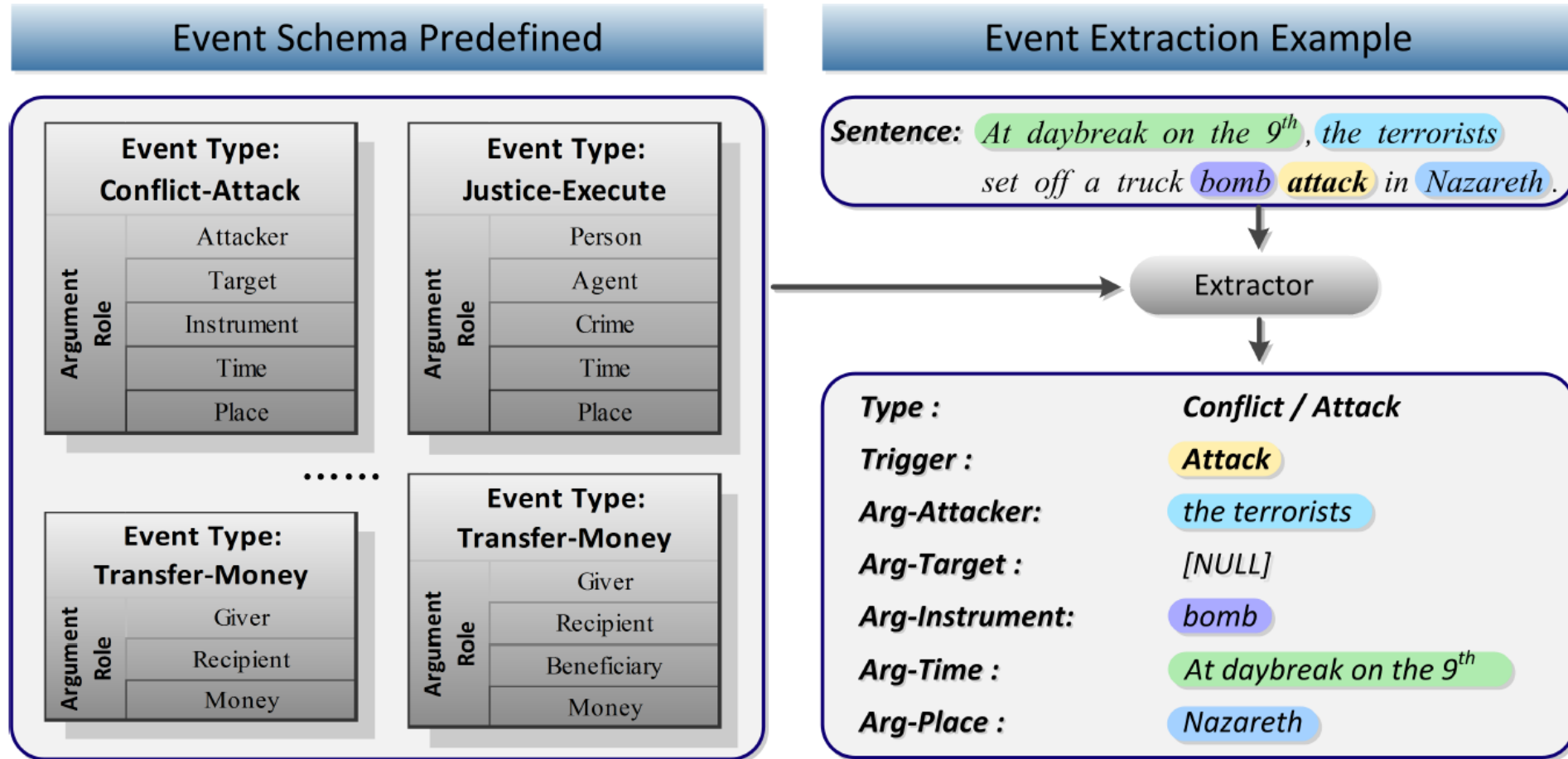


A Joint Neural Model for Information Extraction with Global Features

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ACL2020

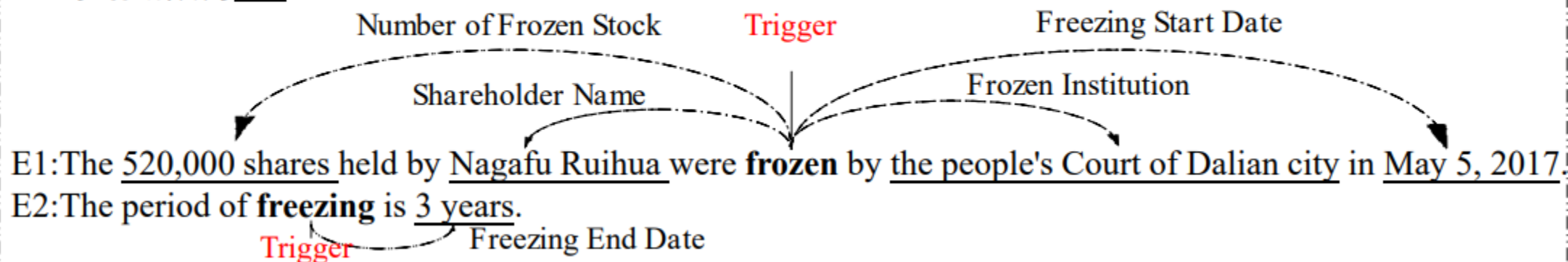
Event Extraction Template



Sentence-level Event Extraction

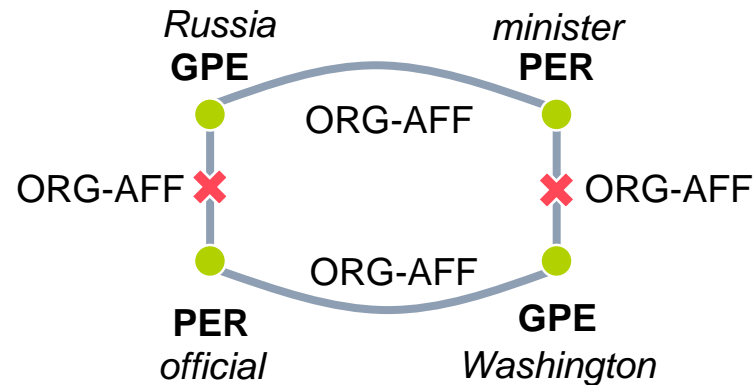
C1: 长富瑞华持有的上市公司520,000股被大连市人民法院于2017年5月5日冻结。

C2: 冻结期限为3年。



Motivation

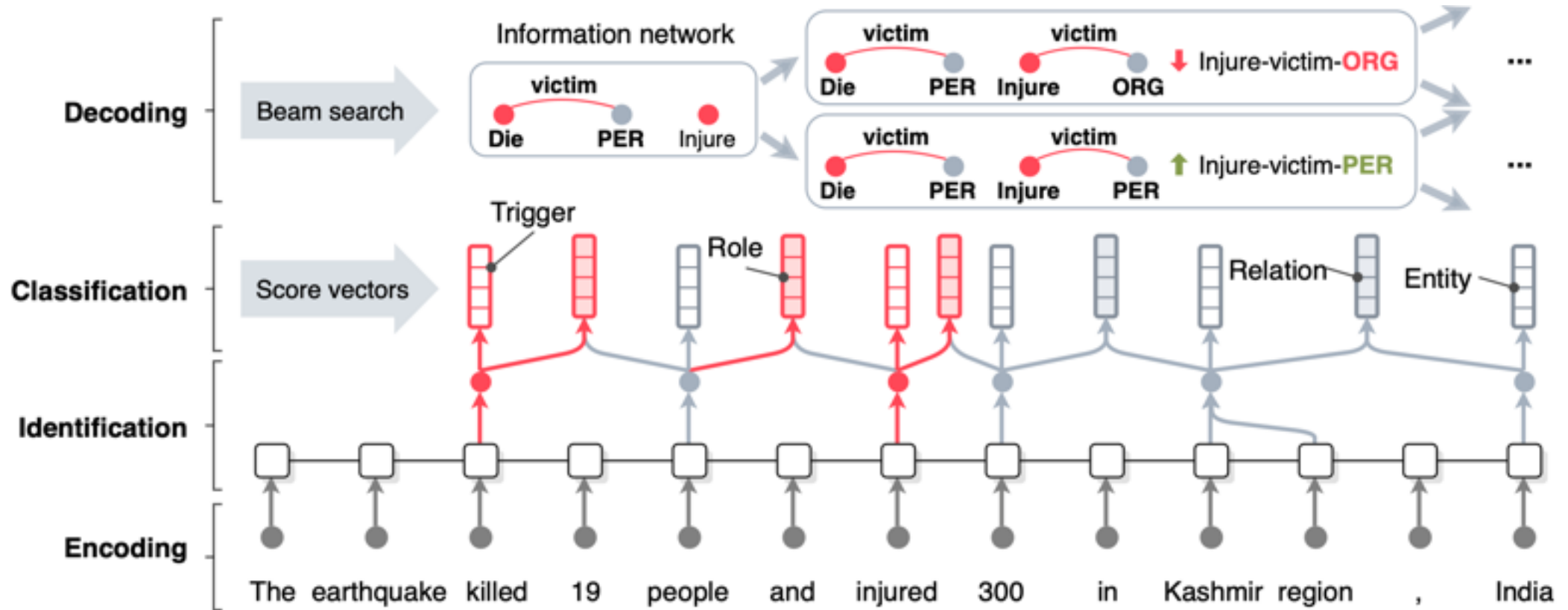
- Pipeline models suffer from the **error propagation problem** and disallow interactions among components.
- Existing neural models do not explicitly model **cross-subtask and cross-instance interactions** among knowledge elements.



***Russia's** foreign **minister** expressed outrage at suggestions from a top **Washington official** last week...*

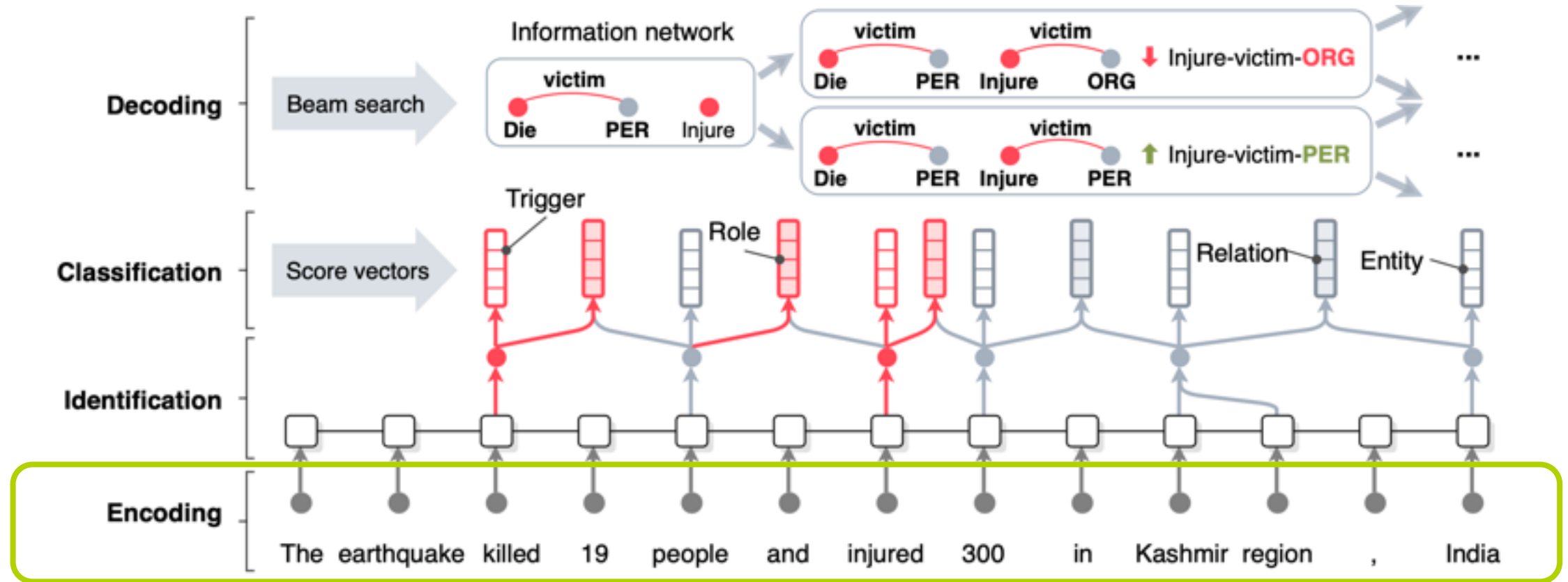
→ A **single joint neural model** for Information Extraction.

OneIE: An End-to-end Neural Model for IE



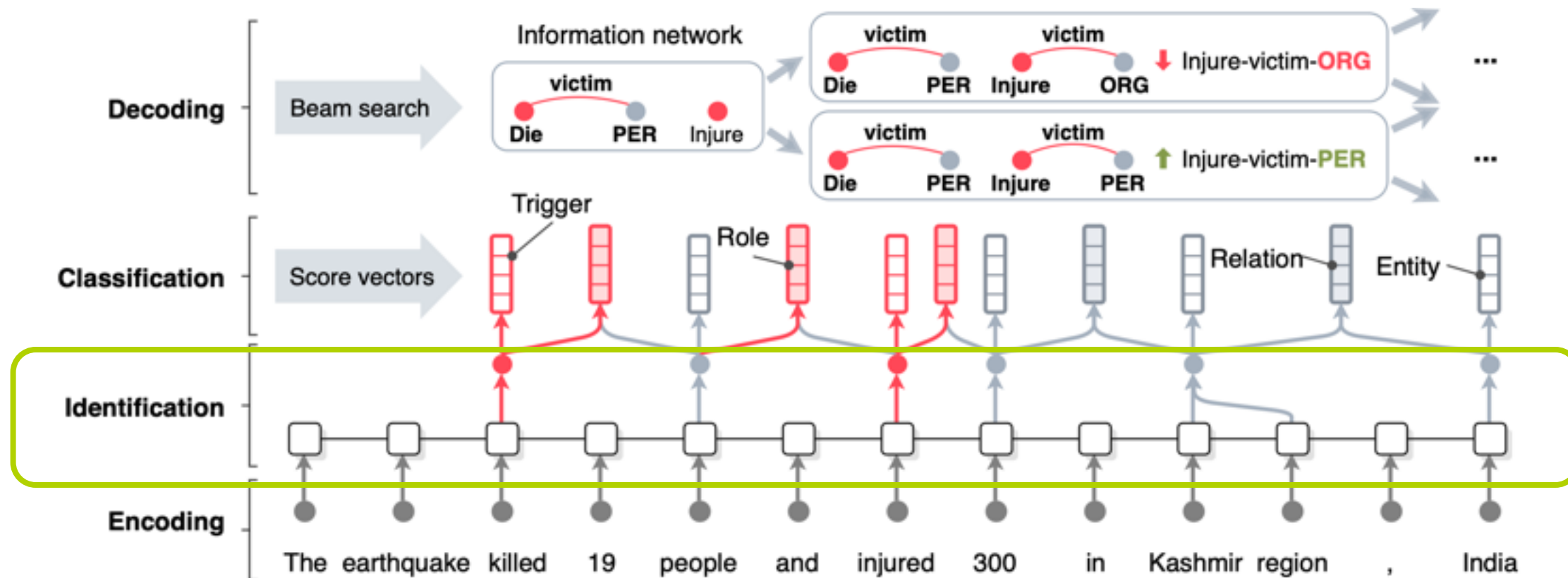
- Our OneIE framework extracts the information graph from a given sentence in four steps: encoding, identification, classification, and decoding

OneIE: An End-to-end Neural Model for IE



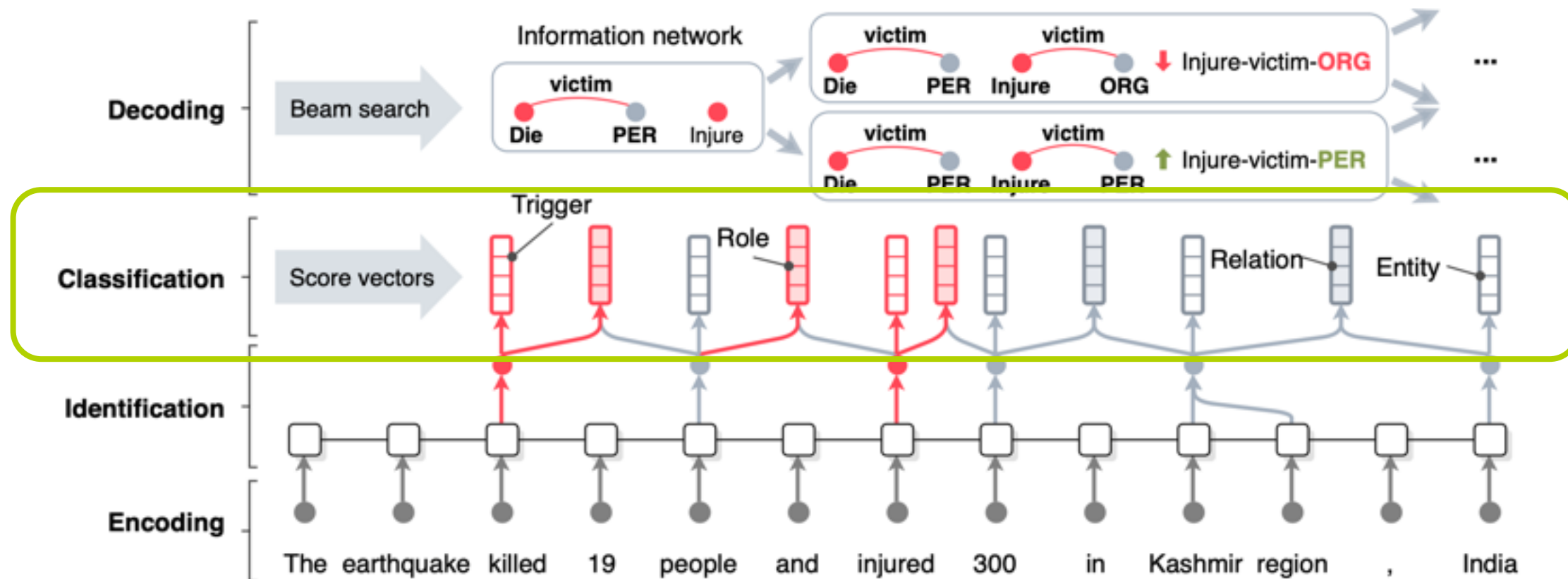
- **Encoding:** We use a BERT encoder to obtain the contextualized embedding of each token

OneIE: An End-to-end Neural Model for IE



- **Identification:** We use CRF taggers to identify entity mentions and event triggers
- We define the identification loss as $\mathcal{L}^I = -\log p(\mathbf{z}|\mathbf{X})$

OneIE: An End-to-end Neural Model for IE

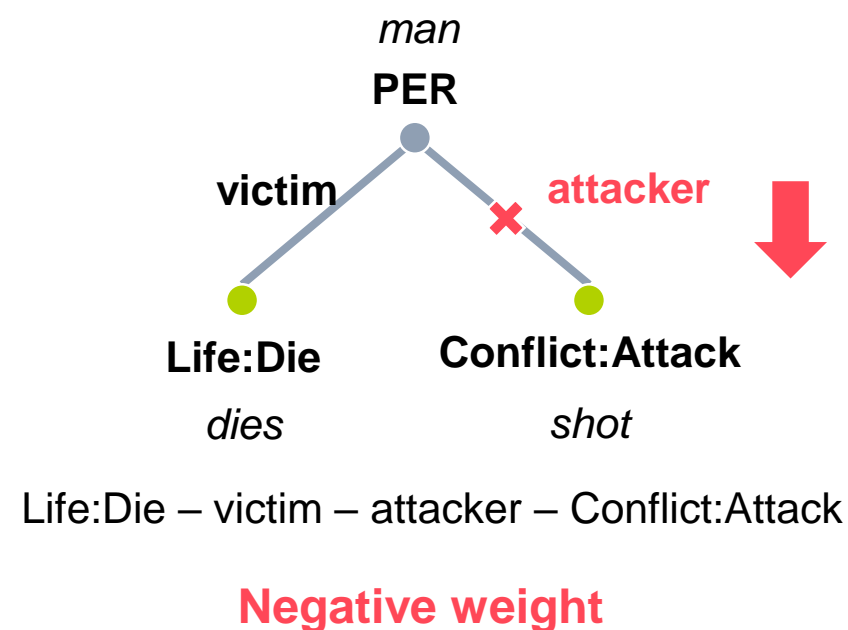
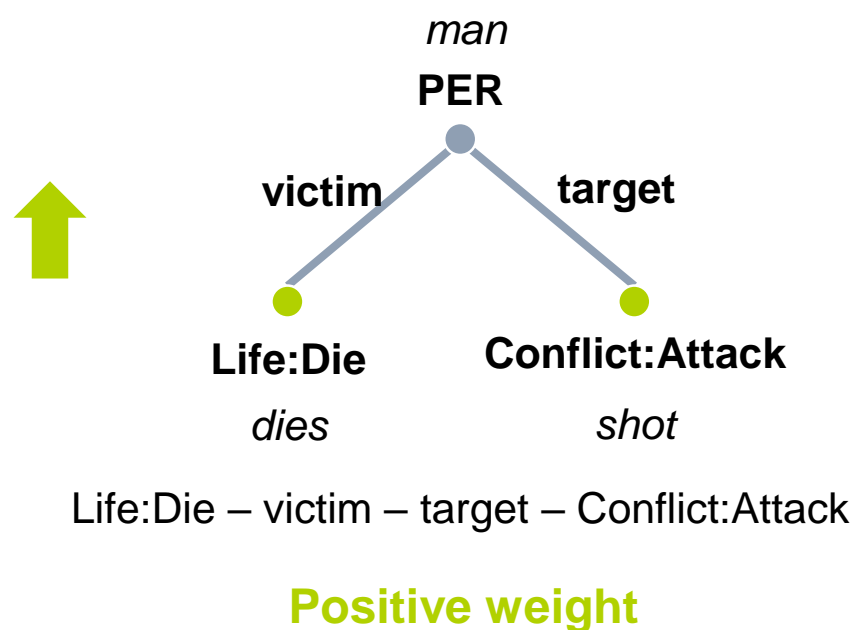


- **Classification:** We use task-specific feed-forward networks to calculate label scores for each node or edge

- We define the classification loss as
$$\mathcal{L}^t = -\frac{1}{N^t} \sum_{i=1}^{N^t} \mathbf{y}_i^t \log \hat{\mathbf{y}}_i^t$$

Incorporating Global Features

- We design a set of *global feature templates* (e.g., event_type₁ – role₁ – role₂ – event_type₂ : an entity acts a role₁ argument for an event_type₁ event and a role₂ argument for an event_type₂ event in the same sentence)
- The model learns the *weight* of each feature during training



Incorporating Global Features

- Given a graph G , we generate its global feature vector as $\mathbf{f}_G = \{f_1(G), \dots, f_M(G)\}$, where $f_i(\cdot)$ is a function that evaluates a certain feature and returns a scalar. For example,

$$f_i(G) = \begin{cases} 1, & G \text{ has multiple ATTACK events} \\ 0, & \text{otherwise.} \end{cases}$$

- Next, we learn a weight vector $\mathbf{u} \in \mathbb{R}^M$ and calculate the global feature score of G as the dot production of \mathbf{f}_G and \mathbf{u} .
- Global score** of a graph: local graph score + global feature score:

$$s(G) = s'(G) + \mathbf{u}\mathbf{f}_G$$

- We assume that the gold-standard graph for a sentence should achieve the highest global score and minimize the following loss function:

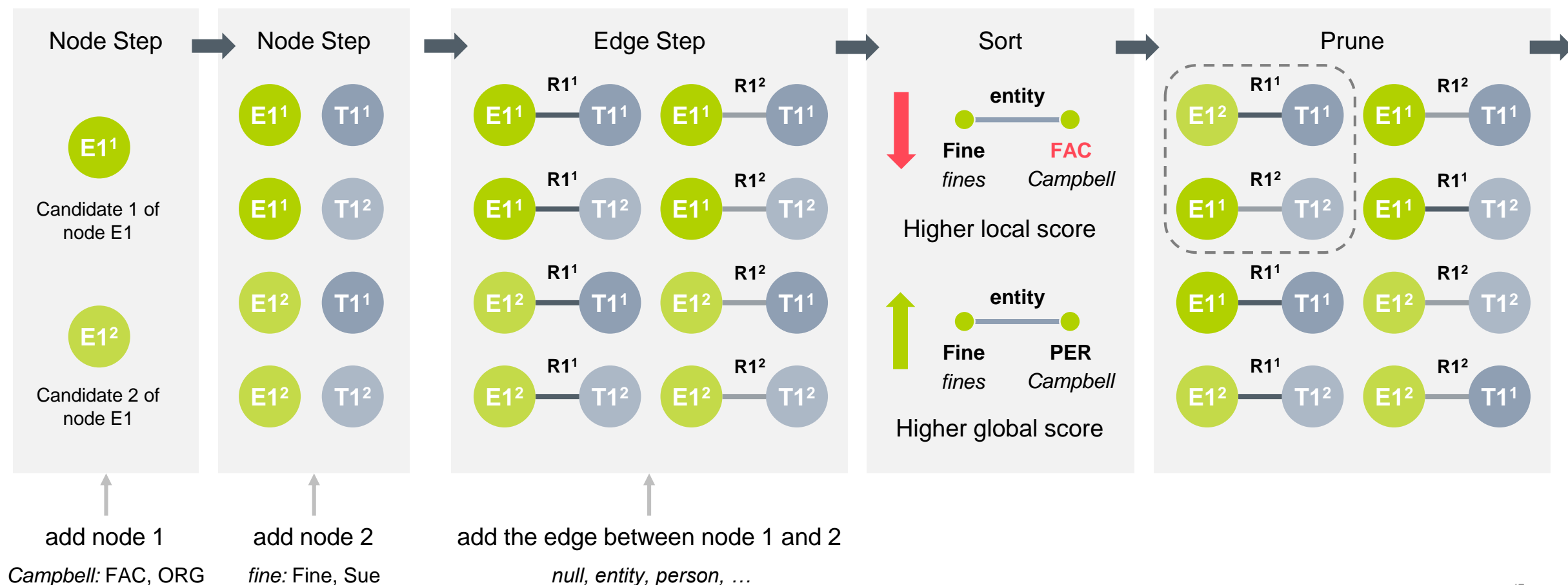
$$\mathcal{L}^G = s(\hat{G}) - s(G)$$

Global Features

Category	Description
Role	1. The number of entities that act as $\langle \text{role}_i \rangle$ and $\langle \text{role}_j \rangle$ arguments at the same time.
	2. The number of $\langle \text{event_type}_i \rangle$ events with $\langle \text{number} \rangle$ $\langle \text{role}_j \rangle$ arguments.
	3. The number of occurrences of $\langle \text{event_type}_i \rangle$, $\langle \text{role}_j \rangle$, and $\langle \text{entity_type}_k \rangle$ combination.
	4. The number of events that have multiple $\langle \text{role}_i \rangle$ arguments.
	5. The number of entities that act as a $\langle \text{role}_i \rangle$ argument of an $\langle \text{event_type}_j \rangle$ event and a $\langle \text{role}_k \rangle$ argument of an $\langle \text{event_type}_1 \rangle$ event at the same time.
Relation	6. The number of occurrences of $\langle \text{entity_type}_i \rangle$, $\langle \text{entity_type}_j \rangle$, and $\langle \text{relation_type}_k \rangle$ combination.
	7. The number of occurrences of $\langle \text{entity_type}_i \rangle$ and $\langle \text{relation_type}_j \rangle$ combination.
	8. The number of occurrences of a $\langle \text{relation_type}_i \rangle$ relation between a $\langle \text{role}_j \rangle$ argument and a $\langle \text{role}_k \rangle$ argument of the same event.
	9. The number of entities that have a $\langle \text{relation_type}_i \rangle$ relation with multiple entities.
Trigger	10. The number of entities involving in $\langle \text{relation_type}_i \rangle$ and $\langle \text{relation_type}_j \rangle$ relations simultaneously.
	11. Whether a graph contains more than one $\langle \text{event_type}_i \rangle$ event.

Decoding

- We use beam search to decode the information graph
- Example: *He also brought a check from **Campbell** to pay the **fin**es and fees.*



Experiment: Datasets

- We conduct our experiments on four datasets derived from ACE (Automatic Content Extraction) 2005 and two datasets derived from ERE (Entities, Relations and Events).
- Existing datasets developed by Wadden et al. (2019):
 - ACE05-R: named entity and relation annotations.
 - ACE05-E: entity, relation, and event annotations.
- We created the following datasets:
 - ACE05-CN: Chinese entity, relation, and event annotations.
 - ACE05-E⁺ follows the split of ACE05-E and has richer annotations.
 - ERE-EN is derived from LDC2005E29, LDC2015E68, and LDC2015E78.
 - ERE-ES: Spanish entity, relation, and event annotations.

Experiment: Results

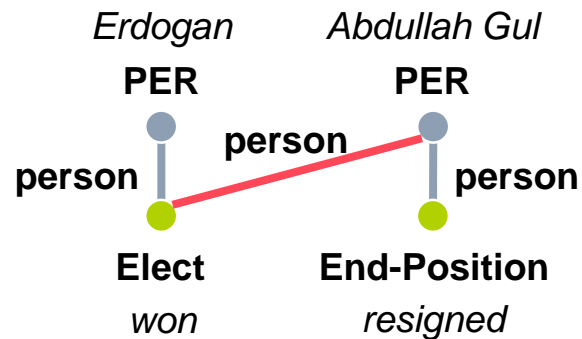
- We compare our model to DyGIE++, the state-of-the-art end-to-end IE model, on ACE05-R and ACE05-E.

Model	ACE05-R		ACE05-E				
	Entity	Relation	Entity	Trigger Identification	Trigger Classification	Argument Identification	Argument Classification
DyGIE++	88.6	63.4	89.7	-	69.7	53.0	48.8
DyGIE++*	-	-	90.7	76.5	73.6	55.4	52.5
OneIE	88.8	67.5	90.2	78.2	74.7	59.2	56.8
OneIE*	-	-	90.3	78.6	75.2	60.7	58.6

- DyGIE++* and OneIE* use a four-model ensemble optimized for trigger detection.
- We hold the opinion that single-model scores better reflect the actual performance of OneIE and should be used for future comparison

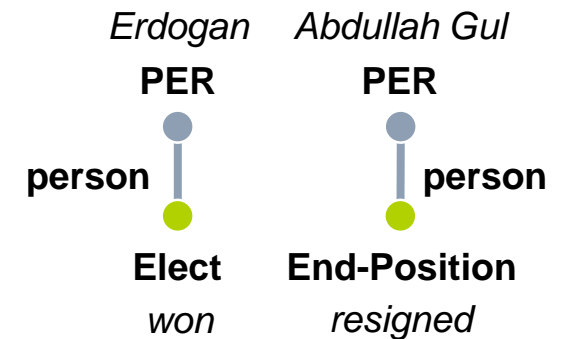
Experiment: Example

- Example: *Prime Minister Abdullah Gul **resigned** earlier Tuesday to make way for Erdogan, who **won** a parliamentary seat in by-elections Sunday.*
- The local argument role classifier predicts a redundant Person edge between “won” and “Abdullah Gul”.



Baseline Result

1. An **Elect** event usually has only one **Person** argument
2. An entity is unlikely to act as a **Person** argument for **End-Position** and **Elect** events at the same time



OneIE Result

New Benchmark Results

- In order to reinstate some important elements absent from ACE05-R and ACE05-E, we construct two new benchmark datasets, ACE05-E⁺ and ERE-EN by adding back:
 - Entity: pronouns
 - Relation: directions
 - Event: multi-token triggers

Dataset	Entity	Trigger Identification	Trigger Classification	Argument Identification	Argument Classification	Relation
ACE05-E ⁺	89.6	75.6	72.8	57.3	54.8	58.6
ERE-EN	87.0	68.4	57.0	50.1	46.5	53.2

Porting to Another Language

- We evaluate our proposed framework on ACE05-CN (Chinese) and ERE-ES (Spanish).
- OneIE works well on Chinese and Spanish data without any special design for the new language.
- We observe that adding English training data can improve the performance on Chinese and Spanish.

Dataset	Training	Entity	Trigger Classification	Argument Classification	Relation
ACE05-CN	CN	88.5	65.6	52.0	62.4
	CN+EN	89.8	67.7	53.2	62.9
ERE-ES	ES	81.3	56.8	40.3	48.1
	ES+EN	81.8	59.1	42.3	52.9

- For ACE05-CN, EN refers to ACE05-E⁺. For ERE-ES, EN refers to ERE-EN.

Salient Global Features

- Salient positive and negative global features learned by the model
- Our global features are explainable

	Features	Weight
1	A Transport event has only one Destination argument	2.61
2	An Attack event has only one Place argument	2.31
3	A PER-SOC relation exists between two PER entities	1.51
4	A Beneficiary argument is a PER entity	0.93
5	An entity has an ORG-AFF relation with multiple entities	-3.21
6	An event has two Place arguments	-2.47
7	A Transport event has multiple Destination argument	-2.25
8	An entity has a GEN-AFF relation with multiple entities	-2.02

Remaining Errors

- We have analyzed 75 of the remaining errors. In this figure, we present the distribution of various error types which need more features and knowledge acquisition to address in the future.

