# Disks OPS Lecture 12, G53OPS/G52OSC

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> > 2015

### Trashing Defining Trashing

- Assume all available pages are in active use and a new page needs to be loaded:
  - The page that will be evicted will have to be reloaded soon afterwards, i.e., it is still active
- Trashing occurs when pieces are swapped out and loaded again immediately

## Trashing A Vicious Circle?

- CPU utilisation is too low ⇒ scheduler increases degree of multi-programming
  - ⇒ Pages are allocated to new processes and taken away from existing processes
    - ⇒ I/O requests are queued up as a consequence of page faults
- CPU utilisation drops further ⇒ scheduler increases degree of multi-programming

### Trashing Causes/Solutions

- Causes of trashing include:
  - The degree of multi-programming is too high, i.e., the total demand (i.e., the sum of all working set sizes) exceeds supply (i.e. the available frames)
  - An individual process is allocated too few pages
- This can be prevented by, e.g., using good page replacement policies and reducing the degree of multi-programming (medium term scheduler)

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- Solve the root cause ⇒ add more memory

#### Content

#### Remember The Course Structure

| Subject   | #Lectures |
|---|-----------|
| Introduction to operating systems/computer design | 1-2       |
| Processes, process scheduling, threading,         | 3-4       |
| Memory management, swapping, virtual memory,      | 3-4       |
| File Systems, file structures, management,        | 3-4       |
| Case study: Linux                                 | 2         |
| Revision  | 2         |

Table: Preliminary course structure

### Goals for Today Overview<sup>1</sup>

- Construction of hard disks
- Organisation of hard disks
- Accessing hard disks
- Disk scheduling

<sup>&</sup>lt;sup>1</sup>Slides partially based on slides by Colin Higgins and Jon Garibaldi

# Disks Construction of Hard Disks

- Disks are constructed as multiple aluminium/glass platters covered with magnetisable material
  - Read/write heads fly just above the surface (0.2 0.07mm) and are connected to a single disk arm controlled by a single actuator
  - Data is stored on both sides
  - Common diameters range from 1.8 to 3.5 inches
  - Hard disks rotate at a constant speed (i.e., speed on the inside less than on the outside 

    CD-ROMS)
- A disk controller sits between the CPU and the drive
- Disks are currently about 4 orders of magnitude slower than main memory ⇒ how can we reduce the impact of this?

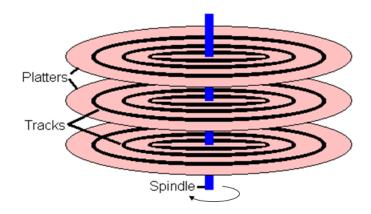


Figure: Construction of a Hard Disk

- Disks are organised in:
  - Cylinders: a collection of tracks in the same relative position to the spindle
  - Tracks: a concentric circle on a single platter side
  - Sectors: segments of a track (usually 512b or 4k in size)
- Sectors usually have an equal number of bytes in them, consist of a preamble, data, and an error correcting code
- The number of sectors increases from the inner side of the disk to the outside

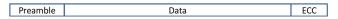


Figure: Disk Sector

# **Disks**Organisation of Hard Disks

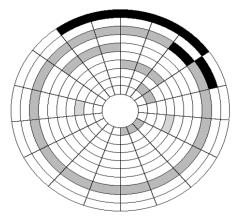


Figure: Disk Layout

# **Disks**Organisation of Hard Disks

- Disks usually have a cylinder skew: i.e., an offset is added to sector 0 in adjecent tracks to account for the seek time
- Consecutive disks sectors may be interleaved to account for transfer time

- Access time = seek time + rotational delay + transfer time
  - Seek time = time needed to move the arm to the cylinder (dominant)
  - Rotational delay = time before the sector appears under the head (on average half the rotation time)
  - Transfer time = time to transfer the data
- Dominance of seek time leaves room for optimisation by carefully considering the order of read operations
- Note that the access time may be increased by queueing time when multiple concurrent requests are queued

 The estimated seek time (i.e., to move the arm from one track to another) is approximated by:

$$T_s = m \times n + s \tag{1}$$

• In which  $T_s$  denotes the estimated seek time, n the number of tracks to be crossed, m the crossing time per track, and s any additional startup delay

- Let us assume a disk that rotates at 3600 rpm (common rotation speeds are between 3600 and 15000 rpm)
  - One rotation then takes approx. 16.7ms  $(\Rightarrow \frac{1}{x} = \frac{3600}{60 \times 1000} \Leftrightarrow x = \frac{60000}{3600})$
  - The average **rotational latency** is then  $\frac{16.7}{2} \approx 8.3 ms$
- Let b denote the number of bytes transferred, N the number of bytes per track, and rpm the rotation speed in rotations per second, the transfer time, T<sub>t</sub>, is then given by:
  - N bytes take 1 revolution  $\Rightarrow \frac{60000}{3600}$  ms  $= \frac{ms\ per\ minute}{rpm}$
  - b contiguous bytes takes  $\frac{b}{N}$  revolutions

$$T_t = \frac{b}{N} \times \frac{ms \ per \ minute}{rpm} \tag{2}$$

#### Disks

#### Access Times: Example

- Read a file of size 256 sectors with:
  - $T_s = 20 \text{ ms}$  (average seek time)
  - 32 sectors/track
- Suppose the file is stored as compact as possible contiguous, i.e., all sectors on 8 consecutive tracks of 32 sectors each (sequential storage)
  - The first track takes 20 + 8.3 + 16.7 = 45ms (seek + rotational delay + transfer time)
  - The remaining tracks do not need seek time, hence, per track we need 8.3 + 16.7 = 25ms (assuming no cylinder skew, neglect small seeks)
- The total time is then  $45 + 7 \times 25 = 220 ms = 0.22 s$

#### Disks

Access Times: Example

- In case the access is not sequential but at random for the sectors, we get:
  - Time per sector =  $20 + 8.3 + 0.5 \left( = \frac{16.7}{32} \right) = 28.8 ms$
  - Total time 256 sectors =  $256 \times 28.8 = 7.37s$
- It is important to position the sectors carefully and avoid disk fragmentation

# Disk Scheduling Concepts

- The OS must use the hardware efficiently:
  - The file system can position/organise files strategically
  - Heavy loaded disk queue allows to minimize the arm movement
- If the drive (or the controller) is free, the request can be serviced immediately, if not, the request will be queued
- Note that every I/O operation goes through a system call, allowing the operating system to intercept the request and resequence it

## Disk Scheduling Concepts

- In a dynamic situation, several I/O requests will be made over time that are kept in a table of requested sectors per cylinder
- Disk scheduling algorithms determine the order in which disk events are processed

#### Disk Scheduling First-Come, First-Served

- First come first served: process the requests in the order that they arrive
- Consider the following sequence of disk requests (cylinder locations):
   11 1 36 16 34 9 12
- In the order of arrival (FCFS) the total length is:
   |11-1|+|1-36|+|36-16|+|16-34|+|34-9|+|9-12|=111

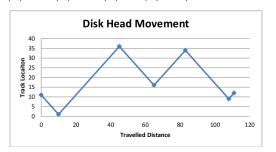


Figure: Head movement for FCFS

#### Disk Scheduling Shortest Seek Time First

- Shortest seek time first selects the requests that is closest to the current head position to reduce the head movement
- In the order "shortest seek time first, SSTF" (cfr shortest job first) we gain 50% (for 11 1 36 16 34 9 12):
   |11-12|+|12-9|+|9-16|+|16-1|+|1-34|+|34-36|=61

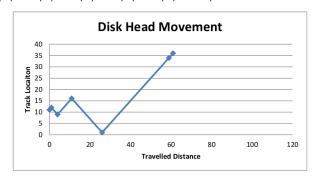


Figure: Head movement for shortest seek time

#### Disk Scheduling Shortest Seek Time First

- Shortest seek time first could result in starvation:
  - The arm stays in the middle of the disk in case of heavy load, edge cylinders are poorly served, the strategy is unfair
  - Continuously arriving requests for the same location could starve other regions
- Shortest seek time first is not an optimal algorithm

# Disk Scheduling SCAN

- "Lift algorithm, **SCAN**": **keep moving in the same direction** until end is reach (start upwards):
  - It continues in the current direction, servicing all pending requests as it passes over them
  - When it gets to the last pending request in the direction it is going, it reverses direction and services all the pending requests
- (Dis-)advantages include:
  - The upper limit on "wait time" 2 × number of cylinders, i.e. no starvation occurs
  - The middle cylinders are favoured if the disk is heavily used (max. wait time is N tracks, 2N for the cylinders on the edge)

### Disk Scheduling

"Lift algorithm, SCAN":|11-12|+|12-16|+|16-34|+|34-36|+|36-9|+|9-1|=60

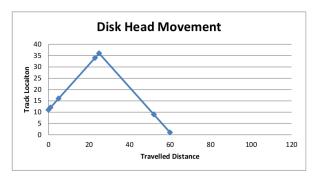


Figure: Head movement for SCAN

## Disk Scheduling C-SCAN

- Once the outer/inner side of the disk is reached, the requests at the other end of the disk have been waiting longest
- SCAN can be improved by using a circular scan approach ⇒ C-SCAN
  - The disk arm moves in one direction servicing requests
  - When it gets to the end of the disk, it reverses direction but it does not service requests on the return journey
  - Once it gets back to the beginning it reverses direction, and again services requests
  - It is fairer and equalises response times across a disk
- **Smaller variance** is obtained by moving the arm in one direction, always returning to the lowest number at the end of the road:
- The C-SCAN algorithm:
   |11-12|+|12-16|+|16-34|+|34-36|+|36-1|+|1-9|=68

#### Disk Scheduling LOOK-SCAN, N-step-SCAN and F-SCAN

- Look SCAN moves to the location of the first/last request
- Seeks are **cylinder by cylinder** (one cylinder contains multiple tracks)
- It may happen that the arms sticks to a cylinder:
  - N-step-SCAN: divide the queue into segments of N requests which are handled using SCAN
  - F-SCAN: **use two queues**, while one is handled with SCAN, the other one is filled (swapped over when all are processed)

#### Disk Scheduling

Observations

- LOOK and SSTF are reasonable choices for the algorithms
- Performance of the algorithms is dependent on the requests/load of the disk
  - $\bullet$  One outstanding request at a time  $\Rightarrow$  FCFS will perform equally well as any other algorithm
- Optimal algorithms are difficult to achieve!

#### Disk Scheduling

Observations

- The layout of the file system and the file allocation method used by the operating system heavily influences the seek movements
  - · Contiguous files will result in many short head movements
- Whilst disk scheduling could be implemented in the controller, carrying it out in the OS means that the OS can prioritise/order requests as appropriate

## **Disks**Driver Caching

- For most current drives, the time required to seek a new cylinder is more than the rotational time (remember pre-paging in this context!)
- It makes sense, therefore, to read more sectors than actually required
  - Read sectors during the rotational delay (that accidentally pass by)
  - Modern controllers read the multiple sectors when asked for the data from one sector: track-at-a-time caching

#### Summary

Take-Home Message

- Construction and organisation of hard disks
- Access times of hard disks
- Disk scheduling
- Disk caching