

**Subject Name: Data Structure &  
Algorithm  
Subject Code: IT304**

**STREAM** : **INFORMATION TECHNOLOGY**  
**SUBJECT NAME** : **DATA STRUCTURE AND ALGORITHM**  
**SUBJECT CODE** : **IT304**  
**YEAR** : **SECOND**  
**SEMESTER** : **3<sup>rd</sup> Semester**  
**CONTACT HOURS** : **3L+1T**  
**CREDITS** : **3**

**Prerequisite:**

Basic Mathematics, Programming language

**Course Objective:**

The objective of the course is to provide knowledge of various data structures and algorithms; to introduce difference techniques for analyzing the efficiency of computer algorithms and provide efficient methods for storage, retrieval and accessing data in a systematic manner and explore the world of searching, sorting, traversal and graph tree algorithm along with demonstrate understanding of the abstract properties of various data structures such as stacks, queues, lists and trees.

**Course Outcome**

After completion of this course student will be able to

- IT304.1:** Use different kinds of data structures which are suited to different kinds of applications, and some are highly specialized to specific tasks.
- IT304.2:** Manage large amounts of data efficiently, such as large databases and internet indexing services.
- IT304.3:** Use efficient data structures which are a key to designing efficient algorithms.
- IT304.4:** Use some formal design methods and programming languages which emphasize on data structures, rather than algorithms, as the key organizing factor in software design.
- IT304.5:** Store and retrieve data stored in both main memory and in secondary memory.

**CO-PO Mapping**

	PO1	PO2	PO3	PO4	PO5	PO6	PO7	PO8	PO9	PO10	PO11	PO12
<b>IT304.1</b>	3	2		1			1					
<b>IT304.2</b>	3	3	2	3								
<b>IT304.3</b>	3		3									
<b>IT304.4</b>		3		2								
<b>IT304.5</b>		3		2								

**Course Contents:**

**MODULE –I : [8L]**

Introduction : Concepts of data structures: a) Data and data structure b) Abstract Data Type and Data Type. Algorithms and programs, basic idea of pseudo-code. Algorithm efficiency and analysis, time and space analysis of algorithms – order notations. Array : Different representations – row major, column major. Sparse matrix - its implementation and usage. Array representation of polynomials. Linked List : Singly linked list, circular linked list, doubly linked list, linked list representation of polynomial and applications.

**MODULE –II: [5L]**

[Stack and Queue : Stack and its implementations (using array, using linked list), applications. Queue, circular queue, dequeue. Implementation of queue- both linear and circular (using array, using linked list), applications. Recursion : Principles of recursion – use of stack, differences between recursion and iteration, tail recursion. Applications - The Tower of Hanoi, Eight Queens Puzzle.

**MODULE –III : [12L]**

Trees : Basic terminologies, forest, tree representation (using array, using linked list). Binary trees - binary tree traversal (pre-, in-, post- order), threaded binary tree (left, right, full) - non-recursive traversal algorithms using threaded binary tree, expression tree. Binary search tree- operations (creation, insertion, deletion, searching). Height balanced binary tree – AVL tree (insertion, deletion with examples only). B- Trees – operations (insertion, deletion with examples only). Huffman tree.

Graphs : Graph definitions and Graph representations/storage implementations – adjacency matrix, adjacency list, adjacency multi-list. Graph traversal and connectivity – Depth-first search (DFS), Breadth-first search (BFS) – concepts of edges used in DFS and BFS (tree-edge, back-edge, cross-edge, forward-edge), applications. Minimal spanning tree – Prim’s algorithm

**MODULE – IV: [10L]**

Sorting Algorithms : Internal sorting and external sorting Bubble sort and its optimizations, insertion sort, shell sort, selection sort, merge sort, quick sort, heap sort (concept of max heap), radix sort. Tree Sort technique .Searching : Sequential search, binary search, interpolation search. Hashing : Hashing functions, collision resolution techniques

**Text Books:**

1. Data Structures Using C, by Reema Thereja, OXFORD Publications
2. Data Structures and Algorithms Using C by Amitava Nag and Joyti Prakash Singh, VIKASH Publication
3. Data Structures by S. Lipschutz.

**Reference Books:**

1. Data Structures Using C, by E. Balagurusamy E. Mc graw Hill)
- Data Structures Using C and C++, by Moshe J. Augenstein, Aaron M. Tenenbaum

### LESSON PLAN

Module No.	Topic	Lecture No.	Sub Topics	Applications
<b>Module -I: Linear Data Structure[8L]</b>	Introduction (2L):	L1	Concepts of data structures: a) Data and data structure b) Abstract Data Type and Data Type. Algorithms and programs, basic idea of pseudo-code.	After completion of this module students will be able to : i) Define Data Structure , algorithm and classify different type of data structure. ii) Identify or differentiate different type of data structure. iii) Write or create algorithm and flow chart of any problem.
		L2	Algorithm efficiency and analysis, time and space analysis of algorithms – order notations.	
	Array (2L):	L3	Different representations – row major, column major	After completion of this module students will be able to : i) Define and create different kind of array. ii) Transform multidimensional array to two dimensional array. iii) Represent polynomial expression in to array.
		L4	Sparse matrix - its implementation and usage. Array representation of polynomials.	
	Linked List (4L):	L5	Singly linked list	After completion of this module students will be able to : i) Define and understand the need of link list. ii) Create different type of link list and perform different type of operation on link list like create , insert delete, merge sort etc. iii) Represent polynomial expression through link list.
		L6	circular linked list	
		L7	doubly linked list	
		L8	linked list representation of polynomial and applications.	
<b>Module -II: Linear Data Structure[5L]</b>	Stack and Queue (4L):	L9	Stack and its implementations (using array) Stack and its implementations (using linked list),	After completion of this module students will be able to : i) Define and create stack. ii) Perform

Module No.	Topic	Lecture No.	Sub Topics	Applications
		L10	Queue, circular queue, dequeue.	different type of operation push pop. iii) Define and create different type of queue and differentiate between them. iv) Apply stack and queue in different problem solving technique.
		L11	Implementation of queue- both linear and circular (using array, using linked list)	
		L12	applications.	
	Recursion (1L):	L13	Principles of recursion – use of stack, recursion and iteration, tail recursion. Applications - The Tower of Hanoi	After completion of this module students will be able to : i) Define and write recursive function . ii) Use recursive function to solve different kind of recursive problem.
<b>Module-III. Nonlinear Data Structures [12L]</b>	Trees (8L):	L14	Basic terminologies, forest, tree representation (using array, using linked list).	After completion of this module students will be able to : i) define tree and its different terminology. ii) create and traverse and other operation on binary tree. iii) prove different properties of Binary tree and rebuild binary tree from (pre-, in-, post- order). iv) define and differentiate with binary tree and BST. v) Different operation of binary tree and BST. vi) Create and Balance different Height balance tree. vii) Create and define Huffman tree.
		L15	Binary trees - binary tree traversal (pre-, in-, post-order)	
		L16	threaded binary tree (left, right, full) - non-recursive traversal algorithms using threaded binary tree, expression tree.	
		L17	Rebuild binary tree from (pre-, in-, post- order)	
		L18	Binary search tree- operations (creation, insertion, deletion, searching).	
		L19	Height balanced binary tree – AVL tree (insertion, with examples only)	
		L20	Height balanced binary tree – AVL tree (deletion with examples only)	
		L21	B- Trees – operations (insertion, deletion with examples only).	

Module No.	Topic	Lecture No.	Sub Topics	Applications
<b>Module - IV. Searching, Sorting:[10L]</b>	<b>Graphs (4L):</b>	L22	Graph definitions and Graph representations/storage implementations — applications. adjacency matrix, adjacency list, adjacency multi-list.	After completion of this module students will be able to : i) Define graph and its terminology, differentiate with tree also. ii) Create adjacency matrix, adjacency list, adjacency multi-list of graph. iii) Perform BFS and DFS for tree traversal. iv) Write algorithm and create spanning tree.
		L23	Graph traversal and connectivity – Depth-first search (DFS),	
		L24	Breadth-first search (BFS)	
		L25	concepts of edges used in DFS and BFS (tree-edge, back-edge, cross-edge, forward-edge)	
	<b>Sorting Algorithms (5L):</b>	L26	Internal sorting and external sorting Bubble sort and its optimizations,	After completion of this module students will be able to : i) Differentiate and identify Internal and External Sorting. ii) Write different sorting algorithm and Implement. iii) Perform different searching algorithm. iv) Identify different hash function and implement them in data storing and retrieval. v) Able to identify collision and collision resolution techniques.
		L27	insertion sort	
		L28	selection sort, merge sort	
		L29	quick sort,	
		L30	radix sort.	
	<b>Searching (2L):</b>	L31	Sequential search, binary search,	
		L32	heap sort (concept of max heap),	
	<b>Hashing (3L):</b>	L33	Hashing functions,	
		L34	collision resolution techniques-1.	
		L35	collision resolution techniques-2.	

## **MODULE No.:I**

**LECTURE No.:1 Concepts of data structures: a)** Data and data structure b) Abstract Data Type and Data Type. Algorithms and programs, basic idea of pseudo-code.

Introduction to Data Structures:

Data structure is a representation of logical relationship existing between individual elements of data. In other words, a data structure defines a way of organizing all data items that considers not only the elements stored but also their relationship to each other. The term data structure is used to describe the way data is stored.

To develop a program of an algorithm we should select an appropriate data structure for that algorithm. Therefore, data structure is represented as:

$$\text{Algorithm} + \text{Data structure} = \text{Program}$$

A data structure is said to be linear if its elements form a sequence or a linear list. The linear data structures like an array, stacks, queues and linked lists organize data in linear order. A data structure is said to be non linear if its elements form a hierarchical classification where, data items appear at various levels.

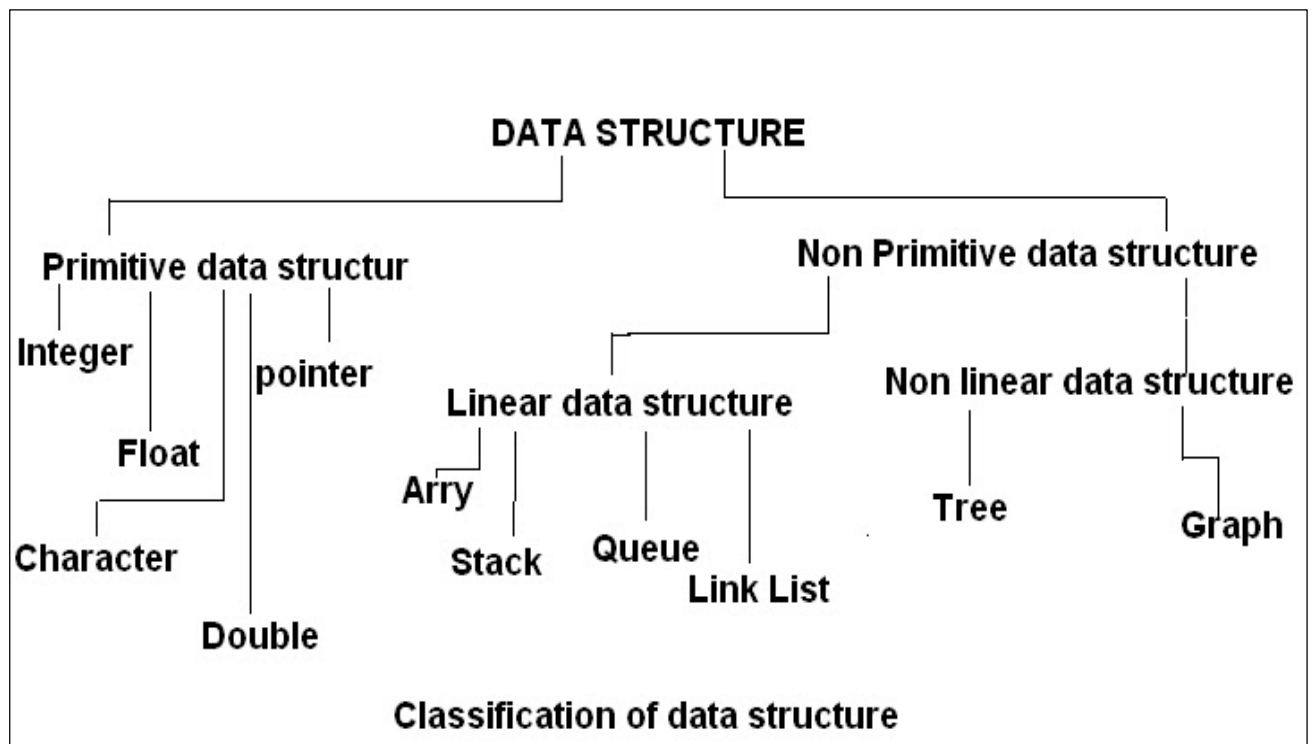
Trees and Graphs are widely used non-linear data structures. Tree and graph structures represents hierarchial relationship between individual data elements. Graphs are nothing but trees with certain restrictions removed.

Data structures are divided into two types:

- Primitive data structures.
- Non-primitive data structures.

Primitive Data Structures are the basic data structures that directly operate upon the machine instructions. They have different representations on different computers. Integers, floating point numbers, character constants, string constants and pointers come under this category.

Non-primitive data structures are more complicated data structures and are derived from primitive data structures. They emphasize on grouping same or different data items with relationship between each data item. Arrays, lists and files come under this category. Figure shows the classification of data structures.



**Data structures:** Organization of data. The collection of data you work with in a program have some kind of structure or organization. No matter how complex your data structures are they can be broken down into two fundamental types:

- Contiguous
- Non-Contiguous.

In contiguous structures, terms of data are kept together in memory (either RAM or in a file). An array is an example of a contiguous structure. Since each element in the array is located next to one or two other elements. In contrast, items in a non-contiguous structure are scattered in memory, but we linked to each other in some way. A linked list is an example of a non-contiguous data structure. Here, the nodes of the list are linked together using pointers stored in each node. Figure below illustrates the difference between contiguous and non-contiguous structures.

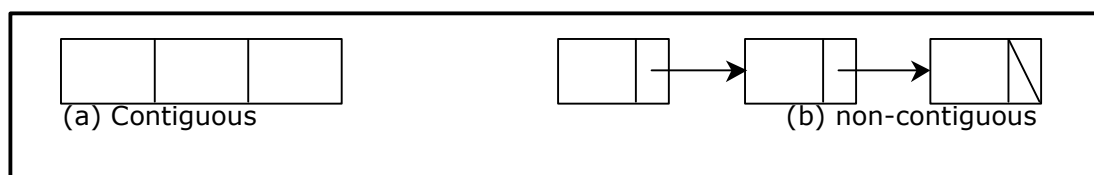


Figure Contiguous and Non-contiguous structures compared



## **Abstraction:**

The first thing that we need to consider when writing programs is the problem. However, the problems that we asked to solve in real life are often nebulous or complicated. Thus we need to distill such as system down to its most fundamental parts and describe these parts in a simple, precise language. This process is called abstraction. Through abstraction, we can generate a model of the problem, which defines an abstract view of the problem. Normally, the model includes the data that are affected and the operations that are required to access or modify.

As an example, consider a program that manages the student records. The head of the Bronco Direct comes to you and asks you to create a program which allows to administer the students in a class. Well, this is too vague a problem. We need to think about, for example, what student information is needed for the record? What tasks should be allowed?

There are many properties we could think of about a student, such as name, DOB, SSN, ID, major, email, mailing address, transcripts, hair color, hobbies, etc. Not all these properties are necessary to solve the problem. To keep it simple, we assume that a student's record includes the following fields: (1) the student's name and (2) ID. The three simplest operations performed by this program include (1) adding a new student to the class, (2) searching the class for a student, given some information of the student, and (3) deleting a student who has dropped the class. These three operations can be furthermore defined as below:

- **ADD (stu\_record):** This operation adds the given student record to the collection of student records.
- **SEARCH (stu\_record\_id):** This operation searches the collection of student records for the student whose ID has been given.
- **DELETE (stu\_record\_id):** This operation deletes the student record with the given ID from the collection.

Now, we have modeled the problem in its most abstract form: listing the types of data we are interested in and the operations that we would like to perform on the data. We have not discussed anything about how these student records will be stored in memory and how these operations will be implemented. This kind of abstraction defines an abstract data type (ADT).

## **Definition of ADT**

An ADT is a mathematical model of a data structure that specifies the type of data stored, the operations supported on them, and the types of parameters of the operations. An ADT specifies what each operation does, but not how it does it. Typically, an ADT can be implemented using one of many different data structures. A useful first step in deciding what data structure to use in a program is to specify an ADT for the program.

## **In general, the steps of building ADT to data structures are:**

1. Understand and clarify the nature of the target information unit.
2. Identify and determine which data objects and operations to include in the models.
3. Express this property somewhat formally so that it can be understood and communicate well.
4. Translate this formal specification into proper language. In C++, this becomes a .h file. In Java, this is called "user interface".

5. Upon finalized specification, write necessary implementation. This includes storage scheme and operational detail. Operational detail is expressed as separate functions (methods).

### **Data Structures: ADT and its Implementation**

Now let us consider two alternate data structures for the above ADT: (1) an unordered array of records and (2) an ordered array of records, ordered by IDs. These different data structures greatly influence the implementation details and how fast and efficient the program runs.

First let us look at using an unordered array. Assume that the student records are stored in an array with no particular order. We can use a variable  $n$  to keep track of the number of students currently in the array.

- **ADD:** Simply take the record and store it in slot  $n$  of the array and increment  $n$ . This takes constant amount of time since the time is independent of  $n$ .
- **SEARCH:** Since the array is not ordered, we have to scan through the whole array to find the requested record. The result could be either the record is found or the record doesn't exist. The time taken to perform the search is proportional to  $n$  and the worst case scenario is  $n$ . Of course, if the record I am looking for happens to be the first item in the array, it only takes constant time. However, when determining the running time of an algorithm, we are often interested in worst case analysis.
- **DELETE:** This operation requires us to first search for the given record. Once it is found, the algorithm can simply replace it by the last record and decrement  $n$ . Once the record is found, it only takes a constant amount of time to delete it. But time spent searching for the record is the same as above, proportional to  $n$ . Therefore, in all, this operation also takes time proportional to  $n$ , in the worst case.

Now let us consider using an ordered array. Assume that the student records are sorted in an array, with an increasing order of student IDs. We can also use a variable  $n$  to keep track of the number of students currently in the array.

- **ADD:** Since the array is sorted, we first need to find out where should we insert the record. This can be done by scanning through the array, comparing the current record in the array with the record we want to insert, and finding the smallest index  $i$  of the record whose ID is larger than the new ID. Then the new record should be put to the  $i$ th slot in the array. To do that, all the records in slots  $i, i+1, i+2, \dots, n$  need to be moved down one slot to create an empty slot for the new record. We can then put the new record into the  $i$ th slot and increment  $n$ . This operation takes time proportional to  $n$ .
- **SEARCH:** Since the array of records is sorted by IDs, we can use a binary search to find the given record. In general, a binary search algorithm first compares the given ID with the ID in the middle of the array (with index  $n/2$  or  $(n+1)/2$ ). Then the algorithm branches based on different conditions: if the two IDs are the same, we find the record; if the given ID is smaller, the algorithm continues to search the first half of the current array, ignoring the second half; otherwise, the algorithm continues to search the second half of the current array, ignoring the first half. The operation time is  $\log_2 n$ . Finding the time for binary search will be discussed in the future.
- **DELETE:** This operation requires us to first search for the given record. This can be done in  $\log_2 n$  time as shown above. Since the array is ordered, we need to fill in the empty slot  $i$  with a record, which means the records in slots  $i+1, i+2, \dots, n$  need to be moved up one slot to cover the empty one. The time is also proportional to  $n$ . In all, this operation takes time proportional to  $n$ , in the worst case.

## Algorithm

An algorithm is a finite sequence of instructions, each of which has a clear meaning and can be performed with a finite amount of effort in a finite length of time. No matter what the input values may be, an algorithm terminates after executing a finite number of instructions. In addition every algorithm must satisfy the following criteria:

Input: there are zero or more quantities, which are externally supplied;

Output: at least one quantity is produced;

Definiteness: each instruction must be clear and unambiguous;

Finiteness: if we trace out the instructions of an algorithm, then for all cases the algorithm will terminate after a finite number of steps;

Effectiveness: every instruction must be sufficiently basic that it can in principle be carried out by a person using only pencil and paper. It is not enough that each operation be definite, but it must also be feasible.

In formal computer science, one distinguishes between an algorithm, and a program. A program does not necessarily satisfy the fourth condition. One important example of such a program for a computer is its operating system, which never terminates (except for system crashes) but continues in a wait loop until more jobs are entered.

We represent an algorithm using pseudo language that is a combination of the constructs of a programming language together with informal English statements.

### Practical Algorithm design issues:

Choosing an efficient algorithm or data structure is just one part of the design process. Next, will look at some design issues that are broader in scope. There are three basic design goals that we should strive for in a program:

1. Try to save time (Time complexity).
2. Try to save space (Space complexity).
3. Try to have face.

A program that runs faster is a better program, so saving time is an obvious goal. Like wise, a program that saves space over a competing program is considered desirable. We want to "save face" by preventing the program from locking up or generating reams of garbled data.

### Pseudocode Examples

An algorithm is a procedure for solving a problem in terms of the actions to be executed and the order in which those actions are to be executed. An algorithm is merely the sequence of steps taken to solve a problem. The steps are normally "sequence," "selection," "iteration," and a case-type statement.

In C, "sequence statements" are imperatives. The "selection" is the "if then else" statement, and the iteration is satisfied by a number of statements, such as the "while," "do," and the "for," while the case-type statement is satisfied by the "switch" statement.

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Pseudocode is an artificial and informal language that helps programmers develop algorithms. Pseudocode is a "text-based" detail (algorithmic) design tool.

The rules of Pseudocode are reasonably straightforward. All statements showing "dependency" are to be indented. These include while, do, for, if, switch. Examples below will illustrate this notion.

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Examples:

1.. If student's grade is greater than or equal to 60

```
    Print "passed"
else
    Print "failed"
```

---

2. Set total to zero

Set grade counter to one

While grade counter is less than or equal to ten

```
    Input the next grade
    Add the grade into the total
```

Set the class average to the total divided by ten

Print the class average.

---

3.

Initialize total to zero

Initialize counter to zero

Input the first grade

while the user has not as yet entered the sentinel

```
    add this grade into the running total
    add one to the grade counter
    input the next grade (possibly the sentinel)
```

if the counter is not equal to zero

```
    set the average to the total divided by the counter
    print the average
```

else

```
    print 'no grades were entered'
```

---

4.

```
initialize passes to zero

initialize failures to zero

initialize student to one

while student counter is less than or equal to ten

    input the next exam result
    if the student passed
        add one to passes

    else

        add one to failures

add one to student counter

print the number of passes

print the number of failures

if eight or more students passed

    print "raise tuition"
```

#### Some Keywords That Should be Used

For looping and selection, The keywords that are to be used include Do While...EndDo; Do Until...Enddo; Case...EndCase; If...Endif; Call ... with (parameters); Call; Return ....; Return; When; Always use scope terminators for loops and iteration.

As verbs, use the words Generate, Compute, Process, etc. Words such as set, reset, increment, compute, calculate, add, sum, multiply, ... print, display, input, output, edit, test , etc. with careful indentation tend to foster desirable pseudocode.

Do not include data declarations in your pseudocode.

## LECTURE No.:2 Algorithm efficiency and analysis, time and space analysis of algorithms – order notations

Asymptotic analysis of an algorithm refers to defining the mathematical boundation/framing of its run-time performance. Using asymptotic analysis, we can very well conclude the best case, average case, and worst case scenario of an algorithm.

Asymptotic analysis is input bound i.e., if there's no input to the algorithm, it is concluded to work in a constant time. Other than the "input" all other factors are considered constant.

Asymptotic analysis refers to computing the running time of any operation in mathematical units of computation. For example, the running time of one operation is computed as  $f(n)$  and may be for another operation it is computed as  $g(n^2)$ . This means the first operation running time will increase linearly with the increase in  $n$  and the running time of the second operation will increase exponentially when  $n$  increases. Similarly, the running time of both operations will be nearly the same if  $n$  is significantly small.

Usually, the time required by an algorithm falls under three types –

Best Case – Minimum time required for program execution.

Average Case – Average time required for program execution.

Worst Case – Maximum time required for program execution.

Asymptotic Notations

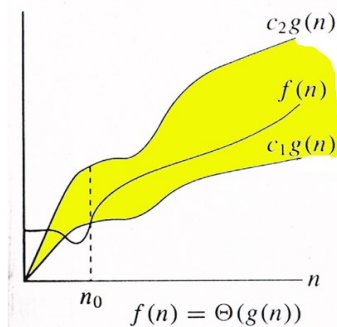
Following are the commonly used asymptotic notations to calculate the running time complexity of an algorithm.

O Notation

$\Omega$  Notation

$\Theta$  Notation

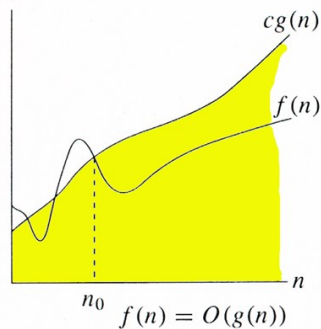
We have discussed Asymptotic Analysis, and Worst, Average and Best Cases of Algorithms. The main idea of asymptotic analysis is to have a measure of efficiency of algorithms that doesn't depend on machine specific constants, and doesn't require algorithms to be implemented and time taken by programs to be compared. Asymptotic notations are mathematical tools to represent time complexity of algorithms for asymptotic analysis. The following 3 asymptotic notations are mostly used to represent time complexity of algorithms.



1)  $\Theta$  Notation: The theta notation bounds a functions from above and below, so it defines exact asymptotic behavior. A simple way to get Theta notation of an expression is to drop low order terms and ignore leading constants. For example, consider the following expression.  
 $3n^3 + 6n^2 + 6000 = \Theta(n^3)$   
Dropping lower order terms is always fine because there will always be a  $n_0$  after which  $\Theta(n^3)$  has higher values than  $\Theta(n^2)$  irrespective of the constants involved. For a given function  $g(n)$ , we denote  $\Theta(g(n))$  is following set of functions.

$\Theta(g(n)) = \{f(n): \text{there exist positive constants } c_1, c_2 \text{ and } n_0 \text{ such}$   
that  $0 <= c_1 * g(n) <= f(n) <= c_2 * g(n) \text{ for all } n >= n_0\}$

The above definition means, if  $f(n)$  is theta of  $g(n)$ , then the value  $f(n)$  is always between  $c_1 \cdot g(n)$  and  $c_2 \cdot g(n)$  for large values of  $n$  ( $n \geq n_0$ ). The definition of theta also requires that  $f(n)$  must be non-negative for values of  $n$  greater than  $n_0$ .

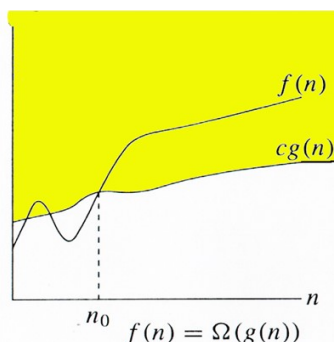


2) Big O Notation: The Big O notation defines an upper bound of an algorithm, it bounds a function only from above. For example, consider the case of Insertion Sort. It takes linear time in best case and quadratic time in worst case. We can safely say that the time complexity of Insertion sort is  $O(n^2)$ . Note that  $O(n^2)$  also covers linear time. If we use  $\Theta$  notation to represent time complexity of Insertion sort, we have to use two statements for best and worst cases:

1. The worst case time complexity of Insertion Sort is  $\Theta(n^2)$ .
2. The best case time complexity of Insertion Sort is  $\Theta(n)$ .

The Big O notation is useful when we only have upper bound on time complexity of an algorithm. Many times we easily find an upper bound by simply looking at the algorithm.

$O(g(n)) = \{ f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0 \}$



3)  $\Omega$  Notation: Just as Big O notation provides an asymptotic upper bound on a function,  $\Omega$  notation provides an asymptotic lower bound.

$\Omega$  Notation can be useful when we have lower bound on time complexity of an algorithm. As discussed in the previous post, the best case performance of an algorithm is generally not useful, the Omega notation is the least used notation among all three.

For a given function  $g(n)$ , we denote by  $\Omega(g(n))$  the set of functions.

$\Omega(g(n)) = \{ f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0 \}$ .

Let us consider the same Insertion sort example here. The time complexity of Insertion Sort can be written as  $\Omega(n)$ , but it is not a very useful information about insertion sort, as we are generally interested in worst case and sometimes in average case.

### LECTURE No.:3 Different representations – row major, column major

#### Introduction to Array

Array is a collection of variables of same data type that share a common name. Array is an ordered set which consist of fixed number of elements. Array is an example of linear data structure. In array memory is allocated sequentially so it is also known as sequential list. Consider Following Example in which an array of five elements is stored sequentially in memory.

2000	10	a[0]
2002	20	a[1]
2004	30	a[2]
2006	40	a[3]
2008	50	a[4]

In One Dimensional Array location of element A[i] can be calculated using following equation:

$$\text{LOC (A[i])} = \text{Base\_Address} + W * (i)$$

Here, Base\_Address is the address of first element in the array.

W is the word size. It means number of bytes occupied by each element.

Suppose we want to calculate the address of element A [2]. It can be calculated as follow:

$$\text{Base\_Address} = 2000, W=2$$

$$\text{LOC (A [i])} = \text{Base\_Address} + W (i)$$

$$\text{LOC (A [2])} = 2000 + 2 (2)$$

$$= 2004$$

#### Array Operations

Various operations that can be performed on one dimensional array are:

- (1) Traversal of Array
- (2) Insert Element in Array
- (3) Delete Element from Array
- (4) Merge two Array

#### Row Major Order Representation of Array

Row Major Order is a method of representing multi dimension array in sequential memory.

In this method elements of an array are arranged sequentially row by row. Thus elements of first row occupies first set of memory locations reserved for the array, elements of second row occupies the next set of memory and so on. Consider a Two Dimensional Array consist of N rows and M columns. It can be stored sequentially in memory row by row as shown below:

Row 0	A[0,0]	A[0,1]	.....	A[0,M-1]
Row 1	A[1,0]	A[1,1]	.....	A[1,M-1]
	.....			
Row N-1	A[N-1,0]	A[N-1,1]	.....	A[N-1,M-1]



Example:

Consider following example in which a two dimensional array consist of two rows and four columns is stored sequentially in row major order as:

2000	A[0][0]	Row 0
2002	A[0][1]	
2004	A[0][2]	
2006	A[0][3]	
2008	A[1][0]	Row 1
2010	A[1][1]	
2012	A[1][2]	
2014	A[1][3]	

The Location of element A[i, j] can be obtained by evaluating expression:

$$\text{LOC (A [i, j])} = \text{Base\_Address} + \text{W [M (i) + (j)]}$$

Here,

Base\_Address is the address of first element in the array.

W is the word size. It means number of bytes occupied by each element.

N is number of rows in array.

M is number of columns in array.

Suppose we want to calculate the address of element A [1, 2].

It can be calculated as follow:

Here,

$$\text{Base\_Address} = 2000, \text{W} = 2, \text{M} = 4, \text{N} = 2, \text{i} = 1, \text{j} = 2$$

$$\begin{aligned} \text{LOC (A [i, j])} &= \text{Base\_Address} + \text{W [M (i) + (j)]} \\ \text{LOC (A[1, 2])} &= 2000 + 2 * [4*(1) + 2] \\ &= 2000 + 2 * [4 + 2] \\ &= 2000 + 2 * 6 \\ &= 2000 + 12 \\ &= 2012 \end{aligned}$$

## LECTURE No.:4 REPRESENTATION OF SPARSE MATRICES

### Introduction

A matrix is a two-dimensional data object made of m rows and n columns, therefore having m ´ n values. When m=n, we call it a square matrix.

The most natural representation is to use two-dimensional array A[m][n] and access the element of ith row and jth column as A[i][j]. If a large number of elements of the matrix are zero elements, then it is called a sparse matrix.

Representing a sparse matrix by using a two-dimensional array leads to the wastage of a substantial amount of space. Therefore, an alternative representation must be used for sparse matrices. One such representation is to store only non- zero elements along with their row positions and column positions. That means representing every non-zero element by using triples (i, j, value), where i is a row position and j is a column position, and store these triples in a linear list. It is possible to arrange these triples in the increasing order of row indices, and for the same row index in the increasing order of

column indices. Each triple (i,j,value) can be represented by using a node having four fields as shown in the following:

```
Struct snode{
Int row,col,val;
Struct snode *next;
};
```

row	col	value	link
-----	-----	-------	------

So a sparse matrix can be represented using a list of such nodes, one per non-zero element of the matrix. For example, consider the sparse matrix shown in Figure 20.11.

0	2	0	0	2	0
0	0	0	1	0	5
0	0	4	0	0	0
0	0	0	0	0	0
0	0	0	0	0	0

Figure 20.11: A sparse matrix.

This matrix can be represented using the linked list shown in Figure 20.12.

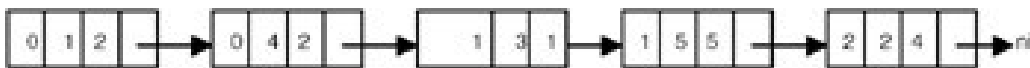


Figure 20.12: Linked list representation of sparse matrix of Figure 20.11.

#### Explanation

1. In order to add two sparse matrices represented using the sorted linked lists as shown in the preceding program, the lists are traversed until the end of one of the lists is reached.
2. In the process of traversal, the row indices stored in the nodes of these lists are compared. If they don't match, a new node is created and inserted into the resultant list by copying the contents of a node with a lower value of row index. The pointer in the list containing a node with a lower value of row index is advanced to make it point to the next node.
3. If the row indices match, column indices for the corresponding row positions are compared. If they don't match, a new node is created and inserted into the resultant list by copying the contents of a node with a lower value of column index. The pointer in the list containing a node with a lower value of column index is advanced to make it point to the next node.
4. If the column indices match, a new node is created and inserted into the resultant list by copying the row and column indices from any of the nodes and the value equal to the sum of the values in the two nodes.
5. After this, the pointers in both the lists are advanced to make them point to the next nodes in the respective lists. This process is repeated in each iteration. After reaching the end of any one of the lists, the iterations come to an end and the remaining nodes in the list whose end has not been reached are copied, as it is in the resultant list.

#### Example

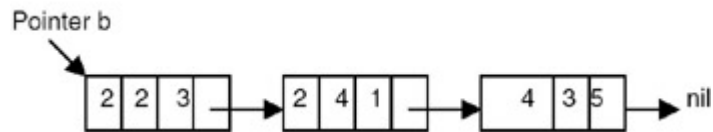
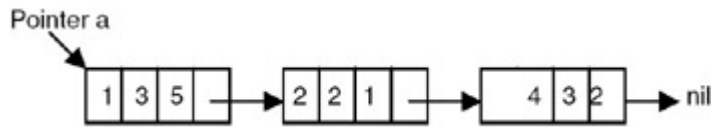
Consider the following sparse matrices:

0	0	5	0
0	1	0	0
0	0	0	0
0	0	2	0

Sparse matrix a

0	0	0	0
0	3	0	1
0	0	0	0
0	0	5	0

Sparse matrix b



If the procedure sadd is applied to the above linked list representations then we get the resultant list, as shown in Figure 20.13.



Figure 20.13: Result of application of the procedure sadd.

This resultant list represents the matrix shown below:

0	0	5	0
0	4	0	1
0	0	0	0
0	0	7	0

This matrix is an addition of the matrices of a and b, respectively.

#### Points to Remember

1. If the sparse matrices to be added have n and m non-zero terms, respectively, then the linked list representation of these sparse matrices contains m and n terms, respectively.
2. Since sadd traverses each of these lists sequentially, the maximum number of iterations that sadd will make will not be more than m+n. So the computation time of sadd is  $O(m+n)$ .

### Polynomial Manipulation

- Representation
- Addition
- Multiplication

**Representation of a Polynomial:** A polynomial is an expression that contains more than two terms. A term is made up of coefficient and exponent. An example of polynomial is

$$P(x) = 4x^3 + 6x^2 + 7x + 9$$

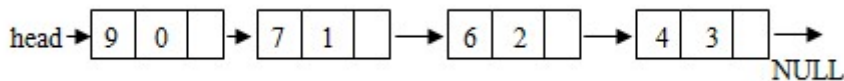
A polynomial thus may be represented using arrays or linked lists. Array representation assumes that the exponents of the given expression are arranged from 0 to the highest value (degree), which is represented by the subscript of the array beginning with 0. The coefficients of the respective exponent are placed at an appropriate index in the array. The array representation for the above polynomial expression is given below:

arr	9	7	6	4	(coefficients)
	0	1	2	3	(exponents)

A polynomial may also be represented using a linked list. A structure may be defined such that it contains two parts- one is the coefficient and second is the corresponding exponent. The structure definition may be given as shown below:

```
struct polynomial
{
int coefficient;
int exponent;
struct polynomial *next;
};
```

Thus the above polynomial may be represented using linked list as shown below:



Addition of two Polynomials:

For adding two polynomials using arrays is straightforward method, since both the arrays may be added up element wise beginning from 0 to n-1, resulting in addition of two polynomials. Addition of two polynomials using linked list requires comparing the exponents, and wherever the exponents are found to be same, the coefficients are added up. For terms with different exponents, the complete term is simply added to the result thereby making it a part of addition result. The complete program to add two polynomials is given in subsequent section.

Multiplication of two Polynomials:

Multiplication of two polynomials however requires manipulation of each node such that the exponents are added up and the coefficients are multiplied. After each term of first polynomial is operated upon with each term of the second polynomial, then the result has to be added up by comparing the exponents and adding the coefficients for similar exponents and including terms as such with dissimilar exponents in the result.

## **LECTURE No.:5 *linked list***

Linked lists and arrays are similar since they both store collections of data. Array is the most common data structure used to store collections of elements. Arrays are convenient to declare and provide the easy syntax to access any element by its index number. Once the array is set up, access to any element is convenient and fast. The disadvantages of arrays are:

- The size of the array is fixed. Most often this size is specified at compile time. This makes the programmers to allocate arrays, which seems "large enough" than required.
- Inserting new elements at the front is potentially expensive because existing elements need to be shifted over to make room.
- Deleting an element from an array is not possible.

Linked lists have their own strengths and weaknesses, but they happen to be strong where arrays are weak. Generally array's allocates the memory for all its elements in one block whereas linked lists use an entirely different strategy. Linked lists allocate memory for each element separately and only when necessary.

Here is a quick review of the terminology and rules of pointers. The linked list code will depend on the following functions:

**malloc()** is a system function which allocates a block of memory in the "heap" and returns a pointer to the new block. The prototype of malloc() and other heap functions are in stdlib.h. malloc() returns NULL if it cannot fulfill the request. It is defined by:

```
void          *malloc
(number_of_bytes)
```

Since a void \* is returned the C standard states that this pointer can be converted to any type. For example,

```
char
*cp;
cp = (char *) malloc
(100);
```

Attempts to get 100 bytes and assigns the starting address to cp. We can also use the sizeof() function to specify the number of bytes. For example,

```
int *ip;
ip = (int *) malloc (100*sizeof(int));
```

**free()** is the opposite of malloc(), which de-allocates memory. The argument to free() is a pointer to a block of memory in the heap — a pointer which was obtained by a malloc() function. The syntax is:

```
free (ptr);
```

The advantage of free() is simply memory management when we no longer need a block.

### **Linked List Concepts:**

A linked list is a non-sequential collection of data items. It is a dynamic data structure. For every data item in a linked list, there is an associated pointer that would give the memory location of the next data item in the linked list.

The data items in the linked list are not in consecutive memory locations. They may be anywhere, but the accessing of these data items is easier as each data item contains the address of the next data item.

### **Advantages of linked lists:**

Linked lists have many advantages. Some of the very important advantages are:

1. Linked lists are dynamic data structures. i.e., they can grow or shrink during the execution of a program.
2. Linked lists have efficient memory utilization. Here, memory is not pre-allocated. Memory is allocated whenever it is required and it is de-allocated (removed) when it is no longer needed.
3. Insertion and Deletions are easier and efficient. Linked lists provide flexibility in inserting a data item at a specified position and deletion of the data item from the given position.

4. Many complex applications can be easily carried out with linked lists.

**Disadvantages of linked lists:**

1. It consumes more space because every node requires a additional pointer to store address of the next node.
2. Searching a particular element in list is difficult and also time consuming.

**3.2. Types of Linked Lists:**

Basically we can put linked lists into the following four items:

1. Single Linked List.
2. Double Linked List.
3. Circular Linked List.
4. Circular Double Linked List.

A single linked list is one in which all nodes are linked together in some sequential manner. Hence, it is also called as linear linked list.

A double linked list is one in which all nodes are linked together by multiple links which helps in accessing both the successor node (next node) and predecessor node (previous node) from any arbitrary node within the list. Therefore each node in a double linked list has two link fields (pointers) to point to the left node (previous) and the right node (next). This helps to traverse in forward direction and backward direction.

A circular linked list is one, which has no beginning and no end. A single linked list can be made a circular linked list by simply storing address of the very first node in the link field of the last node.

A circular double linked list is one, which has both the successor pointer and predecessor pointer in the circular manner.

#### Comparison between array and linked list:

ARRAY	LINKED LIST
Size of an array is fixed	Size of a list is not fixed
Memory is allocated from stack	Memory is allocated from heap
It is necessary to specify the number of elements during declaration (i.e., during compile time).	It is not necessary to specify the number of elements during declaration (i.e., memory is allocated during run time).
It occupies less memory than a linked list for the same number of elements.	It occupies more memory.
Inserting new elements at the front is potentially expensive because existing elements need to be shifted over to make room.	Inserting a new element at any position can be carried out easily.
Deleting an element from an array is not possible.	Deleting an element is possible.

#### Trade offs between linked lists and arrays:

FEATURE	ARRAYS	LINKED LISTS
Sequential access	efficient	efficient
Random access	efficient	inefficient
Resigning	inefficient	efficient
Element rearranging	inefficient	efficient
Overhead per elements	none	1 or 2 links

#### Applications of linked list:

1. Linked lists are used to represent and manipulate polynomial. Polynomials are expression containing terms with non zero coefficient and exponents. For example:

$$P(x) = a_0 X^n + a_1 X^{n-1} + \dots + a_{n-1} X + a_n$$

2. Represent very large numbers and operations of the large number such as addition, multiplication and division.
3. Linked lists are to implement stack, queue, trees and graphs.
4. Implement the symbol table in compiler construction

## Single Link List

### Single Linked List:

A linked list allocates space for each element separately in its own block of memory called a "node". The list gets an overall structure by using pointers to connect all its nodes together like the links in a chain. Each node contains two fields; a "data" field to store whatever element, and a "next" field which is a pointer used to link to the next node. Each node is allocated in the heap using `malloc()`, so the node memory continues to exist until it is explicitly de-allocated using `free()`. The front of the list is a pointer to the "start" node.

A single linked list is shown in figure 3.2.1.

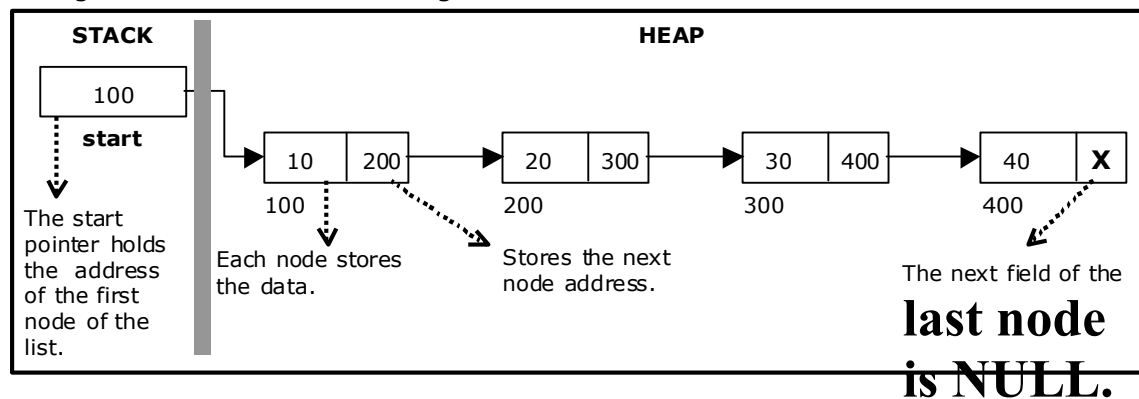


Figure 3.2.1. Single Linked List

The beginning of the linked list is stored in a "**start**" pointer which points to the first node. The first node contains a pointer to the second node. The second node contains a pointer to the third node, ... and so on. The last node in the list has its next field set to NULL to mark the end of the list. Code can access any node in the list by starting at the **start** and following the next pointers.

The **start** pointer is an ordinary local pointer variable, so it is drawn separately on the left top to show that it is in the stack. The list nodes are drawn on the right to show that they are allocated in the heap.



## Implementation of Single Linked List:

Before writing the code to build the above list, we need to create a **start** node, used to create and access other nodes in the linked list. The following structure definition will do (see figure 3.2.2):

- Creating a structure with one data item and a next pointer, which will be pointing to next node of the list. This is called as self-referential structure.
- Initialise the start pointer to be NULL.

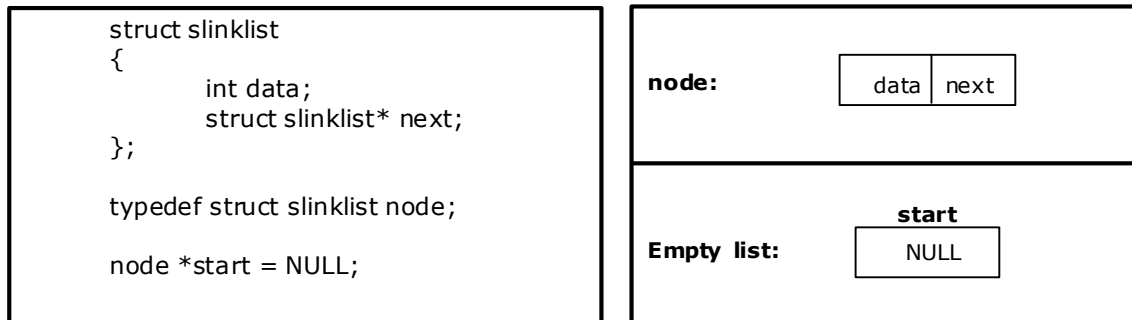


Figure 3.2.2. Structure definition, single link node and empty list

## The basic operations in a single linked list are:

- Creation.
- Insertion.
- Deletion.
- Traversing.

## Creating a node for Single Linked List:

Creating a singly linked list starts with creating a node. Sufficient memory has to be allocated for creating a node. The information is stored in the memory, allocated by using the malloc() function. The function getnode(), is used for creating a node, after allocating memory for the structure of type node, the information for the item (i.e., data) has to be read from the user, set next field to NULL and finally returns the address of the node. Figure 3.2.3 illustrates the creation of a node for single linked list.

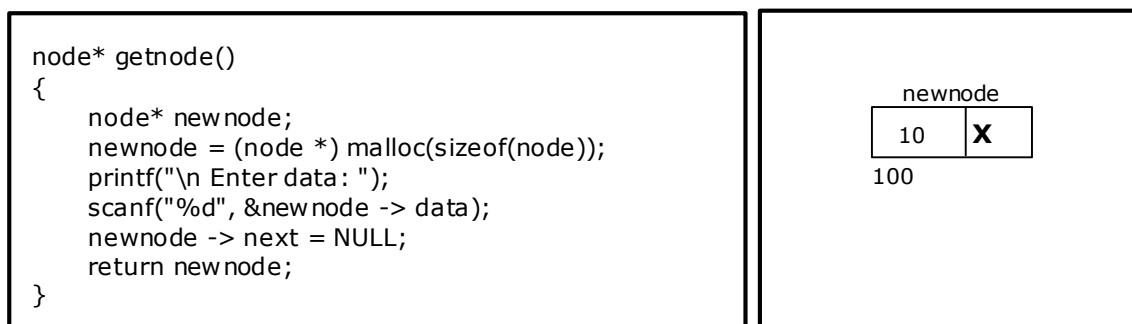


Figure 3.2.3. new node with a value of 10

### Creating a Singly Linked List with 'n' number of nodes:

The following steps are to be followed to create 'n' number of nodes:

- Get the new node using getnode().  
newnode = getnode();
- If the list is empty, assign new node as start.  
start = newnode;
- If the list is not empty, follow the steps given below:
  - The next field of the new node is made to point the first node (i.e. start node) in the list by assigning the address of the first node.
  - The start pointer is made to point the new node by assigning the address of the new node.
- Repeat the above steps 'n' times.

Figure 3.2.4 shows 4 items in a single linked list stored at different locations in memory.

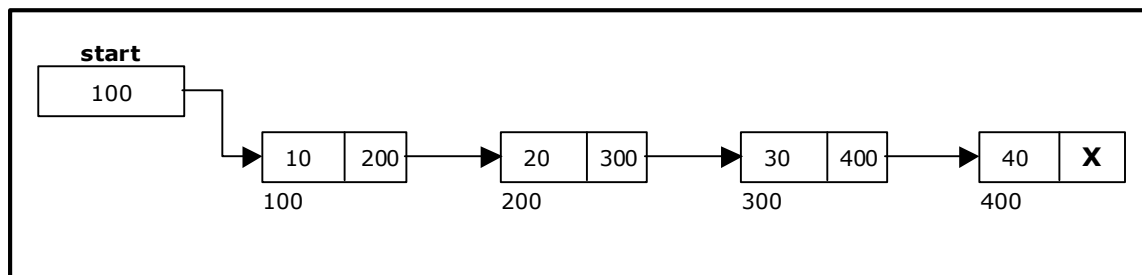


Figure 3.2.4. Single Linked List with 4 nodes

The function createlist(), is used to create 'n' number of nodes:

```
void createlist(int n)
{
    int i;
    node *newnode;
    node *temp;
    for(i = 0; i < n ; i++)
    {
        newnode = getnode();
        if(start == NULL)
        {
            start = newnode;
        }
        else
        {
            temp = start;
            while(temp -> next != NULL)
                temp = temp -> next;
            temp -> next = newnode;
        }
    }
}
```

### Insertion of a Node:

One of the most primitive operations that can be done in a singly linked list is the insertion of a node. Memory is to be allocated for the new node (in a similar way that is done while creating a list) before reading the data. The new node will contain empty data field and empty next field. The data field of the new node is then stored with the information read from the user. The next field of the new node is assigned to NULL. The new node can then be inserted at three different places namely:

- Inserting a node at the beginning.
- Inserting a node at the end.
- Inserting a node at intermediate position.

### Inserting a node at the beginning:

The following steps are to be followed to insert a new node at the beginning of the list:

- Get the new node using `getnode()`.  
`newnode = getnode();`
- If the list is empty then `start = newnode`.
- If the list is not empty, follow the steps given below:  
`newnode -> next = start;`  
`start = newnode;`

Figure 3.2.5 shows inserting a node into the single linked list at the beginning.

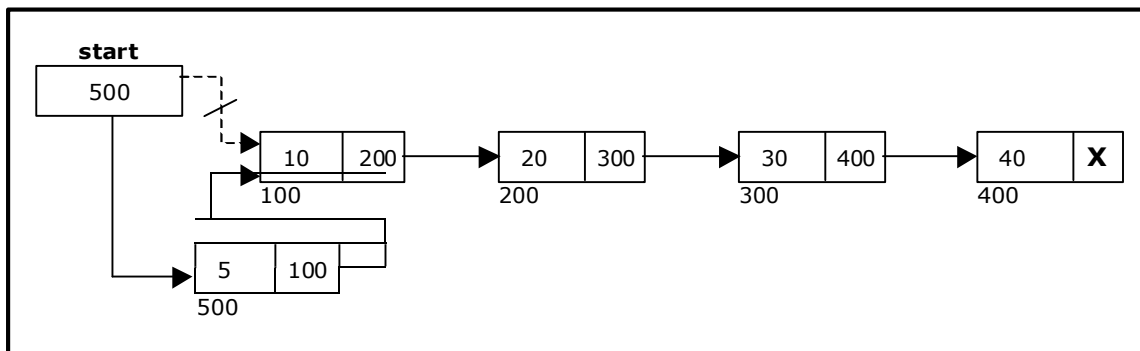


Figure 3.2.5. Inserting a node at the beginning

The function `insert_at_beg()`, is used for inserting a node at the beginning

```
void insert_at_beg()
{
    node *newnode;
    newnode = getnode();
    if(start == NULL)
    {
        start = newnode;
    }
    else
    {
        newnode -> next = start;
        start = newnode;
    }
}
```

### Inserting a node at the end:

The following steps are followed to insert a new node at the end of the list:

- Get the new node using `getnode()`  
`newnode = getnode();`
- If the list is empty then `start = newnode`.
- If the list is not empty follow the steps given below:  
`temp = start;`  
`while(temp -> next != NULL)`  
`temp = temp -> next;`  
`temp -> next = newnode;`

Figure 3.2.6 shows inserting a node into the single linked list at the end.

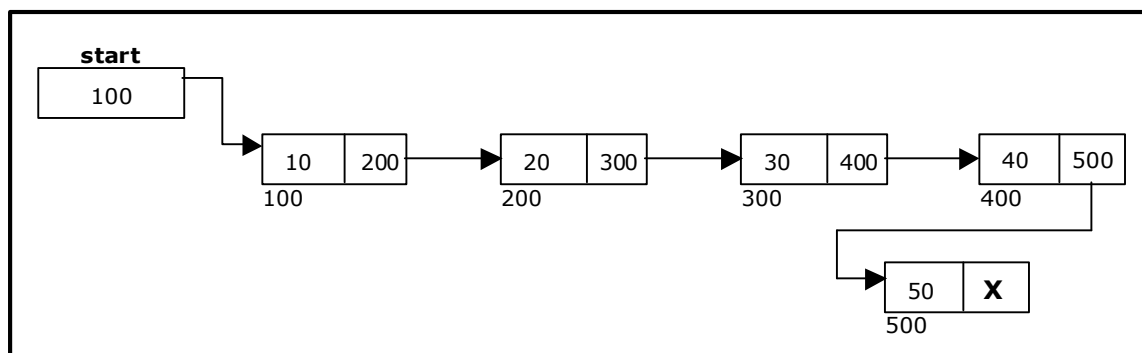


Figure 3.2.6. Inserting a node at the end.

The function `insert_at_end()`, is used for inserting a node at the end.

```
void insert_at_end()
{
    node *newnode, *temp;
    newnode = getnode();
    if(start == NULL)
    {
        start = newnode;
    }
    else
    {
        temp = start;
        while(temp -> next != NULL)
            temp = temp -> next;
        temp -> next = newnode;
    }
}
```

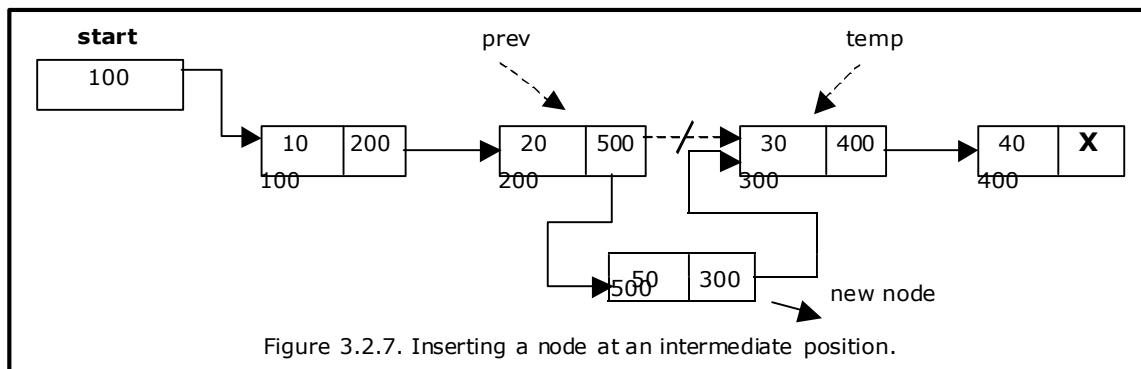
### Inserting a node at intermediate position:

The following steps are followed, to insert a new node in an intermediate position in the list:

- Get the new node using `getnode()`.  
`newnode = getnode();`

- Ensure that the specified position is in between first node and last node. If not, specified position is invalid. This is done by countnode() function.
- Store the starting address (which is in start pointer) in temp and prev pointers. Then traverse the temp pointer upto the specified position followed by prev pointer.
- After reaching the specified position, follow the steps given below:  
 prev -> next = newnode;  
 newnode -> next = temp;
- Let the intermediate position be 3.

Figure 3.2.7 shows inserting a node into the single linked list at a specified intermediate position other than beginning and end.



The function insert\_at\_mid(), is used for inserting a node in the intermediate position.

```
void insert_at_mid()
{
    node *newnode, *temp, *prev;
    int pos, nodectr, ctr = 1;
    newnode = getnode();
    printf("\n Enter the position: ");
    scanf("%d", &pos);
    nodectr = countnode(start);
    if(pos > 1 && pos < nodectr)
    {
        temp = prev = start;
        while(ctr < pos)
        {
            prev = temp;
            temp = temp -> next;
            ctr++;
        }
        prev -> next = newnode;
        newnode -> next = temp;
    }
    else
    {
        printf("position %d is not a middle position", pos);
    }
}
```

## *Singly linked list*

### **Deletion of a node:**

Another primitive operation that can be done in a singly linked list is the deletion of a node. Memory is to be released for the node to be deleted. A node can be deleted from the list from three different places namely.

- Deleting a node at the beginning.
- Deleting a node at the end.
- Deleting a node at intermediate position.

### **Deleting a node at the beginning:**

The following steps are followed, to delete a node at the beginning of the list:

- If list is empty then display 'Empty List' message.
- If the list is not empty, follow the steps given below:  
temp = start;  
start = start -> next;  
free(temp);

Figure 3.2.8 shows deleting a node at the beginning of a single linked list.

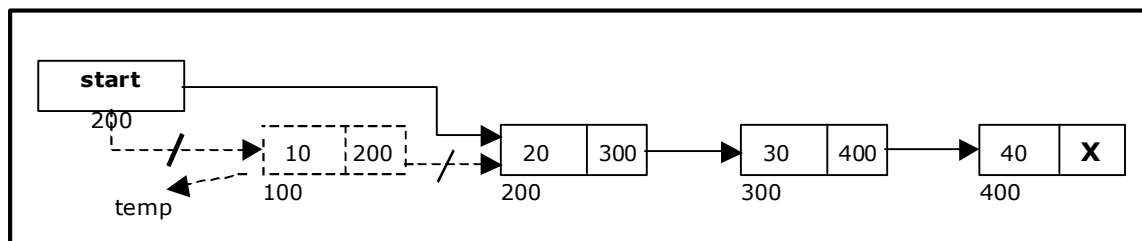


Figure 3.2.8. Deleting a node at the beginning.

The function `delete_at_beg()`, is used for deleting the first node in the list.

```
void delete_at_beg()
{
    node *temp;
    if(start == NULL)
    {
        printf("\n No nodes are exist..");
        return ;
    }
    else
    {
        temp = start;
        start = temp -> next;
        free(temp);
        printf("\n Node deleted ");
    }
}
```

### Deleting a node at the end:

The following steps are followed to delete a node at the end of the list:

- If list is empty then display 'Empty List' message.
- If the list is not empty, follow the steps given below:

```
temp = prev = start;
while(temp -> next != NULL)
{
    prev = temp;
    temp = temp -> next;
}
prev -> next = NULL;
free(temp);
```

Figure 3.2.9 shows deleting a node at the end of a single linked list.

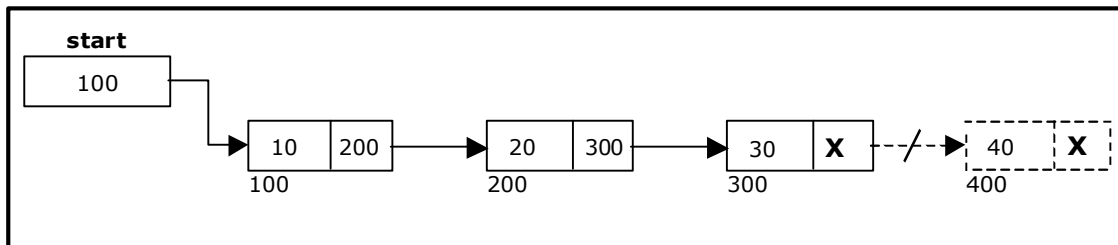


Figure 3.2.9. Deleting a node at the end.

The function `delete_at_last()`, is used for deleting the last node in the list.

```
void delete_at_last()
{
    node *temp, *prev;
    if(start == NULL)
    {
        printf("\n Empty List..");
        return ;
    }
    else
    {
        temp = start;
        prev = start;
        while(temp -> next != NULL)
        {
            prev = temp;
            temp = temp -> next;
        }
        prev -> next = NULL;
        free(temp);
        printf("\n Node deleted ");
    }
}
```

### Deleting a node at Intermediate position:

The following steps are followed, to delete a node from an intermediate position in the list (List must contain more than two node).

- If list is empty then display 'Empty List' message
- If the list is not empty, follow the steps given below.

```
if(pos > 1 && pos < nodectr)
{
    temp = prev = start;
    ctr = 1;
    while(ctr < pos)
    {
        prev = temp;
        temp = temp -> next;
        ctr++;
    }
    prev -> next = temp -> next;
    free(temp);
    printf("\n node deleted..");
}
```

Figure 3.2.10 shows deleting a node at a specified intermediate position other than beginning and end from a single linked list.

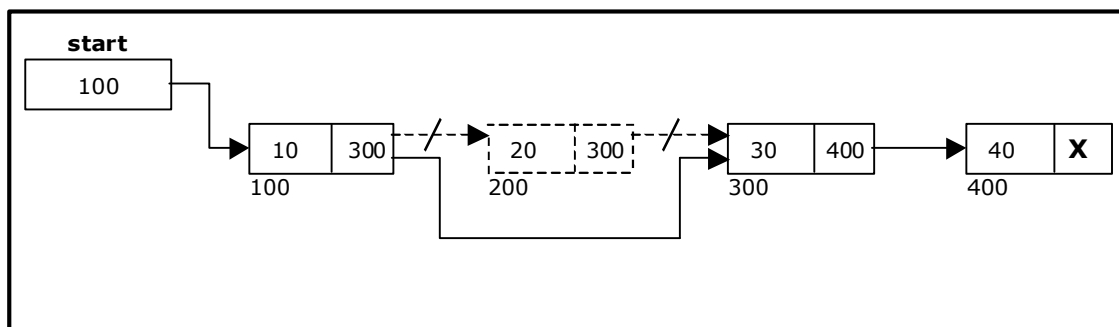


Figure 3.2.10. Deleting a node at an intermediate position.

The function `delete_at_mid()`, is used for deleting the intermediate node in the list.

```
void delete_at_mid()
{
    int ctr = 1, pos, nodectr;
    node *temp, *prev;
    if(start == NULL)
    {
        printf("\n Empty List..");
        return ;
    }
    else
    {
        printf("\n Enter position of node to delete: ");
        scanf("%d", &pos);
        nodectr = countnode(start);
        if(pos > nodectr)
        {
            printf("\nThis node doesnot exist");
        }
    }
}
```



```

        if(pos > 1 && pos < nodectr)
        {
            temp = prev = start;
            while(ctr < pos)
            {
                prev = temp;
                temp = temp -> next;
                ctr ++;
            }
            prev -> next = temp -> next;
            free(temp);
            printf("\n Node deleted..");
        }
        else
        {
            printf("\n Invalid position..");
            getch();
        }
    }
}

```

### Traversal and displaying a list (Left to Right):

To display the information, you have to traverse (move) a linked list, node by node from the first node, until the end of the list is reached. Traversing a list involves the following steps:

- Assign the address of start pointer to a temp pointer.
- Display the information from the data field of each node.

The function *traverse()* is used for traversing and displaying the information stored in the list from left to right.

```

void traverse()
{
    node *temp;
    temp = start;
    printf("\n The contents of List (Left to Right): \n");
    if(start == NULL )
        printf("\n Empty List");
    else
    {
        while (temp != NULL)
        {
            printf("%d ->", temp -> data);
            temp = temp -> next;
        }
        printf("X");
    }
}

```

**Alternatively** there is another way to traverse and display the information. That is in reverse order. The function *rev\_traverse()*, is used for traversing and displaying the information stored in the list from right to left.

```

void rev_traverse(node *st)
{
    if(st == NULL)
    {
        return;
    }
    else
    {
        rev_traverse(st -> next);
        printf("%d ->", st -> data);
    }
}

```

### Counting the Number of Nodes:

The following code will count the number of nodes exist in the list using *recursion*.

```

int countnode(node *st)
{
    if(st == NULL)
        return 0;
    else
        return(1 + countnode(st -> next));
}

```

## LECTURE No.:6 Circular linked list

### Circular Single Linked List:

It is just a single linked list in which the link field of the last node points back to the address of the first node. A circular linked list has no beginning and no end. It is necessary to establish a special pointer called *start* pointer always pointing to the first node of the list. Circular linked lists are frequently used instead of ordinary linked list because many operations are much easier to implement. In circular linked list no null pointers are used, hence all pointers contain valid address.

A circular single linked list is shown in figure 3.6.1.

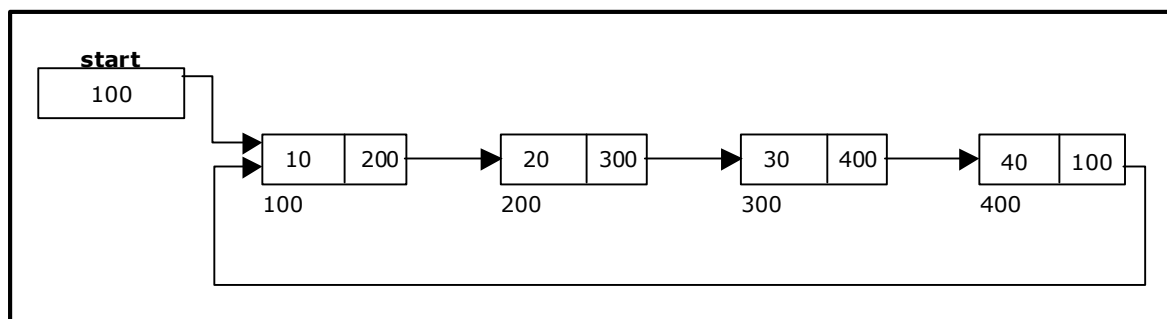


Figure 3.6.1. Circular Single Linked List

The basic operations in a circular single linked list are:

- Creation.
- Insertion.
- Deletion.
- Traversing.

### **Creating a circular single Linked List with 'n' number of nodes:**

The following steps are to be followed to create 'n' number of nodes:

- Get the new node using `getnode()`.  

```
newnode = getnode();
```
- If the list is empty, assign new node as start.  

```
start = newnode;
```
- If the list is not empty, follow the steps given below:  

```
temp = start;  
while(temp -> next != NULL)  
    temp = temp -> next;  
temp -> next = newnode;
```
- Repeat the above steps 'n' times.
- ```
newnode -> next = start;
```

The function `createlist()`, is used to create 'n' number of nodes:

### **Inserting a node at the beginning:**

The following steps are to be followed to insert a new node at the beginning of the circular list:

- Get the new node using `getnode()`.  

```
newnode = getnode();
```
- If the list is empty, assign new node as start.  

```
start = newnode;  
newnode -> next = start;
```
- If the list is not empty, follow the steps given below:  

```
last = start;  
while(last -> next != start)  
    last = last -> next;  
newnode -> next = start;  
start = newnode;  
last -> next = start;
```

The function `cll_insert_beg()`, is used for inserting a node at the beginning. Figure 3.6.2 shows inserting a node into the circular single linked list at the beginning.

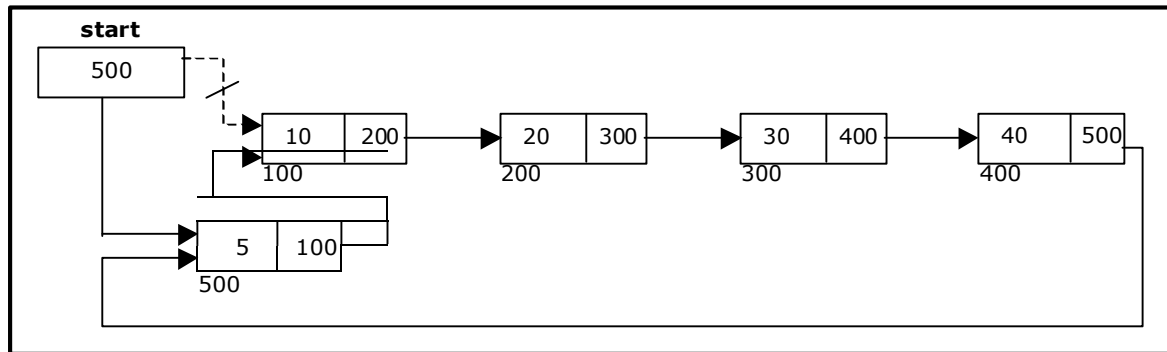


Figure 3.6.2. Inserting a node at the beginning

### Inserting a node at the end:

The following steps are followed to insert a new node at the end of the list:

- Get the new node using `getnode()`.  
`newnode = getnode();`
- If the list is empty, assign new node as start.  
`start = newnode;`  
`newnode -> next = start;`
- If the list is not empty follow the steps given below:  
`temp = start;`  
`while(temp -> next != start)`  
    `temp = temp -> next;`  
`temp -> next = newnode;`  
`newnode -> next = start;`

The function `cll_insert_end()`, is used for inserting a node at the end.

Figure 3.6.3 shows inserting a node into the circular single linked list at the end.

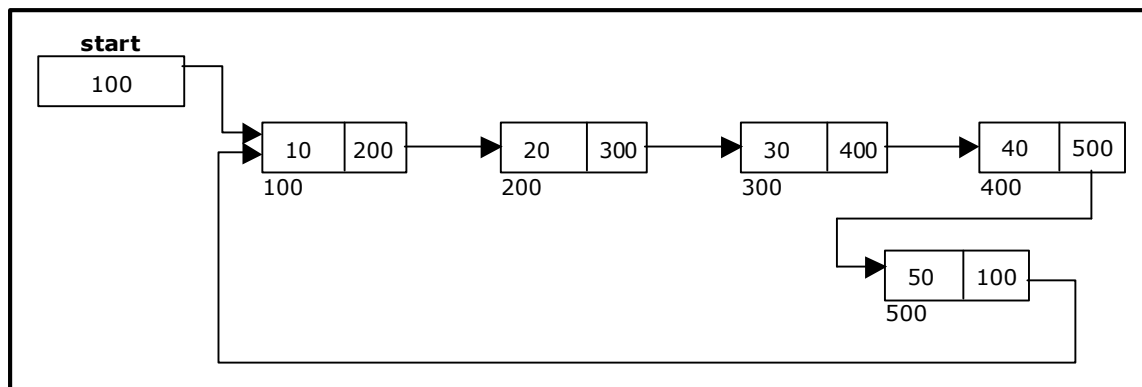


Figure 3.6.3 Inserting a node at the end.

### Deleting a node at the beginning:

The following steps are followed, to delete a node at the beginning of the list:

- If the list is empty, display a message 'Empty List'.
- If the list is not empty, follow the steps given below:

```
last = temp = start;  
while(last -> next != start)  
    last = last -> next;  
start = start -> next;  
last -> next = start;
```

- After deleting the node, if the list is empty then *start = NULL*.

The function `cil_delete_beg()`, is used for deleting the first node in the list. Figure 3.6.4 shows deleting a node at the beginning of a circular single linked list.

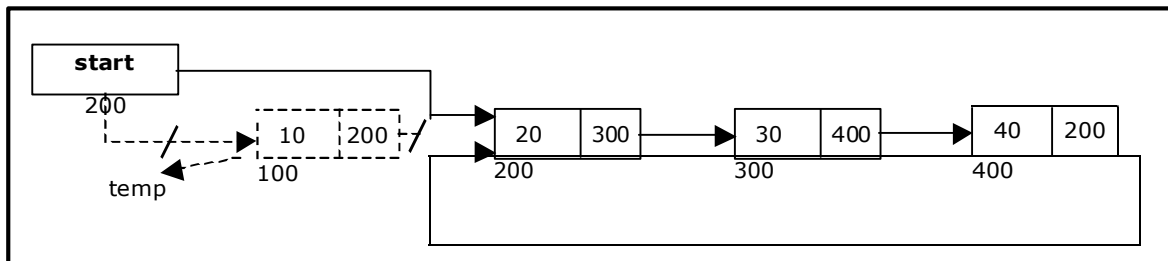


Figure 3.6.4. Deleting a node at beginning.

### Deleting a node at the end:

The following steps are followed to delete a node at the end of the list:

- If the list is empty, display a message 'Empty List'.
- If the list is not empty, follow the steps given below:

```
temp = start;  
prev = start;  
while(temp -> next != start)  
{  
    prev = temp;  
    temp = temp -> next;  
}  
prev -> next = start;
```

- After deleting the node, if the list is empty then *start = NULL*.

The function `cil_delete_last()`, is used for deleting the last node in the list. Figure 3.6.5 shows deleting a node at the end of a circular single linked list.

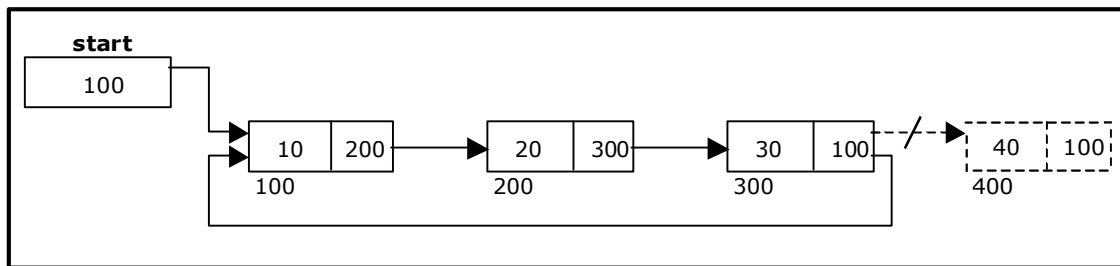


Figure 3.6.5. Deleting a node at the end.

### Traversing a circular single linked list from left to right:

The following steps are followed, to traverse a list from left to right:

- If list is empty then display 'Empty List' message.
- If the list is not empty, follow the steps given below:

```
temp = start;
do
{
    printf("%d ", temp -> data);
    temp = temp -> next;
} while(temp != start);
```

## LECTURE No.:7 *Doubly linked list*

### Double Linked List:

A double linked list is a two-way list in which all nodes will have two links. This helps in accessing both successor node and predecessor node from the given node position. It provides bi-directional traversing. Each node contains three fields:

- Left link.
- Data.
- Right link.

The left link points to the predecessor node and the right link points to the successor node. The data field stores the required data.

Many applications require searching forward and backward thru nodes of a list. For example searching for a name in a telephone directory would need forward and backward scanning thru a region of the whole list.

The basic operations in a double linked list are:

- Creation.
- Insertion.
- Deletion.
- Traversing.

A double linked list is shown in figure 3.3.1.

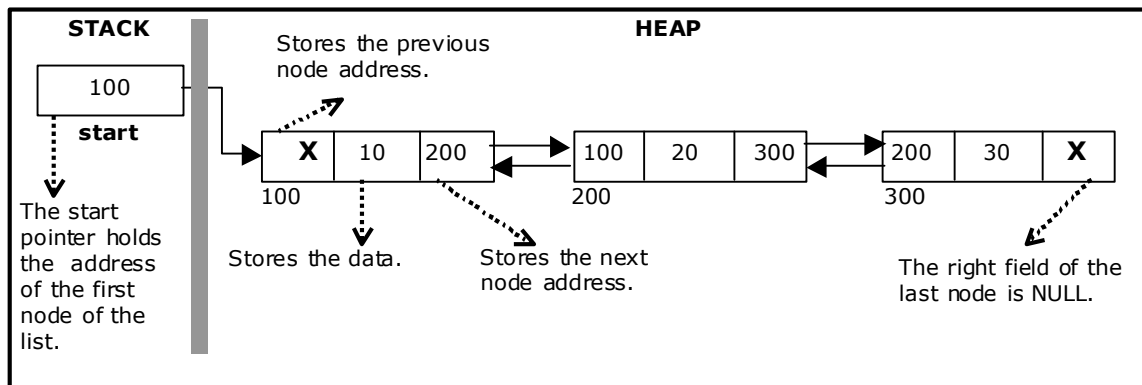


Figure 3.3.1. Double Linked List

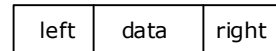
The beginning of the double linked list is stored in a **"start"** pointer which points to the first node. The first node's left link and last node's right link is set to NULL.

The following code gives the structure definition:

```
struct dlinklist
{
    struct dlinklist *left;
    int data;
    struct dlinklist *right;
};

typedef struct dlinklist node;
node *start = NULL;
```

**node:**



**Empty list:**

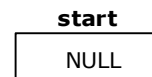


Figure 3.4.1. Structure definition, double link node and empty list

### Creating a node for Double Linked List:

Creating a double linked list starts with creating a node. Sufficient memory has to be allocated for creating a node. The information is stored in the memory, allocated by using the malloc() function. The function getnode(), is used for creating a node, after allocating memory for the structure of type node, the information for the item (i.e., data) has to be read from the user and set left field to NULL and right field also set to NULL (see figure 3.2.2).

```
node* getnode()
{
    node* newnode;
    newnode = (node *) malloc(sizeof(node));
    printf("\n Enter data: ");
    scanf("%d", &newnode->data);
    newnode->left = NULL;
    newnode->right = NULL;
    return newnode;
}
```

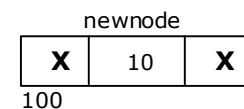


Figure 3.4.2. new node with a value of 10

### Creating a Double Linked List with 'n' number of nodes:

The following steps are to be followed to create 'n' number of nodes:

- Get the new node using `getnode()`.  
`newnode = getnode();`
- If the list is empty then `start = newnode`.
- If the list is not empty, follow the steps given below:
  - The left field of the new node is made to point the previous node.
  - The previous nodes right field must be assigned with address of the new node.
- Repeat the above steps 'n' times.

The function `createlist()`, is used to create 'n' number of nodes:

```
void createlist(int n)
{
    int i;
    node *newnode;
    node *temp;
    for(i = 0; i < n; i++)
    {
        newnode = getnode();
        if(start == NULL)
        {
            start = newnode;
        }
        else
        {
            temp = start;
            while(temp -> right)
                temp = temp -> right;
            temp -> right = newnode;
            newnode -> left = temp;
        }
    }
}
```

Figure 3.4.3 shows 3 items in a double linked list stored at different locations.

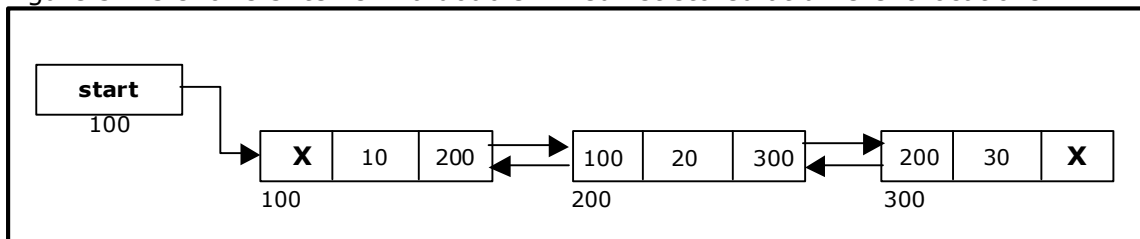


Figure 3.4.3. Double Linked List with 3 nodes



### Inserting a node at the beginning:

The following steps are to be followed to insert a new node at the beginning of the list:

- Get the new node using `getnode()`.
- `newnode=getnode();`
- If the list is empty then `start = newnode`.
- If the list is not empty, follow the steps given below:

```
newnode -> right = start;  
start -> left = newnode;  
start = newnode;
```

The function `dbl_insert_beg()`, is used for inserting a node at the beginning. Figure 3.4.4 shows inserting a node into the double linked list at the beginning.

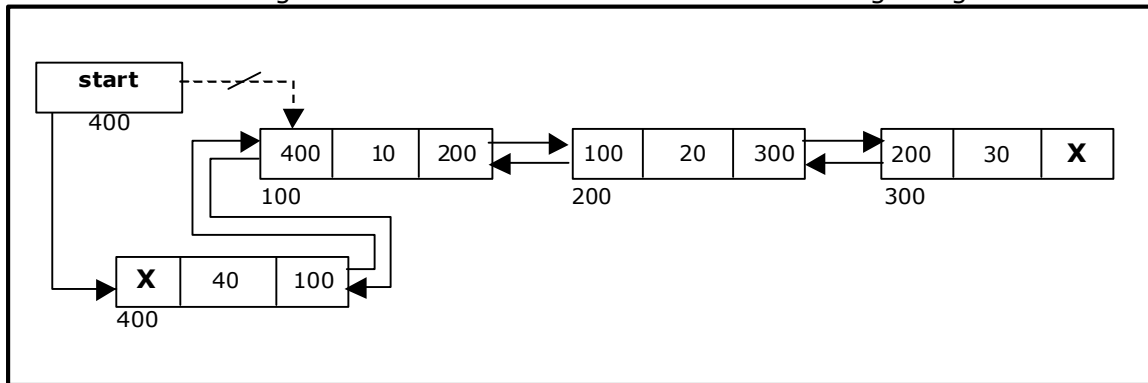


Figure 3.4.4. Inserting a node at the beginning

### Inserting a node at the end:

The following steps are followed to insert a new node at the end of the list:

- Get the new node using `getnode()`
- `newnode=getnode();`
- If the list is empty then `start = newnode`.
- If the list is not empty follow the steps given below:

```
temp = start;  
while(temp -> right != NULL)  
    temp = temp -> right;  
temp -> right = newnode;  
newnode -> left = temp;
```

The function `dbl_insert_end()`, is used for inserting a node at the end. Figure 3.4.5 shows inserting a node into the double linked list at the end.

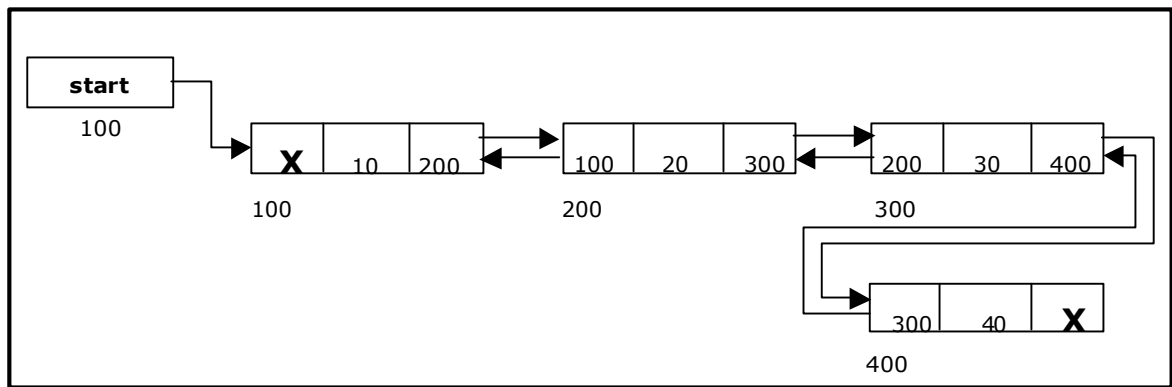


Figure 3.4.5. Inserting a node at the end

### Inserting a node at an intermediate position:

The following steps are followed, to insert a new node in an intermediate position in the list:

- Get the new node using `getnode()`.  
`newnode=getnode();`
- Ensure that the specified position is in between first node and last node. If not, specified position is invalid. This is done by `countnode()` function.
- Store the starting address (which is in start pointer) in temp and prev pointers. Then traverse the temp pointer upto the specified position followed by prev pointer.
- After reaching the specified position, follow the steps given below:

```
newnode -> left = temp;
newnode -> right = temp -> right;
temp -> right -> left = newnode;
temp -> right = newnode;
```

The function `dbl_insert_mid()`, is used for inserting a node in the intermediate position. Figure 3.4.6 shows inserting a node into the double linked list at a specified intermediate position other than beginning and end.

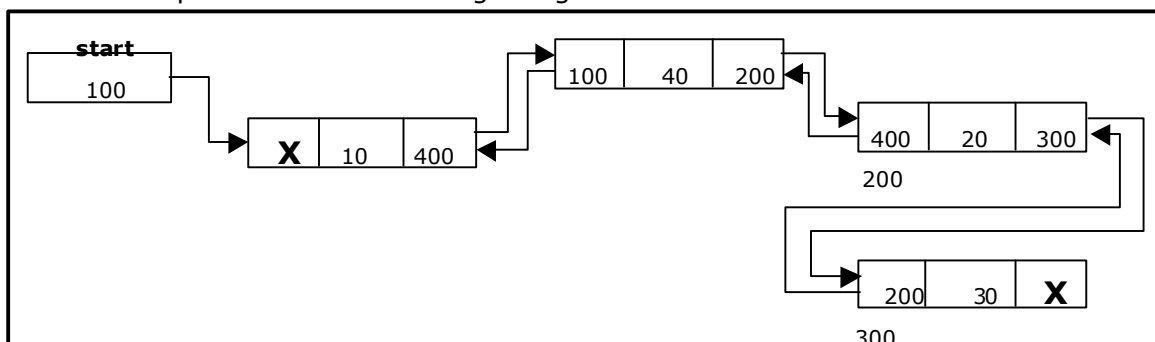


Figure 3.4.6. Inserting a node at an intermediate position

### Deleting a node at the beginning:

The following steps are followed, to delete a node at the beginning of the list:

- If list is empty then display 'Empty List' message.
- If the list is not empty, follow the steps given below:

```
temp = start;  
start = start -> right;  
start -> left = NULL;  
free(temp);
```

The function `dbl_delete_beg()`, is used for deleting the first node in the list. Figure 3.4.6 shows deleting a node at the beginning of a double linked list.

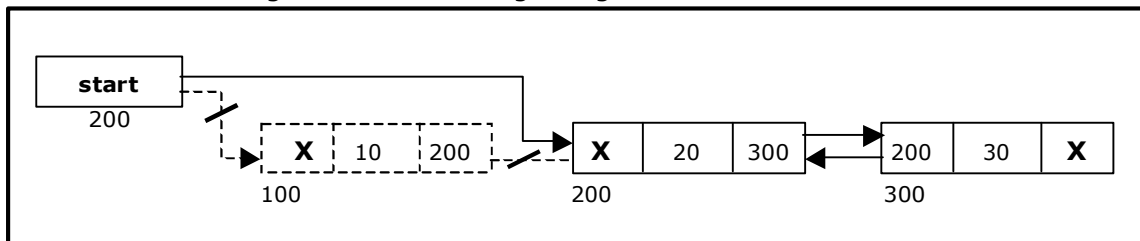


Figure 3.4.6. Deleting a node at beginning

### Deleting a node at the end:

The following steps are followed to delete a node at the end of the list:

- If list is empty then display 'Empty List' message
- If the list is not empty, follow the steps given below:

```
temp = start;  
while(temp -> right != NULL)  
{  
    temp = temp -> right;  
}  
temp -> left -> right = NULL;  
free(temp);
```

The function `dbl_delete_last()`, is used for deleting the last node in the list. Figure 3.4.7 shows deleting a node at the end of a double linked list.

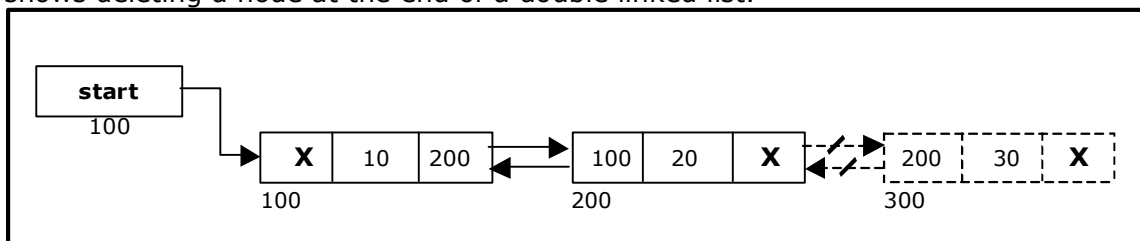


Figure 3.4.7. Deleting a node at the end

### Deleting a node at Intermediate position:

The following steps are followed, to delete a node from an intermediate position in the list (List must contain more than two nodes).

- If list is empty then display 'Empty List' message.
- If the list is not empty, follow the steps given below:
  - Get the position of the node to delete.
  - Ensure that the specified position is in between first node and last node. If not, specified position is invalid.
  - Then perform the following steps:

```
if(pos > 1 && pos < nodectr)
{
    temp = start;
    i = 1;
    while(i < pos)
    {
        temp = temp -> right;
        i++;
    }
    temp -> right -> left = temp -> left;
    temp -> left -> right = temp -> right;
    free(temp);
    printf("\n node deleted..");
}
```

The function `delete_at_mid()`, is used for deleting the intermediate node in the list. Figure 3.4.8 shows deleting a node at a specified intermediate position other than beginning and end from a double linked list.

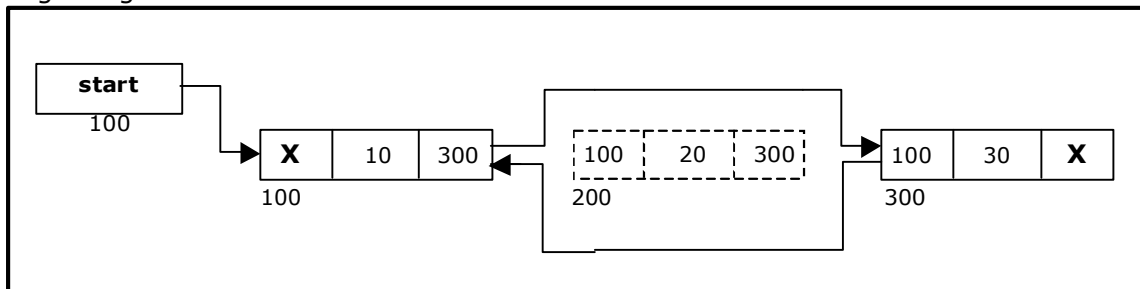


Figure 3.4.8 Deleting a node at an intermediate position

### Traversal and displaying a list (Left to Right):

To display the information, you have to traverse the list, node by node from the first node, until the end of the list is reached. The function `traverse_left_right()` is used for traversing and displaying the information stored in the list from left to right.

The following steps are followed, to traverse a list from left to right:

- If list is empty then display 'Empty List' message.
- If the list is not empty, follow the steps given below:

```

temp = start;
while(temp != NULL)
{
    print temp -> data;
    temp = temp -> right;
}

```

### **Traversal and displaying a list (Right to Left):**

To display the information from right to left, you have to traverse the list, node by node from the first node, until the end of the list is reached. The function *traverse\_right\_left()* is used for traversing and displaying the information stored in the list from right to left. The following steps are followed, to traverse a list from right to left:

- If list is empty then display 'Empty List' message.
- If the list is not empty, follow the steps given below:
 

```

temp = start;
while(temp -> right != NULL)
    temp = temp -> right;
while(temp != NULL)
{
    print temp -> data;
    temp = temp -> left;
}

```

### **Counting the Number of Nodes:**

The following code will count the number of nodes exist in the list (using recursion).

```

int countnode(node *start)
{
    if(start == NULL)
        return 0;
    else
        return(1 + countnode(start ->right ));
}

```

## LECTURE No.:8 *linked list representation of polynomial and applications*

### Comparison of Linked List Variations:

The major disadvantage of doubly linked lists (over singly linked lists) is that they require more space (every node has two pointer fields instead of one). Also, the code to manipulate doubly linked lists needs to maintain the prev fields as well as the next fields; the more fields that have to be maintained, the more chance there is for errors.

The major advantage of doubly linked lists is that they make some operations (like the removal of a given node, or a right-to-left traversal of the list) more efficient.

The major advantage of circular lists (over non-circular lists) is that they eliminate some extra-case code for some operations (like deleting last node). Also, some applications lead naturally to circular list representations. For example, a computer network might best be modeled using a circular list.

### Polynomials:

A polynomial is of the form:

$$\sum_{i=0}^n c_i x^i$$

Where,  $c_i$  is the coefficient of the  $i$ th term and  $n$  is the degree of the polynomial

Some examples are:

$$5x^2 + 3x + 1$$

$$12x^3 - 4x$$

$$5x^4 - 8x^3 + 2x^2 + 4x + 9x^0$$

It is not necessary to write terms of the polynomials in decreasing order of degree. In other words the two polynomials  $1 + x$  and  $x + 1$  are equivalent.

The computer implementation requires implementing polynomials as a list of pairs of coefficient and exponent. Each of these pairs will constitute a structure, so a polynomial will be represented as a list of structures. A linked list structure that represents polynomials  $5x^4 - 8x^3 + 2x^2 + 4x + 9x^0$  illustrates in figure 3.10.1.

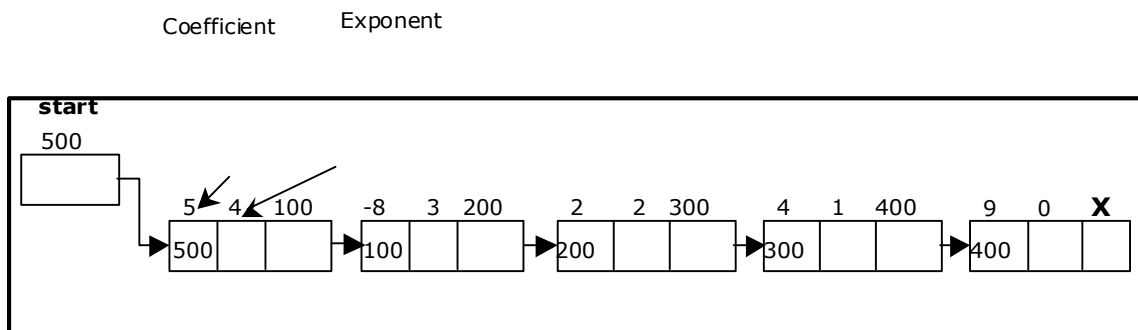


Figure 3.10.1. Single Linked List for the polynomial  $F(x) = 5x^4 - 8x^3 + 2x^2 + 4x + 9x^0$

## **MODULE No.:II**

### **LECTURE No.:9 *Stack (using array and link list)***

There are certain situations in computer science that one wants to restrict insertions and deletions so that they can take place only at the beginning or the end of the list, not in the middle. Two of such data structures that are useful are:

- Stack.
- Queue.

Linear lists and arrays allow one to insert and delete elements at any place in the list i.e., at the beginning, at the end or in the middle.

#### 4.1. STACK:

A stack is a list of elements in which an element may be inserted or deleted only at one end, called the top of the stack. Stacks are sometimes known as LIFO (last in, first out) lists.

As the items can be added or removed only from the top i.e. the last item to be added to a stack is the first item to be removed.

The two basic operations associated with stacks are:

- Push: is the term used to insert an element into a stack.
- Pop: is the term used to delete an element from a stack.

"Push" is the term used to insert an element into a stack. "Pop" is the term used to delete an element from the stack.

All insertions and deletions take place at the same end, so the last element added to the stack will be the first element removed from the stack. When a stack is created, the stack base remains fixed while the stack top changes as elements are added and removed. The most accessible element is the top and the least accessible element is the bottom of the stack.

##### 4.1.1. Representation of Stack:

Let us consider a stack with 6 elements capacity. This is called as the size of the stack. The number of elements to be added should not exceed the maximum size of the stack. If we attempt to add new element beyond the maximum size, we will encounter a stack overflow condition. Similarly, you cannot remove elements beyond the base of the stack. If such is the case, we will reach a stack underflow condition.

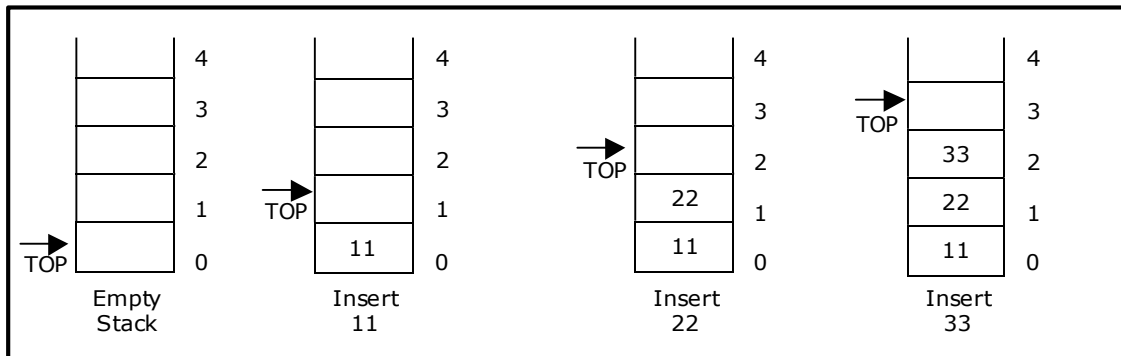


Figure 4.1. Push operations on stack

When an element is taken off from the stack, the operation is performed by pop(). Figure 4.2 shows a stack initially with three elements and shows the deletion of elements using pop().

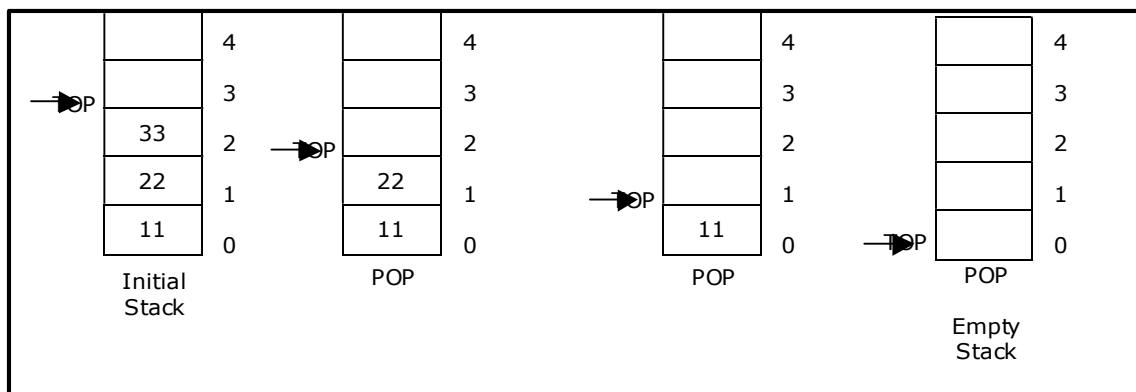


Figure 4.2. Pop operations on stack

### Linked List Implementation of Stack:

We can represent a stack as a linked list. In a stack push and pop operations are performed at one end called top. We can perform similar operations at one end of list using top pointer. The linked stack looks as shown in figure 4.3.

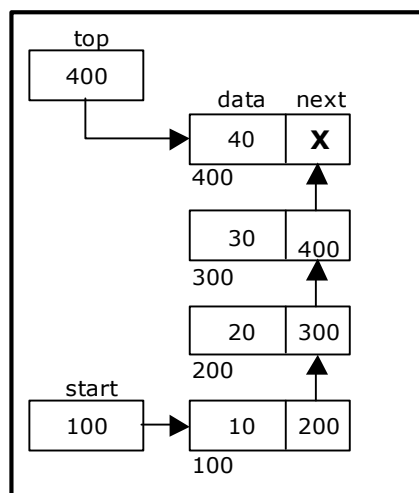




Figure 4.3. Linked stack representation

## *Stack and its implementations*

### **Algebraic Expressions:**

An algebraic expression is a legal combination of operators and operands. Operand is the quantity on which a mathematical operation is performed. Operand may be a variable like  $x, y, z$  or a constant like 5, 4, 6 etc. Operator is a symbol which signifies a mathematical or logical operation between the operands. Examples of familiar operators include  $+, -, *, /, ^$  etc.

An algebraic expression can be represented using three different notations. They are infix, postfix and prefix notations:

**Infix:** It is the form of an arithmetic expression in which we fix (place) the arithmetic operator in between the two operands.

Example:  $(A + B) * (C - D)$

**Prefix:** It is the form of an arithmetic notation in which we fix (place) the arithmetic operator before (pre) its two operands. The prefix notation is called as

polish notation (due to the polish mathematician Jan Lukasiewicz in the year 1920).

Example:  $* + A B - C D$

**Postfix:** It is the form of an arithmetic expression in which we fix (place) the arithmetic operator after (post) its two operands. The postfix notation is called as suffix notation and is also referred to reverse polish notation.

Example:  $A B + C D - *$

The three important features of postfix expression are:

1. The operands maintain the same order as in the equivalent infix expression.
2. The parentheses are not needed to designate the expression unambiguously.
3. While evaluating the postfix expression the priority of the operators is no longer relevant.

We consider five binary operations:  $+, -, *, /$  and  $\$$  or  $\uparrow$  (exponentiation). For these binary operations, the following in the order of precedence (highest to lowest):

| OPERATOR                      | PRECEDENCE   | VALUE |
|-------------------------------|--------------|-------|
| Exponentiation (\$ or ↑ or ^) | Highest      | 3     |
| *, /                          | Next highest | 2     |
| +, -                          | Lowest       | 1     |

### 4.3. Converting expressions using Stack:

Let us convert the expressions from one type to another. These can be done as follows:

1. Infix to postfix
2. Infix to prefix
3. Postfix to infix
4. Postfix to prefix
5. Prefix to infix
6. Prefix to postfix

#### 4.3.1. Conversion from infix to postfix:

Procedure to convert from infix expression to postfix expression is as follows:

1. Scan the infix expression from left to right.
  2. a) If the scanned symbol is left parenthesis, push it onto the stack.
  - b) If the scanned symbol is an operand, then place directly in the postfix expression (output).
  - c) If the symbol scanned is a right parenthesis, then go on popping all the items from the stack and place them in the postfix expression till we get the matching left parenthesis.
  - d) If the scanned symbol is an operator, then go on removing all the operators from the stack and place them in the postfix expression, if and only if the precedence of the operator which is on the top of the stack is greater than (or greater than or equal) to the precedence of the scanned operator and push the scanned operator onto the stack otherwise, push the scanned operator onto the stack.

**Example 1:**

Convert  $((A - (B + C)) * D) \uparrow (E + F)$  infix expression to postfix form:

| SYMBOL        | POSTFIX STRING        | STACK                                                                            | REMARKS |
|---------------|-----------------------|----------------------------------------------------------------------------------|---------|
| (             |                       | (                                                                                |         |
| (             |                       | ((                                                                               |         |
| A             | A                     | ((                                                                               |         |
| -             | A                     | (( -                                                                             |         |
| (             | A                     | (( - (                                                                           |         |
| B             | A B                   | (( - (                                                                           |         |
| +             | A B                   | (( - ( +                                                                         |         |
| C             | A B C                 | (( - ( +                                                                         |         |
| )             | A B C +               | (( -                                                                             |         |
| )             | A B C + -             | (                                                                                |         |
| *             | A B C + -             | ( *                                                                              |         |
| D             | A B C + - D           | ( *                                                                              |         |
| )             | A B C + - D *         |                                                                                  |         |
| ↑             | A B C + - D *         | ↑                                                                                |         |
| (             | A B C + - D *         | ↑ (                                                                              |         |
| E             | A B C + - D * E       | ↑ (                                                                              |         |
| +             | A B C + - D * E       | ↑ ( +                                                                            |         |
| F             | A B C + - D * E F     | ↑ ( +                                                                            |         |
| )             | A B C + - D * E F +   | ↑                                                                                |         |
| End of string | A B C + - D * E F + ↑ | The input is now empty. Pop the output symbols from the stack until it is empty. |         |

**Example 2:**

Convert  $a + b * c + (d * e + f) * g$  the infix expression into postfix form.

| SYMBOL | POSTFIX STRING | STACK | REMARKS |
|--------|----------------|-------|---------|
| a      | a              |       |         |
| +      | a              | +     |         |
| b      | a b            | +     |         |

|               |                           |                                                                                  |  |
|---------------|---------------------------|----------------------------------------------------------------------------------|--|
| *             | a b                       | + *                                                                              |  |
| c             | a b c                     | + *                                                                              |  |
| +             | a b c * +                 | +                                                                                |  |
| (             | a b c * +                 | + (                                                                              |  |
| d             | a b c * + d               | + (                                                                              |  |
| *             | a b c * + d               | + ( *                                                                            |  |
| e             | a b c * + d e             | + ( *                                                                            |  |
| +             | a b c * + d e *           | + ( +                                                                            |  |
| f             | a b c * + d e * f         | + ( +                                                                            |  |
| )             | a b c * + d e * f +       | +                                                                                |  |
| *             | a b c * + d e * f +       | + *                                                                              |  |
| g             | a b c * + d e * f + g     | + *                                                                              |  |
| End of string | a b c * + d e * f + g * + | The input is now empty. Pop the output symbols from the stack until it is empty. |  |

### Example 3:

Convert the following infix expression  $A + B * C - D / E * H$  into its equivalent postfix expression.

| SYMBOL        | POSTFIX STRING        | STACK                                                                            | REMARKS |
|---------------|-----------------------|----------------------------------------------------------------------------------|---------|
| A             | A                     |                                                                                  |         |
| +             | A                     | +                                                                                |         |
| B             | A B                   | +                                                                                |         |
| *             | A B                   | + *                                                                              |         |
| C             | A B C                 | + *                                                                              |         |
| -             | A B C * +             | -                                                                                |         |
| D             | A B C * + D           | -                                                                                |         |
| /             | A B C * + D           | - /                                                                              |         |
| E             | A B C * + D E         | - /                                                                              |         |
| *             | A B C * + D E /       | - *                                                                              |         |
| H             | A B C * + D E / H     | - *                                                                              |         |
| End of string | A B C * + D E / H * - | The input is now empty. Pop the output symbols from the stack until it is empty. |         |

### Example 4:

Convert the following infix expression  $A + (B * C - (D / E + F) * G) * H$  into its equivalent postfix expression.

| SYMBOL | POSTFIX STRING | STACK | REMARKS |
|--------|----------------|-------|---------|
| A      | A              |       |         |
| +      | A              | +     |         |
| (      | A              | + (   |         |

|               |                               |                                                                                  |  |
|---------------|-------------------------------|----------------------------------------------------------------------------------|--|
| B             | A B                           | + (                                                                              |  |
| *             | A B                           | + ( *                                                                            |  |
| C             | A B C                         | + ( *                                                                            |  |
| -             | A B C *                       | + ( -                                                                            |  |
| (             | A B C *                       | + ( - (                                                                          |  |
| D             | A B C * D                     | + ( - (                                                                          |  |
| /             | A B C * D                     | + ( - ( /                                                                        |  |
| E             | A B C * D E                   | + ( - ( /                                                                        |  |
| ↑             | A B C * D E                   | + ( - ( / ↑                                                                      |  |
| F             | A B C * D E F                 | + ( - ( / ↑                                                                      |  |
| )             | A B C * D E F ↑ /             | + ( -                                                                            |  |
| *             | A B C * D E F ↑ /             | + ( - *                                                                          |  |
| G             | A B C * D E F ↑ / G           | + ( - *                                                                          |  |
| )             | A B C * D E F ↑ / G * -       | +                                                                                |  |
| *             | A B C * D E F ↑ / G * -       | + *                                                                              |  |
| H             | A B C * D E F ↑ / G * - H     | + *                                                                              |  |
| End of string | A B C * D E F ↑ / G * - H * + | The input is now empty. Pop the output symbols from the stack until it is empty. |  |

### Conversion from infix to prefix:

The precedence rules for converting an expression from infix to prefix are identical. The only change from postfix conversion is that traverse the expression from right to left and the operator is placed before the operands rather than after them. The prefix form of a complex expression is not the mirror image of the postfix form.

#### Example 1:

Convert the infix expression  $A + B - C$  into prefix expression.

| SYMBOL        | PREFIX STRING | STACK                                                                            | REMARKS |
|---------------|---------------|----------------------------------------------------------------------------------|---------|
| C             | C             |                                                                                  |         |
| -             | C             | -                                                                                |         |
| B             | B C           | -                                                                                |         |
| +             | B C           | - +                                                                              |         |
| A             | A B C         | - +                                                                              |         |
| End of string | - + A B C     | The input is now empty. Pop the output symbols from the stack until it is empty. |         |

### Example 2:

Convert the infix expression  $(A + B) * (C - D)$  into prefix expression.

| SYMBOL        | PREFIX STRING | STACK                                                                            | REMARKS |
|---------------|---------------|----------------------------------------------------------------------------------|---------|
| )             |               | )                                                                                |         |
| D             | D             | )                                                                                |         |
| -             | D             | ) -                                                                              |         |
| C             | C D           | ) -                                                                              |         |
| (             | - C D         |                                                                                  |         |
| *             | - C D         | *                                                                                |         |
| )             | - C D         | * )                                                                              |         |
| B             | B - C D       | * )                                                                              |         |
| +             | B - C D       | * ) +                                                                            |         |
| A             | A B - C D     | * ) +                                                                            |         |
| (             | + A B - C D   | *                                                                                |         |
| End of string | * + A B - C D | The input is now empty. Pop the output symbols from the stack until it is empty. |         |

### Example 3:

Convert the infix expression  $A \uparrow B * C - D + E / F / (G + H)$  into prefix expression.

| SYMBOL | PREFIX STRING        | STACK   | REMARKS |
|--------|----------------------|---------|---------|
| )      |                      | )       |         |
| H      | H                    | )       |         |
| +      | H                    | ) +     |         |
| G      | G H                  | ) +     |         |
| (      | + G H                |         |         |
| /      | + G H                | /       |         |
| F      | F + G H              | /       |         |
| /      | F + G H              | //      |         |
| E      | E F + G H            | //      |         |
| +      | // E F + G H         | +       |         |
| D      | D // E F + G H       | +       |         |
| -      | D // E F + G H       | + -     |         |
| C      | C D // E F + G H     | + -     |         |
| *      | C D // E F + G H     | + - *   |         |
| B      | B C D // E F + G H   | + - *   |         |
| ↑      | B C D // E F + G H   | + - * ↑ |         |
| A      | A B C D // E F + G H | + - * ↑ |         |

|               |                              |                                                                                  |
|---------------|------------------------------|----------------------------------------------------------------------------------|
| End of string | + - * ↑ A B C D // E F + G H | The input is now empty. Pop the output symbols from the stack until it is empty. |
|---------------|------------------------------|----------------------------------------------------------------------------------|

### Evaluation of postfix expression:

The postfix expression is evaluated easily by the use of a stack. When a number is seen, it is pushed onto the stack; when an operator is seen, the operator is applied to the two numbers that are popped from the stack and the result is pushed onto the stack. When an expression is given in postfix notation, there is no need to know any precedence rules; this is our obvious advantage.

Example 1:

Evaluate the postfix expression: 6 5 2 3 + 8 \* + 3 + \*

| SYMBOL | OPERAND 1 | OPERAND 2 | VALUE | STACK      | REMARKS                                                                             |
|--------|-----------|-----------|-------|------------|-------------------------------------------------------------------------------------|
| 6      |           |           |       | 6          |                                                                                     |
| 5      |           |           |       | 6, 5       |                                                                                     |
| 2      |           |           |       | 6, 5, 2    |                                                                                     |
| 3      |           |           |       | 6, 5, 2, 3 | The first four symbols are placed on the stack.                                     |
| +      | 2         | 3         | 5     | 6, 5, 5    | Next a '+' is read, so 3 and 2 are popped from the stack and their sum 5, is pushed |
| 8      | 2         | 3         | 5     | 6, 5, 5, 8 | Next 8 is pushed                                                                    |
| *      | 5         | 8         | 40    | 6, 5, 40   | Now a '*' is seen, so 8 and 5 are popped as $8 * 5 = 40$ is pushed                  |
| +      | 5         | 40        | 45    | 6, 45      | Next, a '+' is seen, so 40 and 5 are popped and $40 + 5 = 45$ is pushed             |
| 3      | 5         | 40        | 45    | 6, 45, 3   | Now, 3 is pushed                                                                    |
| +      | 45        | 3         | 48    | 6, 48      | Next, '+' pops 3 and 45 and pushes $45 + 3 = 48$ is pushed                          |
| *      | 6         | 48        | 288   | 288        | Finally, a '*' is seen and 48 and 6 are popped, the result $6 * 48 = 288$ is pushed |

### Example 2:

Evaluate the following postfix expression: 6 2 3 + - 3 8 2 / + \* 2 ↑ 3 +

| SYMBOL | OPERAND 1 | OPERAND 2 | VALUE | STACK   |
|--------|-----------|-----------|-------|---------|
| 6      |           |           |       | 6       |
| 2      |           |           |       | 6, 2    |
| 3      |           |           |       | 6, 2, 3 |
| +      | 2         | 3         | 5     | 6, 5    |
| -      | 6         | 5         | 1     | 1       |
| 3      | 6         | 5         | 1     | 1, 3    |
| 8      | 6         | 5         | 1     | 1, 3, 8 |

|   |    |   |    |            |
|---|----|---|----|------------|
| 2 | 6  | 5 | 1  | 1, 3, 8, 2 |
| / | 8  | 2 | 4  | 1, 3, 4    |
| + | 3  | 4 | 7  | 1, 7       |
| * | 1  | 7 | 7  | 7          |
| 2 | 1  | 7 | 7  | 7, 2       |
| ↑ | 7  | 2 | 49 | 49         |
| 3 | 7  | 2 | 49 | 49, 3      |
| + | 49 | 3 | 52 | 52         |

### Applications of stacks:

1. Stack is used by compilers to check for balancing of parentheses, brackets and braces.
2. Stack is used to evaluate a postfix expression.
3. Stack is used to convert an infix expression into postfix/prefix form.
4. In recursion, all intermediate arguments and return values are stored on the processor's stack.
5. During a function call the return address and arguments are pushed onto a stack and on return they are popped off.

### LECTURE No.:10 Queue, circular queue, dequeue

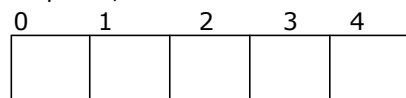
A queue is another special kind of list, where items are inserted at one end called the rear and deleted at the other end called the front. Another name for a queue is a "FIFO" or "First-in-first-out" list.

The operations for a queue are analogues to those for a stack, the difference is that the insertions go at the end of the list, rather than the beginning. We shall use the following operations on queues:

- enqueue: which inserts an element at the end of the queue.
- dequeue: which deletes an element at the start of the queue.

### Representation of Queue:

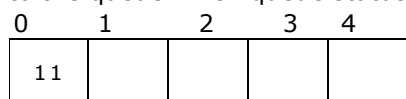
Let us consider a queue, which can hold maximum of five elements. Initially the queue is empty.



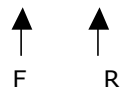
Q u e u e E m p t y  
F R O N T = R E A R = 0



Now, insert 11 to the queue. Then queue status will be:

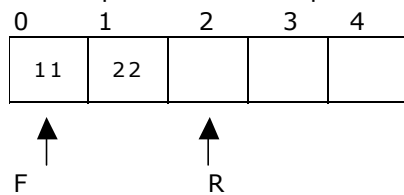


R E A R = R E A R + 1 = 1  
F R O N T = 0





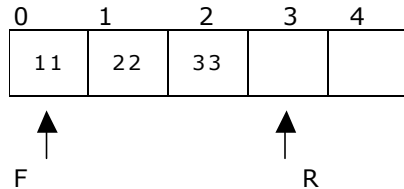
Next, insert 22 to the queue. Then the queue status is:



$$\text{REAR} = \text{REAR} + 1 = 2$$

$$\text{FRONT} = 0$$

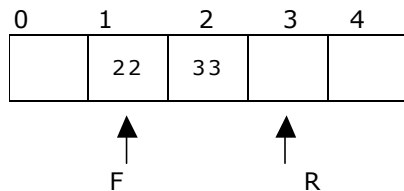
Again insert another element 33 to the queue. The status of the queue is:



$$\text{REAR} = \text{REAR} + 1 = 3$$

$$\text{FRONT} = 0$$

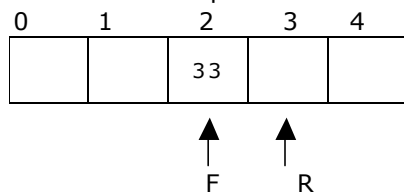
Now, delete an element. The element deleted is the element at the front of the queue. So the status of the queue is:



$$\text{REAR} = 3$$

$$\text{FRONT} = \text{FRONT} + 1 = 1$$

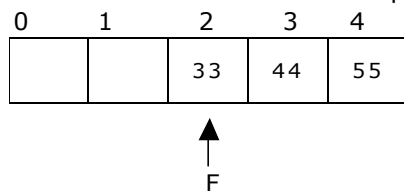
Again, delete an element. The element to be deleted is always pointed to by the FRONT pointer. So, 22 is deleted. The queue status is as follows:



$$\text{REAR} = 3$$

$$\text{FRONT} = \text{FRONT} + 1 = 2$$

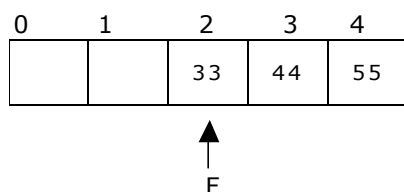
Now, insert new elements 44 and 55 into the queue. The queue status is:



$$\text{REAR} = 5$$

$$\text{FRONT} = 2$$

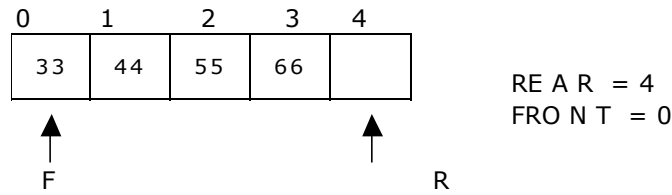
Next insert another element, say 66 to the queue. We cannot insert 66 to the queue as the rear crossed the maximum size of the queue (i.e., 5). There will be queue full signal. The queue status is as follows:



$$\text{REAR} = 5$$

$$\text{FRONT} = 2$$

Now it is not possible to insert an element 66 even though there are two vacant positions in the linear queue. To overcome this problem the elements of the queue are to be shifted towards the beginning of the queue so that it creates vacant position at the rear end. Then the FRONT and REAR are to be adjusted properly. The element 66 can be inserted at the rear end. After this operation, the queue status is as follows:



This difficulty can overcome if we treat queue position with index 0 as a position that comes after position with index 4 i.e., we treat the queue as a circular queue.

## Linked List Implementation of Queue:

We can represent a queue as a linked list. In a queue data is deleted from the front end and inserted at the rear end. We can perform similar operations on the two ends of a list. We use two pointers front and rear for our linked queue implementation.

The linked queue looks as shown in figure 4.4:

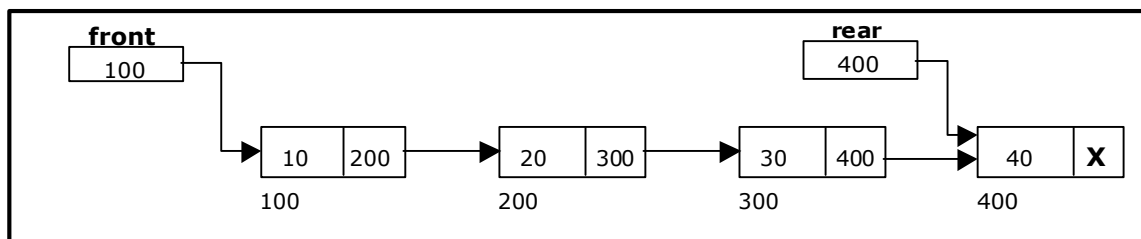


Figure 4.4. Linked Queue

## Applications of Queue:

1. It is used to schedule the jobs to be processed by the CPU.
2. When multiple users send print jobs to a printer, each printing job is kept in the printing queue. Then the printer prints those jobs according to first in first out (FIFO) basis.
3. Breadth first search uses a queue data structure to find an element from a graph.

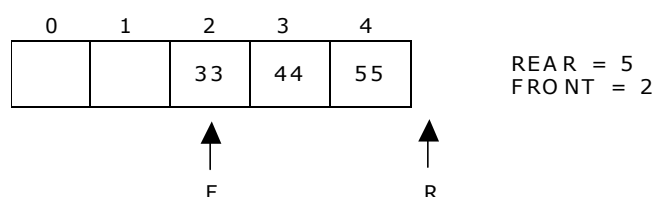
## Circular Queue:

A more efficient queue representation is obtained by regarding the array  $Q[\text{MAX}]$  as circular. Any number of items could be placed on the queue. This implementation of a queue is called a circular queue because it uses its storage array as if it were a circle instead of a linear list.

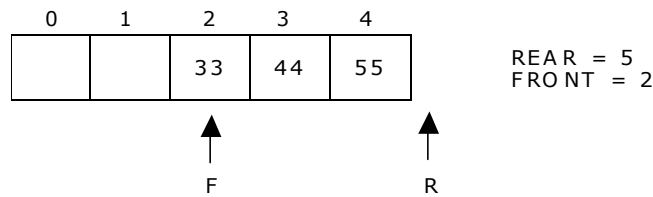
There are two problems associated with linear queue. They are:

- Time consuming: linear time to be spent in shifting the elements to the beginning of the queue.
- Signaling queue full: even if the queue is having vacant position. For example, let us consider

a linear queue status as follows:



Next insert another element, say 66 to the queue. We cannot insert 66 to the queue as the rear crossed the maximum size of the queue (i.e., 5). There will be queue full signal. The queue status is as follows:

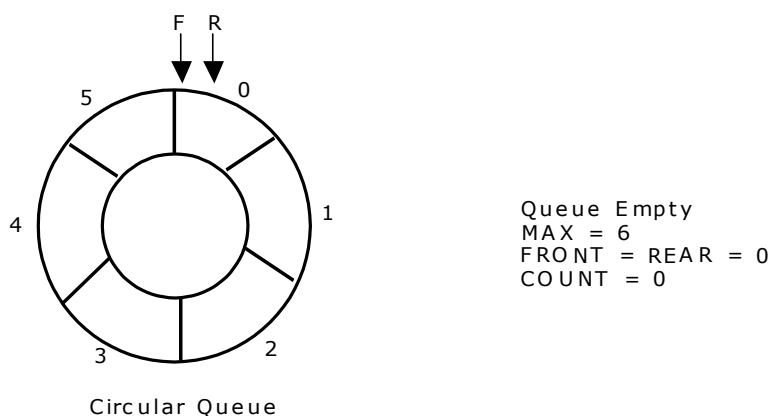


This difficulty can be overcome if we treat queue position with index zero as a position that comes after position with index four then we treat the queue as a circular queue.

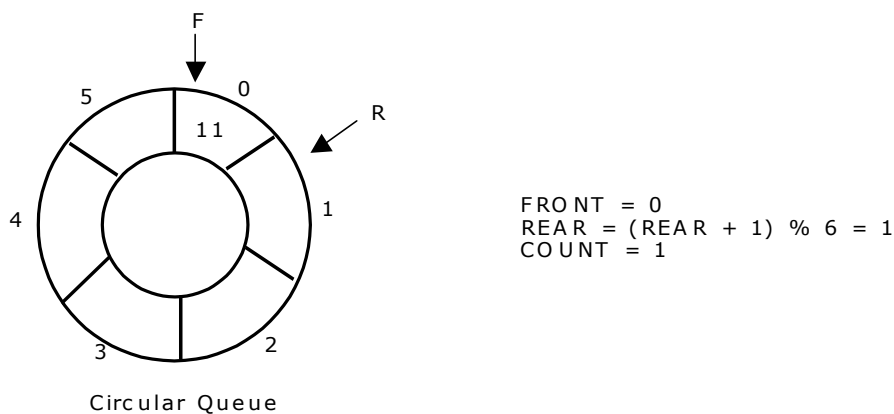
In circular queue if we reach the end for inserting elements to it, it is possible to insert new elements if the slots at the beginning of the circular queue are empty.

## Representation of Circular Queue:

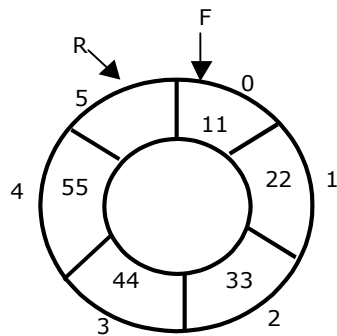
Let us consider a circular queue, which can hold maximum (MAX) of six elements. Initially the queue is empty.



Now, insert 11 to the circular queue. Then circular queue status will be:



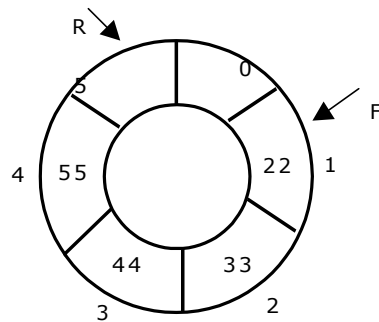
Insert new elements 22, 33, 44 and 55 into the circular queue. The circular queue status is:



Circular Queue

FRONT = 0  
 REAR = (REAR + 1) % 6 = 5  
 COUNT = 5

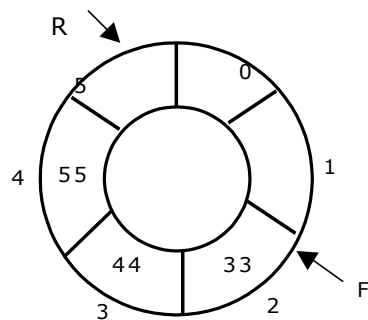
Now, delete an element. The element deleted is the element at the front of the circular queue. So, 11 is deleted. The circular queue status is as follows:



Circular Queue

FRONT = (FRONT + 1) % 6 = 1  
 REAR = 5  
 COUNT = COUNT - 1 = 4

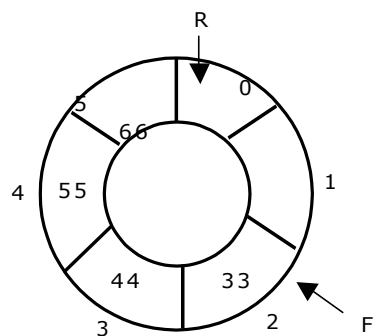
Again, delete an element. The element to be deleted is always pointed to by the FRONT pointer. So, 22 is deleted. The circular queue status is as follows:



Circular Queue

FRONT = (FRONT + 1) % 6 = 2  
 REAR = 5  
 COUNT = COUNT - 1 = 3

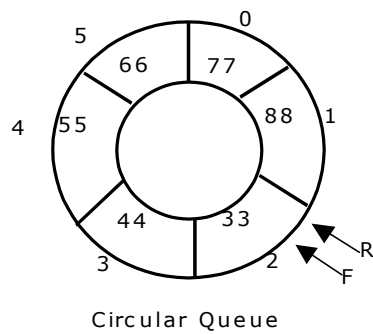
Again, insert another element 66 to the circular queue. The status of the circular queue is:



Circular Queue

FRONT = 2  
 REAR = (REAR + 1) % 6 = 0  
 COUNT = COUNT + 1 = 4

Now, insert new elements 77 and 88 into the circular queue. The circular queue status is:



FRONT = 2, REAR = 2  
 REAR = REAR % 6 = 2  
 COUNT = 6

Now, if we insert an element to the circular queue, as COUNT = MAX we cannot add the element to circular queue. So, the circular queue is full.

## ***D- Queue***

### **Deque:**

In the preceding section we saw that a queue in which we insert items at one end and from which we remove items at the other end. In this section we examine an extension of the queue, which provides a means to insert and remove items at both ends of the queue. This data structure is a deque. The word deque is an acronym derived from double-ended queue. Figure 4.5 shows the representation of a deque.

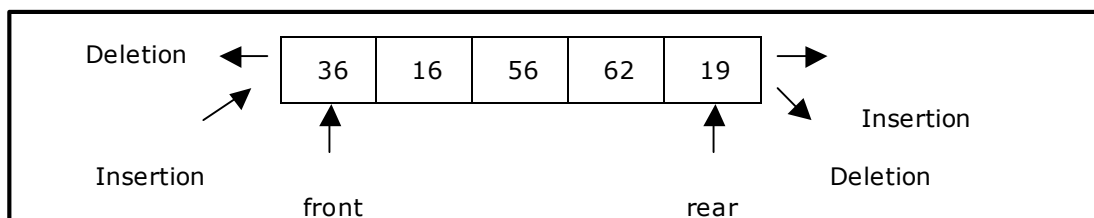


Figure 4.5. Representation of a deque.

A deque provides four operations. Figure 4.6 shows the basic operations on a deque.

- enqueue\_front: insert an element at front.
- dequeue\_front: delete an element at front.
- enqueue\_rear: insert element at rear.
- dequeue\_rear: delete element at rear.

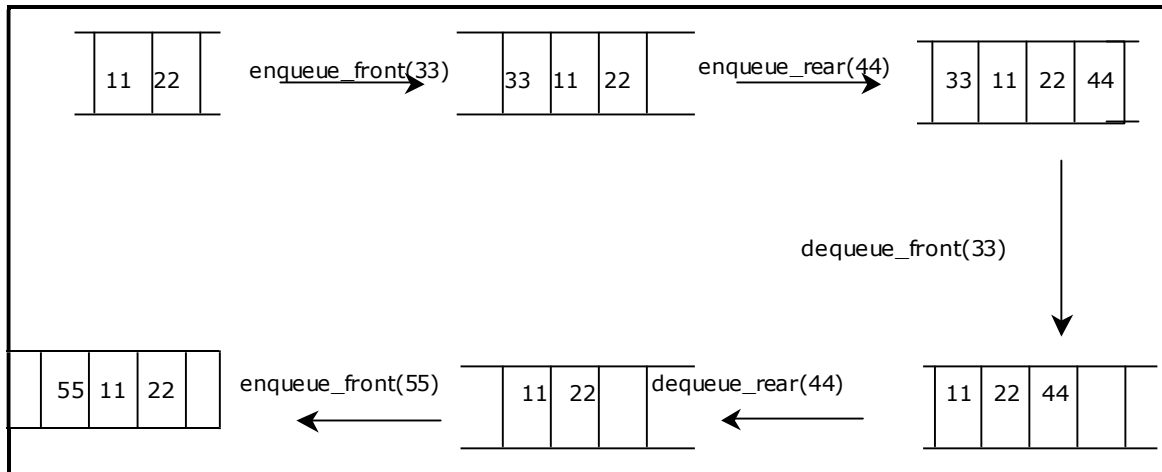


Figure 4.6. Basic operations on deque

There are two variations of deque. They are:

- Input restricted deque (IRD)
- Output restricted deque (ORD)

An Input restricted deque is a deque, which allows insertions at one end but allows deletions at both ends of the list.

An output restricted deque is a deque, which allows deletions at one end but allows insertions at both ends of the list.

#### 4.10. Priority Queue:

A priority queue is a collection of elements such that each element has been assigned a priority and such that the order in which elements are deleted and processed comes from the following rules:

1. An element of higher priority is processed before any element of lower priority.
2. two elements with same priority are processed according to the order in which they were added to the queue.

A prototype of a priority queue is time sharing system: programs of high priority are processed first, and programs with the same priority form a standard queue. An efficient implementation for the Priority Queue is to use heap, which in turn can be used for sorting purpose called heap sort.

## LECTURE No.:11 *Priority Queue*

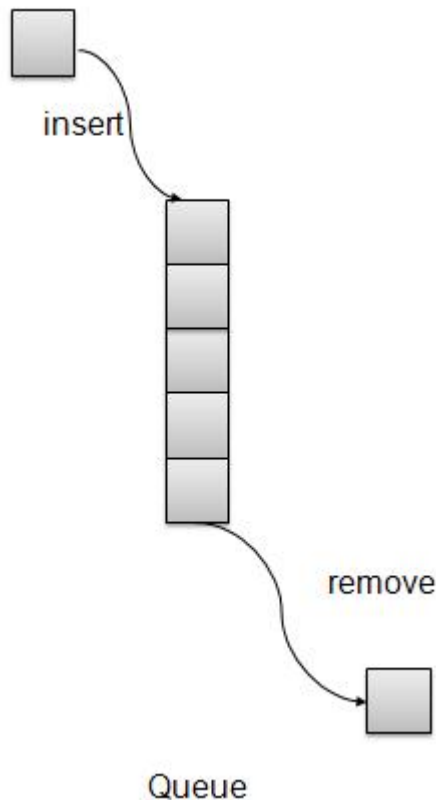
### Overview

Priority Queue is more specialized data structure than Queue. Like ordinary queue, priority queue has same method but with a major difference. In Priority queue items are ordered by key value so that item with the lowest value of key is at front and item with the highest value of key is at rear or vice versa. So we're assigned priority to item based on its key value. Lower the value, higher the priority. Following are the principal methods of a Priority Queue.

### Basic Operations

- **insert / enqueue** – add an item to the rear of the queue.
- **remove / dequeue** – remove an item from the front of the queue.

### Priority Queue Representation

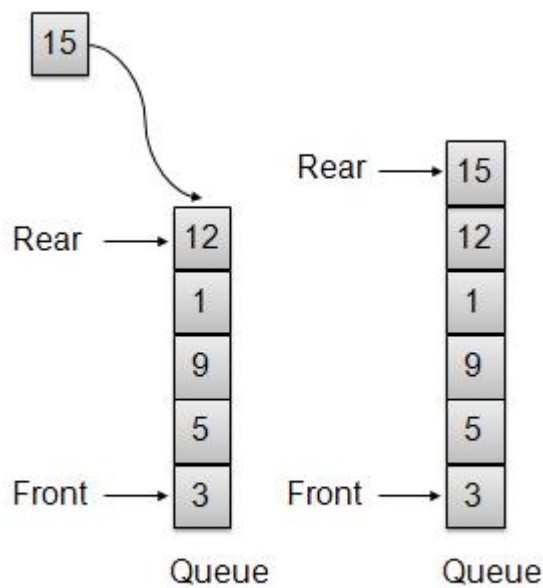


We're going to implement Queue using array in this article. There is few more operations supported by queue which are following.

- **Peek** – get the element at front of the queue.
- **isFull** – check if queue is full.
- **isEmpty** – check if queue is empty.

### Insert / Enqueue Operation

Whenever an element is inserted into queue, priority queue inserts the item according to its order. Here we're assuming that data with high value has low priority.



One item inserted at rear end

## **LECTURE No.:12 Principles of recursion – use of stack, differences between recursion and iteration, tail recursion**

Recursion is deceptively simple in statement but exceptionally complicated in implementation. Recursive procedures work fine in many problems. Many programmers prefer recursion through simpler alternatives are available. It is because recursion is elegant to use through it is costly in terms of time and space. But using it is one thing and getting involved with it is another.

In this unit we will look at "recursion" as a programmer who not only loves it but also wants to understand it! With a bit of involvement it is going to be an interesting reading for you.

### **2.1. Introduction to Recursion:**

A function is recursive if a statement in the body of the function calls itself. Recursion is the process of defining something in terms of itself. For a computer language to be recursive, a function must be able to call itself.

For example, let us consider the function `factr()` shown below, which computes the factorial of an integer.

```
#include <stdio.h>
int factorial (int);
main()
{
    int num, fact;
```



```

        printf ("Enter a positive integer value: ");
        scanf ("%d", &num);
        fact = factorial (num);
        printf ("\n Factorial of %d =%5d\n", num, fact);
    }

    int factorial (int n)
    {
        int result;
        if (n == 0)
            return (1);
        else
            result = n * factorial (n-1);

        return (result);
    }

```

A non-recursive or iterative version for finding the factorial is as follows:

```

factorial (int n)
{
    int i, result = 1;
    if (n == 0)
        return (result);
    else
    {
        for (i=1; i<=n; i++)
            result = result * i;
    }
    return (result);
}

```

The operation of the non-recursive version is clear as it uses a loop starting at 1 and ending at the target value and progressively multiplies each number by the moving product.

When a function calls itself, new local variables and parameters are allocated storage on the stack and the function code is executed with these new variables from the start. A recursive call does not make a new copy of the function. Only the arguments and variables are new. As each recursive call returns, the old local variables and parameters are removed from the stack and execution resumes at the point of the function call inside the function.

When writing recursive functions, you must have a exit condition somewhere to force the function to return without the recursive call being executed. If you do not have an exit condition, the recursive function will recurse forever until you run out of stack space and indicate error about lack of memory, or stack overflow.

## 2.2. Differences between recursion and iteration:

- Both involve repetition.
- Both involve a termination test.
- Both can occur infinitely.

| Iteration                                         | Recursion                                                      |
|---------------------------------------------------|----------------------------------------------------------------|
| Iteration explicitly user a repetition structure. | Recursion achieves repetition through repeated function calls. |
| Iteration terminates when the loop continuation.  | Recursion terminates when a base case is recognized.           |

|                                                                                    |                                                                                                   |
|------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|
| Iteration keeps modifying the counter until the loop continuation condition fails. | Recursion keeps producing simple versions of the original problem until the base case is reached. |
| Iteration normally occurs within a loop so the extra memory assigned is omitted.   | Recursion causes another copy of the function and hence a considerable memory space's occupied.   |
| It reduces the processor's operating time.                                         | It increases the processor's operating time.                                                      |

### 2.3. Factorial of a given number:

The operation of recursive factorial function is as follows:

Start out with some natural number N (in our example, 5). The recursive definition is:

$n = 0, 0! = 1$                       Base Case  
 $n > 0, n! = n * (n - 1)!$       Recursive Case

Recursion Factorials:

|                             |                                           |
|-----------------------------|-------------------------------------------|
| $5! = 5 * 4! = 5 * \quad =$ | $\text{factr}(5) = 5 * \text{factr}(4) =$ |
| $4! = 4 * 3! = 4 * \quad =$ | $\text{factr}(4) = 4 * \text{factr}(3) =$ |
| $3! = 3 * 2! = 3 * \quad =$ | $\text{factr}(3) = 3 * \text{factr}(2) =$ |
| $2! = 2 * 1! = 2 * \quad =$ | $\text{factr}(2) = 2 * \text{factr}(1) =$ |
| $1! = 1 * 0! = 1 * \quad =$ | $\text{factr}(1) = 1 * \text{factr}(0) =$ |

$$0! = 1 \qquad \text{factr}(0) =$$

$$5! = 5*4! = 5*4*3! = 5*4*3*2! = 5*4*3*2*1! = 5*4*3*2*1*0! = 5*4*3*2*1*1 = 120$$

We define  $0!$  to equal 1, and we define factorial  $N$  (where  $N > 0$ ), to be  $N * \text{factorial}(N-1)$ . All recursive functions must have an exit condition, that is a state when it does not recurse upon itself. Our exit condition in this example is when  $N = 0$ .

Tracing of the flow of the factorial () function:

When the factorial function is first called with, say,  $N = 5$ , here is what happens:

FUNCTION:

Does  $N = 0$ ? No

Function Return Value =  $5 * \text{factorial}(4)$

At this time, the function factorial is called again, with  $N = 4$ .

FUNCTION:

Does  $N = 0$ ? No

Function Return Value =  $4 * \text{factorial}(3)$

At this time, the function factorial is called again, with  $N = 3$ .

FUNCTION:

Does  $N = 0$ ? No

Function Return Value =  $3 * \text{factorial}(2)$

At this time, the function factorial is called again, with  $N = 2$ .

FUNCTION:

Does  $N = 0$ ? No

Function Return Value =  $2 * \text{factorial}(1)$

At this time, the function factorial is called again, with  $N = 1$ .

FUNCTION:

Does  $N = 0$ ? No

Function Return Value =  $1 * \text{factorial}(0)$

At this time, the function factorial is called again, with  $N = 0$ .

FUNCTION:

Does  $N = 0$ ? Yes

Function Return Value = 1

Now, we have to trace our way back up! See, the factorial function was called six times. At any function level call, all function level calls above still exist! So, when we have  $N = 2$ , the function instances where  $N = 3, 4$ , and  $5$  are still waiting for their return values.

So, the function call where  $N = 1$  gets retraced first, once the final call returns 0. So, the function call where  $N = 1$  returns  $1*1$ , or 1. The next higher function call, where  $N = 2$ , returns  $2 * 1$  (1, because that's what the function call where  $N = 1$  returned). You just keep working up the chain.

When  $N = 2$ ,  $2 * 1$ , or 2 was returned. When  $N = 3$ ,  $3 * 2$ , or 6 was returned. When  $N = 4$ ,  $4 * 6$ , or 24 was returned. When  $N = 5$ ,  $5 * 24$ , or 120 was returned.

And since  $N = 5$  was the first function call (hence the last one to be recalled), the value 120 is returned.

## **LECTURE No.:13 Recursion Applications - The Tower of Hanoi, Eight Queens Puzzle**

### **2.4. The Towers of Hanoi:**

In the game of Towers of Hanoi, there are three towers labeled 1, 2, and 3. The game starts with  $n$  disks on tower A. For simplicity, let  $n$  is 3. The disks are numbered from 1 to 3, and without loss of generality we may assume that the diameter of each disk is the same as its number. That is, disk 1 has diameter 1 (in some unit of measure), disk 2 has diameter 2, and disk 3 has diameter 3. All three disks start on tower A in the order 1, 2, 3. The objective of the game is to move all the disks in tower 1 to entire tower 3 using tower 2. That is, at no time can a larger disk be placed on a smaller disk.

Figure 3.11.1, illustrates the initial setup of towers of Hanoi. The figure 3.11.2, illustrates the final setup of towers of Hanoi.

The rules to be followed in moving the disks from tower 1 tower 3 using tower 2 are as follows:

- Only one disk can be moved at a time.
- Only the top disc on any tower can be moved to any other tower.
- A larger disk cannot be placed on a smaller disk.

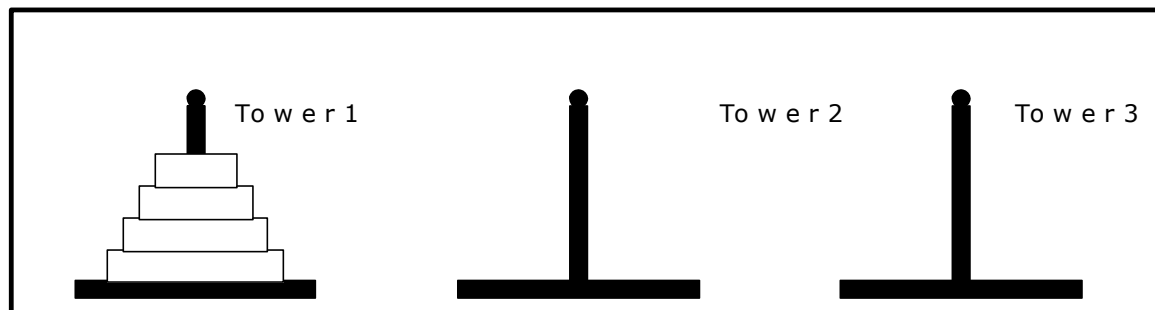


Fig. 3. 1 1. 1. Initial setup of Towers of Hanoi

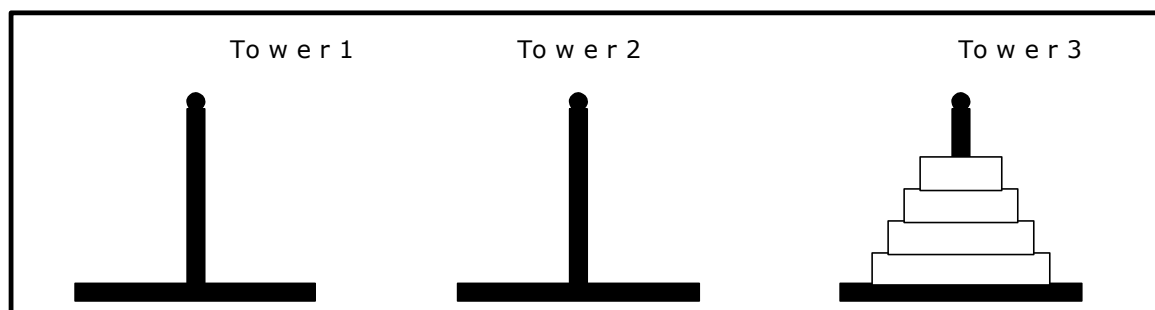


Fig 3. 1 1. 2. Final setup of Towers of Hanoi

The towers of Hanoi problem can be easily implemented using recursion. To move the largest disk to the bottom of tower 3, we move the remaining  $n - 1$  disks to tower 2 and then move the largest disk to tower 3. Now we have the remaining  $n - 1$  disks to be moved to tower 3. This can be achieved by using the remaining two towers. We can also use tower 3 to place any disk on it,

since the disk placed on tower 3 is the largest disk and continue the same operation to place the entire disks in tower 3 in order.

The program that uses recursion to produce a list of moves that shows how to accomplish the task of transferring the n disks from tower 1 to tower 3 is as follows:

```
#include <stdio.h>
#include <conio.h>

void towers_of_hanoi (int n, char *a, char *b, char *c);

int cnt=0;

int main (void)
{
    int n;
    printf("Enter number of discs: ");
    scanf("%d",&n);
    towers_of_hanoi (n, "Tower 1", "Tower 2", "Tower 3");
    getch();
}

void towers_of_hanoi (int n, char *a, char *b, char *c)
{
    if (n == 1)
    {
        ++cnt;
        printf ("\n%5d: Move disk 1 from %s to %s", cnt, a, c);
        return;
    }
    else
    {
        towers_of_hanoi (n-1, a, c, b);
        ++cnt;
        printf ("\n%5d: Move disk %d from %s to %s", cnt, n, a, c);
        towers_of_hanoi (n-1, b, a, c);
        return;
    }
}
```

Output of the program:

RUN 1:

Enter the number of discs: 3

```
1:      Move disk  1  from tower  1  to tower  3.
2:      Move disk  2  from tower  1  to tower  2.
3:      Move disk  1  from tower  3  to tower  2.
4:      Move disk  3  from tower  1  to tower  3.
5:      Move disk  1  from tower  2  to tower  1.
6:      Move disk  2  from tower  2  to tower  3.
7:      Move disk  1  from tower  1  to tower  3.
```

RUN 2:

Enter the number of discs: 4

```
1:      Move disk  1  from tower  1  to tower  2.
2:      Move disk  2  from tower  1  to tower  3.
3:      Move disk  1  from tower  2  to tower  3.
4:      Move disk  3  from tower  1  to tower  2.
5:      Move disk  1  from tower  3  to tower  1.
6:      Move disk  2  from tower  3  to tower  2.
7:      Move disk  1  from tower  1  to tower  2.
8:      Move disk  4  from tower  1  to tower  3.
9:      Move disk  1  from tower  2  to tower  3.
10:     Move disk  2  from tower  2  to tower  1.
11:     Move disk  1  from tower  3  to tower  1.
12:     Move disk  3  from tower  2  to tower  3.
13:     Move disk  1  from tower  1  to tower  2.
14:     Move disk  2  from tower  1  to tower  3.
15:     Move disk  1  from tower  2  to tower  3.
```

## 2.5. Fibonacci Sequence Problem:

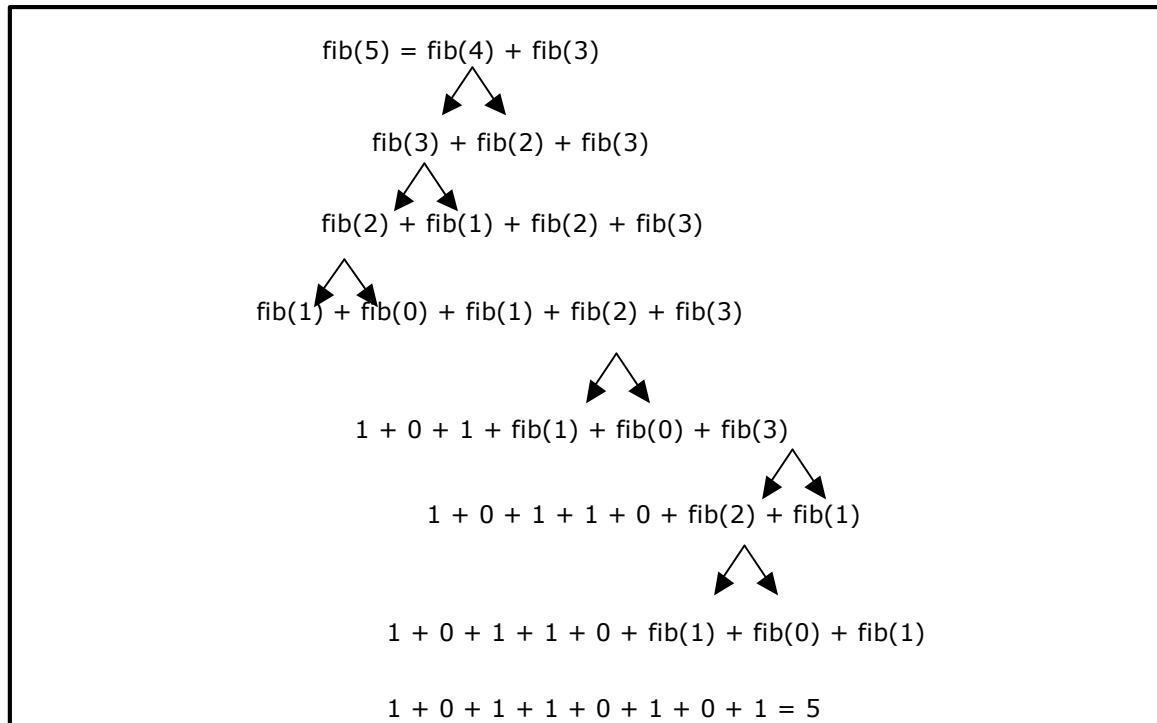
A Fibonacci sequence starts with the integers 0 and 1. Successive elements in this sequence are obtained by summing the preceding two elements in the sequence. For example, third number in the sequence is  $0 + 1 = 1$ , fourth number is  $1 + 1 = 2$ , fifth number is  $1 + 2 = 3$  and so on. The sequence of Fibonacci integers is given below:

0 1 1 2 3 5 8 13 21 . . . . .

A recursive definition for the Fibonacci sequence of integers may be defined as follows:

$$\begin{aligned} \text{Fib}(n) &= n \text{ if } n = 0 \text{ or } n = 1 \\ \text{Fib}(n) &= \text{fib}(n-1) + \text{fib}(n-2) \text{ for } n \geq 2 \end{aligned}$$

We will now use the definition to compute  $\text{fib}(5)$ :



We see that  $\text{fib}(2)$  is computed 3 times, and  $\text{fib}(3)$ , 2 times in the above calculations. We save the values of  $\text{fib}(2)$  or  $\text{fib}(3)$  and reuse them whenever needed.

**MODULE No.:III**  
**LECTURE No.:14***Basic terminologies, forest, tree representation (using array, using linked list).*

**TREES:**

A tree is hierarchical collection of nodes. One of the nodes, known as the root, is at the top of the hierarchy. Each node can have at most one link coming into it. The node where the link originates is called the parent node. The root node has no parent. The links leaving a node (any number of links are allowed) point to child nodes. Trees are recursive structures. Each child node is itself the root of a subtree. At the bottom of the tree are leaf nodes, which have no children.

Trees represent a special case of more general structures known as graphs. In a graph, there is no restrictions on the number of links that can enter or leave a node, and cycles may be present in the graph. The figure 5.1.1 shows a tree and a non-tree.

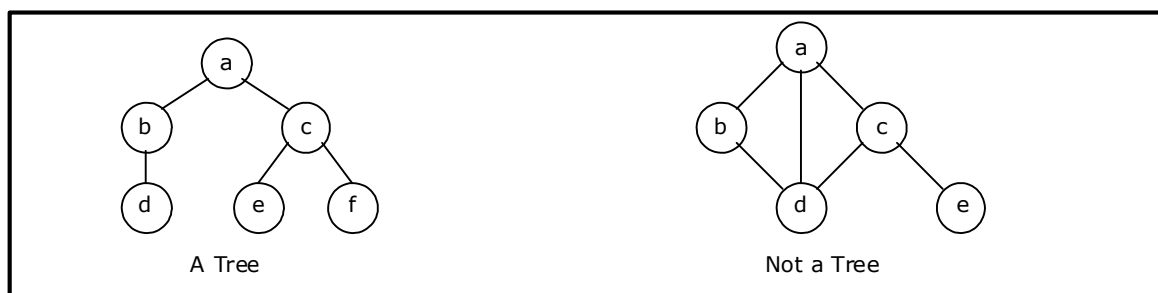


Figure 5.1.1 A Tree and a not a tree

In a tree data structure, there is no distinction between the various children of a node i.e., none is the "first child" or "last child". A tree in which such distinctions are made is called an ordered tree, and data structures built on them are called ordered tree data structures. Ordered trees are by far the commonest form of tree data structure.

**BINARY TREE:**

In general, tree nodes can have any number of children. In a binary tree, each node can have at most two children. A binary tree is either empty or consists of a node called the root together with two binary trees called the left subtree and the right subtree.

A tree with no nodes is called as a null tree. A binary tree is shown in figure 5.2.1.

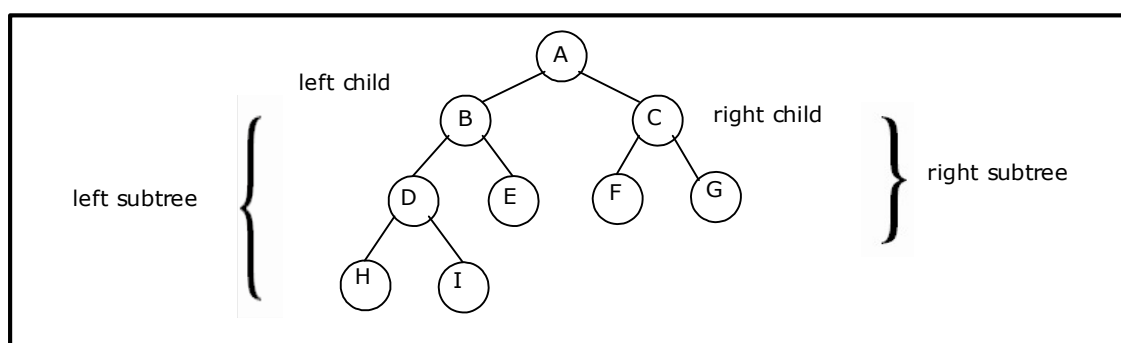




Figure 5.2.1. Binary Tree

Binary trees are easy to implement because they have a small, fixed number of child links. Because of this characteristic, binary trees are the most common types of trees and form the basis of many important data structures.

## Tree Terminology: Leaf node

A node with no children is called a leaf (or external node). A node which is not a leaf is called an internal node.

### Path

A sequence of nodes  $n_1, n_2, \dots, n_k$ , such that  $n_i$  is the parent of  $n_{i+1}$  for  $i = 1, 2, \dots, k-1$ . The length of a path is 1 less than the number of nodes on the path. Thus there is a path of length zero from a node to itself.

For the tree shown in figure 5.2.1, the path between A and I is A, B, D, I.

### Siblings

The children of the same parent are called siblings.

For the tree shown in figure 5.2.1, F and G are the siblings of the parent node C and H and I are the siblings of the parent node D.

## Ancestor and Descendent

If there is a path from node A to node B, then A is called an ancestor of B and B is called a descendent of A.

### Subtree

Any node of a tree, with all of its descendants is a subtree.

### Level

The level of the node refers to its distance from the root. The root of the tree has level 0, and the level of any other node in the tree is one more than the level of its parent. For example, in the binary tree of Figure 5.2.1 node F is at level 2 and node H is at level 3. The maximum number of nodes at any level is

$2^n$

### Height

The maximum level in a tree determines its height. The height of a node in a tree is the length of a longest path from the node to a leaf. The term depth is also used to denote height of the tree. The height of the tree of Figure 5.2.1 is

3.

### Depth

The depth of a node is the number of nodes along the path from the root to that node. For instance, node 'C' in figure 5.2.1 has a depth of 1.

## Assigning level numbers and Numbering of nodes for a binary tree:

The nodes of a binary tree can be numbered in a natural way, level by level, left to right. The nodes of a complete binary tree can be numbered so that the root is assigned the number 1, a left child is assigned twice the number assigned its parent, and a right child is assigned one more than twice the number assigned its parent. For example, see Figure 5.2.2.

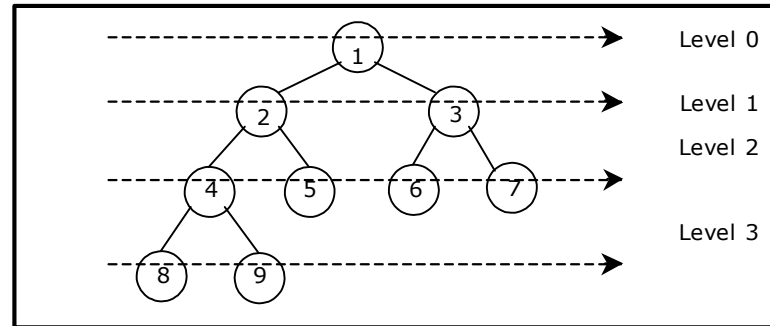


Figure 5.2.2. Level by level numbering of binary tree

## Properties of binary trees:

Some of the important properties of a binary tree are as follows:

1. If  $h$  = height of a binary tree, then
  - a. Maximum number of leaves =  $2^h$
  - b. Maximum number of nodes =  $2^{h+1} - 1$
2. If a binary tree contains  $m$  nodes at level  $l$ , it contains at most  $2m$  nodes at level  $l + 1$ .
3. Since a binary tree can contain at most one node at level 0 (the root), it can contain at most  $2^l$  nodes at level  $l$ .
4. The total number of edges in a full binary tree with  $n$  nodes is  $n - 1$ .

## Strictly Binary tree:

If every non-leaf node in a binary tree has nonempty left and right subtrees, the tree is termed as strictly binary tree. Thus the tree of figure 5.2.3(a) is strictly binary. A strictly binary tree with  $n$  leaves always contains  $2n - 1$  nodes.

## Full Binary tree:

A full binary tree of height  $h$  has all its leaves at level  $h$ . Alternatively; All non leaf nodes of a full binary tree have two children, and the leaf nodes have no children.

A full binary tree with height  $h$  has  $2^{h+1} - 1$  nodes. A full binary tree of height  $h$  is a strictly binary tree all of whose leaves are at level  $h$ . Figure 5.2.3(d) illustrates the full binary tree containing 15 nodes and of height 3.

A full binary tree of height  $h$  contains  $2^h$  leaves and,  $2^h - 1$  non-leaf nodes.

Thus by induction, total number of nodes (  $tn$  ) =

$$\sum_{l=0}^h 2^l = 2^{h+1} - 1.$$

For example, a full binary tree of height 3 contains  $2^{3+1} - 1 = 15$  nodes.

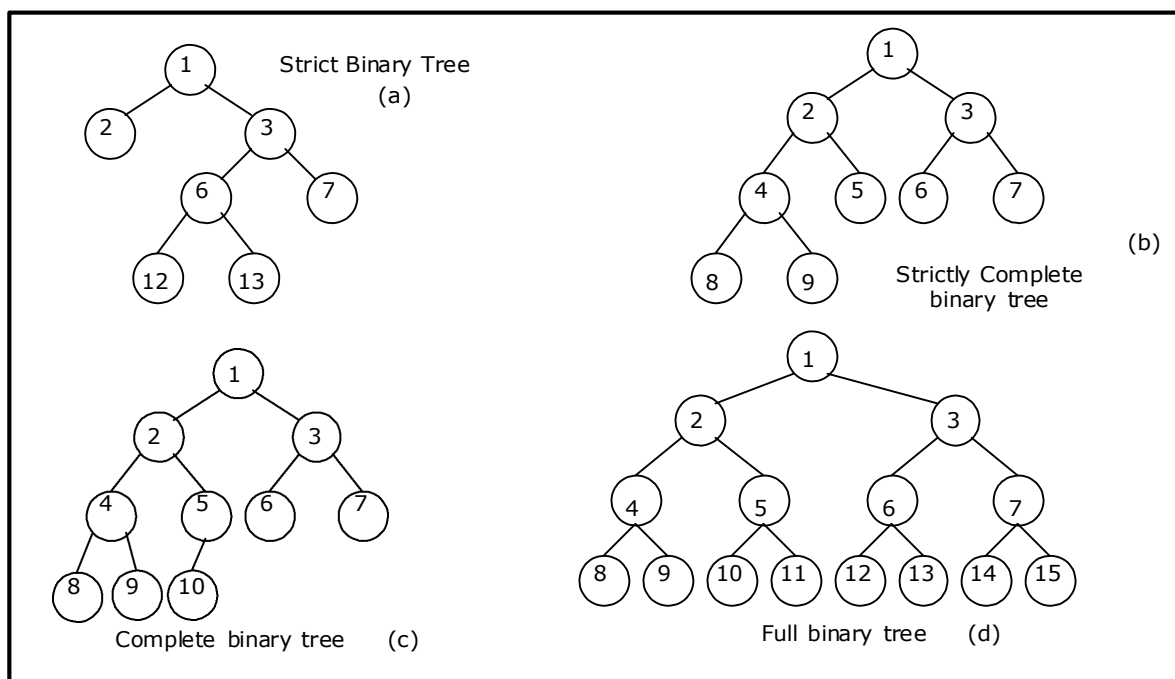


Figure 5.2.3. Examples of binary trees

## Complete Binary tree:

A binary tree with  $n$  nodes is said to be complete if it contains all the first  $n$  nodes of the above numbering scheme. Figure 5.2.4 shows examples of complete and incomplete binary trees.

A complete binary tree of height  $h$  looks like a full binary tree down to level  $h-1$ , and the level  $h$  is filled from left to right.

A complete binary tree with  $n$  leaves that is not strictly binary has  $2n$  nodes. For example, the tree of Figure 5.2.3(c) is a complete binary tree having 5 leaves and 10 nodes.

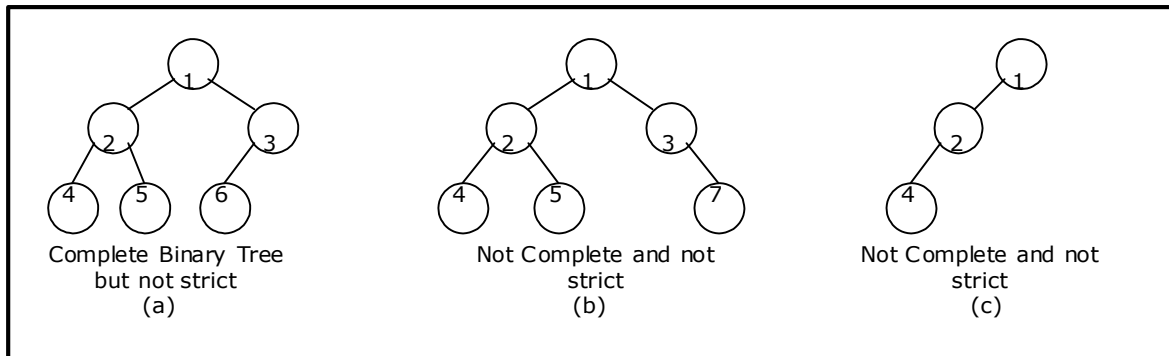
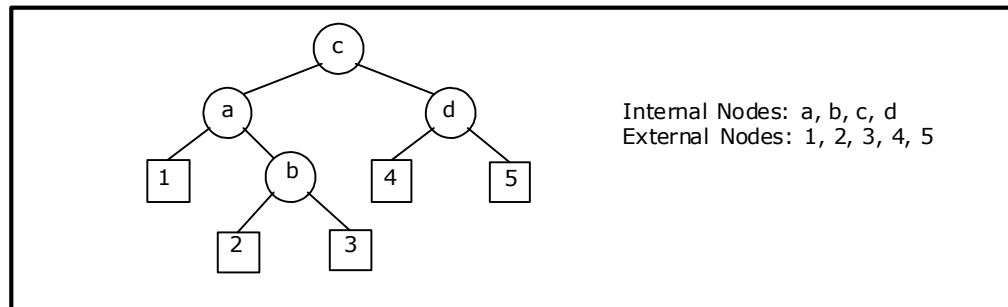


Figure 5.2.4. Examples of complete and incomplete binary trees

### Internal and external nodes:

We define two terms: Internal nodes and external nodes. An internal node is a tree node having at least one key and possibly some children. It is some times convenient to have another types of nodes, called an external node, and pretend that all null child links point to such a node. An external node doesn't exist, but serves as a conceptual place holder for nodes to be inserted.

We draw internal nodes using circles, with letters as labels. External nodes are denoted by squares. The square node version is sometimes called an extended binary tree. A binary tree with  $n$  internal nodes has  $n+1$  external nodes. shows a sample tree illustrating both internal and external nodes.



Internal and external nodes

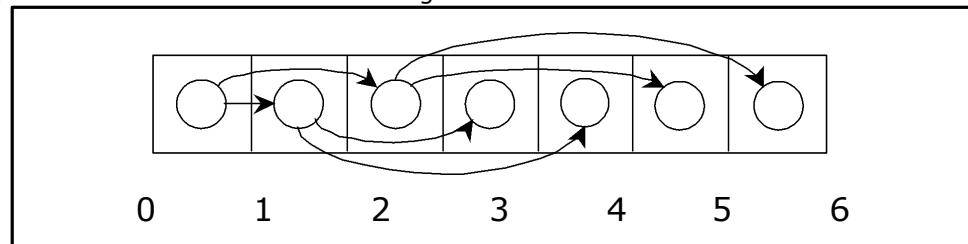
### Data Structures for Binary Trees:

1. Arrays; especially suited for complete and full binary trees.
2. Pointer-based.

### Array-based Implementation:

Binary trees can also be stored in arrays, and if the tree is a complete binary tree, this method wastes no space. In this compact arrangement, if a node has an index  $i$ , its children are found at indices  $2i+1$  and  $2i+2$ , while its parent (if any) is found at index  $\text{floor}((i-1)/2)$  (assuming the root of the tree stored in the array at an index zero).

This method benefits from more compact storage and better locality of reference, particularly during a preorder traversal. However, it requires contiguous memory, expensive to grow and wastes space proportional to  $2h - n$  for a tree of height  $h$  with  $n$  nodes.



## Linked Representation (Pointer based):

Array representation is good for complete binary tree, but it is wasteful for many other binary trees. The representation suffers from insertion and deletion of node from the middle of the tree, as it requires the movement of potentially many nodes to reflect the change in level number of this node. To overcome this difficulty we represent the binary tree in linked representation.

In linked representation each node in a binary has three fields, the left child field denoted as LeftChild, data field denoted as data and the right child field denoted as RightChild. If any subtree is empty then the corresponding pointer's LeftChild and RightChild will store a NULL value. If the tree itself is empty the root pointer will store a NULL value.

The advantage of using linked representation of binary tree is that:

- Insertion and deletion involve no data movement and no movement of nodes except the rearrangement of pointers.

The disadvantages of linked representation of binary tree includes:

- Given a node structure, it is difficult to determine its parent node.
- Memory spaces are wasted for storing NULL pointers for the nodes, which have no subtrees.

The structure definition, node representation empty binary tree is shown in figure and the linked representation of binary tree using this node structure is given in figure

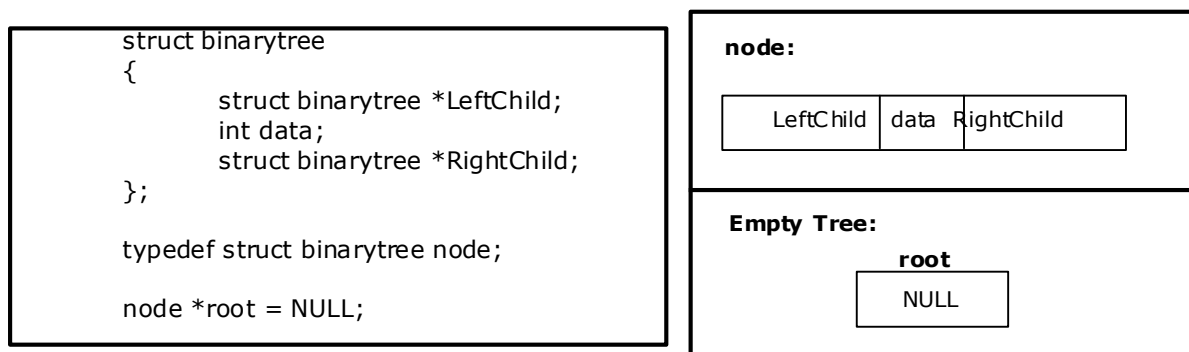
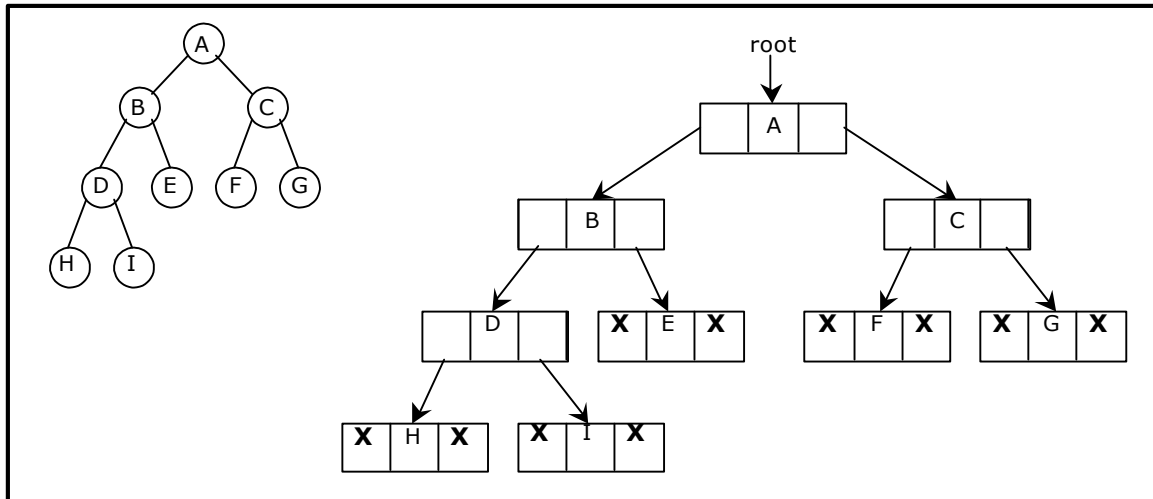


Figure 5.2.6. Structure definition, node representation and empty tree



Linked representation for the binary tree

## **LECTURE No.:15 *Binary trees - binary tree traversal (pre-, in-, post-order)***

A tree traversal is a method of visiting every node in the tree. By visit, we mean that some type of operation is performed. For example, you may wish to print the contents of the nodes.

There are four common ways to traverse a binary tree:

1. Preorder
2. Inorder
3. Postorder
4. Level order

In the first three traversal methods, the left subtree of a node is traversed before the right subtree. The difference among them comes from the difference in the time at which a root node is visited.

### **5.3.1. Recursive Traversal**

#### **Algorithms: Inorder Traversal:**

In the case of inorder traversal, the root of each subtree is visited after its left subtree has been traversed but before the traversal of its right subtree begins. The steps for traversing a binary tree in inorder traversal are:

1. Visit the left subtree, using inorder.
2. Visit the root.
3. Visit the right subtree, using inorder.

The algorithm for inorder traversal is as follows:

```

void inorder(node *root)
{
    if(root != NULL)
    {
        inorder(root->lchild);
        print root -> data;
        inorder(root->rchild);
    }
}

```

## Preorder Traversal:

In a preorder traversal, each root node is visited before its left and right subtrees are traversed. Preorder search is also called backtracking. The steps for traversing a binary tree in preorder traversal are:

1. Visit the root.
2. Visit the left **subtree, using preorder.**
3. **Visit the right subtree**, using preorder.

The algorithm for preorder traversal is as follows:

```

void preorder(node *root)
{
    if( root != NULL )
    {
        print root -> data; preorder
        (root -> lchild); preorder
        (root -> rchild);
    }
}

```

## Postorder Traversal:

In a postorder traversal, each root is visited after its left and right subtrees have been traversed. The steps for traversing a binary tree in postorder traversal are:

1. Visit the left subtree, using postorder.
2. Visit the right subtree, using postorder
3. Visit the root.

The algorithm for postorder traversal is as follows:

```

void postorder(node *root)
{
    if( root != NULL )
    {
        postorder (root -> lchild);
        postorder (root -> rchild);
        print (root -> data);
    }
}

```

## Level order Traversal:

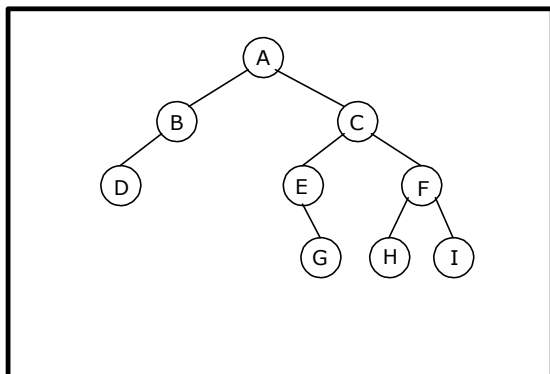
In a level order traversal, the nodes are visited level by level starting from the root, and going from left to right. The level order traversal requires a queue data structure. So, it is not possible to develop a recursive procedure to traverse the binary tree in level order. This is nothing but a breadth first search technique.

The algorithm for level order traversal is as follows:

```
void levelorder()
{
    int j;
    for(j = 0; j < ctr; j++)
    {
        if(tree[j] != NULL)
            print tree[j] -> data;
    }
}
```

### Example 1:

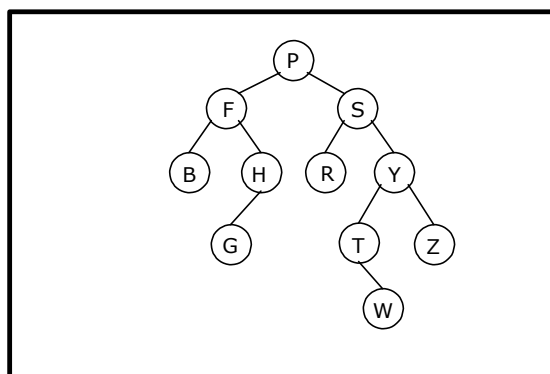
Traverse the following binary tree in pre, post, inorder and level order.



- Preorder traversal yields:  
A, B, D, C, E, G, F, H, I
- Postorder traversal yields:  
D, B, G, E, H, I, F, C, A
- Inorder traversal yields:  
D, B, A, E, G, C, H, F, I
- Level order traversal yields:  
A, B, C, D, E, F, G, H, I

### Example 2:

Traverse the following binary tree in pre, post, inorder and level order.

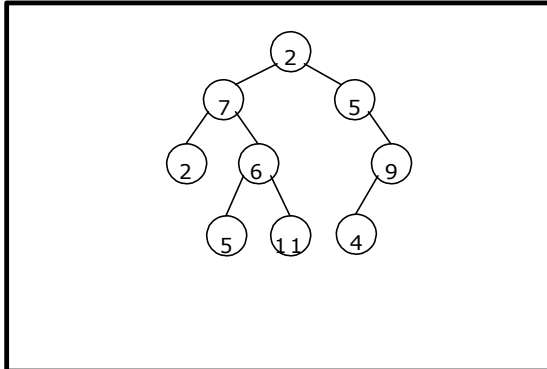


- Preorder traversal yields:  
P, F, B, H, G, S, R, Y, T, W, Z
- Postorder traversal yields:  
B, G, H, F, R, W, T, Z, Y, S, P
- Inorder traversal yields:  
B, F, G, H, P, R, S, T, W, Y, Z
- Level order traversal yields:  
P, F, S, B, H, R, Y, G, T, Z, W



### Example 3:

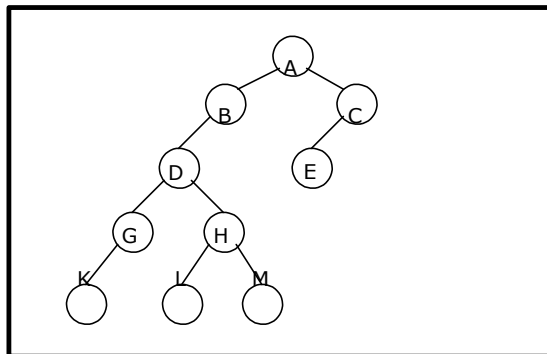
Traverse the following binary tree in pre, post, inorder and level order.



- Preorder traversal yields:  
2, 7, 2, 6, 5, 11, 5, 9, 4
- Postorder traversal yields:  
2, 5, 11, 6, 7, 4, 9, 5, 2
- Inorder traversal yields:  
2, 7, 5, 6, 11, 2, 5, 4, 9
- Level order traversal yields:  
2, 7, 5, 2, 6, 9, 5, 11, 4

### Example 4:

Traverse the following binary tree in pre, post, inorder and level order.



- Preorder traversal yields:  
A, B, D, G, K, H, L, M, C, E
- Postorder traversal yields:  
K, G, L, M, H, D, B, E, C, A
- Inorder traversal yields:  
K, G, D, L, H, M, B, A, E, C
- Level order traversal yields:  
A, B, C, D, E, G, H, K, L, M

## **LECTURE No.:16 threaded binary tree (left, right, full) - non-recursive traversal algorithms using threaded binary tree, expression tree.**

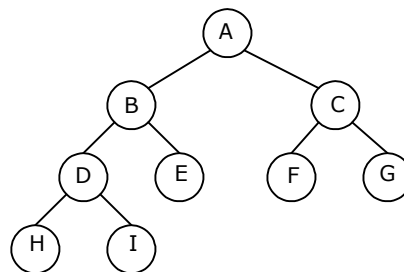
### **Threaded Binary Tree:**

The linked representation of any binary tree has more null links than actual pointers. If there are  $2n$  total links, there are  $n+1$  null links. A clever way to make use of these null links has been devised by A.J. Perlis and C. Thornton.

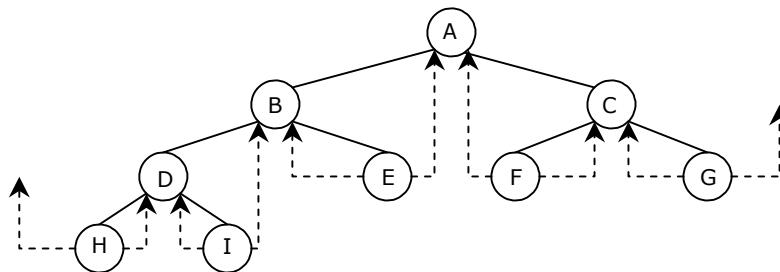
Their idea is to replace the null links by pointers called Threads to other nodes in the tree.

If the  $RCHILD(p)$  is normally equal to zero, we will replace it by a pointer to the node which would be printed after  $P$  when traversing the tree in inorder.

A null  $LCHILD$  link at node  $P$  is replaced by a pointer to the node which immediately precedes node  $P$  in inorder. For example, Let us consider the tree:



The Threaded Tree corresponding to the above tree is:



The tree has 9 nodes and 10 null links which have been replaced by Threads. If we traverse  $T$  in inorder the nodes will be visited in the order  $H D I B E A F C G$ .

For example, node 'E' has a predecessor Thread which points to 'B' and a successor Thread which points to 'A'. In memory representation Threads and normal pointers are distinguished between as by adding two extra one bit fields  $LBIT$  and  $RBIT$ .

$LBIT(P) = 1$  if  $LCHILD(P)$  is a normal pointer  
 $LBIT(P) = 0$  if  $LCHILD(P)$  is a Thread

$RBIT(P) = 1$  if  $RCHILD(P)$  is a normal pointer  
 $RBIT(P) = 0$  if  $RCHILD(P)$  is a Thread

## Building Binary Tree from Traversal Pairs:

- Inorder and preorder
- Inorder and postorder
- Inorder and level order

If the preorder traversal is given, then the first node is the root node. If the postorder traversal is given then the last node is the root node. Once the root node is identified, all the nodes in the left sub-trees and right sub-trees of the root node can be identified using inorder.

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### Example 1:

Construct a binary tree from a given preorder and

inorder sequence: Preorder: A B D G C E H I F

Inorder: D G B A H E

I C F

### Solution:

From Preorder sequence **A** B D G C E H I F, the root is: A

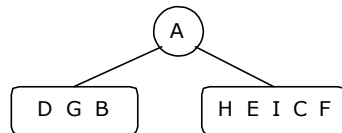
From Inorder sequence D G B **A** H E I C F, we get the left and right sub trees:

Left sub tree is: D G B

Right sub tree is: H E

I C F

The Binary tree upto this point looks like:

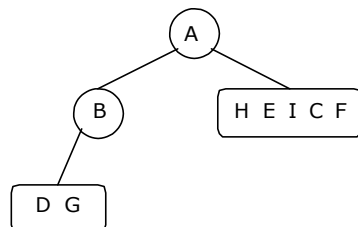


To find the root, left and right sub trees for D G B:

From the preorder sequence **B** D G, the root of tree is: B

From the inorder sequence D G **B**, we can find that D and G are to the left of B.

The Binary tree upto this point looks like:

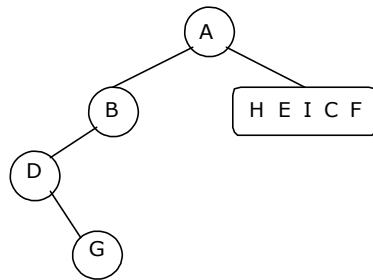


To find the root, left and right sub trees for D G:

From the preorder sequence **D** G, the root of the tree is: D

From the inorder sequence **D** G, we can find that there is no left node to D and G is at the right of D.

The Binary tree upto this point looks like:

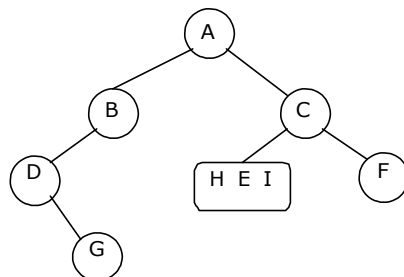


To find the root, left and right sub trees for H E I C F:

From the preorder sequence **C** E H I F, the root of the left sub tree is: C

From the inorder sequence H E I **C** F, we can find that H E I are at the left of C and F is at the right of C.

The Binary tree upto this point looks like:

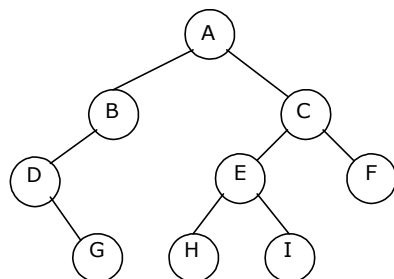


To find the root, left and right sub trees for H E I:

From the preorder sequence **E** H I, the root of the tree is: E

From the inorder sequence H **E** I, we can find that H is at the left of E and I is at the right of E.

The Binary tree upto this point looks like:



### Example 2:

Construct a binary tree from a given postorder and

inorder sequence: Inorder: D G B A H E I C F

Postorder: G D B H I E F C A

### Solution:

From Postorder sequence  $G D B H I E F C \mathbf{A}$ , the root is:  $A$

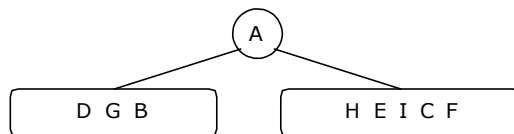
From Inorder sequence  $\underline{D G B} \mathbf{A} \underline{H E I C F}$ , we get the left and right sub trees:

Left sub tree is:  $D G B$

Right sub tree is:  $H E$

$I C F$

The Binary tree upto this point looks like:

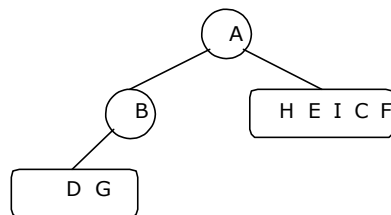


To find the root, left and right sub trees for  $D G B$ :

From the postorder sequence  $G D B$ , the root of tree is:  $B$

From the inorder sequence  $\underline{D G} \mathbf{B}$ , we can find that  $D G$  are to the left of  $B$  and there is no right subtree for  $B$ .

The Binary tree upto this point looks like:

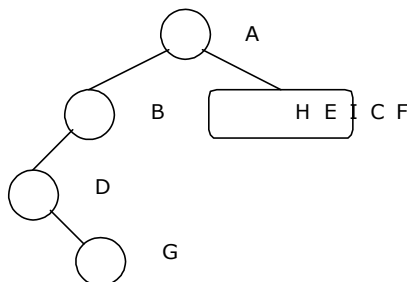


To find the root, left and right sub trees for  $D G$ :

From the postorder sequence  $G \mathbf{D}$ , the root of the tree is:  $D$

From the inorder sequence  $\mathbf{D} \underline{G}$ , we can find that there is no left subtree for  $D$  and  $G$  is to the right of  $D$ .

The Binary tree upto this point looks like:

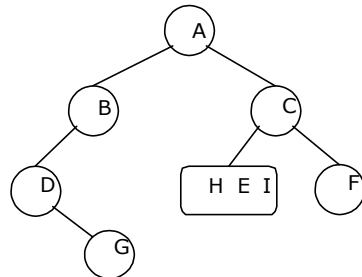


To find the root, left and right sub trees for  $H E I C F$ :

From the postorder sequence  $H I E F C$ , the root of the left sub tree is:  $C$

From the inorder sequence  $H E I C F$ , we can find that  $H E I$  are to the left of  $C$  and  $F$  is the right subtree for  $C$ .

tree upto this point looks like:

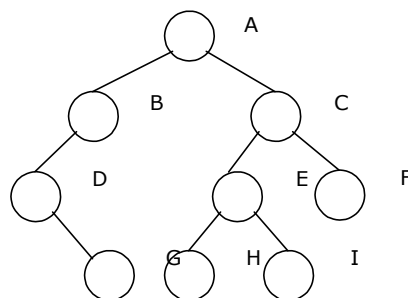


To find the root, left and right sub trees for  $H E I$ :

From the postorder sequence  $H I E$ , the root of the tree is:  $E$

From the inorder sequence  $H E I$ , we can find that  $H$  is left subtree for  $E$  and  $I$  is to the right of  $E$ .

The Binary tree upto this point looks like:



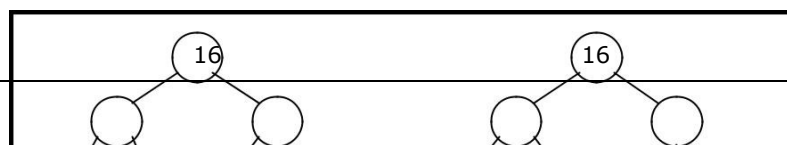
## **LECTURE No.:18 Binary search tree- operations (creation, insertion, deletion, searching).**

### **Binary Search Tree:**

A binary search tree is a binary tree. It may be empty. If it is not empty then it satisfies the following properties:

1. Every element has a key and no two elements have the same key.
2. The keys in the left subtree are smaller than the key in the root.
3. The keys in the right subtree are larger than the key in the root.
4. The left and right subtrees are also binary search trees.

Figure 5.2.5(a) is a binary search tree, whereas figure 5.2.5(b) is not a binary search tree.



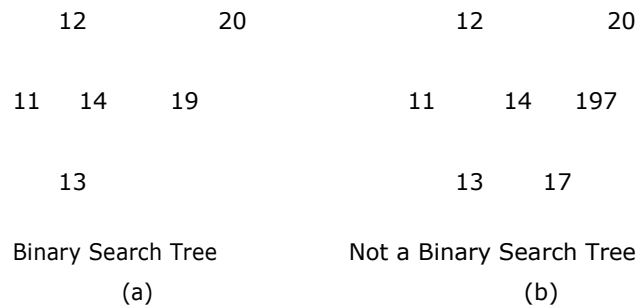


Figure 5.2.5. Examples of binary search trees

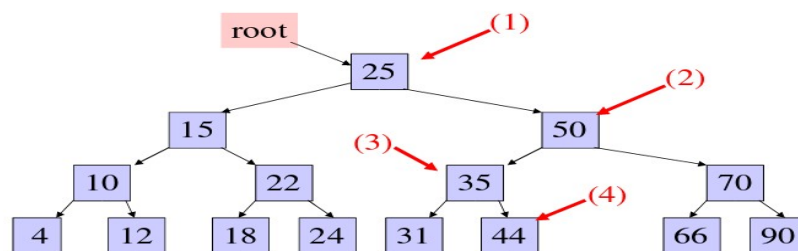
## Searching a key

To search a given key in Binary Search Tree, we first compare it with root, if the key is present at root, we return root. If key is greater than root's key, we recur for right subtree of root node. Otherwise we recur for left subtree.

### Example: search for 45 in the tree

(key fields are shown in node rather than in separate obj ref to by data field):

1. start at the root, 45 is greater than 25, search in right subtree
2. 45 is less than 50, search in 50's left subtree
3. 45 is greater than 35, search in 35's right subtree
4. 45 is greater than 44, but 44 has no right subtree so 45 is not in the BST

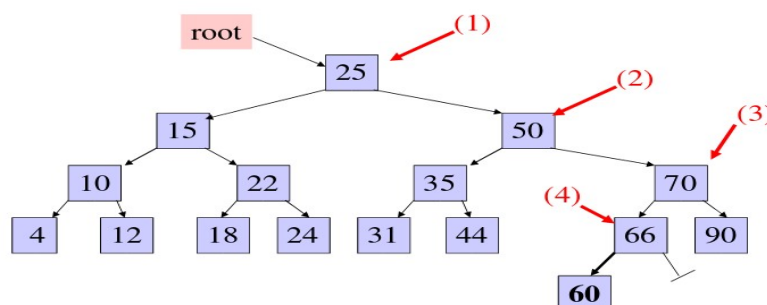


## Insertion of a key

A new key is always inserted at leaf. We start searching a key from root till we hit a leaf node. Once a leaf node is found, the new node is added as a child of the leaf node.

### Example: insert 60 in the tree:

1. start at the root, 60 is greater than 25, search in right subtree
2. 60 is greater than 50, search in 50's right subtree
3. 60 is less than 70, search in 70's left subtree
4. 60 is less than 66, add 60 as 66's left child

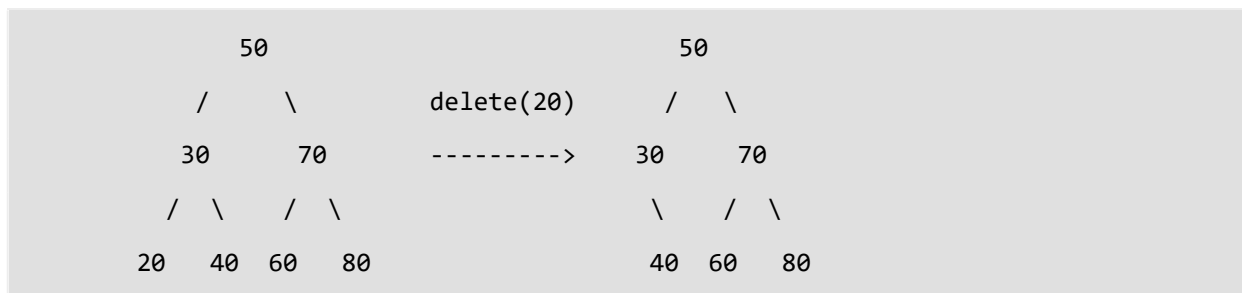




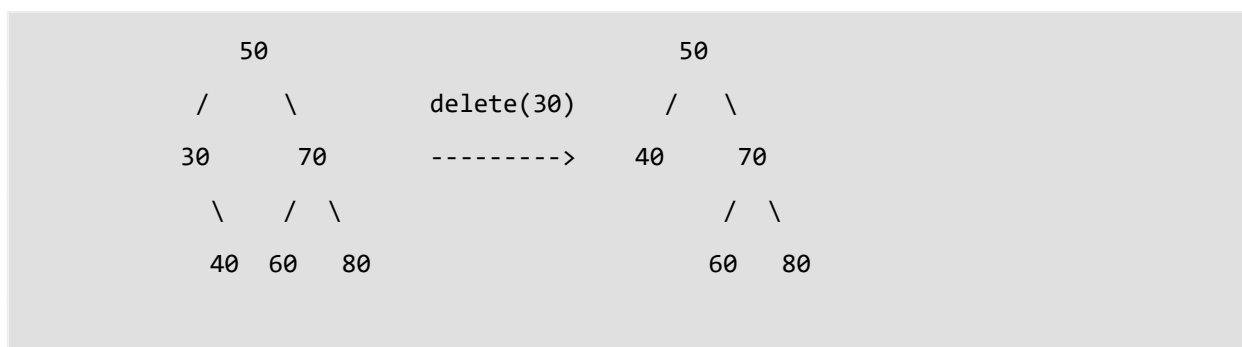
## Deletion of a key

When we delete a node, there possibilities arise.

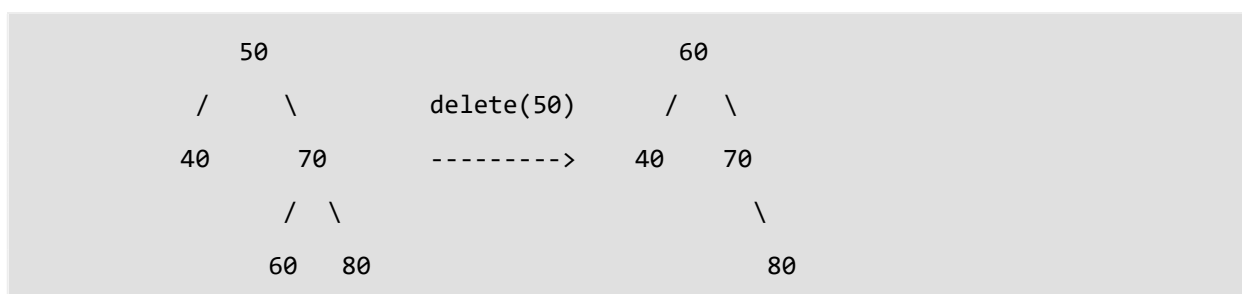
**1) Node to be deleted is leaf:** Simply remove from the tree.



**2) Node to be deleted has only one child:** Copy the child to the node and delete the child.



**3) Node to be deleted has two children:** Find inorder successor of the node. Copy contents of the inorder successor to the node and delete the inorder successor. Note that inorder predecessor can also be used.



The important thing to note is, inorder successor is needed only when right child is not empty. In this particular case, inorder successor can be obtained by finding the minimum value in right child of the node.

## LECTURE No.:19 *Height balanced binary tree – AVL tree (insertion, with examples only)*

### **AVL TREE**

AVL tree is a self balanced binary search tree. That means, an AVL tree is also a binary search tree but it is a balanced tree. A binary tree is said to be balanced, if the difference between the heights of left and right subtrees of every node in the tree is either -1, 0 or +1. In other words, a binary tree is said to be balanced if for every node, height of its children differ by at most one. In an AVL tree, every node maintains an extra information known as balance factor. The AVL tree was introduced in the year of 1962 by G.M A Adelson-Velsky and E.M.Landis.

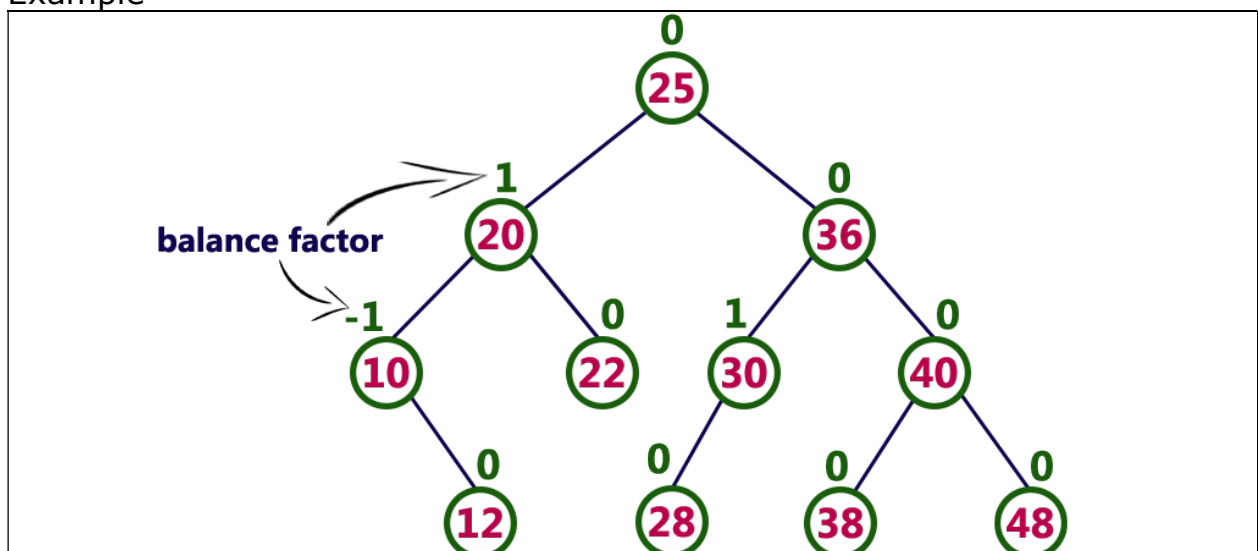
An AVL tree is defined as follows...

An AVL tree is a balanced binary search tree. In an AVL tree, balance factor of every node is either -1, 0 or +1.

Balance factor of a node is the difference between the heights of left and right subtrees of that node. The balance factor of a node is calculated either height of left subtree - height of right subtree (OR) height of right subtree - height of left subtree. In the following explanation, we are calculating as follows...

Balance factor = heightOfLeftSubtree - heightOfRightSubtree

Example



The above tree is a binary search tree and every node is satisfying balance factor condition. So this tree is said to be an AVL tree..

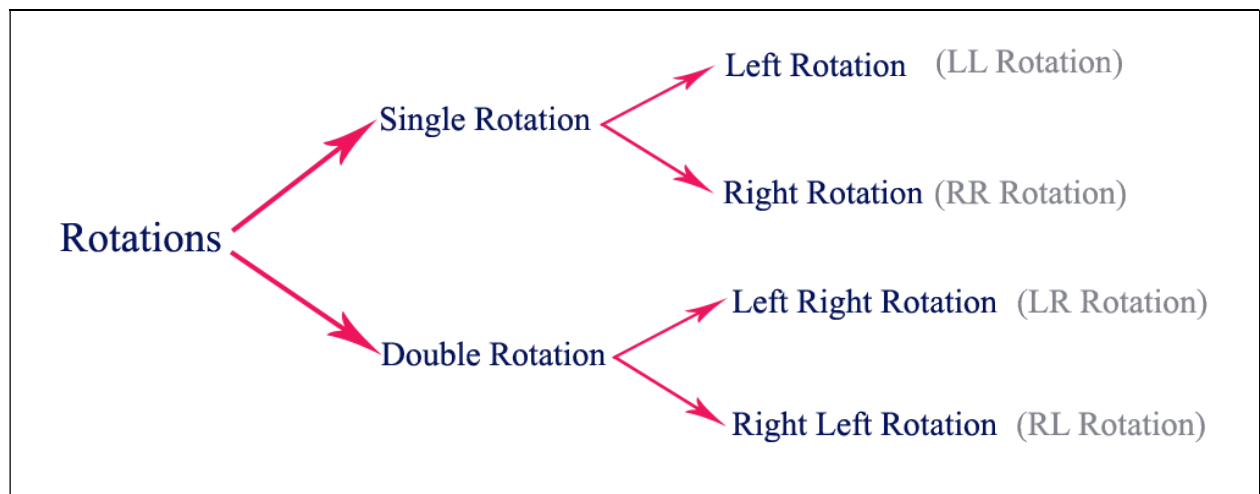
Rotation operations are used to make a tree balanced

Every AVL Tree is a binary search tree but all the Binary Search Trees need not to be AVL trees.

## AVL Tree Rotations

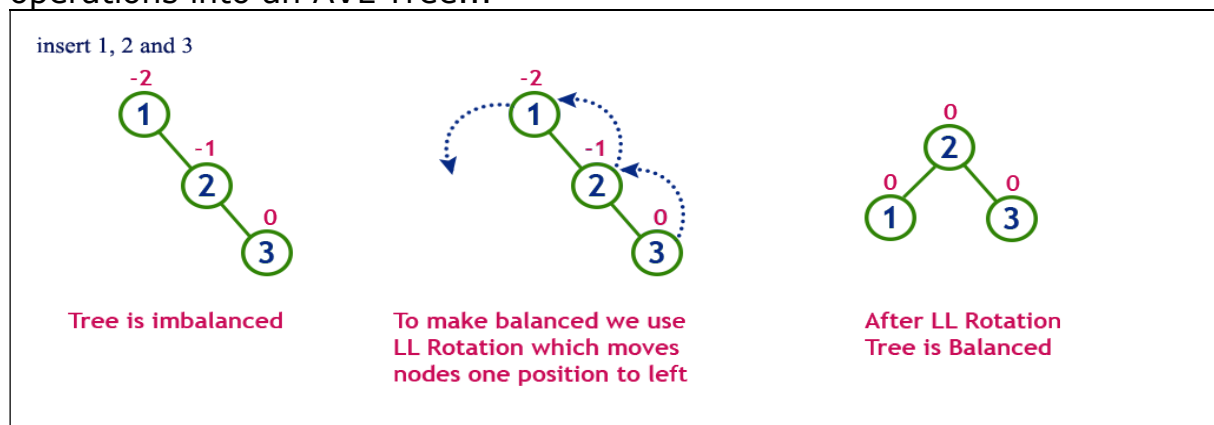
In AVL tree, after performing every operation like insertion and deletion we need to check the balance factor of every node in the tree. If every node satisfies the balance factor condition then we conclude the operation otherwise we must make it balanced. We use rotation operations to make the tree balanced whenever the tree is becoming imbalanced due to any operation.

Rotation is the process of moving the nodes to either left or right to make tree balanced.



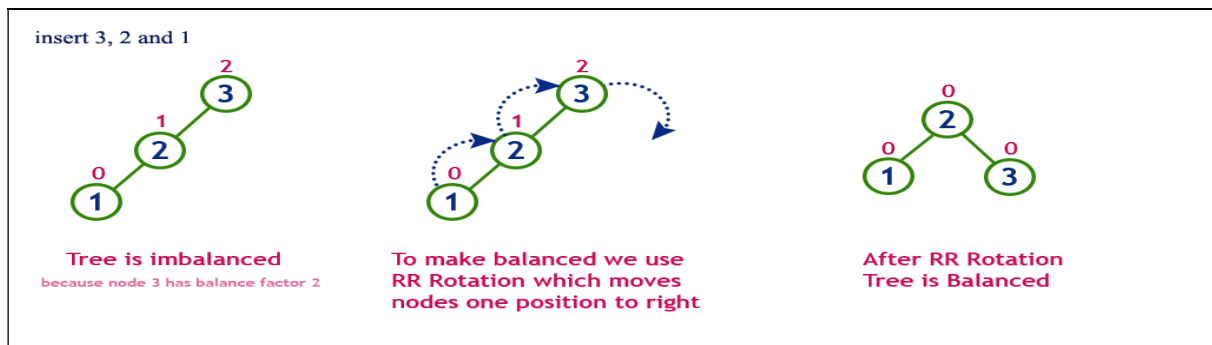
### Single Left Rotation (LL Rotation)

In LL Rotation every node moves one position to left from the current position. To understand LL Rotation, let us consider following insertion operations into an AVL Tree...



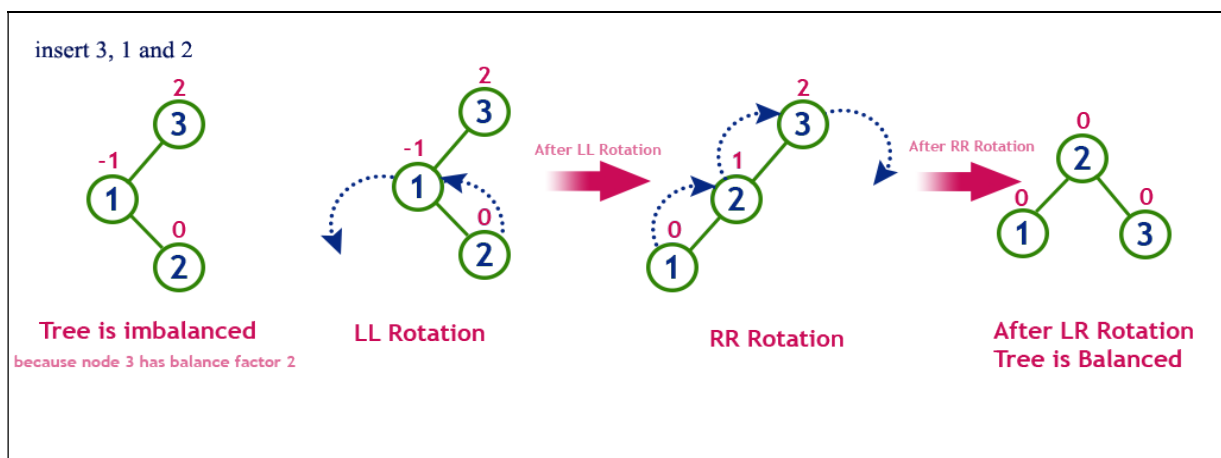
### Single Right Rotation (RR Rotation)

In RR Rotation every node moves one position to right from the current position. To understand RR Rotation, let us consider following insertion operations into an AVL Tree...



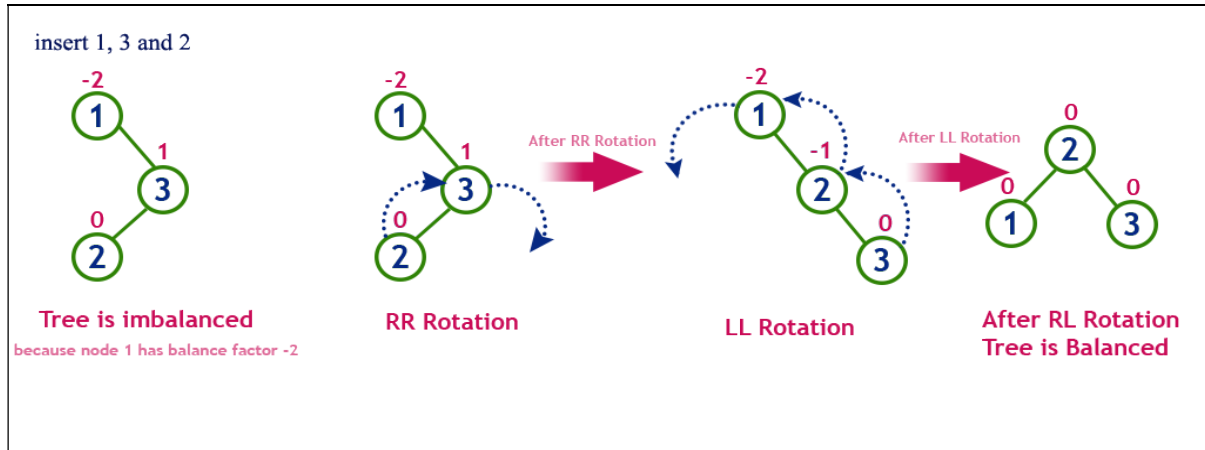
## Left Right Rotation (LR Rotation)

The LR Rotation is combination of single left rotation followed by single right rotation. In LR Rotation, first every node moves one position to left then one position to right from the current position. To understand LR Rotation, let us consider following insertion operations into an AVL Tree...



## Right Left Rotation (RL Rotation)

The RL Rotation is combination of single right rotation followed by single left rotation. In RL Rotation, first every node moves one position to right then one position to left from the current position. To understand RL Rotation, let us consider following insertion operations into an AVL Tree...



The following operations are performed on an AVL tree...

1. Search
2. Insertion
3. Deletion

### Search Operation in AVL Tree

In an AVL tree, the search operation is performed with  $O(\log n)$  time complexity. The search operation is performed similar to Binary search tree search operation. We use the following steps to search an element in AVL tree...

Step 1: Read the search element from the user

Step 2: Compare, the search element with the value of root node in the tree.

Step 3: If both are matching, then display "Given node found!!!" and terminate the function.

Step 4: If both are not matching, then check whether search element is smaller or larger than that node value.

Step 5: If search element is smaller, then continue the search process in left subtree.

Step 6: If search element is larger, then continue the search process in right subtree.

Step 7: Repeat the same until we found exact element or we completed with a leaf node

Step 8: If we reach to the node with search value, then display "Element is found" and terminate the function.

Step 9: If we reach to a leaf node and it is also not matching, then display "Element not found" and terminate the function.

### Insertion Operation in AVL Tree

In an AVL tree, the insertion operation is performed with  $O(\log n)$  time complexity. In AVL Tree, new node is always inserted as a leaf node. The insertion operation is performed as follows...

Step 1: Insert the new element into the tree using Binary Search Tree insertion logic.

Step 2: After insertion, check the Balance Factor of every node.

Step 3: If the Balance Factor of every node is 0 or 1 or -1 then go for next operation.

Step 4: If the Balance Factor of any node is other than 0 or 1 or -1 then tree is said to be imbalanced. Then perform the suitable.

Rotation to make it balanced. And go for next operation.

### **Deletion Operation in AVL Tree**

In an AVL Tree, the deletion operation is similar to deletion operation in BST. But after every deletion operation we need to check with the Balance Factor condition. If the tree is balanced after deletion then go for next operation otherwise perform the suitable rotation to make the tree Balanced.

#### **I) First: find the node x where k is stored**

#### **II) Second: delete the contents of node x**

Claim: Deleting a node in an AVL tree can be reduced to deleting a leaf

There are three possible cases (just like for BSTs):

1) If x has no children (i.e., is a leaf), delete x.

2) If x has one child, let x' be the child of x.

Notice: x' cannot have a child, since subtrees of T can differ in height by at most one

-replace the contents of x with the contents of x'

-delete x' (a leaf)

3) If x has two children,

-find x's successor z (which has no left child)

-replace x's contents with z's contents, and

-delete z.

[since z has at most one child, so we use case (1) or (2) to delete z]

In all 3 cases, we end up removing a leaf.

**LECTURE No.:20** *Height balanced binary tree – AVL tree (deletion with examples only)*

**III) Third: Go from the deleted leaf towards the**

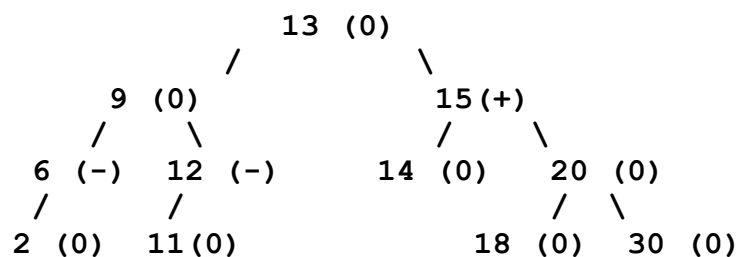
root and at each ancestor of that leaf:

- update the balance factor
- rebalance with rotations if necessary.

**Example #1: Single rotation**

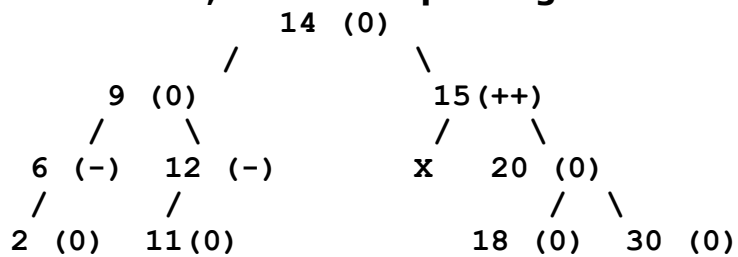
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AVL tree T:

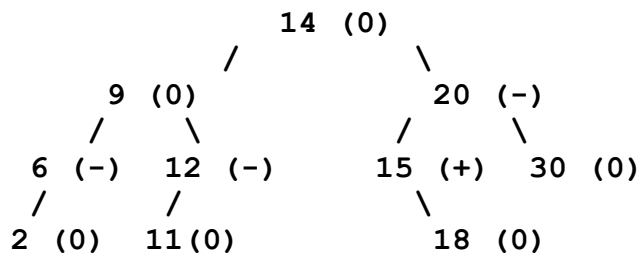


**Delete(T, 13):**

**Before rotation, but after updating balance factors:**

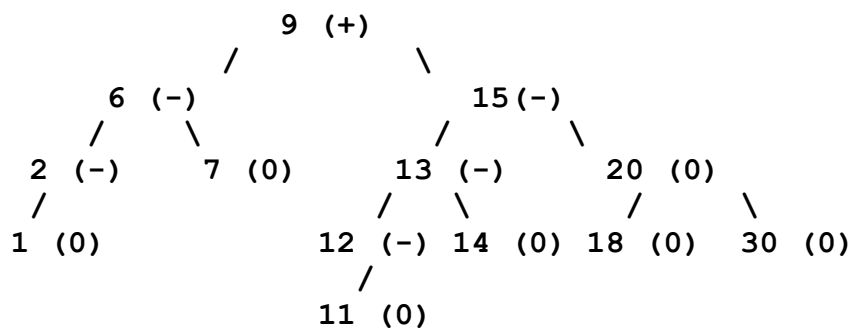


**After rotation:**



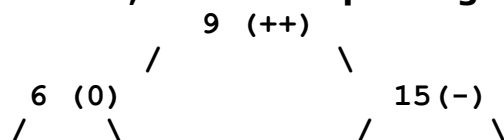
## Example #2: Double rotation

AVL tree T:

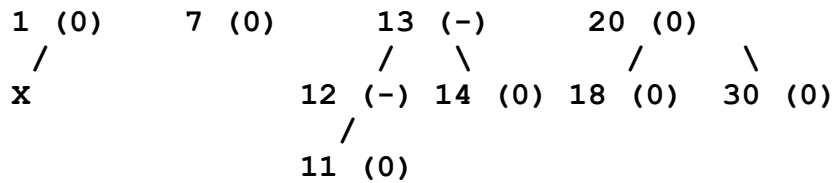


**Delete(T, 2):**

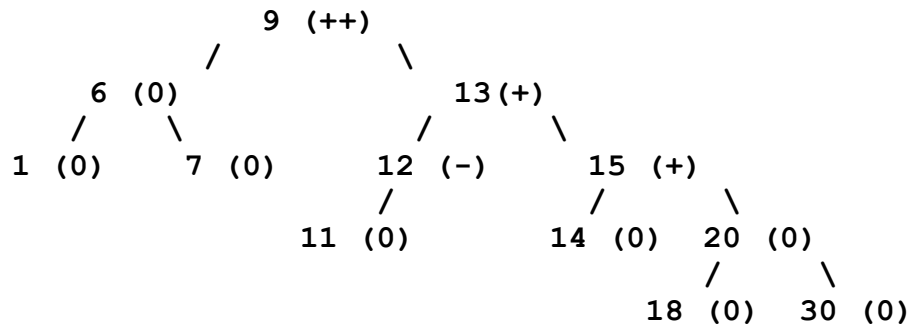
**Before rotation, but after updating balance factors:**



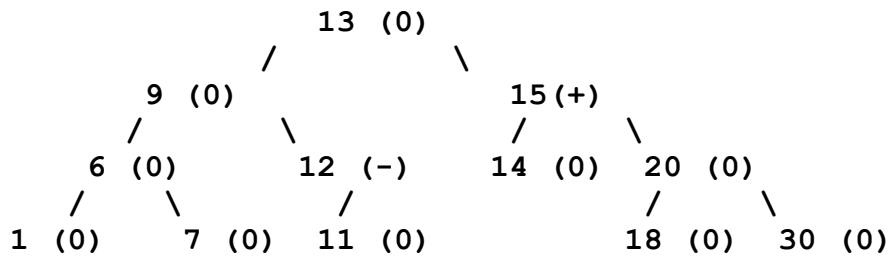




**After first rotation:**



**After second rotation:**

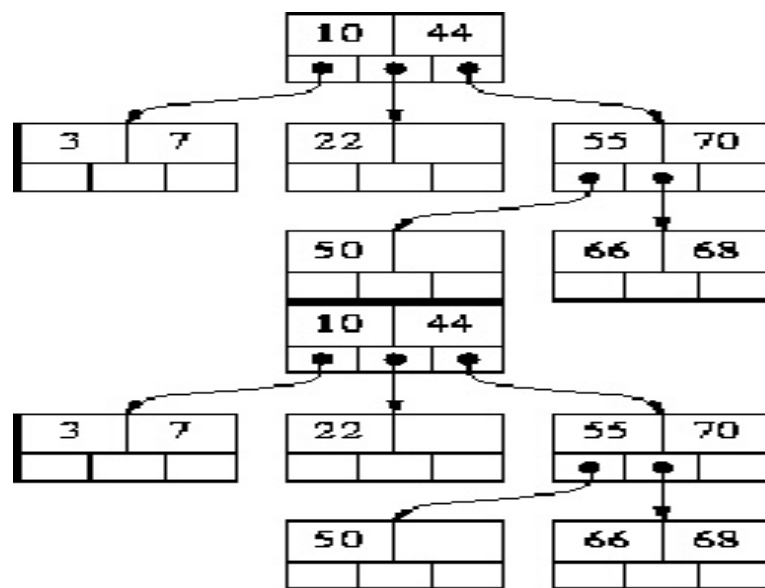


## M-way Search Tree

Binary search tree: 1 value and 2 subtrees per node.

M-way search tree: M - 1 value and M subtrees per node. M is the degree of the tree.

- In fact, each may contain up to M - 1 values. A node with k values must have k + 1 subtrees.
- In a node, values are stored in ascending order:
- $V_1 < V_2 < \dots < V_k$
- The subtrees are placed between adjacent values: each value has a left and right subtree.
- $V(i)$ 's right subtree =  $V(i+1)$ 's left subtree.
- All the values in  $V(i)$ 's left subtree are  $< V(i)$ .
- All the values in  $V(i)$ 's right subtree are  $> V(i)$ .

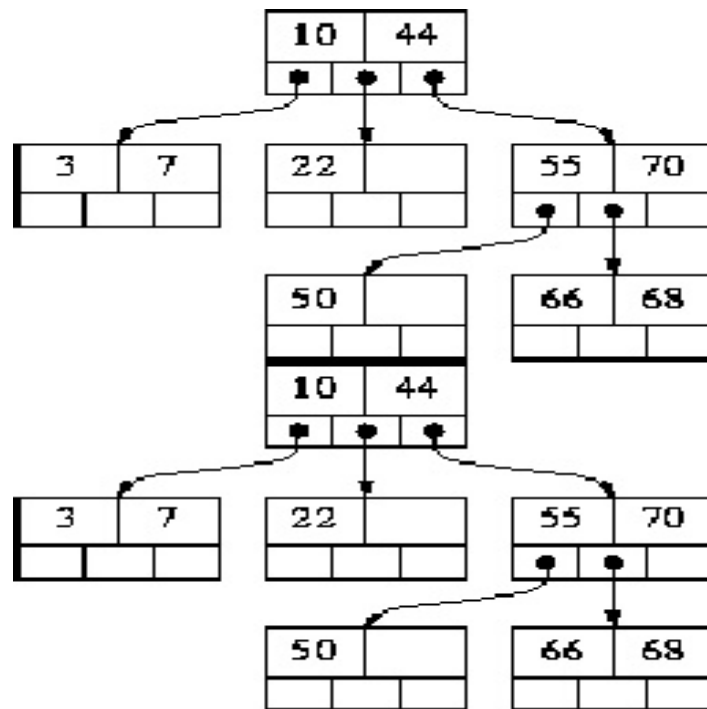


## Search in M-way Trees

Searching for X:

1. If  $X < V(1)$ , recursively search in  $V(1)$ 's left subtree.
2. If  $X > V(k)$ , recursively search in  $V(k)$ 's right subtree.
3. If  $X = V(i)$ , for some  $i$ ,  $X$  is found!
4. Else, for some  $i$ ,  $V(i) < X < V(i+1)$ ; recursively search in subtree between  $V(i)$  and  $V(i+1)$

**Search for 68 in:**



## LECTURE No.:21 B- Trees – **B TREE**

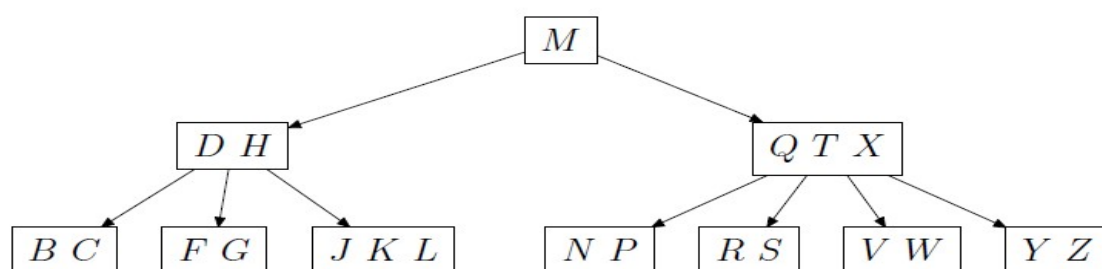
What are B-trees?

B-trees are balanced search trees: height =  $O(\log(n))$  for the worst case.

They were designed to work well on Direct Access secondary storage devices (magnetic disks). Similar to red-black trees, but show better performance on disk I/O operations. B-trees (and variants like B+ and B\* trees ) are widely used in database systems

B-trees try to read as much information as possible in every disk access operation.

The 21 english consonants as keys of a B-tree:



Every internal node  $x$  containing  $n[x]$  keys has  $n[x] + 1$  children.  
All leaves are at the same depth in the tree

### B-tree: definition

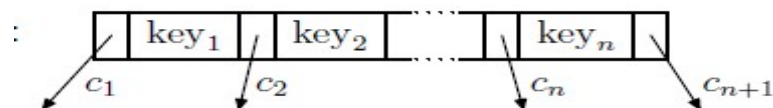
A B-tree  $T$  is a rooted tree (with root  $\text{root}[T]$ ) with properties:

- Every node  $x$  has four fields:
  1. The number of keys currently stored in node  $x$ ,  $n[x]$ .
  2. The  $n[x]$  keys themselves, stored in nondecreasing order:  
 $\text{key}_1[x] \leq \text{key}_2[x] \leq \dots \leq \text{key}_{n[x]}[x]$ .
  3. A boolean value,

$$\text{leaf}[x] = \begin{cases} \text{True} & \text{if } x \text{ is a leaf,} \\ \text{False} & \text{if } x \text{ is an internal node.} \end{cases}$$

4.  $n[x] + 1$  pointers,  $c_1[x], c_2[x], \dots, c_{n[x]+1}[x]$  to its children.  
(As leaf nodes have no children their  $c_i$  are undefined).

Representing pointers and keys in a node:



### Properties:

- The keys  $\text{key}_i[x]$  separate the ranges of keys stored in each subtree: if  $k_i$  is any key stored in the subtree with root  $c_i[x]$ , then:  
 $k_1 \leq \text{key}_1[x] \leq k_2 \leq \text{key}_2[x] \leq \dots \leq \text{key}_n[x] \leq k_{n+1}$ .
- All leaves have the same height, which is the tree's height  $h$ .
- There are upper and lower bounds on the number of keys on a node.  
To specify these bounds we use a fixed integer  $t \geq 2$ , the minimum degree of the B-tree:

- **lower bound:** every node other than root must have at least  $\lceil t/2 \rceil$  keys  $\implies$  At least  $\lceil t/2 \rceil$  children.
- **upper bound:** every node can contain at most  $2t - 1$  keys  $\implies$  every internal node has at most  $2t$  children.

## Basic operations on B-trees

Details of the following operations:

- B-Tree-Search
- B-Tree-Create
- B-Tree-Insert
- B-Tree-Delete

Conventions:

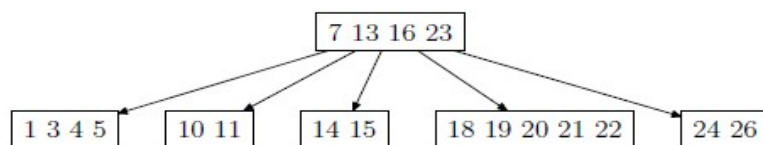
- Root of B-tree is always in main memory (Disk-Read on the root is never required)
- Any node passed as parameter must have had a Disk-Read operation performed on them.

Procedures presented are all top down algorithms (no need to back up) starting at the root of the tree.

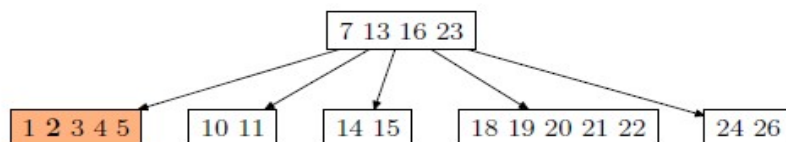
Inserting a key – Examples

Initial tree:

$t = 3$

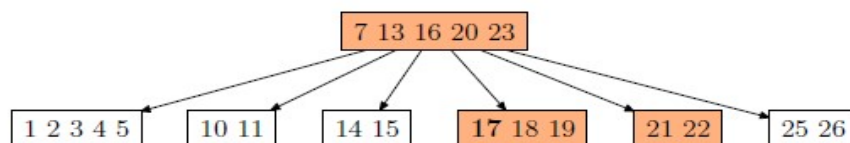


2 inserted:



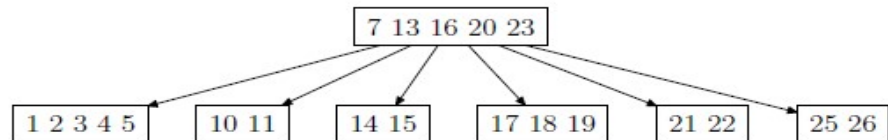
17 inserted:

(to the previous one)

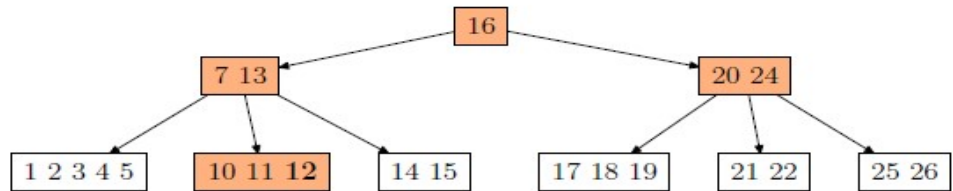


Initial tree:

$t = 3$

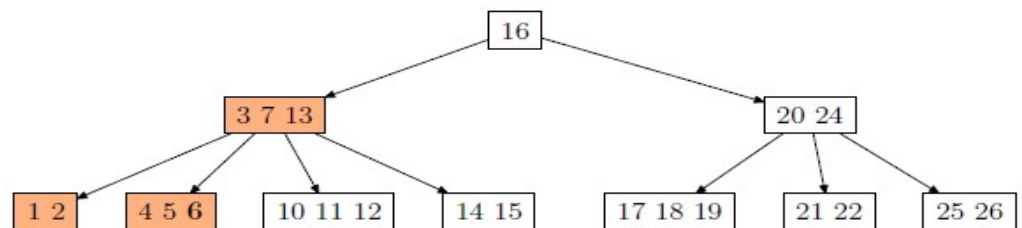


12 inserted:



6 inserted:

(to the previous one)



## Deleting a Key from a B-tree

Similar to insertion, with the addition of a couple of special cases

- Key can be deleted from any node.
- More complicated procedure, but similar performance figures:  $O(h)$  disk accesses,  $O(th) = O(t \log t n)$  CPU time
- Deleting is done in a single pass down the tree, but needs to return to the node with the deleted key if it is an internal node
- In the latter case, the key is first moved down to a leaf. Final deletion always takes place on a leaf.

## Deleting a Key — Cases I

Considering 3 distinct cases for deletion

- Let  $k$  be the key to be deleted,  $x$  the node containing the key. Then the cases are:

1. If key  $k$  is in node  $x$  and  $x$  is a leaf, simply delete  $k$  from  $x$

2. If key  $k$  is in node  $x$  and  $x$  is an internal node, there are three cases to consider:

(a) If the child  $y$  that precedes  $k$  in node  $x$  has at least  $t$  keys (more than the minimum), then find the predecessor key  $k_0$  in the subtree rooted at  $y$ . Recursively delete  $k_0$  and replace  $k$  with  $k_0$  in  $x$ .

(b) Symmetrically, if the child  $z$  that follows  $k$  in node  $x$  has at least  $t$  keys, find the successor  $k_0$  and delete and replace as before. Note that finding  $k_0$  and deleting it can be performed in a single downward pass.

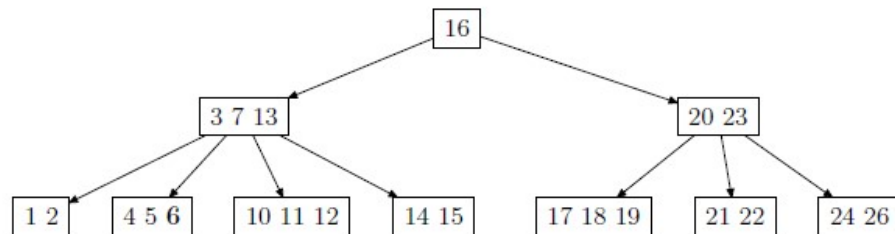
(c) Otherwise, if both  $y$  and  $z$  have only  $t-1$  (minimum number) keys, merge  $k$  and all of  $z$  into  $y$ , so that both  $k$  and the pointer to  $z$  are removed from  $x$ .  $y$  now contains  $2t - 1$  keys, and subsequently  $k$  is deleted.

3. If key  $k$  is not present in an internal node  $x$ , determine the root of the appropriate subtree that must contain  $k$ . If the root has only  $t - 1$  keys, execute either of the following two cases to ensure that we descend to a node containing at least  $t$  keys. Finally, recurse to the appropriate child of  $x$ .

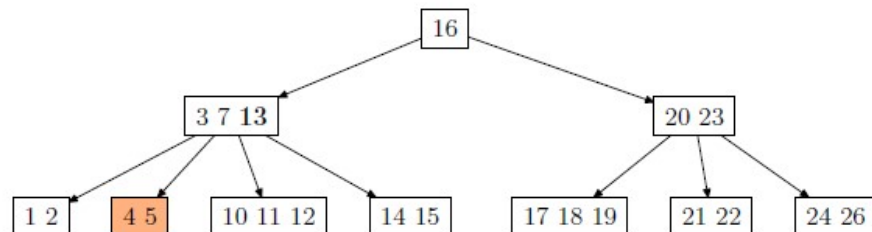
(a) If the root has only  $t-1$  keys but has a sibling with  $t$  keys, give the root an extra key by moving a key from  $x$  to the root, moving a key from the root's immediate left or right sibling up into  $x$ , and moving the appropriate child from the sibling to  $x$ .

(b) If the root and all of its siblings have  $t-1$  keys, merge the root with one sibling. This involves moving a key down from  $x$  into the new merged node to become the median key for that node.

Initial tree:

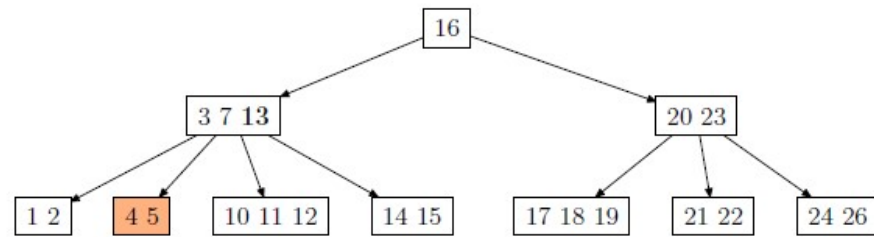


6 deleted:

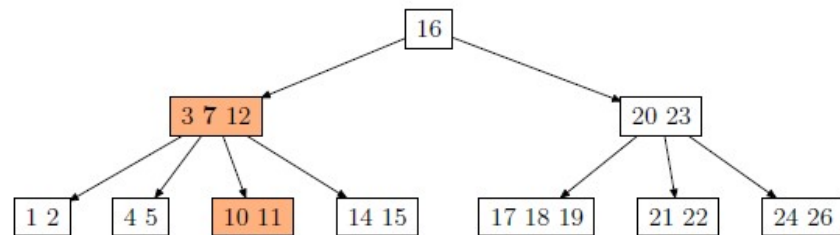


- The first and simple case involves deleting the key from the leaf.  $t - 1$  keys remain

Initial tree:

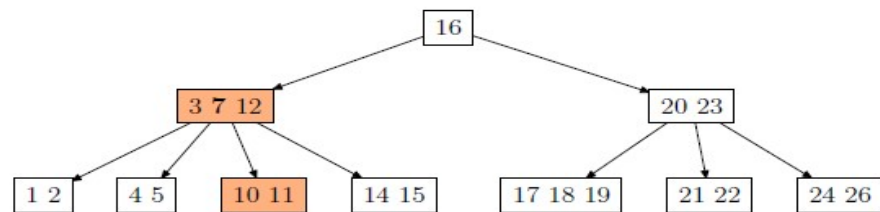


13 deleted:

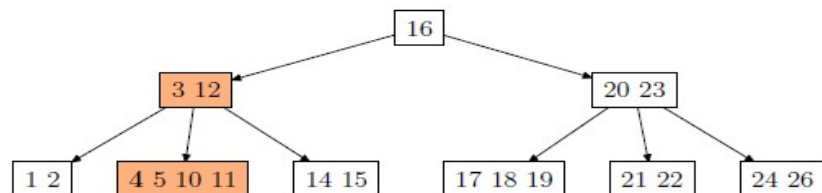


- Case 2a is illustrated. The predecessor of 13, which lies in the preceding child of  $x$ , is moved up and takes 13's position. The preceding child had a key to spare in this case

Initial tree:



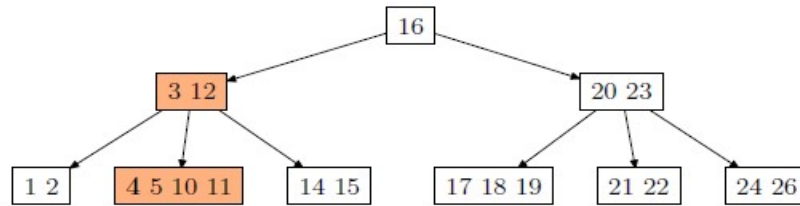
7 deleted:



- Here, both the preceding and successor children have  $t - 1$  keys, the minimum allowed. 7 is initially pushed down and between the children nodes to form one leaf, and is subsequently removed from that leaf

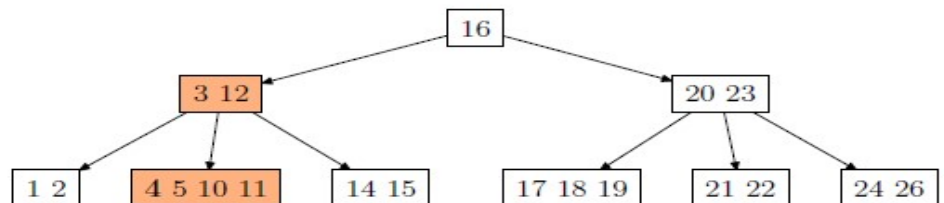


Initial tree:  
Key 4 to be deleted

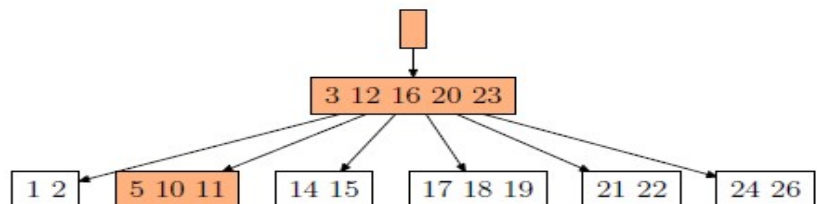


- The catchy part. Recursion cannot descend to node 3, 12 because it has  $t - 1$  keys. In case the two leaves to the left and right had more than  $t - 1$ , 3, 12 could take one and 3 would be moved down.
- Also, the sibling of 3, 12 has also  $t - 1$  keys, so it is not possible to move the root to the left and take the leftmost key from the sibling to be the new root
- Therefore the root has to be pushed down merging its two children, so that 4 can be safely deleted from the leaf

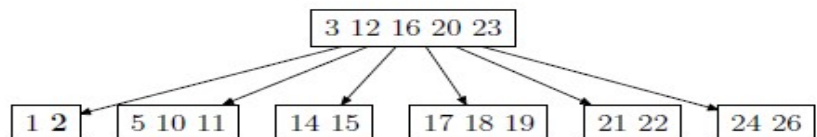
Initial tree:



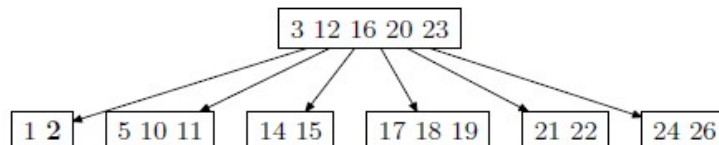
4 deleted:



Outcome:

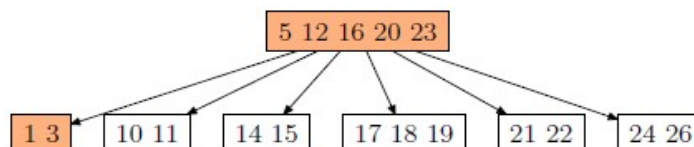


Initial tree:



2 deleted:

(to the previous one)



- In this case, 1, 2 has  $t - 1$  keys, but the sibling to the right has  $t$ . Recursion moves 5 to fill 3's position, 5 is moved to the appropriate leaf, and deleted from there

**LECTURE No.:22** *Graph definitions and Graph representations/storage implementations —applications. . Introduction to Graphs:*

Graph  $G$  is a pair  $(V, E)$ , where  $V$  is a finite set of vertices and  $E$  is a finite set of edges. We will often denote  $n = |V|$ ,  $e = |E|$ .

A graph is generally displayed as figure 6.5.1, in which the vertices are represented by circles and the edges by lines.

An edge with an orientation (i.e., arrow head) is a directed edge, while an edge with no orientation is our undirected edge.

If all the edges in a graph are undirected, then the graph is an undirected graph. The graph in figure 6.5.1(a) is an undirected graph. If all the edges are directed; then the graph is a directed graph. The graph of figure 6.5.1(b) is a directed graph. A directed graph is also called as digraph. A graph  $G$  is connected if and only if there is a simple path between any two nodes in  $G$ .

A graph  $G$  is said to be complete if every node  $a$  in  $G$  is adjacent to every other node  $v$  in  $G$ . A complete graph with  $n$  nodes will have  $n(n-1)/2$  edges. For example, Figure 6.5.1.(a) and figure 6.5.1.(d) are complete graphs.

A directed graph  $G$  is said to be connected, or strongly connected, if for each pair  $(u, v)$  for nodes in  $G$  there is a path from  $u$  to  $v$  and also a path from  $v$  to  $u$ . On the other hand,  $G$  is said to be unilaterally connected if for any pair  $(u, v)$  of nodes in  $G$  there is a path from  $u$  to  $v$  or a path from  $v$  to  $u$ . For example, the digraph shown in figure 6.5.1 (e) is strongly connected.

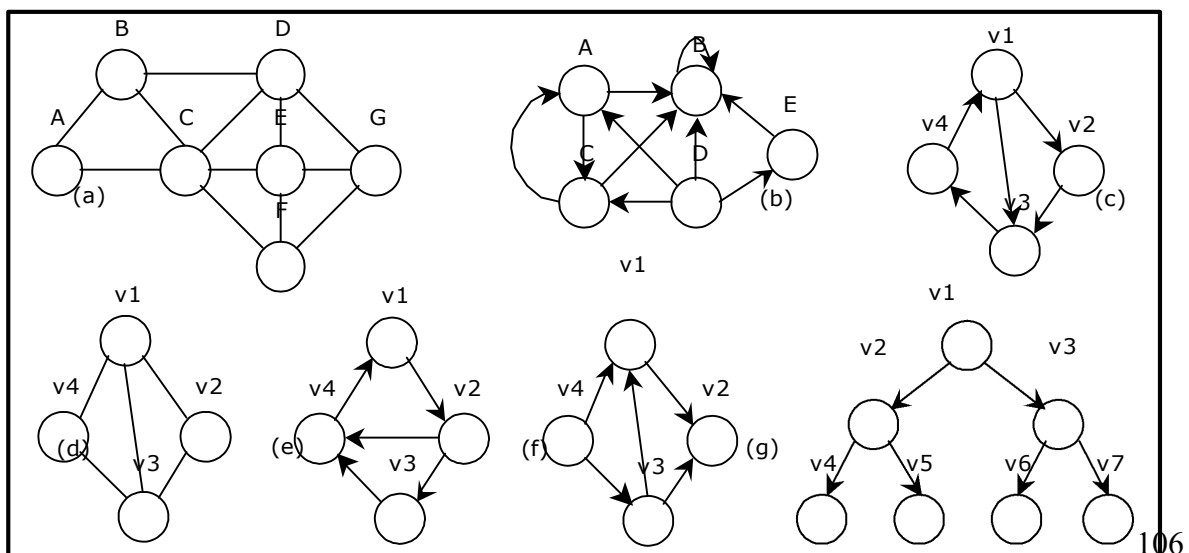


Figure 6.5.1 Various Graphs

We can assign weight function to the edges:  $w_G(e)$  is a weight of edge  $e \in E$ . The graph which has such function assigned is called weighted graph. The number of incoming edges to a vertex  $v$  is called in-degree of the vertex (denote  $\text{indeg}(v)$ ). The number of outgoing edges from a vertex is called out-degree (denote  $\text{outdeg}(v)$ ). For example, let us consider the digraph shown in figure 6.5.1(f),

$$\text{indegree}(v_1) = 2$$

$$\text{outdegree}(v_1) = 1 \quad \text{indegree}(v_2) = 2$$

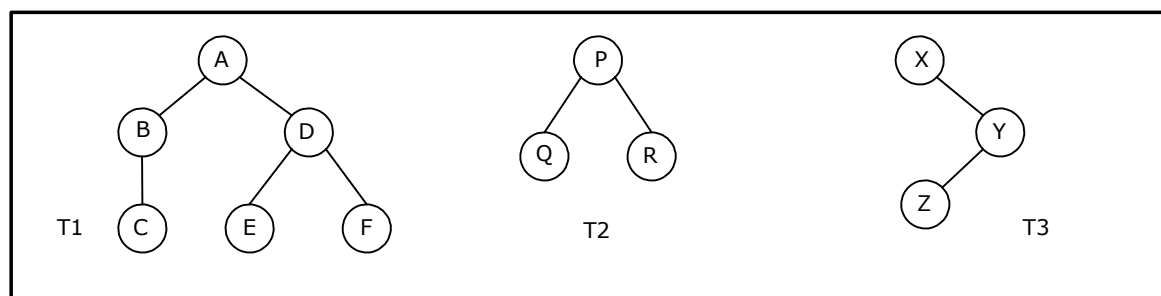
$$\text{outdegree}(v_2) = 0$$

A path is a sequence of vertices  $(v_1, v_2, \dots, v_k)$ , where for all  $i$ ,  $(v_i, v_{i+1}) \in E$ . A path is simple if all vertices in the path are distinct. If there is a path containing one or more edges which starts from a vertex  $V_i$  and terminates into the same vertex then the path is known as a cycle. For example, there is a cycle in figure 6.5.1(a), figure 6.5.1(c) and figure 6.5.1(d).

If a graph (digraph) does not have any cycle then it is called **acyclic graph**. For example, the graphs of figure 6.5.1 (f) and figure 6.5.1 (g) are acyclic graphs.

A graph  $G' = (V', E')$  is a sub-graph of graph  $G = (V, E)$  iff  $V' \subseteq V$  and  $E' \subseteq E$ .

A **Forest** is a set of disjoint trees. If we remove the root node of a given tree then it becomes forest. The following figure shows a forest  $F$  that consists of three trees  $T_1$ ,  $T_2$  and  $T_3$ .



A Forest  $F$

A graph that has either self loop or parallel edges or both is called **multi-graph**.

*Tree is a connected acyclic graph* (there aren't any sequences of edges that go around in a loop). A spanning tree of a graph  $G = (V, E)$  is a tree

that contains all vertices of  $V$  and is a subgraph of  $G$ . A single graph can have multiple spanning trees.

Let  $T$  be a spanning tree of a graph  $G$ . Then

1. *Any two vertices in  $T$  are connected by a unique simple path.*
2. *If any edge is removed from  $T$ , then  $T$  becomes disconnected.*
3. *If we add any edge into  $T$ , then the new graph will contain a cycle.*
4. *Number of edges in  $T$  is  $n-1$ .*

## **LECTURE No.:23 adjacency matrix, adjacency list, adjacency multi-list.**

### **Representation of Graphs:**

There are two ways of representing digraphs. They are:

- Adjacency matrix.
- Adjacency List.
- Incidence matrix.

### **Adjacency matrix:**

In this representation, the adjacency matrix of a graph  $G$  is a two dimensional  $n \times n$  matrix, say  $A = (a_{ij})$ , where

$$a_{i,j} = \begin{cases} 1 & \text{there is an edge from } v_i \text{ to } v_j \\ 0 & \text{otherwise} \end{cases}$$

The matrix is symmetric in case of undirected graph, while it may be asymmetric if the graph is directed. This matrix is also called as Boolean matrix or bit matrix.

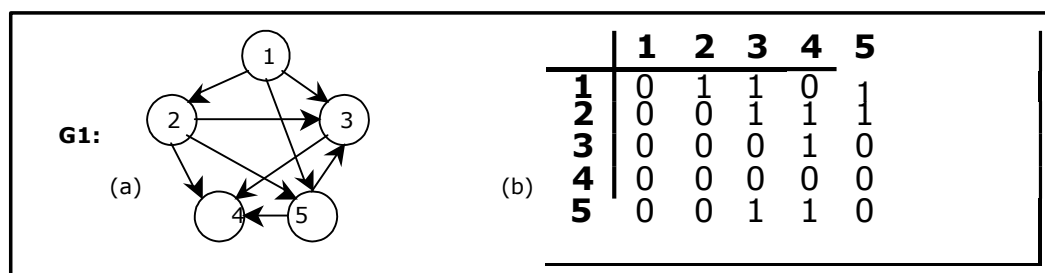
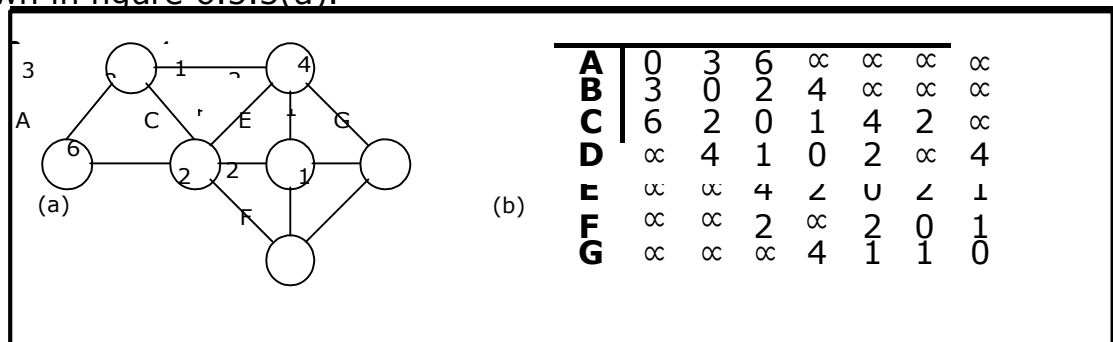


Figure 6.5.2. A graph and its Adjacency matrix

Figure 6.5.2(b) shows the adjacency matrix representation of the graph G1 shown in figure 6.5.2(a). The adjacency matrix is also useful to store multigraph as well as weighted graph. In case of multigraph representation, instead of entry 0 or 1, the entry will be between number of edges between two vertices.

In case of weighted graph, the entries are weights of the edges between the vertices. The adjacency matrix for a weighted graph is called as cost adjacency matrix. Figure 6.5.3(b) shows the cost adjacency matrix representation of the graph G2 shown in figure 6.5.3(a).



### Adjacency List:

In this representation, the  $n$  rows of the adjacency matrix are represented as  $n$  linked lists. An array  $\text{Adj}[1, 2, \dots, n]$  of pointers where for  $1 \leq v \leq n$ ,  $\text{Adj}[v]$  points to a linked list containing the vertices which are adjacent to  $v$  (i.e. the vertices that can be reached from  $v$  by a single edge). If the edges have weights then these weights may also be stored in the linked list elements. For the graph G in figure 6.5.4(a), the adjacency list is shown in figure 6.5.4 (b).

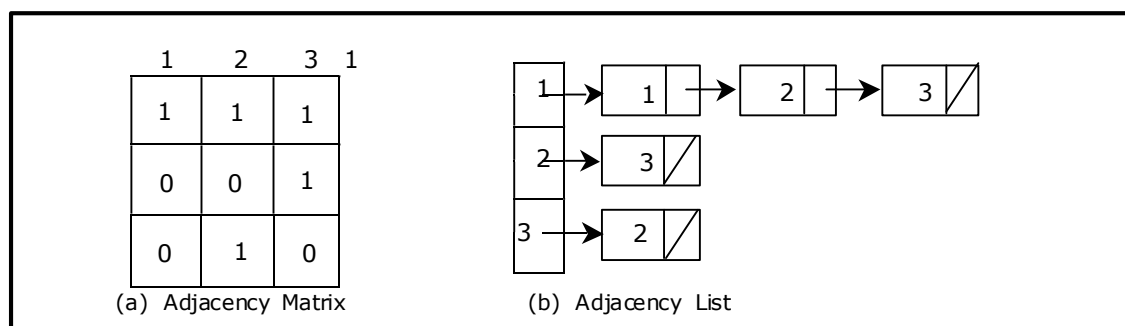


Figure 6.5.4 Adjacency matrix and adjacency list

## **LECTURE No.:24 *Graph traversal and connectivity – Depth-first search (DFS),\_Breadth-first search (BFS)***

### **Traversing a Graph**

Many graph algorithms require one to systematically examine the nodes and edges of a graph G. There are two standard ways to do this. They are:

- Breadth first traversal (BFT)
- Depth first traversal (DFT)

The BFT will use a queue as an auxiliary structure to hold nodes for future processing and the DFT will use a STACK.

During the execution of these algorithms, each node N of G will be in one of three states, called the *status* of N, as follows:

1. STATUS = 1 (Ready state): The initial state of the node N.
2. STATUS = 2 (Waiting state): The node N is on the QUEUE or STACK, waiting to be processed.
3. STATUS = 3 (Processed state): The node N has been processed.

Both BFS and DFS impose a tree (the BFS/DFS tree) on the structure of graph. So, we can compute a spanning tree in a graph. The computed spanning tree is not a minimum spanning tree. The spanning trees obtained using depth first search are called depth first spanning trees. The spanning trees obtained using breadth first search are called Breadth first spanning trees.

### **Breadth first search and traversal:**

The general idea behind a breadth first traversal beginning at a starting node A is as follows. First we examine the starting node A. Then we examine all the neighbors of A. Then we examine all the neighbors of neighbors of A. And so on. We need to keep track of the neighbors of a node, and we need to guarantee that no node is processed more than once. This is accomplished by using a QUEUE to hold nodes that are waiting to be processed, and by using a field STATUS that tells us the current status of any node. The spanning trees obtained using BFS are called Breadth first spanning trees.

Breadth first traversal algorithm on graph G is as follows:

This algorithm executes a BFT on graph G beginning at a starting node A.

Initialize all nodes to the ready state (STATUS = 1).

1. Put the starting node A in QUEUE and change its status to the waiting state (STATUS = 2).
2. Repeat the following steps until QUEUE is empty:
  - a. Remove the front node N of QUEUE. Process N and change the status of N to the processed state (STATUS = 3).

- b. Add to the rear of QUEUE all the neighbors of N that are in the ready state (STATUS = 1), and change their status to the waiting state (STATUS = 2).
3. Exit.

### Depth first search and traversal:

Depth first search of undirected graph proceeds as follows: First we examine the starting node V. Next an unvisited vertex 'W' adjacent to 'V' is selected and a depth first search from 'W' is initiated. When a vertex 'U' is reached such that all its adjacent vertices have been visited, we back up to the last vertex visited, which has an unvisited vertex 'W' adjacent to it and initiate a depth first search from W. The search terminates when no unvisited vertex can be reached from any of the visited ones.

This algorithm is similar to the inorder traversal of binary tree. DFT algorithm is similar to BFS except now use a STACK instead of the QUEUE. Again field STATUS is used to tell us the current status of a node.

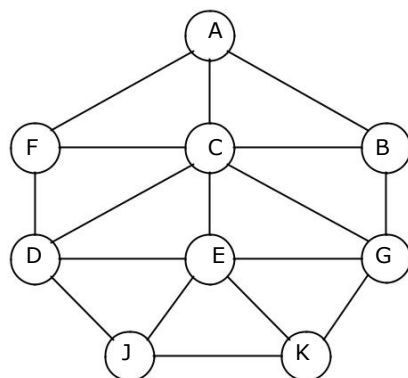
The algorithm for depth first traversal on a graph G is as follows.

This algorithm executes a DFT on graph G beginning at a starting node A.

1. Initialize all nodes to the ready state (STATUS = 1).
2. Push the starting node A into STACK and change its status to the waiting state (STATUS = 2).
3. Repeat the following steps until STACK is empty:
  - a. Pop the top node N from STACK. Process N and change the status of N to the processed state (STATUS = 3).
  - b. Push all the neighbors of N that are in the ready state (STATUS = 1), and change their status to the waiting state (STATUS = 2).
4. Exit.

### Example 1:

Consider the graph shown below. Traverse the graph shown below in breadth first order and depth first order.



A Graph G

| Node | Adjacency List   |
|------|------------------|
| A    | F, C, B          |
| B    | A, C, G          |
| C    | A, B, D, E, F, G |
| D    | C, F, E, J       |
| E    | C, D, G, J, K    |
| F    | A, C, D          |
| G    | B, C, E, K       |
| J    | D, E, K          |
| K    | E, G, J          |

Adjacency list for graph G



### Breadth-first search and traversal:

The steps involved in breadth first traversal are as follows:

| Current Node | QUEUE   | Processed Nodes   | Status |   |   |   |   |   |   |   |   |
|--------------|---------|-------------------|--------|---|---|---|---|---|---|---|---|
|              |         |                   | A      | B | C | D | E | F | G | J | K |
|              |         |                   | 1      | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
|              | A       |                   | 2      | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| A            | F C B   | A                 | 3      | 2 | 2 | 1 | 1 | 2 | 1 | 1 | 1 |
| F            | C B D   | A F               | 3      | 2 | 2 | 2 | 1 | 3 | 1 | 1 | 1 |
| C            | B D E G | A F C             | 3      | 2 | 3 | 2 | 2 | 3 | 2 | 1 | 1 |
| B            | D E G   | A F C B           | 3      | 3 | 3 | 2 | 2 | 3 | 2 | 1 | 1 |
| D            | E G J   | A F C B D         | 3      | 3 | 3 | 3 | 2 | 3 | 2 | 2 | 1 |
| E            | G J K   | A F C B D E       | 3      | 3 | 3 | 3 | 3 | 3 | 2 | 2 | 2 |
| G            | J K     | A F C B D E G     | 3      | 3 | 3 | 3 | 3 | 3 | 3 | 2 | 2 |
| J            | K       | A F C B D E G J   | 3      | 3 | 3 | 3 | 3 | 3 | 3 | 3 | 2 |
| K            | EMPTY   | A F C B D E G J K | 3      | 3 | 3 | 3 | 3 | 3 | 3 | 3 | 3 |

For the above graph the breadth first traversal sequence is: **A F C B D E G J K**.

### Depth-first search and traversal:

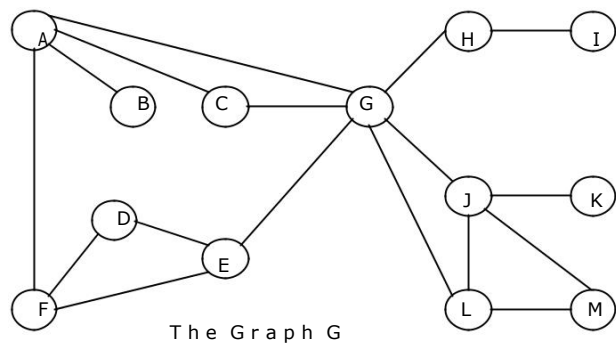
The steps involved in depth first traversal are as follows:

| Current Node | Stack   | Processed Nodes   | Status |   |   |   |   |   |   |   |   |
|--------------|---------|-------------------|--------|---|---|---|---|---|---|---|---|
|              |         |                   | A      | B | C | D | E | F | G | J | K |
|              |         |                   | 1      | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
|              | A       |                   | 2      | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| A            | B C F   | A                 | 3      | 2 | 2 | 1 | 1 | 2 | 1 | 1 | 1 |
| F            | B C D   | A F               | 3      | 2 | 2 | 2 | 1 | 3 | 1 | 1 | 1 |
| D            | B C E J | A F D             | 3      | 2 | 2 | 3 | 2 | 3 | 1 | 2 | 1 |
| J            | B C E K | A F D J           | 3      | 2 | 2 | 3 | 2 | 3 | 1 | 3 | 2 |
| K            | B C E G | A F D J K         | 3      | 2 | 2 | 3 | 2 | 3 | 2 | 3 | 3 |
| G            | B C E   | A F D J K G       | 3      | 2 | 2 | 3 | 2 | 3 | 3 | 3 | 3 |
| E            | B C     | A F D J K G E     | 3      | 2 | 2 | 3 | 3 | 3 | 3 | 3 | 3 |
| C            | B       | A F D J K G E C   | 3      | 2 | 3 | 3 | 3 | 3 | 3 | 3 | 3 |
| B            | EMPTY   | A F D J K G E C B | 3      | 3 | 3 | 3 | 3 | 3 | 3 | 3 | 3 |

For the above graph the depth first traversal sequence is: **A F D J K G E C B**.

**Example 2:**

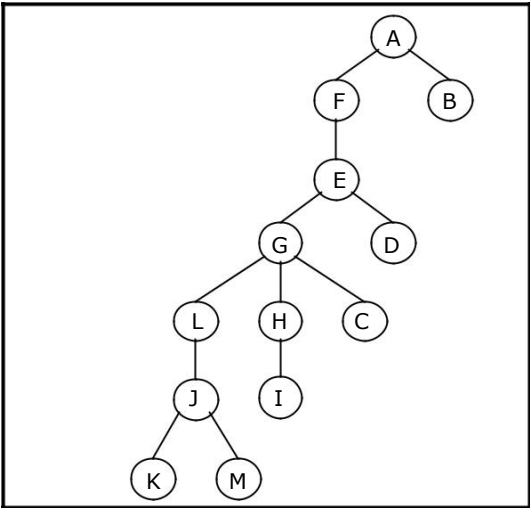
Traverse the graph shown below in breadth first order, depth first order and construct the breadth first and depth first spanning trees.



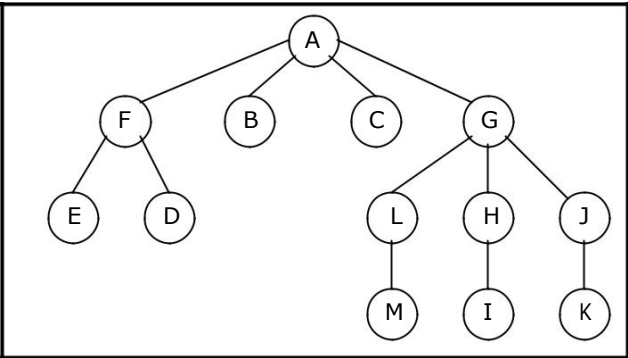
| Node | Adjacency List   |
|------|------------------|
| A    | F, B, C, G       |
| B    | A                |
| C    | A, G             |
| D    | E, F             |
| E    | G, D, F          |
| F    | A, E, D          |
| G    | A, L, E, H, J, C |
| H    | G, I             |
| I    | H                |
| J    | G, L, K, M       |
| K    | J                |
| L    | G, J, M          |

The Adjacency List for the graph G

If the depth first traversal is initiated from vertex A, then the vertices of graph G are visited in the order: **A F E G L J K M H I C D B**. The depth first spanning tree is shown in the figure given below:

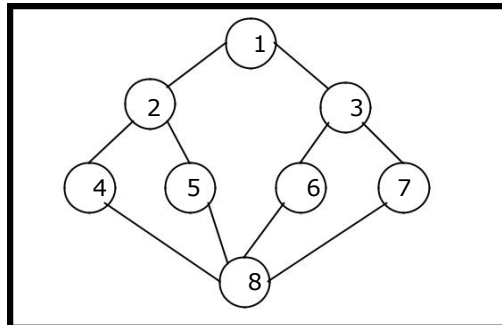


If the breadth first traversal is initiated from vertex A, then the vertices of graph G are visited in the order: **A F B C G E D L H J M I K**. The breadth first spanning tree is shown in the figure given below:



**Example 3:**

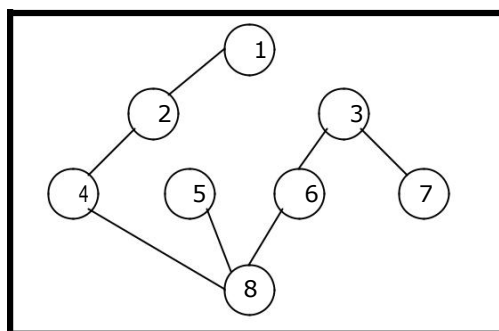
Traverse the graph shown below in breadth first order, depth first order and construct the breadth first and depth first spanning trees.



Graph G

**Depth first search and traversal:**

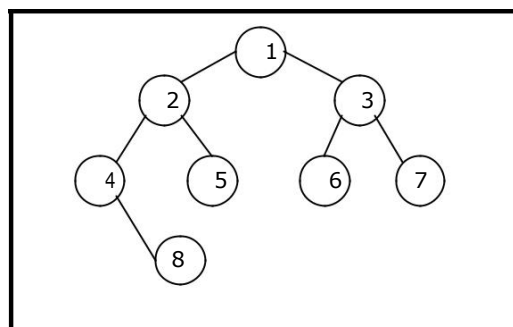
If the depth first is initiated from vertex 1, then the vertices of graph G are visited in the order: 1, 2, 4, 8, 5, 6, 3, 7. The depth first spanning tree is as follows:



Depth First Spanning Tree

**Breadth first search and traversal:**

If the breadth first search is initiated from vertex 1, then the vertices of G are visited in the order: 1, 2, 3, 4, 5, 6, 7, 8. The breadth first spanning tree is as follows:



Breadth First Spanning Tree

**MODULE No.:IV**  
**LECTURE No.:25 *sorting ,Bubble sort and its optimizations***

Searching is used to find the location where an element is available. There are two types of search techniques. They are:

1. Linear or sequential search
2. Binary search

Sorting allows an efficient arrangement of elements within a given data structure. It is a way in which the elements are organized systematically for some purpose. For example, a dictionary in which words are arranged in alphabetical order and telephone directory in which the subscriber names are listed in alphabetical order. There are many sorting techniques out of which we study the following.

1. Bubble sort
2. Quick sort
3. Selection sort
  
4. Insertion sort
  
5. Merge sort
  
6. Radix sort

There are two types of sorting techniques:

1. Internal sorting
2. External sorting

If all the elements to be sorted are present in the main memory then such sorting is called **internal sorting** on the other hand, if some of the elements to be sorted are kept on the secondary storage, it is called **external sorting**. Here we study only internal sorting techniques.

## Sorting

### **Bubble Sort:**

The bubble sort is easy to understand and program. The basic idea of bubble sort is to pass through the file sequentially several times. In each pass, we compare each element in the file with its successor i.e.,  $X[i]$  with  $X[i+1]$  and interchange two elements when they are not in proper order. We will illustrate this sorting technique by taking a specific example. Bubble sort is also called as exchange sort.

### **Example:**

Consider the array  $x[n]$  which is stored in memory as shown below:

| X[0] | X[1] | X[2] | X[3] | X[4] | X[5] |
|------|------|------|------|------|------|
| 33   | 44   | 22   | 11   | 66   | 55   |

Suppose we want our array to be stored in ascending order. Then we pass through the array 5 times as described below:

**Pass 1:** (first element is compared with all other elements).

We compare  $X[i]$  and  $X[i+1]$  for  $i = 0, 1, 2, 3$ , and 4, and interchange  $X[i]$  and  $X[i+1]$  if  $X[i] > X[i+1]$ . The process is shown below:

| X[0] | X[1] | X[2] | X[3] | X[4] | X[5] | Remarks |
|------|------|------|------|------|------|---------|
| 33   | 44   | 22   | 11   | 66   | 55   |         |
|      | 22   | 44   |      |      |      |         |
|      |      | 11   | 44   |      |      |         |
|      |      |      | 44   | 66   |      |         |
|      |      |      |      | 55   | 66   |         |
| 33   | 22   | 11   | 44   | 55   | 66   |         |

The biggest number 66 is moved to (bubbled up) the right most position in the array.

**Pass 2:** (second element is compared).

We repeat the same process, but this time we don't include X[5] into our comparisons. i.e., we compare X[i] with X[i+1] for i=0, 1, 2, and 3 and interchange X[i] and X[i+1] if X[i] > X[i+1]. The process is shown below:

| X[0] | X[1] | X[2] | X[3] | X[4] | Remarks |
|------|------|------|------|------|---------|
| 33   | 22   | 11   | 44   | 55   |         |
| 22   | 33   |      |      |      |         |
|      | 11   | 33   |      |      |         |
|      |      | 33   | 44   |      |         |
|      |      |      | 44   | 55   |         |
| 22   | 11   | 33   | 44   | 55   |         |

The second biggest number 55 is moved now to X[4].

**Pass 3:** (third element is compared).

We repeat the same process, but this time we leave both X[4] and X[5]. By doing this, we move the third biggest number 44 to X[3].

| X[0] | X[1] | X[2] | X[3] | Remarks |
|------|------|------|------|---------|
| 22   | 11   | 33   | 44   |         |
| 11   | 22   |      |      |         |
|      | 22   | 33   |      |         |
|      |      | 33   | 44   |         |
| 11   | 22   | 33   | 44   |         |

**Pass 4:** (fourth element is compared).

We repeat the process leaving X[3], X[4], and X[5]. By doing this, we move the fourth biggest number 33 to X[2].

| X[0] | X[1] | X[2] | Remarks |
|------|------|------|---------|
| 11   | 22   | 33   |         |
| 11   | 22   |      |         |
|      | 22   | 33   |         |

**Pass 5:** (fifth element is compared).

We repeat the process leaving X[2], X[3], X[4], and X[5]. By doing this, we move the fifth biggest number 22 to X[1]. At this time, we will have the smallest number 11 in X[0]. Thus, we see that we can sort the array of size 6 in 5 passes.

For an array of size n, we required (n-1) passes.

### 7.3.1. Program for Bubble Sort:

```

#include <stdio.h>
#include <conio.h>
void bubblesort(int x[], int n)
{
    int i, j, temp;
    for (i = 0; i < n; i++)
    {
        for (j = 0; j < n-i-1 ; j++)
        {
            if (x[j] > x[j+1])
            {
                temp = x[j];
                x[j] = x[j+1];
                x[j+1] = temp;
            }
        }
    }
}

main()
{
    int i, n, x[25];
    clrscr();
    printf("\n Enter the number of elements: ");
    scanf("%d", &n);
    printf("\n Enter Data:");
    for(i = 0; i < n ; i++)
        scanf("%d", &x[i]);
    bubblesort(x, n);
    printf ("\n Array Elements after sorting: ");
    for (i = 0; i < n; i++)
        printf ("%5d", x[i]);
}

```

### **Time Complexity:**

The bubble sort method of sorting an array of size  $n$  requires  $(n-1)$  passes and  $(n-1)$  comparisons on each pass. Thus the total number of comparisons is  $(n-1) * (n-1) = n^2 - 2n + 1$ , which is  $O(n^2)$ . Therefore bubble sort is very inefficient when there are more elements to sorting.

## **LECTURE No.:26 *insertion sort***

This is an in-place comparison-based sorting algorithm. Here, a sub-list is maintained which is always sorted. For example, the lower part of an array is maintained to be sorted. An element which is to be 'insert'ed in this sorted sub-list, has to find its appropriate place and then it has to be inserted there. Hence the name, **insertion sort**.

The array is searched sequentially and unsorted items are moved and inserted into the sorted sub-list (in the same array). This algorithm is not suitable for large data sets as its average and worst case complexity are of  $O(n^2)$ , where  $n$  is the number of items.

## Example

Let us take an unsorted array for our example.



Insertion sort compares the first two elements.



It finds that both 14 and 33 are already in ascending order. For now, 14 is in sorted sub-list.



Insertion sort moves ahead and compares 33 with 27.



And finds that 33 is not in the correct position.



It swaps 33 with 27. It also checks with all the elements of sorted sub-list. Here we see that the sorted sub-list has only one element 14, and 27 is greater than 14. Hence, the sorted sub-list remains sorted after swapping



By now we have 14 and 27 in the sorted sub-list. Next, it compares 33 with 10.



These values are not in a sorted order.



So we swap them.



However, swapping makes 27 and 10 unsorted.



Hence, we swap them too.



Again we find 14 and 10 in an unsorted order.



We swap them again. By the end of third iteration, we have a sorted sub-list of 4 items.



This process goes on until all the unsorted values are covered in a sorted sub-list. Now we shall see some programming aspects of insertion sort.



## Algorithm

Now we have a bigger picture of how this sorting technique works, so we can derive simple steps by which we can achieve insertion sort.

- 1 If it is the first element, it is already sorted. return 1;
- 2 Pick next element
- 3 Compare with all elements in the sorted sub-list
- 4 Shift all the elements in the sorted sub-list that is greater than the value to be sorted
- 5 Insert the value
- 6 Repeat until list is sorted

## Program for selection sort:

```
#include <stdio.h>
#include <math.h>

/* Function to sort an array using insertion sort*/
void insertionSort(int arr[], int n)
{
    int i, key, j;
    for (i = 1; i < n; i++)
    {
        key = arr[i];
        j = i-1;

        /* Move elements of arr[0..i-1], that are
           greater than key, to one position ahead
           of their current position */
        while (j >= 0 && arr[j] > key)
        {
            arr[j+1] = arr[j];
            j = j-1;
        }
        arr[j+1] = key;
    }
}

// A utility function to print an array of size n
void printArray(int arr[], int n)
{
    int i;
    for (i=0; i < n; i++)
        printf("%d ", arr[i]);
    printf("\n");
}

/* Driver program to test insertion sort */
int main()
{
    int arr[] = {12, 11, 13, 5, 6};
    int n = sizeof(arr)/sizeof(arr[0]);

    insertionSort(arr, n);
    printArray(arr, n);

    return 0;
}
```

## Complexity

If we take a closer look at the insertion sort code, we can notice that every iteration of while loop reduces one inversion. The while loop executes only if  $i > j$  and  $arr[i] < arr[j]$ . Therefore total number of while loop iterations (For all values of  $i$ ) is same as number of inversions. Therefore overall time complexity of the insertion sort is  $O(n + f(n))$  where  $f(n)$  is inversion count. If the inversion count is  $O(n)$ , then the time complexity of insertion sort is  $O(n)$ . In worst case, there can be  $n*(n-1)/2$  inversions. The worst case occurs when the array is sorted in reverse order. So the worst case time complexity of insertion sort is  $O(n^2)$ .

## LECTURE No.:27 *selection sort, merge sort*

### **7.4. Selection Sort:**

Selection sort will not require no more than  $n-1$  interchanges. Suppose  $x$  is an array of size  $n$  stored in memory. The selection sort algorithm first selects the smallest element in the array  $x$  and place it at array position 0; then it selects the next smallest element in the array  $x$  and place it at array position 1. It simply continues this procedure until it places the biggest element in the last position of the array.

The array is passed through  $(n-1)$  times and the smallest element is placed in its respective position in the array as detailed below:

*Pass 1:* Find the location  $j$  of the smallest element in the array  $x[0], x[1], \dots, x[n-1]$ , and then interchange  $x[j]$  with  $x[0]$ . Then  $x[0]$  is sorted.

*Pass 2:* Leave the first element and find the location  $j$  of the smallest element in the sub-array  $x[1], x[2], \dots, x[n-1]$ , and then interchange  $x[1]$  with  $x[j]$ . Then  $x[0], x[1]$  are sorted.

*Pass 3:* Leave the first two elements and find the location  $j$  of the smallest element in the sub-array  $x[2], x[3], \dots, x[n-1]$ , and then interchange  $x[2]$  with  $x[j]$ . Then  $x[0], x[1], x[2]$  are sorted.

*Pass (n-1):* Find the location  $j$  of the smaller of the elements  $x[n-2]$  and  $x[n-1]$ , and then interchange  $x[j]$  and  $x[n-2]$ . Then  $x[0], x[1], \dots, x[n-2]$  are sorted. Of course, during this pass  $x[n-1]$  will be the biggest element and so the entire array is sorted.

### Time Complexity:

In general we prefer selection sort in case where the insertion sort or the bubble sort requires exclusive swapping. In spite of superiority of the selection sort over bubble sort and the insertion sort (there is significant decrease in run time), its efficiency is also  $O(n^2)$  for  $n$  data items.

### Example:

Let us consider the following example with 9 elements to analyze selection Sort:

| 1  | 2  | 3  | 4  | 5  | 6  | 7   | 8  | 9  | Remarks                           |
|----|----|----|----|----|----|-----|----|----|-----------------------------------|
| 65 | 70 | 75 | 80 | 50 | 60 | 55  | 85 | 45 | find the first smallest element   |
| i  |    |    |    |    |    |     |    | j  | swap $a[i]$ & $a[j]$              |
| 45 | 70 | 75 | 80 | 50 | 60 | 55  | 85 | 65 | find the second smallest element  |
|    | i  |    |    | j  |    |     |    |    | swap $a[i]$ and $a[j]$            |
| 45 | 50 | 75 | 80 | 70 | 60 | 55  | 85 | 65 | Find the third smallest element   |
|    |    | i  |    |    |    | j   |    |    | swap $a[i]$ and $a[j]$            |
| 45 | 50 | 55 | 80 | 70 | 60 | 75  | 85 | 65 | Find the fourth smallest element  |
|    |    |    | i  |    | j  |     |    |    | swap $a[i]$ and $a[j]$            |
| 45 | 50 | 55 | 60 | 70 | 80 | 75  | 85 | 65 | Find the fifth smallest element   |
|    |    |    |    | i  |    |     |    | j  | swap $a[i]$ and $a[j]$            |
| 45 | 50 | 55 | 60 | 65 | 80 | 75  | 85 | 70 | Find the sixth smallest element   |
|    |    |    |    |    | i  |     |    | j  | swap $a[i]$ and $a[j]$            |
| 45 | 50 | 55 | 60 | 65 | 70 | 75  | 85 | 80 | Find the seventh smallest element |
|    |    |    |    |    |    | i j |    |    | swap $a[i]$ and $a[j]$            |
| 45 | 50 | 55 | 60 | 65 | 70 | 75  | 85 | 80 | Find the eighth smallest element  |
|    |    |    |    |    |    |     | i  | J  | swap $a[i]$ and $a[j]$            |
| 45 | 50 | 55 | 60 | 65 | 70 | 75  | 80 | 85 | The outer loop ends.              |

#### **7.4.1. Non-recursive Program for selection sort:**

```
# include<stdio.h>
# include<conio.h>

void selectionSort( int low, int high );

int a[25];

int main()
{
    int num, i= 0;
    clrscr();
    printf( "Enter the number of elements: " );
    scanf("%d", &num);
    printf( "\nEnter the elements:\n" );
    for(i=0; i < num; i++)
        scanf( "%d", &a[i] );
    selectionSort( 0, num - 1 );
    printf( "\nThe elements after sorting are: " );
    for( i=0; i< num; i++ )
        printf( "%d ", a[i] );
    return 0;
}

void selectionSort( int low, int high )
{
    int i=0, j=0, temp=0, minindex;
    for( i=low; i <= high; i++ )
    {
        minindex = i;
        for( j=i+1; j <= high; j++ )
        {
            if( a[j] < a[minindex] )
                minindex = j;
        }
        temp = a[i];
        a[i] = a[minindex];
        a[minindex] = temp;
    }
}
```

#### **7.4.2. Recursive Program for selection sort:**

```
#include <stdio.h>
#include<conio.h>

int x[6] = {77, 33, 44, 11, 66};
selectionSort(int);

main()
{
    int i, n = 0;
    clrscr();
    printf ( " Array Elements before sorting: ");
    for (i=0; i<5; i++)
```

```

        printf ("%d ", x[i]);
    selectionSort(n);          /* call selection sort */
    printf ("\n Array Elements after sorting: ");
    for (i=0; i<5; i++)
        printf ("%d ", x[i]);
}

selectionSort( int n)
{
    int k, p, temp, min;
    if (n== 4)
        return (-
    1); min = x[n];
    p = n;
    for (k = n+1; k<5; k++)
    {
        if (x[k] <min)
        {
            min = x[k];
            p = k;
        }
    }
    temp = x[n]; /* interchange x[n] and x[p] */ x[n] =
    x[p];
    x[p] = temp;
    n++ ;
    selectionSort(n);
}

```

## Merge Sort

The basic concept of merge sort is divides the list into two smaller sub-lists of approximately equal size. Recursively repeat this procedure till only one element is left in the sub-list.

After this, various sorted sub-lists are merged to form sorted parent list. This process goes on recursively till the original sorted list arrived.

Algorithm :

Merge sort is based on the divide-and-conquer paradigm. Its worst-case running time has a lower order of growth than insertion sort. Since we are dealing with sub-problems, we state each subproblem as sorting a sub-array  $A[p \dots r]$ . Initially,  $p = 1$  and  $r = n$ , but these values change as we recurse through sub-problems.

To sort  $A[p \dots r]$ :

### 1. Divide Step

If a given array  $A$  has zero or one element, simply return; it is already sorted. Otherwise, split  $A[p \dots r]$  into two sub-arrays  $A[p \dots q]$  and  $A[q + 1 \dots r]$ , each containing about half of the elements of  $A[p \dots r]$ . That is,  $q$  is the halfway point of  $A[p \dots r]$ .

### 2. Conquer Step

Conquer by recursively sorting the two sub-arrays  $A[p \dots q]$  and  $A[q + 1 \dots r]$ .

### 3. Combine Step

Combine the elements back in  $A[p \dots r]$  by merging the two sorted sub-arrays  $A[p \dots q]$  and  $A[q + 1 \dots r]$  into a sorted sequence. To accomplish this step, we will define a procedure MERGE ( $A, p, q, r$ ).

Note that the recursion bottoms out when the sub-array has just one element, so that it is trivially sorted.

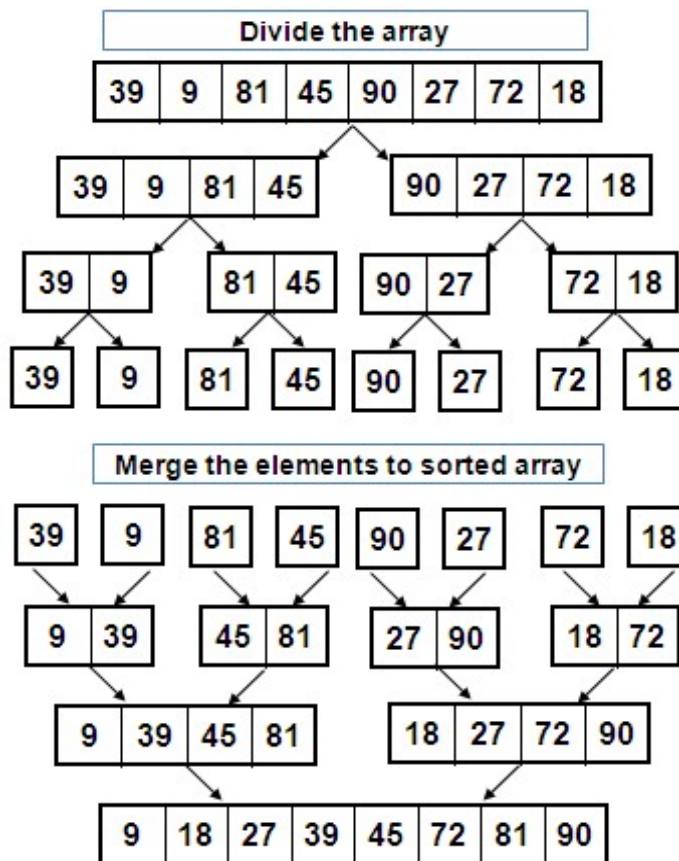
To sort the entire sequence  $A[1 \dots n]$ , make the initial call to the procedure MERGE-SORT ( $A, 1, n$ ).

MERGE-SORT ( $A, p, r$ )

1. IF  $p < r$  // Check for base case
2. THEN  $q = \text{FLOOR}[(p + r)/2]$  // Divide step
3. MERGE ( $A, p, q$ ) // Conquer step.
4. MERGE ( $A, q + 1, r$ ) // Conquer step.
5. MERGE ( $A, p, q, r$ ) // Conquer step.

### Example

A list of unsorted elements are: 39 9 81 45 90 27 72 18



Sorted elements are: 9 18 27 39 45 72 81 90

**Program for merge sort:**

```
#include<stdio.h>
void disp();
void mergesort(int,int,int);
void msortdiv(int,int);
int a[50],n;
void main()
```

```

{
int i;
clrscr();
printf("\nEnter the n value:");
scanf("%d",&n);
printf("\nEnter elements for an array:");
for(i=0;i<n;i++)
scanf("%d",&a[i]);
printf("\nBefore Sorting the elements are:");
disp();
msortdiv(0,n-1);
printf("\nAfter Sorting the elements are:");
disp();
getch();
}
void disp()
{
int i;
for(i=0;i<n;i++)
printf("%d ",a[i]);
}
void mergesort(int low,int mid,int high)
{
int t[50],i,j,k;
i=low;
j=mid+1;
k=low;
while((i<=mid) && (j<=high))
{
if(a[i]>=a[j])
t[k++]=a[j++];
else
t[k++]=a[i++];
}
while(i<=mid)
t[k++]=a[i++];
while(j<=high)
t[k++]=a[j++];
for(i=low;i<=high;i++)
a[i]=t[i];
}
void msortdiv(int low,int high)
{
int mid;
if(low!=high)
{
mid=((low+high)/2);
msortdiv(low,mid);
msortdiv(mid+1,high);
mergesort(low,mid,high);
}
}
}

```

**Time Complexity of merge sort:**

Best case :  $O(n \log n)$

Average case :  $O(n \log n)$

Worst case :  $O(n \log n)$

## **LECTURE No.:28 *quick sort, heap sort (concept of max heap)***

### **Quick Sort:**

The quick sort was invented by Prof. C. A. R. Hoare in the early 1960's. It was one of the first most efficient sorting algorithms. It is an example of a class of algorithms that work by "divide and conquer" technique.

The quick sort algorithm partitions the original array by rearranging it into two groups. The first group contains those elements less than some arbitrary chosen value taken from the set, and the second group contains those elements greater than or equal to the chosen value. The chosen value is known as the *pivot* element. Once the array has been rearranged in this way with respect to the *pivot*, the same partitioning procedure is recursively applied to each of the two subsets. When all the subsets have been partitioned and rearranged, the original array is sorted.

The function partition() makes use of two pointers up and down which are moved toward each other in the following fashion:

1. Repeatedly increase the pointer 'up' until  $a[up] \geq pivot$ .
2. Repeatedly decrease the pointer 'down' until  $a[down] \leq pivot$ .
3. If  $down > up$ , interchange  $a[down]$  with  $a[up]$
4. Repeat the steps 1, 2 and 3 till the 'up' pointer crosses the 'down' pointer. If 'up' pointer crosses 'down' pointer, the position for pivot is found and place pivot element in 'down' pointer position.



The program uses a recursive function quicksort(). The algorithm of quick sort function sorts all elements in an array 'a' between positions 'low' and 'high'.

1. It terminates when the condition  $low \geq high$  is satisfied. This condition will be satisfied only when the array is completely sorted.
2. Here we choose the first element as the 'pivot'. So,  $pivot = x[low]$ . Now it calls the partition function to find the proper position  $j$  of the element  $x[low]$  i.e. pivot. Then we will have two sub-arrays  $x[low], x[low+1], \dots, x[j-1]$  and  $x[j+1], x[j+2], \dots, x[high]$ .
3. It calls itself recursively to sort the left sub-array  $x[low], x[low+1], \dots, x[j-1]$  between positions  $low$  and  $j-1$  (where  $j$  is returned by the partition function).
4. It calls itself recursively to sort the right sub-array  $x[j+1], x[j+2], \dots, x[high]$  between positions  $j+1$  and  $high$ .

The time complexity of quick sort algorithm is of  **$O(n \log n)$** .

### Algorithm

Sorts the elements  $a[p], \dots, a[q]$  which reside in the global array  $a[n]$  into ascending order. The  $a[n + 1]$  is considered to be defined and must be greater than all elements in  $a[n]$ ;  $a[n + 1] = +\infty$

**quicksort** (p, q)

```
{
    if ( p < q ) then
    {
        call j = PARTITION(a, p, q+1); // j is the position of the partitioning element
        call quicksort(p, j - 1);
        call quicksort(j + 1, q);
    }
}
```

**partition**(a, m, p)

```
{
    v = a[m]; up = m; down = p;          // a[m] is the partition element
    do
    {
        repeat
            up = up + 1;
        until (a[up] ≥ v);

        repeat
            down = down - 1; until (a[down] ≤ v);
        if (up < down) then call interchange(a, up, down); } while (up ≥ down);

    a[m] = a[down];
    a[down] = v;
    return (down);
}
```

```

interchange(a, up, down)
{
    p = a[up];
    a[up] = a[down];
    a[down] = p;
}

```

### Example:

Select first element as the pivot element. Move 'up' pointer from left to right in search of an element larger than pivot. Move the 'down' pointer from right to left in search of an element smaller than pivot. If such elements are found, the elements are swapped.

This process continues till the 'up' pointer crosses the 'down' pointer. If 'up' pointer crosses 'down' pointer, the position for pivot is found and interchange pivot and element at 'down' position.

Let us consider the following example with 13 elements to analyze quick sort:

| 1           | 2               | 3         | 4         | 5               | 6          | 7         | 8   | 9    | 10 | 11   | 12 | 13  | Remarks           |
|-------------|-----------------|-----------|-----------|-----------------|------------|-----------|-----|------|----|------|----|-----|-------------------|
| 38          | 08              | 16        | 06        | 79              | 57         | 24        | 56  | 02   | 58 | 04   | 70 | 45  |                   |
| pivot       |                 |           |           | up              |            |           |     |      |    | down |    |     | swap up & down    |
| pivot       |                 |           |           | 04              |            |           |     |      |    | 79   |    |     |                   |
| pivot       |                 |           |           |                 | up         |           |     | down |    |      |    |     | swap up & down    |
| pivot       |                 |           |           |                 | 02         |           |     | 57   |    |      |    |     |                   |
| pivot       |                 |           |           |                 |            | down      | up  |      |    |      |    |     | swap pivot & down |
| (24         | 08              | 16        | 06        | 04              | 02)        | <b>38</b> | (56 | 57   | 58 | 79   | 70 | 45) |                   |
| pivot       |                 |           |           |                 | down       | up        |     |      |    |      |    |     | swap pivot & down |
| (02         | 08              | 16        | 06        | 04)             | <b>24</b>  |           |     |      |    |      |    |     |                   |
| pivot, down | up              |           |           |                 |            |           |     |      |    |      |    |     | swap pivot & down |
| <b>02</b>   | (08             | 16        | 06        | 04)             |            |           |     |      |    |      |    |     |                   |
|             | pivot           | up        |           | down            |            |           |     |      |    |      |    |     | swap up & down    |
|             | pivot           | 04        |           | 16              |            |           |     |      |    |      |    |     |                   |
|             | pivot           |           | down      | Up              |            |           |     |      |    |      |    |     |                   |
|             | (06             | 04)       | <b>08</b> | (16)            |            |           |     |      |    |      |    |     | swap pivot & down |
|             | pivot           | down      | up        |                 |            |           |     |      |    |      |    |     |                   |
|             | (04)            | <b>06</b> |           |                 |            |           |     |      |    |      |    |     | swap pivot & down |
|             | <b>04</b>       |           |           |                 |            |           |     |      |    |      |    |     |                   |
|             | pivot, down, up |           |           |                 |            |           |     |      |    |      |    |     |                   |
|             |                 |           |           | <b>16</b>       |            |           |     |      |    |      |    |     |                   |
|             |                 |           |           | pivot, down, up |            |           |     |      |    |      |    |     |                   |
| (02         | <b>04</b>       | <b>06</b> | <b>08</b> | <b>16</b>       | <b>24)</b> | 38        |     |      |    |      |    |     |                   |

|           |           |           |           |           |           |           |                                    |           |                                    |           |                |                                    |                   |
|-----------|-----------|-----------|-----------|-----------|-----------|-----------|------------------------------------|-----------|------------------------------------|-----------|----------------|------------------------------------|-------------------|
|           |           |           |           |           |           |           | (56                                | 57        | 58                                 | 79        | 70             | 45)                                |                   |
|           |           |           |           |           |           |           | pivot                              | up        |                                    |           |                | down                               | swap up & down    |
|           |           |           |           |           |           |           | pivot                              | 45        |                                    |           |                | 57                                 |                   |
|           |           |           |           |           |           |           | pivot                              | down      | up                                 |           |                |                                    | swap pivot & down |
|           |           |           |           |           |           |           | (45)                               | <b>56</b> | (58                                | 79        | 70             | 57)                                |                   |
|           |           |           |           |           |           |           | <b>45</b><br>pivot,<br>down,<br>up |           |                                    |           |                |                                    | swap pivot & down |
|           |           |           |           |           |           |           |                                    |           | (58<br>pivot                       | 79<br>up  | 70             | 57)<br>down                        | swap up & down    |
|           |           |           |           |           |           |           |                                    |           |                                    | 57        |                | 79                                 |                   |
|           |           |           |           |           |           |           |                                    |           |                                    | down      | up             |                                    |                   |
|           |           |           |           |           |           |           |                                    |           | (57)                               | <b>58</b> | (70            | 79)                                | swap pivot & down |
|           |           |           |           |           |           |           |                                    |           | <b>57</b><br>pivot,<br>down,<br>up |           |                |                                    |                   |
|           |           |           |           |           |           |           |                                    |           |                                    |           | (70            | 79)                                |                   |
|           |           |           |           |           |           |           |                                    |           |                                    |           | pivot,<br>down | up                                 | swap pivot & down |
|           |           |           |           |           |           |           |                                    |           |                                    |           | <b>70</b>      |                                    |                   |
|           |           |           |           |           |           |           |                                    |           |                                    |           |                | <b>79</b><br>pivot,<br>down,<br>up |                   |
|           |           |           |           |           |           |           | (45                                | <b>56</b> | <b>57</b>                          | <b>58</b> | <b>70</b>      | <b>79)</b>                         |                   |
| <b>02</b> | <b>04</b> | <b>06</b> | <b>08</b> | <b>16</b> | <b>24</b> | <b>38</b> | <b>45</b>                          | <b>56</b> | <b>57</b>                          | <b>58</b> | <b>70</b>      | <b>79</b>                          |                   |

### Recursive program for Quick Sort:

```
# include<stdio.h>
# include<conio.h>

void quicksort(int, int);
int partition(int, int); void
interchange(int, int); int
array[25];

int main()
{
    int num, i = 0;
    clrscr();
    printf( "Enter the number of elements: " );
    scanf( "%d", &num);
    printf( "Enter the elements: " );
    for(i=0; i < num; i++)
        scanf( "%d", &array[i] );
    quicksort(0, num -1);
    printf( "\nThe elements after sorting are: " );
}
```

```

        for(i=0; i < num; i++)
            printf("%d ", array[i]);
        return 0;
    }

void quicksort(int low, int high)
{
    int pivotpos;
    if( low < high )
    {
        pivotpos = partition(low, high + 1);
        quicksort(low, pivotpos - 1);
        quicksort(pivotpos + 1, high);
    }
}

int partition(int low, int high)
{
    int pivot = array[low];
    int up = low, down = high;

    do
    {
        do
            up = up + 1;
        while(array[up] < pivot );

        do
            down = down - 1;
        while(array[down] > pivot);

        if(up < down) interchange(up,
                                down);

    } while(up < down);
    array[low] = array[down];
    array[down] = pivot;
    return down;
}

void interchange(int i, int j)
{
    int temp;
    temp = array[i];
    array[i] = array[j];
    array[j] = temp;
}

```

## LECTURE No.:29 radix sort.

### **Radix Sort**

The [lower bound for Comparison based sorting algorithm](#) (Merge Sort, Heap Sort, Quick-Sort .. etc) is  $\Omega(n \log n)$ , i.e., they cannot do better than  $n \log n$ .

[Counting sort](#) is a linear time sorting algorithm that sort in  $O(n+k)$  time when elements are in range from 1 to k.

### **What if the elements are in range from 1 to $n^2$ ?**

We can't use counting sort because counting sort will take  $O(n^2)$  which is worse than comparison based sorting algorithms. Can we sort such an array in linear time?

[Radix Sort](#) is the answer. The idea of Radix Sort is to do digit by digit sort starting from least significant digit to most significant digit. Radix sort uses counting sort as a subroutine to sort.

### **Algorithm**

- 1) Do following for each digit i where i varies from least significant digit to the most significant digit.
- 2) Sort input array using counting sort (or any stable sort) according to the i'th digit.

### **Example:**

Original, unsorted list:  
170, 45, 75, 90, 802, 24, 2, 66

Sorting by least significant digit (1s place) gives: [\*Notice that we keep 802 before 2, because 802 occurred before 2 in the original list, and similarly for pairs 170 & 90 and 45 & 75.]

170, 90, 802, 2, 24, 45, 75, 66

Sorting by next digit (10s place) gives: [\*Notice that 802 again comes before 2 as 802 comes before 2 in the previous list.]

802, 2, 24, 45, 66, 170, 75, 90

Sorting by most significant digit (100s place) gives:

2, 24, 45, 66, 75, 90, 170, 802

### **What is the running time of Radix Sort?**

Let there be d digits in input integers. Radix Sort takes  $O(d*(n+b))$  time where b is the base for representing numbers, for example, for decimal system, b is 10. What is the value of d? If k is the maximum possible value, then d would be  $O(\log_b(k))$ . So overall time complexity is  $O((n+b) * \log_b(k))$ . Which looks more than the time complexity of comparison based sorting algorithms for a large k. Let us first limit k. Let  $k \leq n^c$  where c is a constant. In that case, the complexity

becomes  $O(n \log_b(n))$ . But it still doesn't beat comparison based sorting algorithms.

What if we make value of  $b$  larger?. What should be the value of  $b$  to make the time complexity linear? If we set  $b$  as  $n$ , we get the time complexity as  $O(n)$ . In other words, we can sort an array of integers with range from 1 to  $n^c$  if the numbers are represented in base  $n$  (or every digit takes  $\log_2(n)$  bits).

### **Is Radix Sort preferable to Comparison based sorting algorithms like Quick-Sort?**

If we have  $\log_2 n$  bits for every digit, the running time of Radix appears to be better than Quick Sort for a wide range of input numbers. The constant factors hidden in asymptotic notation are higher for Radix Sort and Quick-Sort uses hardware caches more effectively. Also, Radix sort uses counting sort as a subroutine and counting sort takes extra space to sort numbers.

### **Program for Radix Sort**

```
#include<iostream>
using namespace std;

// A utility function to get maximum value in arr[]
int getMax(int arr[], int n)
{
    int mx = arr[0];
    for (int i = 1; i < n; i++)
        if (arr[i] > mx)
            mx = arr[i];
    return mx;
}

// A function to do counting sort of arr[] according to
// the digit represented by exp.
void countSort(int arr[], int n, int exp)
{
    int output[n]; // output array
    int i, count[10] = {0};

    // Store count of occurrences in count[]
    for (i = 0; i < n; i++)
        count[ (arr[i]/exp)%10 ]++;

    // Change count[i] so that count[i] now contains actual
    // position of this digit in output[]
    for (i = 1; i < 10; i++)
        count[i] += count[i - 1];

    // Build the output array
    for (i = n - 1; i >= 0; i--)
    {
        output[count[ (arr[i]/exp)%10 ] - 1] = arr[i];
        count[ (arr[i]/exp)%10 ]--;
    }

    // Copy the output array to arr[], so that arr[] now
```

```

        // contains sorted numbers according to current digit
        for (i = 0; i < n; i++)
            arr[i] = output[i];
    }

    // The main function to that sorts arr[] of size n using
    // Radix Sort
    void radixsort(int arr[], int n)
    {
        // Find the maximum number to know number of digits
        int m = getMax(arr, n);

        // Do counting sort for every digit. Note that instead
        // of passing digit number, exp is passed. exp is 10^i
        // where i is current digit number
        for (int exp = 1; m/exp > 0; exp *= 10)
            countSort(arr, n, exp);
    }

    // A utility function to print an array
    void print(int arr[], int n)
    {
        for (int i = 0; i < n; i++)
            cout << arr[i] << " ";
    }

    // Driver program to test above functions
    int main()
    {
        int arr[] = {170, 45, 75, 90, 802, 24, 2, 66};
        int n = sizeof(arr)/sizeof(arr[0]);
        radixsort(arr, n);
        print(arr, n);
        return 0;
    }

```

## **LECTURE No.:30 heap sort (concept of max heap)**

### **HEAP SORT:**

A heap sort algorithm works by first organizing the data to be sorted into a special type of binary tree called a heap. Any kind of data can be sorted either in ascending order or in descending order using heap tree. It does this with the following steps:

1. Build a heap tree with the given set of data.
2. a. Remove the top most item (the largest) and replace it with the last element in the heap.
- b. Re-heapify the complete binary tree.
- c. Place the deleted node in the output.

3. Continue step 2 until the heap tree is empty.

### Algorithm:

This algorithm sorts the elements  $a[n]$ . Heap sort rearranges them in-place in non-decreasing order. First transform the elements into a heap.

#### **heapsort(a, n)**

```
{
    heapify(a, n);
    for i = n to 2 by -1 do
    {
        temp =
        a[i]; a[i] =
        a[1]; a[1]
        = temp;
        adjust (a, 1, i -
        1);
    }
}
```

#### **heapify (a, n)**

//Readjust the elements in  $a[n]$  to form a heap.

```
{
    for i =  $\lfloor n/2 \rfloor$  to 1 by -1 do adjust (a, i, n);
}
```

#### **adjust (a, i, n)**

// The complete binary trees with roots  $a(2*i)$  and  $a(2*i + 1)$  are combined with  $a(i)$  to form a single heap,  $1 \leq i \leq n$ . No node has an address greater than  $n$  or less than 1. //

```
{
    j = 2 * i ;
    item = a[i] ;
    while (j ≤ n)
    do
    {
        if ((j < n) and (a (j) < a (j + 1))) then j = j + 1;
        // compare left and right child and let j be the
        larger child if (item ≥ a (j)) then break;
        // a position for item is found
        else a[  $\lfloor j / 2 \rfloor$  ] = a[j] // move the larger child up a
        level
        j = 2 * j;
    }
    a [  $\lfloor j / 2 \rfloor$  ] = item;
}
```



### Time Complexity:

Each 'n' insertion operations takes  $O(\log k)$ , where 'k' is the number of elements in the heap at the time. Likewise, each of the 'n' remove operations also runs in time  $O(\log k)$ , where 'k' is the number of elements in the heap at the time.

Since we always have  $k \leq n$ , each such operation runs in  $O(\log n)$  time in the worst case.

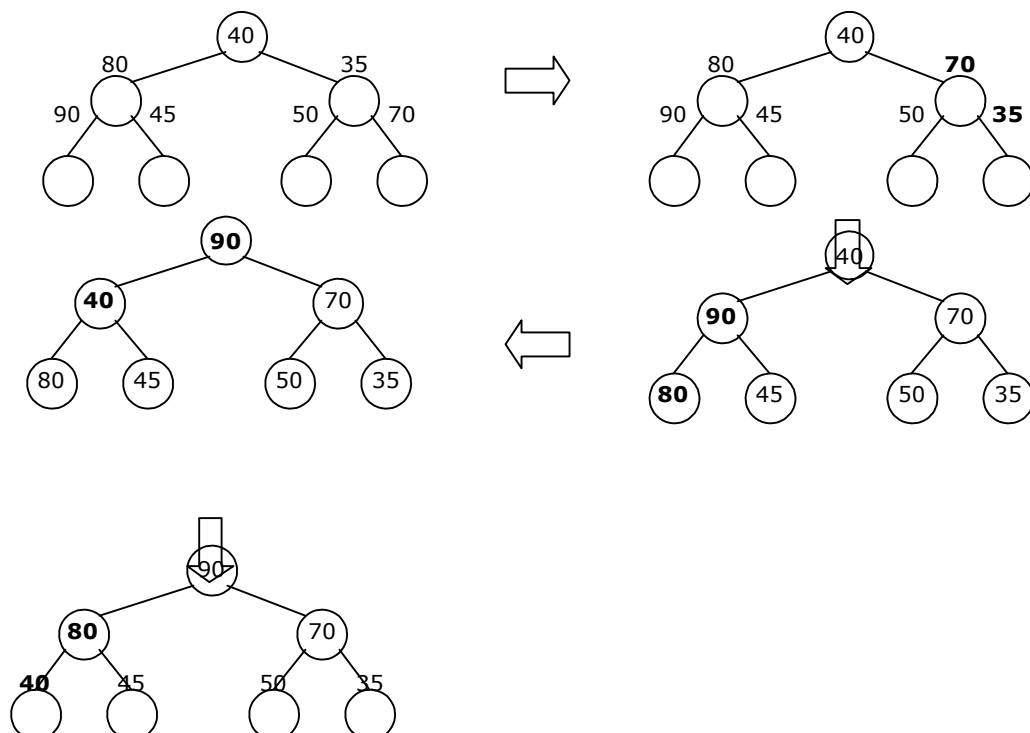
Thus, for 'n' elements it takes  $O(n \log n)$  time, so the priority queue sorting algorithm runs in  $O(n \log n)$  time when we use a heap to implement the priority queue.

### Example 1:

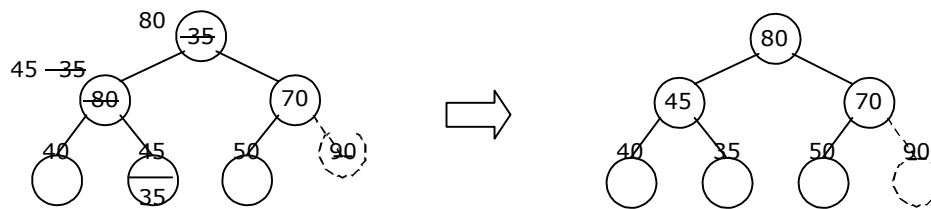
Form a heap from the set of elements (40, 80, 35, 90, 45, 50, 70) and sort the data using heap sort.

### Solution:

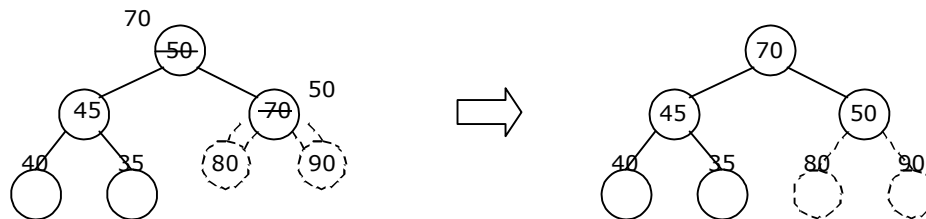
First form a heap tree from the given set of data and then sort by repeated deletion operation:



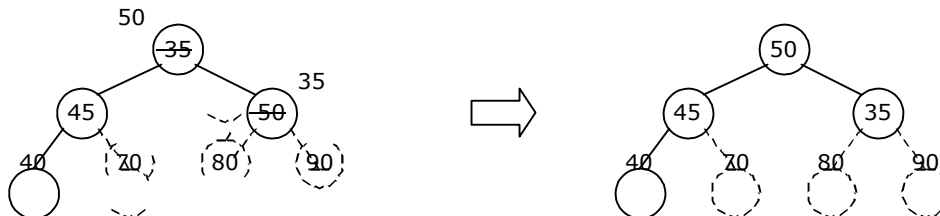
1. Exchange root 90 with the last element 35 of the array and re-heapify



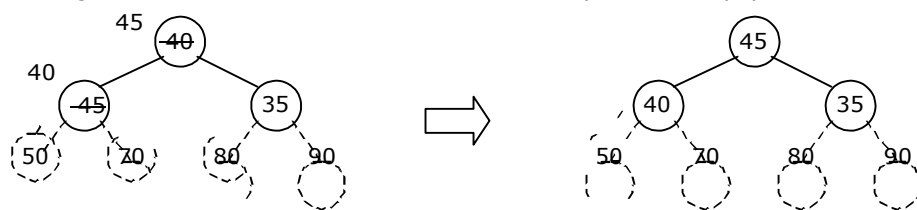
2. Exchange root 80 with the last element 50 of the array and re-heapify



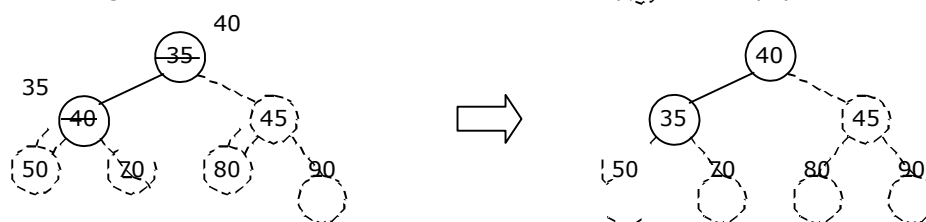
3. Exchange root 70 with the last element 35 of the array and re-heapify



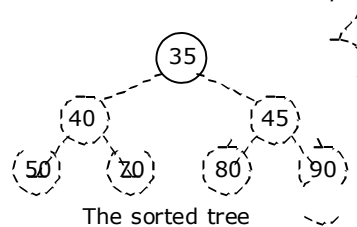
4. Exchange root 50 with the last element 40 of the array and re-heapify



5. Exchange root 45 with the last element 35 of the array and re-heapify



6. Exchange root 40 with the last element 35 of the array and re-heapify



### 7.7.1. Program for Heap Sort:

```
void adjust(int i, int n, int a[])
{
    int j,
    item; j =
    2 * i;
    item =
    a[i];
    while(j <= n)
    {
        if((j < n) && (a[j] < a[j+1]))
            j++;
        if(item >= a[j])
            break;
        else
        {
            a[j/2] = a[j];
            j = 2*j;
        }
    }
    a[j/2] = item;
}

void heapify(int n, int a[])
{
    int i;
    for(i = n/2; i > 0; i--)
        adjust(i, n, a);
}

void heapsort(int n,int a[])
{
    int temp, i;
    heapify(n, a);
    for(i = n; i > 0; i--)
    {
        temp =
        a[i]; a[i]
        = a[1];
        a[1] =
        temp;
        adjust(1, i - 1, a);
    }
}

void main()
{
    int i, n, a[20];
    clrscr();
    printf("\n How many element you want: ");
```

```

scanf("%d", &n);
printf("Enter %d elements: ", n);
for (i=1; i<=n; i++)
    scanf("%d",
        &a[i]);
heapsort(n, a);
printf("\n The sorted elements are: \n");
for (i=1; i<=n; i++)
    printf("%5d",
        a[i]);
getch();
}

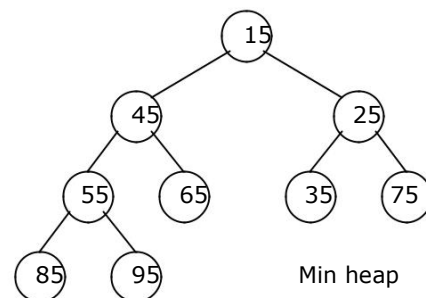
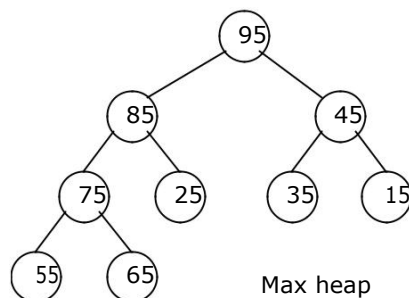
```

### Heap and Heap Max and Min Heap:

Heap is a data structure, which permits one to insert elements into a set and also to find the largest element efficiently. A data structure, which provides these two operations, is called a priority queue.

#### 7.6.1. Max and Min Heap data structures:

A max heap is an almost complete binary tree such that the value of each node is greater than or equal to those in its children.



A min heap is an almost complete binary tree such that the value of each node is less than or equal to those in its children.

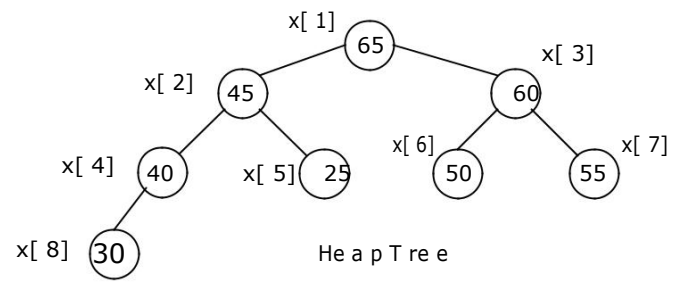
#### 7.6.2. Representation of Heap Tree:

Since heap is a complete binary tree, a heap tree can be efficiently represented using one dimensional array. This provides a very convenient way of figuring out where children belong to.

- The root of the tree is in location 1.
- The left child of an element stored at location  $i$  can be found in location  $2*i$ .
- The right child of an element stored at location  $i$  can be found in location  $2*i+1$ .
- The parent of an element stored at location  $i$  can be found at location  $\text{floor}(i/2)$ .

The elements of the array can be thought of as lying in a tree structure. A heap tree represented using a single array looks as follows:

| X[1] | X[2] | X[3] | X[4] | X[5] | X[6] | X[7] | X[8] |
|------|------|------|------|------|------|------|------|
| 65   | 45   | 60   | 40   | 25   | 50   | 55   | 30   |



### 7.8. Priority queue implementation using heap tree:

Priority queue can be implemented using circular array, linked list etc. Another simplified implementation is possible using heap tree; the heap, however, can be represented using an array. This implementation is therefore free from the complexities of circular array and linked list but getting the advantages of simplicities of array.

As heap trees allow the duplicity of data in it. Elements associated with their priority values are to be stored in from of heap tree, which can be formed based on their priority values. The top priority element that has to be processed first is at the root; so it can be deleted and heap can be rebuilt to get the next element to be processed, and so on. As an illustration, consider the following processes with their priorities:

| Process  | P <sub>1</sub> | P <sub>2</sub> | P <sub>3</sub> | P <sub>4</sub> | P <sub>5</sub> | P <sub>6</sub> | P <sub>7</sub> | P <sub>8</sub> | P <sub>9</sub> | P <sub>10</sub> |
|----------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|-----------------|
| Priority | 5              | 4              | 3              | 4              | 5              | 5              | 3              | 2              | 1              | 5               |

These processes enter the system in the order as listed above at time 0, say. Assume that a process having higher priority value will be serviced first. The heap tree can be formed considering the process priority values. The order of servicing the process is successive deletion of roots from the heap.

## LECTURE No.:31*Sequential search, binary search,*

### **Linear Search:**

This is the simplest of all searching techniques. In this technique, an ordered or unordered list will be searched one by one from the beginning until the desired element is found. If the desired element is found in the list then the search is successful otherwise unsuccessful.

Suppose there are 'n' elements organized sequentially on a List. The number of comparisons required to retrieve an element from the list, purely depends on where the element is stored in the list. If it is the first element, one comparison will do; if it is second element two comparisons are necessary and so on. On an average you need  $[(n+1)/2]$  comparison's to search an element. If search is not successful, you would need 'n' comparisons.

The time complexity of linear search is  **$O(n)$** .

### **Algorithm:**

Let array `a[n]` stores `n` elements. Determine whether element '`x`' is present or not.

```
linsrch(a[n], x)
{
    index = 0;
    flag = 0;
    while (index < n) do
    {
        if (x == a[index])
        {
            flag = 1;
            break;
        }
        index ++;
    }
    if(flag == 1)
        printf("Data found at %d position", index);
    else
        printf("data not found");
}
```

### **Example 1:**

Suppose we have the following unsorted list: 45, 39, 8, 54, 77, 38, 24, 16, 4, 7, 9, 20

If we are searching for:

|     |                                          |
|-----|------------------------------------------|
| 45, | we'll look at 1 element before success   |
| 39, | we'll look at 2 elements before success  |
| 8,  | we'll look at 3 elements before success  |
| 54, | we'll look at 4 elements before success  |
| 77, | we'll look at 5 elements before success  |
| 38, | we'll look at 6 elements before success  |
| 24, | we'll look at 7 elements before success  |
| 16, | we'll look at 8 elements before success  |
| 4,  | we'll look at 9 elements before success  |
| 7,  | we'll look at 10 elements before success |
| 9,  | we'll look at 11 elements before success |
| 20, | we'll look at 12 elements before success |

For any element not in the list, we'll look at 12 elements before failure.

### Example 2:

Let us illustrate linear search on the following 9 elements:

| Index    | 0   | 1  | 2 | 3 | 4 | 5  | 6  | 7  | 8   |
|----------|-----|----|---|---|---|----|----|----|-----|
| Elements | -15 | -6 | 0 | 7 | 9 | 23 | 54 | 82 | 101 |

Searching different elements is as follows:

1. Searching for x = 7Search successful, data found at 3<sup>rd</sup> position.
2. Searching for x = 82Search successful, data found at 7<sup>th</sup> position.
3. Searching for x = 42Search un-successful, data not found.

### A non-recursive program for Linear Search:

```
# include <stdio.h>
# include <conio.h>

main()
{
    int number[25], n, data, i, flag = 0;
    clrscr();
    printf("\n Enter the number of elements: ");
    scanf("%d", &n);
    printf("\n Enter the elements: ");
    for(i = 0; i < n; i++)
        scanf("%d", &number[i]);
    printf("\n Enter the element to be Searched: ");
    scanf("%d", &data);
    for( i = 0; i < n; i++)
    {
        if(number[i] == data)
        {
            flag = 1;
            break;
        }
    }
    if(flag == 1)
        printf("\n Data found at location: %d", i+1);
    else
        printf("\n Data not found ");
}
```

### A Recursive program for linear search:

```
# include <stdio.h>
# include <conio.h>

void linear_search(int a[], int data, int position, int n)
{
    if(position < n)
```



```

        {
            if(a[position] == data)
                printf("\n Data Found at %d ", position);
            else
                linear_search(a, data, position + 1, n);
        }
    else
        printf("\n Data not found");
}

void main()
{
    int a[25], i, n, data;
    clrscr();
    printf("\n Enter the number of elements: ");
    scanf("%d", &n);
    printf("\n Enter the elements: ");
    for(i = 0; i < n; i++)
    {
        scanf("%d", &a[i]);
    }
    printf("\n Enter the element to be seached: ");
    scanf("%d", &data);
    linear_search(a, data, 0, n);
    getch();
}

```

## **BINARY SEARCH**

If we have 'n' records which have been ordered by keys so that  $x_1 < x_2 < \dots < x_n$ . When we are given a element 'x', binary search is used to find the corresponding element from the list. In case 'x' is present, we have to determine a value 'j' such that  $a[j] = x$  (successful search). If 'x' is not in the list then j is to set to zero (un successful search).

In Binary search we jump into the middle of the file, where we find key  $a[mid]$ , and compare 'x' with  $a[mid]$ . If  $x = a[mid]$  then the desired record has been found. If  $x < a[mid]$  then 'x' must be in that portion of the file that precedes  $a[mid]$ . Similarly, if  $a[mid] > x$ , then further search is only necessary in that part of the file which follows  $a[mid]$ .

If we use recursive procedure of finding the middle key  $a[mid]$  of the un-searched portion of a file, then every un-successful comparison of 'x' with  $a[mid]$  will eliminate roughly half the un-searched portion from consideration.

Since the array size is roughly halved after each comparison between 'x' and  $a[mid]$ , and since an array of length 'n' can be halved only about  $\log_2 n$  times before reaching a trivial length, the worst case complexity of Binary search is about  $\log_2 n$ .

### **Algorithm:**

Let array  $a[n]$  of elements in increasing order,  $n \geq 0$ , determine whether 'x' is present, and if so, set j such that  $x = a[j]$  else return 0.

```

binsrch(a[], n, x)
{
    low = 1; high = n;
    while (low ≤ high) do
    {
        mid = (low + high)/2 if
        (x < a[mid])
            high = mid - 1;
        else if (x > a[mid])
            low = mid +
            1; else return mid;
    }
    return 0;
}

```

*low* and *high* are integer variables such that each time through the loop either '*x*' is found or *low* is increased by at least one or *high* is decreased by at least one. Thus we have two sequences of integers approaching each other and eventually *low* will become greater than *high* causing termination in a finite number of steps if '*x*' is not present.

### Example 1:

Let us illustrate binary search on the following 12 elements:

| Index    | 1 | 2 | 3 | 4 | 5  | 6  | 7  | 8  | 9  | 10 | 11 | 12 |
|----------|---|---|---|---|----|----|----|----|----|----|----|----|
| Elements | 4 | 7 | 8 | 9 | 16 | 20 | 24 | 38 | 39 | 45 | 54 | 77 |

If we are searching for *x* = 4: (This needs 3 comparisons)

low = 1, high = 12, mid =  $13/2 = 6$ , check 20

low = 1, high = 5, mid =  $6/2 = 3$ , check 8

low = 1, high = 2, mid =  $3/2 = 1$ , check 4, **found**

If we are searching for *x* = 7: (This needs 4 comparisons)

low = 1, high = 12, mid =  $13/2 = 6$ , check 20

low = 1, high = 5, mid =  $6/2 = 3$ , check 8

low = 1, high = 2, mid =  $3/2 = 1$ , check 4

low = 2, high = 2, mid =  $4/2 = 2$ , check 7, **found**

If we are searching for *x* = 8: (This needs 2 comparisons)

low = 1, high = 12, mid =  $13/2 = 6$ , check 20

low = 1, high = 5, mid =  $6/2 = 3$ , check 8, **found**

If we are searching for *x* = 9: (This needs 3 comparisons)

low = 1, high = 12, mid =  $13/2 = 6$ , check 20

low = 1, high = 5, mid =  $6/2 = 3$ , check 8

low = 4, high = 5, mid =  $9/2 = 4$ , check 9, **found**

If we are searching for *x* = 16: (This needs 4 comparisons)

low = 1, high = 12, mid =  $13/2 = 6$ , check 20

low = 1, high = 5, mid =  $6/2 = 3$ , check 8

low = 4, high = 5, mid =  $9/2 = 4$ , check 9

low = 5, high = 5, mid =  $10/2 = 5$ , check 16, **found**

If we are searching for *x* = 20: (This needs 1 comparison)

low = 1, high = 12, mid =  $13/2 = 6$ , check 20, **found**

If we are searching for  $x = 24$ : (This needs 3 comparisons)  
low = 1, high = 12, mid =  $13/2 = 6$ , check 20  
low = 7, high = 12, mid =  $19/2 = 9$ , check 39  
low = 7, high = 8, mid =  $15/2 = 7$ , check 24, **found**

If we are searching for  $x = 38$ : (This needs 4 comparisons)  
low = 1, high = 12, mid =  $13/2 = 6$ , check 20  
low = 7, high = 12, mid =  $19/2 = 9$ , check 39  
low = 7, high = 8, mid =  $15/2 = 7$ , check 24  
low = 8, high = 8, mid =  $16/2 = 8$ , check 38, **found**

If we are searching for  $x = 39$ : (This needs 2 comparisons)  
low = 1, high = 12, mid =  $13/2 = 6$ , check 20  
low = 7, high = 12, mid =  $19/2 = 9$ , check 39, **found**

If we are searching for  $x = 45$ : (This needs 4 comparisons)  
low = 1, high = 12, mid =  $13/2 = 6$ , check 20  
low = 7, high = 12, mid =  $19/2 = 9$ , check 39  
low = 10, high = 12, mid =  $22/2 = 11$ , check 54  
low = 10, high = 10, mid =  $20/2 = 10$ , check 45, **found**

If we are searching for  $x = 54$ : (This needs 3 comparisons)  
low = 1, high = 12, mid =  $13/2 = 6$ , check 20  
low = 7, high = 12, mid =  $19/2 = 9$ , check 39  
low = 10, high = 12, mid =  $22/2 = 11$ , check 54, **found**

If we are searching for  $x = 77$ : (This needs 4 comparisons)  
low = 1, high = 12, mid =  $13/2 = 6$ , check 20  
low = 7, high = 12, mid =  $19/2 = 9$ , check 39  
low = 10, high = 12, mid =  $22/2 = 11$ , check 54  
low = 12, high = 12, mid =  $24/2 = 12$ , check 77, **found**

The number of comparisons necessary by search element:

20 – requires 1 comparison;  
8 and 39 – requires 2 comparisons;  
4, 9, 24, 54 – requires 3 comparisons and  
7, 16, 38, 45, 77 – requires 4 comparisons

Summing the comparisons, needed to find all twelve items and dividing by 12, yielding 37/12 or approximately 3.08 comparisons per successful search on the average.

### Example 2:

Let us illustrate binary search on the following 9 elements:

| Index    | 0   | 1  | 2 | 3 | 4 | 5  | 6  | 7  | 8   |
|----------|-----|----|---|---|---|----|----|----|-----|
| Elements | -15 | -6 | 0 | 7 | 9 | 23 | 54 | 82 | 101 |

### Solution:

The number of comparisons required for searching different elements is as follows:

1. If we are searching for  $x = 101$ : (Number of comparisons = 4)

| low | high | mid |
|-----|------|-----|
| 1   | 9    | 5   |
| 6   | 9    | 7   |
| 8   | 9    | 8   |
| 9   | 9    | 9   |

found

2. Searching for  $x = 82$ : (Number of comparisons = 3)

| low | high | mid |
|-----|------|-----|
| 1   | 9    | 5   |
| 6   | 9    | 7   |
| 8   | 9    | 8   |

found

3. Searching for  $x = 42$ : (Number of comparisons = 4)

| low | high | mid       |
|-----|------|-----------|
| 1   | 9    | 5         |
| 6   | 9    | 7         |
| 6   | 6    | 6         |
| 7   | 6    | not found |

4. Searching for  $x = -14$ : (Number of comparisons = 3)

| low | high | mid       |
|-----|------|-----------|
| 1   | 9    | 5         |
| 1   | 4    | 2         |
| 1   | 1    | 1         |
| 2   | 1    | not found |

Continuing in this manner the number of element comparisons needed to find each of nine elements is:

| Index       | 1   | 2  | 3 | 4 | 5 | 6  | 7  | 8  | 9   |
|-------------|-----|----|---|---|---|----|----|----|-----|
| Elements    | -15 | -6 | 0 | 7 | 9 | 23 | 54 | 82 | 101 |
| Comparisons | 3   | 2  | 3 | 4 | 1 | 3  | 2  | 3  | 4   |

No element requires more than 4 comparisons to be found. Summing the comparisons needed to find all nine items and dividing by 9, yielding  $25/9$  or approximately 2.77 comparisons per successful search on the average.

There are ten possible ways that an un-successful search may terminate depending upon the value of  $x$ .

If  $x < a(1)$ ,  $a(1) < x < a(2)$ ,  $a(2) < x < a(3)$ ,  $a(5) < x < a(6)$ ,  $a(6) < x < a(7)$  or  $a(7) < x < a(8)$  the algorithm requires 3 element comparisons to determine that ' $x$ ' is not present. For all of the remaining possibilities BINSRCH requires 4 element comparisons.

Thus the average number of element comparisons for an unsuccessful search is:

$$(3 + 3 + 3 + 4 + 4 + 3 + 3 + 3 + 4 + 4) / 10 = 34/10 = 3.4$$

### Time Complexity:

The time complexity of binary search in a successful search is  $O(\log n)$  and for an unsuccessful search is  $O(\log n)$ .

### **A non-recursive program for binary search:**

```
# include <stdio.h>
# include <conio.h>

main()
{
    int number[25], n, data, i, flag = 0, low, high, mid;
    clrscr();
    printf("\n Enter the number of elements: ");
    scanf("%d", &n);
    printf("\n Enter the elements in ascending order: ");
    for(i = 0; i < n; i++)
        scanf("%d", &number[i]);
    printf("\n Enter the element to be searched: ");
    scanf("%d", &data);
    low = 0; high = n-1;
    while(low <= high)
    {
        mid = (low + high)/2;
        if(number[mid] == data)
        {
            flag = 1;
            break;
        }
        else
        {
            if(data < number[mid])
                high = mid - 1;
            else
                low = mid + 1;
        }
    }
    if(flag == 1)
        printf("\n Data found at location: %d", mid + 1);
    else
        printf("\n Data Not Found ");
}
```

### **A recursive program for binary search:**

```
# include <stdio.h>
# include <conio.h>

void bin_search(int a[], int data, int low, int high)
{
    int mid ;
    if( low <= high)
    {
        mid = (low + high)/2;
        if(a[mid] == data)
            printf("\n Element found at location: %d ", mid + 1);
        else
        {
            if(data < a[mid])
                bin_search(a, data, low, mid-1);
            else

```

```

        bin_search(a, data, mid+1, high);
    }
}
else
    printf("\n Element not found");
}
void main()
{
    int a[25], i, n, data;
    clrscr();
    printf("\n Enter the number of elements: ");
    scanf("%d", &n);
    printf("\n Enter the elements in ascending order: "); for(i =
0; i < n; i++)
        scanf("%d", &a[i]);
    printf("\n Enter the element to be searched: ");
    scanf("%d", &data);
    bin_search(a, data, 0, n-1);
    getch();
}

```

## **LECTURE No.:32 interpolation search.**

### **Interpolation search:**

Interpolation search is an improved variant of binary search. This search algorithm works on the probing position of the required value. For this algorithm to work properly, the data collection should be in a sorted form and equally distributed.

Binary search has a huge advantage of time complexity over linear search. Linear search has worst-case complexity of  $O(n)$  whereas binary search has  $O(\log n)$ .

There are cases where the location of target data may be known in advance. For example, in case of a telephone directory, if we want to search the telephone number of Morpheus. Here, linear search and even binary search will seem slow as we can directly jump to memory space where the names start from 'M' are stored.

Interpolation search finds a particular item by computing the probe position. Initially, the probe position is the position of the middle most item of the collection.



If a match occurs, then the index of the item is returned. To split the list into two parts, we use the following method –

$$\text{mid} = \text{Lo} + ((\text{Hi} - \text{Lo}) / (\text{A}[\text{Hi}] - \text{A}[\text{Lo}])) * (\text{X} - \text{A}[\text{Lo}])$$

where –

A = list

Lo = Lowest index of the list

Hi = Highest index of the list

A[n] = Value stored at index n in the list

If the middle item is greater than the item, then the probe position is again calculated in the sub-array to the right of the middle item. Otherwise, the item is searched in the subarray to the left of the middle item. This process continues on the sub-array as well until the size of subarray reduces to zero.

Runtime complexity of interpolation search algorithm is  **$O(\log(\log n))$**  as compared to  **$O(\log n)$**  of BST in favorable situations.

## Algorithm

As it is an improvisation of the existing BST algorithm, we are mentioning the steps to search the 'target' data value index, using position probing –

- Step 1 – Start searching **data** from middle of the list.
- Step 2 – If it is a match, return the index of the item, and exit.
- Step 3 – If it is not a match, probe position.
- Step 4 – Divide the list using probing formula and find the new middle.
- Step 5 – If data is greater than middle, search in higher sub-list.
- Step 6 – If data is smaller than middle, search in lower sub-list.
- Step 7 – Repeat until match.

### program for interpolation search:

```
#include "stdio.h"
#include "stdlib.h"
#define MAX 200

int interpolation_search(int a[], int bottom, int top, int item) {

    int mid;
    while (bottom <= top) {
        mid = bottom + (top - bottom)
            * ((item - a[bottom]) / (a[top] - a[bottom]));
        if (item == a[mid])
            return mid + 1;
        if (item < a[mid])
            top = mid - 1;
        else
            bottom = mid + 1;
    }
    return -1;
}

int main() {
    int arr[MAX];
    int i, num;
    int item, pos;

    printf("\nEnter total elements (num< %d) : ", MAX);
    scanf("%d", &num);

    printf("Enter %d Elements : ", num);
    for (i = 0; i < num; i++)
        scanf("%d", &arr[i]);

    printf("\nELEMENTS ARE\n: ");
    for (i = 0; i < num; i++)
        printf("%d\t", arr[i]);

    printf("\nSearch For : ");
    scanf("%d", &item);
    pos = interpolation_search(&arr[0], 0, num, item);
    if (pos == -1)
        printf("\nElement %d not found\n", item);
}
```

```
else
    printf("\nElement %d found at position %d\n", item, pos);

return 0;
}
```

### LECTURE No.:33 *Hashing functions,*

## Hashing

Having an insertion, find and removal of  $O(\log(N))$  is good but as the size of the table becomes larger, even this value becomes significant. We would like to be able to use an algorithm for finding of  $O(1)$ . This is when hashing comes into play!

### Hashing using Arrays

When implementing a hash table using arrays, the nodes are not stored consecutively, instead the location of storage is computed using the key and a hash function. The computation of the array index can be visualized as shown below:

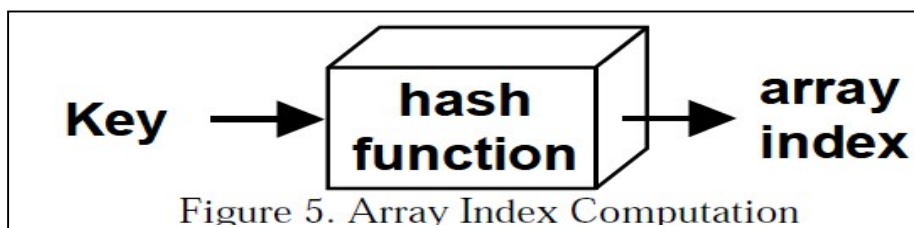


Figure 5. Array Index Computation

The value computed by applying the hash function to the key is often referred to as the hashed key. The entries into the array, are scattered (not necessarily sequential) as can be seen in figure below.



|     | key   | entry  |
|-----|-------|--------|
|     |       |        |
| 4   | <key> | <data> |
|     |       |        |
| 10  | <key> | <data> |
|     |       |        |
| 123 | <key> | <data> |
|     |       |        |

Figure 6. Hashed Array

The cost of the insert, find and delete operations is now only  $O(1)$ . Can you think of why?

Hash tables are very good if you need to perform a lot of search operations on a relatively stable table (i.e. there are a lot fewer insertion and deletion operations than search operations).

On the other hand, if traversals (covering the entire table), insertions, deletions are a lot more frequent than simple search operations, then ordered binary trees (also called AVL trees) are the preferred implementation choice.

## Hashing Performance

There are three factors that influence the performance of hashing:

\_\_Hash function

- o should distribute the keys and entries evenly throughout the entire table
- o should minimize collisions

\_\_Collision resolution strategy

- o Open Addressing: store the key/entry in a different position
- o Separate Chaining: chain several keys/entries in the same position

\_\_Table size

- o Too large a table, will cause a wastage of memory
- o Too small a table will cause increased collisions and eventually force *rehashing* (creating a new hash table of larger size and copying the contents of the current hash table into it)
- o The size should be appropriate to the hash function used and should typically be a prime number. Why? (We discussed this in class).

## Selecting Hash Functions

The hash function converts the key into the table position. It can be carried out using:

\_\_Modular Arithmetic: Compute the index by dividing the key with some value and use the remainder as the index. This forms the basis of the next two techniques.

For Example:  $\text{index} := \text{key} \text{MOD} \text{table\_size}$

\_\_Truncation: Ignoring part of the key and using the rest as the array index. The problem with this approach is that there may not always be an even distribution throughout the table.

For Example: If student id's are the key 928324312 then select just the last three digits as the index i.e. 312 as the index. => the table size has to be atleast 999. Why?

\_\_Folding: Partition the key into several pieces and then combine it in some convenient way.

For Example:

o For an 8 bit integer, compute the index as follows:

Index := (Key/10000 + KeyMOD 10000) MOD Table\_Size.

o For character strings, compute the index as follows:

Index := 0

For I in 1.. length(string)

Index := Index + ascii\_value(String(I))

## Collision

Let us consider the case when we have a single array with four records, each with two fields, one for the key and one to hold data (we call this a *single slot bucket*). Let the hashing function be a simple modulus operator i.e. array index is computed by finding the remainder of dividing the key by 4.

**Array Index := key MOD 4**

Then key values 9, 13, 17 will all hash to the same index. When two(or more) keys hash to the same value, a **collision** is said to occur.

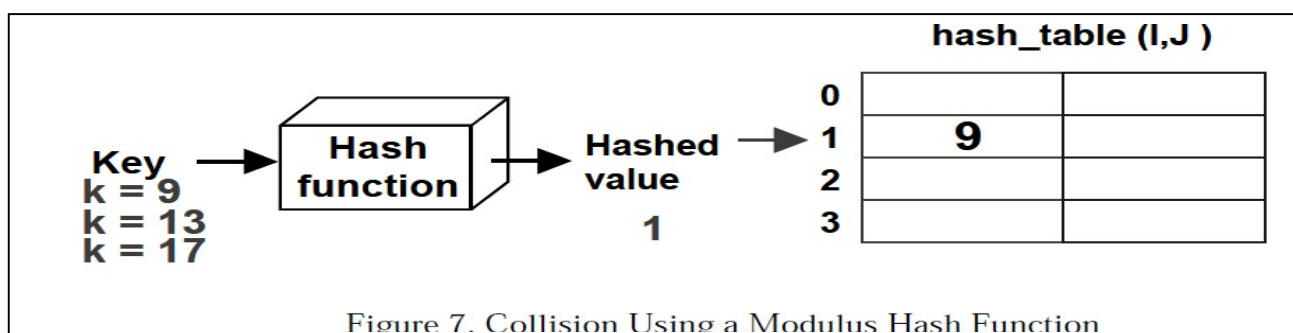


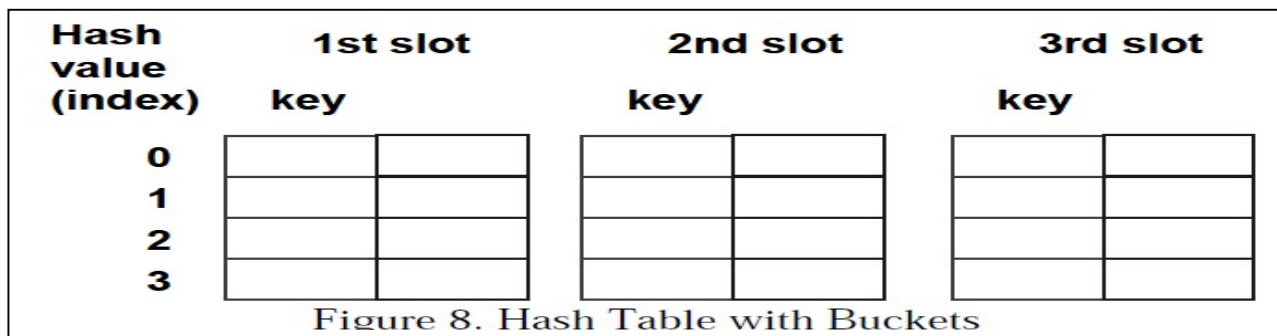
Figure 7. Collision Using a Modulus Hash Function

## LECTURE No.:34 collision resolution techniques-1.

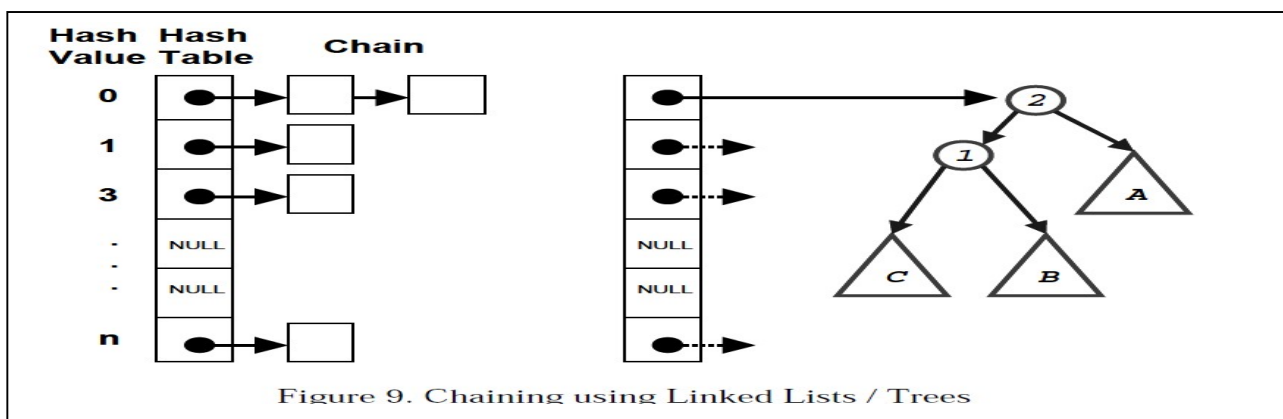
### Collision Resolution

The hash table can be implemented either using

\_\_Buckets: An array is used for implementing the hash table. The array has size  $m \times p$  where  $m$  is the number of hash values and  $p$  ( $\geq 1$ ) is the number of slots (a slot can hold one entry) as shown in figure below. The *bucket* is said to have  $p$  slots.



\_\_Chaining: An array is used to hold the key and a pointer to a linked list (either singly or doubly linked) or a tree. Here the number of nodes is not restricted (unlike with buckets). Each node in the chain is large enough to hold one entry as shown in figure below.



## Open Addressing (Probing)

Open addressing / probing is carried out for insertion into fixed size hash tables (hash tables with 1 or more buckets). If the index given by the hash function is occupied, then increment the table position by some number. There are three schemes commonly used for probing:

\_\_Linear Probing: The linear probing algorithm is detailed below:

```

Index := hash(key)
While Table[Index] Is Full do
index := (index + 1) MOD Table_Size
if (index = hash(key))

```

```
return table_full
else
Table(Index) := Entry
```

\_\_Quadratic Probing: increment the position computed by the hash function in quadratic fashion i.e. increment by 1, 4, 9, 16, ....

\_\_Double Hash: compute the index as a function of two different hash functions.

## **LECTURE No.:35 collision resolution techniques-II.**

### **Chaining**

In chaining, the entries are inserted as nodes in a linked list. The hash table itself is an array of head pointers.

The advantages of using chaining are

- \_\_Insertion can be carried out at the head of the list at the index
- \_\_The array size is not a limiting factor on the size of the table

The prime disadvantage is the memory overhead incurred if the table size is small.

