

## Recent Advances in Computer Vision

# UnFlow: Unsupervised Learning of Optical Flow with a Bidirectional Census Loss

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# Introduction

# Introduction

## Optic Flow

Inter-frame displacement at each pixel resulting from spatio-temporal brightness variations



(a) Frame  $t$



(b) Frame  $t + 1$

# Introduction

## Methods to determine Optic Flow

### Traditional Methods:

- Block-matching
- Lucas–Kanade/Horn–Schunck
- General variational methods – extensions and modifications

### Recent advances:

Deep Learning methods using Convolutional Neural Networks (CNNs)

- **Supervised:** Predict the output from the labelled input data.
- **Unsupervised:** Learn inherent structure from unlabeled input data.
- **Semi-supervised:** Mixture of supervised and unsupervised techniques.

# Motivation

# Motivation

## Problem:

- Deep Learning methods are driven by **large** amounts of **training data**.
- Dense per-pixel ground truth is **difficult** to obtain for real world scenes

## Alternative:

Using Synthetic Datasets [Sintel/SYNTHIA]

### Pros:

- Abundant ground truth (easier to obtain)

### Cons:

- Trade realism for quantity

# Motivation

## Domain Mismatch:

Intrinsic differences between Training and Testing images



Training Domain  
(Flying Chairs, SYNTHIA)



Domains of interest  
(KITTI, Middlebury)



# Motivation

## Solution:

An **Unsupervised Loss** based on:

- Occlusion aware bidirectional flow estimation
- Robust Census transform

This loss is used to train a CNN (end-to-end) to predict **dense optic flow** and *does not* assume access to the velocity pixel labels of the ground-truth

## Advantages:

- Circumvents the need to leverage ground truth flow
- Removes dependency on Synthetic datasets

# Related work

## Supervised Learning

- The first end-to-end supervised learning of CNNs for optical flow was **FlowNet** (Dosovitskiy et al. 2015).
- A follow up work (Ilg et al. 2017) introduced the **FlowNet2** family of networks.
  - Improved by stacking multiple FlowNet networks for iterative refinement
- Other Hybrid methods (use CNN to extract relevant features) include:
  - **PatchBatch** (Gadot and Wolf 2016),
  - **Deep Discrete Flow** (Guney and Geiger 2016)

# Related work

## Unsupervised Learning

- Yu, Harley, & Derpanis (2016) suggested an unsupervised method based on FlowNet
  - Simplistic proxy loss based on the classical brightness constancy.
- Zhu et al. 2017 :
  - Combined proxy loss with proxy ground truth from a classical optical flow algorithm.
- Zhu and Newsam 2017
  - Replaced the underlying FlowNet architecture.

**All of the unsupervised approaches were outperformed by the supervised FlowNetS.**

# Aim of this paper

- ❖ To investigate possible ways for **improving the accuracy** of unsupervised approaches
- ❖ To uncover whether Unsupervised methods are a **viable** alternative or addition to supervised learning.

# Unsupervised Loss

# Unsupervised Loss

Let  $I_1, I_2 : P \rightarrow \mathbb{R}^3$  be two temporally consecutive frames.

Our goal is to estimate:

- Forward optical flow  $\mathbf{w}^f = (u^f, v^f)^T$  from  $I_1$  to  $I_2$
- The inverse (backward) flow  $\mathbf{w}^b = (u^b, v^b)^T$
- Symmetric Occlusion map =  $\mathbf{o}^f, \mathbf{o}^b$

All loss terms are **symmetrical** (i.e., computed for both flow directions).

# Unsupervised Loss

The unsupervised loss is a weighted sum of three individual loss terms:

$$E(\mathbf{w}^f, \mathbf{w}^b, o^f, o^b) = E_D(\mathbf{w}^f, \mathbf{w}^b, o^f, o^b) + \lambda_S E_S(\mathbf{w}^f, \mathbf{w}^b) + \lambda_C E_C(\mathbf{w}^f, \mathbf{w}^b, o^f, o^b)$$

Data Loss  
(Occlusion Aware)

Smoothness  
Loss

Consistency  
Loss

- $\lambda_S$  : Constant penalty for deviation from smoothness
- $\lambda_C$  : Constant penalty for deviation from consistency

# Detection of occluded pixels

**Idea:** Pixels are marked occluded whenever the mismatch between  $\mathbf{w}^f$  and  $\mathbf{w}^b$  is too large.

- The forward occlusion flag  $o_x^f = 1$ , whenever the following constraint is violated:

$$\left| \mathbf{w}^f(\mathbf{x}) + \mathbf{w}^b(\mathbf{x} + \mathbf{w}^f(\mathbf{x})) \right|^2 < \alpha_1 \left( \left| \mathbf{w}^f(\mathbf{x}) \right|^2 + \left| \mathbf{w}^b(\mathbf{x} + \mathbf{w}^f(\mathbf{x})) \right|^2 \right) + \alpha_2$$

- Similarly, the backward occlusion flag  $o_x^b$  is defined with  $\mathbf{w}^f$  and  $\mathbf{w}^b$  interchanged

$$\alpha_1 = 0.01, \alpha_2 = 0.5$$



# Occlusion-aware Data loss

The occlusion-aware data loss is now defined as:

$$E_D(\mathbf{w}^f, \mathbf{w}^b, o^f, o^b) =$$

$$\sum_{\mathbf{x} \in P} \left[ (1 - o_{\mathbf{x}}^f) \cdot \rho \left( f_D \left( I_1(\mathbf{x}), I_2(\mathbf{x} + \mathbf{w}^f(\mathbf{x})) \right) \right) + o_{\mathbf{x}}^f \lambda_p \right. \\ \left. + (1 - o_{\mathbf{x}}^b) \cdot \rho \left( f_D \left( I_2(\mathbf{x}), I_1(\mathbf{x} + \mathbf{w}^b(\mathbf{x})) \right) \right) + o_{\mathbf{x}}^b \lambda_p \right]$$

- $f_D(I_1(\mathbf{x}), I_2(\mathbf{x}'))$  : Photometric Difference
- $\rho(x) = (x^2 + \epsilon^2)^\gamma$  : Robust Generalized Charbonnier Penalty function ( $\gamma = 0.45$ )
- $\lambda_p$  : Constant penalty for occluded pixels to avoid the trivial solution

# Occlusion-aware Data loss

## Drawbacks:

The brightness constancy is **NOT invariant** to illumination changes common in realistic situations

## Alternative:

The **ternary census transform** (Zabih and Woodfill 1994; Stein 2004) is used to compensate for additive and multiplicative illumination changes.

# Census Transform

**Idea:** To extract order-dependent information from local neighborhood

**Benefit:** Provides **photometric invariance** to changes that preserve the intensity order within a locality

21	9	13
18	11	11
24	10	7

→

21 < 11	9 < 11	13 < 11
18 < 11	X	11 < 11
24 < 11	10 < 11	7 < 11

=

0	1	0
0	X	0
0	1	1

$$b(x, y) := \begin{cases} 0 & \text{if } x \leq y \\ 1 & \text{if } x > y \end{cases}$$

Comparison with the central pixel yields a **binary census signature**:  $(0, 1, 0, 0, 0, 0, 1, 1)^T$

# Census Transform

## Ternary Census Transform

**Benefit:** Provides added robustness by introduction of threshold  $\delta$

$$t(x, y, \delta) := \begin{cases} 1 & y - x > \delta \\ 0 & |x - y| \leq \delta \\ -1 & x - y > \delta \end{cases}$$



64	12	10
34	33	33
22	45	51



1	-1	-1
1	x	0
-1	1	1



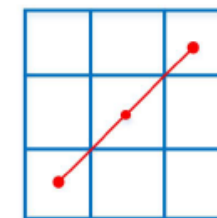
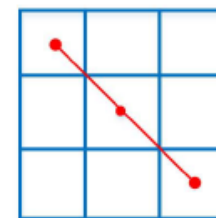
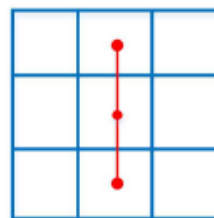
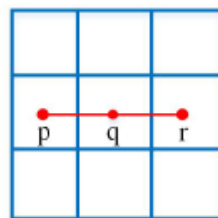
1	-1	-1	1	0	-1	1	1
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# Smoothness Loss

**Idea:** Encourage collinearity of neighboring flows; achieve regularization

**Method:** Penalize large second derivatives of the flow

$$E_S(\mathbf{w}^f, \mathbf{w}^b) = \sum_{\mathbf{x} \in P} \sum_{(\mathbf{s}, \mathbf{r}) \in N(\mathbf{x})} \rho(\mathbf{w}^f(\mathbf{s}) - 2\mathbf{w}^f(\mathbf{x}) + \mathbf{w}^f(\mathbf{r})) + \rho(\mathbf{w}^b(\mathbf{s}) - 2\mathbf{w}^b(\mathbf{x}) + \mathbf{w}^b(\mathbf{r}))$$



$N(\mathbf{x})$  consists of the horizontal, vertical, and both diagonal neighborhood around  $\mathbf{x}$

# Consistency Penalty

**Idea:** For **non-occluded** pixels forward and backward flow must be similar.

**Method:** Deviations from flow consistency is penalized.

$$E_C(\mathbf{w}^f, \mathbf{w}^b, o^f, o^b) = \sum_{\mathbf{x} \in P} (1 - o_{\mathbf{x}}^f) \cdot \rho\left(\mathbf{w}^f(\mathbf{x}) + \mathbf{w}^b\left(\mathbf{x} + \mathbf{w}^f(\mathbf{x})\right)\right) + (1 - o_{\mathbf{x}}^b) \cdot \rho\left(\mathbf{w}^b(\mathbf{x}) + \mathbf{w}^f\left(\mathbf{x} + \mathbf{w}^b(\mathbf{x})\right)\right)$$

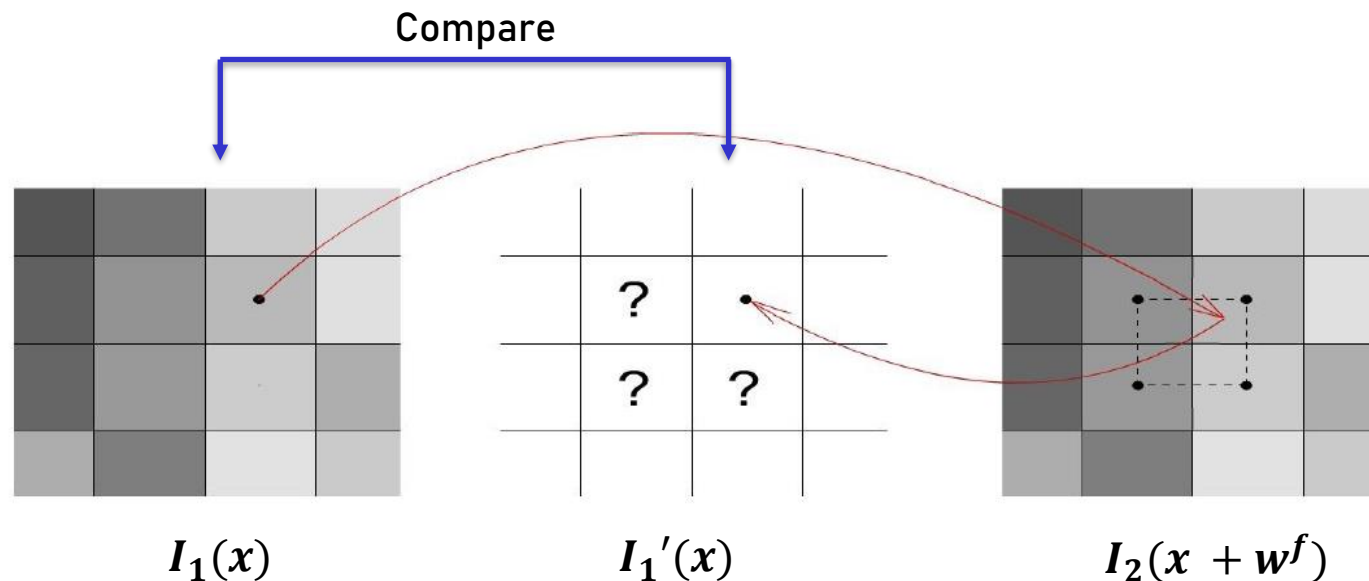
- $\mathbf{w}^f, \mathbf{w}^b$  : Forward & backward flow
- $\rho(\mathbf{x})$  : Charbonnier Penalty function
- $o^f, o^b$  : Occlusion flags

# Backward warping

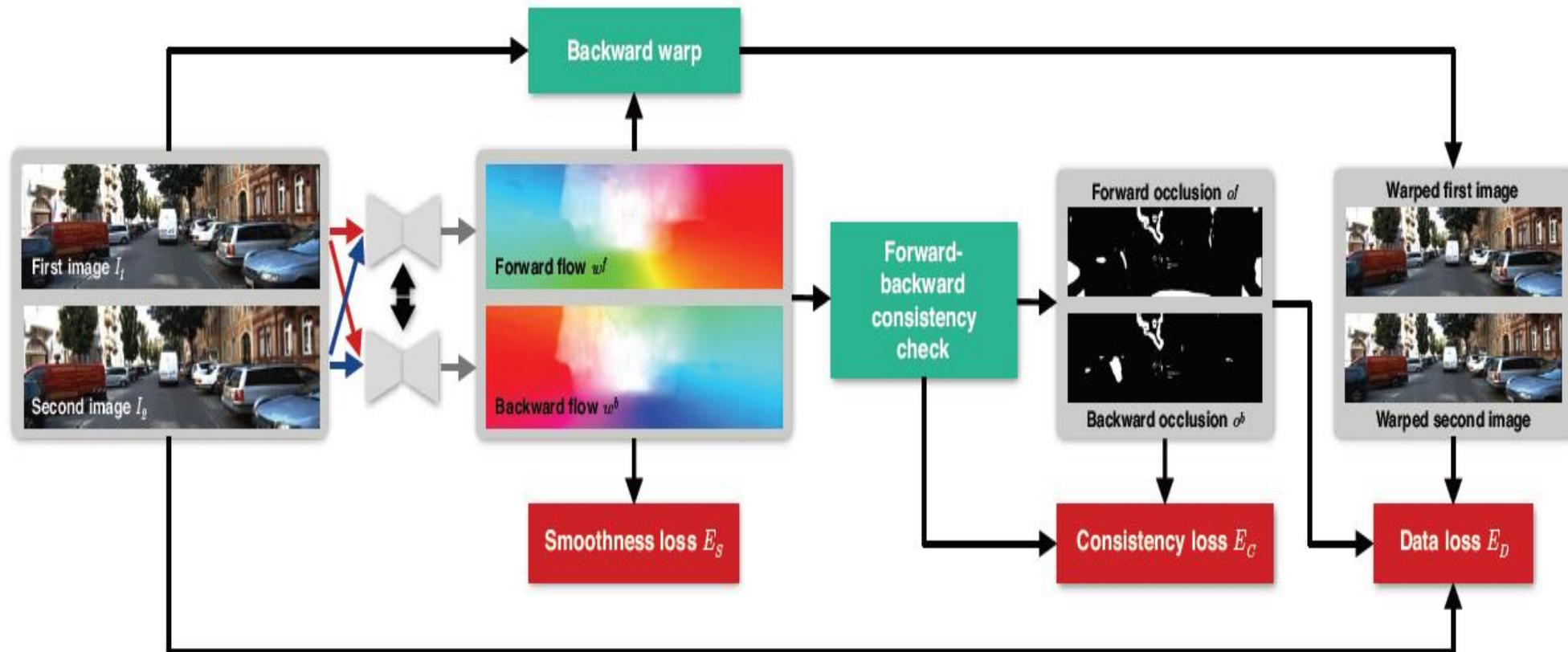
**Idea:** Compute losses in a subdifferentiable way for use with backpropagation

**Method:** Bilinear sampling at flow-displaced positions (i.e., backward warping).

E.g.: To compare  $I_1(x)$  and  $I_2(x + w^f)$ , we backward-warp  $I_2$  using  $w^f$



# Schematic of the unsupervised loss



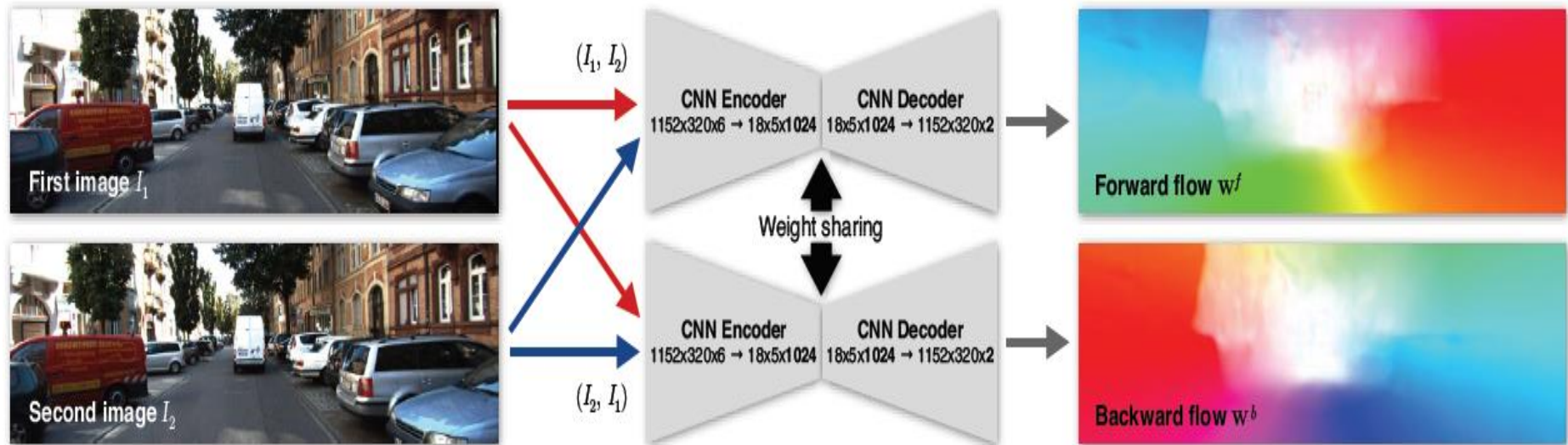


# UnFlow

# Training the CNN

- The CNN is bi-directionally trained using the comprehensive **unsupervised loss (E)** as the objective

Forward flow : Input images  $I_1$  (first frame) ,  $I_2$  (second frame)

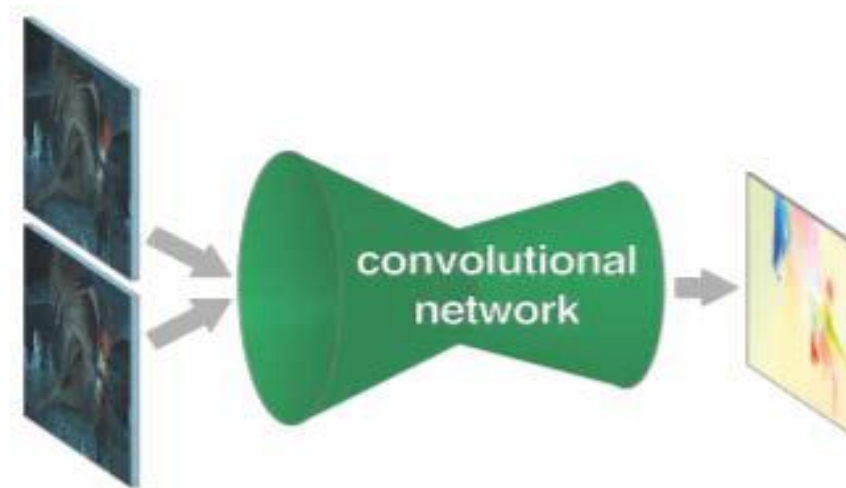


Backward flow : Input images  $I_2, I_1$  (order reversed)

# Network architecture

The basic CNN used in UnFlow is based on FlowNet [Dosovitskiy et al. 2015]:

- FlowNet- Simple
- FlowNet - Correlated

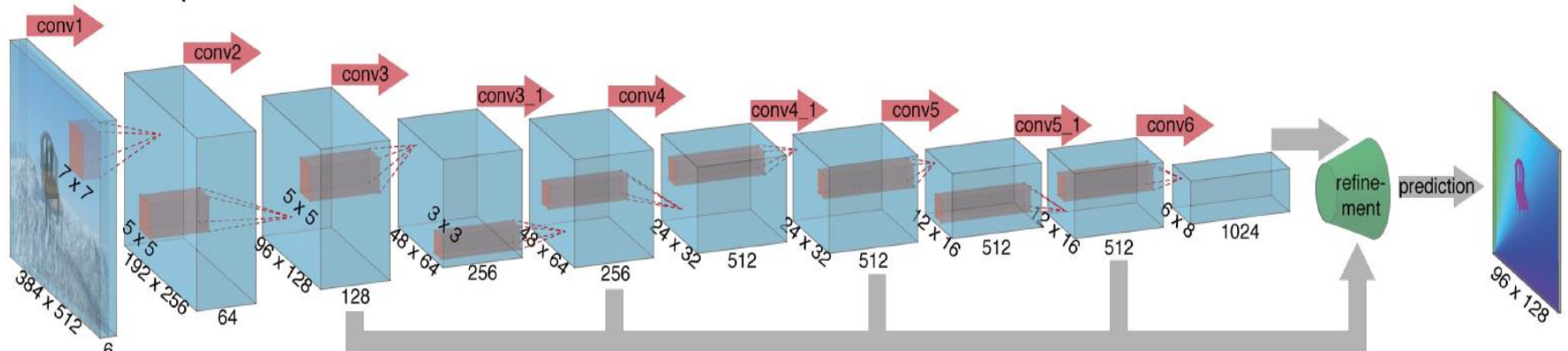


Encoder-Decoder architecture of FlowNet

# Network architecture [Flow-Net Simple]

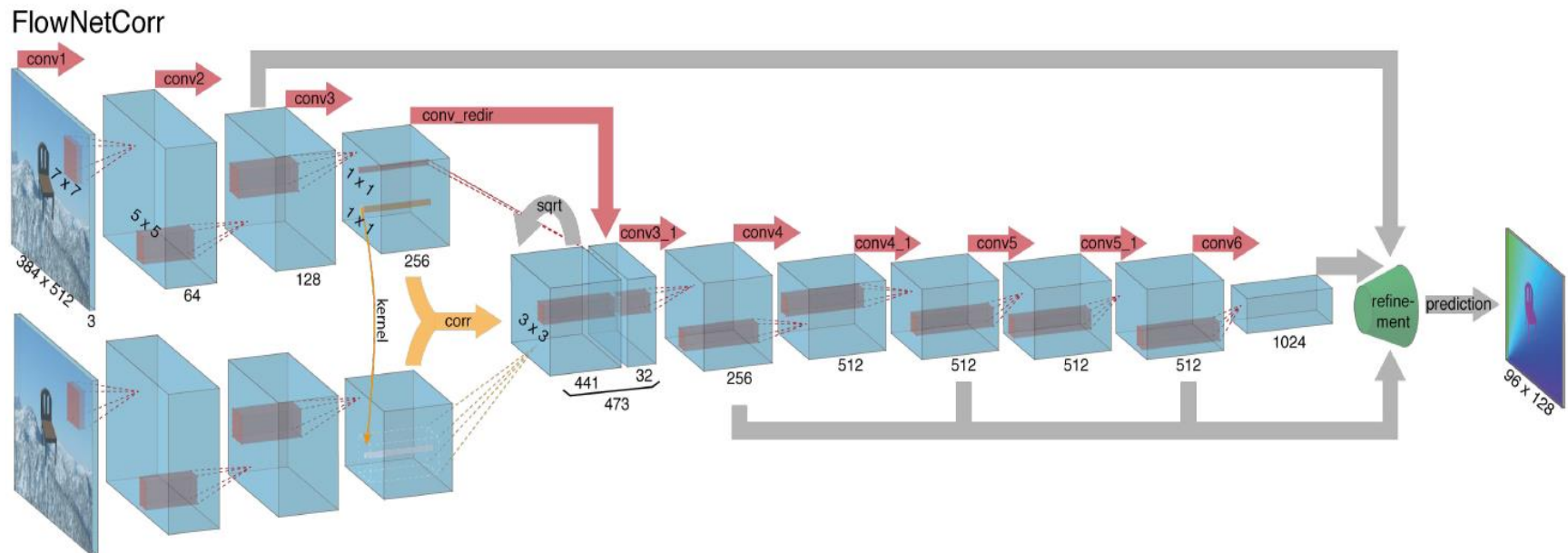
- Two input images are stacked together and fed through a generic network
- Allows the network to decide by itself how to process the image pair to extract the motion information.

FlowNetSimple



# Network architecture [Flow-Net Corr]

- Processes two image frames in two separate input streams
- Explicitly correlates them at a later step
- Compresses the result with a CNN encoder down to a sixth of the original resolution

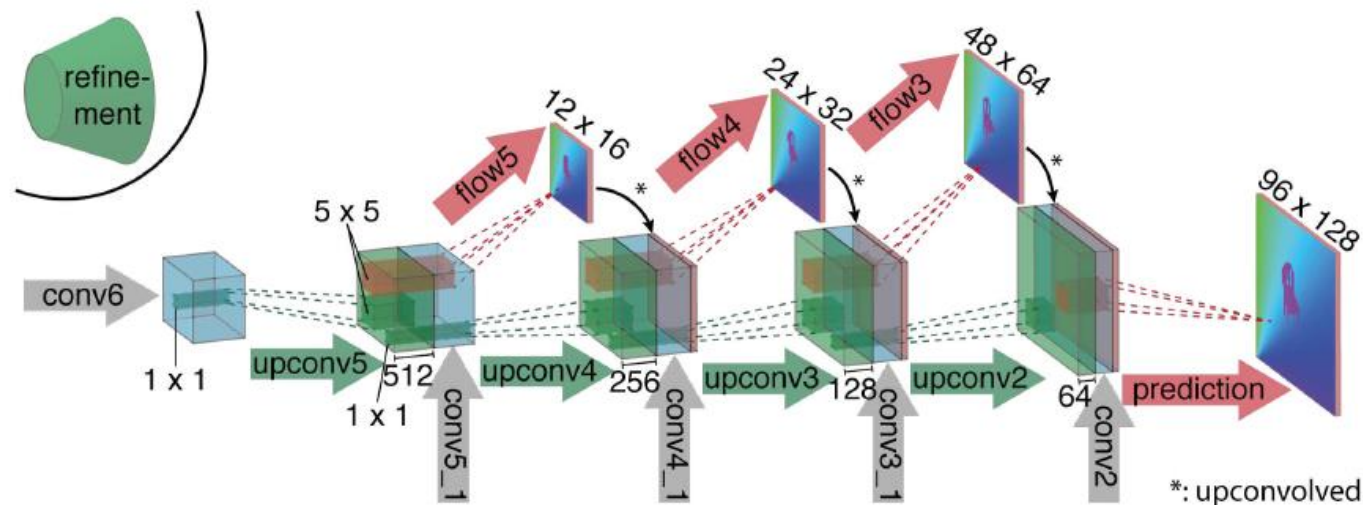




# Network architecture

The decoder part (**refinement network**),

- Implements a **skip-layer** architecture that combines information from various levels of the contractive part with “upconvolving” layers to iteratively refine the coarse flow predictions.
- Dense flow is predicted after each up-sampling.
- The last flow estimate is then bilinearly up-sampled to the original resolution.



# Computing the unsupervised loss

## Idea:

To guide the learning process at multiple resolutions

## Method:

- Calculate the loss for all intermediate predictions from the **refinement network**
- Combine them by taking a weighted average.

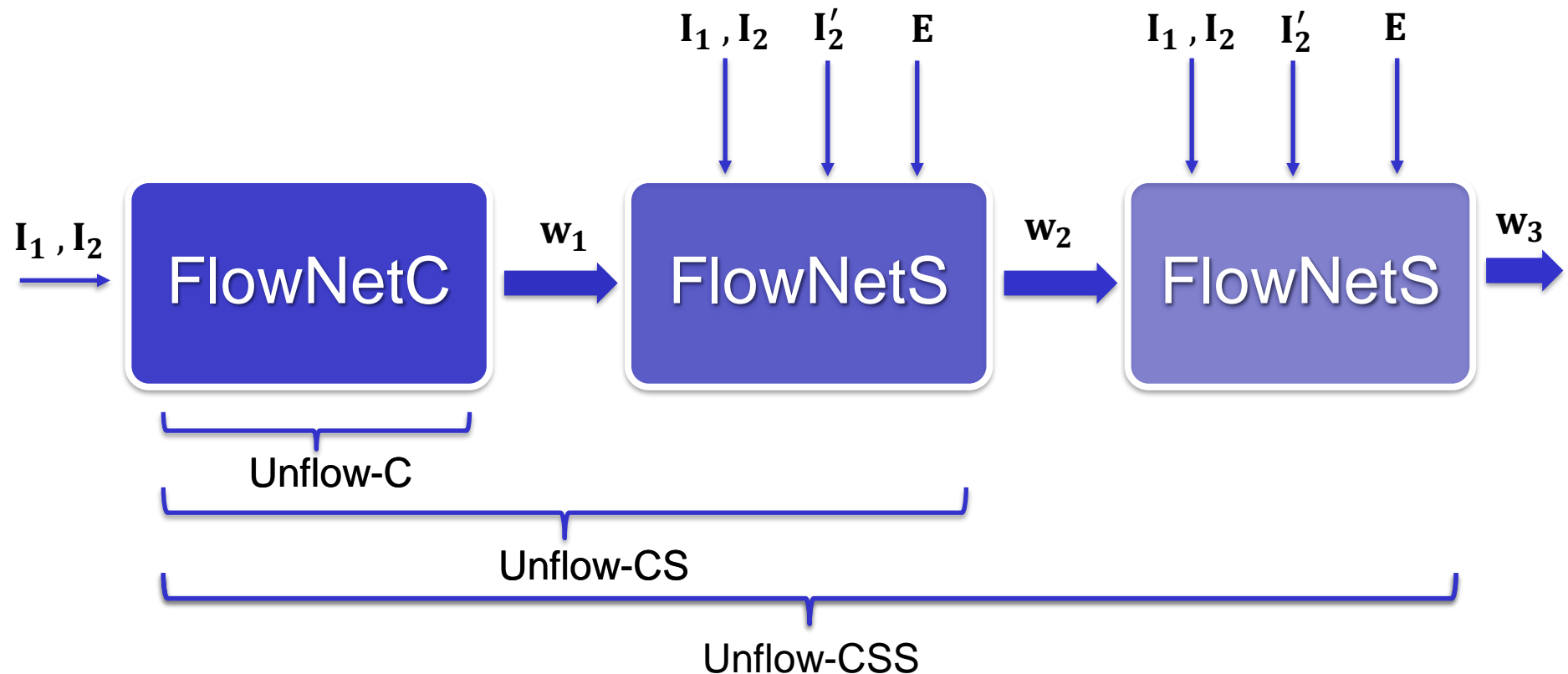
$$E_{\text{unsup}} = \sum_i \lambda_i^i E_i$$

$E_i$ : Loss Evaluated from Layer  $i$

$\lambda_i^i$ : Weight

# Iterative Refinement / Stacking

**Idea:** Improve results by training for large displacement flows



$I_1, I_2$  : Images;  $I'_2$  : Backward warped image ;  $E$  : Error estimate ;  $w$  : Flow estimate



# Supervised loss for Fine-tuning (optional)

## Idea:

Enable generic pre-training of **supervised networks** for datasets with limited amounts of ground truth.

## Method:

Compute the network loss by comparing the bilinearly up-sampled **final flow** estimate to the **ground truth** flow at all pixels for which ground truth is available.

$$E_{\text{sup}}(\mathbf{w}^f) = \sum_{\mathbf{x} \in P} v_{\mathbf{x}}^f \rho(\mathbf{w}^f(\mathbf{x}) - \mathbf{w}_{\text{gt}}^f(\mathbf{x}))$$

$v_{\mathbf{x}}^f = 1$ , if there is valid ground truth at pixel  $\mathbf{x}$ , else  $v_{\mathbf{x}}^f = 0$

# Results

# Metrics

- **Average Endpoint Error (AEE):**  
Average Euclidean distance of prediction to ground-truth flow vectors
- **KITTI Outliers:**  
Ratio of pixels where flow estimate is wrong by both 3 pixels and 5% (at least)

# Baseline vs. UnFlow (KITTI 2015)

Original  
Images  
(overlaid)



Ground truth flow

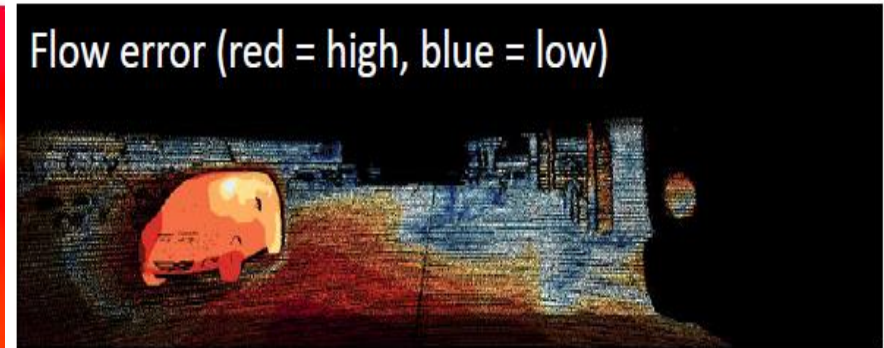


Baseline  
[Yu et al.]

Predicted flow



Flow error (red = high, blue = low)

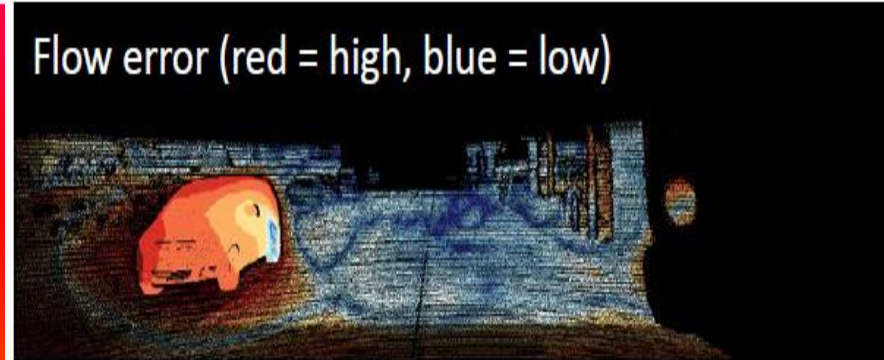


UnFlow

Predicted flow



Flow error (red = high, blue = low)



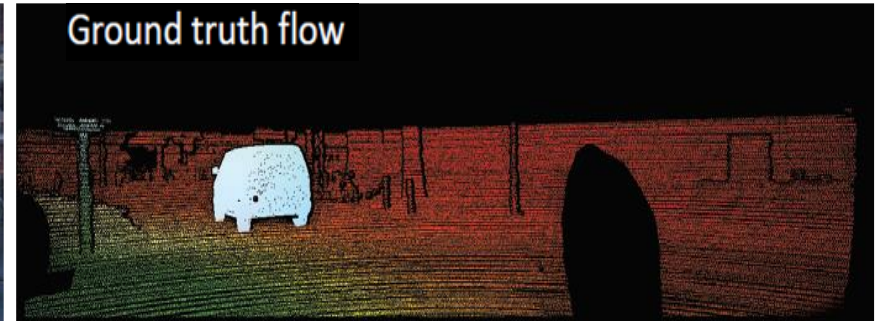


# Baseline vs. UnFlow (KITTI 2015)

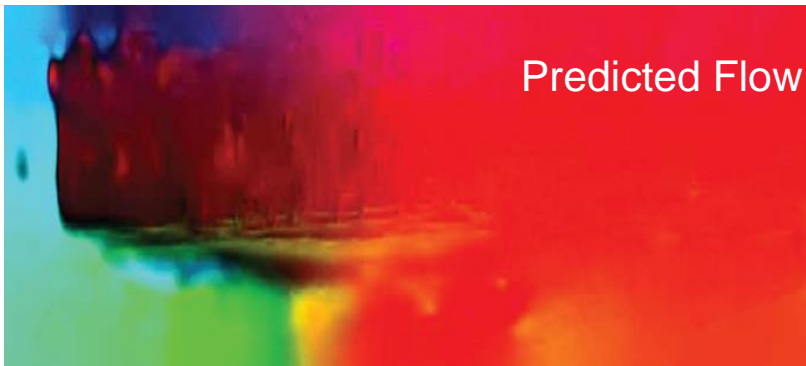
Original  
Images  
(overlaid)



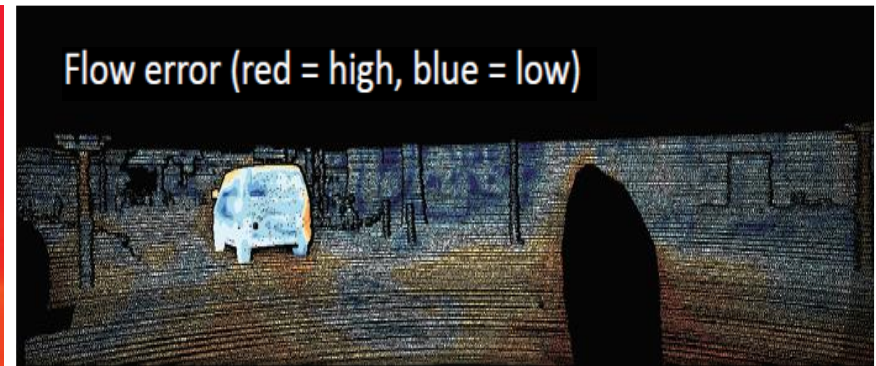
Ground truth flow



Predicted Flow



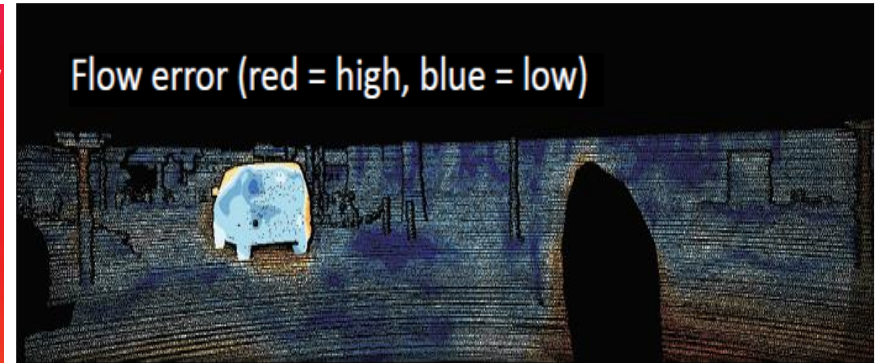
Flow error (red = high, blue = low)



Predicted Flow

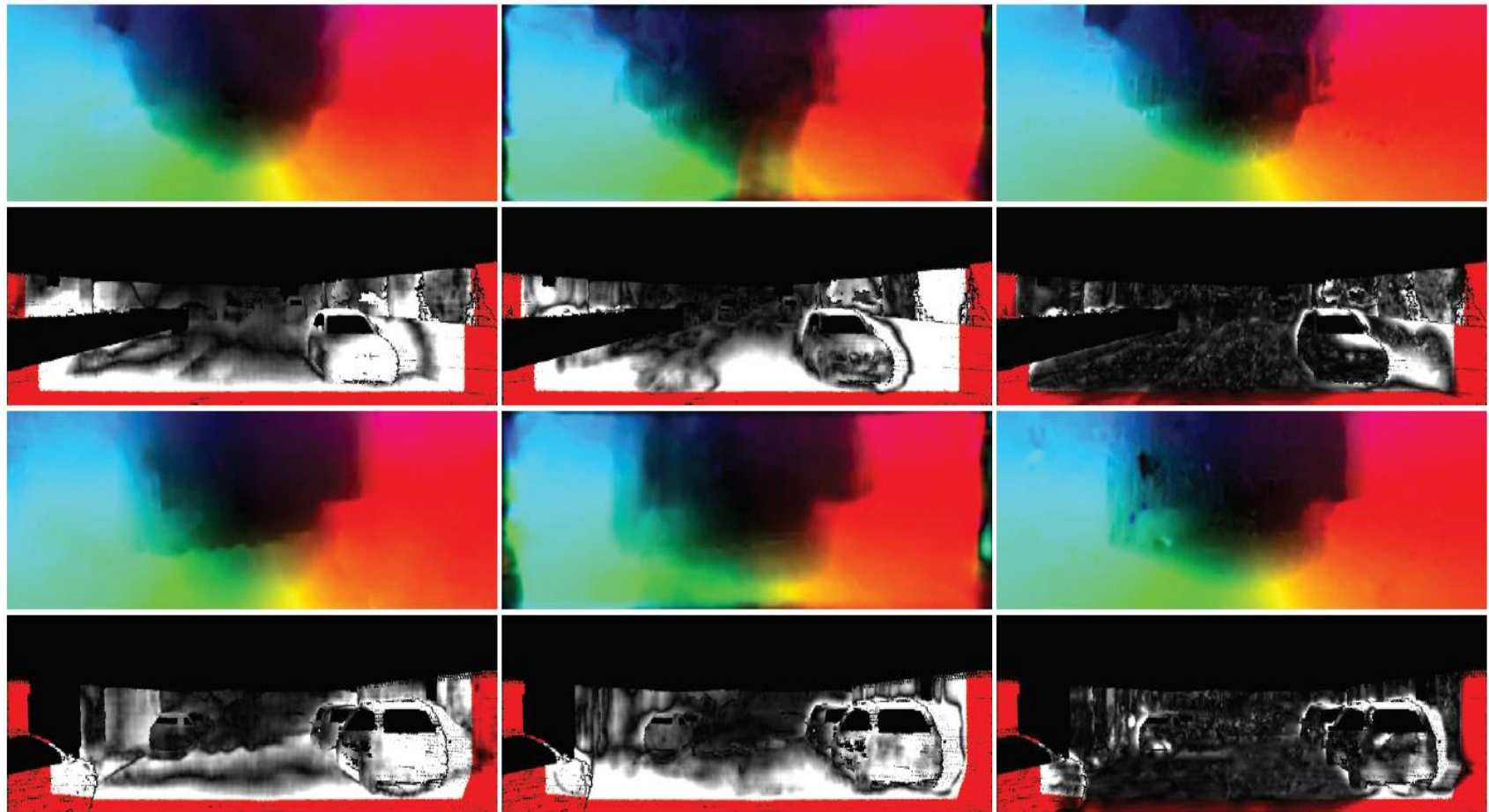


Flow error (red = high, blue = low)



UnFlow

# FlowNetS, UnupFlowNet vs. UnFlow (KITTI 2012)



FlowNetS

UnsupFlowNet

UnFlow

The KITTI 2012 error map scales linearly between 0 (black) and  $\geq 5$  pixels error (white).

# Baseline (Yu et al.) vs. UnFlow-C [ KITTI 2012 ]

- Brightness constancy  $\rightarrow$  census loss
  - Reduces AEE by **35%**

<b>Data loss</b>	<b>Smoothness</b>	<b>Occlusion</b>	<b>AEE (All)</b>	<b>Outliers (All)</b>
Brightness	1st-order		7.20	31.93%
<b>Census</b>	1st-order		<b>4.66</b>	<b>20.85%</b>



# Baseline (Yu et al.) vs. UnFlow-C [ KITTI 2012 ]

- 1st → 2nd order smoothness
  - Reduces AEE by **5%** and outliers by **17%**

Data loss	Smoothness	Occlusion	AEE (All)	Outliers (All)
Brightness	1st-order		7.20	31.93%
Census	1st-order		4.66	20.85%
Census	<b>2nd-order</b>		<b>4.40</b>	<b>17.22%</b>



# Baseline (Yu et al.) vs. UnFlow-C [ KITTI 2012 ]

- Forward-backward mechanisms (occlusion masking & consistency)
  - Reduces AEE by **14%**

Data loss	Smoothness	Occlusion	AEE (All)	Outliers (All)
Brightness	1st-order		7.20	31.93%
Census	1st-order		4.66	20.85%
Census	2nd-order		4.40	17.22%
Census	2nd-order	<b>Forward-backward check</b>	<b>3.78</b>	<b>16.44%</b>

# Baseline (Yu et al.) vs. UnFlow-C [ KITTI 2012 ]

- UnFlow reduces AEE and outliers by **48%**
- Similar observations on KITTI 2015

Data loss	Smoothness	Occlusion	AEE (All)	Outliers (All)
Brightness	1st-order		7.20	31.93%
Census	1st-order		4.66	20.85%
Census	2nd-order		4.40	17.22%
<b>Census</b>	<b>2nd-order</b>	<b>Forward-backward check</b>	<b>3.78</b>	<b>16.44%</b>

# Previous Unsupervised networks vs. UnFlow

- UnFlow reduces AEE by up to **66%**

Method	AEE (All) 2012 train
UnsupFlowNet [Yu et al.]	11.3
DSTFlow [Ren et al.]	10.43
<b>UnFlow-C</b>	<b>3.78</b>

# Supervised networks vs. UnFlow

- UnFlow reduces AEE by up to **49%** (FlowNetS, 2012)

Method	AEE (All) 2012 train	AEE (All) 2015 train
UnsupFlownet [Yu et al.]	11.3	
DSTFlow [Ren et al.]	10.43	16.79
FlowNetS+ft [Dosovitskiy et al.]	7.5	
FlowNet2-C [Ilg et al.]		11.36
<b>UnFlow-C</b>	<b>3.78</b>	<b>8.80</b>

# Supervised networks vs. UnFlow

- UnFlow even performs slightly better on off-domain data

Method	AEE (All) 2012 train	AEE (All) 2015 train	AEE (All) Middlebury
UnsupFlownet [Yu et al.]	11.3		
DSTFlow [Ren et al.]	10.43	16.79	
FlowNetS+ft [Dosovitskiy et al.]	7.5		0.98
FlowNet2-C [Ilg et al.]		11.36	
<b>UnFlow-C</b>	<b>3.78</b>	<b>8.80</b>	<b>0.88</b>

# Limitations

- ❖ The amount of information that can be gained from the available data is limited by how realistically the problem is modeled by the loss.
- ❖ A parameter search for the weighting between the loss terms increases the total computation time for training a model on a new domain for the initial run

# Summary

- ❖ Unsupervised learning of optic flow circumvents the need for ground truth
- ❖ The image data is modelled by an aggregated Loss incorporating:
  - Occlusion handling
  - Photometric invariance via Census transform
  - Second order flow smoothness
- ❖ This loss is used to train a CNN based on [FlowNetS](#) and [FlowNetC](#)
  - Iterative Refinement – UnFlow CSS
  - Optional Supervised loss for fine-tuning
- ❖ Reports substantial improvements over previous methods