

# Learning Loop Invariants for Program Verification

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# Loop Invariant

Hoare Triple:  $\{P\}S\{Q\}$

Rule about loops in Hoare's logic:

$$\frac{P \Rightarrow I(pre), \{I \wedge B\}S\{I\}(inv), (I \wedge \neg B) \Rightarrow Q(post)}{\{P\}\text{while } B \text{ do } S\{Q\}}$$

**Loop Invariant Inference Problem:** given a pre-condition  $P$  and a post-condition  $Q$  and a program  $S$  containing a single loop, can we find a predicate  $I$  such that  $\{P\}S\{Q\}$  is valid?

**Loop Invariant Inference Problem** is undecidable.

# Loop Invariant: Example

## Example

```
x := -50; while (x < 0) do  
  {x := x + y; y := y + 1} assert (y > 0)
```

For the example loop above, it is easy to check  $x < 0 \vee y > 0$  is a valid invariant.

$$x = -50 \rightarrow x < 0 \vee y > 0$$

$$x < 0 \vee y > 0 \wedge x \geq 0 \rightarrow y > 0$$

# Idea

The basic idea of this paper is to mimic how human experts reason the loop invariant.

## Example

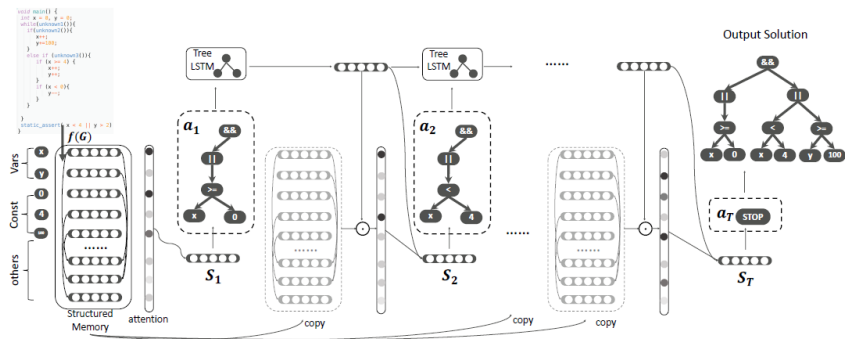
```
1  int main() {
2    int x = 0, y = 0;
3    while (*) {
4      if (*) {
5        x++;
6        y = 100;
7      } else if (*) {
8        if (x >= 4) {
9          x++;
10         y++;
11       }
12       if (x < 0) y--;
13     }
14   }
15   assert( x < 4 || y > 2);
16 }
```

- ▶ Start by reading the assertion.  $x, y$ .
- ▶  $x$  may increase.  $x < 4$  may not always hold.
- ▶ How can  $x \geq 4$ : by executing the first branch 4 times. Then we guess  $y \geq 100$  because...
- ▶  $x < 4 \vee y \geq 100$  is not enough, then add  $x \geq 0$
- ▶  $x \geq 0 \wedge (x < 4 \vee y \geq 100)$

# Programming the Reasoning Procedure with NN

- ▶ A structured external memory representation of the program.
- ▶ Multi-step autoregressive model for incremental loop invariant construction.
- ▶ An attention component that mimics the varying focus in each step.

# Overall Framework



# Structured External Memory

- First, convert a given program into a static single assignment form, then a flow graph where a vertex is an AST.
- Then, convert the graph into vector representation.

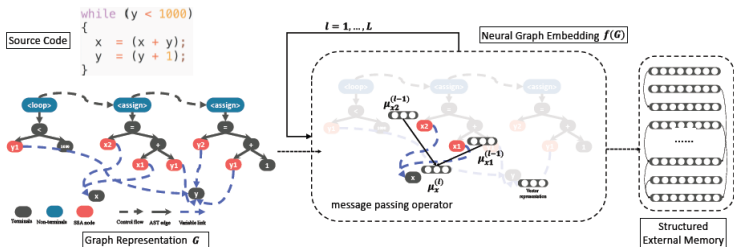
$$E = \{(e_x^{(i)}, e_y^{(i)}, e_t^{(i)})\}.$$

$$\mu_v^{(l+1)} = h(\{\mu_u^{(l)}\}_{u \in \mathcal{N}^k(v), k \in \{1, 2, \dots, K\}})$$

$$\mu_v^{(0)} = \mathbf{W}_1 \mathbf{x}_v$$

$$\mu_v^{(l+1), k} = \sigma(\sum_{u \in \mathcal{N}^k(v)} \mathbf{W}_2 \mu_u^{(l)}), \forall k \in \{1, 2, \dots, K\}$$

$$\mu_v^{(l+1)} = \sigma(\mathbf{W}_3 [\mu_v^{(l+1), 1}, \mu_v^{(l+1), 2}, \dots, \mu_v^{(l+1), K}])$$



# Multi-Step Decision Making Process

## Definition (Loop Invariant)

We define the loop invariant to be a tree  $\mathcal{T}$

$$\mathcal{T} = (\mathcal{T}_1 \vee \mathcal{T}_2 \dots) \wedge (\mathcal{T}_{t+1} \vee \mathcal{T}_{t+2} \dots) \wedge \dots \wedge (\dots \mathcal{T}_{T-1} \vee \mathcal{T}_T)$$

$\mathcal{T}_t$  is  $X < 2 \times y + 10 - z$ .

MDP: use MDP to model the problem of constructing the invariant incrementally.

$$\mathcal{M}^G = (s_1, a_1, r_1, \dots, s_T, a_T, r_T)$$

- ▶  $a_t = (op_t, \mathcal{T}_t)$ .  $op_t$  can be  $\wedge$  or  $\vee$ .
- ▶  $s_t = (G, \mathcal{T}^{<t})$ .



# Reward Design $r_t$

**Early Reward:** the goal is to quickly remove the trivial and meaningless predicates. e.g.  $e == e$ ,  $e < e$ . Examine partially generated  $\mathcal{T}^t$ .

**Continuous Reward:**  $ce_{pre}$ ,  $ce_{inv}$ ,  $ce_{post}$  and  $pass_{pre}$ ,  $pass_{inv}$ ,  $pass_{post}$ . No new counterexample is introduced:

$$\frac{|pass_{pre}|}{|ce_{pre}|} + \frac{|pass_{inv}|}{|ce_{inv}|} + \frac{|pass_{post}|}{|ce_{post}|}$$

New counterexample introduced:

$$\frac{|pass_{pre}|}{|ce_{pre}|} + [pass_{pre} = ce_{pre}] \frac{|pass_{inv}|}{|ce_{inv}|} + [pass_{pre} = ce_{pre}] [pass_{inv} = ce_{inv}] \frac{|pass_{post}|}{|ce_{post}|}$$

# Training Objective and Expected Policy Reward

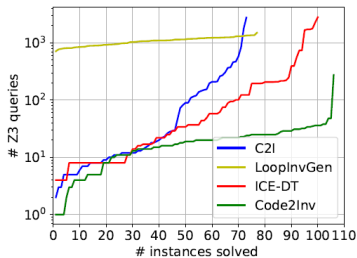
$$\max_{\theta, \phi} \mathbb{E}_{\pi(o p_t, \mathcal{T}_t | \mathcal{T}^{(<t)}, G; \phi)} \left( \sum_{t'=t}^T \gamma^{t'-t} r_{t'} - b(\mathcal{T}^{(<t)}, G; \psi) \right)$$

# Termination

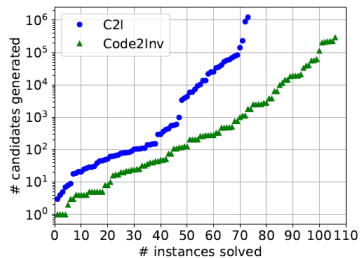
Situations for termination:

- ▶ Successfully generated.
- ▶ The tree of the generated invariant reach a ceiling number of branches.
- ▶ The agent generate invalid action.

# Experiment Result



(a) verification cost by each solver



(b) sample complexity of C2I and CODE2INV