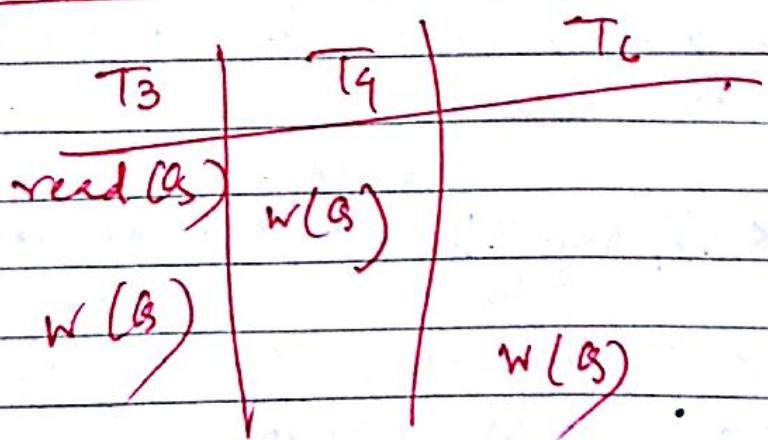
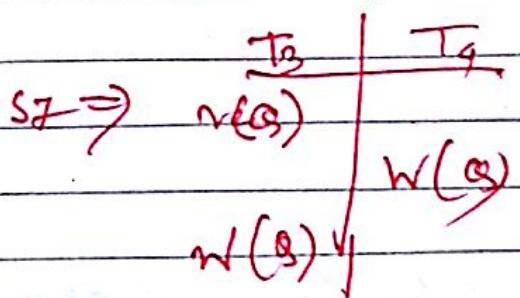
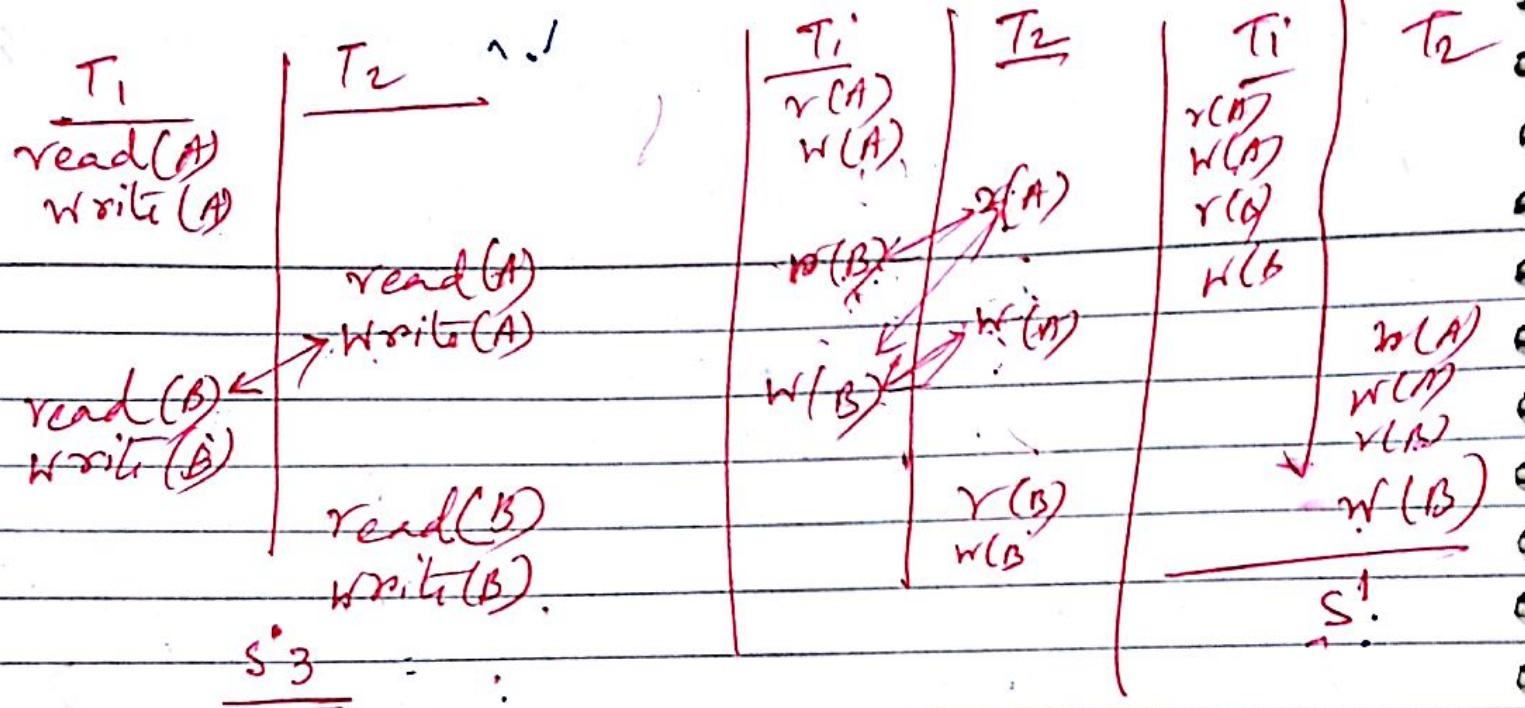
Result \rightarrow serial schedule S_6 (S1). So, S_3 is conflict serializable. // schedule 7 is not conflict serializable as it is not equivalent to serial schedule $\langle T_3, T_4 \rangle$ or $\langle T_4, T_3 \rangle$.

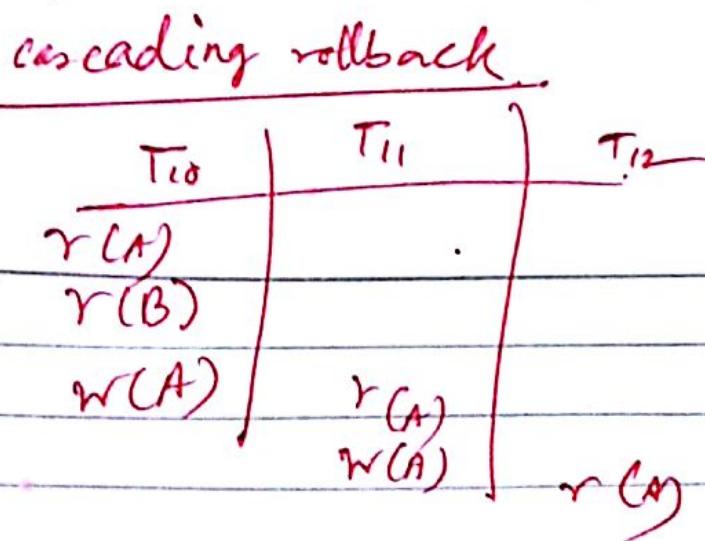
View serializability: - less stringent than conflict serial.
same set of transactions participate in S & S' . S , S' are view equivalent if 3 condns met:

1. For each datum B , if trans T_i reads initial value in both schedules.
2. In S , write(A) by T_j , that value read by T_i , in S' also this must happen in similar way for all.
3. In both schedules first write must be done by same trans.

e.g., S_1, S_2 not view equi. but S_1, S_3 are view equi.
(reason is given in book).

* every conflict serializable is view serializable, but reverse is not true.





Lock-based Protocols.

Shared mode-lock

exclusive mode-lock

compatibility matrix

	S	X
S	T	F
X	F	F

T₁: lock -x(B)
read(B)
 $B = B - 50$
w(B)
unlock(B)
lock -x(A);
r(A)
 $A = A + 60$
w(A)
unlock(A)

T₂: lock -s(A)
read(A)
unlock(A)
lock -s(B)
r(B)
unlock(B)
display(A+B)

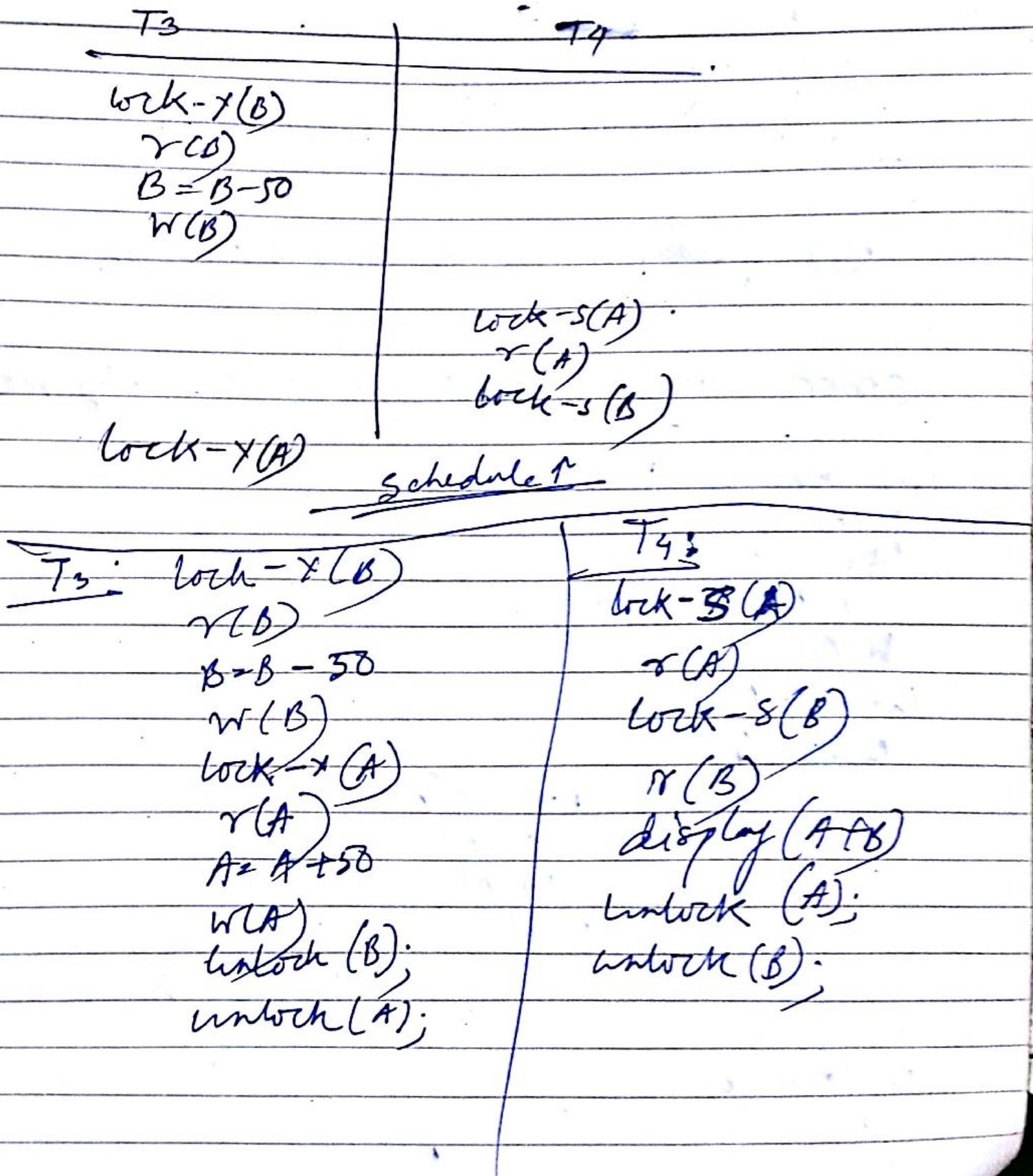
<u>T₁</u>	<u>T₂</u>	<u>I₂</u>
lock-x(B) r(B) B = B - 50; w(B) unlock(B)		-grant
	lock-s(A) r(A) unlock(A) lock-s(B) r(B) unlock(B) dis(A+B)	
lock-x(A) r(A) A = A + 50 w(A) unlock(A)		

early
unlocking will lead to undesirable condn.

T₃: T₁ → with all unlocks later

T₄: T₂ → with all unlocks later

locking can lead to deadlock also.



In 2 Phase locking Protocol

To ensure more serializability

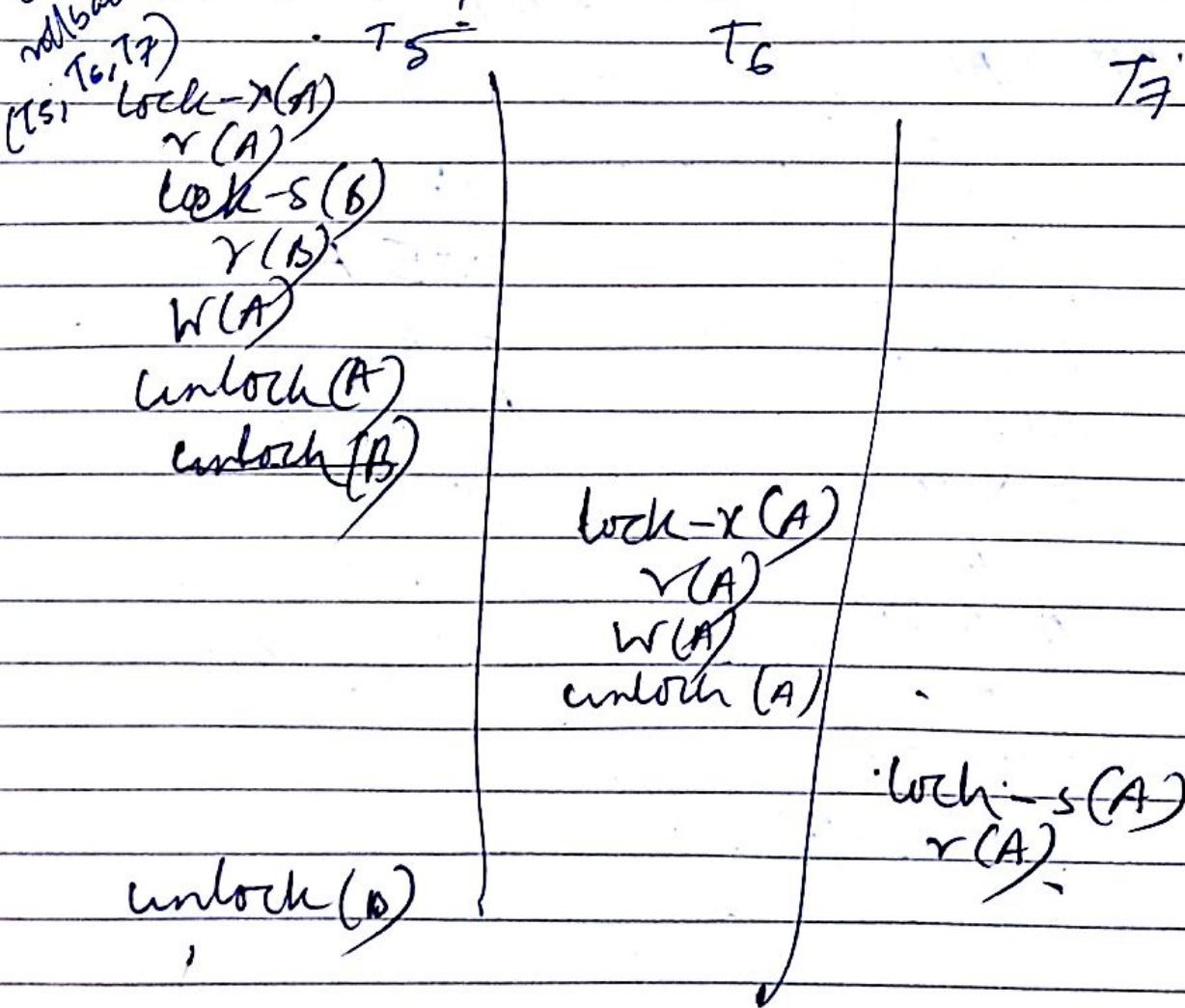
Arising phase - In this phase, a transaction may obtain lock, but can't release lock

shrinking phase -

end of growing & growing phase \rightarrow lock pt.

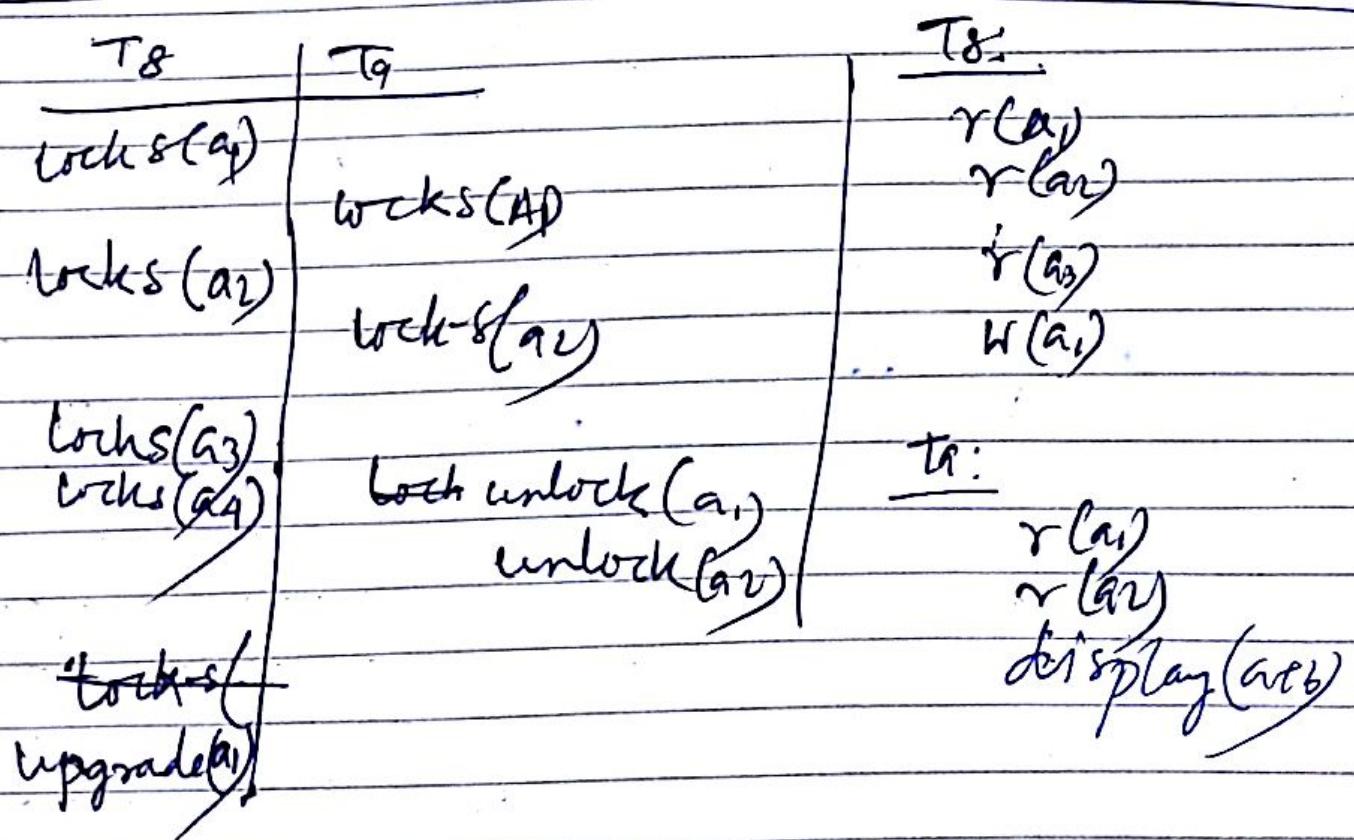
and first

can strict two phase - lock \rightarrow avoid cascading rollback



'rigorous locking'
 hold exclusive locks until trans. commits it
 ensures that any data written by an uncommitted transaction are locked in exclusive mode until
 trans. commits preventing others from reading data

lock conversion ensures more concurrency.



upgrade → in growing phase.

downgrade - in shrinking phase.

if read - locks(a)

if write - upgrade.

all locks must be unlock after commit
or abort

Relational Calculus

Tuple Relational :-

Select * from Emp where sal > 5000

$\{t \mid \text{EMP}(t) \text{ AND } t.\text{sal} > 5000\}$

$\{t.\text{Fname}, t.\text{lname} \mid \text{EMP}(t) \text{ AND } \text{sal} > 5000\}$

Domain Relational :- list Dob, add of emp whose
name is SMITH & sal > 2000

$\{uv \mid (\exists r)(\exists t) \text{Emp}(qrstuvw) \text{ AND } r = \text{smith} \text{ AND } t > 2000\}$

$\text{Emp} = \{qrstuvw\}$
name sal dob

These are domain variables.

Find name, add of emps. who work for Rsrch dep't.

{ qsl | ($\exists x$) ($\exists l$) ($\exists m$) Emp(arsuvwxyz) AND
Dept(lmn) AND l = 'Rsrch' AND m = x }