

# Week 1 DBMS

# Disadvantages of Physical data storage

- **Durability:** Physical damage to these registers is a possibility due to rodents, humidity, wear and tear
- **Scalability:** Very difficult to maintain for many years, some shops have numerous registers spanning over years
- **Security:** Susceptible to tampering by outsiders
- **Retrieval:** Time consuming process to search for a previous entry
- **Consistency:** Prone to human errors

Excel

## Advantages of spreadsheet files

Google sheets

- **Durability:** These are computer applications and hence data is less prone to physical damage.
- **Scalability:** Easier to search, insert and modify records as compared to book ledgers
- **Security:** Can be password-protected
- **Ease of Use:** Computer applications are used to search and manipulate records in the spreadsheets leading to reduction in manpower
- **Consistency:** Not guaranteed but spreadsheets are less prone to mistakes than registers.

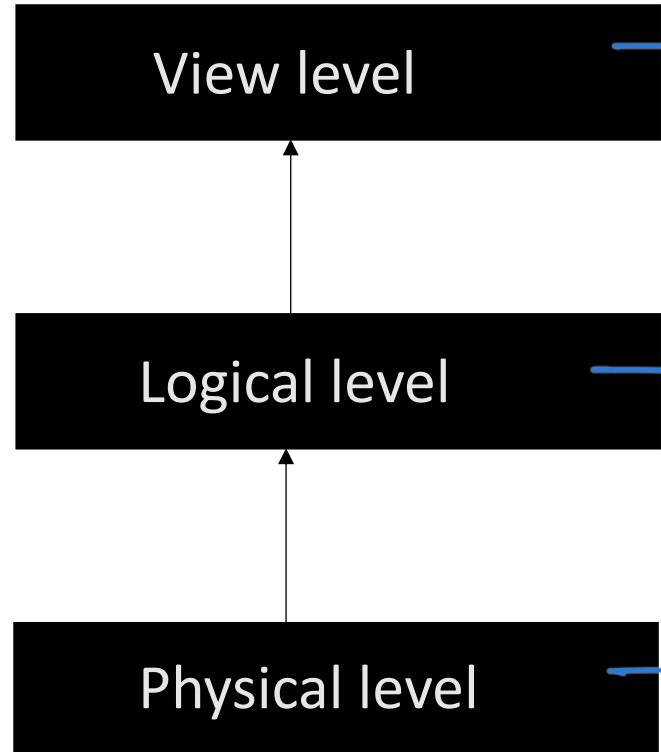
# Comparison

PPD

Parameter	File Handling via Python	DBMS
Scalability with respect to amount of data	Very difficult to handle insert, update and querying of records	In-built features to provide high scalability for a large number of records
Scalability with respect to changes in structure	Extremely difficult to change the structure of records as in the case of adding or removing attributes	Adding or removing attributes can be done seamlessly using simple SQL queries
Time of execution	In seconds	In milliseconds
Persistence	Data processed using temporary data structures have to be manually updated to the file	Data persistence is ensured via automatic, system induced mechanisms
Robustness	Ensuring robustness of data has to be done manually	Backup, recovery and restore need minimum manual intervention
Security	Difficult to implement in Python (Security at OS level)	User-specific access at database level
Programmer's productivity	Most file access operations involve extensive coding to ensure persistence, robustness and security of data	Standard and simple built-in queries reduce the effort involved in coding thereby increasing a programmer's throughput
Arithmetic operations	Easy to do arithmetic computations	Limited set of arithmetic operations are available
Costs	Low costs for hardware, software and human resources	High costs for hardware, software and human resources

except these two DBMS is a better choice in every other aspect.

# Level of abstraction



## NOTE

- Physical Data Independence – the ability to modify the physical schema without changing the logical schema
- Logical Data Independence- the ability to modify the logical level without changing the view level.

In other words, change in Physical level of DBMS should not affect the View level or the Logical level .

# Schema

schema is the way data will be organized, instance is the actual value of that

- Similar to type of a variable and value of the variable at run-time in programming languages
- **Schema**
  - **Logical Schema** – the overall logical structure of the database
    - ▷ Analogous to type information of a variable in a program
    - ▷ Example: The database consists of information about a set of customers and accounts in a bank and the relationship between them
    - ▷ Customer Schema 

Name	Customer ID	Account #	Aadhaar ID	Mobile #
------	-------------	-----------	------------	----------
    - ▷ Account Schema 

Account #	Account Type	Interest Rate	Min. Bal.	Balance
-----------	--------------	---------------	-----------	---------
  - **Physical Schema** – the overall physical structure of the database

# Instance

- **Instance**

- The actual content of the database at a particular point in time
- Analogous to the value of a variable

Name	Customer ID	Account #	Aadhaar ID	Mobile #
Pavan Laha	6728	917322	182719289372	9830100291
Lata Kala	8912	827183	918291204829	7189203928
Nand Prabhu	6617	372912	127837291021	8892021892

- Customer Instance
- Account Instance

Account #	Account Type	Interest Rate	Min. Bal.	Balance
917322	Savings	4.0%	5000	7812
372912	Current	0.0%	0	291820
827183	Term Deposit	6.75%	10000	100000

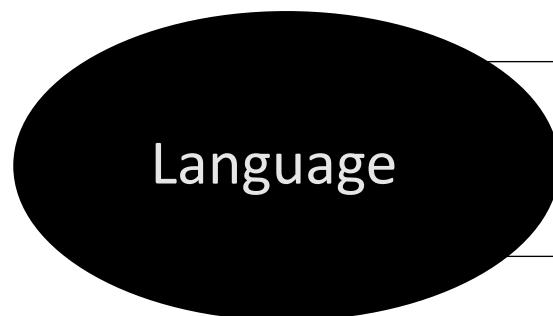
instance may be added/removed and the schema remains the same, vice versa is NOT true

# Data Models

- A collection of tools for describing
  - Data
  - Data relationships
  - Data semantics
  - Data constraints

used during  
the planning  
and designing  
phase of a  
Database System  
as it's used to  
define a high level  
view of the data  
entities and the  
relationships b/w  
them.

- Relational model (data stored in various tables)
- Entity-Relationship data model
- Object-based data models (flat, atomic values)
- Network model
- Hierarchical model
- Recent models for Semi-structured or Unstructured data



Pure

Relational algebra

Tuple relational calculus

Domain relational calculus

Commercial — SQL ( $\subseteq$  EQUEL)

## **DDL(data definition language)**

- Specification notation to define the database schema
- Ex- create table, drop table, alter table
- It generates a set of table templates stored in a data dictionary

## **DML (data manipulation language)**

- Language to manipulate and access data
- Ex- insert, update, delete
- It is also known as query language

## **SQL(Structured query language)**

- Most widely used commercial language
- It is not a turning machine equivalent language ( it means all C programs cannot run in SQL but vice versa is true.)

insert into table-name values ('x', 'y', 'z') -- default order  
insert into table-name (A<sub>2</sub>, A<sub>1</sub>, A<sub>3</sub>) values (y, 'x', 'z') -- specifying the order



Logical design

Physical design

- What attributes to record?
  - What relation schemas should we have?
  - How should the attributes be distributed?
- Decides the physical layout of the database

## **XML(Extensible Markup Language):**

- defined by www consortium(W3C).
- Has ability to specify new tags and create nested tag structures.
- used for sharing data over different systems over the web .

# Database engine

## Storage management

- interface between the low-level data stored in the database and the application programs and queries submitted to the system.
- Interacts with OS file manager.
- Stores, retrieves and updates data.

## Query processing

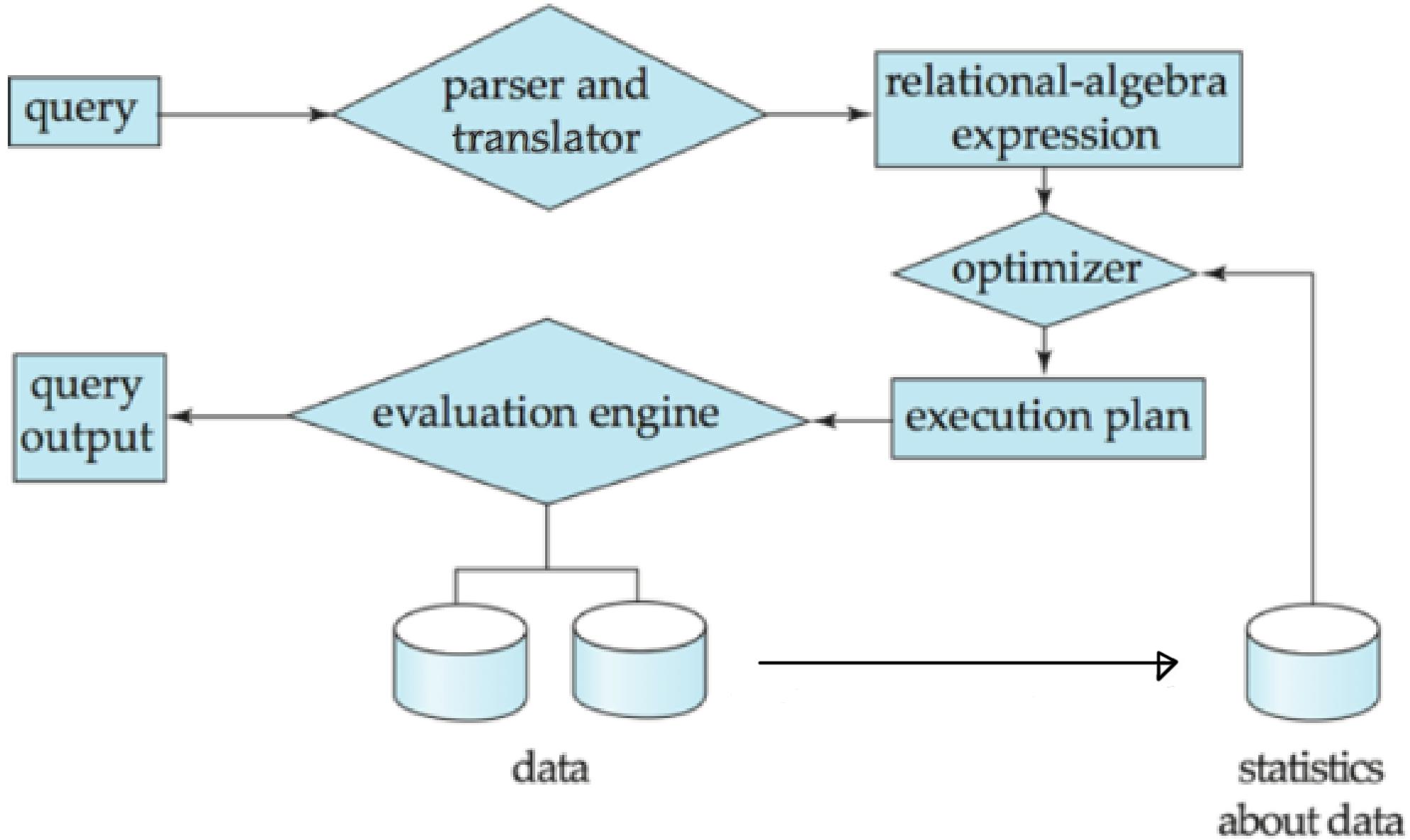
- Parsing and translation
- Optimization
- Evaluation

It helps in estimating the cost of operation.

## Transaction management

A transaction is a collection of operations that performs a single logical function in a database application

- **Transaction-management component:** ensures that the database remains in a consistent state despite system failures and transaction failures.
- **Concurrency-control manager:** controls the interaction among the concurrent transactions, to ensure the consistency of the database.



- Domain Types of Attributes :

- `char(n)` → fixed memory space
- `varchar(n)` → variable memory space
- `date`
- `int`
- `float(n)`
- `numeric(p,d)`
- :

(Not null + Unique) ↗

- Constraints :

- Null / Not null
- Unique
- Check
- Primary key
- Foreign key

referencing to  
different attributes .

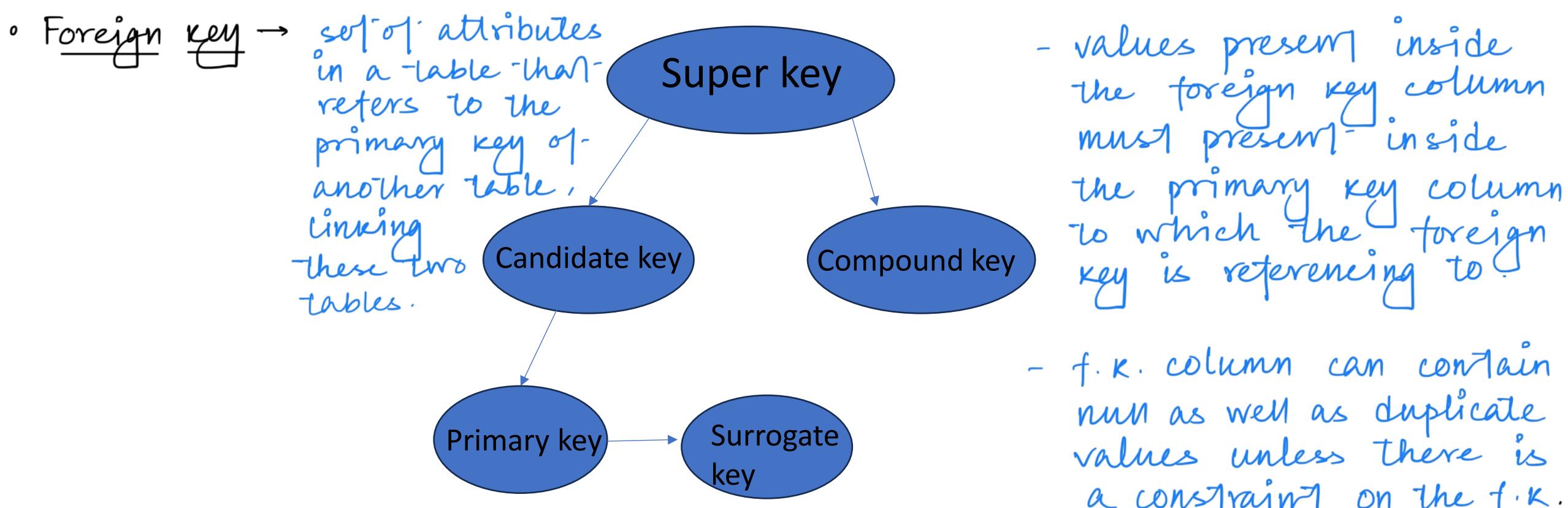
# WEEK 2 DBMS

- relation is a set,  
ordering of tuples is  
inconsequential and all  
tuples must be distinct.

attributes
tuple
tuple
:

relation ↗

- attribute values are normally required to be atomic .
- set of allowed values for each attribute is called the Domain of the attribute .
- Null is a member of every domain, indicates that the value is unknown .



Composite key

Any key which has more than one attribute. Example- {orderId, productId} is a primary composite key. \*two primary keys needed to uniquely identify\*

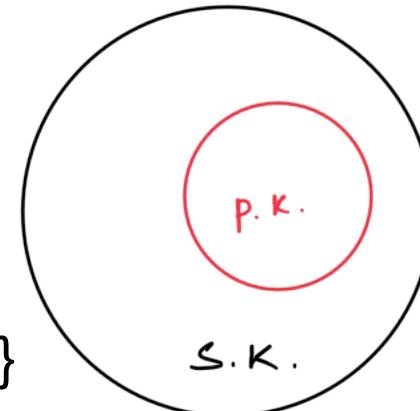
simple key

Any key which identifies a tuple uniquely with just a single attribute.

Secondary key

**Super key:** set of one or more attributes which can uniquely identify a tuple. It should have the primary key and then it can include the keys which doesn't determine uniqueness. Example: {empID}, {empID, empName}, {empID, empName, empPhone}

P. K.



**Candidate key:** minimal super key. Example: if {empID} and {empEmail} is individually a candidate key, then {empID, empEmail} can't be candidate key.

**Primary key:** a candidate key which isn't null. {empID}

**Secondary key:** non unique key which forms indices.

ex: empName

**Compound key:** set of multiple attributes which can individually be a candidate key of other tables.

**Surrogate key:** any key which can be system generated. Example: {rollno}

# Relational Operators

- $\sigma$  - Select → tuples (or rows)
- $\pi$  - Project → attributes (or columns)
- $\neg$  - Negation (not)
- $\wedge$  - AND
- $\vee$  - OR
- $\cup$  - Union → relations must have same no. of attributes and corresponding attributes have the same domain .
- $\cap$  - Intersection → 'Union compatibility' (must) .
- $\times$  - Cartestion Product
- $-$  - Set Difference → 'Union compatibility' (must) .
- $\bowtie$  - Natural Join

- Relation  $r$

$A$	$B$	$C$	$D$
$\alpha$	$\alpha$	1	7
$\alpha$	$\beta$	5	7
$\beta$	$\beta$	12	3
$\beta$	$\beta$	23	10

Selects **rows**  
where  $A=B$  and  $D$   
is greater than 5

- $\sigma_{A=B \wedge D>5}(r)$

(Relational Algebra)

$A$	$B$	$C$	$D$
$\alpha$	$\alpha$	1	7
$\beta$	$\beta$	23	10

- Relation  $r$

$A$	$B$	$C$
$\alpha$	10	1
$\alpha$	20	1
$\beta$	30	1
$\beta$	40	2

Selects only A  
and C  
**columns** and  
remove  
duplicates

- $\pi_{A,C}(r)$

(Relational Algebra)

$A$	$C$
$\alpha$	1
$\alpha$	1
$\beta$	1
$\beta$	2

$$\rightarrow \quad = \quad \begin{array}{|c|c|} \hline A & C \\ \hline \alpha & 1 \\ \hline \beta & 1 \\ \hline \beta & 2 \\ \hline \end{array}$$

after removing the duplicates

**UNION**- takes all the values of both the tables especially when the tables have similar structures.

**SET DIFFERENCE**- example  $r - s$  takes the tuples of  $r$  which are not present in  $s$ , when they are union compatible.

**SET INTERSECTION**- takes the common tuples, when they are union compatible.

Note:  $r \cap s = r - (r - s)$

**CARTESIAN PRODUCT**- example  $r \times s$

Cartesian Product

Table One		Table two		Result Set	
X	A	X	B	1	a
1	a	2	x	1	3
4	d	3	y	1	5
2	b	5	v	4	d

3 rows      3 rows

**NATURAL JOIN** → removes duplicate attributes.

- Relations  $r, s$ :

A	B	C	D
$\alpha$	1	$\alpha$	a
$\beta$	2	$\gamma$	a
$\gamma$	4	$\beta$	b
$\alpha$	1	$\gamma$	a
$\delta$	2	$\beta$	b

$r$

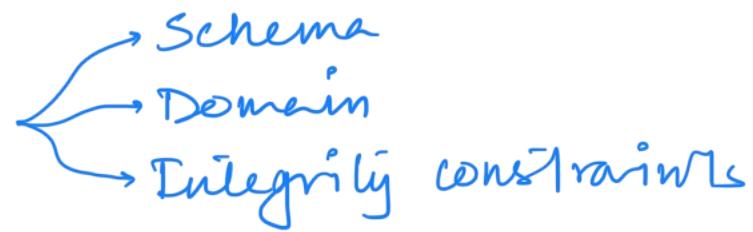
B	D	E
1	a	$\alpha$
3	a	$\beta$
1	a	$\gamma$
2	b	$\delta$
3	b	$\epsilon$

$s$

- $r \bowtie s$

A	B	C	D	E
$\alpha$	1	$\alpha$	a	$\alpha$
$\alpha$	1	$\alpha$	a	$\gamma$
$\alpha$	1	$\gamma$	a	$\alpha$
$\alpha$	1	$\gamma$	a	$\gamma$
$\delta$	2	$\beta$	b	$\delta$

# DDL



A language which is used to design the schema of the database and also modifies it.

- It is a part of SQL
- **Integrity constraints:** are rules that maintains the consistency of the data by enforcing some conditions on data values.

**attribute** ex - ID varchar(25) not null .  
**domain** DDL enforces or specifies the integrity constraints while defining or modifying the schema.  
**integrity constraint**.

- **Data dictionary:** contains data about data(metadata) stored in the database. It stores the data generated by DDL commands along with the integrity constraints.

-- we can add or drop attributes using ALTER .  
ALTER TABLE takes add phone\_num int  
ALTER TABLE takes drop phone\_num

## Create a Table

```
CREATE TABLE takes (
    ID varchar(5),
    course_id varchar(8),
    sec_id varchar(8),
    semester varchar(8),
    year_ numeric(4, 0),
    grade varchar(2),
    primary key (ID, course_id, sec_id, semester, year_),
    foreign key (ID) references student,
    foreign key (course_id, sec_id, semester, year_)references section
)
```

## DROP

```
DROP TABLE takes -- removes the table
```

(5)

\* in CAPITAL / in bold → SQL keyword.

## SELECT Clause (DQL command)

- DISTINCT - Selects all the distinct values
- \* - Selects all the attributes
- as - Renames the attribute
- TOP <n> - selects top n tuples

## WHERE Clause

Specifies conditions to retrieve data

WHERE i.course\_id=c.course\_id and i.dept\_name='Biology' and salary>40000

and ; or ; not - .

## FROM Clause (π)

Specifies the table name.

From instructor, course will result in a cross-join between two tables

-- selecting all attributes w/o \* .  
select all  
from relation r  
-- renaming can be done w/o AS .



# STRING OPERATION

- LIKE - Uses the pattern that are described in like condition and matches with the attribute
- % - matches any substring    %abc%  
                      %abc  
                      abc%
- '\_\_\_' matches any string of exactly three characters
- '\_\_\_%' matches any string of at least three characters

select \*  
from relation  
where attribute like '% abc %'

# WHERE Clause Predicates

**BETWEEN a and b**

```
select name  
from instructor  
where salary between 90000 and 100000
```

- Select the name of the instructor whose salaries between 90000 and 100000 (both are inclusive)

**IN** → allows us to specify multiple values in a where clause .

- Acts like shorthand operator for OR

```
select name  
from instructor  
where dept_name in ('Comp Sci', 'Biology')
```

# Set Operations → 'Union compatibility' (must).

or

- UNION and UNION ALL

and

- INTERSECT and INTERSECT ALL

bwt.  
not in

- EXCEPT and EXCEPT ALL

set difference

## Note

- UNION ALL , INTERSECT ALL and EXCEPT ALL retains the duplicate

\* Union , Intersect , Except removes duplicate.

## Group By

Aggregate function

attributes in select-clause outside of aggregate functions must appear in GROUP BY list.

```
SELECT dept_name, avg(salary)  
from instructor  
group by dept_name
```

## Having

```
select dept_name, avg(salary)  
from instructor  
group by dept_name  
having avg(salary) > 42000;
```

Note - the Order of SQL queries

→ DESC  
→ ASC (default)

SELECT, FROM, WHERE, GROUP BY, HAVING, ORDER BY, DISTINCT, LIMIT/OFFSET .

V

I

II

III

IV

VII

VI

VIII



- Null values → "unknown".
  - any comparison w/ null returns null .

```
select name, dept-name, total-cred  
from student-  
ORDER BY dept-name ASC, total-cred DESC  
LIMIT 5  
OFFSET 1
```

## DBMS WEEK 3

## Select distinct

building	room_number	capacity
Packard	101	500
Painter	514	10
Taylor	3128	70
Watson	100	30
Watson	120	50

Figure: *classroom* relation

o Query:

```
select distinct building  
from classroom  
where capacity < 100;
```

o Output :

building
Painter
Taylor
Watson

## Select all

o Query:

```
select all building  
from classroom  
where capacity < 100;
```

o Output:

building	room_number	capacity
Packard	101	500
Painter	514	10
Taylor	3128	70
Watson	100	30
Watson	120	50

Figure: *classroom* relation

building
Painter
Taylor
Watson
Watson

Select all and select will give the same result

## AS operation

```
select S.name as studentname, budget as deptbudget  
from student as S, department as D  
where S.dept_name = D.dept_name and budget <  
100000;
```

studentname	deptbudget
Brandt	50000.00
Peltier	70000.00
Levy	70000.00
Sanchez	80000.00
Snow	70000.00
Aoi	85000.00
Bourikas	85000.00
Tanaka	90000.00

Renames student relation as S and  
relation department as D

## Nested Subquery

A subquery is a select-from-where expression that is nested within another query.

### Some Clause ( $\exists \text{any}$ )

- $5 > \text{some}(0, 5, 6)$  - True
- $5 = \text{some}(0, 5, 6)$  - True

expression      comparison  
operator      some (subquery)

### All Clause

- $7 > \text{all}(0, 5, 6)$  - True
- $5 = \text{all}(0, 5, 6)$  - False

expression      comparison  
operator      all (subquery)

# IN operator

ID	course_id	sec_id	semester	year
10101	CS-101	1	Fall	2017
10101	CS-315	1	Spring	2018
10101	CS-347	1	Fall	2017
12121	FIN-201	1	Spring	2018
15151	MU-199	1	Spring	2018
22222	PHY-101	1	Fall	2017
32343	HIS-351	1	Spring	2018
45565	CS-101	1	Spring	2018
45565	CS-319	1	Spring	2018
76766	BIO-101	1	Summer	2017
76766	BIO-301	1	Summer	2018
83821	CS-190	1	Spring	2017
83821	CS-190	2	Spring	2017
83821	CS-319	2	Spring	2018
98345	EE-181	1	Spring	2017

Figure: *teaches* relation

- Query:

```
select course_id  
from teaches  
where semester in ('Fall', 'Spring')  
and year=2018;
```

- Output:

course_id
CS-315
FIN-201
MU-199
HIS-351
CS-101
CS-319
CS-319

IN also acts like or  
operator

will remove all the occurrences.  
Except \* Except all will remove only the occurrence(s) mentioned.

ID	name	dept_name	salary
10101	Srinivasan	Comp. Sci.	65000
12121	Wu	Finance	90000
15151	Mozart	Music	40000
22222	Einstein	Physics	95000
32343	El Said	History	60000
33456	Gold	Physics	87000
45565	Katz	Comp. Sci.	75000
58583	Califieri	History	62000
76543	Singh	Finance	80000
76766	Crick	Biology	72000
83821	Brandt	Comp. Sci.	92000
98345	Kim	Elec. Eng.	80000

Figure: *instructor* relation

o Query:

```
select name  
from instructor  
where dept_name in ('Comp. Sci.', 'Finance')  
except  
select name  
from instructor  
where salary < 90000 and salary > 70000;
```

o Output:

name
Srinivasan
Brandt
Wu

select name  
from instructor  
where dept\_name in ('Comp. Sci.', 'Finance')  
and (salary >= 90000 or salary <= 70000)

# ① AVG aggregate function

<i>building</i>	<i>room_number</i>	<i>capacity</i>
Packard	101	500
Painter	514	10
Taylor	3128	70
Watson	100	30
Watson	120	50

Figure: *classroom* relation

- Query:

```
select building, avg (capacity)
from classroom
group by building
having avg (capacity) > 25;
```

- Output:

<i>building</i>	avg
Taylor	70.00
Packard	500.00
Watson	40.00

## ② MIN aggregate function

<i>ID</i>	<i>name</i>	<i>dept_name</i>	<i>salary</i>
10101	Srinivasan	Comp. Sci.	65000
12121	Wu	Finance	90000
15151	Mozart	Music	40000
22222	Einstein	Physics	95000
32343	El Said	History	60000
33456	Gold	Physics	87000
45565	Katz	Comp. Sci.	75000
58583	Califieri	History	62000
76543	Singh	Finance	80000
76766	Crick	Biology	72000
83821	Brandt	Comp. Sci.	92000
98345	Kim	Elec. Eng.	80000

- Query:

```
select min(salary) as least_salary  
from instructor;
```

- Output:

<i>least_salary</i>
40000.00

Figure: *instructor* relation

### ③ MAX aggregate function

<i>ID</i>	<i>name</i>	<i>dept_name</i>	<i>tot_cred</i>
00128	Zhang	Comp. Sci.	102
12345	Shankar	Comp. Sci.	32
19991	Brandt	History	80
23121	Chavez	Finance	110
44553	Peltier	Physics	56
45678	Levy	Physics	46
54321	Williams	Comp. Sci.	54
55739	Sanchez	Music	38
70557	Snow	Physics	0
76543	Brown	Comp. Sci.	58
76653	Aoi	Elec. Eng.	60
98765	Bourikas	Elec. Eng.	98
98988	Tanaka	Biology	120

- Query:

```
select max(tot_cred) as max_credits  
from student;
```

- Output:

<i>max_credits</i>
120

Figure: *student* relation

## ④ COUNT aggregate function

\* all the aggregate functions except count ignore tuples w/ null values.

<i>course_id</i>	<i>sec_id</i>	<i>semester</i>	<i>year</i>	<i>building</i>	<i>room_number</i>	<i>time_slot_id</i>
BIO-101	1	Summer	2017	Painter	514	B
BIO-301	1	Summer	2018	Painter	514	A
CS-101	1	Fall	2017	Packard	101	H
CS-101	1	Spring	2018	Packard	101	F
CS-190	1	Spring	2017	Taylor	3128	E
CS-190	2	Spring	2017	Taylor	3128	A
CS-315	1	Spring	2018	Watson	120	D
CS-319	1	Spring	2018	Watson	100	B
CS-319	2	Spring	2018	Taylor	3128	C
CS-347	1	Fall	2017	Taylor	3128	A
EE-181	1	Spring	2017	Taylor	3128	C
FIN-201	1	Spring	2018	Packard	101	B
HIS-351	1	Spring	2018	Painter	514	C
MU-199	1	Spring	2018	Packard	101	D
PHY-101	1	Fall	2017	Watson	100	A

Figure: *section* relation

- Query:

```
select building,
count(course_id) as course_count
from section
group by building;
```

- Output:

<i>building</i>	<i>course_count</i>
Taylor	5
Packard	4
Painter	3
Watson	3

## 5

# SUM aggregate function

<i>course_id</i>	<i>title</i>	<i>dept_name</i>	<i>credits</i>
BIO-101	Intro. to Biology	Biology	4
BIO-301	Genetics	Biology	4
BIO-399	Computational Biology	Biology	3
CS-101	Intro. to Computer Science	Comp. Sci.	4
CS-190	Game Design	Comp. Sci.	4
CS-315	Robotics	Comp. Sci.	3
CS-319	Image Processing	Comp. Sci.	3
CS-347	Database System Concepts	Comp. Sci.	3
EE-181	Intro. to Digital Systems	Elec. Eng.	3
FIN-201	Investment Banking	Finance	3
HIS-351	World History	History	3
MU-199	Music Video Production	Music	3
PHY-101	Physical Principles	Physics	4

Figure: *course* relation

- Query:

```
select dept_name,  
       sum(credits) as sum_credits  
  from course  
group by dept_name;
```

- Output:

<i>dept_name</i>	<i>sum_credits</i>
Finance	3
History	3
Physics	4
Music	3
Comp. Sci.	17
Biology	11
Elec. Eng.	3

## EXISTS clause

```
select course_id  
from section as S  
where semester = 'Fall' and year = 2009 and  
exists (select *  
        from section as T  
        where semester = 'Spring' and year = 2010  
        and S.course_id = T.course_id);
```

Will show all courses which were taught in  
2009 and 2010 in fall and spring semester.

This should  
exist(be true) for  
the above query  
to execute

## NOT EXISTS clause

```
select distinct S.ID, S.name
from student as S
where not exists ( (select course_id
                     from course
                     where dept_name = 'Biology')
                   except
                   (select T.course_id
                     from takes as T
                     where S.ID = T.ID));
```

**WITH clause** : provides a way of defining a temporary relation whose definition is available only to the query in which the with clause occurs.

```
WITH AvgSalary AS ( SELECT AVG(salary) AS  
avg_salary  
FROM Salaries )
```

Shows the names of employees and their salaries if they get more than average salary.

```
SELECT e.employee_name, s.salary  
FROM Employees e JOIN Salaries s ON  
e.employee_id = s.employee_id WHERE s.salary >  
(SELECT avg_salary FROM AvgSalary);
```

## INSERTION (DML command)

- Add a new tuple to course

```
insert into course  
values ('CS-437', 'Database Systems', 'Comp. Sci.', 4);
```

- or equivalently:

```
insert into course (course_id, title, dept_name, credits)  
values ('CS-437', 'Database Systems', 'Comp. Sci.', 4);
```

- Add a new tuple to student with *tot\_creds* set to null

```
insert into student  
values ('3003', 'Green', 'Finance', null);
```

## Deletion (DML command)

a) *delete from instructor*  
-- delete all instructors

b) *delete from instructor*  
where dept\_name = 'Finance'  
-- delete all instructors  
-- from the Finance department

## UPDATES (DML command)

**update** *instructor*

```
    set salary = salary * 1.03  
    where salary > 100000;
```

**update** *instructor*

```
    set salary = salary * 1.05  
    where salary <= 100000;
```

Updates the salary by 3% or 5% based on given condition

\* **ON DELETE CASCADE** : If not mentioned, we can't delete from the parent table.

used to specify that when a row is deleted from the parent table, all rows in the child table that reference the deleted row should also be deleted.

# JOINS

**cross join:** cartesian product of rows.

**Inner join:** intersection (the duplicate column is present)

**Natural join:** intersection (the duplicate column is not present)

**Full outer join:** union (uses null values) (keys are explicitly defined)

**Left outer join:** set A + intersection of set A and B

**Right outer join:** set B + intersection of set A and B

**Natural full outer join:** union (keys are not explicitly defined)

**Self Join:** table is joined w/ itself .

- Students:

StudentID	Name
1	Alice
2	Bob
3	Charlie
4	Dave

SELECT Students.Name, Courses.CourseName FROM Students  
**INNER JOIN** Courses ON Students.StudentID = Courses.StudentID;

Name	CourseName
Alice	Math
Bob	Science

Select Students.Name, Courses.CourseName from Students **LEFT JOIN** Courses ON Students.StudentID = Courses.StudentID;

- Courses:

CourseID	StudentID	CourseName
101	1	Math
102	2	Science
103	5	History

Name	CourseName
Alice	Math
Bob	Science
Charlie	NULL
Dave	NULL

SELECT Students.Name, Courses.CourseName FROM Students **RIGHT JOIN** Courses ON  
 Students.StudentID = Courses.StudentID;

Name	CourseName
Alice	Math
Bob	Science
NULL	History

```
SELECT Students.Name, Courses.CourseName FROM Students FULL  
OUTER JOIN Courses ON Students.StudentID = Courses.StudentID;
```

Name	CourseName
Alice	Math
Bob	Science
Charlie	NULL
Dave	NULL
NULL	History

```
SELECT Students.StudentID, Students.Name, Courses.CourseID,  
Courses.CourseName FROM Students NATURAL JOIN Courses;
```

StudentID	Name	CourseID	CourseName
1	Alice	101	Math
2	Bob	102	Science

# VIEWS

- A view provides a mechanism to hide certain data from the view of certain users
- Any relation that is not of the conceptual model but is made visible to a user as a “virtual relation” is called a view.

- A view of instructors without their salary

```
create view faculty as  
    select ID, name, dept_name  
    from instructor
```

- Find all instructors in the Biology department

```
select name  
    from faculty  
    where dept_name = 'Biology'
```

- Create a view of department salary totals

```
create view departments_total_salary(dept_name, total_salary) as  
    select dept_name, sum (salary)  
    from instructor  
    group by dept_name;
```

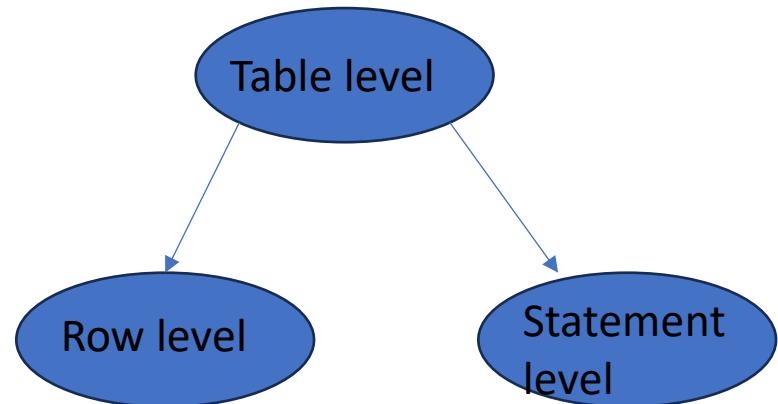
A view relation v1 is said to depend directly on a view relation v2 if v2 is used in the expression defining v1.

A view relation v is said to be recursive if it depends on itself.

A view relation v1 is said to depend on view relation v2 if either v1 depends directly to v2 or there is a path of dependencies from v1 to v2.

# TRIGGERS

- Automatic execution of specific action, that are performed in response to an insert, update or delete operation on a specified table.
- Executed before or after an action .
- Row level trigger: executed for each row
- Statement level trigger: executes for all rows only once.



- $\wedge, \vee$  part;
  - joining conditions;
  - what we're going to project.  
(projection)
- } for checking  
TRC and DRC  
expressions

# DBMS Week 4

## Division Operation

$X \div Y$  or  $X/Y$   
can be applied iff :

- attributes of  $Y \subseteq$  attributes of  $X$
- the relation returned will have attributes = (All attributes of  $X$  - All attributes of  $Y$ )
- Relations  $r, s$ :

A	B
a	1
a	2
a	3
$\beta$	1
$\gamma$	1
$\delta$	1
$\delta$	3
$\delta$	4
$\epsilon$	6
$\epsilon$	1
$\beta$	2

$r$

B
1
2

$s$

A
$\alpha$
$\beta$

$r \div s$

# Relational Algebra

→ output of RA expression is a set.

It's a procedural query language

- $\sigma$  - Select → rows (where clause)
- $\pi$  - Project → columns (select distinct)
- $\neg$  - Negation (not)
- $\cup$  - Union
- $\cap$  - Intersection
- $\times$  - Cartestion Product
- $-$  - Set Difference (Except)
- $\bowtie$  - Natural Join

## Example

1. Find all the names of students whose age is greater than 25, or who are enrolled in Maths

$$\pi_{Name}(\sigma_{Age < 25 \vee Subject = 'Maths'}(Students))$$

2. Find the name and sports of the student whose age is less than 25 and awards is greater than 3

$$\pi_{Name, Sports}(\sigma_{Age < 25 \wedge Awards > 3}(Students \bowtie Activity))$$

- Procedural  $\rightarrow$  [how - to - do]

## (Row) Tuple Relational Calculus

- TRC is a declarative non-procedural query language, where each query is of the form  
[what - to - do]

$$\{t \mid P(t)\}$$

where **t** = resulting tuples,

$P(t)$  = known as predicate and these are the conditions that are used to fetch t.

- Quantifiers:

$\exists \rightarrow$  "there exists"

$\forall \rightarrow$  "for all"

## Example

1. Find the name of the students whose age is 21

$\{t.name \mid \text{student}(t) \wedge t.age = 21\}$

or,  $\{t \mid \exists s \in \text{students}(t.name = s.name \wedge s.age = 21)\}$

$\rightarrow \{t.name \mid t \in \text{student} \wedge t.age = 21\}$

2. Find the name of the employees who works in department manufacturing

**employee**(*id*, name, salary)

**department**(*id*, d\_id, name, building)

$\{M \mid \exists E \in \text{employee} \exists D \in \text{department}(E.id = D.id \wedge D.name = 'Manufacturing' \wedge M.name = E.name)\}$

(Column)

## Domain Relational Calculus

*declarative*

- A non-procedural query language equivalent in power to the tuple relational calculus
- Each query is an expression of the form:

$$\{< x_1, x_2, \dots, x_n > \mid P(x_1, x_2, \dots, x_n)\}$$

- $x_1, x_2, \dots, x_n$  represent domain variables
  - P represents a formula similar to that of the predicate calculus
- \* the domain variables should be mentioned in the same order as given in the table .

## Example

1. Find the name of the students whose age is 21

**student(name, age, marks)**

$$\{< a > \mid \exists b (< a, b, c > \in \text{students} \wedge b = 21)\}$$

2. Find the name of the employees who works in department manufacturing

**employee(id, name, salary)**

**department(id, d\_id, name, building)**

$$\{< b > \mid \exists a, c, d (< a, b, c > \in \text{employee}) \wedge \exists y (< a, x, y, z > \in \text{department} \wedge y = 'Manufacturing')\}$$

# Entity Sets

- An **entity** is an object that exists and is distinguishable from object.
- An **entity set** is a set of entities of the same type that share the same properties

## Strong Entity set

- A strong entity set is an entity set that contains sufficient attributes to uniquely identify all its entities.
- A primary key exists for a strong entity sets

## Weak Entity Set

- A weak entity set is an entity set that does not contain sufficient attributes to uniquely identify its entities.
- A primary does not exist for a weak entity set
- However, it contains a partial key called as a **discriminator**
- **Discriminator** represented by underlining with a dashed line.

## Weak Entity set (continued)

- Weak entity set cannot exist independently since it doesn't have primary key
- It features in the model in relationship with a strong entity set. This is called the **identifying relationship**
- Primary key of weak entity set = Discriminator + Primary key of Strong entity set
- It must have **total participation** and **identifying relationship**

# Attributes

- An attribute is a property associated entity set.

## Types of attributes

- Simple attribute
- Composite attribute - Eg - fname, mname, lname can consist in a name
- Multivalued attribute - Eg - {phone\_numbers}
- Derived attribute - Eg - age() from date of birth

## Example

instructor	
<u>ID</u>	
name	
first_name	
middle_initial	
last_name	
address	
street	
street_number	
street_name	
apt_number	
city	
state	
zip	
{ phone_number }	
date_of_birth	
age( )	

ID → Primary key (underlined)

name → Composite attribute

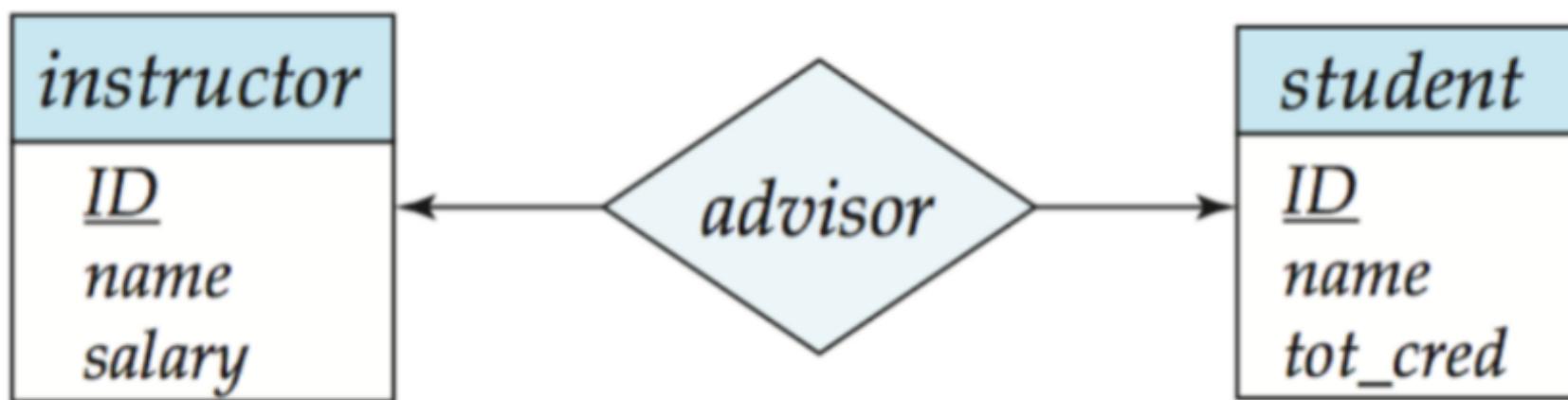
address → Composite attribute

phone-number → Multivalued attribute

age → Derived attribute

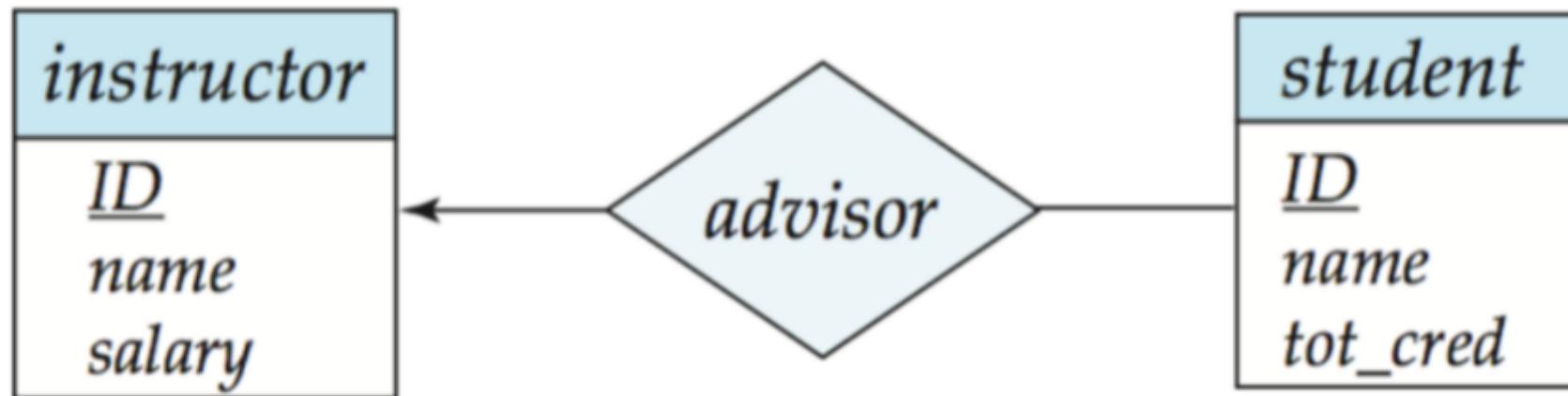
# One to One relationship

- Each instructor has atmost one student
- Each student has atmost one instructor



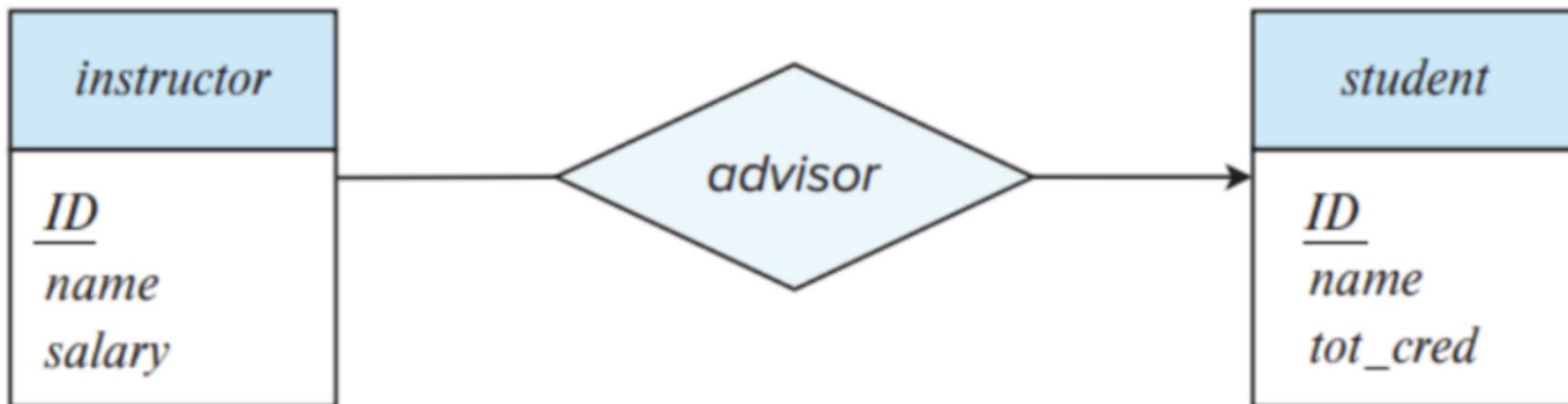
# One to Many relationship

- Each instructor has one or many students
- Each student has at most one instructor



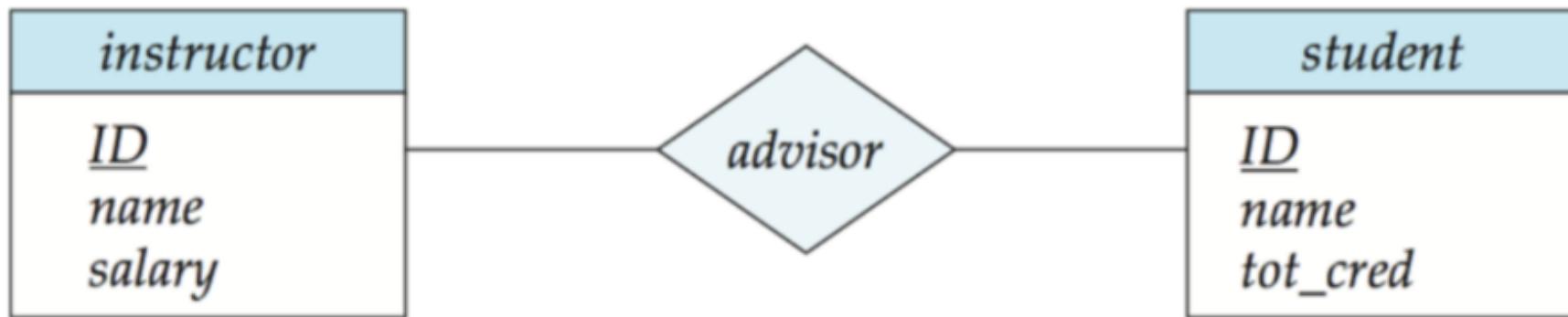
## Many to one relationship

- Each instructor has at most one student
- Each student has one or many instructors



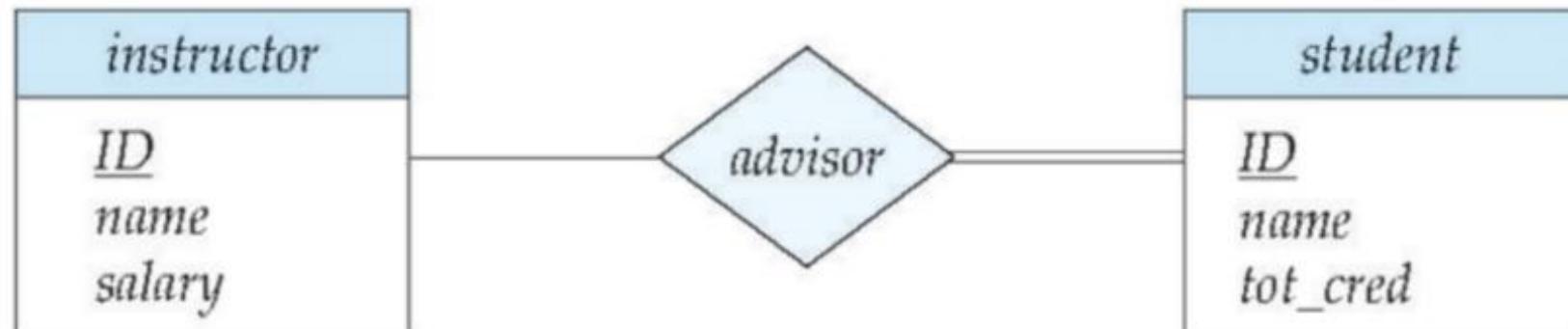
# Many to Many relationship

- Each instructor has one or many students
- Each student has one or many instructors

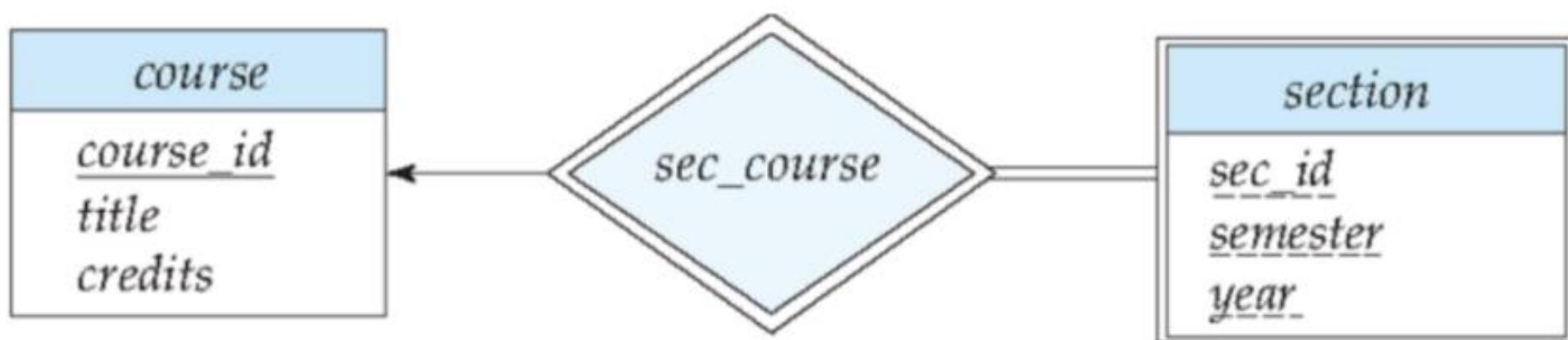


## Total and Partial Participation

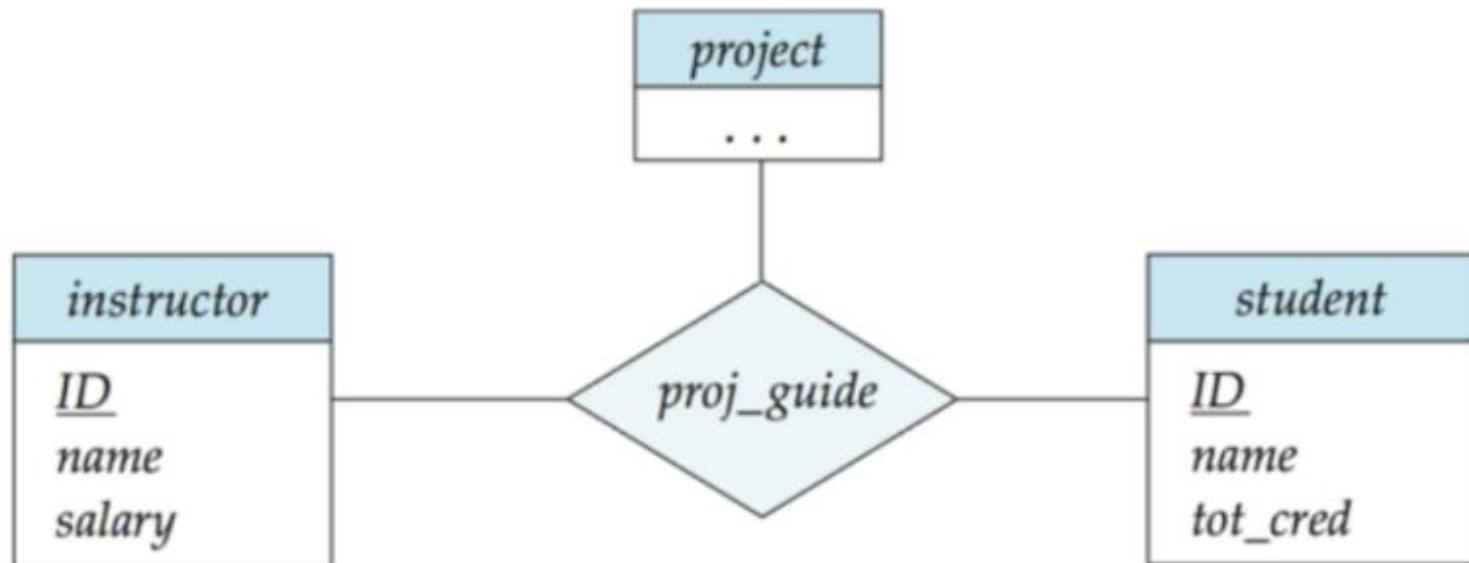
- Every student must have an instructor
- Instructor may or may not have a student



## Expressing weak entity set



# Ternary Relationship



# Aggregation

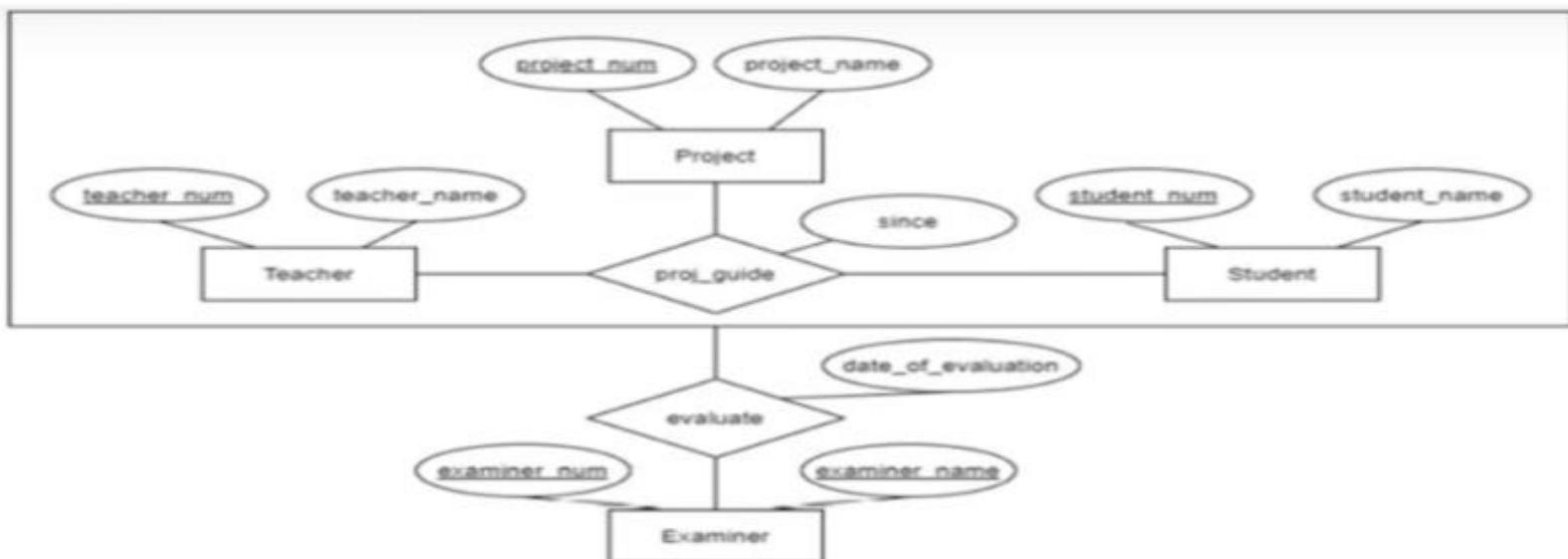


Figure: Example of Aggregation

- **Teacher** {teacher\_num, teacher\_name }
- **Student** {student\_num, student\_name }
- **Project** {project\_num, project\_name }
- **Examiner** {examiner\_num, examiner\_name }
- **proj\_guide** {teacher\_num, student\_num, project\_num, since }
- **evaluate** {teacher\_num, student\_num, project\_num, examiner\_num, date\_of\_evaluation }

## Overlapping and Partial

- A person can be either student or faculty or both.
- There may be some persons who are just persons not belongs to faculty and students

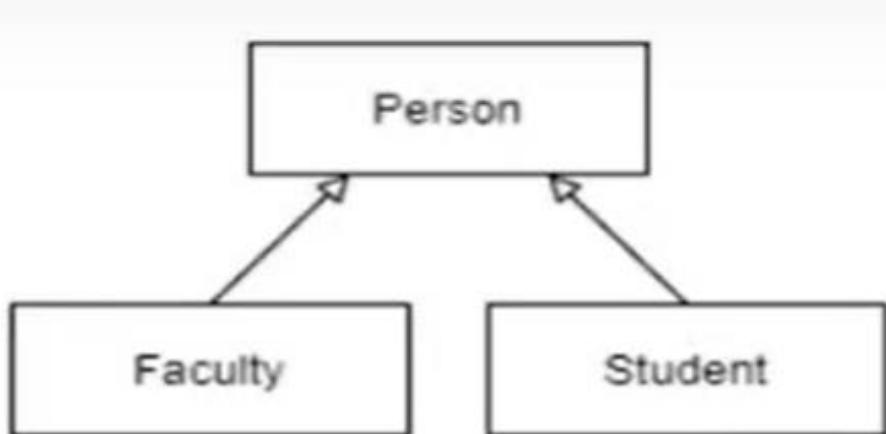
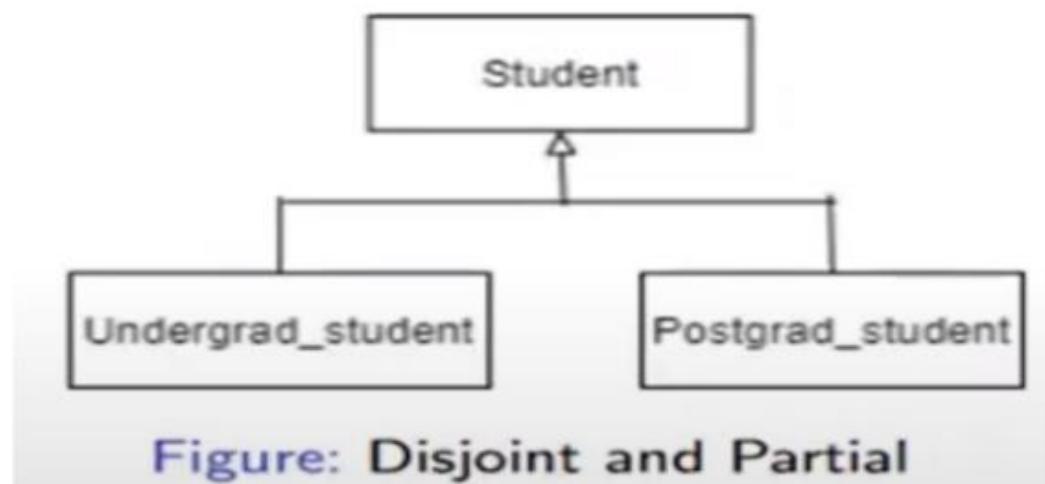


Figure: Overlapping and Partial

## Disjoint and Partial

- A Student can be either UG students or PG students but they cannot be both UG and PG students
- There may be students who are just students not belongs both UG and PG students



## Disjoint and Complete

- Every Part must be present in either Purchased part or Manufactured part

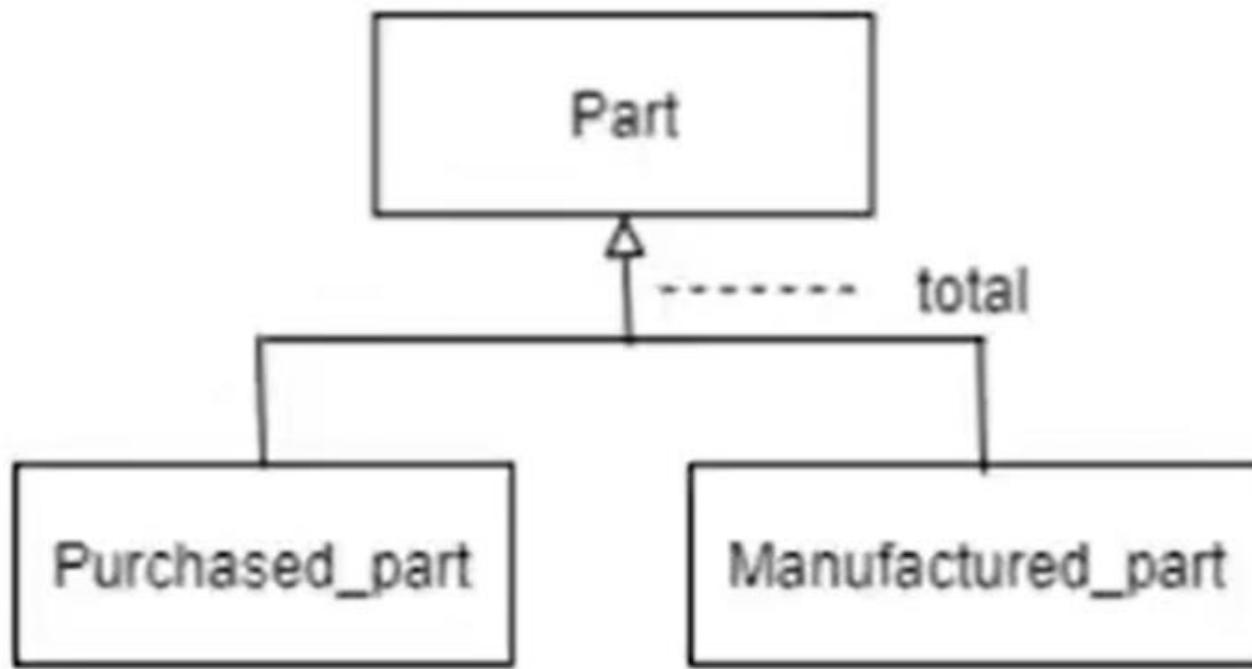


Figure: Disjoint and Complete

# Strong Entity Set

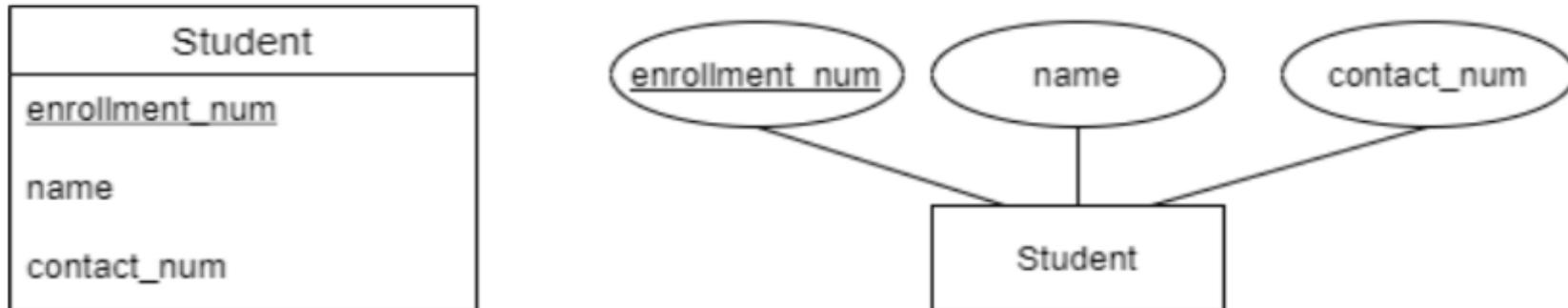


Figure: Strong entity with simple attributes

**Student**{enrollment\_num, name, contact\_num}

<b>enrollment_num</b>	<b>name</b>	<b>contact_num</b>
101	RAJ KUMAR MISHRA	222-222
102	SANAT K ROY	333-333

Students table

## Strong entity set with composite key

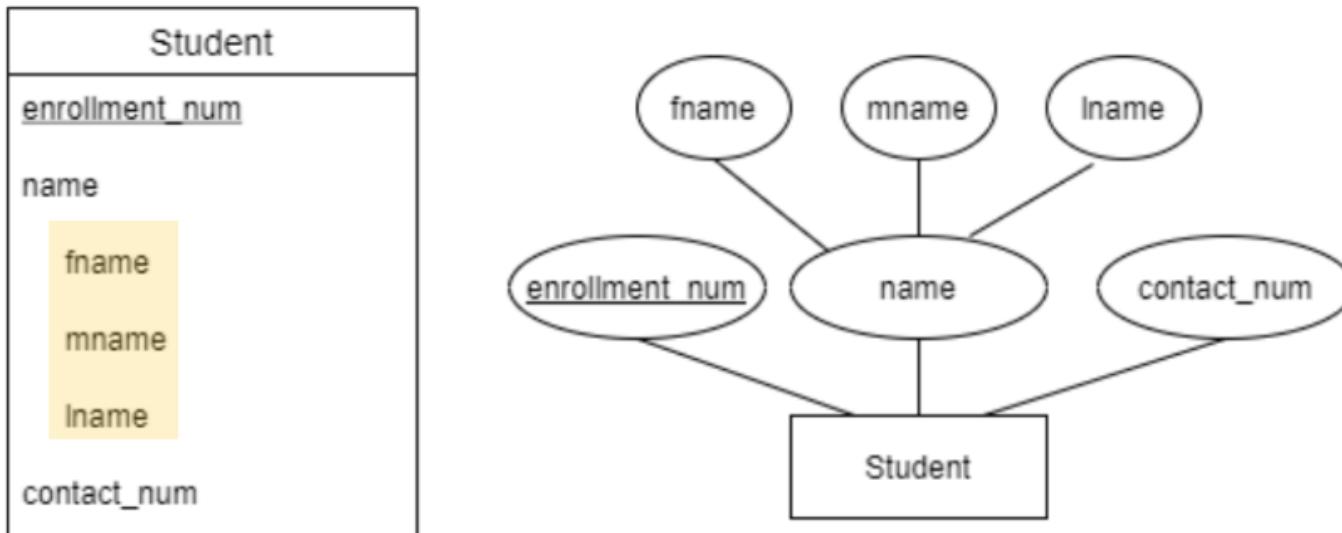


Figure: Entity set **Student** with simple and composite attributes

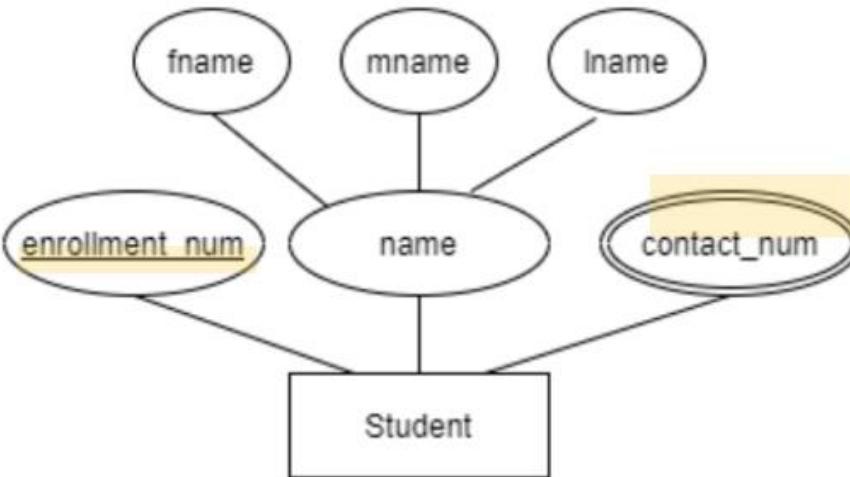
**Student**{enrollment\_num, **fname**, **mname**, **lname**, **contact\_num**}

<b>enrollment_num</b>	<b>fname</b>	<b>mname</b>	<b>lname</b>	<b>contact_num</b>
101	RAJ	KUMAR	MISHRA	222-222
102	SANAT	K	ROY	333-333

Students table

# Strong entity set with composite key and multivalued attribute

Student
<u>enrollment_num</u>
name
fname
mname
lname
{contact_num}



**Student**{enrollment\_num, fname, mname, lname, contact\_num}

enrollment_num	fname	mname	lname	contact_num
101	RAJ	KUMAR	MISHRA	222-222, 777-777
102	SANAT	K	ROY	333-333, 999-999, 666-666

Figure: Table **Student**

It is better to decompose these kinds of tables into multiple tables to avoid redundancy and inconsistency

**Student**{enrollment\_num, fname, mname, lname, **contact\_num**}

<b>enrollment_num</b>	<b>fname</b>	<b>mname</b>	<b>lname</b>	<b>contact_num</b>
101	RAJ	KUMAR	MISHRA	222-222
101	RAJ	KUMAR	MISHRA	777-777
102	SANAT	K	ROY	333-333
102	SANAT	K	ROY	999-999
102	SANAT	K	ROY	666-666

Figure: Table **Student**

OR

**Contacts**{enrollment\_num, **contact\_num**}

**Student**{enrollment\_num, fname, mname, lname }

<b>enrollment_num</b>	<b>fname</b>	<b>mname</b>	<b>lname</b>
101	RAJ	KUMAR	MISHRA
102	SANAT	K	ROY

Figure: Table **Student**

<b>enrollment_num</b>	<b>contact_num</b>
101	222-222
101	777-777
102	333-333
102	999-999
102	666-666

Figure: Table **Student**

# Strong entity set with composite key, multivalued attribute and derived attribute

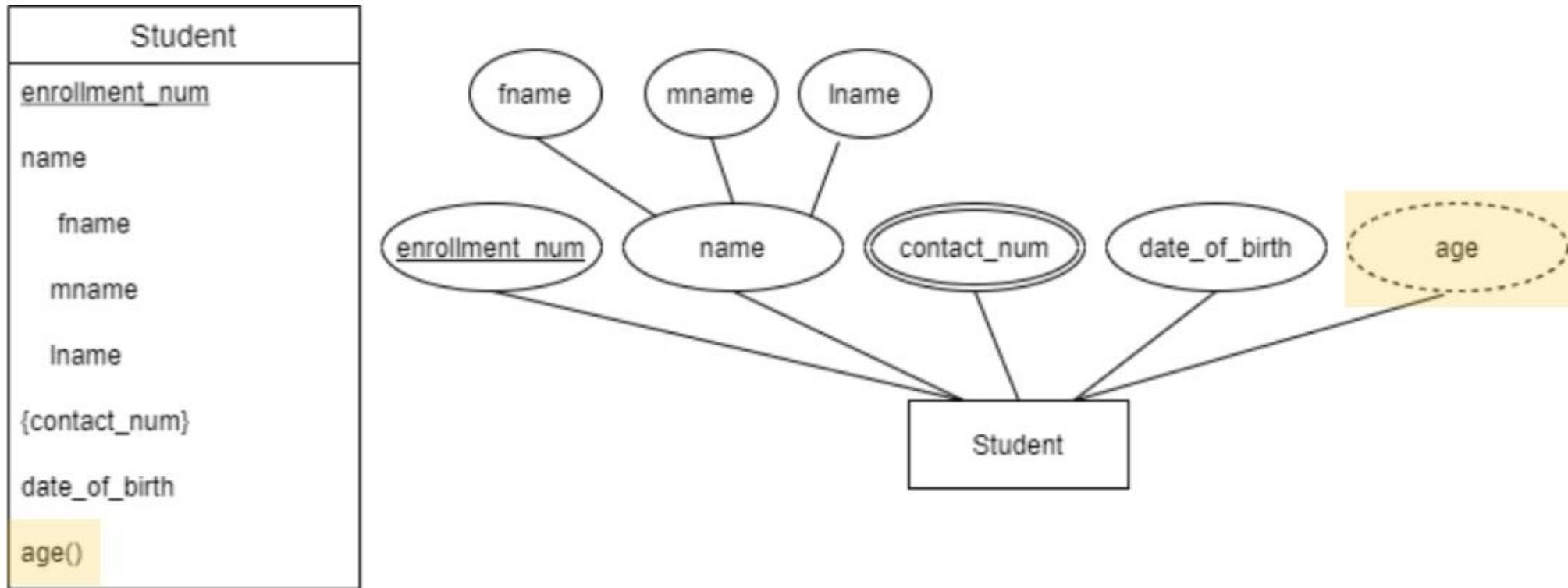


Figure: Entity set **Student** with simple, composite, multivalued attributes, and derived attribute

**Student**{enrollment\_num, fname, mname, lname, date\_of\_birth }

**Contacts**{enrollment\_num, contact\_num}

# Relationship: Cardinality Constraint (many-to-many)

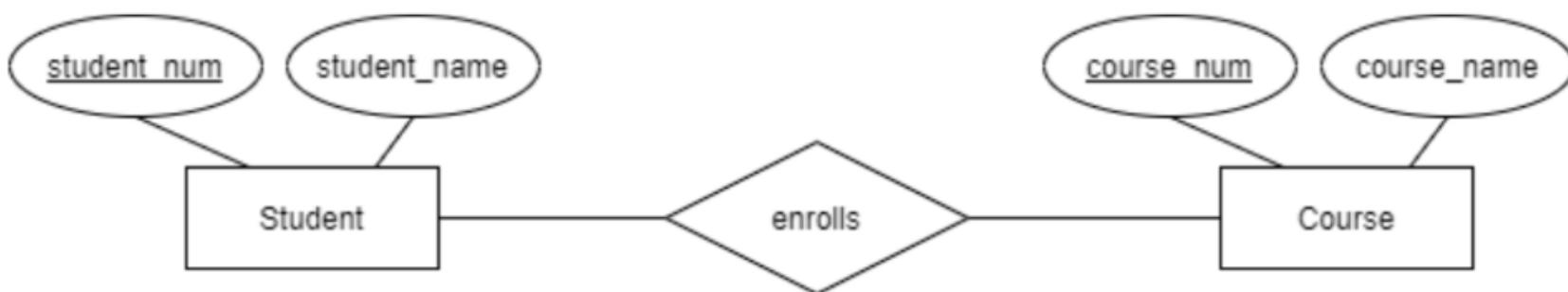


Figure: A many-to-many relationship between **Student** and **Course**

- **Student**{student\_num, student\_name}
- **Course**{course\_num, course\_name}
- **enrolls**{ student\_num, course\_num }

<b>student_num</b>	<b>student_name</b>
101	RAJ
102	SANAT

**Student**

<b>student_num</b>	<b>course_num</b>
101	CS101
102	CS101
102	MT110

**enrolls**

<b>course_num</b>	<b>course_name</b>
CS101	Computer Science
MT110	Mathematics

**Course**

Figure: Table: **Student**, **Course** and **enrolls**

# Relationship: Cardinality Constraint (many-to-many) with Descriptive Attributes

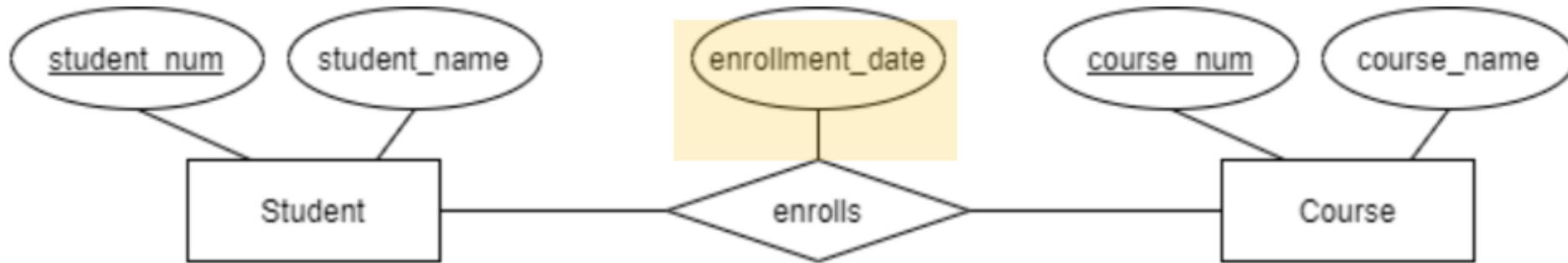


Figure: A many-to-many relationship between **Student** and **Course**

- **Student**{student\_num, student\_name}
- **Course**{course\_num, course\_name}
- **enrolls**{ student\_num, course\_num, enrollment\_date }

# Relationship: Cardinality Constraint (many-to-one)

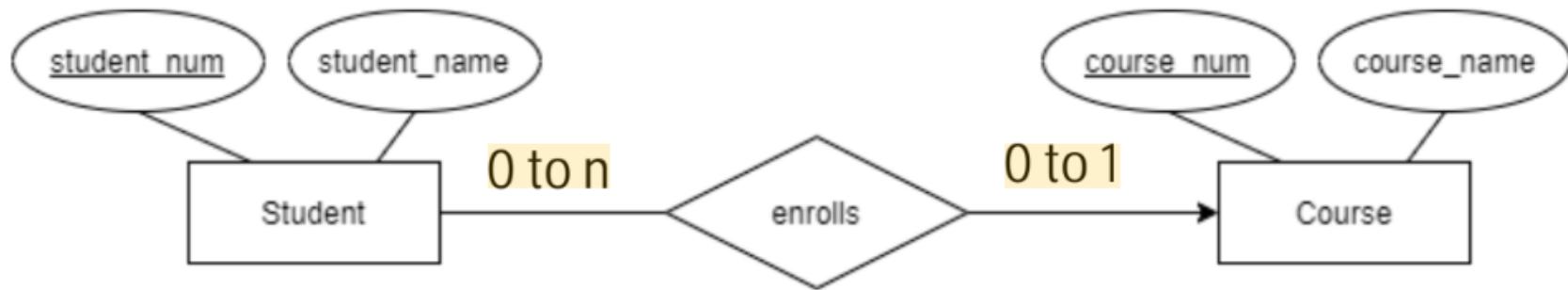


Figure: An many-to-one relationship between **Student** and **Course**

- **Student**{student\_num, student\_name, **course\_num**}
- **Course**{course\_num, course\_name}      must be nullable

The primary key of one becomes a attribute of many.

Course\_num(primary key of one) becomes attribute of student(many)

# Relationship: Cardinality Constraint (one-to-one)



Figure: An **one-to-one** relationship between **Department** and **Manager**

- **Department**{dept\_num, dept\_name}
- **Manager**{mgr\_num, mgr\_name, dept\_num}

OR

- **Department**{dept\_num, dept\_name, mgr\_num}
- **Manager**{mgr\_num, mgr\_name }

# Relationship: Cardinality Constraint (many-to-one) with Participation Constraint

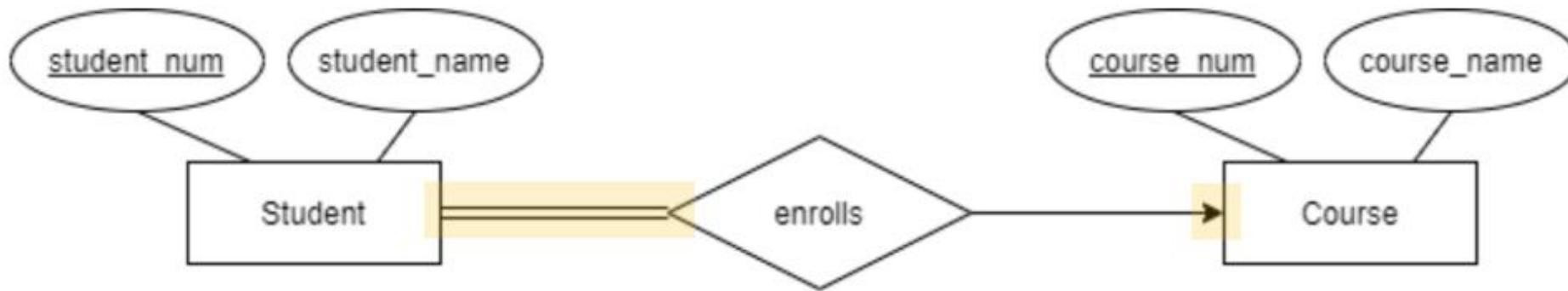


Figure: An many-to-one relationship between **Student** and **Course**

not null

- **Student**{student\_num, student\_name, **course\_num**}
- **Course**{course\_num, course\_name}

# Relationship: Cardinality Constraint (one-to-one) with Participation Constraint



Figure: A one-to-one relationship between **Manager** and **Department**

- **Mgr\_Dept**{*mgr\_num, dept\_num, mgr\_name, dept\_name* }

# Weak Entity Set

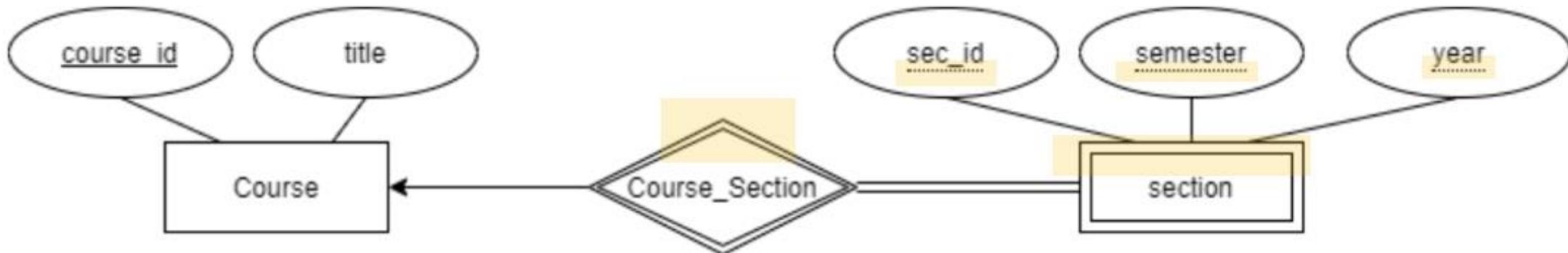


Figure: A relationship between **Course** and **Section**

- **Course**{course\_id, title }
- **Section**{course\_id, sec\_id, semester, year }

# Ternary relationship

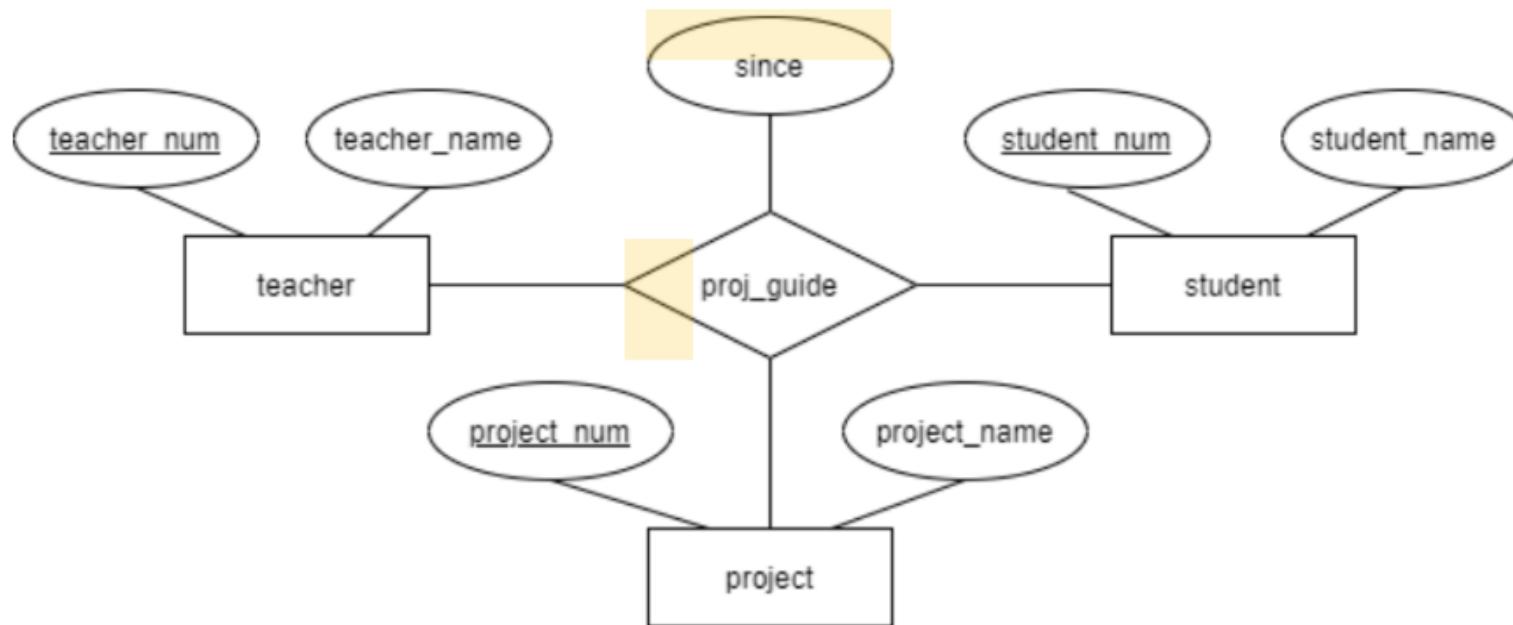


Figure: Example of ternary relationship

- **teacher**{teacher\_num, teacher\_name }
- **student**{student\_num, student\_name }
- **project**{project\_num, project\_name }
- **proj\_guide**{teacher\_num, student\_num, project\_num, since }

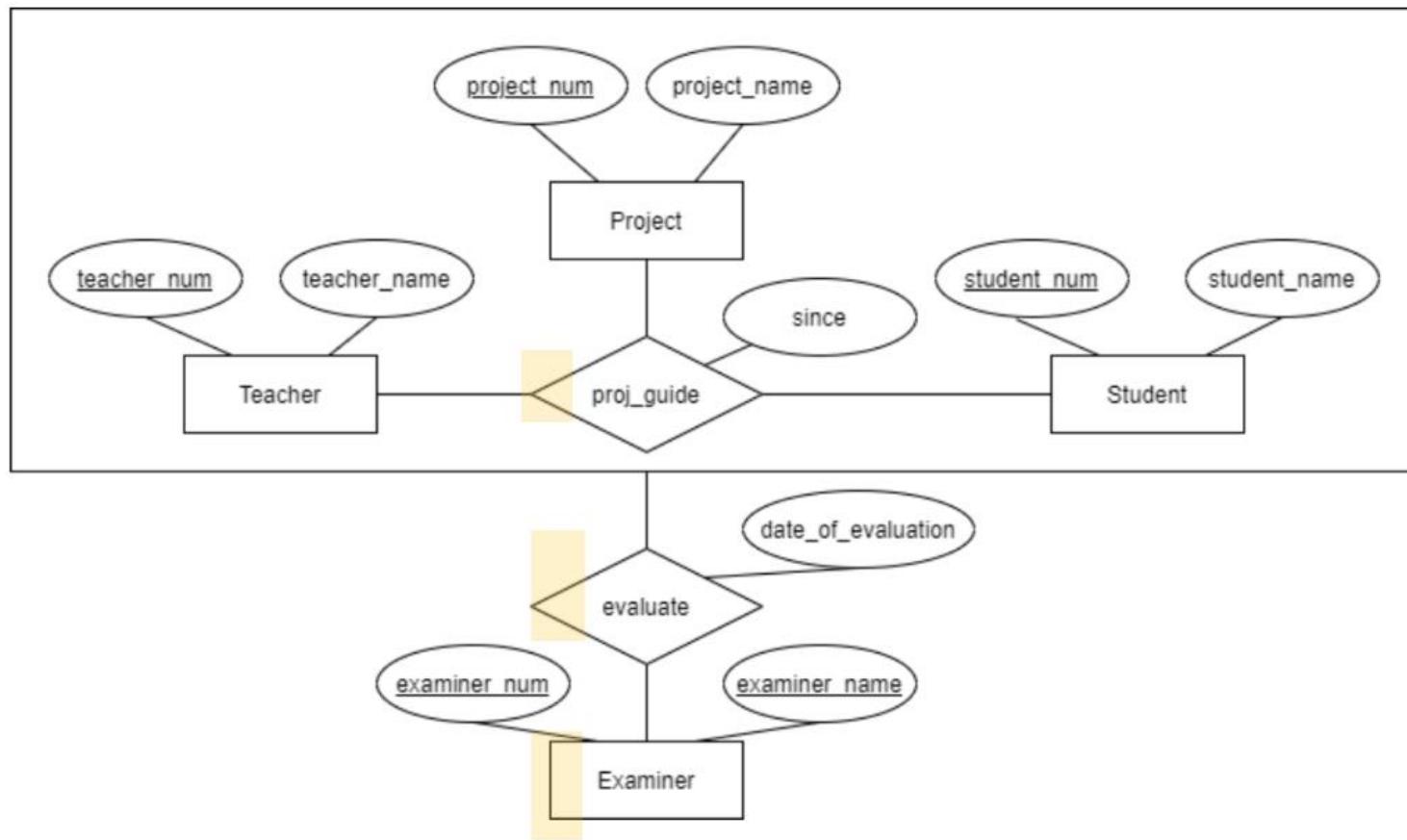


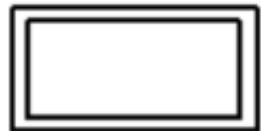
Figure: Example of Aggregation

- **proj\_guide**{ teacher\_num, student\_num, project\_num, since }
- **Examiner**{ examiner\_num, examiner\_name }
- **evaluate**{ teacher\_num, student\_num, project\_num, examiner\_num, date\_of\_evaluation }

# E-R diagrams symbols



entity class



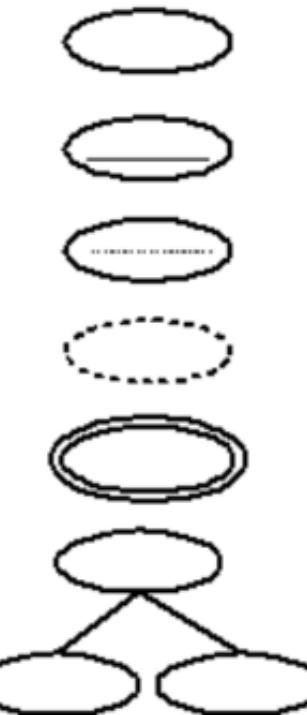
weak entity class



relationship type



identifying relationship type



attribute

key attribute

discriminator (partial key) attribute

derived attribute

multivalued attribute

composite attribute

# DBMS Week 5

## Redundancy

- Having multiple copies of same data in the database
- It leads to anomalies

## Anomaly

- Inconsistencies that can arise due to data changes in a database with insertion, deletion, and update

### Types of Anomalies

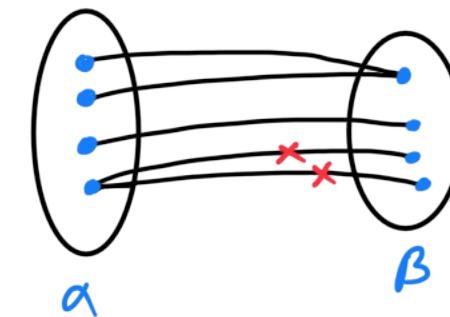
- Insertions Anomaly
- Deletion Anomaly
- Update Anomaly

# Redundancy and Anomaly

- Redundancy  $\implies$  Anomaly
- Dependency  $\implies$  Redundancy
- Good Decomposition  $\implies$  Minimization of Dependency
- Normalization  $\implies$  Good Decomposition

Decomposition →  
process of breaking  
down the database  
into smaller tables.

Normalization →  
systemic approach to  
organize data in the  
database.



# Functional Dependencies

- It is a relationship between two sets of attributes.
- Require that the value for a certain set of attributes determines uniquely the value for another set of attributes
- Let  $R$  be a relational schema,  $\alpha \subseteq R$  and  $\beta \subseteq R$   $\alpha$  determines  $\beta$
- The functional dependencies or FD is  $\alpha \rightarrow \beta$  holds on  $R$  if and only if for any legal relations  $r(R)$ , whenever any two tuples  $t_1$  and  $t_2$  of  $r$  agree on the attributes  $\alpha$ , they also agree on the attributes  $\beta$ .

$$t_1[\alpha] = t_2[\alpha] \implies t_1[\beta] = t_2[\beta]$$

## Example

StudentID	Semester	Lecture	TA
1234	6	Numerical methods	John
1221	4	Numerical methods	Smith
1234	6	Visual computing	Bob
1201	2	Numerical methods	Peter
1201	2	Physics II	Simon

- $\text{StudentID} \rightarrow \text{Semester}$  ✓
- $\{\text{StudentID}, \text{Lecture}\} \rightarrow \text{TA}$  ✓
- $\{\text{StudentID}, \text{Lecture}\} \rightarrow \{\text{TA}, \text{Semester}\}$  ✓

# Trivial Dependencies

- A functional dependency is trivial if it satisfies below condition
- $\alpha \rightarrow \beta$  is trivial if  $\beta \subseteq \alpha$

## Example

- ID, name  $\rightarrow$  ID
- name  $\rightarrow$  name

## Note

- Relationship between a set functional dependencies  $F$  and its closure  $F^+$
- $F \subseteq F^+$

# Armstrong's Axioms

- Reflexivity: if  $\beta \subseteq \alpha$ , then  $\alpha \rightarrow \beta$  Trivial
  - Augmentation: if  $\alpha \rightarrow \beta$ , then  $\gamma\alpha \rightarrow \gamma\beta$
  - Transitivity: if  $\alpha \rightarrow \beta$  and  $\beta \rightarrow \gamma$ , then  $\alpha \rightarrow \gamma$
- Additional Derived Rules →
- Union: if  $\alpha \rightarrow \beta$  holds and  $\alpha \rightarrow \gamma$  holds, then  $\alpha \rightarrow \beta\gamma$  holds
  - Decomposition: if  $\alpha \rightarrow \beta\gamma$  holds, then  $\alpha \rightarrow \beta$  holds and  $\alpha \rightarrow \gamma$  holds
  - Pseudotransitivity: if  $\alpha \rightarrow \beta$  holds and  $\gamma\beta \rightarrow \delta$  holds, then  $\alpha\gamma \rightarrow \delta$  holds
    - Augmentation  $\xrightarrow{\gamma} \gamma\alpha \rightarrow \gamma\beta$  (A)
    - Transitivity  $\alpha\gamma \rightarrow \beta ; \beta \rightarrow \delta \xrightarrow{\gamma} \alpha\gamma \rightarrow \delta$  (T)
- } RHS

# Closure of Attribute set

- The set of all those attributes which can be functionally determined from an attribute set is called as a closure of that attribute set.

## Example

Consider a  $R(A, B, C, G, H, I)$  and  $FD = \{A \rightarrow B, G \rightarrow CH, BC \rightarrow GI\}$

- $(AC)^+ = AC$
- $(AC)^+ = ACB$
- $(AC)^+ = ACBGI$
- $(AC)^+ = ACBGIH$

**Candidate Key** → or,  $\{ \text{roll no., name} \}$   
 $\{ \text{first-name, last-name} \}$  etc.

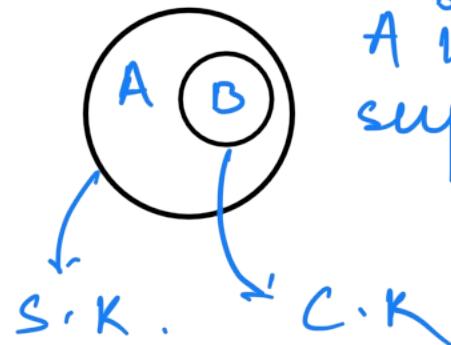
$\uparrow$  prime attributes  $\downarrow$

- $(\text{key})^+ = R$

- A set of minimal attributes that uniquely identifies a tuple/row

## Super Key

- Super set of any candidate key is Super Key



A is the  
superset of B.

## Prime Attribute

- Attributes that are present in the candidate key is prime attribute

## Note

- Every Candidate key is a Super Key,  $C.K \subseteq S.K$
- If closure of any attribute covers all the attributes in a relation, then it is a SuperKey and if it is minimal, then it is called Candidate key

• C.K.?  
 attribute not part  
 of the RHS of FDs,  
 must be part of  
 C.K.

attributes that are not part of C.K.  $\rightarrow$  'Non-Prime Attribute'

## Formula's to find maximum number of Super Keys

- • N attributes, only 1 candidate key(by single attribute) =  $2^{N-1}$
- N attributes, 1 candidate key formed  $k$  by attributes =  $2^{N-k}$
- N attributes, each attribute is a candidate key =  $2^N - 1$
  
- N attributes, 2 different candidate key(formed by one or many attribute)
  - Maximum number of super keys = (S.K with A1) + (S.K with A2) - (S.K with both A1 and A2)
  - Max no of Super Keys =  $2^{N-m} + 2^{N-n} - 2^{N-(m+n)}$

## Example

`studentInfo{enrollment_num, class, section, roll, name}.`

$\{enrollment\_num\}$  and  $\{class, section, roll\}$  are two possible candidate keys.

What is the maximum number of possible superkeys of  
studentInfo?

- Max no of Super Keys =  $2^{N-m} + 2^{N-n} - 2^{N-(m+n)}$

$$= 2^{5-1} + 2^{5-3} - 2^{5-(1+3)}$$

$$= 2^4 + 2^2 - 2^1$$

$$= 16 + 2 - 2$$

$$= 18$$

# Extraneous attribute (Redundant)

## Example for 1st condition (LHS)

- $F = \{A \rightarrow B, AB \rightarrow C\}$

Let's check B for is an extraneous attribute or not

Now,  $F' = \{A \rightarrow B, A \rightarrow C\}$

$$(AB - B)^+ = A^+ = ABC$$

We got B attribute after taking an closure.

∴ B is an extraneous attribute

## Example for 2nd condition (RHS)

- $F = \{A \rightarrow BC, AB \rightarrow CD\}$

Let's check C for is an extraneous attribute or not

Now,  $F' = \{A \rightarrow BC, AB \rightarrow D\}$

$$(AB)^+ = AB^+ = ABCD$$

We got C attribute after taking an closure.

∴ C is an extraneous attribute

# Canonical Cover / Minimal Cover

- A canonical cover is a set of functional dependencies that is equivalent to a given set of functional dependencies but is minimal in terms of the number of dependencies

## Steps to find an canonical cover

1. Write all single attributes on right hand side      *Decomposition*
2. Remove redundant functional dependencies by Armstrong axioms
3. Remove extraneous attributes

## Example

$$F = \{A \rightarrow BC, A \rightarrow B, B \rightarrow C, AB \rightarrow C\}$$

$$A \rightarrow B, A \rightarrow C$$

~~A~~ $\rightarrow$ ~~B~~ (by redundancy)

$$B \rightarrow C$$

~~A~~ $\rightarrow$ ~~BC~~ (by redundancy, extraneous attribute)

Canonical cover is  $A \rightarrow B, B \rightarrow C$

## Equivalence of Functional Dependency

1.  $F$  covers  $G$  -  $G \subseteq F^+$ 
    - All relation should satisfy on  $G$  while taking the closure of  $F$
  2.  $G$  covers  $F$  -  $F \subseteq G^+$ 
    - All relation should satisfy on  $F$  while taking the closure of  $G$
- 
- If it satisfies both  $F$  is equivalent to  $G$

## Example

$$F1 = \{P \rightarrow Q, Q \rightarrow R, R \rightarrow P\}$$

$$F2 = \{P \rightarrow R, Q \rightarrow R, R \rightarrow Q\}$$

Let's check F1 covers F2

### Taking Closure on F1

- $P^+ = PQR$
- $Q^+ = QRP$
- $R^+ = RPQ$

F1 covers F2 because by taking the closure on F1, all the relation satisfies on F2

## Example (continued)

$$F1 = \{P \rightarrow Q, Q \rightarrow R, R \rightarrow P\}$$

$$F2 = \{P \rightarrow R, Q \rightarrow R, R \rightarrow Q\}$$

Let's check F2 covers F1

Taking Closure on F2

- $P^+ = PQR$
- $Q^+ = QR$
- $R^+ = RQ$

F2 not covers F1 because by taking the closure on R, it's not able satisfy the dependency  $R \rightarrow P$  on F1

- # tuples and # columns in  $R_1$ ,  $R_2$ , and  $R$  must be same.

## Loseless Join Decomposition

- Original relation decomposed into smaller relations in such a way that it can be reconstructed through natural joins without any loss of information

\*

• $R_1 \bowtie R_2 = R$
• $R_1 \cap R_2 \neq \phi$
• $R_1 \cup R_2 = R$
• $R_1 \cap R_2 \rightarrow R_1 \text{ or } R_2$

→ there must be some common attribute.

→ that particular attribute should give me  $R_1$  or  $R_2$ .

must be a key of either  $R_1$  or  $R_2$ .

- If all the above conditions satisfies, then it's a loseless decomposition

( opp. lossy )

## Example

A relation  $R(A, B, C, D)$  with functional dependencies

$FD = \{A \rightarrow B, B \rightarrow C, C \rightarrow D\}$  is decomposed into three relations as follows:

$R1(A, B), R2(B, C), R3(C, D)$

- $R_1 \cup R_2 \cup R_3 = R$
- $R_1 \cap R_2 = B$
- $B^+ = BCD$  ( $R_2$  relation)
- Now,  $R_{12}(A, B, C)$
- $R_{12} \cap R_3 = C$
- $C^+ = CD$  ( $R_3$  relation)

# Dependency Preservation

- When a relation is decomposed, it is important to ensure that functional dependencies that held in original relation still maintained.
- It helps to prevent anomalies and ensures data integrity
- $F \subseteq F^+$ ,  $F^+$  should preserve all dependency in  $F$

## Note

- Lossless Decomposition and Dependency preservation are independent to each other.

## Example

A relation  $R(A, B, C, D)$  with functional dependencies

$FD = \{A \rightarrow B, B \rightarrow C, C \rightarrow D, D \rightarrow A\}$  is decomposed into three relations as follows:

$R1(A, B), R2(B, C), R3(C, D)$

$F_1 = \{A \rightarrow B, B \rightarrow A\}$  based on  $R_1$

$F_2 = \{B \rightarrow C, C \rightarrow B\}$  based on  $R_2$

$F_3 = \{C \rightarrow D, D \rightarrow C\}$  based on  $R_3$

## Example (Continued)

$$F^+ = F_1 \cup F_2 \cup F_3$$

$$F^+ = \{A \rightarrow B, B \rightarrow A, B \rightarrow C, C \rightarrow B, C \rightarrow D, D \rightarrow C\}$$

Now,  $D \rightarrow A$  is missing  $F^+$

Let's take  $D^+$  closure on  $F^+$

$$D^+ = DCBA$$

$\therefore D \rightarrow A$  dependency is preserved after decomposing it.

Lossless + dependency preserving  $\rightarrow$  3NF

## DBMS Week 6

- To check Normal Forms -
  - ① Find out C.K.s .
  - ② Find out P.A.s & N.P.A.s .

# Normalization and Normal Forms

- Normalization or Schema Refinement is a technique of organizing the data in the database
- Normalization is used for mainly two purpose:
  - Eliminating redundant (useless) data
  - Ensuring data dependencies
- A normal form specifies a set of conditions that the relational schema must satisfy in terms of its constraints

## 1NF (First Normal Form)

- All Domains should only have Atomic Values
- No Multivalued attributes

roll no. ✓  
name ↗ first-name  
          ↖ last-name ✘

### Example

SID	Sname	Cname
S1	A	C, C++
S2	B	C++, DB
S3	C	DB

- *Cname* is a multivalued attribute. So, the above table is not in 1NF

## 2NF (Second Normal Form)

Relation R is in Second Normal Form (2NF) only if

- R is in 1NF and
- R contains no Partial Dependency  
*(full dependency)*

### Partial Dependency

$A \rightarrow B$  is a Partial dependency only if

- A - Proper subset of Candidate Key
- B - Non Prime Attribute

P. A.  $\rightarrow$  N. P. A.  $\times$

*proper  
subset - of - C. K  $\rightarrow$  N. P. A.  $\times$   
(non-unique)*

$A \subset C. Key$

$B \notin C. Key$

# Example

CHECK WHETHER IT IS IN 2NF OR NOT

1NF

Consider a relation  $R(A,B,C,D,E,F,G,H)$ , where each attribute is atomic and the following functional dependencies hold:

$$\mathcal{F} = \{\underline{AB} \rightarrow CDE, D \rightarrow F, F \rightarrow GH, E \rightarrow AB\}$$

C. K.

$$(AB)^+ = ABCDEF GH$$

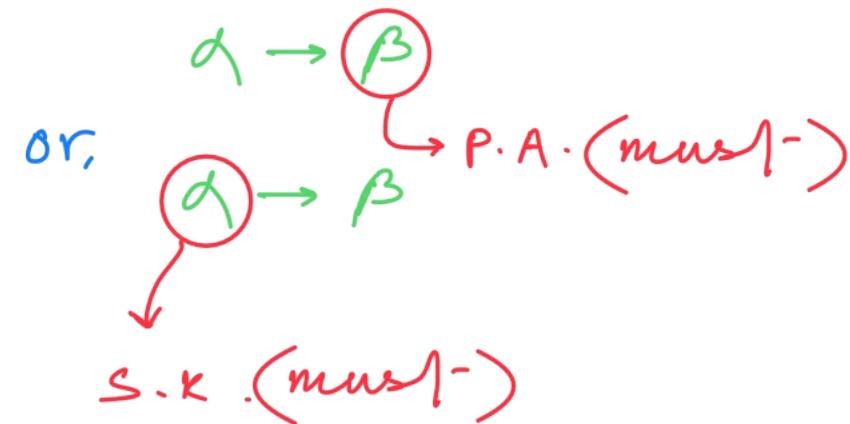
↳ no partial dependency

No transitive dependency  
 $NPA \rightarrow NPA$  ✗

## 3NF (Third Normal Form)

A relational schema R is in 3NF if for every FD  $A \rightarrow B$  associated with R either

- R is in 2NF and
- $B \subseteq A$  (Trivial FD) (or)
- A is a superkey of R (or)
- B is a prime attribute



### Note:

- 3NF preserves both dependency preservation and loseless join decomposition

# Example

Consider a relational schema  $\text{Contacts}(aadhaarNo, name, mobileNo, address)$ . Assume that all the attributes have atomic values. Which of the following functional dependencies is/are example(s) of the third normal form?

$$\mathcal{F} = \{aadhaarNo \rightarrow (name, address),\\ mobileNo \rightarrow aadhaarNo,\\ (name, address) \rightarrow mobileNo\}$$

$$\mathcal{F} = \{aadhaarNo \rightarrow name,\\ mobileNo \rightarrow aadhaarNo,\\ (name, address) \rightarrow aadhaarNo\}$$

$$\mathcal{F} = \{(aadhaarNo, name) \rightarrow address,\\ mobileNo \rightarrow name,\\ (name, aadhaarNo) \rightarrow mobileNo\}$$

$$\mathcal{F} = \{(aadhaarNo, name) \rightarrow (address, mobileNo),\\ mobileNo \rightarrow aadhaarNo,\\ name \rightarrow address\}$$

## BCNF (Boyce – Codd Normal Form)

A relational schema R is in BCNF if for every FD  $A \rightarrow B$  associated with R either

- R is in 3NF and
- A must be a superkey (or)
- $B \subseteq A$  (Trivial FD)

$$(\text{super key})^+ \rightarrow \text{N.P.A.} / \text{P.A.}$$

### Note:

- BCNF decomposition is loseless
- BCNF decomposition is may or may not be dependency preserving

## Example

Consider the relational schema  $R(U, V, W, X, Y, Z)$  where the domain of every attribute consists of atomic values. The set of functional dependencies for the relation  $R$  is given as follows:

$$\mathcal{F} = \{UV \rightarrow W, W \rightarrow X, X \rightarrow VY, Y \rightarrow Z, Z \rightarrow U\}$$

What is the highest normal form of the given relation  $R$ ?

## MVD (Multivalued Dependency)

Let  $R$  be a relation schema and  $\alpha \subseteq R$  and  $\beta \subseteq R$ . The multivalued dependency  $\alpha \twoheadrightarrow \beta$

holds on  $R$  if in any legal relation  $r(R)$ , for all pairs of tuples  $t_1$  and  $t_2$  in  $r$  such that  $t_1[\alpha] = t_2[\alpha]$ , there exist tuples  $t_3$  and  $t_4$  in  $r$  such that:

- $t_1[\alpha] = t_2[\alpha] = t_3[\alpha] = t_4[\alpha]$
- $t_1[\beta] = t_3[\beta]$  and  $t_2[\beta] = t_4[\beta]$
- $t_2[R-\beta] = t_3[R-\beta]$  and  $t_1[R-\beta] = t_4[R-\beta]$

For MVD,  $\textcircled{1}$  single value of A  $\rightarrow$  more than one value of B exists.

$\textcircled{2}$  Total number of attributes should be more than two.

$\textcircled{3}$  If there exist 3 attributes, then 2 attributes must be independent of each other.

# MVD Theory

Name	Rule
Complementation	If $X \twoheadrightarrow Y$ , then $X \twoheadrightarrow (R - (X \cup Y))$
Augmentation	If $X \twoheadrightarrow Y$ and $W \supseteq Z$ , then $WX \twoheadrightarrow YZ$
Transitivity	If $X \twoheadrightarrow Y$ and $Y \twoheadrightarrow Z$ , then $X \twoheadrightarrow (Z - Y)$
Replication	If $X \rightarrow Y$ , then $X \twoheadrightarrow Y$ but the reverse is not true
Coalescence	If $X \twoheadrightarrow Y$ and there is a $W$ such that $W \cap Y$ is empty, $W \rightarrow Z$ and $Z \subseteq Y$ , then $X \rightarrow Z$

## Example

SId	Sname	Course	Instructor	Inst_Room
ME1001	David	Python	MK Singh	503
ME1001	David	Java	SN Joseph	505
ME1001	David	Python	SN Joseph	505
ME1001	David	Java	MK Singh	503

- $\{SId, Sname\} \twoheadrightarrow Course\$$
- $SId \twoheadrightarrow \{Instructor, Inst_Room\}$

## Example

$\alpha \rightarrow \beta$  : i. keep the  $\alpha$  same .  
ii. interchange  $\beta$  value b/w  
any two tuples .

\* resulting rows must be present in the table



MVD exists .

course_name	instructor	book	edition
DBMS	Geeta	DBMS-Beginner	3
DBMS	Arjun	DBMS-Beginner	3
DBMS	Geeta	DBMS-Expert	2
DBMS	Arjun	DBMS-Expert	2
Java	Rahul	Java-Beginner	5
Java	Rahul	Java-Intermediate	3
Java	Rahul	Java-Expert	4
Java	Armaan	Java-Beginner	5
Java	Armaan	Java-Intermediate	3
Java	Armaan	Java-Expert	4

- course\_name → instructor ✗
- course\_name → {book, edition} ✓

## Trivial MVD

A MVD  $X \twoheadrightarrow Y$  in R is called a trivial MVD if

- $Y$  is a subset of  $X$  ( $Y \subseteq X$ ) (or)
- $X \cup Y = R$

### Example

- $AB \twoheadrightarrow B$  (trivial MVD)

## 4NF (Fourth Normal Form)

A relation schema R is in 4NF if and only if the following conditions are satisfied

- R is in BCNF and
- Should not have any multi-valued dependency

\*  $R(A, B) \rightarrow$  in 4 NF .

(relation w/ 2 attributes)

## Week-7 : Python DB Connection →

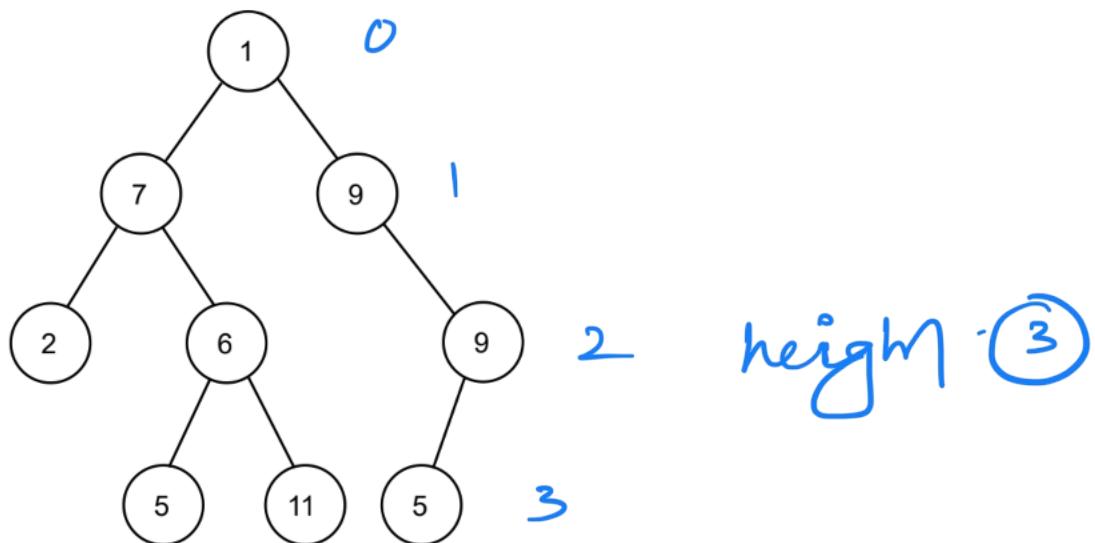
- ① Create the connection
- ② Create a cursor
- ③ Execute the query
- ④ Commit / Roll back
- ⑤ Close cursor
- ⑥ Close connection

## Week 8 DBMS

- ① cursor.fetchone() → 1 tuple
- ② cursor.fetchmany() → list of tuples → by default; takes 1 as the parameter
- ③ cursor.fetchall() → list of tuples

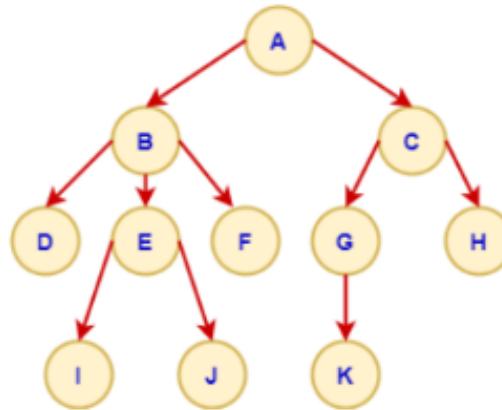
\* [week - 2 SQL]

# Tree



- **Internal Nodes** - The node which has at least one child is called internal Node
- **Subtree** - Subtree represents the tree rooted at that node
- **Siblings** - Nodes having the same parents
- **Arity** - Number of children of a node
- **Height** - Maximum level in a tree

Arity of the tree = Maximum arity of a node



The root node is said to be at Level 0 and the children of the root node are at Level 1 until and unless root is specified to exist at level 1

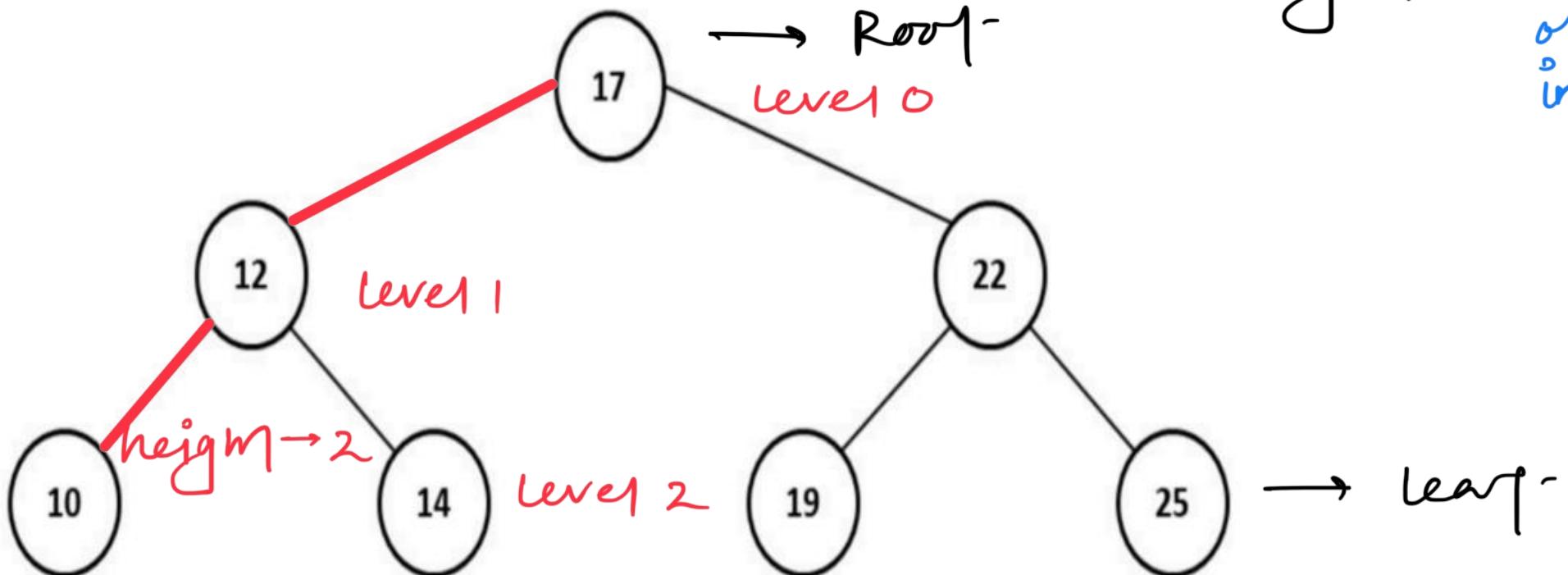
- **Fact 1:** A tree with  $n$  nodes has  $n - 1$  edges
- **Fact 2:** The maximum number of nodes at level  $l$  of a binary tree is  $2^l$ .
- **Fact 3:** If  $h$  is the height of a binary tree of  $n$  nodes, then:
  - $h + 1 \leq n \leq 2^{h+1} - 1$
  - $\lceil \lg(n + 1) \rceil - 1 \leq h \leq n - 1$
  - $O(\lg n) \leq h \leq O(n)$
  - For a  $k$ -ary tree,  $O(\lg_k n) \leq h \leq O(n)$

## ✓ Binary Search Tree

Consider a data: 17, 22, 12, 10, 14, 25, 19

- left subtree < Root- < right subtree

- height- = most-no. of edges in any path



$$\text{Total no. of elements} = 2^{\text{height} + 1} - 1$$

# Storage Hierarchy

not-relevant-except-the image

## Primary Storage

- Volatile
- Very fast

## Secondary Storage

- Non-volatile
- Moderately fast

## Tertiary Storage

- Non-volatile
- Very slow

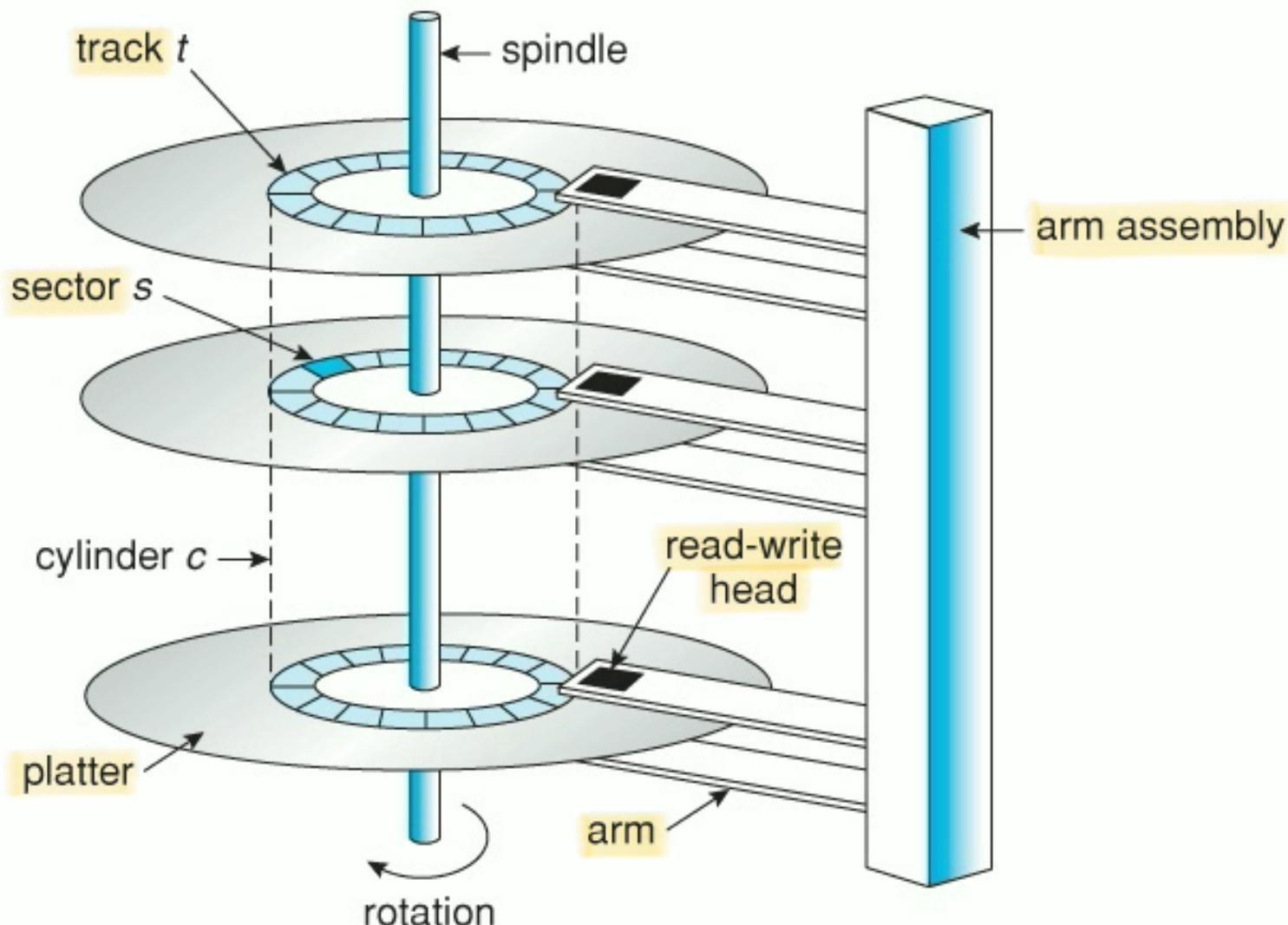


Fig - Magnetic disk

## ✓ Magnetic Disk

- Access Time: time from a read or write request issue to start of data transfer:
- Seek Time: time to reposition the arm over the correct track
- Rotational Latency: time for the sector to be accessed to appear under the head
- Data-transfer Rate: the rate at which data can be retrieved from or stored to the disk

- Access time = seek time + Rotational latency
- $\text{Access time} = \text{seek time} + \frac{1}{2} \times \text{time period}$

↳ time required for  
a complete revolution.

# Formula's for Numerical Problems

- Capacity of disk = Total no of sectors x sector size
- $Time\ period = \frac{1\ minute}{Rotational\ speed}$
- $Transfer\ rate = \frac{\text{bytes of one track}}{\text{time period}}$
- $Transfer\ time = \frac{\text{File size}}{\text{transfer rate}}$
- ✓ • Number of cylinders = Number of tracks/surface
- Min no of bits required to address all sectors =  $\lceil \log_2(\text{no of sectors}) \rceil$

'Buffer Replacement- Policy (LRU)'

Least-  
Recently  
Used