## FIT2004 S1/2016: Lab questions for week 4

### THIS PRAC IS ASSESSED! (6 Marks)

CLASS: This programming exercise has to be prepared in advance before you enter your assigned lab in week 4. Your lab time in that week should be mainly used to iron out bugs, clarify any final doubts by speaking with your demonstrator/tutor, and finalize your submission which the demonstrator will check. He will ask you a series of questions to assess your understanding of this exercise, and gauge how you implemented it. It is required that you implement this exercise strictly using Python programming language. Practical work is marked on the performance of your program and also on your understanding of the program. A perfect program with zero understanding implies you will get zero marks! "Forgetting" is not an acceptable explanation for lack of understanding. You must write all the code yourself, and should not use any external library routines, except those that are considered standard. The usual input/output and other unavoidable routines are exempted.

**DEMONSTRATORS** are not obliged to mark programs that do not run or that crash. Time allowing, they will try to help you track down errors, but they are NOT required to mark programs in such a state, particularly those that do not work. Therefore keep backup copies of working partial-solutions.

**OBJECTIVE:** This practical exercise is to help you develop insights into new ways of generating permutations of elements, your understanding of iteration and recursion, taking an algorithm and writing a program from it, and analysis of time-complexity of sorting algorithms.

#### Background

The number of permutations of N distinct elements is N factorial, written in mathematics as N!. The factorial of N is simply the product of all positive integers less than or equal to N:  $N! = 1 \times 2 \times 3 \times \cdots \times N$ .

Define a permutation set  $S_N$  as the set of all permutations of the first N letters from the English alphabet. (Assume  $N \leq 26$ .) For example, the permutation set  $S_4$ , made up of letters a,b,c,d has 4! = 24 permutations, which are:

0	abcd	6	bacd	12	cabd	18	dabc
1	abdc	7	badc	13	cadb	19	dacb
2	acbd	8	bcad	14	cbad	20	dbac
3	acdb	9	bcda	15	cbda	21	dbca
4	adbc	10	bdac	16	cdab	22	${\tt dcab}$
5	adcb	11	bdca	17	cdba	23	dcba

Observe that these permutations are printed in the lexicographic order of the letters. For each permutation in this list, its permutation (decimal) number is listed to its left. Permutation number 0 represents a fully-ordered permutation: abcd in the above example.

There are several ways to print all the permutations in  $S_N$ , for any given N, in the lexicographic order. One way relies on converting the permutation number from a decimal system (or base-10 system) into a number in a **new number system**, which we will call here the  $\mathcal{F}$  number system. The numbers in this new system are denoted as base-! numbers. For a given N (size of the permutation), any permutation index/number  $\mathbf{D}$  in base-10, or  $(\mathbf{D})_{10}$  in short, indexes a permutation in  $S_N$ , and can be represented **uniquely** as:

$$(\mathbf{D})_{10} = \mathbf{C}_{N-1} \times (N-1)! + \mathbf{C}_{N-2} \times (N-2)! + \dots + \mathbf{C}_{1} \times 1! + \mathbf{C}_{0} \times 0!$$
 (1)

where  $C_{N-1}, C_{N-2}, \cdots C_0$  form the digits in the base-! system.

If the property in Equation 1 holds, then the base-! number formed by the digits,  $(\mathbf{C_{N-1}C_{N-2}\cdots C_0})_!$ , is equivalent to the permutation index/number  $(\mathbf{D})_{10}$  in the base-10 number system. As a concrete example, below is a table that shows the entire set of base-10 permutation numbers and their corresponding base-! numbers for each permutation in  $S_4$  over the letters  $\{a, b, c, d\}$ .

BASE-10	BASE-!	PERMUTATION		BASE-10	BASE-!	PERMUTATION
( 0)_10 ( 1)_10 ( 2)_10 ( 3)_10	(0000)_! (0010)_! (0100)_! (0110)_!	abcd abdc acbd acdb	     	(12)_10 = (13)_10 = (14)_10 = (15)_10 =	(2010)_! (2100)_!	cabd cadb cbad cbda
( 4) <sub>10</sub> ( 4) <sub>10</sub> ( 5) <sub>10</sub>	(0200)_! (0210)_!	adbc adcb	 	$(16)_{-10} = (16)_{-10} = (17$	(2200)_!	cdab cdba
( 6)_10 ( 7)_10	(1000)_! (1010)_!	bacd badc	İ	(18)_10 = (19)_10 =	• • •	dabc dacb
(8)_10 (9)_10 (10)_10	(1100)_! (1110)_! (1200)_!	bcad bcda bdac	   	$(20)_{10} =$ $(21)_{10} =$ $(22)_{10} =$	(3110)_!	dbac dbca dcab
(11)_10	(1210)_!	bdca	- 1	$(23)_{10} =$	(3210)_!	dcba

For instance, we can work out one of the base-1 to base-! conversion shown above as,

$$(15)_{10} = 2 \times 3! + 1 \times 2! + 1 \times 1! + 0 \times 0! = (2110)_{1}$$

These digits  $C_{N-1}, C_{N-2}, \cdots C_0$  have a very special meaning. Notice, from the example above, there are as many base-! digits as there are the elements in the set. Each digit in this system gives the number of *inversions* of each element in the permutation as compared with the fully-ordered permutation. What does this mean? Using the same example as above:

$$(15)_10 = (2110)_!$$
 c b d a

For better visual understanding, the base-! digits are arranged right under this permutation:

Then, the digits imply the following:

For the 1st element 'c', there are 2 elements to the right of it (i.e., 'b' and 'a') that are lexicographically LESS than this element.

For the 2nd element 'b', there is 1 element to the right of it ('a') that are lexicographically LESS than this element.

For the 3rd element 'd', there is 1 element to the right of it ('a') that are lexicographically LESS than this element.

For the 4th element 'a', there are 0 elements to the right of it that are lexicographically LESS than this element.

In fact, the sum of these base-! digits gives the total number of **transpositions** (or swaps between adjacent elements) needed to convert the permutation into a fully sorted order. In the example we have been considering, the sum of digits in  $(2110)_{-}! = 2 + 1 + 1 + 0 = 4$ , implying that this permutation needs 4 transpositions to convert cbda to abcd. Let see how! Working from **right to left** and applying the number of successive swaps as indicated by the base-! digit under each symbol:

c b d a 2 1 1 0

SWAPS: #1 #2 #3 #4 cbda --> cbad --> cabd --> acbd --> abcd

It is also straightforward to construct a permutation string from just the base-! digits (and the total ordering of the N letters in the permutation). Let's follow this with the same example:

N = 4

Below is the total ordering of the elements in  $S_4$ 

Index: 0 1 2 3 Total ordering: a b c d

Our goal now is to generate the permutation given the following base\_! digits :  $2\ 1\ 1\ 0$ 

First digit is 2; find the symbol at index=2 in the total ordering set and place it as the first symbol of the permutation:

С

Remove c from the total ordering:

Index: 0 1 2 Total ordering: a b d

Next digit is 1; find the symbol at index=1 in this new total ordering set and place it as the second symbol of the permutation:

c b

Remove b from the total ordering:

Index 0 1 Total ordering: a d

Next digit is 1 again; find and place the symbol at index=1 as the third symbol of the permutation:

c b d

Remove d from the total ordering:

Index 0
Total ordering: a

Based on this background, your lab assignment is to address the following questions:

#### Lab Assignment Questions

- 1. Write a Python program called **permute** which accepts an integer N as its argument. For the given N, your program should iterate over N! numbers, and at each step:
  - Convert each (permutation) number from the base-10 (decimal) representation to its corresponding base-! representation.
  - Convert the base-! number into a permutation string, assuming we are dealing with the first N letters of the English alphabet. (It is okay to assume that  $N \leq 10$  for this exercise.)
  - Compute the sum of these base-! digits for the given permutation

Having written this program:

- (a) Print to an output file (fit2004\_lab4\_output.txt) all permutations in the lexicographic order containing the following information:
  - Column 1: The base-10 index/number of the permutation
  - Column 2: The base-! digits of that permutation
  - Column 3: The sum of the base-! digits
  - Column 4: The corresponding permutation string using the first N letters from the English alphabet as the total ordering.

(Your output should roughly be similar to the sample output given on the last page.)

- (b) Print a frequency table (**refer output format on the last page**) showing the number of permutations (or frequency) as a function of sum of the **base-!** digits.
- (c) Calculate and print the *weighted average* of the sum of base-! digits over all permutations.
- (d) How is this weighted average growing asymptotically as a function of N? Justify your answer with a clear reasoning.
- (e) This growth informs the complexity of a certain sorting algorithm we discussed specifically in weeks 2 and 3. Which one and what case Best, Average or Worst does your answer to (d) illuminate?
- (f) For any arbitrary N, what range of values does the sum of the base-! digits take? Why?
- 2. Using the background information, write another script that takes any two permutations of a finite set of distinct elements and returns as an answer the **smallest number of** (adjacent) transpositions required to convert one permutation to another. (E.g.: for converting the permutation cadb to bacd or vice versa, the answer would be 4.)

# GENERAL FORMAT OF YOUR OUTPUT FOR Q1:

INPUT TO THE SCRIPT: N = 4TOTAL NUMBER OF PERMUTATIONS = 24

TOTTLE HOLLE	,		
Base-10	Base-!	sum	permutation
(0)_10	(0000)_!	0	abcd
(1)_10	(0010)_!	1	abdc
(2)_10	(0100)_!	1	acbd
(3)_10	(0110)_!	2	acdb
(4)_10	(0200)_!	2	adbc
(5)_10	(0210)_!	3	adcb
(6)_10	(1000)_!	1	bacd
(7)_10	(1010)_!	2	badc
(8)_10	(1100)_!	2	bcad
(9)_10	(1110)_!	3	bcda
(10)_10	(1200)_!	3	bdac
(11)_10	(1210)_!	4	bdca
(12)_10	(2000)_!	2	cabd
(13)_10	(2010)_!	3	cadb
(14)_10	(2100)_!	3	cbad
(15)_10	(2110)_!	4	cbda
(16)_10	(2200)_!	4	cdab
(17)_10	(2210)_!	5	cdba
(18)_10	(3000)_!	3	dabc
(19)_10	(3010)_!	4	dacb
(20)_10	(3100)_!	4	dbac
(21)_10	(3110)_!	5	dbca
(22)_10	(3200)_!	5	dcab
(23)_10	(3210)_!	6	dcba

FREQUENCY	TABLE		
SUM	FREQ.		
0	1		
1	3		
2	5		
3	6		
4	5		
5	3		
6	1		

Weighted average of sum = 3

-=o0o=-END

-=o0o=-