

## Intro to ECC

ECC: protect data from noise, using judicious redundancy to enable recovery from corruption.

Noise types: Erasure, Error, Deletion

Noise Model: Random (Stochastic) [Shannon]

Worst Case (Adversarial) [Hamming]

Bit packet

Alphabet → bits {0,1}

Parity Check Code:

$$PC_n = \{ (c_1, c_2, \dots, c_n) \in \{0,1\}^n \mid \oplus c_i = 0 \}$$

\* PC<sub>n</sub> is optimal for 2 erasures.

Fact:  $C \subseteq \Sigma^n$  of distance d can correct  $(d-1)/2$  erasures.

Hamming Code,

It can correct deletion  
(even mod  $2k+1$ )

$$VT_n = \{ (c_1, \dots, c_n) \in \{0,1\}^n \mid \sum i c_i \equiv 0 \pmod{n+1} \}$$

$$\Rightarrow H = \begin{bmatrix} 1 & 0 & 0 & 1 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 & 0 \end{bmatrix} . \quad (\text{as } H \begin{pmatrix} 1 \\ c_2 \\ \vdots \\ c_n \end{pmatrix} = \begin{pmatrix} n \\ \text{sum of all } c_i \\ \vdots \\ 0 \end{pmatrix})$$

\* Let C be a code with linear, this parity check matrix, then  $C^\perp = \{ H^T x \mid x \in \mathbb{F}^n \}$

Singlex Code (it is optimal)

$$S_r = a \in \mathbb{F}_2^n \rightarrow \langle a \cdot x \rangle_{x \in \mathbb{F}_2^n \setminus \{0\}}$$

r bits  $\rightarrow 2^{r-1}$  cods.

its generator matrix is  $H^T$ . (for H the parity check matrix of Hamming code)

Hamming code  $\xleftarrow{\text{dual}}$  Singleton Code

+ Great rate

$$[n=2^r-1, k=2^r-1-r, d=3]$$

- Poor distance

+ Optimal trade-off

(Meets Hamming bound)

$$[n=2^r-1, k=r, d=2^{r-2}]$$

- Great distance

- Very poor rate ( $k/n$ )

+ Optimal trade-off

(meets Plotkin bound)

$$\left( \binom{n}{0} + \binom{n}{1} + \binom{n}{2} + \dots + \binom{n}{\lfloor \frac{n-1}{2} \rfloor} \right) |C| \leq 2^n$$

(OUTER BOUND)

$$C \in \mathbb{S}_0, 1^{\mathbb{Z}^n}, d > \frac{n}{2}$$

$$\text{Thus } |C| \leq \frac{2d}{2d-n} \leq 2d$$

Asymptotically good codes:

Code  $[n, k, d]$ ,

$$\text{Rate } R = \frac{k}{n} \in [0, 1] \quad \text{Relative distance } S = \frac{d}{n} \in [0, 1]$$

Hamming code:  $S \rightarrow 0$  as  $n \rightarrow +\infty$  ( $R \rightarrow 1$ )

Singleton code:  $R \rightarrow 0$  as  $n \rightarrow +\infty$  ( $S \rightarrow 1/2$ )

→ Construct code family  $\{C_1, C_2, \dots, C_r, \dots\}$   
 $n_1, n_2, \dots, n_r, \dots$

Does there exist?

s.t.  $R_i, S_i$  are bounded away from 0.

Singleton Bound:  $k \leq n-d+1 \Rightarrow R+S \leq 1 + o(1)$

# proof by PHP

## Reed-Solomon Codes

$[n, k, d]_{\mathbb{F}_q}$

$$(m_0, m_1, \dots, m_{k-1}) \in \mathbb{F}_q^k \rightarrow M(x) = m_0 + m_1 x + m_2 x^2 + \dots + m_{k-1} x^{k-1} \in \mathbb{F}_2[x] \rightarrow (M(\alpha_1), M(\alpha_2), \dots, M(\alpha_n)) \in \mathbb{F}_q^n$$

$$\{\alpha_1, \alpha_2, \dots, \alpha_n\} \subseteq \mathbb{F}_q^n$$

Hence the gen matrix is the Vandermonde Matrix:

$$\begin{pmatrix} 1 & \alpha_1 & \alpha_1^2 & \dots & \alpha_1^{n-1} \\ 1 & \alpha_2 & \alpha_2^2 & \dots & \alpha_2^{n-1} \\ \vdots & & & & \\ 1 & \alpha_n & \alpha_n^2 & \dots & \alpha_n^{n-1} \end{pmatrix}$$

Observe, requires  $q \geq n$

Hence it is better to work on bit packets.

## Dist of RS Codes:

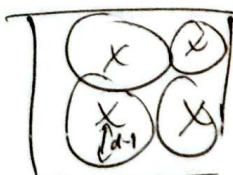
As  $|\{x \mid M(x) = 0\}| \leq k+1$  as  $\deg(M) \leq k-1$ , & as the code is linear

then  $w(c) \geq n-(k+1) = n-k+1, \forall c \in C$ .

And thus we can correct  $\frac{n-k}{2}$  errors.

## Existence of asympt good codes

$$d = S_n$$

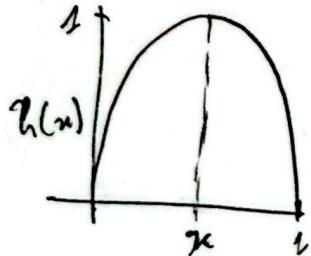


Facts  $\exists$  a code of dist  $d$  & size  $\frac{2^n}{\text{Vol}(n, d-1)} \geq 2^{n-h(S)_n}$

$$\text{Facts } \text{Vol}(n, S_n) = \sum_{i=0}^{S_n} \binom{n}{i} \leq 2^{h(S)_n}$$

$$2^{h(S)_n} \geq \binom{n}{S_n} \geq 2^{n-h(S)_n - o(n)}$$

$$h: [0, 1] \rightarrow [0, 1]$$



$$h(x) = x \log_2 \frac{1}{x} + (1-x) \log_2 \frac{1}{1-x}$$

Corollary:  $\forall S \in [0; \frac{1}{2}]$

$\exists$  binary code family of rel dist  $S$  and rate  $R \geq R_{\text{GR}}(S) = 1-h(S)$

↪ Gilbert-Varshamov Bound

$\Rightarrow \exists$  rate  $\frac{1}{2}$  code family of rel dist  $\geq 0.1$ .

## Hanay bounds

$$\text{Rate must be} \leq R_{\text{Hanay}}(\delta) = 1 - h\left(\frac{\delta}{2}\right)$$

Is there good binary linear codes

In Parity check matrix  $H$ ,

$$m \begin{bmatrix} \vdots & \checkmark & \square & \end{bmatrix}$$

$d-2$  ambigious  
of error.

$$\text{Dim of code} = n - m = n - h(\delta)n$$

dist  $d \Leftrightarrow$  every  $(d-1)$  subset of columns are lin indep

If  $2^m > \sum_{d=0}^{d-2} \binom{m}{d}$  we can build

RS code (Binary Version):

$$M(x) \rightarrow (M(x_1), M(x_2), \dots, M(x_n)) \in \mathbb{F}_{2^t}^n$$

$\downarrow$   
 $g(M(x_i))$

for  $g: \mathbb{F}_{2^t} \rightarrow \mathbb{F}_2^t$

linear 1-to-1

Rate =  $\frac{tk}{tn} = \frac{k}{n}$ , same as RS

Rel distance,

distance  $\geq n - k + 1$

r.distance  $\geq \frac{n-k+1}{tn} \leq \frac{1}{t} = \frac{1}{\log_2 n} \rightarrow 0$  as  $n \rightarrow \infty$

We can fix RD but decrease the rate:

Replace  $g$  by  $g: \mathbb{F}_{2^t} \rightarrow \mathbb{F}_2^{2t}$ , linear bijective, a  $[2t, t]$  code, with good rel. distance, say  $> 0$ .

$$\Rightarrow \text{dist} \geq (n - k + 1) * (0.1)2t = 0.2t(n - k + 1) \Rightarrow \text{rel dist} \geq 0.2 \cdot \frac{n - k + 1}{n} > 0.2.$$

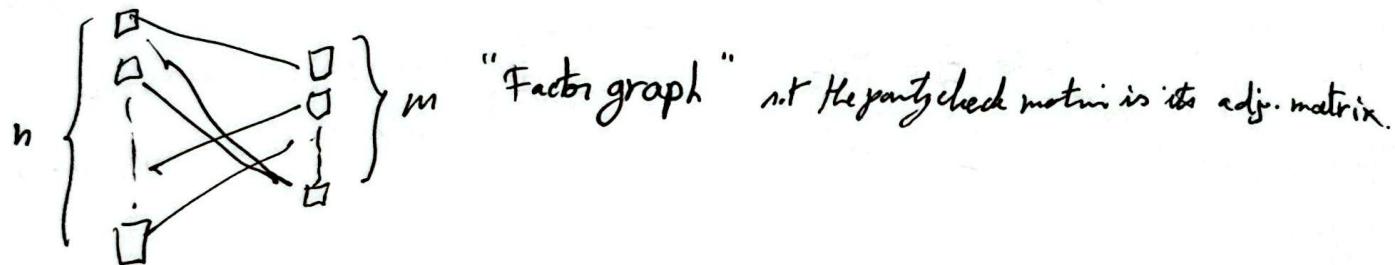
$$\text{Rate} = \frac{t \cdot k}{2tn} = \frac{k}{2n}.$$

This is "bruteforceable" as  $t$  is small enough ( $t = \log_2 n$ ).

## Low Density Parity Check (LDPC) Codes:

$m \begin{bmatrix} 1 & 0 & 0 & 1 & 0 & 1 \\ 0 & 1 & 0 & 1 & 1 & 0 \end{bmatrix}$  very few 1's in each row and columns  
(Sparse parity check matrix)

## Graph view of parity checks (Bipartite).

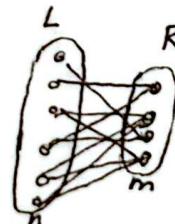


LDPC Code  $\Leftrightarrow$  Factor graph has low degree (count degree)

Def:

Code defined by  $G$ , abpt graph

$$[C(G) \subseteq \mathbb{F}_2^n]$$



$$C(G) \stackrel{\Delta}{=} \{(c_1, c_2, \dots, c_n) \in \{0, 1\}^n \mid \forall j \in R, \sum_{i \in N(j)} c_i = 0 [2]\}$$

Rate of  $C(G)$   $\geq \frac{n-m}{n}$

Obs If  $\forall S \subseteq L$ ,  $|S| < \delta n$ , there is a node in  $S$  with exactly one neighbor in  $R$ , then the distance of  $C(G)$  is at least  $\delta n$ .

## Magic Expanders graphs

A  $n \times m$  bipartite graph is said to be a  $S$ -unique nor expander

If  $\forall S \subseteq L$ ,  $\exists$  a node  $r \in R$  with exactly one nbr in  $S$ .

## Unique NVR expanders,



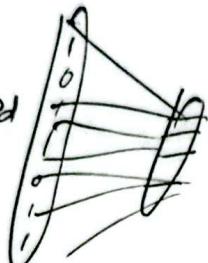
A random  $(c, d)$  biregular graph will be a  $\delta$ -unique NVR expander for some  $\delta > 0$  w/ high probability.

Also construction of such graphs are known.

## Decoding Algo:

While  $\exists$  codeword  
with #satisfied < #unsatisfied  
checks, flip that bit.

$O(nm)$



Thrm: If the graph is a sufficiently good expander, the iterative algo will correct  $\gamma n$  errors for some  $\gamma$  independent of  $d$ .

## Locally Repairable Codes (LRC)

Started around 2010

Theorem: "Bad news about RS codes"

In a RS code, the knowledge of any  $k-1$  codeword symbols reveals no information about any other symbols.

How to build locality?

Sparse parity checks!

efficient repair = "local" repair

recover  $c_i$  from symbols  $c_j$ 's for every few  $j$ 's.

Definition (LRC): A  $[n, k]$ , linear code  $C$  is an  $(r, d)$  locally repairable code (LRC) if following hold:

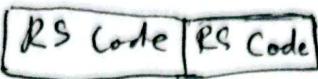
①  $C$  has distance  $\geq d$

②  $\forall i \in \{1, 2, \dots, n\}$  there is a parity check with support  $\{i\} \cup R_i$  for some  $R_i \subseteq \{1, \dots, n\} \setminus \{i\}$ ,  $|R_i| \leq r$

$$c_i + \sum_{j \in R_i} \lambda_{ij} c_j = 0$$

$r=1$  Repetition code  $\begin{cases} c_1 = c_2 \\ c_3 = c_4 \\ \vdots \\ c_{n-1} = c_n \end{cases}$

But  $d=2$ .

We can improve with: 

Repetition Code

Great Locality  
Terrible distance

RS code

Great distance  
Terrible Locality

A Singleton type order bound: Theorem:

For an  $[n, k]_q$  code that is an  $(r, d)$ -LRC, then  $d \leq n - k + 1 - \left\lfloor \frac{k-1}{r} \right\rfloor$   
price of locality

How to combine both codes?

Take the Generalize in this form:

$$PS = \begin{array}{|c|} \hline I_k \\ \hline \vdots \\ \hline A \\ \hline \end{array} \quad \left\{ \begin{array}{l} \text{Then break every row vector of } A \text{ into } r \text{ vectors} \\ \text{so each one has at most } \frac{n}{r} \text{ non-zero entries,} \end{array} \right.$$

giving  $\lceil \frac{n}{r} \rceil$  rows.

$$\text{ex: } \boxed{110110110} \rightarrow \left\{ \begin{array}{|c|} \hline \dots \\ \hline \dots \\ \hline \dots \\ \hline \dots \\ \hline \end{array} \right. \quad (n' := n + \lceil \frac{n}{r} \rceil)$$

This will give a new code with  $d = n - k + 1 - \left\lfloor \frac{n-1}{n'} \right\rfloor$  of size  $n'$ , that is an  $(r, d)$ -LRC.

## Quantum Codes

$$\text{qubit } \in \mathbb{C}^2 \quad -\begin{pmatrix} \alpha \\ \beta \end{pmatrix} |1\rangle = \alpha|0\rangle + \beta|1\rangle$$

## Digitization of errors

### Two types of errors

#### BIT FLIP "X"

$$|0\rangle \rightarrow |1\rangle \quad |1\rangle \rightarrow |0\rangle \quad X(\alpha|0\rangle + \beta|1\rangle) = \alpha|1\rangle + \beta|0\rangle$$

$$X = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

#### Phase Flip "Z"

$$|0\rangle \rightarrow |0\rangle \quad |1\rangle \rightarrow -|1\rangle \quad Z(\alpha|0\rangle + \beta|1\rangle) = \alpha|0\rangle - \beta|1\rangle$$

$$Z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$|+\rangle = \frac{|0\rangle + |1\rangle}{\sqrt{2}}, \quad |-\rangle = \frac{|0\rangle - |1\rangle}{\sqrt{2}}$$

$$Z|+\rangle = |-\rangle, \quad Z|-\rangle = |+\rangle$$

## Quantum Code, $[\![n, k]\!]$ quantum code

$k$  qubits to encode,  $n$  qubits

 $\mathbb{C}^{2^k} \longrightarrow \mathbb{C}^n \cong (\mathbb{C}^2)^{\otimes n}$ 

$\hookrightarrow 2^k$  dimensional subspace of  $\mathbb{C}^n$

## Errors on multiple qubits

Only have to consider  $E_1 \otimes E_2 \otimes \dots \otimes E_n$   
 $E_i \in \{I, X, Z\} \rightarrow |\{e_i | E_i \neq I\}|$

Total #errors  $\leq e$

## Repetition Code

~~$|ψ\rangle \rightarrow |ψ\rangle \otimes |ψ\rangle$~~  Not possible, "no-cloning"

Baby Step Detect one bit flip (one X)

$$\alpha|0\rangle + \beta|1\rangle \rightarrow \alpha|00\rangle + \beta|11\rangle$$

$$R = \text{Code} = \text{span } \{ |00\rangle, |11\rangle \} \subseteq \mathbb{C}^4$$

## "Stabilizer"

$$(Z \otimes Z)(\alpha|00\rangle + \beta|11\rangle) = \alpha|00\rangle + \beta|11\rangle \quad [\mathbb{C}^2, 1]$$

code

$$Z_1 Z_2 \rightarrow \forall |\psi\rangle \in R, Z_1 Z_2 |\psi\rangle = |\psi\rangle$$

$\Rightarrow$  Codeword belongs to eigenspace

$$Z_1 Z_2 (\alpha|10\rangle + \beta|01\rangle) = -(\alpha|10\rangle + \beta|01\rangle)$$

$E|\psi\rangle$

$$\sum_{E \in \{I \otimes I, X \otimes I, I \otimes X\}}$$

$$|\psi\rangle|0\rangle \xrightarrow{\text{map}} \left( \frac{I \otimes I + Z_1 Z_2}{2} \right) E|\psi\rangle|0\rangle + \left( \frac{I \otimes I - Z_1 Z_2}{2} \right) E|\psi\rangle|1\rangle$$

And thus  $E|\psi\rangle|0\rangle \rightarrow \begin{cases} E|\psi\rangle|0\rangle & \text{if no bitflip} \\ E|\psi\rangle|1\rangle & \text{if bitflip} \end{cases}$

$$\overbrace{\alpha|0\rangle + \beta|1\rangle}^{\text{encode}} \rightarrow \overbrace{\alpha|000\rangle + \beta|111\rangle}^{[\mathbb{C}^3, 1]} = R_3$$

$$I \otimes X \otimes I |\psi\rangle = \alpha|1010\rangle + \beta|101\rangle$$

Stabilizer of  $|V\rangle \in R_3$

$$Z_1 Z_2 |V\rangle = |V\rangle$$

$$Z_2 Z_3 |V\rangle = |V\rangle$$

$$Z \in \{I, X, X_2, X_3\}$$

Syndromes

$$\left. \begin{array}{l} I : (0) \\ X : (0) \\ \cancel{X_2} : (1) \\ \cancel{X_3} : (1) \end{array} \right\} \text{All syndromes are distinct}$$
$$H = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix} \begin{pmatrix} e_1 \\ e_2 \\ e_3 \end{pmatrix}$$

One phase flip

Same as bit flip but for  $\{|+\rangle, |-\rangle\}$  basis

$$|+\rangle \rightarrow |+\rangle \otimes |+\rangle \otimes |+\rangle = |+++\rangle$$

$$|- \rangle \rightarrow |---\rangle$$

$$P_3 = \text{Span}\{|+++\rangle, |---\rangle\}$$

How to connect both bit flip and phase flip with the same code.

Show  $[C_9, 1]$  code

Combine  $P_3$  and  $R_3$  ( $R_3$  on top of  $P_3$ )

$$|0\rangle \rightarrow \left( \frac{|000\rangle + |111\rangle}{\sqrt{2}} \right)^{\otimes 3} = |V_0\rangle$$

$$|1\rangle \rightarrow \left( \frac{|000\rangle - |111\rangle}{\sqrt{2}} \right)^{\otimes 3} = |V_1\rangle$$

$$\text{Shor Code} = \text{Span}\{|V_0\rangle, |V_1\rangle\} \subseteq \mathbb{C}^{2^9}$$

## Measure Syndromes using

$Z_1 Z_2, Z_2 Z_3$   
 $Z_4 Z_5, Z_5 Z_6$   
 $Z_7 Z_8, Z_8 Z_9$   
 $X_1 X_2 X_3 X_4 X_5 X_6 X_1 X_2 X_3 X_2 X_8 X_9$

Stabilizers

## Bit Flip,

Error	Syndrom
$X_1$	1 0 0 0 0 0
$X_2$	1 1 0 0 0 0
$X_3$	0 1 0 0 0 0
$\vdots$	
$X_k$	
$X_9$	0 0 0 0 0 1

syndromes are distinct  $\Rightarrow$  we can correct

## Phase flip:

Error	Syndrom
$Z_1$	1 0
$Z_3$	1 0
$Z_4$	1 1
$Z_6$	1 1
$Z_7$	0 1
$Z_9$	0 1

## [7,1] Horing based code:

$$\begin{bmatrix} 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 0 \end{bmatrix}$$

$$H_X = H_Z$$

Stabilizers:  $Z_4 Z_5 Z_6 Z_7, Z_2 Z_3 Z_6 Z_7, Z_1 Z_3 Z_5 Z_7$   
 $X_4 X_5 X_6 X_7, X_2 X_3 X_6 X_7, X_1 X_3 X_5 X_7$

All syndromes for 1 bit flip are distinct, So  $H_Z$  helps correct any single  $X_i$  error,  $\sim 1 H_X$  helps correct any  $Z_j$  error.

$$|10\rangle \mapsto \frac{1}{\sqrt{8}} \left( \sum_{\substack{H_C=0 \\ w(c)=0 \text{ or } 1}} |c_1 c_2 \dots c_7\rangle \right)$$

$$|11\rangle \mapsto \frac{1}{\sqrt{8}} \left( \sum_{\substack{H_C=0 \\ w(c)=1 \text{ or } 2}} |c_1 c_2 \dots c_7\rangle \right)$$