

Reachability of One-Counter Automata

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Outline

- ▶ NP Lower Bound
- ▶ Weighted Graph
Weighted graph, path, cycle, v-cycle, positive v-cycle template.
An algorithm to decide positive cycle in a weighted graph.
- ▶ Path Flows
Flow, s-t path flow, weight of a flow, support of a flow, s-t support.
Lemma 4.1.6.
- ▶ The NP Upper Bound

Path Flows

Question: Why are interested in path flows?

Lemma (4.1.6)

A flow f is a s - t path flow iff f satisfies the following conditions:

► *If $s = t$ then*

1. $\sum_{w \in \text{out}(v)} f(v, w) = \sum_{w \in \text{in}(v)} f(w, v)$ for all $v \in V$
2. $F(f)$ is a s - t support

► *If $s \neq t$ then*

1. $\sum_{w \in \text{out}(v)} f(v, w) = \sum_{w \in \text{out}(v)} f(w, v)$ for all $v \in V - \{s, t\}$
 $\sum_{w \in \text{out}(s)} f(s, w) = \sum_{w \in \text{out}(s)} f(w, s) + 1$
 $\sum_{w \in \text{out}(t)} f(w, t) = \sum_{w \in \text{out}(t)} f(w, t) - 1$
2. $F(f)$ is connected.

Lemma (4.1.7)

Let $s, t \in V, n, n' \in \mathbb{N}$ and F be an s - t support, there exists a QFPA formula $\phi(G, F < s, t)(c, c')$ such that $\phi[n/c, n'/c']$ iff there exists an s - t path flow f with support F and $\text{weight}(f) = n' - n$. Moreover, $|\phi| = O(|G|^2)$.

Proof.

Proof idea: regard each $f(e)$ as a variable in the formula.

Let $\psi(f(e_1), \dots, f(e_k))$ be the formula derived from lemma 4.1.6 regarding $s = t$ or not.

$$\psi'(f(e_1), \dots, f(e_k)) = \sum_{e \in F} f(e) \mu(e) = c' - c \wedge \bigwedge_{e \in E - F} f(e) = 0$$

The final formula:

$$\phi(G, F, s, t) = \exists_{e \in E} f(e). \psi \wedge \psi'$$



remark: path flows are additive regarding to the concatenation of paths.

Lemma (4.1.8 & 4.1.9 & 4.1.10)

4.1.8 Let f, f' be path flows, if

- ▶ f is an s - v path flow and f' is a v - t path flow, or
- ▶ f is an s - t path flow, f' is a v - v path flow and $F \cup F'$ is an s - t support.

$f + f'$ is an s - t path flow.

4.1.9 A flow f a v - v path flow iff there are path flows

$f_1, \dots, f_j, j \in [|G|]$ such that each G/F_i is a loop and $f = \sum_{i \in [j]} f_i$

4.1.10 A flow f is an s - t path flow iff there are j path flows f_i with $j \in O(|G|^2)$ and a path flow f_0 such that $f = f_0 + \sum_{i \in [j]} f_i$ where G/F_0 is a simple s - t path, G/F_i is a simple cycle and $G/\cup_i F_i$ is connected.

Proof.

4.1.8 A directly application Lemma 4.1.6.

4.1.9 \Rightarrow : By induction on the number of edges in G/F

4.1.10 \Rightarrow : By induction, deleting cycle in path and lemma 4.1.9.

Definition (Support Edge Decomposition)

Given an s-t support F , a support-edge decomposition of F is a sequence of tuples $(F_i, v_i, w_i, e_i)_{i \in [m]}$ with $F_i \subseteq F$, $v_1 = s$, $v_{m+1} = t$ such that

- ▶ F_i is a $v_i - w_i$ support, $e_i = (w_i, v_{i+1})$, $i \in [m]$
- ▶ $e_i \notin F_j$ for all $1 \leq i < j \leq m$
- ▶ $F = \cup_{i \in [m]} \{e_i\}$

An *edge decomposition* is a nsequence of tuples $(f_i, e_i)_{i \in [m]}$ where f_i is $v_i - w_i$ path flow, $v_1 = s$, $v_{m+1} = t$, $e_i = (w_i, v_{i+1})$ s.t. ...

The NP Upper Bound

First consider one-counter automata without zero-test. Under this circumstance, it can be viewed as weighted graph.

$\mathcal{A} = (Q, \Lambda, q_0, F, \delta, \lambda, \epsilon)$ corresponds to $G_{\mathcal{A}} = (Q, \Delta, \lambda)$.

Then we can relate runs in $T(\mathcal{A})$ with paths in $G_{\mathcal{A}}$.

However a path in $G_{\mathcal{A}}$ does not guarantee a run between two configurations of $T(\mathcal{A})$.

Lemma (4.1.11)

Let π be a $q - q'$ path in $G_{\mathcal{A}}$ and $n, n' \in \mathbb{N}$. There is a run $(q, n) \rightarrow_{\mathcal{A}}^ (q', n')$ that π corresponds to iff $\text{drop}(\pi) \geq -n$ and $\text{weight}(\pi) = n' - n$.*

Proof.

By induction on $|\pi|$.



Reachability Certificate to Path

Definition (Reachability Certificate)

Let G be a graph, f is a s-t path flow and $n, n' \in \mathbb{N}$. The n (G, f, n, n') fulfills the

1. type-1 reachability criteria if
 - ▶ G/F does not contain positive cycles
 - ▶ $weight(f) = n' - n$
 - ▶ f has an edge decomposition $(f_i, e_i)_{i \in [m]}$ such that $\sum_{i \in [j]} weight(f + f_{e_i}) \geq -n$ for all $j \in [m]$
2. type-2 reachability criteria if $(G^{op}, f^{op}, n' - n)$ fulfills the type-1 reachability criteria.
3. type-3 reachability criteria if
 - ▶ $weight(f) = n' - n$
 - ▶ there is a positive s-cycle template l in G with respect to n
 - ▶ there is a positive t-cycle template l' in G^{op} with respect to n'

then we call (G, f, n, n') type-i reachability certificate correspondingly.

Lemma (4.1.12)

Let $(q, n), (q', n')$ be configurations of \mathcal{A} and $G_{\mathcal{A}}$ the graph corresponding to \mathcal{A} .

If $(G_{\mathcal{A}}, f, n, n')$ is a type- i reachability certificate then $f = f_{\pi}$ for some path π corresponding to a run $\rho : (q, n) \rightarrow_{\mathcal{A}}^ (q', n')$ in $T(\mathcal{A})$.*

Proof.

Make use of the result of lemma 4.1.11



Path to Reachability Certificate

Lemma (4.1.13)

Let $\rho : (q, n) \rightarrow_{\mathcal{A}}^$ be a run in $T(\mathcal{A})$ with the corresponding path π in $G_{\mathcal{A}}$ and let F be the support of $f_{p,i}$. If π does not contain any positive Cycle then either $G_{\mathcal{A}}/F$ does not contain any positive cycles or there is a path π' in $G_{\mathcal{A}}$ that factors as $\pi' = \pi_1 \cdot \pi_2 \cdot \pi_3$ and corresponds to a run $\rho' : (q, n) \rightarrow (q', n')$ in $T(\mathcal{A})$ such that $|\pi_1| < |\pi|$ and π_2 is a positive cycle.*

Proof.

Assume $G_{\mathcal{A}}/F$ contains a positive cycle l .

Let p be the first vertex of l that occurs in π and let (p, m) be the configuration first reached by ρ .

We claim that there is a positive cycle at p in $G_{\mathcal{A}}$ that corresponds to a run $(p, m) \rightarrow_{\mathcal{A}}^* (p, m')$ for some $m' > m$.

If not argue as follows...

Next we observe that the first occurrence of p in π lies on a negative cycle in π . We can decompose $\rho = \rho_1 \cdot \rho_2 \cdot \rho_3$



Lemma (4.1.14)

There is a run $\rho : (q, n) \rightarrow (q', n')$ in $T(\mathcal{A})$ iff there is a q - q' path π in $G_{\mathcal{A}}$ that can be written as $\pi = \pi_1 \cdot \pi_2 \cdot \pi_3$ such that there are $n_1, n_2 \in \mathbb{N}$ such that

- ▶ *if $|\pi_1| > 0$, then $(G_{\mathcal{A}}, f_{\pi_1}, n, n_1)$ is a type-1 reachability certificate.*
- ▶ *if $|\pi_2| > 0$, then $(G_{\mathcal{A}}, f_{\pi_2}, n_1, n_2)$ is a type-3 reachability certificate.*
- ▶ *if $|\pi_3| > 0$, then $(G_{\mathcal{A}}, f_{\pi_3}, n_2, n')$ is a type-2 reachability certificate.*

Proof.

\Rightarrow :

- ▶ $G_{\mathcal{A}}/F$ does not contain any positive cycle.
- ▶ Otherwise, let π' be a path in $G_{\mathcal{A}}$ corresponding to some run $(q, n) \rightarrow_{\mathcal{A}}^* (q', n')$. By repeatedly applying lemma 4.1.13 to π' , we can obtain $\pi_1 \cdot \pi'_2 \cdot \pi'_3$. Let $\pi'' = \pi_2 \cdot \pi_3$, if $G_{\mathcal{A}}/F'_{\pi}$ does not contain negative cycles...

By now we have shown that deciding reachability in $T(\mathcal{A})$ can be reduced to checking the existence of at most three reachability certificates in $G_{\mathcal{A}}$.

Now we need to phrase in terms of an open formula in QFPA.

Definition (QFPA definable)

Given a set $M \subseteq \mathbb{N}^k$, we say M is QFPA-definable if there exists a finite set R of QFPA formulae, each with free variables x_1, \dots, x_k such that

$$M = \bigcup_{\phi(x_1, \dots, x_k) \in R} \{(n_1, \dots, n_k) \in \mathbb{N}^k : \models \phi[n_1/x_1, \dots, n_k/x_k]\}$$

Lemma (4.1.15)

Given a graph G and vertices s, t , the sets

$\{(n, n') : \text{there exists an } s\text{-}t \text{ path flow } f \text{ such that } (G, f, n, n') \text{ is a type-1 reachability certificate}\}$

$\{(n, n') : \text{there exists an } s\text{-}t \text{ path flow } f \text{ such that } (G, f, n, n') \text{ is a type-2 reachability certificate}\}$

are definable via sets $R_1(G, s, t)$ and $R_2(G, s, t)$ of QFPA formulae, where $|\phi| = O(|G|^4)$ for each $\phi \in R_1(G, s, t) \cup R_2(G, s, t)$

Proof.

$$\begin{aligned}
 \varphi \stackrel{\text{def}}{=} & \exists_{i \in [m]} c_i, c'_i. \underbrace{\varphi(G/F)}_{\text{no positive cycles}} \wedge \underbrace{\bigwedge_{i \in [m]} \varphi(G, F_i, v_i, v'_i)(c_i, c'_i)}_{\text{there are path flows } f_i \text{ with weight } c'_i - c_i} \wedge \\
 & \wedge \underbrace{\bigwedge_{i \in [m]} \sum_{j \in [i]} c'_j - c_i + \mu(e_i) \geq -c}_{\text{weights of the edge decomposition sum up correctly}} \wedge \underbrace{\sum_{i \in [m]} c'_i - c_i + \mu(e_i) = c' - c}_{\text{total weight matches}}
 \end{aligned}$$