

If anything about an exercise is unclear or you believe you have found a mistake, ask in the OLAT forum. If doing so would reveal significant information about your solution, email Manuel Eberl instead.

### Exercise 1

a) We define:  $A = \{0, 1, 3\}$ ,  $B = \{0, 2, 3, 5\}$ ,  $C = \{0, 2, 3\}$ ,  $D = \{0, 3, 4, 5\}$ ,  $M = \{A, B, C, D\}$   
 Give full extensional expressions for the following sets (i.e. write out all their elements).

- |  |  |                                 |   |
|--|--|---------------------------------|---|
| i) $[0]$   | ii) $[1]$  | iii) $\{\{n\} \mid n \in [4]\}$ | iv) $\mathcal{P}(A)$                        |
| v) $\bigcup M$   | vi) $\bigcap M$  | vii) $B \setminus (A \cap C)$   | viii) $(A \times C) \setminus (B \times D)$ |
| ix) $\{n^2 \mid n \in \mathbb{N}, n \leq 10, n \text{ even}\}$ | x) $\{X \in \mathcal{P}(\mathbb{Z}_6) \mid X \subseteq Y \text{ for all } Y \in M\}$ |                                 |   |

*Solution:*

- i)  $\emptyset$
- ii)  $\{1\}$
- iii)  $\{\{1\}, \{2\}, \{3\}, \{4\}\}$
- iv)  $\{\emptyset, \{0\}, \{1\}, \{3\}, \{0, 1\}, \{0, 3\}, \{1, 3\}, \{0, 1, 3\}\}$
- v)  $\{0, 1, 2, 3, 4, 5\}$
- vi)  $\{0, 3\}$
- vii)  $\{2, 5\}$
- viii)  $\{(0, 2), (1, 0), (1, 2), (1, 3), (3, 2)\}$
- ix)  $\{0, 4, 16, 36, 64, 100\}$
- x) The sets  $X$  that we are looking for are those that are a subset of *all* the sets in  $M$ . Equivalently,  $X$  must be a subset of  $\bigcap M$ , i.e.  $\{0, 3\}$ . Thus, the result is  $\mathcal{P}(\{0, 3\}) = \{\emptyset, \{0\}, \{3\}, \{0, 3\}\}$

### Exercise 2

a) What is the cardinality of the following sets?

- |                    |                                    |                               |                         |  |
|--------------------|------------------------------------|-------------------------------|-------------------------|--|
| i) $\{\emptyset\}$ | ii) $\{\emptyset, \{\emptyset\}\}$ | iii) $\{\{1, 2\}, \{2, 1\}\}$ | iv) $[n]$               | v) $\{n^2 \mid n \in \mathbb{Z}, -5 \leq n \leq 5\}$ |
| vi) $\mathbb{Z}_n$ | vii) $\{(1, 2), (2, 1)\}$          | viii) $\{\mathbb{N}\}$        | ix) $\mathcal{P}([10])$ | x) $\{\mathbb{Z}_{10} \setminus \{0\}, [9]\}$        |

b) Consider the  $n$ -th von Neumann numeral  $V_n$  as defined in the lecture. What is the cardinality of  $V_n$ ? Prove it using the recursive definition of  $V_n$ !

*Solution:*

- a)
- |         |        |         |                     |   |
|---------|--------|---------|---------------------|---|
| i) 1    | ii) 2  | iii) 1  | iv) $n$             | v) 6 (since e.g. $(-2)^2 = 2^2$ )                     |
| vi) $n$ | vii) 2 | viii) 1 | ix) $2^{10} = 1024$ | x) 1 (since $\mathbb{Z}_{10} \setminus \{0\} = [9]$ ) |
- b) From the slides it seems that  $V_n = \{V_0, \dots, V_{n-1}\}$ , which suggests that  $|V_n| = n$ . Let  $P(n)$  denote the statement ' $|V_n| = n$ '. We prove  $P(n)$  for all  $n$  by induction on  $n$ .

**Base case:** The cardinality of  $V_0$ , which is  $\emptyset$ , is 0 by definition. Thus  $P(0)$  holds.

**Induction step:** Let  $n$  be an arbitrary natural number. Assume as induction hypothesis that  $P(n)$  holds, i.e.  $|V_n| = n$ . We now need to show that  $P(n+1)$  holds, i.e.  $|V_{n+1}| = n+1$ .

To do this, we expand the recursive definition of  $V_{n+1}$ , namely  $V_{n+1} = V_n \cup \{V_n\}$ . Note that  $V_n \notin V_n$  (no set contains itself). Therefore by the definition of cardinality for insertion of an element we have:

$$|V_{n+1}| = |V_n \cup \{V_n\}| = |V_n| + 1 \stackrel{\text{IH}}{=} n + 1$$

where in the last step we used the induction hypothesis. This completes the proof.

**Note:** Your proof does not have to be as explicit as the one above. E.g. you do not have to say explicitly what the property  $P(n)$  is. We simply strive, in our sample solutions, to present proofs in the greatest possible detail and clarity.

### Exercise 3

Similary to what we have done in the lecture, we will now look at all the sets that can be constructed using only  $\emptyset$  and extensional definition. Let us call those ‘DS sets’.

We define the *rank* of a DS set as its ‘maximal nesting depth’. That is,  $\emptyset$  has rank 0 and the rank of a non-empty set  $A$  is the maximum of the ranks of its elements, plus 1.

**Example:**  $\text{rank}(\emptyset) = 0$        $\text{rank}(\{\emptyset\}) = 1$        $\text{rank}(\{\{\emptyset\}\}) = \text{rank}(\{\emptyset, \{\emptyset\}\}) = 2$

- a) List all DS sets of ranks 0, 1, 2, 3.

**Hint:** To avoid excessive writing, consider using some abbreviations (e.g. the  $V_n$  notation for the von-Neumann numerals from the slides).

- b) How many DS sets are there with rank  $i$  for  $i = 0, 1, 2, 3$ ?

- c) How many DS sets are there with rank  $\leq i$  for  $i = 0, 1, 2, 3$ ?

*Solution:*

- a) We use the ‘von Neumann’ numerals from the lecture as abbreviations.

**Rank 0:**  $\emptyset = V_0$

**Rank 1:** A rank 1 set can only contain sets of rank 0 and must contain at least one set of rank 0. Since there only is one set of rank 0, the only possibility is:  $\{\emptyset\} = \{V_0\} = V_1$

**Rank 2:** To obtain a set of rank 2, we need an element of rank 1 (there is only one choice) and optionally elements of rank 0 (again, there is only one choice). This gives us:  $\{\{\emptyset\}\} = \{V_1\}$  and  $\{\emptyset, \{\emptyset\}\} = \{V_0, V_1\} = V_2$

**Rank 3:** A set of rank 3 must contain one of the two sets of rank 2 (namely  $\{V_1\}$  and  $V_2$ ) or both of them. In addition to that, it may or may not contain each of the sets of rank 0 and 1 (namely  $V_0$  and  $V_1$ ). We thus construct the following table:

	Contains $\{V_1\}$	Contains $V_2$	Contains $\{V_1\}$ and $V_2$
No sets of rank $< 2$	$\{\{V_1\}\}$	$\{V_2\}$	$\{\{V_1\}, V_2\}$
Contains $V_0$	$\{\{V_1\}, V_0\}$	$\{V_2, V_0\}$	$\{\{V_1\}, V_2, V_0\}$
Contains $V_1$	$\{\{V_1\}, V_1\}$	$\{V_2, V_1\}$	$\{\{V_1\}, V_2, V_1\}$
Contains $V_0$ and $V_1$	$\{\{V_1\}, V_0, V_1\}$	$\{V_2, V_0, V_1\} = V_3$	$\{\{V_1\}, V_2, V_0, V_1\}$

- b) As we can see from a), we have 1, 1, 2, 12 DS sets of rank 0, 1, 2, 3, respectively.

- c) Summing up the numbers from b), we have 1, 2, 4, 16 DS sets of rank at most 0, 1, 2, 3, respectively.

### Bonus Exercise

In this exercise, we go back to the setting from Exercise 3. Let  $A_n$  denote the set of all DS sets of rank at most  $n$ .

- a) Find  $|A_4|$ . **Warning:** Do *not* try to manually list all the DS sets of rank 4.
- b) Find a general formula to compute  $|A_n|$ .
- c) What is the rank of  $A_n$ ?
- d) What is the biggest DS set of rank at most  $n$ ? What about exactly rank  $n$ ?

*Solution:*

- a) A DS set of rank at most 4 must clearly consist entirely of sets of rank 3. Conversely, any set consisting entirely of sets of rank 3 does have rank at most 4.

Consequently,  $A_4$  is simply the set of all sets whose elements are from  $A_3$ . This is precisely the power set of  $A_3$ , i.e.  $A_4 = \mathcal{P}(A_3)$ . Thus we have  $|A_4| = 2^{16} = 65,536$ .

- b) The argument from a) generalises to  $A_n = \mathcal{P}(A_{n-1})$ . Therefore, the number of DS sets with rank at most  $n$  is

$$|A_n| = \underbrace{2^{2^{\cdots 2^1}}}_{n \text{ times}}$$

This ‘power tower’ is also sometimes called *tetration* and written as  $2 \uparrow\uparrow n$ . Needless to say, these numbers grows *very* fast. ( $|A_5|$  already has almost 20,000 decimal digits!)

- c) Since  $A_n$  consists entirely of sets of rank  $\leq n$ , its rank cannot be higher than  $n + 1$ . On the other hand, there obviously exists an element of rank  $n$  for any  $n$  (e.g.  $V_n$ ), so  $A_n$  contains an element of rank  $n$  and must by definition have rank at least  $n + 1$ . Thus  $\text{rank}(A_n) = n + 1$ .
- d) For  $n = 0$  there is only one set, namely  $\emptyset$ . Otherwise, a DS set of rank at most  $n$  must consist entirely of sets of rank at most  $n - 1$ . The biggest such set is the one that consists of *all* sets of rank at most  $n - 1$  – namely  $A_{n-1}$ .

Since  $A_{n-1}$  is the biggest DS set of rank at most  $n$  and  $\text{rank}(A_{n-1}) = n$ , it is also the biggest DS set of rank exactly  $n$ .