Analysis

Longer

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Contents

Chapter 1

Complex manifolds

1.1 Local theory of complex vector spaces

1.1.1 Complex and Hermitian Structures

Let us now come back to an Euclidian vector space $(V, \langle \cdot, \cdot \rangle)$ with a compatible almost complex structure J. The **fundamental form** associated to $(V, \langle \cdot, \cdot \rangle, J)$ is the form ω defined by

$$\omega(v, w) = \langle Jv, w \rangle = -\langle v, Jw \rangle.$$

Since $\langle \cdot, \cdot \rangle$ is compatible with J, it is easy to see ω is alternating:

$$\omega(v, w) = \langle Jv, w \rangle = \langle J^2 v, Jw \rangle = -\langle v, Jw \rangle = -\omega(w, v).$$

Moreover, we note that ω is invariant under $\mathbf{J}$:

$$(\mathbf{J}\omega)(v, w) = \omega(\mathbf{J}v, \mathbf{J}w) = \langle J^2 v, Jw \rangle = \langle Jv, w \rangle = \omega(v, w)$$

so that $\omega \in \bigwedge^{1,1} V_{\mathbb{C}}^*$. We also note that two of the three structures $\{\langle \cdot, \cdot \rangle, J, \omega\}$ determine the remaining one.

Following a standard procedure, the scalar product and the fundamental form are encoded by a natural hermitian form.

Proposition 1.1.1. *Let $(V, \langle \cdot, \cdot \rangle)$ be an Euclidian vector space endowed with a compatible complex structure J. Then the form $(\cdot, \cdot) := \langle \cdot, \cdot \rangle - \mathrm{i}\omega$ is a positive Hermitian form on (V, J).*

Proof. The form $(\cdot, \cdot)$ is clearly $\mathbb{R}$-linear and we have $(v, v) = \langle v, v \rangle > 0$ for nonzero $v \in V$. Moreover, $(v, w) = \overline{(w, v)}$ and

$$(Jv, w) = \langle Jv, w \rangle - \mathrm{i}\omega(Jv, w) = \langle Jv, w \rangle + \mathrm{i}\langle v, w \rangle = \mathrm{i}(\langle v, w \rangle - \mathrm{i}\langle Jv, w \rangle)$$
$$= \mathrm{i}(\langle v, w \rangle - \mathrm{i}\omega(v, w)) = \mathrm{i}(v, w).$$

This shows that (v, w) is sesquilinear, so it is a Hermitian form. $\qquad\square$

We can also consider the extension of the scalar product $\langle \cdot, \cdot \rangle$ to a positive definite hermitian form $\langle \cdot, \cdot \rangle_{\mathbb{C}}$ on $V_{\mathbb{C}}$. This is defined by

$$\langle v \otimes \lambda, w \otimes \mu \rangle_{\mathbb{C}} = \lambda\bar{\mu}\langle v, w \rangle$$

for $v, w \in V$ and $\lambda, \mu \in \mathbb{C}$.

Proposition 1.1.2. *Let $(V, \langle \cdot , \cdot \rangle)$ be an Euclidian vector space endowed with a compatible complex structure J. Then $V_{\mathbb{C}} = V^{1,0} \oplus V^{0,1}$ is an orthogonal decomposition with respect to the Hermitian product $\langle \cdot , \cdot \rangle_{\mathbb{C}}$.*

Proof. Let $v - iJv \in V^{1,0}$ and $w + iJw \in V^{0,1}$ with $v, w \in V$. We then compute that

$$\langle v - iJv, w + iJw \rangle_{\mathbb{C}} = \langle v, w \rangle_{\mathbb{C}} + \langle v, iJw \rangle_{\mathbb{C}} - \langle iJv, w \rangle_{\mathbb{C}} - \langle iJv, iJw \rangle_{\mathbb{C}}$$
$$= \langle v, w \rangle - i\langle v, Jw \rangle - i\langle Jv, w \rangle - \langle Jv, Jw \rangle = 0.$$

This proves the claim, since $V^{1,0}$ is generated by $v - iJv$, and $V^{0,1}$ is generated by $w + iJw$. $\quad\square$

It turn out that $\langle \cdot , \cdot \rangle_{\mathbb{C}}$ coincides with $(\cdot , \cdot)$, as the following proposition shows.

Proposition 1.1.3. *Let $(V, \langle \cdot , \cdot \rangle)$ be an Euclidian vector space endowed with a compatible complex structure J. Then under the canonical isomorphism $(V, J) \cong (V^{1,0}, i)$, we have $\frac{1}{2}(\cdot , \cdot) = \langle \cdot , \cdot \rangle_{\mathbb{C}}|_{V^{1,0}}$.*

Proof. The natrual isomorphism is given by $v \mapsto \frac{1}{2}(v - iJv)$, so by the definition of $(\cdot , \cdot)$, for $v, w \in V$ we have

$$\langle v - iJv, w - iJw \rangle_{\mathbb{C}} = \langle v, w \rangle + i\langle v, Jw \rangle - i\langle Jv, w \rangle + \langle Jv, Jw \rangle$$
$$= 2\langle v, w \rangle + 2i\langle v, Jw \rangle = 2(v, w).$$

This proves the assertion. $\quad\square$

Often, it is useful to do calculations in coordinates. Let us see how the above products can be expressed explicitly once suitable basis have been chosen. Let $z_1, \ldots, z_n$ be a $\mathbb{C}$-basis of $V^{1,0}$. Write $z_i = \frac{1}{2}(x_i - iJx_i)$ with $x_i \in V$, then we have seen that $x_1, y_1 = Jx_1, \ldots, x_n, y_n = Jx_n$ is a $\mathbb{R}$-basis of V and $x_1, \ldots, x_n$ is a $\mathbb{C}$-basis for (V, J). The Hermitian form $\langle \cdot , \cdot \rangle_{\mathbb{C}}$ on $V^{1,0}$ with respect to the basis z_i is given by an Hermitian matrix, say $\frac{1}{2}(h_{ij})$. Concretely,

$$\langle \sum_{i=1}^{n} a_i z_i, \sum_{j=1}^{n} b_j z_j \rangle_{\mathbb{C}} = \frac{1}{2} \sum_{i,j=1}^{n} h_{ij} a_i \bar{b}_j.$$

By Proposition 1.1.3, the Hermitian form $(\cdot , \cdot)$ on (V, J) with respect to the basis x_i is given by (h_{ij}). We want to compute the fundamental form ω in this case, with respect to the basis x_i, y_i.

Proposition 1.1.4. *The fundamental basis ω on (V, J) is given by*

$$\omega = -\sum_{i<j}(\operatorname{Im} h_{ij})(x^i \wedge x^j + y^i \wedge y^j) + \sum_{i,j=1}^{n}(\operatorname{Re} h_{ij})x^i \wedge y^j \qquad (1.1) \quad \boxed{\text{almost comple}}$$

with respect to the basis x_i, y_i. Moreover, the extension of ω on $V_{\mathbb{C}}$ can be written as

$$\omega = \frac{i}{2} \sum_{i,j=1}^{n} h_{ij} z^i \wedge \bar{z}^j. \qquad (1.2) \quad \boxed{\text{almost comple}}$$

Proof. By the definition of $(\cdot , \cdot)$, we have $\omega = -\operatorname{Im}(\cdot , \cdot)$ and $\langle \cdot , \cdot \rangle = \operatorname{Re}(\cdot , \cdot)$. Hence,

$$\omega(x_i, x_j) = \omega(y_i, y_j) = -\operatorname{Im} h_{ij}, \quad \omega(x_i, y_j) = \operatorname{Re} h_{ij}.$$

This then gives the expression of ω as

$$\omega = -\sum_{i<j}\operatorname{Im} h_{ij}(x^i \wedge x^j + y^i \wedge y^j) + \sum_{i,j=1}^{n}\operatorname{Re} h_{ij}\, x^i \wedge y^j.$$

To see the expression (1.2), we note that

$$z^i \wedge \bar{z}^j = (x^i + \mathrm{i}y^i) \wedge (x^j - \mathrm{i}y^j) = (x^i \wedge x^j + y^i \wedge y^j) - \mathrm{i}(x^i \wedge y^j + x^j \wedge y^i);$$

so (1.2) is equal to

$$
\begin{aligned}
\frac{\mathrm{i}}{2} \sum_{i,j=1}^n h_{ij} z^i \wedge \bar{z}^j &= \sum_{i,j=1}^n \left[\frac{\mathrm{i}}{2} \operatorname{Re} h_{ij} - \frac{1}{2} \operatorname{Im} h_{ij} \right] \left[(x^i \wedge x^j + y^i \wedge y^j) - \mathrm{i}(x^i \wedge y^j + x^j \wedge y^i) \right] \\
&= \sum_{i,j} \frac{1}{2} \operatorname{Re} h_{ij}(x^i \wedge y^j + x^j \wedge y^i) - \sum_{i,j} \frac{1}{2} \operatorname{Im} h_{ij}(x^i \wedge x^j + y^i \wedge y^j) \qquad (1.3) \\
&\quad + \sum_{i,j} \frac{\mathrm{i}}{2} \operatorname{Re} h_{ij}(x^i \wedge x^j + y^i \wedge y^j) + \sum_{i,j} \frac{\mathrm{i}}{2} \operatorname{Im} h_{ij}(x^i \wedge y^j + x^j \wedge y^i).
\end{aligned}
$$

On the other hand, we note that since (h_{ij}) is a Hermitian matrix, we have

$$\operatorname{Re} h_{ij} = \operatorname{Re} h_{ji}, \quad \operatorname{Im} h_{ij} = -\operatorname{Im} h_{ji}.$$

With these relations, we see the first two terms in the last summand of (1.3) add up to ω, and the last two terms are zero. This completes the proof. □

Example 1.1.5. If x_i, y_i is an orthonormal basis for V with respect to $\langle \cdot, \cdot \rangle$, i.e.,

$$\langle \cdot, \cdot \rangle = \sum_{i=1}^n (x^i \otimes x^i + y^i \otimes y^i)$$

then by Proposition 1.1.4, we have

$$\omega = \frac{\mathrm{i}}{2} \sum_{i=1}^n z^i \wedge \bar{z}^i = \sum_{i=1}^n x^i \wedge y^i.$$

With the fundamental class ω, we now define the Lefschetz operator $L : \bigwedge^* V_{\mathbb{C}}^* \to \bigwedge^* V_{\mathbb{C}}^*$ by $\alpha \mapsto \omega \wedge \alpha$. It is clear that L is the $\mathbb{C}$-linear of the real operator $\bigwedge^* V \to \bigwedge^* V$ given by $\alpha \mapsto \omega \wedge \alpha$, and since ω is of bidegree $(1,1)$, L is of bidegree $(1,1)$, i.e.,

$$L\left(\bigwedge^{p,q} V^*\right) \subseteq \bigwedge^{p+1,q+1} V^*.$$

We shall show that the power

$$L^k : \bigwedge^k V^* \to \bigwedge^{2n-k} V^*$$

is an isomorphism for all $k \leq n$, where we write $\dim_{\mathbb{R}} V = 2n$. An elementary proof can be given by choosing a basis, but it is slightly cumbersome. A more elegant but less elementary argument, using $\mathfrak{sl}(2,\mathbb{C})$-representation theory, will be given below.

The Lefschetz operator comes along with its dual Λ. In order to define and to describe Λ we need to recall the Hodge $*$-operator on a real vector space. Let $(V, \langle \cdot, \cdot \rangle)$ be an oriented euclidian vector space of dimension d, then $\langle \cdot, \cdot \rangle)$ can be extended to all the exterior powers $\bigwedge^k V$. Explicitly, if $e_1, \ldots, e_d \in V$ is an orthonormal basis of V, then $e^I \in \bigwedge^k V$ is an orthonormal basis of $\bigwedge^k V$, where $I = \{i_1 < \cdots < i_k\}$ is a subset of $\{1, \ldots, d\}$. Let $\mathrm{vol} \in \bigwedge^d V$ be the orientation of V of norm 1 given by $\mathrm{vol} = e_1 \wedge \cdots \wedge e_d$. Then the Hodge $*$-operator is defined by

$$\alpha \wedge *\beta = \langle \alpha, \beta \rangle \cdot \mathrm{vol}$$

for $\alpha, \beta \in \bigwedge^* V$. This determines $*$ since the exterior product defines a nondegenerate pairing $\bigwedge^k V \times \bigwedge^{d-k} V = \mathrm{vol} \cdot \mathbb{R}$. One easily sees that $* : \bigwedge^k V \to \bigwedge^{d-k} V$. The most important properties

of the Hodge $*$-operator are collected in the following proposition.

Proposition 1.1.6. *Let $(V, \langle \cdot, \cdot \rangle)$ be an oriented euclidian vector space of dimension d. Let $e_1, \ldots, e_d$ be an orthonormal basis of V and let $\mathrm{vol} \in \bigwedge^d V$ be the orientation of norm one given by $e_1 \wedge \cdots \wedge e_d$. The Hodge $*$-operator associated to $(V, \langle \cdot, \cdot \rangle, \mathrm{vol})$ satisfies the following conditions:*

(a) *If $\{i_1, \ldots, i_k\}$ and $\{j_1, \ldots, j_{d-k}\}$ are complementary, then*

$$*(e_{i_1} \wedge \cdots \wedge e_{i_k}) = \varepsilon \cdot e_{j_1} \wedge \cdots \wedge e_{j_{d-k}}$$

where $\varepsilon = \mathrm{sgn}(i_1, \ldots, i_k, j_1, \ldots, j_{d-k})$. In particular, $*1 = \mathrm{vol}$.

(b) *The $*$-operator is self-adjoint up to a sign: for $\alpha \in \bigwedge^k V$ we have*

$$\langle \alpha, *\beta \rangle = (-1)^{k(d-k)} \langle *\alpha, \beta \rangle.$$

(c) *The $*$-operator is involutive up to a sign:*

$$(*|_{\bigwedge^k V})^2 = (-1)^{k(d-k)}.$$

(d) *The $*$-operator is an isometry on $(\bigwedge^* V, \langle \cdot, \cdot \rangle)$.*

In our situation we will usually have $d = 2n$ and $*$ and $\langle \cdot, \cdot \rangle$ will be considered on the dual space $\bigwedge^* V^*$. Let us now come back to the situation considered before. Associated to $(V, \langle \cdot, \cdot \rangle, J)$ we had introduced the Lefschetz operator $L : \bigwedge^k V^* \to \bigwedge^{k+2} V^*$. The **dual Lefschetz operator** Λ is the operator $\Lambda : \bigwedge^* V^* \to \bigwedge^* V^*$ that is adjoint to L with respect to $\langle \cdot, \cdot \rangle$, i.e. $\Lambda \alpha$ is uniquely determined by the condition

$$\langle \Lambda \alpha, \beta \rangle = \langle \alpha, L\beta \rangle$$

for all $\beta \in \bigwedge^* V^*$. The $\mathbb{C}$-linear extension of the dual Lefschetz operator will also be denoted by Λ.

Remark 1.1.1. Recall that J induces a natural orientation on V (Corollary **??**). Thus, the Hodge $*$-operator is well-defined. Using an orthonormal basis $x_i, y_i = Jx_i$ as above, a straightforward calculation yields

$$\omega^n = n! \cdot \mathrm{vol}$$

where w is the associated fundamental form.

Proposition 1.1.7. *The dual Lefschetz operator Λ is of degree -2 and we have $\Lambda = *^{-1} \circ L \circ *$.*

Proof. The first assertion follows from the fact that L is of degree two and that the decomposition $\bigwedge^* V^* = \bigoplus_k \bigwedge^k V^*$ is orthogonal. Now by the definition of the Hodge $*$-operator we have

$$\langle L\beta, \alpha \rangle \cdot \mathrm{vol} = L\beta \wedge *\alpha = \omega \wedge \beta \wedge *\alpha = \beta \wedge (\omega \wedge *\alpha) = \langle \beta, *^{-1}(L(*\alpha)) \rangle \cdot \mathrm{vol}.$$

Since α and β are arbitrary, this shows $\Lambda = *^{-1} \circ L \circ *$, as desired. $\qquad \square$

Recall that $\langle \cdot, \cdot \rangle_{\mathbb{C}}$ had been defined as the Hermitian extension to $V_{\mathbb{C}}^*$ of the scalar product $\langle \cdot, \cdot \rangle$ on V^*. It can further be extended to a positive definite hermitian form on $\bigwedge^* V_{\mathbb{C}}^*$. Equivalently, one could consider the extension of $(\cdot, \cdot)$ on $\bigwedge^* V^*$ to an Hermitian form on $\bigwedge^* V_{\mathbb{C}}^*$. In any case, there is a natural positive Hermitian product on $\bigwedge^* V_{\mathbb{C}}^*$ which will also

be called $\langle \cdot , \cdot \rangle_{\mathbb{C}}$. The Hodge $*$-operator associated to $(V, \langle \cdot , \cdot \rangle, \mathrm{vol})$ is extended $\mathbb{C}$-linearly to $* : \bigwedge^k V_{\mathbb{C}}^* \to \bigwedge^{2n-k} V_{\mathbb{C}}^*$. On $\bigwedge^* V_{\mathbb{C}}^*$ these two operators are now related by

$$\alpha \wedge *\bar{\beta} = \langle \alpha, \beta \rangle_{\mathbb{C}} \cdot \mathrm{vol}.$$

Clearly, the Lefschetz operator L and its dual Λ on $\bigwedge^* V_{\mathbb{C}}^*$ are also formally adjoint to each other with respect to $\langle \cdot , \cdot \rangle_{\mathbb{C}}$, and the equality $\Lambda = *^{-1} \circ L \circ *$ is still valid.

Proposition 1.1.8. *Let $(V, \langle \cdot , \cdot \rangle, \mathrm{vol})$ be as above and $n = \dim_{\mathbb{C}}(V, J)$.*

(a) *The decomposition $\bigwedge^k V_{\mathbb{C}}^* = \bigoplus \bigwedge^{p,q} V^*$ is orthogonal with respect to $\langle \cdot , \cdot \rangle_{\mathbb{C}}$.*

(b) *The Hodge $*$-operator maps $\bigwedge^{p,q} V^*$ to $\bigwedge^{n-q,n-p} V^*$.*

(c) *The dual Lefschetz operator Λ is of bidegree $(-1, -1)$.*

We continue to assume that $\dim_{\mathbb{R}} V = 2n$, and let $H : \bigwedge^* V \to \bigwedge^* V$ be the counting operator defined by

$$H = \sum_{k=0}^{2n} (n - k) \cdot \Pi^k.$$

It is clear that each $\bigwedge^k V^*$ is an eigenspace of H. With H, L, Λ, Π, etc., we dispose of a large number of linear operators on $\bigwedge^* V^*$ and one might wonder whether they commute. In fact, they do not, but their commutators can be computed.

Theorem 1.1.9. *Let $(V, \langle \cdot , \cdot \rangle)$ be an Euclidian vector space endowed with a compatible almost complex structure J. Consider the linera operators $H, L, \Lambda,$ and Π.*

(a) $[L, \mathbf{J}] = [\Lambda, \mathbf{J}] = 0.$

(b) $[H, L] = 2L, [H, \Lambda] = -2\Lambda,$ *and* $[\Lambda, L] = H.$

To prove Theorem 1.1.9, it is necessary to introduce some notation which will allow us to effectively work with the convectors in $\bigwedge^* V^*$. We consider multi-indices $I = (i_1, \ldots, i_k)$, where $i_1 < \cdots < i_k$ are distinct elements of $\{1, \ldots, n\}$, and set $|I| = k$. If $I = \{i_1, \ldots, i_k\}$, then we write

$$z^I = z^{i_1} \wedge \cdots \wedge z^{i_k}, \quad x^I = x^{i_1} \wedge \cdots \wedge x^{i_k}$$

and so on. If M is a multiindex, we let

$$\alpha^M = \prod_{i \in M} z^i \wedge \bar{z}^i = (-2\mathrm{i})^{|M|} \prod_{i \in M} x^i \wedge y^i.$$

In this last product it is clear that the ordering of the factors is irrelevant, since the terms commute with one another, and we shall use the same symbol M to denote the ordered k-tuple and its underlying set of elements, provided that this leads to no confusion. Any element of $\bigwedge^* V^*$ can be written in the form

$$\sideset{}{'}\sum_{A,B,M} c_{A,B,M} z^A \wedge \bar{z}^B \wedge \alpha^M$$

where $c_{A,B,M} \in \mathbb{C}$, and A, B, and M are (for a given term) mutually disjoint multiindices, and, as before, the prime on the summation sign indicates that the sum is taken over multiindices whose elements are strictly increasing sequences (what we shall call an increasing multiindex).

We have the following fundamental and elementary lemma which shows the interaction between the $*$-operator (defined in terms of the real structure) and the bigrading on $\bigwedge^* V^*$ (defined in terms of the almost complex structure).

Lemma 1.1.10. *Suppose that A, B, and M are mutually disjoint increasing multiindices. Then*

$$*(z^A \wedge \bar{z}^B \wedge \alpha^M) = \gamma(a, b, m) z^A \wedge \bar{z}^B \wedge \alpha^N$$

for a nonvanishing constant $\gamma(a, b, m)$, where $a = |A|$, $b = |B|$, $m = |M|$, and N is the complement of $A \cup B \cup M$. Moreover,

$$\gamma(a, b, m) = i^{a-b}(-1)^{\frac{p(p+1)}{2}+m}(-2i)^{p-n}$$

where $p = a + b + 2m$ is the total degree of $z^A \wedge z^B \wedge \alpha^M$.

Proof of Theorem 1.1.9. The first assertion follows from the fact that L and Λ are homogeneous operators and are real. We now prove the second one. It is immediate that, for $\alpha \in \bigwedge^k V^*$, we have

$$[H, L](\alpha) = (n - k - 2)(\omega \wedge \alpha) - \omega \wedge ((n-k)\alpha) = -2\omega \wedge \alpha,$$
$$[H, \Lambda](\alpha) = (n - k + 2)(\Lambda\alpha) - \Lambda((n-k)\alpha) = -2\Lambda\alpha.$$

This proves the first two equalities. Now using the notation in Lemma 1.1.10, we observe that

$$L(z^A \wedge \bar{z}^B \wedge \alpha^M) = \frac{i}{2}\Big(\sum_{i=1}^n z^i \wedge \bar{z}^i\Big) \wedge z^A \wedge \bar{z}^B \wedge \alpha^M = \frac{i}{2} z^A \wedge \bar{z}^B \wedge \Big(\sum_{i \notin A \cup B \cup M} \alpha^{M+\{i\}}\Big)$$

On the other hand, we see that, using Lemma 1.1.10 and the definition of Λ,

$$\Lambda(z^A \wedge \bar{z}^B \wedge \alpha^M) = \frac{2}{i} z^A \wedge \bar{z}^B \wedge \Big(\sum_{i \in M} \alpha^{M-\{i\}}\Big).$$

Using these formulas, one obtains easily, assuming that $z^A \wedge \bar{z}^B \wedge \alpha^M$ has total degree k,

$$(\Lambda L - L\Lambda)(z^A \wedge \bar{z}^B \wedge \alpha^M) = (n - k)z^A \wedge \bar{z}^N \wedge \alpha^M,$$

and part (c) of Theorem 1.1.9 follows immediately.   □

Corollary 1.1.11. *Let $(V, \langle \cdot, \cdot \rangle)$ be an Euclidian vector space endowed with a compatible almost complex structure J. The triple (Λ, H, L) defines a natural $\mathfrak{sl}(2, \mathbb{C})$-representation on $\bigwedge^* V^*$.*

Corollary 1.1.12. *For any $\alpha \in \bigwedge^k V^*$, we have*

$$[L^i, \Lambda]\alpha = i(k - n + i - 1)L^{i-1}(\alpha).$$

Proof. This can be proved by induction on i, using the relation $[\Lambda, L] = H$.   □

Following the terminology of representations of $\mathfrak{sl}(2, \mathbb{C})$, an element $\alpha \in \bigwedge^k V^*$ is called **primitive** if $\Lambda\alpha = 0$. The linear subspace of all primitive elements $\alpha \in \bigwedge^k V^*$ is denoted by $P^k \subseteq \bigwedge^k V^*$. Accordingly, an element $\alpha \in \bigwedge^k V_{\mathbb{C}}^*$ is called **primitive** if $\Lambda\alpha = 0$. Clearly, the subspace of those is just the complexification of P^k.

Proposition 1.1.13. *Let $(V, \langle \cdot, \cdot \rangle)$ be an Euclidian vector space of dimension $2n$ with a compatible almost complex structure J and let L and Λ be the associated Lefschetz operators.*

(a) There is a **Lefschetz decomposition** of the form

$$\bigwedge^k V^* = \bigoplus_{k \geq 0} L^i(P^{k-2i})$$

which is orthogonal with respect to $\langle \cdot , \cdot \rangle$.

(b) If $k > 0$, then $P^k = 0$, and $P^k = \{\alpha \in \bigwedge^k V^* : L^{n-k+1}\alpha = 0\}$ for $k \leq n$.

(c) The map $L^{n-k} : P^k \to \bigwedge^{2n-k} V^*$ is injective for $k \leq n$.

(d) The map $L^{n-k} : \bigwedge^k V^* \to \bigwedge^{2n-k} V^*$ is bijective for $k \leq n$.

Remark 1.1.2. Since L, Λ, and H are of pure type $(1,1)$, $(-1,-1)$ and $(0,0)$, respectively, the Lefschetz decomposition is compatible with the bidegree decomposition. Therefore we conclude

$$P^k = \bigoplus_{p+q=k} P^{p,q}$$

where $P^{p,q} = P^k_{\mathbb{C}} \cap \bigwedge^{p,q} V^*$. Since Λ and L are real, we also have $\overline{P^{p,q}} = P^{q,p}$.

In particular, we have

$$\bigwedge^0 V^*_{\mathbb{C}} = P^{0,0} = P^0_{\mathbb{C}} = \mathbb{C}, \quad \bigwedge^1 V^*_{\mathbb{C}} = P^{1,0} \oplus P^{0,1},$$

and

$$\bigwedge^2 V^*_{\mathbb{C}} = \bigwedge^{2,0} V^* \oplus \bigwedge^{1,1} V^* \oplus \bigwedge^{0,2} V^* = P^{2,0} \oplus (P^{1,1} \oplus \omega\mathbb{C}) \oplus P^{0,2}.$$

Roughly, the Lefschetz operators and its dual Λ induce a reflection of $\bigwedge^* V^*$ in the middle exterior product $\bigwedge^n V^*$. But there is another operator with this property, namely the Hodge $*$-operator. We now want to prove some fundamental results concerning the relationship between the operators $*$, L, and Λ which are important in the theory of Kähler manifolds. The development we give here is due to Hecht and differs from the more traditional viewpoint of Weil in that a global representation of both $\mathrm{SL}(2,\mathbb{C})$ and $\mathfrak{sl}(2,\mathbb{C})$ on the Hermitian exterior algebra is utilized, leading to some simple ordinary differential equations which simplifies some of the combinatorial arguments found by Weil.

Let $\{z_i = \frac{1}{2}(x_i - Jx_i)\}$ be an orthonormal basis for $V^{1,0}$, so that $\{x_i, y_i = Jx_i\}$ is an orthonormal real basis for V. With this, the fundamental form ω has the form

$$\omega = \frac{\mathrm{i}}{2} \sum_{i=1}^n z^i \wedge \bar{z}^i = \sum_{i=1}^n x^i \wedge y^i.$$

Now if η is any k-form in $\bigwedge^* V^*_{\mathbb{C}}$, we let

$$e(\eta)(\alpha) := \eta \wedge \alpha$$

be the operator acting on $\bigwedge^* V^*_{\mathbb{C}}$ given by wedging with η. We note that

$$L = e(\omega) = \frac{\mathrm{i}}{2} \sum_{i=1}^n e(z^i)e(\bar{z}^i), \quad \Lambda = e^*(\omega) = -\frac{\mathrm{i}}{2} \sum_{i=1}^n e^*(\bar{z}^i)e^*(z^i). \tag{1.4}$$

almost comple

It is clear that $[L, e(\eta)] = 0$ since ω is a 2-form. As for $[\Lambda, e(\eta)]$, we have the following proposition.

with L,Lambda **Proposition 1.1.14.** *Let ω be the fundamental form of $(V, \langle \cdot , \cdot \rangle)$ and η be a real 1-form. Then*

$$[\Lambda, e(\eta)] = -\mathbf{J}e^*(\eta)\mathbf{J}^{-1}. \tag{1.5}$$

almost comple

In particular, if η is a $(1,0)$-form, we have

$$[\Lambda, e(\eta)] = -\mathrm{i}e^*(\bar{\eta}), \quad [\Lambda, e(\bar{\eta})] = \mathrm{i}e^*(\eta). \tag{1.6}$$

Proof. If η is a real 1-form, then we claim that

$$e(\eta)^* = *e(\eta)*.$$

To see this, we note that for any $\alpha, \beta \in \bigwedge^* V_{\mathbb{C}}^*$ with degrees $k-1$ and k, we have (note that $\eta \wedge *\beta$ is of degree $2n - k + 1$)

$$\langle e(\eta)\alpha, \beta \rangle \cdot \mathrm{vol} = \eta \wedge \alpha \wedge *\beta = (-1)^{k-1}\alpha \wedge (\eta \wedge *\beta) = \alpha \wedge * * (\eta \wedge *\beta) = \langle \alpha, *e(\eta) * \beta \rangle \cdot \mathrm{vol}.$$

Now by computing the right side $*e(\eta)*$, we see

$$e^*(z^j)(z^I \wedge \bar{z}^K) = \begin{cases} 0, & j \notin I, \\ 2\varepsilon(j, I)z^{I-\{j\}} \wedge \bar{z}^K, & j \in I, \end{cases} \tag{1.7}$$

$$e^*(\bar{z}^k)(z^I \wedge \bar{z}^K) = \begin{cases} 0, & k \notin K, \\ 2(-1)^{|I|+n(k,K)}z^I \wedge \bar{z}^{K-\{k\}}, & k \in K. \end{cases} \tag{1.8}$$

where $\eta(j, I)$ is the number of indices in I that is strictly smaller than j, and similar for $\eta(k, K)$. Now by (1.4), we then have

$$[\Lambda, e(z^j)] = -\frac{\mathrm{i}}{2}\Big[\sum_{i=1}^n e^*(\bar{z}^i)e^*(z^i)e(z^j) - \sum_{i=1}^n e(z^j)e^*(\bar{z}^i)e^*(z^i) \Big]$$

$$= -\frac{\mathrm{i}}{2}[e^*(\bar{z}^j)e^*(z^j)e(z^j) - e(z^j)e^*(\bar{z}^j)e^*(z^j)]$$

since $e(z^j)$ commutes with $e^*(z^i)$ and $e^*(\bar{z}^i)$ for $i \neq j$, which follows from (1.7) and (1.8). We now consider the action of $[\Lambda, e(z^j)]$ on $z^I \wedge \bar{z}^K$, which is distinguished into two cases: if $j \in I$, then $e(z^j)(z^I \wedge \bar{z}^K) = 0$, so

$$[\Lambda, e(z^j)](z^I \wedge \bar{z}^K) = \frac{\mathrm{i}}{2}(-1)^{n(j,I)}e(z^j)e^*(\bar{z}^j)(z^{I-\{j\}} \wedge \bar{z}^K).$$

Again, if $j \notin K$ then the right side is identically zero, and otherwise, we have

$$[\Lambda, e(z^j)](z^I \wedge \bar{z}^K) = \mathrm{i} \cdot (-1)^{n(j,I)+\eta(j,K)+|I|-1}e(z^j)(z^{I-\{j\}} \wedge \bar{z}_{K-\{j\}})$$

$$= -\mathrm{i} \cdot (-1)^{|I|+\eta(j,K)}z^I \wedge \bar{z}_{K-\{j\}}.$$

On the other hand, if $j \notin I$, then $e^*(z^j)(z^I \wedge \bar{z}^K) = 0$, so

$$[\Lambda, e(z^j)](z^I \wedge \bar{z}^K) = -\frac{\mathrm{i}}{2}e^*(\bar{z}^j)e^*(z^j)(z^j \wedge z^I \wedge \bar{z}^K).$$

Again, this is zero if $j \notin K$, and if $j \in K$ we have

$$[\Lambda, e(z^j)](z^I \wedge \bar{z}^K) = -\mathrm{i} \cdot (-1)^{|I|+n(j,K)}z^I \wedge \bar{z}^{K-\{j\}}.$$

In both cases, we have $[\Lambda, e(z^j)](z^I \wedge \bar{z}^K) = -\mathrm{i}e^*(\bar{z}^j)(z^I \wedge \bar{z}^K)$, so the first equality of (1.6) follows. The second one can be proved similarly. Finally, to see (1.5), we simply note that any real 1-form can be written in the form $\eta = \alpha + \beta$, where α is of type $(1,0)$ and β is of type $(0,1)$. Then we can check that

$$-\mathrm{i}e^*(\alpha) = -\mathbf{J}e^*(\bar{\alpha})\mathbf{J}^{-1}, \quad \mathrm{i}e^*(\beta) = -\mathbf{J}e^*(\beta)\mathbf{J}^{-1}.$$

Combine this with (1.6), we see (1.5) follows. $\square$

With these preparations made, we now want to prove two basic lemmas due to Hecht. We introduce the following operator on $\bigwedge^* V_{\mathbb{C}}^*$ induced by the action of $\mathrm{SL}(2,\mathbb{C})$ on $\bigwedge^* V_{\mathbb{C}}^*$ by the representation of $\mathfrak{sl}(2,\mathbb{C})$. Recall that the Weyl element θ in $\mathrm{SL}(2,\mathbb{C})$ is given by

$$\theta = \exp\left(\frac{\mathrm{i}\pi}{2}(e+f)\right) = \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix}.$$

The point is that conjugation by θ in $\mathfrak{sl}(2,\mathbb{C})$ gives rise to a reflection with respect to basis (e,h,f) (the Weyl group reflection). Namely,

$$\theta h \theta^{-1} = -h, \quad \theta e \theta^{-1} = f, \quad \theta f \theta^{-1} = e.$$

Now we let # be the action of θ on $\bigwedge^* V_{\mathbb{C}}^*$, that is,

$$\# = \theta_{\bigwedge^* V_{\mathbb{C}}^*} = \exp\left(\frac{\pi \mathrm{i}}{2}(e+f)\right)_{\bigwedge^* V_{\mathbb{C}}^*} = \exp\left(\frac{\pi \mathrm{i}}{2}(\Lambda + L)\right).$$

Lemma 1.1.15. *Let (V,ρ) be a representation of $\mathfrak{sl}(2,\mathbb{C})$ and $v \in V$ be a primitive element of weight n. Let π be the induced representation of $\mathrm{SL}(2,\mathbb{C})$ on V, then for $0 \le k \le n-1$,*

$$\pi(\theta)\rho(f)^k(v) = \mathrm{i}^n \frac{k!}{(n-k)!}\rho(f)^{n-k}v. \tag{1.9}$$

Proof. Let $\mathrm{SL}(2,\mathbb{C})$ act on $\mathbb{C}^2$ by left matrix multiplication, and we consider $\mathrm{Sym}^n(\mathbb{C}^2)$, the n-fold symmetric tensor product of $\mathbb{C}^2$ with itself. Then V is isomorphic to this representation, so we consider $V = \mathrm{Sym}^n(\mathbb{C}^2)$. If $n = 1$, we let

$$v = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad w = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

be a basis of $\mathbb{C}^2$. Then we have $h \cdot v = v$, $e \cdot v = 0$, and $f \cdot v = w$, so v is a primitive vector. We also note that $\theta \cdot v = \mathrm{i}w = \mathrm{i}fv$, so (1.9) holds in this case.

Now the general case is obtained by taking symmetric power on $\mathbb{C}^2$. That is, if we set

$$\omega_i = \binom{n}{i} v^{n-i} w^i$$

then $\omega_0 = v^n$ is a primitive vector of V, and we have

$$\rho(f)^k \omega_0 = \frac{n!}{(n-k)!} v^{n-k} w^k = \frac{1}{k!}\omega_k.$$

Therefore, the action of θ on $\rho(f)^k \omega_0$ is given by

$$\pi(\theta)\rho(f)^k \omega_0 = \frac{n!}{(n-k)!}\pi(\theta)(v^{n-k}w^k) = \mathrm{i}^n \frac{k!}{(n-k)!}\frac{n!}{k!}v^k w^{n-k} = \mathrm{i}^n \frac{k!}{(n-k)!}\rho(f)^{n-k}\omega_0.$$

Since every irreducible representation of V is isomorphic to some $\mathrm{Sym}^n(\mathbb{C}^2)$, we see the claim follows. $\square$

Lemma 1.1.16. *Let η be a real 1-form. Then*

$$\#e(\eta)\#^{-1} = -\mathrm{i}\mathbf{J}e^*(\eta)\mathbf{J}^{-1}. \tag{1.10}$$

Proof. For simplicity we write $e = e(\eta)$. Now for $t \in \mathbb{C}$, we consider the operator

$$e_t = \exp(\mathrm{i}t(\Lambda + L)) \cdot e \cdot \exp(-\mathrm{i}t(\Lambda + L)).$$

We note that $e_{\pi/2} = \#e\#^{-1}$. We will see that e_t satisfies a simple differential equation with initial condition $e_0 = e$, which can be easily solved, and evaluating the solution at $t = 1/2\pi$

will give the desired result. We first note that by the equality $\exp(\mathrm{ad}(A)) = \mathrm{Ad}(\exp(A))$, we have

$$e_t = \exp(\mathrm{ad}(\mathrm{i}t(\Lambda + L)))(e) = \sum_{k=0}^{\infty} \frac{\mathrm{ad}^k(\mathrm{i}t(\Lambda + L))}{k!}(e). \tag{1.11}$$

Now $\mathrm{ad}^k(\Lambda + L)$ is a sum of monomials in $\mathrm{ad}(\Lambda)$ and $\mathrm{ad}(L)$. Since $\Lambda L = L\Lambda + H$, $\mathrm{ad}(L)(e) = 0$, and $\mathrm{ad}(H)(e) = -e$ (since η is of degree 1), we see that e_t can be expressed in the form

$$e_t = \sum_{k=0}^{\infty} a_k(t)\mathrm{ad}^k(\Lambda)(e),$$

where $a_k(t)$ are real-analytic functions in t. Now (1.5) implies that $\mathrm{ad}(\Lambda)(e) = 0$ for $k \geq 2$, since Λ commutes with J and e^*. Thus

$$e_t = a_0(t)e + a_1(t)\mathrm{ad}(\Lambda)(e). \tag{1.12}$$

We now consider differentiating with respect to t. From (1.11), we see e_t satisfies the differential equation

$$\begin{cases} e_t' = \mathrm{i}(\mathrm{ad}(\Lambda) + \mathrm{ad}(L))(e_t), \\ e_0 = e. \end{cases}$$

We can solve this using (1.12). Namely, we have

$$e_t = a_0'(t)e + a_1'(t)\mathrm{ad}(\Lambda)(e), \tag{1.13}$$

which must equal to the product

$$\mathrm{i}(\mathrm{ad}(\Lambda + L))[a_0(t)e + a_1(t)\mathrm{ad}(\Lambda)(e)] = \mathrm{i}a_0(t)\mathrm{ad}(\Lambda)(e) + \mathrm{i}a_1(t)\mathrm{ad}(L)\mathrm{ad}(\Lambda)(e),$$

using the fact that $\mathrm{ad}^2(\Lambda)(e) = 0$ and $\mathrm{ad}(L)(e) = 0$. But

$$\mathrm{ad}(L)\mathrm{ad}(\Lambda)(e) = \mathrm{ad}([L, \Lambda])(e) + \mathrm{ad}(\Lambda)\mathrm{ad}(L)(e) = \mathrm{ad}(-H)(e) = e,$$

so the right side of (1.13) must equal to $\mathrm{i}a_0(t)\mathrm{ad}(\Lambda)(e) + \mathrm{i}a_1(t)e$. Comparing the coefficients, we conclude that

$$\begin{cases} a_0'(t) = \mathrm{i}a_1(t), \\ a_1'(t) = \mathrm{i}a_0(t). \end{cases}$$

Then by letting $a_0(t) = \cos t$ and $a_1(t) = \mathrm{i}\sin t$, we find that

$$e_t = \cos t \cdot e + \mathrm{i}\sin t \cdot \mathrm{ad}(\Lambda)(e).$$

Now set $t = \pi/2$, we then find that $e_{\pi/2} = \mathrm{i}[\Lambda, e]$, which proves the lemma.   □

Lemma 1.1.17. *For any $\alpha \in \bigwedge^k V^*$, we have*

$$*\alpha = \mathrm{i}^{k^2 - n}\mathbf{J}^{-1}\#\alpha. \tag{1.14}$$

Proof. We first note that the $*$-operator is characterized by

$$*1 = \mathrm{vol} = \frac{1}{n!}L^n(1), \quad *e(\eta) = (-1)^k e^*(\eta)*, \tag{1.15}$$

as an operator on $\bigwedge^k V_{\mathbb{C}}^*$ for any real 1-form η, as the forms obtained from 1 by repeated application of $e(\eta)$ span $\bigwedge^* V_{\mathbb{C}}^*$. The first equation is clear, and the second one follows from Proposition 1.1.6(c): for a k-form α, we have

$$*e(\eta)\alpha = *e(\eta) * *^{-1}\alpha = (-1)^k e^*(\eta) * \alpha.$$

Now consider the operator

$$\widetilde{*} = i^{k^2 - n}\mathbf{J}\#$$

defined on $\bigwedge^k V_{\mathbb{C}}^*$. We recall that by (1.9), $\overline{\text{almost complex space action by hash-1}}$

$$\#L^k\alpha = i^n \frac{k!}{(n-k)!}L^{n-k}\alpha$$

if α is primitive of weight n. But 1 is just a primitive form of weight n, so apply this equality we have

$$\#1 = \frac{i^n}{n!}L^n(1).$$

We then conclude that

$$\widetilde{*}1 = i^{-n}\cdot\frac{i^n}{n!}L^n(1) = \frac{L^n(1)}{n!} = \text{vol}.$$

Similarly, if η is a real 1-form and α is a k-form, using (1.10) we see $\overline{\text{almost complex space conjugate by hash-1}}$

$$\widetilde{*}e(\eta)\alpha = i^{(k+1)^2-n}\mathbf{J}^{-1}\#e(\eta)\alpha = i^{k^2-n}(-1)^k i\mathbf{J}^{-1}\#e(\eta)\#^{-1}\#\alpha$$

$$= i^{k^2-n}(-1)^k e^*(\eta)\mathbf{J}^{-1}\#\alpha = (-1)^k e^*(\eta)\widetilde{*}\alpha.$$

This verifies (1.15) for $\widetilde{*}$, so $\widetilde{*} = *$. $\overline{\text{almost complex space hash and Hodge star-2}}$ □

We now prove the following mysterious but extremely useful result about the interplay between L and $*$.

Proposition 1.1.18. *Let α be a primitive k-form in $\bigwedge^* V^*$. Then for $0 \le j \le n-k$, we have*

$$*L^j\alpha = (-1)^{\frac{k(k+1)}{2}}\frac{j!}{(n-k-j)!}L^{n-k-j}\mathbf{J}\alpha \tag{1.16}$$

Proof. Let V_α^* be the subspace of $\bigwedge^* V^*$ generated by $\{L^j\alpha : 0 \le j \le n-k\}$. Then V_α^* is an irreducible representation of $\mathfrak{sl}(2,\mathbb{C})$, and by (1.9) we have $\overline{\text{almost complex space action by hash}}$

$$\#L^j\alpha = i^{n-k}\frac{j!}{(n-k-j)!}L^{n-k-j}\alpha.$$

Hence, by Lemma 1.1.17, if $\alpha \in P^k$ we have (recall that $\mathbf{J}^2 = \sum_k(-1)^k\Pi^k$) $\overline{\text{almost complex space hash and Hodge star}}$

$$*L^j\alpha = i^{(k+2j)^2-n}\mathbf{J}^{-1}\#L^j\alpha = i^{k^2-n}\mathbf{J}^{-1}i^{n-k}\frac{j!}{(n-k-j)!}L^{n-k-j}\alpha$$

$$= i^{k^2-k}(\mathbf{J}^{-1})^2\frac{j!}{(n-k-j)!}L^{n-k-j}\mathbf{J}\alpha$$

$$= i^{k^2-k}(-1)^{p-q}\frac{j!}{(n-k-j)!}L^{n-k-j}\alpha$$

$$= i^{k^2-k}(-1)^k\frac{j!}{(n-k-j)!}L^{n-k-j}\alpha$$

$$= (-1)^{\frac{k(k+1)}{2}}\frac{j!}{(n-k-j)!}L^{n-k-j}\alpha. \qquad\square$$

Example 1.1.19. Here are a few instructive special cases. Let $j = k = 0$ and $\alpha = 1$, then we obtain

$$*1 = \frac{1}{n!}L^n 1 = \frac{\omega^n}{n!}.$$

Thus, $\text{vol} = \omega^n/n!$ as was claimed before. Also, for $k = 0$, $j = 1$, and $\alpha = 1$, the proposition yields

$$*\omega = \frac{\omega^{n-1}}{(n-1)!}$$

Finally, if α is a primitive $(1,1)$-form, then

$$*\alpha = \frac{-1}{(n-2)!}\omega^{n-2} \wedge \alpha.$$

Let $(V, \langle \cdot\,, \cdot \rangle, J)$ be as before and let ω be the associated fundamental form. The **Hodge-Riemann pairing** of V is the bilinear form

$$Q : \textstyle\bigwedge^k V^* \times \bigwedge^k V^* \to \mathbb{R}, \quad (\alpha, \beta) \mapsto (-1)^{\frac{k(k-1)}{2}} \alpha \wedge \beta \wedge \omega^{n-k}$$

where $\bigwedge^{2n} V^*$ is identified with $\mathbb{R}$ via the volume form vol. By definition $Q = 0$ on $\bigwedge^k V^*$ for $k > n$. We will also denote by Q the $\mathbb{C}$-linear extension of the Hodge-Riemann pairing to $\bigwedge^* V_{\mathbb{C}}^*$. Our final result will be an orthogonal condition on Q:

Proposition 1.1.20 (**Hodge-Riemann bilinear relation**). *Let $(V, \langle \cdot\,, \cdot \rangle, J)$ be an Euclidian vector space endowed with a compatible almost complex structure. Then the associated Hodge-Riemann pairing Q satisfies*

$$Q(\textstyle\bigwedge^{p,q} V^*, \bigwedge^{p',q'} V^*) = 0$$

for $(p, q) \neq (q', p')$ and

$$\mathrm{i}^{p-q} Q(\alpha, \bar{\alpha}) = (n - (p+q))! \cdot \langle \alpha, \alpha \rangle_{\mathbb{C}} > 0$$

for nonzero $\alpha \in P^{p,q}$ with $p + q \le n$.

Proof. From the definition of Q and the fact that ω is of type $(1,1)$, the first assertion follows immediately. We only need to verify the second assertion. By definition,

$$Q(\alpha, \bar{\alpha}) \cdot \text{vol} = (-1)^{\frac{k(k-1)}{2}} \alpha \wedge \bar{\alpha} \wedge \omega^{n-k} = (-1)^{\frac{k(k-1)}{2}} \alpha \wedge L^{n-k} \bar{\alpha}$$

$$= (-1)^{\frac{k(k-1)}{2}} \langle \alpha, \beta \rangle_{\mathbb{C}} \cdot \text{vol},$$

where $k = p + q$ and β is the p-form given by $*\bar{\beta} = L^{n-k}\bar{\alpha}$. Hence $*^2\bar{\beta} = (-1)^k \bar{\beta}$ and, on the other hand, by Proposition 1.1.18 we have

$$*^2\bar{\beta} = *L^{n-k}\bar{\alpha} = (-1)^{\frac{k(k+1)}{2}} (n-k)! \mathrm{i}^{p-q} \bar{\alpha}.$$

Thus $\beta = (-1)^{\frac{k(k+1)}{2}+k} (n-k)! \mathrm{i}^{p-q} \alpha$ and we then see

$$Q(\alpha, \bar{\alpha}) = (-1)^{\frac{k(k+1)}{2}+\frac{k(k-1)}{2}+k} (n-k)! \mathrm{i}^{p-q} \langle \alpha, \alpha \rangle_{\mathbb{C}}.$$

This yields $\mathrm{i}^{p-q} Q(\alpha, \bar{\alpha}) = (n-k)! \cdot \langle \alpha, \alpha \rangle_{\mathbb{C}} > 0$ for nonzero $\alpha \in P^{p,q}$. $\square$

Example 1.1.21. Suppose that $n \ge 2$ and consider the decomposition $(\bigwedge^{1,1} V^*)_{\mathbb{R}} = \omega\mathbb{R} \oplus P_{\mathbb{R}}^{1,1}$, where $(-)_{\mathbb{R}}$ denotes the intersection with $\bigwedge^2 V^*$. This decomposition is Q-orthogonal, because $(\alpha \wedge \omega) \wedge \omega^{n-2} = \alpha \wedge \omega^{n-1} = L^{n-1}\alpha = 0$ for any $\alpha \in P^2$ (Proposition 1.1.13(b)). Moreover, Q is a positive definite symmetric bilinear form on $\omega\mathbb{R}$ and a negative definite symmetric bilinear from on $P_{\mathbb{R}}^{1,1}$.

1.1.2 Tangent space and differential forms on $\mathbb{C}^n$

A real manifold M is studied by means of its tangent bundle TM, the collection of all tangent spaces $T_x M$ for $x \in M$, and its k-form bundle $\bigwedge^k T^* M$. In this subsection we will apply the linear algebra developed previously to the form bundles of an open subset $M = U \subseteq \mathbb{C}^n$. The bidegree decomposition induces a decomposition of the exterior differential d which is well suited for the study of holomorphic functions on U. We conclude by a local characterization of so called Kähler metrics which will be of central interest in the global setting.

Let $U \subseteq \mathbb{C}^n$ be an open subset. Then U can in particular be considered as a $2n$-dimensional real manifold. For $x \in U$ we have its real tangent space $T_x U$ at the point x which is of real dimension $2n$. A canonical basis of $T_x U$ is given by the tangent vectors

$$\frac{\partial}{\partial x^1}, \dots, \frac{\partial}{\partial x^n}, \frac{\partial}{\partial y^1}, \dots, \frac{\partial}{\partial y^n}.$$

where $z^i = x^i + \mathrm{i} y^i$ are the standard coordinates on $\mathbb{C}^n$. Moreover, the vectors $\frac{\partial}{\partial x^1}, \dots, \frac{\partial}{\partial y^n}$ are global trivializing sections of TU.

Each tangent space $T_x U$ admits a natural almost complex structure defined by

$$J : T_x U \to T_x U, \quad \frac{\partial}{\partial x^i} \mapsto \frac{\partial}{\partial y^i}, \frac{\partial}{\partial y^i} \mapsto -\frac{\partial}{\partial x^i}.$$

which is compatible with the global trivialization. We shall regard J as a vector bundle endomorphism of the real vector bundle TU over U. The dual basis of $(T_x U)^*$ is denoted by $dx^1, \dots, dx^n, dy^1, \dots, dy^n$. Recall that the induced almost complex structure on $T_x U$ in terms of this dual basis is described by $J(dx^i) = -dy^i$, $J(dy^i) = dx^i$.

The general theory developed in the previous subsections applies to this almost complex structure and yields the following result.

Proposition 1.1.22. *The complexified tangent bundle $TU_{\mathbb{C}} := TU \otimes_{\mathbb{R}} \mathbb{C}$ decomposes as a direct sum of complex vector bundles*

$$T_{\mathbb{C}} U = T^{1,0} U \oplus T^{0,1} U,$$

such that the complex linear extension of J satisfies

$$J|_{T^{1,0}U} = \mathrm{i} \cdot \mathrm{id}, \quad J|_{T^{0,1}U} = -\mathrm{i} \cdot \mathrm{id}.$$

The vector bundles $T^{1,0} U$ and $T^{0,1} U$ are trivialized by the sections

$$\frac{\partial}{\partial z^i} = \frac{1}{2} \left(\frac{\partial}{\partial x^i} - \mathrm{i} \frac{\partial}{\partial y^i} \right) \quad \text{and} \quad \frac{\partial}{\partial \bar{z}^i} = \frac{1}{2} \left(\frac{\partial}{\partial x^i} + \mathrm{i} \frac{\partial}{\partial y^i} \right)$$

for $i = 1, \dots, n$, respectively.

The complexified cotangent bundle $T_{\mathbb{C}}^* U := T^* U \otimes_{\mathbb{R}} \mathbb{C}$ admits an analogous decomposition $T^* U_{\mathbb{C}} = (T^* U)^{1,0} \oplus (T^* U)^{0,1}$, where $(T^* U)^{1,0}$ and $(T^* U)^{0,1}$ are trivialized by the dual basis $dz^i := dx^i + \mathrm{i} dy^i$ and $d\bar{z}^i := dx^i - \mathrm{i} dy^i$, for $i = 1, \dots, n$, respectively. Note that these decompositions are compatible with restriction to smaller open subsets $U' \subseteq U$.

Proposition 1.1.23. *Let $f : U \to V$ be a holomorphic map between open subsets $U \subseteq \mathbb{C}^m$ and $V \subseteq \mathbb{C}^n$. Then the $\mathbb{C}$-linear extension of the differential $df : T_x U \to T_{f(x)} V$ respects the above decomposition, i.e., $df(T_x^{0,1} U) \subseteq T_{f(x)}^{0,1} V$ and $df(T_x^{1,0} U) \subseteq T_{f(x)}^{1,0} V$.*

Proof. Since f is holomorphic, the extension of df is given by the Jacobian matrix

$$\begin{pmatrix} J(f) & 0 \\ 0 & \overline{J(f)} \end{pmatrix}$$

It then follows that df maps $T^{1,0}U$ into $T^{1,0}V$ and $T^{0,1}U$ into $T^{0,1}V$. $\square$

In a similar fashion, we can use the previous results in order to decompose the bundles of k-forms. Let $U \subseteq \mathbb{C}^n$ be an open subset. Over U one defines the complex vector bundles

$$\textstyle\bigwedge^{p,q} T^*U = \bigwedge^p (T^*U)^{1,0} \otimes \bigwedge^q (T^*U)^{0,1}.$$

By $\mathcal{A}_{\mathbb{C}}^k(U)$ and $\mathcal{A}^{p,q}(U)$ we denote the spaces of sections of $\bigwedge^k T_{\mathbb{C}}^*U$ and $\bigwedge^{p,q} T^*U$, respectively. Now by Proposition **??**, we have the following decomposition for $\bigwedge^k T_{\mathbb{C}}^*U$:

Proposition 1.1.24. *There are natrual decompositions*

$$\textstyle\bigwedge_{\mathbb{C}}^k T^*U = \bigoplus_{p+q=k} \bigwedge^{p,q} T^*U, \quad \mathcal{A}_{\mathbb{C}}^k(U) = \bigoplus_{p+q=k} \mathcal{A}^{p,q}(U).$$

We note that the restriction map $\mathcal{A}^k(U) \to \mathcal{A}^k(U')$ for an open subset $U' \subseteq U$ respects this decomposition. As before, the projection operators $\bigwedge_{\mathbb{C}}^k T^*U \to \bigwedge^{p,q} T^*U$ and $\mathcal{A}_{\mathbb{C}}^k(U) \to \bigwedge^{p,q}(U)$ will be denoted by $\Pi^{p,q}$.

We now consider the complex extension $d : \mathcal{A}_{\mathbb{C}}^k(U) \to \mathcal{A}_{\mathbb{C}}^{k+1}(U)$ of the usual exterior differential d on k-forms. Then

$$\partial : \mathcal{A}^{p,q}(U) \to \mathcal{A}^{p+1,q}(U), \quad \bar{\partial} : \mathcal{A}^{p,q}(U) \to \mathcal{A}^{p,q+1}(U)$$

are defined by $\partial := \Pi^{p+1,q} \circ d$ and $\bar{\partial} := \Pi^{p,q+1} \circ d$. For any local function f we have

$$df = \sum_i \frac{\partial f}{\partial x^i} dx^i + \sum_i \frac{\partial f}{\partial y^i} dy^i = \sum_i \frac{\partial f}{\partial z^i} dz^i + \sum_i \frac{\partial f}{\partial \bar{z}^i} dz^i. \qquad (1.17)$$

`manifold comp`

`manifold complex differential expression on`

Thus, f is holomorphic if and only if $\bar{\partial} = 0$. Moreover, using (1.17), the operators ∂ and $\bar{\partial}$ can be explicitly expressed as

$$\partial(f\, dz^{i_1} \wedge \cdots \wedge dz^{i_p} \wedge d\bar{z}^{j_1} \wedge \cdots \wedge d\bar{z}^{j_q}) = \sum_{k=1}^n \frac{\partial f}{\partial z^k} dz^k \wedge dz^{i_1} \wedge \cdots \wedge dz^{i_p} \wedge d\bar{z}^{j_1} \wedge \cdots \wedge d\bar{z}^{j_q},$$

$$\bar{\partial}(f\, dz^{i_1} \wedge \cdots \wedge dz^{i_p} \wedge d\bar{z}^{j_1} \wedge \cdots \wedge d\bar{z}^{j_q}) = \sum_{k=1}^n \frac{\partial f}{\partial \bar{z}^k} d\bar{z}^k \wedge dz^{i_1} \wedge \cdots \wedge dz^{i_p} \wedge d\bar{z}^{j_1} \wedge \cdots \wedge d\bar{z}^{j_q},$$

`on C^n prop` **Proposition 1.1.25.** *For the differentials ∂ and $\bar{\partial}$, we have*

(a) $d = \partial + \bar{\partial}$.

(b) $\partial^2 = \bar{\partial}^2 = 0$ *and* $\partial\bar{\partial} + \bar{\partial}\partial = 0$.

(c) *The operators ∂ and $\bar{\partial}$ satisfy the Leibniz rule, i.e.,*

$$\partial(\alpha \wedge \beta) = \partial(\alpha) \wedge \beta + (-1)^{p+q} \alpha \wedge \partial(\beta),$$
$$\partial(\alpha \wedge \beta) = \partial(\alpha) \wedge \beta + (-1)^{p+q} \alpha \wedge \partial(\beta),$$

for $\alpha \in \mathcal{A}^{p,q}(U)$ and $\beta \in \mathcal{A}^{r,s}(U)$.

Proof. The first assertion follows from the description of ∂ and $\bar{\partial}$ given above, and the second one from the expansion $0 = d^2 = (\partial + \bar{\partial})^2$. For the third one, we recall that

$$d(\alpha \wedge \beta) = d\alpha \wedge \beta + (-1)^{p+q} \alpha \wedge d\beta.$$

Taking the $(p + r + 1, q + s)$-parts on both sides one obtains the Leibniz rule for ∂. Similarly, taking $(p + r, q + s + 1)$-parts proves the assertion for $\bar{\partial}$. □

Since ∂ and $\bar{\partial}$ share the usual properties of the exterior differential d and reflect the holomorphicity of functions, it seems natural to build up a holomorphic analogue of the de Rham complex. As we work here exclusively in the local context, only the local aspects will be discussed. Of course, locally the de Rham complex is exact due to the standard Poincaré lemma. We will show that this still holds true for $\bar{\partial}$ (and ∂).

Proposition 1.1.26 ($\bar{\partial}$-**Poincaé Lemma on** $\mathbb{C}$)**.** *Consider an open neighbourhood of the closure of a bounded one-dimensional disc $B_\varepsilon \subseteq \bar{B}_\varepsilon \subseteq U \subseteq \mathbb{C}$. For $\alpha = f\, d\bar{z} \in \mathcal{A}^{0,1}(U)$ the function*

$$g(z) = \frac{1}{2\pi i} \int_{B_\varepsilon} \frac{f(w)}{w - z} dw \wedge d\bar{w}$$

on B_ε satisfies $\alpha = \bar{\partial}g$.

The following proposition and its corollary are known as the Grothendieck-Poincaré lemma. The first proof of it is due to Grothendieck and was presented by Serre in the Seminaire Cartan in 1958.

Proposition 1.1.27 ($\bar{\partial}$-**Poincaé Lemma on** $\mathbb{C}^n$)**.** *Let U be an open neighbourhood of the closure of a bounded poly disc $B_\varepsilon \subseteq \bar{B}_\varepsilon$ in $\mathbb{C}^n$. If $\alpha \in \mathcal{A}^{p,q}(U)$ is $\bar{\partial}$-closed and $q > 0$, then there exists a form $\beta \in \mathcal{A}^{p,q-1}(B_\varepsilon)$ with $\alpha = \bar{\partial}\beta$ on B_ε.*

Corollary 1.1.28 ($\bar{\partial}$-**Poincaé Lemma on Polydisc**)**.** *Let B be a polydisc in $\mathbb{C}^n$ which can be unbounded. If $\alpha \in \mathcal{A}^{p,q}(B)$ is $\bar{\partial}$-closed and $q > 0$, then there exists $\beta \in \mathcal{A}^{p,q-1}(B)$ with $\alpha = \bar{\partial}\beta$.*

Remark 1.1.3. It is easy to see that $\overline{\partial \alpha} = \bar{\partial}\bar{\alpha}$ for any $\alpha \in \mathcal{A}^{p,q}(U)$, so by conjugation we can also form a ∂-Poincaré lemma for open disk U in $\mathbb{C}^n$.

Corollary 1.1.29 ($\bar{\partial}\partial$-**Poincaé Lemma on Polydisc**)**.** *Let B be a polydisc in $\mathbb{C}^n$. If $\alpha \in \mathcal{A}^{p,q}(B)$ is d-closed, then for any point $x \in B$ there is a neighbourhood U of x and $\beta \in \mathcal{A}^{p-1,q-1}(U)$ such that $\partial\bar{\partial}\beta = \alpha$ in U.*

Proof. The proof consists of an application of the Poincaré lemmas for the operators d, ∂, and $\bar{\partial}$. Namely, since $d\alpha = 0$, for each $x \in B$ there is a neighbourhood U of x and $\eta \in \mathcal{A}^{k-1}(U)$ such that $d\eta = \alpha$, where $k = p + q$ is the total degree of α. Thus we see that if we write $\eta = \sum_{i,j} \eta^{i,j}$, we have

$$d\eta = \bar{\partial}\eta^{p,q-1} + \partial\eta^{p-1,q}, \quad \bar{\partial}\eta^{p-1,q} = \partial\eta^{p,q-1} = 0.$$

and by shrinking U there exists (by the $\bar{\partial}$ and ∂ Poincaré lemmas) forms $\beta_1 \in \mathcal{A}^{p-1,q-1}(U)$ and $\beta_2 \in \mathcal{A}^{p-1,q-1}(U)$ so that

$$\partial\beta_1 = \eta^{p,q-1}, \quad \bar{\partial}\beta_2 = \eta^{p-1,q}.$$

Now we then see

$$\alpha = d\beta = \bar{\partial}\beta_1 + \partial\bar{\partial}\beta_2 = \partial\bar{\partial}(\beta_2 - \beta_1).$$

This completes the proof of the corollary. □

So far, only the consequences of the existence of a natural (almost) complex structure on each $T_x U$ have been discussed. Following the presentation of the last part, we shall conclude by combining this with certain metric aspects of the manifold U. Let $U \subseteq \mathbb{C}^n$ be an open subset and consider a Riemannian metric g on U. For what follows we may always assume that U is a polydisc. The metric g is compatible with the natural (almost) complex structure on U if for any $x \in U$ the induced scalar product g_x on $T_x U$ is compatible with the induced almost complex structure J, i.e. $g_x(v, w) = g_x(Jv, Jw)$ for all $v, w \in T_x U$. Recall that in this situation a natural $(1, 1)$-for $\omega \in \mathcal{A}^{1,1}(U) \cap \mathcal{A}^2(U)$ defined by

$$\omega = g(J(-), (-)),$$

which is called the fundamental form of g. Moreover, $h := g - \mathrm{i}\omega$ defines a positive Hermitian form on the complex vector spaces $(T_x U, g_x)$ for any $x \in U$.

Example 1.1.30. Let g be the constant standard metric such that

$$\frac{\partial}{\partial x^1}, \ldots, \frac{\partial}{\partial x^n}, \frac{\partial}{\partial y^1}, \ldots, \frac{\partial}{\partial y^n}$$

is an orthonormal basis for any $T_x U$. Clearly, complex structure and g are compatible. The form ω in this is case is

$$\omega = \frac{\mathrm{i}}{2} \sum_{i=1}^{n} dz^i \wedge d\bar{z}^i.$$

An arbitrary metric g on U, if compatible with the almost complex structure, is uniquely determined by the matrix $h_{ij}(z) := h(\partial/\partial x^i, \partial/\partial x^j)$. The fundamental form can then be written as (Proposition 1.1.4)
almost complex space fundamental class express

$$\omega = \frac{\mathrm{i}}{2} \sum_{i,j=1}^{n} h_{ij}\, dz^i \wedge d\bar{z}^j. \tag{1.18}$$
complex mani

Even if g is not the standard metric, one might try to change the complex coordinates such that it becomes the standard metric with respect to the new coordinates. Of course, this cannot always be achieved, but a reasonable class of metrics can be then defined: we say the metric g **osculates in the origin to order two** to the standard metric if $(h_{ij}) = \delta_{ij} + O(|z|^2)$. Explicitly, the condition means

$$\frac{\partial h_{ij}}{\partial z^k}(0) = \frac{\partial h_{ij}}{\partial \bar{z}^k}(0) = 0$$

for all i, j, k. In other words, the power series expansion of (h_{ij}) differs from the constant matrix (δ_{ij}) by terms of order at least two, thus terms of the form $a_{ij} z^k + b_{ij} \bar{z}^k$ do not occur.

Osculating metrics will provide the local models of Kähler metrics which will be extensively studied in the later chapters. Here is the crucial fact:

ff two order **Proposition 1.1.31.** *Let g be a compatible metric on U and let ω be the associated fundamental form. Then $d\omega = 0$ if and only if for any point $x \in U$ there exist a neighbourhood V of $0 \in \mathbb{C}^n$ and a local biholomorphic map $f : V \cong f(V) \subseteq U$ with $f(0) = x$ and such that f^*g osculates in the origin to order two to the standard metric.*

Proof. First note that for any local biholomorphic map f the pull-back $f^*\omega$ is the associated fundamental form to f^*g. In particular, ω is closed on $f(V)$ if and only if f^*w is closed. Thus, in order to show that $d\omega = 0$ one can assume that the metric g osculates to order two to the

standard metric and then one verifies that $d\omega$ vanishes in the origin. But the latter follows immediately from

$$\frac{\partial h_{ij}}{\partial z^k}(0) = \frac{\partial h_{ij}}{\partial \bar{z}^k}(0) = 0.$$

For the other direction let us assume that $d\omega = 0$. We fix a point $x \in U$. After translating we may assume that $x = 0$. By a linear coordinate change we may furthermore assume that $(h_{ij})(0) = (\delta_{ij})$. Thus

$$h_{ij} = \delta_{ij} + \sum_k a_{ijk} z^k + \sum_k b_{ijk} \bar{z}^k + O(|z|^2).$$

Thus, we have $a_{ijk} = \frac{\partial h_{ij}}{\partial z^k}(0)$ and $b_{ijk} = \frac{\partial h_{ij}}{\partial \bar{z}^k}(0)$. The assumption $d\omega(0) = 0$ toghether with (1.18) implies $a_{ijk} = a_{kji}$ and $b_{ijk} = b_{ikj}$. Furthermore, since ω is real, $h_{ij} = \bar{h}_{ji}$ and thus $b_{ijk} = \bar{a}_{jik}$. New holomorphic coordinates in a neighbourhood of the origin can now be defined by

$$w^j = z^j + \frac{1}{2} \sum_{i,k=1}^n a_{ijk} z^i z^k.$$

Then we have

$$dw^j = dz^j + \frac{1}{2} \sum_{i,k=1}^n a_{ijk}(dz^i)z^k + \frac{1}{2} \sum_{i,k=1}^n a_{ijk} z^i (dz^k) = dz^j + \sum_{i,k=1}^n a_{ijk} z^k \, dz^i$$

and similarly

$$d\bar{w}^j = d\bar{z}^j + \sum_{i,k=1}^n b_{ijk} \bar{z}^k \, d\bar{z}^i.$$

Therefore, up to terms of order at least two, we find that

$$\frac{i}{2} \sum_{j=1}^n dw^j \wedge d\bar{w}^j = \frac{i}{2} \sum_{j=1}^n \left[dz^j \wedge d\bar{z}^j + \Big(\sum_{i,k=1}^n a_{ijk} z^k \, dz^i \Big) \wedge d\bar{z}^j + dz^j \wedge \Big(\sum_{i,k=1}^n b_{jik} \bar{z}^k \, d\bar{z}^i \Big) \right]$$

$$= \frac{i}{2} \left[\sum_{j=1}^n dz^j \wedge d\bar{z}^j + \sum_{i,j=1}^n \Big(\sum_{k=1}^n a_{ijk} z_k \Big) dz^i \wedge d\bar{z}^j + \sum_{i,j=1}^n \Big(\sum_{k=1}^n b_{jik} \bar{z}^k \Big) dz^j \wedge d\bar{z}^i \right]$$

$$= \omega.$$

This completes the proof of the proposition. $\qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad\square$

Example 1.1.32. Any compatible metric on $U \subseteq \mathbb{C}$ satisfies the above condition. Clearly, the three-form $d\omega$ vanishes for dimension reasons.

1.2 Complex manifolds