

# Deep Unsupervised Domain Adaptation and its Application to Generative Models

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#### Outline



- Introduction to Domain Adaptation
- Main Idea for Recent Adaptation Works
- Proposed Works
  - Simplified Unsupervised Image Translation for Semantic Segmentation Adaptation
  - Generating Target Image-Label Pairs for Unsupervised Domain Adaptation
  - Model Adaptation: Unsupervised Domain Adaptation without Source Data

Conclusions and Future Works

#### Outline



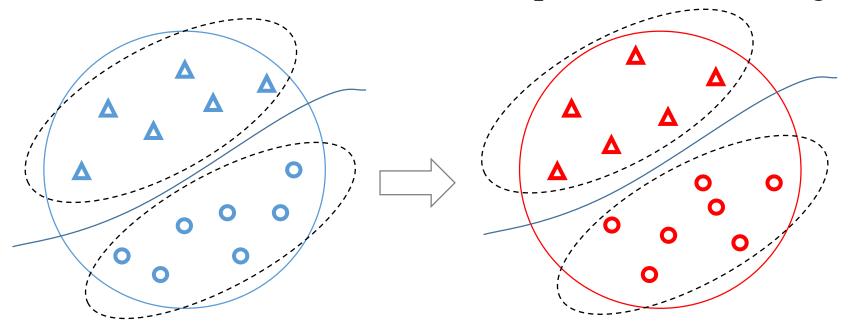
- Introduction to Domain Adaptation
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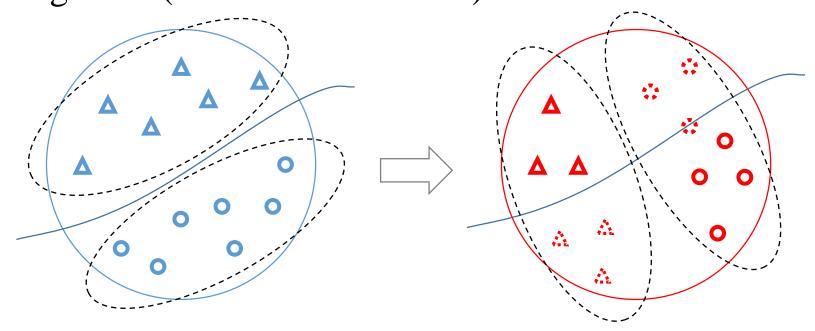
• Traditional supervised learning works often implicitly assume that the training dataset and the test dataset have the same distribution, thus, the pretrained model can achieve reliable performance during testing.







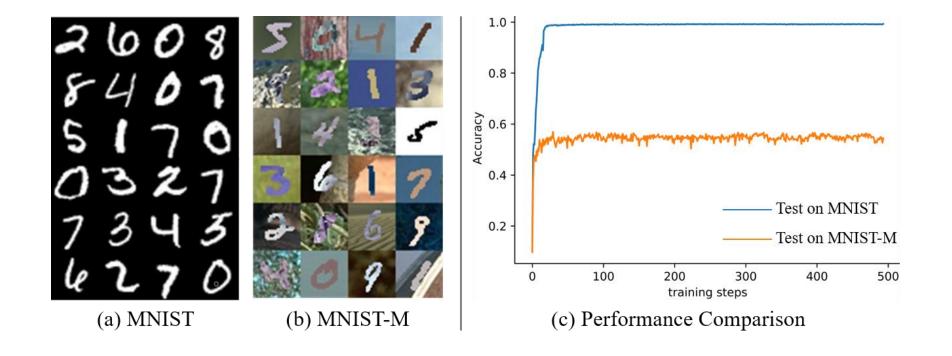
• However, in real scenarios, the training and test datasets are from related but different distributions. The performance of source model may be degraded (called **domain shift**).







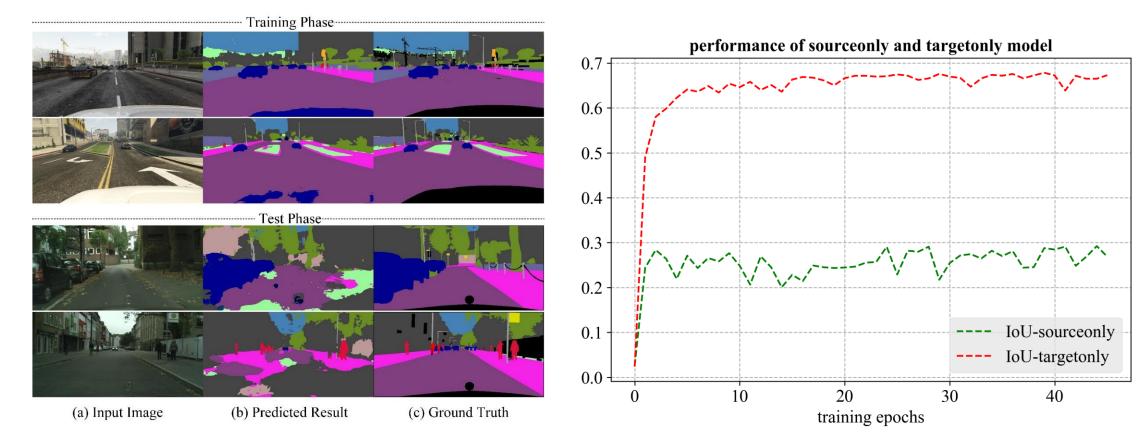
• In the image classification task (Source: MNIST and Target: MNIST-M):







• In the semantic segmentation task (Source: GTA5 and Target: Cityscapes):







Source Data:  $D_s = \{X_s, Y_s\}$  and Target Data:  $D_t = \{X_t, Y_t\}$ Two Domains follow different data distributions, and the goal is to infer target labels as accurately as possible.

- If we have enough target labeled data: no need adaptation;
- If we have a few target labeled data: we can fine-tune the model trained on  $D_s$  with these target labeled data.
- If we have no labeled data, i.e., we only have  $D_s = \{X_s, Y_s\}$  and  $D_t = \{X_t\}$ . This is referred to as **Unsupervised Domain Adaptation** (UDA), which is our main topic.





Domain adaptation allows to adapt the model from a label-rich (domain) to a label-scarce (target) domain.

Avoid learning from scratch.

• Transfer knowledge learnt from source data.

• Reduce the cost of annotation.

#### Outline



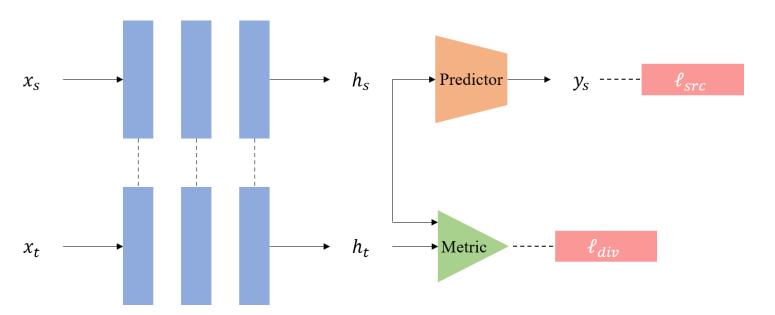
- Introduction to Domain Adaptation
- Main Idea for Recent Adaptation Works
- Proposed Works
  - Cross Domain Semantic Feature Learning via Adversarial Adaptation Networks
  - Simplified Unsupervised Image Translation for Semantic Segmentation Adaptation
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• Reduce the domain discrepancy -- learning domain-invariant features (Feature-space alignment between two domains)



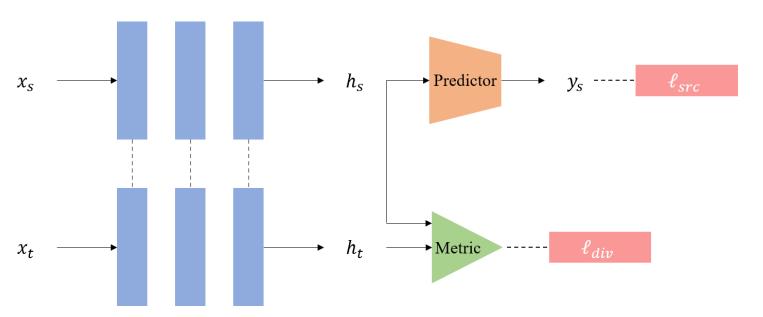
For feature extractor (blue blocks):

- Sharing layers or not
- Single or multiple layers





• Reduce the domain discrepancy -- learning domain-invariant features (Feature-space alignment between two domains)



For distance metric (Green block):

- Statistics metrics, i.e., MMD, CORAL, etc.
- Adversarial training with an extra discriminator or classifier.



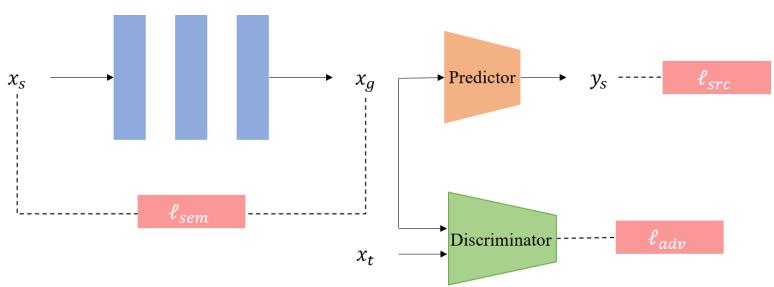
#### Main Idea for Domain Adaptation

- Reduce the domain discrepancy -- Image-to-image translation (Pixel-space alignment between two domains)
  - Focus on generating reliable image-label pairs in the target domain.
  - The common tool is the **Generative Adversarial Networks** (GAN) for simulating the target distributions.



#### Main Idea for Domain Adaptation

• Reduce the domain discrepancy -- Image-to-image translation (Pixel-space alignment between two domains)



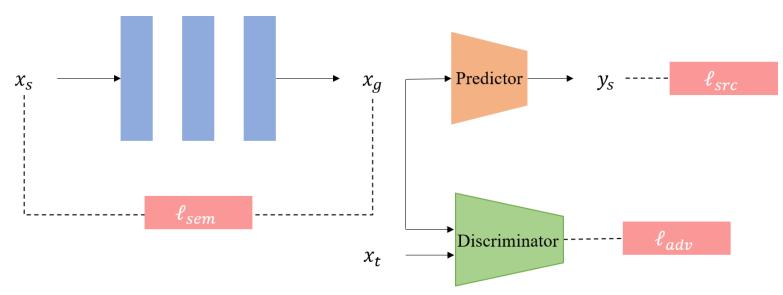
There are two requirements during the translation:

- Distribution matching:  $x_g$  and  $x_t$  should be undistinguishable by the discriminator.
- Semantic preservation: the semantic information between  $x_g$  and  $x_s$  should be the same.





• Reduce the domain discrepancy -- Image-to-image translation (Pixel-space alignment between two domains)



These generative-based methods have two benefits:

- Interpretable: visualize  $x_q$ .
- Flexible: the predictor and the adaptor are decoupled.

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## Simplified Unsupervised Image Translation for Semantic Segmentation Adaptation

Rui Li, Wenming Cao, Qianfen Jiao, Si Wu, Hau-San Wong. Simplified Unsupervised Image Translation for Semantic Segmentation Adaptation. Pattern Recognition Journal, PR, 2020.





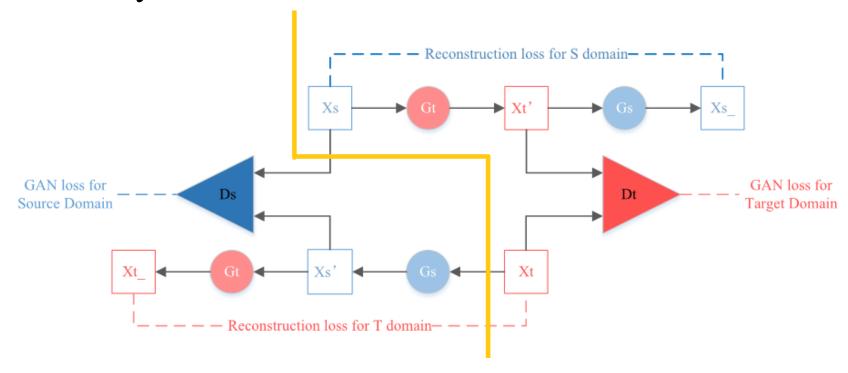
• Many feature-level alignment methods focus on the image classification, which may not be effective on the semantic segmentation which requires accurate spatial information.

• Therefore, recent works tend to image-to-image translation for domain adaptation, which is the pixel-level alignment.





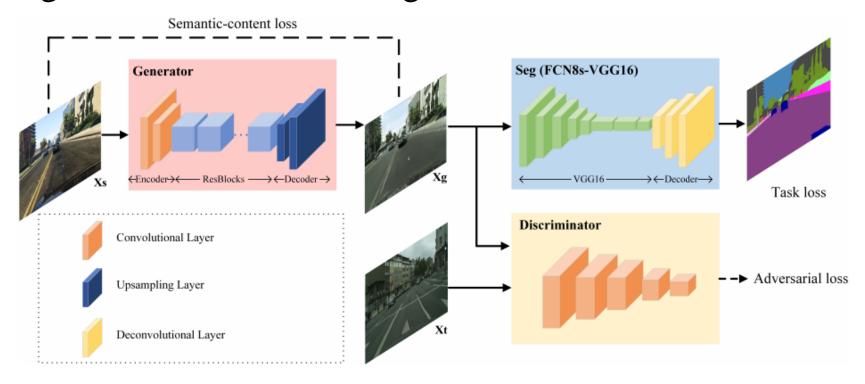
• However, for <u>unsupervised high-resolution image-to-image</u> <u>translation</u>, Cycle-GAN is often used:





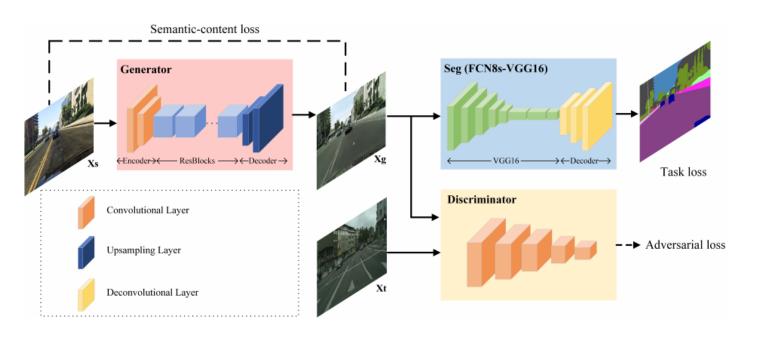


• We aims to propose a simplified one-way translation method, designed for the semantic segmentation task:



### Simplified Unsupervised Image Translation for Semantic Segmentation Adaptation --- Method





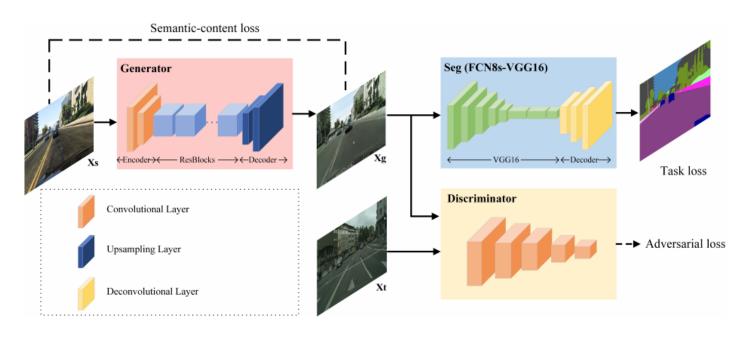
Adversarial Loss: aims to match the target distribution.

$$L_{adv}(D,G) = \mathbb{E}_{X_t}[\log D(X_t)] + \mathbb{E}_{X_s}[\log (1 - D(G(X_s)))]$$

$$\min_{G,Seg} \max_{D} L_{adv}(D,G) + L_{semCon}(G) + L_{task}(Seg)$$







$$\min_{G,Seg} \max_{D} L_{adv}(D,G) + \underline{L_{semCon}(G)} + L_{task}(Seg)$$

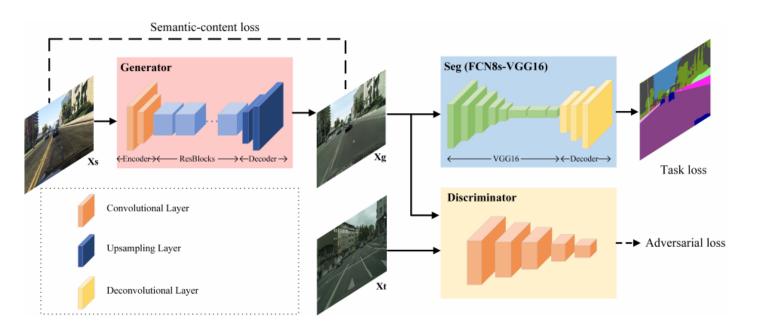
Semantic-Content Loss: aims to preserve the semantic and content information.

$$L_{semCon}(G) = L_{sem} + L_{con}$$

Where 
$$L_{sem} = \mathbb{E}_{X_S} \mathcal{H}[Seg(G(X_S)), Y_S]$$
  
 $L_{con} = \mathbb{E}_{X_S}[\|f(G(X_S)) - f(X_S)\|^2]$ 

### Simplified Unsupervised Image Translation for Semantic Segmentation Adaptation --- Method





Task Loss: computes cross entropy for each pixel with source and generated images.

$$L_{task}(Seg) = \mathbb{E}_{X_S} \{ \mathcal{H}[Seg(X_S), Y_S] + \mathcal{H}[Seg(G(X_S)), Y_S] \}$$

$$\min_{G,Seg} \max_{D} L_{adv}(D,G) + L_{semCon}(G) + L_{task}(Seg)$$





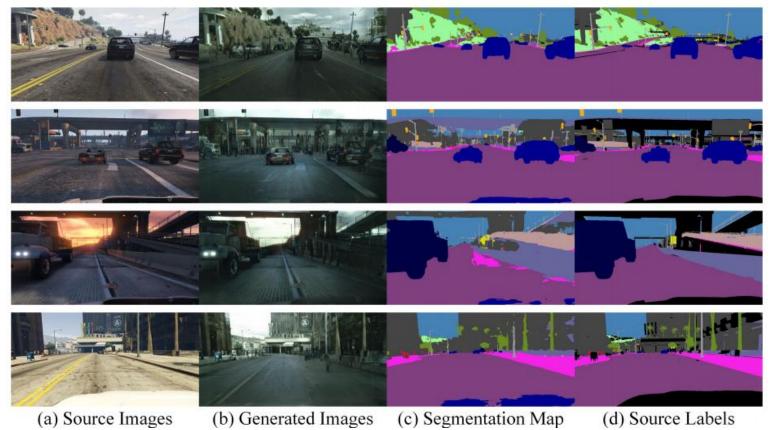
- Dataset Settings: focus on adaptation from synthetic domain to real domain:
  - GTA5: is a large-scale urban scene dataset that includes 24966 synthetic high-quality images with pixel-level annotations. All these images and annotations are collected from Grand Theft Auto V (GTA5).
  - SYNTHIA: is a dataset with large well-annotated synthetic images collected from virtual worlds across various environments.
  - Cityscapes: is a real-world urban scene dataset that includes 2975 training samples and 500 validation samples with fine pixel-level annotation.

### Simplified Unsupervised Image Translation for Semantic Segmentation Adaptation --- Experiments

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• GTA5 to Cityscapes (Generated images)

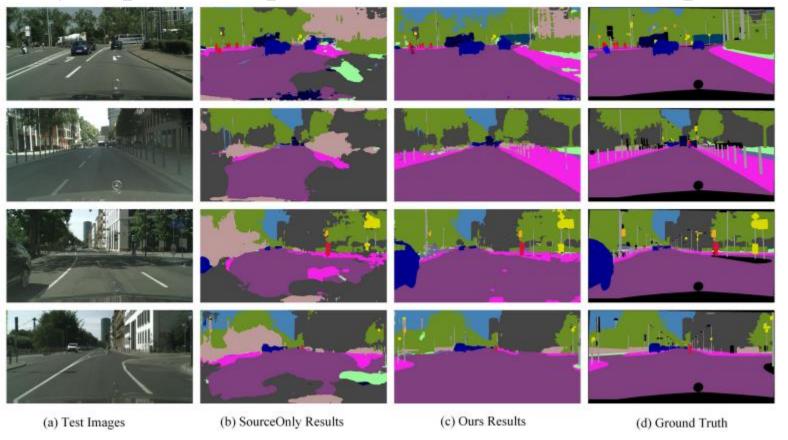


### Simplified Unsupervised Image Translation for Semantic Segmentation Adaptation --- Experiments

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• GTA5 to Cityscapes (Comparison before and after adaptation)



### Simplified Unsupervised Image Translation for Semantic Segmentation Adaptation --- Experiments

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• GTA5 to Cityscapes (Generation comparison)



(c) CyCADA (d) Our Results





• GTA5 to Cityscapes (Segmentation comparison)

Method	SourceOnly	FCNWild [42]	CDA [154]	MCD [109]	CBST [161]	OutputSpaceAdapt [128]	CyCADA [41]	DCAN [143]	SUIT	SUIT w/ Avg.W	SourceOnly	OutputSpaceAdapt [128]	DLOW [32]	SUIT	SUIT w/ Avg.W
Base Net		FCN8s-VGG16						Deeplab-v2							
mIoU (%)	26.4	27.1	28.9	28.8	30.9	35.0	35.4	36.2	38.9	40.6	34.2	41.4	42.3	43.7	45.3





• Transplant the pre-trained generator (with FCN-VGG16) to the other segmentation backbone.

Method	Seg Net	From GTA-5	From SYNTHIA
SourceOnly		34.2	37.4
OutputSpaceAdapt [128]	Deeplab-v2 [11]	41.4	45.9
SUIT		42.1	44.3
SUIT w/ Avg.W		44.5	46.5
SourceOnly		35.2	38.8
SUIT	Deeplab-v3 [12]	44.6	46.0
SUIT w/ Avg.W		46.2	47.1



#### Generating Target Image-Label Pairs for Unsupervised Domain Adaptation

Rui Li, Wenming Cao, Si Wu, Hau-San Wong. Generating Target Image-Label Pairs for Unsupervised Domain Adaptation. IEEE Transaction on Image Processing, TIP, 2020.





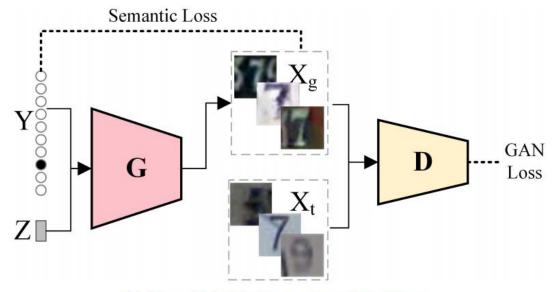
• Most domain adaptation works focus on reducing the discrepancy between two domains, which becomes difficult in case of the large domain gap.

• We propose for target generation from the shared label space, which can alleviate the large domain gap.

### Generating Target Image-Label Pairs for Unsupervised Domain Adaptation --- Motivation



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(a) Idea of label-to-image generation process

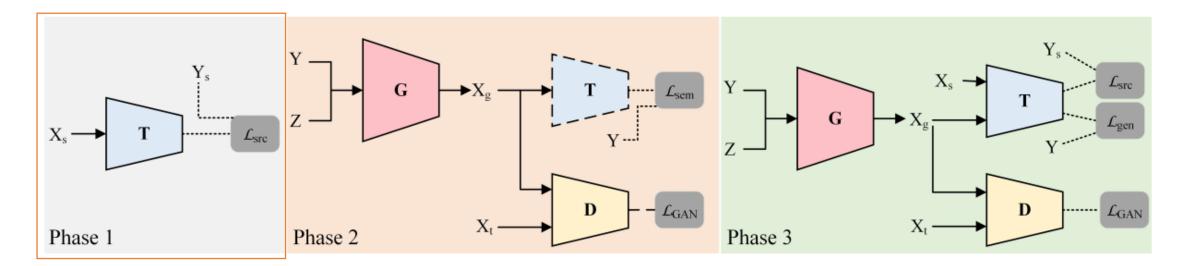


(b) Examples sampled from real target domain and generated by our model





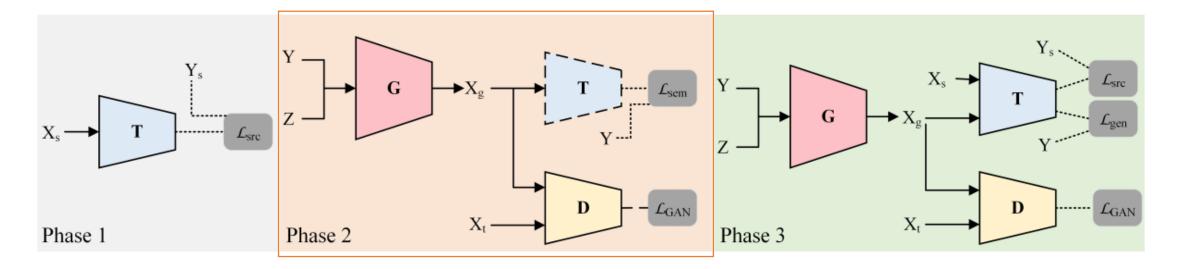
• (Phase 1) Training the task prediction model (*T*) with the source data to obtain a weak *T* in the target domain.







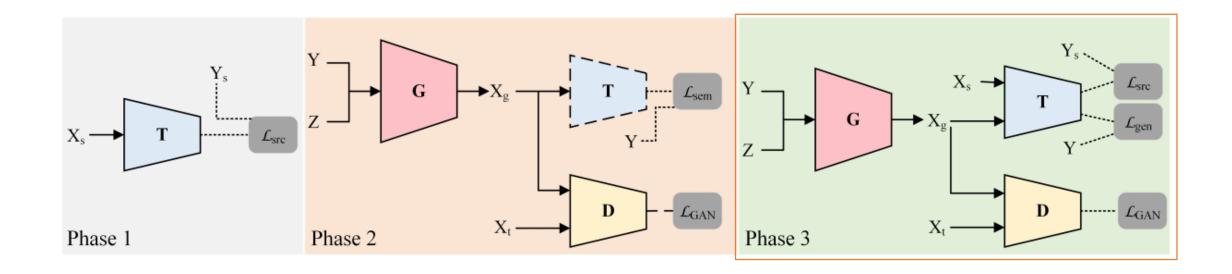
• (Phase 2) Training the generator *G* and the discriminator *D* in adversarial manner to obtain target training pairs.







• (Phase 3) Adding the generated data into training of *T*.



### Generating Target Image-Label Pairs for Unsupervised Domain Adaptation --- Experiments



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• Quantitative results.

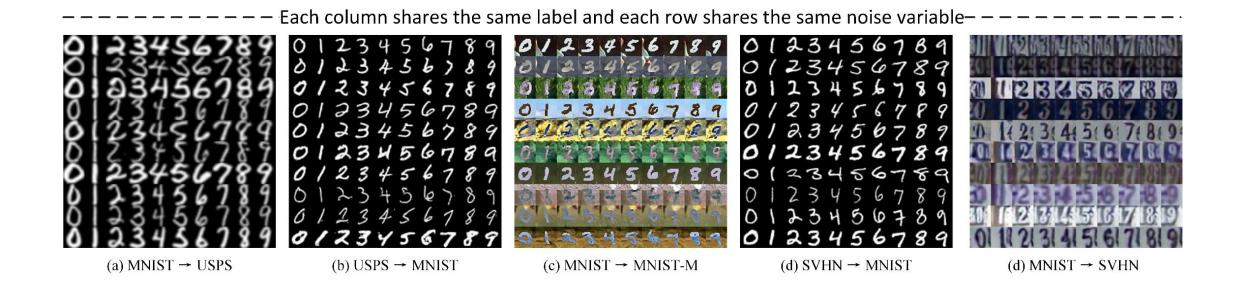
Method	MNIST→USPS	USPS→MNIST	$MNIST \rightarrow MNIST-M$	SVHN→MNIST	$MNIST \rightarrow SVHN$
Not GAN-based Adaptation					
MMD [7]	81.1	-	76.9	71.1	-
CORAL [5]	81.7	-	57.7	63.1	-
$DA_{assoc}$ [33]	-	-	89.5	97.6	-
DANN [43]	85.1	73.0	77.4	73.9	35.7
ADDA [44]	89.4±0.2	$90.1 \pm 0.8$	-	$76.0\pm1.8$	-
ATT [10]	-	-	94.2	86.2	52.8
DSN [16]	91.3	-	83.2	82.7	-
DRCN [32]	91.8±0.1	$73.7 \pm 0.1$	-	$82.0\pm0.2$	$40.1 \pm 0.1$
VADA [45]	-	-	97.7	97.9	73.3
DIRT-T [45]	-	-	98.9	99.4	76.5
GAN-based Adaptation	•				
UNIT [53]	95.9	93.5	-	90.5	-
DuplexGAN [48]	96.01	98.75	-	92.46	62.65
GenToAdapt [47]	95.3±0.7	$90.8 \pm 1.3$	-	$92.4 \pm 0.9$	-
CoGAN [52]	91.2±0.8	$89.1 \pm 0.8$	62.0	-	-
PixelDA [12]	95.9	-	98.2	-	-
SBADA-GAN $C_t$ [55]	96.7	94.4	99.1	72.2	59.2
SBADA-GAN [55]	97.6	95.0	99.4	76.1	61.1
CyCADA pixel only [26]	$95.\overline{6\pm0.2}$	$96.4 \pm 0.1$	-	$70.3 \pm 0.2$	-
CyCADA pixel+feat [26]	95.6±0.2	$96.5 \pm 0.1$	-	$90.4 \pm 0.4$	-
Our results					
SourceOnly	92.4±1.7	86.1±1.3	$54.2 \pm 0.9$	76.4±1.5	57.3±2.1
Label2Image-DA	96.9±0.5	$98.9 \pm 0.1$	$97.1 \pm 0.2$	$99.0\pm0.1$	-
Label2Image-DA with $\mathcal{L}_{reg}$	<b>98.1</b> ±0.3	$99.4 \pm 0.1$	$99.2 \pm 0.1$	<b>99.5</b> ±0.03	<b>91.3</b> $\pm$ 0.2
	•	oral examii			





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Generation results.

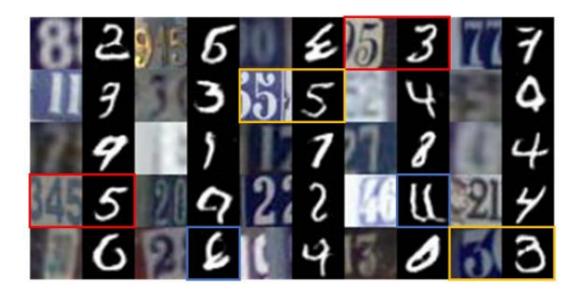


### Generating Target Image-Label Pairs for Unsupervised Domain Adaptation --- Experiments

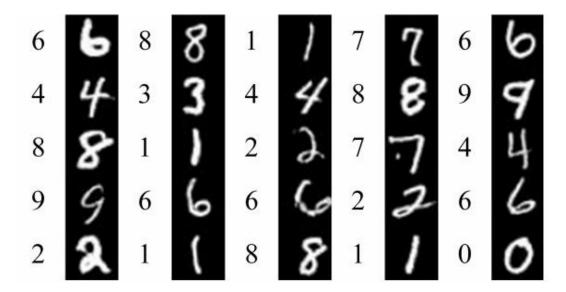


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• Comparison between Image-to-Image Translation and Label-to-Image Translation.



(a) Image-to-Image Translation



(b) Label-to-Image Translation

#### Generating Target Image-Label Pairs for Unsupervised Domain Adaptation --- Experiments



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• Our model is also applicable for the semantic segmentation task (Generation).



















(a) Input Labels

(b) Generated Images (target-style)

(c) Corresponding Source Images





• Our model is also applicable for the semantic segmentation task (Segmentation).

Method	SourceOnly	FCNWild [42]	CDA [154]	MCD [109]	CyCADA pixel-only [41]	CyCADA [41]	Label2Image-DA	SourceOnly	CycleGAN [159]	OutputSpaceAdapt [128]	DLOW [32]	Label2Image-DA
Base Net	FCN8s-VGG16					Deeplab-v2						
mIoU (%)	26.4	27.1	28.9	28.8	34.8	35.4	37.5	34.2	41.0	41.4	42.3	43.8



# Model Adaptation: Unsupervised Domain Adaptation without Source Data

Rui Li, Qianfen Jiao, Wenming Cao, Hau-San Wong, Si Wu. Model Adaptation: Unsupervised Domain Adaptation without Source Data. IEEE Conference on Computer Vision and Pattern Recognition, CVPR, 2020.





- Considering source data are not always available:
  - For many companies, they will only provide the learned models instead of their customer data due to the data privacy and security issues.
  - The source datasets like videos or high-resolution images may be so large that it is not practical or convenient to transfer to different platforms.

• We focus on a more challenging setting – Model Adaptation, where we only have the pre-trained source model and the unlabeled target dataset during adaptation process.

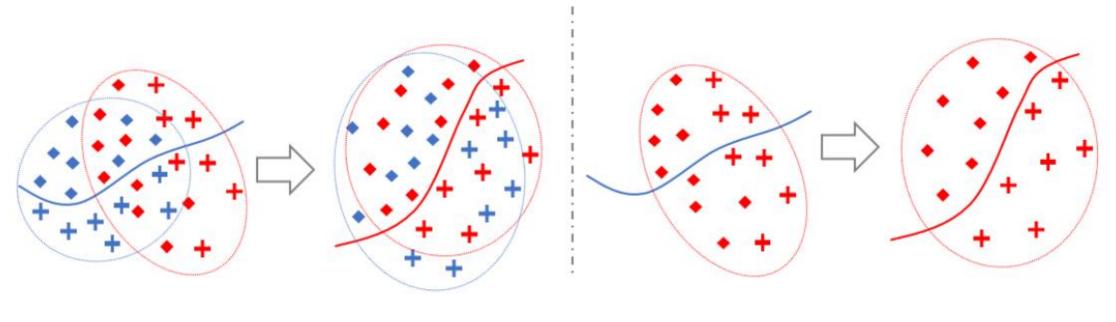
#### Model Adaptation: Unsupervised Domain Adaptation without Source Data --- Motivation



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#### Conventional data-based adaptation

#### Proposed model adaptation



Source data:

+ +

Source model: —

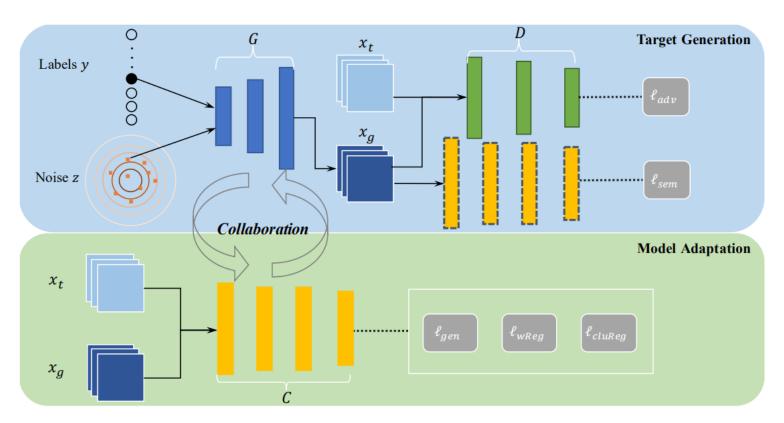
Target data: + 🔸

Adapted model: ----





• Collaborative Class Conditional GAN (Generation)



$$\min_{G} \max_{D} \ell_{adv} + \ell_{sem}$$

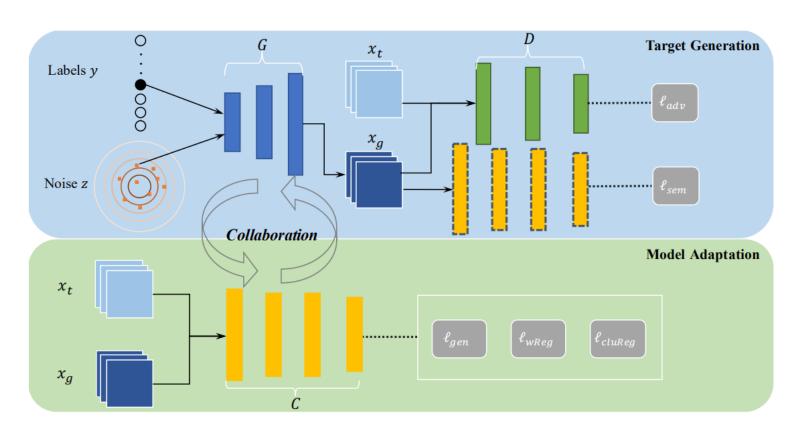
$$\ell_{sem}(G) = \mathbb{E}_{y,z} \left[ -y \log C(G(y,z)) \right]$$

#### Model Adaptation: Unsupervised Domain Adaptation without Source Data --- Method



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Collaborative Class Conditional GAN (Adaptation)



$$\ell_{gen} = \mathbb{E}_{x_g, y} \left[ -y \log C(x_g) \right]$$

$$\ell_{wReg} = \|\theta_C - \theta_{C_S}\|$$

$$\ell_{cluReg} = \mathbb{E}_{x_t}[-C(x_t)\log C(x_t)] + [\text{KL}\left(C(x_t)||C(x_t + \tilde{r})\right)]$$

### Model Adaptation: Unsupervised Domain Adaptation without Source Data --- Experiments

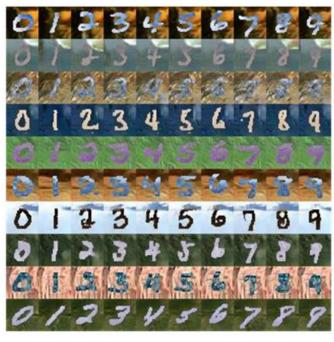


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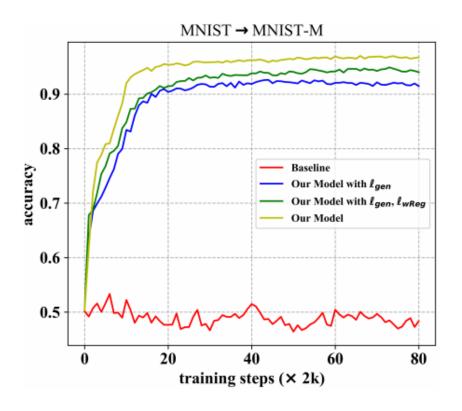
Collaborative behavior



(a) Before Adaptation



(b) After Adaptation







• Adaptation results from synthetic domain to real domain

Method	Syn.Digits → SVHN	Syn.Sign → GTSRB
DANN	91.1	88.7
DSN	91.2	93.1
AssocDA	91.8	97.6
VADA	94.8	98.8
DIRT-T	96.1	99.5
Source-Only	86.2	78.3
3C-GAN (Ours)	95.9	99.6

## Model Adaptation: Unsupervised Domain Adaptation without Source Data --- Experiments



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Visualization of the generator



(a) Syn.Digits→SVHN



(b) Syn.Sign→GTSRB





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• Adaptation results in Office31 dataset (based on ResNet50)

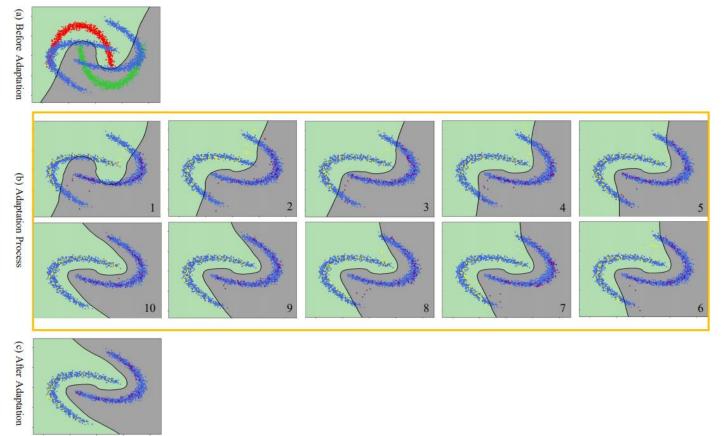
	A	D	W	A	D	W	
Method	$\downarrow$	$\downarrow$	$\downarrow$	$\downarrow$	$\downarrow$	$\downarrow$	Avg
	$\mathbf{W}$	$\mathbf{W}$	D	D	A	A	
ResNet50 [40]	$68.4 \pm 0.2$	$96.7 \pm 0.1$	$99.3 \pm 0.1$	$68.9 \pm 0.2$	$65.2 \pm 0.3$	$60.7 \pm 0.3$	76.1
DAN [72]	$80.5 \pm 0.4$	$97.1 \pm 0.2$	$99.6 \pm 0.1$	$78.6 \pm 0.2$	$63.6 \pm 0.3$	$62.8 \pm 0.2$	80.4
RTN [75]	$84.5 \pm 0.2$	$96.8 \pm 0.1$	$99.4 \pm 0.1$	$77.5 \pm 0.3$	$66.2 \pm 0.2$	$64.8 \pm 0.3$	81.6
DANN [29]	$82.6 \pm 0.4$	$96.9 \pm 0.2$	$99.3 \pm 0.2$	$81.5 \pm 0.4$	$68.4 \pm 0.5$	$67.5 \pm 0.5$	82.7
ADDA [131]	$86.2 \pm 0.5$	$96.2 \pm 0.3$	$98.4 \pm 0.3$	$77.8 \pm 0.3$	$69.5 \pm 0.4$	$68.9 \pm 0.5$	82.9
JAN [74]	$86.0 \pm 0.4$	$96.7 \pm 0.3$	$99.7 \pm 0.1$	$85.1 \pm 0.4$	$69.2 \pm 0.4$	$70.7 \pm 0.5$	84.6
MADA [92]	$90.0 \pm 0.2$	$97.4 \pm 0.1$	$99.6 \pm 0.1$	$87.8 \pm 0.2$	$70.3 \pm 0.3$	$66.4 \pm 0.3$	85.2
GenToAdapt [110]	$89.5 \pm 0.5$	$97.9 \pm 0.3$	$99.8 \pm 0.2$	$87.7 \pm 0.5$	$72.8 \pm 0.3$	$71.4 \pm 0.4$	86.5
Our Model	<b>93.7</b> ±0.2	<b>98.5</b> ±0.1	<b>99.8</b> ±0.2	<b>92.7</b> ±0.4	<b>75.3</b> ±0.5	<b>77.8</b> ±0.1	89.6

## Model Adaptation: Unsupervised Domain Adaptation without Source Data --- Experiments



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Adaptation process with a toy example



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Conclusions and Future Works



#### Conclusions

- We propose a simplified one-way translation method for flexible adaptation on semantic segmentation.
- We introduce a Label2ImageDA approaches to avoid cross-domain discrepancy reduction for challenging adaptation tasks.
- We develop a collaborative learning scheme with GAN, which enables unsupervised domain adaptation without source data.