

### Exercise 1

Let  $A_m = \{a_1, \dots, a_m\}$  and  $B_n = \{b_1, \dots, b_n\}$  for any  $m, n \in \mathbb{N}$ .

- We call  $f : A \rightarrow B$  a *constant function* if there is a  $y \in B$  such that  $f(x) = y$  for all  $x \in A$ . If  $A, B \neq \emptyset$ , when is a constant function  $f : A \rightarrow B$  injective? When is it surjective?
- For any  $m \leq 2$  and  $n \leq 2$ , list the set  $(B_n)^{A_m}$ . That is: Give all functions of the form  $f : A_m \rightarrow B_n$ . Write them in a form similar to Slide 27 of the Week 3 lecture slides.
- Which of these are injective, surjective, and bijective?
- Can you say more generally what kind of functions there are if  $m \in \{0, 1\}$  or  $n \in \{0, 1\}$ ?

**Remember:** There are  $n^m$  functions in  $(B_n)^{A_m}$ . Do not forget the edge cases  $m = 0$  or  $n = 0$ !

*Solution:*

- Let  $A$  and  $B$  be non-empty sets and  $f : A \rightarrow B$  a constant map, say  $f(x) = y$  for all  $x \in A$ .
  - If  $A$  is a singleton set (i.e.  $|A| = 1$ ) then  $f$  is trivially injective since  $|f^{-1}(y)| \leq |A| = 1$ . Otherwise, if  $x, x' \in A$  with  $x \neq x'$ , then  $f(x) = y = f(x')$ , which violates injectivity. Thus  $f$  is injective if and only if  $|A| = 1$ . (the possibility that  $A = \emptyset$  will be handled in the next subexercise)
  - If  $B$  is a singleton set (say  $B = \{y\}$ ) then  $\text{ran}(f) = \{y\}$  since  $A$  is non-empty. Surjectivity demands that  $\text{ran}(f) = B$ , which is then clearly the case if and only if  $|B| = 1$ .
- For  $m = 0$  or  $n = 0$ , remember that a function  $f : A \rightarrow B$  is just a left-total and right-unique relation  $f \subseteq A \times B$ . If  $m = 0$  or  $n = 0$ , clearly  $A_m \times B_n = \emptyset$ , so only the ‘empty relation’  $\emptyset$  is possible in principle.

It remains to check whether  $\emptyset$  is actually a function. It is clearly right-unique, but only left-total if  $A = \emptyset$  (i.e. in our case  $m = 0$ ). Thus, if  $m = 0$  the only function in  $(B_n)^{A_m}$  is the ‘empty function’  $\emptyset$ , and if  $m > 0$  and  $n = 0$  there is no such function and we have  $(B_n)^{A_m} = \emptyset$ .

Writing this ‘empty function’ down with the notation of Slide 27 is tricky; one could write it as  $f : \emptyset \rightarrow B_n$ ,  $f(x) = x$  (although the  $f(x) = x$  is actually superfluous since there is only one function  $\emptyset \rightarrow B_n$  anyway).

- For  $n = 1$ , we have only one element in the codomain  $B_n$  to map to, so the only function in  $(B_n)^{A_m}$  is the constant function  $f(x) = b_1$ .
- For  $m = 1$ , we have one element  $a_1$  in the domain to map and  $n$  elements in the codomain  $B_n$  to map it to. So for each  $i \in [n]$ , the constant function  $f_i(x) = b_i$  is in  $(B_n)^{A_m}$ , and all elements of  $(B_n)^{A_m}$  are of this form.
- For  $m = n = 2$ , the formula tells us that there are  $2^2 = 4$  such functions. They can be defined as follows:

$$\begin{array}{ll} f_1(x) = b_1 & f_2(x) = b_2 \\ f_3(a_i) = b_i & f_4(x) = \begin{cases} b_2 & \text{if } x = a_1 \\ b_1 & \text{otherwise} \end{cases} \end{array}$$

- c)
  - If  $m = 0$ , the only function is the empty function  $\emptyset$  and it is trivially injective, but only surjective (and thus bijective) if  $n = 0$ .
  - If  $n = 0$  and  $m > 0$  there are no functions in  $(B_n)^{A_m}$ , as we have seen.
  - If  $n = 1$  the only function is the constant function  $f(x) = b_1$ . It is clearly surjective, but only injective if  $m \leq 1$ .
  - If  $m = 1$  and  $n > 0$  the only functions are constant functions of the form  $f_i(x) = b_i$ . They are trivially injective, but only surjective if  $n = 1$ .
  - If  $m = n = 2$ , there are the above functions  $f_1$  to  $f_4$ . Of these,  $f_3$  and  $f_4$  are bijections and the other two are neither injective nor surjective.
- d) This was already answered in b).

### Exercise 2

Let  $f : A \rightarrow B$  and  $g : B \rightarrow C$  be functions.

- a) Show: If  $f$  and  $g$  are surjective then  $g \circ f$  is surjective.
- b) Show: If  $f$  and  $g$  are injective then  $g \circ f$  is injective.
- c) Refute: If  $f$  and  $g \circ f$  are injective, then  $g$  is injective.
- d) Show: If  $f$  is bijective and  $g \circ f$  is injective, then  $g$  is injective.
- e) True or false? If  $g$  and  $g \circ f$  are surjective, then  $f$  is surjective.

*Solution:*

- a) Let  $z \in C$  be arbitrary. Then by surjectivity of  $g$  there exists a  $y \in B$  with  $f(y) = z$  and by surjectivity of  $f$  there exists a  $x \in A$  with  $f(x) = y$ . Thus  $(g \circ f)(x) = z$ .
- b) Let  $x, x' \in A$  with  $(g \circ f)(x) = (g \circ f)(x')$ . Then  $g(f(x)) = g(f(x'))$  and by injectivity of  $g$  we have  $f(x) = f(x')$  and by injectivity of  $f$  we have  $x = x'$ .
- c)  $g$  can violate injectivity on an element that is not in the image of  $f$ . For example, if  $A = B = C = \mathbb{N}$  and  $f(n) = n + 1$  and  $g(0) = 0$  and  $g(n) = n - 1$  for  $n \geq 1$ , then  $f$  is injective and  $g$  is not. But  $f \circ g = \text{id}_{\mathbb{N}}$ , which is injective.

A simpler finite counterexample also exists:  $A = C = \{0\}$  and  $B = \{0, 1\}$  with  $f(x) = x$  and  $g(x) = 0$ .

- d) Let  $y, y' \in B$  with  $g(y) = g(y')$ . By surjectivity of  $f$ , obtain  $x, x' \in A$  with  $f(x) = y$  and  $f(x') = y'$ . We thus have  $g(f(x)) = g(f(x'))$ , i.e.  $(g \circ f)(x) = (g \circ f)(x')$ . By injectivity of  $g \circ f$ , it follows that  $x = x'$ , and thus also  $f(x) = f(x')$ , i.e.  $y = y'$ .

There is also a shorter proof: since  $f$  is bijective, so is  $f^{-1}$ . From this and the fact that  $g \circ f$  is injective, we can conclude that also  $g \circ f \circ f^{-1}$  is injective using b). But  $g \circ f \circ f^{-1} = g \circ \text{id}_B = g$ .

- e) False. To achieve surjectivity of  $g \circ f$ , we need to hit everything in  $C$  at least once, but we do not necessarily have to hit everything in  $B$  to achieve that.

Let e.g.  $A = C = \{0\}$  and  $B = \{0, 1\}$  and  $f(x) = x$  and  $g(x) = x$ . Clearly  $g$  is surjective and  $f$  is not. But  $g \circ f = \text{id}_{\{0\}}$  is surjective.

### Exercise 3

Let  $R, S$  be well-founded relations. For each of the following statements, give a justification for why it holds or a counterexample if it does not.

- a)  $R \cup S$  is well-founded.

- b)  $RS$  is well-founded.
- c)  $R^+$  is well-founded.
- d)  $R^*$  is well-founded.

*Solution:*

- a) False. The relations  $R = \{(n-1, n) \mid n \in \mathbb{Z}, n \text{ odd}\}$  and  $S = \{(n-1, n) \mid n \in \mathbb{Z}, n \text{ even}\}$  are well-founded since they do not even contain chains of length 2: we can only go from  $n$  to  $n-1$ , but then we cannot continue.

However,  $R \cup S = \{(n-1, n) \mid n \in \mathbb{Z}\}$  has the infinite descending chain  $0, -1, -2, \dots$

- b) False. With  $R$  and  $S$  as in a), we have  $RS = \{(n-2, n) \mid n \text{ even}\}$ , so  $RS$  has an infinite descending chain  $0, -2, -4, -6, \dots$
- c) True. If we had an infinite descending chain  $x_0, x_1, x_2, \dots$  in  $R^+$  then we could ‘flatten’ it and get an infinite descending chain on  $R$ :

At the beginning, we have  $(x_1, x_0) \in R^+$ . That means there exist  $x_{0,0}, \dots, x_{0,k}$  for some  $k > 0$  with  $x_{0,k} R x_{0,k-1} R \dots R x_{0,1} R x_{0,0}$  and  $x_{0,1} = x_0$  and  $x_{0,k} = x_1$ . We can repeat this for  $(x_2, x_1) \in R^+$  and  $(x_3, x_2) \in R^+$  etc. and chain them all together to obtain one big infinite chain.

- d) False (unless  $R$  is defined over the empty set, in which case  $R^* = \emptyset$ ). If  $R$  is a relation over a non-empty set,  $R^*$  is obviously not irreflexive. But well-founded relations must be irreflexive: if  $(x, x) \in S$  for some relation  $S$  then  $S$  has the infinite descending chain  $x, x, x, \dots$

In case you wonder where the argument from c) breaks down here: The argument from the previous subexercise does not work anymore because now  $k = 0$  is possible, i.e. the  $(x_{i+1}, x_i) \in R^*$  may simply be because  $x_{i+1} = x_i$  and consist of zero steps. If this happens for all but finitely many  $i$ , our infinite chain in  $R^*$  will only have finite length after ‘flattening’ (most steps just ‘disappear’).

### Bonus exercise

Let  $f : A \rightarrow B$  be a function. We say that  $g : B \rightarrow A$  is inverse to  $f$  if  $g \circ f = \text{id}_A$  and  $f \circ g = \text{id}_B$ .

- a) Show: If  $f$  is bijective then  $f^{-1}$  is bijective and  $(f^{-1})^{-1} = f$ .
- b) Show: If  $g$  is inverse to  $f$ , then  $f$  is bijective and  $g = f^{-1}$ .
- c) Is  $g \circ f = \text{id}_A$  alone sufficient for bijectivity of  $f$ ?
- d) Is  $f \circ g = \text{id}_B$  alone sufficient for bijectivity of  $f$ ?

Only use the characteristic properties of  $f^{-1}$  from the lecture slides: if  $f$  is bijective, then

(i)  $f^{-1}(y) = x \leftrightarrow f(x) = y$ , (ii)  $f^{-1} \circ f = \text{id}_A$ , (iii)  $f \circ f^{-1} = \text{id}_B$ .

**Hint:** Remember that  $h_1, h_2 : X \rightarrow Y$  are equal if and only if  $h_1(x) = h_2(x)$  for all  $x \in X$ .

*Solution:*

- a)
  - Surjectivity: Let  $x \in A$  and define  $y = f(x)$ . Then  $f^{-1}(y) = f^{-1}(f(x)) = x$ .
  - Injectivity: let  $y, y' \in B$  with  $f^{-1}(y) = f^{-1}(y')$ . By surjectivity of  $f$  there exist  $x, x' \in A$  with  $f(x) = y$  and  $f(x') = y'$  and thus  $f^{-1}(f(x)) = f^{-1}(f(x'))$ , which simplifies to  $x = x'$ .
  - $(f^{-1})^{-1} = f$ : First of all note that  $(f^{-1})^{-1}$  is indeed a well-defined function because we just showed that  $f^{-1}$  is bijective. Now recall that two functions are equal if and only if, for any given input, they return the same output.

To show this, let  $x \in A$  be arbitrary. We must now show that  $(f^{-1})^{-1}(x) = f(x)$ . With (i), this is equivalent to  $x = f^{-1}(f(x))$ , which is true due to (ii).

b) Note that, with the hint from the problem statement,  $g$  being inverse to  $f$  implies  $g(f(x))$  and  $f(g(y)) = y$  for any  $x \in A$  and  $y \in B$ , so we can ‘cancel’ any adjacent  $f$  and  $g$ . Now:

- Surjectivity: Let  $y \in B$ . Then define  $x = g(y)$ . Then  $f(x) = f(g(y)) = y$ .
- Injectivity: Let  $x, x' \in A$  with  $f(x) = f(x')$ . Then  $g(f(x)) = g(f(x'))$ , which simplifies to  $x = x'$ .
- $g = f^{-1}$ : Two functions are equal if and only if they take the same values on all inputs. So let  $y \in B$  be arbitrary. We want to show that  $f^{-1}(y) = g(y)$ . Using a), this is equivalent to  $y = f(g(y))$ , which follows from  $f \circ g = \text{id}_B$ .

Note: It would also have sufficed to only prove the third property  $g = f^{-1}$  here, since we already proved in b) that  $f^{-1}$  is bijective.

- c) No. This is sufficient to show that  $f$  is injective, but it does not, in general, imply surjectivity. For instance,  $f : \mathbb{N} \rightarrow \mathbb{N}, f(n) = n + 1$  and  $g : \mathbb{N} \rightarrow \mathbb{N}, g(n) = \max(0, n - 1)$  satisfy  $g \circ f = \text{id}_{\mathbb{N}}$  but  $f$  is clearly not surjective.
- d) No. This is sufficient to show that  $f$  is surjective, but it does not, in general, imply injectivity. Let us swap the situation from d):  $f : \mathbb{N} \rightarrow \mathbb{N}, f(n) = \max(0, n - 1)$  and  $g : \mathbb{N} \rightarrow \mathbb{N}, g(n) = n + 1$ . Then we have  $g \circ f = \text{id}_{\mathbb{N}}$  but  $f$  is clearly not injective.