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Web page for the course, follow links from  
<http://www.cs.nott.ac.uk/~ajp/>

# G52ADS 2014-15

## Graph Traversals

Breadth-First and Depth-First Search

“Dive dive dive”

# Graph traversals

- We look at two ways of visiting all vertices in a graph:
  - breadth-first search (BFS)
  - depth-first search (DFS)
- Traversal of the graph is used to perform tasks such as searching for a certain node
- It can also be slightly modified to search for a path between two nodes, check if the graph is connected, check if it contains loops, and so on.
- Example: webcrawlers

# How to think about graph algorithms. 1

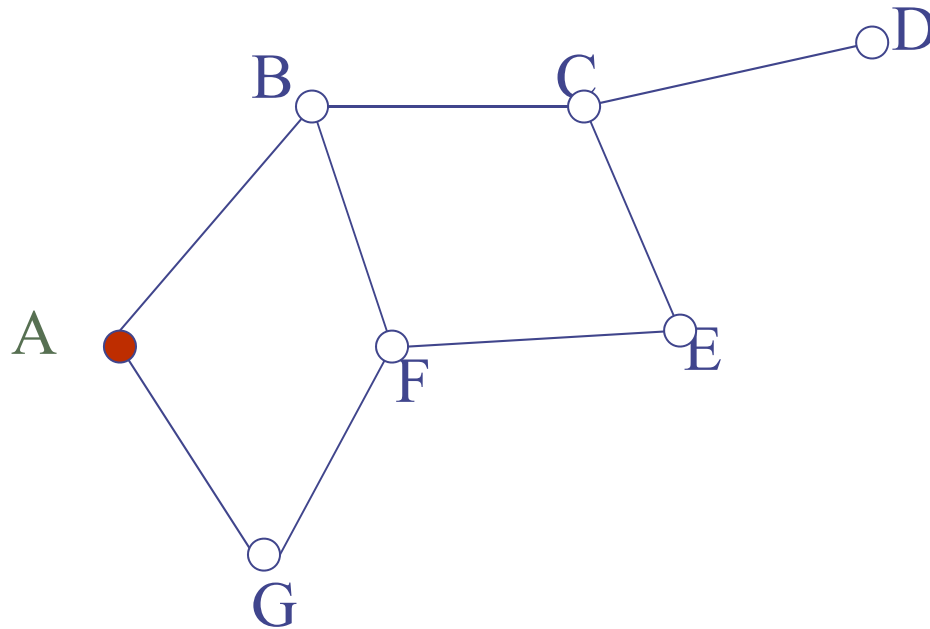
- Brain is
  - massive parallel processor
  - subconscious
- often can look at whole graph and “see” things immediately.
- But computer:
  - does not see whole graph – just some set of ‘working nodes’
  - works sequentially

# How to think about graph algorithms. 2

- Hence, reasoning based on “Graph on a piece of paper” can be misleading
- Better models (“ways of thinking”) might be
  - graph as “websites & links”, and you only ‘see’ what you explicitly access
  - graph as a maze – no ‘birds-eye’ view, but only a local view
  - graph theory as potholing
    - a set of caves and tunnels but no overall map

# Graph Traversal starting from A

- *Exercise: What might we do!?*



# Graph Traversals

- Generally have three sets of nodes
  1. Nodes that have not yet been discovered
  2. “Working Set” – nodes we are currently processing in some way
  3. Nodes that we have finished with

The names for these sets might vary, but they are often (implicitly) present

# Graph Traversals: General View

- “Processing a node” will generally mean looking at its neighbours and (generally) adding them to the working set
- The working set is stored in some data structure
  - Need a policy to pick which node of the working set is next selected for processing: FIFO? LIFO? something else?
  - Once selected, in some algorithms, the node might be moved to a data structure storing “finished nodes”
  - Usually continue until the working set is empty

# Breadth first search

BFS  $\leftrightarrow$  Queue

BFS starting from vertex  $v$ :

create a queue  $Q$

mark  $v$  as visited and put  $v$  into  $Q$

while  $Q$  is non-empty

    remove the head  $u$  of  $Q$

    mark and enqueue all (unvisited)

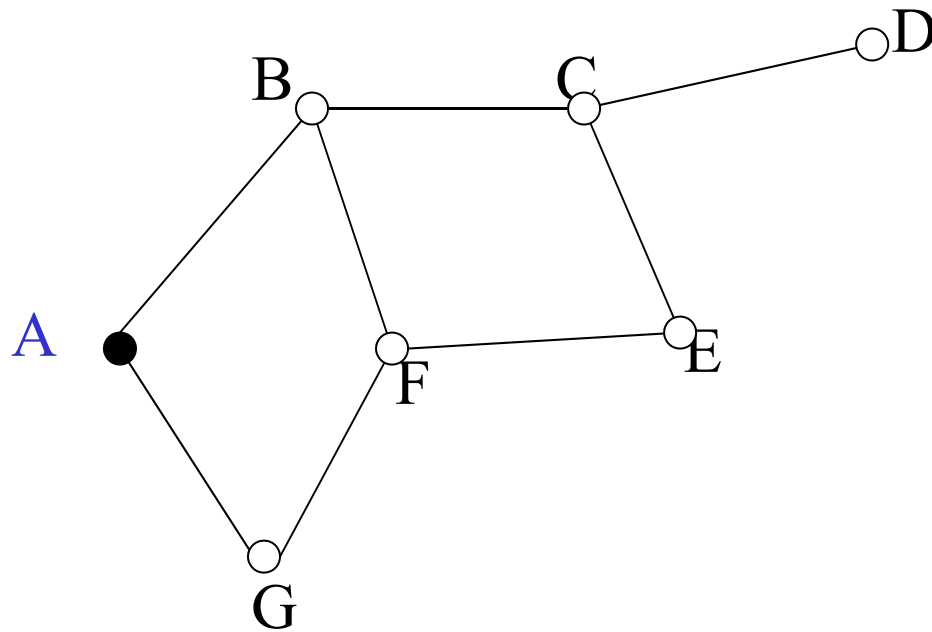
    neighbours of  $u$

add **all** neighbours  
at same time

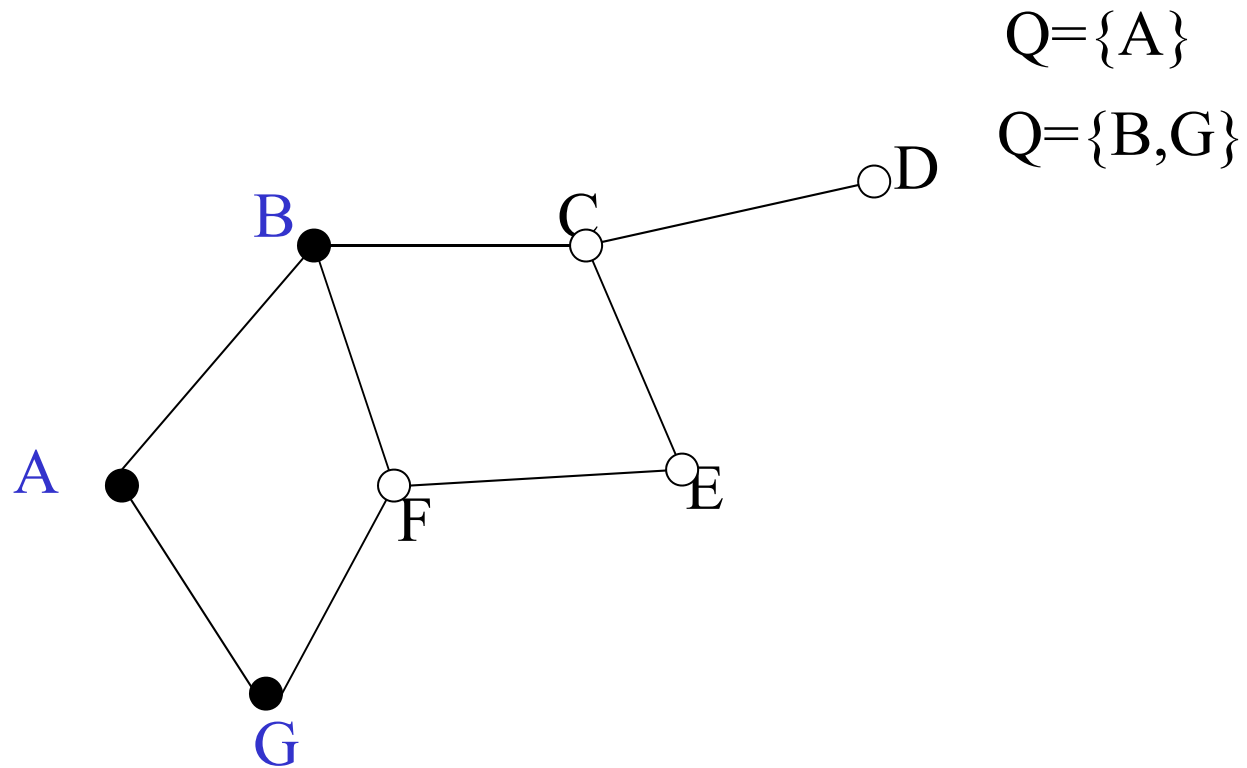


BFS starting from A:

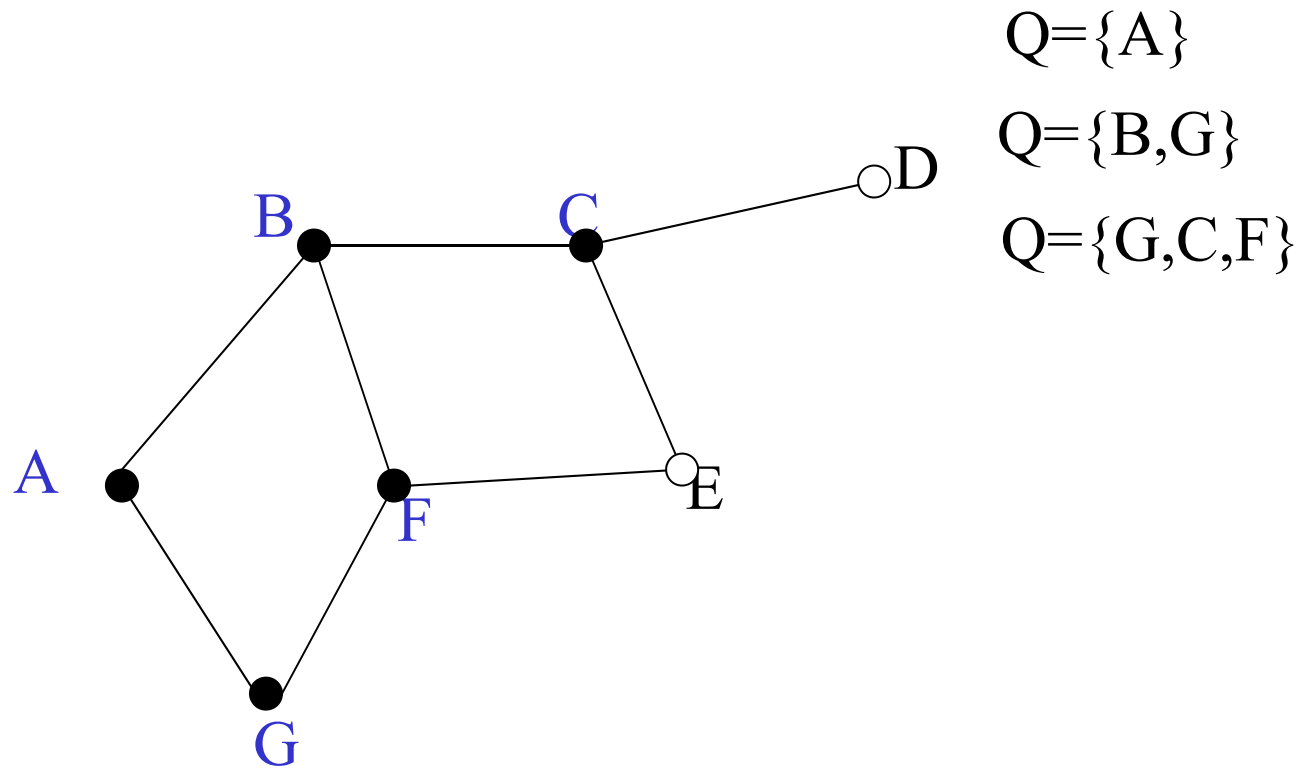
$Q=\{A\}$



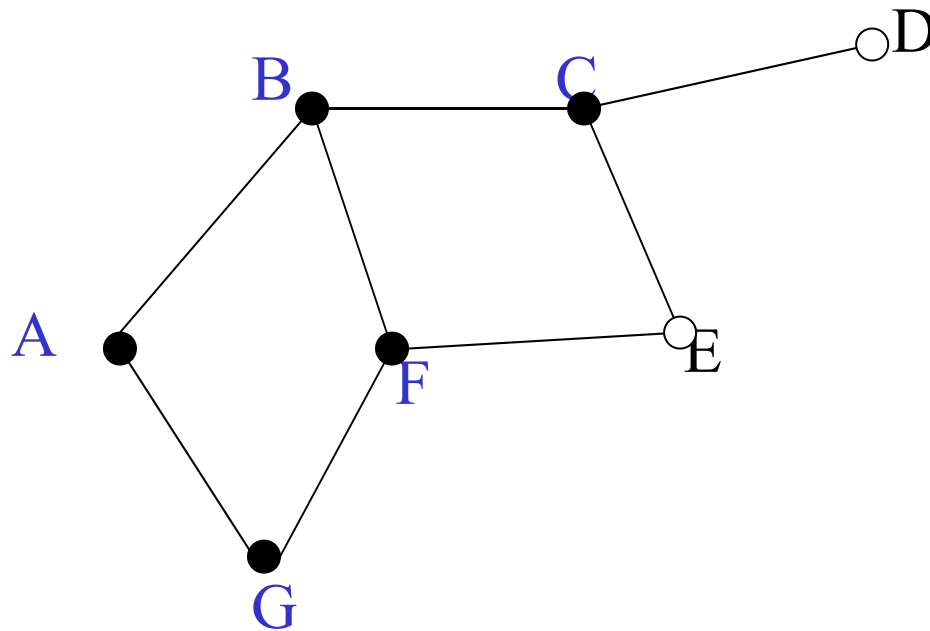
BFS starting from A:



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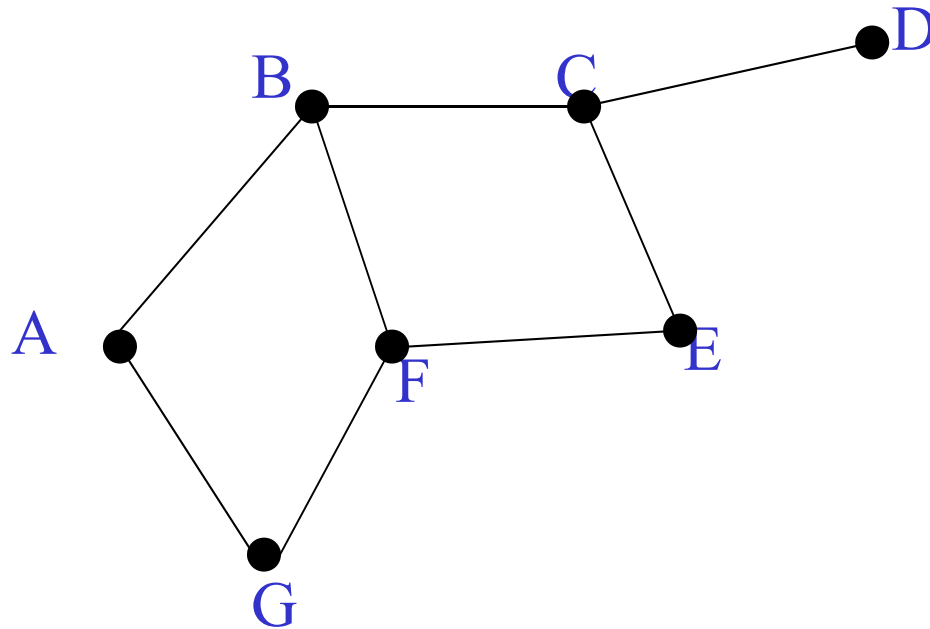
$Q=\{A\}$

$Q=\{B,G\}$

$Q=\{G,C,F\}$

$Q=\{C,F\}$

BFS starting from A:



$Q=\{A\}$

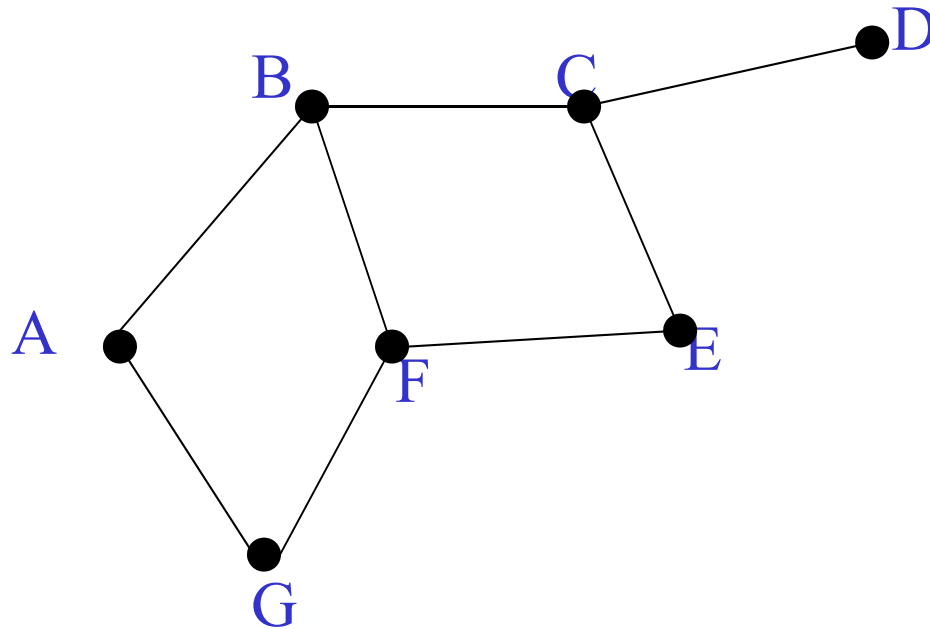
$Q=\{B,G\}$

$Q=\{G,C,F\}$

$Q=\{C,F\}$

$Q=\{F,D,E\}$

BFS starting from A:



$Q=\{A\}$

$Q=\{B,G\}$

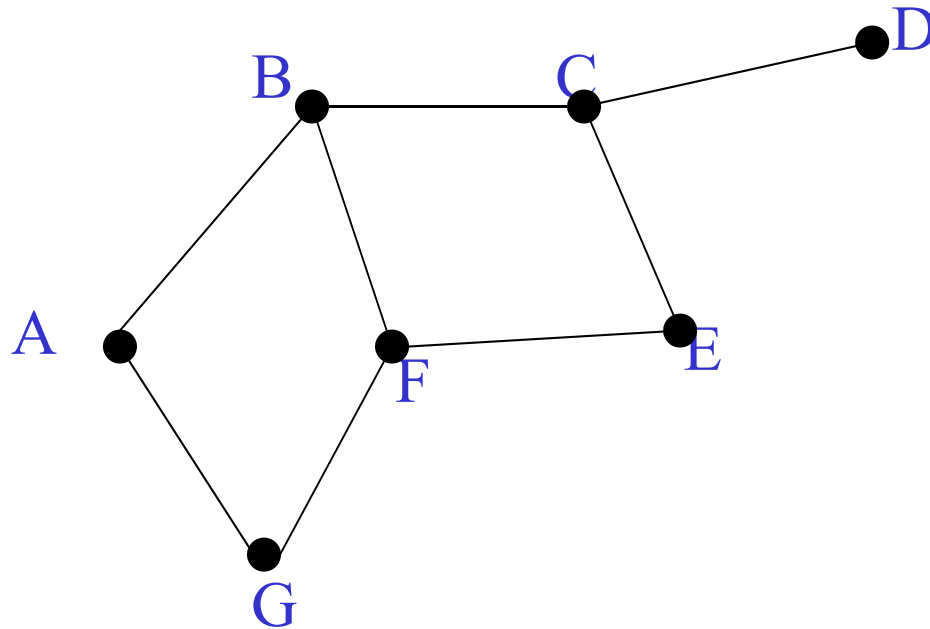
$Q=\{G,C,F\}$

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$Q=\{F,D,E\}$

$Q=\{D,E\}$

BFS starting from A:



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$Q=\{B,G\}$

$Q=\{G,C,F\}$

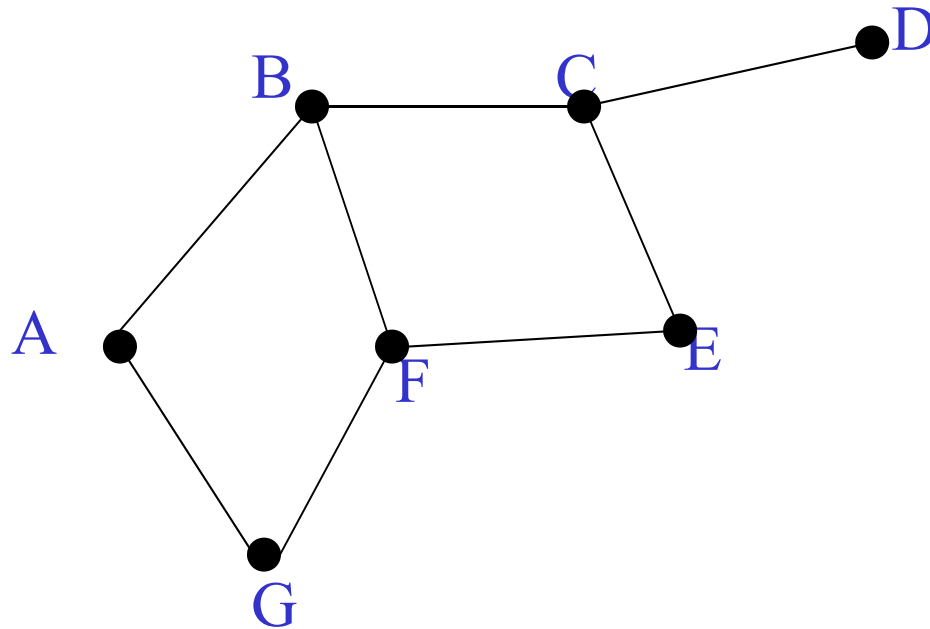
$Q=\{C,F\}$

$Q=\{F,D,E\}$

$Q=\{D,E\}$

$Q=\{E\}$

BFS starting from A:



$Q=\{A\}$

$Q=\{B,G\}$

$Q=\{G,C,F\}$

$Q=\{C,F\}$

$Q=\{F,D,E\}$

$Q=\{D,E\}$

$Q=\{E\}$

$Q=\{\}$



# Overall Traversal Order: BFS

- In this example the nodes are traversed from the starting point A in the order:  
A B G C F D E
- Note that the BFS order is that those closest to the start point A occur earliest
- Note that the order is not generally unique; e.g. either of B or G could occur first

# Simple DFS

DFS starting from vertex  $v$ :

DFS  $\leftrightarrow$  Stack

create a stack  $S$

mark  $v$  as visited and push  $v$  onto  $S$

while  $S$  is non-empty

    peek at the top  $u$  of  $S$

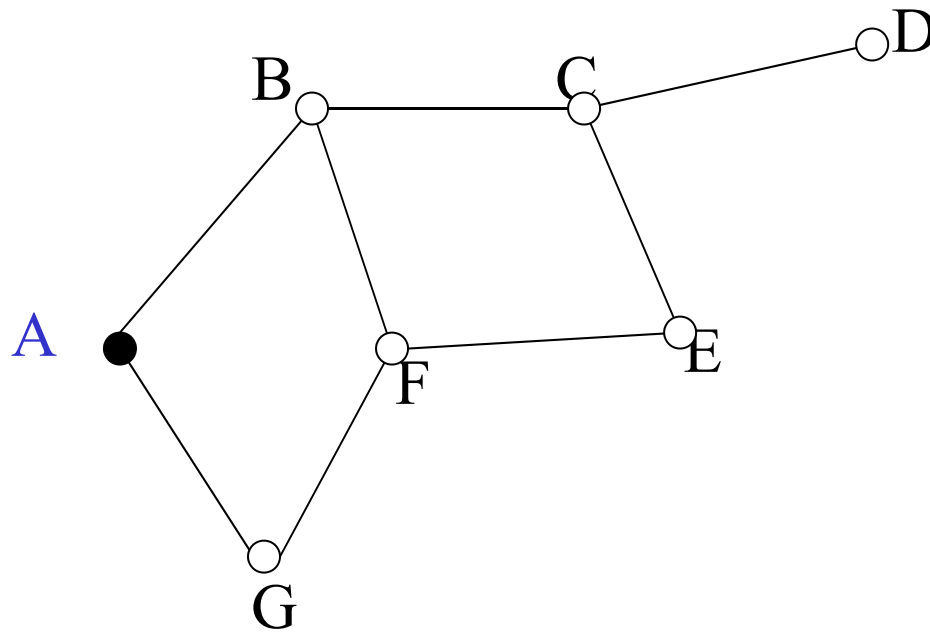
    if  $u$  has an (unvisited) neighbour  $w$ ,  
        mark  $w$  and push it onto  $S$

    else pop  $S$

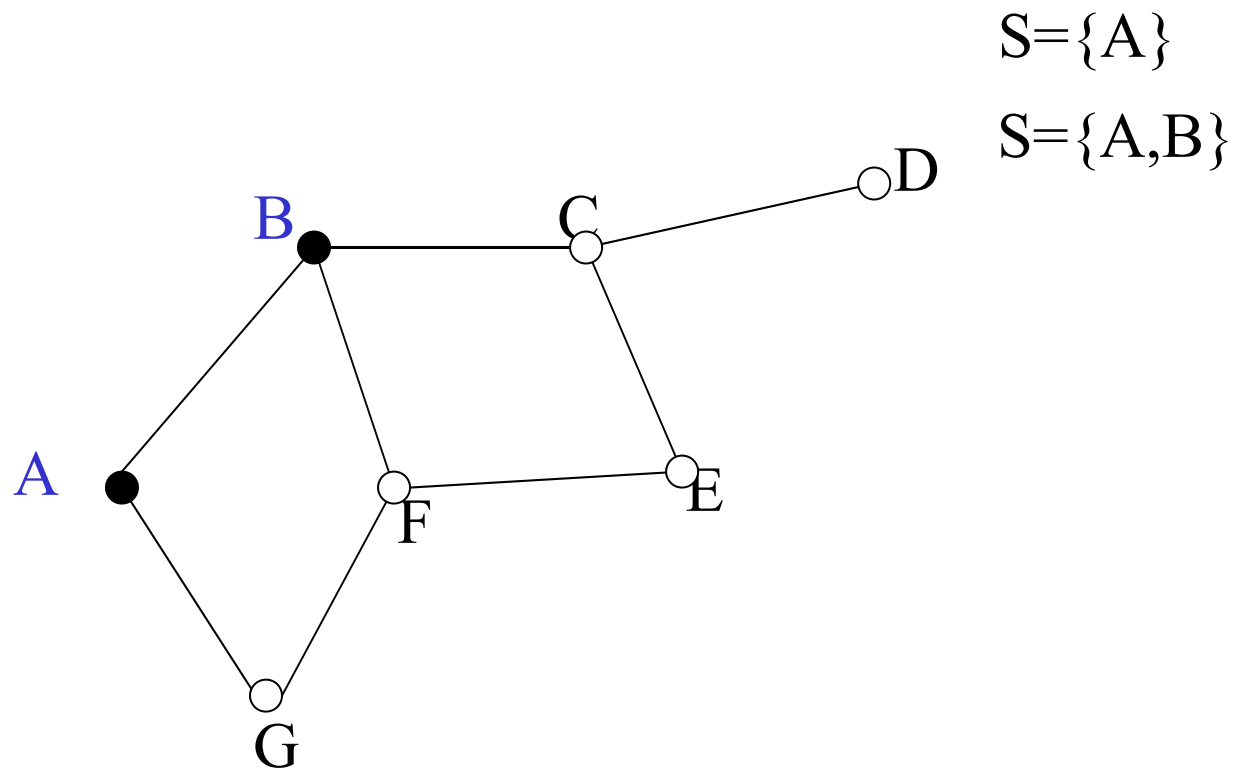
add **one**  
neighbour  
at a time

DFS starting from A:

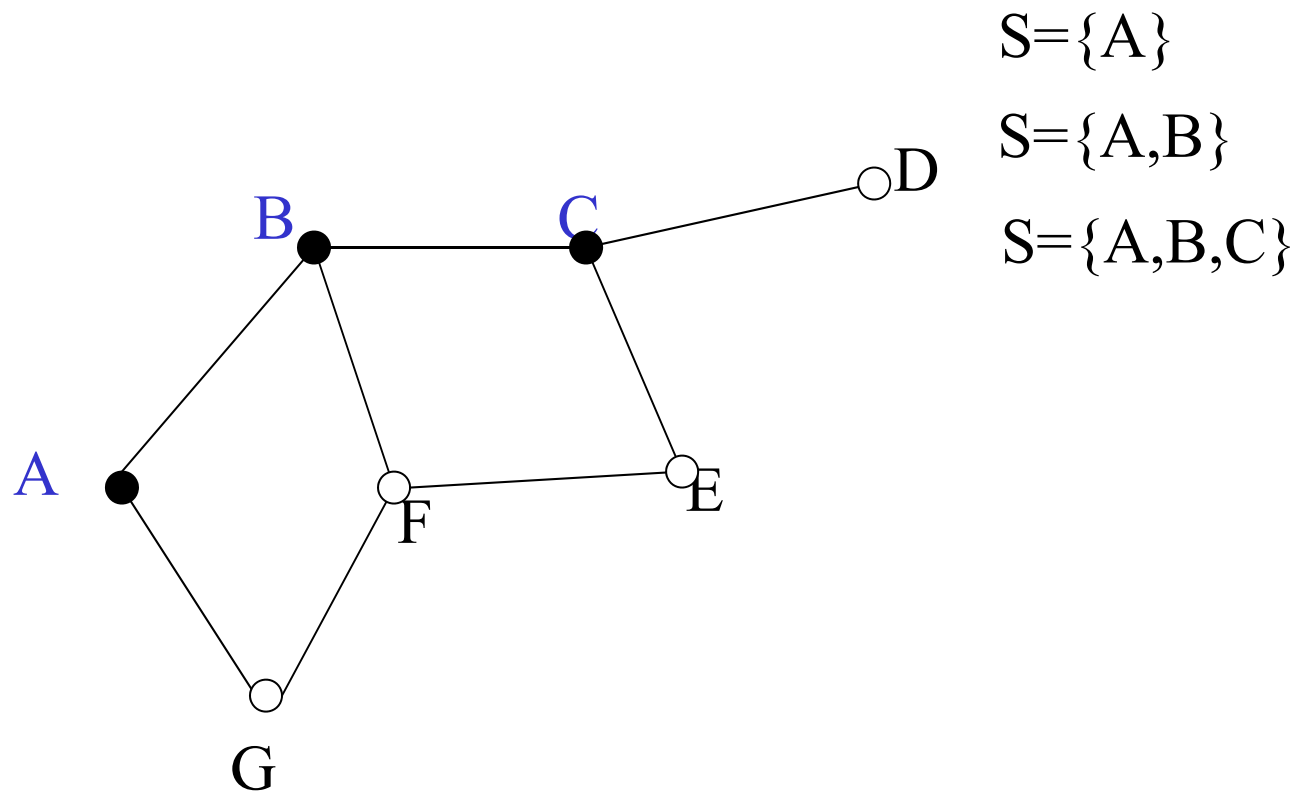
$S = \{A\}$



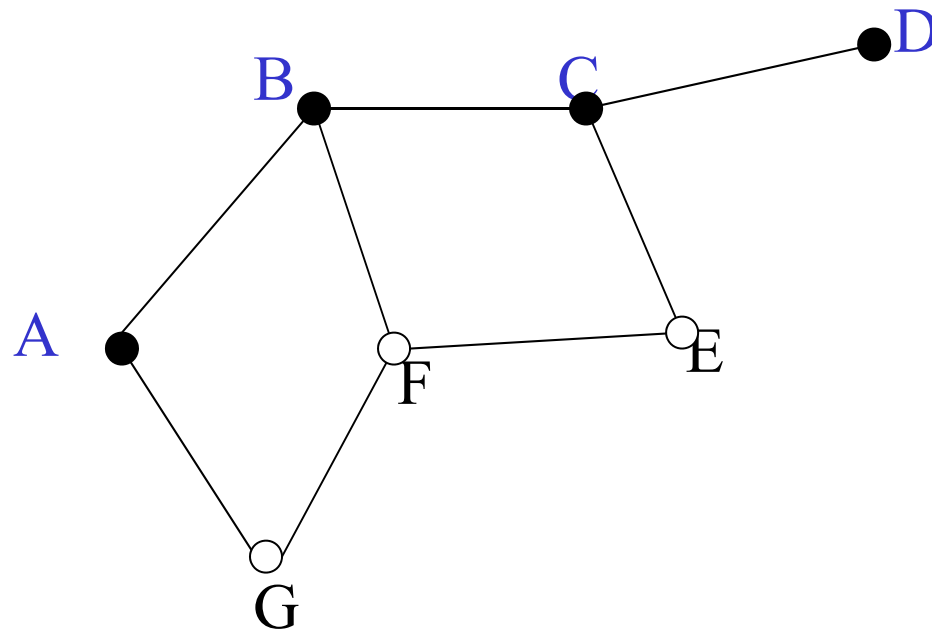
DFS starting from A:



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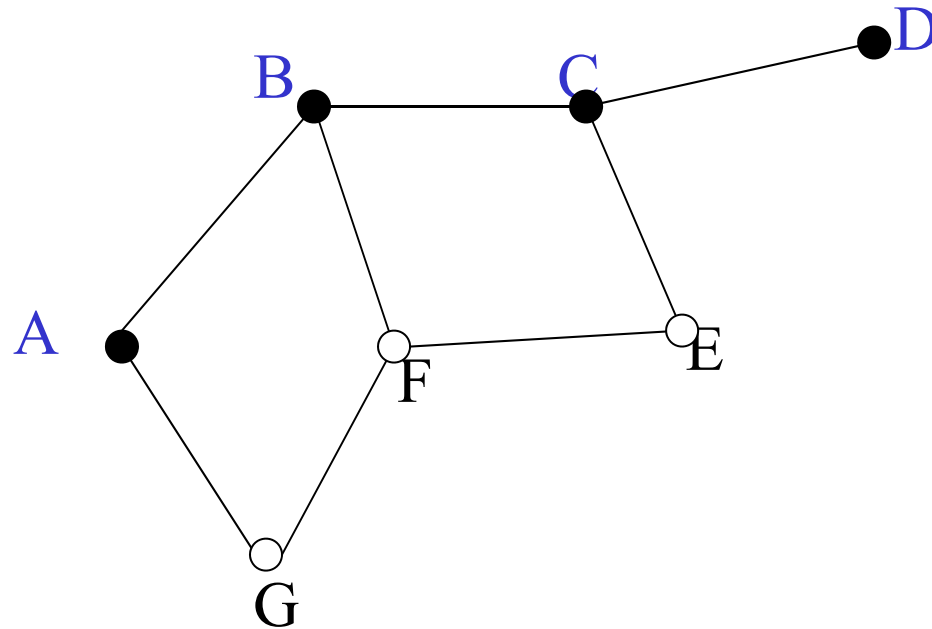
$S=\{A\}$

$S=\{A,B\}$

$S=\{A,B,C\}$

$S=\{A,B,C,D\}$

DFS starting from A:



$S = \{A\}$

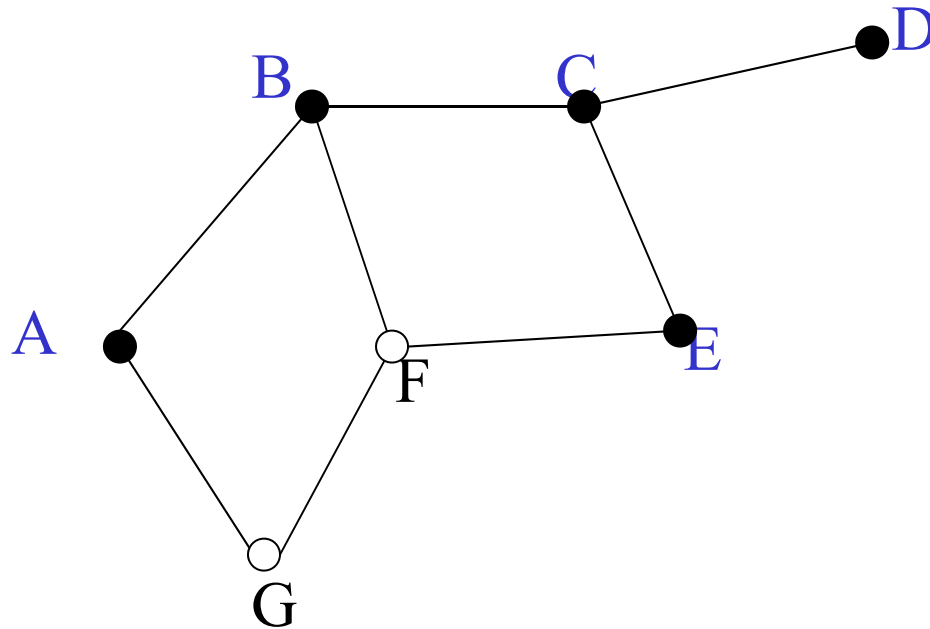
$S = \{A, B\}$

$S = \{A, B, C\}$

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DFS starting from A:



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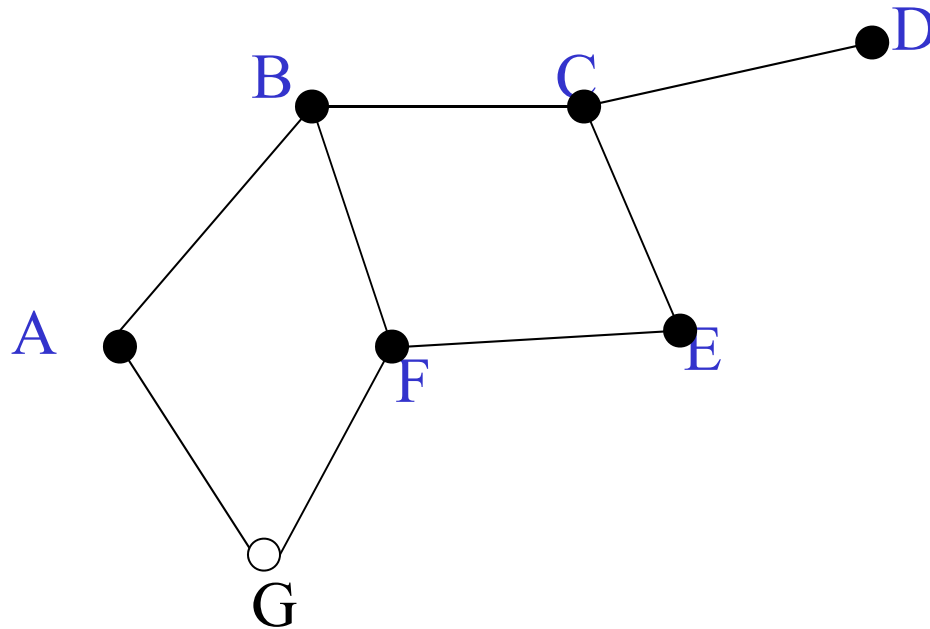
$S = \{A, B, C, D\}$

$S = \{A, B, C\}$

$S = \{A, B, C, E\}$



DFS starting from A:



$S = \{A\}$

$S = \{A, B\}$

$S = \{A, B, C\}$

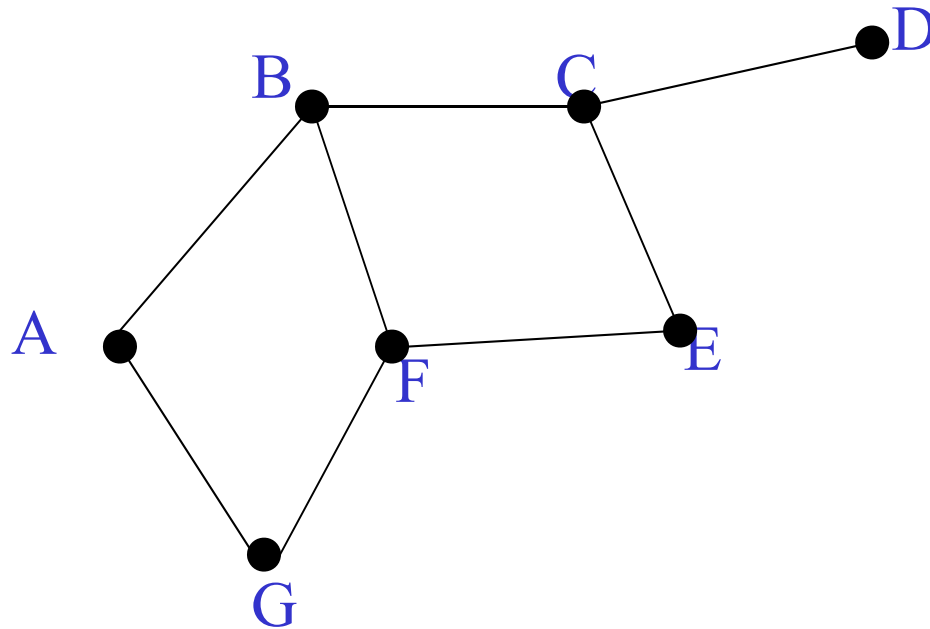
$S = \{A, B, C, D\}$

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$S = \{A, B, C, E\}$

$S = \{A, B, C, E, F\}$

DFS starting from A:



$S = \{A\}$

$S = \{A, B\}$

$S = \{A, B, C\}$

$S = \{A, B, C, D\}$

$S = \{A, B, C\}$

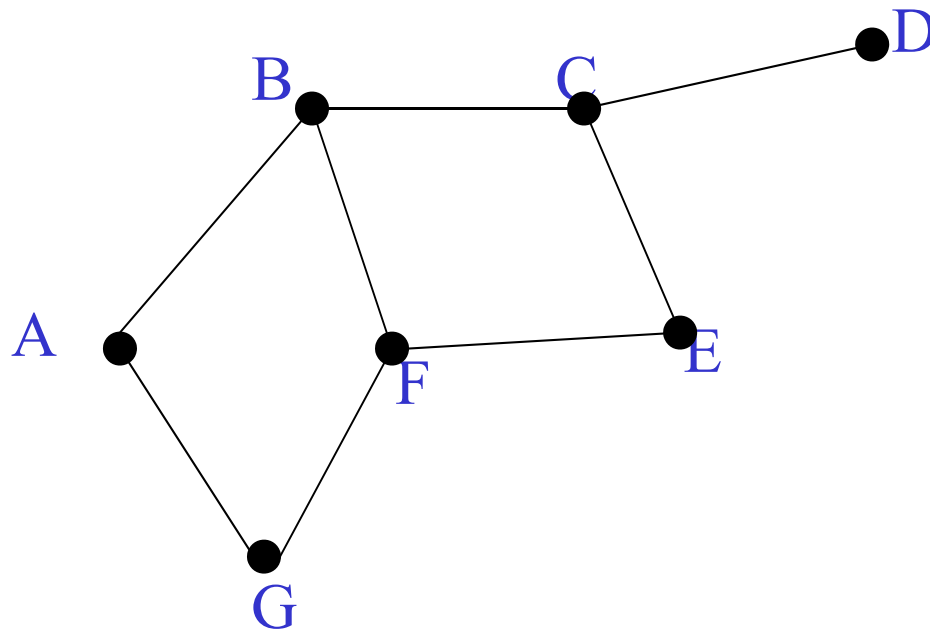
$S = \{A, B, C, E\}$

$S = \{A, B, C, E, F\}$

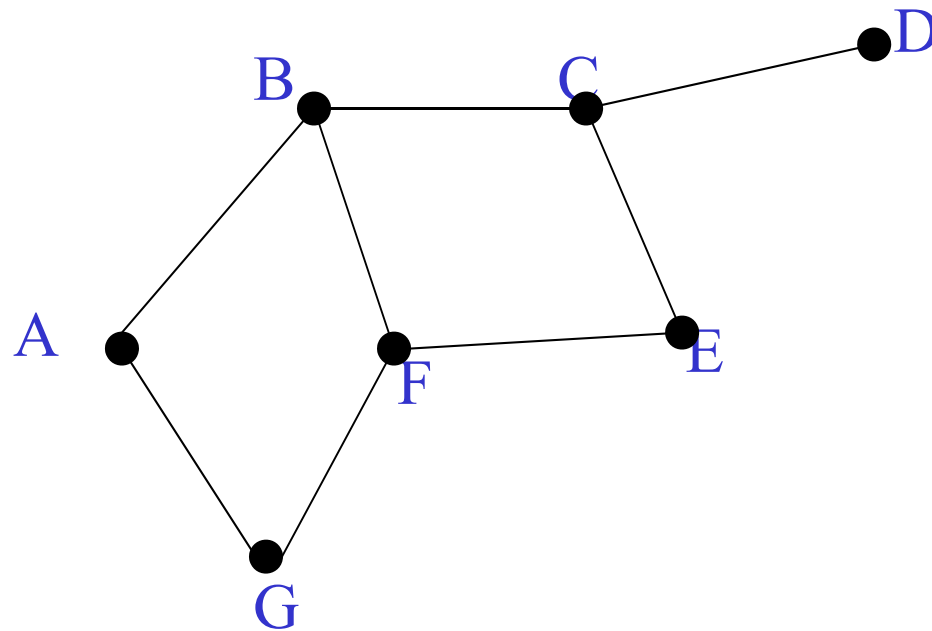
$S = \{A, B, C, E, F, G\}$

DFS starting from A:

$S = \{A, B, C, E, F\}$



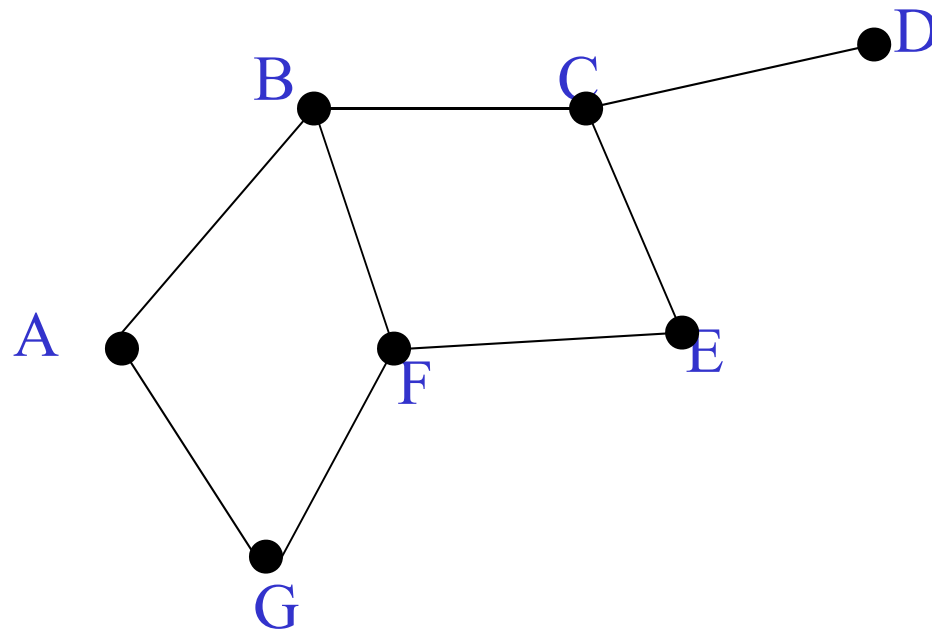
DFS starting from A:



$S = \{A, B, C, E, F\}$

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DFS starting from A:

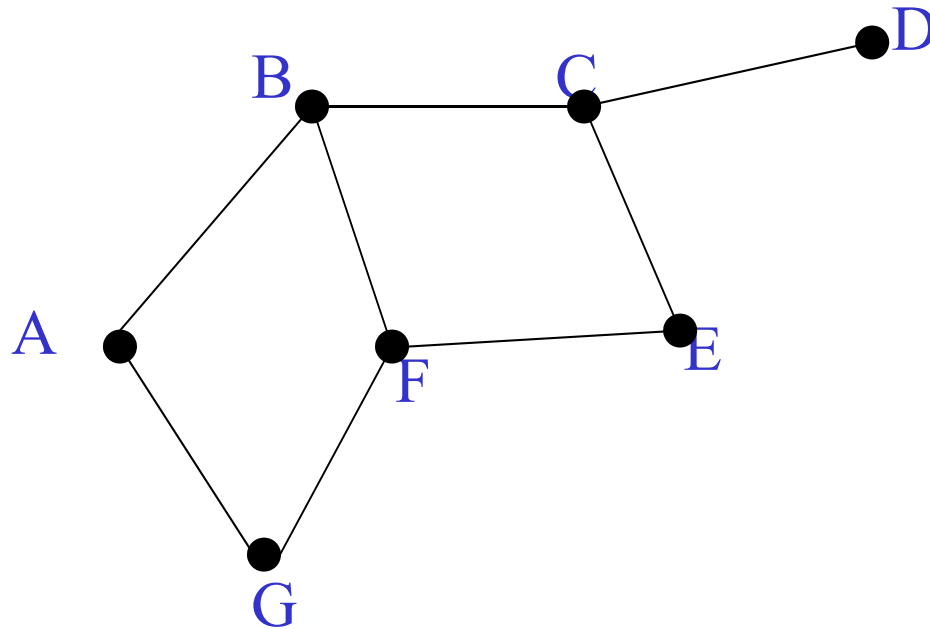


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DFS starting from A:



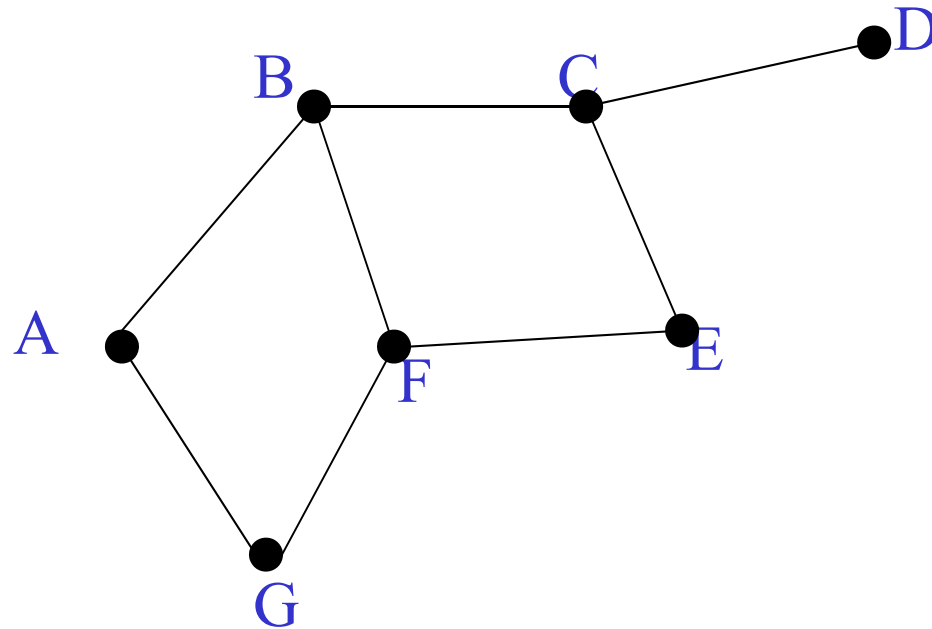
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$S = \{A, B, C\}$

$S = \{A, B\}$

DFS starting from A:



$S = \{A, B, C, E, F\}$

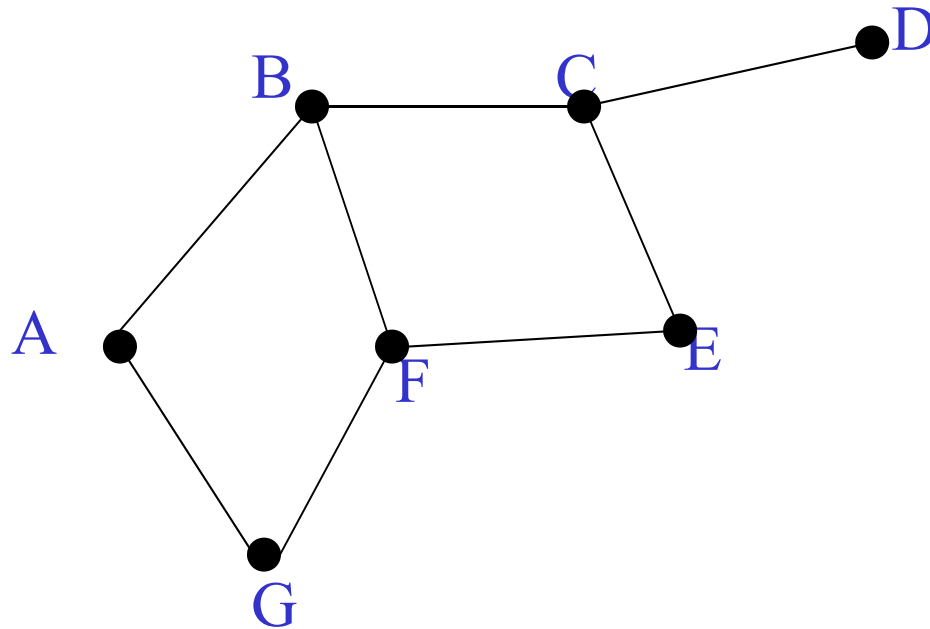
$S = \{A, B, C, E\}$

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$S = \{A\}$

DFS starting from A:



$S = \{A, B, C, E, F\}$

$S = \{A, B, C, E\}$

$S = \{A, B, C\}$

$S = \{A, B\}$

$S = \{A\}$

$S = \{\}$



# Overall Traversal Order: DFS

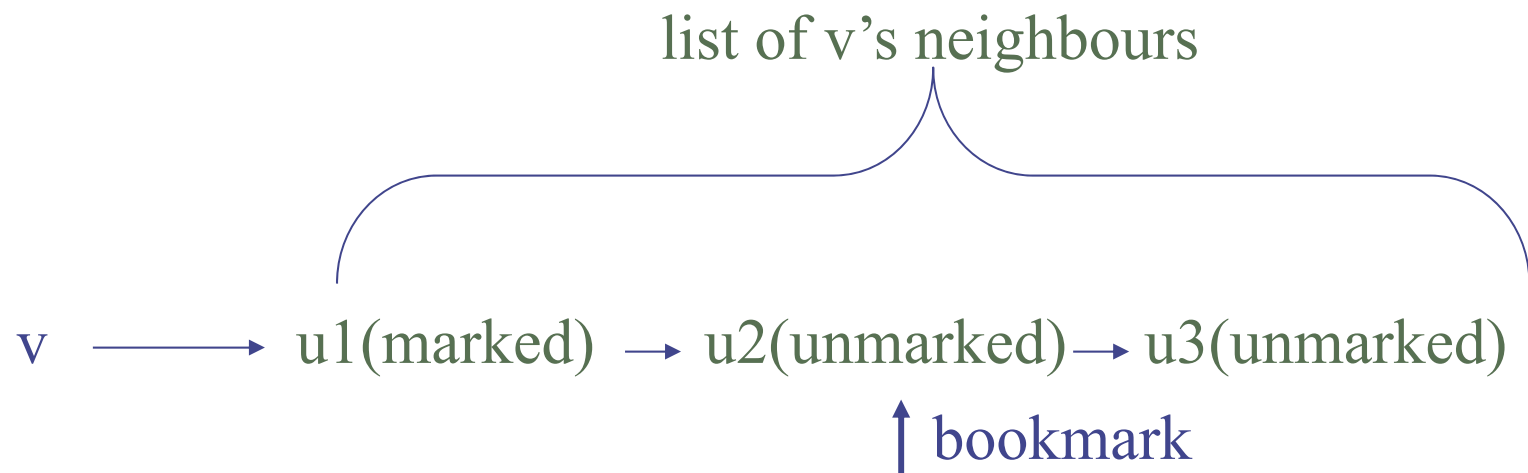
- In this example the nodes are traversed from the starting point A in the order:  
A B C D E F G
- Note that the DFS search tends to “dive”.
- Note that the order is not generally unique; e.g. either of B or G could occur first, and if G were selected first then the order would be quite different.

# Remarks

- If we discover some node – then the state of the stack provides some path to reach that node
- Might want a directed path
- Could just allowed directed neighbours
  - does not provide shortest path
  - advantage is that is space efficient
  - See auxiliary slides

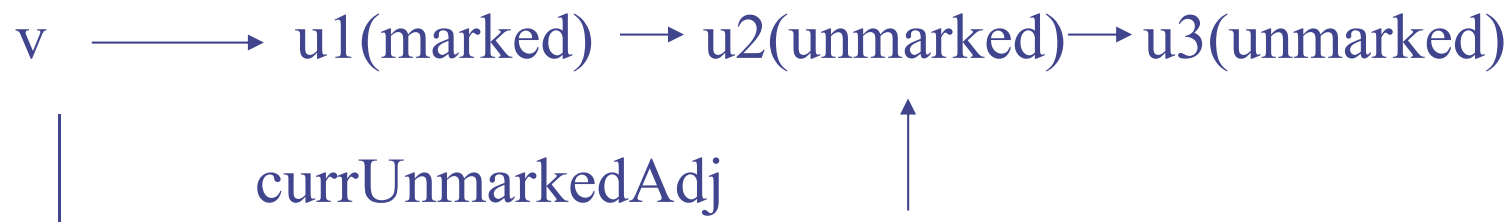
# Complexity of BFS and DFS

- To compute complexity, we will be referring to an adjacency list implementation
- Assume that we have a method which returns the first unmarked vertex adjacent to a given one:  
**GraphNode firstUnmarkedAdj (GraphNode v)**



# Implementation of firstUnmarkedAdj()

- We keep a pointer into the adjacency list of each vertex so that we do not start to traverse the list of adjacent vertices from the beginning each time.
- Or we use the same iterator for this list, so when we call next() it returns the next element in the list – again does not start from the beginning.



# Pseudocode for breadth-first search starting from vertex s

```
s.marked = true; // marked is a field in
                  // GraphNode

Queue Q = new Queue();
Q.enqueue(s);
while(! Q.isEmpty()) {
    v = Q.dequeue();
    u = firstUnmarkedAdj(v);
    while (u != null){ // enqueue & mark all unmarked
        u.marked = true;
        Q.enqueue(u);
        u = firstUnmarkedAdj(v);}}}
```

# Pseudocode for DFS

```
s.marked = true;  
Stack S = new Stack();  
S.push(s);  
while(! S.isEmpty()){  
    v = S.peek();  
    u = firstUnmarkedAdj(v);  
    if (u == null) S.pop();  
    else {  
        u.marked = true;  
        S.push(u);  
    }  
}
```

# Space Complexity of BFS and DFS

For a general graph

- Need a queue/stack of size  $|V|$  (the number of vertices).
- Space complexity  $O(V)$ .

# Space Complexity in Trees

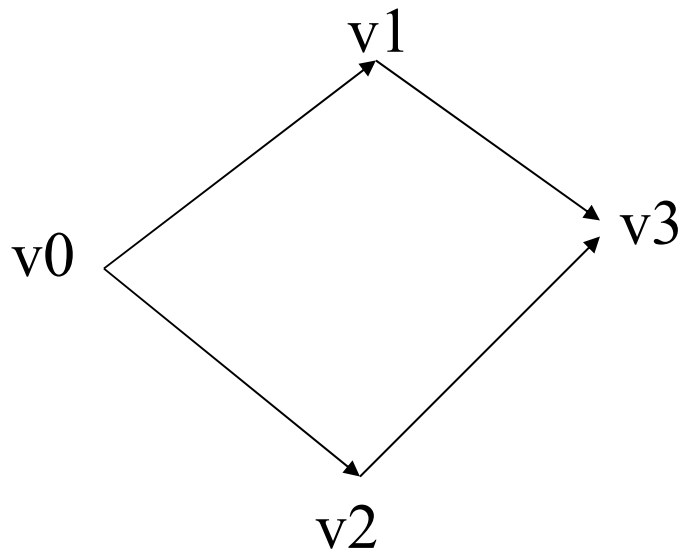
- If the graph has special properties then these complexities can be reduced
- Example: suppose the graph is a tree, and we search from the root ( $|G|$ , etc)
  - In DFS the stack will be  $O(\text{height})$ ,
    - this can be as good as  $O(\log n)$
  - In BFS we still need to store all nodes of a level,
    - hence is still  $O(n)$
- Hence in trees, DFS can be a lot more space efficient than BFS



# Time Complexity of BFS and DFS

- In terms of the number of vertices  $V$ : two nested loops over  $V$ , hence at worst  $O(V^2)$ .
- More useful complexity estimate is in terms of the number of edges.
  - Usually, the number of edges is much less than  $V^2$ .

# Time complexity of BFS



Adjacency lists:

V      E

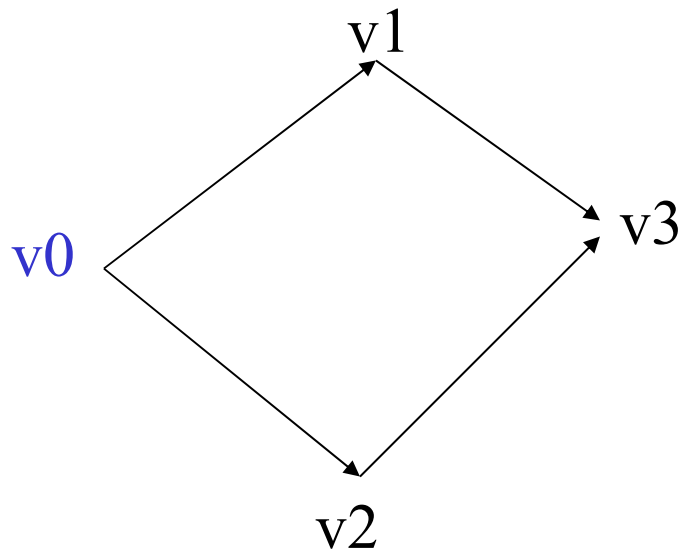
v0: {v1,v2}

v1: {v3}

v2: {v3}

v3: {}

# Time complexity of BFS



Adjacency lists:

V      E

**v0**: {v1,v2} mark, enqueue

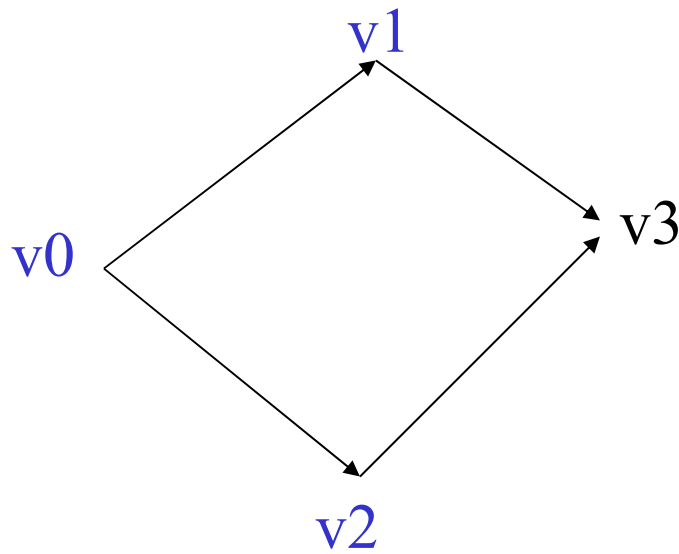
v0

v1: {v3}

v2: {v3}

v3: {}

# Time complexity of BFS



Adjacency lists:

V      E

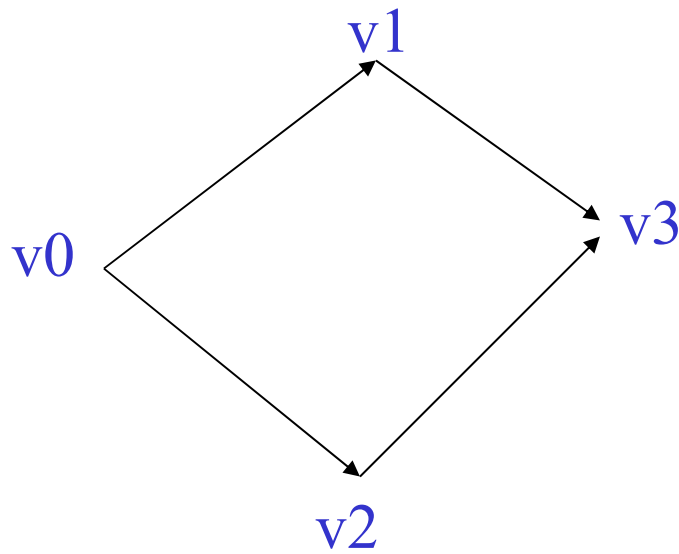
**v0**: {**v1**, **v2**} dequeue v0;  
mark, enqueue v1, v2

v1: {v3}

v2: {v3}

v3: {}

# Time complexity of BFS



Adjacency lists:

V      E

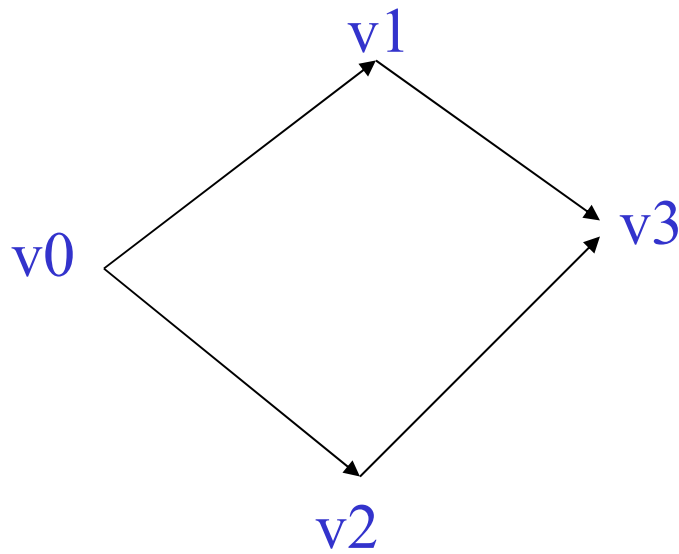
**v0**: {**v1**, **v2**}

**v1**: {**v3**} dequeue v1; mark,  
enqueue v3

v2: {v3}

v3: {}

# Time complexity of BFS



Adjacency lists:

V      E

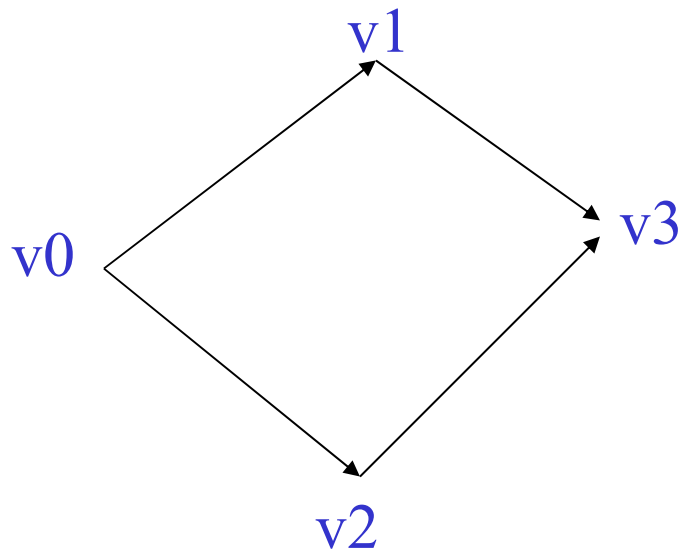
**v0**: {**v1**, **v2**}

**v1**: {**v3**}

**v2**: {**v3**} dequeue v2, check  
its adjacency list (v3  
already marked)

**v3**: {}

# Time complexity of BFS



Adjacency lists:

V      E

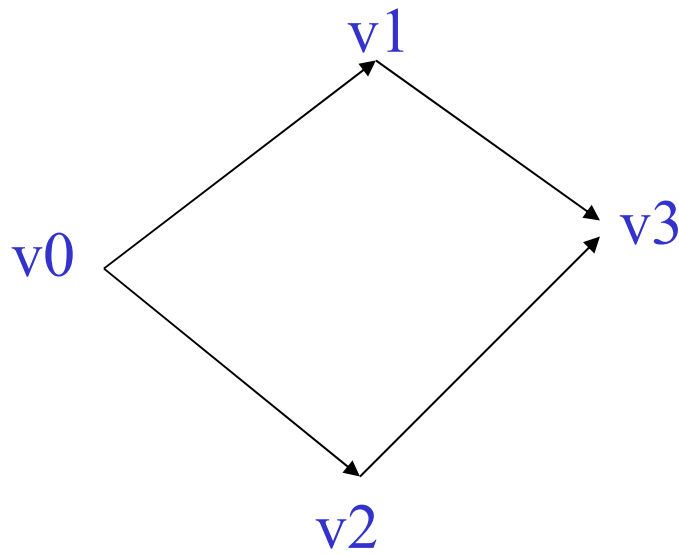
**v0**: {**v1**, **v2**}

**v1**: {**v3**}

**v2**: {**v3**}

**v3**: {} dequeue v3; check its  
adjacency list

# Time complexity of BFS



Adjacency lists:

V      E

**v0**: {**v1**, **v2**} |E0| = 2

**v1**: {**v3**} |E1| = 1

**v2**: {**v3**} |E2| = 1

**v3**: {} |E3| = 0

Total number of steps:

$$|V| + |E0| + |E1| + |E2| + |E3|$$

$$= |V| + |E|.$$



# Complexity of breadth-first search

- Assume an adjacency list representation,  $V$  is the number of vertices,  $E$  the number of edges.
- Each vertex is enqueued and dequeued at most once.
- Scanning for all adjacent vertices takes  $O(|E|)$  time, since sum of lengths of adjacency lists is  $|E|$ .
- Gives a  $O(|V| + |E|)$  time complexity.

# Complexity of depth-first search

- Each vertex is pushed on the stack and popped at most once.
- For every vertex we check what the next unvisited neighbour is.
- In our implementation, we traverse the adjacency list only once. This gives  $O(|V| + |E|)$  again.

# Exercise

- If you had to implement a webcrawler (e.g. to provide the data for a search engine) then would you use
  - DFS?
  - BFS?
  - something else?

# Exercises

For each of DFS and BFS

- Take the pseudo-code and annotate it with appropriate conditions and loop invariants.
  - use these to argue for why the code is correct – i.e. on a connected graph it really will
    - visit every node?
    - visit each node only once?

# Summary

- Standard Traversal methods
  - DFS
  - BFS
- (DFS can be modified to detect cycles)
- Complexities:
  - Space is  $O(|V|)$
  - Time is  $O(|V| + |E|)$