# Project Documentation: Retrieval-Augmented Generation (RAG) for Indian Legal Applications

# 1. Project Overview

# **Objective**

This project aims to implement a **Retrieval-Augmented Generation (RAG)** system to answer legal questions based on Indian law. The system integrates semantic retrieval with natural language generation (NLG) to deliver accurate and context-sensitive answers.

## **Key Features**

- 1. **Preprocessing:** Data cleaning, deduplication, and standardization.
- 2. Semantic Search: FAISS-based retrieval of legal context.
- 3. Answer Generation: Leverages the Flan-T5-base model for generating human-like, grounded responses.

## Why Retrieval-Augmented Generation (RAG)?

## **Definition**

## RAG combines:

- 1. Retrieval: Fetches relevant context from a knowledge base.
- 2. Generation: Uses a language model to create coherent responses based on the context and query.

## **Advantages**

- Grounded Responses: Anchored in real data, reducing hallucinations.
- Dynamic Updates: Knowledge base updates do not require retraining.
- Improved Accuracy: Combines domain-specific retrieval with powerful language models.

## 2. Dataset Description

#### **Datasets Used**

- 1. **Indian Constitution QA:** Fundamental rights, governance, duties.
- 2. CrPC QA: Procedural law (arrests, bail, judicial processes).
- 3. **IPC QA:** Substantive law (offenses and punishments).

#### **Structure**

Each dataset is in JSON format:

- Question: A legal query.
- **Answer:** Corresponding explanation or legal section.

## 3. Code Workflow

# **Step 1: Install Required Libraries**

### **Purpose:**

Install libraries like **Transformers**, **FAISS**, **Sentence-Transformers**, and **Torch** for model usage, embedding creation, and similarity searches.

## **Step 2: Load and Combine Datasets**

# **Purpose:**

Load JSON files and merge all datasets into a unified list (combined data).

# **Step 3: Data Cleaning and Preprocessing**

## **Steps:**

- Convert text to lowercase.
- Remove extra spaces for consistent formatting.

## **Step 4: Remove Duplicate Questions**

## **Functionality:**

- Uses a dictionary to filter unique questions.
- Outputs a cleaned list (cleaned data).

## **Step 5: Save the Cleaned Data**

## **Purpose:**

Save processed data as cleaned legal data.json to avoid redundant preprocessing in future runs.

## **Step 6: Embedding Creation**

#### **Details:**

- Model: all-mpnet-base-v2 (Sentence-BERT).
- Output: Dense 768-dimensional embeddings for semantic similarity.
- Usage: Saved as .npy for FAISS indexing.

# **Step 7: Build FAISS Index**

### **Purpose:**

Create a FAISS index using embeddings for fast retrieval.

• IndexFlatL2: Uses Euclidean distance for similarity search.

## **Step 8: Define the Retrieval Function**

## **Process:**

- 1. Convert query into an embedding.
- 2. Use FAISS to find the most similar entries in the dataset.

3. Fetch top-k question-answer pairs based on similarity.

## **Step 9: Load Flan-T5-Base Model**

## **Functionality:**

- Load Flan-T5-base model and tokenizer for NLG tasks.
- Utilize GPU acceleration (if available) for faster computations.

## **Step 10: Generate Answers**

#### Workflow:

- 1. Retrieve top-k context using FAISS.
- 2. Combine query and context into a format understood by Flan-T5.
- 3. Use the model to generate a coherent, human-like answer.
- 4. Output: A concise response tailored to the query and context.

## 4. Key Technical Concepts

## 1. Retrieval-Augmented Generation (RAG)

## **Definition:**

RAG combines retrieval and generation to provide accurate, data-driven responses.

## **Advantages:**

- Grounded Responses: Tied to real data.
- **Dynamic Updates:** Easy knowledge base updates.
- Accuracy: Combines retrieval precision with generative fluency.

## **Example:**

- Query: "What are fundamental rights?"
- Retrieved Context: Relevant sections from the Indian Constitution dataset.
- Generated Answer: "Fundamental rights include equality, freedom, and protection from discrimination."

## 2. Natural Language Generation (NLG)

#### Model:

- Flan-T5-base: Instruction-tuned for question-answering tasks.
- Outcome: Contextually relevant, human-readable responses.

## 3. FAISS (Facebook AI Similarity Search)

## **Purpose:**

Efficiently search embeddings for semantic similarity.

• IndexFlatL2: Measures Euclidean distance between embeddings.

# 4. Sentence-BERT Model (all-mpnet-base-v2)

## **Details:**

- Generates compact embeddings (768 dimensions) for semantic matching.
- Captures deeper meanings beyond lexical similarities.

## 5. Future Improvements

- 1. Multilingual Support: Extend to regional Indian languages.
- 2. Knowledge Base Expansion: Include case laws and recent amendments.
- 3. Interactive Features: Enable live feedback and query refinement.

