



Aalto University
School of Engineering

COE-C2004 - Materials Science and Engineering

Prof. Junhe Lian

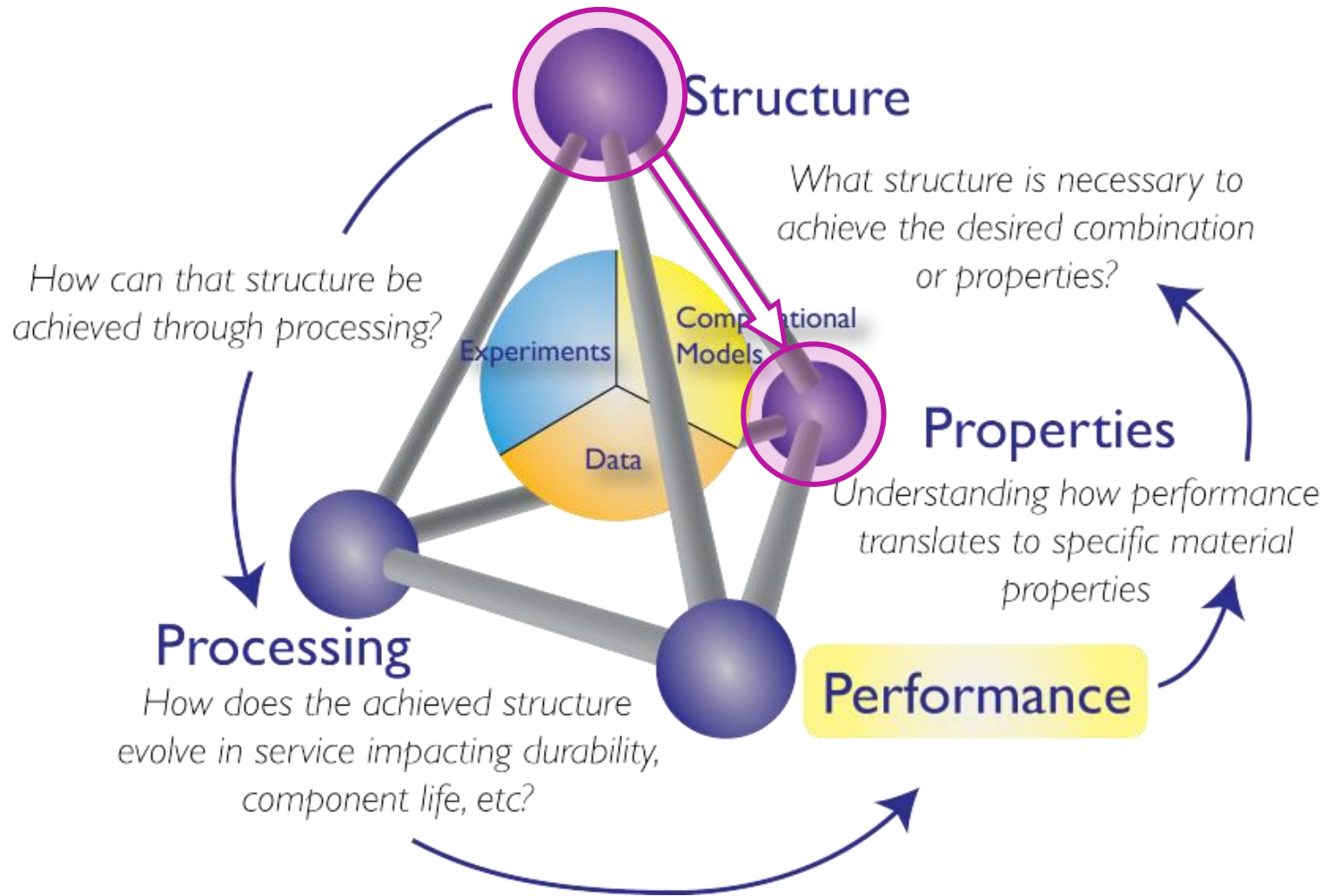
Wenqi Liu (Primary Teaching Assistant)

Rongfei Juan and Sayoojya Prasad (Teaching Assistants)

Updates:

- Get familiar with MyCourses
- Active participation is very much appreciated
- Get some paper and pen. Let's calculate.

Previously



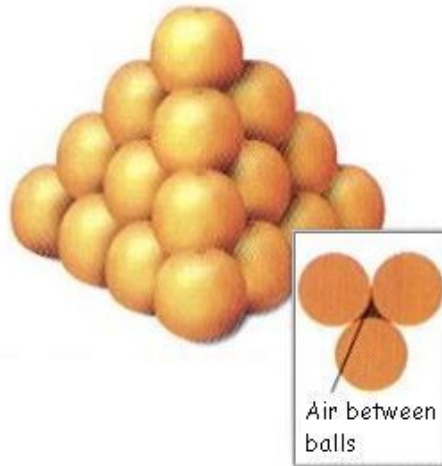
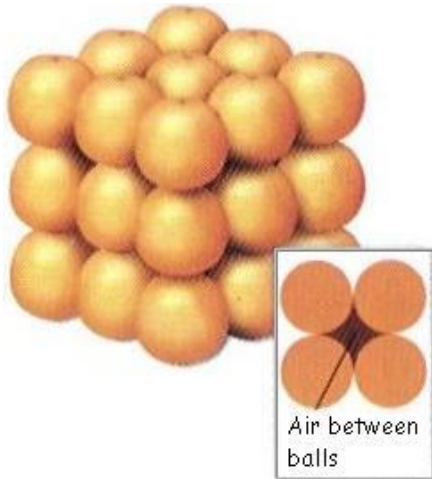
How to best stack oranges?



https://plus.maths.org/content/sites/plus.maths.org/files/abstractpics/%5Buid%5D/%5Bsite-date%5D/oranges_icon.jpg



<https://arxiv.org/pdf/1607.01890.pdf>

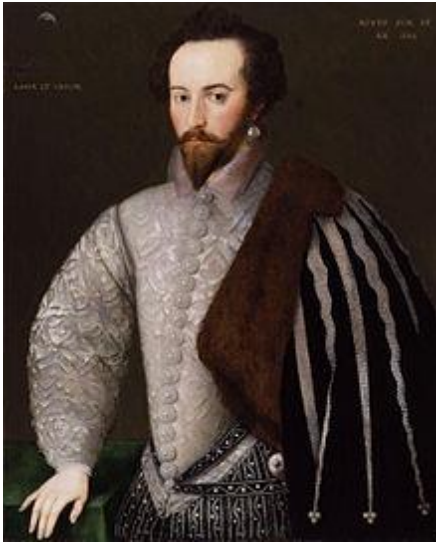


<https://www.fam-bundgaard.dk/SOMA/NEWS/N030924.HTM>



<https://depositphotos.com/137975452/stock-photo-stack-of-oranges-on-fruit.html>

A 400-year mathematical story



Walter Raleigh

https://en.wikipedia.org/wiki/Walter_Raleigh



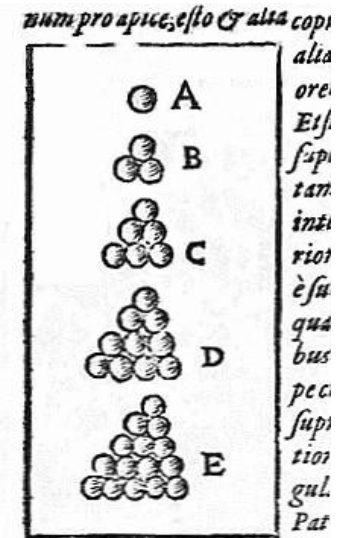
Thomas Harriot

https://en.wikipedia.org/wiki/Thomas_Harriot



Johannes Kepler

https://en.wikipedia.org/wiki/Johannes_Kepler



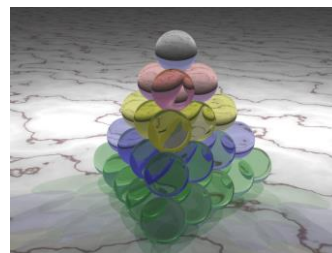
necessitate concurrente cum tra

A New Year's Gift of
Hexagonal Snow (1611)

<http://www.math.sunysb.edu/~tony/whatsnew/column/pennies-1200/cass1.html>

Kepler conjecture

It states that no arrangement of equally sized spheres filling space has a greater average density than that of the cubic close packing (face-centered cubic) and hexagonal close packing arrangements. The density of these arrangements is around 74.05%.



https://commons.wikimedia.org/wiki/File:Pyramid_of_35_spheres_animation_original.gif

<https://pixabay.com/zh/photos/cannon-balls-balls-iron-metal-hard-187243/>

A 400-year mathematical story



Carl Friedrich Gauss

https://en.wikipedia.org/wiki/Carl_Friedrich_Gauss

Carl Gauss (1831) proved that the Kepler conjecture is true if the spheres have to be arranged in a regular lattice.



David Hilbert

https://en.wikipedia.org/wiki/David_Hilbert

In 1900 David Hilbert included it in his list of twenty three unsolved problems of mathematics—it forms part of Hilbert's eighteenth problem.



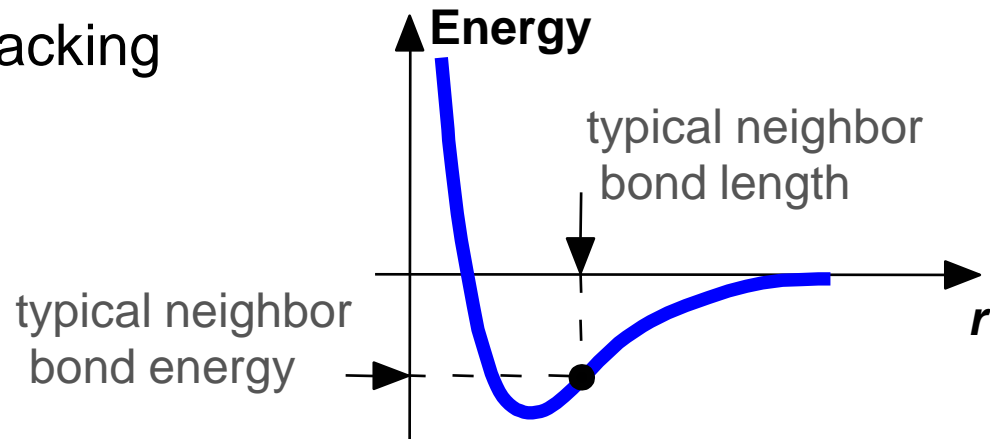
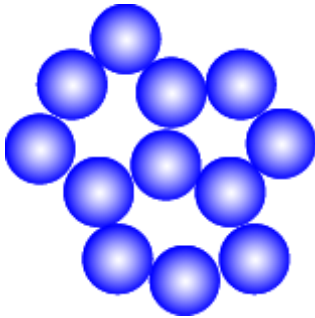
László Fejes Tóth

https://en.wikipedia.org/wiki/L%C3%A1szl%C3%B3_Fejes_T%C3%B3th

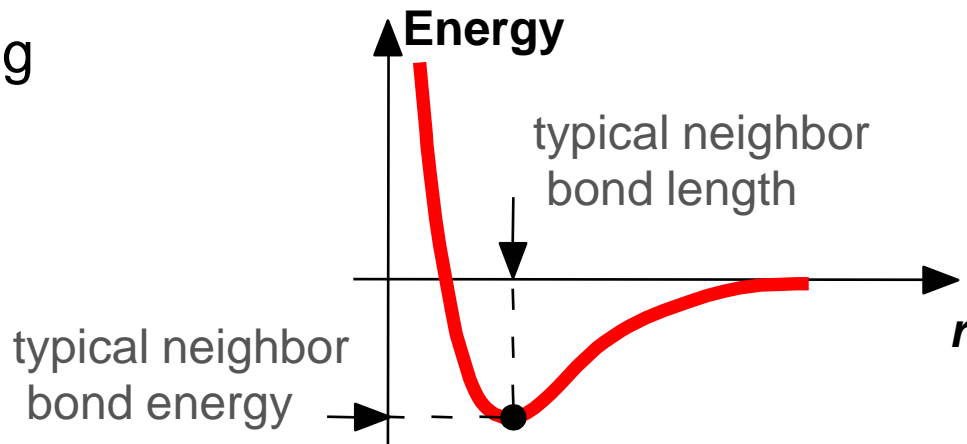
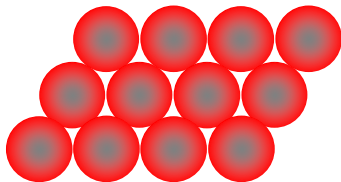
Fejes Tóth (1953) showed that the problem of determining the maximum density of all arrangements (regular and irregular) could be reduced to a finite (but very large) number of calculations. This meant that a proof by exhaustion was, in principle, possible. As Fejes Tóth realised, a fast enough computer could turn this theoretical result into a practical approach to the problem.

Atom Packing

- Non dense, **random** packing



- Dense, **ordered** packing



Ordered structures tend to be nearer the minimum in bonding energy and are more stable.

Chapter 3: The Structure of Crystalline Solids

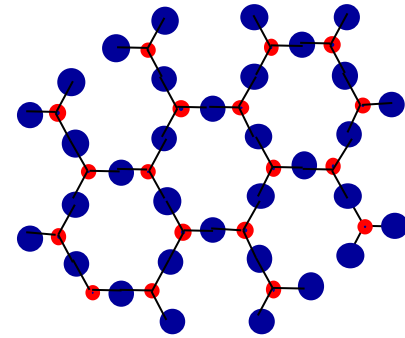
ISSUES TO ADDRESS...

- ❑ What is the difference in atomic arrangement between **crystalline** and **noncrystalline** solids?
- ❑ What are the **crystal structures** of metals?
- ❑ What are the **characteristics** of crystal structures?
- ❑ How are crystallographic **points**, **directions**, and **planes** specified?
- ❑ What characteristics of a material's atomic structure determine its **density**?

Materials and Atomic Arrangements

Crystalline materials...

- atoms arranged in periodic, 3D arrays
- typical of:
 - metals
 - many ceramics
 - some polymers



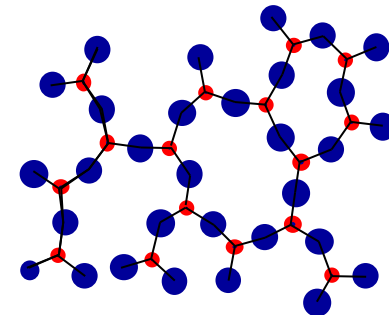
crystalline SiO₂

Adapted from Fig. 3.24(a),
Callister & Rethwisch 10e.

• **Si** • **Oxygen**

Noncrystalline materials...

- atoms have no periodic arrangement
- occurs for:
 - complex structures
 - rapid cooling



noncrystalline SiO₂

Adapted from Fig. 3.24(b),
Callister & Rethwisch 10e.

"Amorphous" = Noncrystalline

Metallic Crystal Structures: Atomic Packing

- Dense atomic packing for crystal structures of metals.

Reasons for dense packing:

- Bonds between metal atoms are nondirectional.
 - Nearest neighbor distances tend to be small in order to lower bond energy.
 - High degree of shielding (of ion cores) provided by free electron cloud.
- Crystal structures for metals simpler than structures for ceramics and polymers.

We will examine **three** such structures for metals...

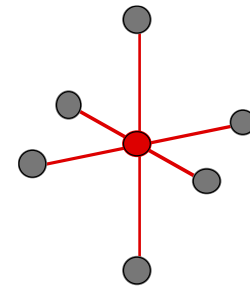
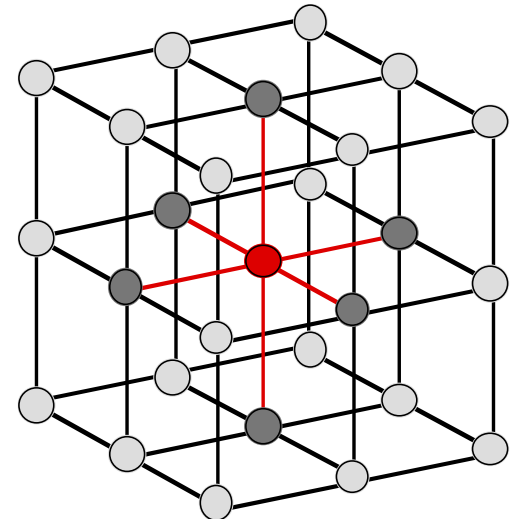
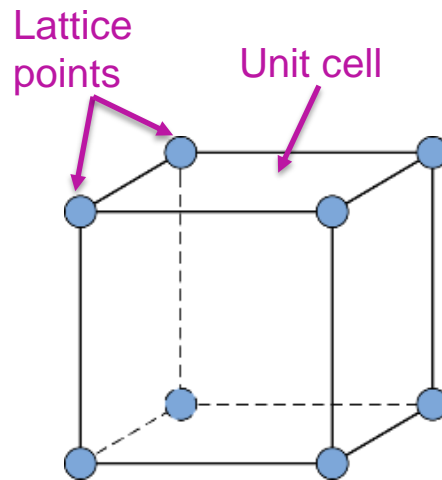
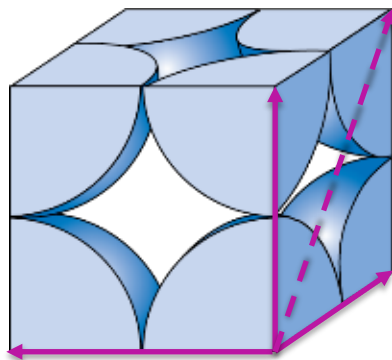
Crystal structures of metals



Simple Cubic (SC) Crystal Structure

- Centers of atoms located at the eight corners of a cube
- Rare due to low **packing density** (only Po has this structure)
- **Close-packed directions** are cube edges.
- **Coordination #** = 6 (# nearest neighbors)

ex: Po



Adapted from Fig. 3.3, *Callister & Rethwisch 10e*.

- ❑ **Lattice:** atomic arrangement can be described to a **network of lines** in 3D.
- ❑ **Unit cell:** smallest repeating entity of the atomic structure.
The basic building block of the crystal structure.

Definitions

Coordination Number

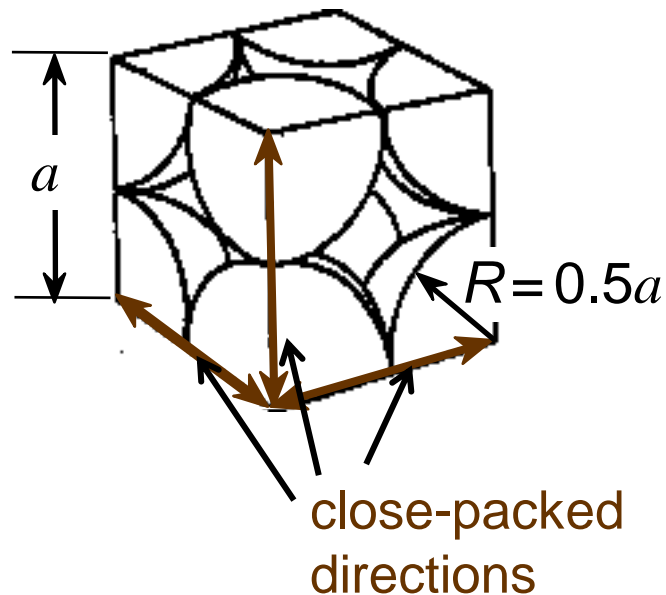
Coordination Number = number of **nearest**-neighbor
or touching atoms

Atomic Packing Factor (APF)

$$\text{APF} = \frac{\text{Volume of atoms in unit cell}^*}{\text{Volume of unit cell}}$$

*assume hard spheres

Atomic Packing Factor (APF) for Simple Cubic



$$\text{APF} = \frac{\overbrace{\text{atoms}}^{\text{atoms}} \overbrace{\text{unit cell}}^{\text{unit cell}} \cdot \overbrace{\left(\frac{4}{3} \pi (0.5a)^3 \right)}^{\text{volume atom}}}{\underbrace{a^3}_{\text{volume unit cell}}} = 0.52$$

Unit cell contains 1 atom = $8 \times 1/8 = 1$ atom/unit cell

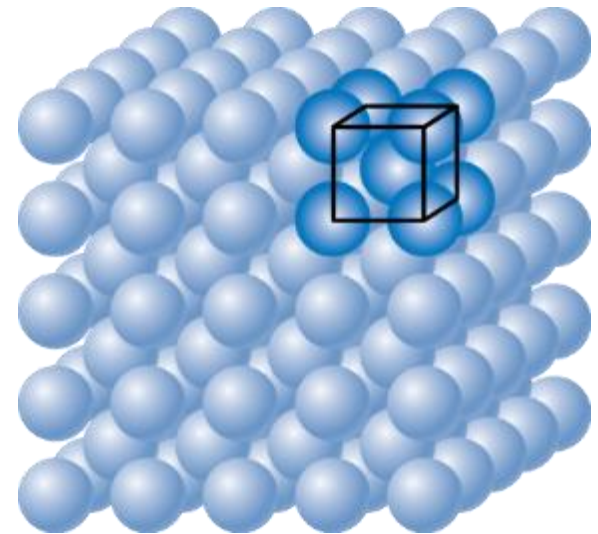
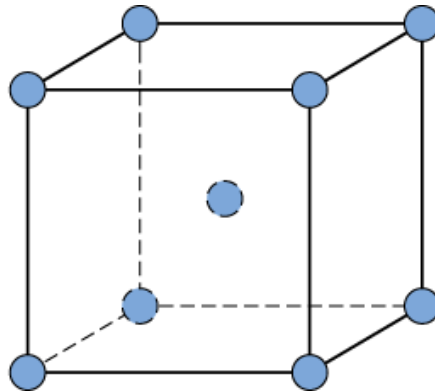
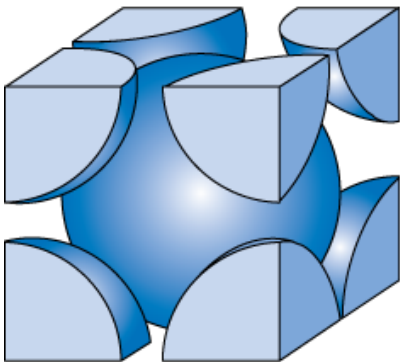
Body-Centered Cubic Structure (BCC)

- Atoms located at 8 cube corners with a single atom at cube center.

Note: All atoms in the animation are identical; the center atom is shaded differently for ease of viewing.

- Coordination # = 8

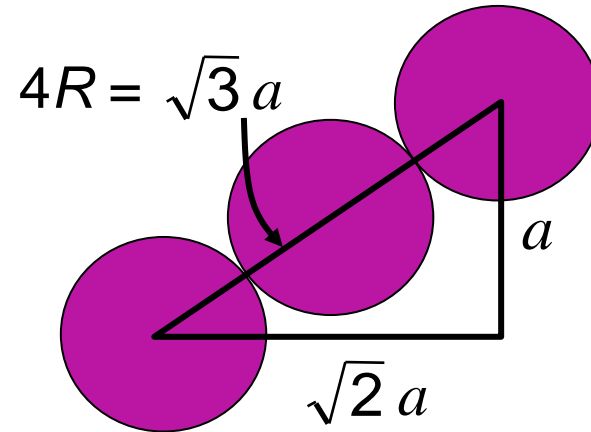
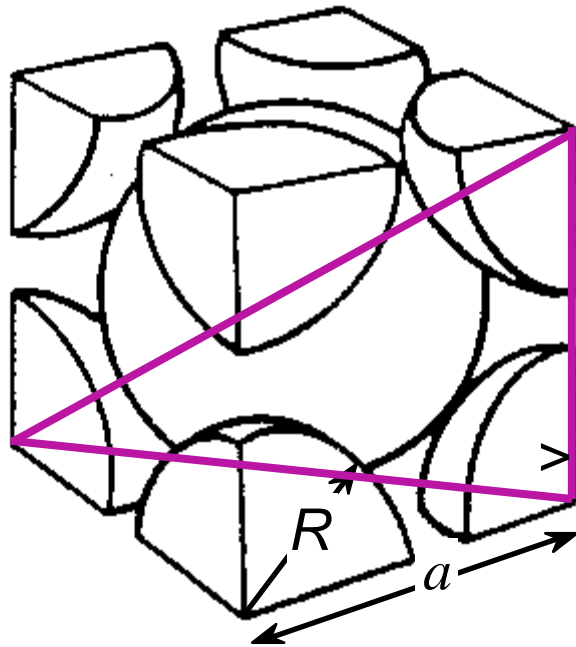
ex: Cr, W, Fe (α), Ta, Mo



Adapted from Fig. 3.2, *Callister & Rethwisch 10e*.

2 atoms/unit cell: 1 center + 8 corners \times 1/8

Atomic Packing Factor: BCC



For close-packed directions

$$R = \sqrt{3} a / 4$$

$$\text{APF} = \frac{\text{atoms unit cell} \times \text{volume atom}}{\text{volume unit cell}} = \frac{2 \times \frac{4}{3} \pi (\sqrt{3}a/4)^3}{a^3} = \frac{8}{3} \pi \left(\frac{\sqrt{3}}{4} \right)^3 = 0.68$$

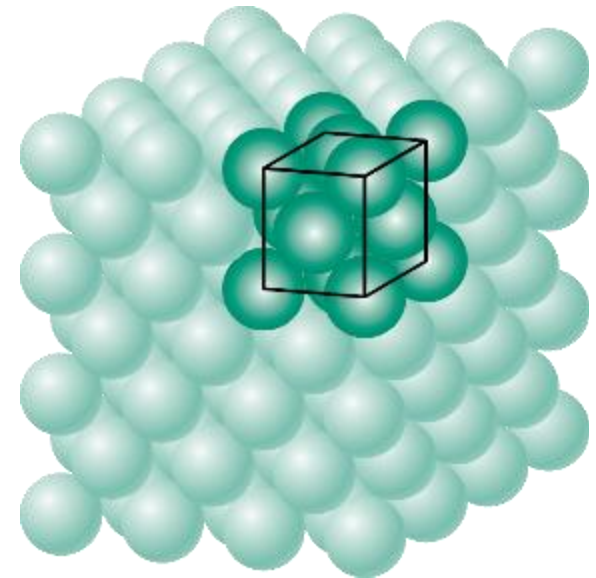
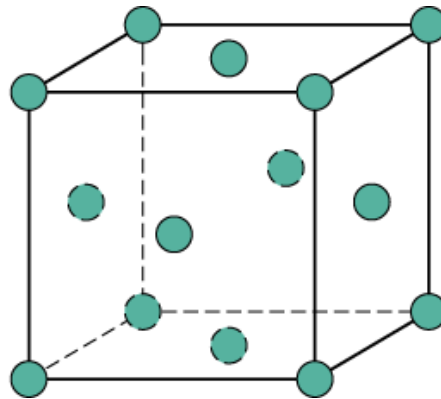
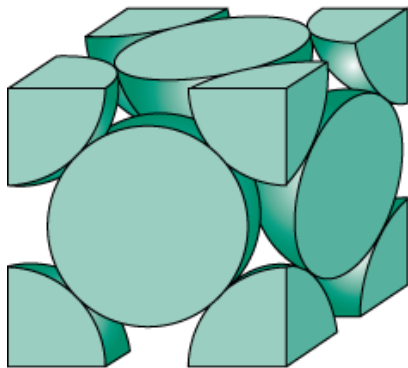
Face-Centered Cubic Structure (FCC)

- Atoms located at 8 cube corners and at the centers of the 6 faces.

Note: All atoms in the animation are identical; the face-centered atoms are shaded differently for ease of viewing.

- Coordination # = 12

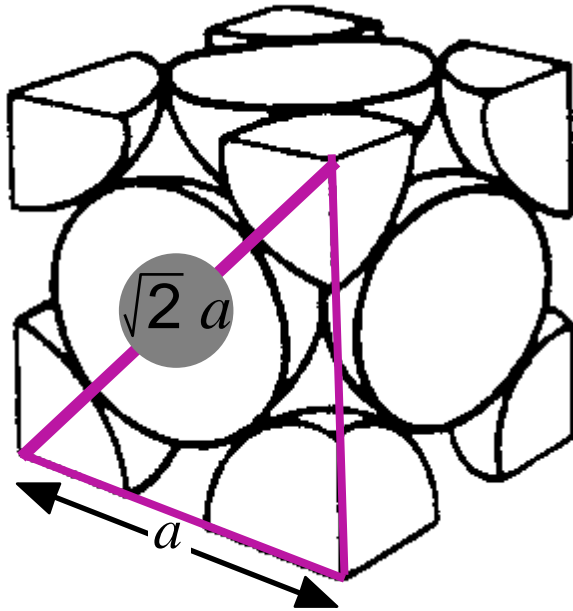
ex: Al, Cu, Au, Pb, Ni, Pt, Ag



Adapted from Fig. 3.1, Callister & Rethwisch 10e.

4 atoms/unit cell: $6 \text{ face} \times \frac{1}{2} + 8 \text{ corners} \times \frac{1}{8}$

Atomic Packing Factor: FCC



maximum achievable APF

For close-packed directions:

$$4R = \sqrt{2}a \quad \left(\text{i.e., } R = \frac{\sqrt{2}a}{4} \right)$$

Unit cell contains: $6 \times 1/2 + 8 \times 1/8$
 = 4 atoms/unit cell

$$\text{APF} = \frac{\text{atoms/unit cell} \times \text{volume/atom}}{\text{volume/unit cell}} = 0.74$$

The diagram shows the calculation of the Atomic Packing Factor (APF) for FCC. The numerator consists of the number of atoms per unit cell (4, highlighted in green) multiplied by the volume of one atom ($\frac{4}{3}\pi(\sqrt{2}a/4)^3$, highlighted in orange). The denominator is the volume of the unit cell (a^3 , highlighted in blue). Arrows indicate the mapping of these terms to the APF formula.

FCC Plane Stacking Sequence

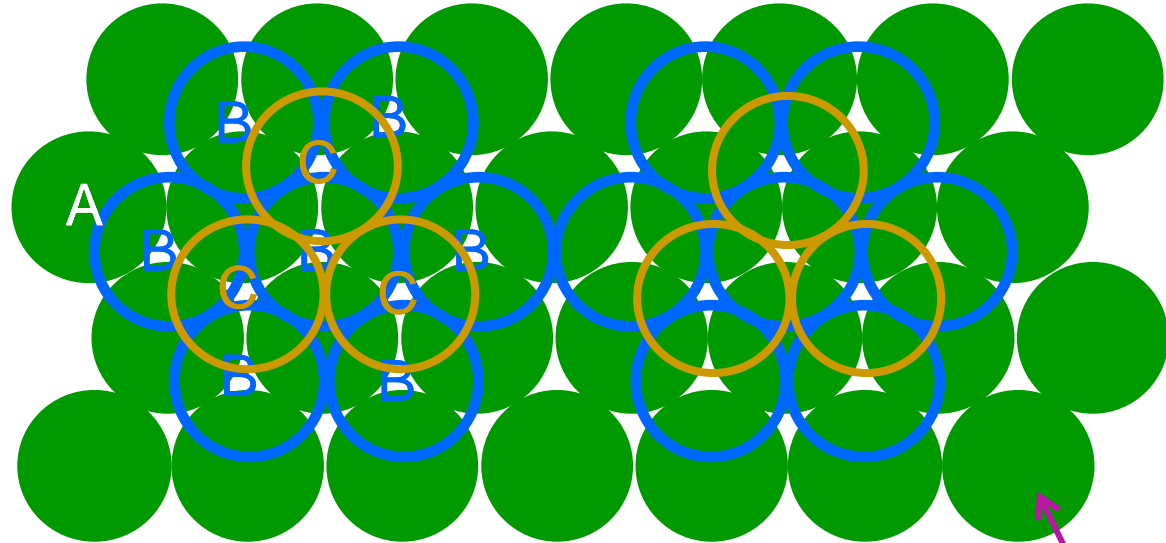
- Stacking Sequence—Close-Packed Planes of Atoms
- 2D Projection

ABCABC...

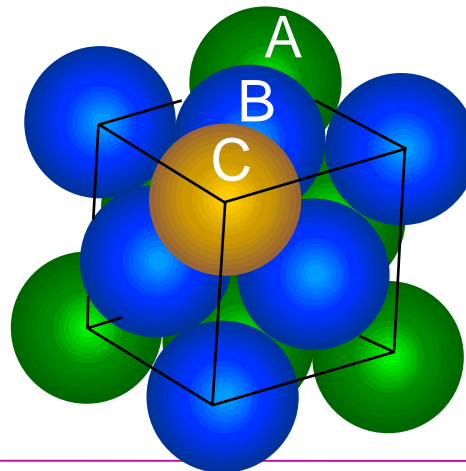
A sites

B sites

C sites



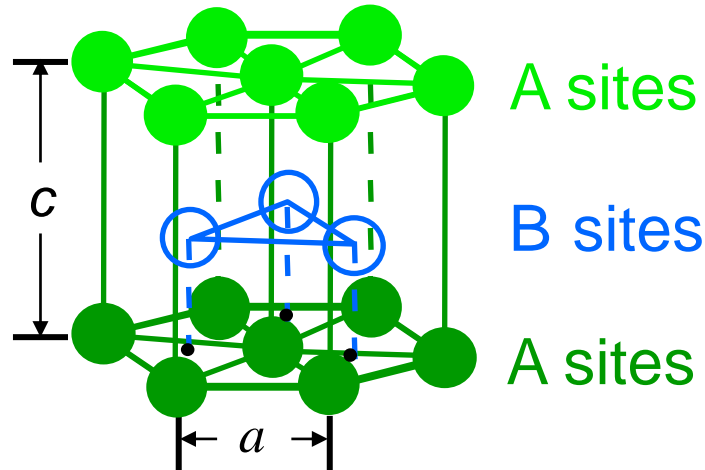
- Stacking Sequence Referenced to an FCC Unit Cell.



Close-Packed Plane

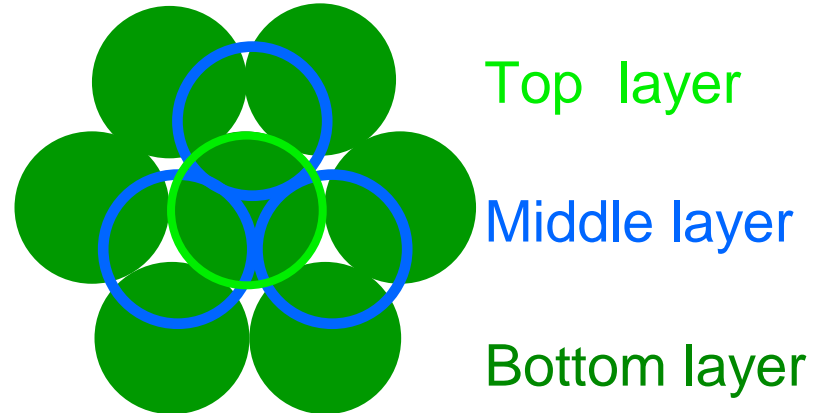
Hexagonal Close-Packed Structure (HCP)

- Stacking Sequence—Close-Packed Planes of Atoms
- 3D Projection



- Coordination # = 12
- APF = 0.74
- Ideal $c/a = 1.633$

- 2D Projection



ABAB...

6 atoms/unit cell

ex: Cd, Mg, Ti, Zn

Theoretical Density for Metals, ρ

$$\text{Density} = \rho = \frac{\text{Mass of Atoms in Unit Cell}}{\text{Total Volume of Unit Cell}} = \frac{(nA / N_A)}{V_C}$$
$$\rho = \frac{nA}{V_C N_A}$$

where

n = number of atoms/unit cell

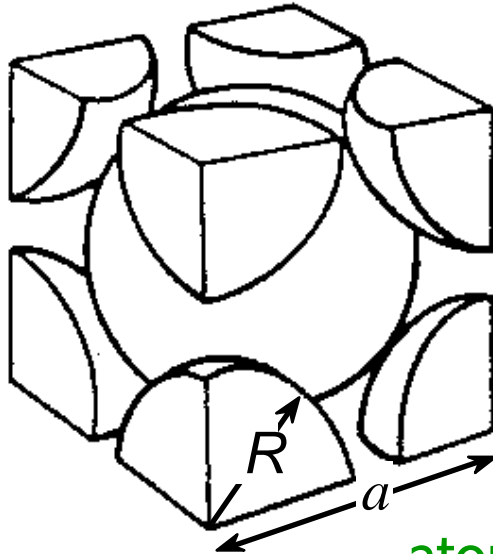
A = atomic weight

V_C = Volume of unit cell = a^3 for cubic

N_A = Avogadro's number

= 6.022×10^{23} atoms/mol

Theoretical Density Computation for Chromium



- Cr has BCC crystal structure

$$A = 52.00 \text{ g/mol}$$

$$R = 0.125 \text{ nm}$$

$$n = 2 \text{ atoms/unit cell}$$

$$a = 4R/\sqrt{3} = 0.2887 \text{ nm}$$

$$V_C = a^3 = 2.406 \times 10^{-23} \text{ cm}^3$$

$$\rho = \frac{nA}{V_C N_A}$$

$$\rho = \frac{\overbrace{\begin{array}{|c|c|} \hline n & A \\ \hline \end{array}}^{\text{atoms/unit cell}}}{\underbrace{\begin{array}{|c|c|} \hline V_C & N_A \\ \hline \end{array}}_{\text{volume/unit cell}}} = \frac{\begin{array}{|c|c|} \hline 2 & 52.00 \\ \hline \end{array}}{\begin{array}{|c|c|} \hline 2.406 \times 10^{-23} & 6.022 \times 10^{23} \\ \hline \end{array}} = 7.19 \text{ g/cm}^3$$

$\xleftarrow{\text{g/mol}}$
 $\xleftarrow{\text{atoms/mol}}$

Densities Comparison for Four Material Types

In general

$$\rho_{\text{metals}} > \rho_{\text{ceramics}} > \rho_{\text{polymers}}$$

Why?

Metals have...

- close-packing (metallic bonding)
- often large atomic masses

Ceramics have...

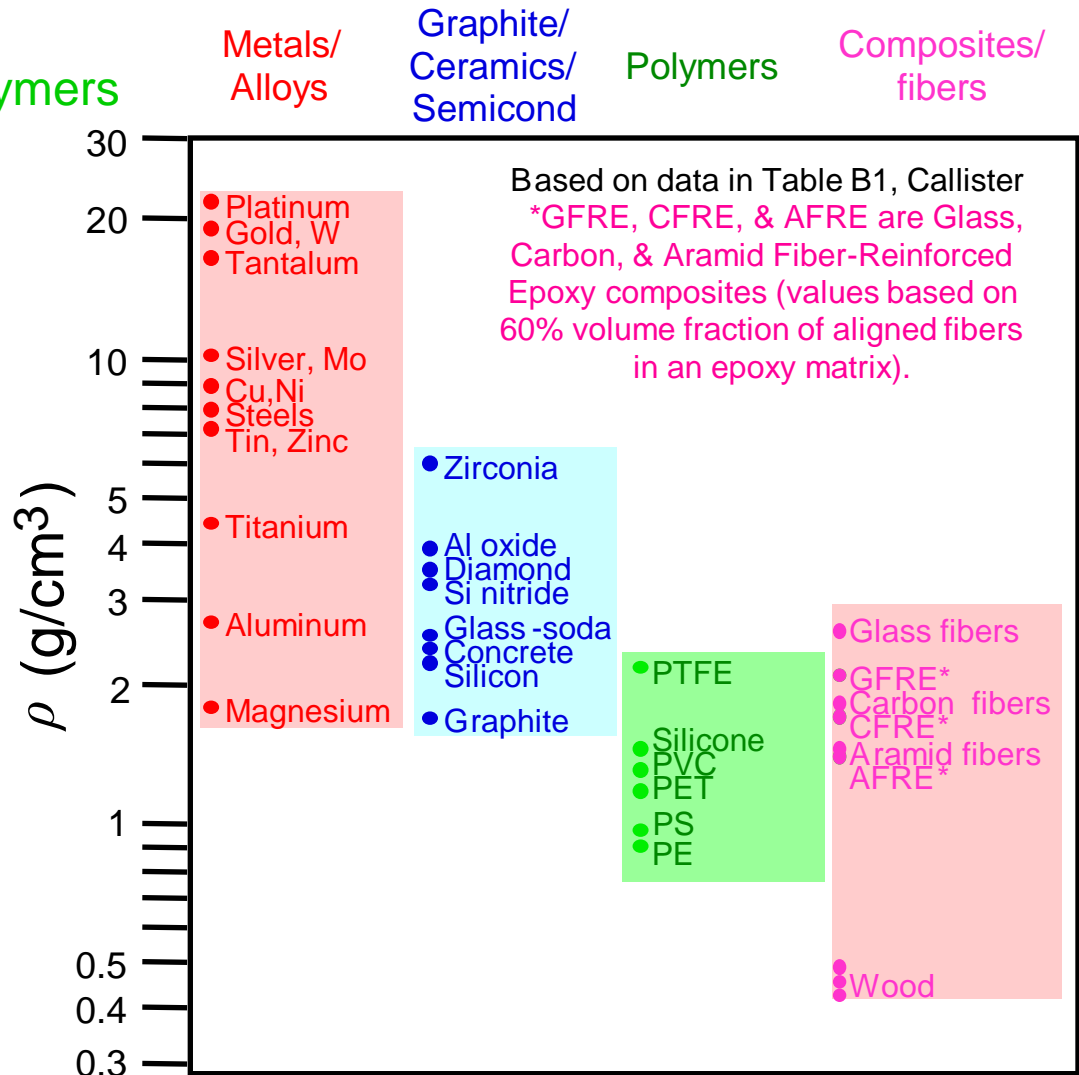
- often lighter elements

Polymers have...

- low packing density (often amorphous)
- lighter elements (C,H,O)

Composites have...

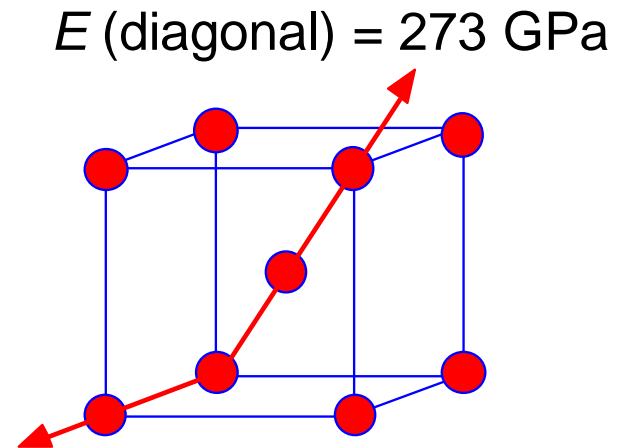
- moderate to low densities



Anisotropy

- Anisotropy — Property value depends on crystallographic direction of measurement.
 - Observed in a crystal.
 - Example: modulus of elasticity (E) in BCC iron

$$E(\text{edge}) \neq E(\text{diagonal})$$



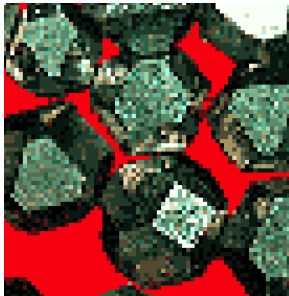
$E(\text{edge}) = 125 \text{ GPa}$

Unit cell of BCC iron

Single Crystals

- When the periodic arrangement of atoms (crystal structure) extends without interruption throughout the entire specimen.

-- diamond single crystals for abrasives



(Courtesy Martin Deakins, GE Superabrasives, Worthington, OH. Used with permission.)

-- Quartz single crystal



(Courtesy P.M. Anderson)

-- single crystal for turbine blade



Fig. 8.35(c), *Callister & Rethwisch 10e.*
(courtesy of Pratt and Whitney)

Characterization of crystal structures



Point Coordinates

A **point coordinate** is a lattice position in a unit cell

Determined as fractional multiples of a , b , and c unit cell edge lengths

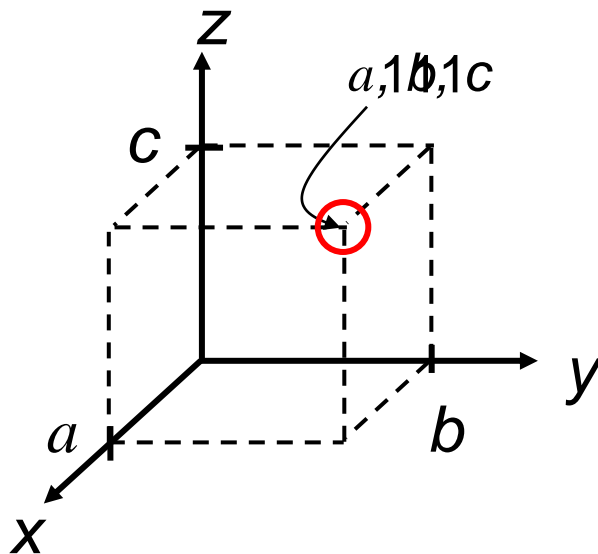
Example: Unit cell upper corner

1. Lattice position is

a, b, c

2. Divide by unit cell edge lengths (a , b , and c) and remove commas

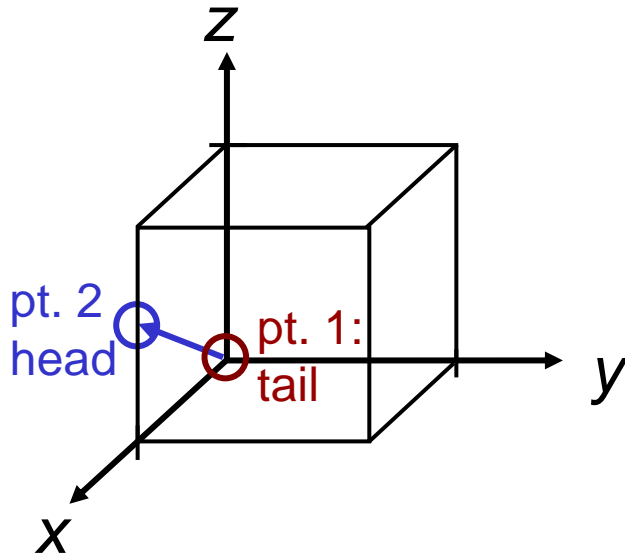
$$\frac{a}{a} \frac{b}{b} \frac{c}{c} = 111$$



3. Point coordinates for unit cell corner are **111**

Crystallographic Directions I.

Example Problem I



ex:

pt. 1 $x_1 = 0, y_1 = 0, z_1 = 0$

pt. 2 $x_2 = a, y_2 = 0, z_2 = c/2$

$$\frac{a - 0}{a} \quad \frac{0 - 0}{b} \quad \frac{c/2 - 0}{c}$$

Algorithm – determine direction indices

1. Determine coordinates of vector tail, pt. 1: $x_1, y_1,$ & z_1 ; and vector head, pt. 2: $x_2, y_2,$ & z_2 .
2. Tail point coordinates subtracted from head point coordinates.
3. Normalize coordinate differences in terms of lattice parameters $a, b,$ and c :

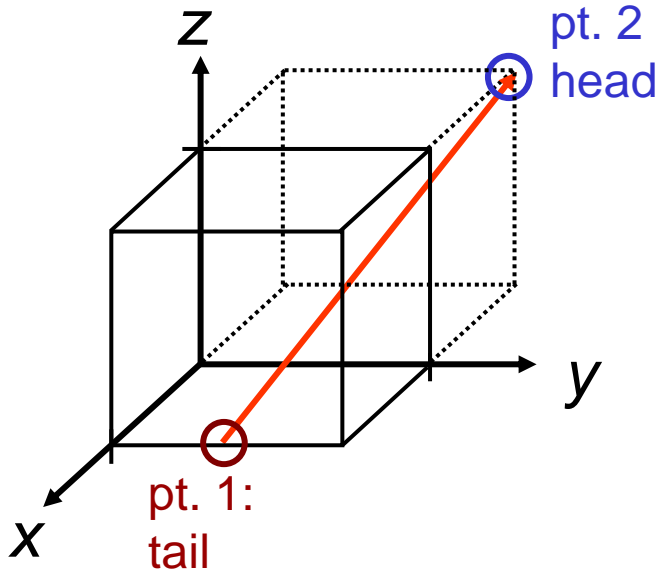
$$\frac{x_2 - x_1}{a} \quad \frac{y_2 - y_1}{b} \quad \frac{z_2 - z_1}{c}$$

4. Reduce to smallest integer values
 5. Enclose indices in square brackets, no commas
- Miller Indices**

$$\Rightarrow 1, 0, 1/2 \Rightarrow 2, 0, 1$$

$$\Rightarrow [201]$$

Crystallographic Directions II:



1. Point coordinates of tail and head
 tail pt. 1 $x_1 = a, y_1 = b/2, z_1 = 0$
 head pt. 2 $x_2 = -a, y_2 = b, z_2 = c$

- 2 & 3. Subtract and normalize

$$\frac{-a - a}{a} = -2; \quad \frac{b - b/2}{b} = 1/2; \quad \frac{c - 0}{c} = 1$$

$$\Rightarrow -2, 1/2, 1$$

- 4 & 5. Multiply by 2 to eliminate the fraction, then place in square brackets (no commas)

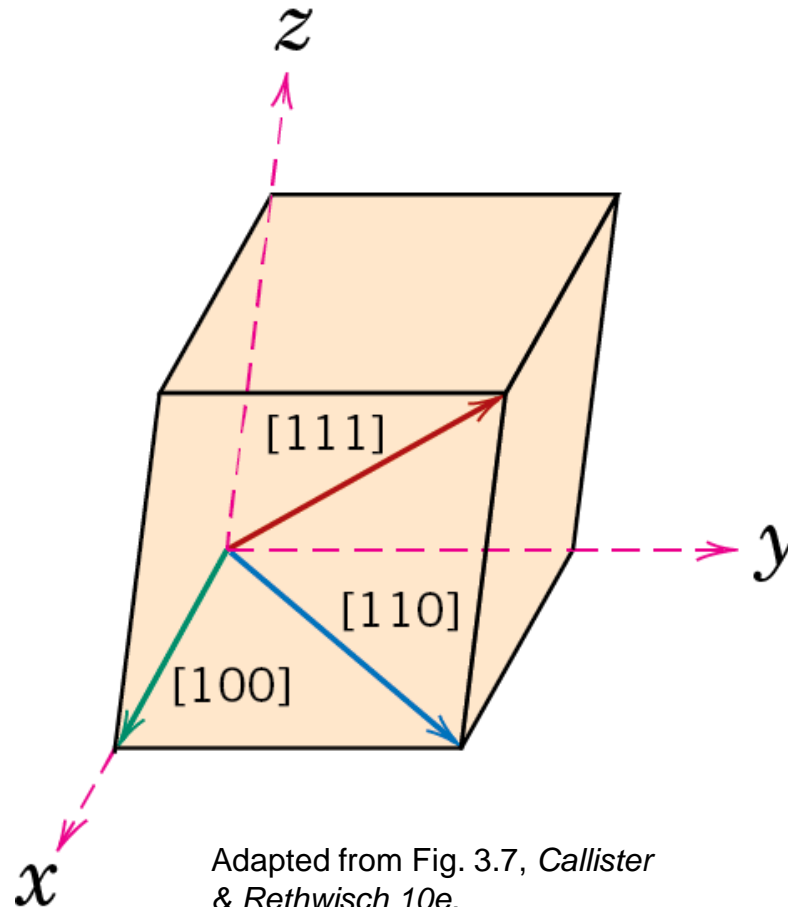
$$-4, 1, 2 \Rightarrow [\bar{4}12]$$

where the overbar represents a negative index

Family of directions – all directions that are crystallographically equivalent (have the same atomic spacing) – indicated by indices in angle brackets

$$\text{Ex: } \langle 100 \rangle = [100], [010], [001], [\bar{1}00], [0\bar{1}0], [00\bar{1}]$$

Common Crystallographic Directions



Crystallographic Planes

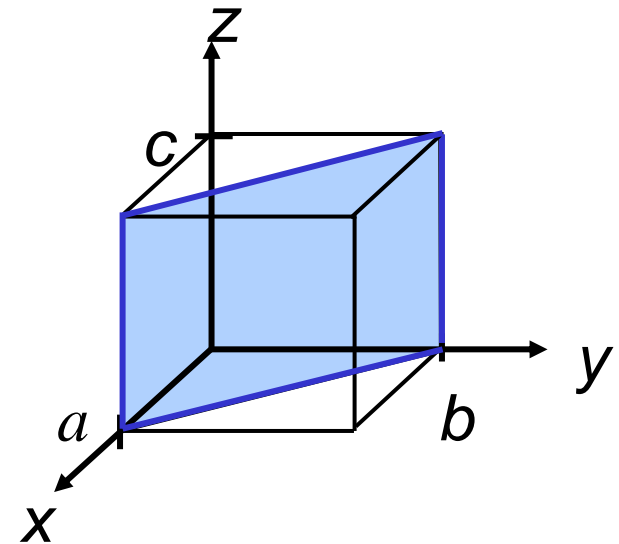
Algorithm for determining the Miller Indices of a plane

1. If plane passes through selected origin, establish a new origin in another unit cell
2. Read off values of intercepts of plane (designated A , B , C) with x , y , and z axes in terms of a , b , c
3. Take reciprocals of intercepts
4. Normalize reciprocals of intercepts by multiplying by lattice parameters a , b , and c
5. Reduce to smallest integer values
6. Enclose resulting Miller Indices in parentheses, no commas i.e., (hkl)

Crystallographic Planes

Example Problem I

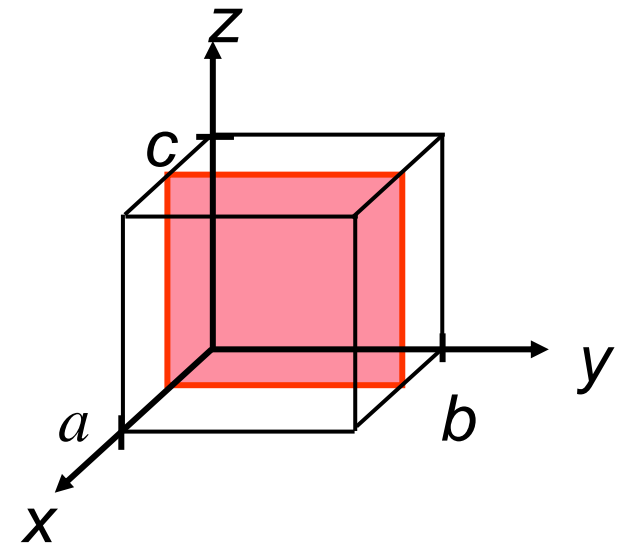
	x	y	z
1. Relocate origin – not needed			
2. Intercepts	a	b	∞c
3. Reciprocals	$1/a$	$1/b$	$1/\infty c$
4. Normalize	a/a	b/b	$c/\infty c$
	1	1	0
5. Reduction	1	1	0
6. Miller Indices	(110)		



Crystallographic Planes

Example Problem II

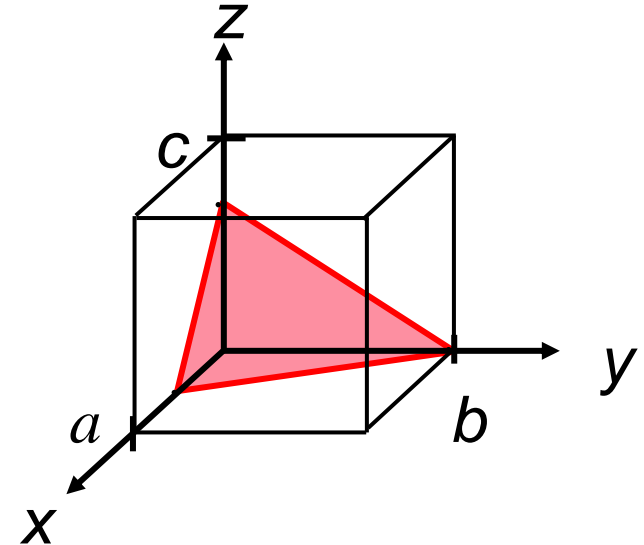
	x	y	z
1. Relocate origin – not needed			
2. Intercepts	$a/2$	∞b	∞c
3. Reciprocals	$2/a$	$1/\infty b$	$1/\infty c$
4. Normalize	$2a/a$	$b/\infty b$	$c/\infty c$
	2	0	0
5. Reduction	2	0	0
6. Miller Indices	(200)		



Crystallographic Planes

Example Problem III

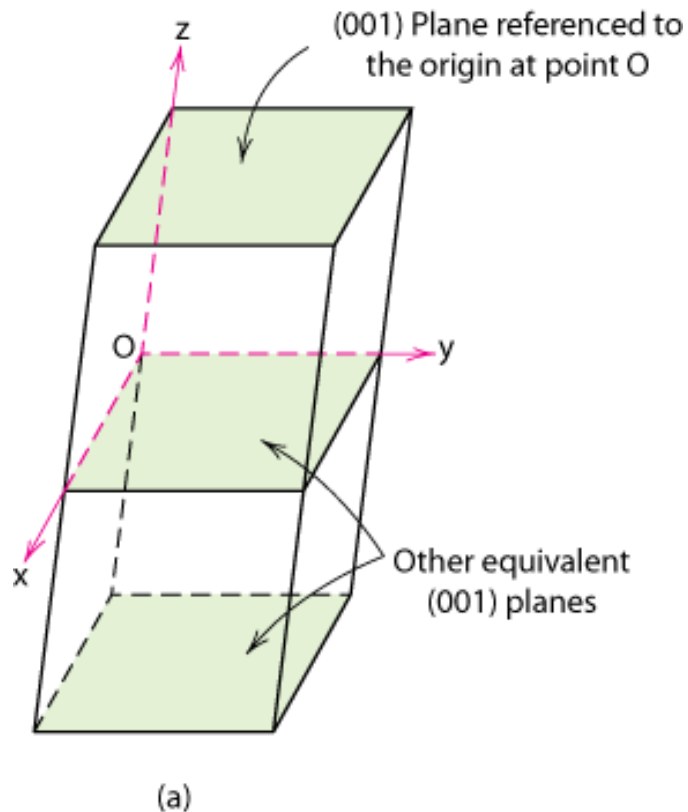
	x	y	z
1. Relocate origin – not needed			
2. Intercepts	$a/2$	b	$3c/4$
3. Reciprocals	$2/a$	$1/b$	$4/3c$
4. Normalize	$2a/a$	b/b	$4c/3c$
	2	1	$4/3$
5. Reduction (x3)	6	3	4
6. Miller Indices	(634)		



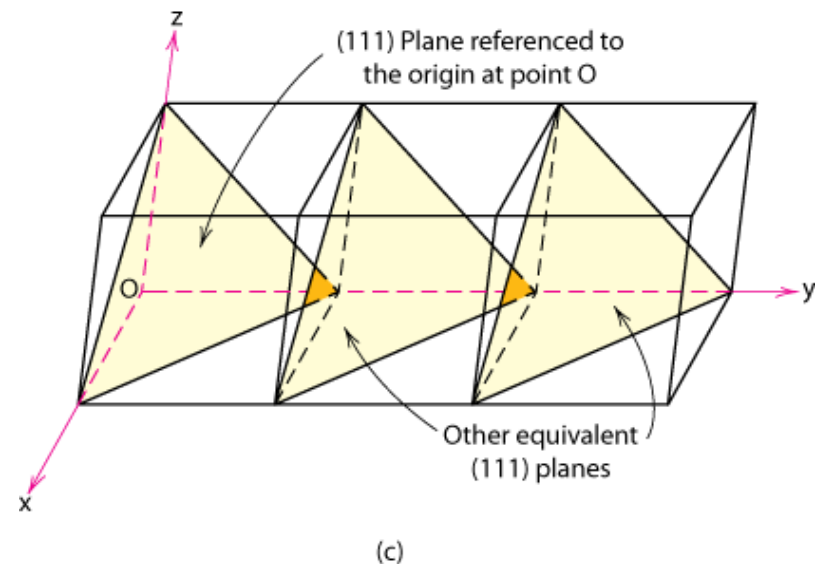
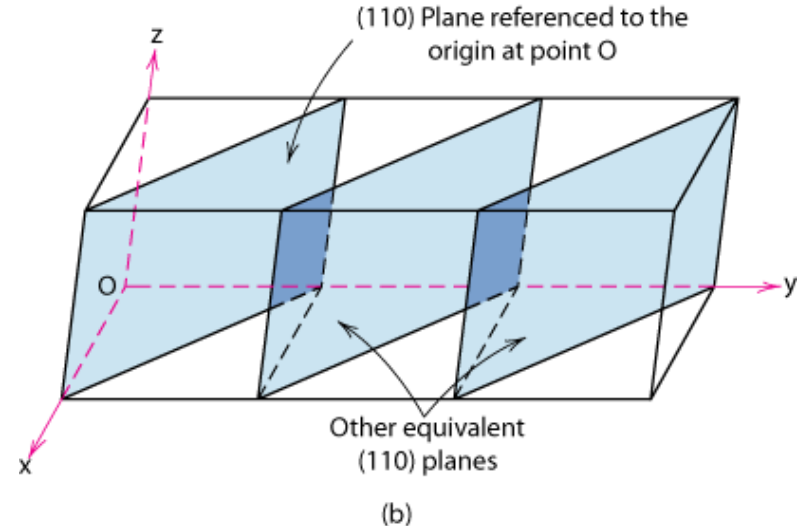
Family of planes – all planes that are crystallographically equivalent (have the same atomic packing) – indicated by indices in braces

Ex: $\{100\} = (100), (010), (001), (\bar{1}00), (0\bar{1}0), (00\bar{1})$

Common Crystallographic Planes



Adapted from Fig. 3.11,
Callister & Rethwisch 9e.



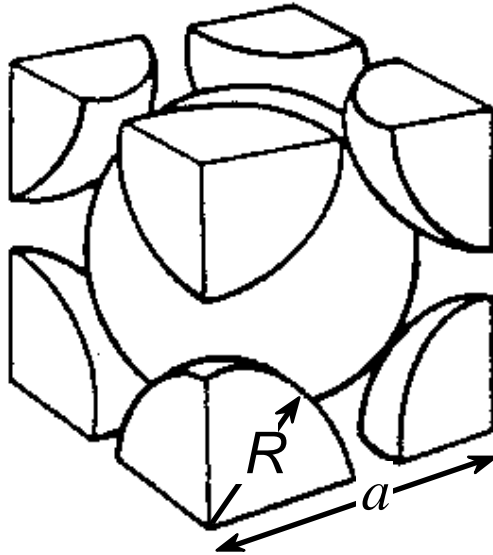
Summary so far

- ❑ Atoms may assemble into **crystalline** (ordered) or **amorphous** (disordered) structures.
- ❑ Common *metallic* crystal structures are **FCC**, **BCC**, and **HCP**. Coordination number and atomic packing factor are the same for both FCC and HCP crystal structures.
- ❑ Crystallographic points, directions and planes may be specified in terms of indexing schemes, e.g. **Miller index**.
- ❑ The crystal structure determines the properties of materials, e.g. **density** and **anisotropy** in elasticity.

Break



Issues with the theoretical Density of Chromium



- Cr has BCC crystal structure

$$A = 52.00 \text{ g/mol}$$

$$R = 0.125 \text{ nm}$$

$$n = 2 \text{ atoms/unit cell}$$

$$a = 4R/\sqrt{3} = 0.2887 \text{ nm}$$

$$V_C = a^3 = 2.406 \times 10^{-23} \text{ cm}^3$$

$$\rho = \frac{nA}{V_C N_A}$$

$$\rho = \frac{\begin{array}{|c|c|} \hline n & A \\ \hline \end{array}}{\begin{array}{|c|c|} \hline V_C & N_A \\ \hline \end{array}} = \frac{\begin{array}{|c|c|} \hline \text{atoms} & \text{g} \\ \hline \text{unit cell} & \text{mol} \\ \hline \end{array} \begin{array}{|c|c|} \hline 2 & 52.00 \\ \hline \end{array}}{\begin{array}{|c|c|} \hline \text{volume} & \text{atoms} \\ \hline \text{unit cell} & \text{mol} \\ \hline \end{array} \begin{array}{|c|c|} \hline 2.406 \times 10^{-23} & 6.022 \times 10^{23} \\ \hline \end{array}} = 7.19 \text{ g/cm}^3$$

$$\rho_{\text{actual}} = 7.15 \text{ g/cm}^3$$

Chapter 4: Imperfections in Solids

ISSUES TO ADDRESS...

- ❑ What types of **defects** exist in solid materials?
- ❑ How does the number of vacancies depend on **temperature**?
- ❑ What are the two types of **solid solutions**?
- ❑ What are the three types of **dislocations**?
- ❑ What **properties** will be influenced by defects?

Imperfections in Solids

There is no such thing as a perfect crystal.
Crystalline imperfections (or defects) are always present.

- ❑ Many of the properties of materials are sensitive to the presence of imperfections.
- ❑ Crystalline defect refers to a lattice irregularity with dimensions on the order of an atomic diameter.
- ❑ What kinds of crystalline imperfections exist in solids?

Types of Imperfections

- Vacancies
- Interstitial atoms
- Substitutional impurity atoms

Point defects
(0-Dimensional)

- Dislocations

Linear defects
(1-Dimensional)

- Grain Boundaries
(twin boundaries)
- Stacking faults

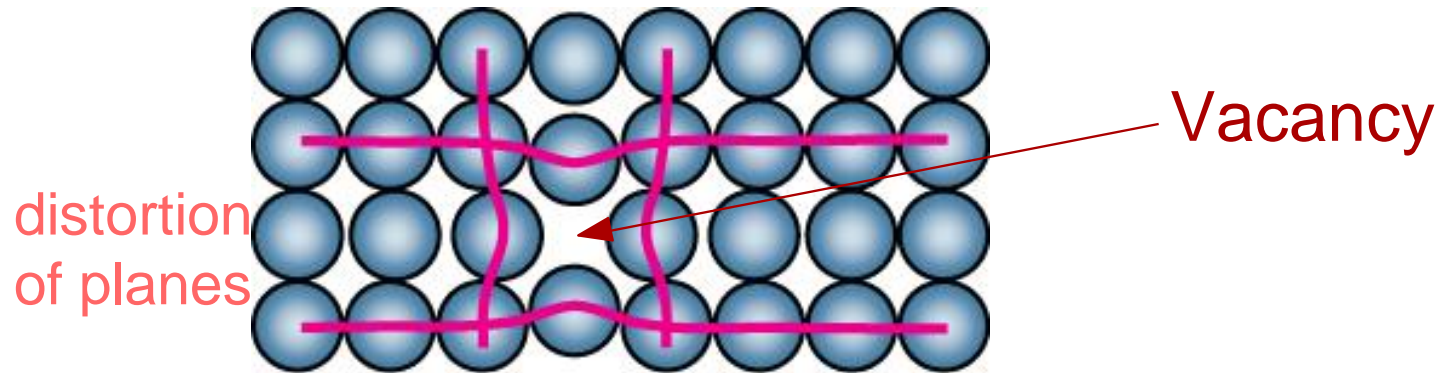
Interfacial defects
(2-Dimensional)

Point Defects

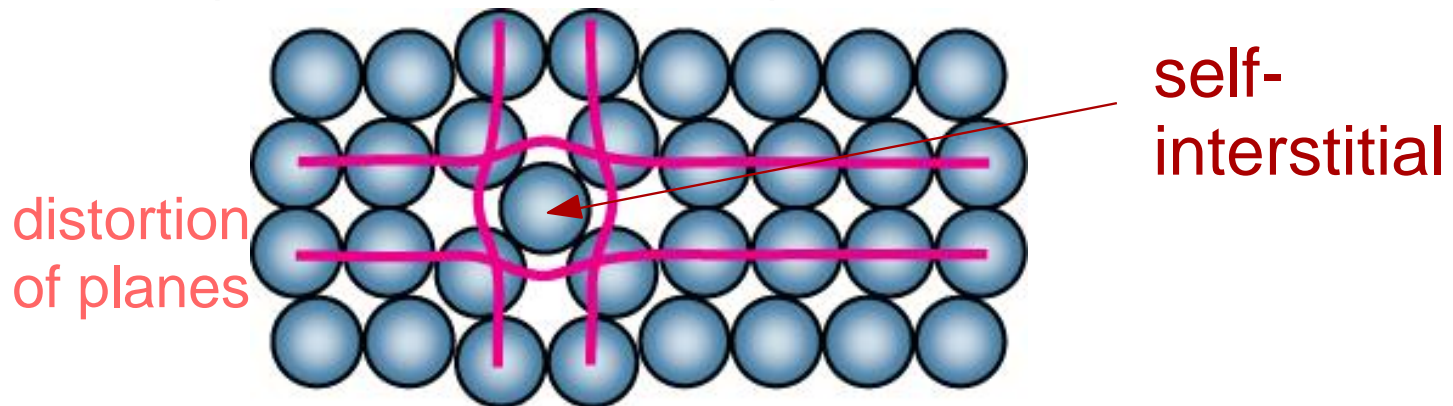


Point Defects in Metals

- Vacancies:
 - vacant atomic sites.



- Self-Interstitials:
 - Host atoms positioned in interstitial positions between atoms.



Vacancies – Computation of Equilibrium Concentration

- Equilibrium concentration varies with temperature!

Number of vacancies

Total number of lattice sites

$$\frac{N_v}{N} = \exp \left(\frac{-Q_v}{kT} \right)$$

Activation energy

Boltzmann's constant

Temperature

$(1.38 \times 10^{-23} \text{ J/atom-K})$

$(8.62 \times 10^{-5} \text{ eV/atom-K})$

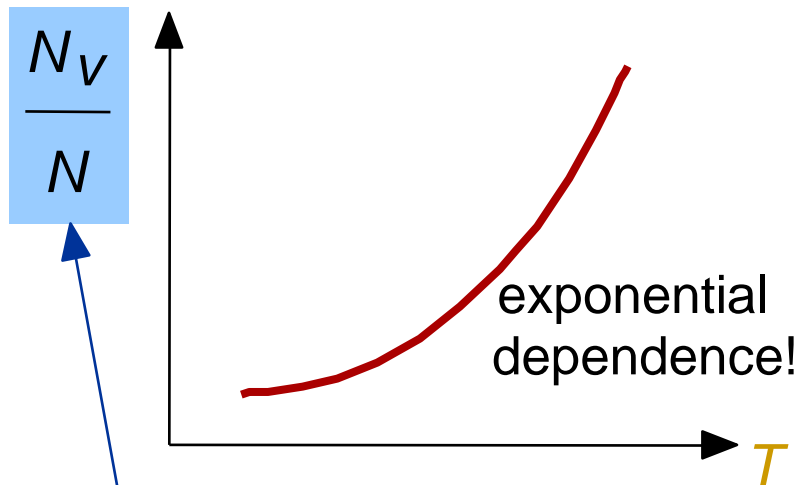
Note: Each lattice site is a potential vacancy.

Determination of Activation Energy for Vacancy Formation

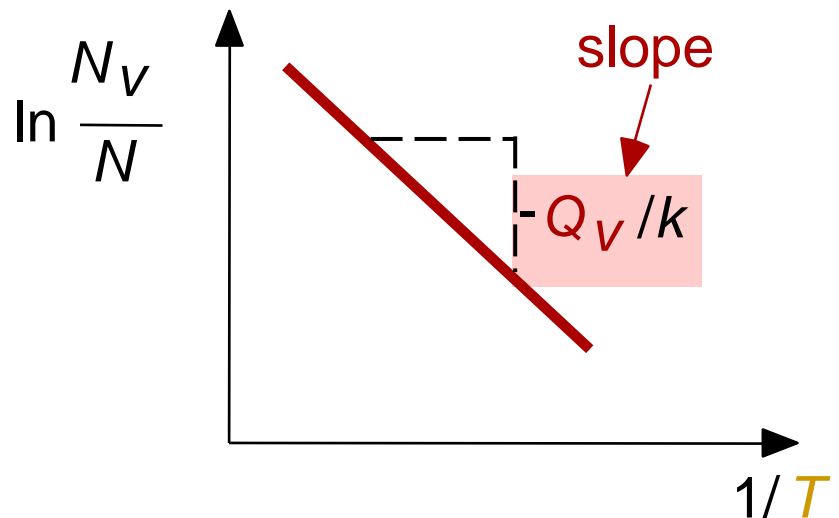
- Q_v can be determined experimentally.
- Data may be plotted as...

$$\frac{N_v}{N} = \exp \left(\frac{-Q_v}{kT} \right)$$

- Replot data as follows...



defect concentration



Computation of Equilibrium Vacancy Concentration

- Find the equilibrium number of vacancies in 1 m³ of Cu at 1000°C.
- Given:

$$\rho = 8.4 \text{ g /cm}^3 \quad A_{\text{Cu}} = 63.5 \text{ g/mol}$$

$$Q_V = 0.9 \text{ eV/atom} \quad N_A = 6.022 \times 10^{23} \text{ atoms/mol}$$

Solution: The first step is to determine the total number of lattice sites N using Equation

$$N = \frac{N_A \rho}{A_{\text{Cu}}} = \frac{(6.022 \times 10^{23} \text{ sites/mol})(8.4 \text{ g/cm}^3)}{63.5 \text{ g/mol}} \left(\frac{10^6 \text{ cm}^3}{\text{m}^3} \right)$$
$$= 8.0 \times 10^{28} \text{ sites/m}^3$$

Computation of Equilibrium Vacancy Concentration (cont.)

The second step is to determine the equilibrium vacancy concentration N_V using Equation.

$$N_V = N \exp \left(\frac{-Q_V}{kT} \right) = N \exp \left(\frac{-0.9 \text{ eV/atom}}{(8.62 \times 10^{-5} \text{ eV/atom-K})(1273 \text{ K})} \right)$$
$$= (2.7 \times 10^{-4}) N$$

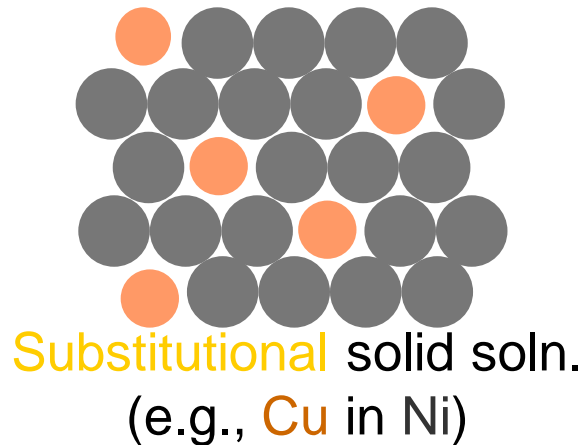
- Answer:

$$N_V = (2.7 \times 10^{-4})(8.0 \times 10^{28}) \text{ sites/m}^3$$
$$= 2.2 \times 10^{25} \text{ vacancies/m}^3$$

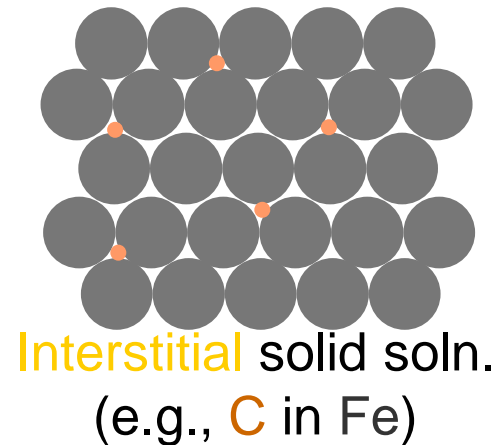
Impurities in Metals

Two outcomes if impurity **B** atoms are added to a solid composed of host **A** atoms:

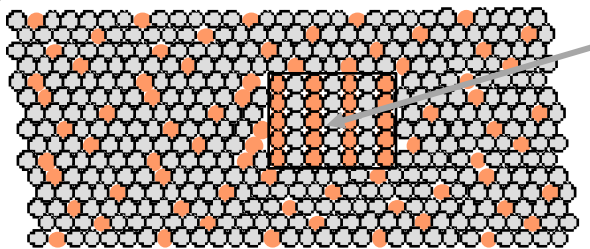
- **Solid solution** of **B** in **A** (i.e., random dist. of **B** atoms)



OR



- Solid solution of **B** in **A**, plus particles of a new phase (usually for larger concentrations of **B**)



Second phase particle
-- different **composition**
-- often different structure.

Specification of Composition

□ weight percent

$$C_1 = \frac{m_1}{m_1 + m_2} \times 100$$

m_1 = mass of component 1

□ atom percent

$$C_1' = \frac{n_{m1}}{n_{m1} + n_{m2}} \times 100$$

n_{m1} = number of moles of component 1

Line Defects



Linear Defects—Dislocations

- Dislocations

- Are one-dimensional defects around which atoms are misaligned

- Edge dislocation:

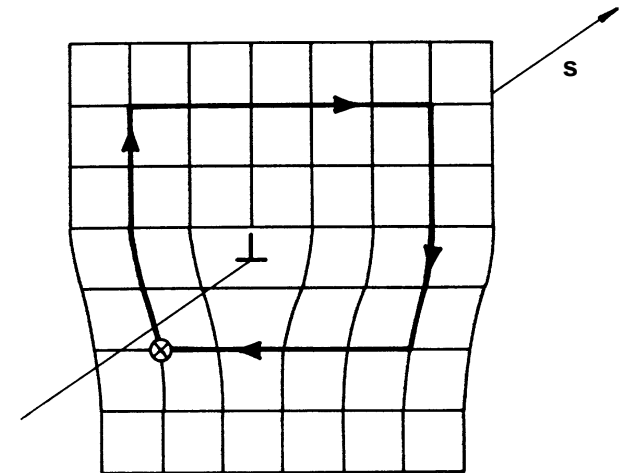
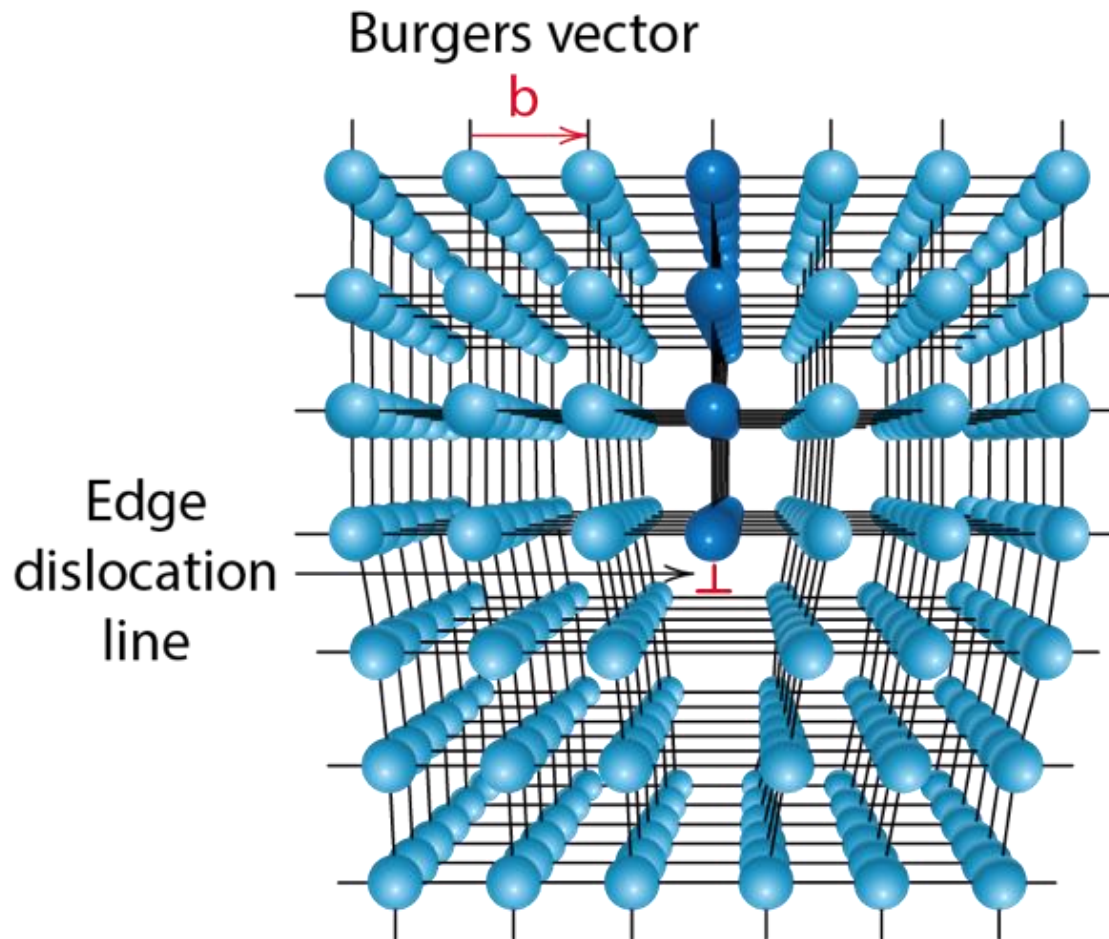
- extra half-plane of atoms inserted in a crystal structure
 - **b** perpendicular (\perp) to dislocation line

- Screw dislocation:

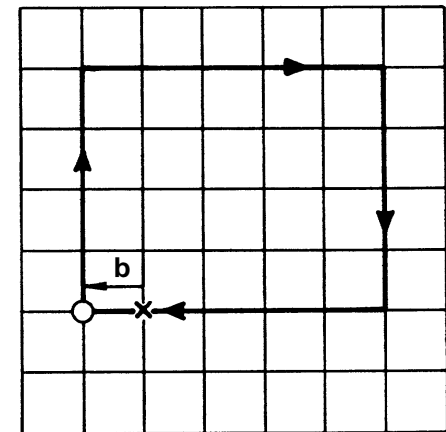
- spiral planar ramp resulting from shear deformation
 - **b** parallel (\parallel) to dislocation line

Burger's vector, **b**: measure of lattice distortion

Edge Dislocation



(a)

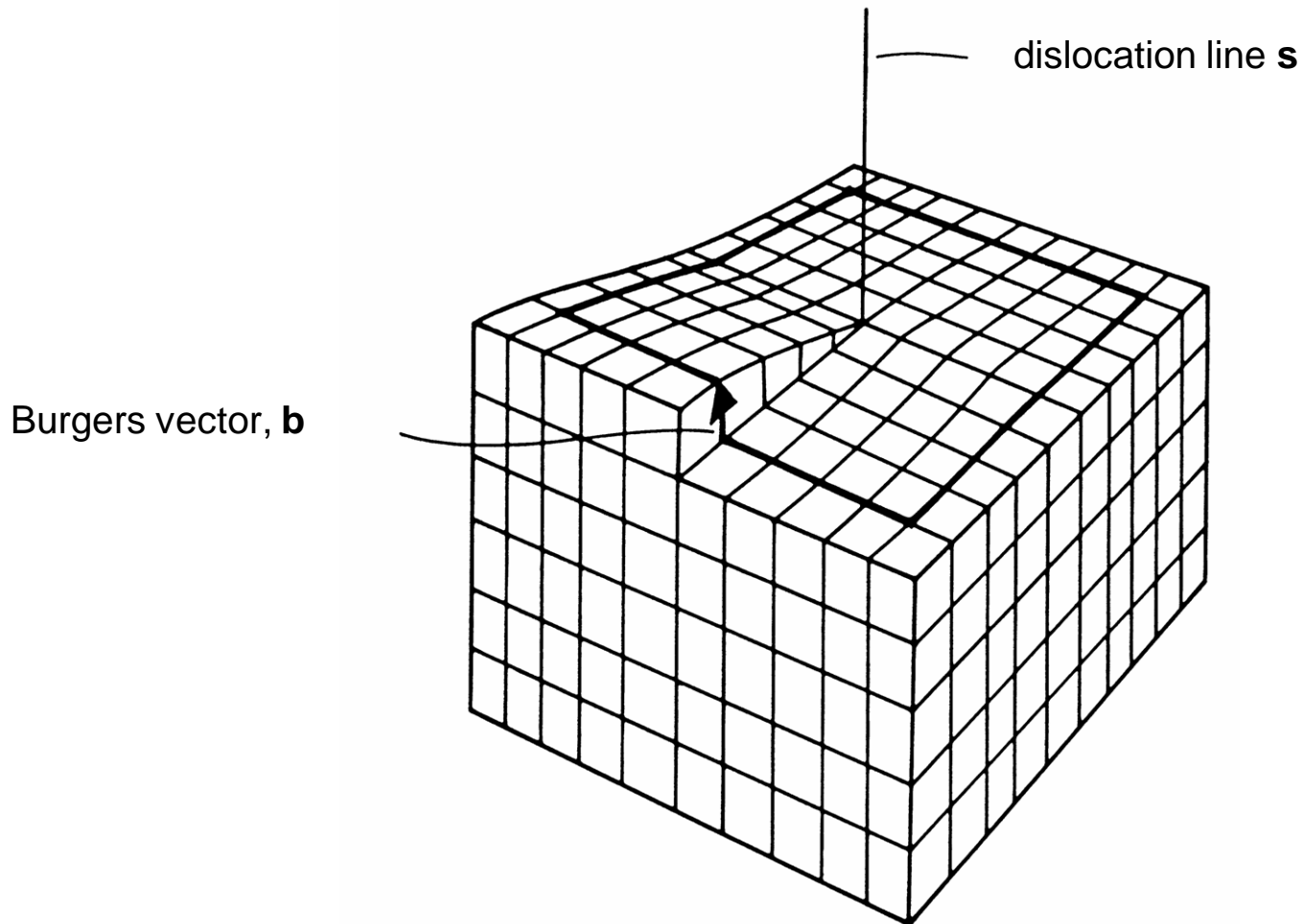


(b)

Fig. 4.4, Callister & Rethwisch 10e.

<https://www.springer.com/gp/book/9783540401391>

Screw Dislocation

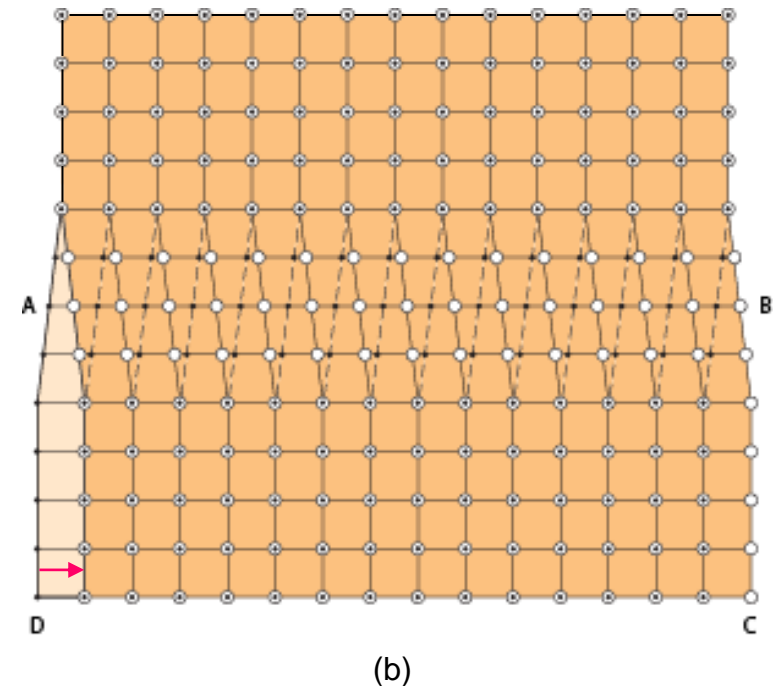
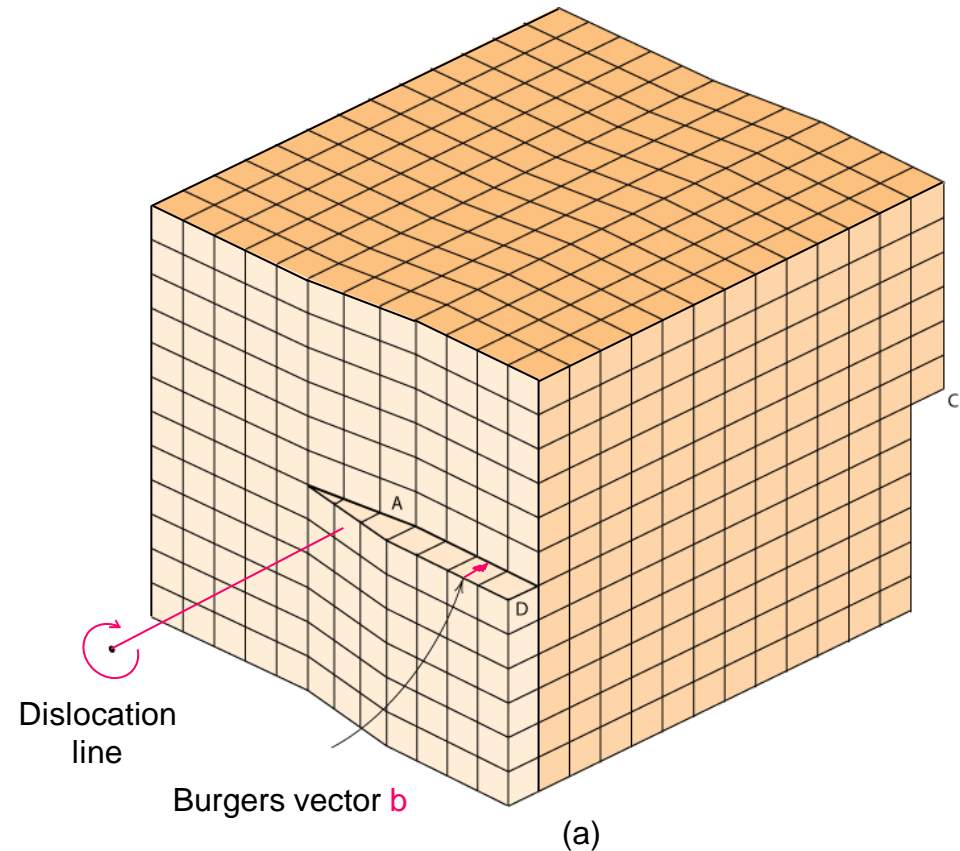


<https://www.springer.com/gp/book/9783540401391>

Screw Dislocation

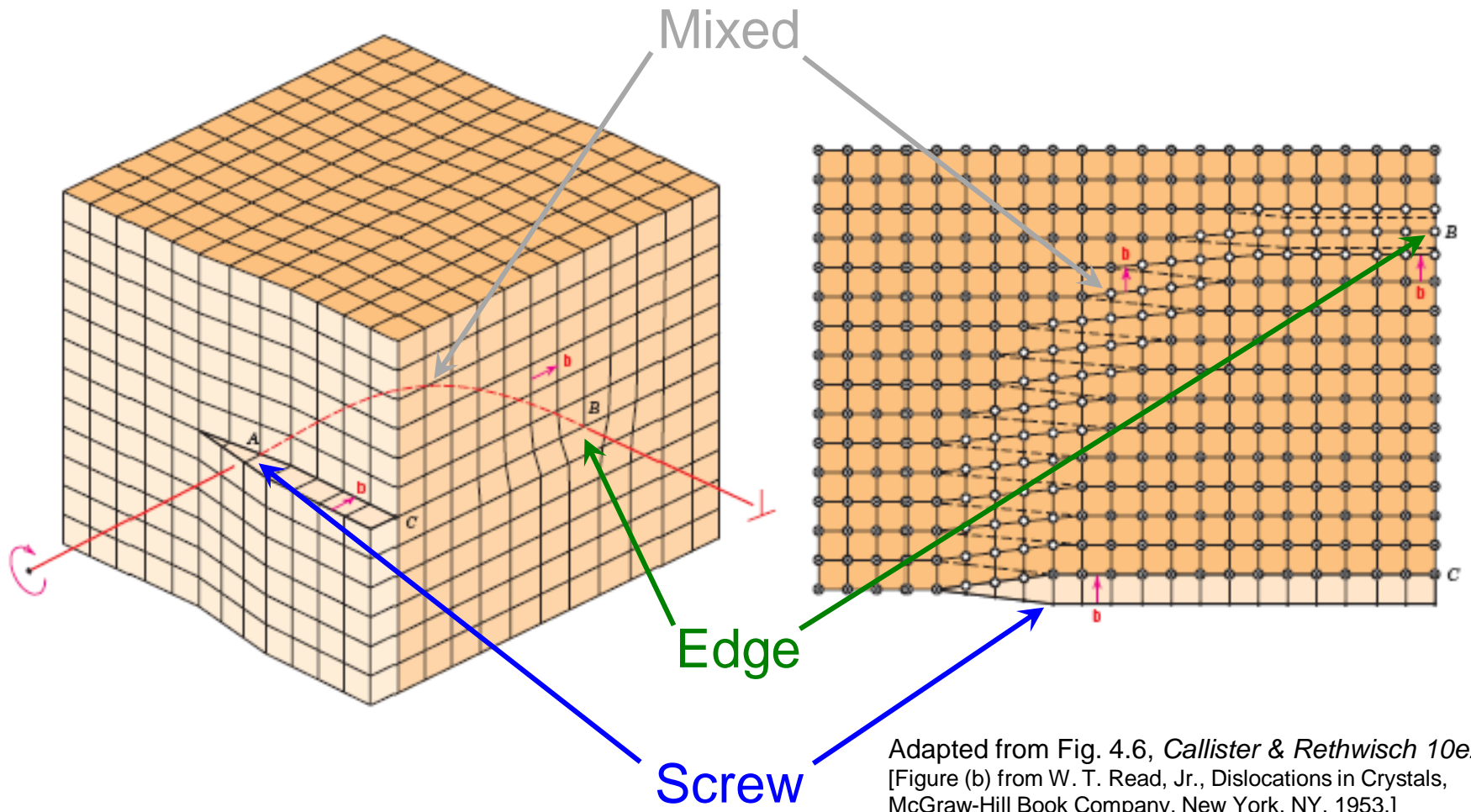
(a) Schematic of **screw dislocation** in a crystal

(b) Top view of screw dislocation in (a)



Adapted from Fig. 4.5, *Callister & Rethwisch 10e*.
[Figure (b) from W. T. Read, Jr., *Dislocations in Crystals*,
McGraw-Hill Book Company, New York, NY, 1953.]

Edge, Screw, and Mixed Dislocations



Observation of Dislocations

Dislocations appear as dark lines
in this electron micrograph

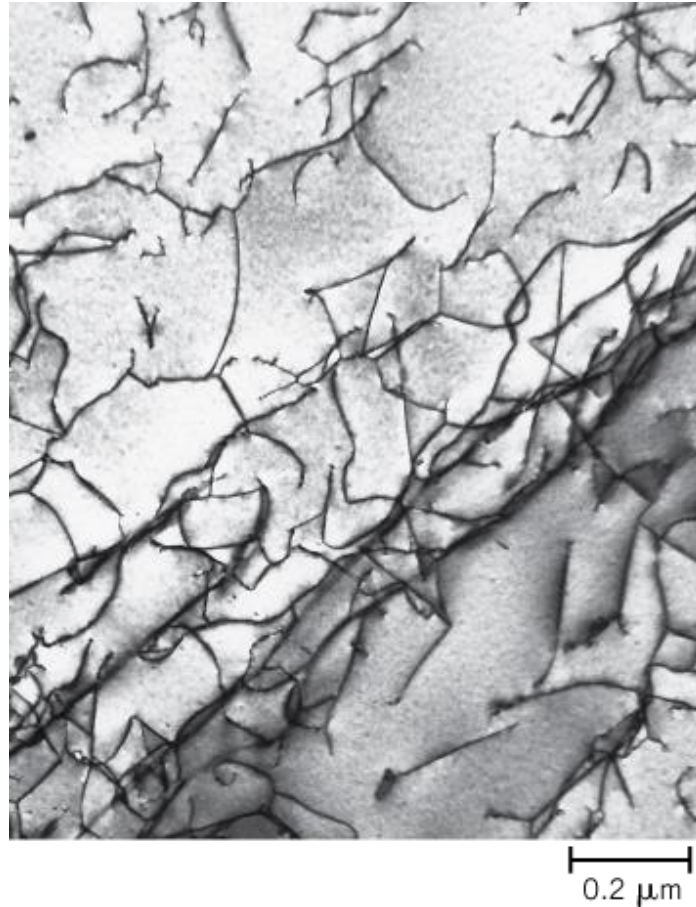


Fig. 4.7, *Callister & Rethwisch 10e*.
(Courtesy of M. R. Plichta, Michigan
Technological University.)

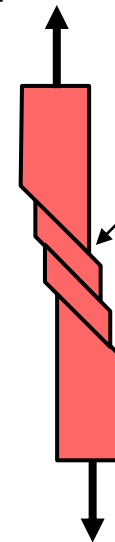
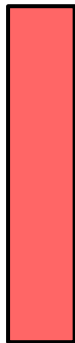
Linear Defects—Dislocations

Dislocations:

- move when stresses are applied,
- permanent (plastic) deformation results from dislocation motion.

Schematic of a single crystal metal

- unstressed (undeformed)
- after tensile elongation (after plastic deformation)

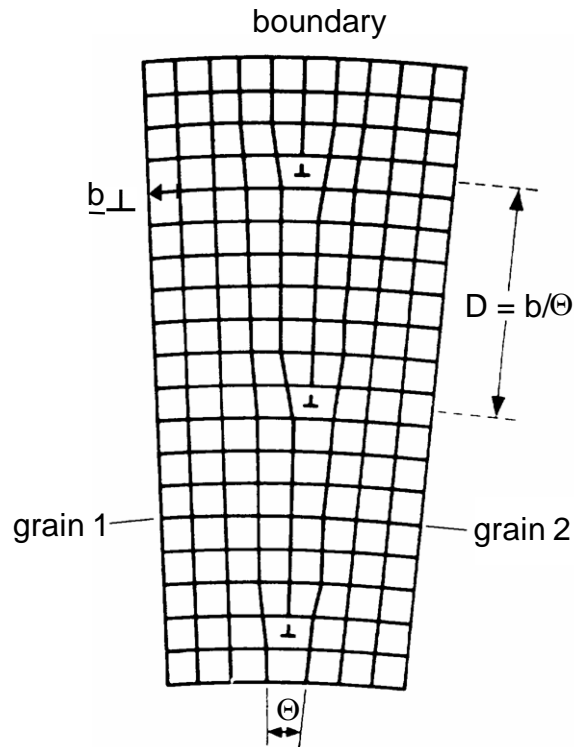


Steps correspond to plastic deformation: each step is produced by dislocations that have moved to the crystal surface.

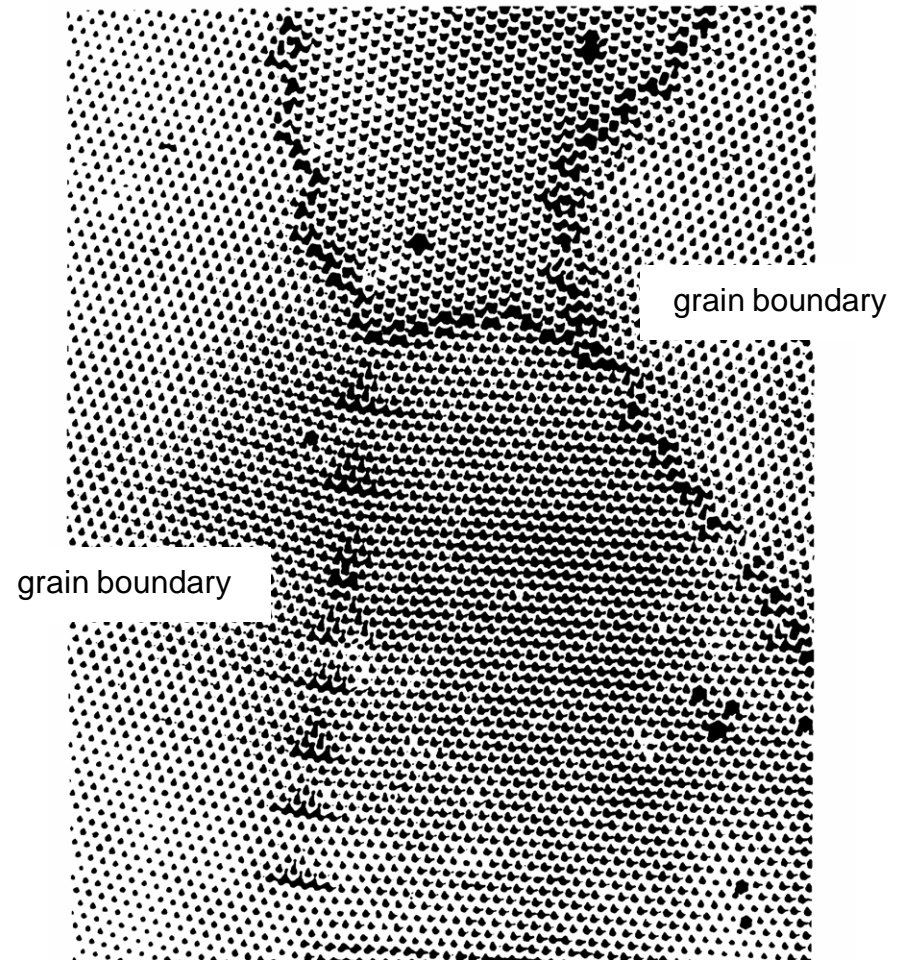
Interfacial Defects



Interfacial (Planar) Defects



<https://www.springer.com/gp/book/9783540401391>



<https://www.springer.com/gp/book/9783540401391>

Grains and Grain Boundaries

Grain Boundaries

- regions between grains (crystals)
- crystallographic misalignment across a grain boundary
- Slight atomic disorder
 - high atomic mobility
 - high chemical reactivity

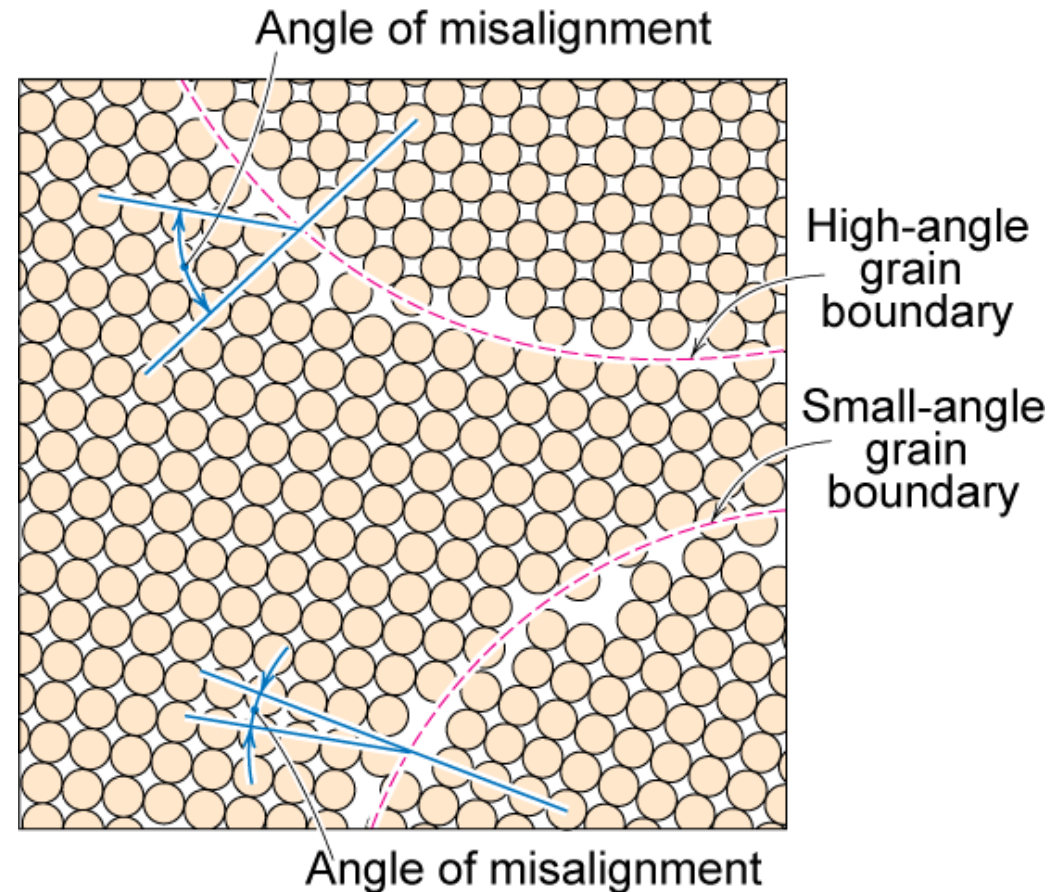


Fig. 4.8, Callister & Rethwisch 10e.

Polycrystalline Materials

- Most engineering materials are composed of many small, single crystals (i.e., are *polycrystalline*).

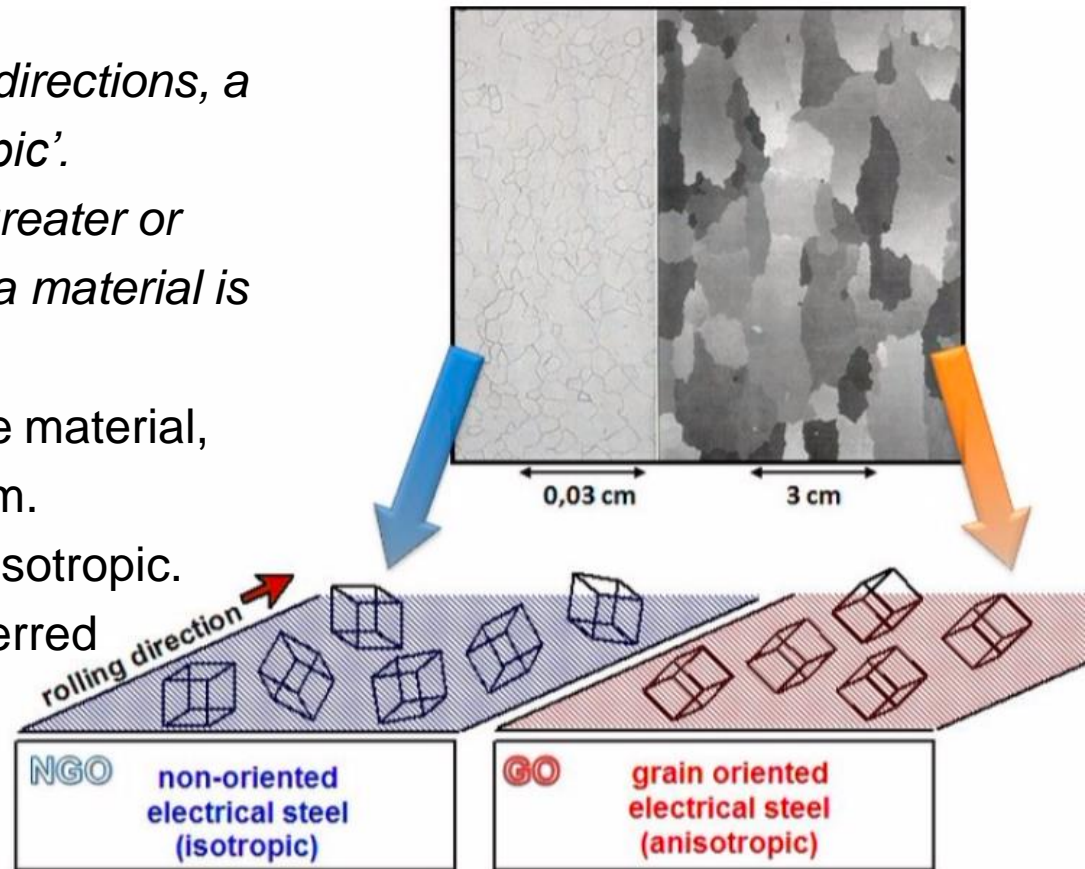


- Nb-Hf-W plate with an electron beam weld.
- Each "grain" is a single crystal.
- Grain sizes typically range from 1 nm to 2 cm (i.e., from a few to millions of atomic layers).

Isotropy & Anisotropy

For Polycrystals

- If properties are equal in all directions, a material is termed as 'Isotropic'.
- If the properties tend to be greater or diminished in any direction, a material is termed as 'Anisotropic'.
- In an isotropic polycrystalline material, grain orientations are random.
- Many/most materials are anisotropic.
- Anisotropy results from preferred orientations or 'Texture'.

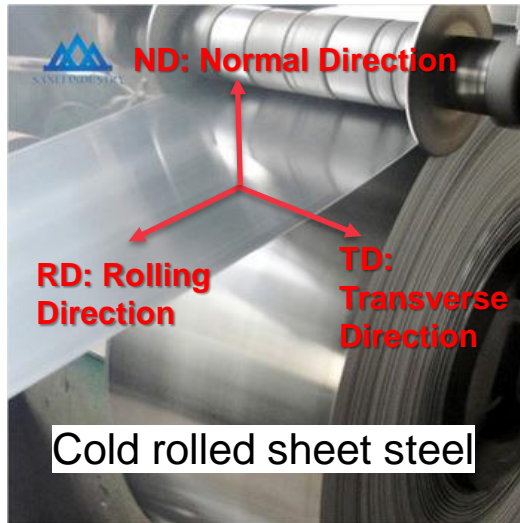


<http://www.dierk-raabe.com/electrical-steels-fe-3-si/>

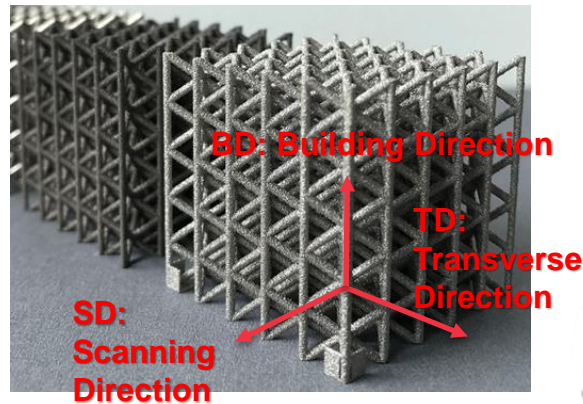
Texture

- preferred orientations in a polycrystal.
- Texture may range from slight to highly developed.

Crystallographic orientation



<https://images.app.goo.gl/eSLBdrEBpMiqqqpr5>



Additive manufacturing material

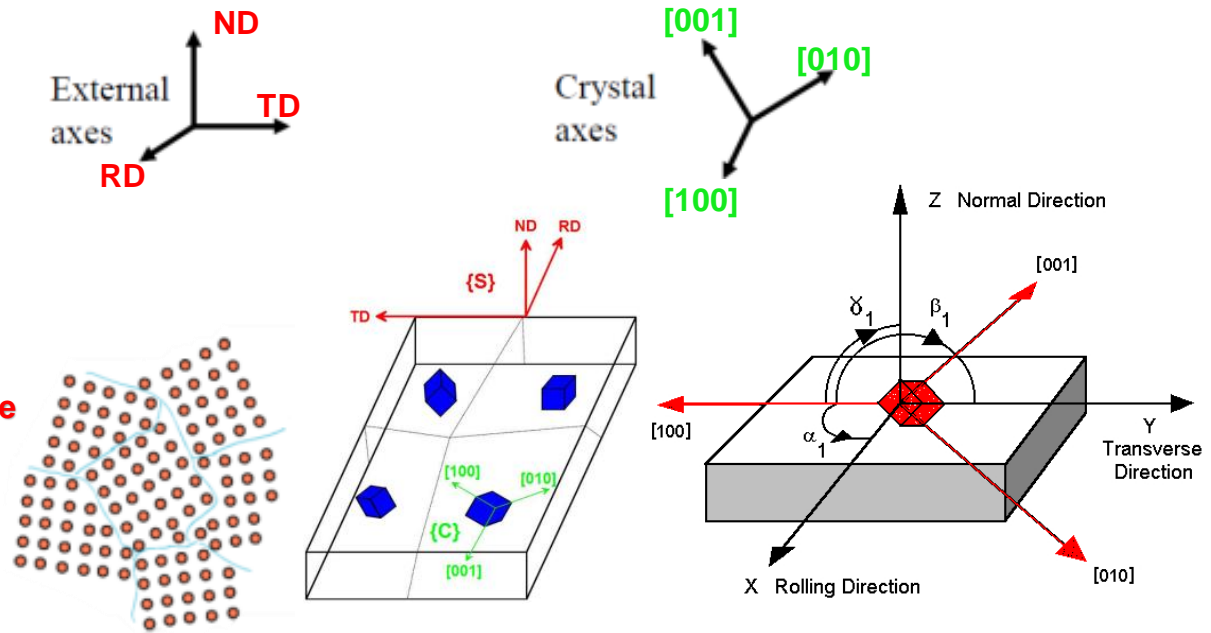
<https://images.app.goo.gl/aJJ1R8R2953RKM5Z9>

Crystallographic orientation:

Rotation g to transform the sample frame $\{S\}$ into the crystal frame $\{C\}$: $\{C\} = g \cdot \{S\}$

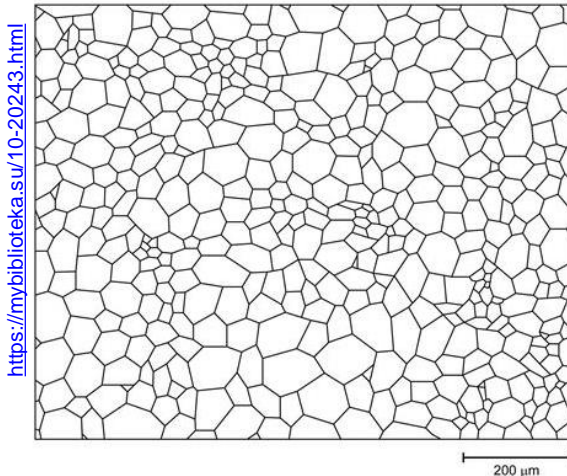
g : 3x3 rotation matrix

$$g = \begin{pmatrix} g_{11} & g_{12} & g_{13} \\ g_{21} & g_{22} & g_{23} \\ g_{31} & g_{32} & g_{33} \end{pmatrix} = \begin{pmatrix} \cos\alpha_1 & \cos\beta_1 & \cos\gamma_1 \\ \cos\alpha_2 & \cos\beta_2 & \cos\gamma_2 \\ \cos\alpha_3 & \cos\beta_3 & \cos\gamma_3 \end{pmatrix}$$



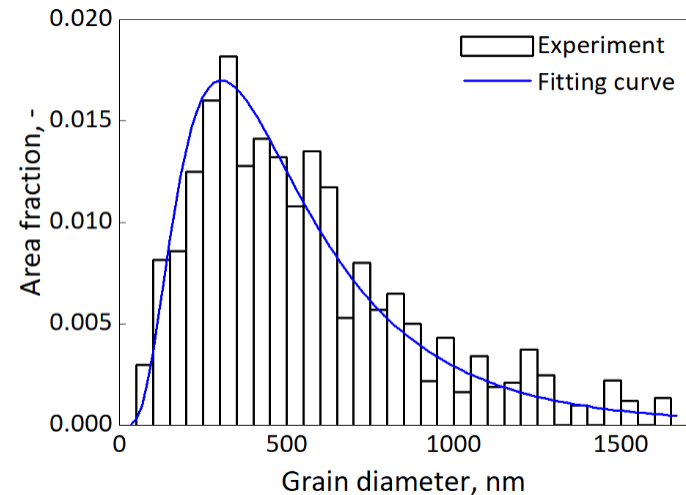
Olaf Engler (Hydro Aluminium): Introduction to Texture Analysis: Macrotexture, Microtexture, Orientation Microscopy

Grain size



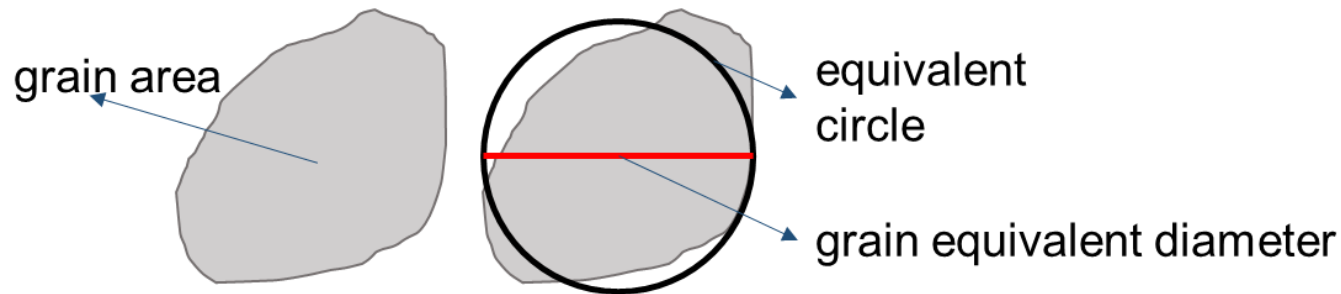
Idea: Fit a circle to the points making up a grain based on the equivalent area criterion.

log-normal distribution



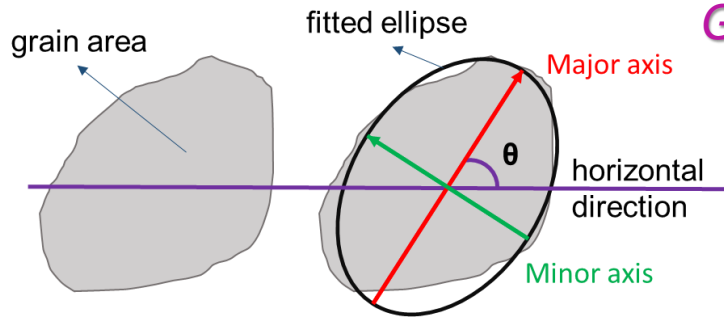
Equivalent grain diameter d

$$\text{mean}(d) = \exp(\mu + \sigma^2/2)$$



$$f(d; \mu, \sigma) dy = \frac{1}{d\sigma\sqrt{2\pi}} \exp\left(-\frac{(\ln d - \mu)^2}{2\sigma^2}\right) dy,$$

Grain shape



Idea: Fit an ellipse to the points making up a grain based on the equivalent area criterion.

Grain shape factor: Equivalent grain aspect ratio

$$\text{Aspect ratio} = \frac{\text{Length of the minor axis}}{\text{Length of the major axis}}$$

Examples:

1:1:1 refers to equiaxed grains,

1:0.1 to cold-rolled grains,

ranges from 0 to 1.

Grain shape angle/ orientation θ

The angle between the major axis direction of a fitted ellipse the horizontal direction.

Beta distribution

$$f(x; \alpha, \beta) = \frac{1}{B(\alpha, \beta)} x^{\alpha-1} (1-x)^{\beta-1}$$

$$B(\alpha, \beta) = \int_0^1 x^{\alpha-1} (1-x)^{\beta-1} dt \quad \text{mean}(x) = \frac{1}{1 + \frac{\beta}{\alpha}}$$

Summary

- ❑ Point, Linear, and Interfacial defects exist in solids.
 - Point defects
 - Vacancies
 - Interstitial atoms
 - Substitutional impurity atoms
 - Linear defects
 - Dislocations
 - Interfacial defects
 - Grain boundaries
 - Twin boundaries
 - Stacking Faults
- ❑ The equilibrium number vacancy defects depends on **temperature**.
- ❑ Dislocation types include **edge**, **screw**, and **mixed**.
- ❑ Polycrystals might result in **isotropic** properties.

Announcements

Reading: Textbook Ch. 3-4

Assignment: Open today; DL: 18:00 Sunday

Q&A time: Tuesday 16:30

Exercise: Thursday 10:15 – 12:00

Preparation of your computational environment is needed before the exercise sessions.

SOFTWARE for exercise and assignment

Matlab: Aalto software download service

[Download.aalto.fi](https://aalto.fi) (Aalto user credentials log in is needed).

MTEX toolbox: open-sourced software <https://mtex-toolbox.github.io/>

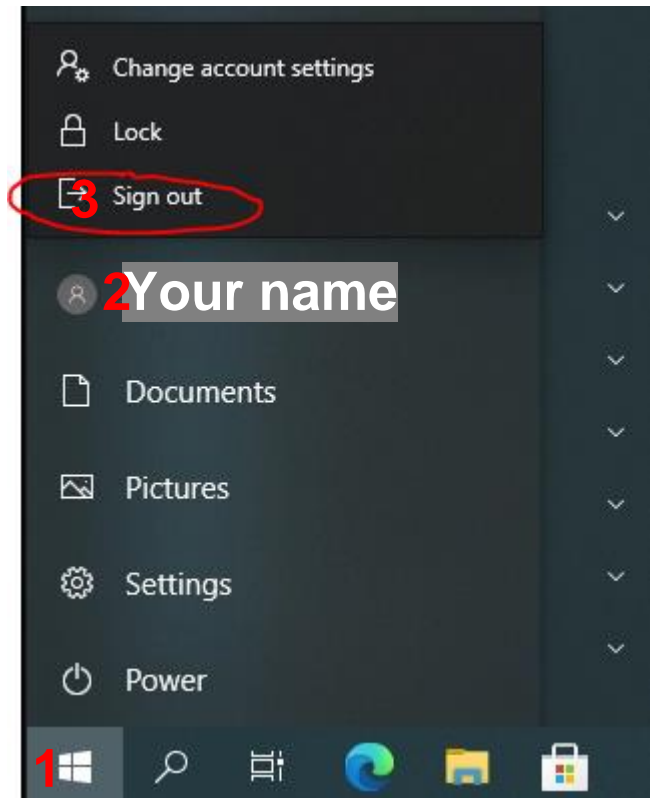
Aalto VDI system: mfavdi.aalto.fi, or VMware Horizon Client vdi.aalto.fi, for more information, please refer to [Remote access to Windows classroom computers](#).

IMPORTANT! Please remember to do '**Sign Out**' after the session (NOT Disconnect). Click your username in Start and click 'Sign Out'.

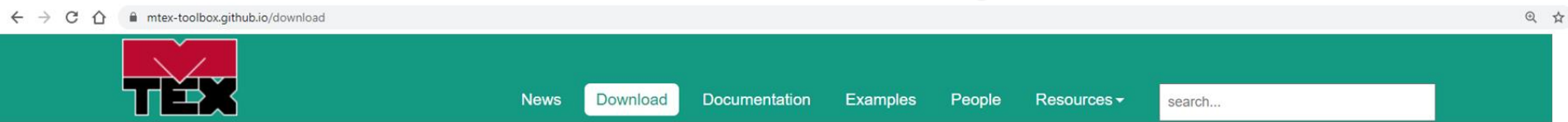
Basic Rule: Please use **DOT** as the decimal separator, **NO COMMA!**

IMPORTANT! Please download and extract the zip file of **MTEX 5.4.0**. Copy it to your Matlab work direction or add it to the Matlab work path.

Please also download the 'EBSData.ctf' file as input. (in MyCourses Exercise1)



SOFTWARE for exercise and assignment



Download

<https://mtex-toolbox.github.io/download>

Mtex Download

Installation

1. download and extract the zip file to an arbitrary folder
2. start Matlab (version 2016b or newer required - older versions have not been tested)
3. change the current folder in Matlab to the folder where MTEX is installed
4. type `startup_mtex` into the command window
5. click one of the menu items to import data or to consult the documentation

In case you experience any problems, especial on Mac OSX, have a look at our [trouble shooting page](#)

Downloads

File Name	Release Date	Comments	Downloads
mtex-5.7.0.zip	May 2021	improved parent grain reconstruction, changelog	4.5k
mtex-5.6.1.zip	March 2021	bug fix release, changelog	1.5k
mtex-5.6.0.zip	January 2021	simplified parent grain reconstruction, changelog	2.4k
mtex-5.5.2.zip			
mtex-5.4.0.zip	July 2020	parent grain reconstruction, changelog	2767
mtex-5.3.1.zip	June 2020	bug fixes, boundary curvature, changelog	1051
mtex-5.3.zip	April 2020	performance updates, changelog	2118

1. Download 'mtex-5.4.0.zip' to your Matlab work folder.

SOFTWARE for exercise and assignment

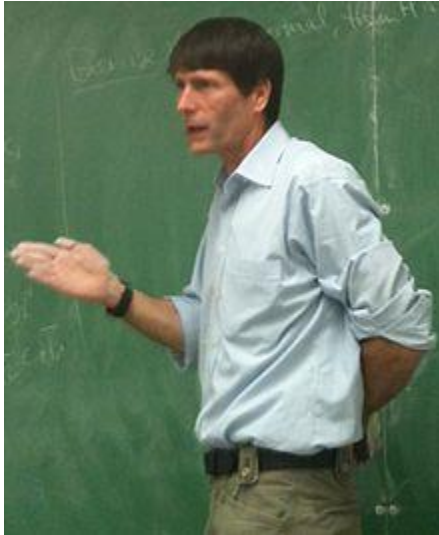
Mtex Download

2. Run Matlab, set the path to your work folder.

3. Find the download .zip file. Double click to extract.

The screenshot shows the MATLAB R2020a interface. The top menu bar includes HOME, PLOTS, and APPS. The Command Window displays a message about the yearly network license update. The File Explorer window shows the current folder 'Z:\Documents\MATLAB' with a file 'mtex-5.4.0.zip' highlighted. The status bar at the bottom indicates 'Ready'.

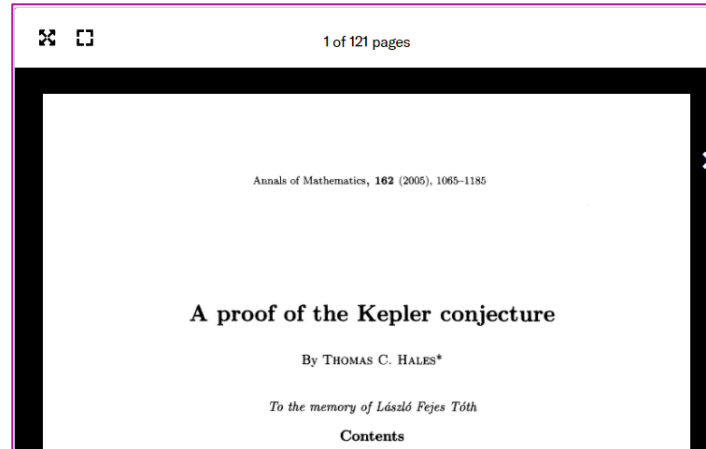
A 400-year mathematical story – Finale



Thomas Callister Hales

https://en.wikipedia.org/wiki/Thomas_Callister_Hales

Thomas Hales, then at the [University of Michigan](#), determined that the maximum density of all arrangements could be found by minimizing a function with 150 variables.



1998

- 250 pages
- 3 GB data
- 99% correct

2015

- 11 years of work
- 21 collaborators



Forum of Mathematics, Pi (2017), Vol. 5, e2, 29 pages
doi:10.1017/fmp.2017.1



1

A FORMAL PROOF OF THE KEPLER CONJECTURE

THOMAS HALES¹, MARK ADAMS^{2,3}, GERTRUD BAUER⁴,
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CEZARY KALISZYK⁸, VICTOR MAGRON⁹, SEAN MCLAUGHLIN¹⁰,
TAT THANG NGUYEN⁷, QUANG TRUONG NGUYEN¹,
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ALEXEY SOLOVYEV¹⁵, THI HOAI AN TA⁷, NAM TRUNG TRAN⁷,
THI DIEP TRIEU¹⁶, JOSEF URBAN¹⁷, KY VU¹⁸ and
ROLAND ZUMKELLER¹⁹

¹ University of Pittsburgh, USA;

Questions?